

# A STACKY $p$ -ADIC RIEMANN–HILBERT CORRESPONDENCE ON HITCHIN-SMALL LOCUS

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ABSTRACT. Let  $C$  be a algebraically closed perfectoid field over  $\mathbb{Q}_p$  with the ring of integer  $\mathcal{O}_C$  and the infinitesimal thickening  $\mathbf{A}_{\text{inf}}$ . Let  $\mathfrak{X}$  be a smooth formal scheme over  $\mathcal{O}_C$  with a fixed smooth lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\text{inf}}$ . Let  $X$  be the generic fiber of  $\mathfrak{X}$  and  $\tilde{X}$  be its lifting over  $\mathbf{B}_{\text{dR}}^+$  induced by  $\tilde{\mathfrak{X}}$ . Let  $\text{MIC}_r(\tilde{X})^{\text{H-small}}$  and  $\text{LS}_r(X, \mathbb{B}_{\text{dR}}^+)^{\text{H-small}}$  be the  $v$ -stacks of rank- $r$  Hitchin-small integrable connections on  $X_{\text{ét}}$  and  $\mathbb{B}_{\text{dR}}^+$ -local systems on  $X_v$ , respectively. In this paper, we establish an equivalence between this two stacks by introducing a new period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+, d)$  on  $X_v$ .

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## 1. INTRODUCTION

**1.1. Overview.** For a projective smooth variety over the the field  $\mathbb{C}$  of complex numbers, the Riemann–Hilbert correspondence describes an equivalence between the category  $\mathbb{C}$ -local systems and the category of integrable connections on  $X$ . This equivalence upgrades to the moduli level; that is, there is an homeomorphism between the moduli space  $\mathbf{M}_B$  of  $\mathbb{C}$ -local systems and the moduli space  $\mathbf{M}_{\text{dR}}$  of integrable connections.

The  $p$ -adic Riemann–Hilbert correspondence aims to give an analogue of the above correspondence for rigid spaces; that is, it suggests an equivalence between the category of certain local systems and the category of certain integrable connections on a rigid spaces over a complete  $p$ -adic field. The first step towards this direction is due to Scholze [Sch13]. In *loc.cit.*, for a smooth rigid variety  $X$  over a complete discrete valuation field  $K$  of mixed characteristic  $(0, p)$  with the perfect residue field, he introduced so-call  $\mathbb{B}_{\text{dR}}^+$ -local systems on the pro-étale site  $X_{\text{proét}}$ , constructed a period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\text{dR}}^+, d)$  on  $X_{\text{proét}}$  whose de Rham complex gives a resolution of  $\mathbb{B}_{\text{dR}}^+$ , and established an equivalence between the category of *de Rham*  $\mathbb{B}_{\text{dR}}^+$ -local systems and the category of *filtered integrable connections* on  $X_{\text{ét}}$ . Based on his work, Liu and Zhu constructed two functors  $\mathcal{RH}$  and  $\text{D}_{\text{dR}}$  from the category of  $\mathbb{Q}_p$ -local systems on  $X_{\text{ét}}$  to the category of  $G_K$ -equivariant filtered integrable connections on “ $(X \hat{\otimes}_K \mathbf{B}_{\text{dR}}^+)_{\text{ét}}$ ” and the category of filtered integrable connections on  $X_{\text{ét}}$ , respectively. Using this, they proved the rigidity for “being de Rham” of  $\mathbb{Q}_p$ -local systems [LZ17]. Their approach also works in the theory of log-geometry [DLLZ23]. By taking grades, the functor  $\mathcal{RH}$  induces a functor  $\mathcal{H}$  from the category of  $\mathbb{Q}_p$ -local systems on  $X_{\text{ét}}$  to the category of  $G_K$ -equivariant Higgs bundles on  $X_{\hat{K}, \text{ét}}$ . More generally, it was shown that  $\mathcal{H}$  upgrades to an equivalence from the category of generalised representations on  $X_{\text{proét}}$  to the category of  $G_K$ -equivariant Higgs bundles on  $X_{\hat{K}, \text{ét}}$  (cf. [MW22]). The similar phenomenon also occurs when studying Riemann–Hilbert correspondence, in [GMW], one can establish an equivalence between the category of  $\mathbb{B}_{\text{dR}}^+$ -local systems on  $X_{\text{proét}}$  and the category of  $G_K$ -equivariant integrable connections on “ $X \hat{\otimes}_K \mathbf{B}_{\text{dR}}^+$ ”, which can be used to classify  $\mathbb{B}_{\text{dR}}^+$ -local systems with prismatic source (see [MW22], [AHLB23b] for relevant results in “mod  $t$ ”

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case). On the other hand, in the lecture note of his ICM talk, Bhatt described his joint work with Lurie [Bha21, Th. 5.4], a Riemann–Hilbert functor from the category of certain  $\mathbb{Q}_p$ -sheaves to the category of certain  $D$ -modules. Parts of their results are also obtained by Li [Li] independently by using a certain variant of  $\mathcal{O}\mathbb{B}_{\mathrm{dR}}^+$  and applying the approach of Liu–Zhu [LZ17]. It is worth emphasizing that all results above require  $X$  is defined over a complete discrete valuation field  $K$  containing  $\mathbb{Q}_p$  with the perfect residue field. The advantage in this case is that all  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems and integrable connections are contained in the fibers of the corresponding moduli stacks at the origin of the Hitchin base (cf. Remark 1.8 below).

Now, let  $C$  be an algebraically closed perfectoid field containing  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}_C$  and the infinitesimal thickening  $\mathbf{A}_{\mathrm{inf}}$ . Very recently, starting with a smooth formal scheme  $\mathfrak{X}$  over  $\mathcal{O}_C$  admitting a smooth lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\mathrm{inf}}$ , Chen, Liu, Zhu and the last author [CLWZ24] can construct an overconvergent de Rham sheaf with connection  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}}^{+,+}, d)$  whose de Rham complex is again a resolution of  $\mathbb{B}_{\mathrm{dR}}^+$ . Using this, they are able to establish an equivalence between the category of *(Faltings-)small*  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems on  $X_{\mathrm{pro\acute{e}t}}$  and the category of *(Faltings-)small* integrable connections on  $\tilde{X}$ , where  $X$  is the generic fiber of  $\mathfrak{X}$  and  $\tilde{X}$  is the lifting of  $X$  over  $\mathbf{B}_{\mathrm{dR}}^+$  induced by  $\tilde{\mathfrak{X}}$ . Modulo  $t$ , this equivalence coincides with Faltings’  $p$ -adic Simpson correspondence (cf. [Fal05], [AGT16], [Wan23], and *etc.*). More generally, in *loc.cit.*, the authors even constructed a period sheaf with connection  $(\mathcal{O}\tilde{\mathbb{C}}^I, d)$  which induces a resolution of relative Robba ring  $\tilde{\mathbb{C}}^I$  and established a kind of Riemann–Hilbert correspondence for local systems and integrable connections over Robba rings.

In this paper, let  $\mathfrak{X}$  be a smooth formal scheme over  $\mathcal{O}_C$  with a fixed smooth lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\mathrm{inf}}$ . We shall establish an equivalence between the category of *Hitchin-small*  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems on  $X_v$ , the  $v$ -site of  $X$  introduced in [Sch17], and the category of *Hitchin-small* integrable connections on  $\tilde{X}$ . Indeed, we shall prove this on the moduli level; that is, we shall give an equivalence between the moduli stacks of Hitchin-small  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems and Hitchin-small integrable connections by constructing a new period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\mathrm{dR},\mathrm{pd}}^+, d)$ , whose reduction behaves like the period sheaves  $\mathcal{B}_{\tilde{\mathfrak{X}}}$  and  $\mathcal{O}\tilde{\mathbb{C}}_{\mathrm{pd}}$  considered in [AHLB23b] and [MW23]. In particular, our work generalises a stacky  $p$ -adic Simpson correspondence in [AHLB23b]. As Faltings-smallness always implies Hitchin-smallness, our work also gives a slightly generalisation of the  $p$ -adic Riemann–Hilbert correspondence in [CLWZ24].

**1.2. Main results.** Now let us state our main theorem. From now on, we always let  $C$  be an algebraically closed perfectoid field containing  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}_C$ , maximal ideal  $\mathfrak{m}_C$  of  $\mathcal{O}_C$  and the infinitesimal thickening  $\mathbf{A}_{\mathrm{inf}}$ . Fix a compatible sequence  $\{\zeta_{p^n}\}_{n \geq 0}$  of primitive  $p^n$ -th roots of unity in  $C$ . We also let  $\mathfrak{X}$  be a liftable smooth formal scheme over  $\mathcal{O}_C$  with a fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\mathrm{inf}}$ . Let  $X$  be the generic fiber of  $\mathfrak{X}$  and  $\tilde{X}$  be its lifting over  $\mathbf{B}_{\mathrm{dR}}^+$  induced by  $\tilde{\mathfrak{X}}$ . For any  $n \geq 1$ , let  $\tilde{X}_n$  be the reduction of  $\tilde{X}$  modulo  $t^n$ . Let  $\mathrm{Perfd}$  be the  $v$ -site of all affinoid perfectoid spaces over  $\mathrm{Spa}(C, \mathcal{O}_C)$ .

**Theorem 1.1.** *For any  $n \geq 1$ , there is an equivalence*

$$\rho_{\tilde{\mathfrak{X}}} : \mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR},n}^+)^{\mathrm{H-small}} \simeq \mathrm{MIC}_r(\tilde{X}_n)^{\mathrm{H-small}}$$

*between the moduli stack  $\mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR},n}^+)^{\mathrm{H-small}}$  of Hitchin-small  $\mathbb{B}_{\mathrm{dR},n}^+$ -local systems of rank  $r$  on  $X_v$  and the moduli stack  $\mathrm{MIC}_r(\tilde{X}_n)^{\mathrm{H-small}}$  of Hitchin-small integrable connections of rank  $r$  on  $\tilde{X}_n$ .*

**Remark 1.2.** The  $n = 1$  case for above theorem was already obtained by Anschütz, Heuer and Le Bras [AHLB23b], where the functor  $\rho_{\tilde{\mathfrak{X}}}$  (in the  $n = 1$  case) is denoted by  $S_{\tilde{\mathfrak{X}}}$ . We remark the injectivity of  $S_{\tilde{\mathfrak{X}}}$  (that is, the full faithfulness of its evaluation at every  $\mathrm{Spa}(A, A^+) \in \mathrm{Perfd}$ ) was proved in [AHLB23a], and the surjectivity was established in [AHLB23b] by checking this locally and then gluing together. Compared with theirs, our construction provides an *explicit* and *global* description of  $\rho_{\tilde{\mathfrak{X}}}^{-1}$ .

Now, we explain some notions appearing in above Theorem 1.1. Let  $S = \mathrm{Spa}(A, A^+) \in \mathrm{Perfd}$  be an affinoid perfectoid space. Let  $\mathfrak{Z}$  be a smooth formal scheme over  $\mathrm{Spf}(A^+)$  with a smooth lifting

$\tilde{\mathfrak{Z}}$  over  $\mathbb{A}_{\text{inf}}(A, A^+)$ . Let  $Z$  be the generic fiber of  $\mathfrak{Z}$  with the lifting  $\tilde{Z}$  over  $\mathbb{B}_{\text{dR}}^+$  induced by  $\tilde{\mathfrak{Z}}$ . For any  $n \geq 1$ , let  $\tilde{Z}_n$  be the reduction of  $\tilde{Z}$  modulo  $t^n$  and  $\mathbb{B}_{\text{dR},n}^+ := \mathbb{B}_{\text{dR}}^+/t^n$ .

By a  $\mathbb{B}_{\text{dR},n}^+$ -local system of rank  $r$  on  $Z_v$ , the  $v$ -site of  $Z$  in the sense of [Sch17], we mean a locally finite free  $\mathbb{B}_{\text{dR},n}^+$ -module of rank  $r$ . When  $n = 1$ , the  $\mathbb{B}_{\text{dR},1}^+$  is the structure sheaf  $\hat{\mathcal{O}}_Z$ , and the  $\mathbb{B}_{\text{dR},1}^+$ -local systems reduce to the  $v$ -vector bundles on  $Z_v$ . One can similarly define  $\mathbb{B}_{\text{dR}}^+$ -local systems of rank  $r$  on  $Z_v$ .

Let  $\tilde{d} : \tilde{\mathfrak{Z}} \rightarrow \Omega_{\tilde{\mathfrak{Z}}}^1$  be the usual  $(p, \xi)$ -complete derivation on  $\tilde{\mathfrak{Z}}$  and let  $d$  be the following composite

$$d : \mathcal{O}_{\tilde{\mathfrak{Z}}} \xrightarrow{\tilde{d}} \Omega_{\tilde{\mathfrak{Z}}}^1 \hookrightarrow \Omega_{\tilde{\mathfrak{Z}}}^1\{-1\}.$$

Here  $\Omega_{\tilde{\mathfrak{Z}}}^1\{-1\}$  denotes the Breuil–Kisin–Fargues twist of  $\Omega_{\tilde{\mathfrak{Z}}}^1$  (cf. §1.4). By an *integrable connection* of rank  $r$  on  $\tilde{Z}_n$ , we mean a pair  $(\mathcal{M}, \nabla)$  consisting of a locally finite free  $\mathcal{O}_{\tilde{Z}_n}$ -module  $\mathcal{M}$  together with a  $\mathbb{B}_{\text{dR},n}^+(A, A^+)$ -linear map

$$\nabla : \mathcal{M} \rightarrow \mathcal{M} \otimes_{\mathcal{O}_{\tilde{\mathfrak{Z}}}} \Omega_{\tilde{\mathfrak{Z}}}^1\{-1\}$$

satisfying the Leibniz rule with respect to  $d$  such that  $\nabla \wedge \nabla = 0$ . One can similarly define integrable connections of rank  $r$  on  $\tilde{Z}$ . We remark that if we trivialize the Tate twist  $\Omega_{\tilde{Z}}^1(-1)$  by  $\Omega_{\tilde{Z}}^1 \cdot t^{-1}$ , then via the identification

$$\mathcal{M} \otimes_{\mathcal{O}_{\tilde{\mathfrak{Z}}}} \Omega_{\tilde{\mathfrak{Z}}}^1\{-1\} = \mathcal{M} \otimes_{\mathcal{O}_{\tilde{\mathfrak{Z}}}} \Omega_{\tilde{\mathfrak{Z}}}^1(-1),$$

locally on  $\tilde{Z}_n$ ,  $\nabla$  behaves like a  $t$ -connection in the sense of [Yu24]. In particular, when  $n = 1$ , we see that  $d = 0$  and the integrable connections on  $\tilde{Z}_1 = Z$  reduce to the Higgs bundles on  $Z$ .

For a smooth  $\mathfrak{X}$  over  $\mathcal{O}_C$  with the fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbb{A}_{\text{inf}}$ , for any  $S = \text{Spa}(A, A^+) \in \text{Perfd}$ , let  $\mathfrak{X}_A, \tilde{\mathfrak{X}}_A, X_A$  and  $\tilde{X}_A$  be the base-changes of  $\mathfrak{X}, \tilde{\mathfrak{X}}, X$  and  $\tilde{X}$  to  $A^+, \mathbb{A}_{\text{inf}}(A, A^+), A$  and  $\mathbb{B}_{\text{dR}}^+(A, A^+)$ , respectively. Consider the following two functors:

$$\text{LS}_r(X, \mathbb{B}_{\text{dR},n}^+) : \text{Perfd} \rightarrow \text{Groupoid}, \quad S \mapsto \{\mathbb{B}_{\text{dR},n}^+\text{-local systems on } (X_A)_v \text{ of rank } r\}$$

and

$$\text{MIC}_r(\tilde{X}_n) : \text{Perfd} \rightarrow \text{Groupoid}, \quad S \mapsto \{\text{integrable connections on } \tilde{X}_{A,n} \text{ of rank } r\}.$$

**Remark 1.3.** For  $n = 1$ , the above functors were first considered by Heuer [Heu22] without assuming  $X$  has a good reduction. In *loc.cit.*, he introduced the functors  $v\text{Bun}_r(X)$  (resp.  $\text{HIG}_r(X)$ ) of  $v$ -vector bundles (resp. Higgs bundles) of rank  $r$  on  $X_{\text{proét}}$  (resp.  $X_{\text{ét}}$ ), which is exactly the  $\text{LS}_r(X, \mathbb{B}_{\text{dR},1}^+)$  (resp.  $\text{MIC}_r(\tilde{X}_1)$ ) above. He proved these two stacks are small  $v$ -stacks on  $\text{Perfd}$  and isomorphic after taking étale sheafifications. For general  $n$ , the above functors are introduced by Yu [Yu24]. In *loc.cit.*, Yu also proved all stacks above are small  $v$ -stacks, and  $\text{LS}_r(X, \mathbb{B}_{\text{dR},n}^+)$  is isomorphic to  $\text{MIC}_r(\tilde{X}_n)$  after taking étale sheafifications.

Clearly, we have the following diagram:  
(1.1)

$$\begin{array}{ccc}
 \vdots & & \vdots \\
 \downarrow & & \downarrow \\
 \mathrm{LS}(X, \mathbb{B}_{\mathrm{dR}, n+1}^+) & & \mathrm{MIC}_r(\tilde{X}_{n+1}) \\
 \downarrow & & \downarrow \\
 \mathrm{LS}(X, \mathbb{B}_{\mathrm{dR}, n}^+) & & \mathrm{MIC}_r(\tilde{X}_n) \\
 \downarrow & & \downarrow \\
 \vdots & & \vdots \\
 \downarrow & & \downarrow \\
 v\mathrm{Bun}_r(X) = \mathrm{LS}(X, \mathbb{B}_{\mathrm{dR}, 1}^+) & & \mathrm{MIC}_r(\tilde{X}_1) = \mathrm{HIG}_r(X) \\
 \searrow \tilde{h} & \mathcal{A}_r & \swarrow h
 \end{array}$$

where all vertical maps are induced by taking the obvious reduction,  $h$  and  $\tilde{h}$  denote the Hitchin fibrations introduced in [Heu22], and  $\mathcal{A}_r$  is the Hitchin base, which is defined as a functor

$$\mathcal{A}_r : \mathrm{Perfd} \rightarrow \mathrm{Sets}, \quad \mathrm{Spa}(A, A^+) \mapsto \bigoplus_{i=1}^r H^0(X_A, \mathrm{Sym}^i(\Omega_{X_A/A}^1\{-1\})).$$

One can define the *Hitchin-small locus*  $\mathcal{A}_r^{\mathrm{H-small}} \subset \mathcal{A}_r$  by

$$\mathcal{A}_r^{\mathrm{H-small}} : \mathrm{Perfd} \rightarrow \mathrm{Sets}, \quad S = \mathrm{Spa}(A, A^+) \mapsto \bigoplus_{i=1}^r p^{< \frac{i}{p-1}} H^0(\mathfrak{X}_A, \mathrm{Sym}^i(\Omega_{\mathfrak{X}_A/A^+}^1\{-1\}))$$

as in [AHLB23b], where  $p^{< \frac{i}{p-1}} := (\zeta_p - 1)^i \mathfrak{m}_C \subset \mathcal{O}_C$ . The  $\mathcal{A}_r$  and  $\mathcal{A}_r^{\mathrm{H-small}}$  both make sense as  $v$ -sheaves. For any stack  $Z$  lying over  $\mathcal{A}_r$ , define its Hitchin-small locus  $Z^{\mathrm{H-small}}$  as the sub-stack

$$Z^{\mathrm{H-small}} := Z \times_{\mathcal{A}_r} \mathcal{A}_r^{\mathrm{H-small}}.$$

Now, we have explained all notions involved in Theorem 1.1. It is still worth pointing out that one can check the Hitchin-smallness by taking reduction modulo  $t$ :

**Remark 1.4.** A  $\mathbb{B}_{\mathrm{dR}, n}^+$ -local system (resp. integrable connection on  $\tilde{X}_n$ ) is Hitchin-small *if and only if* its reduction modulo  $t$  is a Hitchin-small  $v$ -bundle on  $X_{\mathrm{pro\acute{e}t}}$  (resp. Higgs bundle on  $X_{\acute{e}t}$ ).

We list some immediate corollaries. Again, let  $\mathfrak{X}$  be a liftable smooth formal scheme over  $\mathcal{O}_C$  with a fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\mathrm{inf}}$ . First, by letting  $n$  go to  $\infty$ , we obtain the following equivalence of stacks:

**Corollary 1.5.** *There exists an equivalence*

$$\rho_{\tilde{\mathfrak{X}}} : \mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR}}^+)^{\mathrm{H-small}} \simeq \mathrm{MIC}_r(\tilde{X})^{\mathrm{H-small}}$$

between the moduli stack  $\mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR}}^+)^{\mathrm{H-small}}$  of Hitchin-small  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems of rank  $r$  and the moduli stack  $\mathrm{MIC}_r(\tilde{X})^{\mathrm{H-small}}$  of Hitchin-small integrable connections of rank  $r$ .

To simplify the notations, set  $\mathbb{B}_{\mathrm{dR}}^+ := \mathbb{B}_{\mathrm{dR}, \infty}^+$  and  $\tilde{X}_{\infty} := \tilde{X}$ . The next corollary follows from by taking  $C$ -points in Theorem 1.1 and Corollary 1.5 immediately.

**Corollary 1.6.** *For any  $1 \leq n \leq \infty$ , there exists an equivalence*

$$\mathrm{LS}(X, \mathbb{B}_{\mathrm{dR}, n}^+)^{\mathrm{H-small}}(C) \simeq \mathrm{MIC}(\tilde{X}_n)^{\mathrm{H-small}}(C)$$

between the category  $\mathrm{LS}(X, \mathbb{B}_{\mathrm{dR}, n}^+)^{\mathrm{H-small}}(C)$  of Hitchin-small  $\mathbb{B}_{\mathrm{dR}, n}^+$ -local systems on  $X_v$  and the category  $\mathrm{MIC}(\tilde{X}_n)^{\mathrm{H-small}}(C)$  of Hitchin-small integrable connections on  $\tilde{X}_n$ .

This generalizes the equivalence between the categories of (Faltings-)small objects in [CLWZ24, Th.7.11]. See also Theorem 1.10 for a more explicit statement.

**Corollary 1.7.** *Let  $\mathbb{P}^d$  be the projective space over  $C$  of dimension  $d$ . For any  $1 \leq n \leq \infty$ , there exists an equivalence of the whole stacks*

$$\mathrm{LS}_r(\mathbb{P}^d, \mathbb{B}_{\mathrm{dR},n}^+) \simeq \mathrm{MIC}_r(\tilde{\mathbb{P}}_n^d).$$

*Note that  $\mathbb{P}^d$  always admits a liftable smooth formal model  $\mathfrak{P}^d$  over  $\mathcal{O}_C$ , and  $\tilde{\mathbb{P}}_n^d$  is the lifting of  $\mathbb{P}^d$  coming from a lifting of  $\mathfrak{P}^d$ .*

*Proof.* This holds true as any  $\mathbb{B}_{\mathrm{dR},n}^+$ -local systems (resp. integrable connections on  $\tilde{\mathbb{P}}_n^d$ ) are automatically Hitchin-small (and even belong to the fiber of corresponding stacks at the origin  $0 \in \mathcal{A}_r$ ). See [AHLB23b, Cor. 3.26] for details.  $\square$

**Remark 1.8.** In Corollary 1.7, it is not necessary to work with the smooth integral model of  $\mathbb{P}^n$ . More generally, for any smooth rigid variety  $X$  over  $C$  with a smooth lifting  $\tilde{X}$  over  $\mathbf{B}_{\mathrm{dR}}^+$ , using the period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}}, d)$  in [Yu24, Def. 2.36] and the same argument in this paper, we can give an equivalence of stacks

$$\mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR},n}^+)^0 \simeq \mathrm{MIC}_r(\tilde{X}_n)^0$$

where  $Z^0$  denotes the fiber at the origin  $0 \in \mathcal{A}_r$  for any stack  $Z$  over  $\mathcal{A}_r$ . See [LMNQ24] for more details. When  $X$  is the base-change along  $K \rightarrow C$  for some smooth  $X_0$  defined over a complete discrete valuation sub-field  $K \subset C$ , the base-change  $\tilde{X}$  of  $X_0$  along  $K \rightarrow \mathbf{B}_{\mathrm{dR}}^+$  is a lifting of  $X$ . In this case, all  $\mathbb{B}_{\mathrm{dR}}^+$ -local systems on  $X_{0,\mathrm{\acute{e}t}}$  and all  $G_K$ -equivariant integrable connections on  $\tilde{X}_{\mathrm{\acute{e}t}}$  belong to the fibers of the corresponding stacks at the origin  $0 \in \mathcal{A}_r$  (See [GMW] or [MW22, Rem. 3.2]).

**Remark 1.9.** For general  $X$ , it is still a question if there exists an equivalence of the whole stacks

$$(1.2) \quad \mathrm{LS}_r(X, \mathbb{B}_{\mathrm{dR},n}^+) \simeq \mathrm{MIC}_r(\tilde{X}_n).$$

Note that for  $n = 1$ ; that is, in the case for  $v$ -vector bundles and Higgs bundles, it was proved by Heuer and Xu [HX24] that if  $X$  is a smooth curve, such an equivalence (1.2) exists up to a certain normalisation. Beyond curves, we only get an equivalence between the category of  $v$ -vector bundles on  $X_v$  and the category Higgs bundles on  $X_{\mathrm{\acute{e}t}}$  for *proper* smooth  $X$  [Heu23]. It seems the problem (at least in the  $n = 1$  case) might be solved by using the Simpson gerbe claimed by Bhargav Bhatt and Mingjia Zhang. However, for general  $n > 1$ , we know *nothing* on (1.2) besides results in this paper.

**1.3. Strategy for the proof and organization.** Here, we sketch the idea for the proof of Theorem 1.1 and explain how the paper is organized.

Fix a smooth  $\mathfrak{X}$  with the fixed lifting  $\tilde{\mathfrak{X}}$ . For any  $S = \mathrm{Spa}(A, A^+) \in \mathrm{Perfd}$ , let  $\mathfrak{X}_A$ ,  $\tilde{\mathfrak{X}}_A$ ,  $X_A$  and  $\tilde{X}_A$  be the base-changes of  $\mathfrak{X}$ ,  $\tilde{\mathfrak{X}}$ ,  $X$  and  $\tilde{X}$  to  $A^+$ ,  $\mathbf{A}_{\mathrm{inf}}(A, A^+)$ ,  $A$  and  $\mathbb{B}_{\mathrm{dR}}^+(A, A^+)$ , respectively. Recall that in order to get the stacky Simpson correspondence [AHLB23b, Th. 1.1], one has to construct an equivalence of categories

$$S_{\tilde{\mathfrak{X}}_A} : \mathrm{HIG}_r^{\mathrm{H-small}}(X)(A) \xrightarrow{\sim} v\mathrm{Bun}_r(X, \hat{\mathcal{O}})^{\mathrm{H-small}}(A)$$

which is functorial in  $A$ . To define  $S_{\tilde{\mathfrak{X}}_A}$ , one has to use the period sheaf with Higgs field  $(\mathcal{B}_{\tilde{\mathfrak{X}}_A}, \Theta)$  constructed in [AHLB23a] (depending on the lifting of  $\mathfrak{X}$  over  $\mathbf{A}_{\mathrm{inf}}/\xi^2$  and coming from prismatic theory of Bhatt–Lurie [BL22a] and [BL22b]), which locally behaves like a pd-polynomial ring over  $\hat{\mathcal{O}}_X$  with the usual derivation on the coordinates.

The key observation is that if one could construct a period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\mathrm{dR},\mathrm{pd},A}^+, d)$  for each  $\mathfrak{X}_A$  functorial in  $A$ , of which the reduction modulo  $t$  behaves (at least locally) like  $(\mathcal{B}_{\tilde{\mathfrak{X}}_A}, \Theta)$ , then it seems possible to generalize the stacky Simpson correspondence to a stacky Riemann–Hilbert correspondence. The §2 is devoted to doing this. We also remark that our construction of  $(\mathcal{O}\mathbb{B}_{\mathrm{dR},\mathrm{pd},A}^+, d)$  is *self-contained*; that is, unlike the construction in [AHLB23a], we do not need to use any prismatic theory. It is indeed inspired of the constructions in [MW23, §2] and [CLW24, §3].

Using  $(\mathcal{O}\mathbb{B}_{\mathrm{dR},\mathrm{pd},A}^+, d)$ , one can prove the following Riemann–Hilbert correspondence:

**Theorem 1.10** (Theorem 4.1). *Fix a  $\mathrm{Spa}(A, A^+) \in \mathrm{Perfd}$ . Let  $\nu : X_{A,v} \rightarrow X_{A,\mathrm{\acute{e}t}}$  be the natural morphism of sites. Let  $1 \leq n \leq \infty$ .*



(1) For any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR},n}^+)(A)$  of rank  $r$ , we have

$$\text{R}^n \nu_* (\mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+) = \begin{cases} \mathcal{D}(\mathbb{L}), & n = 0 \\ 0, & n \geq 1 \end{cases}$$

where  $\mathcal{D}(\mathbb{L})$  is a locally finite free  $\mathcal{O}_{\tilde{X}_{A,n}}$ -module of rank  $r$  on  $X_{A,\text{ét}}$  such that the

$$\text{id}_{\mathbb{L}} \otimes d : \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+ \rightarrow \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+ \otimes_{\mathcal{O}_{\tilde{X}_A}} \Omega_{\tilde{X}_A}^1 \{-1\}$$

induces a flat connection  $\nabla_{\mathbb{L}}$  on  $\mathcal{D}(\mathbb{L})$  making  $(\mathcal{D}(\mathbb{L}), \nabla_{\mathbb{L}})$  an object in  $\text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ .

(2) For any  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$  of rank  $r$ , define

$$\nabla_{\mathcal{D}} := \nabla \otimes \text{id} + \text{id} \otimes d : \mathcal{D} \otimes_{\mathcal{O}_{\tilde{X}_A}} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+ \rightarrow \mathcal{D} \otimes_{\mathcal{O}_{\tilde{X}_A}} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+ \otimes_{\mathcal{O}_{\tilde{X}_A}} \Omega_{\tilde{X}_A}^1 \{-1\}.$$

Then

$$\mathbb{L}(\mathcal{D}, \nabla) := (\mathcal{D} \otimes_{\mathcal{O}_{\tilde{X}_A}} \mathcal{O}\mathbb{B}_{\text{dR,pd},A}^+)^{\nabla_{\mathcal{D}}=0}$$

is an object of rank  $r$  in  $\text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR},n}^+)(A)$ .

(3) The functors  $\mathbb{L} \mapsto (\mathcal{D}(\mathbb{L}), \nabla_{\mathbb{L}})$  and  $(\mathcal{D}, \nabla) \mapsto \mathbb{L}(\mathcal{D}, \nabla)$  in Items (1) and (2) respectively define an equivalence of categories

$$\rho_{\tilde{X}_A} : \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR},n}^+)(A) \xrightarrow{\sim} \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$$

which preserves ranks, tensor products and dualities. Moreover, for any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR},n}^+)(A)$  with corresponding  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ , there exists a quasi-isomorphism

$$\text{R}\nu_* \mathbb{L} \simeq \text{DR}(\mathcal{D}, \nabla).$$

In particular, we have a quasi-isomorphism

$$\text{R}\Gamma(X_{A,v}, \mathbb{L}) \simeq \text{R}\Gamma(X_{A,\text{ét}}, \text{DR}(\mathcal{D}, \nabla)).$$

It is clearly the construction of  $\rho_{\tilde{X}_A}$  is functorial in  $A$  and thus Theorem 1.1 follows immediately. The §4 is devoted to proving 1.10. The approach is standard: We reduce the proof to the case where  $\mathfrak{X}$  admits a chart and then check everything locally. We shall exhibit the details in §3.

**Remark 1.11.** The construction of  $(\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+, d)$  is no doubt under the influence of the constructions in [CLWZ24, §3]. All results in this paper should be viewed as a continuation of the Riemann–Hilbert correspondence (for *Faltings-small* objects) [CLWZ24, Th. 7.11] in *loc.cit.*. It is worth pointing out Theorem 1.10 is also compatible with [CLWZ24, Th. 7.11] as we can compare period sheaves used here and in *loc.cit.* (cf. Remark 2.12).

**1.4. Notations.** Throughout this paper, let  $C$  be a algebraically closed perfectoid field containing  $\mathbb{Q}_p$  with the ring of integers  $\mathcal{O}_C$ . Let  $\mathbf{A}_{\text{inf}}$  and  $\mathbf{B}_{\text{dR}}^+$  be the corresponding infinitesimal and de Rham period ring. Fix an embedding  $p^{\mathbb{Q}} \subset C^{\times}$ , which induces an embedding  $\varpi^{\mathbb{Q}} \subset C^{\flat \times}$ , where  $\varpi = (p, p^{1/p}, p^{1/p^2}, \dots) \in C^{\flat}$ . Fix a coherent system  $\{\zeta_{p^n}\}_{n \geq 0}$  of primitive  $p^n$ -th roots of unity in  $C$ , and let  $\epsilon := (1, \zeta_p, \zeta_p^2, \dots) \in C^{\flat}$ . Let  $u = [\epsilon^{\frac{1}{p}}] - 1 \in \mathbf{A}_{\text{inf}}$ , and then the canonical surjection  $\theta : \mathbf{A}_{\text{inf}} \rightarrow \mathcal{O}_C$  is principally generated by  $\xi := \frac{\phi(u)}{u}$ . Let  $t = \log([\epsilon]) \in \mathbf{B}_{\text{dR}}^+$  be the Fontaine’s  $p$ -adic analogue of “ $2\pi i$ ”. For any (sheaf of)  $\mathbf{A}_{\text{inf}}$ -module  $M$  and any  $n \in \mathbb{Z}$ , denote by  $M\{n\}$  its Breuil–Kisin–Fargues twist

$$M\{n\} := M \otimes_{\mathbf{A}_{\text{inf}}} \text{Ker}(\theta)^{\otimes n},$$

which can be trivialized by  $\xi^n$ ; that is, we have the identification  $M\{n\} = M \cdot \xi^n$ . Using this, we may regard  $M$  as a sub- $\mathbf{A}_{\text{inf}}$ -module of  $M\{-1\}$  via the identification  $M = \xi M\{-1\}$ .

Fix a ring  $R$ . If an element  $x \in R$  admits arbitrary pd-powers, we denote by  $x^{[n]}$  its  $n$ -th pd-power (i.e. analogue of  $\frac{x^n}{n!}$ ) in  $R$ . Put  $E_i = (0, \dots, 1, \dots, 0) \in \mathbb{N}^d$  with 1 appearing at exactly the  $i$ -th component. For any  $J = (j_1, \dots, j_d) \in \mathbb{N}^d$  and any  $x_1, \dots, x_d \in R$ , we put

$$\underline{x}^J := x_1^{j_1} \cdots x_d^{j_d}$$

and if moreover  $x_i$  admits arbitrary pd-powers in  $A$  for all  $i$ , we put

$$\underline{x}^{[J]} := x_1^{[j_1]} \cdots x_d^{[j_d]}.$$

For any  $\alpha \in \mathbb{N}[1/p] \cap [0, 1)$ , we put

$$\zeta^\alpha = \zeta_{p^n}^m$$

if  $\alpha = \frac{m}{p^n}$  such that  $p, m$  are coprime in  $\mathbb{N}$ . If  $x \in A$  admits compatible  $p^n$ -th roots  $x^{\frac{1}{p^n}}$ , we put

$$x^\alpha = x^{\frac{m}{p^n}}$$

for  $\alpha = \frac{m}{p^n}$  as above. In general, for any  $\underline{\alpha} := (\alpha_1, \dots, \alpha_d) \in (\mathbb{N}[1/p] \cap [0, 1))^d$  and any  $x_1, \dots, x_d$  admitting compatible  $p^n$ -th roots in  $A$ , we put

$$\underline{x}^\alpha := x_1^{\alpha_1} \cdots x_d^{\alpha_d}.$$

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## 2. THE PERIOD SHEAF WITH CONNECTION $(\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+, d)$

Fix a  $\text{Spa}(A, A^+) \in \text{Perfd}$  and put  $\mathbf{A}_{\text{inf}} := \mathbb{A}_{\text{inf}}(A, A^+)$  and  $\mathbf{B}_{\text{dR}}^+ := \mathbb{B}_{\text{dR}}^+(A, A^+)$  for short in what follows. Let  $\mathfrak{X}$  be a smooth formal scheme over  $A^+$  with the generic fiber  $X$ . We say  $\mathfrak{X}$  is *liftable* if it admits a smooth lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\text{inf}}$  along the canonical map  $\theta : \mathbf{A}_{\text{inf}} \rightarrow A^+$ . From now on, we assume  $\mathfrak{X}$  is liftable and fix such a lifting  $\tilde{\mathfrak{X}}$  and a fortiori a lifting  $\tilde{X}$  of  $X$  over  $\mathbf{B}_{\text{dR}}^+$ .

For any affinoid perfectoid  $U = \text{Spa}(S, S^+) \in X_v$ , let  $\Sigma_U$  be the set of all étale morphisms

$$i_{\mathfrak{Y}} : \mathfrak{Y} = \text{Spf}(\mathcal{R}) \rightarrow \mathfrak{X}$$

over  $A^+$  such that the natural map  $U \rightarrow X$  factors through the generic fiber of  $i_{\mathfrak{Y}}$ . By the étaleness of  $i_{\mathfrak{Y}}$ , the  $\mathfrak{Y}$  admits a unique lifting  $\tilde{\mathfrak{Y}} = \text{Spf}(\tilde{\mathcal{R}})$  over  $\tilde{\mathfrak{X}}$  such that  $i_{\mathfrak{Y}}$  uniquely lifts to a morphism

$$i_{\tilde{\mathfrak{Y}}} : \tilde{\mathfrak{Y}} = \text{Spf}(\tilde{\mathcal{R}}) \rightarrow \tilde{\mathfrak{X}}$$

over  $\mathbf{A}_{\text{inf}}$ . Then we have a natural morphism

$$\theta_{\mathfrak{Y}} : \tilde{\mathcal{R}} \hat{\otimes}_{\mathbf{A}_{\text{inf}}} \mathbb{A}_{\text{inf}}(U) \rightarrow S^+$$

lifting the natural map  $\mathcal{R} \hat{\otimes}_{A^+} S^+ \rightarrow S^+$  along  $\theta$ .

**Lemma 2.1.** *The kernel  $\text{Ker}(\theta_{\mathfrak{Y}})$  of  $\theta_{\mathfrak{Y}}$  is a finite generated ideal of  $\tilde{\mathcal{R}} \hat{\otimes}_{\mathbf{A}_{\text{inf}}} \mathbb{A}_{\text{inf}}(U)$ .*

*Proof.* The kernel of the multiplicative map  $\mathcal{R} \hat{\otimes}_{A^+} \mathcal{R} \rightarrow \mathcal{R}$  is finitely generated by the smoothness of  $\mathcal{R}$ . Let  $x_1, \dots, x_d$  be its generators and then their images in  $\mathcal{R} \hat{\otimes}_{A^+} \mathbb{A}_{\text{inf}}(U)$  generate the ideal  $\text{Ker}(\mathcal{R} \hat{\otimes}_{A^+} S^+ \rightarrow S^+)$ . Then  $\text{Ker}(\theta_{\mathfrak{Y}})$  is generated by any liftings of  $x_i$ 's together with  $\xi$ .  $\square$

Let  $A_{\mathfrak{Y}}$  be the  $(p, \text{Ker}(\theta_{\mathfrak{Y}}))$ -adic completion of  $\tilde{\mathcal{R}} \hat{\otimes}_{\mathbf{A}_{\text{inf}}} \mathbb{A}_{\text{inf}}(U)$ . Denote by  $B_{\mathfrak{Y}} = A_{\mathfrak{Y}}[u \cdot \text{Ker}(\theta_{\mathfrak{Y}})\{-1\}] \subset A_{\mathfrak{Y}}[\frac{1}{\xi}]$  the blow-up algebra

$$B_{\mathfrak{Y}} := A_{\mathfrak{Y}}\left[\frac{u \cdot \text{Ker}(\theta_{\mathfrak{Y}})}{\xi}\right]$$

and define

$$\Gamma_{\mathfrak{Y}} := (B_{\mathfrak{Y}}[u \cdot \text{Ker}(\theta_{\mathfrak{Y}})\{-1\}]_{\text{pd}})^{\wedge} = (B_{\mathfrak{Y}}[\frac{u \cdot \text{Ker}(\theta_{\mathfrak{Y}})}{\xi}]_{\text{pd}})^{\wedge}$$

the  $(p, \text{Ker}(\theta_{\mathfrak{Y}}))$ -adic completion of the pd-envelope of  $B_{\mathfrak{Y}}$  with respect to the ideal generated by  $\frac{u \cdot \text{Ker}(\theta_{\mathfrak{Y}})}{\xi}$ . Clearly, it is an algebra over  $\mathbb{A}_{\text{inf}}(U)[u]_{\text{pd}}^{\wedge}$ , the  $(p, \xi)$ -adic completion of the pd-envelope of  $\mathbb{A}_{\text{inf}}(U)$  with respect to the ideal  $(u)$ .

Before we move on, let us give a quick review of the relative Robba rings  $\tilde{\mathbb{C}}^1(U)$ . Let

$$\mathrm{Spa}(\tilde{\mathbb{C}}^1(U), \tilde{\mathbb{C}}^{1,+}(U)) \rightarrow \mathrm{Spa}(\mathbb{A}_{\mathrm{inf}}(U)[\frac{1}{p}], \mathbb{A}_{\mathrm{inf}}(U))$$

be the rational localisation with respect to the condition  $|\llbracket \varpi \rrbracket| = p^{-1} = |p|$ . Let  $|\cdot|_1$  be the norm on  $\mathbb{A}_{\mathrm{inf}}(U)[\frac{1}{p[\varpi]}]$  such that for any  $x = \sum_{n \geq 0} [x_n]p^n$  with  $x_n \in S^{\flat,+}$ ,

$$|x|_1 := \sup_{n \geq 0} \|x_n\| p^{-n},$$

where  $\|\cdot\|$  denotes the spectral norm on  $S^{\flat}$ . Then we have the following result:

- Lemma 2.2.** (1) *The  $\tilde{\mathbb{C}}^{1,+}(U) = \mathbb{A}_{\mathrm{inf}}(U)[(\frac{[\varpi]}{p})^{\pm 1}]^{\wedge}$  is the  $p$ -adic completion of  $\mathbb{A}_{\mathrm{inf}}(U)[(\frac{[\varpi]}{p})^{\pm 1}]$  and  $\tilde{\mathbb{C}}^1(U) = \tilde{\mathbb{C}}^{1,+}(U)[\frac{1}{p}]$ .*
- (2) *The  $\tilde{\mathbb{C}}^1(U)$  is the completion of  $\mathbb{A}_{\mathrm{inf}}(U)[\frac{1}{p[\varpi]}]$  with respect to the norm  $|\cdot|_1$ , which is thus a Banach  $\mathbb{Q}_p$ -algebra with the closed unit ball*
- $$\tilde{\mathbb{C}}^{1,+}(U) = \{x \in \tilde{\mathbb{C}}^1(U) \mid |x|_1 \leq 1\}.$$
- (3) *The  $t = \log[\epsilon] = \sum_{n \geq 1} \frac{(1-[\epsilon])^n}{n}$  converges in  $\tilde{\mathbb{C}}^{1,+}(U)$  and is a unit-multiple of  $u\xi$ .*
- (4) *The  $u$  is a unit-multiple of  $[\epsilon^{1/p} - 1]$  in  $\tilde{\mathbb{C}}^{1,+}(U)$ , and thus admits arbitrary  $p$ -powers in  $\tilde{\mathbb{C}}^{1,+}(U)$ .*
- (5) *The natural map  $\theta : \mathbb{A}_{\mathrm{inf}}(U) \rightarrow S^+$  extends to a surjection  $\tilde{\mathbb{C}}^{1,+}(U) \rightarrow S^+$  with kernel principally generated by  $\frac{\xi}{p}$ , which induces a canonical bounded morphism*

$$\tilde{\mathbb{C}}^1(U) \rightarrow \mathbb{B}_{\mathrm{dR}}^+(U)$$

*identifying the target with the  $\xi$ -adic completion of the source.*

*Proof.* Item (1) follows from the definition. Item (2) is [CLWZ24, Lem. 2.7(1)]. Item (3) and the first part of Item (4) is [CLWZ24, Prop. 3.12]. For the second part of Item (4), just note that for any  $n \geq 1$ ,

$$|\frac{u^n}{n!}|_1 = |[\frac{\epsilon^{1/p} - 1}{n!}]^n|_1 = p^{\nu_p(n!) - \frac{n}{p-1}} \leq p^{-\frac{1}{p-1}}.$$

Here, we use the well-known fact that  $\nu_p(n!) \geq \frac{n-1}{p-1}$  for any  $n \geq 1$ . All statements in Item (5) follows from [CLWZ24, Lem. 2.12], except that

$$\mathrm{Ker}(\tilde{\mathbb{C}}^{1,+}(U) \rightarrow S^+) = (\frac{\xi}{p}).$$

To see this, note that  $\xi$  is a unit-multiple of  $\beta := p - [\varpi]$  in  $\mathbb{A}_{\mathrm{inf}}(U)$ . It suffices to show that

$$\mathrm{Ker}(\tilde{\mathbb{C}}^{1,+}(U) \rightarrow S^+) = (1 - \frac{[\varpi]}{p}).$$

This follows from Item (1) easily.  $\square$

By Lemma 2.2(4), we see the natural inclusion  $\mathbb{A}_{\mathrm{inf}}(U) \rightarrow \tilde{\mathbb{C}}^{1,+}(U)$  uniquely extends to the inclusion

$$\mathbb{A}_{\mathrm{inf}}(U)[u]_{\mathrm{pd}}^{\wedge} \rightarrow \tilde{\mathbb{C}}^{1,+}(U).$$

Now, for any  $? \in \{+, \emptyset\}$ , we put

$$C_{\mathfrak{y}}^? := \Gamma_{\mathfrak{y}} \widehat{\otimes}_{\mathbb{A}_{\mathrm{inf}}(U)[u]_{\mathrm{pd}}^{\wedge}} \tilde{\mathbb{C}}^{1,?}(U),$$

and then we have  $C_{\mathfrak{y}} = C_{\mathfrak{y}}^+[\frac{1}{p}]$ . Define

$$B_{\mathrm{dR}, \mathrm{pd}, \mathfrak{y}}^+ := \xi\text{-adic completion of } C_{\mathfrak{y}}.$$

Then  $B_{\mathrm{dR}, \mathrm{pd}, \mathfrak{y}}^+$  is a  $\mathbb{B}_{\mathrm{dR}}^+(U)$ -algebra by Lemma 2.2(5).

Let  $d : \tilde{\mathcal{R}} \rightarrow \Omega_{\tilde{\mathcal{R}}}^1$  be the usual derivation on  $\tilde{\mathcal{R}}$ , where  $\Omega_{\tilde{\mathcal{R}}}^1$  denotes the module of  $(p, \xi)$ -adically continuous differentials over  $\tilde{\mathcal{R}}$  over  $\mathbf{A}_{\mathrm{inf}}$ . It extends uniquely to an  $\mathbb{A}_{\mathrm{inf}}(U)$ -linear derivation

$$d : \tilde{\mathcal{R}} \widehat{\otimes}_{\mathbf{A}_{\mathrm{inf}}} \mathbb{A}_{\mathrm{inf}}(U) \rightarrow \Omega_{\tilde{\mathcal{R}}}^1 \widehat{\otimes}_{\mathbf{A}_{\mathrm{inf}}} \mathbb{A}_{\mathrm{inf}}(U)$$



such that for any  $n \geq 1$ ,

$$d(\text{Ker}(\theta_{\mathfrak{Y}})^n) \subset \text{Ker}(\theta_{\mathfrak{Y}})^{n-1}.$$

Thus it extends uniquely to an  $\mathbb{A}_{\text{inf}}(U)$ -linear derivation

$$d : A_{\mathfrak{Y}} \rightarrow A_{\mathfrak{Y}} \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1,$$

and then an  $\mathbb{A}_{\text{inf}}(U)$ -linear derivation

$$d : B_{\mathfrak{Y}} \rightarrow B_{\mathfrak{Y}} \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1 \{-1\}$$

where  $\Omega_{\tilde{\mathcal{R}}}^1 \{-1\} = \Omega_{\tilde{\mathcal{R}}}^1 \cdot \xi^{-1}$ . The  $d$  also extends uniquely to an  $\mathbb{A}_{\text{inf}}(U)[u]_{\text{pd}}^{\wedge}$ -linear derivation

$$d : \Gamma_{\mathfrak{Y}} \rightarrow u\Gamma_{\mathfrak{Y}} \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1 \{-1\}.$$

By base-change to  $\tilde{\mathbb{C}}^{1,+}(U)$  (and taking  $\xi$ -adic completion), it uniquely extends to a  $\tilde{\mathbb{C}}^{1,+}(U)$ -linear (resp.  $\tilde{\mathbb{C}}^1(U)$ -linear,  $\mathbb{B}_{\text{dR}}^+(U)$ -linear) derivation

$$d : C_{\mathfrak{Y}}^+ \rightarrow uC_{\mathfrak{Y}}^+ \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1 \{-1\} \text{ (resp. } d : C_{\mathfrak{Y}} \rightarrow C_{\mathfrak{Y}} \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1 \{-1\}, d : B_{\text{dR,pd},\mathfrak{Y}}^+ \rightarrow B_{\text{dR,pd},\mathfrak{Y}}^+ \otimes_{\tilde{\mathcal{R}}} \Omega_{\tilde{\mathcal{R}}}^1 \{-1\}).$$

Recall that  $u$  is invertible in  $\tilde{\mathbb{C}}^1(U)$  and  $\mathbb{B}_{\text{dR}}^+(U)$ .

**Definition 2.3.** Let  $\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+$  be the sheaves corresponding to the sheafification of the presheaf sending each affinoid perfectoid  $U \in X_{\text{proét}}$  to

$$\text{colim}_{\mathfrak{Y} \in \Sigma_U} B_{\text{dR,pd},\mathfrak{Y}}^+,$$

and let

$$d : \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \rightarrow \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} u\Omega_{\tilde{\mathfrak{X}}}^1 \{-1\}$$

be the derivation induced from the usual (continuous) derivation on  $\tilde{\mathfrak{X}}$  as constructed above. Denote by  $\text{DR}(\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+, d)$  the corresponding de Rham complex

$$\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \xrightarrow{d} \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} u\Omega_{\tilde{\mathfrak{X}}}^1 \{-1\} \xrightarrow{d} \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} u^2\Omega_{\tilde{\mathfrak{X}}}^2 \{-2\} \rightarrow \cdots \xrightarrow{d} \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} u^d\Omega_{\tilde{\mathfrak{X}}}^d \{-d\}.$$

We remark that  $u$  is invertible for  $\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+$ .

Then we have the following Poincaré's Lemma:

**Theorem 2.4** (Poincaré's Lemma). *The natural morphism  $\mathbb{B}_{\text{dR}}^+ \rightarrow \mathcal{O}\mathbb{B}_{\text{dR,pd}}^+$  induces an exact sequence*

$$0 \rightarrow \mathbb{B}_{\text{dR}}^+ \rightarrow \text{DR}(\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+, d).$$

**Remark 2.5.** One can similarly let  $\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}}^{1,+}$  and  $\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}}^1$  be the sheafifications of the presheaves sending each affinoid perfectoid  $U \in X_{\text{proét}}$  to

$$\text{colim}_{\mathfrak{Y} \in \Sigma_U} C_{\mathfrak{Y}}^+ \text{ and } \text{colim}_{\mathfrak{Y} \in \Sigma_U} C_{\mathfrak{Y}}$$

respectively. Then the usual (continuous) derivation on  $\tilde{\mathfrak{X}}$  induces a derivation

$$d : \mathcal{O}\mathbb{P}_{\text{pd}} \rightarrow \mathcal{O}\mathbb{P}_{\text{pd}} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} u\Omega_{\tilde{\mathfrak{X}}}^1 \{-1\}$$

for  $\mathbb{P} \in \{\tilde{\mathbb{C}}^{1,+}, \tilde{\mathbb{C}}^1\}$ . Then the arguments below also proves that there exists an exact sequence

$$0 \rightarrow \mathbb{P} \rightarrow \text{DR}(\mathcal{O}\mathbb{P}_{\text{pd}}, d)$$

when  $p \geq 3$ . Here, we have to work with  $p \geq 3$  as in this case, we have  $\frac{\xi}{u}$  is topologically nilpotent in  $\tilde{\mathbb{C}}^{1,+}(U)$ . This will be used to construct the morphism  $j$  in the proof of Proposition 2.7. We leave details to interested readers.

We shall prove the above theorem later by giving the local description of  $\mathcal{O}\mathbb{B}_{\text{dR,pd}}^+$ .

Suppose that  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  is *small affine*; that is, there is a ( $p$ -completely) étale morphism of formal schemes over  $A^+$

$$\psi : \mathfrak{X} = \text{Spf}(\mathcal{R}) \rightarrow \text{Spf}(A^+ \langle T_1^{\pm 1}, \dots, T_d^{\pm 1} \rangle) = \text{Spf}(A^+ \langle \underline{T}^{\pm 1} \rangle).$$

Then  $\psi$  lifts uniquely to a  $((p, \xi)$ -completely) étale map

$$\tilde{\psi} : \tilde{\mathfrak{X}} = \text{Spf}(\tilde{\mathcal{R}}) \rightarrow \mathbf{A}_{\text{inf}} \langle \underline{T}^{\pm 1} \rangle.$$

Let  $X_\infty := \mathrm{Spa}(\widehat{R}_\infty, \widehat{R}_\infty^+)$  be the base-change of  $X = \mathrm{Spa}(R = \mathcal{R}[\frac{1}{p}], R^+ = \mathcal{R}) \rightarrow \mathrm{Spa}(A\langle \underline{T}^{\pm 1} \rangle, A^+\langle \underline{T}^{\pm 1} \rangle)$  along the pro-étale  $\Gamma$ -torsor

$$\mathrm{Spa}(A\langle \underline{T}^{\pm 1/p^\infty} \rangle, A^+\langle \underline{T}^{\pm 1/p^\infty} \rangle) \rightarrow \mathrm{Spa}(A\langle \underline{T}^{\pm 1} \rangle, A^+\langle \underline{T}^{\pm 1} \rangle),$$

where  $\Gamma = \bigoplus_{i=1}^d \mathbb{Z}_p \gamma_i$  acts on  $A\langle \underline{T}^{\pm 1/p^\infty} \rangle$  via

$$\gamma_i(T_j^{\frac{1}{p^n}}) = \zeta_{p^n}^{\delta_{ij}} T_j^{\frac{1}{p^n}}, \quad \forall 1 \leq i, j \leq d \text{ \& } n \geq 0.$$

Put  $T_i^\flat := (T_i, T_i^{1/p}, \dots) \in \widehat{R}_\infty^+$ . Then for any  $1 \leq i, j \leq d$  and any  $n \geq 0$ , we have

$$\gamma_i((T_j^\flat)^{\frac{1}{p^n}}) = \epsilon^{\delta_{ij} \frac{1}{p^n}} (T_j^\flat)^{\frac{1}{p^n}}.$$

**Lemma 2.6.** *For any affinoid perfectoid  $U = \mathrm{Spa}(S, S^+) \in X_{\infty, v}$  and any  $\mathfrak{Y} \in \Sigma_U$ , the map*

$$\iota : \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]] \rightarrow A_{\mathfrak{Y}}$$

*sending each  $V_i$  to  $\frac{T_i - [T_i^\flat]}{T_i}$  is well-defined and an isomorphism of  $\mathbb{A}_{\mathrm{inf}}(U)$ -algebras. Here,  $\mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$  is the ring of formal power series over  $\mathbb{A}_{\mathrm{inf}}(U)$  freely generated by  $V_1, \dots, V_d$ . Moreover, the isomorphism carries the ideal  $(\xi, V_1, \dots, V_d)$  onto the ideal  $\mathrm{Ker}(\theta_{\mathfrak{Y}})$ .*

*Proof.* Without loss of generality, we may assume  $\mathfrak{Y} = \mathfrak{X} = \mathrm{Spf}(\mathcal{R})$ . To see  $\iota$  is well-defined, consider the map

$$i : \mathbb{A}_{\mathrm{inf}}(U)[V_1, \dots, V_d] \rightarrow A_{\mathfrak{Y}}$$

sending  $V_i$  to  $\frac{T_i - [T_i^\flat]}{T_i}$ . As  $i(V_j) \in \mathrm{Ker}(\theta_{\mathfrak{Y}})$  and  $A_{\mathfrak{Y}}$  is  $\mathrm{Ker}(\theta_{\mathfrak{Y}})$ -complete, the  $i$  uniquely extends to a map of  $\mathbb{A}_{\mathrm{inf}}(U)$ -algebras

$$i : \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]] \rightarrow A_{\mathfrak{Y}},$$

which is nothing but  $\iota$ . To conclude, it remains to construct a map

$$j : A_{\mathfrak{Y}} \rightarrow \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$$

which is the inverse of  $\iota$ .

Consider the morphism of  $\mathbf{A}_{\mathrm{inf}}$ -algebras

$$j : \mathbf{A}_{\mathrm{inf}}\langle \underline{T}^{\pm 1} \rangle \rightarrow \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$$

sending each  $T_i$  to  $[T_i^\flat](1 - V_i)^{-1}$ . Then the composite

$$\mathbf{A}_{\mathrm{inf}}\langle \underline{T}^{\pm 1} \rangle \xrightarrow{j} \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]] \xrightarrow{\mathrm{mod}(\xi, V_1, \dots, V_d)} S^+$$

coincides with the natural morphism  $A^+\langle \underline{T}^{\pm 1} \rangle \rightarrow \mathcal{R} \rightarrow S^+$ . By the étaleness of  $\widetilde{\psi}$ , the  $j$  uniquely lifts to a morphism of  $\mathbf{A}_{\mathrm{inf}}$ -algebras

$$\widetilde{\mathcal{R}} \rightarrow \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$$

and thus by scalar extension, uniquely extends to a morphism of  $\mathbb{A}_{\mathrm{inf}}(U)$ -algebras (still denoted by)

$$j : \widetilde{\mathcal{R}} \widehat{\otimes}_{\mathbf{A}_{\mathrm{inf}}} \mathbb{A}_{\mathrm{inf}}(U) \rightarrow \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$$

such that the composite

$$\widetilde{\mathcal{R}} \widehat{\otimes}_{\mathbf{A}_{\mathrm{inf}}} \mathbb{A}_{\mathrm{inf}}(U) \xrightarrow{j} \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]] \xrightarrow{\mathrm{mod}(\xi, V_1, \dots, V_d)} S^+$$

coincides with the composite

$$\widetilde{\mathcal{R}} \widehat{\otimes}_{\mathbf{A}_{\mathrm{inf}}} \mathbb{A}_{\mathrm{inf}}(U) \xrightarrow{\mathrm{mod} \xi} \mathcal{R} \widehat{\otimes}_{A^+} S^+ \rightarrow S^+,$$

which is nothing but  $\theta_{\mathfrak{Y}}$ . In particular,  $j$  carries  $\mathrm{Ker}(\theta_{\mathfrak{Y}})$  into the ideal  $(\xi, V_1, \dots, V_d)$ . Thus, as  $\mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]]$  is  $(p, \xi, V_1, \dots, V_d)$ -adically complete,  $j$  uniquely extends to a morphism of  $\mathbb{A}_{\mathrm{inf}}(U)$ -algebras (still denoted by)

$$j : A_{\mathfrak{Y}} \rightarrow \mathbb{A}_{\mathrm{inf}}(U)[[V_1, \dots, V_d]].$$

To complete the proof, we only need to check  $j$  is the inverse of  $\iota$ . Granting this, it follows from the above construction that

$$\iota((\xi, V_1, \dots, V_d)) \subset \mathrm{Ker}(\theta_{\mathfrak{Y}}) \text{ and } j(\mathrm{Ker}(\theta_{\mathfrak{Y}})) \subset (\xi, V_1, \dots, V_d),$$

yielding the “moreover” part of the result.

It is clear from the construction that  $j \circ \iota = \text{id}$ . We have to show  $\iota \circ j = \text{id}$ . Note that by construction, the composite

$$\mathbf{A}_{\text{inf}}\langle \underline{T}^{\pm 1} \rangle \xrightarrow{i \circ j} A_{\mathfrak{Y}} \xrightarrow{\text{mod Ker}(\theta_{\mathfrak{Y}})} S^+$$

coincides with natural map  $A^+\langle \underline{T}^{\pm 1} \rangle \rightarrow \mathcal{R} \rightarrow S^+$ , and thus by the étaleness of  $\tilde{\psi}$ , the  $i \circ j$  unique extends to a morphism of  $\mathbf{A}_{\text{inf}}$ -algebras

$$\tilde{\mathcal{R}} \rightarrow A_{\mathfrak{Y}}.$$

However, as  $i \circ j$  coincides with natural map

$$\mathbf{A}_{\text{inf}}\langle \underline{T}^{\pm 1} \rangle \rightarrow \tilde{\mathcal{R}} \rightarrow \tilde{\mathcal{R}} \hat{\otimes}_{\mathbf{A}_{\text{inf}}} \mathbf{A}_{\text{inf}}(U) \rightarrow A_{\mathfrak{Y}},$$

by the uniqueness, the above map  $\tilde{\mathcal{R}} \rightarrow A_{\mathfrak{Y}}$  is also the natural map

$$\tilde{\mathcal{R}} \rightarrow \tilde{\mathcal{R}} \hat{\otimes}_{\mathbf{A}_{\text{inf}}} \mathbf{A}_{\text{inf}}(U) \rightarrow A_{\mathfrak{Y}}.$$

As argued in the second paragraph, this map uniquely extends to a morphism  $\mathbf{A}_{\text{inf}}(U)$ -algebras  $A_{\mathfrak{Y}} \rightarrow A_{\mathfrak{Y}}$ , which is nothing but  $\iota \circ j$  by construction. Then the uniqueness criterion forces  $\iota \circ j = \text{id}$  because the identity  $\text{id}$  is another extension of the natural map  $\tilde{\mathcal{R}} \rightarrow A_{\mathfrak{Y}}$  above.  $\square$

**Proposition 2.7.** *The map of  $\tilde{\mathcal{C}}^{1,+}(U)$ -algebras*

$$\iota_n : \tilde{\mathcal{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (\xi p^{-1})^n \rightarrow C_{\mathfrak{Y}}^+ / (\xi p^{-1})^n$$

sending each  $U_i$  to  $\frac{u(T_i - [T_i^{\flat}])}{\xi}$  is a well-defined isomorphism for any  $n \geq 1$  such that the derivation  $d$  on  $C_{\mathfrak{Y}}^+$  reads

$$d = \sum_{i=1}^d u \frac{\partial}{\partial U_i} \otimes \frac{dT_i}{\xi} : \tilde{\mathcal{C}}^{1,+}[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (\xi p^{-1})^n \rightarrow \oplus_{i=1}^d \tilde{\mathcal{C}}^{1,+}[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (\xi p^{-1})^n \cdot \frac{dT_i}{\xi}$$

via the above isomorphism and the isomorphism  $\Omega_{\tilde{\mathcal{R}}}^1\{-1\} \cong \oplus_{i=1}^d \tilde{\mathcal{R}} \cdot \frac{dT_i}{\xi}$ .

*Proof.* Fix an  $n \geq 1$ . It suffices to show that  $\iota_n$  is a well-defined isomorphism, and the rest follows directly.

As  $T_i$  is invertible in  $\tilde{\mathcal{R}}$ , by Lemma 2.6, we have the isomorphism

$$\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]] \xrightarrow{V_i \mapsto (T_i - [T_i^{\flat}])} A_{\mathfrak{Y}}.$$

Thus, by construction of  $C_{\mathfrak{Y}}^+$ , we have an isomorphism

$$\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}^{\wedge} \hat{\otimes}_{\mathbf{A}_{\text{inf}}(U)} \tilde{\mathcal{C}}^{1,+}(U) \xrightarrow{V_i \mapsto (T_i - [T_i^{\flat}])} C_{\mathfrak{Y}}^+,$$

where  $\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}^{\wedge}$  is the  $(p, \xi, V_1, \dots, V_d)$ -adic completion of the pd-algebra  $\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}$ . Thus, to show  $\iota$  is an isomorphism, it suffices to show that the map

$$i : \tilde{\mathcal{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (p^{-1}\xi)^n \rightarrow (\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}^{\wedge} \hat{\otimes}_{\mathbf{A}_{\text{inf}}(U)} \tilde{\mathcal{C}}^{1,+}(U)) / (p^{-1}\xi)^n$$

sending  $U_i$  to  $\frac{uV_i}{\xi}$  is a well-defined isomorphism.

The well-definedness of  $i$  is trivial as  $\mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}^{\wedge} \hat{\otimes}_{\mathbf{A}_{\text{inf}}(U)} \tilde{\mathcal{C}}^{1,+}(U)$  is a  $p$ -adic complete  $\tilde{\mathcal{C}}^{1,+}(U)$ -algebra and  $\frac{uV_i}{\xi}$  admits arbitrary pd-powers. Now, we construct the inverse of  $i$  as follows:

As  $\frac{\xi}{u} = \frac{\xi}{p} \frac{p}{u}$  and  $\frac{p}{u} \in \tilde{\mathcal{C}}^{1,+}(U)$ , we see that  $\frac{\xi}{u} \in \tilde{\mathcal{C}}^{1,+}(U) / (p^{-1}\xi)^n$  is nilpotent. Thus, the map of  $\mathbf{A}_{\text{inf}}(U)$ -algebras

$$j : \mathbf{A}_{\text{inf}}(U)[V_1, \dots, V_d] \rightarrow \tilde{\mathcal{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (p^{-1}\xi)^n$$

sending  $V_i$  to  $\frac{\xi U_i}{u}$  uniquely extends to a map (still denoted by)

$$j : \mathbf{A}_{\text{inf}}(U)[[V_1, \dots, V_d]] \rightarrow \tilde{\mathcal{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^{\wedge} / (p^{-1}\xi)^n.$$

Since  $u, U_1, \dots, U_d$  admit arbitrary pd-powers in  $\tilde{\mathbb{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^\wedge$ , as argued in the proof of Lemma 2.6, it is easy to see that  $j$  uniquely extends to a morphism of  $\tilde{\mathbb{C}}^{1,+}(U)$ -algebras

$$j : (\mathbb{A}_{\text{inf}}(U)[[V_1, \dots, V_d]][u, \frac{uV_1}{\xi}, \dots, \frac{uV_d}{\xi}]_{\text{pd}}^\wedge \hat{\otimes}_{\mathbb{A}_{\text{inf}}(U)} \tilde{\mathbb{C}}^{1,+}(U)) / (p^{-1}\xi)^n \rightarrow \tilde{\mathbb{C}}^{1,+}(U)[U_1, \dots, U_d]_{\text{pd}}^\wedge / (p^{-1}\xi)^n$$

sending  $V_i$  to  $\frac{\xi U_i}{u}$ . It is clear that  $j$  is exactly the inverse of  $i$ .

This completes the proof.  $\square$

**Corollary 2.8.** *The morphism of sheaves of  $\mathbb{B}_{\text{dR}|X_\infty}^+$ -algebras*

$$\iota : \mathbb{B}_{\text{dR}|X_\infty}^+ \langle U_1, \dots, U_d \rangle_{\text{pd}} \rightarrow \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+$$

sending  $U_i$  to  $\frac{u(T_i - [T_i^{\flat}])}{\xi}$  is an isomorphism, where  $\mathbb{B}_{\text{dR}|X_\infty}^+ \langle U_1, \dots, U_d \rangle_{\text{pd}}$  denotes the  $\xi$ -adic completion of

$$\tilde{\mathbb{C}}_{|X_\infty}^1 [U_1, \dots, U_d]_{\text{pd}}^\wedge = \tilde{\mathbb{C}}_{|X_\infty}^{1,+} [U_1, \dots, U_d]_{\text{pd}}^\wedge \left[ \frac{1}{p} \right].$$

*Proof.* As we have

$$\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+(U) = \varprojlim_n C_{\mathfrak{y}}^+ \left[ \frac{1}{p} \right] / (\xi p^{-1})^n / (\xi p^{-1})^n,$$

the result follows from Corollary 2.7 directly.  $\square$

Now, we are able to prove Poincaré's Lemma 2.4

**Proof of Theorem 2.4.** Since the problem is local on both  $\mathfrak{X}_{\text{ét}}$  and  $X_{\text{proét}}$ , we may assume  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  with  $X_\infty$  as above. Then the result follows from Corollary 2.8 directly.  $\square$

Now we still assume  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  is small and keep the notations as above.

**Proposition 2.9.** *The series  $\frac{u^2}{t} \log \frac{[T_i^{\flat}]}{T_i} = \frac{u^2}{t} \sum_{n \geq 1} \frac{(1 - \frac{[T_i^{\flat}]}{T_i})^n}{n}$  converges in  $\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+$ . The morphism of the sheaves of  $\mathbb{B}_{\text{dR}|X_\infty}^+$ -algebras*

$$\iota : \mathbb{B}_{\text{dR}|X_\infty}^+ \langle W_1, \dots, W_d \rangle_{\text{pd}} \rightarrow \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+$$

sending  $W_i$  to  $\frac{u^2}{t} \log \frac{[T_i^{\flat}]}{T_i}$  is an isomorphism such that the derivation  $d$  on  $\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+$  reads

$$d = \sum_{i=1}^d -u \frac{\partial}{\partial W_i} \otimes \frac{u}{t} d \log T_i,$$

where we trivialize  $\Omega_{\mathcal{R}}^1 \{-1\}$  by  $\oplus_{i=1}^d \tilde{\mathcal{R}} \cdot \frac{u}{t} d \log T_i$ , and the Galois group  $\Gamma$  acts on  $\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}|X_\infty}^+$  such that

$$\gamma_i(W_j) = W_j + \delta_{ij} u^2, \quad \forall 1 \leq i, j \leq d.$$

*Proof.* Recall  $t$  is a unit-multiple of  $\xi u$  in  $\tilde{\mathbb{C}}^{1,+}(U)$  (cf. Lemma 2.2) and  $T_i$  is invertible in  $\tilde{\mathcal{R}}$ . It follows from Corollary 2.8 that the morphism

$$\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+ \langle U_1, \dots, U_d \rangle_{\text{pd}} \rightarrow \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+$$

sending  $U_i$  to  $\frac{u^2}{t} (1 - \frac{[T_i^{\flat}]}{T_i})$  is an isomorphism. Thus, we need to show the series

$$\frac{u^2}{t} \sum_{n \geq 1} \frac{(\frac{t}{u^2} U_i)^n}{n}$$

converges in  $\mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+ \langle U_1, \dots, U_d \rangle_{\text{pd}}$  and the morphism

$$i : \mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+ \langle W_1, \dots, W_d \rangle_{\text{pd}} \rightarrow \mathbb{B}_{\text{dR}, \text{pd}|X_\infty}^+ \langle U_1, \dots, U_d \rangle_{\text{pd}}$$

sending  $W_i$  to  $\frac{u^2}{t} \sum_{n \geq 1} \frac{(\frac{t}{u^2} U_i)^n}{n}$  is an isomorphism. However, the

$$\frac{u^2}{t} \sum_{n \geq 1} \frac{(\frac{t}{u^2} U_i)^n}{n} = \sum_{n \geq 1} \left( \frac{t}{u^2} \right)^{n-1} (n-1)! U_i^{[n]}$$

reduces to a finite sum modulo  $t^n$  for any  $n \geq 1$ , yielding the desired convergence. Similarly, the series

$$\frac{u^2}{t}(1 - \exp(\frac{t}{u^2}W_i)) = - \sum_{n \geq 1} (\frac{t}{u^2})^{n-1} W_i^{[n]}$$

converges in  $\mathbb{B}_{\mathrm{dR}, \mathrm{pd}|_{X_\infty}}^+ \langle W_1, \dots, W_d \rangle_{\mathrm{pd}}$ . So the map

$$j : \mathbb{B}_{\mathrm{dR}, \mathrm{pd}|_{X_\infty}}^+ \langle U_1, \dots, U_d \rangle_{\mathrm{pd}} \rightarrow \mathbb{B}_{\mathrm{dR}, \mathrm{pd}|_{X_\infty}}^+ \langle W_1, \dots, W_d \rangle_{\mathrm{pd}}$$

sending  $U_i$  to  $\frac{u^2}{t}(1 - \exp(\frac{t}{u^2}W_i)) = - \sum_{n \geq 1} (\frac{t}{u^2})^{n-1} W_i^{[n]}$  is well-defined as well. Now one can conclude by checking  $j$  is the inverse of  $i$  directly.  $\square$

The above construction is compatible with base-change of  $(A, A^+) \in \mathrm{Perfd}$ .

**Proposition 2.10.** *Let  $(A, A^+) \rightarrow (B, B^+)$  be a morphism in  $\mathrm{Perfd}$ . Let  $\mathfrak{X}_A$  be a smooth formal scheme over  $A^+$  with a fixed lifting  $\tilde{\mathfrak{X}}_A$  over  $\mathbb{A}_{\mathrm{inf}}(A, A^+)$ . Let  $\mathfrak{X}_B := \mathfrak{X}_A \times_{\mathrm{Spf}(A^+)} \mathrm{Spf}(B^+)$  be the induced smooth formal scheme over  $B^+$  with the lifting  $\tilde{\mathfrak{X}}_B = \tilde{\mathfrak{X}}_A \times_{\mathrm{Spf}(\mathbb{A}_{\mathrm{inf}}(A, A^+))} \mathrm{Spf}(\mathbb{A}_{\mathrm{inf}}(B, B^+))$ . Let  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, A}^+, d)$  and  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, B}^+, d)$  be the period sheaves with connections corresponding to  $\tilde{\mathfrak{X}}_A$  and  $\tilde{\mathfrak{X}}_B$ , respectively. Then there exists a natural isomorphism*

$$(\mathbb{B}_{\mathrm{dR}}^+(B, B^+)) \hat{\otimes}_{\mathbb{B}_{\mathrm{dR}}^+(A, A^+)} \mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, A}^+ (\mathrm{id} \otimes d) \rightarrow (\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, B}^+, d)$$

compatible with connections.

*Proof.* The existence of the morphism

$$\mathbb{B}_{\mathrm{dR}}^+(B, B^+) \hat{\otimes}_{\mathbb{B}_{\mathrm{dR}}^+(A, A^+)} \mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, A}^+ \rightarrow \mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}, B}^+$$

follows directly from the construction of  $\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}}^+$  above. To see it is an isomorphism, we are reduced to the case  $\mathfrak{X}_A = \mathrm{Spf}(\mathcal{R})$  is small. Then  $\mathfrak{X}_B = \mathrm{Spf}(\mathcal{R} \hat{\otimes}_{A^+} B^+)$ . Note that  $X_{\infty, B} = X_{\infty, A} \times_{\mathrm{Spa}(A, A^+)} \mathrm{Spa}(B, B^+)$ . One can conclude by using Proposition 2.9.  $\square$

**Remark 2.11.** If we put  $\mathbb{A}_{\mathrm{dR}}$  and  $\mathcal{O}\mathbb{A}_{\mathrm{dR}}$  the  $(\xi p^{-1})$ -adic completion of  $\tilde{\mathbb{C}}^{1,+}$  and  $\mathcal{O}\tilde{\mathbb{C}}_{\mathrm{pd}}^{1,+}$  (cf. Remark 2.5), respectively, then the derivation  $d$  on  $\mathcal{O}_{\tilde{\mathfrak{X}}}$  induces a derivation

$$u^{-1}d : \mathcal{O}\mathbb{A}_{\mathrm{dR}} \rightarrow \mathcal{O}\mathbb{A}_{\mathrm{dR}} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \cdot \Omega_{\tilde{\mathfrak{X}}}^1 \{-1\}.$$

The last paragraph in the proof of Proposition 2.9 together with Proposition 2.7 tells us when  $\mathfrak{X} = \mathrm{Spf}(\mathcal{R})$  is small, then the morphism

$$\iota : \mathbb{A}_{\mathrm{dR}|_{X_\infty}} [W_1, \dots, W_d]_{\mathrm{pd}}^\wedge \rightarrow \mathcal{O}\mathbb{A}_{\mathrm{dR}|_{X_\infty}}$$

sending  $W_i$  to  $\frac{u^2}{t} \log \frac{[T_i^p]}{T_i}$  is a well-defined isomorphism and gives the identification  $u^{-1}d = - \sum_{i=1}^d \frac{\partial}{\partial W_i} \otimes \frac{u}{t} d \log T_i$ . One can check directly the sequence

$$0 \rightarrow \mathbb{A}_{\mathrm{dR}} \rightarrow \mathcal{O}\mathbb{A}_{\mathrm{dR}} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \cdot \Omega_{\tilde{\mathfrak{X}}}^1 \{-1\} \rightarrow \dots \rightarrow \mathcal{O}\mathbb{A}_{\mathrm{dR}} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \cdot \Omega_{\tilde{\mathfrak{X}}}^d \{-d\}$$

is exact; that is, the Poincaré's Lemma holds true in this case. This leads to an integral version of  $p$ -adic Riemann–Hilbert correspondence lifting the integral  $p$ -adic Simpson correspondence in [MW23].

**Remark 2.12.** In [CLWZ24, §3], given a lifting  $\tilde{\mathfrak{X}}$  of  $\mathfrak{X}$ , one can construct an overconvergent de Rham period sheaf with connection  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +}, d : \mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +} \rightarrow \mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \Omega_{\tilde{\mathfrak{X}}}^1 \{-1\})$ . One can check  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +}, d)$  can be viewed as a sub- $\mathbb{B}_{\mathrm{dR}}^+$ -algebra of  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}}^+, d)$  compatible with connections. Indeed, let  $(\widehat{\mathcal{O}\mathbb{B}}_{\mathrm{dR}}^+, d)$  be the  $\xi$ -adic completion of the period sheaf with connection  $(\mathcal{O}\tilde{\mathbb{C}}^{1,1}, d)$  in [CLWZ24, Def. 2.29] with the  $d$ -preserving lattice  $\mathcal{O}\tilde{\mathbb{C}}^{1,1,+}$ , and then it clearly contains  $(\mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +}, d)$  as a sub- $\mathbb{B}_{\mathrm{dR}}^+$ -algebra compatible with connections. On the other hand, as  $u$  admits  $\mathrm{pd}$ -powers in  $\mathcal{O}\tilde{\mathbb{C}}^{1,1,+}$ , one can construct a natural map  $\mathcal{O}\tilde{\mathbb{C}}_{\mathrm{pd}}^{1,1,+} \rightarrow \mathcal{O}\tilde{\mathbb{C}}^{1,1,+}$  compatible with connections, yielding a well-defined injection  $\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}}^+ \rightarrow \widehat{\mathcal{O}\mathbb{B}}_{\mathrm{dR}}^+$  compatible with connections by inverting  $p$  and taking  $\xi$ -adic completion. One can conclude by showing that the natural inclusion  $\mathcal{O}\mathbb{B}_{\mathrm{dR}}^{\dagger, +} \hookrightarrow \widehat{\mathcal{O}\mathbb{B}}_{\mathrm{dR}}^+$  factors through  $\mathcal{O}\mathbb{B}_{\mathrm{dR}, \mathrm{pd}}^+$  (by working locally; that is, working with small affine  $\mathfrak{X}$ ).



**Remark 2.13.** The  $(\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}}^{1,+}, d)$  and  $(\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+, d)$  reduce to the  $(\mathcal{O}\hat{\mathbb{C}}_{\text{pd}}^+, \Theta)$  and  $(\mathcal{O}\hat{\mathbb{C}}_{\text{pd}}, \Theta)$  in [MW23] modulo  $(\xi p^{-1})$ . This follows by combining arguments in Remark 2.12 and [CLWZ24, Rem. 3.24], together with the identification in [MW23, Prop. 2.10]. We now check this on  $X_{\infty, \text{proét}}$  for  $\mathfrak{X}$  small affine: Put  $Y_i = \frac{u}{t} \log \frac{[T_i^b]}{T_i}$ , and then the reduction of  $(\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}}^{1,+}, d)$  is given by

$$(\hat{\mathcal{O}}_X^+[(\zeta_p - 1)Y]_{\text{pd}}^\wedge, - \sum_{i=1}^d \frac{\partial}{\partial Y_i} \otimes (\zeta_p - 1) \cdot \frac{1}{t} d\log T_i)$$

with the  $\Gamma$ -action such that

$$\gamma_i(Y_j) = Y_j + \delta_{ij}(\zeta_p - 1), \quad \forall 1 \leq i, j \leq d$$

by noting that the reduction of  $u$  is  $\zeta_p - 1$ . Now, one can conclude by applying [MW23, Cor. 2.6]. One can also check the reduction of  $\mathcal{O}\tilde{\mathbb{C}}_{\text{pd}}^{1,+}$  modulo  $\xi p^{-1}$  behaves as  $\mathcal{B}_{\tilde{\mathfrak{X}}}$  appearing in [AHLB23b, Lem. 3.8] for small affine  $\mathfrak{X}$  (see also [AHLB23a, Prop. 4.8]).

### 3. LOCAL SMALL RIEMANN–HILBERT CORRESPONDENCE

In this section, we fix a  $\text{Spa}(A, A^+) \in \text{Perfd}$  and let  $\mathfrak{X}$  be a liftable smooth formal scheme over  $A^+$  with a fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbf{A}_{\text{inf}} = \mathbf{A}_{\text{inf}}(A, A^+)$ . Let  $(\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+, d)$  be the period sheaf with connection defined in the previous section and denote by  $(\mathcal{O}\hat{\mathbb{C}}_{\text{pd}}, \Theta)$  its reduction modulo  $t$ .

**3.1. A criterion for being Hitchin-small.** Denote by  $v\text{Bun}_r(X)(A)^{\text{H-small}}$  and by  $\text{HIG}_r(X)(A)^{\text{H-small}}$  the category of Hitchin-small  $v$ -vector bundles on  $X_v$  of rank  $r$  and the category of Hitchin-small Higgs bundles on  $X_{\text{ét}}$  of rank  $r$ . Then

$$v\text{Bun}(X)(A)^{\text{H-small}} := \bigcup_{r \geq 1} v\text{Bun}_r(X)(A)^{\text{H-small}} \quad \text{and} \quad \text{HIG}(X)(A)^{\text{H-small}} := \bigcup_{r \geq 1} \text{HIG}_r(X)(A)^{\text{H-small}}$$

are the whole categories of  $v$ -vector bundles and Higgs bundles. Let  $\mathcal{A}_r(A)$  and  $\mathcal{A}_r^{\text{H-small}}(A)$  be the Hitchin base and its Hitchin-small locus associated to  $X$  with respect to the fixed rank  $r$ . Recall a  $v$ -vector bundle  $\mathcal{L}$  (resp. a Higgs bundle  $(\mathcal{H}, \theta)$ ) of rank  $r$  is Hitchin-small if and only if its image  $\tilde{h}(\mathcal{L})$  (resp.  $h(\mathcal{H}, \theta)$ ) in  $\mathcal{A}_r(A)$  belongs to  $\mathcal{A}_r^{\text{H-small}}(A)$ .

We first give a more convenient description of Hitchin-small Higgs bundles in [AHLB23a].

**Proposition 3.1.** *A Higgs bundle  $(\mathcal{H}, \theta)$  on  $X_{\text{ét}}$  is Hitchin-small if and only if étale locally on  $\mathfrak{X}$ , there is a Higgs bundle on  $\mathfrak{X}$ , i.e. a locally finite free  $\mathcal{O}_{\mathfrak{X}}$ -module  $\mathcal{H}^+$  with a Higgs field  $\theta^+ : \mathcal{H}^+ \rightarrow \mathcal{H}^+ \otimes_{\mathcal{O}_{\mathfrak{X}}} \Omega_{\mathfrak{X}}^1\{-1\}$ , such that*

- (1)  $(\mathcal{H}, \theta_{\mathcal{H}}) = (\mathcal{H}^+, \theta^+) \left[ \frac{1}{p} \right]$ , and that
- (2) the  $(\zeta_p - 1)$ -twist of  $\theta^+$  is topologically nilpotent; that is,  $\theta^+$  takes values in  $(\zeta_p - 1)\mathcal{H} \otimes_{\mathcal{O}_{\mathfrak{X}}} \Omega_{\mathfrak{X}}^1\{-1\}$  such that

$$\frac{1}{\zeta_p - 1} \theta^+ : \mathcal{H} \rightarrow \mathcal{H} \otimes_{\mathcal{O}_{\mathfrak{X}}} \Omega_{\mathfrak{X}}^1\{-1\}$$

is ( $p$ -adically) topologically nilpotent.

Indeed, one can always find such an  $(\mathcal{H}^+, \theta^+)$  on any  $\mathfrak{Y} = \text{Spf}(\mathcal{R}) \in \mathfrak{X}_{\text{ét}}$  which is small affine.

*Proof.* Recall one can check Hitchin-smallness of Higgs bundle by passing to geometric points in the sense of [AHLB23b, Def. 3.2]. Compared with [AHLB23a, Def. 6.24(2)], the result follows from [AHLB23a, Th. 7.13(3)]. Indeed, one can find the desired lattice  $(\mathcal{H}^+, \theta^+)$  on any affine  $\mathfrak{Y} = \text{Spf}(\mathcal{R}) \in \mathfrak{X}_{\text{ét}}$  splitting the Hodge–Tate gerbe  $\mathfrak{Y}^{\text{HT}}$  (cf. [AHLB23a, Th. 7.11(3)]). Note that the Hodge–Tate gerbe  $\mathfrak{Y}$  always splits when  $\mathfrak{Y}$  is affine small by [BL22b, Prop. 5.12].  $\square$

We also want to give an explicit description of Hitchin-small  $v$ -vector bundles. Let  $(\mathcal{B}, \Theta_{\mathcal{B}})$  be the sheaf with Higgs field in [AHLB23a, Def. 7.17]. Recall the following constructions in *loc.cit.*: For any  $(\mathcal{H}, \theta) \in \text{HIG}(X)(A)^{\text{H-small}}$ , define

$$(3.1) \quad \Theta'_{\mathcal{H}} := \theta \otimes \text{id} + \text{id} \otimes \Theta_{\mathcal{B}} : \mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{B} \rightarrow \mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{B} \otimes_{\mathcal{O}_{\mathfrak{X}}} \Omega_{\mathfrak{X}}^1\{-1\}$$

and define

$$\mathcal{L}(\mathcal{H}, \theta_{\mathcal{H}}) := (\mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{B})^{\Theta'_{\mathcal{H}}=0}.$$

Then we have the following result:

**Theorem 3.2** ([AHLB23b, Th. 3.20], [AHLB23a, Th. 7.13(1)]). *The functor  $(\mathcal{H}, \theta_{\mathcal{H}}) \mapsto \mathcal{L}(\mathcal{H}, \theta_{\mathcal{H}})$  above induces an equivalence between the categories*

$$v\text{Bun}(X)(A)^{\text{H-small}} \simeq \text{HIG}(X)(A)^{\text{H-small}}$$

*which is compatible with cohomology in the sense that we have a quasi-isomorphism*

$$\text{R}\nu_*(\mathcal{L}(\mathcal{H}, \theta_{\mathcal{H}})) \simeq \text{HIG}(\mathcal{H}, \theta_{\mathcal{H}}).$$

**Corollary 3.3.** *Assume  $\mathfrak{X}$  is small affine. For any  $(\mathcal{H}, \theta) \in \text{HIG}(X)^{\text{H-small}}(A)$ , define*

$$\Theta_{\mathcal{H}} := \theta \otimes \text{id} + \text{id} \otimes \Theta : \mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{O}\widehat{\mathbb{C}}_{\text{pd}} \rightarrow \mathcal{H} \otimes_{\mathcal{O}_{\mathfrak{X}}} \mathcal{O}\widehat{\mathbb{C}}_{\text{pd}} \otimes_{\mathcal{O}_{\mathfrak{X}}} \Omega_{\mathfrak{X}}^1\{-1\}.$$

*Then  $\mathcal{L}(\mathcal{H}, \theta_{\mathcal{H}}) = (\mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{O}\widehat{\mathbb{C}}_{\text{pd}})^{\Theta_{\mathcal{H}}=0}$ . In particular, the functor*

$$\text{HIG}(X)(A)^{\text{H-small}} \rightarrow v\text{Bun}(X)(A)^{\text{H-small}}, \quad (\mathcal{H}, \theta) \mapsto (\mathcal{H} \otimes_{\mathcal{O}_X} \mathcal{O}\widehat{\mathbb{C}}_{\text{pd}})^{\Theta_{\mathcal{H}}=0}$$

*is an equivalence of categories which is compatible with cohomology.*

*Proof.* Recall we have an identification  $(\mathcal{O}\widehat{\mathbb{C}}_{\text{pd}}, \Theta)|_{X_{\infty}} = (\mathcal{B}, \Theta_{\mathcal{B}})|_{X_{\infty}}$  by Remark 2.13. The result follows from Theorem 3.2 directly.  $\square$

Now, let  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  be small affine with the generic fiber  $\text{Spa}(R, R^+)$  and write  $\Omega_{\mathfrak{X}}^1\{-1\} = \bigoplus_{i=1}^d \mathcal{R} \cdot (\zeta_p - 1)^{\frac{d \log T_i}{t}}$ . Define

$$(B_{\infty}^+, \Theta) := (\mathcal{O}\widehat{\mathbb{C}}_{\text{pd}}^+, \Theta)(X_{\infty})$$

and then we have

$$(3.2) \quad (B_{\infty}^+, \Theta) = (\widehat{R}_{\infty}^+[\underline{W}]_{\text{pd}}^{\wedge}, - \sum_{i=1}^d (\zeta_p - 1) \frac{\partial}{\partial W_i} \otimes (\zeta_p - 1) \cdot \frac{1}{t} d \log T_i)$$

by Proposition 2.9 such that  $\Gamma = \bigoplus_{i=1}^d \mathbb{Z}_p \gamma_i$  acts on  $B_{\infty}^+$  via

$$\gamma_i(W_j) = W_j + \delta_{ij}(\zeta_p - 1)^2, \quad \forall 1 \leq i, j \leq d.$$

Set  $B^+ = R^+[\underline{W}]_{\text{pd}}^{\wedge}$ , and then it is  $\Theta$ -preserving and stable under the action of  $\Gamma$ .

Now let  $(H, \theta)$  be the global section of a Hitchin-small Higgs bundle on  $X = \text{Spa}(R, R^+)$ , and

$$(H^+, \theta^+ : H^+ \rightarrow H^+ \cdot (\zeta_p - 1)^{\frac{d \log T_i}{t}})$$

be the lattice of  $(H, \theta)$  satisfying the condition in Proposition 3.1. Then one can write

$$\theta^+ = \sum_{i=1}^d (\zeta_p - 1) \theta_i \otimes (\zeta_p - 1)^{\frac{d \log T_i}{t}}$$

with  $\theta_i \in \text{End}_{R^+}(H^+)$  topologically nilpotent. Put

$$\Theta_H^+ := \theta^+ \otimes \text{id} + \text{id} \otimes \Theta : H^+ \otimes_{\mathcal{R}} B_{\infty}^+ \rightarrow H^+ \otimes_{\mathcal{R}} B_{\infty}^+ \otimes_{\mathcal{R}} \Omega_{\mathcal{R}}^1\{-1\}$$

and then  $H^+ \otimes_{\mathcal{R}} B_{\infty}^+$  is  $\Theta_H^+$ -preserving. Put

$$L^+(H^+, \theta^+) := (H^+ \otimes_{\mathcal{R}} B^+)^{\Theta_H^+=0} \text{ and } L_{\infty}^+(H^+, \theta^+) := (H^+ \otimes_{\mathcal{R}} B_{\infty}^+)^{\Theta_H^+=0}.$$

Then  $L^+(H^+, \theta^+)$  and  $L_{\infty}^+(H^+, \theta^+)$  inherit the  $\Gamma$ -actions from  $B_{\infty}^+$  satisfying

$$L_{\infty}^+(H^+, \theta^+) = L^+(H^+, \theta^+) \otimes_{\mathcal{R}} \widehat{R}_{\infty}^+.$$

**Lemma 3.4.** *The  $L^+ := L^+(H^+, \theta^+)$  is a finite projective  $R^+$ -module which is isomorphic to  $H^+$  such that for any  $x \in L^+$  and any  $1 \leq i \leq d$ , we have*

$$(3.3) \quad L^+ = \exp\left(\sum_{i=1}^d \theta_i W_i\right)(H^+) = \{x \in H^+ \otimes_{\mathcal{R}} B^+ \mid x = \sum_{J \in \mathbb{N}^d} \theta^J(y) \underline{W}^{[J]}, y \in H^+\}$$

$$\gamma_i(x) = \exp(-\theta_i(\zeta_p - 1)^2)(x).$$

*Proof.* Let  $x = \sum_{J \in \mathbb{N}^d} x_J \underline{W}^{[J]}$  be an element in  $H^+ \otimes_{\mathcal{R}} B^+ \cong H^+[\underline{W}]_{\text{pd}}^\wedge$ . Then we have

$$\begin{aligned} \Theta_H^+(x) &= \sum_{i=1}^d \sum_{J \in \mathbb{N}^d} ((\zeta_p - 1)\theta_i(x_J)\underline{W}^{[J]} - (\zeta_p - 1)x_J \underline{W}^{[J-E_i]}) \otimes (\zeta_p - 1) \frac{\text{dlog} T_i}{t} \\ &= (\zeta_p - 1) \sum_{i=1}^d \sum_{J \in \mathbb{N}^d} (\theta_i(x_J) - x_{J+E_i}) \underline{W}^{[J]} \otimes (\zeta_p - 1) \frac{\text{dlog} T_i}{t}. \end{aligned}$$

Thus,  $x \in L^+$  if and only if for any  $J \in \mathbb{N}^d$  and any  $1 \leq i \leq d$ ,  $x_{J+E_i} = \theta_i(x_J)$ . Using this, by iteration, we see that  $x \in L^+$  if and only if

$$x = \sum_{J \in \mathbb{N}^d} \underline{\theta}^J(x_0) \underline{W}^{[J]} = \exp\left(\sum_{i=1}^d \theta_i W_i\right)(x).$$

So we have

$$L^+ = \exp\left(\sum_{i=1}^d \theta_i W_i\right)(H^+) \cong H^+$$

and the description of  $\Gamma$  on  $L^+$  follows as  $\gamma_i(W_j) = W_j + \delta_{ij}(\zeta_p - 1)^2$  for any  $1 \leq i, j \leq d$ .  $\square$

Note that  $L_\infty(H, \theta) := L_\infty^+(H^+, \theta^+)$  is exactly  $\mathcal{L}(\mathcal{H}, \theta)(X_\infty)$ . Thus, if  $\mathcal{L} \in v\text{Bun}(X)^{\text{H-small}}(A)$ , then there must be a finite projective  $\mathcal{R}$ -module  $H^+$  endowed with an action of  $\Gamma$  such that

$$\mathcal{L}(X_\infty) \cong H^+ \otimes_{\mathcal{R}} \widehat{R}_\infty$$

and that for any  $1 \leq i \leq d$ , there exists a topologically nilpotent  $\theta_i \in \text{End}_{\mathcal{R}}(H^+)$  such that for any  $x \in H^+$ , we have  $\gamma_i(x) = \exp(-\theta_i(\zeta_p - 1)^2)(x)$ . We will show this condition is also sufficient. The arguments in what follows are closely related with [AHLB23b, §3] and [MW23, §3 and Th. 4.1].

**Definition 3.5.** Let  $C \in \{\mathcal{R} = R^+, R, \widehat{R}_\infty^+, \widehat{R}_\infty\}$

- (1) By an *Hitchin-small representation* of  $\Gamma$  over  $\mathcal{R}$  of rank  $r$ , we mean a finite projective  $\mathcal{R}$ -module  $L^+$  endowed with an action of  $\Gamma$  such that for any  $1 \leq i \leq d$ , there exists a topologically nilpotent  $\theta_i \in \text{End}_{\mathcal{R}}(L^+)$  such that for any  $x \in L^+$ , we have  $\gamma_i(x) = \exp(-\theta_i(\zeta_p - 1)^2)(x)$ . Denote by  $\text{Rep}_\Gamma^{\text{H-small}}(\mathcal{R})$  the category of Hitchin-small representations of  $\Gamma$  over  $\mathcal{R}$ .
- (2) In general, by an *Hitchin-small representation* of  $\Gamma$  over  $C$  of rank  $r$ , we mean a finite projective  $C$ -module  $L$  endowed with an action of  $\Gamma$  such that there exists some  $L^+ \in \text{Rep}_\Gamma^{\text{H-small}}(\mathcal{R})$  such that

$$L \cong L^+ \otimes_{\mathcal{R}} C.$$

Denote by  $\text{Rep}_\Gamma^{\text{H-small}}(C)$  the category of Hitchin-small representations of  $\Gamma$  over  $C$ .

- (3) By a *Hitchin-small Higgs module* over  $\mathcal{R}$  of rank  $r$ , we mean a pair

$$(H^+, \theta^+ : H^+ \rightarrow H^+ \otimes_{\mathcal{R}} \Omega_{\mathcal{R}}^1\{-1\} = \oplus_{i=1}^d H^+ \cdot (\zeta_p - 1) \frac{\text{dlog} T_i}{t})$$

satisfying  $\theta^+ \wedge \theta^+ = 0$  such that  $H^+$  is a finite projective  $\mathcal{R}$ -module of rank  $r$  and  $\theta = \sum_{i=1}^d (\zeta_p - 1)\theta_i \otimes (\zeta_p - 1) \frac{\text{dlog} T_i}{t}$  with  $\theta_i \in \text{End}_{\mathcal{R}}(H^+)$  topologically nilpotent. Denote by  $\text{HIG}^{\text{H-small}}(\mathcal{R})$  the category of Hitchin-small Higgs module over  $\mathcal{R}$ . A Higgs module  $(H, \theta)$  over  $R$  of rank  $r$  is called *Hitchin-small* if it is of the form  $(H, \theta) = (H^+, \theta^+)[\frac{1}{p}]$  for some  $(H^+, \theta^+) \in \text{HIG}^{\text{H-small}}(\mathcal{R})$  of rank  $r$ . Denote by  $\text{HIG}^{\text{H-small}}(R)$  the category of Hitchin-small Higgs module over  $R$ .

The following lemma is obvious.

**Lemma 3.6.** *The base-change  $L^+ \mapsto L_\infty^+ := L^+ \otimes_{\mathcal{R}} \widehat{R}_\infty^+$  induces an equivalence of categories*

$$\text{Rep}_\Gamma^{\text{H-small}}(\mathcal{R}) \simeq \text{Rep}_\Gamma^{\text{H-small}}(\widehat{R}_\infty^+)$$

*such that the natural map*

$$\text{R}\Gamma(\Gamma, L^+) \rightarrow \text{R}\Gamma(\Gamma, L_\infty^+)$$

*identifies the former with a direct summand of the latter whose complement is concentrated in degree  $\geq 1$  and killed by  $\zeta_p - 1$ .*

*Proof.* To get the desired equivalence, we need to show the base-change functor is fully faithful. Note that  $L_\infty$  admits a  $\Gamma$ -equivariant decomposition:

$$(3.4) \quad L_\infty = \widehat{\bigoplus}_{\underline{\alpha}=(\alpha_1, \dots, \alpha_d) \in (\mathbb{N} \cap [0,1))^d} L^+ \cdot \underline{T}^\alpha,$$

where  $T^\alpha = T_1^{\alpha_1} \dots T_d^{\alpha_d}$ . Then the desired full faithfulness and the whole lemma will follow from that for any  $\underline{\alpha} \neq 0$ ,

$$\mathrm{R}\Gamma(\Gamma, L^+ \cdot \underline{T}^\alpha)$$

is concentrated in degree  $\geq 1$  and killed by  $\zeta_p - 1$ . To do so, without loss of generality, we may assume  $\alpha_1 \neq 0$ . Then  $\gamma_1 - 1$  acts on  $L^+ \cdot \underline{T}^\alpha$  via

$$\zeta^\alpha \exp(-\theta_1(\zeta_p - 1)^2) - 1 = (\zeta^\alpha - 1) \left( 1 + \zeta^\alpha \sum_{n \geq 1} \frac{(1 - \zeta_p)^n}{n!} \frac{(\zeta_p - 1)^n}{\zeta^\alpha - 1} \theta_1^n \right)$$

with  $\theta_1$  topologically nilpotent. As  $1 + \zeta^\alpha \sum_{n \geq 1} \frac{(1 - \zeta_p)^n}{n!} \frac{(\zeta_p - 1)^n}{\zeta^\alpha - 1} \theta_1^n$  is invertible, we see that  $H^i(\Gamma, L^+ \cdot \underline{T}^\alpha)$  is killed by  $\zeta_p - 1$  for any  $i$  and vanishes for  $i = 0$ . Now one can conclude by using Hochschild–Serre spectral sequence.  $\square$

We also need the following lemma.

**Lemma 3.7.** *For any  $L^+ \in \mathrm{Rep}_\Gamma^{\mathrm{H-small}}(\mathcal{R})$  with  $L_\infty^+ = L^+ \otimes_{\mathcal{R}} \widehat{R}_\infty^+$ , the natural inclusion*

$$\mathrm{R}\Gamma(\Gamma, L^+ \otimes_{\mathcal{R}} B^+) \rightarrow \mathrm{R}\Gamma(\Gamma, L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)$$

*identifies the former with a direct summand of the latter whose complement is concentrated in degree  $\geq 1$  and killed by  $\zeta_p - 1$ . Moreover, let  $\theta_i \in \mathrm{End}_{\mathcal{R}}(L^+)$  be as in Definition 3.5(1), we have*

$$(3.5) \quad \begin{aligned} H^+(L^+) &:= H^0(\Gamma, L \otimes_{\mathcal{R}} B^+) = \exp\left(\sum_{i=1}^d \theta_i W_i\right)(L^+) \\ &:= \{x \in L^+ \otimes_{\mathcal{R}} B^+ \mid x = \sum_{J \in \mathbb{N}^d} \underline{\theta}^J(y) \underline{W}^{[J]}, y \in L^+\}. \end{aligned}$$

*with a natural isomorphism*

$$(3.6) \quad H^+(L^+) \otimes_{\mathcal{R}} B^+ \xrightarrow{\cong} L^+ \otimes_{\mathcal{R}} B^+,$$

*and that  $H^n(\Gamma, L^+ \otimes_{\mathcal{R}} B^+)$  is killed by  $(\zeta_p - 1)^2$  for any  $n \geq 1$ .*

*Proof.* The decomposition (3.4) induces a  $\Gamma$ -equivariant decomposition

$$L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+ = \widehat{\bigoplus}_{\underline{\alpha}=(\alpha_1, \dots, \alpha_d) \in (\mathbb{N} \cap [0,1))^d} (L^+ \otimes_{\mathcal{R}} B^+) \cdot \underline{T}^\alpha.$$

For the first claim, it suffices to show that for any  $\underline{\alpha} \neq 0$ ,

$$\mathrm{R}\Gamma(\Gamma, (L^+ \otimes_{\mathcal{R}} B^+) \cdot \underline{T}^\alpha)$$

is concentrated in degree  $\geq 1$  and killed by  $(\zeta_p - 1)^2$ . But this follows from [MW23, Prop. 3.17(1)] by using Hochschild–Serre spectral sequence as in the proof of Lemma 3.6. For the “moreover” part follows from [MW23, Prop. 3.17(2)] directly.  $\square$

Now, we are going to prove the following Simpson correspondence, which can be viewed as an analogue of Theorem 3.2 on the integral level.

**Proposition 3.8.** (1) *For any  $L_\infty^+ \in \mathrm{Rep}_\Gamma^{\mathrm{H-small}}(\widehat{R}_\infty^+)$  of rank  $r$ , we have*

$$H^i(\Gamma, L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+) = \begin{cases} H^+(L_\infty^+), & i = 0 \\ (\zeta_p - 1)^2\text{-torsion}, & i \geq 1, \end{cases}$$

*where  $H^+(L_\infty^+)$  is a finite projective  $\mathcal{R}$ -module of rank  $r$ . The restriction of*

$$\Theta_{L_\infty^+}^+ : L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+ \rightarrow L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+ \otimes_{\mathcal{R}} \Omega_{\mathcal{R}}^1\{-1\}$$

*to  $H^+(L_\infty^+)$  defines a Higgs field  $\theta^+$  on  $H^+(L_\infty^+)$  making  $(H^+(L_\infty^+), \theta^+) \in \mathrm{HIG}^{\mathrm{H-small}}(\mathcal{R})$ .*

(2) *For any  $(H^+, \theta^+) \in \mathrm{HIG}^{\mathrm{H-small}}(\mathcal{R})$  of rank  $r$ , put*

$$\Theta_H^+ := \theta^+ \otimes \mathrm{id} + \mathrm{id} \otimes \Theta : H^+ \otimes_{\mathcal{R}} B_\infty^+ \rightarrow H^+ \otimes_{\mathcal{R}} B_\infty^+ \otimes_{\mathcal{R}} \Omega_{\mathcal{R}}^1\{-1\}.$$

Then  $L_\infty^+(H^+, \theta^+) := (H^+ \otimes_{\mathcal{R}} B_\infty^+)^{\Theta_H^+ = 0}$  equipped with the induced  $\Gamma$ -action is a well-defined object in  $\text{Rep}_\Gamma^{\text{H-small}}(\widehat{R}_\infty^+)$ .

(3) The functors  $L_\infty^+ \mapsto (H^+(L_\infty^+), \theta^+)$  and  $(H^+, \theta^+) \mapsto L_\infty^+(H^+, \theta^+)$  induces an equivalence of categories

$$\text{Rep}_\Gamma^{\text{H-small}}(\widehat{R}_\infty^+) \simeq \text{HIG}^{\text{H-small}}(\mathcal{R})$$

which preserves ranks, tensor products and dualities. Moreover, for any  $L_\infty^+ \in \text{Rep}_\Gamma^{\text{H-small}}(\widehat{R}_\infty^+)$  with corresponding  $(H^+, \theta^+) \in \text{HIG}^{\text{H-small}}(\mathcal{R})$ , we have a natural isomorphism

$$(3.7) \quad (L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+, \Theta_{L_\infty^+}^+) \cong (H^+ \otimes_{\mathcal{R}} B_\infty^+, \Theta_H^+)$$

compatible with Higgs fields, and a quasi-isomorphism

$$\text{R}\Gamma(\Gamma, L_\infty) \simeq \text{HIG}(H, \theta)$$

where  $L_\infty = L_\infty^+[\frac{1}{p}]$  and  $(H, \theta) = (H^+, \theta^+)[\frac{1}{p}]$ .

*Proof.* For Item (1): Let  $L^+ \in \text{Rep}_\Gamma^{\text{H-small}}(\mathcal{R})$  be the representation corresponding to  $L_\infty^+$  in the sense of Lemma 3.6. Let  $\theta_i \in \text{End}_{\mathcal{R}}(L^+)$  be topologically nilpotent as in Definition 3.5(1). By Lemma 3.7, we have

$$H^+(L^+) = H^+(L^+) = \exp\left(\sum_{i=1}^d \theta_i W_i\right)(L^+)$$

is finite projective of rank  $r$  and  $\theta^+$  is the restriction of  $\Theta_L^+$  to  $H^+(L^+)$ . It then follows from (3.2) that

$$\theta^+ = \sum_{i=1}^d -(\zeta_p - 1)\theta_i \otimes (\zeta_p - 1) \frac{d\log T_i}{t}.$$

So  $(H^+(L^+), \theta^+)$  is a well-defined object in  $\text{HIG}^{\text{H-small}}(\mathcal{R})$ .

Item (2) follows from Lemma 3.4.

For Item (3): Granting we have already obtained the desired equivalence, then (3.7) follows from (3.6). Note that Item (1) implies  $H^i(\Gamma, L_\infty \otimes_{\widehat{R}_\infty} B_\infty) = 0$  for any  $i \geq 1$ . As the Higgs complex  $\text{HIG}(B_\infty, \Theta)$  is a resolution of  $\widehat{R}_\infty$  by Theorem 2.4, we then have quasi-isomorphisms

$$\text{R}\Gamma(\Gamma, L_\infty) \simeq \text{R}\Gamma(\Gamma, \text{HIG}(L_\infty \otimes_{\widehat{R}_\infty} B_\infty, \Theta_{L_\infty})) \simeq \text{HIG}(H, \theta_H)$$

as desired. It remains to establish the desired equivalence.

For any  $L_\infty^+ \in \text{Rep}_\Gamma^{\text{H-small}}(\widehat{R}_\infty^+)$ , put  $(H^+, \theta^+) = (H^+(L_\infty^+), \theta^+)$ . Then we have a canonical morphism

$$\iota_{L_\infty^+} : L_\infty(H^+, \theta^+) \rightarrow L_\infty$$

compatible with  $\Gamma$ -actions defined by the composites

$$\begin{aligned} L_\infty(H^+, \theta^+) &= (H^+ \otimes_{\mathcal{R}} B_\infty^+)^{\Theta_H^+ := \theta^+ \otimes \text{id} + \text{id} \otimes \Theta = 0} \\ &\xrightarrow{=} ((L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\Gamma} \otimes_{\mathcal{R}} B_\infty^+)^{\Theta_L^+ \otimes \text{id} + (\text{id} \otimes \text{id}) \otimes \Theta = 0} \\ &\hookrightarrow (L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\text{id} \otimes \Theta \otimes \text{id} + \text{id} \otimes \text{id} \otimes \Theta = 0} \\ &\rightarrow (L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\text{id} \otimes \Theta = 0} \\ &= L_\infty. \end{aligned}$$

Here, the last but second arrow is induced by the multiplication on  $B_\infty$ .

For any  $(H^+, \theta^+) \in \text{HIG}^{\text{H-small}}(\mathcal{R})$ , put  $L_\infty^+ = L_\infty^+(H^+, \theta^+)$ . Then we have a canonical morphism

$$\iota_{(H^+, \theta^+)} : H^+(L_\infty^+) \rightarrow H^+$$



compatible with Higgs fields defined by the composites

$$\begin{aligned}
H^+(L_\infty^+) &= (L_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\Gamma} \\
&\xrightarrow{=} ((H^+ \otimes_{\mathcal{R}} B_\infty^+)^{\Theta_H^+=0} \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\Gamma} \\
&\hookrightarrow (H^+ \otimes_{\mathcal{R}} B_\infty^+ \otimes_{\widehat{R}_\infty^+} B_\infty^+)^{\Gamma} \\
&\rightarrow (H \otimes_{\mathcal{R}} B_\infty^+)^{\Gamma} \\
&= H^+.
\end{aligned}$$

Here the last but second arrow is again induced by the multiplication on  $B_\infty$  while the last follows as  $(B_\infty^+)^{\Gamma} = (B^+)^{\Gamma} = \mathcal{R}$  by Lemma 3.7. To conclude, it is enough to show both  $\iota_{L_\infty^+}$  and  $\iota_{(H^+, \theta^+)}$  are isomorphism. But this follows from the explicit description (3.3) and (3.5) immediately.  $\square$

Now, we are able to give a criterion for being Hitchin-small  $v$ -vector bundles.

**Proposition 3.9.** *Let  $\mathfrak{X}$  be a liftable smooth formal scheme (not necessarily small affine) over  $A^+$  with the fixed lifting  $\widetilde{\mathfrak{X}}$  as before. Then an  $v$ -vector bundle  $\mathcal{L}$  on  $X_v$  is Hitchin-small if and only if there exists an étale covering  $\{\mathfrak{X}_i \rightarrow \mathfrak{X}\}_{i \in I}$  by affine small  $\mathfrak{X}_i = \mathrm{Spf}(\mathcal{R}_i)$  with the corresponding  $X_{i,\infty} = \mathrm{Spa}(\widehat{R}_\infty^+, \widehat{R}_{i,\infty}^+)$  such that  $\mathcal{L}(X_{i,\infty}) \in \mathrm{Rep}_\Gamma^{\mathrm{H-small}}(\widehat{R}_{i,\infty}^+)$  for all  $i$ .*

*Proof.* Recall for each affine small  $\mathfrak{X}_i = \mathrm{Spf}(\mathcal{R}_i)$  with the generic fiber  $X_i = \mathrm{Spa}(R_i, R_i^+ = \mathcal{R}_i)$ , taking global sections induces an equivalence of categories

$$\mathrm{HIG}^{\mathrm{H-small}}(X_i)(A) \simeq \mathrm{HIG}^{\mathrm{H-small}}(R_i).$$

The result then follows from Corollary 3.3 and Proposition 3.8 immediately.  $\square$

**Remark 3.10.** Thanks to existence of geometric Sen operator of Rodriguez-Carmago [RC22] (and of Heuer and Xu [HX24, Th. 3.2.1] in our setting), one can give another criterion for being a Hitchin-small  $v$ -vector bundle  $\mathcal{L}$  in terms of its geometric Sen operator  $\phi_{\mathcal{L}}$ , just like Proposition 3.1. However, this definition is not good enough for in our setting as up to now, there is no “geometric Sen operator” for general  $\mathbb{B}_{\mathrm{dR},n}^+$ -local systems.

**3.2. Local small Riemann–Hilbert correspondence.** In this part, we always assume  $\mathfrak{X} = \mathrm{Spf}(\mathcal{R})$  is small affine with the chart  $\psi$  over  $A^+$  with the given lifting  $\mathrm{Spf}(\widetilde{\mathcal{R}})$  over  $\mathbb{A}_{\mathrm{inf}}(A, A^+)$ . Let  $X = \mathrm{Spa}(R, R^+)$  be the generic fiber of  $\mathfrak{X}$  and  $\widetilde{R}$  be the induced lifting of  $R$  over  $\mathbb{B}_{\mathrm{dR}}^+(A, A^+)$ . Then the lifting (cf. the paragraph above Lemma 2.6)

$$\widetilde{\psi} : \mathbb{A}_{\mathrm{inf}}(A, A^+) \langle \underline{T}^{\pm 1} \rangle \rightarrow \widetilde{\mathcal{R}}$$

induces a unique morphism

$$\widetilde{\psi}_{\mathrm{dR}} : \widetilde{R} \rightarrow \mathbb{B}_{\mathrm{dR}}^+(X_\infty).$$

Put  $\widetilde{\mathbf{B}}_{\psi,\infty} = \mathbb{B}_{\mathrm{dR}}^+(X_\infty)$  and then it is equipped with a  $\Gamma$ -action such that for any  $1 \leq i, j \leq d$ ,

$$\gamma_i([T_j^{\flat}]) = [\epsilon]^{\delta_{ij}} [T_j^{\flat}].$$

The  $\widetilde{\psi}_{\mathrm{dR}}$  identifies  $\widetilde{R}$  with a  $\Gamma$ -stable sub- $\mathbb{B}_{\mathrm{dR}}^+(A, A^+)$ -algebra, denoted by  $\widetilde{\mathbf{B}}_\psi$ , such that  $\widetilde{\mathbf{B}}_{\psi,\infty}$  admits a  $\Gamma$ -equivariant decomposition

$$\widetilde{\mathbf{B}}_{\psi,\infty} = \widehat{\bigoplus}_{\underline{\alpha}=(\alpha_1, \dots, \alpha_d) \in (\mathbb{N}[1/p] \cap [0,1))^d} \widetilde{\mathbf{B}}_\psi \cdot [T_1^{\flat \alpha_1}] \cdots [T_d^{\flat \alpha_d}].$$

Clearly,  $\widetilde{\mathbf{B}}_{\psi,\infty}/t = \widehat{R}_\infty$  and  $\widetilde{\mathbf{B}}_\psi/t = R$ . For simplicity, in what follows, we put

$$\widetilde{\mathbf{B}}_{\psi,\infty}/t^\infty := \widetilde{\mathbf{B}}_{\psi,\infty}, \widetilde{\mathbf{B}}_\psi/t^\infty = \widetilde{\mathbf{B}}_\psi \text{ and } \widetilde{R}/t^\infty = \widetilde{R}.$$

**Definition 3.11.** Fix an  $1 \leq n \leq \infty$ .

- (1) Let  $C \in \{\widetilde{\mathbf{B}}_{\psi,\infty}/t^n, \widetilde{\mathbf{B}}_\psi/t^n\}$ . By an *Hitchin-small representation* of  $\Gamma$  over  $C$  of rank  $r$ , we mean a finite projective  $C$ -module  $L$  endowed with an action of  $\Gamma$  such that its reduction  $L/t \in \mathrm{Rep}_\Gamma^{\mathrm{H-small}}(C/t)$ . Denote by  $\mathrm{Rep}_\Gamma^{\mathrm{H-small}}(C)$  the category of Hitchin-small representation of  $\Gamma$  over  $C$ .

(2) By a *integrable connection* over  $\tilde{R}/t^n$  of rank  $r$ , we mean a pair

$$(D, \nabla : D \rightarrow D \otimes_{\tilde{R}} \Omega_{\tilde{R}}^1\{-1\})$$

satisfying  $\nabla \wedge \nabla = 0$  such that  $D$  is a finite projective  $\tilde{R}/t^n$ -module of rank  $r$  and  $\nabla$  satisfies the Leibniz rule with respect to the derivation  $d : \tilde{R} \rightarrow \Omega_{\tilde{R}}^1\{-1\}$ . An integrable connection  $(D, \nabla)$  is called *Hitchin-small* if its reduction  $(D, \nabla)/t \in \text{HIG}^{\text{H-small}}(R)$  modulo  $t$ . Denote by  $\text{MIC}^{\text{H-small}}(\tilde{R}/t^n)$  the category of Hitchin-small integrable connection over  $\tilde{R}/t^n$ . For an integrable connection  $(D, \nabla)$ , denoted by

$$\text{DR}(D, \nabla) := [D \xrightarrow{\nabla} D \otimes_{\tilde{R}} u\Omega_{\tilde{R}}^1\{-1\} \rightarrow \cdots \rightarrow D \otimes_{\tilde{R}} u^d\Omega_{\tilde{R}}^d\{-d\}]$$

the induced de Rham complex and put

$$\text{H}_{\text{dR}}^n(D, \nabla) := \text{H}^n(\text{DR}(D, \nabla)).$$

Recall we have deduce the following isomorphism from Proposition 2.9:

$$(\mathcal{O}_{\text{dR}, \text{pd}}^+, d)(X_\infty) = (\tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}} = \tilde{\mathbf{B}}_{\psi, \infty} \langle W_1, \dots, W_d \rangle_{\text{pd}}, \sum_{i=1}^d -u \frac{\partial}{\partial W_i} \otimes \frac{u}{t} d \log T_i)$$

such that

$$\gamma_i(W_j) = W_j + \delta_{ij}u^2, \quad \forall 1 \leq i, j \leq d.$$

Now, we can give the local Riemann–Hilbert correspondence for Hitchin-small representations of  $\Gamma$  over  $\tilde{\mathbf{B}}_{\psi, \infty}/t^n$  and Hitchin-small integrable connections over  $\tilde{R}/t^n$ .

**Proposition 3.12.** *Fix  $1 \leq n \leq \infty$ .*

(1) *For any  $L_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n)$  of rank  $r$ , we have*

$$\text{H}^n(\Gamma, L_\infty \otimes_{\tilde{\mathbf{B}}_{\psi, \infty}} \tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}}) = \begin{cases} D(M_\infty), & n = 0 \\ 0, & n \geq 1, \end{cases}$$

where  $D(L_\infty)$  is a finite free  $\tilde{R}/t^n$ -module of rank  $r$ . Moreover, the restriction of  $d$  to  $D(L_\infty)$  induces a flat connection  $\nabla$  on  $D(L_\infty)$  making it an object in  $\text{MIC}^{\text{H-small}}(\tilde{R}/t^n)$ .

(2) *For any  $(D, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t^n)$  of rank  $r$ , define*

$$\nabla_D = \nabla \otimes \text{id} + \text{id} \otimes \nabla : D \otimes_{\tilde{R}} \tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}} \rightarrow D \otimes_{\tilde{R}} \tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}} \otimes_{\tilde{R}} \Omega_{\tilde{R}}^1\{-1\}.$$

Then it satisfies  $\nabla_D \wedge \nabla_D = 0$  and we have

$$\text{H}_{\text{dR}}^n(D \otimes_{\tilde{R}} \tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}}, \nabla_D) = \begin{cases} L_\infty(D, \nabla), & n = 0 \\ 0, & n \geq 1, \end{cases}$$

where  $L_\infty(D, \nabla)$  is a finite free  $\tilde{\mathbf{B}}_{\psi, \infty}/t^n$ -module of rank  $r$ . Moreover, the  $\Gamma$ -action on  $\tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}}$  induces a  $\Gamma$ -action on  $L_\infty(D, \nabla)$  making it an object in  $\text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n)$ .

(3) *The functors  $L_\infty \mapsto (D(L_\infty), \nabla)$  and  $(D, \nabla) \mapsto L_\infty(D, \nabla)$  induce an equivalence of categories*

$$\text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n) \simeq \text{MIC}^{\text{H-small}}(\tilde{R}/t^n)$$

preserving ranks, tensor products and dualities. Moreover for any  $L_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n)$  corresponding to  $(D, \nabla)$ , we have a quasi-isomorphism

$$\text{R}\Gamma(\Gamma, L_\infty) \simeq \text{DR}(D, \nabla).$$

Before proving Proposition 3.12, we first recall some well-known lemmas.

**Lemma 3.13.** *Let  $B$  be a ring with a non-zero divisor  $t$  and  $B_m := B/t^m$  for any  $m \geq 1$ .*

(1) *Let  $M$  be a  $B_m$ -module. If  $M/tM$  is a finite free  $B_1$ -module of rank  $r$  and for any  $0 \leq n \leq m-1$ , the multiplication by  $t^n$  induces an isomorphism of  $B_1$ -modules*

$$M/tM \xrightarrow{\cong} t^n M/t^{n+1}M,$$

then  $M$  is a finite free  $B_m$ -module of rank  $r$ .

- (2) *Assum moreover  $B$  is  $t$ -adically complete. Let  $M$  be a  $B$ -module such that  $M/tM$  is a finite free  $B_1$ -module of rank  $r$  and for any  $n \geq 0$ , the multiplication by  $t^n$  induces an isomorphism of  $B_1$ -modules*

$$M/tM \xrightarrow{\cong} t^n M/t^{n+1}M,$$

*then  $M$  is a finite free  $B$ -module of rank  $r$ .*

*Proof.* The Item (2) is a consequence of Item (1) together with [MT20, Lem. 1.9]. So it is enough to prove Item (1).

Let  $e_1, \dots, e_r$  be elements in  $M$  whose reductions modulo  $t$  induces an  $B_1$ -basis of  $M/tM$ . We claim that  $e_1, \dots, e_r$  form a  $B_m$ -basis of  $M$ . We now prove this by induction on  $m$ . We may assume  $m > 1$  and then by inductive hypothesis, the reduction of  $e_1, \dots, e_r$  modulo  $t^{m-1}$  form a  $B_{m-1}$ -basis of  $M/t^{m-1}M$ . Thus, for any  $x \in M$ , there exist  $b_1, \dots, b_r \in B_m$  such that

$$x \equiv b_1 e_1 + \dots + b_r e_r \pmod{t^{m-1}M}.$$

As  $t^{m-1}M \cong M/tM$ , one can find  $c_1, \dots, c_r \in B_m$  such that

$$x - (b_1 e_1 + \dots + b_r e_r) = t^{m-1} c_1 e_1 + \dots + t^{m-1} c_r e_r.$$

Put  $a_i = b_i + t^{m-1} c_i$  for any  $1 \leq i \leq r$  and then we have

$$x = a_1 e_1 + \dots + a_r e_r.$$

So  $e_1, \dots, e_r$  generate  $M$  over  $B_m$ . To conclude, it remains to show for any  $d_1, \dots, d_r \in B_m$  such that

$$d_1 e_1 + \dots + d_r e_r = 0,$$

we must have

$$d_1 = d_2 = \dots = d_r = 0.$$

By inductive hypothesis, we have

$$d_1 \equiv d_2 \equiv \dots \equiv d_r \pmod{t^{m-1}}.$$

That is, there are  $f_1, \dots, f_r \in B_m$  such that  $d_i = t^{m-1} f_i$  for any  $1 \leq i \leq r$ . Thus, we have

$$f_1 t^{m-1} e_1 + \dots + f_r t^{m-1} e_r = 0.$$

Using  $t^{m-1}M \cong M/tM$  again, we conclude that

$$f_1 \equiv f_2 \equiv \dots \equiv f_r \pmod{t},$$

which forces that for any  $1 \leq i \leq r$ ,

$$d_i = t^{m-1} f_i = 0$$

as desired. This completes the proof.  $\square$

**Lemma 3.14.** (1) *Fix  $B \in \{\tilde{\mathbf{B}}_{\psi, \infty}, \tilde{\mathbf{B}}_{\psi}\}$ . Then the functor  $M \mapsto \{M/t^n\}_{n \geq 1}$  induces an equivalence of categories*

$$\mathrm{Rep}_{\Gamma}^{\mathrm{H-small}}(B) \simeq \varprojlim_n \mathrm{Rep}_{\Gamma}^{\mathrm{H-small}}(B/t^n)$$

*which is compatible with cohomologies; that is, we have a canonical quasi-isomorphism*

$$\mathrm{R}\Gamma(\Gamma, M) \xrightarrow{\sim} \varprojlim_n \mathrm{R}\Gamma(\Gamma, M/t^n).$$

- (2) *Then the functor  $(D, \nabla) \mapsto (D/t^n, \nabla)$  induces an equivalence of categories*

$$\mathrm{MIC}^{\mathrm{H-small}}(\tilde{R}) \simeq \varprojlim_n \mathrm{MIC}^{\mathrm{H-small}}(\tilde{R}/t^n),$$

*which is compatible with cohomologies; that is, we have a canonical quasi-isomorphism*

$$\mathrm{DR}(D, \nabla) = \varprojlim_n \mathrm{DR}(D/t^n \nabla)$$

*Proof.* Note that  $\tilde{\mathbf{B}}_{\psi}$ ,  $\tilde{\mathbf{B}}_{\psi, \infty}$  and  $\tilde{R}$  are all  $t$ -adically complete and  $t$ -torsion free. The desired equivalences follows immediately from Lemma 3.13(2) while the cohomological comparison follows from the (derived) Nakayama's Lemma.  $\square$

**Proof of Proposition 3.12:** By Lemma 3.14, it suffices to deal with the case where  $n < \infty$ . Note that the  $n = 1$  case has been established in Proposition 3.8. We will finish the proof by induction on  $n$ . From now on, assume there exists some  $n \geq 1$  such that the result holds true for any  $1 \leq m \leq n$ .

For Item (1): Fix an  $M_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi,\infty}/t^{n+1})$  of rank  $r$ . Then we have

$$tM_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi,\infty}/t^n) \text{ and } M_\infty/t \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi,\infty}/t).$$

The short exact sequence

$$0 \rightarrow tM_\infty \rightarrow M_\infty \rightarrow M_\infty/t \rightarrow 0$$

gives rise to an exact triangle

$$\text{R}\Gamma(\Gamma, tM_\infty \otimes_{\tilde{\mathbf{B}}_{\psi,\infty}} \tilde{\mathbf{B}}_{\psi,\infty} \langle \underline{W} \rangle_{\text{pd}}) \rightarrow \text{R}\Gamma(\Gamma, M_\infty \otimes_{\tilde{\mathbf{B}}_{\psi,\infty}} \tilde{\mathbf{B}}_{\psi,\infty} \langle \underline{W} \rangle_{\text{pd}}) \rightarrow \text{R}\Gamma(\Gamma, M_\infty/t \otimes_{\tilde{\mathbf{B}}_{\psi,\infty}} \tilde{\mathbf{B}}_{\psi,\infty} \langle \underline{W} \rangle_{\text{pd}}).$$

Considering the induced long exact sequence, by inductive hypothesis, we have

$$\text{H}^n(\Gamma, M_\infty \otimes_{\tilde{\mathbf{B}}_{\psi,\infty}} \tilde{\mathbf{B}}_{\psi,\infty} \langle \underline{W} \rangle_{\text{pd}}) = 0$$

for any  $n \geq 1$  and there is a short exact sequence

$$0 \rightarrow D(tM_\infty) \rightarrow D(M_\infty) \rightarrow D(M_\infty/t) \rightarrow 0.$$

We claim that

$$D(tM_\infty) = tD(M_\infty) \text{ and } D(M_\infty/t) = D(M_\infty)/t.$$

Indeed, consider the exact sequence

$$\cdots \rightarrow M_\infty \xrightarrow{\times t} M_\infty \xrightarrow{\times t^n} M_\infty \xrightarrow{\times t} M_\infty \rightarrow M_\infty/t \rightarrow 0.$$

Applying  $\text{R}\Gamma(\Gamma, - \otimes_{\tilde{\mathbf{B}}_{\psi,\infty}} \tilde{\mathbf{B}}_{\psi,\infty} \langle \underline{W} \rangle_{\text{pd}})$  to the above sequence, we obtain an exact sequence

$$\cdots \rightarrow D(M_\infty) \xrightarrow{\times t} D(M_\infty) \xrightarrow{\times t^n} D(M_\infty) \xrightarrow{\times t} D(M_\infty) \rightarrow D(M_\infty/t) \rightarrow 0.$$

So we have the short exact sequence

$$0 \rightarrow tD(M_\infty) \rightarrow D(M_\infty) \rightarrow D(M_\infty/t) \rightarrow 0.$$

Thus the claim follows.

A similar argument implies that for any  $0 \leq m \leq n$ , we have  $D(t^m M_\infty) \cong t^m D(M_\infty)$ , yielding that

$$t^m D(M_\infty)/t^{m+1} D(M_\infty) \cong D(t^m M_\infty/t^{m+1} M_\infty) \cong D(M_\infty/t).$$

By Lemma 3.13, we see that  $D(M_\infty)$  is finite free of rank  $r$  over  $\tilde{R}/t^{n+1}$  as desired. Finally, as

$$(D(M_\infty/t), \nabla) = (D(M_\infty)/t, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t)$$

we have  $(D(M_\infty/t), \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t^{n+1})$  as desired. This completes the proof of Item (1).

Item (2) can be deduced from the similar argument above.

For Item (3): Similar to the proof of Proposition 3.8 (3), for any  $M_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi,\infty}/t^n)$  and any  $(D, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t^{n+1})$ , one can construct natural morphisms

$$\iota_{M_\infty} : M_\infty(D(M_\infty), \nabla) \rightarrow M_\infty$$

and

$$\iota_{(D, \nabla)} : (D(M_\infty(D, \nabla)), \nabla) \rightarrow (D, \nabla).$$

To see they are both isomorphism, by Nakayama's Lemma, one can check this modulo  $t$ , and thus reduces to Theorem Proposition 3.8 (3). This establishes the desired equivalence of categories. The cohomological comparison follows easily.  $\square$

To complete the local theory, we state the following result, which will not be used in this paper.

**Corollary 3.15.** *For any  $1 \leq n \leq \infty$ , the base-change  $L \mapsto L_\infty := L \otimes_{\tilde{\mathbf{B}}_\psi} \tilde{\mathbf{B}}_{\psi,\infty}$  induces an equivalence of categories*

$$\text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_\psi/t^n) \xrightarrow{\sim} \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi,\infty}/t^n)$$

*which is compatible with cohomologies; that is, we have a quasi-isomorphism*

$$\text{R}\Gamma(\Gamma, L) \cong \text{R}\Gamma(\Gamma, L_\infty).$$

*Proof.* The full faithfulness together with the cohomological comparison follows from the  $n = 1$  case (cf. Lemma 3.6) together with the derived Nakayama's Lemma. It remains to show the essential surjectivity. Note that

$$\tilde{\mathbf{B}}_\psi \langle \underline{W} \rangle_{\text{pd}} \subset \tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}}$$

is a  $d$ -preserving sub-ring stable under the action of  $\Gamma$ . For any  $L_\infty \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n)$  with the induced  $(D, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t^n)$ , one can achieve an  $L \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_\psi/t^n)$  by using  $\tilde{\mathbf{B}}_\psi \langle \underline{W} \rangle_{\text{pd}}$  instead of  $\tilde{\mathbf{B}}_{\psi, \infty} \langle \underline{W} \rangle_{\text{pd}}$  in Proposition 3.12. Then one can conclude by checking  $L \otimes_{\tilde{\mathbf{B}}_\psi} \tilde{\mathbf{B}}_{\psi, \infty} \cong L_\infty$  directly.  $\square$

#### 4. THE STACKY RIEMANN–HILBERT CORRESPONDENCE: PROOF OF THEOREM 1.1

This section is devoted to proving Theorem 1.1. To do so, we need the following result:

**Theorem 4.1.** *Fix a  $\text{Spa}(A, A^+) \in \text{Perfd}$ . Let  $\mathfrak{X}$  be a liftable smooth formal scheme over  $A^+$  with a fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbb{A}_{\text{inf}}(A, A^+)$ . Let  $X$  be the generic fiber of  $\mathfrak{X}$  and  $\tilde{X}$  be the lifting of  $X$  over  $\mathbb{B}_{\text{dR}}^+(A, A^+)$  induced by  $\tilde{\mathfrak{X}}$ . Let  $(\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+, d)$  be the period sheaf with connection constructed in §2. Let  $\nu : X_v \rightarrow X_{\text{ét}}$  be the natural morphism of sites. Let  $1 \leq n \leq \infty$ .*

(1) *For any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$  of rank  $r$ , we have*

$$\text{R}^n \nu_* (\mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+) = \begin{cases} \mathcal{D}(\mathbb{L}), & n = 0 \\ 0, & n \geq 1 \end{cases}$$

where  $\mathcal{D}(\mathbb{L})$  is a locally finite free  $(\mathcal{O}_{\tilde{X}_n})$ -module of rank  $r$  on  $X_{\text{ét}}$  such that the

$$\text{id}_{\mathbb{L}} \otimes d : \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+ \rightarrow \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \Omega_{\tilde{\mathfrak{X}}}^1 \{-1\}$$

induces a flat connection  $\nabla_{\mathbb{L}}$  on  $\mathcal{D}(\mathbb{L})$  making  $(\mathcal{D}(\mathbb{L}), \nabla_{\mathbb{L}})$  an object in  $\text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ .

(2) *For any  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)$  of rank  $r$ , define*

$$\nabla_{\mathcal{D}} := \nabla \otimes \text{id} + \text{id} \otimes d : \mathcal{D} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+ \rightarrow \mathcal{D} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+ \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \Omega_{\tilde{\mathfrak{X}}}^1 \{-1\}.$$

Then

$$\mathbb{L}(\mathcal{D}, \nabla) := (\mathcal{D} \otimes_{\mathcal{O}_{\tilde{\mathfrak{X}}}} \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}}^+)^{\nabla_{\mathcal{D}}=0}$$

is an object of rank  $r$  in  $\text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$ .

(3) *The functors  $\mathbb{L} \mapsto (\mathcal{D}(\mathbb{L}), \nabla_{\mathbb{L}})$  and  $(\mathcal{D}, \nabla) \mapsto \mathbb{L}(\mathcal{D}, \nabla)$  in Items (1) and (2) respectively define an equivalence of categories*

$$\rho_{\tilde{\mathfrak{X}}} : \text{LS}^{\text{H-small}}(\mathfrak{X}, \mathbb{B}_{\text{dR}, n}^+)(A) \xrightarrow{\sim} \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$$

which preserves ranks, tensor products and dualities. Moreover, for any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$  with corresponding  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ , there exists a quasi-isomorphism

$$\text{R}\nu_* \mathbb{L} \simeq \text{DR}(\mathcal{D}, \nabla).$$

In particular, we have a quasi-isomorphism

$$\text{R}\Gamma(X_v, \mathbb{L}) \simeq \text{R}\Gamma(X_{\text{ét}}, \text{DR}(\mathcal{D}, \nabla)).$$

Before proving this theorem, we explain how to use it to obtain Theorem 1.1.

**Proof of Theorem 1.1:** Let  $\mathfrak{X}$  be a liftable smooth formal scheme over  $\mathcal{O}_C$  with the fixed lifting  $\tilde{\mathfrak{X}}$  over  $\mathbb{A}_{\text{inf}}$ , the generic fiber  $X$  and the lifting  $\tilde{X}$  of  $X$  over  $\mathbb{B}_{\text{dR}}^+$ . For any  $\text{Spa}(A, A^+) \in \text{Perfd}$ , we denote by  $\mathfrak{X}_A$ ,  $\tilde{\mathfrak{X}}_A$ ,  $X_A$  and  $\tilde{X}_A$  their corresponding base-changes to  $A^+$ ,  $\mathbb{A}_{\text{inf}}(A, A^+)$ ,  $A$  and  $\mathbb{B}_{\text{dR}}^+(A, A^+)$ . Let  $(\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}, A}^+, d)$  be the period sheaf with connection on  $X_{A, v}$  corresponding to the lifting  $\tilde{\mathfrak{X}}_A$ . Then it follows from Proposition 2.10 that for any  $f : \text{Spa}(B, B^+) \rightarrow \text{Spa}(A, A^+)$ , we have an isomorphism

$$\mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}, B}^+ = \mathcal{O}\mathbb{B}_{\text{dR}, \text{pd}, A}^+ \hat{\otimes}_{\mathbb{B}_{\text{dR}}^+(A, A^+)} \mathbb{B}_{\text{dR}}^+(B, B^+)$$

compatible with connection. Note that  $f$  induces the obvious base-change functors

$$f_{\text{LS}} : \text{LS}(X, \mathbb{B}_{\text{dR}, n}^+)^{\text{H-small}}(A) \rightarrow \text{LS}(X, \mathbb{B}_{\text{dR}, n}^+)^{\text{H-small}}, \quad \mathbb{L} \mapsto \mathbb{L} \hat{\otimes}_{\mathbb{B}_{\text{dR}}^+(A, A^+)} \mathbb{B}_{\text{dR}}^+(B, B^+)$$



and

$$f_{\text{MIC}} : \text{MIC}(\tilde{X}_n)^{\text{H-small}}(A) \rightarrow \text{MIC}^{\text{H-small}}(\tilde{X}_n)(B), \quad (\mathcal{D}, \nabla) \mapsto (\mathcal{D} \hat{\otimes}_{\mathbb{B}_{\text{dR}}^+(A, A^+)} \mathbb{B}_{\text{dR}}^+(B, B^+), \nabla \otimes \text{id}).$$

As the construction in Theorem 4.1 is clearly functorial in  $\text{Spa}(A, A^+)$ , we have

$$f_{\text{MIC}} \circ \rho_{\tilde{\mathfrak{X}}_A} = \rho_{\tilde{\mathfrak{X}}_B} \circ f_{\text{LS}}.$$

As  $\rho_{\tilde{\mathfrak{X}}_A}$  preserves ranks, the equivalence criterion of  $\rho_{\tilde{\mathfrak{X}}_A}$  yields the desired equivalence of stacks

$$\rho_{\tilde{\mathfrak{X}}} : \text{LS}_r(X, \mathbb{B}_{\text{dR}, n}^+)^{\text{H-small}} \xrightarrow{\sim} \text{MIC}_r(\tilde{X}_n)^{\text{H-small}}.$$

□

Now, we focus on the proof of Theorem 4.1 by proceeding as in the proof of [CLWZ24, Th. 7.11].

**Lemma 4.2.** *Suppose that  $\mathfrak{X}$  is small affine and let  $\mathbb{L} \in \text{LS}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$ . Then for any affinoid perfectoid  $U = \text{Spa}(S, S^+) \in X_{\infty, v}$  and for any  $i \geq 1$ , we have*

$$H^i(U, \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}) = 0.$$

*Proof.* We need to show that  $\text{R}\Gamma(U, \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+})$  is concentrated in degree 0. By derived Nakayama's Lemma, we are reduced to showing the case for  $n = 1$ . That is, we have to show for any  $v$ -vector bundle  $\mathbb{L}$ ,

$$\text{R}\Gamma(U, \mathbb{L} \otimes_{\hat{\mathcal{O}}_X} \hat{\mathcal{O}}_{\hat{\mathcal{C}}_{\text{pd}}})$$

is concentrated in degree 0. It follows from [KL16, Th. 3.5.8] that there is a finite projective  $S$ -module  $L$  such that  $\mathbb{L}|_U \cong L \otimes_{S^+} \hat{\mathcal{O}}_U$ . So without loss of generality, we may assume  $\mathbb{L}|_U = \hat{\mathcal{O}}_U$ , and are reduced to showing that

$$\text{R}\Gamma(U, \mathcal{O}_{\hat{\mathcal{C}}_{\text{pd}}}) = \text{R}\Gamma(U, \hat{\mathcal{O}}_U[W]_{\text{pd}}^\wedge)$$

is concentrated in degree 0, by using Proposition 2.9. It suffices to show that

$$\text{R}\Gamma(U, \hat{\mathcal{O}}_U^+[W]_{\text{pd}}^\wedge)$$

has cohomologies killed by  $\mathfrak{m}_C$  in degree  $\geq 1$ . However, as  $\hat{\mathcal{O}}_U^+[W]_{\text{pd}}^\wedge$  is the  $p$ -adic completion of a directly limit of finite free  $\hat{\mathcal{O}}_U^+$ -modules, by the quasi-compactness of  $U$ , we are reduced to show that

$$\text{R}\Gamma(U, \hat{\mathcal{O}}_U^+)$$

has cohomologies killed by  $\mathfrak{m}_C$  in degree  $\geq 1$ . This is well-known (cf. [Sch17, Prop. 8.8]). □

**Lemma 4.3.** *Suppose that  $\mathfrak{X}$  is small affine and let  $\mathbb{L} \in \text{LS}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$ . Then there exists a natural quasi-isomorphism*

$$\text{R}\Gamma(\Gamma, \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}(X_\infty)) \rightarrow \text{R}\Gamma(X_v, \mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}).$$

*Proof.* This follows from the same argument for the proof of [Wan23, Lem. 5.11] by using Lemma 4.2 instead of [Wan23, Lem. 5.7]. □

Now, we are prepared to show Theorem 4.1.

**Proof of Theorem 4.1:** For Item (1): Fix an  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$  of rank  $r$ . Since the problem is local on  $\mathfrak{X}_{\text{ét}}$ , we may assume  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  is small affine. According to Remark 1.4 and Proposition 3.9, comparing Definition 3.5(2) with Definition 3.11(1), we have  $\mathbb{L}(X_\infty) \in \text{Rep}_\Gamma^{\text{H-small}}(\tilde{\mathbf{B}}_{\psi, \infty}/t^n)$ . Then one can deduce Item (1) from Lemma 4.3 together with Proposition 3.12(1).

For Item (2): Fix an  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ . Since the problem is again local on  $\mathfrak{X}_{\text{ét}}$ , we may assume  $\mathfrak{X} = \text{Spf}(\mathcal{R})$  is small affine. According to Remark 1.4 and Proposition 3.1, comparing Definition 3.5(3) with Definition 3.11(2), we see that the global section

$$(D, \nabla) := (\mathcal{D}, \nabla)(X) \in \text{MIC}^{\text{H-small}}(\tilde{R}/t^n).$$

Then one can deduce Item (2) from Proposition 3.12(2).

For Item (3): For any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$  and any  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ , similar to the proof of Proposition 3.12(3), one can construct two canonical morphisms

$$\iota_{\mathbb{L}} : \mathbb{L}(\mathcal{D}(\mathbb{L}), \nabla_{\mathbb{L}}) \rightarrow \mathbb{L}$$

and

$$\iota_{(\mathcal{D}, \nabla)} : (\mathcal{D}(\mathbb{L}(\mathcal{D}, \nabla)), \nabla_{\mathbb{L}(\mathcal{D}, \nabla)}) \rightarrow (\mathcal{D}, \nabla).$$

To get desired equivalence of categories, we have to show both  $\iota_{\mathbb{L}}$  and  $\iota_{(\mathcal{D}, \nabla)}$  are isomorphisms. But this is still a local problem and thus reduces to the proof of Proposition 3.12(3). Finally, we have to show that for any  $\mathbb{L} \in \text{LS}^{\text{H-small}}(X, \mathbb{B}_{\text{dR}, n}^+)(A)$  with corresponding  $(\mathcal{D}, \nabla) \in \text{MIC}^{\text{H-small}}(\tilde{X}_n)(A)$ , we have

$$\text{R}\nu_* \mathbb{L} \simeq \text{DR}(\mathcal{D}, \nabla).$$

By Poincaré's Lemma (cf. Theorem 2.4), we have

$$\text{R}\nu_* \mathbb{L} \simeq \text{R}\nu_*(\text{DR}(\mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}, \text{id}_{\mathbb{L}} \otimes d)).$$

It follows from Item (1) that

$$\text{R}\nu_*(\text{DR}(\mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}, \text{id}_{\mathbb{L}} \otimes d)) \simeq \nu_*(\text{DR}(\mathbb{L} \otimes_{\mathbb{B}_{\text{dR}}^+} \mathcal{O}_{\mathbb{B}_{\text{dR}, \text{pd}}^+}, \text{id}_{\mathbb{L}} \otimes d)) \simeq \text{DR}(\mathcal{D}, \nabla_{\mathcal{D}}).$$

This completes the proof.  $\square$

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