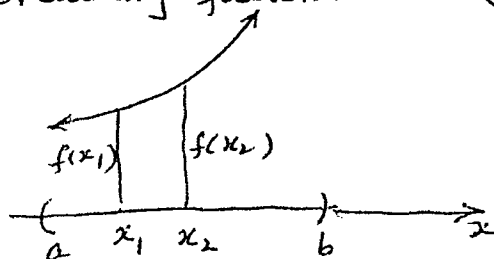


# LECTURE 9

## Relative Extrema & Curve Sketching

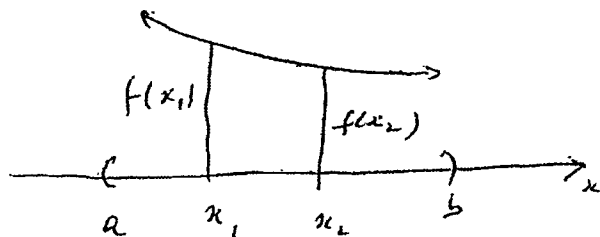
5.1 - 5.4

$f$  is an increasing function on  $(a, b)$

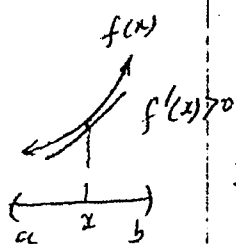


if  $f(x_1) < f(x_2)$  whenever  $a < x_1 < x_2 < b$ .

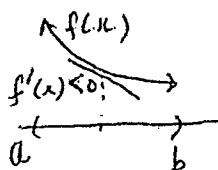
$f$  is a decreasing function on  $(a, b)$



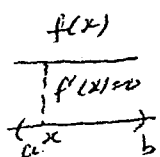
if  $f(x_1) > f(x_2)$  whenever  $a < x_1 < x_2 < b$ .



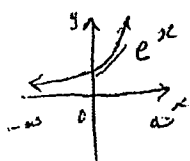
If  $f'(x) > 0$  for each  $x$  in  $(a, b)$ , then  $f$  is increasing on  $(a, b)$



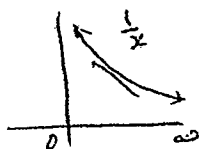
If  $f'(x) < 0$  for each  $x$  in  $(a, b)$ , then  $f$  is decreasing on  $(a, b)$



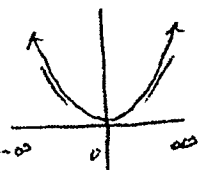
If  $f'(x) = 0$  for each  $x$  in  $(a, b)$ , then  $f$  is constant on  $(a, b)$ .

Examples

$f(x) = e^x$  is increasing on  $(-\infty, \infty)$  since  $f'(x) = e^x > 0$  for all  $x$  in  $(-\infty, \infty)$ .



$f(x) = \frac{1}{x}$  is decreasing on  $(0, \infty)$  since  $f'(x) = -\frac{1}{x^2} < 0$  for all  $x$  in  $(0, \infty)$ .



$f(x) = x^2$  is increasing on  $(0, \infty)$  since  $f'(x) = 2x > 0$  for all  $x$  in  $(0, \infty)$  and  $f(x) = x^2$  is decreasing on  $(-\infty, 0)$  since  $f'(x) = 2x < 0$  for all  $x$  in  $(-\infty, 0)$ .

## Critical numbers and critical points

$c$  is a critical number for a function  $f$  if

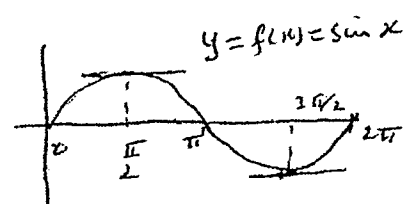
- (1)  $c$  is in the domain of  $f$  and
- (2)  $f'(c) = 0$  or  $f'(c)$  does not exist.

A critical point is then  $(c, f(c))$ .

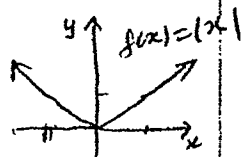
E.g.

$$f(x) = \sin x$$

$$0 \leq x \leq 2\pi$$



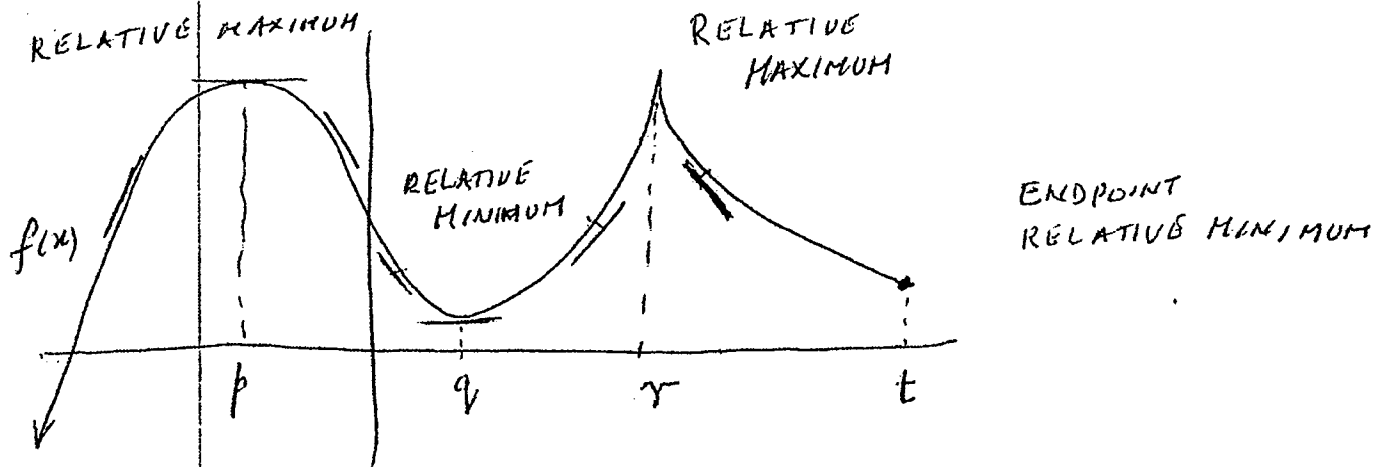
has critical numbers at  $x = \frac{\pi}{2}$  and  $\frac{3\pi}{2}$ , since  $f'(x) = \cos x$  is zero at  $x = \frac{\pi}{2}$  and  $\frac{3\pi}{2}$ .



$f(x) = |x|$  has a critical number at 0 since

$f$  is not differentiable at 0 i.e.  $f'(0)$  does not exist

# Relative Extrema



$f'(p)=0$ ,  $f'(q)=0$   $f'(r)$  does not exist

$(t, f(t))$   
is the endpoint.

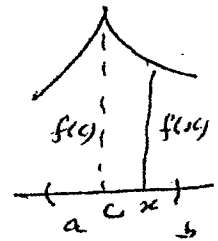
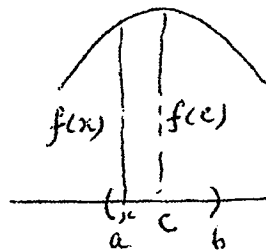
## Relative Maximum

Let  $c$  be a critical number of function  $f$ .

Then  $f(c)$  is a

RELATIVE (OR LOCAL) MAXIMUM

if there exists an open interval  $(a, b)$  containing  $c$  such that  $f(x) \leq f(c)$  for all  $x$  in  $(a, b)$ .

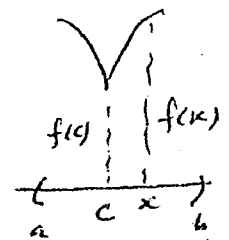
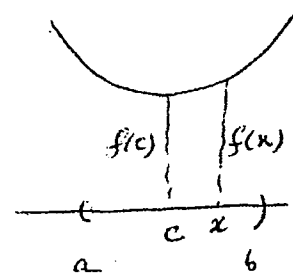


## Relative Minimum

Let  $c$  be a critical number of function  $f$ . Then  $f(c)$  is

RELATIVE (OR LOCAL) MINIMUM

if there exists an open interval  $(a, b)$  containing  $c$  such that  $f(x) \geq f(c)$  for all  $x$  in  $(a, b)$ .



Notes (1) A function has a relative (or local) extremum (plural: extrema) at  $c$  if it has either a relative maximum or a relative minimum there.

(2) If  $c$  is an endpoint of the domain of  $f$ , we only consider  $x$  in the half-open interval that is in the domain.

### First Derivative Test (FDT)

Let  $c$  be a critical number for a function  $f$  and let  $a < c < b$  such that  $c$  is the only critical number in  $(a, b)$ .

Further suppose that  $f$  is continuous on  $(a, b)$  and differentiable on  $(a, b)$  except possibly at  $c$ . Then

1.  $f(c)$  is a relative maximum of  $f$  if the derivative  $f'(x)$  is positive in the interval  $(a, c)$  and negative in the interval  $(c, b)$ .
2.  $f(c)$  is a relative minimum of  $f$  if the derivative  $f'(x)$  is negative in the interval  $(a, c)$  and positive in the interval  $(c, b)$ .

$f(x)$  has :

Relative Maximum

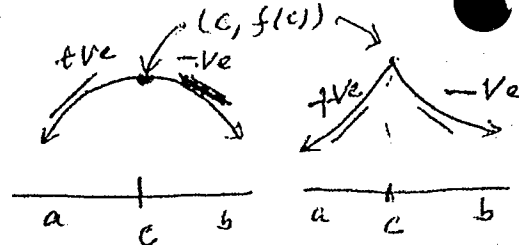
Sign of  $f'$   
in  $(a, c)$

$+ve$

Sign of  $f'$   
 $(c, b)$

$-ve$

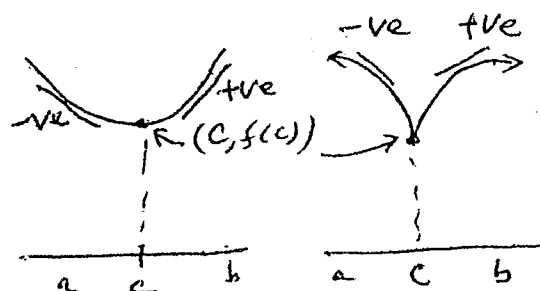
Sketches



Relative Minimum

$-ve$

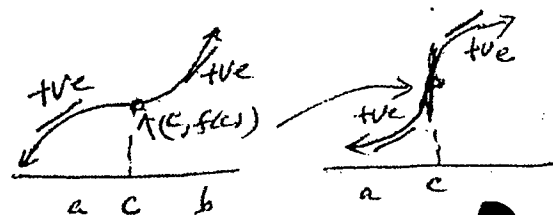
$+ve$



No Relative Extremum

$+ve$

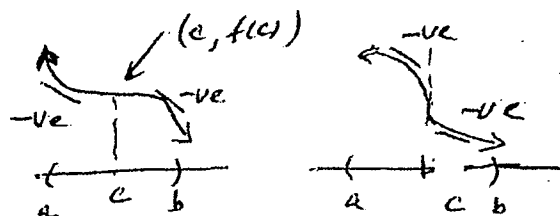
$+ve$



No Relative Extremum

$-ve$

$-ve$



Higher Derivatives of  $y = f(x)$ 

First Derivative

$$f'(x) = \frac{dy}{dx} = D_x f(x) = D_x y(x)$$

Second Derivative

$$f''(x) = [f'(x)]'$$

$$\frac{d^2 y}{dx^2} = \frac{d}{dx} \left( \frac{dy}{dx} \right)$$

$$D_x^2 [f(x)] = D_x [D_x [f(x)]]$$

Third Derivative

$$f'''(x) = [f''(x)]'$$

$$\frac{d^3 y}{dx^3} = \frac{d}{dx} \left( \frac{d^2 y}{dx^2} \right)$$

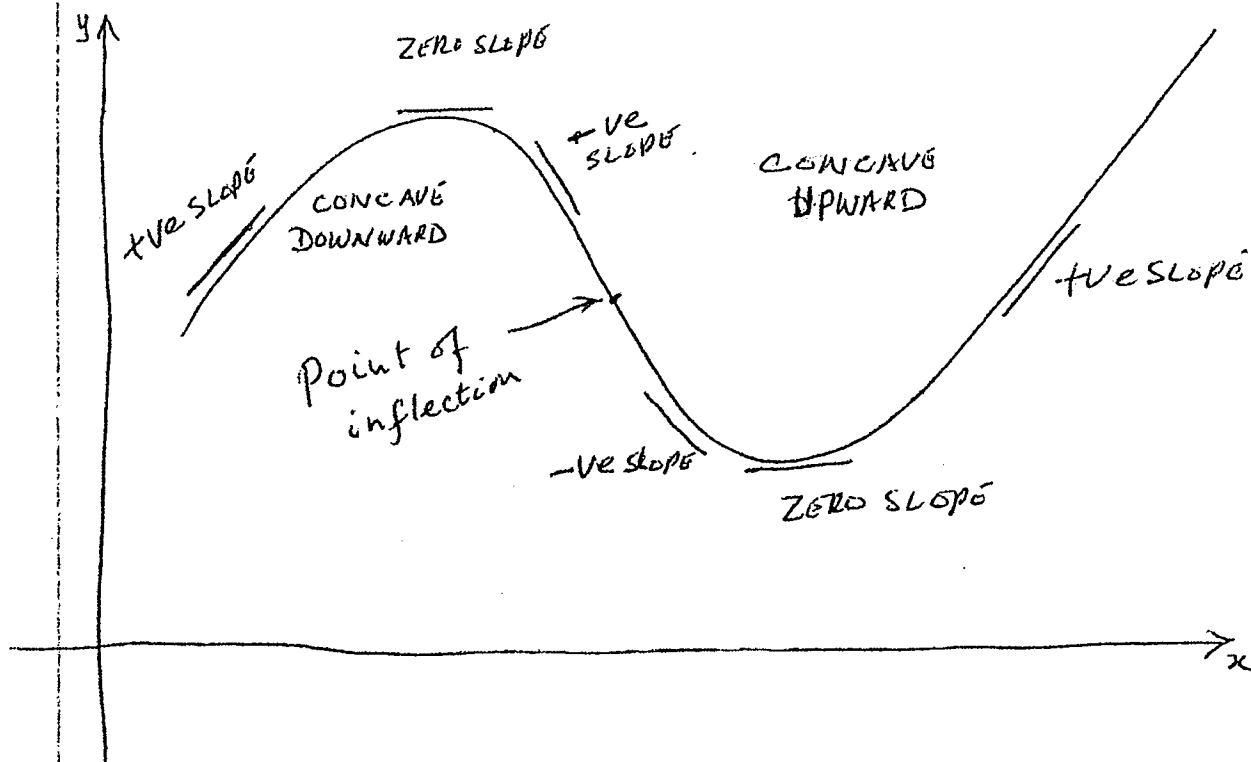
$$D_x^3 [f(x)] = D_x [D_x^2 [f(x)]]$$

For  $n \geq 4$ the  $n^{\text{th}}$  derivative

$$f^{(n)}(x) = [f^{(n-1)}(x)]'$$

$$\frac{d^n y}{dx^n} = \frac{d}{dx} \left( \frac{d^{n-1} y}{dx^{n-1}} \right)$$

$$D_x^n [f(x)] = D_x [D_x^{n-1} [f(x)]]$$



## CONCAVITY

Let  $f$  be a function with derivatives  $f'$  and  $f''$  existing at all points in an interval  $(a, b)$ . Then

1.  $f$  is concave upward on  $(a, b)$  if  $f''(x) > 0$  for all  $x$  in  $(a, b)$  and
2.  $f$  is concave downward on  $(a, b)$  if  $f''(x) < 0$  for all  $x$  in  $(a, b)$ .

A point where a graph changes concavity is called a point of inflection.

At a point of inflection for a function  $f$ , the second derivative is 0 or does not exist.



## Second Derivative Test

Let  $f''$  exist on some open interval containing  $c$ , and  $f'(c) = 0$ .

1. If  $f''(c) > 0$ , then  $f(c)$  is a relative minimum.
2. If  $f''(c) < 0$ , then  $f(c)$  is a relative maximum.
3. If  $f''(c) = 0$ , then the test gives no information about extrema. We use the First Derivative Test to determine extrema.