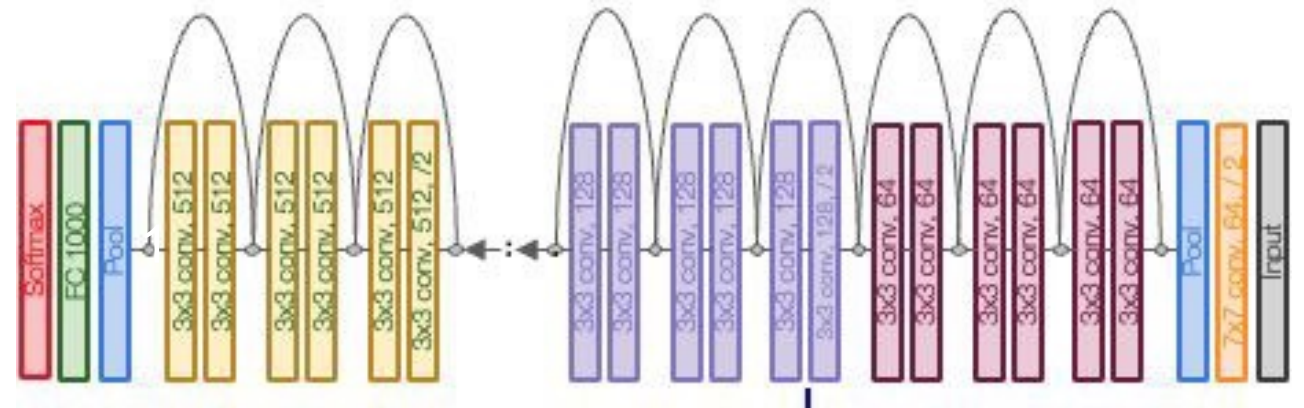
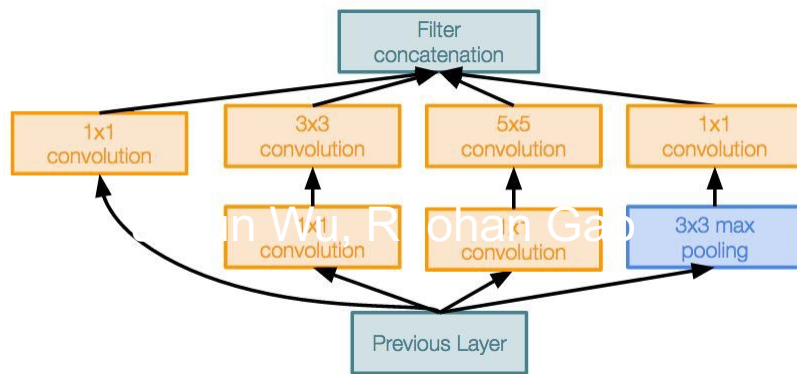
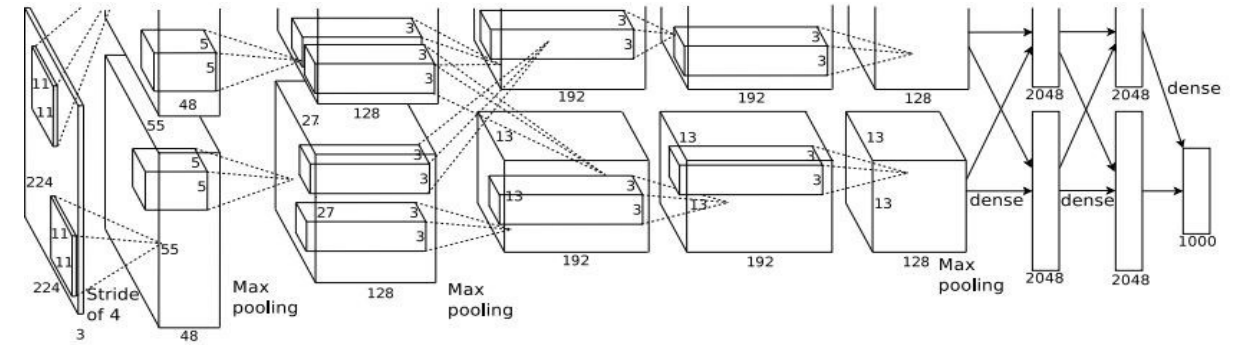
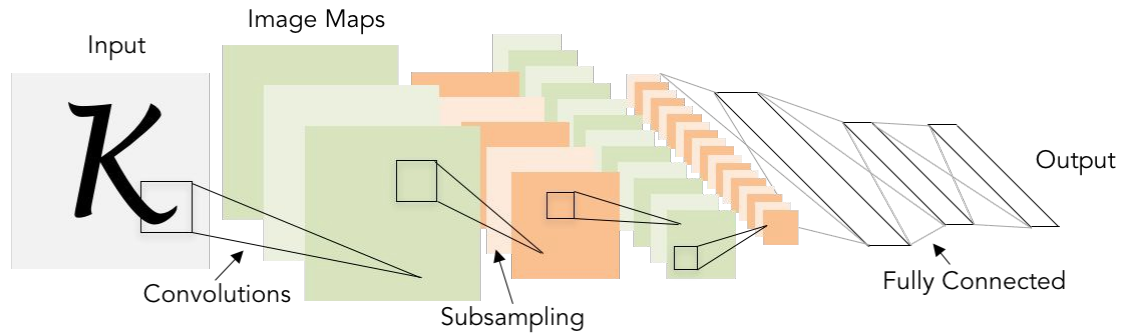
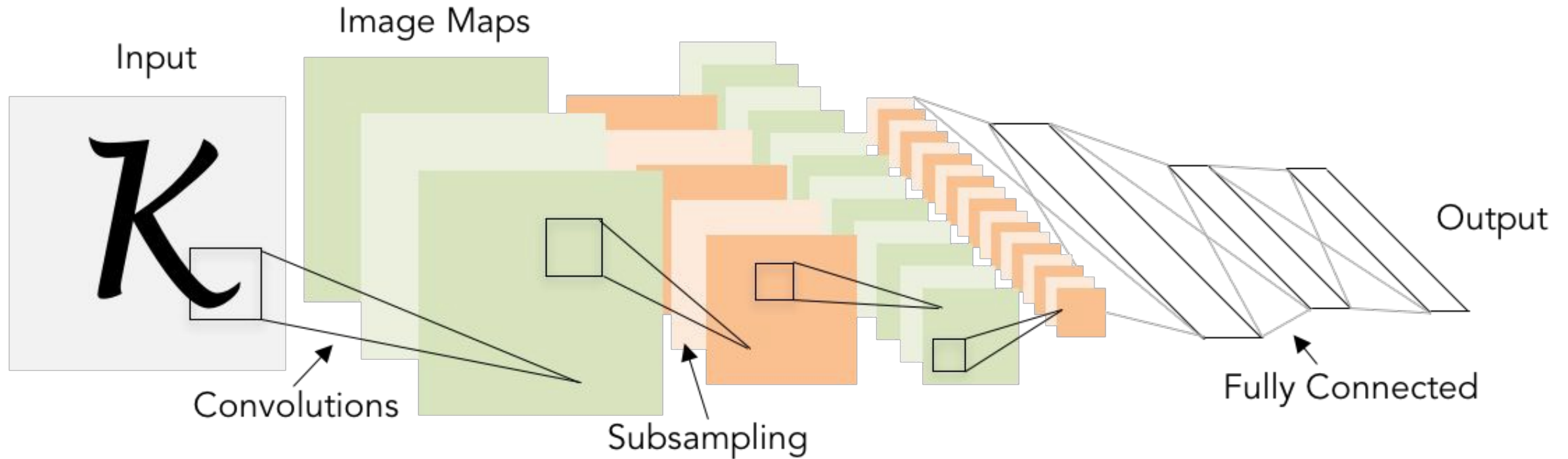


# Today: CNN Architectures



# Review: LeNet-5

[LeCun et al., 1998]

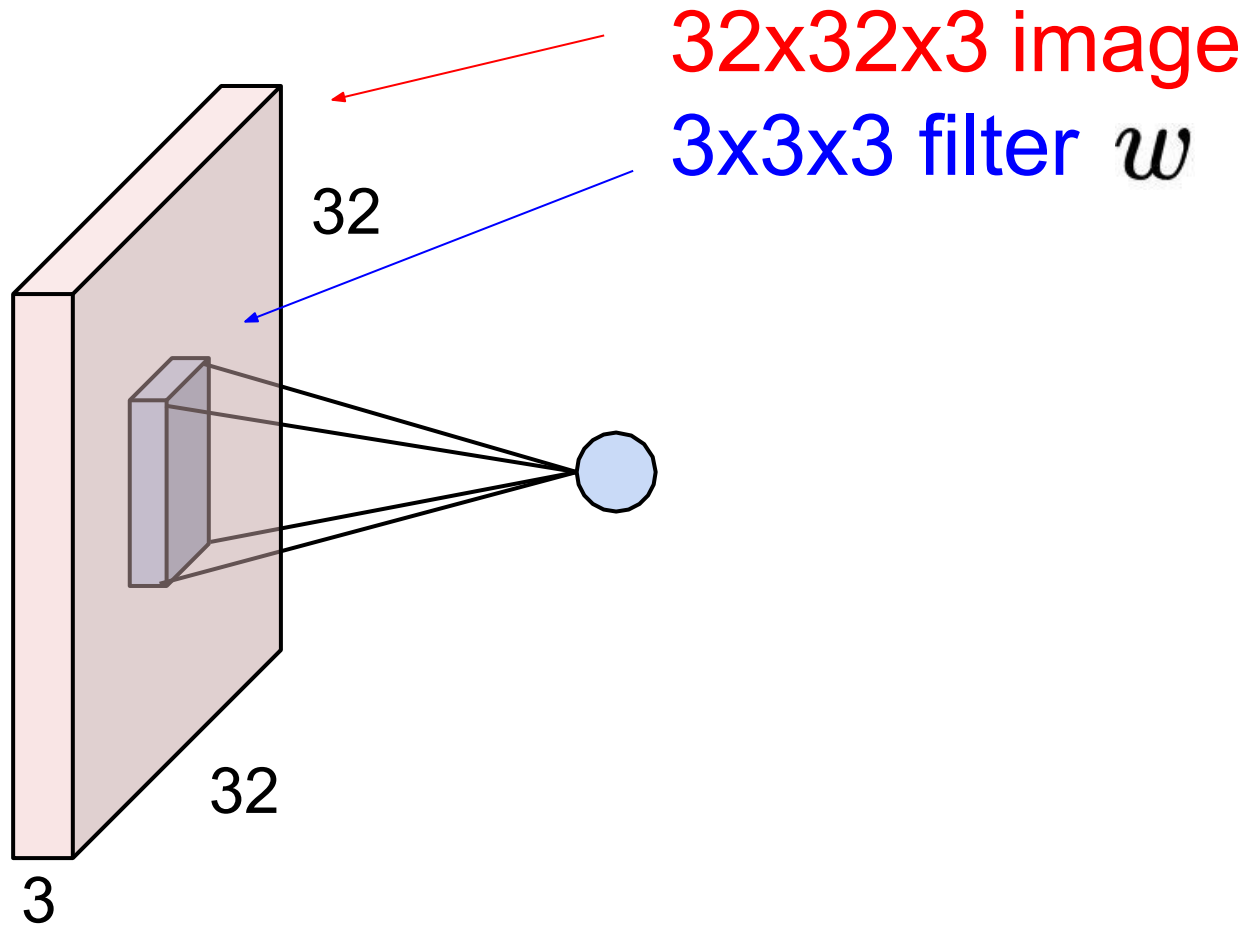


Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2

i.e. architecture is [CONV-POOL-CONV-POOL-FC-FC]

# Review: Convolution



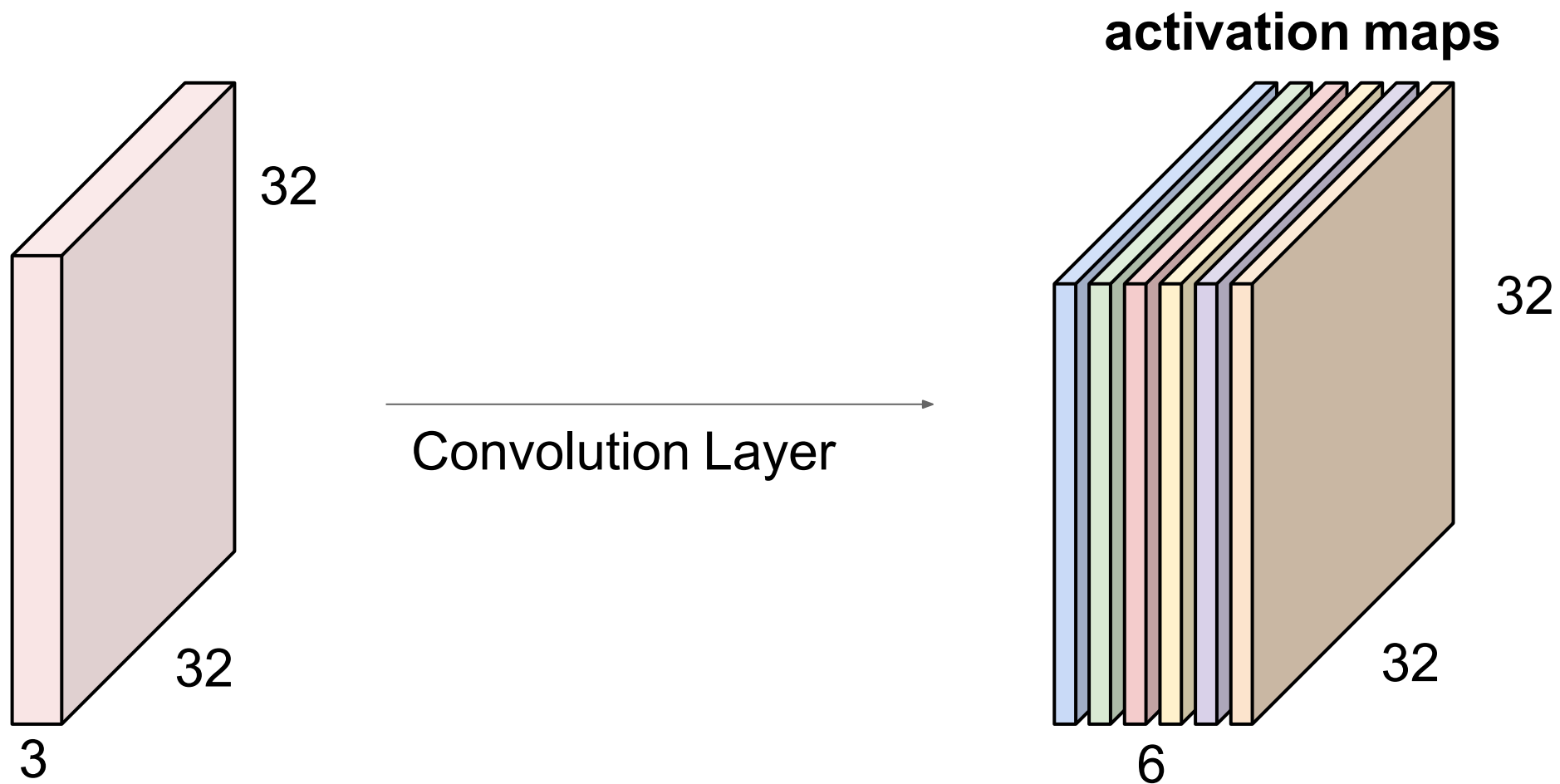
0	0	0	0	0	0				
0									
0									
0									
0									
0									

**Stride:**  
Downsample  
output activations

0	0	0	0	0	0				
0									
0									
0									
0									

**Padding:**  
Preserve  
input spatial  
dimensions in  
output activations

# Review: Convolution



Each conv filter outputs a “slice” in the activation

# Review: Pooling

Single depth slice

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters  
and stride 2



6	8
3	4

# Today: CNN Architectures

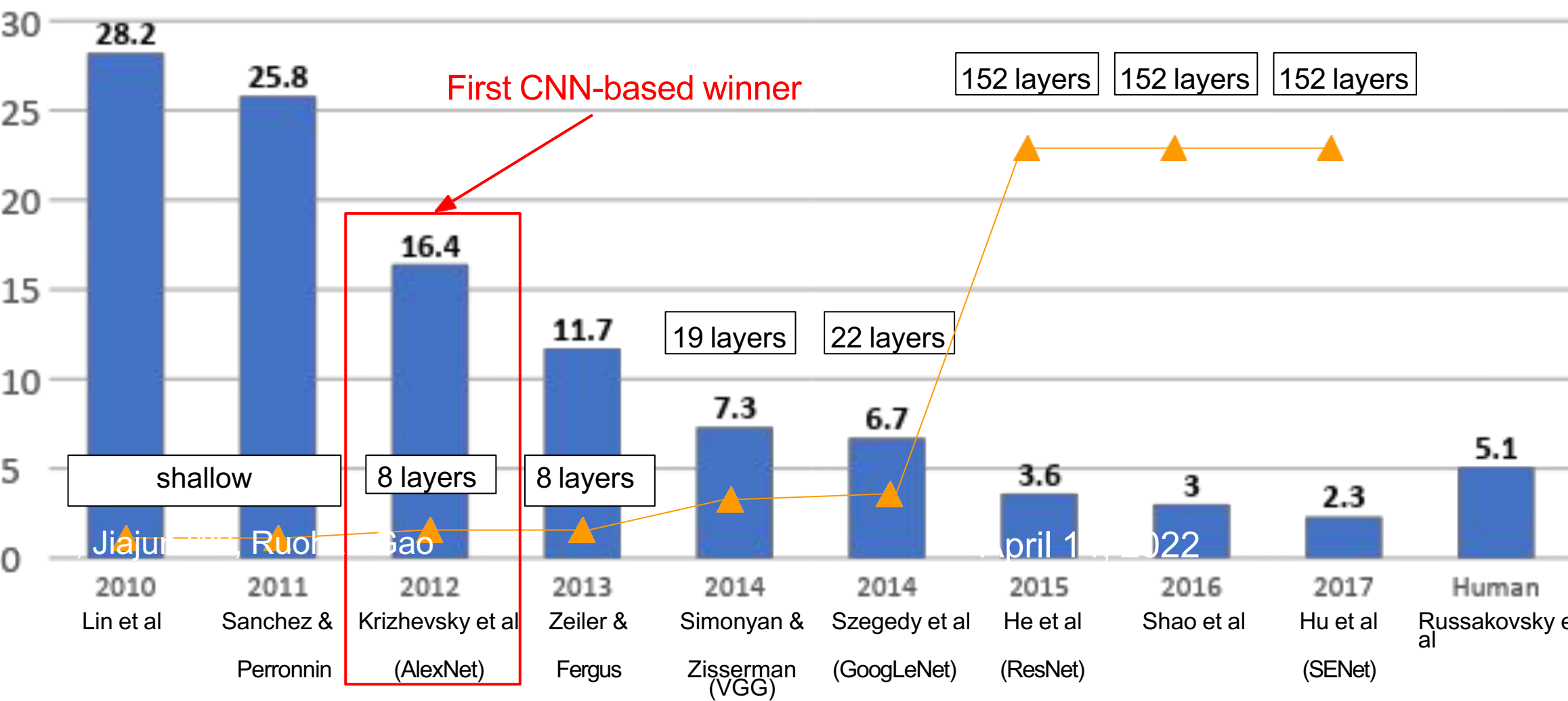
## Case Studies

- AlexNet
- VGG
- GoogLeNet
- ResNet

## Also....

- SENet
- Wide ResNet
- ResNeXT
- DenseNet
- MobileNets
- NASNet
- EfficientNet

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# Case Study: AlexNet

[Krizhevsky et al. 2012]

## Architecture:

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

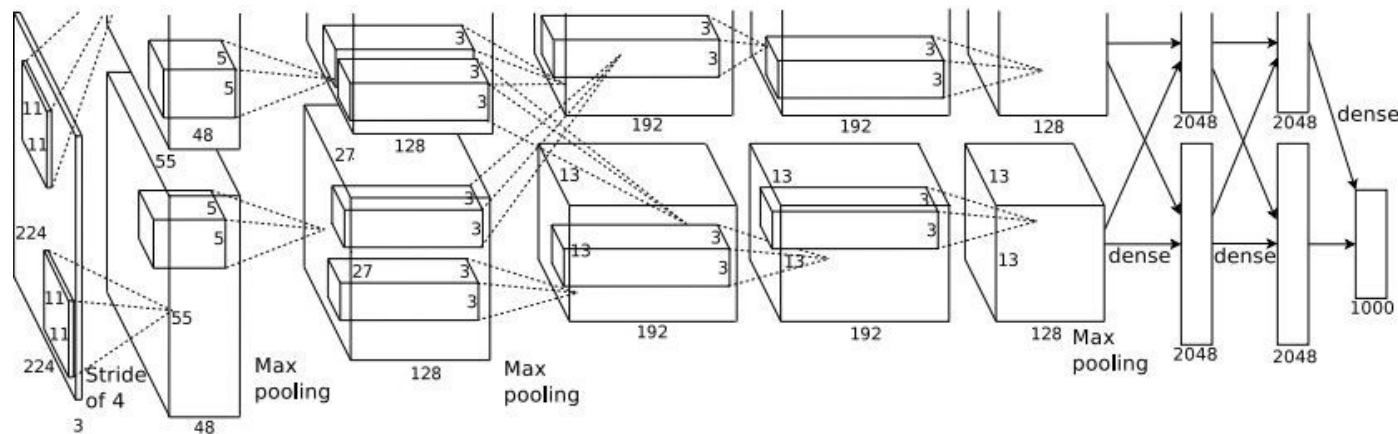
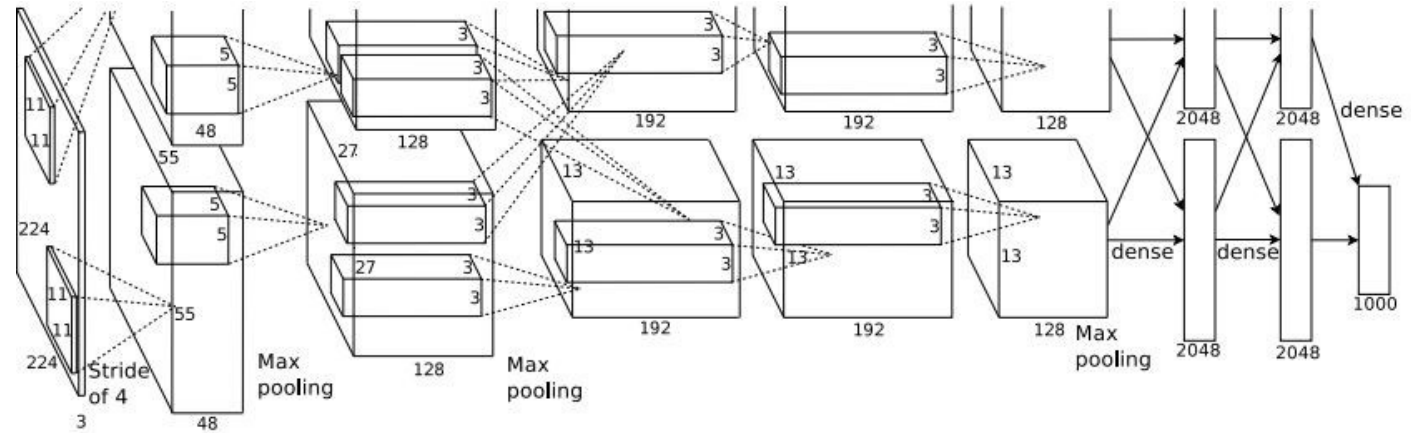


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.



# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

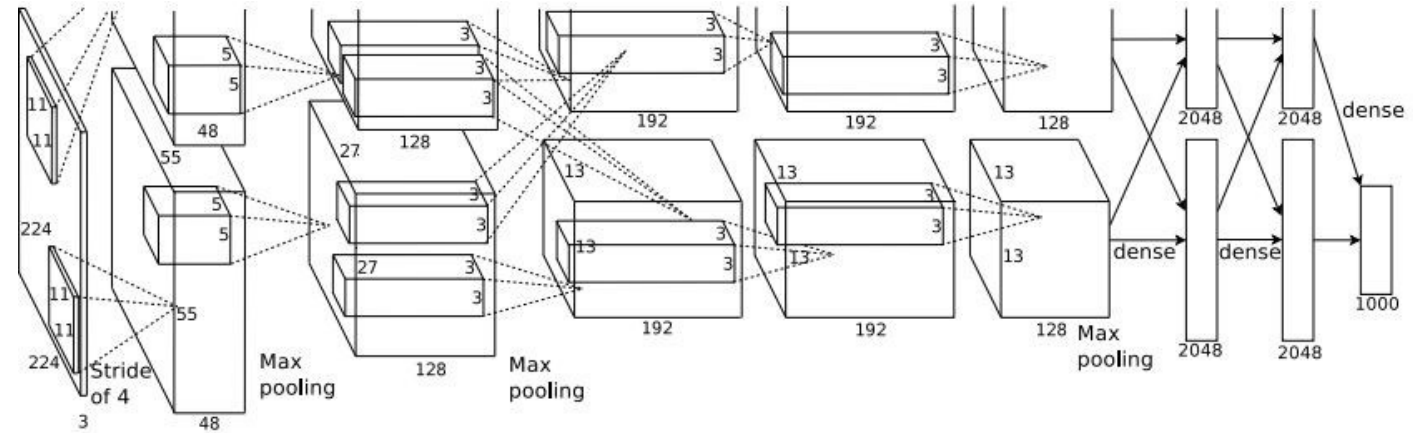
=>

Q: what is the output volume size?

$$(W - F + 2P) / S + 1$$
$$= (227 - 11) / 4 + 1 = 55$$

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

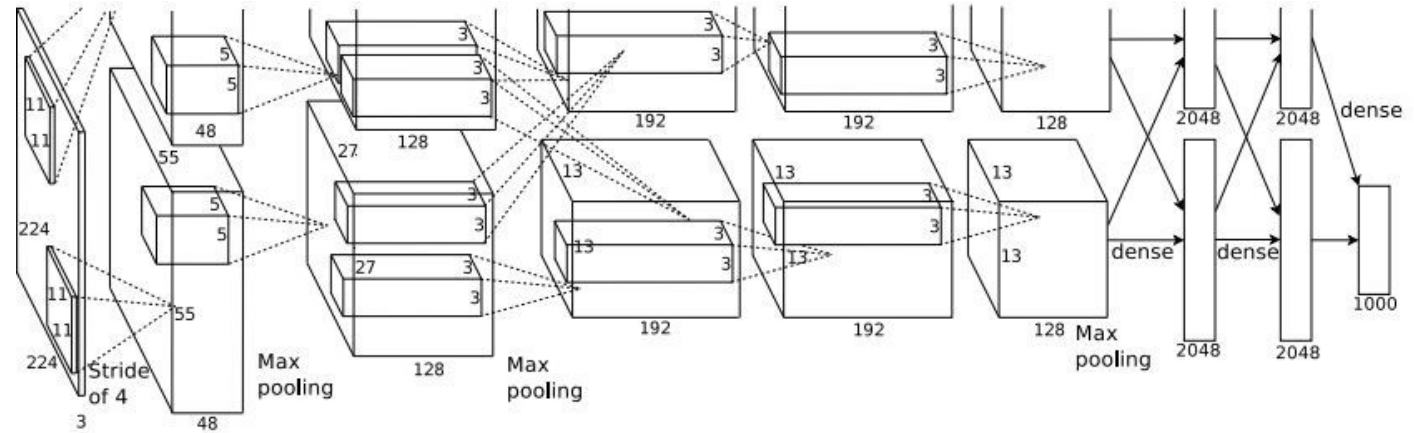
=>

Q: what is the output volume size? Hint:  $(227-11)/4+1 = 55$

$$W' = (W - F + 2P) / S + 1$$

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

$$W' = (W - F + 2P) / S + 1$$

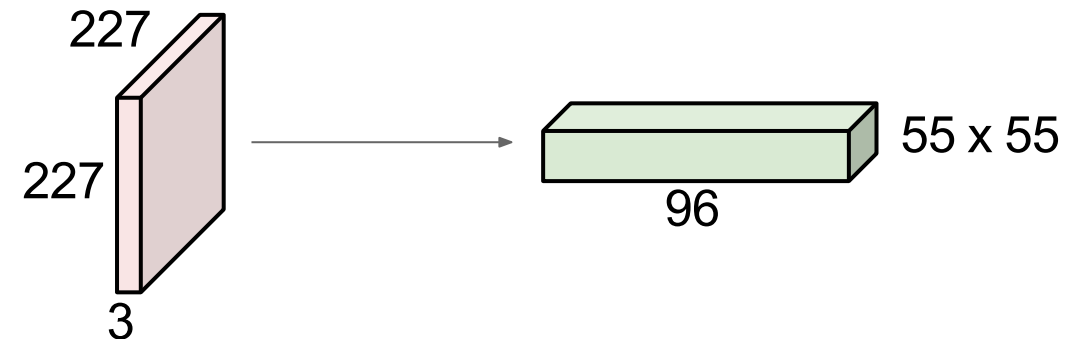
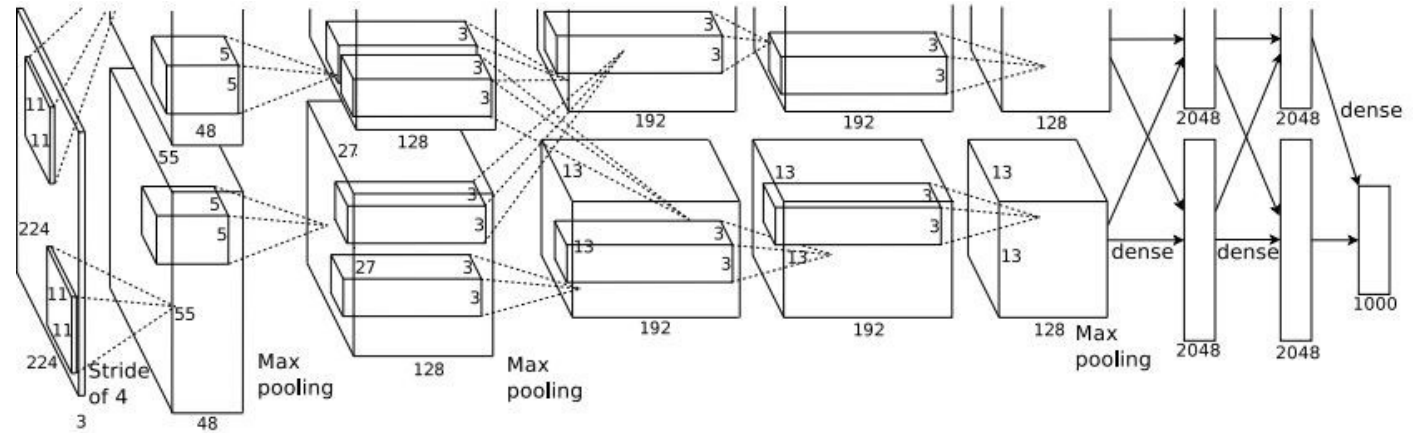


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



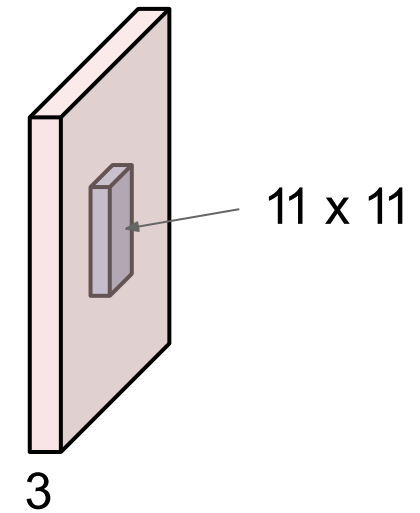
Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

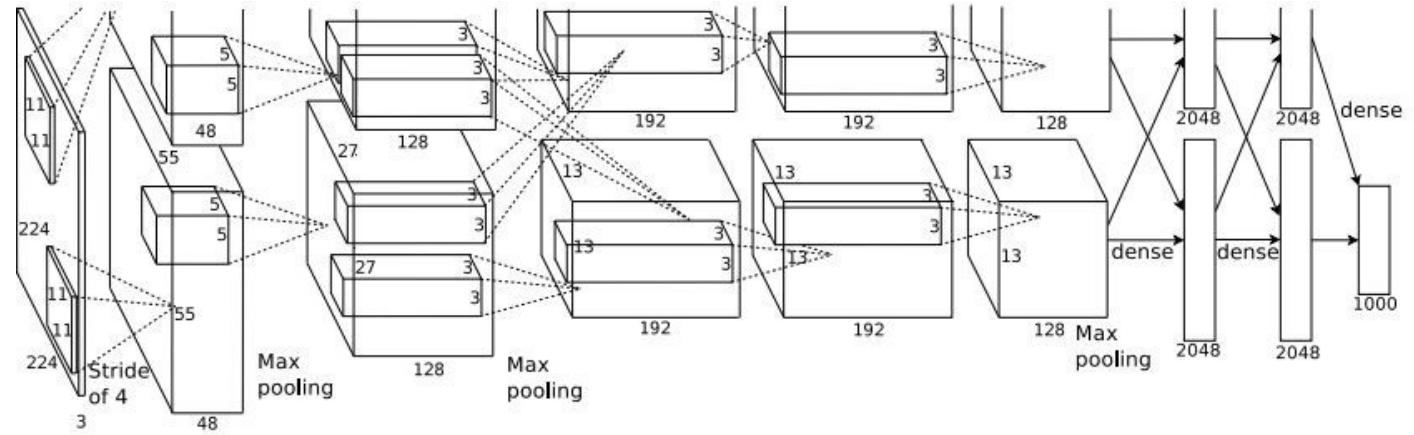
=>

Output volume **[55x55x96]**

Q: What is the total number of parameters in this layer?



[Krizhevsky et al. 2012]

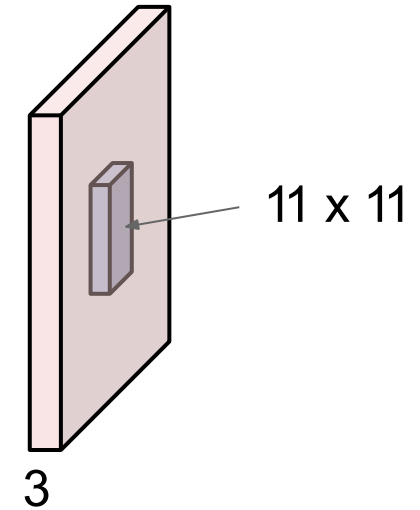


Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

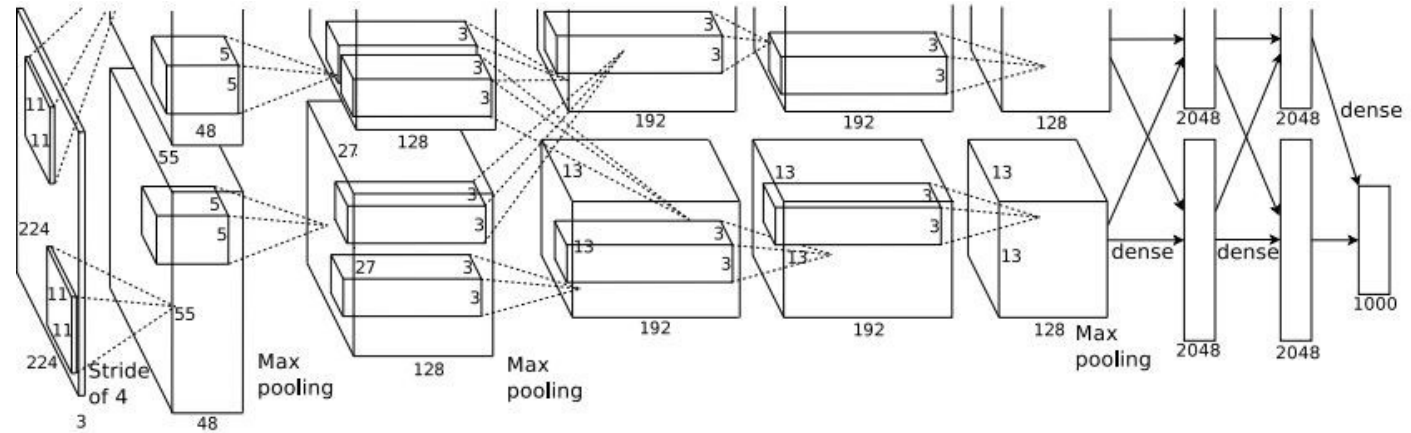
 $\Rightarrow$ 

Output volume **[55x55x96]**

Parameters:  $(11 \times 11 \times 3 + 1) \times 96 = \mathbf{35K}$ 

# Case Study: AlexNet

[Krizhevsky et al. 2012]



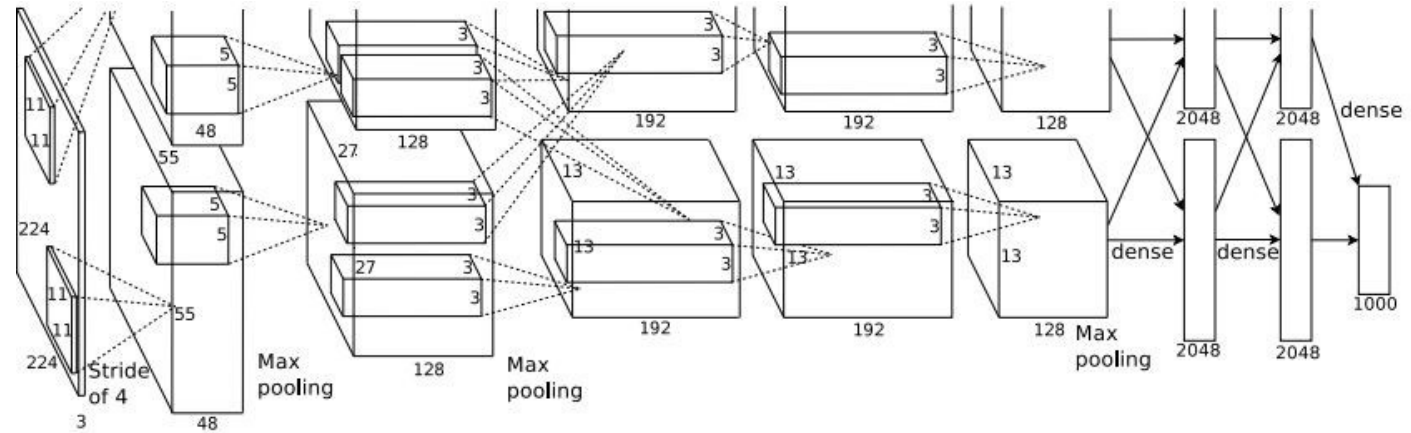
Input: 227x227x3 images  
After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Q: what is the output volume size?

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

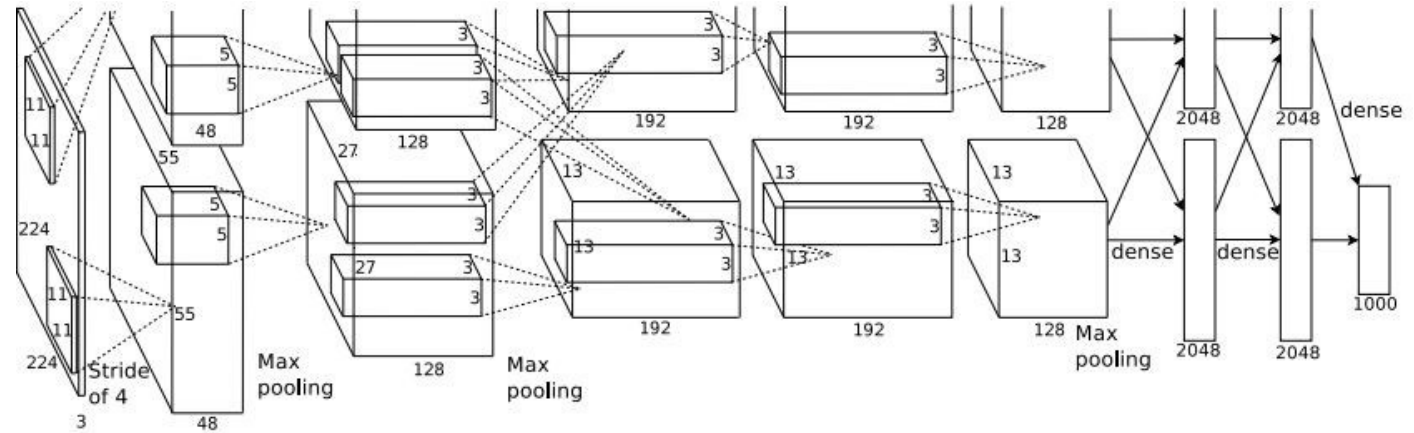
After CONV1: 55x55x96

$$W' = (W - F + 2P) / S + 1$$

**Second layer (POOL1):** 3x3 filters applied at stride 2

Q: what is the output volume size? Hint:  $(55-3)/2+1 = 27$

*[Krizhevsky et al. 2012]*



Input: 227x227x3 images

After CONV1: 55x55x96

$$W' = (W - F + 2P) / S + 1$$

**Second layer (POOL1):** 3x3 filters applied at stride 2

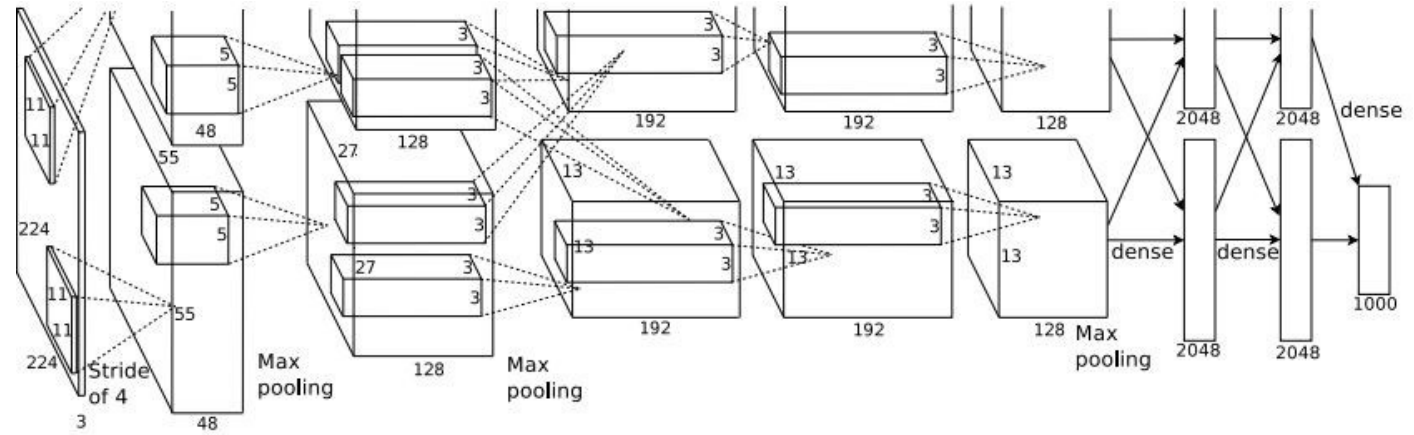
Output volume: 27x27x96

Q: what is the number of parameters in this layer?



# Case Study: AlexNet

*[Krizhevsky et al. 2012]*



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

Output volume: 27x27x96

Parameters: 0!

# Case Study: AlexNet

[Krizhevsky et al. 2012]

Input: 227x227x3 images

After CONV1: 55x55x96

After POOL1: 27x27x96

...

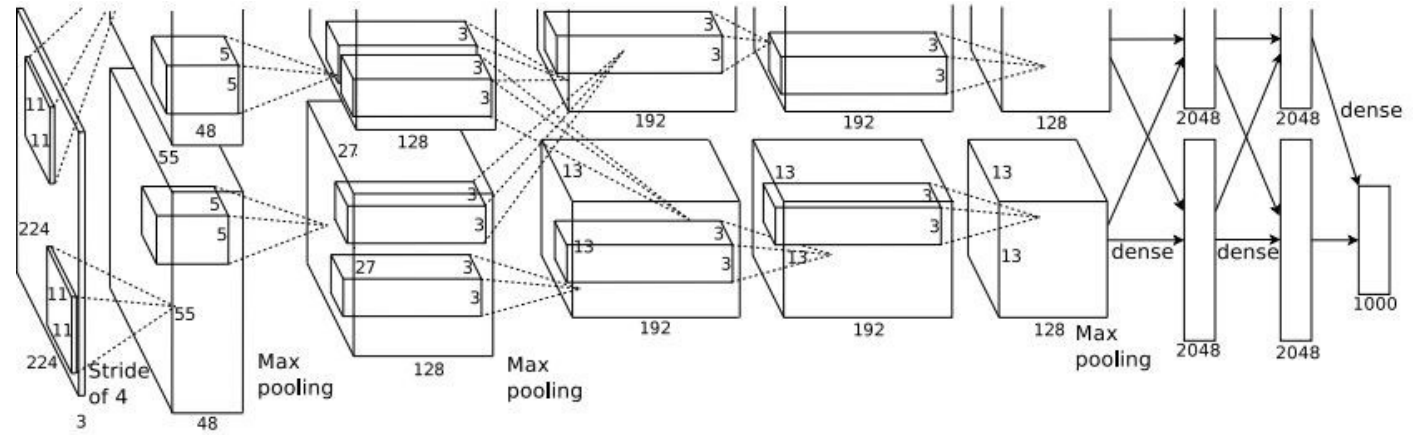


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)

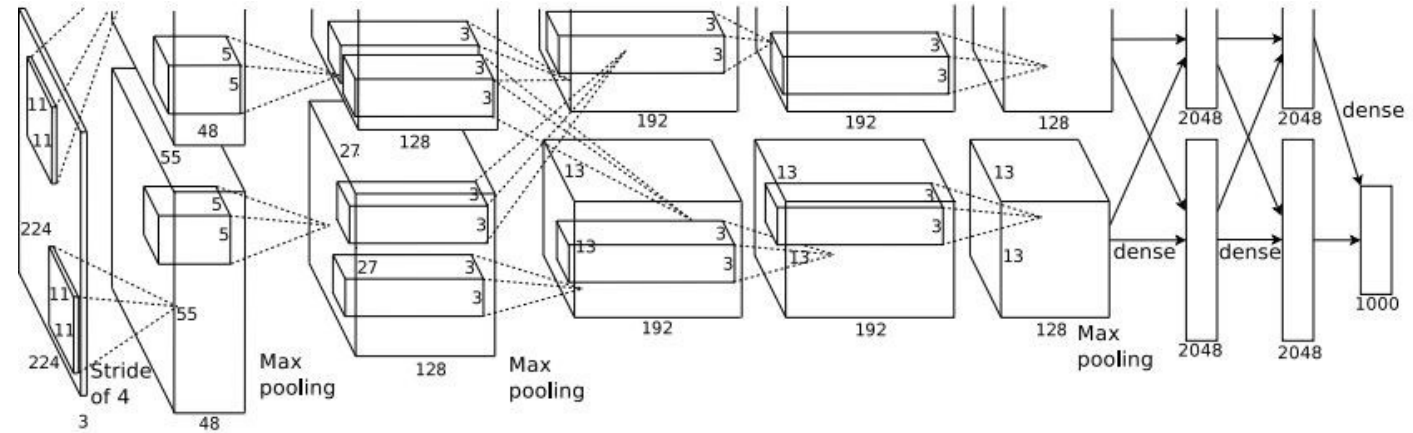


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

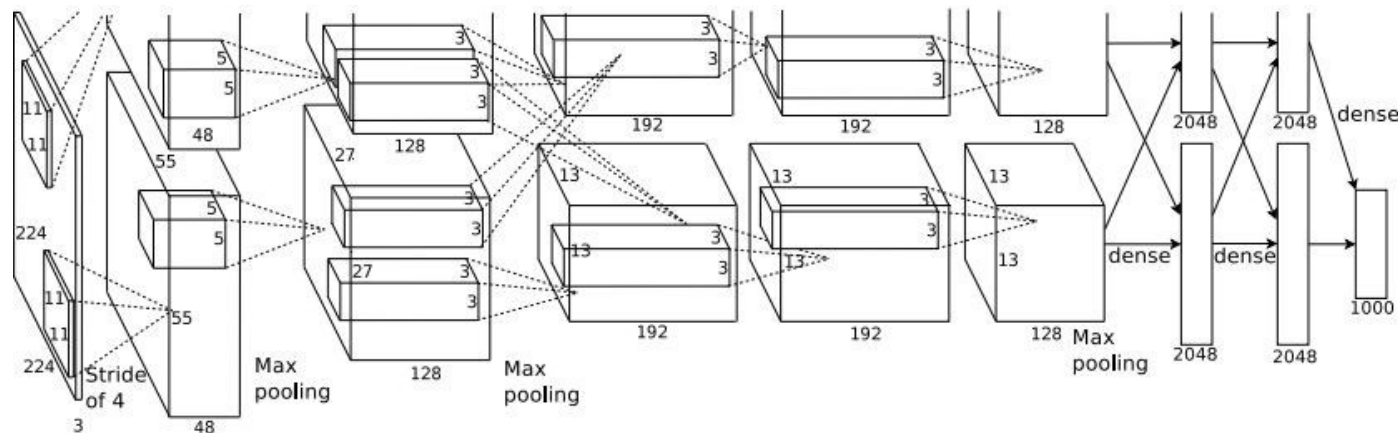
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



## Details/Retrospectives:

- first use of ReLU
- used LRN layers (not common anymore)
- heavy data augmentation
- dropout 0.5 → random 更新 50% 神经元
- batch size 128 → 128 张图一起进
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

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# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

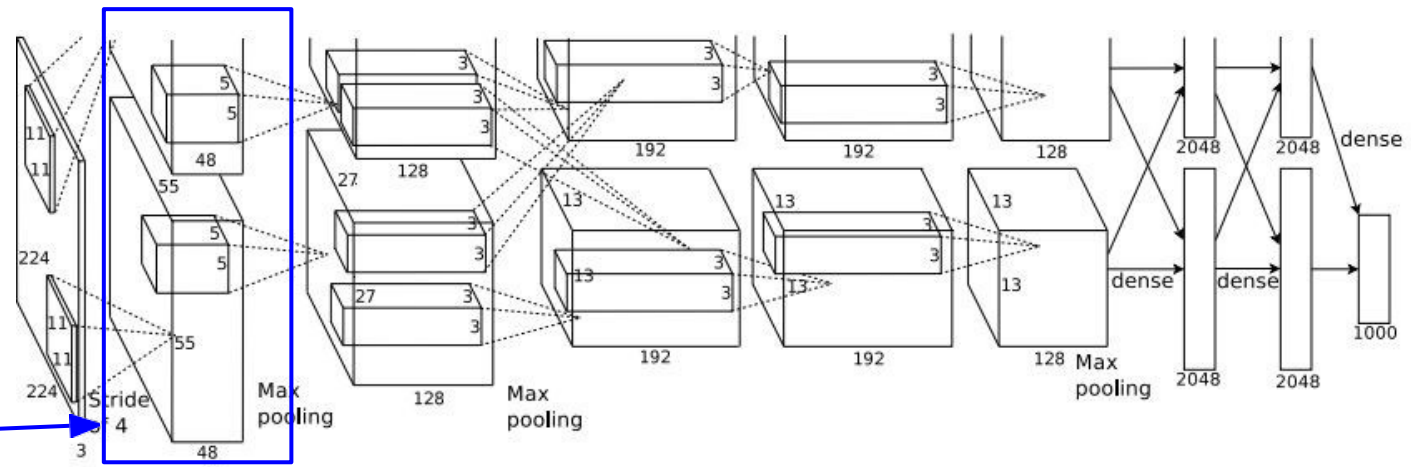
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



[55x55x48] x 2

Historical note: Trained on GTX 580 GPU with only 3 GB of memory.

Network spread across 2 GPUs, half the neurons (feature maps) on each GPU.

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# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

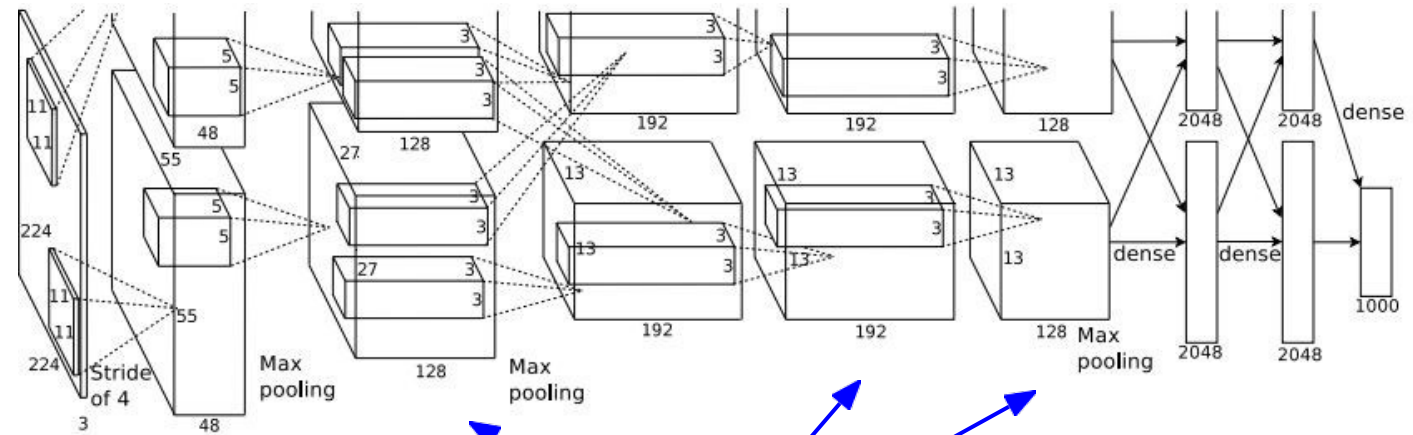
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)



CONV1, CONV2, CONV4, CONV5:  
Connections only with feature maps  
on same GPU

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# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

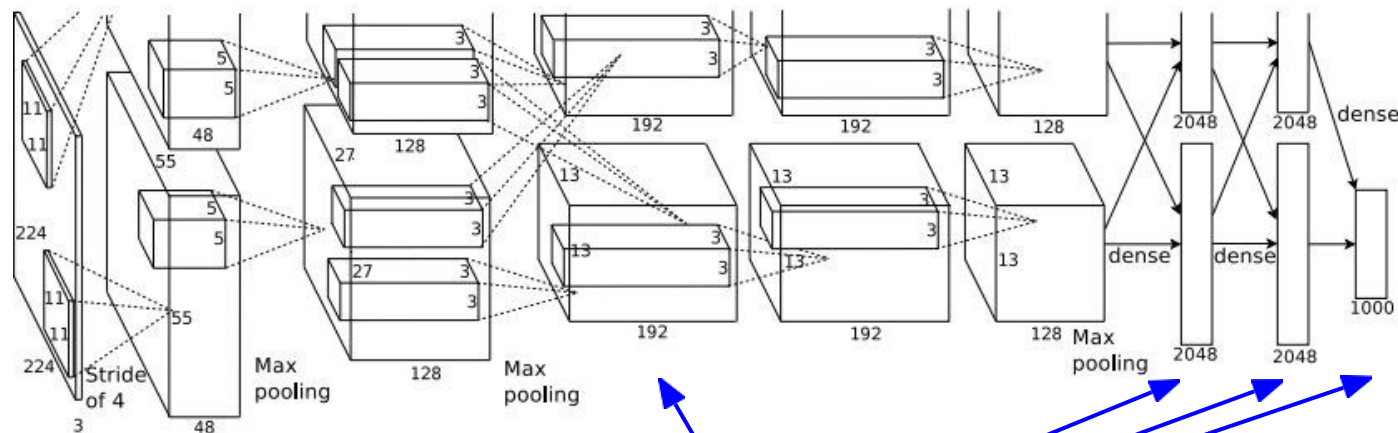
[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)

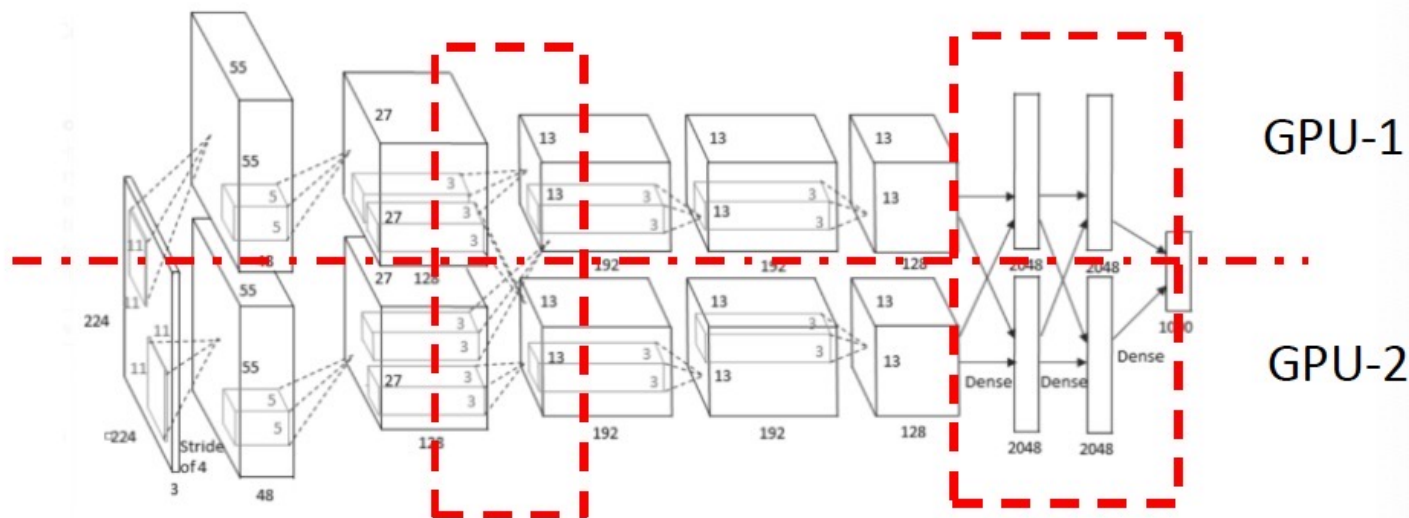


CONV3, FC6, FC7, FC8: 把另一GPU的也拿来  
Connections with all feature maps in  
preceding layer, communication  
across GPUs

# Case Study: AlexNet

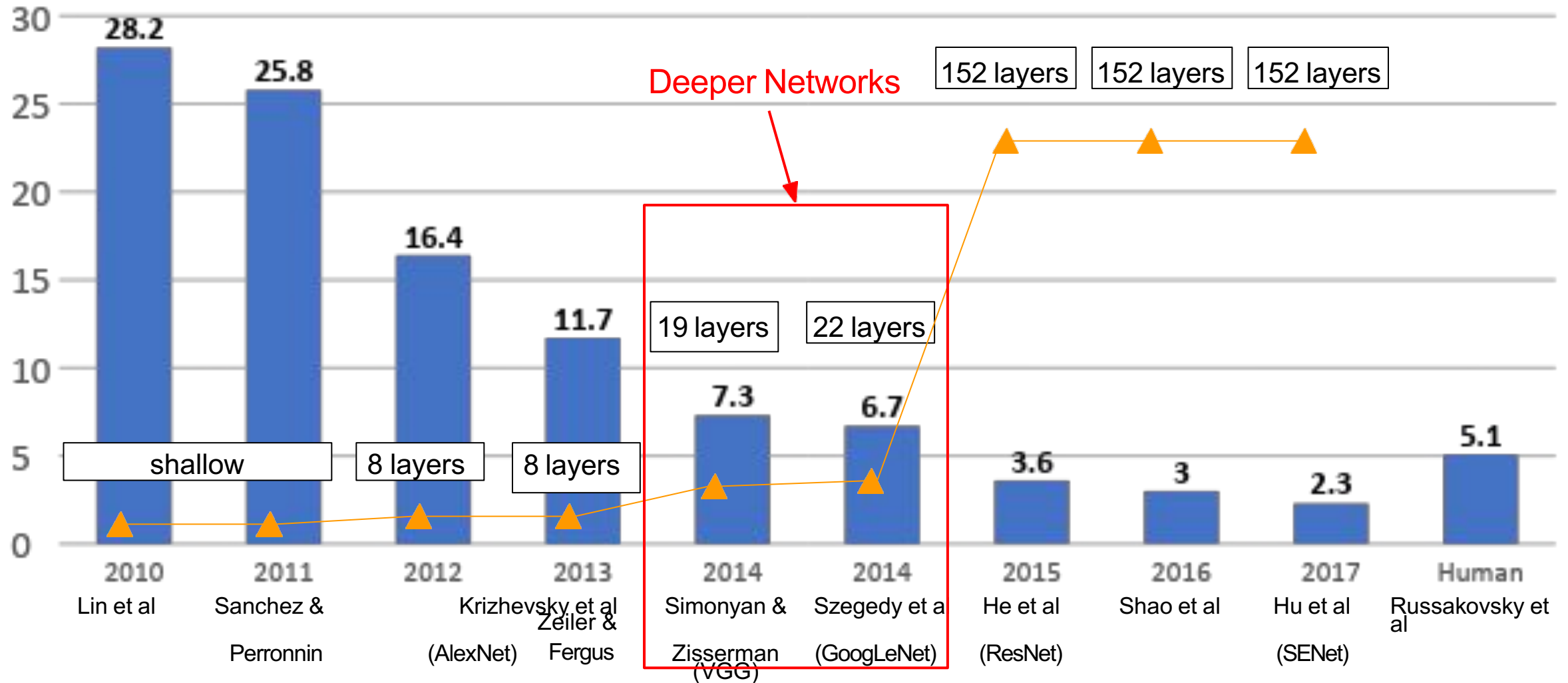
[Krizhevsky et al. 2012]

多个GPU





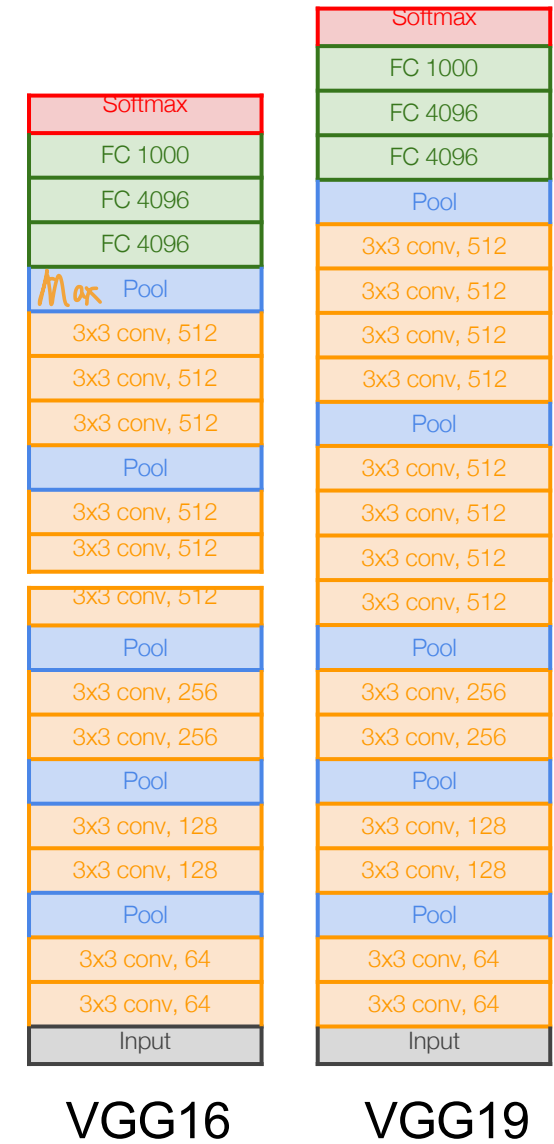
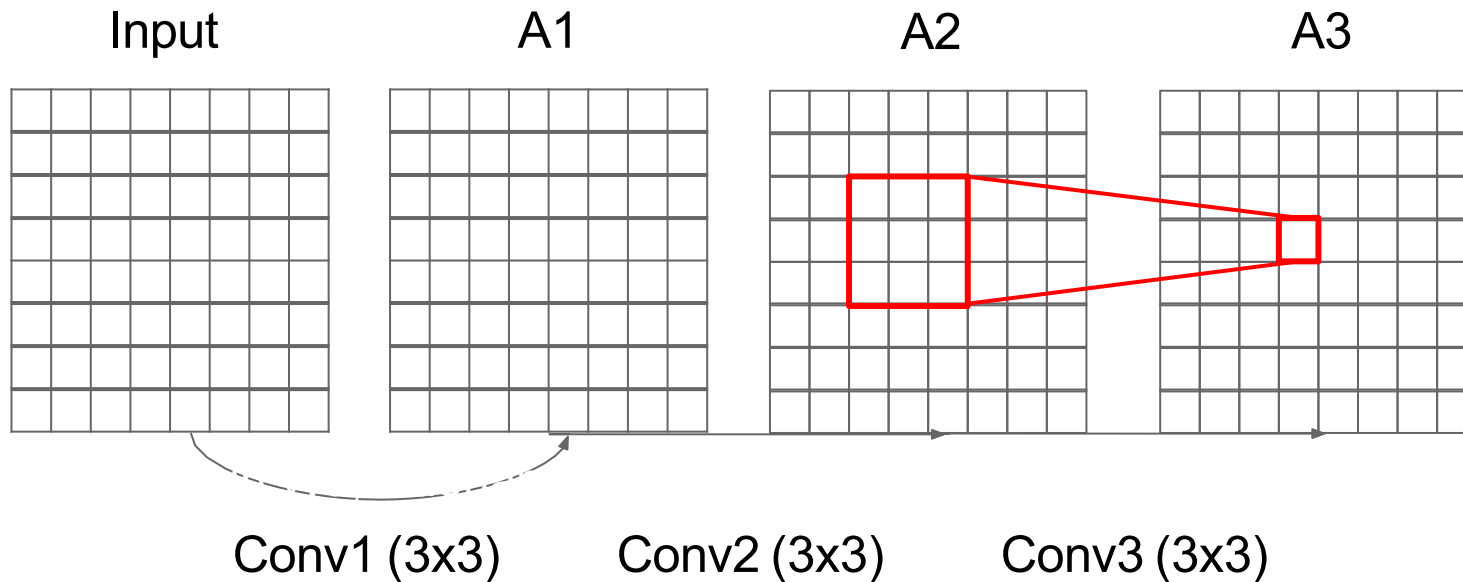
# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

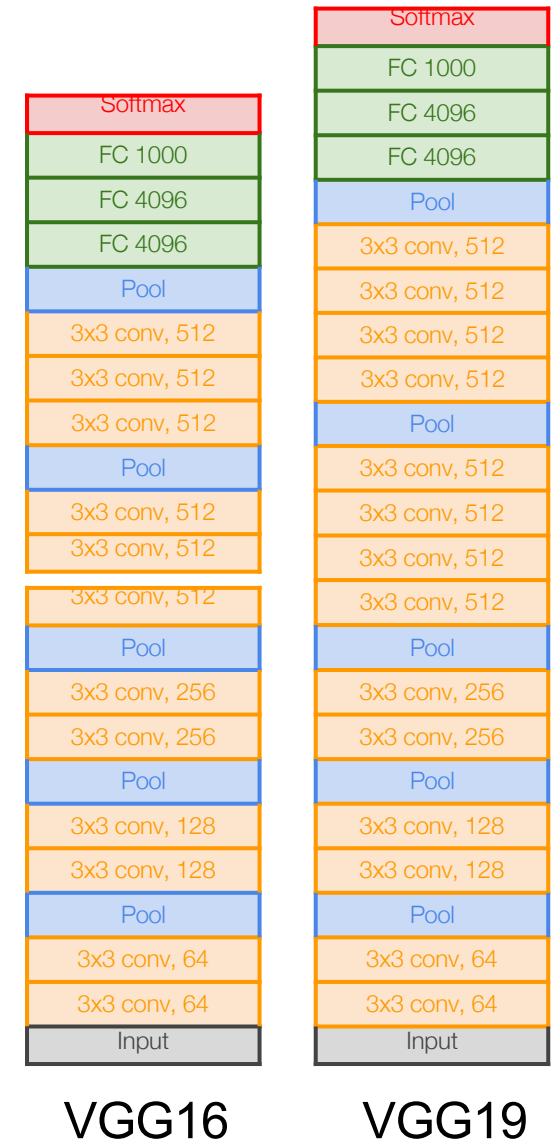
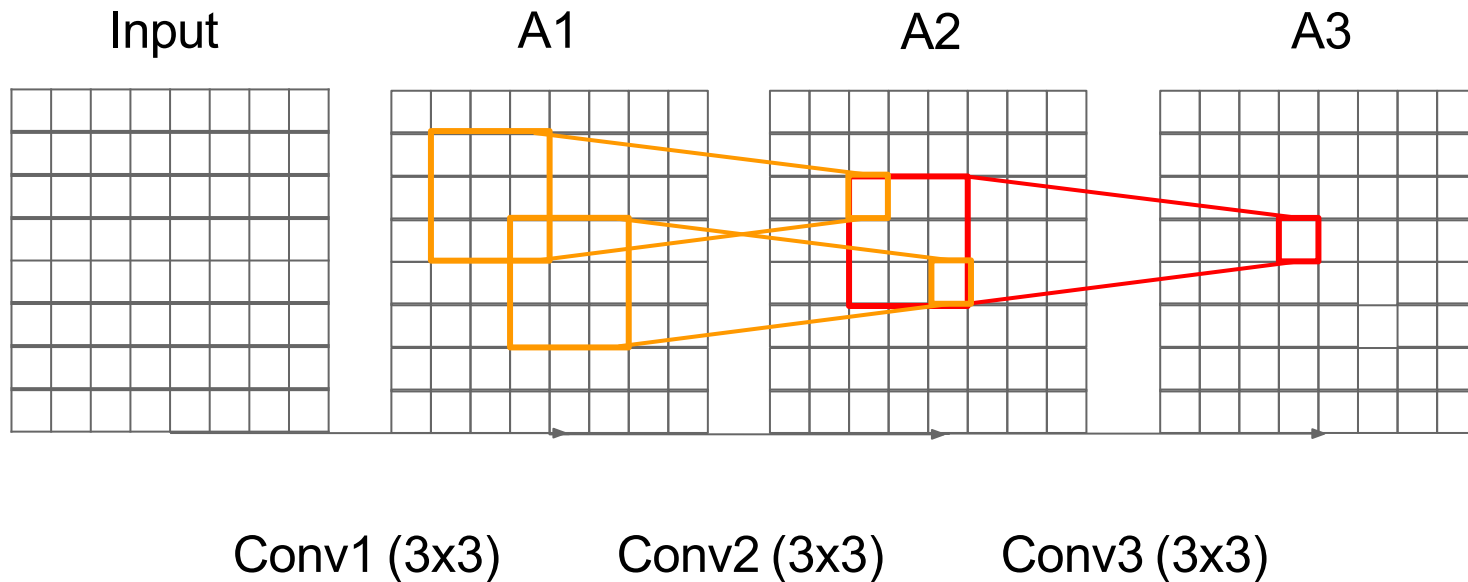
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?

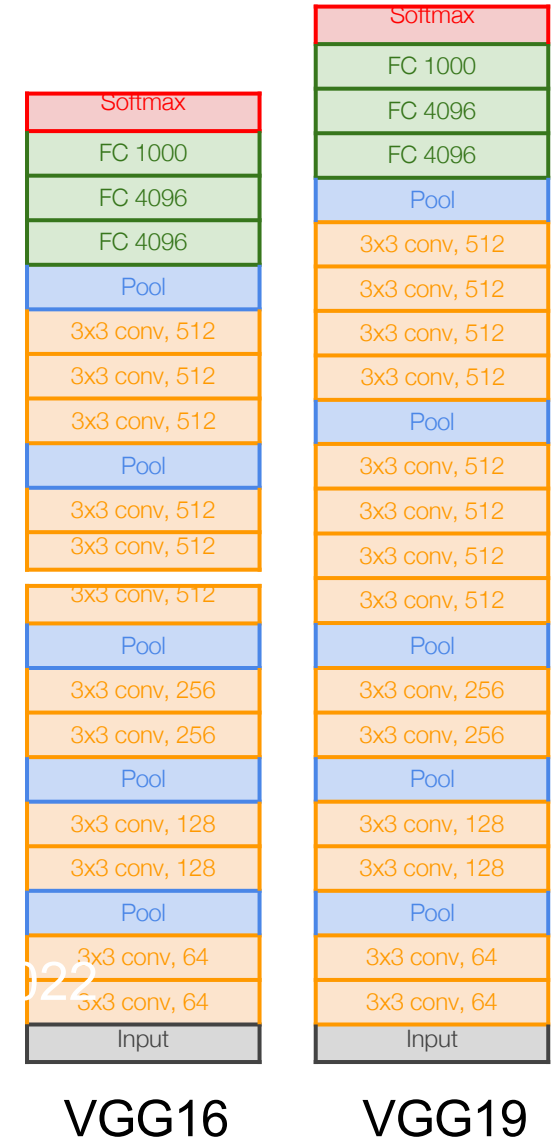
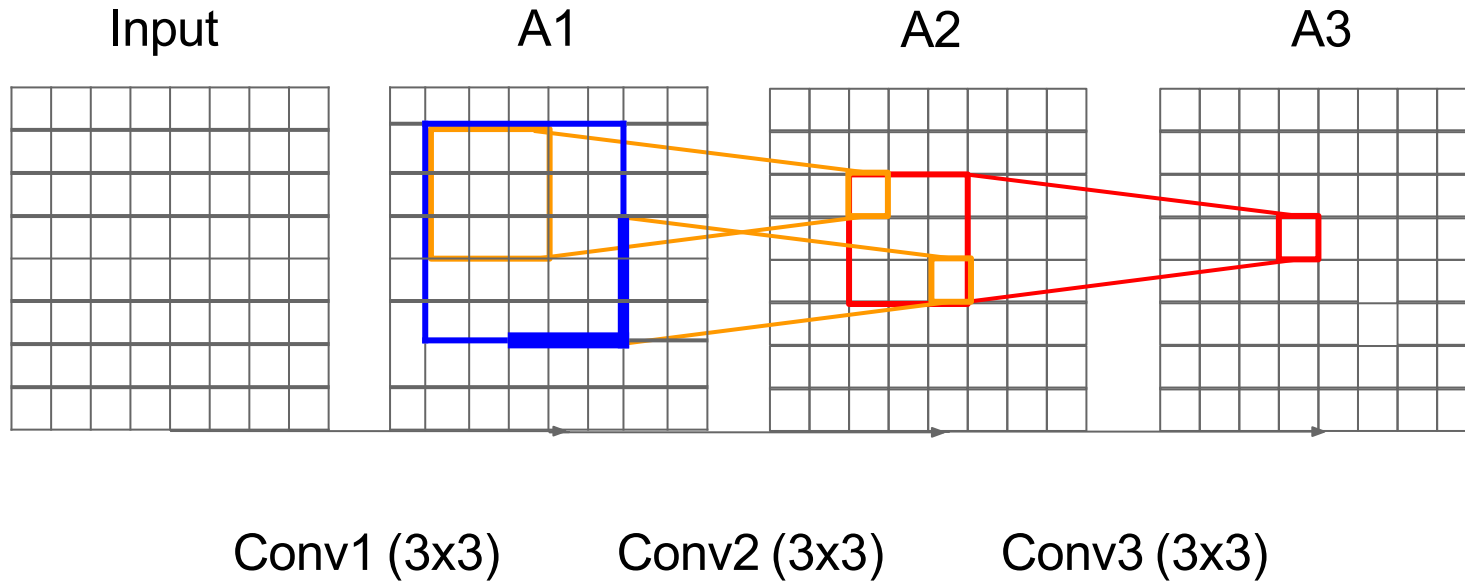


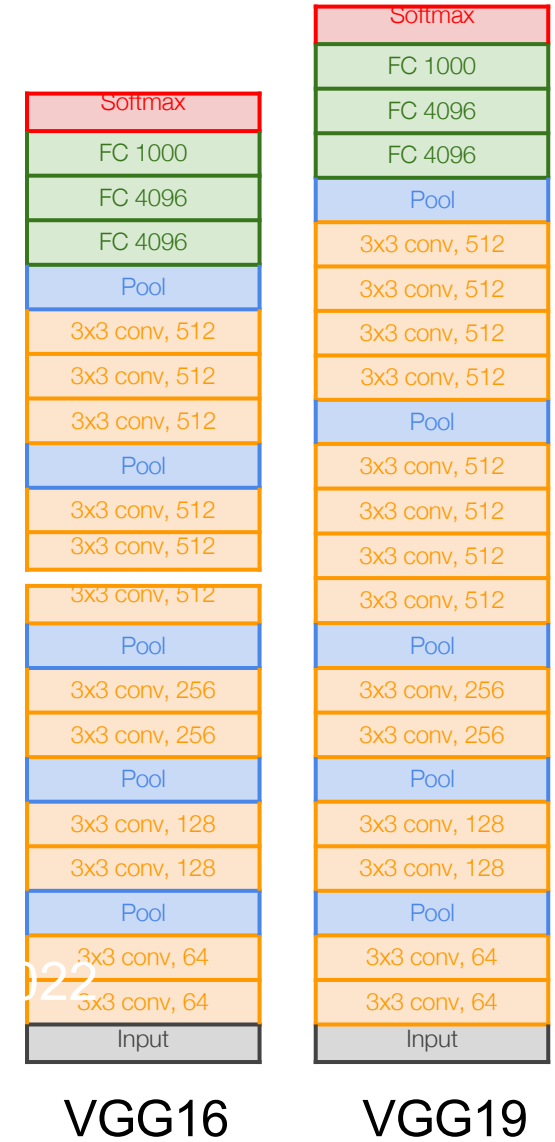
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?

感受野变大







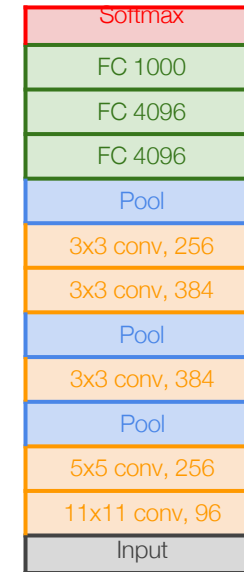
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

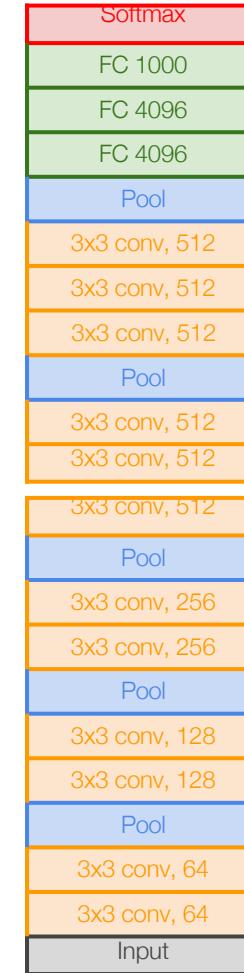
Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

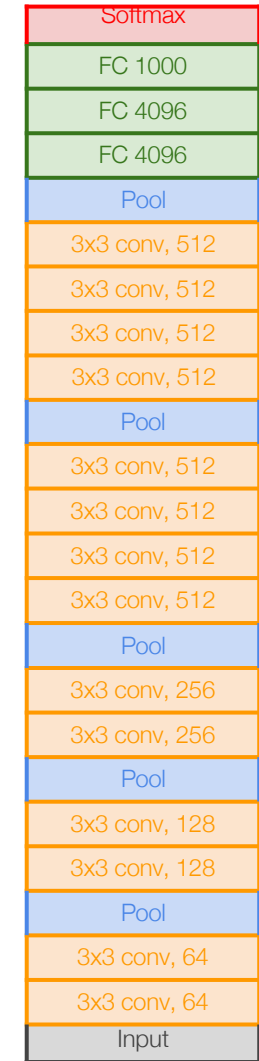
[7x7]



AlexNet



VGG16



VGG19

# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

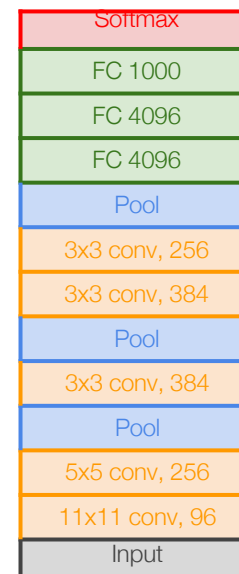
Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers has same **effective receptive field** as one 7x7 conv layer

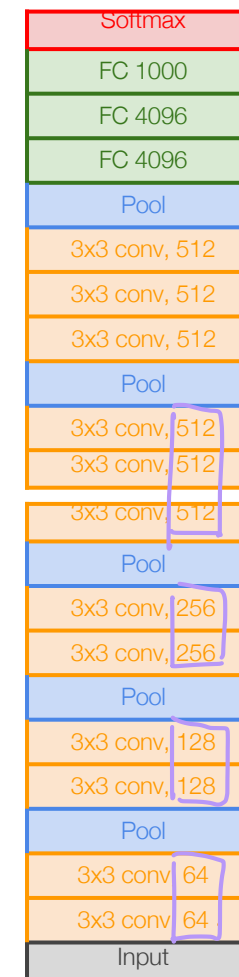
But deeper, more non-linearities

And fewer parameters:  $3 * (3^2 C^2)$  vs.  $7^2 C^2$  for C channels per layer

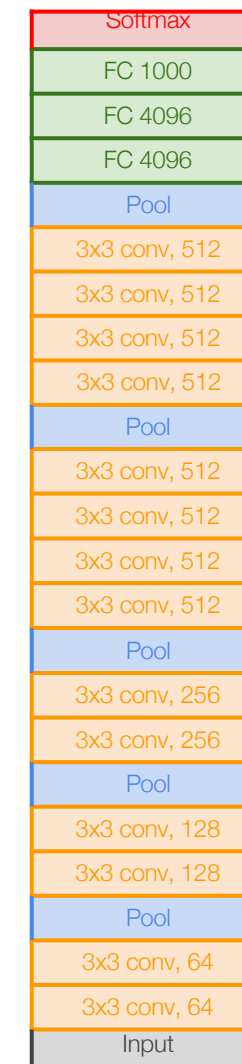
开始就256参数量太大  
pooling  $\rightarrow$  activation map  $\downarrow$   
可用大filter size



AlexNet



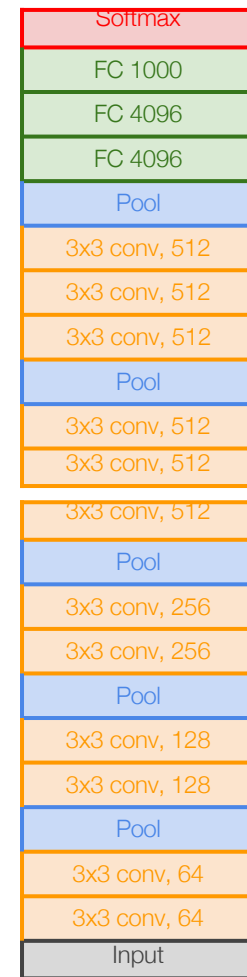
VGG16



VGG19



INPUT: [224x224x3]      memory:  $224*224*3=150\text{K}$     params: 0      (not counting biases)  
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*64)*64 = 36,864$   
 POOL2: [112x112x64]    memory:  $112*112*64=800\text{K}$     params: 0  
 CONV3-128: [112x112x128]    memory:  $112*112*128=1.6\text{M}$     params:  $(3*3*64)*128 = 73,728$   
 CONV3-128: [112x112x128]    memory:  $112*112*128=1.6\text{M}$     params:  $(3*3*128)*128 = 147,456$   
 POOL2: [56x56x128]    memory:  $56*56*128=400\text{K}$     params: 0  
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 POOL2: [28x28x256]    memory:  $28*28*256=200\text{K}$     params: 0  
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*256)*512 = 1,179,648$   
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [14x14x512]    memory:  $14*14*512=100\text{K}$     params: 0  
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [7x7x512]    memory:  $7*7*512=25\text{K}$     params: 0  
 FC: [1x1x4096]    memory: 4096    params:  $7*7*512*4096 = 102,760,448$     74% 参数量  
 FC: [1x1x4096]    memory: 4096    params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000]    memory: 1000    params:  $4096*1000 = 4,096,000$

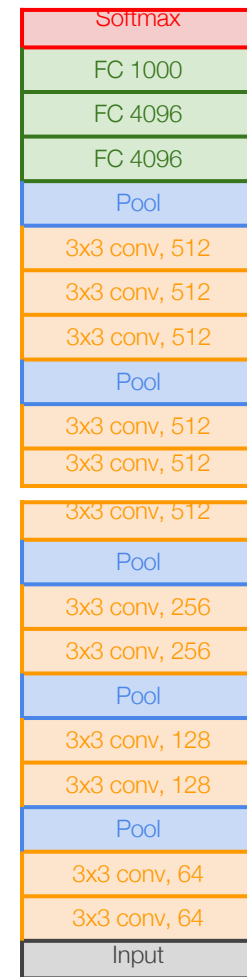


VGG16

INPUT: [224x224x3]      memory:  $224*224*3=150\text{K}$     params: 0      (not counting biases)  
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*64)*64 = 36,864$   
 POOL2: [112x112x64]    memory:  $112*112*64=800\text{K}$     params: 0  
 CONV3-128: [112x112x128]    memory:  $112*112*128=1.6\text{M}$     params:  $(3*3*64)*128 = 73,728$   
 CONV3-128: [112x112x128]    memory:  $112*112*128=1.6\text{M}$     params:  $(3*3*128)*128 = 147,456$   
 POOL2: [56x56x128]    memory:  $56*56*128=400\text{K}$     params: 0  
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 POOL2: [28x28x256]    memory:  $28*28*256=200\text{K}$     params: 0  
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*256)*512 = 1,179,648$   
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [14x14x512]    memory:  $14*14*512=100\text{K}$     params: 0  
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
 POOL2: [7x7x512]    memory:  $7*7*512=25\text{K}$     params: 0  
 FC: [1x1x4096]    memory: 4096    params:  $7*7*512*4096 = 102,760,448$   
 FC: [1x1x4096]    memory: 4096    params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000]    memory: 1000    params:  $4096*1000 = 4,096,000$

**TOTAL** memory:  $24\text{M} * 4 \text{ bytes} \approx 96\text{MB}$  / image (for a forward pass)

**TOTAL** params: 138M parameters



VGG16

INPUT: [224x224x3] memory:  $224*224*3=150\text{K}$  params: 0 (not counting biases)

CONV3-64: [224x224x64] memory:  $224*224*64=3.2\text{M}$  params:  $(3*3*3)*64 = 1,728$

CONV3-64: [224x224x64] memory:  $224*224*64=3.2\text{M}$  params:  $(3*3*64)*64 = 36,864$

POOL2: [112x112x64] memory:  $112*112*64=800\text{K}$  params: 0

CONV3-128: [112x112x128] memory:  $112*112*128=1.6\text{M}$  params:  $(3*3*64)*128 = 73,728$

CONV3-128: [112x112x128] memory:  $112*112*128=1.6\text{M}$  params:  $(3*3*128)*128 = 147,456$

POOL2: [56x56x128] memory:  $56*56*128=400\text{K}$  params: 0

CONV3-256: [56x56x256] memory:  $56*56*256=800\text{K}$  params:  $(3*3*128)*256 = 294,912$

CONV3-256: [56x56x256] memory:  $56*56*256=800\text{K}$  params:  $(3*3*256)*256 = 589,824$

CONV3-256: [56x56x256] memory:  $56*56*256=800\text{K}$  params:  $(3*3*256)*256 = 589,824$

POOL2: [28x28x256] memory:  $28*28*256=200\text{K}$  params: 0

CONV3-512: [28x28x512] memory:  $28*28*512=400\text{K}$  params:  $(3*3*256)*512 = 1,179,648$

CONV3-512: [28x28x512] memory:  $28*28*512=400\text{K}$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [28x28x512] memory:  $28*28*512=400\text{K}$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [14x14x512] memory:  $14*14*512=100\text{K}$  params: 0

CONV3-512: [14x14x512] memory:  $14*14*512=100\text{K}$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100\text{K}$  params:  $(3*3*512)*512 = 2,359,296$

CONV3-512: [14x14x512] memory:  $14*14*512=100\text{K}$  params:  $(3*3*512)*512 = 2,359,296$

POOL2: [7x7x512] memory:  $7*7*512=25\text{K}$  params: 0

FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

**TOTAL memory:**  $24\text{M} * 4 \text{ bytes} \approx 96\text{MB}$  / image (only forward!  $\sim*2$  for bwd)

**TOTAL params:** 138M parameters

Note:

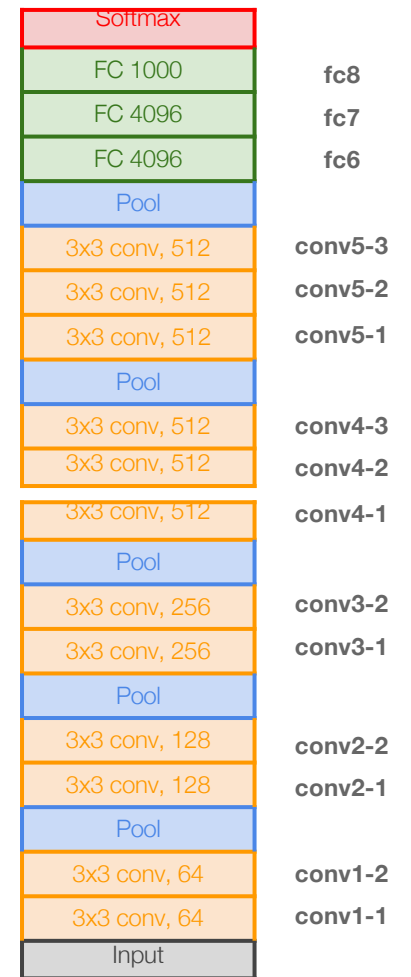
Most memory is in  
early CONV

Most params are  
in late FC

INPUT: [224x224x3]      memory:  $224*224*3=150\text{K}$     params: 0      (not counting biases)  
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*3)*64 = 1,728$   
 CONV3-64: [224x224x64]    memory:  $224*224*64=3.2\text{M}$     params:  $(3*3*64)*64 = 36,864$   
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 CONV3-128: [112x112x128]    memory:  $112*112*128=1.6\text{M}$     params:  $(3*3*64)*128 = 73,728$   
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 POOL2: [56x56x128]    memory:  $56*56*128=400\text{K}$     params: 0  
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*128)*256 = 294,912$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 CONV3-256: [56x56x256]    memory:  $56*56*256=800\text{K}$     params:  $(3*3*256)*256 = 589,824$   
 POOL2: [28x28x256]    memory:  $28*28*256=200\text{K}$     params: 0  
 CONV3-512: [28x28x512]    memory:  $28*28*512=400\text{K}$     params:  $(3*3*256)*512 = 1,179,648$   
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 POOL2: [14x14x512]    memory:  $14*14*512=100\text{K}$     params: 0  
 CONV3-512: [14x14x512]    memory:  $14*14*512=100\text{K}$     params:  $(3*3*512)*512 = 2,359,296$   
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 POOL2: [7x7x512]    memory:  $7*7*512=25\text{K}$     params: 0  
 FC: [1x1x4096]    memory: 4096    params:  $7*7*512*4096 = 102,760,448$   
 FC: [1x1x4096]    memory: 4096    params:  $4096*4096 = 16,777,216$   
 FC: [1x1x1000]    memory: 1000    params:  $4096*1000 = 4,096,000$

**TOTAL** memory:  $24\text{M} * 4 \text{ bytes} \approx 96\text{MB}$  / image (only forward!  $\sim*2$  for bwd)

**TOTAL** params: 138M parameters



VGG16

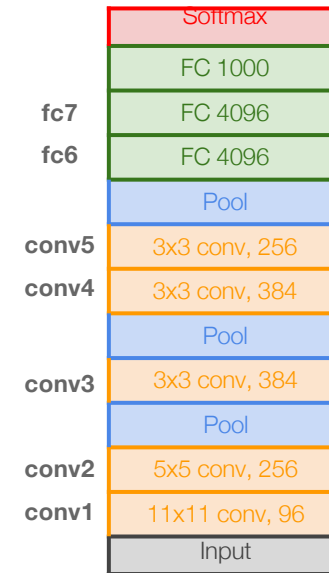
Common names

# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

## Details:

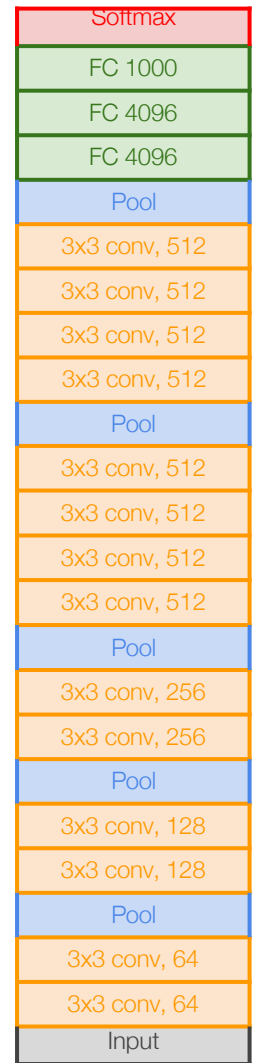
- ILSVRC'14 2nd in classification, 1st in localization
- Similar training procedure as Krizhevsky 2012
- No Local Response Normalisation (LRN)
- Use VGG16 or VGG19 (VGG19 only slightly better, more memory)
- Use ensembles for best results
- FC7 features generalize well to other tasks



AlexNet

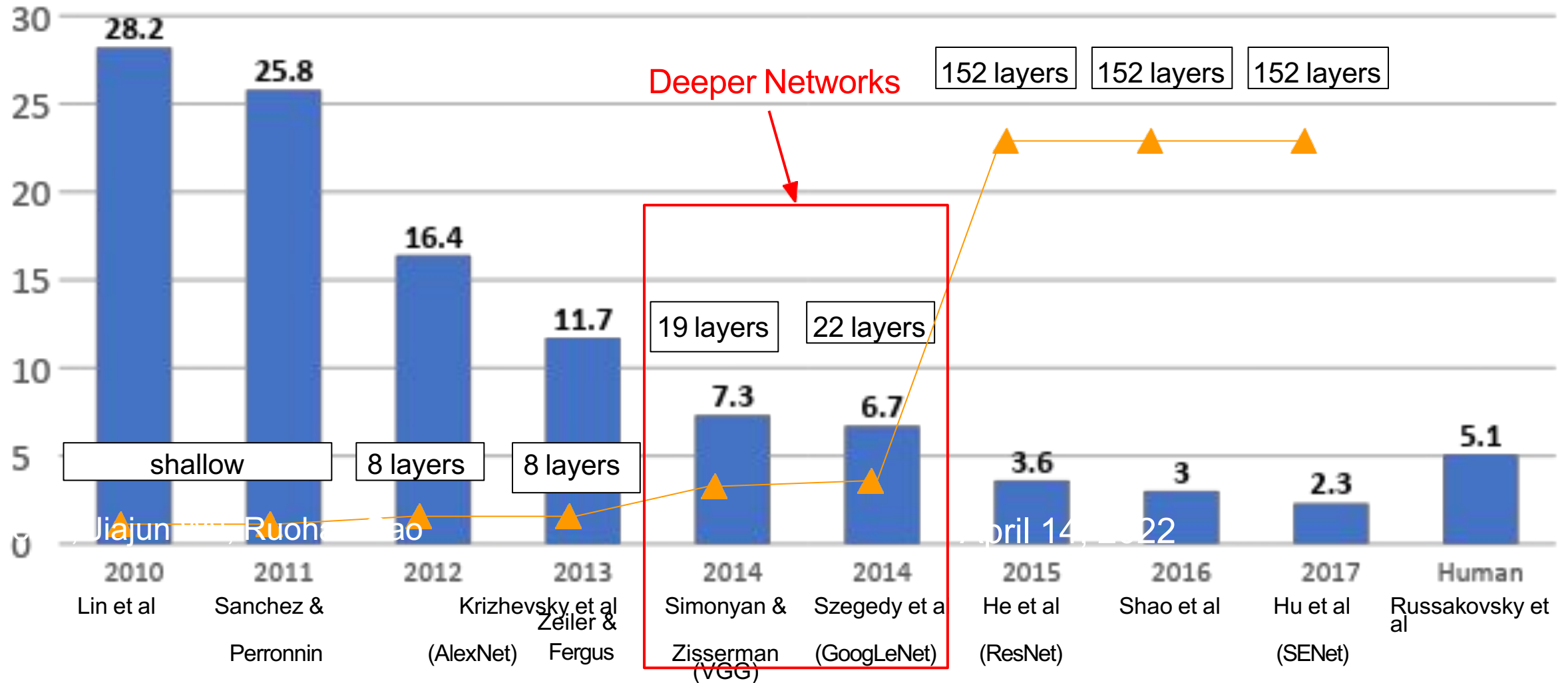


VGG16



VGG19

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners





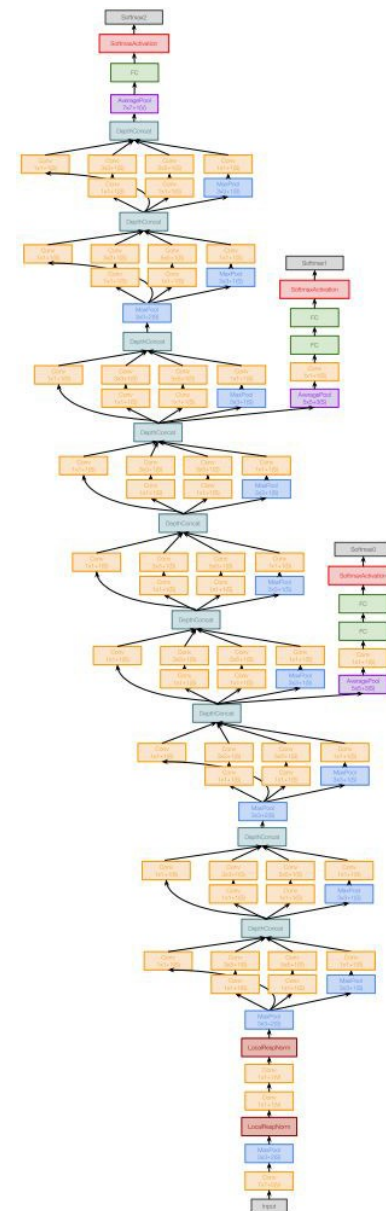
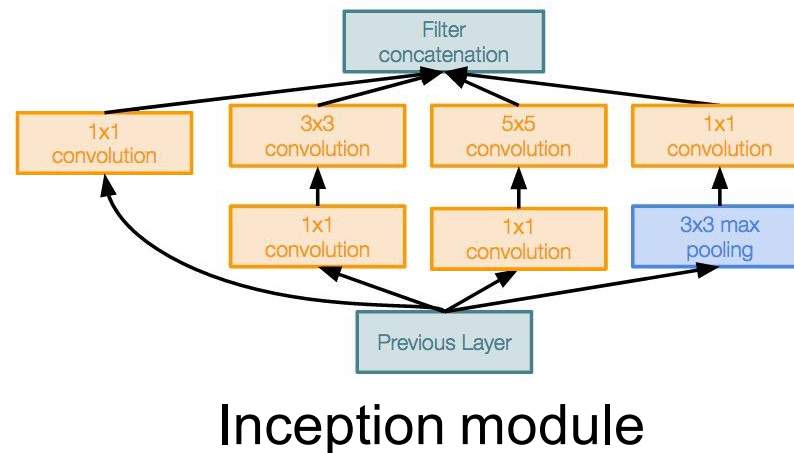
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

- ILSVRC'14 classification winner (6.7% top 5 error)
- 22 layers
- Only 5 million parameters!  
12x less than AlexNet  
27x less than VGG-16
- Efficient “Inception” module
- No FC layers

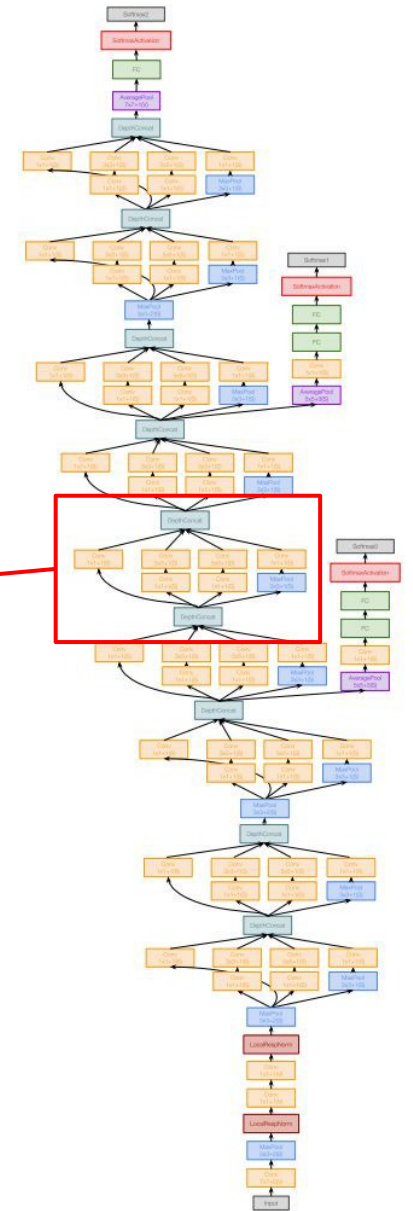
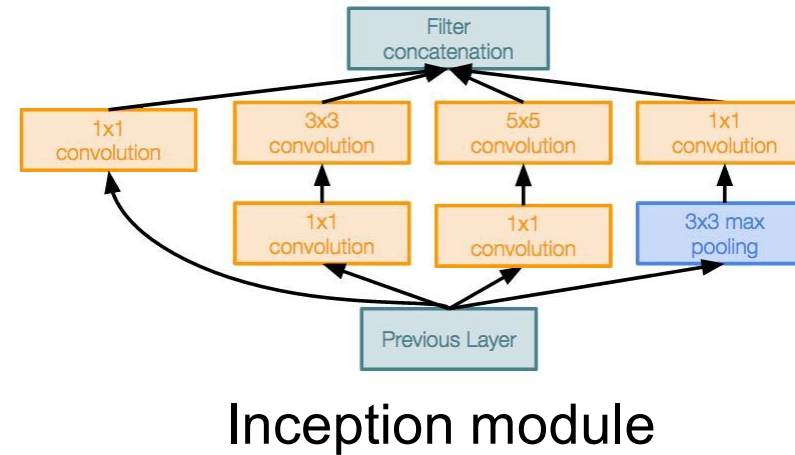
串联, 前丢后无法get  
并联



# Case Study: GoogLeNet

[Szegedy et al., 2014]

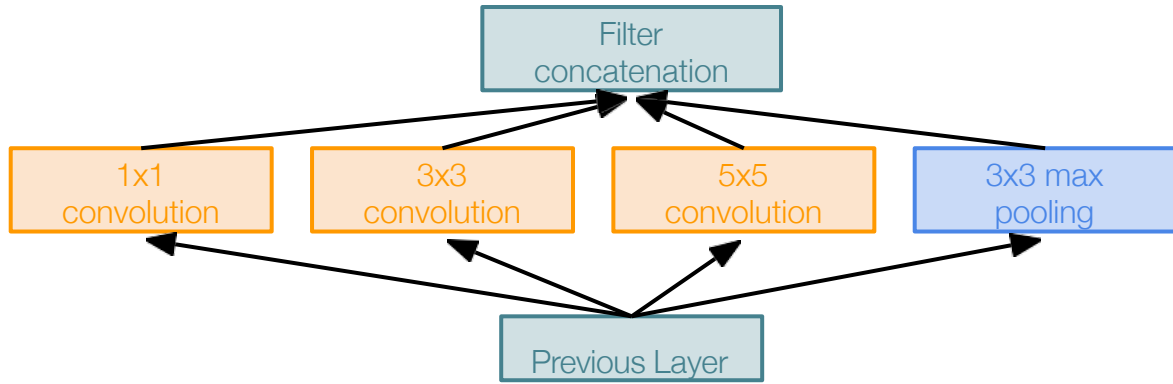
“Inception module”: design a good local network topology (network within a network) and then stack these modules on top of each other





# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

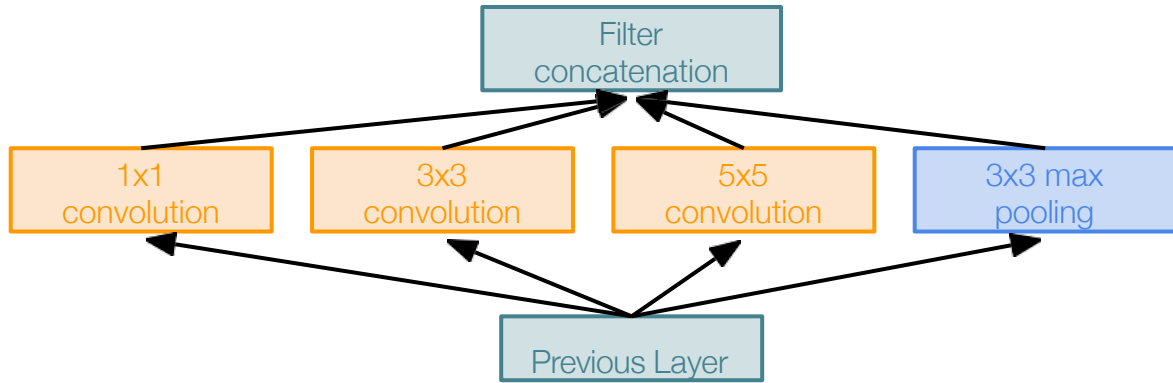
Apply parallel filter operations on the input from previous layer:

- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
- Pooling operation (3x3)

Concatenate all filter outputs together channel-wise

# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

Apply parallel filter operations on the input from previous layer:

- Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
- Pooling operation (3x3)

Concatenate all filter outputs together channel-wise

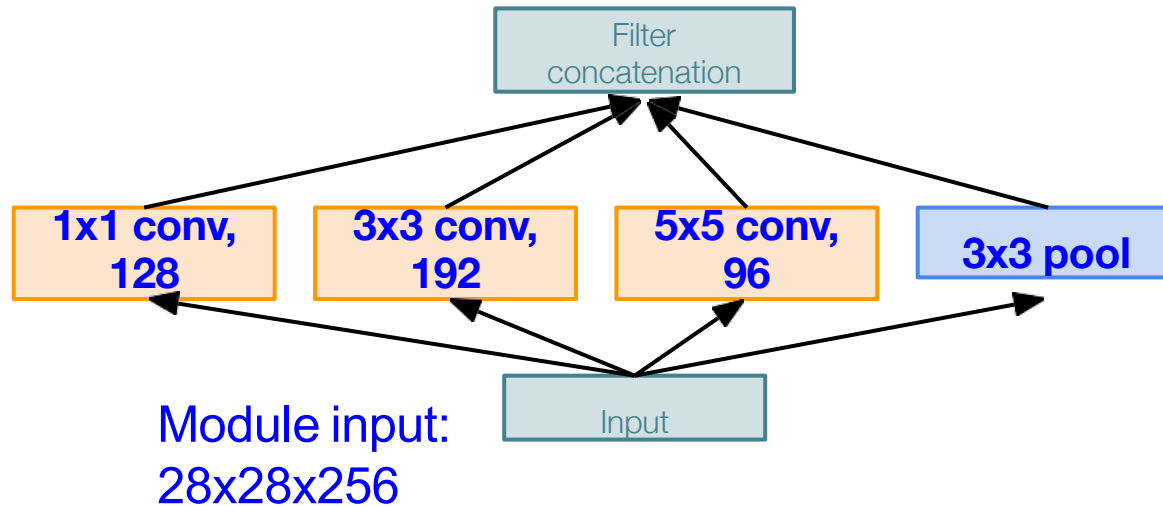
Q: What is the problem with this?  
[Hint: Computational complexity]

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:



Naive Inception module

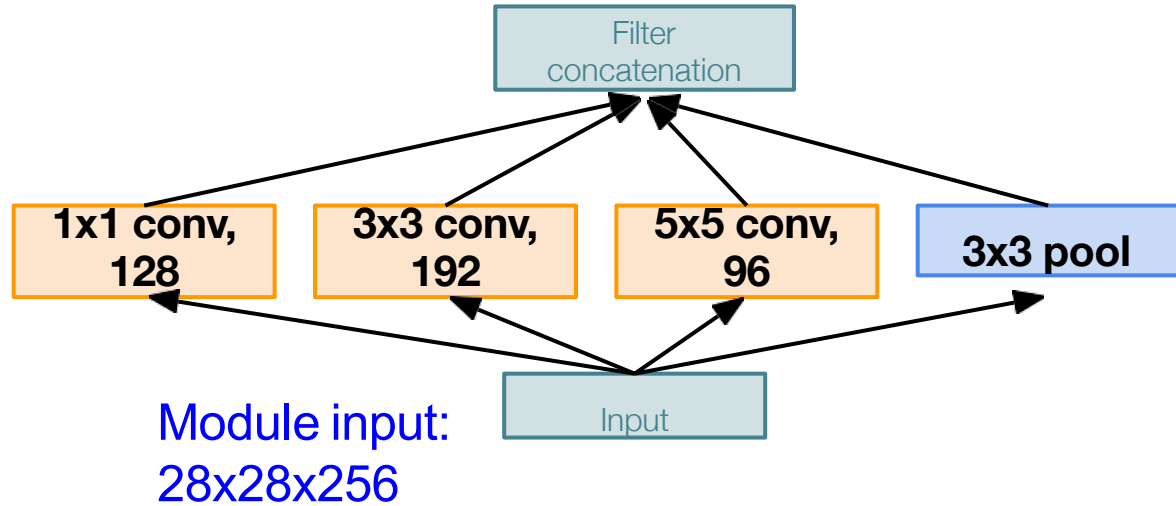
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q1: What are the output sizes of  
all different filter operations?



Naive Inception module

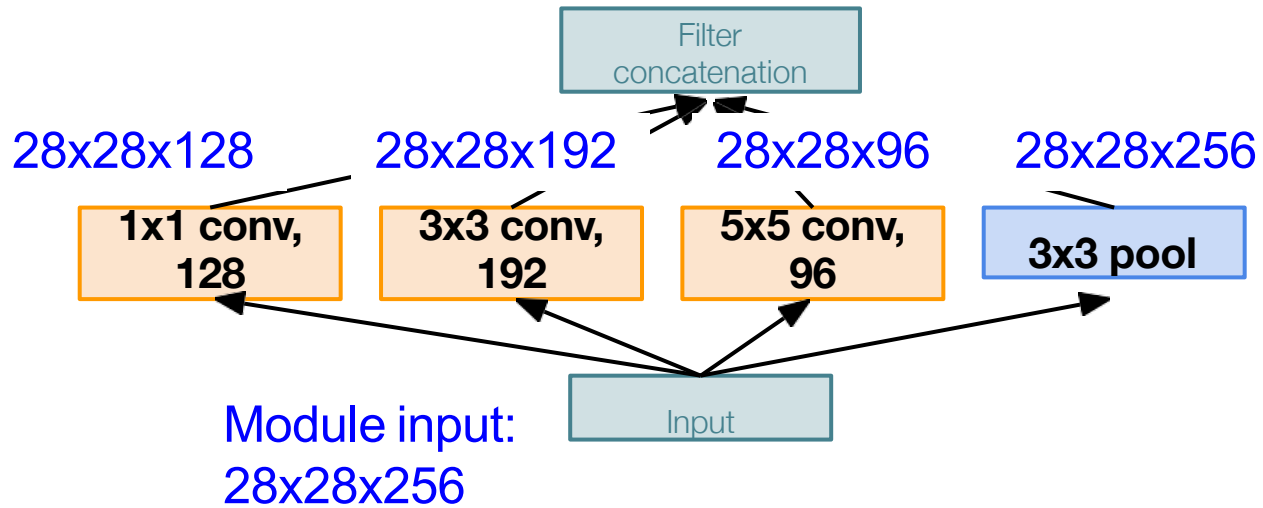
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q1: What are the output sizes of  
all different filter operations?



Naive Inception module

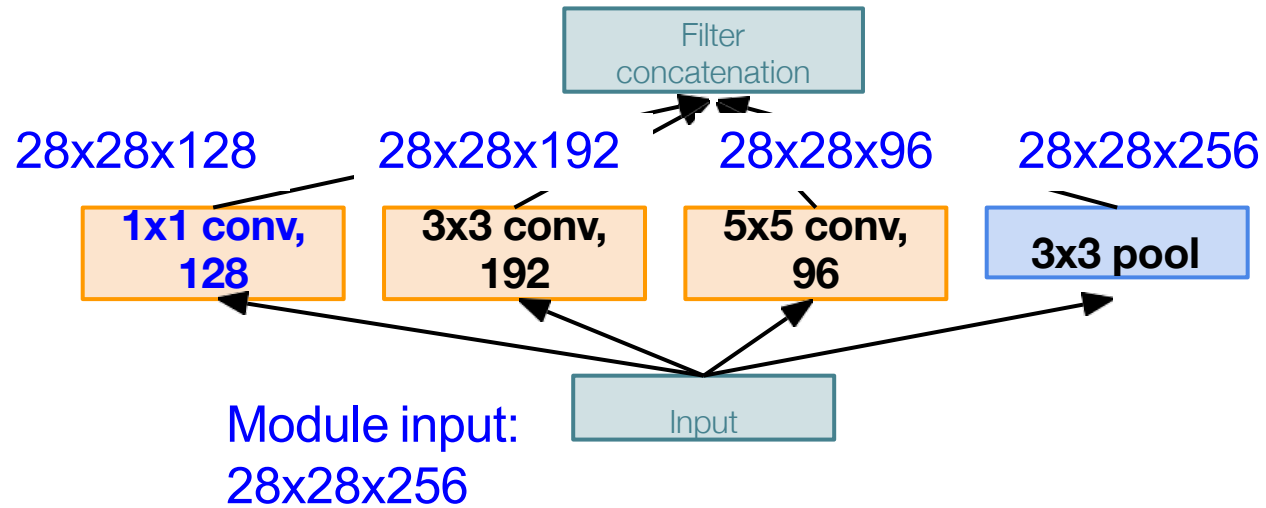
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q2: What is output size after  
filter concatenation?



Naive Inception module

# Case Study: GoogLeNet

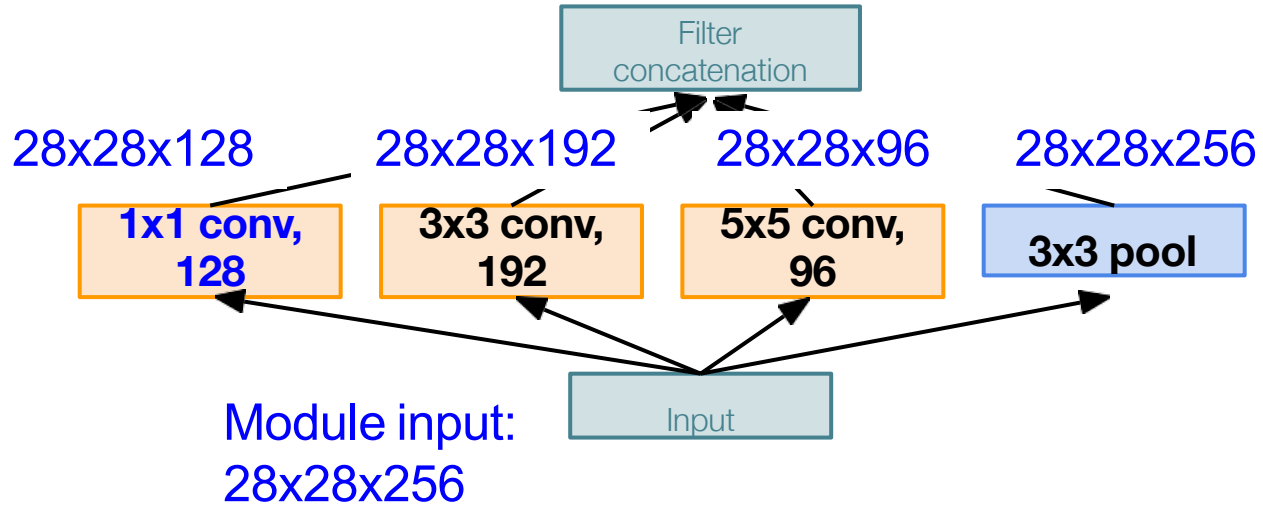
[Szegedy et al., 2014]

Q: What is the problem with this?  
[Hint: Computational complexity]

Example:

Q2: What is output size after  
filter concatenation?

$$28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$$



Naive Inception module

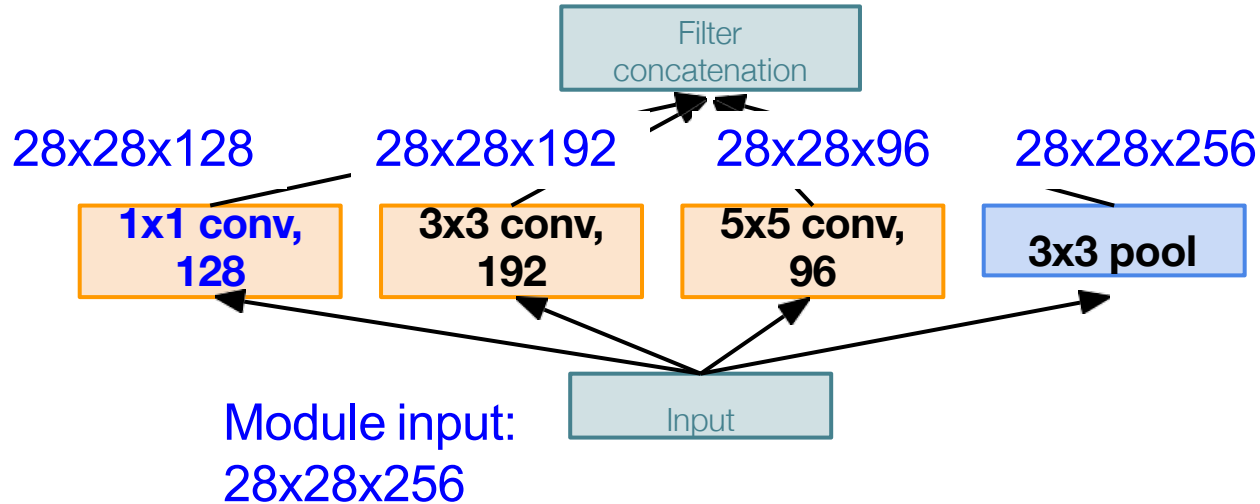
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Example:

Q2: What is output size after filter concatenation?

$$28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$$



Naive Inception module

Q: What is the problem with this?  
[Hint: Computational complexity]

Conv Ops:

[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$

[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$

[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**



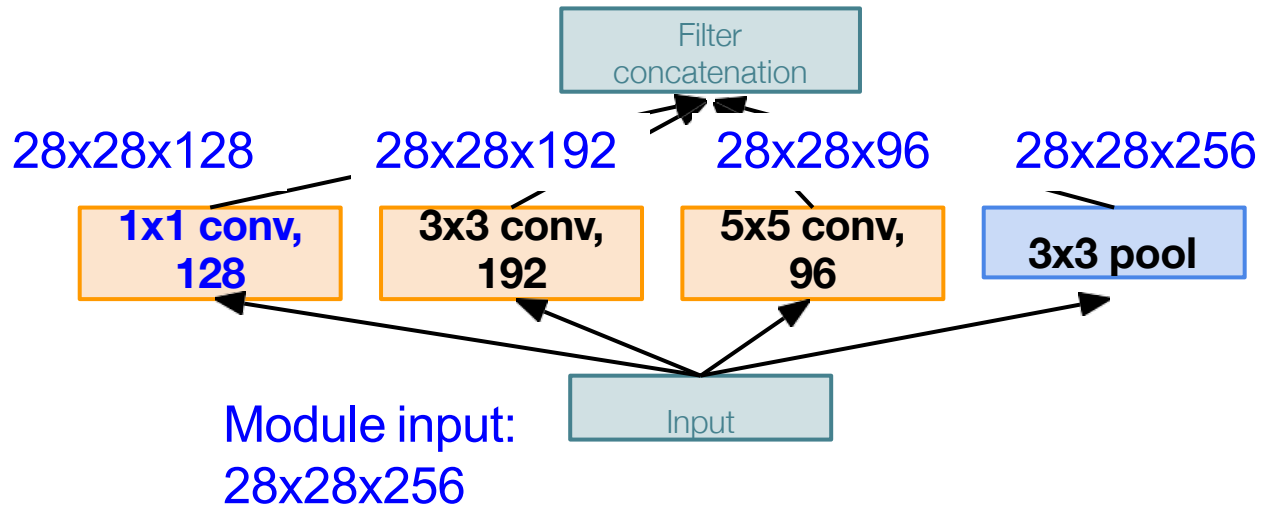
# Case Study: GoogLeNet

[Szegedy et al., 2014]

Example:

Q2: What is output size after filter concatenation?

$$28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$$



Naive Inception module

Q: What is the problem with this?  
[Hint: Computational complexity]

Conv Ops:

[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$

[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$

[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**

Very expensive compute

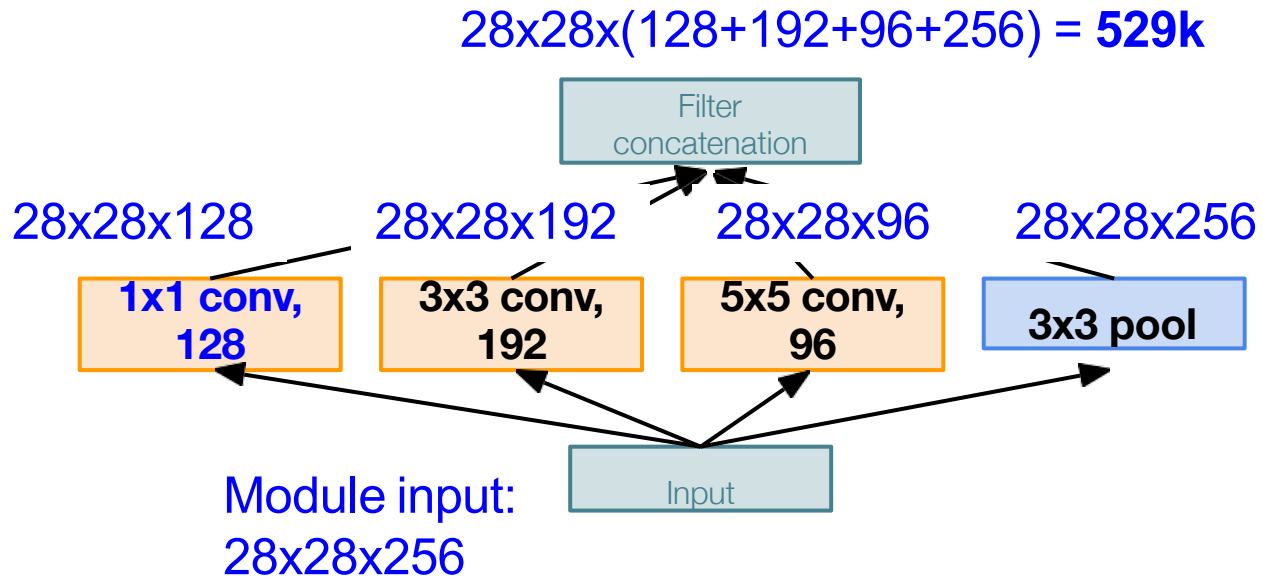
Pooling layer also preserves feature depth, which means total depth after concatenation can only grow at every layer!

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Example:

Q2: What is output size after filter concatenation?



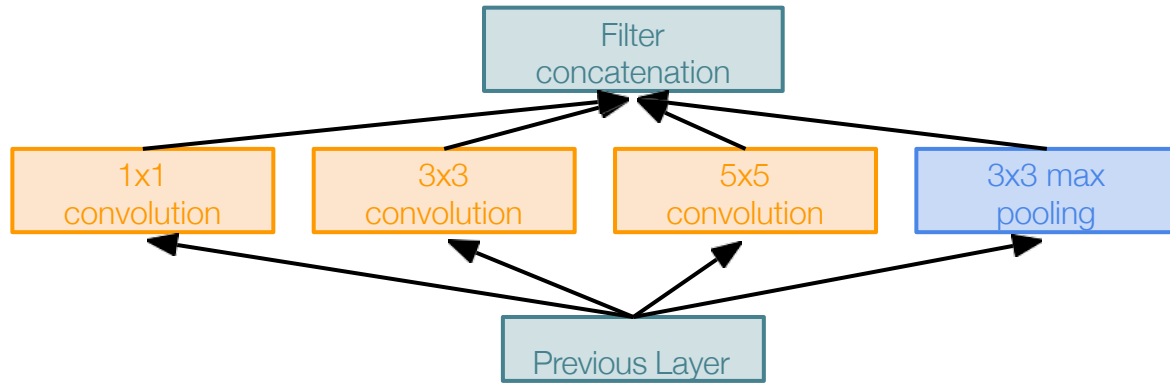
Naive Inception module

Q: What is the problem with this?  
[Hint: Computational complexity]

Solution: “bottleneck” layers that use 1x1 convolutions to reduce feature channel size

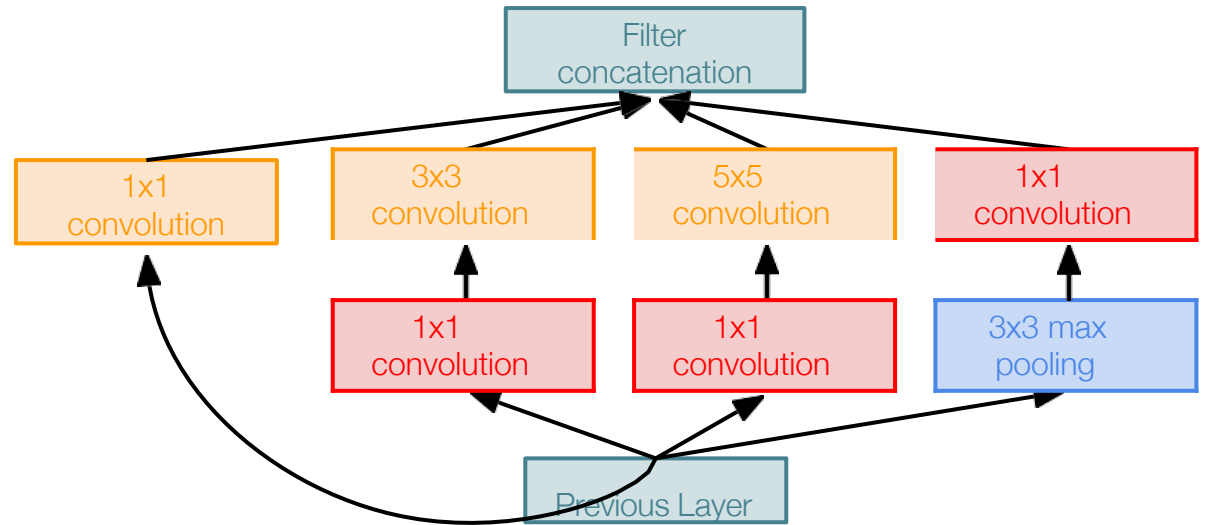
# Case Study: GoogLeNet

[Szegedy et al., 2014]



Naive Inception module

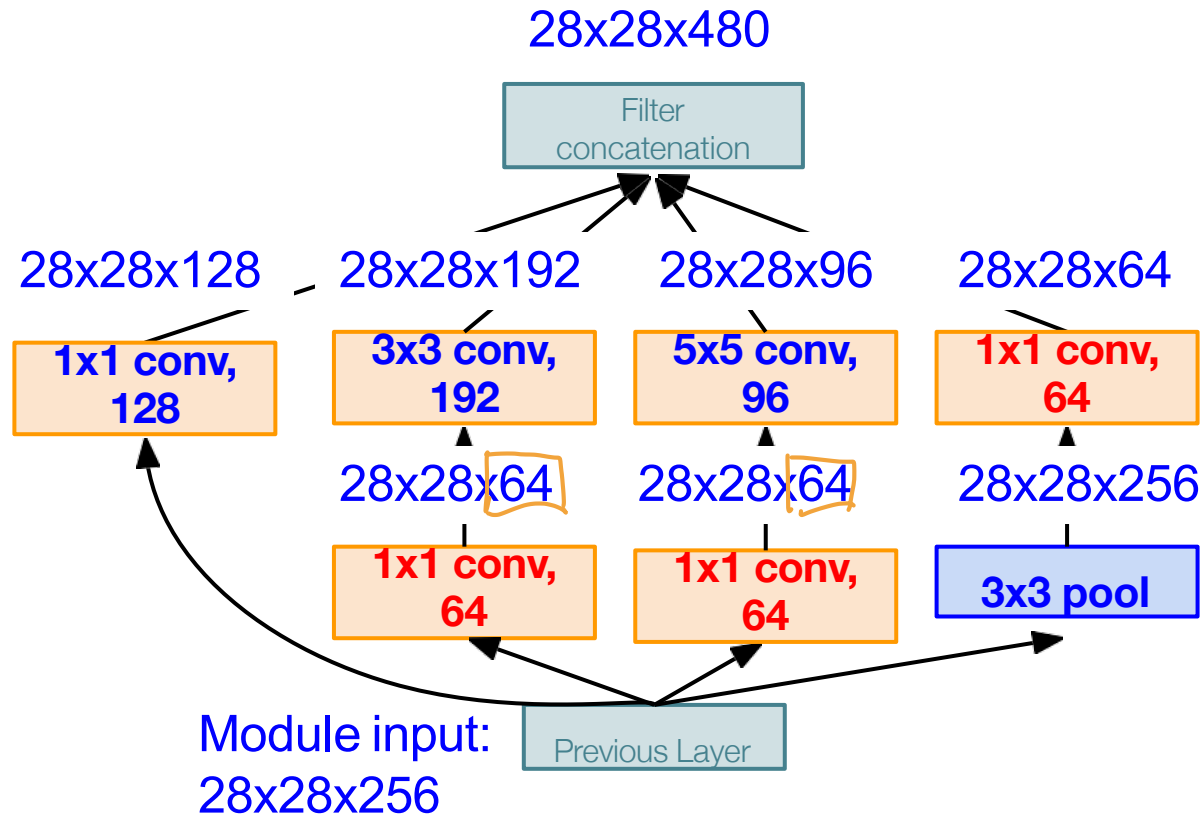
1x1 conv “bottleneck”  
layers



Inception module with dimension reduction

# Case Study: GoogLeNet

[Szegedy et al., 2014]



Inception module with dimension reduction

Using same parallel layers as naive example, and adding “ $1 \times 1$  conv, 64 filter” bottlenecks:

加了 $1 \times 1$  降低参数量

## Conv Ops:

[ $1 \times 1$  conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$   
[ $1 \times 1$  conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$   
[ $1 \times 1$  conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$   
[ $3 \times 3$  conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 64$   
[ $5 \times 5$  conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 64$   
[ $1 \times 1$  conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$

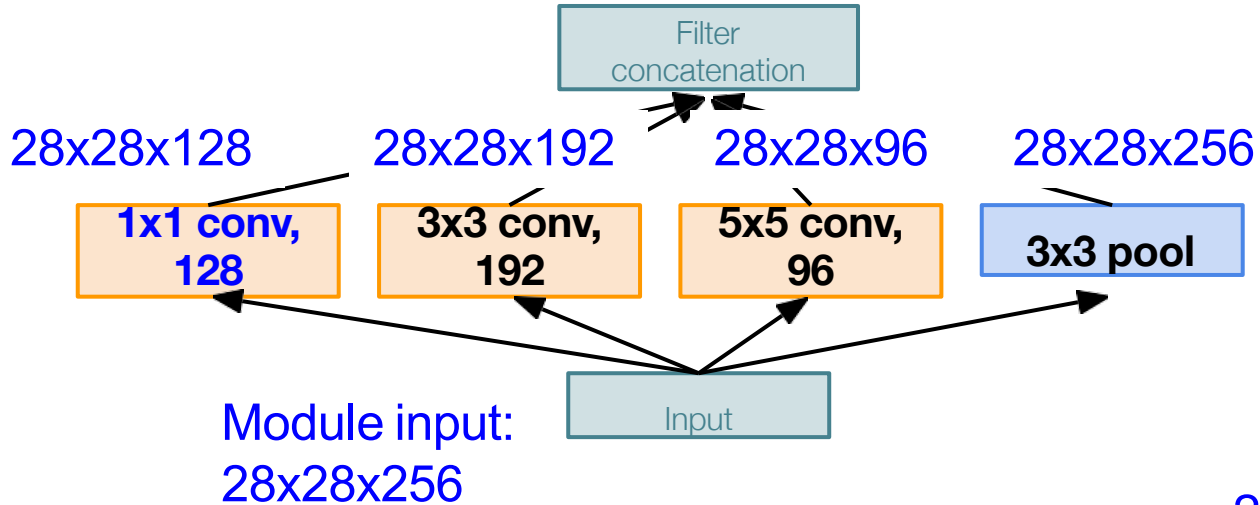
**Total: 358M ops**

Compared to 854M ops for naive version  
Bottleneck can also reduce depth after pooling layer

# Case Study: GoogLeNet

[Szegedy et al., 2014]

$$28 \times 28 \times (128 + 192 + 96 + 256) = 529k$$



Naive Inception module

## Conv Ops:

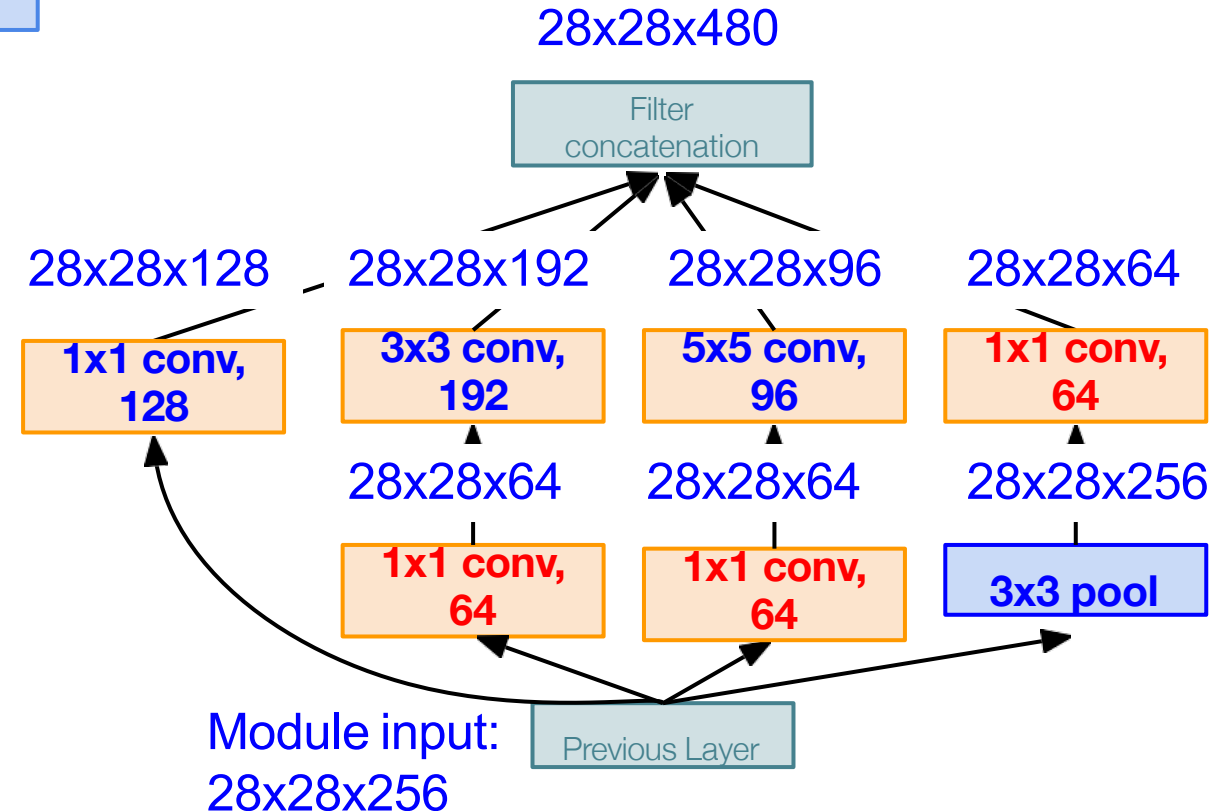
[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$   
[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 256$   
[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 256$

**Total: 854M ops**

## Conv Ops:

[1x1 conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$   
[1x1 conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$   
[1x1 conv, 128]  $28 \times 28 \times 128 \times 1 \times 1 \times 256$   
[3x3 conv, 192]  $28 \times 28 \times 192 \times 3 \times 3 \times 64$   
[5x5 conv, 96]  $28 \times 28 \times 96 \times 5 \times 5 \times 64$   
[1x1 conv, 64]  $28 \times 28 \times 64 \times 1 \times 1 \times 256$

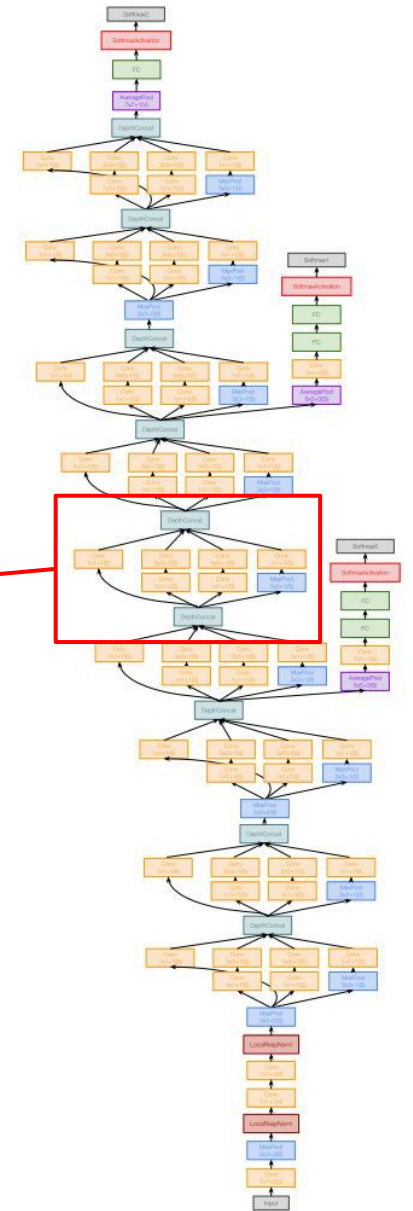
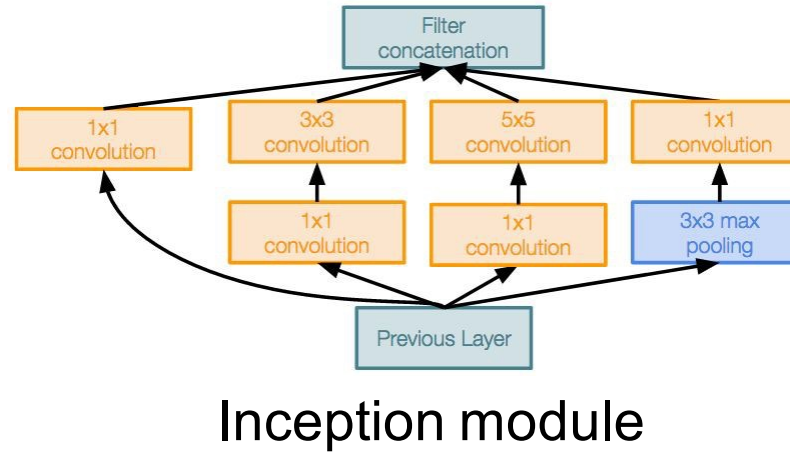
**Total: 358M ops**



# Case Study: GoogLeNet

[Szegedy et al., 2014]

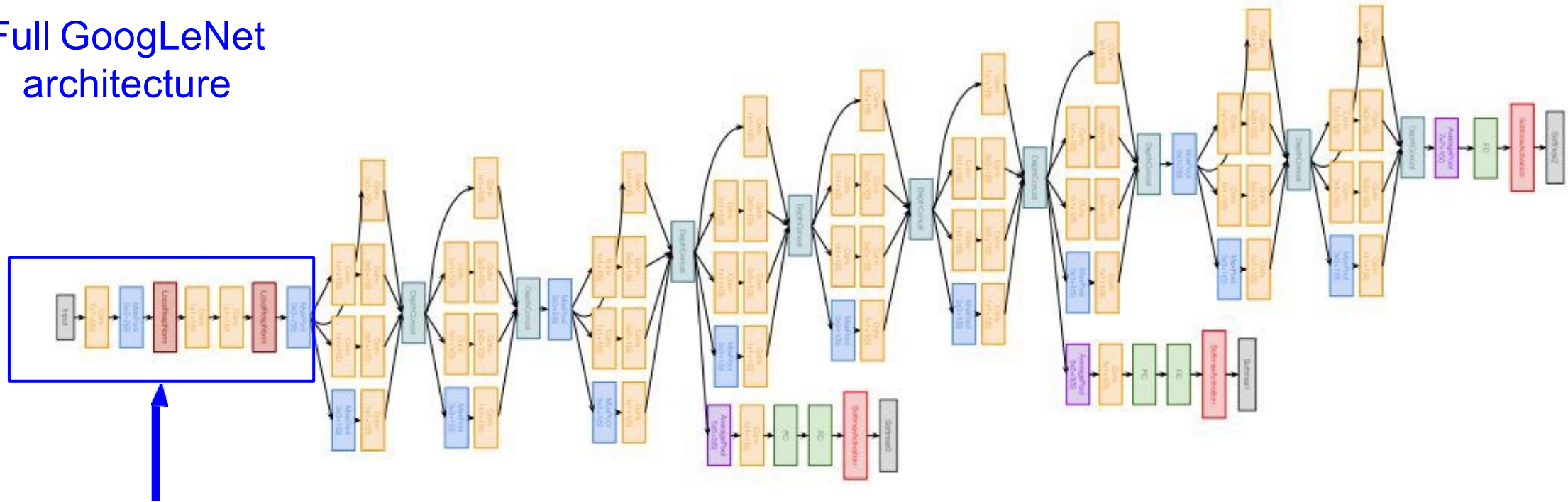
Stack Inception modules  
with dimension reduction  
on top of each other



# Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture

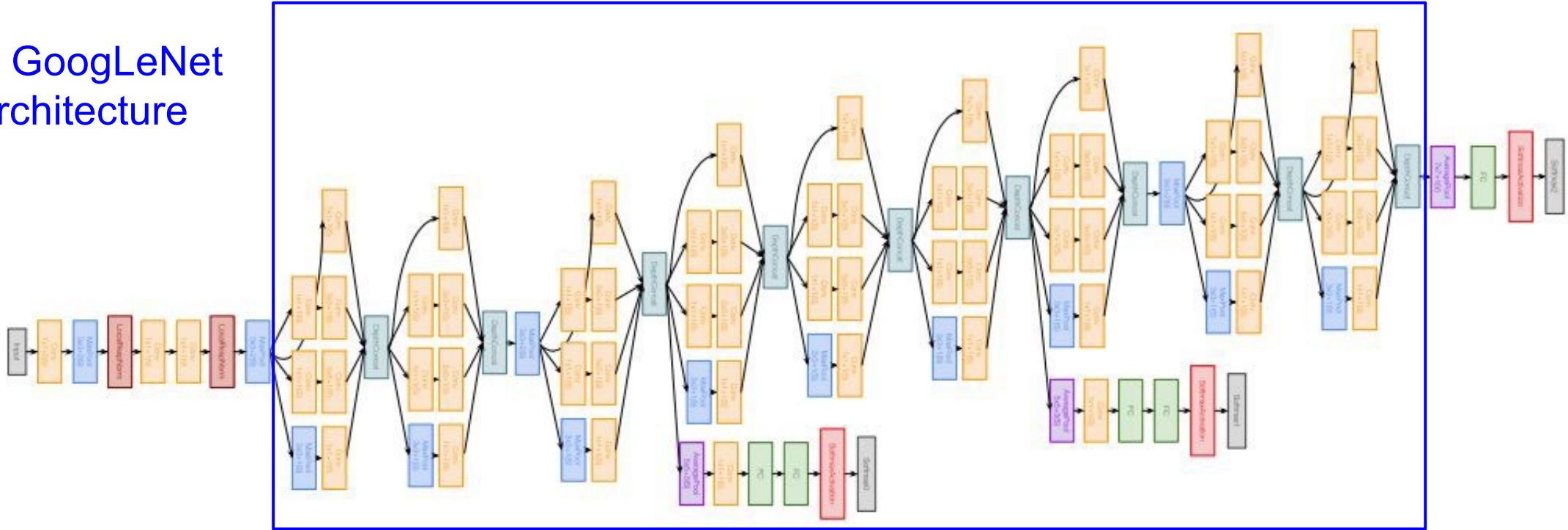


Stem Network:  
Conv-Pool-  
2x Conv-Pool

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Full GoogLeNet  
architecture



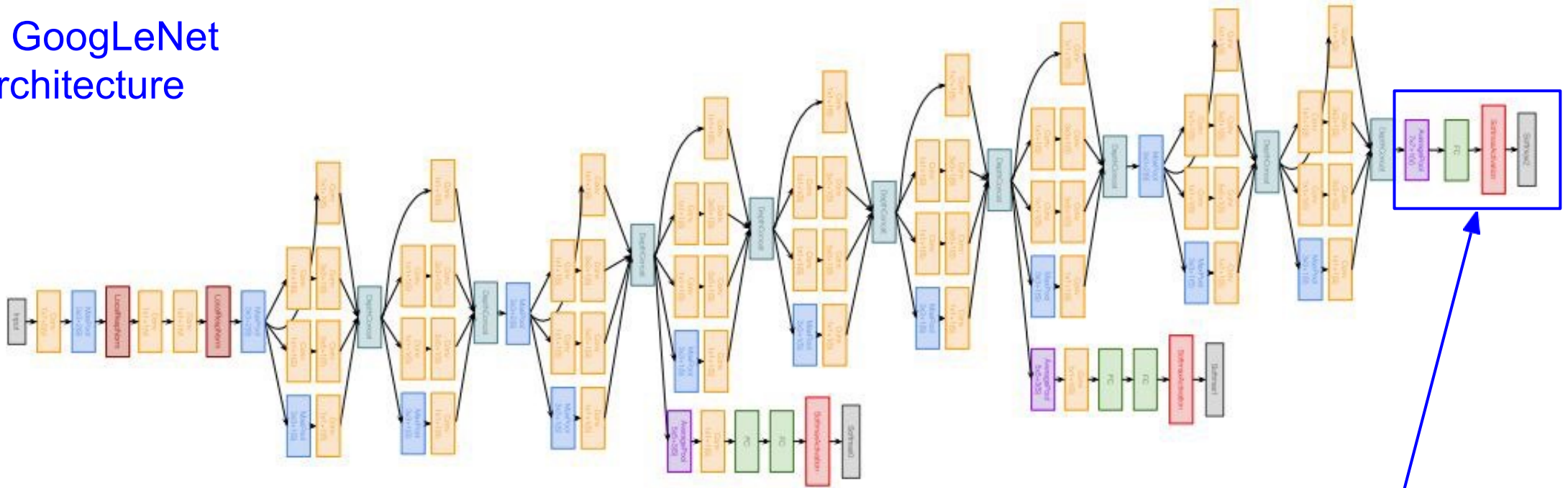
Stacked Inception  
Modules



# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture

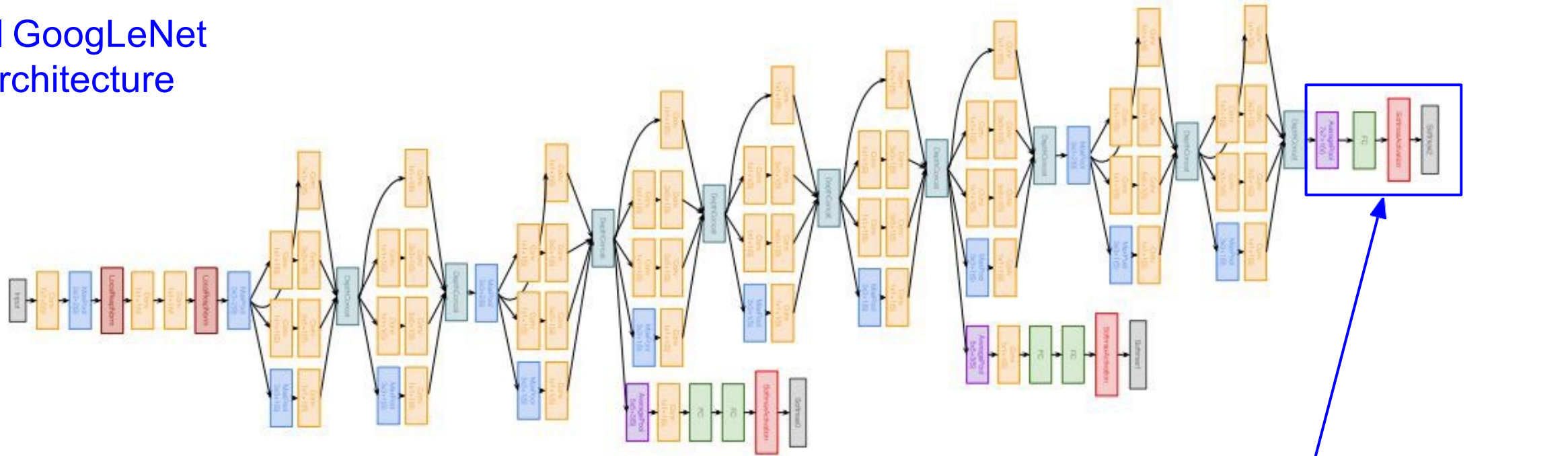


Classifier output

# Case Study: GoogLeNet

[Szegedy et al., 2014]

# Full GoogLeNet architecture



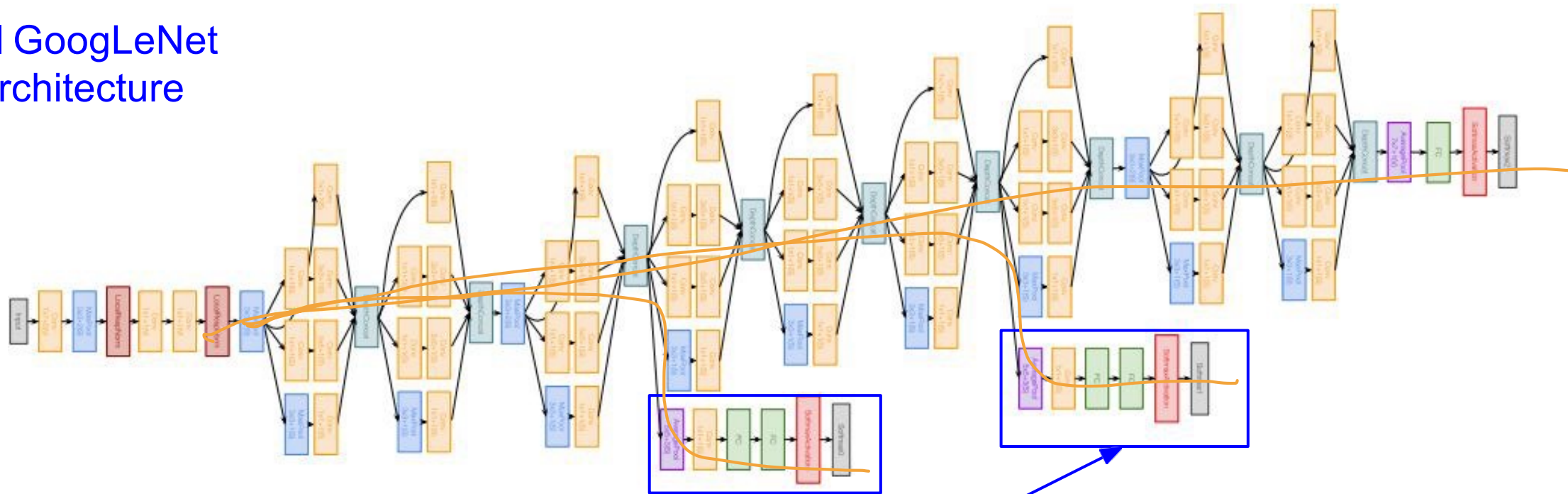
Note: after the last convolutional layer, a global average pooling layer is used that spatially averages across each feature map, before final FC layer. No longer multiple expensive FC layers!

## Classifier output

# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture



辅助力的

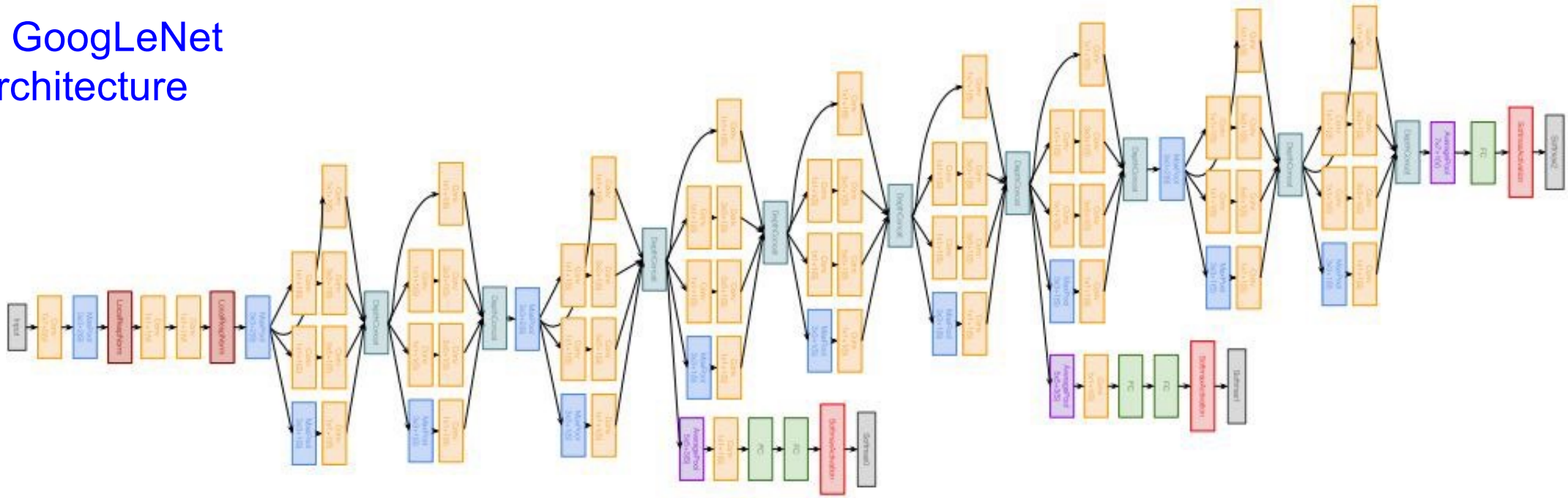
Auxiliary classification outputs to inject additional gradient at lower layers  
(AvgPool-1x1Conv-FC-FC-Softmax)

⇒ solve 梯度消失

# Case Study: GoogLeNet

[Szegedy et al., 2014]

## Full GoogLeNet architecture



22 total layers with weights

(parallel layers count as 1 layer => 2 layers per Inception module. Don't count auxiliary output layers)



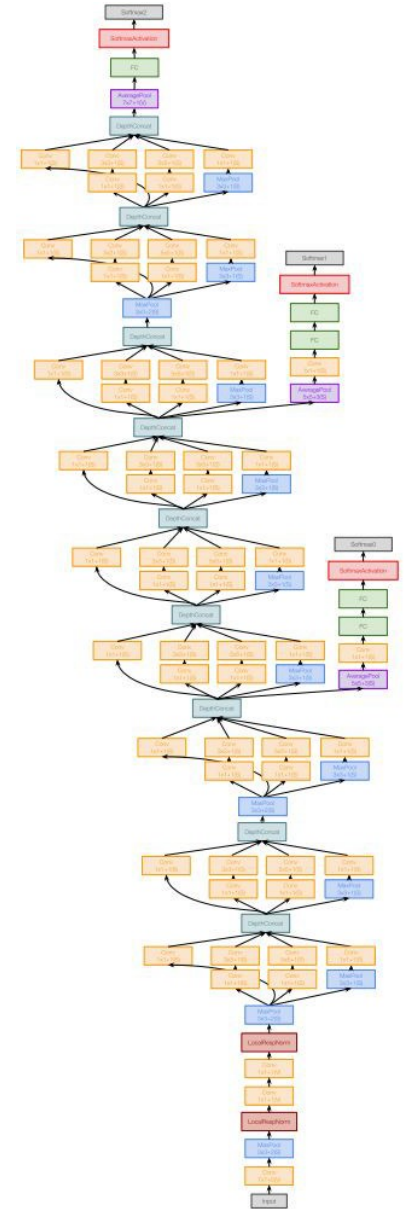
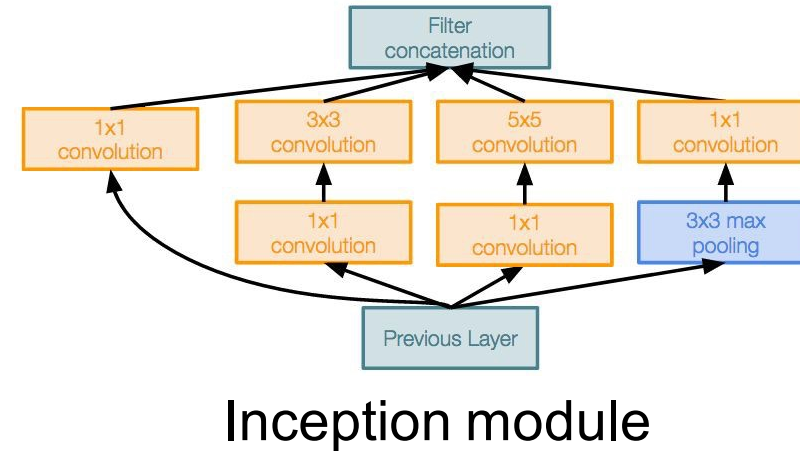
# Case Study: GoogLeNet

[Szegedy et al., 2014]

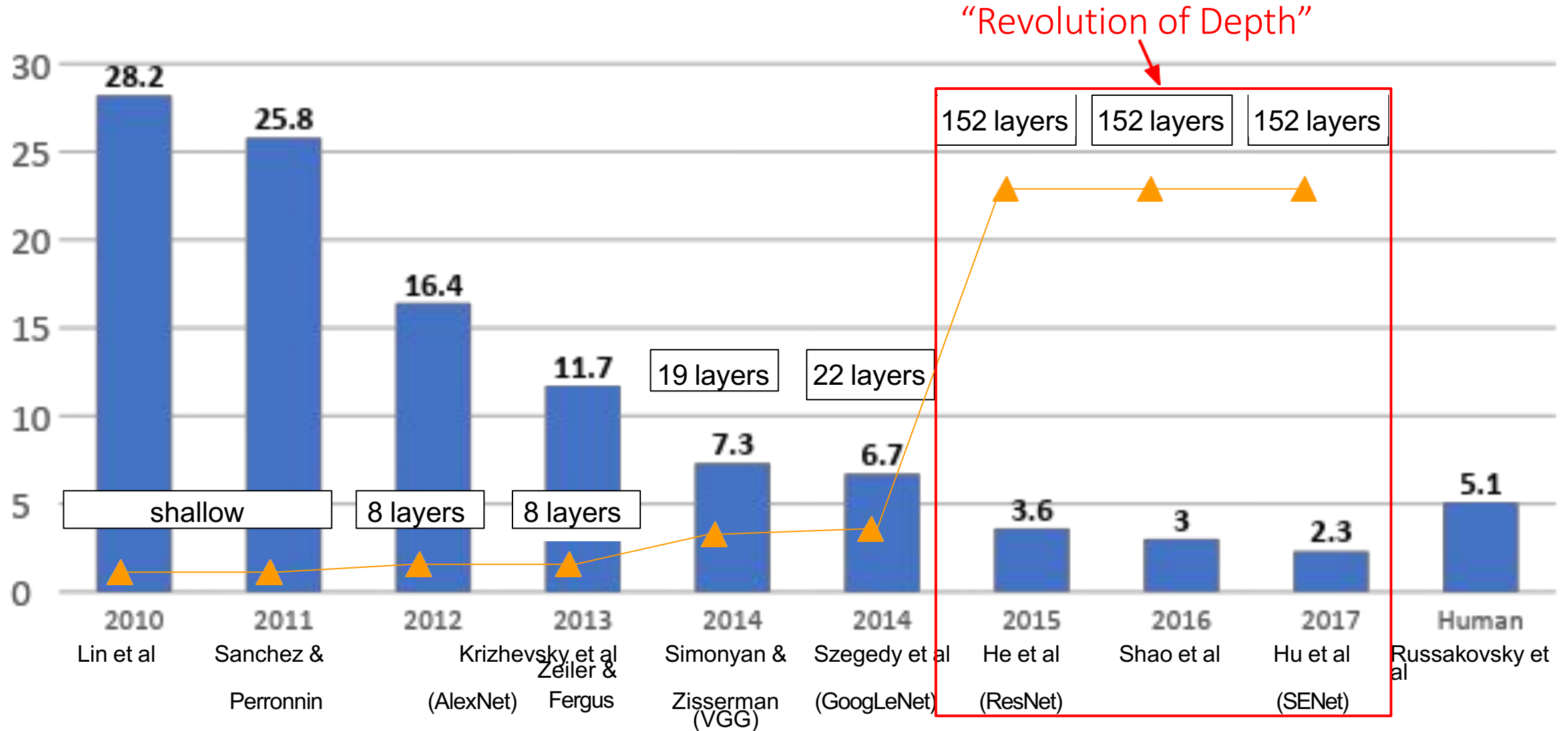
Deeper networks, with computational efficiency

- 22 layers
- Efficient “Inception” module
- Avoids expensive FC layers
- 12x less params than AlexNet
- 27x less params than VGG-16
- ILSVRC’14 classification winner (6.7% top 5 error)

保留更多信息



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

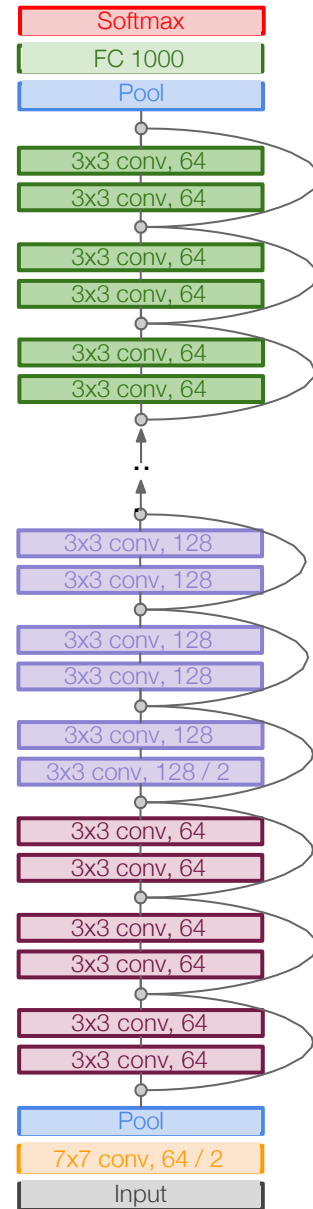
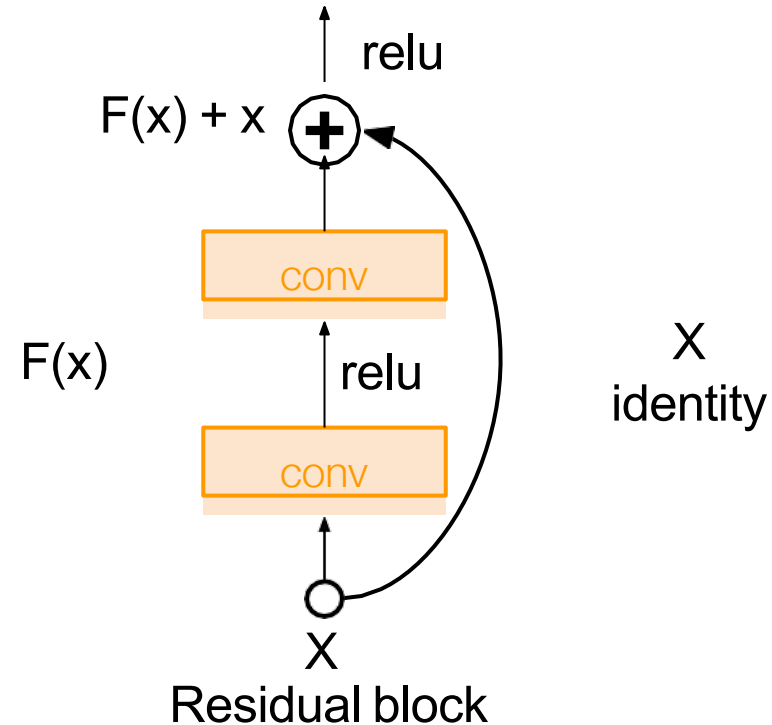


# Case Study: ResNet

[He et al., 2015]

Very deep networks using residual connections

- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



# Case Study: ResNet

*[He et al., 2015]*

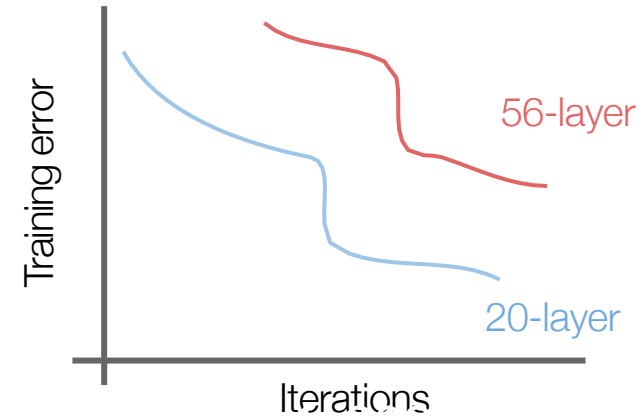
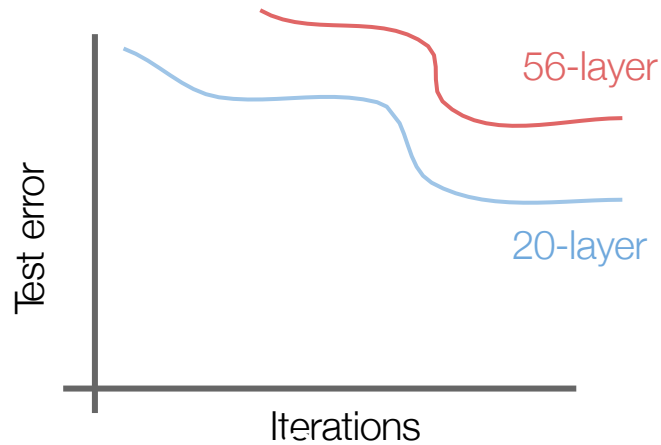
What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



# Case Study: ResNet

*[He et al., 2015]*

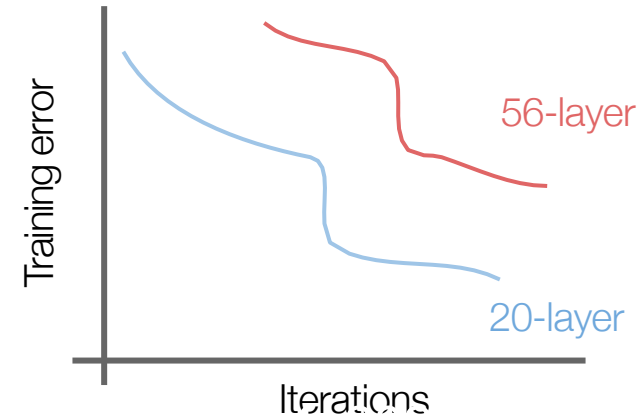
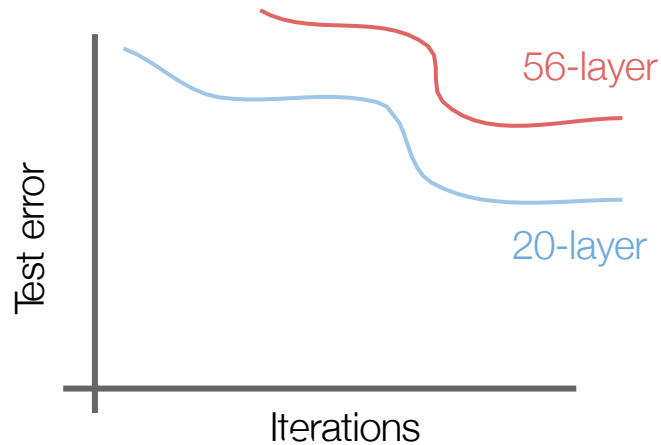
What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



# Case Study: ResNet

*[He et al., 2015]*

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



56-layer model performs worse on both test and training error

-> The deeper model performs worse, but it's **not caused by overfitting!**

# Case Study: ResNet

*[He et al., 2015]*

Fact: Deep models have more representation power (more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem,  
**deeper models are harder to optimize**

# Case Study: ResNet

[He et al., 2015]

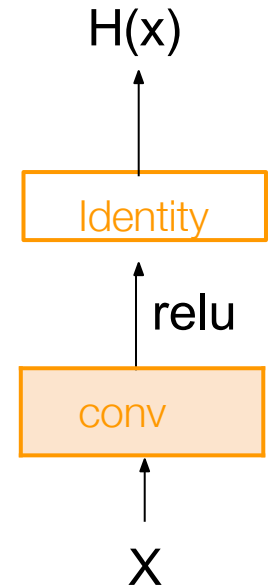
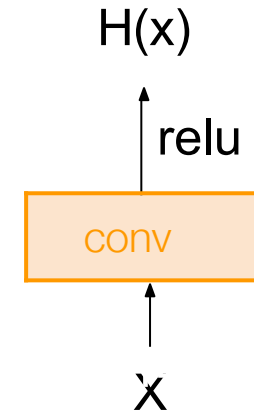
Fact: Deep models have more representation power (more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

What should the deeper model learn to be at least as good as the shallower model?

该的

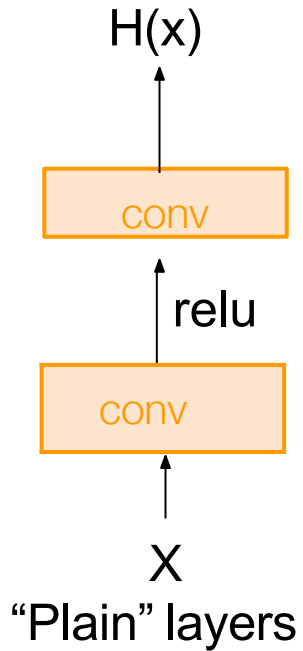
A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.



# Case Study: ResNet

*[He et al., 2015]*

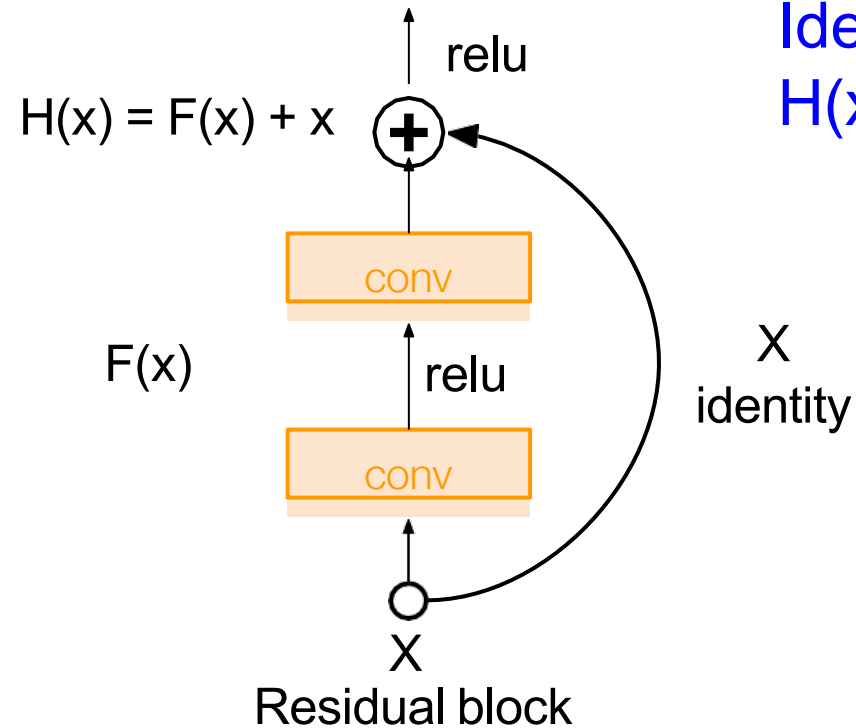
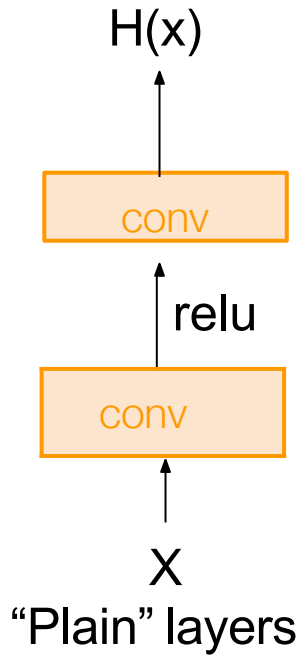
Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping



# Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping

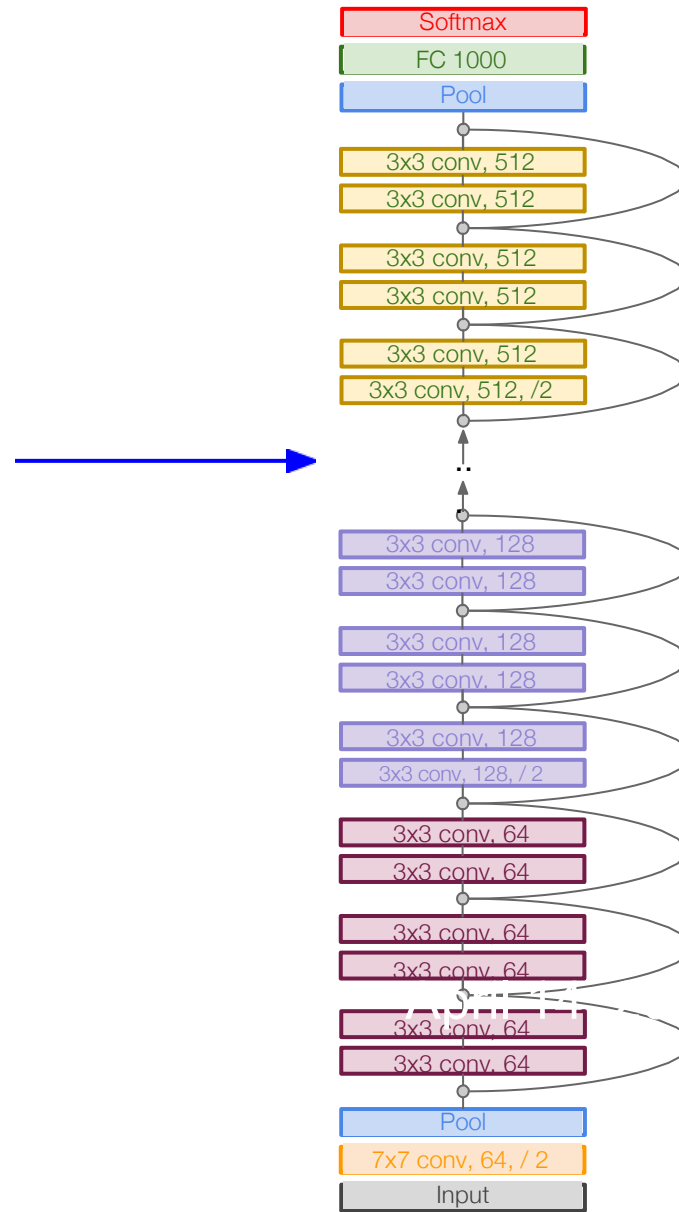


Identity mapping:  
 $H(x) = x$  if  $F(x) = 0$

# Case Study: ResNet

[He et al., 2015]

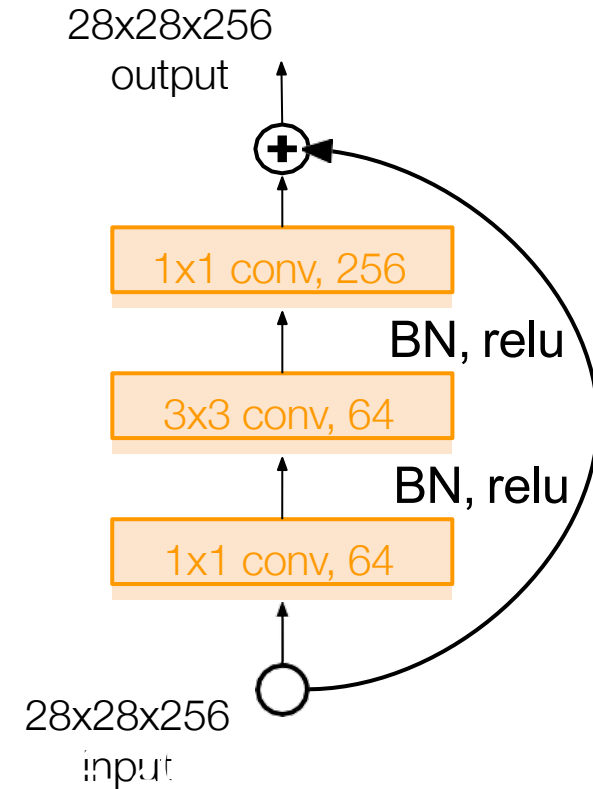
Total depths of 18, 34, 50, 101, or 152 layers for ImageNet



# Case Study: ResNet

*[He et al., 2015]*

For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)

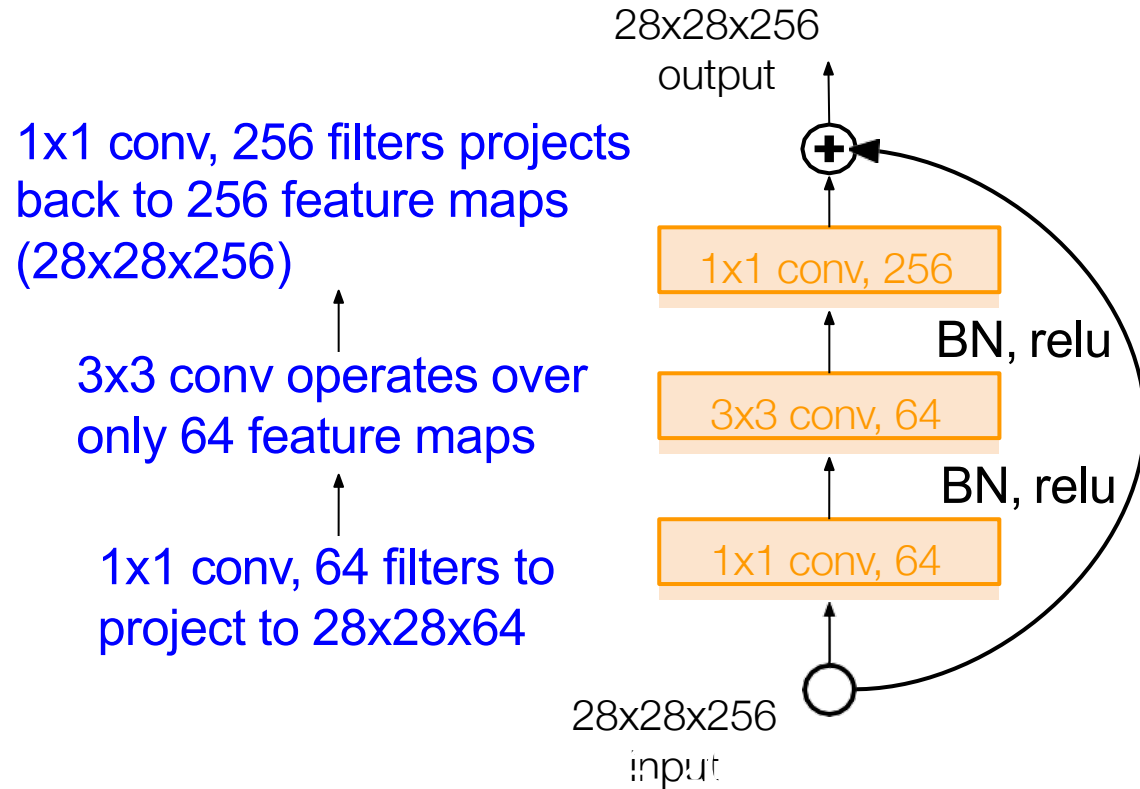




# Case Study: ResNet

[He et al., 2015]

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# Case Study: ResNet

[He et al., 2015]

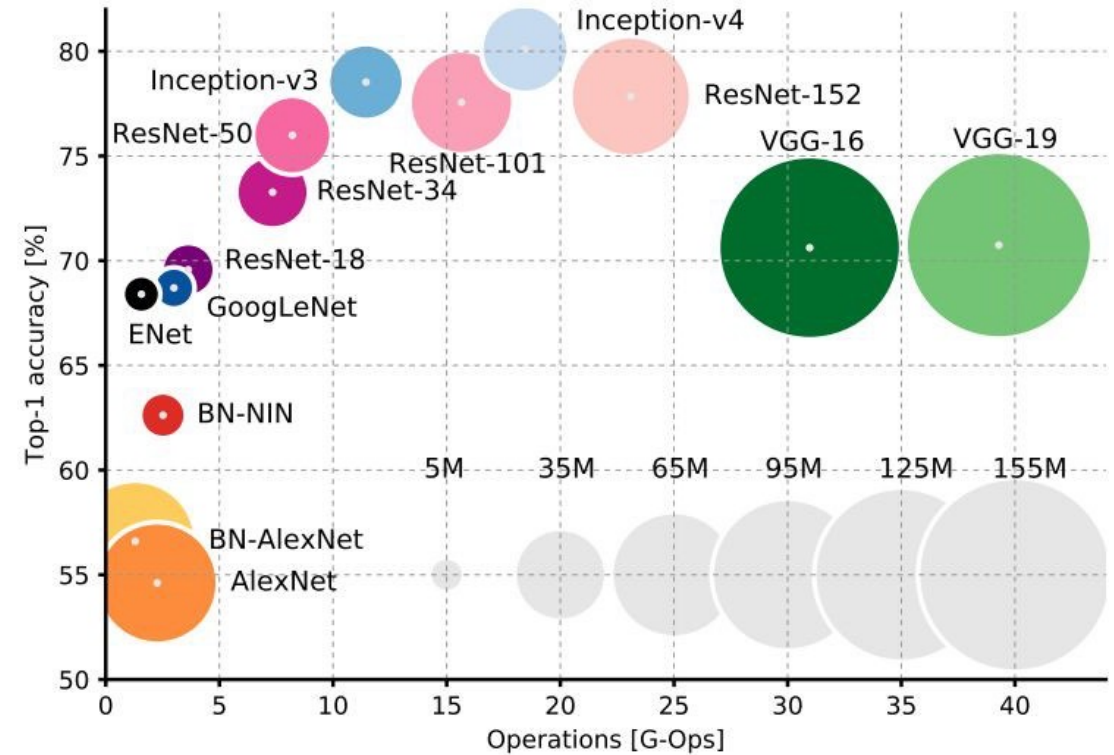
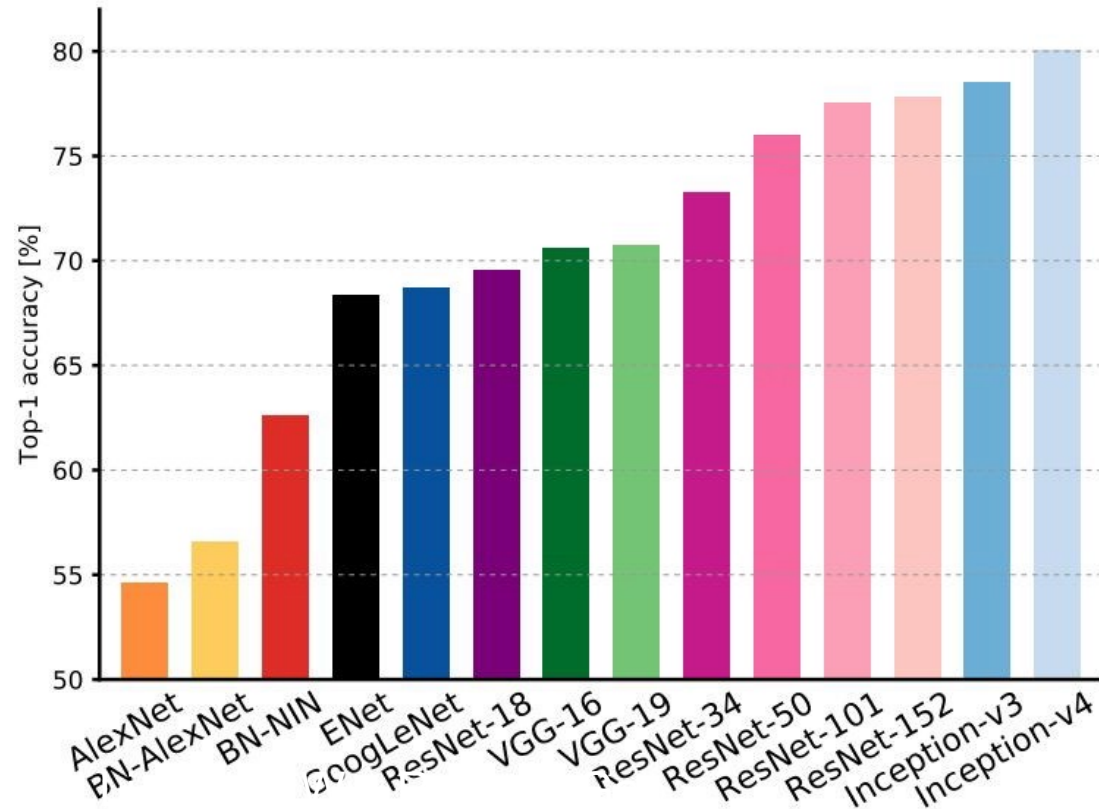
## Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of  $1e-5$
- No dropout used

→ 对 batch-size 样本内每个特征归一化

Layernorm 对每个样本所有特征归一化

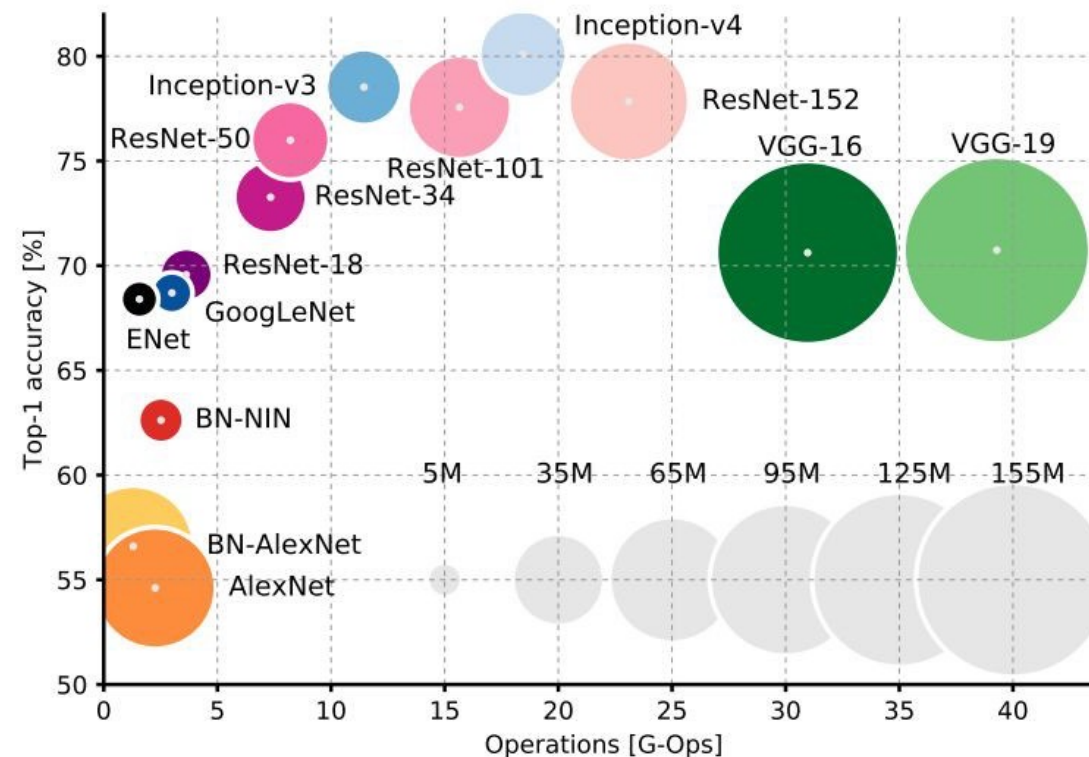
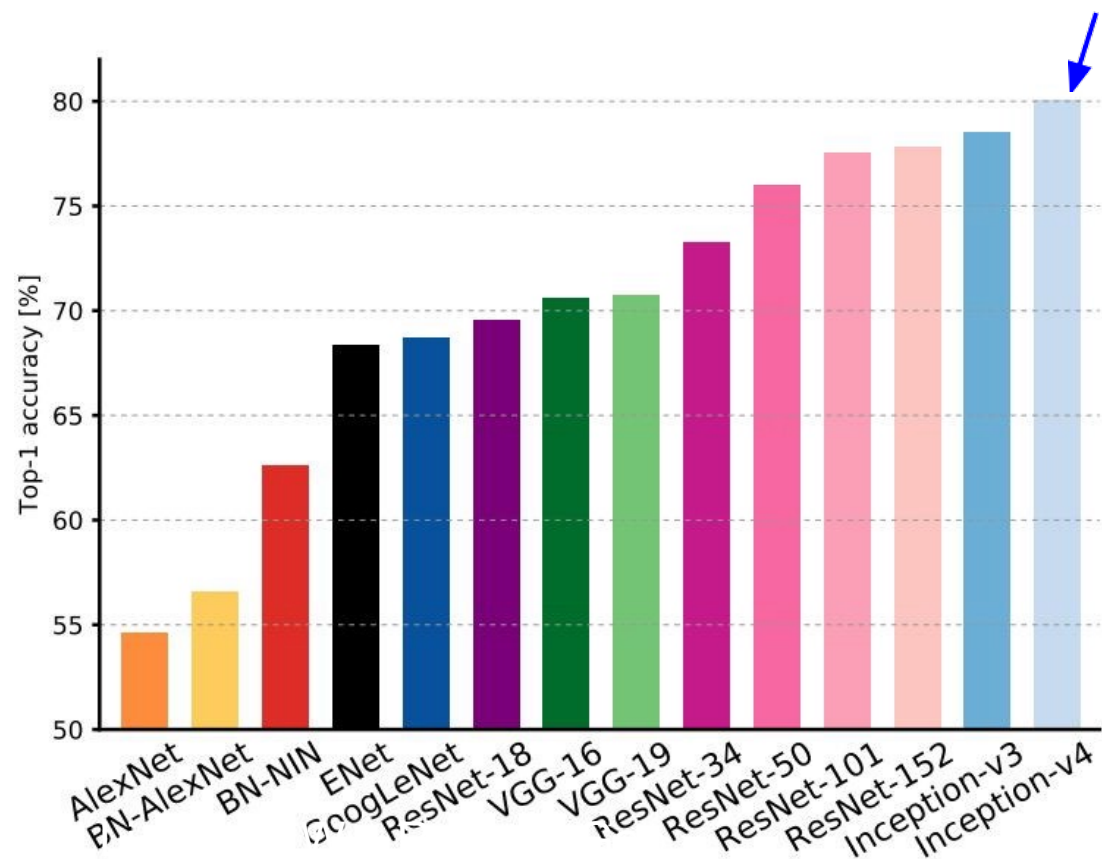
# Comparing complexity...



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

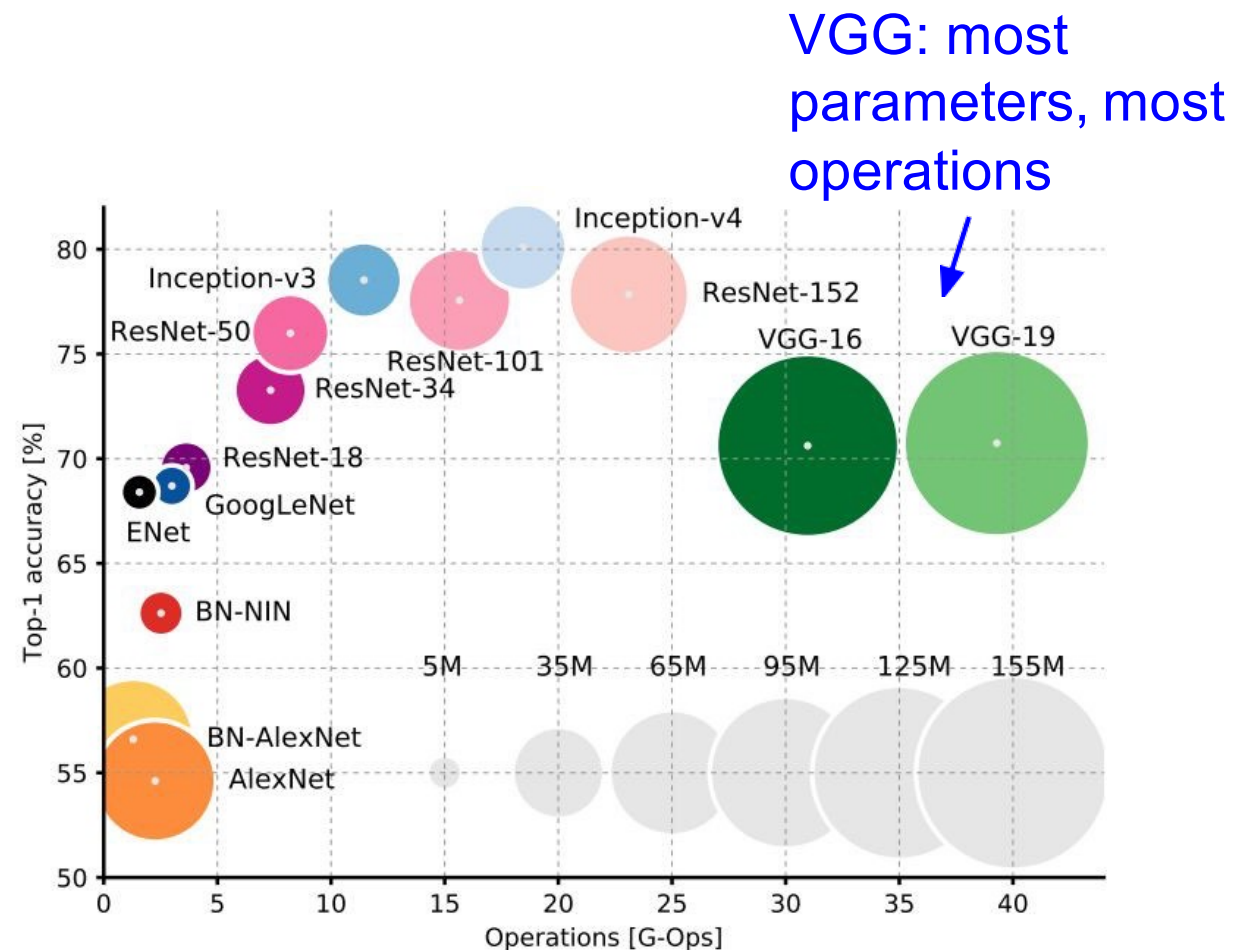
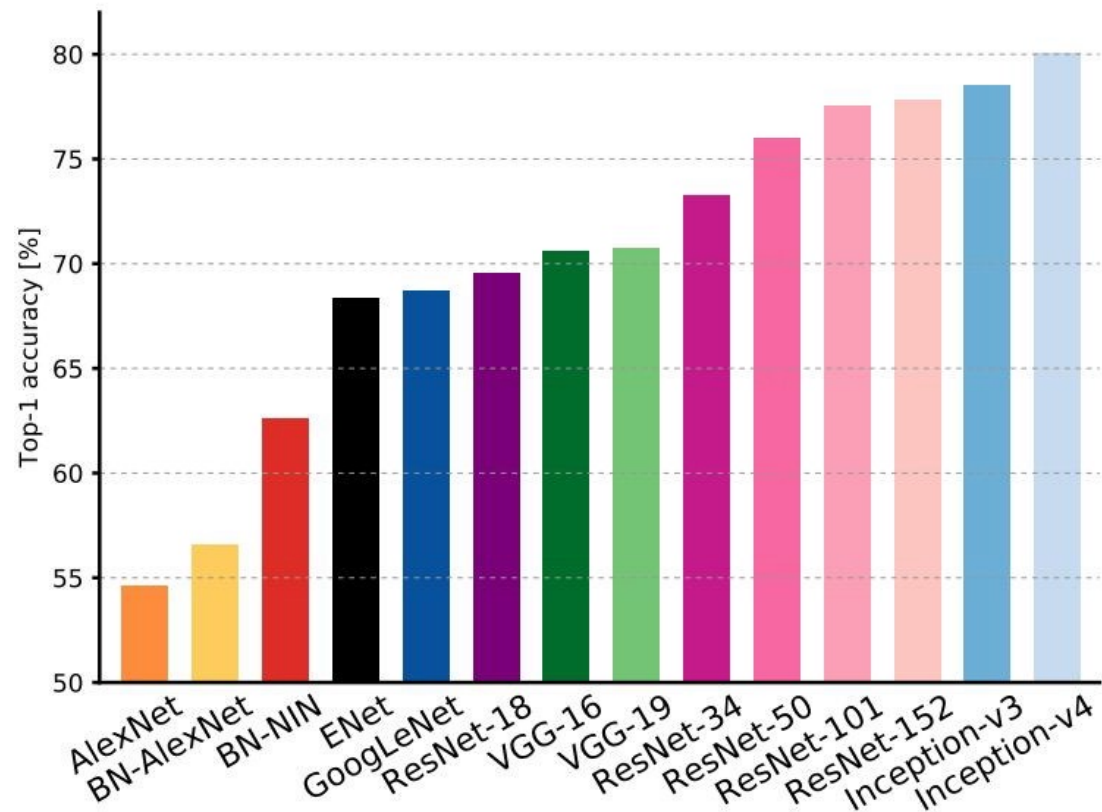
# Comparing complexity...

Inception-v4: Resnet + Inception!



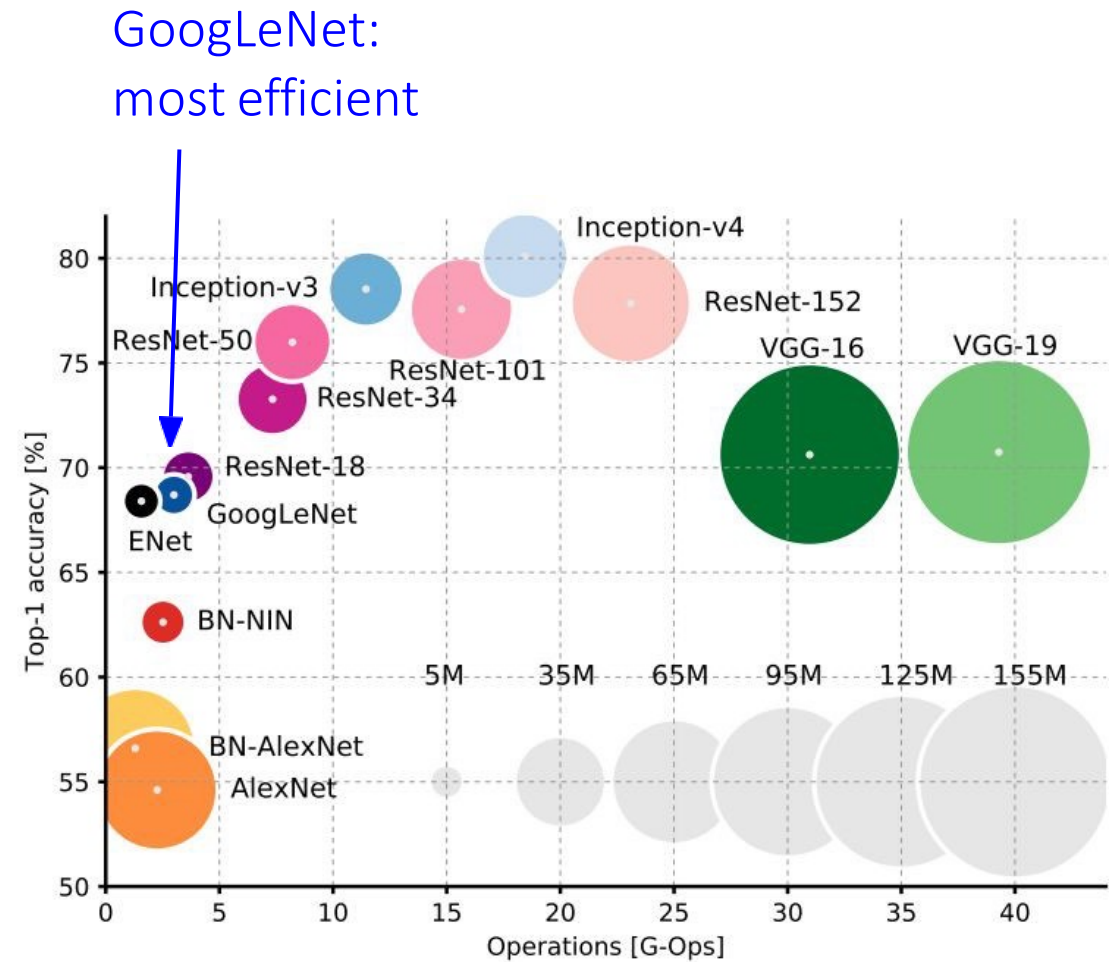
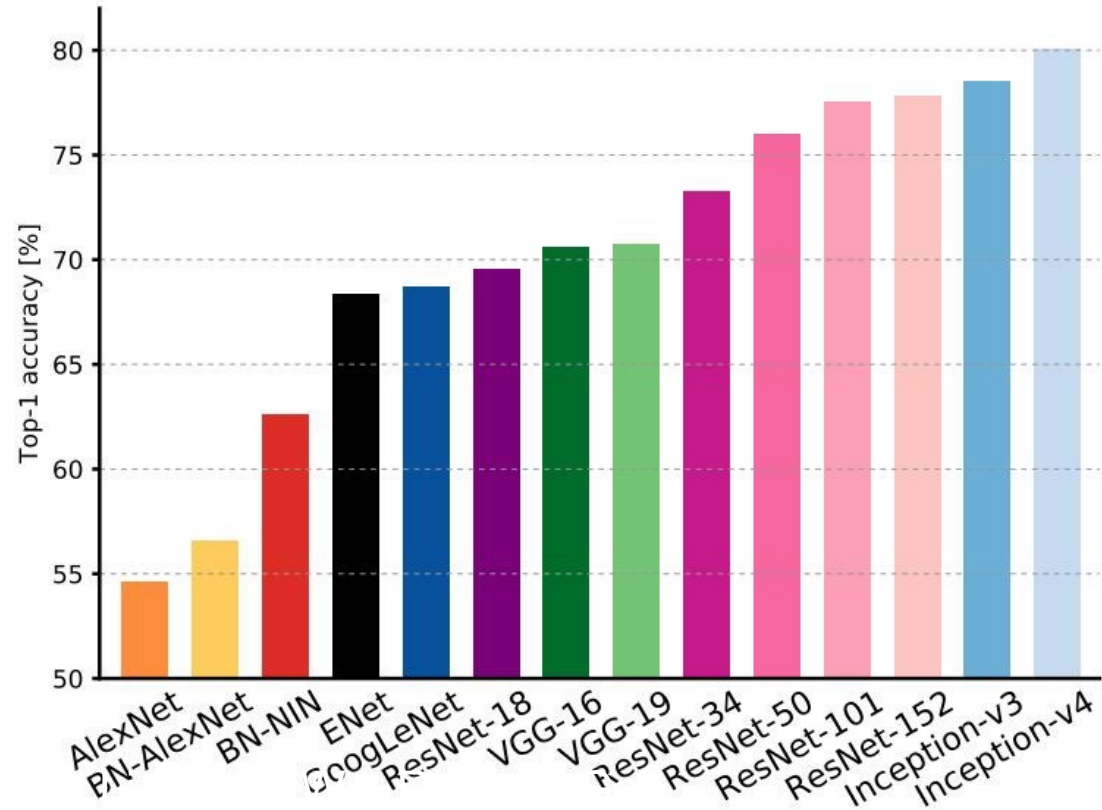
An Analysis of Deep Neural Network Models for Practical Applications, 2017.

# Comparing complexity...



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

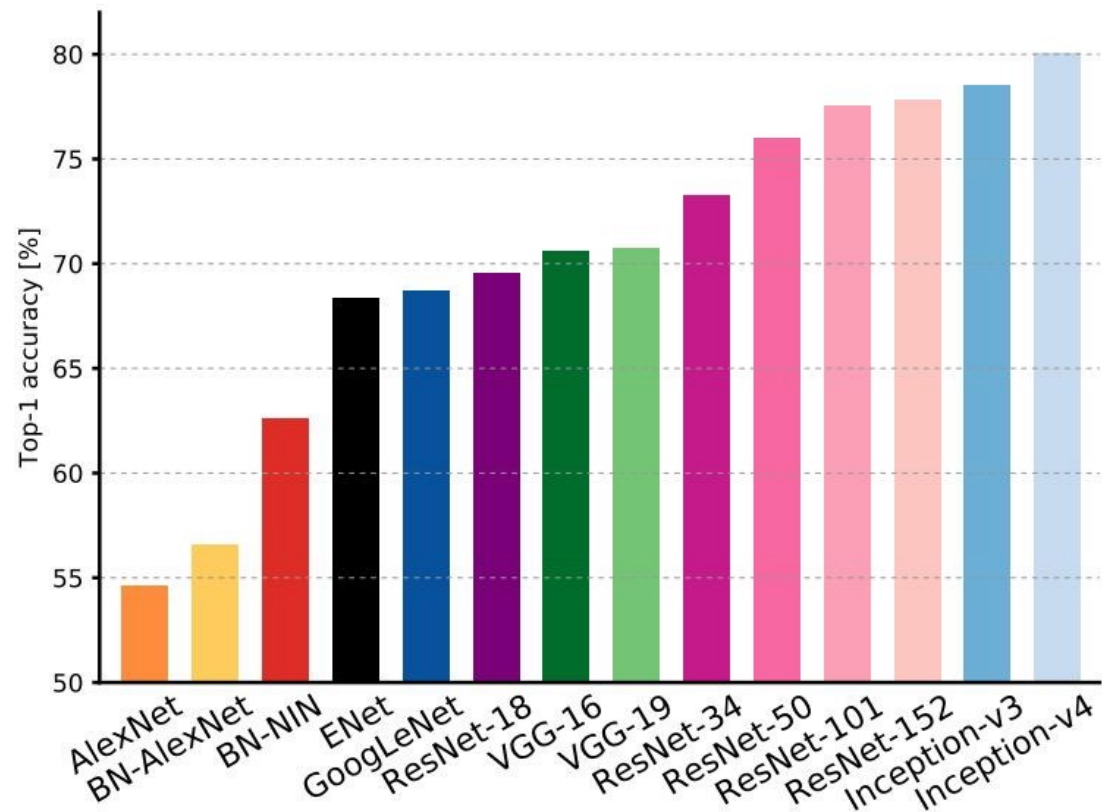
# Comparing complexity...



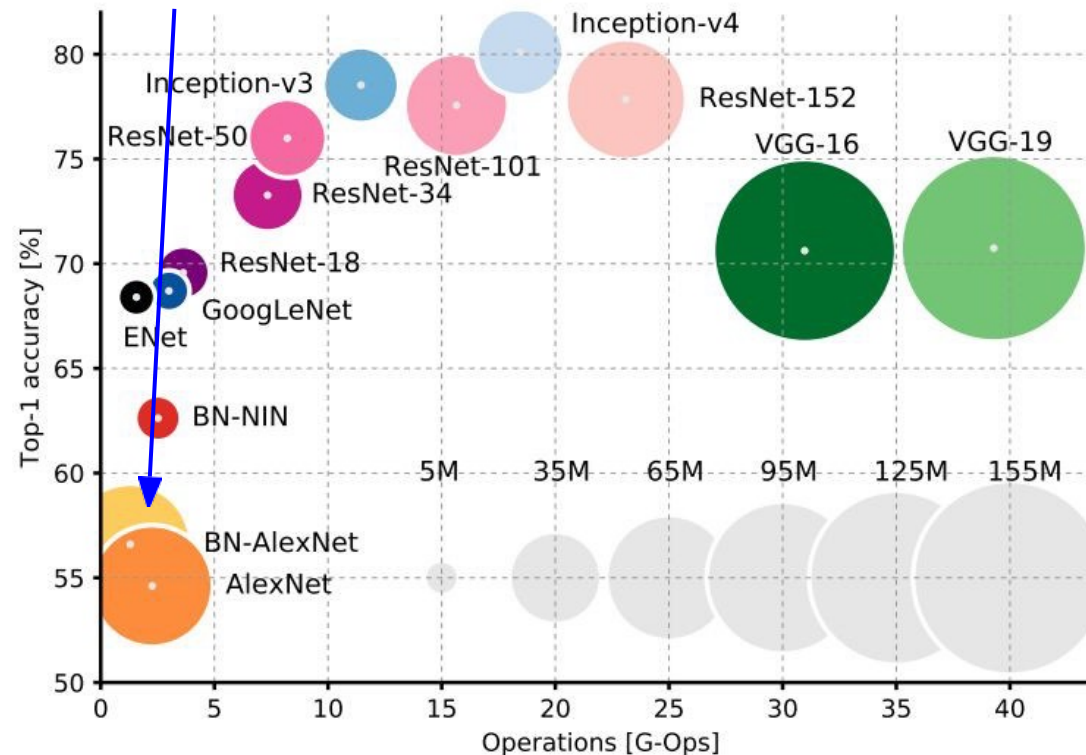
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# Comparing complexity...

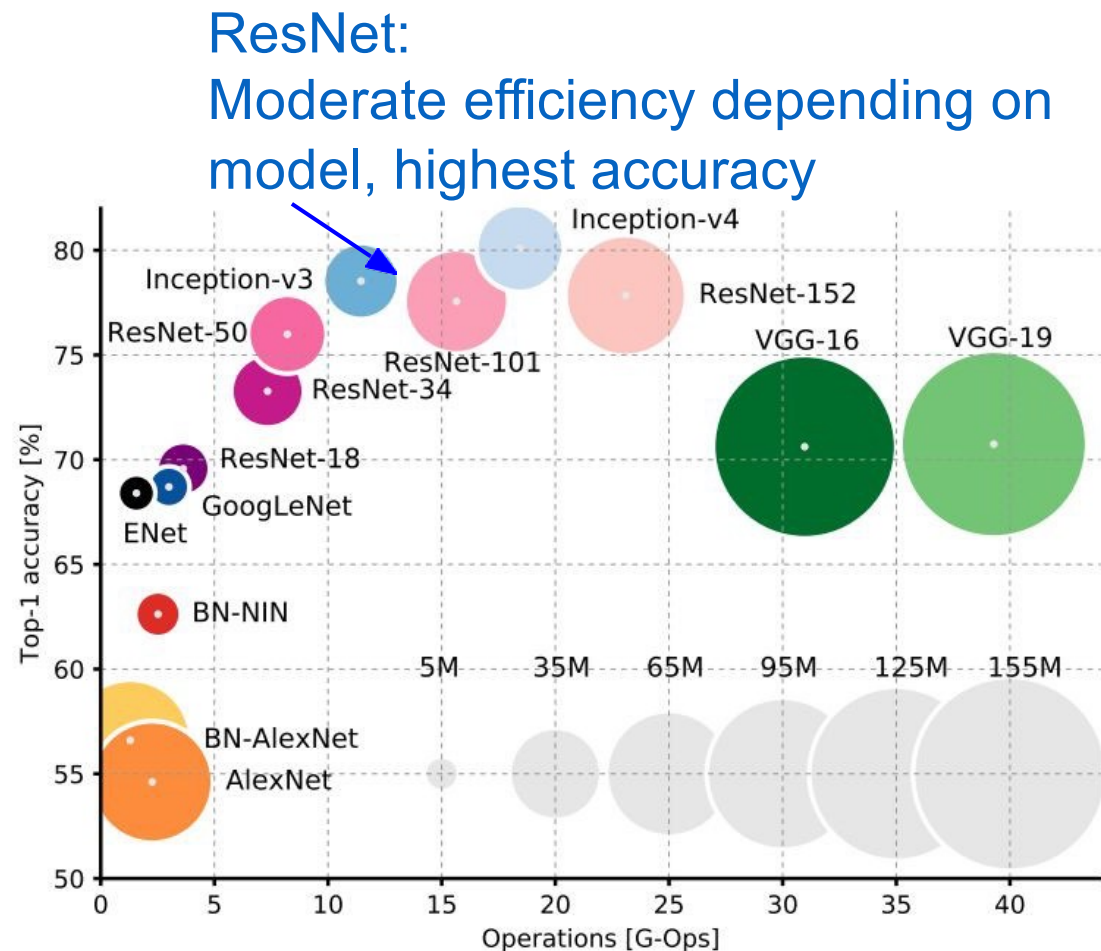
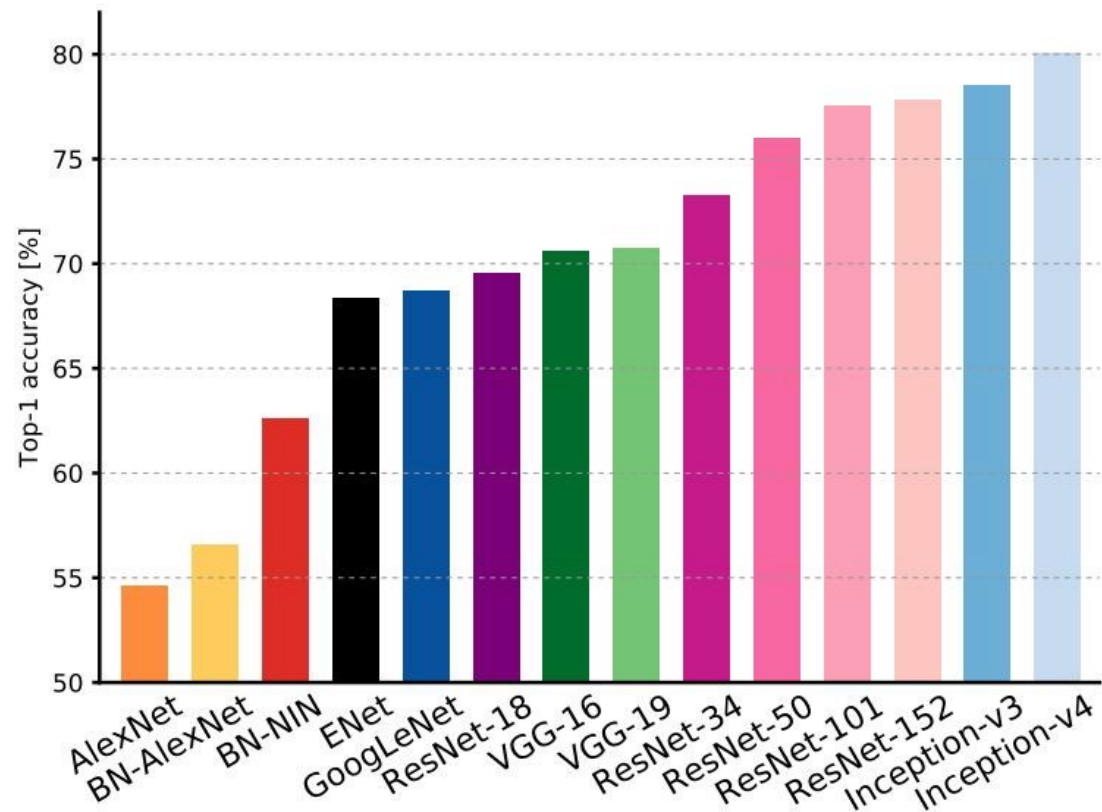


AlexNet:  
Smaller compute, still memory  
heavy, lower accuracy



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

# Comparing complexity...



An Analysis of Deep Neural Network Models for Practical Applications, 2017.



# Main takeaways

**AlexNet** showed that you can use **CNNs** to train Computer Vision models.

**ZFNet**, **VGG** shows that **bigger networks work better**

**GoogLeNet** is one of the first to focus on efficiency using **1x1 bottleneck convolutions** and global avg pool instead of FC layers

**ResNet** showed us how to train extremely **deep networks**

- Limited only by GPU & memory!
- Showed diminishing returns as networks got bigger

After ResNet: CNNs were better than the human metric and focus shifted to Efficient networks:

- Lots of tiny networks aimed at mobile devices: **MobileNet**, **ShuffleNet**
- Neural Architecture Search** can now automate architecture design

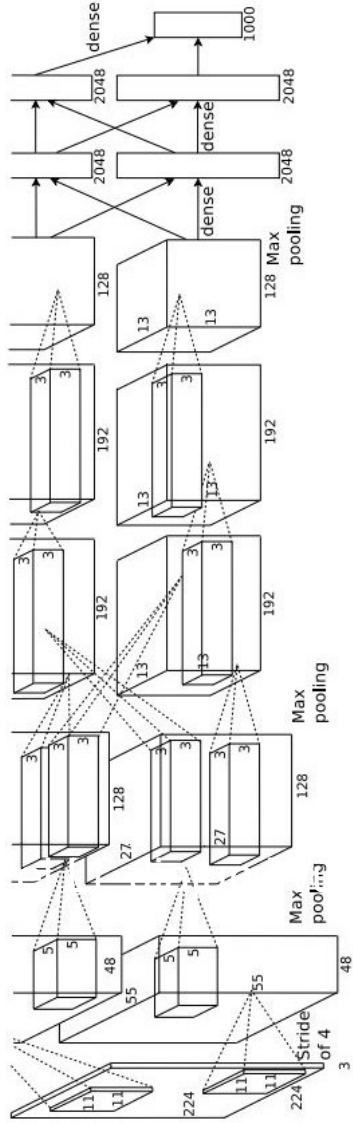
# Summary: CNN Architectures

- Many popular architectures are available in model zoos.
- ResNets are currently good defaults to use.
- Networks have gotten increasingly deep over time.
- Many other aspects of network architectures are also continuously being investigated and improved.

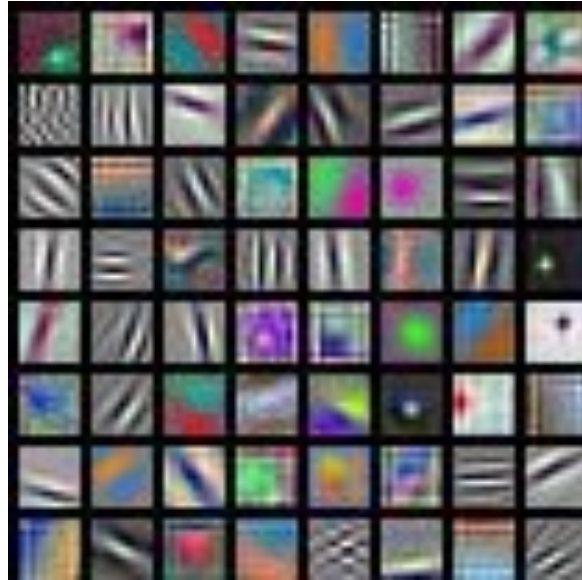
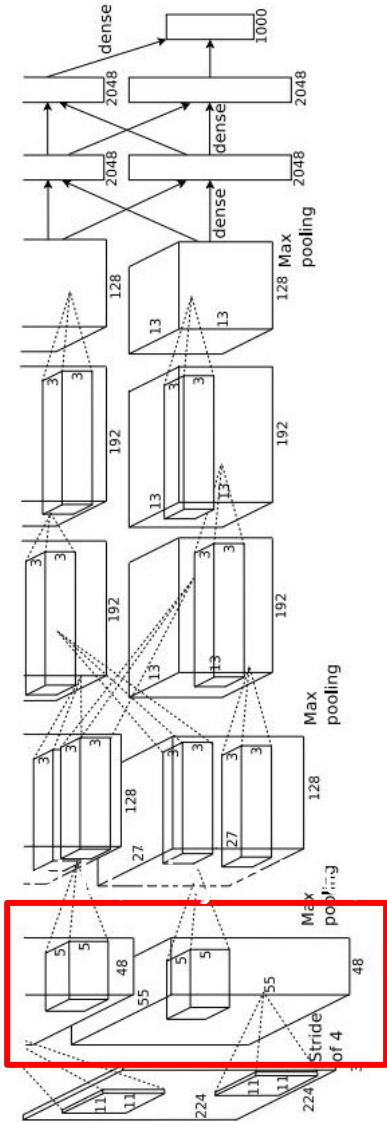
Transfer learning

You need a lot of a data if you want to  
train/use CNNs?

# Transfer Learning with CNNs



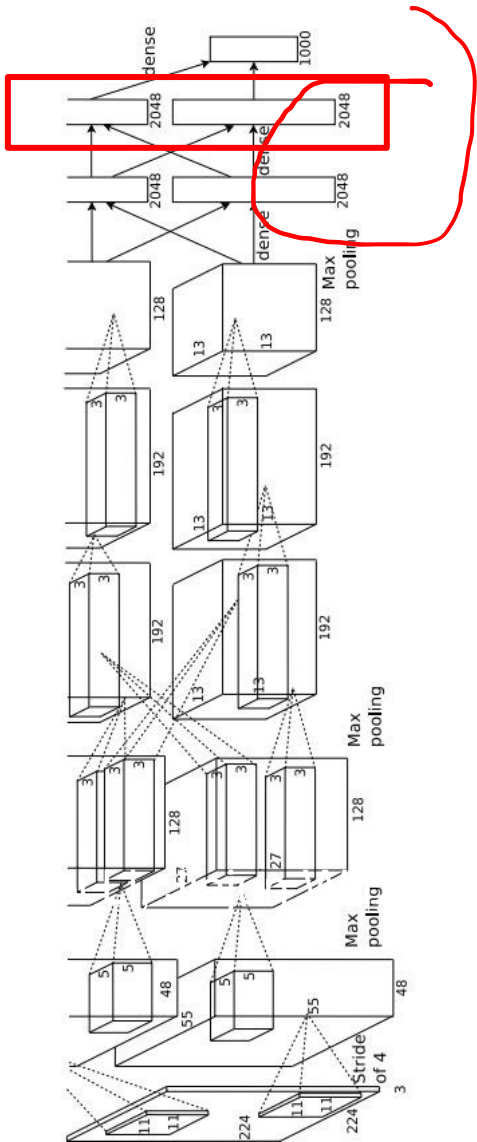
# Transfer Learning with CNNs



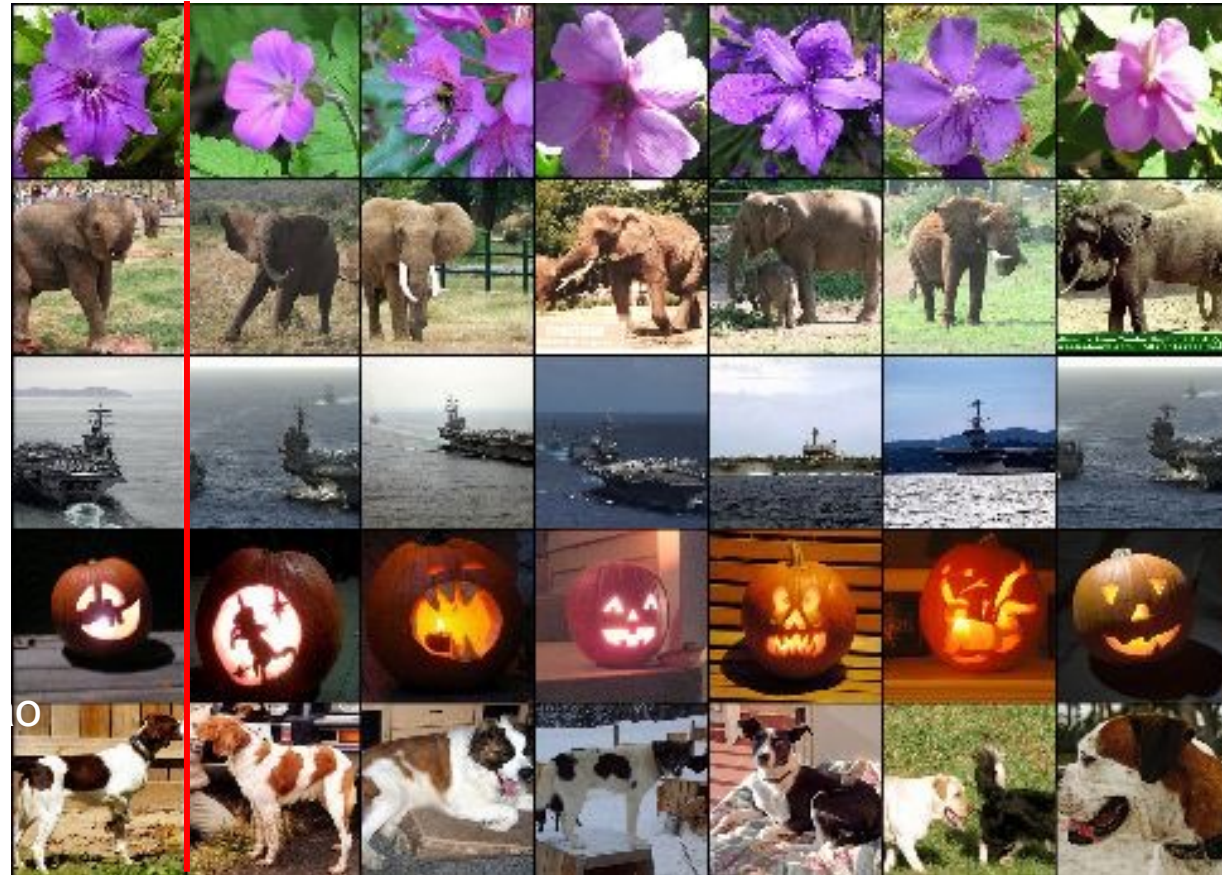
AlexNet:  
64 x 3 x 11 x 11

(More on this in Lecture 13)

# Transfer Learning with CNNs



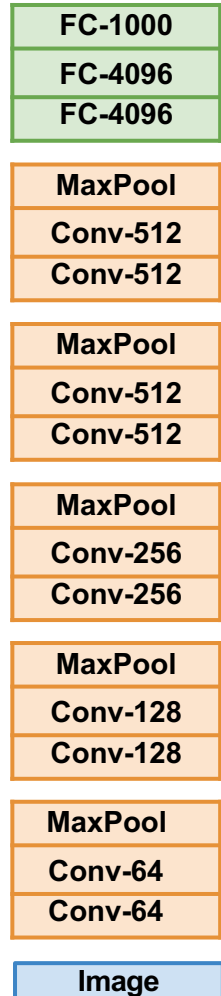
Test image    L2 Nearest neighbors in feature space



# Transfer Learning with CNNs

Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014  
Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014

## 1. Train on Imagenet



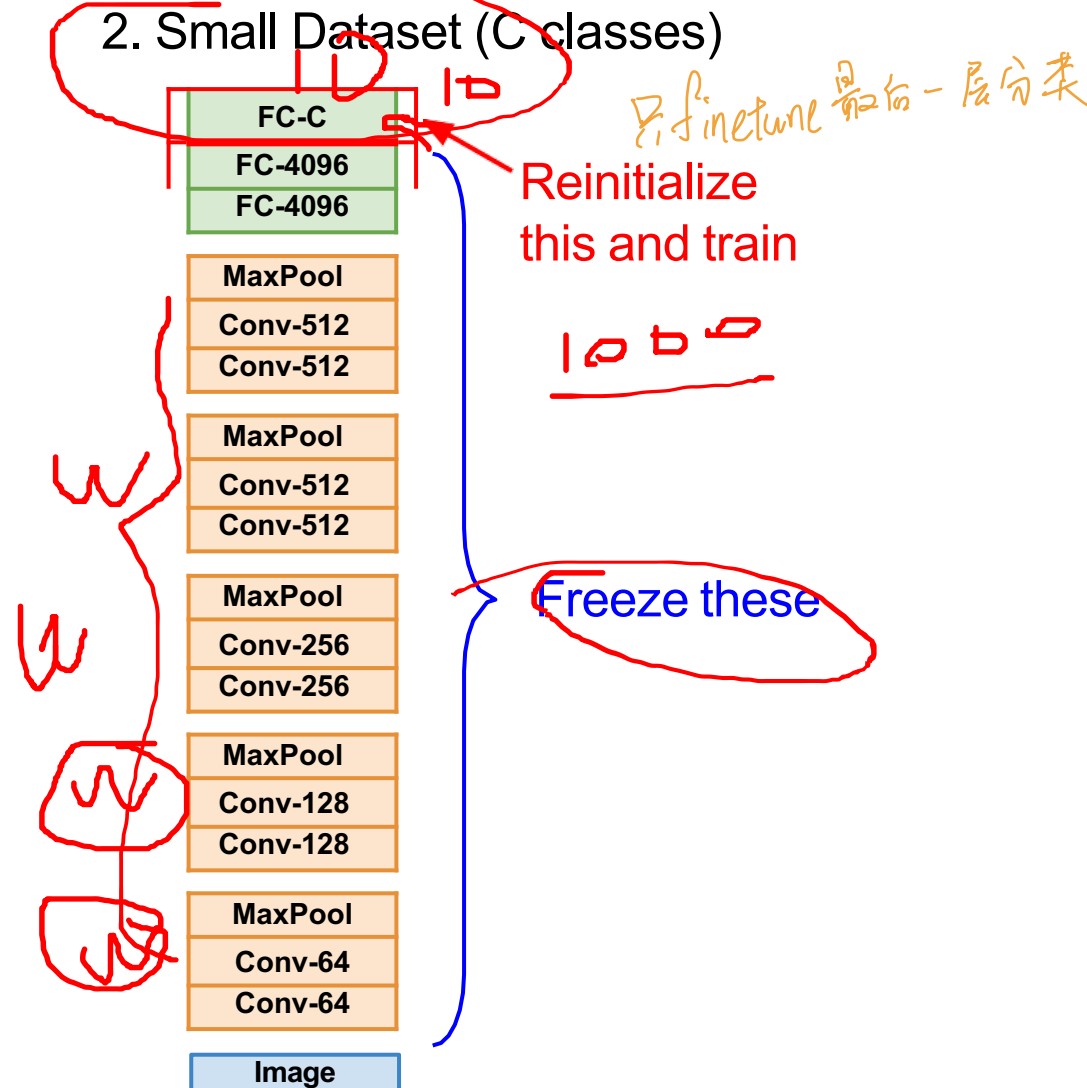


# Transfer Learning with CNNs

## 1. Train on Imagenet



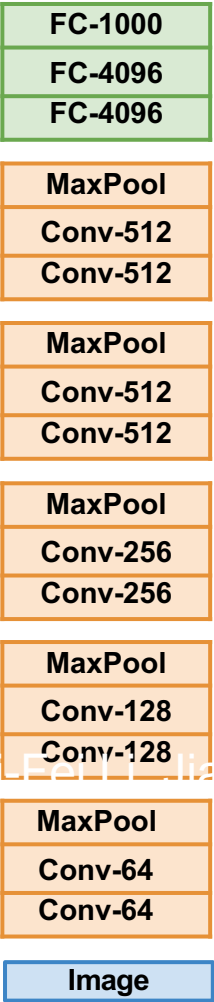
## 2. Small Dataset (C classes)



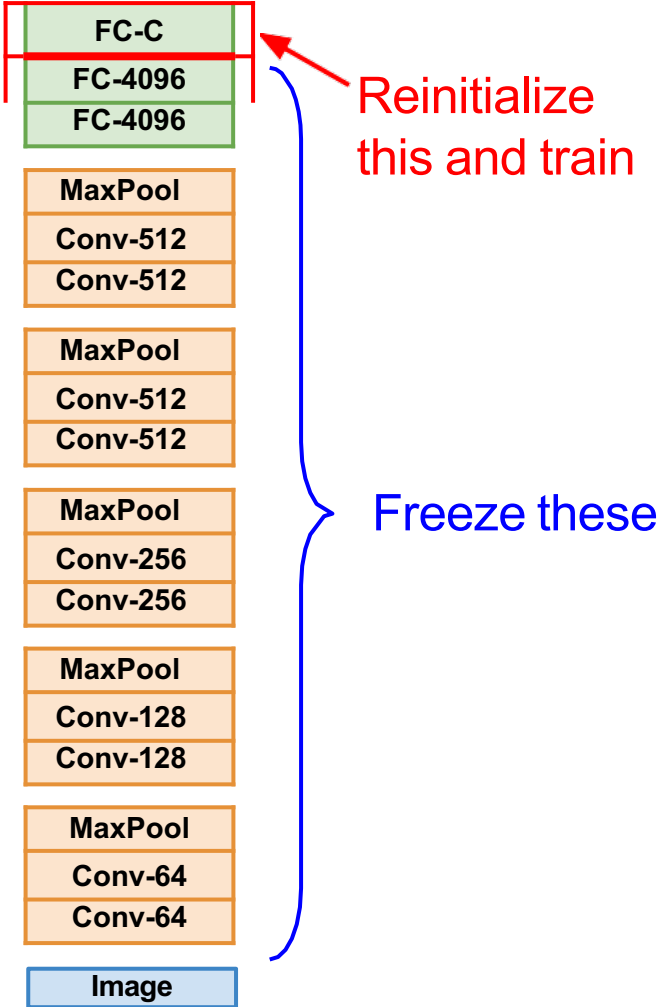
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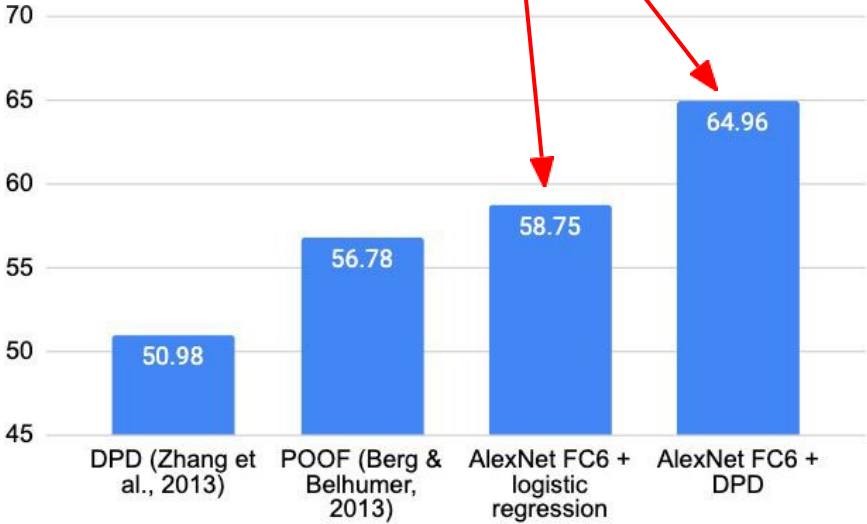
## 1. Train on Imagenet



## 2. Small Dataset (C classes)



Finetuned from AlexNet



Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

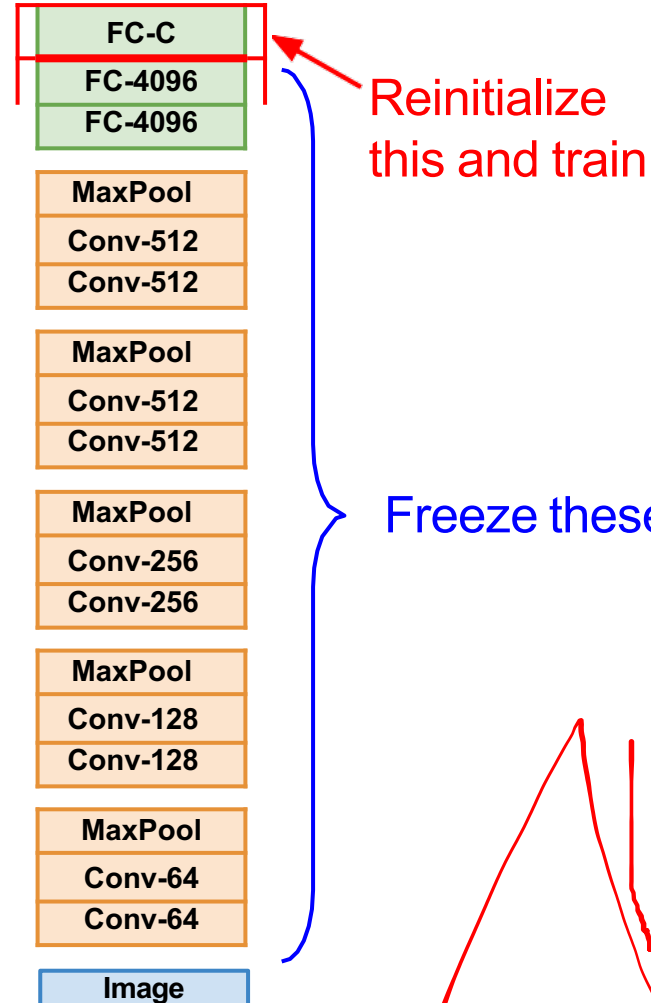
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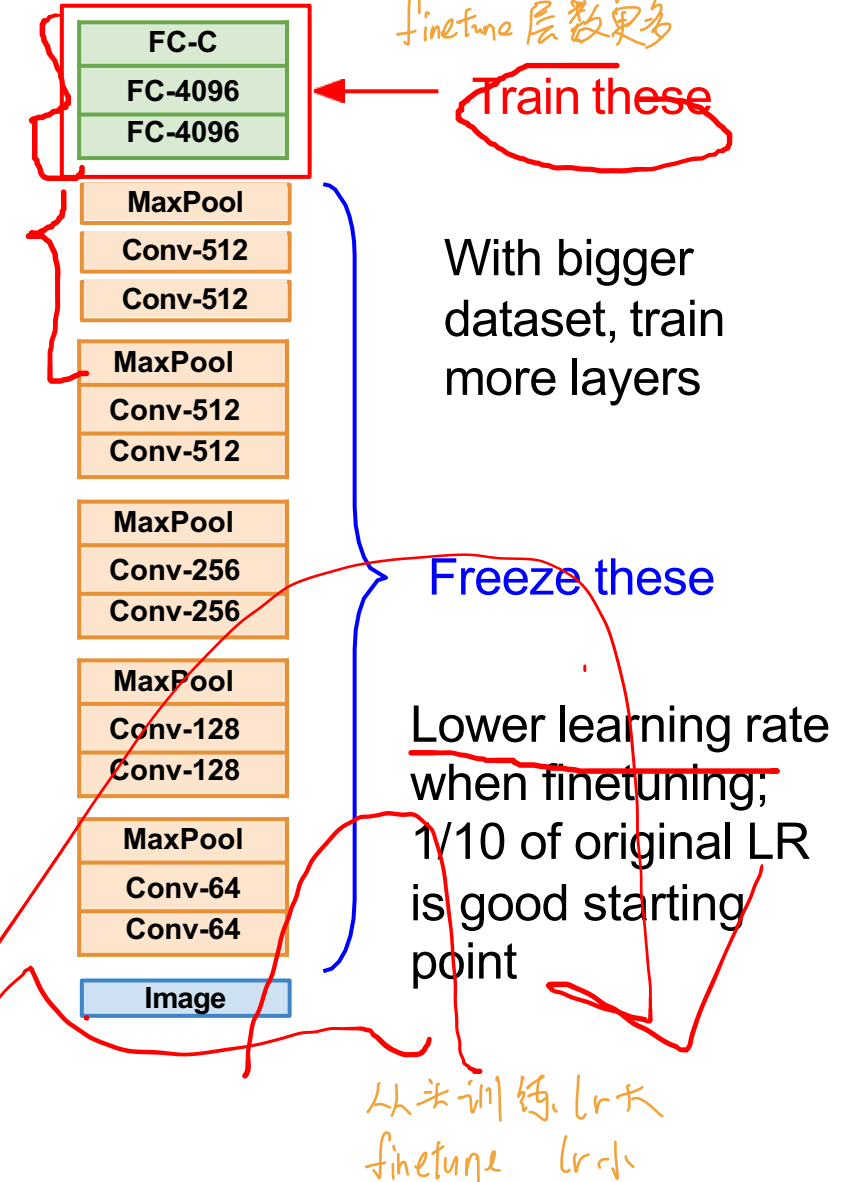
## 1. Train on Imagenet



## 2. Small Dataset (C classes)



## 3. Bigger dataset



FC-1000  
FC-4096  
FC-4096

MaxPool  
Conv-512  
Conv-512

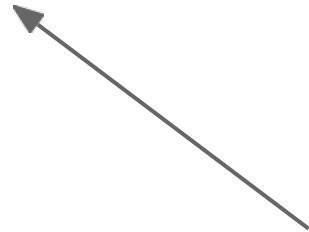
MaxPool  
Conv-512  
Conv-512

MaxPool  
Conv-256  
Conv-256

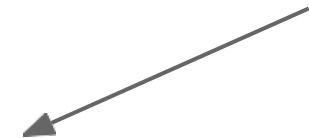
MaxPool  
Conv-128  
Conv-128

MaxPool  
Conv-64  
Conv-64

Image

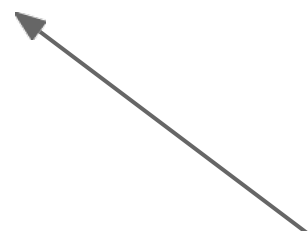
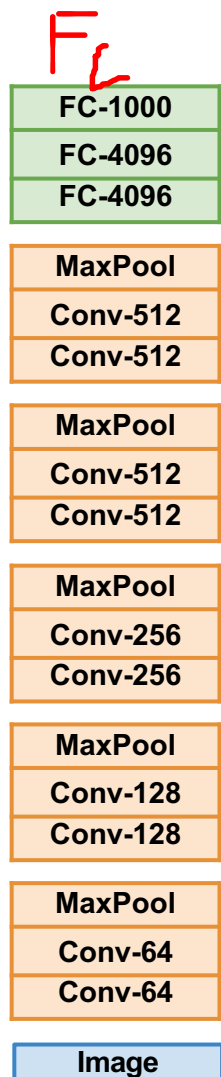


More specific



More generic

	<b>very similar dataset</b>	<b>very different dataset</b>
<b>very little data</b>	?	?
<b>quite a lot of data</b>	?	?

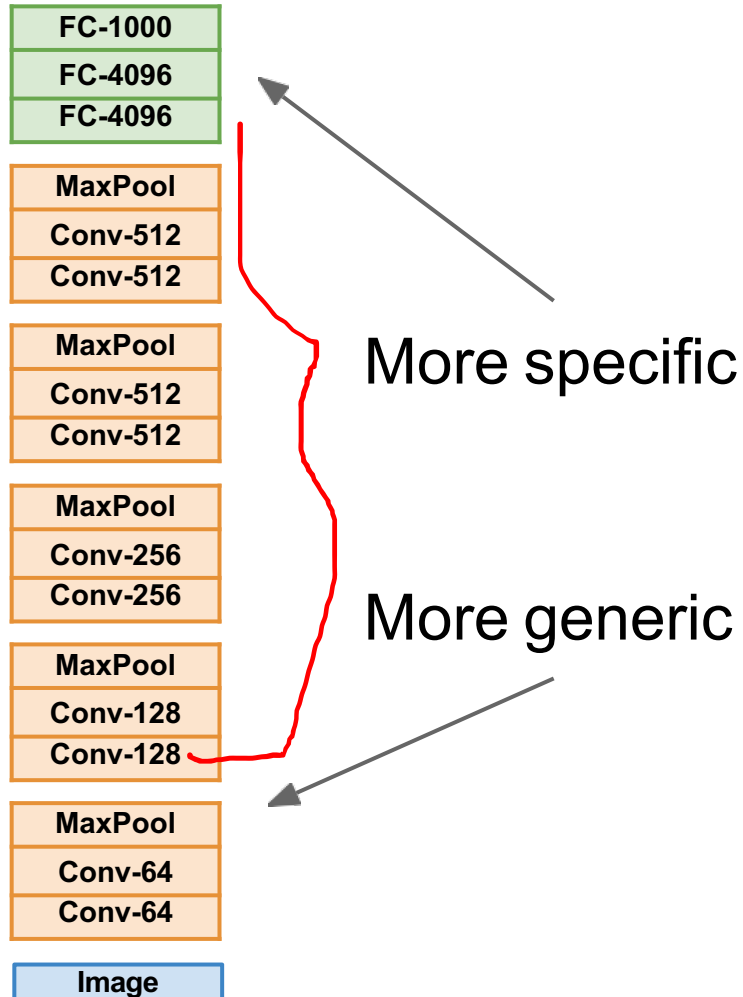


More specific



More generic

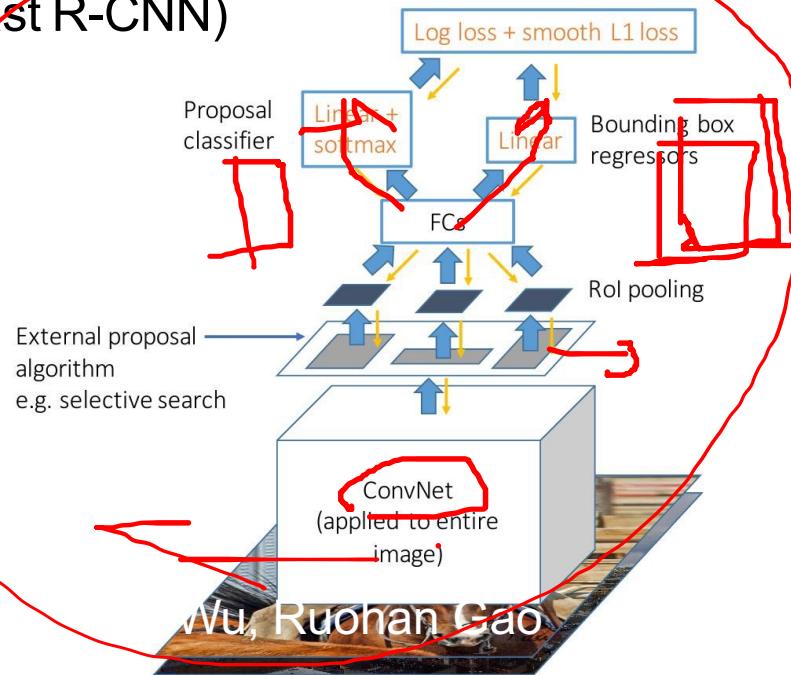
	<b>very similar dataset</b>	<b>very different dataset</b>
<b>very little data</b>	Use Linear Classifier on top layer	?
<u>quite a lot of data</u>	Finetune a few layers	?



	very similar dataset	very different dataset
<u>very little data</u>	Use Linear Classifier on top layer	You're in trouble... Try <u>linear classifier from different stages</u>
<u>quite a lot of data</u>	Finetune a few layers	Finetune a larger number of layers

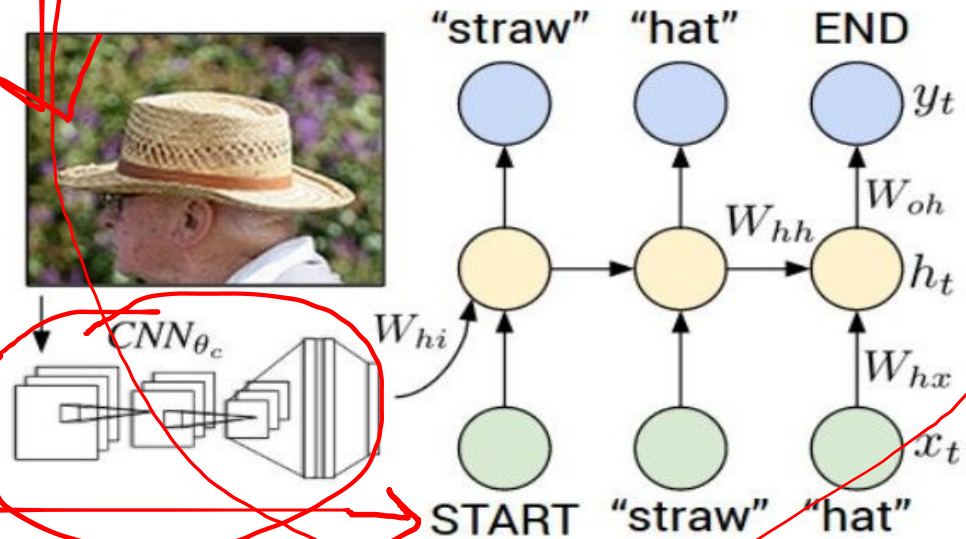
# Transfer learning with CNNs is pervasive...

(Fast R-CNN)



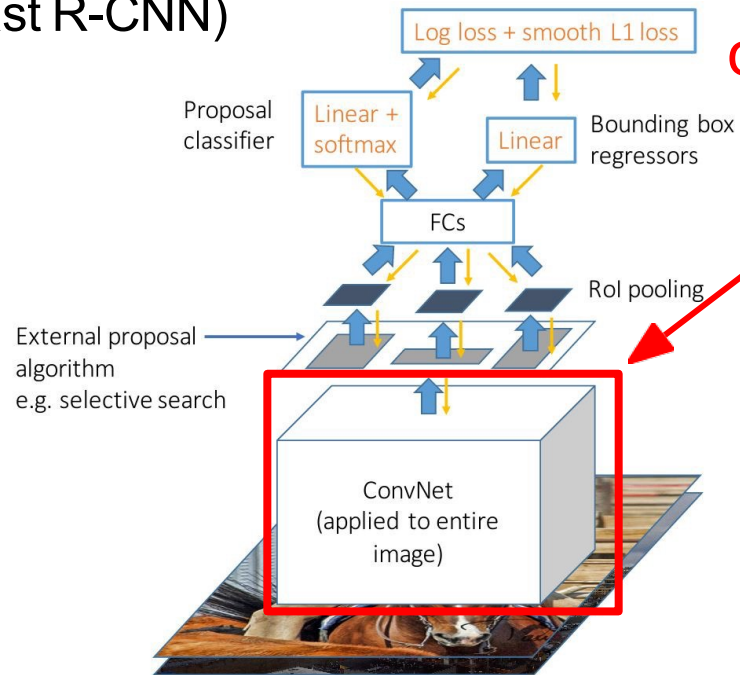
Wu, Ruohan Gao

Image Captioning: CNN + RNN



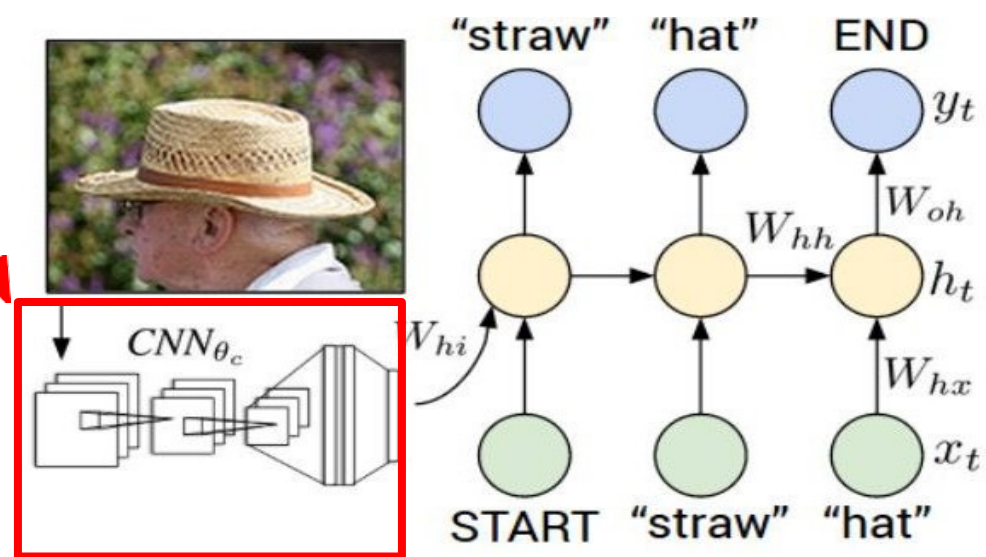
# Transfer learning with CNNs is pervasive...

Object Detection  
(Fast R-CNN)



**CNN pretrained  
on ImageNet**

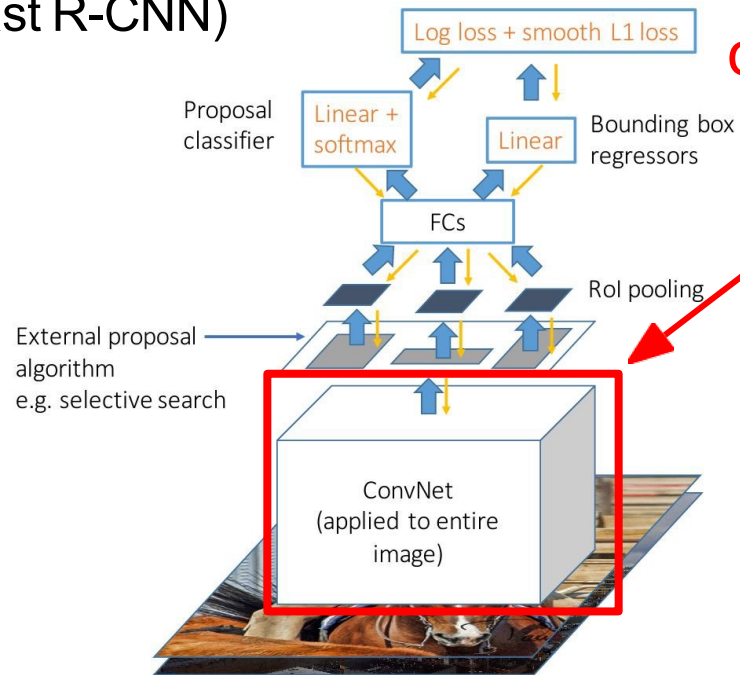
Image Captioning: CNN + RNN





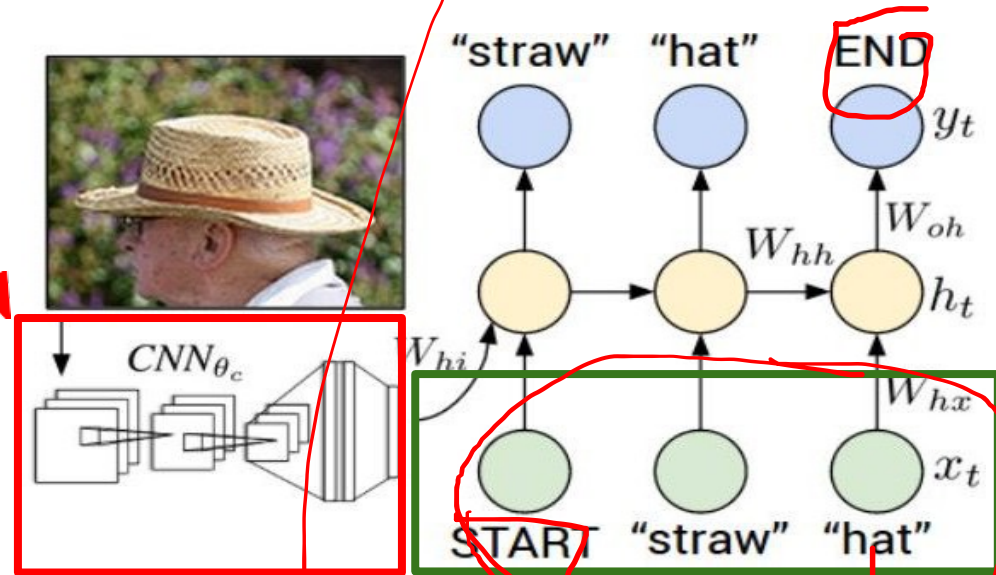
# Transfer learning with CNNs is pervasive...

Object Detection  
(Fast R-CNN)



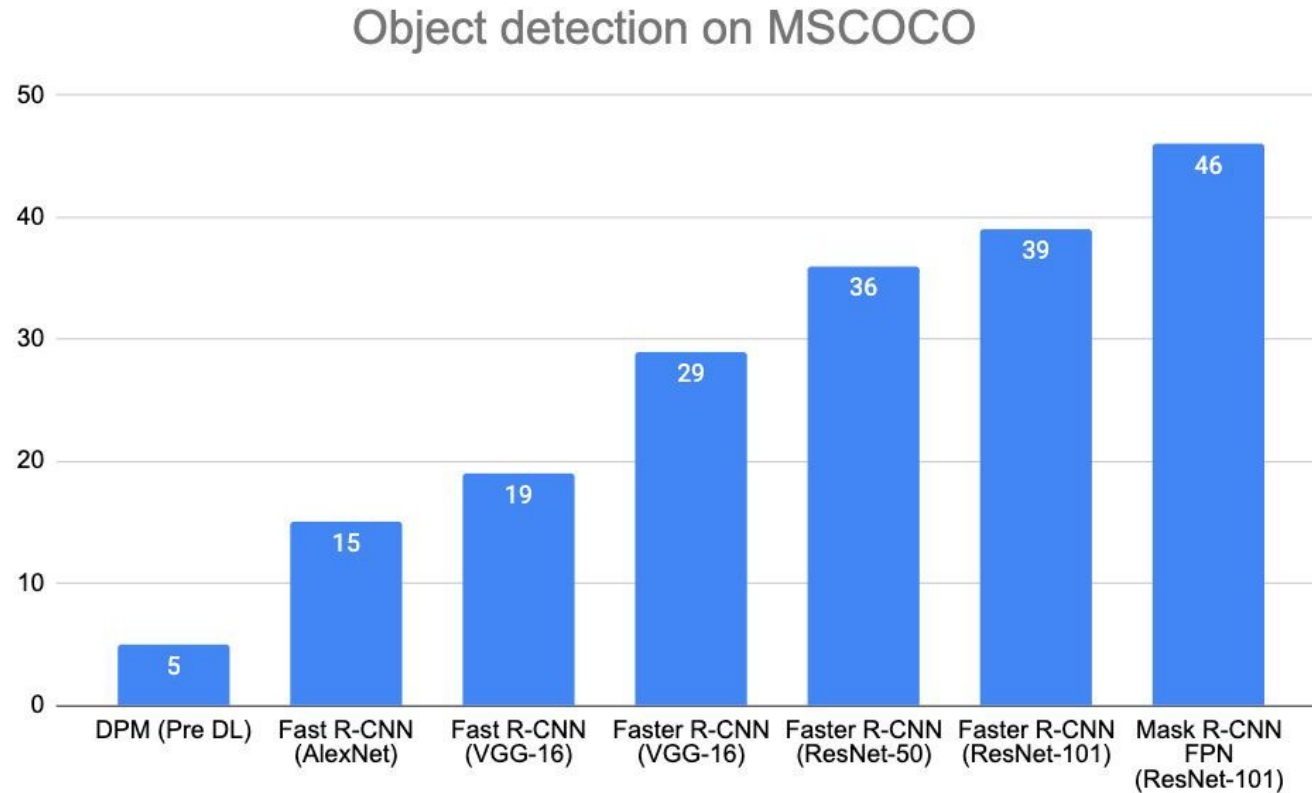
CNN pretrained  
on ImageNet

Image Captioning: CNN + RNN



Word vectors pretrained  
with word2vec

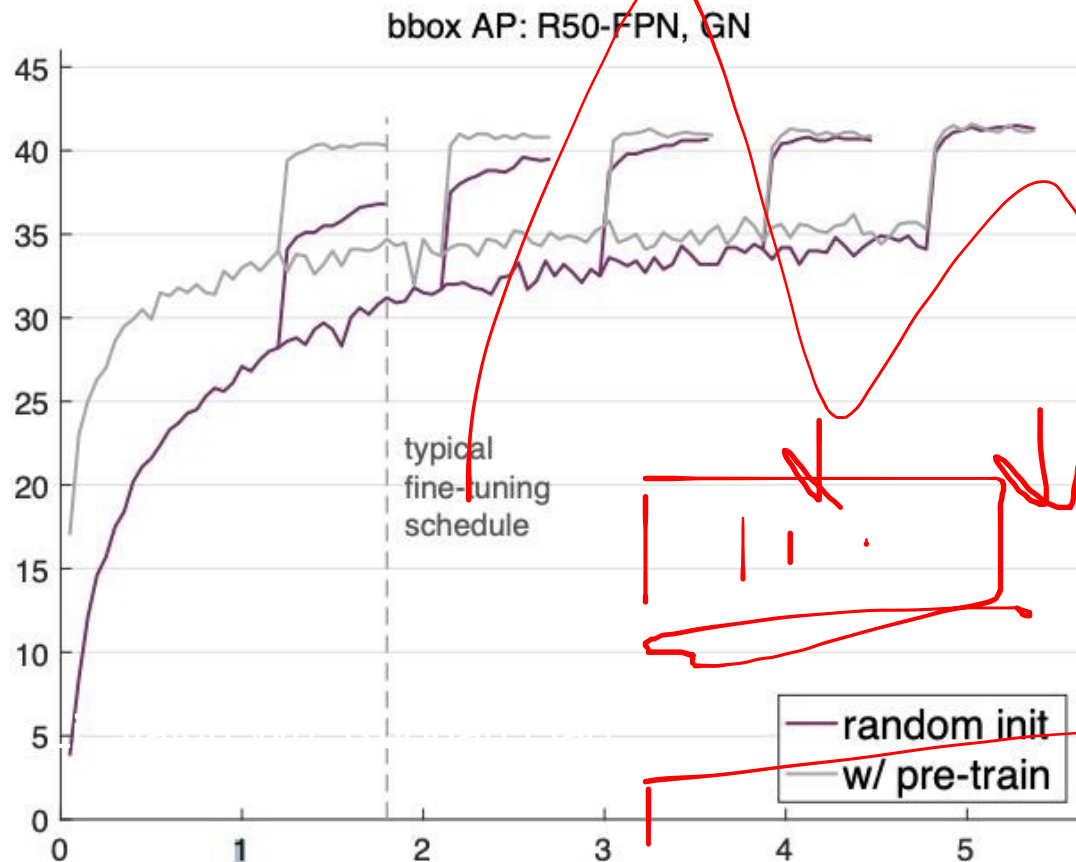
# Transfer learning with CNNs - Architecture matters



Girshick, "The Generalized R-CNN Framework for Object Detection", ICCV 2017 Tutorial on Instance-Level Visual Recognition

# Transfer learning with CNNs is pervasive...

## But recent results show it might not always be necessary!



He et al, "Rethinking ImageNet Pre-training", ICCV 2019  
Figure copyright Kaiming He, 2019. Reproduced with permission.

— Training from scratch can work just as well as training from a pretrained ImageNet model for object detection

But it takes 2-3x as long to train.

They also find that collecting more data is better than finetuning on a related task