# Beyond Highway Dimension: Small Distance Labels Using Tree Skeletons\*

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#### Abstract

The goal of a hub-based distance labeling scheme for a network G = (V, E) is to assign a small subset  $S(u) \subseteq V$  to each node  $u \in V$ , in such a way that for any pair of nodes u, v, the intersection of hub sets  $S(u) \cap S(v)$  contains a node on the shortest uv-path.

The existence of small hub sets, and consequently efficient shortest path processing algorithms, for road networks is an empirical observation. A theoretical explanation for this phenomenon was proposed by Abraham et al. (SODA 2010) through a network parameter they called highway dimension, which captures the size of a hitting set for a collection of shortest paths of length at least r intersecting a given ball of radius 2r. In this work, we revisit this explanation, introducing a more tractable (and directly comparable) parameter based solely on the structure of shortest-path spanning trees, which we call skeleton dimension. We show that skeleton dimension admits an intuitive definition for both directed and undirected graphs, provides a way of computing labels more efficiently than by using highway dimension, and leads to comparable or stronger theoretical bounds on hub set size.

### 1 Introduction.

The task of efficiently processing shortest path queries to a graph has been studied in a plethora of settings. One interesting observation is that for many real-world graphs of small degree in a geometric or geographical setting, such as road networks, it is possible to design compact data structures and schemes for efficiently answering shortest path queries. The general principle of operation of this approach consists in detecting and storing subsets of so-called transit nodes, which appear on shortest paths between many node pairs.

In an attempt to explain the efficiency of variants of the transit node routing (TNR) algorithm [12, 13], Abraham et al. [6] introduced the concept of  $highway\ dimension\ h$ . This parameter captures the intuition that when a map is partitioned into regions, all significantly long shortest paths out of each region can be hit by a

small number of transit node vertices. The value of h is presumed to be a small constant e.g. for road networks. However, the definition of highway dimension relies on the notion of a hitting set of shortest path sets within network neighborhoods, and hence, e.g., exact computation of the parameter is known to be NP-hard even for unweighted networks [19]. This motivates us to look at other measures, which are both more locally defined and computationally tractable, while capturing essentially the same (or more) characteristics of the network's amenability to shortest path queries.

Looking more precisely at the TNR algorithm, one observes that it is built around the idea that for every source node, the set of transit nodes which are the first to be encountered when going a long way from the source, is small. This is a weaker assumption than the existence of a small hitting set for the set of shortest paths in a given network neighborhood, since different source nodes could use different transit nodes resulting in an overall large number of transit nodes around a given region. This source-centered approach leads us to the definition of skeleton dimension k, to which we devote the remainder of this paper. Informally, the skeleton dimension is the maximum, taken over all nodes u of the graph and all radii r > 0, of the number of distinct nodes at distance r from u in the set of all shortest paths originating at u and having length at least 3r/2. In transit node parlance, it states that the paths from u that extend by r/2 at least outside the disk of radius r pass through at most k transit nodes at the disk border. This property ensures that each shortestpath spanning tree is built around a core skeleton with at most k branches at a given distance range while the rest of the branches are relatively short. Bounding tree skeletons turns out to encompass a larger class of constant-degree graphs than the shortest path cover approach used in the definition of highway dimension, while still ensuring the existence of efficient labeling schemes.

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The may also define skeleton dimension with a different choice of constants, considering the set of shortest paths having length at least  $\alpha r$ , where  $\alpha \in (1,2)$  is an absolute constant. The choice of  $\alpha = 3/2$  is subsequently necessary only for establishing relations with highway dimension.

Motivated by applications in distributed algorithms and distributed data representation, we will display the link between small skeleton dimension of a graph and efficient processing of shortest path queries using the framework of distance labeling. Distance labeling schemes, popularized by Gavoille et al. [20], are among the most fundamental distributed data structures for graph data. Within distance labeling, we work with the most basic framework of transit-node based schemes, namely so-called hub labelings, cf. [4] (this framework was first described in [17] under the name of 2-hop covers, and is also referred to as landmark labelings [7]). In this setting, each node  $u \in U$  stores the set of its distances to some subset  $S(u) \subseteq V$  of other nodes of the graph. Then, the computed distance value d'(u,v)for a queried pair of nodes  $u, v \in V$  is returned as:

(1.1) 
$$d'(u,v) := \min_{w \in S(u) \cap S(v)} d(u,w) + d(w,v),$$

where d denotes the shortest path distance function between a pair of nodes. The computed distance between all pairs of nodes u and v is exact if set  $S(u) \cap S(v)$  contains at least one node on some shortest u-v path. This property of the family of sets  $(S(u):u \in V)$  is known as shortest path cover. The hub-based method of distance computation is in practice effective for two reasons. First of all, for transportation-type networks it is possible to show bounds on the sizes of sets S, which follow from the network structure. Notably, considering networks of bounded highway dimension h, Abraham et al. [6] show that an appropriate cover of all shortest paths in the graph can be achieved using sets S of size  $\widetilde{O}(h)$ , where the  $\widetilde{O}$ -notation conceals logarithmic factors in the studied graph parameters.

Moreover, the order in which elements of sets S(u) and S(v) is browsed when performing the minimum operation is relevant, and in some schemes, the operation can be interrupted once it is certain that the minimum has been found, before probing all elements of the set. This is the principle of numerous heuristics for the exact shortest-path problem, such as contraction hierarchies and algorithms with arc flags [14, 24].

1.1 Results and Organization of the Paper. In Section 2, we formally define skeleton dimension k, and show that in the so-called continuous representation of the graph, the skeleton dimension is at most highway dimension, i.e. it satisfies the bound  $k \leq h$ . In all cases, k = O(h) for graphs of bounded maximum degree. On the other hand, we show that skeleton dimension provides a better explanation for small hub set size in Manhattan-type networks than highway dimension. In particular, we provide a natural example of a weighted grid with  $k = O(\log n)$  and  $h = \Omega(\sqrt{n})$ .

In Section 3, we show how to construct efficient hub labelings for networks of small skeleton dimension. The hub set sizes we obtain for a graph of weighted diameter D are bounded by  $O(k \log D)$  on average and  $O(k \log \log k \log D)$  in the worst case (cf. Corollaries 3.1 and 3.3, respectively), as compared to previous best bounds of  $O(h \log h \log D)$  for labels computable in polynomial time based on highway dimension.

Our labeling technique, based on picking hubs through a random selection process on a subtree of the shortest-path tree, allows each node to compute its hub set independently in almost-linear time, and appears to be of independent interest. In particular, as an extension of our technique, we provide in Section 4 improved bounds on label size (in general unweighted graphs) for the so-called *D-preserving* distance labeling problem, in which the considered distance queries are restricted to nodes at distance at least D from each other. The hub sets constructed using the hub-based method have average size O(n/D). Their worst-case size is also bounded by O(n/D) up to some threshold  $D = \widetilde{O}(\sqrt{n})$ , and bounded by  $O(\log D + (n/D) \log \log D)$  in general (Theorem 4.1). This improves upon previous D-preserving schemes, including the previously best result from [9], where hub sets of worst-case size  $O((n/D) \log D)$  are constructed by a more direct application of the probabilistic method to sets of randomly sampled vertices.

Finally, in Sections 5 and 6 we provide some concluding remarks on the computability and applicability of the proposed parameter of skeleton dimension.

#### 1.2 Other Related Work.

Distance Labelings. The distance labeling problem in undirected graphs was first investigated by Graham and Pollak [22], who provided the first labeling scheme with labels of size O(n). The decoding time for labels of size O(n) was subsequently improved to  $O(\log \log n)$  by Gavoille et al. [20] and to  $O(\log^* n)$  by Weimann and Peleg [28]. Finally, Alstrup et al. [10] present a scheme for general graphs with decoding in O(1) time using labels of size  $\frac{\log 3}{2}n + o(n)$  bits. This matches up to low order terms the space of the currently best know distance oracle with O(1) time and  $\frac{\log 3}{2}n^2 + o(n^2)$  total space in a centralized memory model, due to Nitto and Venturini [26]. For specific classes of graphs, Gavoille et al. [20] described a  $O(\sqrt{n}\log n)$  distance labeling for planar graphs, together with  $\Omega(n^{1/3})$  lower bound for the same class of graphs. Additionally,  $O(\log^2 n)$  upper bound for trees and  $\Omega(\sqrt{n})$  lower bound for sparse graphs were given.

**Distance Labeling with Hub Sets.** For a given graph G, the computational task of minimizing the sizes of hub sets  $(S(u): u \in V)$  for exact distance decoding

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is relatively well understood. A  $O(\log n)$ -approximation algorithm for minimizing the average size of a hub set having the sought shortest path cover property was presented in Cohen et al. [17], whereas a  $O(\log n)$ -approximation for minimizing the largest hub set at a node was given more recently in Babenko et al. [11]. Rather surprisingly, the structural question of obtaining bounds on the size of such hub sets for specific graph classes, such as graphs of bounded degree or unweighted planar graphs, is wide open.

*D*-preserving Labeling. The notion of *D*-preserving distance labeling, first introduced by Bollobás et al. [15], describes a labeling scheme correctly encoding every distance that is at least *D*. [15] presents such a *D*-preserving scheme of size  $O(\frac{n}{D}\log^2 n)$ . This was recently improved by Alstrup et al. [9] to a *D*-preserving scheme of size  $O(\frac{n}{D}\log^2 D)$ . Together with an observation that all distances smaller than *D* can be stored directly, this results in a labeling scheme of size  $O(\frac{n}{x}\log^2 x)$ , where  $x = \frac{\log n}{\log \frac{m+n}{n}}$ . For sparse graphs, this is o(n).

Road networks. Highway dimension h guarantees the existence of distance labels of size  $O(h \log D)$  where D is the weighted diameter of the graph [6]. However, when restricting to polynomial time algorithms, such labels can only be approximated within a  $\log n$ factor using shortest path cover algorithms [6] or a  $\log h$  factor with a more involved procedure based on VC-dimension [2]. In any case, this requires an allpair shortest path computation. For large networks, labels can be practically computed when classical heuristics such as contraction hierarchies (CH) can be performed [3,5,6]. Low highway dimension guarantees that there exists an elimination ordering for CH such that the graph produced has bounded size [6]. However, it does not ensure running time faster than all pair shortest path computation.

Besides highway dimension, skeleton dimension is also related to the notion of reach introduced in [23] and also used in the RE algorithm [21]. The reach of a node v on a path P is the minimum distance to an extremity of P, and the reach of v is its maximum reach over all shortest paths P containing v. Efficient algorithms are obtained by pruning nodes with small reach during Dijkstra search. Similarly, we obtain the skeleton of a tree by pruning nodes whose reach (in the tree) is less than half of their distance to the root.

**1.3** Notation and Parameters. We consider a connected undirected graph G and a non-negative length function  $\ell: E(G) \to \mathbb{R}^+$ . Let n denote the number of nodes in V(G). We let  $\ell(P)$  denote the length

of a path P under the given length function. Given two nodes u and v, we assume that there is a unique shortest path  $P_{uv}$  between them. This common assumption can be made without loss of generality, as one can perturb the input to ensure uniqueness. Given two nodes u and v, their distance is  $d_G(u,v) = \ell(P_{uv})$ . Let  $D = \max_{u,v} d_G(u,v)$  denote the diameter of G. For  $u \in V(G)$  and r > 0, the ball  $B_G(u,r)$  of radius r centered at u is the set of nodes v with  $d_G(u,v) \leq r$ . In this paper, we assume that  $\ell$  is non-negative and integral. The notions presented here easily extend to nonnegative real lengths, but we use integer lengths for a cleaner exposition of algorithms and theorems.

We also recall two structural parameters, which have application to networks in a geometric setting or low-dimensional topological embedding: *highway dimension* and *doubling dimension*.

For r > 0, let  $P_G(r)$  denote the collection of all shortest paths P with  $\frac{r}{2} < \ell(P) \le r$  in G. For  $u \in V(G)$ , we consider the collection  $P_G(u,r) = \{P \in P_r(G) \mid P \cap B_G(u,r) \ne \emptyset\}$  of shortest paths around u. A hitting set for  $P_G(u,r)$  is a set H of nodes such that any path in  $P_G(u,r)$  contains a node in H. In [2], the highway dimension of G is defined as the smallest h such that  $P_G(u,r)$  has a hitting set of size at most h for all u,r. (This definition is slightly less restrictive than that of [6] while allowing to prove similar results with improved bounds.)

The notion of highway dimension is related to that of doubling dimension. Recall that a graph is h-doubling if any ball can be covered by at most h balls of half the radius. That is, for all u, r, there exists H with  $|H| \leq h$  such that  $B_G(u,r) \subseteq \bigcup_{v \in H} B_G(v,\frac{r}{2})$ . It is shown in [6] that if the geometric realization of a graph G has highway dimension h, then G is h-doubling. Informally, the geometric realization  $\tilde{G}$  can be seen as the "continuous" graph where each edge is seen as infinitely many vertices of degree two with infinitely small edges, such that for any  $uv \in E(G)$  and  $t \in [0,1]$ , there is a node in  $\widetilde{G}$  at distance  $t\ell(u,v)$  from u on edge uv. (The proof in [6] consists in proving that any node in  $B_G(u,r)$  is at distance at most  $\frac{r}{2}$  from any hitting set of  $P_{\widetilde{G}}(u,r)$  in  $\widetilde{G}$  and holds also for the highway dimension definition of [2].)

#### 2 A Presentation of Skeleton Dimension.

We start by providing a standalone definition of skeleton dimension based on size of cuts in shortest path trees, and then show its relation to the previously considered parameters of highway and doubling dimension.

#### 2.1 Definition of the Parameter.

**Tree skeleton.** Given a tree T rooted at node u with length function  $\ell: E(T) \to \mathbb{R}^+$ , we treat it as directed from root to leaves and consider the geometric realization  $\widetilde{T}$  of this directed graph. We define the reach of  $v \in V(\widetilde{T})$  as  $Reach_{\widetilde{T}}(v) := \max_{x \in V(\widetilde{T})} d_{\widetilde{T}}(v, x)$ . We then define the  $skeleton\ T^*$  of T as the subtree of  $\widetilde{T}$  induced by nodes with reach at least half their distance from the root. More precisely,  $T^*$  is the subtree of  $\widetilde{T}$  induced by  $\{v \in V(\widetilde{T}) \mid Reach_{\widetilde{T}}(v) \geq \frac{1}{2}d_{\widetilde{T}}(u, v)\}$ .

Width of a tree. The width of a tree T with root u is defined as the maximum number of nodes (points) in  $\widetilde{T}$  at a given distance from its root. More precisely, the width of T is  $Width(T) = \max_{r>0} |Cut_r(\widetilde{T})|$  where  $Cut_r(\widetilde{T})$  is the set of nodes  $v \in V(\widetilde{T})$  with  $d_{\widetilde{T}}(u,v) = r$ .

**Skeleton dimension.** The skeleton dimension k of a graph G is defined as the maximum width of the skeleton of a shortest path tree, that is  $k = \max_{u \in V(G)} Width(T_u^*)$ , where  $T_u$  denotes the shortest path tree of u obtained as the union of shortest paths from u to all  $v \in V(G)$ .

We remark that, under the assumption of scale-invariance of the graph, different cuts of the tree skele-ton have similar width, and the definition of the skeleton dimension is a meaningful measure of the structure of the tree. A smoothed (integrated) variant of skeleton dimension is also discussed further on, cf. Eq. (3.2).

# 2.2 Skeleton Dimension is at most (Geometric) Highway Dimension.

CLAIM 1. If the geometric realization  $\widetilde{G}$  of a graph G has highway dimension  $\widetilde{h}$ , then G has skeleton dimension  $k \leq \widetilde{h}$ .

Proof. Consider a node u and the skeleton  $T_u^*$  of its shortest path tree  $T_u$ . For r>0, consider the cut  $Cut_r(T_u^*)$ . For  $\varepsilon>0$  sufficiently small,  $Cut_r(T_u^*)$  and  $Cut_{r-\varepsilon}(T_u^*)$  have same size. Now, for  $v\in Cut_{r-\varepsilon}(T_u^*)$ , consider a node x in  $T_u$  such that  $d_{T_u}(v,x)=Reach_{T_u}(v)$ . The shortest path  $P_{vx}$  intersects  $B_{\widetilde{G}}(u,r)$  and has length  $\ell(P_{vx})=Reach_{T_u}(v)\geq r/2+\varepsilon>r/2$ .  $P_{vw}$  is thus in  $P_{\widetilde{G}}(u,r)$ . For each node in  $Cut_{r-\varepsilon}(T_u^*)$ , we get a similar path in  $P_{\widetilde{G}}(u,r)$ . All these paths are pairwise node-disjoint as they belong to disjoint sub-branches of  $T_u$ . Their number is thus upper-bounded by the size of any hitting set of  $P_{\widetilde{G}}(u,r)$ . We then get  $|Cut_r(T_u^*)| \leq \tilde{h}$  for all u, r and the skeleton dimension of G is at most  $\tilde{h}$ .

Note that a (discrete) graph G has highway dimension  $h \leq \tilde{h}$ , where  $\tilde{h}$  is the highway dimension of its geometric realization  $\tilde{G}$ . In road networks it is expected that the continuous and the discrete versions of highway

dimension coincide almost exactly, in particular due to the constant maximum degree and bounded length of edges in these graphs. In a more general setting, one can easily show  $\tilde{h} \leq (\Delta+1)h$  where  $\Delta$  is the maximum degree of G, with a star being a worst-case example. (Indeed, a hitting set H of  $P_G(u,r)$  may miss some shortest path  $P \in P_{\widetilde{G}}(u,r)$ . Making P longer to have extremities in V(G) transforms it into a path of  $P_G(u,r)$  that is hit by H. It is thus possible to hit all  $P_{\widetilde{G}}(u,r)$  by adding at most one node per edge adjacent to a node in H.)

We remark that the extended tech-report version [1] of [6] introduces a modified notion of highway dimension, in a way more closely related to its geometric variant, which we can denote here as  $h^*$ . For this modified parameter, we have:  $k \leq h^* \leq \tilde{h} \leq 2h^*$ , where the first inequality follows from an analysis similar to the proof of Claim 1, while the latter two are shown in [1][Section 11].

**2.3** Low Skeleton Dimension Implies Low Doubling Dimension. It is known [6] that a graph having a geometric realization with highway dimension  $\tilde{h}$  is at most  $\tilde{h}$ -doubling. However, the relation  $k \leq \tilde{h}$  need not be tight, and it turns out that the link between skeleton dimension and doubling dimension holds in a slightly weaker form.

PROPOSITION 2.1. If a graph G has skeleton dimension k, then G is (2k+1)-doubling.

*Proof.* We show the stronger requirement that each ball of radius 19r/9 can be covered by 2k+1 balls of radius r. For  $u \in V(G)$ , consider the shortest path tree  $T_u$  of u. For r' > 0, consider the set  $C_{r'}$ of the edges containing a node in  $Cut_{r'}(T_u^*)$  and let  $I_{r'} = \{ w \mid vw \in C_{r'} \text{ and } d_G(u, w) \geq r' \} \text{ be the (at most)}$ k) far extremities of edges cutting distance r' in the skeleton of  $T_u$ . Each node v at distance greater than  $\frac{3}{2}r'$  from u is descendant in  $T_u$  of a node  $x \in I_{r'}$  by skeleton definition and is thus in  $B_G(x,r)$  if  $d_G(u,v) \le$ r' + r. Considering r' = 2r/3, we obtain that any node v with  $r < d_G(u, v) \le \frac{5}{3}r$  is at distance at most r from a node in  $I_{2r/3}$ . Similarly any node v with  $\frac{5}{3}r < d_G(u,v) \leq \frac{19}{9}r$  is at distance at most r from a node in  $I_{10r/9}$ . The ball  $B_G(u, 19r/9)$  is thus covered by balls of radius r centered at the at most 2k+1 nodes in  $\{u\} \cup I_{2r/3} \cup I_{10r/9}$ .

**2.4** Separating Skeleton Dimension and Highway Dimension. We now provide a family of graphs which exhibit an exponential gap between skeleton and highway dimensions, in a setting directly inspired by Manhattan-type road networks. The idea is to consider

the usual square grid and define edge lengths, which give priority to certain transit "arteries". In our example, paths using edges whose coordinates are multiples of high powers of 2 have slightly lower transit times.

For L>0, let  $G_L$  denote the  $2^L\times 2^L$  grid with length function  $\ell$  defined as follows. We identify a node with its coordinates (x,y) with  $1\leq x\leq 2^L$  and  $1\leq y\leq 2^L$ . We consider small length perturbations  $p_{xy}$  for every horizontal edge  $\{(x,y),(x+1,y)\}$  and  $q_{xy}$  for every vertical edge  $\{(x,y),(x,y+1)\}$ , and define  $Q=1+\max_{x,y}\max\{p_{xy},q_{xy}\}$ . These non-negative integers will be chosen to ensure uniqueness of shortest paths. For  $x=2^ix'$  with  $0\leq i\leq L$  and x' odd, we define  $\ell((x,y),(x+1,y))=Q((D+2)L-i)-q_{xy}$  for all y where  $D=2^{L+3}$ . For  $y=2^jy'$  with  $0\leq j\leq L$  and y' odd, we define  $\ell((x,y),(x,y+1))=Q((D+2)L-j)-p_{xy}$  for all x. A possible choice for perturbations ensuring uniqueness of shortest paths is  $p_{xy}=0$  and  $q_{xy}=x$  for all x,y as will be clear later on.

PROPOSITION 2.2. For any L > 0, grid  $G_L$  has highway dimension  $\Omega(\sqrt{n})$  and skeleton dimension  $O(\log n)$ , where  $n = 2^{2L}$  is the number of nodes in  $G_L$ .

Proof. We first prove that the shortest paths of  $G_L$  are also shortest path of the  $2^L \times 2^L$  grid  $U_L$  with unit edge lengths, that is those paths that use a minimum number of edges. Any path P with p edges has length at most pQ(D+2)L and at least  $pQ((D+2)L-L-1) \geq pQDL$ . Given two nodes u and v, let p denote the minimum number of edges of a path from u to v and let q denote the number of edges of the shortest path  $P_{uv}$  from u to v in  $G_L$ . We then have  $p \leq q \leq \frac{p(D+2)L}{DL} \leq p + \frac{1}{2}$  since  $p \leq 2^{L+1} = D/4$ . This implies q = p.  $P_{uv}$  is thus a shortest path of the grid  $U_L$ . Note that balls must then also be almost identical: for all u, p, we have  $B_{G_L}(u, pQ(D+2)L) = B_{U_L}(u, p)$ .

This implies that the highway dimension of  $G_L$  is  $\Omega(\sqrt{n})$  since the ball of radius  $r=Q(D+2)L\sqrt{n}$  centered at (1,1) intersects at least  $\sqrt{n}$  horizontal shortest paths of length  $QDL\sqrt{n}>r/2$  at least.

We define the  $2^i \times 2^j$  rectangle R at (x,y) with odd x and y as the set of nodes with coordinates (x',y') such that  $2^i x \le x' \le 2^i (x+1)$  and  $2^j y \le y' \le 2^j (y+1)$ . Its border is the set of nodes for which one inequality at least is indeed an equality. Other nodes are said to be interior. The main argument for bounding skeleton dimension is that a shortest path from u = (x', y') with  $x' < 2^i x$  and  $y' < 2^j y$  cannot traverse the interior of R: a shortest path passing through an inner node of R necessarily ends inside R. The reason is that such a path necessarily passes through the lower left corner at  $(2^i x, 2^j y)$ . It is then shorter to reach a border node by

following the border rather than using edges inside the rectangle. Note that two possible choices of shortest paths could be possible when going from a corner of a  $2^i \times 2^i$  rectangle to the corner diagonally opposed. However the choice of perturbing lengths by decreasing the length of any vertical edge in position (x,y) by  $q_{xy}=x$  ensures that the path through the rightmost side is preferred.

Now consider a node u = (x, y) and a radius r with  $2^{i}QDL \leq r < 2^{i+1}QDL$ . Set  $p = \left|\frac{r}{QDL}\right|$ . According to the first part of the proof, the ball  $B_{G_L}(u,r)$  is in sandwich between the balls of radius p and p+1 in  $U_L$ :  $B_{U_L}(u,p) \subseteq B_{G_L}(u,r) \subseteq B_{U_L}(u,p+1)$ . We first consider the upper right quadrant of  $B_{U_L}(u, p)$  and the border set  $S_{UR}$  of nodes v = (x + a, y + b) with  $a, b \ge 0$ and a + b = p. We now bound the number of nodes  $v \in S_{UR}$  such that  $Reach_{T_u}(v) > \frac{r}{2}$  where  $T_u$  denote the shortest path tree of u in  $G_L$ . As  $\frac{r}{2} \ge 2^{i-1}QDL$ , such a node v cannot be interior to a  $2^{i-2} \times 2^{i-2}$  rectangle as shortest paths interior to the rectangle have length at most  $(2^{i-1}-4)Q(D+2)L$ . The number of nodes in  $S_{UR}$  whose x coordinate is a multiple of  $2^{i-2}$  is bounded by 8 as  $p < 2^{i+1}$ . Similarly, the number of nodes whose y coordinate is a multiple of  $2^{i-2}$  is also bounded by 8. Apart from these 16 nodes, we have to consider nodes v = (x', y') where x' (resp. y') is less than the smallest multiple of  $2^{i-2}$  greater than x (resp. y). Such a node cannot be interior to a  $2^{j} \times 2^{i-2}$  (resp.  $2^{i-2} \times 2^{j}$ ) rectangle for  $j \leq i-3$ . For j=i-3, we obtain at most two nodes whose x coordinate is a multiple of  $2^{j}$ . By repeating the argument for j = i - 4..1, we can finally bound the number of nodes  $v \in S_{UR}$  with reach greater than r/2 by 4(i-3) + 16 = 4(i+1). Nodes in the upper right quadrant that are at distance r from u in  $T_u$  must be on edges outgoing from nodes in  $S_{UR}$  and  $Cut_r(T_u^*)$  has thus size at most  $8(i+1) = O(\log n)$ . By symmetry, the bound holds for other quadrants and the skeleton dimension of  $G_L$  is  $O(\log n)$ .

We remark that there exist different lengths functions on the grid for which the skeleton dimension is also as large as  $\Theta(\sqrt{n})$ . This is the case, for example, for a grid with unit lengths of all edges except for edges intersecting its major diagonal, which is configured to be a fast transit artery (it suffices to set  $\ell((x,y),(x+1,y))=0.5$  for all x=y).

### 3 Hub Labeling using Tree Skeletons.

In this section, we assign shortest-path-intersecting hub sets to a set of (terminal) nodes V of the considered network. We will assume that the length function  $\ell$  on edges is integer weighted. To emulate the geometric realization of the graph, we subdivide edges into suffi-

ciently short fragments by inserting a set of additional nodes  $V^+$  into the network. For convenience of subsequent analysis, we assume that an edge vw, for  $v, w \in V$ , of integer length  $\ell(vw)$  is subdivided into  $12\ell(vw)$  edges of length 1/12 each. After this, all edges have the same length, and we subsequently treat the graph as unweighted. All the parameter definitions carry over directly from the geometric setting; for the sake of precision, we formally state the assumptions on the studied setting below.

We consider an unweighted graph  $G = (V \cup V^+, E)$ , with a distinguished set of terminal nodes V and where all nodes from  $V^+$  have degree 2. We denote n := |V|. We assume that every node  $u \in V$  is associated with a fixed (unweighted) tree  $T_u \subseteq G$ . Throughout the section, we will denote by  $P_u(v, w)$  the unique path between the pair of nodes v and w in tree  $T_u$ , and more concisely  $P_u(v) := P_u(u,v)$ . Where this does not lead to confusion, we will identify a path with its edge set, and we will also use the symbol |P| to denote the *length* of path P, i.e., the number of edges belonging to P. We write  $d_u(v) := |P_u(v)|$ . We require that the collection of trees  $\{T_u\}_{u\in V}$  satisfies the following property: For any pair of nodes  $u, v \in V$ , we have  $P_u(v) = P_v(u)$ . We will also assume that for all  $u, v, w \in V$ , we have that  $|P_u(v,w)|$  is an integer multiple of 12.

We remark that if the graph G was obtained by a distance-preserving subdivision of nodes of an edge-weighted graph on node set V under some distance metric  $\ell$ , then each tree  $T_u \in G$  corresponds to the shortest path tree of node u under the original distance metric, and the assumption  $P_u(v) = P_v(u)$  corresponds to the assumption of uniqueness of shortest paths under the original metric  $\ell$ .

An edge hub labeling is an assignment of a set of edges  $S(u) \subseteq E$  to each node  $u \in V$ , such that the following property is fulfilled: for every pair of nodes  $u, v \in V$ , there exists an edge  $\eta \in S(u) \cap S(v)$  such that  $\eta \in P_u(v)$ . The set S(u) is known as the edge hub set of u. We remark that this edge-based notion of hub sets is slightly stronger than an analogous vertex-based notion: indeed, knowing that an edge  $\eta \in P_u(v)$ , we also conclude that both of the endpoints of edge  $\eta$  belong to  $P_u(v)$ . We choose to work with edge hub sets rather than node hub sets in this Section for compactness of arguments.

We restate in the setting of the family of trees  $\{T_u\}_{u\in V}$  the notion of the skeleton  $T_u^*=T_u[V_u^*]\subseteq T_u$  as the subtree of  $T_u$  induced by node set  $V_u^*=\{v\in V(T_u): Reach_{T_u}(v)\geq \frac{1}{2}d_u(v)\}$ . For  $u\in V$ , the width  $Width(T_u^*)$  of the skeleton  $T_u^*$  may be written as

 $Width(T_u^*) = \max_{r \in \mathbb{N}} |Cut_u^{*(r)}|, \text{ where:}$ 

$$Cut_u^{*(r)} := \{ v \in V_u^* : d_u(v) = r \}.$$

Finally, we note that the skeleton dimension of graph G may be written as  $k = \max_{u \in V} Width(T_u^*)$ .

**3.1** Construction of the Hub Sets. The edge hub sets S(u),  $u \in V$ , are obtained by the following randomized construction. Assign to each edge  $e \in E$  a real value  $\rho(e) \in [0,1]$ , uniformly and independently at random. We condition all subsequent considerations on the event that all values  $\rho$  are distinct,  $|\rho(E)| = |E|$ , which holds with probability 1.

For all  $u,v\in V$ , we define the *central subpath*  $P'_u(v)\subseteq P_u(v)$  as the subpath of  $P_u(v)$  consisting of its middle  $\frac{d_u(v)}{6}$  edges; formally,  $P'_u(v):=P_u(u',v')$ , where  $u',v'\in P_u(v)$  are nodes given by:  $d_u(u')=\frac{5}{12}d_u(v)$  and  $d_u(v')=\frac{7}{12}d_u(v)$ . Next, for all  $u,v\in V, v\neq u$ , we define the hub edge  $\eta_u(v)\in P_u(v)$  as the edge with minimum value of  $\rho$  on the central subpath between u and v:

$$\eta_u(v) = \arg\min_{e \in P'_u(v)} \rho(e).$$

Finally, for each node  $u \in V$ , we adopt the natural definition of edge hub set S(u) as the set of all edge hubs of node u on paths to all other nodes:

$$S(u) := \{ \eta_u(v) : v \in V, v \neq u \}.$$

**Proof of Correctness.** Taking into account that for all  $u, v \in V$ ,  $P_u(v) = P_v(u)$ , we observe that by symmetry of the central subpath with respect to its two endpoints, we also have  $P'_u(v) = P'_v(u)$ . It follows directly that  $\eta_u(v) = \eta_v(u)$ . Hence, we have  $\eta_u(v) \in S(u) \cap S(v)$ , and also  $\eta_u(v) \in P_u(v)$ , which completes the proof of correctness of the edge hub labeling.

We devote the rest of this Section to bounding the size of hub sets S(u).

**3.2** Bounding Average Hub Set Size. In subsequent considerations we will fix a node  $u \in V$ , and restrict considerations to the tree  $T_u$ . We will assume that tree  $T_u$  is oriented from its root u towards its leaves, and we will call a path  $P \subseteq T_u$  a descending path in  $T_u$  if one of its endpoints is a descendant of the other in  $T_u$ . In particular, every path  $P_u(v)$  is a descending path. For an edge  $e \in T_u$ , we will denote by  $e^+$  and  $e^-$  the two endpoints of e, with  $e^-$  being the one further away from the root  $(d_u(e^-) = d_u(e^+) + 1)$ . Likewise, for a descending path P, we denote by  $P^+$  and  $P^-$  its two extremal vertices, closest and furthest from the root u, respectively. We also denote by  $d_u(e) := d_u(e^-)$  the distance of edge e from the root.

In order to bound the expected size of the hub set S(u), we will observe that elements of S(u) necessarily belong to the skeleton  $T_u^*$  and satisfy certain minimality constraints with respect to descending paths of sufficiently large length, contained entirely within the skeleton  $T_u^*$ .

LEMMA 3.1. Let  $\eta \in S(u)$ , for some  $u \in V$ . Then, the following claims hold:

- (1)  $\eta \in E(T_u^*)$ .
- (2)  $Reach_{T_u^*}(\eta^-) \ge \frac{1}{7}d_u(\eta)$ .
- (3) There exists a descending path  $P \subseteq T_u^*$ , such that  $\eta = \arg\min_{e \in P} \rho(e)$  and  $|P| \ge \frac{2}{7} d_u(\eta)$ .

Furthermore, the following claims hold for any edge  $\eta \in E$  satisfying Claims (1) and (3):

- (4) There exists a descending path  $P \subseteq T_u^*$ , such that  $\eta = \arg\min_{e \in P} \rho(e)$ ,  $\eta$  is one of the two extremal edges of P (i.e.,  $P^+ = \eta^+$  or  $P^- = \eta^-$ ), and  $|P| = \lceil \frac{1}{7} d_u(\eta) \rceil$ .
- (5) There exists a descending path  $P_u(x,y)$ , for some  $y \in V_u^*$  and  $x \in V_u^*$  satisfying  $d_u(x) = \lfloor \frac{7}{8} d_u(y) \rfloor$ , such that  $\eta = \arg\min_{e \in P_u(x,y)} \rho(e)$  and  $\eta$  is one of the two extremal edges of  $P_u(x,y)$ .

Proof. Select  $\eta \in S(u)$  arbitrarily. Let  $v \in V$  be any node such that  $\eta = \eta_u(v)$ . We recall that for the descending path  $P_u(u',v') \subseteq P_u(v)$ , we have  $\eta = \arg\min_{e \in P_u(u',v')} \rho(e)$ , where  $d_u(u') = \frac{5}{12}d_u(v)$  and  $d_u(v') = \frac{7}{12}d_u(v)$ . Let  $v'' \in P_u(v)$  be a node such that  $d_u(v'') = \frac{2}{3}d_u(v)$  (we recall that  $12|d_u(v)$  by assumption). By the definition of skeleton  $T_u^*$ , we have  $v'' \in V_u^*$ , and clearly  $P_u(v'') \subseteq T_u^*$ . We note that  $\eta \in P_u(v'')$  and moreover:

$$Reach_{T_u^*}(\eta^-) \ge |P_u(\eta^-, v'')| \ge |P_u(v', v'')|$$

$$= \frac{2}{3}d_u(v) - \frac{7}{12}d_u(v) = \frac{1}{12}d_u(v) = \frac{1}{7}d_u(v') \ge \frac{1}{7}d_u(\eta),$$

hence Claims (1) and (2) follow. To show Claim (3), we put  $P = P_u(u', v')$  and observe that  $\eta = \arg\min_{e \in P} \rho(e)$  by definition, and:

$$|P| = |P_u(u', v')| = \frac{7}{12}d_u(v) - \frac{5}{12}d_u(v) = \frac{1}{6}d_u(v)$$
$$= \frac{2}{7}d_u(v') \ge \frac{2}{7}d_u(\eta).$$

Next, to show Claim (4), we observe that by Claim (3),  $\eta = \arg\min_{e \in P_u(u',\eta^-)} \rho(e)$  and  $\eta = \arg\min_{e \in P_u(\eta^+,v')} \rho(e)$ . Moreover, since  $|P_u(u',v')| \ge \frac{2}{7}d_u(\eta)$ , we have  $|P_u(u',\eta^-)| + |P_u(\eta^+,v')| \ge 1 + \frac{2}{7}d_u(\eta)$ 

 $\frac{2}{7}d_u(\eta) \geq 2\left\lceil \frac{1}{7}d_u(\eta)\right\rceil$ , and so  $|P_u(u',\eta^-)| \geq \left\lceil \frac{1}{7}d_u(\eta)\right\rceil$  or  $|P_u(\eta^+,v')| \geq \left\lceil \frac{1}{7}d_u(\eta)\right\rceil$ . Claim (4) thus follows for an appropriate choice of descending path  $P \subseteq |P_u(u',\eta^-)|$  or  $P \subseteq |P_u(\eta^+,v')|$ , respectively, with  $|P| = \left\lceil \frac{1}{7}d_u(\eta)\right\rceil$ .

Finally, to show Claim (5), we consider separately the two cases from the proof of Claim (4).

If  $P \subseteq P_u(u', \eta^-)$ , then we set  $y = \eta^-$ , and choose  $x \in P_u(\eta^-)$  so that  $d_u(x) = \lfloor \frac{7}{8} d_u(\eta^-) \rfloor$ . We have  $d_u(x,y) = \lceil \frac{1}{8} d_u(\eta^-) \rceil \leq \lceil \frac{1}{7} d_u(\eta^-) \rceil = |P|$ , hence  $P_u(x,y) \subseteq P$ ,  $\eta = \arg\min_{e \in P_u(x,y)} \rho(e)$ , and the claim follows.

If  $P \subseteq P_u(\eta^+, v')$ , then we set  $x = \eta^+$ , and choose  $y \subseteq P_u(x, v')$  so that  $d_u(x) = \lfloor \frac{7}{8} d_u(y) \rfloor$ . Such a choice of y is always possible since, when moving with y along the path P, the value of  $\lfloor \frac{7}{8} d_u(y) \rfloor$  increases by at most 1 in every step; moreover, for the lower end node  $v^*$  of path P we have  $d_u(v^*) > d_u(x) + \frac{1}{7} d_u(x)$ , and so  $d_u(x) \le \lfloor \frac{7}{8} d_u(v^*) \rfloor$ . We again obtain  $\eta = \arg\min_{e \in P_u(x,y)} \rho(e)$ , and the claim follows.

We remark that the remainder of our analysis is valid for any construction of hub sets S which satisfies Claims (1), (2), and (3) of Lemma  $3.1.^2$ 

To bound the average hub set size precisely, we introduce for each node u a parameter called *integrated* skeleton dimension  $\hat{k}(u)$ , defined through a sum of inverse distances to u over nodes of its tree skeleton:

(3.2) 
$$\hat{k}(u) := \sum_{v \in V_u^*} \frac{1}{d_u(v)} = \sum_{r \in \mathbb{N}} \frac{|Cut_u^{*(r)}|}{r},$$

where the equivalence of the two definitions follows directly from the definition of cuts,  $Cut_u^{*(r)} = \{v \in V^*(u) : d_u(v) = r\}.$ 

Taking into account that  $|Cut_u^{*(r)}| \leq Width(T_u^*)$ , we have  $\hat{k}(u) = O(Width(T_u^*)\log D(T_u^*))$ , and even more roughly, we have for all  $u \in V$ :

$$\hat{k}(u) = O(k \log D),$$

where we recall that  $D = \max_{u \in V} D(T_u)$ .

Lemma 3.2. The expected hub set size of a node  $u \in V$  satisfies the bound:

$$\mathbb{E}|S(u)| \le 16\,\hat{k}(u).$$

 $\overline{\phantom{a}}$  One may, in particular consider an alternative construction of a hub set  $S^+(u)$ , defined as the set of all edges  $\eta \in E(T_u)$  which satisfy Claims (1), (2), and (3) of the Lemma. All of our bounds on hub set size also hold in the case of  $\{S^+(u)\}_{u \in V}$ . The definition results in larger labels in practice: we always have  $S(u) \subseteq S^+(u)$  (correctness results from this observation). On the other hand, hub sets  $S^+(u)$  may sometimes be constructed more efficiently than S(u): the definition of S(u) requires a scan of the entire tree T(u), whereas hub set  $S^+(u)$  may be constructed based only on the smaller skeleton  $T^*(u)$ .

Proof. Fix  $u \in V$  arbitrarily. For  $y \in V_u^*$ , we define  $x_y$  as the unique node on the path  $P_u(y)$  such that  $d_u(x_y) = \left\lfloor \frac{7}{8} d_u(y) \right\rfloor$ . We define random variable  $Q_u(y) \in \{0,1\}$  as the number of extreme edges e of the path  $P_u(x_y,y)$  (i.e.,  $e^+ = x_y$  or  $e^- = y$ ), which satisfy  $e = \arg\min \rho(P_u(x_y,y))$ . We have:

$$\Pr[Q_u(y) = 1] = \frac{2}{|P_u(x_y, y)|} \le \frac{2}{d_u(y) - \frac{7}{8}d_u(y)} = \frac{16}{d_u(y)}.$$

By Claim (5) of Lemma 3.1, it follows that by summing random variables  $Q_u(y)$  exhaustively over all vertices y we count each element  $\eta \in S(u)$  at least once, hence:

$$|S(u)| \le \sum_{y \in V_{u}^*} Q_u(y).$$

By linearity of expectation, it follows that:

$$\mathbb{E}|S(u)| \le \sum_{y \in V_u^*} \mathbb{E}Q_u(y) = \sum_{y \in V_u^*} \Pr[Q_u(y) = 1]$$
$$\le \sum_{y \in V^*} \frac{16}{d_u(y)}.$$

A direct application of Markov's inequality to the bound from Lemma 3.2, combined with Eq. (3.3), gives the following Corollary.

COROLLARY 3.1. The average hub set size satisfies  $\frac{1}{n} \sum_{u \in V} |S(u)| = O(\frac{1}{n} \sum_{u \in V} \hat{k}(u)) \le O(k \log D)$ , with probability at least 1/2 w.r.t. choice of random values  $\rho$ .

Obtaining concentration bounds on the maximal size of a hub set,  $\max_{u \in V} |S(u)|$ , requires some more care, and we proceed with the analysis in the following subsection.

**3.3** Concentration Bounds on Hub Set Size. For fixed  $u \in V$ , we consider the size of the hub set of u given by the random variable:

$$|S(u)| = \sum_{\eta \in E(T_u^*)} X(\eta),$$

where  $X(\eta) \in \{0,1\}$  is the indicator variable for the event " $\eta \in S(u)$ ". The random variables  $\{X(\eta)\}_{\eta \in E(T_u^*)}$  need not, in general, be independent or negatively correlated. In the subsequent analysis, for fixed  $\eta$ , we make use of Claim (4) of Lemma 3.1 to bound random variable  $X(\eta)$ . By the Claim of the Lemma, we can decompose  $X(\eta)$  into the contributions from descending paths located towards the root and away from the root with respect to  $\eta$ :

$$X(\eta) \le X^+(\eta) + X^-(\eta),$$

where we define:

- $X^+(\eta) \in \{0,1\}$  is the indicator variable for the event: "for the unique descending subpath  $P \subseteq P_u(\eta^-)$  of length  $|P| = \left\lceil \frac{1}{7} d_u(\eta) \right\rceil$  ending in edge  $\eta$  (i.e.,  $P^- = \eta^-$ ), it holds that  $\eta = \arg\min \rho(P)$ ",
- $X^-(\eta) \in \{0,1\}$  is the indicator variable for the event: "there exists a descending path  $P \subseteq T_u^*$  of length  $|P| = \left\lceil \frac{1}{7} d_u(\eta) \right\rceil$  starting in edge  $\eta$  (i.e.,  $P^+ = \eta^+$ ), such that  $\eta = \arg\min \rho(P)$ ".

Moreover, by Claim (2) of Lemma 3.1, we may have  $X(\eta) \neq 0$  only for those edges  $\eta$  for which  $Reach_{T_u^*}(\eta^-) \geq \frac{1}{7}d_u(\eta)$ . We denote  $V_u^{**} = \{v \in V_u^* : Reach_{T_u^*}(v) \geq \frac{1}{7}d_u(v)\}$  and  $T_u^{**} = T_u[V_u^{**}]$ . We can now rewrite Eq. (3.4) as:

(3.5) 
$$|S(u)| \le \sum_{\eta \in E(T_u^{**})} X^+(\eta) + \sum_{\eta \in E(T_u^{**})} X^-(\eta),$$

and proceed to bound both of these sums separately. In order to be able to manipulate the sums more conveniently, we first introduce a partition of the tree according to geometrically increasing scales of distance.

**Partition of**  $T_u^*$  **into Layers.** We consider a sequence of increasing integer radii  $(r^{[i]})_{i \in \mathbb{N}}$ , given as  $r^{[0]} = 0$  and  $r^{[i]} = \left\lceil 15 \left( \frac{16}{15} \right)^i \right\rceil$ , for  $i \geq 1$ . The last nonempty layer corresponds to index  $i_{max} < \log_{16/15} D < 16 \ln D$ . Cutting the edge set of tree  $T_u^*$  at vertices located at distances  $\{r^{[i]}\}_{i \in \mathbb{N}}$  from the root u yields the following partition into layers:

$$E(T_u^*) = \bigcup_{i \in \mathbb{N}} L^{*[i]},$$

where:  $L^{*[i]} := \{ e \in E(T_u^*) : r^{[i]} < d_u(e) \le r^{[i+1]} \}.$ 

We further denote the subset of each layer restricted to edges from  $T_u^{**}$  as  $L^{**[i]} := L^{*[i]} \cap E(T_u^{**}), i \in \mathbb{N}$ .

Lemma 3.3. For all  $i \geq 1$ , edge set  $L^{**[i]}$  admits a partition into paths,  $L^{**[i]} = \bigcup_{j=1}^{l_i} P^{[i,j]}$ , such that  $l_i < 2 \min_{r \in [r^{[i+1]}, r^{[i+2]}]} |Cut_u^{*(r)}| \leq 2 k$ , each  $P^{[i,j]}$  is a descending path, and all internal nodes of all paths  $P^{[i,j]}$  have degree exactly 2 in  $T_u^{**}$ .

Proof. Define partition  $L^{**[i]} = \bigcup_{j=1}^{l_i} P^{[i,j]}$  of the edge set of the considered layer so that each path  $P^{[i,j]}$ ,  $1 \leq j \leq l_i$ , is a maximal descending path whose internal nodes all have degree exactly 2 in  $T_u^{**}$ . Let  $F = T_u(L^{**[i]})$  be the oriented sub-forest of  $T_u$  induced by the edges of  $L^{**[i]}$ . Let l be the number of leaves of F and c be the number of its connected components. An elementary relation between the number of leaves and the number of nodes of degree more than 2 in a forest gives  $l_i \leq 2l - c < 2l$ . Moreover, by the definition

of  $T_u^{**}$ , we have that for each  $\eta \in L^{**[i]}$ , we have  $\operatorname{Reach}_{T_u^*}(\eta^-) \geq \frac{1}{7}d_u(\eta^-)$ . It follows that each of the l paths  $P^{[i,j]}$ , such that  $P^{[i,j]-}$  is a leaf of F, can be extended along a descending path in  $T_u^*$  by a distance of  $\frac{1}{7}d_u(P^{[i,j]-}) \geq \frac{1}{7}r^{[i]} \geq r^{[i+2]} - r^{[i]} - 1$ . It follows that each of the l leaves of F can be extended along a (independent) descending path until radius  $r^{[i+2]}$  inclusive. Thus,  $l < \min_{r \in [r^{[i+1]}, r^{[i+2]}]} |\operatorname{Cut}_u^{*(r)}| \leq k$ , which completes the proof.

Bounding the Sum of  $X^+(\eta)$ . Denote by  $\mathcal{P}^{[i]}$  the set of descending paths P in  $T_u$  which stretch precisely between the endpoints of the i-th layer:  $d_u(P^+) = r^{[i]}$ ,  $d_u(P^-) = r^{[i+1]}$ . For a fixed path  $P^{[i,j]} \subseteq L^{**[i]}$ ,  $i \geq 1$ , we denote by  $Q^{[i,j]}$  the unique path in  $\mathcal{P}^{[i-1]}$  which extends to  $P^{[i,j]}$ , i.e.,  $Q^{[i,j]} \in \mathcal{P}^{[i-1]}$  and  $Q^{[i,j]} \subseteq P_u(P^{[i,j]+})$ .

Consider now an arbitrary edge  $\eta$  which does not belong to layers 0 or 1 of the tree partition,  $\eta \in E(T_u^{**}) \setminus (L^{**[0]} \cup L^{**[1]})$ . Taking into account the above decomposition of set  $T_u^{**}$  into layers, and of layers into paths, there exists a unique path  $P^{[i,j]}$ , such that  $\eta \in P^{[i,j]}$ . Then, we observe that for the event  $X^+(\eta) = 1$  to hold, it is necessary that two conditions are jointly fulfilled:  $\eta$  must satisfy the *prefix minimum* condition on the path  $P^{[i,j]}$ :

$$(3.6) \qquad \qquad \eta = \arg\min_{e \in P^{[i,j]} \cap P_u(\eta^-)} \rho(e),$$

and moreover, we must have  $\rho(\eta) < \min \rho(Q^{[i,j]})$ . Indeed, considering the definition of  $X^+(\eta)$ , the unique descending subpath  $P \subseteq P_u(\eta^-)$  of length  $|P| = \left\lceil \frac{1}{7} d_u(\eta) \right\rceil$  which ends with edge  $\eta$  has its other endpoint in  $L^{*[i-2]}$ . We have  $\eta = \arg \min \rho(P)$ , and path P includes as subpaths both the entire prefix  $P^{[i,j]} \cap P_u(\eta^+)$ , and the path  $Q^{[i,j]}$ .

We denote by  $M^{+[i,j]} \subseteq P^{[i,j]}$  the set of all edges  $\eta \in P^{[i,j]}$  satisfying  $\rho(\eta) < \min \rho(Q^{[i,j]})$ . We further denote by  $\eta^{+[i,j,k]}$  the k-th edge in  $M^{+[i,j]}$ , when ordering edges of  $M^{+[i,j]}$  by increasing distance from the root  $u, 1 \le k \le |M^{+[i,j]}|$ . Finally, we denote by  $Z^{+[i,j,k]} \in \{0,1\}$  the indicator random variable for the event that "edge  $\eta^{+[i,j,k]}$  satisfies the prefix minimum condition (3.6) on path  $P^{[i,j]}$ ". It follows that:

$$\sum_{\eta \in E(T_u^{**})} X^+(\eta) \le \sum_{\eta \in L^{**[0]} \cup L^{**[1]}} X^+(\eta) + \sum_{\substack{i=2,3,4,\dots\\1 \le j < l_i}} \sum_{k=1}^{|M^{+[i,j]}|} Z^{+[i,j,k]},$$

where we note that the ranges of sum indices i, j do not depend on the random choice of  $\rho$  in our setting. We

further rewrite the above expression, roughly bounding the first sum by cardinality, and splitting the second double sum according to even and odd values of i:

$$\sum_{\eta \in E(T_u^{**})} X^+(\eta) \le \sum_{r=0}^{19} |Cut_u^{*(r)}| + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}^{|M^{+[i,j]}|} Z^{+[i,j,k]} + \underbrace{\sum_{\substack{i=2,4,6,\dots \\ 1 \le j \le l_i}}$$

$$(3.7) + \sum_{\substack{i=3,5,7,\dots\\1\leq j\leq l_i}} \sum_{k=1}^{|M^{+[i,j]}|} Z^{+[i,j,k]}.$$

We subsequently consider only bounds on the summed expression  $A_{even}^+$  for 2|i (bounds on the expression  $A_{odd}^+$  follow by identical arguments).

We observe that for fixed i, i = 2a for some  $a \in N^+$ , the random variables  $|M^{+[i,j]}|$  depend only on the choice of random values  $\rho(e)$  for  $e \in L^{*[i-1]}$ . Now, conditioning on a choice  $\rho_{odd}$  of random values  $\rho(e)$  for  $e \in L^{*[2a-1]}$ , for all  $a \in \mathbb{N}^+$ , we observe that  $\{Z^{+[i,j,k]}\}_{2|i,j,1 \leq k \leq |M^{+[i,j]}|}$  is a set of independent random variables, with:

$$\Pr[Z^{+[i,j,k]} = 1 | \rho_{odd}] = 1/k.$$

The above probability and independence follows directly from a well-known characterization of the probability that the k-th element of a uniformly random permutation (ordering) is its prefix minimum.

We have:

(3.8) 
$$\mathbb{E}[A_{even}^{+}|\rho_{odd}] = \sum_{\substack{i=2,4,6,\dots\\1\leq j\leq l_i}} \sum_{k=1}^{|M^{+[i,j]}|} \frac{1}{k} <$$

$$< \sum_{\substack{i=2,4,6,\dots1\leq j\leq l_i}} (1+\ln^{\circ}|M^{+[i,j]}|) =: N_{even}^{+},$$

where  $\ln^{\circ} x = \ln x$  for x > 0 and  $\ln^{\circ} 0 = 0$ . By an application of a simple Chernoff bound for the sum of variables  $\{Z^{+[i,j,k]}\}_{i,j,k}$ , we have: (3.9)

$$\Pr[A_{even}^+ < N_{even}^+ + 3c \ln n] > 1 - n^{-c}, \text{ for } c > 1.$$

It now remains to provide bounds on the concentration of random variable  $N_{even}^+$  in its upper tail. If our only goal is to bound the hub set size as  $O(k \log D \log \log n + \log n)$ , then obtaining such bounds becomes a relatively straightforward exercise in Chernoff bounds over individual paths  $P^{[i,j]}$ . In this work, we go for a more pedestrian approach through a type of balls-into-bins process, optimizing bounds over larger

path sets, which will eventually give us slightly tighter bounds, including a bound of  $O(k \log D \log \log k)$ .

Denote  $M_i^+ := \sum_{j=1}^{l_i} |M^{+[i,j]}|$ , for  $i \geq 2$ . Then, we have the following bound.

LEMMA 3.4. Fix  $i \geq 2$ . Then, for any  $c \geq 1$ :

$$\Pr\left[M_i^+ > 12cl_i\right] < 2^{-c}.$$

*Proof.* For fixed i, we consider the edge set  $F:=\bigcup_{j=1}^{l_i}P^{[i,j]}\cup\bigcup_{j=1}^{l_i}Q^{[i,j]}$  (forming a subforest of  $T_u^*$ , contained entirely within layers  $L^{*[i-1]}$  and  $L^{*[i]}$ ). See Figure 3.3 for an illustration.

Choose arbitrarily the set I of (necessarily distinct) values of  $\rho$  which appear within F,  $I = \rho(F)$ . Let  $I = \{i_1, i_2, \dots, i_{|F|}\}$ , with  $i_1 \leq i_2 \leq \dots \leq i_{|F|}$ .

We couple the sampling of values  $\rho$  on F with the following two-stage process. First, we fix set I. Then, given a choice of I, we select a uniformly random permutation to perform the assignment of values from I to edges in F (|I| = |F|). The latter permutation is defined iteratively, by assigning to successive values  $i_t$ ,  $1 \le t \le |F|$ , an as yet unoccupied edge (site) from F. The value of  $|M^{+[i,j]}|$  is given as the number of elements of I which are placed in sites from  $P^{[i,j]}$  before the smallest index  $t_j \in \mathbb{N}$ , such that  $i_{t_j} \in \rho(Q^{[i,j]})$ . We will refer to the index t as representing moments of time, and we will then say that path  $P^{[i,j]}$  was cut of at time  $t_j$ .

For successive moments of time  $t \in N$ , we denote by  $J_t$  the set of surviving path indices at time t, i.e.,  $J_t = \{j : \forall_{t' \leq t} \ i_{t'} \notin \rho(Q^{[i,j]})\}$ . We then obtain subforest  $F_t \subseteq F$  by restricting F to its surviving part,  $F_t = \bigcup_{j \in J_t} P^{[i,j]} \cup \bigcup_{j \in J_t} Q^{[i,j]}$ . To prove the claim, we will consider how the random

To prove the claim, we will consider how the random variable  $M_i^+$  increases over time, until  $F_t = \emptyset$ . We again couple our sampling process by first deciding in each time step whether to place  $i_t$  in forest  $F \setminus F_{t-1}$  or in forest  $F_{t-1}$ , and only afterwards fixing for  $i_t$  a specific free site with uniform probability within the chosen subforest. Observe that if  $i_t$  is placed  $F \setminus F_{t-1}$ , then in the given step of the considered process, the value of  $M_i^+$  remains unchanged at time t. We will thus eliminate from the process all time steps t such that  $i_t \in F \setminus F_{t-1}$ , and by a slight abuse of notation, we will relabel time indices as if these steps never occurred. Thus, in each time step t, we assume that a free site is picked for  $i_t$  from  $F_{t-1}$  uniformly at random.

Consider now the random variable  $\phi_t = |J_{t-1}| - |J_t|$ , representing the number of paths cut off in time step t. The expectation of  $\phi_t$  can be lower-bounded, regardless of the history of the process.

Claim (\*). 
$$\mathbb{E}[\phi_t|F_{t-1}] > 1/3$$
, for any  $F_{t-1} \neq \emptyset$ .

Proof (of Claim). Fix forest  $F_{t-1}$ . We assign to each edge  $e \in \bigcup_{j \in J_{t-1}} Q^{[i,j]}$  a weight  $w(e) \in \mathbb{N}^+$ , given as the number of  $j \in J_{t-1}$  such that  $P^{[i,j]}$  can be reached from e by a descending path. For all edges  $e \in \bigcup_{j \in J_{t-1}} P^{[i,j]}$ , we put w(e) = 0. Now, if e is chosen as the t-th edge in the process, we have  $\phi_t = |J_{t-1}| - |J_t| = w(e)$ . It follows that:

$$\begin{split} & \mathbb{E}[\phi_t|F_{t-1}] = \frac{\sum_{e \in F_{t-1}} w(e)}{|F_{t-1} \setminus \{e \in F : \rho(e) < i_t\}|} \geq \\ & \geq \frac{\sum_{e \in F_{t-1}} w(e)}{|F_{t-1}|} \geq \frac{|J_{t-1}|(r^{[i]} - r^{[i-1]})}{|J_{t-1}|(r^{[i+1]} - r^{[i-1]})} > \frac{1}{3}, \end{split}$$

which completes the proof of the claim.

Moreover, taking into that  $\phi_t$  has bounded range  $\phi_t \in [0, l_i]$ , and that  $\sum_{t \in \mathbb{N}} \phi_t = l_i$ , we obtain a concentration result on the number of steps until the stopping of the process  $(F_t = \emptyset)$ ; for completeness, we provide a standalone proof.

Claim (\*\*). For  $T = 12cl_i$ , we have:  $\Pr[F_T \neq \emptyset] < 2^{-c}$ .

Proof (of Claim). We define the non-negative submartingale  $\Phi_T' = \sum_{t=1}^T \phi_t'$  as follows. When  $F_{t-1} \neq \emptyset$ , we choose  $\phi_t' \in [0, l_i]$  to be dominated by  $\phi_t$ , so that  $\phi_t' \leq \phi_t$  and  $\mathbb{E}[\phi_t'|F_{t-1}] = 1/3$ . (The latter condition can always be satisfied by Claim (\*)). When  $F_{t-1} = \emptyset$ , we put  $\phi_t' = 1/3$ . Observe that when  $\Phi_T' > l_i$  for some T, we necessarily have  $\phi_t' > \phi_t$  for some  $t \leq T$ , hence  $F_T = \emptyset$ . To lower-bound the probability of the event  $\Phi_T' > l_i$ , we remark that the bounds  $\phi_t' \in [0, l_i]$  and  $\mathbb{E}[\phi_t'|F_{t-1}] = 1/3$  imply the following bound on variance of the process:  $\sigma^2[\phi_t'|F_{t-1}] \leq l_i/3$ . Now, using a standard martingale bound (cf. e.g. [16][Thm. 18] applied to the process  $X_t = \Phi_t' - t/3$ ), we obtain for any  $\lambda > 0$ :

$$\Pr[\Phi_T' \le T/3 - \lambda] \le \exp\left(\frac{-\lambda^2/2}{Tl_i/3 + \lambda l_i/3}\right).$$

Substituting  $T = 12cl_i$  and  $\lambda = 3cl_i$ , we obtain:

$$\Pr[\Phi_T' \le cl_i] \le e^{-0.9c} < 2^{-c}.$$

Taking into account that  $c \ge 1$  by assumption, the claim follows directly.

Recalling that in each time step t with  $F_t \neq \emptyset$ , the value of random variable  $M_i^+$  increases by at most 1, we obtain directly from Claim (\*\*) that  $\Pr[M_i^+ > 12cl_i] < 2^{-c}$ , which completes the proof.

Next, for 2|i, let  $C_i \in \mathbb{N}^+$  be a random variable defined as the smallest integer such that  $M_i^+ \leq 12C_il_i$ . Since  $C_i$  depends only on random values  $\rho$  chosen with

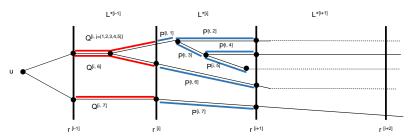


Figure 1: Illustration of paths  $P^{[i,j]}$  and  $Q^{[i,j]}$ . Edges from  $T_u^{**}$  are marked with solid lines, remaining edges from  $T_u^*$  are marked with dashed lines.

 $L^{*[i-1]} \cup L^{*[i]}$ , the random variables  $\{C_i\}_{2|i}$  are independent. Moreover, by Lemma 3.4, each  $C_i$  may be stochastically dominated by a (independent) geometrically distributed random variable  $\Gamma_i$  with parameter p = 1/2. It follows that:

$$\sum_{\substack{2|i,\\ \leq i_{max}}} C_i \leq \sum_{\substack{2|i,\\ i \leq i_{max}}} \Gamma_i \sim \text{NB}(\lfloor i_{max}/2 \rfloor, 1/2),$$

where the parameters of the negative binomial distribution NB(r, p) represent the number of trials with success probability p until r successes are reached. An application of a rough tail bound for  $NB(\lfloor i_{max}/2 \rfloor, 1/2)$  gives:

(3.10) 
$$\Pr\left[\sum_{2|i} C_i < 2i_{max} + 4c \ln n\right] > 1 - n^{-c}, \text{ for any } c > 1.$$

Recalling that  $M_i^+ = \sum_{j=1}^{l_i} |M^{+[i,j]}| \le 12C_i l_i$ , we may write by concavity of the logarithm function:

$$\sum_{j=1}^{l_i} (1 + \ln^{\circ} |M^{+[i,j]}|) \le l_i + l_i \ln^{\circ} \left( \frac{1}{l_i} \sum_{j=1}^{l_i} |M^{+[i,j]}| \right) \le$$

$$< l_i + l_i \ln(12C_i) < l_i \ln(33C_i).$$

We can therefore bound variable  $N_{even}^+$ , taking into account its definition (3.8): (3.11)

$$N_{even}^{+} = \sum_{\substack{2|i\\1 \le j \le l_i}} (1 + \ln|M^{+[i,j]}|) \le \sum_{2|i} l_i \ln(33C_i).$$

We now apply a union bound over the two events given by (3.9) and (3.10), which hold w.h.p., From Eq. (3.9), (3.10), and (3.11), we have that for any c > 1, the following event holds w.p. at least  $1 - 2n^{-c}$ :

(3.12) 
$$N_{even}^{+} \le 3c \ln n + \max_{\substack{(c_i) \\ i \le i_{max}}} \sum_{\substack{2|i \\ i \le i_{max}}} l_i \ln(33c_i),$$

where  $(c_i)_{i \leq i_{max}, 2|i}$  are positive integers satisfying the condition:  $\sum_{2|i} c_i < 2i_{max} + 4c \ln n$ .

Returning to Eq. (3.7), with respect to  $N_{odd}^+$  an analogous technique gives us that w.p. at least  $1-2n^{-c}$ :

(3.13) 
$$N_{odd}^{+} \le 3c \ln n + \max_{\substack{(c_i) \ i < i_{max}}} \sum_{\substack{2 \neq i \ i < i_{max}}} l_i \ln(33c_i),$$

where  $(c_i)_{i \leq i_{max}, 2 \mid i}$  are likewise positive integers satisfying the condition:  $\sum_{2 \mid i} c_i < 2i_{max} + 4c \ln n$ .

Combining Eq. (3.7) with Eq. (3.12) and (3.13) through a union bound (and substituting  $\gamma_i := 33c_i$ ), we eventually obtain that w.p. at least  $1 - 4n^{-c}$ :

(3.14) 
$$\sum_{\eta \in E(T_u^{**})} X^+(\eta) \le \sum_{r=0}^{19} |Cut_u^{*(r)}| + 6c \ln n + \max_{(\gamma_i)} \sum_{i \le i_{max}} l_i \ln \gamma_i,$$

where  $(\gamma_i)_{i \leq i_{max}}$  satisfy the condition:

(3.15) 
$$\forall_i \gamma_i \in \mathbb{N}^+$$
 and  $\sum \gamma_i < 132i_{max} + 264c \ln n$ .

Bounding the Sum of  $X^-(\eta)$ . For the random variables  $X^-(\eta)$ , the main arguments required to establish the bound are similar to those in the case of  $X^+(\eta)$ ; we confine ourselves to an exposition of the differences. The main difference is that for a path  $P^{[i,j]}$ , instead of a unique predecessor path  $Q^{[i,j]}$  in layer  $L^{*[i-1]}$ , we now have to deal with multiple possible descendant paths in layer  $L^{*[i+1]}$ ; on the other hand, the outward-branching structure of the tree means that we can show tighter concentration bounds in this case.

We recall that  $\mathcal{P}^{[i]}$  is the set of descending paths P in  $T_u$  which stretch precisely between the endpoints of the i-th layer, and for  $1 \leq j \leq l_i$ , we denote by  $\mathcal{R}^{[i,j]}$  the set of all paths in  $\mathcal{P}^{[i+1]}$  which are extensions of  $P^{[i,j]}$ , i.e.,  $\mathcal{R}^{[i,j]} \subseteq \mathcal{P}^{[i+1]}$  and for all  $R \in \mathcal{R}^{[i,j]}$ , we have  $P^{[i,j]} \subseteq P_u(R^+)$ . For the event  $X^-(\eta) = 1$  to hold, it is now necessary that two conditions are jointly fulfilled:  $\eta$  must satisfy the suffix minimum condition on the path  $P^{[i,j]}$ :

(3.16) 
$$\eta = \arg\min_{e \in P^{[i,j]} \setminus P_n(n^+)} \rho(e),$$

and moreover,  $\rho(\eta) < \max_{R \in \mathcal{R}^{[i,j]}} \min \rho(R)$ . We next denote by  $M^{-[i,j]} \subseteq P^{[i,j]}$  the set of all edges  $\eta \in P^{[i,j]}$  satisfying  $\rho(\eta) < \max_{R \in \mathcal{R}^{[i,j]}} \min \rho(R)$ . We further denote by  $\eta^{-[i,j,k]}$  the k-th edge in  $M^{-[i,j]}$ , when ordering edges of  $M^{-[i,j]}$  by decreasing distance to the root  $u, 1 \le k \le |M^{-[i,j]}|$ . Finally, we denote by  $Z^{-[i,j,k]} \in \{0,1\}$  the indicator random variable for the event that "edge  $\eta^{-[i,j,k]}$  satisfies the suffix minimum condition (3.16) on path  $P^{[i,j]}$ ".

The subsequent analysis proceeds as before, and we obtain direct analogues of Eq. (3.7), (3.8), and (3.9), replacing superscripts "+" of all random variables by "-".

We next denote  $M_i^-:=\sum_{j=1}^{l_i}|M^{-[i,j]}|,$  for  $i\geq 2,$  and obtain the following analogue of Lemma 3.4.

LEMMA 3.5. Fix  $i \geq 2$ . Then, for any  $c \geq 1$ :

$$\Pr\left[M_i^- > 12cl_i\right] < e^{-4cl_i} < 2^{-c}.$$

*Proof.* The proof follows along similar lines as that of Lemma 3.4.

For fixed i, let  $\mathcal{R}^{[i]} := \bigcup_{j=1}^{l_i} \mathcal{R}^{[i,j]}$ . We consider the edge set  $F := \bigcup_{j=1}^{l_i} P^{[i,j]} \cup \mathcal{R}^{[i]}$  (forming a subforest of  $T_u^*$ , contained entirely within layers  $L^{*[i]}$  and  $L^{*[i+1]}$ ). Choose arbitrarily the set I of (necessarily distinct) values of  $\rho$  which appear within F,  $I = \rho(F)$ . Let  $I = \{i_1, i_2, \ldots, i_{|F|}\}$ , with  $i_1 \leq i_2 \leq \ldots \leq i_{|F|}$ .

As in the proof of Lemma 3.4, we couple the sampling of values  $\rho$  on F with the following two-stage process. First, we fix set I. Then, given a choice of I, we select a uniformly random permutation to perform the assignment of values from I to edges in F (|I| = |F|). The latter permutation is defined iteratively, by assigning to successive values  $i_t$ ,  $1 \le t \le |F|$ , an as yet unoccupied edge (site) from F. The value of  $|M^{-[i,j]}|$  is given as the number of elements of I which are placed in sites from  $P^{[i,j]}$  before the smallest index  $t \in \mathbb{N}$ , such that for all paths  $R \in \mathcal{R}^{[i,j]}$ , there exists t' < t such that  $i_{t'} \in \rho(R)$ . We will refer to the index t as representing moments of time.

Let  $\mathcal{R}^{[i]} = \{R_1, \dots, R_{|\mathcal{R}^{[i]}|}$ . We say that a path  $R_k \in \mathcal{R}^{[i]}$  was cut off at time t if t is the smallest time such that  $i_t \in \rho(R_k)$ . For successive moments of time  $t \in N$ , we denote by  $K_t$  the set of surviving indices k of paths  $R_k$  which have not been cut off at time t. We obtain subforest  $F_t \subseteq F$  by restricting F to its surviving part, where we treat a path  $P^{[i,j]}$  if it extends to at least one surviving path  $R_k$ :

$$F_t = \bigcup_{k \in K_t} R_k \cup \bigcup_{\substack{j: \\ \exists_{k \in K_t} \ P^{[i,j]} \subseteq P_u(R_k^+)}} P^{[i,j]}.$$

Exactly as in the proof of Lemma 3.4, we will consider how the random variable  $M_i^-$  increases over time, until

 $F_t = \emptyset$ . We again couple our sampling process by first deciding in each time step whether to place  $i_t$  in forest  $F \setminus F_{t-1}$  or in forest  $F_{t-1}$ , and only afterwards fixing for  $i_t$  a specific free site with uniform probability within the chosen subforest. Observe that if  $i_t$  is placed  $F \setminus F_{t-1}$ , then in the given step of the considered process, the value of  $M_i^-$  remains unchanged at time t. We will thus eliminate from the process all time steps t such that  $i_t \in F \setminus F_{t-1}$ , and by a slight abuse of notation, we will relabel time indices as if these steps never occurred. Thus, in each time step t, we assume that a free site is picked for  $i_t$  from  $F_{t-1}$  uniformly at random.

Consider now the random variable  $\phi_t = |K_{t-1}| - |K_t|$ , representing the number of paths R cut off in time step t. The expectation of  $\phi_t$  can be lower-bounded, regardless of the history of the process.

Claim (\*). 
$$\mathbb{E}[\phi_t|F_{t-1}] \geq 1/2$$
, for any  $F_{t-1} \neq \emptyset$ .

*Proof (of Claim)*. Fix forest  $F_{t-1}$ . When inserting  $i_t$ , the number of free sites in layer  $L^{*[i+1]}$  is at least:

$$\sum_{k \in K_{t-1}} |R_k| \ge |K_{t-1}| (r^{[i+1]} - r^{[i]}).$$

On the other hand, since each surviving path  $P^{[i,j]}$  extends to some surviving path  $R_k$ , the total number of free sites for insertion of  $i_t$  is upper-bounded by  $|K_{t-1}|(r^{[i+1]}-r^{[i-1]})$ . Since insertion of  $i_t$  into layer  $L^{*[i]}$  means that  $|K_t|=|K_{t-1}|$ , and insertion of  $i_t$  into layer  $L^{*[i+1]}$  means that  $|K_t|=|K_{t-1}|-1$ , we obtain:

$$\mathbb{E}[\phi_t|F_{t-1}] \ge \frac{|K_{t-1}|(r^{[i+1]} - r^{[i]})}{|K_{t-1}|(r^{[i+1]} - r^{[i-1]})} \ge \frac{1}{2},$$

which completes the proof of the claim.

Moreover, taking into that  $\phi_t$  has bounded range  $\phi_t \in \{0,1\}$ , and that  $\sum_{t \in \mathbb{N}} \phi_t \leq l_i$ , we obtain a concentration result on the number of steps until the stopping of the process  $(F_t = \emptyset)$  directly from the Azuma-McDiarmid martingale inequality (cf. e.g. [16][Thm. 16], [25]). For parameter  $T = 12cl_i$ , we obtain after some transformations:

$$\Pr[F_{12cl_i} \neq \emptyset] < e^{-4cl_i}.$$

Recalling that in each time step t with  $F_t \neq \emptyset$ , the value of random variable  $M_i^-$  increases by at most 1, we obtain directly that  $\Pr[M_i^- > 12cl_i] < e^{-4cl_i}$ , which completes the proof.

The rest of the argument proceeds as for the case of  $X^+$ , applying Lemma (3.5) in place of Lemma (3.4). We eventually obtain the following analogue of Eq. (3.7):

for any c > 1, w.p. at least  $1 - 4n^{-c}$ :

(3.17) 
$$\sum_{\eta \in E(T_u^{**})} X^{-}(\eta) \leq \sum_{r=0}^{19} |Cut_u^{*(r)}| + 6c \ln n + \max_{(\gamma_i)} \sum_{i \leq i_{max}} l_i \ln \gamma_i,$$

where  $(\gamma_i)_{i \leq i_{max}}$  satisfy condition (3.15).

**Combining Bounds.** Introducing the bounds of Eq. (3.14) and (3.17) into Eq. (3.5) through a union bound we obtain the following statement: For any c > 1, w.p. at least  $1 - 8n^{-c}$ : (3.18)

$$|S(u)| \le 2\sum_{r=0}^{19} |Cut_u^{*(r)}| + 12c \ln n + 2 \max_{(\gamma_i)} \sum_{i \le i_{max}} l_i \ln \gamma_i,$$

where  $(\gamma_i)_{i \leq i_{max}}$  satisfy condition (3.15).

Now, recalling the bounds on  $l_i$  from Lemma 3.3, the bound  $i_{max} < 16 \ln D$ , setting c = 2, and applying a union bound over all vertices u, we obtain the main technical result of the Section. We present it first in its strongest form, and then provide two more useful corollaries.

THEOREM 3.1. With probability at least 1 - 8/n, all nodes  $u \in V$  satisfy the following bound on hub set size:

(3.19) 
$$S(u) \le 2 \sum_{r=0}^{19} |Cut_u^{*(r)}| + 24 \ln n + 2 \max_{(\gamma_i)} \sum_{i=1,2,\dots,|16 \ln D|} l_i \ln \gamma_i,$$

 $\begin{array}{lll} \textit{where} & l_i \leq 2 \min_{r \in [r^{[i+1]}, r^{[i+2]}]} | \textit{Cut}_u^{*(r)}| \textit{ with } r^{[i]} = \\ \left\lceil 15 \left(\frac{16}{15}\right)^i \right\rceil, \textit{ and the maximum is taken over positive integers } (\gamma_i) \textit{ satisfying the condition: } \sum \gamma_i < 2112 \ln D + 528 \ln n. \end{array}$ 

We provide two more convenient corollaries of Theorem 3.1. For the case when the considered trees  $T_u$  are close to scale-free, we simply bound the size of all cuts  $\operatorname{Cut}_u^{*(r)}$  through skeleton dimension:  $|\operatorname{Cut}_u^{*(r)}| \leq k$ . Bound (3.19) then takes the asymptotic form, for  $i_{max} = \lfloor 16 \ln D \rfloor$ :

$$(3.20) \ S(u) \leq O(k) + O(\log n) + O(k) \max_{(\gamma_i)} \sum_{i \leq i_{max}} \ln \gamma_i,$$

where the latter sum can be bounded using the concav-

ity of the logarithm function as:

$$\max_{(\gamma_i)} \sum_{i \le i_{max}} \ln \gamma_i \le i_{max} \max_{(\gamma_i)} \ln \left( \frac{1}{i_{max}} \sum_{i \le i_{max}} \gamma_i \right) \le \\
\le i_{max} O\left( \max \left\{ 1, \log \frac{\log n}{\log D} \right\} \right) \le \\
\le O\left( \log D \max \left\{ 1, \log \frac{\log n}{\log D} \right\} \right).$$

We also observe the following link between the parameters k, D, and n. Since by Proposition 2.1 graph G has doubling dimension bounded by 2k+1, it follows that a radius-D ball in G may only contain at most  $(2k+1)^{\lceil \log_2 D \rceil}$  nodes from V. Hence,  $(2k+1)^{\lceil \log_2 D \rceil} \ge n$ , and we obtain:

(3.21) 
$$\log n = O(\log k \cdot \log D).$$

Thus, the  $O(\log n)$  additive factor in the bound (3.20) on S(u) is dominated in notation by the last factor of the sum, which is stated as at least  $O(k \log D)$ .

Combining the above, we obtain the following corollary.

COROLLARY 3.2. With probability at least 1 - O(1/n), the hub set size of every node is bounded by:

$$O\left(k\log D\max\left\{1,\log\frac{\log n}{\log D}\right\}\right).$$

In particular, when the graph has sufficiently large diameter,  $D = n^{\Omega(1)}$ , we have that the hub set size of all nodes is bounded by  $O(k \log D)$ . For the general case, by introducing Eq. (3.21) into Corollary 3.2, we obtain the following statement.

COROLLARY 3.3. With probability at least 1 - O(1/n), the hub set size of every node is bounded by:

$$O(k \log \log k \log D)$$
.

When considering the case of trees in which the width of tree  $T_u^*$  is far from uniform over different scales of distance, tighter bounds are obtained by relating the size of S(u) to the integrated skeleton dimension  $\hat{k}(u)$ . To do this, we apply in Eq. (3.19) the rough bound:  $\ln \gamma_i < \ln \sum_i \gamma_i = O(\log \log n + \log \log D)$ . This leaves us with an expression of the form:

$$S(u) \le O(\hat{k}(u)) + O(\log n) +$$

$$+ O(\log \log n + \log \log D) \sum_{i \le i_{max}} l_i \le$$

$$\le O(\log n + \hat{k}(u)(\log \log n + \log \log D)).$$

where we used the bound  $\sum_{i \leq i_{max}} l_i \leq O(\hat{k}(u))$ , which follows easily from the definition of the parameter  $\hat{k}$ .

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COROLLARY 3.4. With probability at least 1 - O(1/n), the hub set size of every node  $u \in V$  is bounded by  $O(\log n + \hat{k}(u)(\log \log n + \log \log D))$ .

# 4 An Application to *D*-preserving Distance Labeling.

As a slight extension of our results, we note that our technique based on analyzing tree skeletons for shortest path trees has direct application the D-preserving distance labeling problem in unweighted graphs, for some parameter D>0. We recall that a scheme is called D-preserving if for any queried pair of nodes (u,v) with  $\delta(u,v)>D$ , the value returned by the decoder is equal to  $\delta(u,v)$ .

By analogy to the integrated skeleton dimension given by (3.2), we introduce a variant for this parameter which only considers cuts at distance more than D/6.

$$\hat{k}_D(u) := \sum_{v \in V^*: d(u,v) > D/6} \frac{1}{d_u(v)} = \sum_{r \in \mathbb{N}} \frac{|Cut_u^{*(r)}|}{r}.$$

The claims of Lemma 3.2 and Corollary 3.4, which give bounds on average hub size of  $O(\hat{k}(u))$  and  $O(\log n + \hat{k}(u)(\log\log n))$  in the unweighted setting, naturally translate to D-preserving labeling. For our techniques to be directly applicable, it suffices to subdivide each edge of the graph into a path of 12 vertices so that all distances between u-v pairs are divisible by 12, and to choose shortest path trees so that for any pair of nodes u,v, the intersection  $T_u \cap T_v$  contains a shortest u-v path (this may be achieved, for example, by enforcing a unique choice of shortest paths between any node pair, e.g., by choosing i.a.r. the length of each edge in the range [1-1/n,1]). Then, the entire analysis holds, and we can eventually replace  $\hat{k}(u)$  by  $\hat{k}_D(u)$  in the statement of the claims.

We remark that it is an elementary property of the tree skeleton that  $|Cut_u^{*(r)}| = O(n/r)$ , since any node at distance r from u continues in  $T_u$  along an independent path of length at least r/2. By performing the latter sum in (4.22), we obtain  $\hat{k}_D(u) = O(n/D)$ . Thus, we obtain the following Proposition.

PROPOSITION 4.1. There exists a hub labeling scheme for the D-preserving distance labeling problem with hubs of average size O(n/D) and worst case size  $O(\log n + (n/D) \log \log n)$ . The size of the bit representations of the corresponding labels is  $O((n/D) \log n)$  and  $O(\log^2 n + (n/D) \log n \log \log n)$ , respectively.

The size of the obtained *D*-preserving hub-based labeling scheme is (almost) optimal, since there holds a lower

bound of  $\Omega(n/D)$  on both the average and worst-case size of hub sets [9]. In fact, our scheme can be modified slightly to obtain hub sets of worst-case size O(n/D) up to a certain threshold value  $D = \widetilde{O}(\sqrt{n})$ . We omit the details of this modified scheme from this conference abstract; the obtained result is given below.

PROPOSITION 4.2. For any  $0 < D \le \sqrt{n}/\ln n$ , 12|D, there exists a hub labeling scheme which correctly decodes the distance between any pair of nodes lying at a distance in the range [D,5D/4], using hubs of size at most O(n/D).

4.1 Improved D-preserving Distance Labeling for Arbitrary Distance. For an arbitrary instance of the D-preserving distance labeling problem, we can now construct a hub set  $S^+(u)$  by combining the results of Propositions 4.1 and 4.2, for large and small scales of distance, respectively. Formally, we put:

(4.23) 
$$S^{+}(u) := S_{D_{\max}}(u) \cup \bigcup_{\substack{i=0,1,2,\dots\\D_{i} < D_{\max}}} S_{D_{i}}(u),$$

where in the first part of the expression, the value

$$D_{\max} = \max\{D, \lfloor \sqrt{n}/\ln n \rfloor\}$$

is a suitably chosen threshold parameter, and the hub set  $S_{D_{\max}}(u)$  is constructed following Proposition 4.1, thus providing a  $D_{\max}$ -preserving distance labeling. In the second part of the expression, we take care of smaller distances from the range  $[D,D_{\max})$ , by applying Proposition 4.2 over a specifically chosen distance sequence  $\{D_i\}_i$ , to obtain hub sets  $S_{D_i}(u)$ , such that each set  $S_{D_i}(u)$  intersects with a shortest u-v path, for all nodes v such that  $d(u,v) \in [D_i,5D_i/4]$ . To obtain a coverage of the entire distance range  $[D,D_{\max})$ , we put  $D_0 = 12\lfloor D/12 \rfloor < D$ , and choose  $D_{i+1}$  as the largest integer such that  $12|D_{i+1}$  and  $D_{i+1} < 5D_i/4$ . Since the sequence  $\{D_i\}_i$  is geometrically increasing, in view of Proposition 4.2, we obtain the following bound:

$$(4.24) |S^+(u)| = O(n/D + |S_{D_{max}}(u)|).$$

Now, taking into account the definition of  $D_{\text{max}}$  and bounding  $|S_{D_{\text{max}}}(u)|$  by Proposition 4.1, we directly obtain the main result of this Section.

Theorem 4.1. There exists a D-preserving distance labeling scheme based on hub sets, such that:

(i) When  $D \leq \sqrt{n}/(\ln n \ln \ln n)$ , the hub sets of all nodes are of size O(n/D), which corresponds to distance labels of size  $O(n \log D/D)$  per node.

- (ii) For any D > 0, the hub sets of all nodes are of size  $O(\log D + n \log \log D/D)$ , which corresponds to distance labels of size  $O(\log^2 D + n \log D \log \log D/D)$  per node.
- (iii) For any D > 0, the average size of a hub set, taken over all nodes, is O(n/D), which corresponds to distance labels of average size  $O(n \log D/D)$  per node.

Furthermore, the corresponding labels can be constructed in expected polynomial time.

# 5 Computing Skeleton Dimension and Distance Labels.

Discrete skeleton representation. Given a tree T rooted at node u with length function  $\ell$ , a discrete representation of its skeleton  $T^*$  can be obtained as the sub-tree with edges  $vw \in E(T)$  such that  $Reach_T(v) \ge \frac{1}{2}d_T(u,v)$  equipped with length function  $\ell'$  defined by  $\ell'(vw) = \ell(vw)$  if  $Reach_T(w) \ge \frac{1}{2}d_T(u,w)$  and  $\ell'(vw) = r - d_T(u,v)$  with  $r = \frac{2}{3}(d_T(u,w) + Reach_T(w))$  otherwise. The idea is that node w is a leaf of  $T^*$  that corresponds to the point w' of edge vw in  $\widetilde{T}$  that satisfies  $Reach_{\widetilde{T}}(w') = \frac{1}{2}d_{\widetilde{T}}(u,w')$ . To see this, let x be a descendant of w such that  $d_T(w,x) = Reach_T(w)$ . We then have  $r = \frac{2}{3}d_T(u,x) = \frac{2}{3}(d_T(u,v) + d_T(v,x))$ . We thus get  $d_{\widetilde{T}}(u,w') = r$  and  $Reach_{\widetilde{T}}(w') = d_T(v,x) - \ell'(vw) = \frac{1}{3}(d_T(u,v) + d_T(v,x)) = \frac{r}{2}$ .

**Skeleton dimension computation.** Given a tree T, the reach of each node can be computed by a scan of vertices in reverse topological order. Obtaining the discrete skeleton representation is then straightforward. Its width k can be computed by scanning vertices by non-decreasing distance from the root using a priority queue for storing edges containing nodes in  $Cut_r(T)$ . This can be done in  $O(n \log \log k)$  time using a dedicated integer priority queue [27].

The skeleton of a graph can thus be simply obtained from an all pair shortest path computation. With integer lengths and dedicated priority queues [27], this can be done in  $O(nm+n^2\log\log n)$  time.

We remark that faster computation of tree skeletons could be obtained in practice by using classical heuristics for bounding reach of nodes [21, 23]. The algorithm proposed in [23] alternates partial shortest-path tree computation with introduction of shortcuts to obtain efficiently reach bounds on the graph plus the added shortcuts. The computation of partial trees up to a given radius 2r allows to prune nodes with reach less than r. Shortcuts allow to reduce reach of nodes with degree 2: if a node v has two neighbors u, w, a shortcut uw with length  $\ell(uv) + \ell(vw)$  is added to by-pass v. The algorithm results in reach

bounds on the graph with shortcuts. Reach bounds on the original graph can then be obtained by removing shortcuts in reverse order and updating the reach bound R(v) of a node v by-passed by shortcut uw as  $R(v) := \max(R(v), \min(R(u) + \ell(uv), \ell(vw) + R(w)))$  where R(u) and R(w) denote the reach bounds obtained for u and w respectively. A subtree containing the tree skeleton of a node u can then be obtained through a partial Dijkstra from u where we prune nodes whose reach is known to be less than half of the current distance from u. In practice, we believe that this would allow to compute each skeleton tree in time comparable to an RE query. Our labeling algorithm can then be adapted to take the resulting family of trees as input.

Distance label computation. Computing the hub set of a tree T is more intricate as we have to emulate the subdivision of each edge of length a into 12a unweighted edges to conform to the analysis of Section 3. For the sake of notation, we number these unweighted edges of the subdivision from 1 to 12a, and let  $\rho_i$ ,  $1 \leq i \leq 12a$ , denote the associated random number which is generated for each of the edges of the subdivision. Given a sample  $\{\rho_i \mid 1 \leq i \leq 12a\}$ , let M denote the set of indices of edges which are prefix minima or suffix minima in the sequence  $(\rho_1, \ldots, \rho_{12a})$ . For the purpose of our selection algorithm, we only need to generate set M and the  $\rho_i$ -values associated with  $i \in M$ . We start by generating those elements of M which are prefix minima. By a slight abuse of notation, to initialise the process, we set  $i_0 = 1$  and  $\rho_0 := 1$ . For successive j = 0, 1, 2, ..., we then generate the index  $i_{j+1}$  of the first edge of M after the edge with index  $i_j$  (which is also the first index of M having value less than  $\rho_{i_j}$ ). As  $i_{j+1} - i_j$  follows a geometric distribution with parameter  $p = \rho_{i_j}$ , this can be done in constant time by setting  $i_{j+1} := i_j + \left\lfloor \frac{\log \operatorname{rand}(0,1)}{\log(1-p)} \right\rfloor$ e.g. [18]). We then generate  $\rho_{i_{j+1}}$  uniformly in  $[\vec{0}, \rho_{i_j}]$ . We generate in this way indices  $i_1, \ldots, i_x$ , until for some  $x \in \mathbb{N}$  we reach the 12a bound (i.e.  $i_{x+1} > 12a$ ). We then proceed similarly in reverse order for edges with index greater than  $i_x$ , to generate those edges with suffix minimal value (note that this time we have to sample values greater than  $\rho_{i_x}$ , rather than greater than 0, and adapt all ranges accordingly, for consistency with the choice of prefix minima). In this way, we perform  $O(\log a)$  constant-time sampling operations per edge of length a, obtaining  $O(\log a)$  values (together with their positions) per edge, in expectation (and also w.h.p. with respect to a). Since random choices made for all edges of the original graph are independent, by a quick Chernoff bound, the total amortized sampling time over the whole graph is  $O(m \log C)$ , w.h.p., where C denotes the maximum length of an edge. We remark that when constructing a hub set for a subset of nodes, each node only relies on the random choices made in its shortest-path tree, which can be evaluated in time  $O(n \log C)$ , w.h.p.

Our selection algorithm of the edge with minimal value in the middle window for a pair u,v will necessarily select an edge that we have generated when the window contains a (real) edge extremity. Each time a virtual unweighted edge is selected as a hub, we indeed select the real edge it belongs to. We also have to manage the special case where the middle window entirely falls inside a long edge. In that case, the long edge is selected as hub.

The computation of the hub set is then a matter of scanning the tree by non-decreasing distance r of generated vertices while maintaining a sliding middle window for each branch reaching distance r. Using a balanced binary search tree per window for storing the virtual edges it contains, we obtain the hub set in  $O(n \log C \log(n \log C))$  time. Distance labels can thus be computed in expected  $O(nm + n^2 \log C(\log n + \log \log C))$  time. Note that each of the n labels can be computed independently (e.g. in parallel) in  $O(m + n \log C(\log n + \log \log C))$  time per label, as long as randomness is shared (e.g. using random generators with same seeds).

#### 6 Conclusion.

In this paper, we have proposed skeleton dimension as a measure of the network's amenability to shortest path schemes based on hub/transit nodes. We intend it as a parameter which is easy to describe and can be computed efficiently. Computations of hub sets based on skeleton dimension allow each node to individually and efficiently define its own hub set, subject only to a universal choice of random id-s. Such a construction is always correct, and gives small hub sets w.h.p. We remark that in a weighted network each node can compute its own appropriate labeling in O(m + $n \log C(\log n + \log \log C)$ ) time, where C is the length of the longest integer weight in the network. The definition of hub sets, and the obtained bounds on their size, hold both for undirected and directed graphs. For directed graphs, skeleton dimension appears to be a parameter which is more directly usable than highway dimension.

Possible extensions of skeleton dimension include variants of skeleton dimension with other values of reach threshold, as well as skeleton dimension defined using two separate distance metrics in the graph: one corresponding to the needs of the shortest path queries (used to construct shortest path trees), and another, potentially independent metric used internally in the computation of hub labelings, chosen so as to empirically minimize the width of the skeleton. When studying average-case parameters of a network, the integrated skeleton dimension given by (3.2) (as well as its natural generalizations to weighted graphs) appear to be a natural parameter, which may be related to that of average highway dimension [2]. We could also use the integrated skeleton dimension averaged over all nodes to get an even more accurate bound on average label size.

Finally, we remark on the interplay between skeleton and highway dimension. Skeleton dimension is always not greater than geometric highway dimension. We have also shown a clear case of separation in a weighted Manhattan-type network, where skeleton dimension is asymptotically much smaller than (geometric) highway dimension.

We remark that skeleton dimension appears particularly worthy of further theoretical study in the context of scale-free models of random graphs (cf. e.g. [8] for a discussion in the context of highway dimension and reach). For geometric percolation graphs, skeleton dimension displays a close link with the coalescence exponent for geodesics. Consequently, it may be easier to show rigorous theoretical bounds for skeleton dimension than for highway dimension.

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