

## 2 Müntz-Jackson Theorems

Recall what we have done last week.

- **Density properties of Müntz polynomials.**

**Theorem** (Theorem 1.1 in [Lorentz (1996)]).

Let  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \infty\}$  with  $\lambda_n \rightarrow \infty$ . Then the Müntz space  $\mathcal{M}(\Lambda_\infty)$  is dense in each of the spaces  $C[0, 1]$  or  $L_p[0, 1]$ ,  $1 \leq p < \infty$  if and only if

$$\sum_{k=1}^{\infty} \frac{1}{\lambda_k} = \infty.$$

- **$L_p$ -Best Approximation by Müntz Polynomials.** Let  $f \in L_p[0, 1]$  if  $1 \leq p < \infty$  (or  $C[0, 1]$  if  $p = \infty$ ). The error of approximation from  $\mathcal{M}(\Lambda_n)$  to  $f$  is

$$E(f, \Lambda)_p := \inf_{M \in \mathcal{M}(\Lambda_n)} \|f - M\|_{L_p[0,1]}.$$

We have discussed some extensions of the dense properties (Theorem 1.1), and explained the  $L_p$  best approximations and corresponding notation.

Note that when  $p = \infty$ , for  $f \in C[0, 1]$  we have

$$\|f\|_\infty := \inf_{\substack{mF_0=0 \\ F_0 \subset [0,1]}} \left\{ \sup_{x \in [0,1] \setminus F_0} |f(x)| \right\} = \max_{0 \leq x \leq 1} \{|f(x)|\},$$

where  $mF_0 = 0$  denotes that the Lebesgue measure of  $F_0$  is 0. Indeed, for any  $F_0$  satisfying  $mF_0 = 0$  and  $F_0 \subset [0, 1]$ ,

$$\sup_{x \in [0,1] \setminus F_0} |f(x)| \leq \max_{0 \leq x \leq 1} \{|f(x)|\} \Rightarrow \|f\|_\infty = \inf_{\substack{mF_0=0 \\ F_0 \subset [0,1]}} \left\{ \sup_{x \in [0,1] \setminus F_0} |f(x)| \right\} \leq \max_{0 \leq x \leq 1} \{|f(x)|\}.$$

Conversely, **by contradiction**, we suppose that  $\|f\|_\infty < \max_{0 \leq x \leq 1} \{|f(x)|\} := M$ , and  $|f(x)|$  attains its maximum at  $x_0 \in [a, b]$ , i.e.,  $|f(x_0)| = M$ . Then there exists  $\varepsilon > 0$  such that  $\|f\|_\infty < M - \varepsilon$ . For this  $\varepsilon$ , there exists  $\delta > 0$  such that

$$|f(x)| > M - \varepsilon, \quad \forall x \in (x_0 - \delta, x_0 + \delta) \cap [0, 1] =: E.$$

Therefore  $\forall F_0 \subset [0, 1]$  and  $mF_0 = 0$ , we have  $|f(x)| > M - \varepsilon, \forall x \in E \setminus F_0$ , and

$$\sup_{x \in [0,1] \setminus F_0} |f(x)| \geq \sup_{x \in E \setminus F_0} |f(x)| \geq M - \varepsilon.$$

This implies a contradiction:

$$M - \varepsilon > \|f\|_\infty = \inf_{\substack{mF_0=0 \\ F_0 \subset [0,1]}} \sup_{x \in [0,1] \setminus F_0} |f(x)| \geq M - \varepsilon.$$

### What we want to do next?

We consider the  $L_p$  best approximation (or Jackson Theorems in Sec. 2) in several subsections:

- Existence and uniqueness of  $L_p$  best approximation.
- Error of approximation for monomial  $x^r$ , and dense properties.
- Error of approximation for  $f \in W_p^1[0, 1]$ , and some corollaries.

### Notation Convention:

- **AuxThm** stands for the auxiliary theorem that does not appear in this chapter, same as **AuxCor**, **AuxLem**, etc.
- Denote  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  with  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . We will see this restriction can be cancelled.
- Denote  $\Lambda_n = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n\}$  simply by  $\Lambda$ , where the integer  $n \geq 1$  is fixed.
- Denote the linear space  $\mathcal{M}(\Lambda_n) = \text{span}\{x^{\lambda_0}, \dots, x^{\lambda_n}\}$ , associated to  $\Lambda_n$ , with respect to the field of real numbers  $\mathbb{R}$ .
- $E(f, \Lambda_n)_p = \inf_{M \in \mathcal{M}(\Lambda_n)} \|f - M\|_p$ , where  $\|\cdot\|_p$  stands for the  $L_p[0, 1]$  norm for  $1 \leq p \leq \infty$ .

## 2.1 Existence and uniqueness of $L_p$ -best approximation.

Let  $(X, \|\cdot\|)$  be a Banach space with real or complex scalars, and  $X_n \subset X$  be its finite dimensional linear subspace. The *best approximation* to  $f \in X$  from  $X_n$  is defined as

$$E(f) := \inf_{p \in X_n} \|f - p\|.$$

**AuxThm 2.1** (Theorem 1.1, p.59, [Lorentz (1993)]). *For each  $f \in X$ , there exists a best approximation to  $f$  from  $X_n$ .*

*Proof.* Let  $F(p) = \|f - p\|$ ,  $\forall p \in X_n$ . Let the closed and bounded set  $C = \{p \in X_n : F(p) \leq \|f\|\}$ . Then  $F(p)$  attains its minimum over  $X_n$  that is equivalent to attain the minimum over  $C$ . That is,

$$\inf_{p \in X_n} \|f - p\| = \inf_{p \in C} \|f - p\|.$$

For the proof of this fact, it is obvious that  $\inf_{p \in X_n} \|f - p\| \leq \inf_{p \in C} \|f - p\|$ . Conversely,  $\forall p \in X_n \setminus C$ , then  $\|f - p\| > \|f\| \geq \inf_{p \in C} \|f - p\|$ . And it obvious that  $\forall p \in X_n$ , we have  $\|f - p\| \geq \inf_{p \in C} \|f - p\|$ . Hence  $\forall p \in X_n$ , we have

$$\|f - p\| \geq \inf_{p \in C} \|f - p\| \implies \inf_{p \in X_n} \|f - p\| \geq \inf_{p \in C} \|f - p\|.$$

Then the existence is obvious since  $C$  is compact and  $F(p)$  is continuous. □

**AuxThm 2.2.** *If  $X$  is strictly convex, which is characterized by*

$$\begin{cases} \forall f_1 \neq f_2, & \|f_1\| = \|f_2\| = 1, & \alpha_1, \alpha_2 > 0, & \alpha_1 + \alpha_2 = 1, \\ \text{imply} & & & \|\alpha_1 f_1 + \alpha_2 f_2\| < 1. \end{cases}$$

*Then the best approximation to  $f \in X$  from  $X_n$  is **unique**.*

*Proof.* Suppose there are  $p_1, p_2 \in X_n$  such that

$$\|f - p_1\| = \|f - p_2\| = E(f).$$

If  $E(f) = 0$ , then  $\|p_1 - p_2\| \leq \|f - p_1\| + \|f - p_2\| = 2E(f) = 0$ , which implies  $p_1 = p_2$ . If  $E(f) > 0$ ,

$$\left\| \frac{1}{2} \frac{f - p_1}{\|f - p_1\|} + \frac{1}{2} \frac{f - p_2}{\|f - p_2\|} \right\| < 1 \implies \left\| \frac{1}{2}(f - p_1) + \frac{1}{2}(f - p_2) \right\| < E(f).$$

If we suppose that  $p_1 \neq p_2$ , which leads to a contradiction:

$$E(f) \leq \left\| f - \frac{1}{2}(p_1 + p_2) \right\| = \left\| \frac{1}{2}(f - p_1) + \frac{1}{2}(f - p_2) \right\| < E(f).$$

□

**AuxLem 2.1.**  $L_p[a, b]$  is strictly convex for  $1 < p < \infty$ .

*Proof.* For any  $f_1 \neq f_2$ ,  $\|f_1\|_p = \|f_2\|_p = 1$ ,  $\alpha_1, \alpha_2 > 0$ ,  $\alpha_1 + \alpha_2 = 1$ , then by Minkowski's inequality (or triangle inequality):

$$\|\alpha_1 f_1 + \alpha_2 f_2\|_p < \alpha_1 \|f_1\|_p + \alpha_2 \|f_2\|_p = 1.$$

The equality for  $1 < p < \infty$  if and only if  $f_1$  and  $f_2$  are **positively linearly dependent**, that is,  $f_1 = \lambda f_2$  for some  $\lambda \geq 0$  or  $f_2 = 0$ . This is impossible since  $f_1 \neq f_2$  and  $\|f_1\| = \|f_2\| = 1$ . □

**Remark 2.1.** Both  $L_1[a, b]$  and  $L_\infty[a, b]$  are **not** strictly convex. Since their triangle inequalities have no iff condition to achieve equal sign. For example, in  $L_1[0, 1]$ ,  $f_1(x) = 2x$ ,  $f_2(x) = 3x^2$ , it is obvious that  $f_1$  and  $f_2$  are linearly independent but

$$\|\alpha_1 f_1 + \alpha_2 f_2\|_1 = \alpha_1 \|f_1\|_1 + \alpha_2 \|f_2\|_1 = 1.$$

Similarly, in  $L_\infty[0, 1]$ ,  $f_1(x) = x$ ,  $f_2(x) = x^2$  are linearly independent but

$$\|\alpha_1 f_1 + \alpha_2 f_2\|_\infty = \alpha_1 \|f_1\|_\infty + \alpha_2 \|f_2\|_\infty = 1.$$

**Remark 2.2.** When we consider in  $\mathbb{R}^2$ , the strictly convex property for  $L_p$  is **visualizable**. Let  $\mathbf{x} = [x_1, x_2]$ .

In the next, we consider the uniqueness of  $L_1$  and  $L_\infty$  best approximation.

**AuxThm 2.3.** Let  $X = C[a, b]$ . If  $X_n \subset X$  satisfies the **Haar condition**, which is characterized by:

Let  $\{\phi_i(x)\}_{i=1}^n$  be any basis of  $X_n$ . Then for any set of distinct points  $\{\xi_i\}_{i=1}^n \subset [a, b]$ ,

$$\begin{bmatrix} \phi_1(\xi_1) & \cdots & \phi_1(\xi_n) \\ \vdots & & \vdots \\ \phi_n(\xi_1) & \cdots & \phi_n(\xi_n) \end{bmatrix} \text{ is non-singular.}$$

then for any  $f \in X$ , there is just one  $L_1$  (or  $L_\infty$ ) best approximation to  $f$  from  $X_n$ .

*Proof.* The  $L_1$  best approximation, see [Powell (1981), Theorem 14.3, p.170], while  $L_\infty$  best approximation, see [Powell (1981), Theorem 7.6, p.80]. Note that the  $L_\infty$  norm on  $C[a, b]$  is maximum norm, then the  $L_\infty$  best approximation on  $C[a, b]$  is the minimax problem.  $\square$

**Remark 2.3.** *Why restrict  $X$  to  $C[a, b]$ ?*

*Partly because the best approximations are characterized by equioscillation properties of error functions.*

In numerical analysis, we take care most of  $L_2$  or  $L_\infty$  approximation. What about other cases?

## 2.2 Error of approximation for monomial $x^r$

This is the part of Sec. 2 of Chapter 11 in [Lorentz (1996)].

**Plan of this part:**

- Proves  $E(x^r, \Lambda)_2$  (Eq. (2.1)) and  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_2[0, 1]$ ;
- Proves  $E(x^r, \Lambda)_\infty$  (Eq. (2.2)) and  $\mathcal{M}(\Lambda_\infty)$  is dense in  $C[0, 1]$ ;
- Proves  $E(x^r, \Lambda)_p$  ( $2 < p < \infty$ ) (Theorem 2.2), and  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_p[0, 1]$ ;

Only consider the case  $2 \leq p \leq \infty$ . The density properties we will prove later are included in Theorem 1.1.

### 2.2.1 Case 1: $p = 2$ .

Our goal is to prove (2.1) in [Lorentz (1996)], which is stated as following theorem:

**AuxThm 2.4** (see also Theorem 5.4 in [Lorentz (1993)]). *For  $r > -1/2$ ,  $\Lambda = \{\lambda_0, \lambda_1, \dots, \lambda_n\}$  with  $\lambda_k > -1/2$ ,  $k = 0, 1, \dots, n$ , we have*

$$E(x^r, \Lambda)_2 = \frac{1}{\sqrt{2r+1}} \prod_{k=0}^n \frac{|r - \lambda_k|}{|r + \lambda_k + 1|}.$$

To prove this, we require some preliminaries.

#### Preliminaries.

In a **real** Hilbert space  $(H, (\cdot, \cdot))$  with its norm induced by  $\|f\| = \sqrt{(f, f)}$ , let  $f_1, \dots, f_n \in H$  be linearly independent elements, and let  $X_n := \text{span}\{f_1, \dots, f_n\}$ .

**AuxThm 2.5.** *For  $g \in H$ , there is a **unique**  $f \in X_n$  such that*

$$\|g - f\| = \inf_{p \in X_n} \|g - p\|.$$

*Proof.* **Existence** is obvious. **Uniqueness** follows from that Hilbert space is strictly convex, see details.

Another more straight way to show the uniqueness is to employ the **parallelogram formula**. For this purpose, we suppose that  $f_1$  and  $f_2$  are the best approximations such that

$$\|g - f_1\| = \|g - f_2\| = \inf_{p \in X_n} \|g - p\|.$$

Then by  $\|x + y\|^2 + \|x - y\|^2 = 2\|x\|^2 + 2\|y\|^2$ , we have

$$\begin{aligned}\|f_1 - f_2\| &= \|f_1 - g + g - f_2\| = 2\|f_1 - g\|^2 + 2\|g - f_2\|^2 - \|2g - f_1 - f_2\|^2 \\ &= 2\|f_1 - g\|^2 + 2\|g - f_2\|^2 - 4\left\|g - \frac{f_1 + f_2}{2}\right\|^2 \leq 0.\end{aligned}$$

The last inequality is due to

$$\left\|g - \frac{f_1 + f_2}{2}\right\|^2 \geq \inf_{p \in X_n} \|g - p\|^2.$$

□

We call  $f$  the best approximation of  $g$  from  $X_n$  in  $H$ .

**AuxCor 2.1.** Let  $f$  be the best approximation of  $g$ , then it is equivalent to orthogonal projection:

$$(g - f, p) = 0, \quad \forall p \in X_n.$$

*Proof.* ( $\Leftarrow$ ) Let  $f$  be the orthogonal projection of  $g$  onto  $X_n$ , i.e.,  $(g - f, p) = 0, \forall p \in X_n$ . Then

$$\|g - p\|^2 = \|g - f + f - p\|^2 = \|g - f\|^2 + \|f - p\|^2 \geq \|g - f\|^2.$$

Then  $f$  is the best approximation.

( $\Rightarrow$ ) Let  $f$  satisfy

$$\|g - f\| = \inf_{p \in X_n} \|g - p\|.$$

For any  $p \in X_n$ , let

$$h(t) = \|g - (f + tp)\|^2 = \|g - f\|^2 - 2t(g - f, p) + t^2\|p\|^2.$$

Since  $h(t)$  achieves its minimum at  $t = 0$ , then  $h'(0) = 0$ . Then

$$(g - f, p) = 0.$$

□

**AuxLem 2.2.** The distance of best approximation  $d := \inf_{p \in X_n} \|g - p\|$  is given by

$$d^2 = \frac{G(g, f_1, \dots, f_n)}{G(f_1, \dots, f_n)},$$

where  $G$  is the Gram determinant

$$G(f_1, \dots, f_n) = \begin{vmatrix} (f_1, f_1) & \cdots & (f_1, f_n) \\ \vdots & & \vdots \\ (f_n, f_1) & \cdots & (f_n, f_n) \end{vmatrix}.$$

*Proof.* The best approximation  $f \in X_n$  to  $g$  satisfies

$$(g - f, p) = 0, \quad \forall p \in X_n.$$

Now we suppose that  $f = \sum_{i=1}^n a_i f_i$ , then

$$\sum_{i=1}^n a_i (f_i, f_k) = (g, f_k), \quad k = 1, 2, \dots, n. \quad (1)$$

On the other hand, since  $(g - f, f) = 0$ ,  $d^2 = (g - f, g - f) = (g, g - f) = (g, g) - (g, f)$ , we have

$$\sum_{i=0}^n a_i(g, f_i) = (g, g) - d^2. \quad (2)$$

Hence combining (1) with (2) we have

$$\begin{bmatrix} 1 & (g, f_1) & \cdots & (g, f_n) \\ 0 & (f_1, f_1) & \cdots & (f_n, f_1) \\ \vdots & \vdots & & \vdots \\ 0 & (f_1, f_n) & \cdots & (f_n, f_n) \end{bmatrix} \begin{bmatrix} d^2 \\ a_1 \\ \vdots \\ a_n \end{bmatrix} = \begin{bmatrix} (g, g) \\ (g, f_1) \\ \vdots \\ (g, f_n) \end{bmatrix},$$

by Cramer's rule,

$$d^2 = \frac{G(g, f_1, \dots, f_n)}{G(f_1, \dots, f_n)}.$$

□

**Remark 2.4.**  $G(f_1, \dots, f_n) \neq 0$  if and only if  $f_1, \dots, f_n$  are linearly independent.

**Remark 2.5.** *AuxThm 2.5, AuxCor 2.1, and AuxLem 2.2 provide a **general framework** to compute error estimation of best approximation in a Hilbert space. It is easy to check that by replacing  $(\cdot, \cdot)$  with  $(\cdot, \cdot)_{x^\beta}$ , the error estimation can be obtained directly.*

**AuxLem 2.3** (Cauchy's determinant). *For real numbers  $a_i$  and  $b_k$  that satisfy  $a_i + b_k \neq 0$ ,  $1 \leq i, k \leq n$ , we have*

$$\begin{vmatrix} \frac{1}{a_1+b_1} & \cdots & \frac{1}{a_1+b_n} \\ \vdots & & \vdots \\ \frac{1}{a_n+b_1} & \cdots & \frac{1}{a_n+b_n} \end{vmatrix} = \frac{\prod_{n \geq i > k \geq 1} (a_i - a_k)(b_i - b_k)}{\prod_{1 \leq i, k \leq n} (a_i + b_k)}.$$

*Proof.* We denote the  $D(n) = \det[1/(a_i + b_k)]_{1 \leq i, k \leq n}$ . We **subtract the last row of  $D(n)$  from each of the other rows**, we can factor out from  $D(n)$  by  $1, \dots, n-1$  rows and  $1, \dots, n$  columns

$$D(n) = \begin{vmatrix} \frac{1}{a_1+b_1} & \cdots & \frac{1}{a_1+b_n} \\ \vdots & & \vdots \\ \frac{1}{a_{n-1}+b_1} & \cdots & \frac{1}{a_{n-1}+b_n} \\ 1 & \cdots & 1 \end{vmatrix} \cdot \frac{\prod_{i=1}^{n-1} (a_n - a_i)}{\prod_{i=1}^n (a_n + b_k)}.$$

Next we **subtract the last column from each of the other columns**, and extract the factors by  $1, \dots, n-1$  rows and  $1, \dots, n-1$  columns

$$D(n) = \begin{vmatrix} \frac{1}{a_1+b_1} & \cdots & \frac{1}{a_1+b_{n-1}} & \frac{1}{a_1+b_n} \\ \vdots & & \vdots & \vdots \\ \frac{1}{a_{n-1}+b_1} & \cdots & \frac{1}{a_{n-1}+b_{n-1}} & \frac{1}{a_{n-1}+b_n} \\ 0 & \cdots & 0 & 1 \end{vmatrix} \cdot \frac{\prod_{i=1}^{n-1} (a_n - a_i)}{\prod_{i=1}^n (a_n + b_k)} \cdot \frac{\prod_{k=1}^{n-1} (b_n - b_k)}{\prod_{i=1}^{n-1} (a_i + b_n)}.$$

Therefore

$$D(n) = D(n-1) \cdot \frac{\prod_{k=1}^{n-1} (a_n - a_k)(b_n - b_k)}{(a_n + b_n) \prod_{k=1}^{n-1} (a_k + b_n)(a_n + b_k)},$$

by the induction we complete the proof. □

Now it is time to prove *AuxThm 2.4*.

*Proof of AuxThm 2.4.* Note that for  $\lambda, \mu > -1/2$ , we have

$$(x^\lambda, x^\mu)_{L_2(0,1)} = \frac{1}{\lambda + \mu + 1}.$$

Then the theorem follows from

$$G(x^{\lambda_0}, \dots, x^{\lambda_n}) = \frac{\prod_{n \geq i > k \geq 0} (\lambda_i - \lambda_k)^2}{\prod_{i=0}^n \prod_{k=0}^n (\lambda_i + \lambda_k + 1)},$$

and

$$G(x^r, x^{\lambda_0}, \dots, x^{\lambda_n}) = G(x^{\lambda_0}, \dots, x^{\lambda_n}) \cdot \frac{\prod_{k=0}^n (r - \lambda_k)^2}{(2r + 1) \prod_{k=0}^n (r + \lambda_k + 1)^2}.$$

□

In the next, we consider the dense property for  $L_2$ .

**Remark 2.6.** We can show that when  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  with  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ ,  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_2(0,1)$  if and only if  $\sum_{k=1}^\infty \lambda_k^{-1} = \infty$ . This condition is actually sufficient and Necessary, when the  $\Lambda_\infty$  is replaced with  $\lambda_0 \geq 0$  and  $\{\lambda_k\}_{k=1}^\infty$  with  $\inf_{k \geq 1} \{\lambda_k\} > 0$ , but its proof requires some techniques and discussions in the cases when cluster points appear (see [Borwein (1995), Sec. 4.2] or [Almira (2007), Sec. 3.1]).

For simplicity, we follow the convention of this book and prove the original Müntz' theorem, which requires the condition  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ .

**Theorem** (Theorem 1.1 in [Lorentz (1996)]). Let  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  and  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Then  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_p[0,1]$  ( $1 \leq p < \infty$ ) or  $C[0,1]$  ( $p = \infty$ ) if and only if  $\sum_{k=1}^\infty \lambda_k^{-1} = \infty$ .

**Theorem** (Part of Theorem 1.1). Let  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  and  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Then  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_2[0,1]$  if and only if  $\sum_{k=1}^\infty \lambda_k^{-1} = \infty$ .

We will see in Remark 2.8 later that  $\lambda_0 = 0$  can be removed, i.e.,  $\Lambda_\infty$  can be replaced with

$$\Lambda_\infty = \{0 \leq \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}.$$

For the proof of this theorem, we require the following lemma in Remark 2.7.

**Remark 2.7.** Let  $a_k > -1$ , the convergence or divergence of infinity product can be related to infinity sum:

- $\prod_k (1 + a_k)$  converges if and only if  $\sum_k \log(1 + a_k)$  converges.
- $\prod_k (1 + a_k)$  diverges to 0 (or  $+\infty$ ) if and only if  $\sum_k \log(1 + a_k)$  diverges to  $-\infty$  (or  $+\infty$ ).

*Proof.* We note that the space of algebraic polynomials  $\mathbb{P}$  is dense in  $L_2[0,1]$  that indeed follows from the Weierstrass' Theorem (it tells  $\mathbb{P}$  dense in  $C[0,1]$ ), and the fact  $C[0,1]$  is dense in  $L_2[0,1]$  (it can be simply proven by Fourier series and Parseval's theorem, see details).

For any  $f \in L_2[0,1]$ ,  $\forall \varepsilon > 0$ , there exists  $g \in C[0,1]$  s.t.  $\|f - g\|_2 < \varepsilon/2$ . For  $g$ , there exists  $p \in \mathbb{P}$  s.t.  $\|g - p\|_\infty < \varepsilon/2$ . Then

$$\|f - p\|_2 \leq \|f - g\|_2 + \|g - p\|_2 \leq \|f - g\|_2 + \|g - p\|_\infty < \varepsilon.$$

Thus we only need to show  $\mathcal{M}(\Lambda_\infty)$  is dense in  $\mathbb{P}$  under the  $L_2[0, 1]$  norm, i.e, let  $p \in \mathbb{P}$ ,  $\forall \varepsilon > 0$ , there exist  $M \in \mathcal{M}(\Lambda_\infty)$  such that  $\|p - M\|_{L_2[0,1]} < \varepsilon$ .

It is sufficient to show that

$$\forall r \in \mathbb{N} = \{0, 1, 2, \dots\}, \lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 = 0 \iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k} = \infty.$$

" $\Leftarrow$ " **Sufficiency.** Suppose that  $\sum_{n=1}^{\infty} \lambda_n^{-1} = \infty$  and  $r \in \mathbb{N} \setminus \Lambda_\infty$ . If  $r \in \Lambda_\infty$ , the conclusion is clear. Note that  $0 \in \Lambda_\infty$ , thus  $r \geq 1$ . There exists an index  $k_0$  s.t.  $\lambda_k > r$  whenever  $k \geq k_0$ . Then

$$\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 = \frac{1}{\sqrt{2r+1}} \frac{\prod_{k=0}^{\infty} |r - \lambda_k|}{\prod_{k=0}^{\infty} |r + \lambda_k + 1|} = C(r, k_0) \frac{\prod_{k=k_0}^{\infty} \left(1 - \frac{r}{\lambda_k}\right)}{\prod_{k=k_0}^{\infty} \left(1 + \frac{r+1}{\lambda_k}\right)},$$

where

$$C(r, k_0) = \frac{1}{\sqrt{2r+1}} \prod_{k=0}^{k_0-1} \frac{|r - \lambda_k|}{|r + \lambda_k + 1|}.$$

Denote

$$S_1 = \sum_{k=k_0}^{\infty} \log \left(1 - \frac{r}{\lambda_k}\right), \quad S_2 = \sum_{k=k_0}^{\infty} \log \left(1 + \frac{r+1}{\lambda_k}\right).$$

Then  $S_1$  diverges to  $-\infty$  (or the positive series  $-S_1$  diverges to  $+\infty$ ), if and only if the positive series

$$\sum_{k=k_0}^{\infty} \frac{r}{\lambda_k} = +\infty. \quad \text{since} \quad \lim_{k \rightarrow \infty} \frac{-\log \left(1 - \frac{r}{\lambda_k}\right)}{\frac{r}{\lambda_k}} = 1.$$

Similarly,  $S_2$ , a positive series, diverges to  $\infty$  if and only if the positive series

$$\sum_{k=k_0}^{\infty} \frac{r+1}{\lambda_k} = \infty. \quad \text{since} \quad \lim_{k \rightarrow \infty} \frac{\log \left(1 + \frac{r+1}{\lambda_k}\right)}{\frac{r+1}{\lambda_k}} = 1.$$

Then  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 = 0$  is obtained.

" $\Rightarrow$ " **Necessity.** Otherwise, we suppose that  $\sum_{k=1}^{\infty} \lambda_k^{-1} < \infty$ . Then  $S_1$  converges to a value ( $\neq 0$ ), and  $S_2$  converges to a value ( $\neq 0$ ). Hence  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 \neq 0$  is obtained, leading to a contradiction.

□

**Remark 2.8.** The value  $\lambda_0 = 0$  can be removed. In fact, let  $\Lambda_\infty = \{0 < \lambda_1 < \dots < \lambda_n < \dots\}$  with  $\lim_{n \rightarrow \infty} \lambda_n = +\infty$ ,

$$\begin{aligned} \lim_{n \rightarrow \infty} E(1, \Lambda_n)_2 = 0 &\iff \prod_{k=1}^{\infty} \left(1 - \frac{1}{\lambda_k + 1}\right) = 0 \iff \sum_{k=1}^{\infty} \log \left(1 - \frac{1}{\lambda_k + 1}\right) = -\infty \\ &\iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k + 1} = +\infty \iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k} = +\infty. \end{aligned}$$



### 2.2.2 Case 2: $p = \infty$ .

In this case, we prove it when the sequences are strictly greater than 0.

In this case, it is obvious that

$$E(x^r, \Lambda) \leq E(x^r, \Lambda \setminus \{0\}), \quad r > 0.$$

Our goal is to prove the (2.2) in [Lorentz (1996)], which is stated as following theorem:

**AuxThm 2.6** (Theorem 5.5 in [Lorentz (1993)]). For  $r > 0$ ,  $\Lambda = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$  with  $\lambda_k > 0$ ,  $k = 1, \dots, n$ , we have

$$E(x^r, \Lambda)_\infty \leq \prod_{k=1}^n \frac{|r - \lambda_k|}{r + \lambda_k}. \quad (3)$$

The main idea to prove this theorem is to employ Theorem 2.4. To achieve this, a straightway is to construct the integral representation with respect to  $E(x^r, \Lambda)_\infty$  and apply Cauchy-Schwarz inequality.

*Proof.* For any  $M > 0$ ,  $M$  will be determined later, we put  $\bar{r} = Mr$  and  $\mu_k = M\lambda_k$ . For any coefficients  $c_k \in \mathbb{R}$ , we set

$$b_k = \frac{\bar{r} + 1/2}{\mu_k + 1/2} c_k, \quad k = 1, 2, \dots, n,$$

and obtain

$$x^{\bar{r}+1/2} - \sum_{k=1}^n b_k x^{\mu_k+1/2} = \left(\bar{r} + \frac{1}{2}\right) \int_0^x \left[ t^{\bar{r}-1/2} - \sum_{k=1}^n c_k t^{\mu_k-1/2} \right] dt. \quad (4)$$

Since  $\mu_k - 1/2 > -1/2$ ,  $k = 1, \dots, n$ , by AuxThm 2.4 we can select  $c_k$  to satisfy

$$\left\| t^{\bar{r}-1/2} - \sum_{k=1}^n c_k t^{\mu_k-1/2} \right\|_{L^2(0,1)} = \frac{1}{\sqrt{2\bar{r}}} \prod_{k=1}^n \frac{|\bar{r} - \mu_k|}{\bar{r} + \mu_k}.$$

Then by Cauchy-Schwarz inequality and (4), we have  $\forall x \in [0, 1]$  and  $M > 0$

$$\left| x^{\bar{r}+1/2} - \sum_{k=1}^n b_k x^{\mu_k+1/2} \right| \leq \left(\bar{r} + \frac{1}{2}\right) \sqrt{x} \left\| t^{\bar{r}-1/2} - \sum_{k=1}^n c_k t^{\mu_k-1/2} \right\|_{L^2(0,1)},$$

which leads to

$$\left| x^{Mr} - \sum_{k=1}^n b_k x^{M\lambda_k} \right| \leq \frac{Mr + 1/2}{\sqrt{2Mr}} \prod_{k=1}^n \frac{|r - \lambda_k|}{r + \lambda_k}. \quad (5)$$

By choosing  $M = 1/(2r)$  and taking the transform  $u = x^{1/(2r)}$  on (5), we have  $\forall u \in [0, 1]$

$$\left| u^r - \sum_{k=1}^n b_k u^{\lambda_k} \right| \leq \prod_{k=1}^n \frac{|r - \lambda_k|}{r + \lambda_k},$$

which give rise to (3). □

**Theorem** (Part of Theorem 1.1). Let  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  and  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Then  $\mathcal{M}(\Lambda_\infty)$  is dense in  $C[0, 1]$  if and only if  $\sum_{k=1}^\infty \lambda_k^{-1} = \infty$ .

$\lambda_0 = 0$  must be included in  $\Lambda_\infty$ .

*Proof.* We note that  $\mathbb{P}$  is dense in  $C[0, 1]$  (by the Weierstrass Theorem). Thus it is only needed to show that  $\mathcal{M}(\Lambda_\infty)$  is dense in  $\mathbb{P}$  under the  $\|\cdot\|_\infty$ -norm, i.e., let  $p \in \mathbb{P}$ ,  $\forall \varepsilon > 0$ , there exists  $M \in \mathcal{M}(\Lambda_\infty)$  such that  $\|p - M\|_\infty < \varepsilon$ .

It is sufficient to show that

$$\forall r \in \mathbb{N} = \{0, 1, 2, \dots\}, \quad \lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_\infty = 0 \iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k} = \infty.$$

" $\Leftarrow$ " **Sufficiency.** Suppose that  $\sum_{n=1}^{\infty} \lambda_n^{-1} = \infty$  and  $r \in \mathbb{N} \setminus \Lambda_\infty$ . If  $r \in \Lambda_\infty$ , the conclusion is clear. Note that  $0 \in \Lambda_\infty$ , thus  $r \geq 1$ . There exists an index  $k_0$  s.t.  $\lambda_k > r$  whenever  $k \geq k_0$ . Then

$$\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_\infty \leq \prod_{k=1}^{\infty} \frac{|r - \lambda_k|}{r + \lambda_k} = C(r, k_0) \frac{\prod_{k=k_0}^{\infty} \left(1 - \frac{r}{\lambda_k}\right)}{\prod_{k=k_0}^{\infty} \left(1 + \frac{r}{\lambda_k}\right)},$$

where

$$C(r, k_0) = \prod_{k=1}^{k_0-1} \frac{|r - \lambda_k|}{r + \lambda_k}.$$

Denote

$$S_1 = \sum_{k=k_0}^{\infty} \log \left(1 - \frac{r}{\lambda_k}\right), \quad S_2 = \sum_{k=k_0}^{\infty} \log \left(1 + \frac{r}{\lambda_k}\right).$$

Then  $S_1$  diverges to  $-\infty$  and  $S_2$  diverges to  $+\infty$ , leading to obtain  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_\infty = 0$ .

" $\Rightarrow$ " **Necessity.** Note that

$$E(x^r, \Lambda_n)_\infty \geq E(x^r, \Lambda_n)_2.$$

Then  $\forall r \in \mathbb{N}$ ,  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_\infty = 0$  gives rise to  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 = 0$ , which leads to  $\sum_{k=1}^{\infty} \lambda_k^{-1} = \infty$ .  $\square$

**Remark 2.9.** The value  $\lambda_0 = 0$  in  $\Lambda_\infty$  *can not* be removed. Otherwise, from the proof above

$$\lim_{n \rightarrow \infty} E(1, \Lambda_n)_\infty \leq 1$$

can not give a sufficiency. Moreover, if  $\lambda_0 > 0$ , then  $\mathcal{M}(\Lambda_n)$  does not satisfy Haar condition, and hence there is no uniqueness of best approximation.

### 2.2.3 Case 3: $2 < p < \infty$ .

Our goal is to prove the [Theorem 2.2](#) in [\[Lorentz \(1996\)\]](#).

To prove this case, we construct the "inverse inequality" first.

**Lemma 2.1** ([Lemma 2.1](#) in [\[Lorentz \(1996\)\]](#)). Let  $1 \leq q < p < \infty$  and let  $-\frac{1}{q} < \ell_0 < \ell_1 < \dots < \ell_n$ . For arbitrary real numbers  $a_0, a_1, \dots, a_n$  and

$$b_k := \frac{1 + \ell_k + \frac{1}{p}}{1 + \frac{1}{p}} a_k, \quad 0 \leq k \leq n,$$

we have

$$\left\| x^{\frac{1}{q} - \frac{1}{p}} - \sum_{k=0}^n a_k x^{\ell_k + \frac{1}{q} - \frac{1}{p}} \right\|_p \leq \left(1 + \frac{1}{p}\right) \left\| 1 - \sum_{k=0}^n b_k x^{\ell_k} \right\|_q. \quad (2.3)$$

Note that  $0 < \frac{1}{q} - \frac{1}{p} < \frac{1}{q} \leq 1$ .

*Proof.* Let us denote  $K := 1 + \frac{1}{p}$  and for  $0 < x \leq 1$

$$Q(x) := \sum_{k=0}^n b_k x^{\ell_k}, \quad h(x) := x^{\frac{1}{p}}(1 - Q(x)),$$

$$g(x) := Kx^{\frac{1}{q}-1-\frac{2}{p}} \int_0^x h(t) dt.$$

One easily verifies that  $g$  is the function on the left hand side of (2.3). Our goal is to show

$$\|g\|_p \leq K \|1 - Q(x)\|_q.$$

To achieve this goal, we employ Hölder type inequality.

Hölder inequality: For any  $1 \leq p, q \leq \infty$  that satisfy  $\frac{1}{p} + \frac{1}{q} = 1$ . Suppose that  $f \in L_p(\Omega)$ ,  $g \in L_q(\Omega)$  and  $fg \in L_1(\Omega)$ , then

$$\|fg\|_{L_1(\Omega)} \leq \|f\|_{L_p(\Omega)} \|g\|_{L_q(\Omega)}.$$

Firstly, by Hölder's inequality, we have for  $0 < x \leq 1$ ,

$$|g(x)| \leq Kx^{\frac{1}{q}-1-\frac{2}{p}} \int_0^x |h(t)| dt \leq Kx^{\frac{1}{q}-1-\frac{2}{p}} \left( \int_0^x 1 dt \right)^{1-\frac{1}{q}} \left( \int_0^x |h(t)|^q dt \right)^{\frac{1}{q}}$$

$$= Kx^{-\frac{2}{p}} \left( \int_0^x |h(t)|^q dt \right)^{\frac{1}{q}} =: K \left( \int_0^1 F(x, t) dt \right)^{\frac{1}{q}}$$

where

$$F(x, t) := \begin{cases} x^{-\frac{2q}{p}} |h(t)|^q, & \text{if } 0 \leq t < x, \\ 0, & \text{otherwise.} \end{cases} \quad \text{Note that } F(x, t) \in [0, 1] \times [0, 1].$$

Hölder-Minkowski inequality (see [Bahouri (2011), p.4]) states:

Let  $(X_1, \mu_1)$  and  $(X_2, \mu_2)$  be two measure spaces and  $f$  be a nonnegative measurable function over  $X_1 \times X_2$ . For all  $1 \leq q \leq p \leq \infty$ , we have

$$\left\| \|f(x_1, \cdot)\|_{L_q(X_2, \mu_2)} \right\|_{L_p(X_1, \mu_1)} \leq \left\| \|f(\cdot, x_2)\|_{L_p(X_1, \mu_1)} \right\|_{L_q(X_2, \mu_2)}.$$

Then by Hölder-Minkowski inequality, we have

$$\begin{aligned} \|g\|_p &\leq K \left[ \int_0^1 \left( \int_0^1 F(x, t) dt \right)^{\frac{p}{q}} dx \right]^{\frac{1}{p}} = K \left\| \|F(x, \cdot)^{\frac{1}{q}}\|_q \right\|_p \\ &\leq K \left\| \|F(\cdot, t)^{\frac{1}{q}}\|_p \right\|_q = K \left[ \int_0^1 \left( \int_0^1 F(x, t)^{\frac{p}{q}} dx \right)^{\frac{q}{p}} dt \right]^{\frac{1}{q}} \\ &= K \left[ \int_0^1 \left( \int_t^1 x^{-2} |h(t)|^p dx \right)^{\frac{q}{p}} dt \right]^{\frac{1}{q}} \\ &= K \left[ \int_0^1 |h(t)|^q \left( \frac{1}{t} - 1 \right)^{\frac{q}{p}} dt \right]^{\frac{1}{q}} \leq K \left[ \int_0^1 |h(t)|^q \left( \frac{1}{t} \right)^{\frac{q}{p}} dt \right]^{\frac{1}{q}} \\ &= K \left[ \int_0^1 |t^{-\frac{1}{p}} h(t)|^q dt \right]^{\frac{1}{q}} = K \left[ \int_0^1 |1 - Q(t)|^q dt \right]^{\frac{1}{q}}. \end{aligned}$$

where the inequality holds since  $0 < \frac{q}{p} < 1$  and  $0 < \frac{1}{t} - 1 < \infty$  and

$$\left(\frac{1}{t} - 1\right)^{\frac{q}{p}} \leq \left(\frac{1}{t}\right)^{\frac{q}{p}}.$$

□

**Remark 2.10.** Note that  $q < p$ , so Lemma 2.1 is a kind of "Inverse Inequality": Higher regularity norm bounded by lower regularity norm.

**Theorem 2.2** (Theorem 2.2 in [Lorentz (1996)]). Let  $\Lambda = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n\}$ . For  $2 < p < \infty$  and  $r > -\frac{1}{p}$ , we have

$$E(x^r, \Lambda)_p \leq \frac{1 + 1/p}{(2r + 2/p)^{1/p}} \prod_{k=0}^n \frac{|r - \lambda_k|}{r + \lambda_k + 2/p}. \quad (2.4)$$

The main idea to prove this is to employ Lemma 2.1 and Theorem 2.4.

*Proof.* To prove (2.4), our goal is to employ Lemma 2.1 and construct the formula

$$E(x^r, \Lambda)_p \leq \left\| x^{\frac{1}{2} - \frac{1}{p}} - \sum_{k=0}^n a_k x^{\ell_k + \frac{1}{2} - \frac{1}{p}} \right\|_p, \quad \text{for some } \ell_k > -\frac{1}{2} \text{ and } a_k.$$

To achieve this, for any  $a_k$ ,  $0 \leq k \leq n$ , which will be determined later, we have

$$E(x^r, \Lambda)_p \leq \|x^r - \sum_{k=0}^n a_k x^{\lambda_k}\|_p = \left[ \int_0^1 \left( x^r - \sum_{k=0}^n a_k x^{\lambda_k} \right)^p dx \right]^{\frac{1}{p}}.$$

By a variable transform  $x = y^\rho$ ,  $\rho > 0$ , which is invariant under the interval  $[0, 1]$  and  $\rho$  will be determined later, we have

$$\begin{aligned} E(x^r, \Lambda)_p &\leq \left[ \int_0^1 \left( y^{\rho r} - \sum_{k=0}^n a_k y^{\rho \lambda_k} \right)^p \rho y^{\rho-1} dy \right]^{\frac{1}{p}} \\ &= \rho^{\frac{1}{p}} \left\| y^{\rho r + \frac{\rho}{p} - \frac{1}{p}} - \sum_{k=0}^n a_k y^{\rho \lambda_k + \frac{\rho}{p} - \frac{1}{p}} \right\|_p. \end{aligned}$$

Let  $\rho r + \frac{\rho}{p} = \frac{1}{2}$ , we obtain  $\rho = \frac{p}{2(pr+1)}$ . Let  $\ell_k = \frac{p(\lambda_k - r)}{2(pr+1)} > -\frac{1}{2}$ , it is easy to examine that  $\ell_k + 1/2 > 0$ , by Lemma 2.1, we have

$$\begin{aligned} E(x^r, \Lambda)_p &\leq \left( \frac{p}{2(pr+1)} \right)^{\frac{1}{p}} \left\| y^{\frac{1}{2} - \frac{1}{p}} - \sum_{k=0}^n a_k y^{\ell_k + \frac{1}{2} - \frac{1}{p}} \right\|_p \\ &\leq \left( \frac{p}{2(pr+1)} \right)^{\frac{1}{p}} \left( 1 + \frac{1}{p} \right) \left\| 1 - \sum_{k=0}^n b_k y^{\ell_k} \right\|_2. \end{aligned} \quad (6)$$

Since  $a_k$  is arbitrary, hence  $b_k$  is also arbitrary. Take the infimum on the right hand side of (6) over  $b_k$ , and by Theorem 2.4, we have

$$E(x^r, \Lambda)_p \leq \left( \frac{p}{2(pr+1)} \right)^{\frac{1}{p}} \left( 1 + \frac{1}{p} \right) \prod_{k=0}^n \frac{|\ell_k|}{\ell_k + 1} = \frac{1 + 1/p}{(2r + 2/p)^{1/p}} \prod_{k=0}^n \frac{|r - \lambda_k|}{r + \lambda_k + 2/p}.$$

□

**Theorem** (Part of [Theorem 1.1](#)). Let  $\Lambda_\infty = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_n < \dots\}$  and  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Then  $\mathcal{M}(\Lambda_\infty)$  is dense in  $L_p[0, 1]$ ,  $2 < p < \infty$ , if and only if  $\sum_{k=1}^{\infty} \lambda_k^{-1} = \infty$ .

$\lambda_0 = 0$  can also be removed.

*Proof.* We note that  $\mathbb{P}$  is dense in  $L_p[0, 1]$  that indeed follows from  $\mathbb{P}$  dense in  $C[0, 1]$  under  $\|\cdot\|_\infty$ -norm, and  $C[0, 1]$  dense in  $L_p[0, 1]$  under  $L_p$ -norm (see [details](#)).

For any  $f \in L_p[0, 1]$ ,  $\forall \varepsilon > 0$ , there exists  $g \in C[0, 1]$  s.t.  $\|f - g\|_p < \varepsilon/2$ . For  $g$ , there exists  $p \in \mathbb{P}$  s.t.  $\|g - p\|_\infty < \varepsilon/2$ . Then

$$\|f - p\|_p \leq \|f - g\|_p + \|g - p\|_p \leq \|f - g\|_p + \|g - p\|_\infty < \varepsilon.$$

Thus we only need to show that  $\mathcal{M}(\Lambda_\infty)$  is dense in  $\mathbb{P}$  under the  $L_p$ -norm, i.e., for  $p \in \mathbb{P}$ ,  $\forall \varepsilon > 0$ , there exists  $M \in \mathcal{M}(\Lambda_\infty)$  such that  $\|p - M\|_p < \varepsilon$ .

It is sufficient to show that

$$\forall r \in \mathbb{N} = \{0, 1, 2, \dots\}, \lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_p = 0 \iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k} = \infty.$$

" $\Leftarrow$ " **Sufficiency.** Suppose that  $\sum_{n=1}^{\infty} \lambda_n^{-1} = \infty$  and  $r \in \mathbb{N} \setminus \Lambda_\infty$ . If  $r \in \Lambda_\infty$ , the conclusion is clear. Note that  $0 \in \Lambda_\infty$ , thus  $r \geq 1$ . There exists an index  $k_0$  s.t.  $\lambda_k > r$  whenever  $k \geq k_0$ . Then

$$\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_p \leq \frac{1 + 1/p}{(2r + 2/p)^{1/p}} \frac{\prod_{k=0}^{\infty} |r - \lambda_k|}{\prod_{k=0}^{\infty} |r + \lambda_k + 2/p|} = C(r, k_0) \frac{\prod_{k=k_0}^{\infty} \left(1 - \frac{r}{\lambda_k}\right)}{\prod_{k=k_0}^{\infty} \left(1 + \frac{r+2/p}{\lambda_k}\right)},$$

where

$$C(r, k_0) = \frac{1 + 1/p}{(2r + 2/p)^{1/p}} \prod_{k=0}^{k_0-1} \frac{|r - \lambda_k|}{|r + \lambda_k + 2/p|}.$$

Denote

$$S_1 = \sum_{k=k_0}^{\infty} \log \left(1 - \frac{r}{\lambda_k}\right), \quad S_2 = \sum_{k=k_0}^{\infty} \log \left(1 + \frac{r+2/p}{\lambda_k}\right).$$

Then  $S_1$  diverges to  $-\infty$  and  $S_2$  diverges to  $+\infty$ , leading to obtain  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_p = 0$ .

" $\Rightarrow$ " **Necessity.** Note that

$$E(x^r, \Lambda_n)_p \geq E(x^r, \Lambda_n)_2.$$

Then  $\forall r \in \mathbb{N}$ ,  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_p = 0$  gives rise to  $\lim_{n \rightarrow \infty} E(x^r, \Lambda_n)_2 = 0$ , which leads to  $\sum_{k=1}^{\infty} \lambda_k^{-1} = \infty$ .  $\square$

**Remark 2.11.** The value  $\lambda_0 = 0$  can be removed. In fact, let  $\Lambda_\infty = \{0 < \lambda_1 < \dots < \lambda_n < \dots\}$  with  $\lim_{n \rightarrow \infty} \lambda_n = +\infty$ ,

$$\begin{aligned} \prod_{k=1}^{\infty} \left(1 - \frac{2/p}{\lambda_k + 2/p}\right) = 0 &\iff \sum_{k=1}^{\infty} \log \left(1 - \frac{2/p}{\lambda_k + 2/p}\right) = -\infty \\ &\iff \sum_{k=1}^{\infty} \frac{2/p}{\lambda_k + 2/p} = +\infty \iff \sum_{k=1}^{\infty} \frac{1}{\lambda_k} = +\infty. \end{aligned}$$

Then if  $\sum_{k=1}^{\infty} \lambda_k^{-1} = \infty$ , we have  $\lim_{n \rightarrow \infty} E(1, \Lambda_n)_p = 0$ . Conversely, if  $\lim_{n \rightarrow \infty} E(1, \Lambda_n)_p = 0$ , which leads to  $\lim_{n \rightarrow \infty} E(1, \Lambda_n)_2 = 0$ , we have  $\sum_{k=1}^{\infty} \lambda_k^{-1} = \infty$ .