Modern approach to packing more carrier frequencies within a given frequency band

 $\rightarrow$  orthogonal FDM

Conceptual similarity to linear algebra

3-D space: Given two vectors  $x = (x_1, x_2, x_3)$  and  $y = (y_1, y_2, y_3)$ , they are orthogonal—i.e., perpendicular to each other—if, and only if,

$$x \circ y = x_1 y_1 + x_2 y_2 + x_3 y_3 = 0$$

- $\rightarrow$  called dot product (or inner product)
- $\rightarrow$  3-D: (1,0,0), (0,1,0), (0,0,1) are orthogonal
- $\rightarrow$  also basis of 3-D
- $\rightarrow$  called orthonormal if dot product with itself is 1

Lots of other orthogonal basis vectors

For example: (5,2,0), (2,-5,0), (0,0,1) are mutually orthogonal

- $\rightarrow$  but not orthonormal
- $\rightarrow$  how to make them orthonormal?

Relevance to networking:

In CDMA (code division multiple access)—for example, used by Sprint and Verizon for wireless cellular in the U.S.—(5,2,0), (2,-5,0), (0,0,1) are called codes

- $\rightarrow$  one code per user
- $\rightarrow$  3-D codes: 3 users (say Bob, Mira, Steve)

Suppose each user wants to send a single bit

 $\rightarrow$  Bob: 1, Mira: 0, Steve: 0

Bob's cell phone: send  $1 \times (5, 2, 0)$  to base station (cell tower)

Mira's cell phone: send  $-1 \times (2, -5, 0)$  to base station

Steve's cell phone: send  $-1 \times (0, 0, 1)$ 

 $\rightarrow$  common convention: 1 for bit 1, -1 for bit 0

Base station receives: (3, 7, -1)

$$\to (1 \times (5, 2, 0)) + (-1 \times (2, -5, 0)) + (-1 \times (0, 0, 1))$$

How can base station find what bit Bob has sent?

Base station: compute the dot product of what it has received, (3, 7, -1), and the code of Bob, (5, 2, 0)

$$\rightarrow (5,2,0) \circ (3,7,-1) = 15 + 14 + 0 = 29$$

- $\rightarrow$  positive: hence bit 1
- $\rightarrow$  what's special about 29?

To find out what Mira has sent:

$$\rightarrow (2, -5, 0) \circ (3, 7, -1) = 6 - 35 + 0 = -29$$

 $\rightarrow$  negative: hence bit 0

To find out what Steve has sent:

$$\rightarrow (0,0,1) \circ (3,7,-1) = 0+0+1=-1$$

- $\rightarrow$  negative: hence bit 0
- $\rightarrow$  why does this work?

Base station decoding Bob's bit:  $(5,2,0) \circ (3,7,-1)$ 

Since 
$$(3, 7, -1) = (1 \times (5, 2, 0)) + (-1 \times (2, -5, 0)) + (-1 \times (0, 0, 1))$$

$$(5,2,0) \circ (3,7,-1)$$
 equals

$$(1 \times (5,2,0) \circ (5,2,0)) + (-1 \times (5,2,0) \circ (2,-5,0)) + (-1 \times (5,2,0) \circ (0,0,1))$$

which equals  $(1 \times (5, 2, 0) \circ (5, 2, 0))$ 

- $\rightarrow$  the two interference terms are nullified
- $\rightarrow$  orthogonality!

Same holds when computing Mira's bit and Steve's bit

- $\rightarrow$  CDMA has additional twists (discussed in wireless)
- $\rightarrow$  but the above is essential idea

Back to orthogonal FDM (OFDM)

 $\rightarrow$  key idea: use carrier waves that are orthogonal

Dot product of two vectors  $x = (x_1, \ldots, x_n)$  and  $y = (y_1, \ldots, y_n)$ 

$$x \circ y = \sum_{i=1}^{n} x_i y_i$$

"Dot product" of two sinusoids  $x(t) = \sin f_x t$  and  $y(t) = \sin f_y t$ 

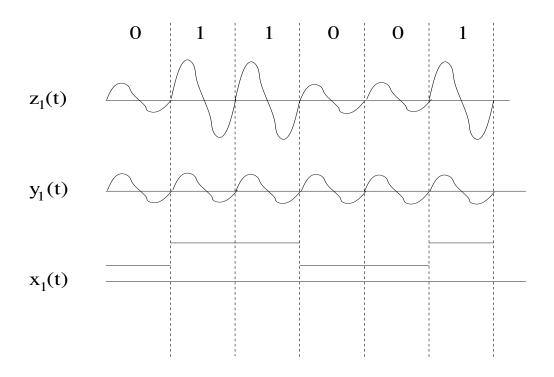
$$x(t) \circ y(t) = \int_{-\infty}^{\infty} (\sin f_x t) (\sin f_y t) dt$$

 $\rightarrow$  again: just a sum of products

More generally:  $x(t) \circ y(t) = \int_{-\infty}^{\infty} e^{if_x t} e^{-if_y t} dt$ 

 $\rightarrow$  since Fourier transform involves complex sinusoids

User 1 uses carrier wave  $y_1(t)$  to transmit bit stream (high and low) given by  $x_1(t)$ 



Same for users 2 and 3

Suppose carrier waves  $y_1(t)$ ,  $y_2(t)$ ,  $y_3(t)$  are orthogonal

Then receiver sees  $z_1(t) + z_2(t) + z_3(t)$  which is

$$\sum_{k=1}^{3} x_k(t) y_k(t)$$

To decode what user 1 has sent, receiver computes dot product with  $y_1(t)$ 

$$y_{1}(t) \circ \left(\sum_{k=1}^{3} x_{k}(t)y_{k}(t)\right) = \sum_{k=1}^{3} x_{k}(t)(y_{1}(t) \circ y_{k}(t))$$

$$= x_{1}(t)(y_{1}(t) \circ y_{1}(t))$$

$$= x_{1}(t)$$

 $\rightarrow$  last steps holds if also orthonormal

But look at Fourier transform formula (lecture notes, part 2):

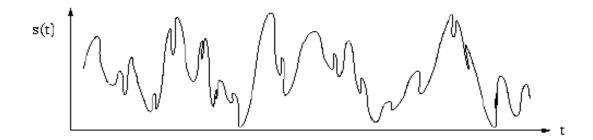
 $\rightarrow$  just taking dot product!

## Root of FDM solution: Joseph Fourier

- $\rightarrow$  18th century idea ("old technology")
- $\rightarrow$  Fourier analysis
- $\rightarrow$  engineering bread and butter
- → worth knowing for its own sake (great idea)

Fourier's key insight:

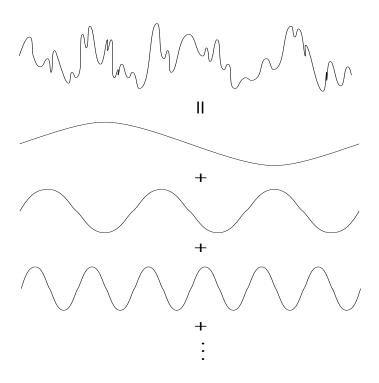
A complicated looking signal s(t) whose shape (i.e., strength) varies over time



is just the sum of very simple building blocks

 $\rightarrow$  sinusoids

Thus:



- $\rightarrow$  may require adding many sinusoids of different frequencies
- $\rightarrow$  key caveat: before adding sinusoid with frequency f, multiply its magnitude by a weight  $\alpha$
- $\rightarrow$  therefore complicated looking s(t) is just the weighted sum of sinusoids

Some conceptual similarity to periodic table and matter

- $\rightarrow$  elements of periodic table: building blocks (sinusoids)
- $\rightarrow$  matter: complicated looking signal
- $\rightarrow$  H<sub>2</sub>O: 2 parts H and 1 part O
- $\rightarrow C_8H_{10}N_4O_2$
- $\rightarrow$  of course, matter has additional structure: not simple weighted sum

## Obvious consequences:

• The value of the weight  $\alpha_f$  of sinusoid f indicates how important sinusoid f is

- For example, if  $\alpha_f = 0$  then sinusoid of frequency f is not needed at all for creating s(t)
- Since there are an infinite number of frequencies (from  $0 \text{ to } \infty$ ) the weighted sum may entail an infinite number of sinusoids
  - $\rightarrow$  not relevant for FDM: why?

Fourier's key insight is accompanied by a key technical contribution:

If given some complicated looking signal s(t), then for any sinusoid f Fourier provides a simple formula for finding its weight  $\alpha_f$ 

 $\rightarrow$  a way to decompose into building blocks

Let's make use of Fourier's insight for enabling broadband communication: point-to-point link from A to B

A wishes to send 3 bits to B in parallel using three carrier frequencies  $f_1$ ,  $f_2$ , and  $f_3$ 

- $\rightarrow$  say 3 bits: 1, 0, 1
- $\rightarrow$  carrier frequencies: 1 Hz, 2 Hz, 3 Hz
- $\rightarrow$  how to do it?

Fourier's conceptual and technical contribution in more precise language (aka math):

1. Complicated looking signal s(t) is weighted sum of sinusoids

$$s(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \alpha_f e^{ift} df$$

- $\rightarrow$  called Fourier expansion
- $\rightarrow$  integral " $\int$ " is just continuous sum
- $\rightarrow$  recall:  $e^{ift} = \cos ft + i\sin ft$
- $\rightarrow$  Euler's formula
- $\rightarrow$  why complex sinusoids involving  $i = \sqrt{-1}$ ?

2. Given s(t) and frequency f, how to find weight  $\alpha_f$ :

$$\alpha_f = \int_{-\infty}^{\infty} s(t)e^{-ift}dt$$

- $\rightarrow$  another weighted sum
- $\rightarrow$  called Fourier transform
- $\rightarrow$  algorithm to compute Fourier transform quickly: fast Fourier transform (FFT)
- $\rightarrow$  see algorithms book