MACH Kernel Interface Manual

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Abstract

MACH is an operating system kernel under development at Carnegie-Mellon University to support distributed and parallel computation. MACH is designed to support computing environments consisting of networks of uniprocessors and multiprocessors. This manual describes the interface to the MACH kernel in detail. The MACH system currently runs on a wide variety of uniprocessor and multiprocessor architectures.

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1. Processor allocation primitives

1.1. Concepts

The processor allocation interface supports control over processors by user-mode programs. Application areas include gang scheduling and speedup measurement; both of these require allocating a specific number of processors to an application for the exclusive use of that application.

This interface introduces three new entities in addition to those already exported by the MACH kernel:

- **processor** This corresponds to a hardware processor. Internally it corresponds to a data structure containing a local run queue. There is a distinguished processor, known as the master (until the kernelized system gets rid of it). There is a privileged port exported by the processor object to privileged servers which allows control of the physical processor.
- processor_set This is a set of processors. Internally it corresponds to a data structure containing a "global" run queue and an idle queue. There is a distinguished set known as the default set to which all tasks, threads, and processors are initially assigned. Two ports are exported by a processor_set, a name port for obtaining information about the set, and an control port for performing operations on it. Neither of these ports are privileged.
- host This represents the host. There are two ports exported by this object: a non-privileged port, host, for information queries, and a privileged port, host_priv, port that grants the right to manipulate physical resources. Other resource operations (e.g. making memory non-pageable) will be added in the future. The non-privileged port should be used to name the host.

Every processor is always assigned to exactly one processor set; a processor only executes threads that are assigned to its processor set. Every thread is always assigned to exactly one processor set, and only executes on processors assigned to that processor set¹. Each task is also assigned to a processor set, but this assignment is only for the purposes of determining the initial assignment of newly created tasks and threads; tasks inherit their initial assignment from their parent, and threads inherit their initial assignment from the task that contains them. These assignments may be changed subsequently.

The host concept is introduced to isolate authentication concerns from the processor allocation interface. The host_priv and processor ports are privileged and only available to privileged servers. The kernel only provides allocation mechanisms for processors; policy is the responsibility of the server(s). In addition the servers may understand more about the topology of the machine (e.g. clustering of processors) than the kernel (the kernel makes no distinctions among processors internally). Processor sets are not privileged, and are intended to form a basis for the interfaces exported by the privileged servers. (Note that non-privileged users cannot obtain ports for the processors assigned to user-created processor sets even though they have the processor set control port.) The use of unprivileged processor sets exported by the kernel allows users to do scheduling (i.e. task and thread assignment) directly without having any resource control privileges.

This interface will exist on all machines, even uniprocessors; a kernel configuration switch deletes the code required to support processor allocation internally and returns failure codes. On a uniprocessor, the only processor set that exists is the default processor set; its control port is privileged and not available to most users. The calls to retrieve information about the processors and the default processor set are

¹Unixtm system calls are an exception; they may force a thread to the master processor

useful even on such machines.

1.2. Functionality

This interface supports partitioning of the processing capability of a multiprocessor among applications in a fixed fashion. The granularity of this division is at the processor level. Processor sets are assembled by assigning processors to sets; a processor assigned to a set will only run threads that have been assigned to that set. Binding threads to individual processors is accomplished by creating processor sets containing exactly one processor. The master processor must always be assigned to the default set. Future versions of MACH intend to remove the need for a master processor. At that time the the default set must always be assigned at least one processor.

Binding of threads to individual processors can be achieved by assigning the threads to processor sets that contain exactly one processor. A kernel configuration switch is available to keep threads on the same processor in most situations where at most *n* threads are assigned to a processor set to which at least *n* processors are assigned.

All of the primitives except two are implemented via the ipc interface, and are therefore available over the network. This allows a long-term scheduler for a multiprocessor to reside on another machine. The two primitives that are implemented as traps are host_self and host_priv_self. The latter is only a trap pending implementation of an authorization/authentication mechanisms.

1.3. Uses

- Gang Scheduling A gang scheduler can be written externally to the kernel. The scheduler can be implemented by shuffling processors among processor sets, thus avoiding any interaction with application use of task and thread control primitives.
- Speedup Measurement A single processor set of *k* processors is sufficient to measure the performance of a parallel application on that many processors. The application may have more than *k* threads.
- User-Mode Scheduling Assigning a single processor to each of several processor sets allows user applications complete control over the threads that execute on these processors.
 In addition to the assign primitives, the existing suspend and resume primitives are of potential utility.
- Application binding Portions of an application can be bound to dedicated processors to optimize performance characteristics. Users may choose among many alternatives ranging from one processor set with all the dedicated processors and corresponding threads to one processor set for each dedicated processor.
- Load Balancing for Non-Uniform Memory Access (NUMA) Multiprocessors Assigning each cluster of processors (i.e. processors with identical memory access characteristics) to a separate processor_set allows the kernel to perform load balancing only within clusters. A user-mode load balancer can then perform load balancing across clusters. Additional primitives to make load information available efficiently to support this are under design.

It should be noted that Speedup Measurement and Application Binding of Ada applications will usually require assigning a group of k threads to n processors, where k > n > 1, for best performance. This is due to the synchronous nature of Ada's rendezvous, and is also true of applications structured using remote procedure call. This functionality is not supported by a simpler interface that can only bind threads to

dedicated processors.

host_self

```
#include <mach.h>
host_t host_self()
host_priv_t host_priv_self()
```

Description

host_self returns send rights to the host port for the host on which the call is executed. This port can only be used to obtain information about the host.

host_priv_self returns send rights to the privileged host port for the host on which the call is executed. This port is used to control physical resources on that host. It is needed for the operations that wire-down memory pagaes and the call that returns the processor ports which in turn allow control of specific processors. Currently, this port is only returned if the caller is the Unix super-user. Otherwise PORT NULL is returned.

See Also

host_processors, host_info, host_kernel_version

Notes

Availability limited. host priv self should be replaced by a real authentication mechanism.

host_processors

```
#include <mach.h>
kern_return_t host_processors(host_priv, processor_list, processor_count)
   host_priv_t host_priv;
   processor_array_t *processor_list; /* out, ptr to array */
   int *processor_count; /* out */
```

Arguments

```
host_priv Privileged host port for the desired host.

processor_list The set of processors existing on host_priv, no particular ordering is guaranteed.

processor_count
The number of threads in the processor_list.
```

Description

host_processors gets send rights to the processor port for each processor existing on host_priv. This is the privileged port that allows its holder to control a processor. processor_list is an array that is created as a result of this call. The caller may wish to vm_deallocate this array when the data is no longer needed.

Returns

See Also

```
processor_start, processor_exit, processor_info, processor_control
```

Notes

Availability limited.

host_processor_sets

Arguments

```
The host for which the list of processor sets is requested. Either the host port or the privileged host port may be used.

processor_set_list
    The set of processor_sets currently existing on host; no particular ordering is guaranteed.

processor_set_count
    The number of processor_sets in the processor_set_list.
```

Description

host_processor_sets gets send rights to the name port for each processor_set currently assigned to host. host_processor_set_priv can be used to obtain the object ports from these if desired. processor_set_list is an array that is created as a result of this call. The caller may wish to vm_deallocate this array when the data is no longer needed.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT
host is not a host.
```

Notes

Availability limited.

```
host_processor_set_priv, processor_set_create, processor_set_tasks, processor_set_threads
```

host_processor_set_priv

```
#include <mach.h>
kern_return_t host_processor_set_priv(host_priv, set_name, set);
    host_priv_t host_priv;
    processor_set_name_t set_name;
    processor_set_t *set;
```

Arguments

host_priv The privileged host port for the host on which this processor set resides.

set_name The name port for this set.

set Returns the control port for this set.

Description

This call allows a privileged application to obtain the control port for an existing processor set from its name port. The privileged host port is required.

Notes

Availability limited.

See Also

host_ports, processor_set_default, processor_set_create

host_info

```
#include <mach.h>
/* the definition of host info t from mach.h - sys/host info.h is */
typedef int
                *host info t;
                                        /* variable length array of int */
/* two interpretations of info are: */
   struct host_basic_info {
        int
                        max_cpus;
                                        /* maximum possible cpus for
                                           which kernel is configured */
                                        /* number of cpus now available */
        int
                        avail_cpus;
                    memory_size;
        vm size t
                                        /* size of memory in bytes */
                                        /* cpu type */
                       cpu_type;
        cpu type t
                                        /* cpu subtype */
        cpu_subtype_t cpu_subtype;
typedef struct host_basic_info
                                        *host_basic_info_t;
struct host_sched_info {
        int
                        min_timeout;
                                        /* minimum timeout in milliseconds */
                                        /* minimum quantum in milliseconds */
        int
                        min_quantum;
typedef struct host_sched_info *host_sched_info_t
kern_return_t host_info(host, flavor, host_info, host_infoCnt)
       host_t
                        host;
        int
                        flavor;
                                        /* in and out */
       host_info_t
                       host_info;
        unsigned int
                        *host infoCnt; /* in and out */
```

Arguments

The host for which information is to be obtained

The type of statistics that are wanted. Currently HOST_BASIC_INFO,
HOST_PROCESSOR_SLOTS, and HOST_SCHED_INFO are implemented.

Statistics about the host specified by host.

Size of the info structure. Should be HOST_BASIC_INFO_COUNT for
HOST_BASIC_INFO. Should be the max number of cpus returned by
HOST_BASIC_INFO for HOST_PROCESSOR_SLOTS. Should be
HOST_SCHED_INFO_COUNT for HOST_SCHED_INFO.

Description

Returns the selected information array for a host, as specified by flavor. host_info is an array of integers that is supplied by the caller, and filled with specified information. host_infoCnt is supplied as the maximum number of integers in host_info. On return, it contains the actual number of integers in host_info. The host may be specified by either the host port or the privileged host port.

Basic information is defined by <code>HOST_BASIC_INFO</code>. The size of this information is defined by <code>HOST_BASIC_INFO_COUNT</code>. Processor slots of the active (available) processors is defined by <code>HOST_PROCESSOR_SLOTS</code>. The size of this information should be obtained from the <code>max_cpus</code> field of the structure returned by <code>HOST_BASIC_INFO</code>. Additional information of interest to schedulers is defined by <code>HOST_SCHED_INFO</code>. The size of this information is defined by <code>HOST_SCHED_INFO_COUNT</code>.

Returns

KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

host is not a host or flavor is not recognized.

MIG_ARRAY_TOO_LARGE

Returned info array is too large for host_info. host_info is filled as much as possible. host_infoCnt is set to the number of elements that would be returned if there were enough room.

Notes

Availability limited. Systems without this call support a host_info call with an incompatible calling sequence.

See Also

host_ports, host_kernel_version, host_processors, processor_info

host_kernel_version

Arguments

host The host for which information is being requested. Either the host port or the

privileged host port may be used.

version Character string describing the kernel version executing on host.

Description

host_kernel_version returns the version string compiled into the kernel executing on host at the time it was built. This describes the version of the kernel. The constant KERNEL_VERSION_MAX should be used to dimension storage for the returned string if the kernel_version_t declaration is not used.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT
host was not a host.

KERN_INVALID_ADDRESS
version points to inaccessible memory.
```

Notes

Availability limited.

See Also

host_info, host_processors, host_ports, processor_info

processor_assign

Arguments

processor The processor to be assigned.
processor_set The processor set to assign it to.

wait Whether to wait for the assignment to complete.

assigned_set_name

Name port for processor set that processor is currently assigned to

Description

processor_assign assigns processor to the set processor_set. After the assignment is completed, the processor only executes threads that are assigned to that processor set. Any previous assignment of the processor is nullified. The master processor cannot be reassigned. All processors take clock interrupts at all times. The wait argument indicates whether the caller should wait for the assignment to be completed or should return immediately. Dedicated kernel threads are used to perform processor assignment, so setting wait to FALSE allows assignment requests to be queued and performed faster, especially if the kernel has more than one dedicated internal thread for processor assignment. Redirection of other device interrupts away from processors assigned to other than the default processor set is machine-dependent. Intermediaries that interpose on ports must be sure to interpose on both ports involved in this call if they interpose on either.

processor_get_assignment Obtains the current assignment of a processor. The name port of the processor set is returned.

Returns

```
KERN_SUCCESS The assignment has been performed.
```

KERN_INVALID_ARGUMENT

processor is not a processor, or processor_set is not a processor_set on the same host as processor.

Notes

Availability limited.

```
processor_set_create, processor_set_info, task_assign, thread_assign,
host_processor_set_priv
```

processor_control

```
#include <mach.h>
kern_return_t processor_start(processor)
    processor_t processor;

kern_return_t processor_exit(processor)
    processor_t processor;

kern_return_t processor_control(processor, cmd, count)
    processor_t processor;
    int *cmd; /* array of ints */
    int count;
```

Arguments

processor Specifies the processor.

cmd Contains the command to be applied to the processor.

count Specifies the length of the command as a number of ints.

Description

Some multiprocessors may allow privileged software to control processors. The processor_start, processor_exit, and processor_control operations implement this. The interpretation of the command in cmd is machine dependent. A newly started processor is assigned to the default processor set. An exited processor is removed from the processor set to which it was assigned and ceases to be active.

Returns

```
KERN_SUCCESS The operation was performed.

KERN_FAILURE The operation was not performed. A likely reason is that it is not supported on this processor.

KERN_INVALID_ARGUMENT processor is not a processor.

KERN_INVALID_ADDRESS data points to inaccessible memory.
```

See Also

```
processor_info, host_processors
```

Notes

Availability limited. All of these operations are machine-dependent. They may do nothing. The ability to restart an exited processor is also machine-dependent.

processor_info

```
#include <mach.h>
/* the definition of processor info t from mach.h - sys/processor info.h is */
typedef int
                *processor_info_t;
                                      /* variable length array of int */
/* one interpretation of info is */
   struct processor_basic_info {
                                         /* cpu type */
/* cpu subtype */
/* is processor running? */
/* slot number */
        cpu_type_t cpu_type;
                        cpu_subtype;
        cpu_subtype_t
        boolean_t running; int slot_num;
                        is_master;
                                         /* is this the master processor */
        boolean_t
   };
typedef struct processor_basic_info
                                                  *processor_basic_info_t;
kern return t
processor_info(processor, flavor, host, processor_info, processor_infoCnt)
        processor_t
                                 processor;
        int
                                 flavor;
        host t
                                 *host;
                               processor_info; /* in and out */
        processor_info_t
        unsigned int
                                 *processor_infoCnt; /* in and out */
```

Arguments

The processor for which information is to be obtained

flavor

The type of information that is wanted. Currently only
PROCESSOR_BASIC_INFO is implemented.

host

The host on which the processor resides. This is the non-privileged host
port.

processor_info Information about the processor specified by processor.

processor_infoCnt
Size of the info structure. Should be PROCESSOR_BASIC_INFO_COUNT for

Description

Returns the selected information array for a processor, as specified by flavor. processor_info is an array of integers that is supplied by the caller, and filled with specified information. processor_infoCnt is supplied as the maximum number of integers in processor_info. On return, it contains the actual number of integers in processor_info.

flavor PROCESSOR BASIC INFO.

Basic information is defined by PROCESSOR_BASIC_INFO. The size of this information is defined by PROCESSOR_BASIC_INFO_COUNT. Machines which require more configuration information beyond the slot number are expected to define additional (machine-dependent) flavors.

Returns

KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

processor is not a processor or flavor is not recognized.

MIG_ARRAY_TOO_LARGE

Returned info array is too large for processor_info. processor_info is filled as much as possible. processor_infoCnt is set to the number of elements that would be returned if there were enough room.

Notes

Availability limited.

See Also

processor_start, processor_exit, processor_control, host_processors
host_info

processor_set_create

```
#include <mach.h>
kern_return_t processor_set_create(host, new_set, new_name)
    host_t host;
    processor_set_t *new_set;
    processor_set_name_t *new_name;
```

Arguments

host The host on which the new set is to be created. Either the host port or the

privileged host port may be used.

new_set Port used for performing operations on the new set.

new_name Port used to identify the new set and obtain information about it.

Description

processor_set_create creates a new processor set and returns the two ports associated with it. The port returned in new_set is the actual port representing the set. It is used to perform operations such as assigning processors, tasks, or threads. The port returned in new_name identifies the set, and is used to obtain information about the set.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT host was not a host.

KERN_INVALID_ADDRESS new_set and/or new_name point to inaccessible memory.

KERN_FAILURE The operating system does not support processor allocation.
```

Notes

Availability limited.

```
processor_set_destroy, processor_set_info, processor_assign, task_assign,
thread_assign
```

processor_set_default

```
#include <mach.h>
kern_return_t processor_set_default(host, default_set);
    host_t host;
    processor_set_t *default_set;
```

Arguments

host Specifies the host whose default processor set is requested. Either the host

port or the privileged host port may be used.

default_set Returns the name port for the default processor set.

Description

The default processor set is used by all threads, tasks, and processors that are not explicitly assigned to other sets. processor_set_default returns a port that can be used to obtain information about this set (e.g. how many threads are assigned to it). This port cannot be used to perform operations on that set.

Notes

Availability limited.

See Also

processor_set_info, thread_assign, task_assign

processor_set_destroy

```
#include <mach.h>
```

Arguments

processor_set Specifies the processor_set to be exited.

Description

Destroys the specified processor set. Any assigned processors, tasks, or threads are reassigned to the default set. The object port for the processor set is required (not the name port). The default processor set cannot be destroyed.

Returns

KERN_SUCCESS The set was destroyed.

KERN_FAILURE An attempt was made to destroy the default processor set, or the operating

system does not support processor allocation.

KERN_INVALID_ARGUMENT

processor_set is not a processor set.

Notes

Availability limited.

See Also

processor_set_create, processor_assign, task_assign, thread_assign

processor_set_info

```
#include <mach.h>
/* the definition of processor set info from mach/processor info.h */
typedef int
                *processor_set_info_t; /* variable length array of int */
/* one interpretation of info is */
   struct processor_set_basic_info {
        int
                        processor_count;
                                                 /* number of processors */
                                                 /* number of tasks */
        int
                        task count;
                                                /* number of threads */
/* scaled load average */
        int
                        thread count;
        int
                        load_average;
                                                 /* scaled mach factor */
        int
                        mach_factor;
   };
typedef struct processor_set_basic_info
                                                 *processor_set_basic_info_t;
/* another interpretation of info is */
struct processor set sched info {
                                        /* allowed policies */
                        policies;
        int
                        max_priority; /* max priority for new threads */
};
typedef struct processor_set_sched_info *processor_set_sched_info_t;
kern return t
processor_set_info(processor_set, flavor, host, processor_set_info,
    processor_set_infoCnt)
        processor_set_name_t
                                processor_set;
                                 flavor;
        int
        host_t
                                 *host;
        processor_set_info_t
                                processor_set_info; /* in and out */
                                *processor_set_infoCnt; /* in and out */
        unsigned int
```

Arguments

```
processor set The processor set for which information is to be obtained. Either the
                  processor set name port or the processor set control port may be used.
flavor
                 The type of information that is wanted.
                 The host on which the processor set resides. This is the non-privileged host
host
                 port.
processor_set_info
                 Information about the processor set specified by processor_set.
processor_set_infoCnt
                  Size of the info structure. Should be PROCESSOR SET BASIC INFO COUNT
                 for
                                       PROCESSOR SET BASIC INFO
                                                                                  and
                                                                                 flavor
                  PROCESSOR SET SCHED INFO COUNT
                                                               for
                  PROCESSOR SET SCHED INFO.
```

Description

Returns the selected information array for a processor_set, as specified by flavor. processor_set_info is an array of integers that is supplied by the caller, and filled with specified information. processor_set_infoCnt is supplied as the maximum number of integers in processor_set_info. On return, it contains the actual number of integers in processor_set_info.

Basic information is defined by PROCESSOR_SET_BASIC_INFO. The size of this information is defined by PROCESSOR_SET_BASIC_INFO_COUNT. The load_average and mach_factor arguments are scaled by the constant LOAD_SCALE (i.e. the integer value returned is the actual value multiplied by LOAD_SCALE.

Scheduling information is defined by PROCESSOR_SET_SCHED_INFO. The size of this information is given by PROCESSOR_SET_SCHED_INFO_COUNT. Some machines may define machine-dependent information flavors.

Returns

KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

processor_set is not a processor set or flavor is not recognized.

MIG_ARRAY_TOO_LARGE

Returned info array is too large for processor_set_info. processor_set_info is filled as much as possible. processor_set_infoCnt is set to the number of elements that would be returned if there were enough room.

Notes

Availability limited.

See Also

processor_set_create, processor_set_default, processor_assign, task_assign,
thread_assign

processor_set_tasks

Arguments

processor_set The processor_set to be affected.

task_list The set of tasks currently assigned to processor_set; no particular

ordering is guaranteed.

task_count The number of tasks in the task_list.

Description

processor_set_tasks gets send rights to the kernel port for each task currently assigned to processor_set. task_list is an array that is created as a result of this call. The caller may wish to vm_deallocate this array when the data is no longer needed.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT processor_set is not a processor_set.
```

Notes

Availability limited.

See Also

task_assign, thread_assign, processor_set_threads

processor_set_threads

```
#include <mach.h>
kern_return_t processor_set_threads(processor_set, thread_list, thread_count)
    processor_set_t processor_set;
    thread_array_t *thread_list; /* out, ptr to array */
    int *thread_count; /* out */
```

Arguments

processor_set The processor_set to be affected.

ordering is guaranteed.

thread_count The number of threads in the thread_list.

Description

processor_set_threads gets send rights to the kernel port for each thread currently assigned to processor_set. thread_list is an array that is created as a result of this call. The caller may wish to vm_deallocate this array when the data is no longer needed.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT processor_set is not a processor_set.
```

Notes

Availability limited.

See Also

thread_assign, thread_assign, processor_set_threads

task_assign

Arguments

task The task to be affected.

processor_set The processor set to assign it to, or the processor set to which it is assigned.

assign_threads Boolean indicating whether this assignment applies to existing threads in the task.

Description

task_assign assigns task the the set processor_set. This assignment is for the purposes of determining the initial assignment of newly created threads in task. Any previous assignment of the task is nullified. Existing threads within the task are also reassigned if assign_threads is TRUE. They are not affected if it is FALSE.

task_assign_default is a variant of task_assign that assigns the task to the default processor set on that task's host. This variant exists because the control port for the default processor set is privileged and not ususally available to users.

task_get_assignment returns the current assignment of the task.

Returns

```
KERN_SUCCESS The assignment has been performed.

KERN_INVALID_ARGUMENT

task is not a task, or processor_set is not a processor_set on the same host as task.
```

Notes

Availability limited

```
processor_set_create, processor_set_info, processor_assign, thread_assign,
host processor set priv
```

thread_assign

Arguments

thread The thread to be assigned.

processor_set The processor set to assign it to.

Description

thread_assign assigns thread the set processor_set. After the assignment is completed, the thread only executes on processors assigned to the designated processor set. If there are no such processors, then the thread is unable to execute. Any previous assignment of the thread is nullified. Unix system call compatibility code may temporarily force threads to execute on the master processor.

thread_assign_default is a variant of thread_assign that assigns the thread to the default processor set. This variant exists because the control port for the default processor set is privileged and therefore not available to most users.

thread_get_assignment returns the name of the processor set to which the thread is currently assigned. This port can only be used to obtain information about the processor set.

Returns

```
KERN_SUCCESS The assignment has been performed.

KERN_INVALID_ARGUMENT

thread is not a thread, or processor_set is not a processor_set on the same host as thread.

KERN_INVALID_ADDRESS

processor_set points to inaccessible memory (thread_get_assignment only).
```

Notes

Availability limited.

```
processor_set_create, processor_set_info, processor_assign, task_assign,
host processor set priv
```

2. Scheduling primitives

2.1. Introduction

This section of the manual describes primitives that control three aspects of thread scheduling.

- 1. **Priority** These primitives export priorities for individual threads. The notion of scheduling policies is introduced, along with support for fixed-priority threads. threads.
- 2. Handoff Handoff Scheduling (specify thread to run next) and related mechanisms.
- 3. Wiring Lock data and threads into memory to prevent paging and swapping.

The priority primitives are connected with the processor set managment primitives and rely on presentation of processor set control ports to enforce protection. The overriding model is that a task that has rights to a processor set control port may exercise complete control over scheduling on that processor set.

2.2. Priority

The priority primitives:

- 1. Export priorities for individual threads to users.
- 2. Support fixed priorities for real-time and other uses.
- 3. Produce a clean interface for users as well as kernel clients.

Threads have both a priority and a maximum priority: priority cannot exceed maximum priority, but the maximum priority can be reset by presenting the appropriate processor_set object port. Since the default processor set's object port is privileged, ordinary users who do not do their own processor allocation cannot raise thread priorities above their initial maximum. Initial priority is inherited from the task at creation (of both threads and tasks), and the initial maximum priority is inherited from the processor set at thread creation.

Scheduling policy can be set on a per thread basis; the current implementation has two policies, time sharing and fixed priority. For fixed priority, a quantum can be specified (again on a per thread basis); this is the quantum that the thread will receive before being eligible for preemption at the same priority. A processor set may forbid scheduling policies other than time sharing.

The ranges of priority correspond to scheduler internals, but Unix interfaces will continue to deal with Unix priorities. Two notions of priority are supported; a base priority and a scheduled priority. The base priority ranges from 0 to 31 and is the priority assigned to the thread by the user or user-mode scheduler. The scheduled priority also has the same range; it is identical to the base priority for fixed priority threads, but for timesharing threads it is the base priority plus some increment derived from usage. In both cases low numbers are the highest priorities. The traditional Unix priority (range 0-127) can be obtained from these numbers (range 0-31) by multiplying by 4.

Preemption normally occurs when a thread becomes runnable at a higher priority than a currently running thread and there are no idle processors in the processor set. It may take as long as a (machine-dependent) clock interrupt period for a multiprocessor kernel to notice that preemption is needed (interprocessor interrupts are not used for preemption events). There is one major exception to this rule

that delays preemption of time-sharing threads. The reason for this is that time-sharing threads have their priority recalculated as they run; if these new priorities were always put into effect immediately, the result would be additional (unneeded) context switches. To avoid this, running timesharing threads usually delay preemption due to this priority recalculation until the end of their current quantum (typically 1/10 of a second).

The policy for threads defaults to time sharing in the absence of explicit specification. Similarly, processor sets default to only allowing time sharing.

I/O drivers may prioritize requests placed by fixed priority threads according to the priorities of the threads; this behavior is machine-dependent. Any such prioritizing applies only to I/O explicitly requested by a fixed priority thread; implicit I/O (e.g. that requested by an external memory manager on behalf of a thread) will only be prioritized according to the thread that actually requested it (e.g. the thread in the external memory manager). As a result, systems that care about the priority of implicitly requested I/O should provide their own external memory managers to perform that I/O. The notion of priority may not be meaningful to some potential future policies (e.g. round-robin).

The timesharing policy must be allowed to simplify the semantics and implementation of the thread and task assign operations. Assignment of a thread whose policy is forbidden by the target processor set succeeds, but its policy is reset to timesharing; the policy for newly created threads similarly defaults to timesharing. This avoids the need to introduce a notion of default policy for processor sets and the additional logic needed to make sure the default policy is permitted and at least one policy is permitted at all times. Timesharing can still be avoided on user-created and managed processor sets by resetting the thread's policy after creation or assignment; a real-time scheduler will surely want to do this in any case.

When a thread is assigned to a processor set, its priorities (both actual and max) will be reduced if either is above the target set's maximum priority. In addition its scheduling policy will be reset to time sharing if the target processor set does not permit its current policy. Default values of priority and max priority are 12 for historical reasons.

processor_set_max_priority may be used as a boot-time mechanism to clear out high-level priorities for a real-time subsystem by indicating the max_priority for time sharing threads and setting change_threads to TRUE.

2.3. Handoff Scheduling

Handoff scheduling refers to the technique of transferring the processor from one thread to another with as little operating system involvement as possible. The kernel already uses this technique for message operations. The primitive thread_switch makes it and related operations available to the user. These primitives allow the user to take advantage of knowledge about which threads should or should not be run to influence operating system scheduling decisions without requiring the user to write a complete scheduler.

This call is independent of the priority manipulation calls; they are motivated by situations encountered in doing locking on multiprogrammed systems. thread_switch is a trap that operates on the thread that invokes it.

Two options to thread_switch are supported:

- 1. WAIT option_time is a time period during which the current thread should be suspended internally. An internal mechanism will be used that cannot be released by thread_resume; thread_abort must be used to abort this wait. This option is designed for situations in which there is a significant minimum time for which the thread should be blocked.
- 2. DEPRESS option_time is a time_period during which the current thread's priority should be reduced to the lowest possible value. Priority is restored by expiration of the timeout, the scheduler choosing to run the thread again, or a call to thread_abort. Experience with parallel applications at CMU indicates that a primitive that only context switches (and implicitly surrenders the remainder of the quantum) is not sufficient; two threads performing this operation can hog the processor to the exclusion of threads that should run. It is necessary to severely depress the priority of the threads that should not be run so that the other threads will be run even if their priority is below that of the waiting threads due to actions of the timesharing scheduler. The advantage of using depression over blocking is that an explicit unblock operation is not needed to resume the thread before the timeout expires. Implementors should be warned that large number of threads spinning through thread_switch or thread_switch with the DEPRESS option can effectively thrash a multiprocessor scheduler.

The specification of the next thread to run is designed to handle situations in which the user is keeping explicit or implicit scheduling information that can be taken advantage of by the kernel. An example of explicit information is tagging locks with the identity of the thread that holds them (this is easy to do if the hardware supports an atomic compare and swap if 0 operation). An example of implicit information is that in a functionally partitioned program with one thread per component, knowing the component that is being waited for immediately identified the thread that should run.

The current quantum is transferred to the new thread by thread_switch only if both thread and new_thread are time-sharing threads. If either or both are fixed-priority threads, the new_thread gets a new quantum.

Fixed priority threads do not get special treatment for any of these calls. This means that thread_switch will run the new thread regardless of its priority, and can be used to implement the implicit priority elevation required when a critical section is accessed by both low and high priority threads. Similarly, the calls involving depression operate identically on both fixed priority and time sharing threads.

2.4. Wiring

There are two wiring primitives: vm_wire and thread_wire. vm_wire allows the user to keep memory from pagefaulting on specified types of access. thread_wire causes a thread's internal kernel state to be non-pageable so that thread will always be able to execute immediately when run. The system has an overall limit on wired down memory; these calls fail if the requested wiring would exceed that limit.

NOTE: These calls have not been implemented yet.

task_priority

Arguments

task Task to set priority for.

priority New priority.

change_threads Change priority of existing threads if TRUE.

Description

The priority of a task is used only for creation of new threads; a new thread's priority is set to the enclosing task's priority. task_priority changes this task priority. It also sets the priorities of all threads in the task to this new priority if change_threads is TRUE. Existing threads are not affected otherwise. If this priority change violates the maximum priority of some threads, as many threads as possible will be changed and an error code will be returned.

Returns

KERN SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

task is not a task, or priority is not a valid priority.

 ${\tt KERN_FAILURE} \quad {\tt change_threads} \ \ {\tt was} \ \ {\tt TRUE} \ \ \ {\tt and} \ \ {\tt the} \ \ {\tt attempt} \ \ {\tt to} \ \ {\tt change_threads} \ \ {\tt was} \ \ {\tt TRUE}$

least one existing thread failed because the new priority would have

exceeded that thread's maximum priority.

Notes

Availability limited.

See Also

thread_priority, processor_set_max_priority

thread_priority

Arguments

thread The thread whose priority is to be changed.

priority The new priority to change it to.

set_max Also set thread's maximum priority if TRUE.

processor_set The control port for the processor set to which the thread is currently

assigned.

Description

Threads have three priorities associated with them by the system, a priority, a maximum priority, and a scheduled priority. The scheduled priority is used to make scheduling decisions about the thread. It is determined from the priority by the policy (for timesharing, this means adding an increment derived from cpu usage). The priority can be set under user control, but may never exceed the maximum priority. Changing the maximum priority requires presentation of the control port for the thread's processor set; since the control port for the default processor set is privileged, users cannot raise their maximum priority to unfairly compete with other users on that set. Newly created threads obtain their priority from their task and their max priority from the thread.

thread_priority changes the priority and optionally the maximum priority of thread. Priorities range from 0 to 31, where lower numbers denote higher priorities. If the new priority is higher than the priority of the current thread, preemption may occur as a result of this call. The maximum priority of the thread is also set if set_max is TRUE. This call will fail if priority is greater than the current maximum priority of the thread. As a result, this call can only lower the value of a thread's maximum priority.

thread_max_priority changes the maximum priority of the thread. Because it requires presentation of the corresponding processor set port, this call can reset the maximum priority to any legal value.

Returns

KERN_SUCCESS Operation completed successfully

KERN_INVALID_ARGUMENT

thread is not a thread, or processor_set is not a control port for a processor set, or priority is out of range (not in 0..31).

KERN_FAILURE The requested operation would violate the thread's maximum priority (thread_priority) or the thread is not assigned to the processor set whose control port was presented.

Notes

Availability limited.

See Also

thread_policy, task_priority, processor_set_priority

thread_policy

```
#include <mach.h>
```

Arguments

thread Thread to set policy for.

policy Policy to set.

data Policy-specific data.

Description

thread_policy changes the scheduling policy for thread to policy. data is policy-dependent scheduling information. There are currently two supported policies: POLICY_TIMESHARE and POLICY_FIXEDPRI defined in <mach/policy.h>; this file is included by mach.h. data is meaningless for timesharing, but is the quantum to be used (in milliseconds) for the fixed priority policy. To be meaningful, this quantum must be a multiple of the basic system quantum (min_quantum) which can be obtained from host_info. The system will always round up to the next multiple of the quantum.

Processor sets may restrict the allowed policies, so this call will fail if the processor set to which thread is currently assigned does not permit policy.

Returns

KERN SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

thread is not a thread, or policy is not a recognized policy.

KERN_FAILURE The processor set to which thread is currently assigned does not permit

policy.

Notes

Availability limited. Fixed priority not supported on all systems.

```
task_policy, processor_set_policy, host_info
```

processor_set_max_priority

Arguments

Description

The priority of a processor set is used only for newly created threads (thread's maximum priority is set to processor set's) and the assignment of threads to the set (thread's maximum priority is reduced if it exceeds the set's maximum priority, thread's priority is similarly reduced). processor_set_max_priority changes this priority. It also sets the maximum priority of all threads assigned to the processor set to this new priority if change_threads is TRUE. If this maximum priority is less than the priorities of any of these threads, their priorities will also be set to this new value.

Returns

```
KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT task is not a task, or priority is not a valid priority.
```

Notes

Availability limited. This call was referred to as processor_set_priority in some previous documentation.

```
thread_priority, task_priority, thread_assign
```

processor_set_policy_enable

Arguments

```
processor_set The processor set whose allowed policies are to be changed.

policy The policy to enable or disable

change_threads Reset the policies of any threads with the newly-disallowed policy to timesharing.
```

Description

Processor sets may restrict the scheduling policies to be used for threads assigned to them. These two calls provide the mechanism for designating permitted and forbidden policies. The current set of permitted policies can be obtained from processor_set_info. Timesharing may not be forbidden by any processor_set. This is a compromise to reduce the complexity of the assign operation; any thread whose policy is forbidden by the target processor set has its policy reset to timesharing. If the change_threads argument to processor_set_policy_disable is true, threads currently assigned to this processor set and using the newly disabled policy will have their policy reset to timesharing.

<mach/policy.h> contains the allowed policies; it is included by mach.h.

Returns

```
KERN_SUCCESS Operation completed successfully

KERN_INVALID_ARGUMENT processor_set is not a processor set, or policy is not a valid policy, or an attempt was made to disable timesharing.
```

Notes

Availability limited. Not all policies (e.g. fixed priority) are supported by all systems.

```
thread_policy, task_policy
```

thread_switch

```
#include <mach.h>
```

Arguments

new_thread Thread to context switch to.

option Specifies options associated with context switch.

time Time duration for options thread Thread to be affected.

Description

thread_switch provides low-level access to the scheduler's context switching code. new_thread is a hint that implements handoff scheduling. The operating system will attempt to switch directly to the new thread (bypassing the normal logic that selects the next thread to run) if possible. Since this is a hint, it may be incorrect; it is ignored if it doesn't specify a thread on the same host as the current thread or if that thread can't be switched to (not runnable or already running on another processor). In this case, the normal logic to select the next thread to run is used; the current thread may continue running if there is no other appropriate thread to run.

Options for option are defined in <mach/thread switch.h>. Three options are recognized: SWITCH_OPTION_NONE No options, the time argument is ignored. SWITCH_OPTION_WAIT The thread blocked for the specified This can aborted by thread abort. time. be SWITCH OPTION DEPRESS The thread's priority is depressed to the lowest possible value for time. This is aborted by thread_abort, or by the scheduler choosing to run the thread again. A consequence of this is that a depressed thread must be queued (on a run queue) waiting for the scheduler to choose it to run; once chosen, the depression is aborted. This depression is independent of operations that change the thread's priority (e.g. thread priority will not abort the depression). The minimum time and units of time can be obtained as the min_timeout value from host_info.

thread_switch is an optimized trap that affects the current thread. thread_depress_abort is an rpc to the kernel that may affect any thread.

thread_switch is often called when the current thread can proceed no further for some reason; the various options and arguments allow information about this reason to be transmitted to the kernel. The new_thread argument (handoff scheduling) is useful when the identity of the thread that must make progress before the current thread runs again is known. The WAIT option is used when the amount of time that the current thread must wait before it can do anything useful can be estimated and is fairly long. The DEPRESS option is used when the amount of time that must be waited is fairly short, especially when the identity of the thread that is being waited for is not known.

Users should beware of calling thread_switch with an invalid hint (e.g. THREAD_NULL) and no option. Because the time-sharing scheduler varies the priority of threads based on usage, this may result in a waste of cpu time if the thread that must be run is of lower priority. The use of the DEPRESS option

in this situation is highly recommended.

thread_switch ignores policies. Users relying on the preemption semantics of a fixed time policy should be aware that thread_switch ignores these semantics; it will run the specified new_thread indepent of its priority and the priority of any other threads that could be run instead.

Returns

KERN_SUCCESS The call succeeded. thread_restore_priority always succeeds, and does not have a defined return value as a result.

KERN_INVALID_ARGUMENT

thread is not a thread, or option is not a recognized option.

KERN_FAILURE kern_depress_abort failed because the thread was not depressed.

Notes

Availability limited.

See Also

host_info

thread_wire

```
#include <mach.h>
kern_return_t thread_wire(host_priv, thread, wired)
    host_priv_t host_priv;
    thread_t thread;
    boolean_t wired;
```

Arguments

host_priv The privileged host port for the thread's host.

thread The thread to be affected

wired Make thread unswappable if TRUE, swappable if FALSE.

Description

thread_wire allows privileged to restrict the swappability of threads. A unswappable thread has its kernel stack wired (made non-pageable) so it cannot be swapped out. This counts against the limit of wired memory maintained by the kernel (see vm_wire). The number of pages that is consumed can be obtained from vm_wire_statistics.

Returns

```
KERN_SUCCESS The call succeeded

KERN_INVALID_ARGUMENT

host_priv is not the privileged host port for thread's host, or thread is not a thread.

KERN_RESOURCE_SHORTAGE
```

Some kernel resource limit, most likely that on the amount of memory that can be wired down, has been exceeded.

Notes

Statistics interface to obtain wire limit and count against that limit ($vm_wire_statistics$) not available yet. Availability limited.

```
vm_wire, vm_wire_statistics, host_priv_self
```

vm_wire

```
#include <mach.h>
kern_return_t vm_wire (host_priv, task, start, end, prot)
    host_priv_t host_priv;
    task_t task;
    vm_address_t start,end;
    vm_prot_t prot;
```

Arguments

host_priv The privileged host port for the host on which task resides

task The task whose memory is to be affected

start, end First and last addresses of the memory region to be affected.

prot Types of accesses that must not cause page faults.

Description

vm_wire allows privileged applications to control memory pageability. The semantics of a successful vm_wire operation are that memory in the range from start to end in task will not cause page faults for any accesses included in prot. Data memory can be made non-pageable (wired) with a prot argument of VM_PROT_READ|VM_PROT_WRITE. A special case is that VM_PROT_NONE makes the memory pageable. Machines with hardware restrictions on address aliasing (e.g. IBM PC/RT, HP-PA) may have to reload mappings to wired memory and flush caches if more than one virtual mapping corresponding to the same physical memory is used. The mappings will usually be reloaded from a fast software cache, but if this overhead is a problem aliasing of wired memory should be avoided on these architectures.

The kernel maintains an internal limit on how much memory may be wired to protect itself from attempts to wire all of physical memory or more. Attempting to wire more memory than this limit allows will fail. The limit is a limit on address space, so wiring shared memory twice counts against the limit twice.

Returns

KERN_SUCCESS The call succeeded.

KERN_INVALID_ARGUMENT

host_priv is not the privileged host port for task's host, or task is not a task, or start and end do not define a valid address range in task, or prot is not a valid memory protection.

KERN_RESOURCE_SHORTAGE

Some kernel resource limit, most likely that on the amount of memory that can be wired down, has been exceeded.

KERN_FAILURE Some memory in the range from start to end does not exist.

KERN PROTECTION FAILURE

Some memory in the range from start to end does not allow all accesses specified by prot

Notes

The limit on wired memory should discount for sharing. The kernel may choose to wire for write access even if that is not specified in prot causing unexpected copies to be made. This behavior can be avoided by setting the maximum protection on the memory to read-only. Statistics interface to obtain wire limit and count against that limit (vm_wire_statistics) not available yet. Availability limited.

See Also

thread_wire, vm_wire_statistics, host_priv_self

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I. Summary of Kernel Calls

The following is a summary of calls to the MACH kernel. The page on which the operation is fully described appears within square brackets.

```
[4]
     host_t host_self()
[4]
     host priv t host priv self()
[5]
     kern_return_t host_processors(host_priv, processor_list, processor_count
         host_priv_t
                           host_priv;
                           processor_array_t
         int
[6]
     kern return t
     host_processor_sets(host, processor_set_list, processor_set_count)
         processor_set_array_t *processor_set_list; /* out, ptr to array */
         int
                               *processor_set_count; /* out */
[7]
     kern_return_t host_processor_set_priv(host_priv, set_name, set);
                           host_priv;
             host_priv_t
             processor_set_name_t set_name;
processor_set_t *set;
[8]
     kern_return_t host_info(host, flavor, host_info, host_infoCnt)
             host_t
                           host;
             int
                           flavor;
             host_info_t host_info;
                                          /* in and out */
             unsigned int *host_infoCnt; /* in and out */
[10] kern_return_t host_kernel_version(host, version)
             host_t host;
             kernel_version_t *version;
                                                  /* out */
[11] kern_return_t processor_assign(processor, processor_set, wait)
             processor_t processor;
             processor_set_t processor_set;
             boolean t
                           wait;
```

```
[11] kern_return_t processor_get_assignment(processor, assigned_set_name)
             processor_t
                            processor;
             processor_set_name_t assigned_set_name;
[12] kern_return_t processor_start(processor)
             processor_t
[12] kern_return_t processor_exit(processor)
             processor_t
                                   processor;
[12] kern_return_t processor_control(processor, cmd, count)
             processor_t
                                   processor;
             int
                                     *cmd; /* array of ints */
             int
                                     count;
[13] /* the definition of processor_info_t from mach.h - sys/processor_info.h
                     *processor_info_t;
     typedef int
                                         /* variable length array of int
     /* one interpretation of info is */
        struct processor_basic_info {
                                          /* cpu type */
/* cpu subtype */
/* is processor running? */
                         cpu_type;
             cpu_type_t
             cpu_subtype_t cpu_subtype;
             boolean_t running;
             int slot_num; /* slot number */
boolean_t is_master; /* is this the master processor
        };
     typedef struct processor_basic_info
                                                    *processor_basic_info_t;
     kern_return_t
     processor_info(processor, flavor, host, processor_info, processor_infoCn
             int
                                    flavor;
             host t
                                    *host;
             processor_info_t
                                   processor_info; /* in and out */
                                    *processor_infoCnt; /* in and out */
             unsigned int
[15] kern_return_t processor_set_create(host, new_set, new_name)
             host t
                                   host;
             processor_set_t
                                     *new set;
             processor_set_name_t *new_name;
```

```
[16] kern_return_t processor_set_default(host, default_set);
             host t
                                     host;
             processor_set_t
                                     *default set;
[17] kern_return_t processor_set_destroy(processor_set)
             processor_set_t
                                     processor set;
[18] /* the definition of processor_set_info_ from mach/processor_info.h */
     typedef int
                      *processor set info t; /* variable length array of int
      /* one interpretation of info is */
         struct processor_set_basic_info {
                                                    /* number of processors
             int
                             processor_count;
             int
                             task_count;
                                                     /* number of tasks */
             int
                             thread count;
                                                     /* number of threads */
                                                     /* scaled load average *
              int
                             load_average;
                             mach factor;
                                                     /* scaled mach factor */
             int
         };
      typedef struct processor_set_basic_info
                                                    *processor_set_basic_inf
      /* another interpretation of info is */
      struct processor_set_sched_info {
                                             /* allowed policies */
             int
                             policies;
                             max_priority; /* max priority for new threads
             int
     };
      typedef struct processor_set_sched_info *processor_set_sched_info_t;
     kern return t
     processor_set_info(processor_set, flavor, host, processor_set_info,
         processor_set_infoCnt)
             processor_set_name_t
                                     processor_set;
             int
                                     flavor;
             host_t
                                     *host;
                                                           /* in and out */
             processor_set_info_t processor_set_info;
                                     *processor_set_infoCnt; /* in and out */
             unsigned int
[20] kern_return_t processor_set_tasks(processor_set, task_list, task_count)
             processor_set_t processor_set;
                             *task_list;
             task_array_t
                                             /* out, ptr to array */
                             *task_count;
                                             /* out */
             int
[21] kern_return_t processor_set_threads(processor_set, thread_list, thread_c
```

```
processor_set_t processor_set;
              thread_array_t *thread_list; /* out, ptr to array */
int *thread_count; /* out */
[22] kern_return_t
      task_assign(task, processor_set, assign_threads)
              task_t task;
              processor_set_t processor_set;
              boolean_t
                             assign_threads;
[22] kern_return_t
      task_assign_default(task, assign_threads)
                       task;
             task t
              boolean_t
                            assign_threads;
[22] kern_return_t
      task_get_assignment(task, processor_set)
              task_t
                             task;
              processor_set_name_t *processor_set;
[23] kern_return_t thread_assign(thread, processor_set)
              thread_t
                             thread;
              processor_set_t processor_set;
[23] kern_return_t thread_assign_default(thread)
              thread t
                             thread;
[23] kern_return_t thread_get_assignment(thread, processor_set)
              thread_t
                                     thread;
              processor_set_name_t
                                      *processor_set;
[27] kern_return_t task_priority(task, priority, change_threads)
              task_t task;
                             priority;
change_threads;
              int
              boolean_t
[28] kern_return_t thread_priority(thread, priority, set_max)
```

```
thread_t
                           thread;
             int
                           priority;
             boolean_t
                            set_max
[28] kern_return_t thread_max_priority(thread, processor_set, priority)
             thread_t
                           thread;
             processor_set_t processor_set;
             int
                            priority;
[30] kern_return_t thread_policy(thread, policy, data)
             thread_t thread;
             int
                           policy;
             int
                           data;
[31] kern_return_t
     processor_set_max_priority(processor_set, priority, change_threads)
             processor_set_t task;
                                   priority;
             int
             boolean t
                                   change threads;
[32] kern_return_t
     processor_set_policy_enable(processor_set, policy)
             processor_set_t processor_set;
             int
                            policy;
```

[36] kern_return_t vm_wire (host_priv, task, start, end, prot)
 host_priv_t host_priv;
 task_t task;
 vm_address_t start,end;
 vm_prot_t prot;