### COMP6714 ASSIGNMENT 1

DUE ON 20:59 4 NOV, 2020 (WED)

## Q1. (25 marks)

Some Boolean retrieval systems (e.g., Westlaw) support the proximity operator /S, which restricts the occurrences matches to be within the same sentence.

Assume that we have created an additional positional list for \$, which records the positions of the end of the sentences. E.g., for the document

ABC.DE.

the position list for \$ is [4, 7].

You are required to engine an algorithm to support the query A /S B. To make the task easier, we further **constrain** the semantics of the query to satisfy **both** conditions:

- the occurrences of A and B must be within the same sentence.
- the occurrence of A must precede that of B.

For example, the above example document matches the query A /S C, but **not** C /S A. You need to

- make simple modifications to the pseudocode shown in Algorithm 1, which is exactly the algorithm in Figure 2.12 in the textbook. Note that we modify the algorithm slightly so that array indexes start from 1 instead of 0. Specifically,
  - you need to insert some code between Lines 6 and 7, and perform some modifications to some lines afterwards.
  - In your submitted algorithm pseudocode (named  $Q1(p_1, p_2, p_{\$})$ ), clearly mark the modifications using color or boxes.
- You can assume that there is a function  $\mathsf{skipTo}(p, docID, pos)$ , which move the cursor of list p to the first position such that (1) the position belongs to a document docID, and (2) the position is no smaller than pos.

## Q2. (25 marks)

Consider the scenario of dynamic inverted index construction. Assume that t sub-indexes (each of M pages) will be created if one chooses the no-merge strategy.

- (1) Show that if the logarithmic merge strategy is used, it will result in at most  $\lceil \log_2 t \rceil$  sub-indexes.
- (2) Prove that the total I/O cost of the logarithmic merge is  $O(t \cdot M \cdot \log_2 t)$ .

# **Algorithm 1:** PositionalIntersect( $p_1, p_2, k$ )

```
1 answer \leftarrow \emptyset;
 2 while p_1 \neq \mathbf{nil} \land p_2 \neq \mathbf{nil} \mathbf{do}
          if doclD(p_1) = doclD(p_2) then
 3
                l \leftarrow [];
 4
                pp_1 \leftarrow \mathsf{positions}(p_1); pp_2 \leftarrow \mathsf{positions}(p_2);
 \mathbf{5}
                 while pp_1 \neq \text{nil do}
 6
                      while pp_2 \neq \text{nil do}
 7
                            if |pos(pp_1) - pos(pp_2)| \le k then
  8
                                  add(l, pos(pp_2));
  9
                             else
10
                                  if pos(pp_2) > pos(pp_1) then
11
                                     break;
12
                            pp_2 \leftarrow \mathsf{next}(pp_2);
13
                       while l \neq [] \wedge |l[1] - \mathsf{pos}(pp_1)| > k \mathbf{do}
14
                        delete(l[1]);
15
                       for each ps \in l do
16
                             answer \leftarrow answer \cup [\mathsf{docID}(p_1), \mathsf{pos}(pp_1), ps];
17
                      pp_1 \leftarrow \mathsf{next}(pp_1);
18
                p_1 \leftarrow \mathsf{next}(p_1); p_2 \leftarrow \mathsf{next}(p_2);
19
           else
20
                if doclD(p_1) < doclD(p_2) then
21
                      p_1 \leftarrow \mathsf{next}(p_1);
22
                else
23
                      p_2 \leftarrow \mathsf{next}(p_2);
25 return answer;
```

Q3. (25 marks)

After the  $\delta$  encoding, the compressed non-positional inverted list is

- Decode the sequence of numbers the compressed list represents.
- List the document IDs in this list.

Consider the WAND algorithm described in Section 2.4 in the original paper.<sup>1</sup>. There is a typo in the algorithm in Line 21: it should use "terms[0 .. (pTerm-1)]".

<sup>&</sup>lt;sup>1</sup>Efficient Query Evaluation using a Two-Level Retrieval Process.

However, even with this fixed, there is a bug in the algorithm (Figure 2) in which the algorithm will end up in an infinite loop.

You need to

- Identify the **single** lines in Figure 2 that causes the bug and describe concisely why this will lead to a bug.
- Give a simple example illustrating this bug. You should use three terms (named A, B, C) and k = 1. Do not include unnecessary entries in the lists.

	A	B	C
UB			
List	$\langle 1, 3 \rangle$	$\langle 1, 4 \rangle$	$\langle 1, 4 \rangle$

#### Submission Instructions

You need to write your solutions to the questions in a pdf file named ass1.pdf. You must

- include your name and student ID in the file, and
- the file can be opened correctly on CSE machines.

You need to show the key steps to get the full mark.

**Note**: Collaboration is allowed. However, each person must independently write up his/her own solution.

You can then submit the file by give cs6714 ass1 ass1.pdf. The file size is limited to 5MB.

Late Penalty: -10% per day for the first two days, and -20% per day for the following days.