

Question 1

1. FALSE. Consider independent uniformly random variables X, Y on $\{0, 1\}$. Let $Z = X \oplus Y$.
2. TRUE.
3. TRUE. One can have $(0, 100, 101, 110, 1110, 1111)$
4. A weak converse states that there is no sequence of $(n, 2^{\lfloor nR \rfloor})$ codes with $R < H(X)$ such that we have vanishing error probability as $n \rightarrow \infty$ after decoding. A strong converse states that for any such sequence of codes, the error necessarily equals 1 in the limit $n \rightarrow \infty$.

Question 2

1. The entropy is 3.1291 bits.
2. A Huffman code for this source would be

$$C(A) = 00$$

$$C(E) = 10100$$

$$C(I) = 010$$

$$C(O) = 100$$

$$C(U) = 110$$

$$C(G) = 1010100$$

$$C(K) = 1010101$$

$$C(P) = 10110$$

$$C(R) = 011$$

$$C(S) = 101011$$

$$C(T) = 10111$$

$$C(V) = 111$$

3. A Shannon code would be

x	P(x)	$\lceil -\log p(x) \rceil$	Codeword
A	0.23	3	000
O	0.13	3	001
R	0.12	4	0100
V	0.12	4	0101
U	0.12	4	0110
I	0.11	4	0111
P	0.05	5	10000
T	0.05	5	10001
E	0.04	5	10010
S	0.02	6	100110
G	0.005	8	10011100
K	0.005	8	10011101

Question 3

1. Huffman codes are prefix codes and hence

$$\mathbb{E}[l_k(X^k)] = \bar{l}_k(X^k) \leq H(X^k) + 1 = kH(X) + 1. \quad (1)$$

2. Consider the random variable $\frac{1}{k}l_k(X^k)$. Since we know that

$$kH(X) \leq \bar{l}_k(X^k) \leq kH(X) + 1, \quad (2)$$

we have that the mean μ of $\frac{1}{k}l_k(X^k)$ is bounded as

$$H(X) \leq \mu \leq H(X) + \frac{1}{k}. \quad (3)$$

Then, by the weak law of large numbers we have

$$\lim_{m \rightarrow \infty} \Pr \left[\left| \frac{1}{mk} \sum_{i=1}^m l_k(X_i^k) - \mu \right| \geq \frac{1}{k} \right] = 0 \quad (4)$$

$$\lim_{m \rightarrow \infty} \Pr \left[\frac{1}{mk} \sum_{i=1}^m l_k(X_i^k) \geq H(X) + \frac{2}{k} \right] = 0 \quad (5)$$

3. The argument follows the proof of Theorem 2.18 in the notes.

Consider a prefix code for blocks of size $k = \lceil \frac{2}{\delta} \rceil + 1$. Now consider m such blocks for any $m \in \mathbb{N}$. Let $n = mk$. By the previous part, we have that

$$\lim_{m \rightarrow \infty} \Pr \left[\sum_{i=1}^m l_k(X_i^k) \geq mkH(X) + 2m \right] = 0. \quad (6)$$

That is, the length of the encoded message L_n for m blocks of size $k = \lceil \frac{2}{\delta} \rceil + 1$ satisfies

$$\lim_{n \rightarrow \infty} \Pr \left[L_n \leq nH(X) + \frac{2n}{k} \right] = 1 \quad (7)$$

$$\implies \lim_{n \rightarrow \infty} \Pr \left[L_n \leq nH(X) + \frac{2n\delta}{2 + \delta} \right] = 1. \quad (8)$$

For a rate $R = H(X) + \delta$, we have

$$n \left(H(X) + \frac{2\delta}{2 + \delta} \right) = n \left(R - \delta + \frac{2\delta}{2 + \delta} \right) \quad (9)$$

$$= n \left(R - \frac{\delta^2}{2 + \delta} \right) \quad (10)$$

$$\leq \lfloor nR \rfloor. \quad (11)$$

Hence a rate of $H(X) + \delta$ is achievable for any $\delta > 0$.

A possible encoding/decoding process is Huffman encoding described in Algorithm 2.1 of the notes for $x^k \in \mathcal{X}^k$ with probabilities $p_{x^k} = P_{X^k}(x^k)$.