

# A COUNTEREXAMPLE TO A CONJECTURE ON SCHUR POSITIVITY OF CHROMATIC SYMMETRIC FUNCTIONS OF TREES

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ABSTRACT. We show that no tree on twenty vertices with maximum degree ten has Schur positive chromatic symmetric function, thereby providing a counterexample to a conjecture from [1].

Among the many nice results on chromatic symmetric functions in the paper [1] of Dahlberg, She and van Willigenburg is Theorem 39 therein, which says that no bipartite graph on  $n$  vertices with a vertex of degree more than  $\lceil \frac{n}{2} \rceil$  has Schur positive chromatic symmetric function. In particular, Theorem 39 applies to trees. A near-converse to Theorem 39 for trees is posed in [1, Conjecture 42], which says that for every  $n \geq 2$ , there is a tree  $T$  on  $n$  vertices, one of which has degree  $\lfloor \frac{n}{2} \rfloor$ , such that the chromatic symmetric function of  $T$  is Schur positive. The authors of [1] confirmed this conjecture for  $n \leq 19$ , using computer calculations. Sadly, the conjecture is false for  $n = 20$ , as we show here. We use SageMath [2] calculations after a preparatory proposition that reduces the number of trees that we must examine.

We give the requisite definitions and reiterate more formally. Given a (finite, loopless, simple) graph  $G = (V, E)$ , a **proper coloring** of  $G$  is a function  $\kappa$  from  $V$  to the set  $\mathbb{P}$  of positive integers such that  $\kappa(v) \neq \kappa(w)$  whenever  $\{v, w\} \in E$ . We fix an infinite set  $\mathbf{x} := \{x_i : i \in \mathbb{P}\}$  of pairwise commuting variables, and write  $\mathbf{K}(G)$  for the set of all proper colorings of  $G$ . To each proper coloring  $\kappa$  one associates a monomial

$$\mathbf{x}^\kappa := \prod_{v \in V} x_{\kappa(v)}.$$

The chromatic symmetric function  $X_G$  of  $G$  is the sum of all such monomials,

$$X_G(\mathbf{x}) := \sum_{\kappa \in \mathbf{K}(G)} \mathbf{x}^\kappa.$$

Chromatic symmetric functions were introduced by Stanley in [5] and have drawn considerable attention. Various results and conjectures, including the above-mentioned theorem and conjecture from [1], relate

the structure of  $G$  to the expansion of  $X_G$  in terms of one or more familiar bases for the algebra  $\Lambda$  of symmetric functions. Recall that if  $B$  is a basis for  $\Lambda$  and  $f \in \Lambda$ , we call  $f$  *B-positive* if, when we expand  $f = \sum_{b \in B} \alpha_b b$ , each  $\alpha_b$  is non-negative. The **Schur basis** for  $\Lambda$  is a fundamental object in symmetric function theory. See for example [3, Chapter 7] for basic properties of Schur functions and other rudimentary facts about symmetric functions that will be used herein without reference.

We prove the following result, thereby disproving Conjecture 42 of [1].

**Theorem 1.** *If  $T$  is a tree on twenty vertices, one of which has degree ten, then  $X_T(\mathbf{x})$  is not Schur positive.*

A **stable partition** of  $G$  is a set partition  $\pi : V = \bigcup_{j=1}^k \pi_j$  with each  $\pi_j$  an independent set in  $G$ . We assume without loss of generality that  $|\pi_j| \geq |\pi_{j+1}|$  for each  $j \in [n-1]$ . Setting  $\lambda_j = |\pi_j|$  for each  $j$ , we get that  $\lambda := (\lambda_1, \dots, \lambda_k)$  is a partition of the integer  $|V|$ . We call  $\lambda$  the **type** of  $\pi$ . Given another partition  $\mu = (\mu_1, \dots, \mu_\ell)$  of  $|V|$ , we write  $\mu \preceq \lambda$  if  $\lambda$  **dominates**  $\mu$ , that is, if  $\sum_{j=1}^m \mu_j \leq \sum_{j=1}^m \lambda_j$  for all  $m \in [k]$ . Our proof of Theorem 1 rests on the following basic result, due to Stanley. This result follows quickly from the fact that if  $\mu \preceq \lambda$ , then when the Schur function  $s_\lambda$  is expanded in the monomial basis, the coefficient of  $m_\mu$  is positive.

**Lemma 2** (Proposition 1.5 of [4]). *If  $X_G(\mathbf{x})$  is Schur positive and  $G$  admits a stable partition of type  $\lambda$ , then  $G$  admits a stable partition of type  $\mu$  whenever  $\mu \preceq \lambda$ .*

**Corollary 3.** *Assume that  $T = (V, E)$  is a tree on  $2n$  vertices and  $v \in V$  has degree  $n$  in  $T$ . If  $X_T(\mathbf{x})$  is Schur positive, then every  $x \in V$  that is neither  $v$  nor a neighbor of  $v$  is a leaf in  $T$ .*

*Proof.* As  $T$  is connected and bipartite,  $T$  has a unique bipartition  $\pi : V = \pi_1 \cup \pi_2$ . If  $X_T(\mathbf{x})$  is Schur positive, then  $\pi$  has type  $(n, n)$  by Lemma 2. We assume without loss of generality that  $v \in \pi_1$ . Then the neighborhood  $N_T(v)$  is contained in  $\pi_2$  and so  $\pi_2 = N_T(v)$ . Were the claim of the corollary false, some  $z \in V$  would be at distance three from  $v$  in  $T$  and therefore lie in  $\pi_2$ , which is impossible.  $\square$

For each partition  $\nu = (\nu_1, \dots, \nu_t)$  of  $n-1$ , let  $T(\nu)$  be a tree on  $2n$  vertices in which one vertex  $v$  has exactly  $n$  neighbors  $v_1, \dots, v_n$ , and for  $1 \leq i \leq t$ ,  $v_i$  has exactly  $\nu_i$  neighbors other than  $v$  (each of which is necessarily a leaf). The next result follows immediately from Corollary 3.

**Corollary 4.** *If  $T$  is a tree on  $2n$  vertices, one of which has degree  $n$ , and  $X_T(\mathbf{x})$  is Schur positive, then there is some partition  $\nu$  of  $n - 1$  such that  $T$  is isomorphic with  $T(\nu)$ .*

Theorem 1 follows from the next result, which we prove by inspection using SageMath calculations.

**Proposition 5.** *If  $\nu$  is a partition of the integer nine, then  $X_{T(\nu)}$  is not Schur positive.*

Our computations reveal in particular that if  $n = 10$  and  $\nu_1 \geq 6$ , then the coefficient of  $s_{(9,9,2)}$  in the Schur expansion of  $X_{T(\nu)}(\mathbf{x})$  is negative; and if  $n = 10$  and  $\nu_1 \leq 5$ , then the coefficient of  $s_{(3,3,2,2,2,2,2,2)}$  in the Schur expansion of  $X_{T(\nu)}(\mathbf{x})$  is negative. This Schur expansion has can have as few as four negative coefficients (when  $\nu$  is one of  $(6, 2, 1)$ ,  $(6, 1, 1, 1)$  or  $(5, 4)$ ) and as many as thirty (when  $\nu$  is one of  $(2, 2, 2, 2, 1)$ ,  $(2, 2, 2, 1, 1, 1)$  or  $(1, 1, 1, 1, 1, 1, 1, 1, 1)$ ). Our programs, along with the complete Schur expansion of  $X_{T(\nu)}(\mathbf{x})$  for each partition  $\nu$  of nine, can be found at [https://github.com/emmanuelasa/Schur\\_Decomposition\\_20](https://github.com/emmanuelasa/Schur_Decomposition_20).

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