

## Exercise 9.1

For  $z$ -channel, we can get  $p(Y|X)$  with this following matrix

$$Q = \begin{bmatrix} 1 & 0 \\ \frac{1}{2} & \frac{1}{2} \end{bmatrix} \quad x, y \in \{0, 1\}$$

And we suppose  $\Pr(X=1) = P$ ,

$$H(Y|X) = \Pr(X=0) \cdot 0 + \Pr(X=1) \cdot 1 = P$$

$$H(Y) = H[\Pr(X=1)] = H\left[\frac{P}{2}\right]$$

$$\text{So, we can } I(X;Y) = H(Y) - H(Y|X) = H\left(\frac{P}{2}\right) - P$$

In conclusion: this channel mutual information  $H\left(\frac{P}{2}\right) - P$

## Exercise 9.2

For empirical distribution, we know  $f_X(x) := n^{-1} \cdot \sum_{i=1}^n \delta_{x_i}, x$

And then  $x^n$  are  $n$  i.i.d. copies of  $X$ ,  $p_{X^n} = P_X^{\otimes n}$

$$\begin{aligned} p_{X^n}(x) &= \prod_{i=1}^n P(x_i) \\ &= 2^{-\sum_{i=1}^n \log P(x_i)} \\ &= 2^{-\sum_{i=1}^n P(x_i | x^n) \log P(x_i)} \\ &= 2^{-n \left[ \frac{\sum_{i=1}^n \delta_{x_i}}{n} \cdot \log \frac{1}{P(x_i)} \right]} \quad (\text{we use the } f_X(x) \text{ definition}) \\ &= 2^{-n \left[ \frac{\sum_{i=1}^n \delta_{x_i}}{n} \log \frac{1}{P(x_i) f_X(x)} \right]} \\ &= 2^{-n \left[ H[f_X(x)] + D(f_X(x) || P_X) \right]} \\ &= 2^{-n (H(f_X) + D(f_X || P_X))} \end{aligned}$$

It is desired, the probability that  $x^n$  being any sequence  $x \in x^n$  depends only on its type and  $P_X$



## Exercise 9.5

Following the instructions from lecture notes and the paper of Vincent Poor, for this  $(2^{nR}, n)$  code, we let encoder and decoder to use random mappings, which means  $P[M \neq \hat{M}] \leq \epsilon$

And then use the Proposition 6.9

$$|M| \leq \max_{P_X \in \mathcal{P}(\mathcal{X})} \min_{Q_Y \in \mathcal{P}(\mathcal{Y})} \frac{1}{\beta_\epsilon^*(P_{XY} \| P_X \times Q_Y)}$$

where  $P_{XY}(x, y) = P_X(x) \cdot W_{Y|X}(y|x)$  is the joint distribution of channel input and output and  $\beta_\epsilon^*(P_{XY} \| P_X \times Q_Y)$  is the minimal error of the second kind.

And we know the encoder generate a codeword  $x^n(m, w)$  and the decoder generate an estimate  $\hat{m}(y^n, w)$

Therefore, we construct these sets  $A_x = \{y \in \mathcal{Y} : (x, y) \notin A\}$  for all  $x \in \mathcal{X}$

Given a fixed channel output  $y$ , its probability of (assigns  $\hat{M} = m$ )

$$P(\hat{M} = m | Y = y) = \frac{I\{y \in A_{e(m)}\}}{\sum_m I\{y \in A_{e(m)}\}} = \frac{I\{y \in A_{e(m)}\}}{I\{y \in A_{e(m)}\} + \sum_{m' \neq m} I\{y \in A_{e(m')}\}}$$

Then to analysis the error for this code

$$\begin{aligned} P(M \neq \hat{M} | M=m, E=e) &= 1 - \sum_{y \in \mathcal{Y}} W(y|e(m)) \cdot P[\hat{M} = m | Y=y] \\ &= \sum_{y \in \mathcal{Y}} W(y|e(m)) \left( 1 - \frac{I\{y \in A_{e(m)}\}}{I\{y \in A_{e(m)}\} + \sum_{m' \neq m} I\{y \in A_{e(m')}\}} \right) \\ &\leq \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} P_X(x) W(y|x) \left( I\{y \notin A_x\} + (|M|-1) \sum_{x' \in \mathcal{X}} P_X(x') I\{y \in A_{x'}\} \right) \end{aligned}$$

And summarising this, we can get

$$P(M \neq \hat{M}) \leq \epsilon + |M| \beta_\epsilon^*(P_{XY} \| P_X \times P_Y)$$





And then use the part of (Theorem 6.8 in lecture notes)

$$2^{\lceil nR \rceil} \leq \epsilon \cdot \frac{1}{\beta_{\epsilon}^*} (P_{X^n Y^n} \| P_{X^n} \times P_{Y^n})$$

And take logarithm, and choose  $P_X$  as the maximiser in the definition of mutual information  $I(X=Y) = I(W)$

$$R = \lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{1}{\beta_{\epsilon}^* (P_{X^n Y^n} \| P_{X^n} \times P_{Y^n})} = D(P_{X^n Y^n} \| P_{X^n} \times P_{Y^n}) = I(X=Y) = C(W)$$

As desired, we prove that this randomization does not increase the capacity of the DMC

