

Chapter 5 : Error correcting codes

5.1 Definitions and some bounds on codebook size

We have alphabet Σ with $0 \in \Sigma$.

Def: The Hamming weight of a string $x^n \in \Sigma^n$ is as $|\{i : x_i \neq 0\}|$. The Hamming distance between $x^n, y^n \in \Sigma^n$ is

$$\delta(x^n, y^n) = |\{i : x_i \neq y_i\}|$$

Def. An error correction code C of length n over Σ is a subset of Σ^n . C is called a codebook.

- C is a binary code if $\Sigma = \{0, 1\}$

- A binary code C is a linear code if it is a subspace of $\{0, 1\}^n$, i.e.

for any $c, c' \in C$, $c \oplus c'$ is also in C . It always contains 0^n .

- The size of the codebook is denoted $|C|$.

- The rate of the code is

$$R(C) = \frac{\log |C|}{n \log |\Sigma|}$$

- The minimal distance of C is

$$d(C) = \min_{c \neq c'} \delta(c, c')$$

$$d(C) = \min_{\substack{c, c' \in C \\ c \neq c'}} d(c, c')$$

Example

Take strings $\in \{0,1\}^n$ and add a parity bit

$$x_{n+1} = \bigoplus_{i=1}^n x_i.$$

\Rightarrow binary code, $|C| = 2^n$, $R(C) = \frac{n}{n+1}$

$$\underline{d(C) = 2}$$

Consider a binary code with minimum distance d

- Detect up to $d-1$ bit flip errors
- Correct up to $\lfloor \frac{d-1}{2} \rfloor$ bit flip errors
- Correct up to $d-1$ erasures

Lemma: Hamming bound:

$$|C| \leq \frac{2^n}{\sum_{i=0}^{\lfloor \frac{d-1}{2} \rfloor} \binom{n}{i}}$$

(e.g. for $d=3$
we have $|C| \leq \frac{2^n}{n+1}$)

Proof: For every $c \in C$, define its neighborhood $N(c, r)$ as all the strings with distance at most r from c .

Set $r = \lfloor \frac{d-1}{2} \rfloor$, then $N(c, r) \cap N(c', r) = \emptyset$
for all $c, c' \in C, c \neq c'$ (*)

$$\text{Then } 2^n \geq \left| \bigcup_{c \in C} N(c, r) \right| = \sum_{c \in C} |N(c, r)|$$

\nearrow
 $\text{uses } (*)$

$$= |C| \sum_{i=0}^r \binom{n}{i} \quad \square$$

A perfect code satisfies $\bigcup_{c \in C} N(c, r) = \{0, 1\}^n$.

Lemma: Singleton bound. Assume $|\Sigma| = q$,
then $|C| \leq q^{n-d+1}$.