Version 3.1

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Preliminaries

Welcome to wannier90! The examples contained in this tutorial are designed to help you become familiar with the procedure of generating, analysing and using maximally-localised Wannier functions (MLWFs). As a first step, install wannier90 following the instructions in the README file of the wannier90 distribution. For an introduction to the theory underlying MLWFs, you are encouraged to refer to the brief overview given in the wannier90 User Guide [1], to the two seminal papers of Refs. [2, 3], a recent review article [4] and to a paper [5] describing wannier90.

The following additional programs may be installed in order to visualise the output of wannier90 (they are optional, not all of them are necessary)

- gnuplot is used to plot bandstructures. It is available for many operating systems and is often installed by default on Unix/Linux distributions http://www.gnuplot.info
- xmgrace may also be used to plot bandstructures. http://plasma-gate.weizmann.ac.il/Grace
- XCrySDen is used to visualise crystal structures, MLWFs, and Fermi surfaces. It is available for Unix/Linux, Windows (using cygwin), and OSX. To correctly display files from wannier90, version 1.4 or later must be used. http://www.xcrysden.org
- vmd can also be used to visualise crystal structures and MLWFs. http://www.ks.uiuc.edu/Research/vmd
- python with the numpy and matplotlib modules is used in examples 17-19

http://www.python.org
http://www.numpy.org
http://matplotlib.org

Parallel execution

postw90.x and wannier90.x can be run in parallel to speed up the calculations, using the MPI libraries.

To enable the parallel version to be built, you must specify some flags in the make.inc file of wannier90 and postw90; for further information, please refer to the README.install file in the top directory of the wannier90 distribution.

Then, to run e.g. with 8 processors, you typically need to run a command similar to postw90 as follows:

```
mpirun -np 8 postw90.x seedname
```

(the mpirun command and its flags may differ depending on the MPI libraries installed on your system: refer to your MPI manual and/or to your system administrator for further information).

About this tutorial

The first part of this tutorial comprises four examples taken from Refs. [2, 3]: gallium arsenide, lead, silicon and copper. All of the wannier90 input files have been provided.

The second part of the tutorial covers the generation of wannier90 input files starting from a full electronic structure calculation. We have provided input files for the PWSCF interface (http://www.quantum-espresso.org) to wannier90. Therefore, you will need to install and compile elements of the quantum-espresso package, namely pw.x and pw2wannier90.x, in order to run these examples. Please visit http://www.quantum-espresso.org to download the package, and for installation instructions. The tutorial examples work with PWSCF v5.1.x and v6.0.x. The exception are the examples on symmetry adapted Wannier functions which require v6.0.x together with the very latest version of pw2wannier90.f90. This can be found in the directory pwscf/v6.0 in the wannier distribution. It should be moved to PP/src in the PWSCF distribution and compiled using make pp. Later versions v6.x.x should have the most up-to-date version of pw2wannier90.f90 already included in the Quantum ESPRESSO distribution.

There are interfaces to a number of other electronic structure codes including ABINIT (http://www.abinit.org), FLEUR (http://www.flapw.de), OPENMX (http://www.openmx-square.org/), GPAW (https://wiki.fysik.dtu.dk/gpaw/), VASP (http://www.vasp.at), and WIEN2K (http://www.wien2k.at)

Contact us

If you have any suggestions regarding ways in which this tutorial may be improved, then send us an email.

For other questions, email the wannier90 forum at wannier@quantum-espresso.org. Note that first you will need to register in order to post emails. Emails from non-registered users are deleted automatically. You can register by following the links at http://www.wannier.org/forum.html.

1: Gallium Arsenide – MLWFs for the valence bands

- Outline: Obtain and plot MLWFs for the four valence bands of GaAs.
- Generation details: From PWSCF, using norm-conserving pseudopotentials and a $2\times2\times2$ k-point grid. Starting quess: four bond-centred Gaussians.
- Directory: examples/example1/
- Input Files
 - gaas.win The master input file
 - gaas.mmn The overlap matrices $\mathbf{M}^{(\mathbf{k},\mathbf{b})}$
 - gaas.amn Projection $\mathbf{A^{(k)}}$ of the Bloch states onto a set of trial localised orbitals
 - UNK00001.1 The Bloch states in the real space unit cell. For plotting only.
- 1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x gaas
```

Inspect the output file gaas.wout. The total spread converges to its minimum value after just a few iterations. Note that the geometric centre of each MLWF lies along a Ga-As bond, slightly closer to As than Ga. Note also that the memory requirement for the minimisation of the spread is very low as the MLWFs are defined at each k-point by just the 4×4 unitary matrices $\mathbf{U}^{(k)}$.

2. Plot the MLWFs by adding the following keywords to the input file gaas.win

```
wannier_plot = true
```

and re-running wannier90. To visualise the MLWFs we must represent them explicitly on a real space grid (see Ref. [1]). As a consequence, plotting the MLWFs is slower and uses more memory than the minimisation of the spread. The four files that are created (gaas_00001.xsf, etc.) can be viewed using XCrySDen, e.g.,

```
xcrysden --xsf gaas_00001.xsf
```

For large systems, plotting the MLWFs may be time consuming and require a lot of memory. Use the keyword wannier_plot_list to plot a subset of the MLWFs. E.g., to plot the 1st and 3rd MLWFs use

```
wannier_plot_list = 1 3
```

The MLWFs are plotted in a supercell of the unit cell. The size of this supercell is set through the keyword wannier_plot_supercell. The default value is 2 (corresponding to a supercell with eight times the unit cell volume). We recommend not using values great than 3 as the memory and computational cost scales cubically with supercell size.

Plot the 3rd MLWFs in a supercell of size 3. Choose a low value for the isosurface (say 0.5). Can you explain what you see?

Hint: For a finite k-point mesh, the MLWFs are in fact periodic and the period is related to the spacing of the k-point mesh. For mesh with n divisions in the ith direction in the Brillouin zone, the MLWFs "live" in a supercell n times the unit cell.

 $^{^{1}}$ Once XCrySDen starts, click on Tools \rightarrow Data Grid in order to specify an isosurface value to plot.

2: Lead – Wannier-interpolated Fermi surface

• Outline: Obtain MLWFs for the four lowest states in lead. Use Wannier interpolation to plot the Fermi surface.

- Generation Details: From PWSCF, using norm-conserving pseudopotentials and a $4\times4\times4$ k-point grid. Starting guess: atom-centred sp³ hybrid orbitals
- Directory: examples/example2/
- Input Files
 - lead.win The master input file
 - lead.mmn The overlap matrices $\mathbf{M^{(k,b)}}$
 - lead.amn $Projection A^{(k)}$ of the Bloch states onto a set of trial localised orbitals
 - lead.eig The Bloch eigenvalues at each k-point. For interpolation only

The four lowest valence bands in lead are separated in energy from the higher conduction states (see Fig. 1). The MLWFs of these states have partial occupancy. MLWFs describing only the occupied states would be poorly localised.

1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x lead
```

Inspect the output file lead.wout.

2. Use Wannier interpolation to generate the Fermi surface of lead. Rather than re-running the whole calculation we can use the unitary transformations obtained in the first calculation and restart from the plotting routine. Add the following keywords to the lead.win file:

```
restart = plot
fermi_energy = 5.2676
fermi_surface_plot = true
```

and re-run wannier90. The value of the Fermi energy (5.2676 eV) was obtained from the initial first principles calculation. wannier90 calculates the band energies, through

interpolation, on a dense mesh of k-points in the Brillouin zone. The density of this grid is controlled by the keyword fermi_surface_num_points. The default value is 50 (i.e., 50³ points). The Fermi surface file lead.bxsf can be viewed using XCrySDen, e.g.,

```
xcrysden --bxsf lead.bxsf
```

3: Silicon – Disentangled MLWFs

• Outline: Obtain disentangled MLWFs for the valence and low-lying conduction states of Si. Plot the interpolated bandstructure

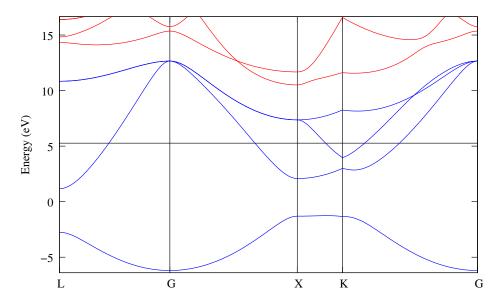


Figure 1: Bandstructure of lead showing the position of the Fermi level. Only the lowest four bands are included in the calculation.

- Generation Details: From PWSCF, using norm-conserving pseudopotentials and a $4\times4\times4$ k-point grid. Starting guess: atom-centred sp³ hybrid orbitals
- Directory: examples/example3/
- Input Files
 - silicon.win The master input file
 - silicon.mmn The overlap matrices $\mathbf{M^{(k,b)}}$
 - silicon.amn Projection $A^{(k)}$ of the Bloch states onto a set of trial localised orbitals
 - silicon.eig The Bloch eigenvalues at each k-point

The valence and lower conduction states can be represented by MLWFs with sp^3 -like symmetry. The lower conduction states are not separated from the higher states by an energy gap. In order to form localised WF, we use the disentanglement procedure introduced in Ref. [3]. The position of the inner and outer energy windows are shown in Fig. 2.

1. Run wannier90.

wannier90.x silicon

Inspect the output file silicon.wout. The minimisation of the spread occurs in a two-step procedure [3]. First, we minimise $\Omega_{\rm I}$ – this is the extraction of the optimal subspace in the disentanglement procedure. Then, we minimise $\Omega_{\rm D} + \Omega_{\rm OD}$.

2. Plot the energy bands by adding the following commands to the input file silicon.win

```
restart = plot
bands_plot = true
```

and re-running wannier90. The files silicon_band.dat and silicon_band.gnu are created. To plot the bandstructure using gnuplot

```
myshell> gnuplot
gnuplot> load 'silicon_band.gnu'
```

The k-point path for the bandstructure interpolation is set in the kpoint_path block. Try plotting along different paths.

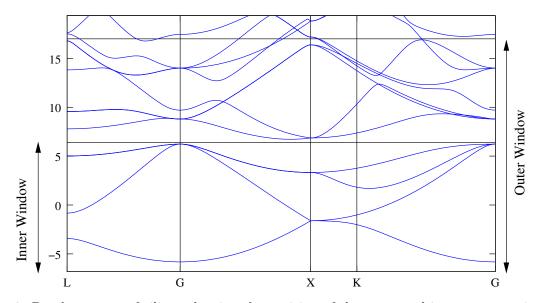


Figure 2: Bandstructure of silicon showing the position of the outer and inner energy windows.

4: Copper – Fermi surface, orbital character of energy bands

- Outline: Obtain MLWFs to describe the states around the Fermi-level in copper
- Generation Details: From PWSCF, using ultrasoft pseudopotentials [6] and a 4×4×4 k-point grid. Starting guess: five atom-centred d orbitals, and two s orbitals centred on one of each of the two tetrahedral interstices.
- Directory: examples/example4/
- Input Files
 - copper.win The master input file
 - copper.mmn The overlap matrices $\mathbf{M}^{(\mathbf{k},\mathbf{b})}$
 - copper.amn $Projection \ \mathbf{A^{(k)}}$ of the Bloch states onto a set of trial localised orbitals
 - copper.eig The Bloch eigenvalues at each k-point
- 1. Run wannier90 to minimise the MLWFs spread

```
wannier90.x copper
```

Inspect the output file copper.wout.

2. Plot the Fermi surface, it should look familiar! The Fermi energy is at 12.2103 eV.

3. Plot the interpolated bandstructure. A suitable path in k-space is

4. Plot the contribution of the interstitial WF to the bandstructure. Add the following keyword to copper.win

```
bands_plot_project = 6,7
```

The resulting file $copper_band_proj.gnu$ can be opened with gnuplot. Red lines correspond to a large contribution from the interstitial WF (blue line are a small contribution; ie a large d contribution).

Investigate the effect of the outer and inner energy window on the interpolated bands.

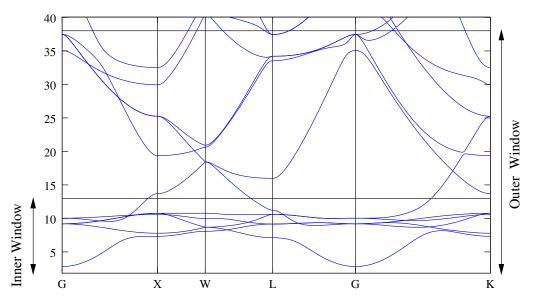


Figure 3: Bandstructure of copper showing the position of the outer and inner energy windows.

Examples Using the PWSCF Interface

The PWSCF plane-wave, density-functional theory code, which is available as part of the QUANTUM-ESPRESSO distribution (http://www.quantum-espresso.org), is fully interfaced to wannier90 via the pw2wannier90 post-processing code that is also available as part of QUANTUM-ESPRESSO. The latest version of pw2wannier90 is included as part of the wannier90 distribution. Please see the pwscf directory for instructions on how to incorporate it into PWSCF.

Note that both the PWSCF executable pw.x and pw2wannier90.x can be run in parallel, which for large calculations can reduce the computation time very significantly. This requires compiling the code in its parallel version, using the MPI libraries. Refer to the QUANTUM-ESPRESSO package for the documentation on how to do so. Note that, unless you specify wf_collect=.true. in your pw.x input file, you must run pw2wannier90 with the same number of processors as pw.x.

Moreover we remind here that both the wannier90 executable and postw90.x can be run in parallel. In this case any number of processors can be used, independently of the number used for pw.x and pw2wannier90.x.

5: Diamond – MLWFs for the valence bands

- Outline: Obtain MLWFs for the valence bands of diamond
- Directory: examples/example5/
- Input Files
 - diamond.scf The PWSCF input file for ground state calculation
 - diamond.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - diamond.pw2wan The input file for pw2wannier90
 - diamond.win The wannier90 input file
- Run PWSCF to obtain the ground state of diamond pw.x < diamond.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < diamond.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the diamond.nnkp file). wannier90.x -pp diamond
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the diamond.mmn and diamond.amn files). pw2wannier90.x < diamond.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x diamond

6: Copper – Fermi surface

- Outline: Obtain MLWFs to describe the states around the Fermi-level in copper
- Directory: examples/example6/
- Input Files
 - copper.scf The PWSCF input file for ground state calculation
 - copper.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - copper.pw2wan Input file for pw2wannier90
 - copper.win The wannier90 input file
- Run PWSCF to obtain the ground state of copper pw.x < copper.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < copper.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the copper.nnkp file). wannier90.x -pp copper
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the copper.mmn and copper.amn files).

 pw2wannier90.x < copper.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x copper

Inspect the output file copper.wout.

1. Use Wannier interpolation to obtain the Fermi surface of copper. Rather than re-running the whole calculation we can use the unitary transformations obtained in the first calculation and restart from the plotting routine. Add the following keywords to the copper.win file:

```
restart = plot
fermi_energy = [insert your value here]
fermi_surface_plot = true
```

and re-run wannier90. The value of the Fermi energy can be obtained from the initial first principles calculation. wannier90 calculates the band energies, through Wannier interpolation, on a dense mesh of k-points in the Brillouin zone. The density of this grid is controlled by the keyword fermi_surface_num_points. The default value is 50 (i.e., 50³ points). The Fermi surface file copper.bxsf can be viewed using XCrySDen, e.g.,

```
xcrysden --bxsf copper.bxsf
```

2. Plot the interpolated bandstructure. A suitable path in k-space is

Further ideas

- Compare the Wannier interpolated bandstructure with the full PWSCF bandstructure. Obtain MLWFs using a denser k-point grid. To plot the bandstructure you can use the PWSCF tool bands.x or the small FORTRAN program available at http://www.tcm.phy.cam.ac.uk/~jry20/bands.html.
- Investigate the effects of the outer and inner energy windows on the interpolated bands.
- Instead of extracting a subspace of seven states, we could extract a nine dimensional space (i.e., with s, p and d character). Examine this case and compare the interpolated bandstructures.

7: Silane (SiH₄) – Molecular MLWFs using Γ -point sampling

- Outline: Obtain MLWFs for the occupied states of molecular silane. Γ -point sampling
- Directory: examples/example7/
- Input Files
 - silane.scf The PWSCF input file for ground state calculation
 - silane.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - silane.pw2wan Input file for pw2wannier90
 - silane.win $\it The$ wannier90 $\it input file$
- Run PWSCF to obtain the ground state of silane
 pw.x < silane.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < silane.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the silane.nnkp file). wannier90.x -pp silane
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the silane.mmn and silane.amn files).

 pw2wannier90.x < silane.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x silane

8: Iron – Spin-polarized WFs, DOS, projected WFs versus MLWFs

- Outline: Generate both maximally-localized and projected Wannier functions for ferromagnetic bcc Fe. Calculate the total and orbital-projected density of states by Wannier interpolation.
- Directory: examples/example8/
- Input Files
 - iron.scf The PWSCF input file for the spin-polarized ground state calculation
 - iron.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - iron_{up,down}.pw2wan Input files for pw2wannier90
 - iron_{up,down}.win Input files for wannier90 and postw90
- Note that in a spin-polarized calculation the spin-up and spin-down MLWFs are computed separately. (The more general case of spinor WFs will be treated in Example 17.)
- Run PWSCF to obtain the ferromagnetic ground state of bcc Fe pw.x < iron.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < iron.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the .nnkp files). wannier90.x -pp iron_up wannier90.x -pp iron_dn
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the .mmn and .amn files).

```
pw2wannier90.x < iron_up.pw2wan > pw2wan_up.out
pw2wannier90.x < iron_dn.pw2wan > pw2wan_dn.out
```

5. Run wannier90 to compute the MLWFs.

```
wannier90.x iron_up
wannier90.x iron_dn
```

Density of states

To compute the DOS using a $25 \times 25 \times 25$ k-point grid add to the two .win files

```
dos = true
  dos_kmesh = 25

run postw90,
  postw90.x iron_up
  postw90.x iron_dn
```

and plot the DOS with gnuplot,

```
myshell> gnuplot
gnuplot> plot 'iron_up_dos.dat' u (-$2):($1-12.6256) w l,'iron_dn_dos.dat' u
2:($1-12.6256) w l
```

Energies are referred to the Fermi level (12.6256 eV, from scf.out). Note the exchange splitting between the up-spin and down-spin DOS. Check the convergence by repeating the DOS calculations with more k-points.

Projected versus maximally-localized Wannier functions

In the calculations above we chose s, p, and d-type trial orbitals in the .win files,

```
Fe:s;p;d
```

Let us analyze the evolution of the WFs during the gauge-selection step. Open one of the .wout files and search for "Initial state"; those are the *projected* WFs. As expected they are atom-centred, with spreads organized in three groups, 1+3+5: one s, three p, and five d. Now look at the final state towards the end of the file. The Wannier spreads have re-organized in two groups, 6+3; moreover, the six more diffuse WFs are off-centred: the initial atomic-like orbitals hybridized with one another, becoming more localized in the process. It is instructive to visualize the final-state MLWFs using XCrySDen, following Example 1. For more details, see Sec. IV.B of Ref. [7].

Let us plot the evolution of the spread functional Ω ,

```
myshell> grep SPRD iron_up.wout > sprd_up
myshell> gnuplot
gnuplot> plot 'sprd_up' u 6 w 1
```

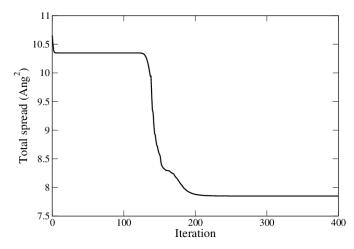


Figure 4: Evolution of the Wannier spread Ω of the minority (spin-up) bands of bcc Fe during the iterative minimization of $\widetilde{\Omega}$, starting from s, p, and d-type trial orbitals.

The first plateau corresponds to atom-centred WFs of separate s, p, and d character, and the sharp drop signals the onset of the hybridization. With hindsight, we can redo steps 4 and 5 more efficiently using trial orbitals with the same character as the final MLWFs,

```
Fe:sp3d2;dxy;dxz,dyz
```

With this choice the minimization converges much more rapidly.

Any reasonable set of localized WFs spanning the states of interest can be used to compute physical quantities (they are "gauge-invariant"). Let us recompute the DOS using, instead of MLWFs, the WFs obtained by projecting onto s, p, and d-type trial orbitals, without further iterative minimization of the spread functional. This can be done by setting

```
num_iter = 0
```

But note that we still need to do disentanglement! Recalculate the DOS to confirm that it is almost identical to the one obtained earlier using the hybridized set of MLWFs. Visualize the projected WFs using XCrySDen, to see that they retain the pure orbital character of the individual trial orbitals.

Orbital-projected DOS and exchange splitting

With projected WFs the total DOS can be separated into s, p and d contributions, in a similar way to the orbital decomposition of the energy bands in Example 4.

In order to obtain the partial DOS projected onto the p-type WFs, add to the .win files

```
dos_project = 2,3,4
```

and re-run postw90. Plot the projected DOS for both up- and down-spin bands. Repeat for the s and d projections.

Projected WFs can also be used to quantify more precisely the exchange splitting between majority and minority states. Re-run wannier90 after setting dos=false and adding to the .win files

```
write_hr_diag = true
```

This instructs wannier90 to print in the output file the on-site energies $\langle \mathbf{0}n|H|\mathbf{0}n\rangle$. The difference between corresponding values in iron_up.wout and in iron_dn.wout gives the exchange splittings for the individual orbitals. Compare their magnitudes with the splittings displayed by the orbital-projected DOS plots. In agreement with the Stoner criterion, the largest exchange splittings occur for the localized d-states, which contribute most of the density of states at the Fermi level.

9: Cubic BaTiO₃

- Outline: Obtain MLWFs for a perovskite
- Directory: examples/example9/
- Input Files
 - batio3.scf The PWSCF input file for ground state calculation
 - batio3.nscf The PWSCF input file to obtain Bloch states on a uniform grid

- batio3.pw2wan Input file for pw2wannier90
- batio3.win The wannier90 input file

To start with, we are going to obtain MLWFs for the oxygen 2p states. From the bandstructure [8], these form an isolated group of bands. We use the wannier90 keyword exclude_bands to remove all but the 2p bands from the calculation of the overlap and projection matrices (we don't have to do this, but it saves time).

- Run PWSCF to obtain the ground state of BaTiO₃
 pw.x < BaTiO₃.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < BaTiO3.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the BaTiO3.nnkp file). wannier90.x -pp BaTiO3
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the BaTiO3.mmn and BaTiO3.amn files).

 pw2wannier90.x < BaTiO3.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x BaTiO3

Inspect the output file BaTiO3.wout.

Plot the second MLWF, as described in Section 1, by adding the following keywords to the input file BaTiO3.win

```
wannier_plot = true
restart = plot
wannier_plot_list = 2
wannier_plot_supercell = 3
```

and re-running wannier90. Visualise it using XCrySDen,

```
xcrysden --xsf BaTiO3_00002.xsf
```

We can now simulate the ferroelectric phase by displacing the Ti atom. Change its position to

```
Ti 0.505 0.5 0.5
```

and regenerate the MLWFs (i.e., compute the ground-state charge density and Bloch states using PWSCF, etc.) and look at the change in the second MLWF.

Further ideas

- Look at MLWFs for other groups of bands. What happens if you form MLWFs for the whole valence manifold?
- Following Ref. [8], compute the Born effective charges from the change in Wannier centres under an atomic displacement.

10: Graphite

- Outline: Obtain MLWFs for graphite (AB, Bernal)
- Directory: examples/example10/
- Input Files
 - graphite.scf The PWSCF input file for ground state calculation
 - graphite.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - graphite.pw2wan Input file for pw2wannier90
 - graphite.win The wannier90 input file
- Run PWSCF to obtain the ground state of graphite
 pw.x < graphite.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < graphite.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the graphite.nnkp file). wannier90.x -pp graphite
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the graphite.mmn and graphite.amn files). pw2wannier90.x < graphite.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x graphite

11: Silicon – Valence and low-lying conduction states

Valence States

- Outline: Obtain MLWFs for the valence bands of silicon.
- Directory: examples/example11/
- Input Files
 - silicon.scf The PWSCF input file for ground state calculation
 - silicon.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - silicon.pw2wan Input file for pw2wannier90
 - silicon.win The wannier90 input file
- Run PWSCF to obtain the ground state of silicon pw.x < silicon.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid. Note that we request the lower 4 (valence) bands

```
pw.x < silicon.nscf > nscf.out
```

3. Run wannier90 to generate a list of the required overlaps (written into the silicon.nnkp file). wannier90.x -pp silicon

- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the silicon.mmn and silicon.amn files). pw2wannier90.x < silicon.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x silicon

Inspect the output file silicon.wout. The total spread converges to its minimum value after just a few iterations. Note that the geometric centre of each MLWF lies at the centre of the Si-Si bond. Note also that the memory requirement for the minimisation of the spread is very low as the MLWFs are defined by just the 4×4 unitary matrices $\mathbf{U}^{(\mathbf{k})}$.

Plot the MLWFs by adding the following keywords to the input file silicon.win

```
wannier_plot = true
```

and re-running wannier90. Visualise them using XCrySDen, e.g.,

```
xcrysden --xsf silicon_00001.xsf
```

Valence + Conduction States

- Outline: Obtain MLWFs for the valence and low-lying conduction-band states of Si. Plot the interpolated bandstructure. Apply a scissors correction to the conduction bands.
- Input Files
 - silicon.scf The PWSCF input file for ground state calculation
 - silicon.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - silicon.pw2wan Input file for pw2wannier90
 - silicon.win The wannier90 input file

The valence and lower conduction states can be represented by MLWFs with sp^3 -like symmetry. The lower conduction states are not separated by an energy gap from the higher states. In order to form localised WF we use the disentanglement procedure introduced in Ref. [3]. The position of the inner and outer energy windows are shown in Fig. 2.

- 1. Modify the input file and run PWSCF and wannier90. Inspect the output file silicon.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise $\Omega_{\rm I}$ this is the extraction of the optimal subspace in the disentanglement procedure. Then, we minimise $\Omega_{\rm O} + \Omega_{\rm OD}$.
- 2. Plot the bandstructure by adding the following commands to the input file silicon.win

```
restart = plot
bands_plot = true
```

and re-running wannier90. The files silicon_band.dat and silicon_band.gnu are created. To plot the bandstructure using gnuplot

```
myshell> gnuplot
gnuplot> load 'silicon_band.gnu'
```

The k-point path for the bandstructure interpolation is set in the kpoint_path block. Try plotting along different paths.

Further ideas

- Compare the Wannier-interpolated bandstructure with the full PWSCF bandstructure. Recompute the MLWFs using a finer k-point grid (e.g., $6 \times 6 \times 6$ or $8 \times 8 \times 8$) and note how the accuracy of the interpolation increases [9].
- Compute four MLWFs spanning the low-lying conduction states (see Ref. [3]).

12: Benzene – Valence and low-lying conduction states

Valence States

- Outline: Obtain MLWFs for the valence states of benzene
- Directory: examples/example12/
- Input Files
 - benzene.scf The PWSCF input file for ground state calculation
 - benzene.pw2wan Input file for pw2wannier90
 - benzene.win The wannier90 input file
- Run PWSCF to obtain the ground state of benzene
 pw.x < benzene.scf > scf.out
- 2. Run wannier90 to generate a list of the required overlaps (written into the benzene.nnkp file). wannier90.x -pp benzene
- 3. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the benzene.mmn and benzene.amn files).

 pw2wannier90.x < benzene.pw2wan > pw2wan.out
- 4. Run wannier90 to compute the MLWFs. wannier90.x benzene

Inspect the output file benzene.wout. The total spread converges to its minimum value after just a few iterations.

Plot the MLWFs by adding the following keywords to the input file benzene.win

```
restart = plot
wannier_plot = true
wannier_plot_format = cube
wannier_plot_list = 2-4
```

and re-running wannier90. Visualise them using, e.g., XCrySDen.

Valence + Conduction States

- Outline: Obtain MLWFs for the valence and low-lying conduction states of benzene.
- Input Files
 - benzene.scf The PWSCF input file for ground state calculation
 - benzene.nscf The PWSCF input file to obtain Bloch states for the conduction states
 - benzene.pw2wan Input file for pw2wannier90
 - benzene.win The wannier90 input file

In order to form localised WF we use the disentanglement procedure. The position of the inner energy window is set to lie in the energy gap; the outer energy window is set to 4.0 eV. Modify the input file appropriately.

- 1. Run PWSCF and wannier90. Inspect the output file benzene.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise $\Omega_{\rm I}$. Then, we minimise $\Omega_{\rm O} + \Omega_{\rm OD}$.
- 2. Plot the MLWFs by adding the following commands to the input file benzene.win

```
restart = plot
wannier_plot = true
wannier_plot_format = cube
wannier_plot_list = 1,7,13
```

and re-running wannier90. Visualise them using, e.g., XCrySDen.

13: (5,5) Carbon Nanotube – Transport properties

- Outline: Obtain the bandstructure, quantum conductance and density of states of a metallic (5,5) carbon nanotube
- Directory: examples/example13/
- Input Files
 - cnt55.scf The PWSCF input file for ground state calculation
 - cnt55.nscf The PWSCF input file to obtain Bloch states for the conduction states
 - cnt55.pw2wan Input file for pw2wannier90
 - cnt55.win The wannier90 input file

In order to form localised WF that describe both the occupied and unoccupied π and π^* manifolds, we use the disentanglement procedure to extract a smooth manifold of states that has dimension equal to 2.5 times the number of carbon atoms per unit cell [10]. The positions of the energy windows are shown in Fig. 5.

The part of the wannier90 input file that controls the transport part of the calculation looks like:

```
transport = true
transport_mode = bulk
one_dim_axis = z
dist_cutoff = 5.5
fermi_energy = -1.06
tran_win_min = -6.5
tran_win_max = 6.5
tran_energy_step = 0.01
dist_cutoff_mode = one_dim
translation_centre_frac = 0.0 0.0 0.0
```

Descriptions of these and other keywords related to the calculation of transport properties can be found in the User Guide.

- 1. Run PWSCF and wannier90. Inspect the output file cnt55.wout. The minimisation of the spread occurs in a two-step procedure. First, we minimise $\Omega_{\rm I}$. Then, we minimise $\Omega_{\rm O} + \Omega_{\rm OD}$.
- 2. Note that the initial p_z projections on the carbon atoms are oriented in the radial direction with respect to the nanotube axis.
- 3. The interpolated bandstructure is written to cnt55_band.agr (since bands_plot_format = xmgr in the input file).
- 4. The quantum conductance and density of states are written to the files cnt55_qc.dat and cnt55_dos.dat, respectively. Note that this part of the calculation may take some time. You can follow its progress by monitoring the output to these files. Use a package such as gnuplot or xmgrace in order to visualise the data. You should get something that looks like Fig. 6.

14: Linear Sodium Chain – Transport properties

- Outline: Compare the quantum conductance of a periodic linear chain of Sodium atoms with that of a defected chain
- Directories: examples/example14/periodic examples/example14/defected
- Input Files
 - Na_chain.scf The PWSCF input file for ground state calculation
 - Na_chain.nscf The PWSCF input file to obtain Bloch states for the conduction states

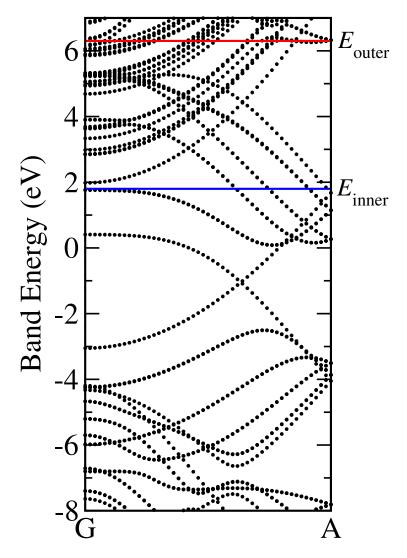


Figure 5: Bandstructure of (5,5) carbon nanotube showing the position of the outer and inner energy windows.

- Na_chain.pw2wan Input file for pw2wannier90
- Na_chain.win The wannier90 $input\ file$

The periodic system contains two unit cells evenly distributed along the supercell. Transport calculations are performed using transport_mode = bulk and so the resulting quantum conductance represents that of an infinite periodic chain.

The part of the wannier90 input file that controls the transport part of the calculation looks like:

```
transport = true
transport_mode = bulk
tran_read_ht = false
one_dim_axis = x
fermi_energy = -2.7401
tran_win_min = -5.0
```

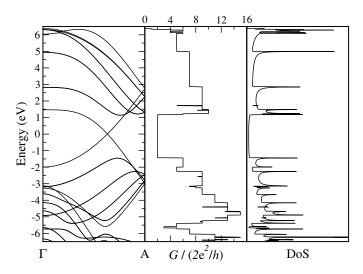


Figure 6: Wannier interpolated bandstructure, quantum conductance and density of states of (5,5) carbon nanotube. Note that the Fermi level has been shifted by 1.06eV with respect to Fig. 5.

```
tran_win_max = 5.0
tran_energy_step = 0.01
translation_centre_frac = 0.5 0.5 0.5
tran_num_bb = 2
```

The defected system uses a 13 atom supercell with the central atom position altered to break symmetry. Setting transport_mode = lcr with tell wannier90 to treat the system as an infinite system with the defect at its centre. The supercell is chosen so that is conforms to the 2c2 geometry (see User Guide for details). Each principal layer is 2 atoms long so that the conductor region contains the defected atom plus a single atom on either side.

The transport section of the input file contains these key differences:

```
transport_mode = 1cr
tran_num_11 = 2
tran_num_cell_11 = 2
```

Descriptions of these and other keywords related to the calculation of transport properties can be found in the User Guide.

- 1. Run PWSCF and wannier90 for the periodic system.
- 2. Run PWSCF and wannier90 for the defected system.
- 3. The quantum conductance is written to the files periodic/Na_chain_qc.dat and defected/Na_chain_dos.dat, respectively. Compare the quantum conductance of the periodic (bulk) calculation with the defected (LCR) calculation. Your plot should look like Fig. 7.

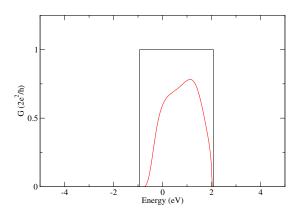


Figure 7: Quantum conductance of periodic Sodium chain (black) compared to that of the defected Sodium chain (red).

15: (5,0) Carbon Nanotube – Transport properties

Note that these systems require reasonably large-scale electronic structure calculations.

Bulk Transport properties

- Outline: Obtain the quantum conductance of a pristine single-walled carbon nanotube
- Directory: examples/example14/periodic
- Input Files
 - cnt.scf The PWSCF input file for ground state calculation
 - cnt.nscf The PWSCF input file to obtain Bloch states for the conduction states
 - cnt.pw2wan Input file for pw2wannier90
 - cnt.win The wannier90 input file

First we consider a single unit cell, with 10 k-points. With transport_mode = bulk we compute the transport properties of a pristine, infinite, periodic (5,0) carbon nanotube. Later, we will compare the quantum conductance of this system with a defected nanotube.

- 1. Run PWSCF and wannier90.
- 2. The quantum conductance and density of states are written to the files cnt_qc.dat and cnt_dos.dat, respectively.

LCR transport properties – Defected nanotube

- Outline: Use the automated LCR routine to investigate the effect of a single silicon atom in a infinite (5,0) carbon nanotube.
- Directory: examples/example15/defected

- Input Files
 - cnt+si.scf The PWSCF input file for ground state calculation
 - cnt+si.nscf The PWSCF input file to obtain Bloch states for the conduction states
 - cnt+si.pw2wan Input file for pw2wannier90
 - cnt+si.win The wannier90 input file

In this calculation an 11-atom supercell is used with a single silicon substitutional defect in the central unit cell. The supercell is chosen so that is conforms to the 2c2 geometry (see User Guide for details) with principal layers set to be two unit cells long.

- 1. Run PWSCF and wannier90. Again these are large calculations, progress can be monitored by viewing respective output files.
- 2. The quantum conductance is written to cnt+si_qc.dat. Compare the quantum conductance with the periodic (bulk) calculation. Your plot should look like Fig. 8.

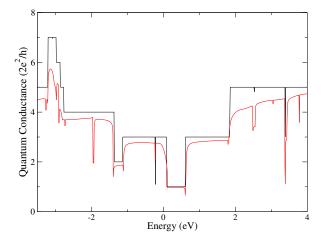


Figure 8: Quantum conductance of infinite pristine nanotube (black) compared to that of the infinite nanotube with the substitutional silicon defect (red).

Further ideas

- Set write_hr = true in the bulk case. Consider the magnitude of Hamiltonian elements between Wannier functions in increasingly distant unit cells. Are two unit cell principal layers really large enough, or are significant errors introduced?
- Does one unit cell either side of the defected unit cell shield the disorder so that the leads are ideal? Does the quantum conductance change if these 'buffer' regions are increased?

16: Silicon – Boltzmann transport

• Outline: Obtain MLWFs for the valence and low-lying conduction states of Si. Calculate the electrical conductivity, the Seebeck coefficient and the thermal conductivity in the constant relaxation time approximation using the BoltzWann module.

If you want to use Quantum ESPRESSO

- Directory: examples/example16-withqe/
- Input Files
 - Si.scf The PWSCF input file for ground state calculation
 - Si.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Si.pw2wan Input file for pw2wannier90
 - Si.win The wannier90 and postw90 input file

If you do not want to use Quantum ESPRESSO

- Directory: examples/example16-noqe/
- Input Files
 - Si.win The wannier90 and postw90 input file
 - Si.mmn The overlap matrices M(k,b)
 - Si.amn Projection $A^{(k)}$ of the Bloch states onto a set of trial localised orbitals
 - Si.eig The Bloch eigenvalues at each k-point. For interpolation only

Note the first five steps in the following are the same of Example 11, and are needed only if you want to use the PWscf code of Quantum ESPRESSO. Otherwise, if you have already run Example 11 with Quantum ESPRESSO (in particular, the section "Valence + Conduction States") you can start from those files and continue from point 6, after having added the BoltzWann flags to the input file.

If instead you do not have Quantum ESPRESSO installed, or you do not want to use it, you can start from step 5 using the files in the examples/example16-noqe/ folder.

- Run PWSCF to obtain the ground state of silicon pw.x < Si.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid. Details on the disentanglement procedure are discussed in Example 11.

```
pw.x < Si.nscf > nscf.out
```

- 3. Run wannier90 to generate a list of the required overlaps (written into the Si.nnkp file). wannier90.x -pp Si
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the Si.mmn and Si.amn files).
 pw2wannier90.x < Si.pw2wan > pw2wan.out

5. Run wannier90 to compute the MLWFs. wannier90.x Si

Inspect the output file Si.wout and check if the convergence was reached both in the disentanglement and in the wannierisation steps (as discussed in further detail in Example 11). You may also want to plot the Wannier functions and the interpolated band structure.

6. Run postw90 to calculate the transport coefficients. postw90.x Si (serial execution) mpirun -np 8 postw90.x Si (example of parallel execution with 8 MPI processes)

Inspect the output file Si.wpout. It summarizes the main details of the calculation (more details can be obtained by setting a larger value of the iprint flag). Check if no warnings are issued. Note that if no special flags are passed to BoltzWann, it assumes that the ab-initio calculation did not include magnetization effects, and thus it sets to 2 the number of electrons per state.

Note also that the value of the relaxation time $\tau = 10$ fs in the example is set only as a representative value; note also that only the electrical and thermal conductivity depend on τ , while the Seebeck coefficient is independent of τ .

Using your favourite plotting program, plot the Si_boltzdos.dat file to inspect the DOS.

Using your favourite plotting program, plot columns 1 and 3 of the Si_seebeck.dat file to inspect the S_{xx} component of the Seebeck coefficient as a function of the chemical potential μ , at T=300 K.

Further ideas

- Change the interpolation to a $60 \times 60 \times 60$ mesh and run again postw90 to check if the results for the transport properties are converged.
- Change the Si.win input file so that it calculates the transport coefficients for temperatures from 300 to 700 K, with steps of 200 K. Rerun postw90 and verify that the increase in execution time is neglibile (in fact, most of the time is spent to interpolate the band structure on the k mesh).

Plot the Seebeck coefficient for the three temperatures T = 300 K, T = 500 K and T = 700 K. To do this, you have to filter the Si_seebeck.dat to select only those lines where the second column is equal to the required temperature. A possible script to select the S_{xx} component of the Seebeck coefficient for T = 500 K using the awk/gawk command line program is the following:

Then, you can plot columns 1 and 2 of the output file Si_seebeck_xx_500K.dat.

• Try to calculate the Seebeck coefficient as a function of the temperature, for a n-doped sample with, e.g., $n = 10^{18}$ cm⁻³. Note that to this aim, you need to calculate consistently the value $\mu(T)$ of the chemical potential as a function of the temperature, so as to reproduce the given value of n. Then, you have to write a small program/script to interpolate the output of BoltzWann, that you should have run on a suitable grid of (μ, T) points.

17: Iron – Spin-orbit-coupled bands and Fermi-surface contours

Note: It is recommended that you go through Example 8 first (bcc Fe without spin-orbit).

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Plot the spin-orbit-coupled bands of ferromagnetic bcc Fe. Plot the Fermi-surface contours on a plane in the Brillouin zone.
- Directory: examples/example17/
- Input files
 - Fe.scf The PWSCF input file for ground state calculation
 - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Fe.pw2wan The input file for pw2wannier90
 - Fe.win The wannier90 and postw90 $input\ file$

Note that num_wann =18 in Fe.win, but only nine trial orbitals are provided. The line

```
spinors = true
```

tells wannier90 to use in step 3 below the specified trial orbitals on both the up- and down-spin channels, effectively doubling their number.

- Run PWSCF to obtain the ferromagnetic ground state of iron² pw.x < Fe.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file) wannier90.x -pp Fe
- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b}}\rangle$ between spinor Bloch states (written in the Fe.mmn file)
 - The projections for the starting guess (written in the Fe. amn file)
 - The spin matrix elements $\langle \psi_{n\mathbf{k}} | \sigma_i | \psi_{m\mathbf{k}} \rangle$, i = x, y, z (written in the Fe.spn file)

```
pw2wannier90.x < Fe.pw2wan > pw2wan.out
```

- 5. Run wannier90 to compute the MLWFs. wannier90.x Fe
- 6. Run postw90 to compute the energy eigenvalues and spin expectation values. postw90.x Fe (serial execution)
 mpirun -np 8 postw90.x Fe (example of parallel execution with 8 MPI processes)

²Please note the following counterintuitive feature in pwscf: in order to obtain a ground state with magnetization along the *positive z*-axis, one should use a *negative* value for the variable starting_magnetization.

In this example we use the module kpath to plot the energy bands coloured by the expectation value of the spin along [001]:

```
kpath = true
kpath_task = bands
kpath_bands_colour = spin
kpath_num_points=500
```

To plot the bands using gnuplot (version 4.2 or higher) issue

```
myshell> gnuplot
gnuplot> load 'Fe-bands.gnu'
```

or, using python,

```
myshell> python Fe-bands.py
```

Next we plot the Fermi-surface contours on the (010) plane $k_y = 0$, using the kslice module. Set kpath = false and uncomment the following instructions in Fe.win,

```
kslice = true
kslice_task = fermi_lines
fermi_energy = [insert your value here]
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

taking the Fermi level value from scf.out. The energy eigenvalues are computed on a 200×200 k-point grid covering the BZ slice. The lines of intersection between the Fermi surface and the (010) plane can be visualized with the gnuplot or python scripts generated at runtime,

```
myshell> gnuplot
gnuplot> load 'Fe-kslice-fermi_lines.gnu'
```

or

```
myshell> python Fe-kslice-fermi_lines.py
```

The Fermi lines can be colour-coded by the spin expectation value $\langle S_z \rangle$ of the states on the Fermi surface. Add to Fe.win the line

```
kslice_fermi_lines_colour = spin
```

and re-run postw90. The names of the gnuplot and python scripts generated at runtime are unchanged. (However, the plotting algorithm is different in this case, and the lines are not as smooth as before. You may want to increase kslice_2dkmesh.)

Further ideas

• Redraw the Fermi surface contours on the (010) plane starting from a calculation without spinorbit coupling, by adding to the input files iron_{up,down}.win in Example 8 the lines

```
kslice = true
kslice_task = fermi_lines
fermi_energy = [insert your value here]
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

before running postw90,

```
postw90.x iron_up
postw90.x iron_dn
```

The python scripts generated at runtime draw the up- and down-spin Fermi lines on separate figures. To draw them together, use the script <code>iron_updn-kslice-fermi_lines.py</code> provided with Example 17 (or merge the two generated scripts). Compare the Fermi lines with and without spin-orbit, and note the spin-orbit-induced avoided crossings.

• In Example 8 we obtained MLWFs separately for the up- and down-spin channels of bcc Fe without spin-orbit. The Wannier-interpolated DOS was therefore automatically separated into minority and majority contributions. For a spinor calculation we can still spin-decompose the DOS, using

```
dos = true
spin_decomp = true
dos_kmesh = 25 25 25
```

The data file Fe-dos.dat created by postw90 contains the up-spin and down-spin contributions in the third and fourth columns,

```
myshell> gnuplot
gnuplot> plot 'Fe-dos.dat' u (-$3):($1-12.6285) w l,'Fe-dos.dat' u ($4):($1-12.6285)
w l
```

(You should replace 12.6285 with your value of the Fermi energy). An alternative approach is to project the DOS onto the up-spin and down-spin WFs separately. To find the DOS projected onto the up-spin (odd-numbered) WFs replace spin_decomp = true with

```
dos_project = 1,3,5,7,9,11,13,15,17
```

and re-run postw90. This approach has the advantage that it does not require the Fe.spn file.

18: Iron – Berry curvature, anomalous Hall conductivity and optical conductivity

Note: This example requires a recent version of the pw2wannier90 interface.

• Outline: Calculate the Berry curvature, anomalous Hall conductivity, and (magneto)optical conductivity of ferromagnetic bcc Fe with spin-orbit coupling. In preparation for this example it may be useful to read Ref. [11] and Ch. 11 of the User Guide.

- Directory: examples/example18/
- Input files
 - Fe.scf The PWSCF input file for ground state calculation
 - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Fe.pw2wan The input file for pw2wannier90
 - Fe.win The wannier90 and postw90 input file

The sequence of steps below is the same of Example 17. If you have already run that example, you can reuse the output files from steps 1–5, and only step 6 must be carried out again using the new input file Fe.win.

```
    Run PWSCF to obtain the ground state of iron
pw.x < Fe.scf > scf.out
```

- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file) wannier90.x -pp Fe
- 4. Run pw2wannier90 to compute the overlaps between Bloch states and the projections for the starting guess (written in the Si.mmn and Si.amn files)
 pw2wannier90.x < Fe.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs wannier90.x Fe
- 6. Run postw90
 postw90.x Fe (serial execution)
 mpirun -np 8 postw90.x Fe (example of parallel execution with 8 MPI processes)

Berry curvature plots

The Berry curvature $\Omega_{\alpha\beta}(\mathbf{k})$ of the occupied states is defined in Eq. (11.18) of the User Guide. The following lines in Fe.win are used to calculate the energy bands and the Berry curvature (in bohr²) along high-symmetry lines in k-space.

```
fermi_energy = [insert your value here]
berry_curv_unit = bohr2
kpath = true
kpath_task = bands+curv
kpath_bands_colour = spin
kpath_num_points = 1000
```

After executing postw90, plot the Berry curvature component $\Omega_z(\mathbf{k}) = \Omega_{xy}(\mathbf{k})$ along the magnetization direction using the script generated at runtime,

```
myshell> python Fe-bands+curv_z.py
```

and compare with Fig. 2 of Ref. [11].

In Example 17 we plotted the Fermi lines on the (010) plane $k_y = 0$. To combine them with a heatmap plot of (minus) the Berry curvature set kpath = false, uncomment the following lines in Fe.win,

```
kslice = true
kslice_task = curv+fermi_lines
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 0.5 -0.5 -0.5
kslice_b2 = 0.5 0.5 0.5
kslice_2dkmesh = 200 200
```

re-run postw90, and issue

```
myshell> python Fe-kslice-curv_z+fermi_lines.py
```

Compare with Fig. 3 in Ref. [11]. Note how the Berry curvature "hot-spots" tend to occur near spin-orbit-induced avoided crossings (the Fermi lines with and without spin-orbit were generated in Example 17).

Anomalous Hall conductivity

The intrinsic anomalous Hall conductivity (AHC) is proportional to the BZ integral of the Berry curvature. In bcc Fe with the magnetization along $\hat{\mathbf{z}}$, the only nonzero components are $\sigma_{xy} = -\sigma_{yx}$. To evaluate the AHC using a $25 \times 25 \times 25$ k-point mesh, set kslice = false, uncomment the following lines in Fe.win,

```
berry = true
berry_task = ahc
berry_kmesh = 25 25 25
```

and re-run postw90. The AHC is written in the output file Fe.wpout in vector form. For bcc Fe with the magnetization along [001], only the z-component σ_{xy} is nonzero.

As a result of the strong and rapid variations of the Berry curvature across the BZ, the AHC converges rather slowly with k-point sampling, and a $25 \times 25 \times 25$ does not yield a well-converged value.

- Increase the BZ mesh density by changing berry_kmesh.
- To accelerate the convergence, adaptively refine the mesh around spikes in the Berry curvature, by adding to Fe.win the lines

```
berry_curv_adpt_kmesh = 5
berry_curv_adpt_kmesh_thresh = 100.0
```

This adds a $5 \times 5 \times 5$ fine mesh around those points where $|\Omega(\mathbf{k})|$ exceeds 100 bohr². The percentage of points triggering adaptive refinement is reported in Fe.wpout.

Compare the converged AHC value with those obtained in Refs. [7] and [11].

The Wannier-interpolation formula for the Berry curvature comprises three terms, denoted D-D, D- \overline{A} , and $\overline{\Omega}$ in Ref. [7], and J2, J1, and J0 in Ref. [12]. To report in Fe.wpout the decomposition of the total AHC into these three terms, set iprint (verbosity level) to a value larger than one in Fe.win.

Optical conductivity

The optical conductivity tensor of bcc Fe with magnetization along $\hat{\mathbf{z}}$ has the form

$$oldsymbol{\sigma} = oldsymbol{\sigma}^{\mathrm{S}} + oldsymbol{\sigma}^{\mathrm{A}} = \left(egin{array}{ccc} \sigma_{xx} & 0 & 0 \ 0 & \sigma_{xx} & 0 \ 0 & 0 & \sigma_{zz} \end{array}
ight) + \left(egin{array}{ccc} 0 & \sigma_{xy} & 0 \ -\sigma_{xy} & 0 & 0 \ 0 & 0 & 0 \end{array}
ight)$$

where "S" and "A" stand for the symmetric and antisymmetric parts and $\sigma_{xx} = \sigma_{yy} \neq \sigma_{zz}$. The dc AHC calculated earlier corresponds to σ_{xy} in the limit $\omega \to 0$. At finite frequency $\sigma_{xy} = -\sigma_{yx}$ acquires an imaginary part which describes magnetic circular dichoism (MCD).

To compute the complex optical conductivity for $\hbar\omega$ up to 7 eV, replace

with

berry_task = kubo

add the line

$$kubo_freq_max = 7.0$$

and re-run postw90. Reasonably converged spectra can be obtained with a $125 \times 125 \times 125$

```
myshell> gnuplot
gnuplot> plot 'Fe-kubo_A_xy.dat' u 1:2 w 1
```

Comapare the $\omega \to 0$ limit with the result obtained earlier by integrating the Berry curvature.³

Next we plot the MCD spectrum. Following Ref. [11], we plot $\text{Im}[\omega\sigma_{xy}(\hbar\omega)]$, in units of 10^{29} sec^{-2} . The needed conversion factor is $9 \times 10^{-18} \times e/\hbar \simeq 0.0137$ (e and \hbar in SI units),

```
gnuplot> set yrange[-5:15]
gnuplot> plot 'Fe-kubo_A_xy.dat' u 1:($1)*($3)*0.0137 w 1
```

³The calculation of the AHC using berry_task = kubo involves a truncation of the sum over empty states in the Kubo-Greenwood formula: see description of the keyword kubo_eigval_max in the User Guide. As discussed around Eq. (11.17) of the User Guide, no truncation is done with berry_task = ahc.

Further ideas

• Recompute the AHC and optical spectra of bcc Fe using projected s, p, and d-type Wannier functions instead of the hybridrized MLWFs (see Example 8), and compare the results.

• A crude way to model the influence of heterovalent alloying on the AHC is to assume that its only effect is to donate or deplete electrons, i.e., to shift the Fermi level of the pure crystal [13]. Recalculate the AHC of bcc Fe for a range of Fermi energies within ±0.5 eV of the true Fermi level. This calculation can be streamlined by replacing in Fe.win

19: Iron – Orbital magnetization

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Calculate the orbital magnetization of ferromagnetic bcc Fe by Wannier interpolation.
- Directory: examples/example19/
- Input files
 - Fe.scf The PWSCF input file for ground state calculation
 - Fe.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Fe.pw2wan The input file for pw2wannier90
 - Fe.win The wannier90 and postw90 input file

The sequence of steps below is the same of Examples 17 and 18. If you have already run one of those examples, you can reuse the output files from steps 1–3 and 5. Steps 4 and 6 should be carried out again using the new input files Fe.pw2wan and Fe.win.

```
    Run PWSCF to obtain the ground state of iron
pw.x < Fe.scf > scf.out
```

- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Fe.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Fe.nnkp file). wannier90.x -pp Fe

- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b}}\rangle$ (written in the Fe.mmn file)
 - The projections for the starting guess (written in the Fe.amn file)
 - The matrix elements $\langle u_{n\mathbf{k}+\mathbf{b_1}}|H_{\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b_2}}\rangle$ (written in the Fe.uHu file)

```
pw2wannier90.x < Fe.pw2wan > pw2wan.out
```

- 5. Run wannier90 to compute the MLWFs. wannier90.x Fe
- 6. Run postw90 to compute the orbital magnetization.

```
postw90.x Fe (serial execution)
mpirun -np 8 postw90.x Fe (example of parallel execution with 8 MPI processes)
```

The orbital magnetization is computed as the BZ integral of the quantity $\mathbf{M}^{\mathrm{orb}}(\mathbf{k})$ defined in Eq. (11.20) of the User Guide. The relevant lines in Fe.win are

```
berry = true
berry_task = morb
berry_kmesh = 25 25 25
fermi_energy = [insert your value here]
```

After running postw90, compare the value of the orbital magnetization reported in Fe.wpout with the spin magnetization in scf.out. Set iprint = 2 to report the decomposition of \mathbf{M}^{orb} into the terms J0, J1, and J2 defined in Ref. [12].

To plot $M_z^{\text{orb}}(\mathbf{k})$ along high-symmetry lines set berry = false and uncomment in Fe.win the block of instructions containing

```
kpath = true
kpath_task = bands+morb
```

After running postw90, issue

```
myshell> python Fe-bands+morb_z.py
```

Compare with Fig. 2 of Ref. [12], bearing in mind the factor of -1/2 difference in the definition of $\mathbf{M}^{\text{orb}}(\mathbf{k})$ (see Ch. 11 in the User Guide).

To plot $M_z^{\text{orb}}(\mathbf{k})$ together with the Fermi contours on the (010) BZ plane set kpath = false, uncomment in Fe.win the block of instructions containing

```
kslice = true
kslice_task = morb+fermi_lines
```

re-run postw90, and issue

```
myshell> python Fe-kslice-morb_z+fermi_lines.py
```

 $M_z^{\text{orb}}(\mathbf{k})$ is much more evenly distributed in k-space than the Berry curvature (see Example 18). As a result, the integrated orbital magnetization converges more rapidly with the BZ sampling.

20: Disentanglement restricted inside spherical regions of k space

$LaVO_3$

- Outline: Obtain disentangled MLWFs for strained LaVO₃.
- Directory: examples/example20/
- Input Files
 - LaVO3.scf The PWSCF input file for ground state calculation
 - LaVO3.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - LaV03.pw2wan Input file for pw2wannier90
 - LaVO3.win The wannier90 $input\ file$
- Run PWSCF to obtain the ground state of LaVO₃.
 pw.x < LaVO₃.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid. pw.x < LaVO3.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the LaVO3.nnkp file). wannier90.x -pp LaVO3
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the LaVO3.mmn and LaVO3.amn files).
 pw2wannier90.x < LaVO3.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x LaVO3

Inspect the output file LaVO3.wout. In the initial summary, you will see that the disentanglement was performed only within one sphere of radius 0.2 arount the point A = (0.5, 0.5, 0.5) in reciprocal space:

Compare the band structure that Wannier90 produced with the one obtained using Quantum ESPRESSO. You should get something similar to Fig. 9. Notice how the t_{2g} -bands are entangled with other bands at A and the Wannier-interpolated band structure deviates from the Bloch bands only in a small region around that k-point. It is important to keep in mind that all symmetry equivalent k-points within the first Brillouin zone must be written explicitly in the list of sphere centers. For instance, the A point in the simple tetragonal lattice of this example is non-degenerate, while the X point has degeneracy two, hence one must specify both (1/2,0,0) and (0,1/2,0) (see the SrMnO₃ example here below).

Further ideas

• Try to obtain the Wannier functions using the standard disentanglement procedure (without spheres, dis_spheres_num = 0). You will notice that the Wannier-interpolated band structure

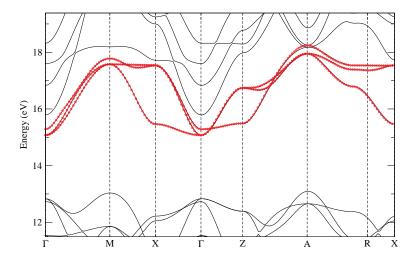


Figure 9: Band structure of epitaxially-strained (tetragonal) LaVO₃. Black: Bloch bands; red circles: Wannier-interpolated band structure. The disentanglement was performed only for k-points within a sphere of radius 0.2 Å⁻¹ centered in A.

now shows deviations also in regions of k-space far away from A, where disentanglement is actually not necessary. If you disable the disentanglement completely, instead, the Wannierisation procedure does not converge.

• In order to illustrate all possible cases, it is instructive to apply this method to $SrMnO_3$, where the t_{2g} bands are entangled with the above-lying e_g bands, and also with the deeper O-2p states. In the $SrMnO_3$ subfolder, you can find input files for building three different sets of Wannier functions: only t_{2g} states, only e_g states, or all V-3d-derived states $(t_{2g} + e_g)$. In each case one needs to specify different disentanglement spheres, according to which region(s) in k-space show entanglement of the targeted bands. Also the index dis_sphere_first_wan needs to be adapted to the new disentanglement window, which here contains also states below the lowest-lying Wannier function (at variance with the LaVO₃ case).

21: Gallium Arsenide – Symmetry-adapted Wannier functions

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Obtain symmetry-adapted Wannier functions out of four valence bands of GaAs. For the theoretical background of the symmetry-adapted Wannier functions, see R. Sakuma, Phys. Rev. B 87, 235109 (2013).
- Directory: examples/example21/atom_centered_As_sp/ examples/example21/atom_centered_Ga_p/ examples/example21/atom_centered_Ga_s/ examples/example21/atom_centered_Ga_sp/ examples/example21/bond_centered/
- Input Files
 - GaAs.scf The PWSCF input file for ground state calculation

- GaAs.nscf The PWSCF input file to obtain Bloch states on a uniform grid
- GaAs.pw2wan The input file for pw2wannier90
- GaAs.win The wannier90 input file
- Run PWSCF to obtain the ground state of GaAs
 pw.x < GaAs.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < GaAs.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file). wannier90.x -pp GaAs
- 4. Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode (written in the GaAs.mmn, GaAs.amn, and GaAs.dmn files, respectively).

 pw2wannier90.x < GaAs.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs. wannier90.x GaAs

Each directory creates different kind of symmetry-adapted Wannier function. See more detail in examples/example21/README.

22: Copper – Symmetry-adapted Wannier functions

Note: This example requires a recent version of the pw2wannier90 interface.

- Outline: Obtain symmetry-adapted Wannier functions for Cu. By symmetry-adapted mode, for example, we can make atomic centered s-like Wannier function, which is not possible in the usual procedure to create maximally localized Wannier functions. For the theoretical background of the symmetry-adapted Wannier functions, see R. Sakuma, Phys. Rev. B 87, 235109 (2013).
- Directory: examples/example22/s_at_0.00/ examples/example22/s_at_0.25/ examples/example22/s_at_0.50/
- Input Files
 - Cu.scf The PWSCF input file for ground state calculation
 - Cu.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Cu.pw2wan $The \ input \ file \ for \ pw2wannier90$
 - Cu.sym Used only in examples/example22/s_at_0.25/. pw2wannier90 reads this file when "read_sym = .true." in Cu.pw2wan. By default, "read_sym = .false." and Cu.sym is the output of pw2wannier90, in which the symmetry operations employed in the calculation are written for reference.
 - Cu.win The wannier90 input file

- Run PWSCF to obtain the ground state of Cu pw.x < Cu.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Cu.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Cu.nnkp file). wannier90.x -pp Cu
- 4. Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess, and the symmetry information needed for symmetry-adapted mode (written in the Cu.mmn, Cu.amn, and Cu.dmn files, respectively).

 pw2wannier90.x < Cu.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs.

wannier90.x Cu

Each directory creates s-like symmetry-adapted Wannier function centered at different position on top of atomic centered d-like Wannier functions. See more detail in examples/example22/README.

23: Silicon – G_0W_0 bands structure interpolation

Note: This example requires a recent version of the ypp post-processing code of yambo.

- Outline: Interpolate the bands structure of silicon obtained from many-body perturbation theory at the G_0W_0 level. Using the yambo code, the quasi-particle corrections (QP) are summed to Kohn-Sham eigenvalues, while the wavefunctions remain the same.
- Directory: examples/example23/
- Input Files
 - silicon.scf The PWSCF input file for the ground state calculation
 - silicon.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - silicon.gw.nscf The PWSCF input file to obtain Bloch states on a reduced grid with many empty bands
 - silicon.pw2wan $The \ input \ file \ for \$ pw2wannier90
 - silicon.win The wannier90 input file
 - silicon.gw.win The wannier90 input file (for the G_0W_0 step)
 - yambo.in The yambo input file
 - ypp.in The ypp input file
- 1. Copy the input files from the INPUT directory into a working directory (e.g. WORK)
- 2. Run PWSCF to obtain the ground state charge of silicon pw.x < silicon.scf > scf.out

3. Run PWSCF to obtain the Bloch states reduced grid. We use a 8x8x8 with many bands (many empty bands are needed to perform a G_0W_0 with yambo)

```
pw.x < silicon.gw.nscf > nscf.gw.out
```

4. Use the k_mapper.py utility to find the indexes of a 4x4x4 uniform grid into the 8x8x8 reduced grid

```
./k_mapper.py 4 4 4 "../examples/example23/WORK/nscf.gw.out"
```

Use the output to complete the yambo.in input file (you also need to specify the on how many bands you want to compute the QP corrections, here you can use all the bands from 1 to 14). Then, you should have obtained something like:

```
1 | 1 | 1 | 14 |
```

3 | 3 | 1 | 14 |

5| 5| 1|14|

13 | 13 | 1 | 14 |

. . .

- 5. Enter the si.save directory and run p2y. A SAVE folder is created, you can move it up in the /WORK/ directory.
- 6. Run a G_0W_0 calculation from the /WORK/ directory (remember, we are using a 8x8x8 grid but computing QP corrections only on a 4x4x4 grid) yambo
- 7. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < silicon.nscf > nscf.out
- 8. Run wannier90 to generate a list of the required overlaps (written into the silicon.nnkp file). wannier90.x -pp silicon
- 9. Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess (written in the silicon.mmn and silicon.amn respectively). pw2wannier90.x < silicon.pw2wan > pw2wan.out
- 10. Run wannier90 to compute the MLWFs.

```
wannier90.x silicon
```

At this point, you should have obtained the interpolated valence bands for silicon at the DFT level.

11. Run a ypp calculation (just type ypp)

You should obtain a file silicon.gw.unsorted.eig which contains the QP corrections on a uniform 4x4x4 grid.

- 12. Run the gw2wannier90.py script to reorder, align and correct all matrices and files using the QP corrections
 - ../../utility/gw2wannier90.py silicon mmn amn
- 13. Run wannier90 to compute the MLWFs.

```
wannier90.x silicon.gw
```

At this point, you should have obtained the interpolated valence bands for silicon at the G_0W_0 level.

After you completed the tutorial for the valence bands only, you can repeat the final steps to interpolate also some conduction bands using disentanglement (the code is already present as comments in the input files).

24: Tellurium – gyrotropic effects

- Outline: Calculate the gyrotropic effects in trigonal right-handed Te Similar to the calculations of [14]
- Directory: examples/example24/
- Input files
 - Te.scf The PWSCF input file for ground state calculation
 - Te.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Te.pw2wan The input file for pw2wannier90
 - Te.win The wannier90 input file

To make things easy, the example treats Te without spin-orbit

- Run PWSCF to obtain the ground state of tellurium pw.x < Te.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform 3x3x4 k-point grid pw.x < Te.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Te.nnkp file). wannier90.x -pp Te
- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b}}\rangle$ (written in the Te.mmn file)
 - The projections for the starting guess (written in the Te.amn file)
 - The matrix elements $\langle u_{n\mathbf{k}+\mathbf{b_1}}|H_{\mathbf{k}}|u_{m\mathbf{k}+\mathbf{b_2}}\rangle$ (written in the Te.uHu file)
 - The spin matrix elements $\langle \psi_{n\mathbf{k}} | \sigma_i | \psi_{m\mathbf{k}} \rangle$ (would be written in the Te.spn file, but only if spin-orbit is included, which is not the case for the present example)

```
pw2wannier90.x < Te.pw2wan > pw2wan.out
```

- 5. Run wannier90 to compute the MLWFs. wannier90.x Te
- 6. Add the following lines to the wannier90.win file:

```
gyrotropic=true
gyrotropic_task=-C-dos-D0-Dw-K
fermi_energy_step=0.0025
fermi_energy_min=5.8
fermi_energy_max=6.2
gyrotropic_freq_step=0.0025
```

```
gyrotropic_freq_min=0.0
gyrotropic_freq_max=0.1
gyrotropic_smr_fixed_en_width=0.01
gyrotropic_smr_max_arg=5
gyrotropic_degen_thresh=0.001
gyrotropic_box_b1=0.2 0.0 0.0
gyrotropic_box_b2=0.0 0.2 0.0
gyrotropic_box_b3=0.0 0.0 0.2
gyrotropic_box_center=0.33333 0.33333 0.5
gyrotropic_kmesh=50 50 50
```

7. Run postw90

```
to compute the gyrotropic properties: tensors D, \widetilde{D}, K, C (See the User Guide):. postw90.x Te (serial execution) mpirun -np 8 postw90.x Te (example of parallel execution with 8 MPI processes)
```

The integration in the k-space is limited to a small area around the H point. Thus it is valid only for Fermi levels near the band gap. And one needs to multiply the results by 2, to account for the H' point. To integrate over the entire Brillouin zone, one needs to remove the ${\tt gyrotropic_box_...}$ parameters

```
8. Now change the above lines to
gyrotropic=true
gyrotropic_task=-NOA
fermi_energy=5.95
gyrotropic_freq_step=0.0025
gyrotropic_freq_min=0.0
gyrotropic_freq_max=0.3
gyrotropic_smr_fixed_en_width=0.01
gyrotropic_smr_max_arg=5
gyrotropic_band_list=4-9
gyrotropic_kmesh=50 50 50

and compute the interband natural optical activity

postw90.x Te (serial execution)
mpirun -np 8 postw90.x Te (example of parallel execution with 8 MPI processes)
```

25: Gallium Arsenide – Shift- and jerk-currents

- Outline: Calculate the nonlinear shift- and jerk-currents of inversion asymmetric fcc Gallium Arsenide. In preparation for this example it may be useful to read Ref. [15], [16]
- Directory: examples/example25/
- Input files:
 - GaAs.scf The PWSCF input file for ground state calculation

- GaAs.nscf The PWSCF input file to obtain Bloch states on a uniform grid
- GaAs.pw2wan The input file for pw2wannier90
- GaAs.win The wannier90 and postw90 $input\ file$
- Run PWSCF to obtain the ground state of Gallium Arsenide
 pw.x < GaAs.scf > scf.out
- 2. Run PWSCF to obtain the ground state of Gallium Arsenide pw.x < GaAs.nscf > nscf.out
- 3. Run Wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file) wannier90.x -pp GaAs
- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{n\mathbf{k}+\mathbf{b}}\rangle$ between spinor Bloch states (written in the GaAs.mmn file)
 - The projections for the starting guess (written in the GaAs.amn file)

pw2wannier90.x < GaAs.pw2wan > pw2wan.out

- 5. Run wannier90 to compute MLWFs wannier90.x GaAs
- 6. Run postw90 to compute nonlinear shift current postw90.x GaAs (serial execution) mpirun -np 8 postw90.x GaAs (example of parallel execution with 8 MPI processes)

Shift current σ^{abc}

The shift current tensor of GaAs has only one independent component that is finite, namely σ^{xyz} . For its computation, set

```
berry = true
berry_task = sc
```

Like the linear optical conductivity, the shift current is a frequency-dependent quantity. The frequency window and step is controlled by kubo_freq_min, kubo_freq_max and kubo_freq_step, as explained in the users guide.

The shift current requires an integral over the Brillouin zone. The interpolated k-mesh is controlled by berry_kmesh, which has been set to

```
berry_kmesh = 100 100 100
```

We also need to input the value of the Fermi level in eV:

```
fermi_energy = [insert your value here]
```

Due to the sum over intermediate states involved in the calculation of the shift current, one needs to consider a small broadening parameter to avoid numerical problems due to possible degeneracies (see parameter η in Eq. (36) of Ref. [15] and related discussion). This parameter is controlled by sc_eta.

It is normally found that values between $0.01~{\rm eV}$ and $0.1~{\rm eV}$ yield an stable spectrum. The default value is set to $0.04~{\rm eV}$.

Finally, sc_phase_conv controls the phase convention used for the Bloch sums. sc_phase_conv=1 uses the so-called tight-binding convention, whereby the Wannier centres are included into the phase, while sc_phase_conv=2 leaves the Wannier centres out of the phase. These two possible conventions are explained in Ref. [17]. Note that the overall shift-current spectrum does not depend on the chosen convention, but the individual terms that compose it do.

On output, the program generates a set of 18 files named SEED-sc_***.dat, which correspond to the different tensor components of the shift current (note that the 9 remaining components until totaling $3 \times 3 \times 3 = 27$ can be obtained from the 18 outputed by taking into account that σ^{abc} is symmetric under $b \leftrightarrow c$ index exchange). For plotting the only finite shift-current component of GaAs σ^{xyz} (units of A/V²) as in the upper panel of Fig. 3 in Ref. [15],

```
myshell> gnuplot
gnuplot> plot 'GaAs-sc_xyz.dat' u 1:2 w 1
```

Jerk current ι^{abcd}

The jerk-current tensor of GaAs has only three independent components that are finite, namely ι^{xxxx} , ι^{xyyx} , ι^{xxyy} . For its computation, set

```
berry = true
berry_task = jc
```

Like the shift-current, the jerk-current is a frequency-dependent quantity. The frequency window and step is controlled by kubo_freq_min, kubo_freq_max and kubo_freq_step, as explained in the users guide.

The jerk-current requires an integral over the Brillouin zone. The interpolated k-mesh is controlled by berry_kmesh, which has been set to

```
berry_kmesh = 100 100 100
```

We also need to input the value of the Fermi level in eV:

```
fermi_energy = [insert your value here]
```

Due to possible degeneracies involved in the calculation of the second band derivatives required to compute the jerk-current tensor components, one needs to consider a small broadening parameter to avoid numerical problems (see parameter η in Eq. (1) of Ref. [16] and related discussion). As in the case of the shift current, this parameter is controlled by sc_eta . It is normally found that values between 0.01 eV and 0.1 eV yield an stable spectrum. The default value is set to 0.04 eV.

On output, the program generates a set of 36 files named SEED-jc_****.dat, which correspond to the different tensor components of the jerk-current (note that the 45 remaining components until totaling $3 \times 3 \times 3 \times 3 = 81$ can be obtained from the 36 outputed by taking into account that ι^{abcd} is symmetric under $a \leftrightarrow d$ and $b \leftrightarrow c$ index exchanges). For plotting the finite jerk-current components of GaAs ι^{xxxx} , ι^{xyyx} , ι^{xyyx} , (units of Am/V³s²),

```
myshell> gnuplot
gnuplot> plot 'GaAs-jc_xxxx.dat' u 1:2 w 1
gnuplot> replot 'GaAs-jc_xyyx.dat' u 1:2 w 1
gnuplot> replot 'GaAs-jc_xxyy.dat' u 1:2 w 1
```

26: Gallium Arsenide – Selective localization and constrained centres

- Outline: Application of the selectively localised Wannier function (SLWF) method to gallium arsenide (GaAs), following the example in Ref. [18], which is essential reading for this tutorial example.
- Directory: examples/example26/
- Input files:
 - GaAs.scf The PWSCF input file for ground state calculation
 - GaAs.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - GaAs.pw2wan The input file for pw2wannier90
 - GaAs.win The wannier90 and postw90 $input\ file$
 - Run PWSCF to obtain the ground state of Gallium Arsenide pw.x < GaAs.scf > scf.out
 - 2. Run PWSCF to obtain the ground state of Gallium Arsenide pw.x < GaAs.nscf > nscf.out
 - 3. Run Wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file) wannier90.x -pp GaAs
 - 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{n\mathbf{k}+\mathbf{b}}\rangle$ between Bloch states (written in the GaAs.mmn file)
 - The projections for the starting guess (written in the GaAs.amn file)

```
pw2wannier90.x < GaAs.pw2wan > pw2wan.out
```

- 5. Inspect the .win file.
 - Make sure you understand the new keywords corresponding to the selective localisation algorithm.
 - Run wannier90 to compute the SLWFs, in this case using one objective Wannier function.

```
wannier90.x GaAs
```

To constrain the centre of the SLWF you need to add slwf_constrain = true and slwf_lambda = 1 to the input file and uncomment the slwf_centres block. This will add a penalty functional to the total spread, which will try to constrain the centre of the SLWF to be on the As atom (as explained in Ref. [18], particularly from Eq. 24 to Eq. 35).

Look at the value of the penalty functional, is this what you would expect at convergence? Does the chosen value of the Lagrange multiplier slwf_lambda give a SLWF function centred on the As atom?

Alternatively, you can modify the $slwf_centres$ block to constrain the centre of the SLWF to be on the Ga atom. Do you need a different value of $slwf_lambda$ in this case to converge? Take a look at the result in Vesta and explain what you see. Do these functions transform like the identity under the action of the T_d group?

27: Silicon – Selected columns of density matrix algorithm for automated MLWFs

Note: This example requires a recent version of the pw2wannier90.x post-processing code of Quantum ESPRESSO (v6.4 or above).

- Outline: For bulk crystalline Silicon, generate the A_{mn} matrices via the selected columns of density matrix (SCDM) algorithm and the corresponding MLWFs for 1) Valence bands 2) Valence bands and 4 low-lying conduction bands 3) Conduction bands only. To better understand the input files and the results of these calculations, it is crucial that the Reader has familiarized with the concepts and methods explained in Ref. [19]. More info on the keywords related to the SCDM method may be found in the user guide.
- Directory: examples/example27/
- Input Files: input_files, and in the three subfolders isolated, erfc and gaussian. The input_files folder contains:
 - si.scf The PWSCF input file for the ground state calculation
 - si_4bands.nscf The PWSCF input file to obtain Bloch states on a uniform grid for 4 bands.
 - si_12bands.nscf The PWSCF input file to obtain Bloch states on a uniform grid for 12 bands.
- Whereas the three subfolders isolated, erfc and gaussian contain the si.win wannier90 input files and si.pw2wan pw2wannier90 input files each corresponding to one of the scenarios listed in the outline.
- 1 Valence bands: In this case we will compute 4 localized WFs corresponding to the 4 valence bands of Silicon. These 4 bands constitute a manifold that is separated in energy from other bands. In this case the columns of the density matrix are already localized in real space and no extra parameter is required.
 - 1. Copy the input files si.scf and si_4bands.nscf from the input_files directory into the isolated folder
 - 2. Run PWSCF to obtain the ground state charge of bulk Silicon. pw.x < si.scf > scf.out
 - 3. Run PWSCF to obtain the Bloch states on a uniform k-point grid of 4x4x4 for 4 bands. pw.x < si_4bands.nscf > nscf.out
 - 4. Inspect the si.win input file and make sure that the auto_projections flag is set to .true.. Also, make sure that no projections block is present.
 - Run wannier90 to generate a list of the required overlaps and also info on the SCDM method (written into the si.nnkp file).
 wannier90.x -pp si

6. Inspect the si.nnkp file and make sure you find the auto_projections block and that no projections have been written in the projections block.

- 7. Inspect the .pw2wan input file. You will find two new keywords, i.e. scdm_proj and scdm_entanglement. The former, will instruct pw2wannier90.x to use the SCDM method when generating the A_{mn} matrix. The latter, defines which formula to adopt for the function $f(\varepsilon_{n\mathbf{k}})$ (see [19] and point below).
- 8. Run pw2wannier90 to compute the overlap between Bloch states and the projections via the SCDM method (written in the si.mmn and si.amn respectively). pw2wannier90.x < si.pw2wan > pw2wan.out
- 9. Run wannier90 to compute the MLWFs.

wannier90.x si

At this point, you should have obtained 4 Wannier functions and the interpolated valence bands for Silicon. Inspect the output file $\mathtt{si.wout}$. In particular, look at the geometric centres of each WF, do they lie at the centre of the Si-Si bond as for the MLWFs computed from user-defined initial s-like projections (see Example11)? Plot these WFs using Vesta. Do they show the σ character one would expect from chemical arguments?

2 Valence bands + conduction bands: In this case we will compute 8 localized WFs corresponding to the 4 valence bands and 4 low-lying conduction bands. Here, we don't have a separate manifold, since the conduction bands are entangled with other high-energy bands and the columns of the density matrix are not exponentially localized by construction. A modified density matrix is required in this case[19], and it is defined as:

$$P(\mathbf{r}, \mathbf{r}') = \sum_{n, \mathbf{k}} \psi_{n\mathbf{k}}(\mathbf{r}) f(\varepsilon_{n, \mathbf{k}}) \psi_{n\mathbf{k}}^*(\mathbf{r}'),$$

where $\psi_{n\mathbf{k}}$ and $\varepsilon_{n,\mathbf{k}}$ are the energy eigestates and eigenvalues from the first-principle calculation respectively. The function $f(\varepsilon_{n,\mathbf{k}})$ contains two free parameters μ and σ and is defined as a complementary error function:

$$f(\varepsilon_{n,\mathbf{k}}) = \frac{1}{2} \operatorname{erfc}\left(\frac{\varepsilon_{n,\mathbf{k}} - \mu}{\sigma}\right).$$

- 1. Copy the input files si.scf and si_12bands.nscf from the input_files folder into the erfc folder
- 2. Run PWSCF to obtain the ground state charge of bulk Silicon.

3. Run PWSCF to obtain the Bloch states on a uniform k-point grid of 4x4x4 for 12 bands this time.

pw.x < si_12bands.nscf > nscf.out

- 4. Inspect the si.win input file and make sure that the auto_projections flag is set to .true.. Also, make sure that no projection block is present.
- Run wannier90 to generate a list of the required overlaps and also info on the SCDM method (written into the si.nnkp file).
 wannier90.x -pp si
- 6. Inspect the si.nnkp file and make sure you find the auto_projections block and that no projections have been written in the projections block.
- 7. Inspect the .pw2wan input file. You will find other two new keywords, i.e. scdm_mu and scdm_sigma. These are the values in eV of μ and σ in $f(\varepsilon_{n,k})$, respectively.

8. Run pw2wannier90 to compute the overlap between Bloch states and the projections via the SCDM method (written in the si.mmn and si.amn respectively). pw2wannier90.x < si.pw2wan > pw2wan.out

9. Run wannier90 to compute the MLWFs.

wannier90.x si

At this point, you should have obtained 8 localized Wannier functions and the interpolated valence and conduction bands for Silicon. Again, compare the results for the geometric centres and the individual spreads with the ones from Example11. Is the final value of total spread bigger or smaller than the one from Example11? Look at the WFs with Vesta. Can you explain what you see? Where do the major lobes of the sp3-like WFs point in this case?

3 Conduction bands only: In this case we will compute 4 localized WFs corresponding to the 4 low-lying conduction bands only. As for the previous point, we need to define a modified density matrix[19]. Since we are only interested in a subset of the conduction states, within a bounded energy region, a good choice for $f(\varepsilon_{n,\mathbf{k}})$ is:

$$f(\varepsilon_{n,\mathbf{k}}) = \exp\left(-\frac{(\varepsilon_{n,\mathbf{k}} - \mu)^2}{\sigma^2}\right).$$

- 1. Copy the input files si.scf and si_12bands.nscf from the input_files directory into the gaussian folder
- 2. Run PWSCF to obtain the ground state charge of bulk Silicon.

pw.x < si.scf > scf.out

3. Run PWSCF to obtain the Bloch states on a uniform k-point grid of 4x4x4 for 12 bands this time.

pw.x < si_12bands.nscf > nscf.out

- 4. Inspect the si.win input file and make sure that the auto_projections flag is set to .true.. Also, make sure that no projections block is present.
- 5. Run wannier90 to generate a list of the required overlaps and also info on the SCDM method (written into the si.nnkp file).

wannier90.x -pp si

- 6. Inspect the si.nnkp file and make sure you find the auto_projections block and that no projections have been written in the projections block.
- 7. Run pw2wannier90 to compute the overlap between Bloch states, the projections for the starting guess via the SCDM method (written in the si.mmn and si.amn respectively). pw2wannier90.x < si.pw2wan > pw2wan.out
- 8. Run wannier90 to compute the MLWFs.

wannier90.x si

At this point, you should have obtained 4 localized Wannier functions and the interpolated conduction bands for Silicon. From chemical intuition, we would expect these functions to be similar to anti-bonding orbitals of molecules with tetrahedral symmetry. Plot the WFs and check if this is confirmed.

28: Diamond – plotting of MLWFs using Gaussian cube format and VESTA

• Outline: Obtain MLWFs for the valence bands of diamond and output them in Gaussian cube format

• Directory: examples/example28/ The input files for this examples are the same as the ones in example05

- Input Files
 - diamond.scf The PWSCF input file for ground state calculation
 - diamond.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - diamond.pw2wan The input file for pw2wannier90
 - diamond.win The wannier90 input file
- Run PWSCF to obtain the ground state of diamond pw.x < diamond.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < diamond.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the diamond.nnkp file). wannier90.x -pp diamond
- 4. Run pw2wannier90 to compute the overlap between Bloch states and the projections for the starting guess (written in the diamond.mmn and diamond.amn files). pw2wannier90.x < diamond.pw2wan > pw2wan.out
- 5. When the lattice vectors are non-orthogonal, not all the visualisation programs are capable to plot volumetric data in the Gaussian cube format. One program that can read volumetric data for these systems is VESTA. To instruct wannier90 to output the MLWFs data in Gaussian cube format you need to add the following lines to the .win file

Run wannier90 to compute the MLWFs and output them in the Gaussian cube file. wannier90.x diamond

6. Plot the first MLWF with VESTA vesta diamond_00001.cube

Extra: Instead of using wannier_plot_mode = crystal try to use the molecule mode as wannier_plot_mode = molecule (see the user guide for the definition of this keyword). Add the following line to the .win file:

```
restart = plot
```

and re-run wannier90. Use VESTA to plot the resulting MLWFs, do you see any difference from the crystal mode case? Can you explain why? Try to change the size of the supercell from 3 to 5, do you expect the results to be different? (*Hint:* When using the Gaussian cube format the code outputs the WF on a grid that is smaller than the super unit-cell. The size of the grid is specified by wannier_plot_scale and wannier_plot_radius.)

29: Platinum – Spin Hall conductivity

- Outline: Calculate spin Hall conductivity (SHC) and plot Berry curvature-like term of fcc Pt considering spin-orbit coupling. To gain a better understanding of this example, it is suggested to read Ref. [20] for a detailed description of the theory and Ch. 12.5 of the User Guide.
- Directory: examples/example29/
- Input files
 - Pt.scf The PWSCF input file for ground state calculation
 - Pt.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Pt.pw2wan The input file for pw2wannier90
 - Pt.win The wannier90 and postw90 input file
- Run PWSCF to obtain the ground state of platinum pw.x < Pt.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < Pt.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the Pt.nnkp file) wannier90.x -pp Pt
- 4. Run pw2wannier90 to compute the overlaps between Bloch states and the projections for the starting guess (written in the Pt.mmn and Pt.amn files)
 pw2wannier90.x < Pt.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs wannier90.x Pt
- 6. Run postw90
 postw90.x Pt (serial execution)
 mpirun -np 8 postw90.x Pt (example of parallel execution with 8 MPI processes)

Spin Hall conductivity

The intrinsic spin Hall conductivity $\sigma_{\alpha\beta}^{\rm spin\gamma}$ is proportional to the BZ integral of the Berry curvature-like term. To evaluate the SHC using a $25 \times 25 \times 25$ k-point mesh, set the following lines in Pt.win,

```
berry = true
berry_task = shc
berry_kmesh = 25 25 25
```

When calculating SHC, adaptive smearing can be used by commenting the following two lines,

```
#kubo_adpt_smr = false
#kubo_smr_fixed_en_width = 1
```

Then set the Fermi energy ε_F to a specific value

```
fermi_energy = [insert your value here]
```

or invoke Fermi energy scan by setting

```
fermi_energy_min = [insert here your lower range]
fermi_energy_max = [insert here your upper range]
fermi_energy_step = [insert here your step]
```

and re-run postw90. The SHC is written in the output file Pt-shc-fermiscan.dat. If only fermi_energy is set, the output file will contain SHC at this specific energy; if a list of Fermi energies are set, the output file will contain SHC calculated at each energy point in the list: we call this the "Fermi energy scan" of SHC.

To plot the Fermi energy scan of SHC $\sigma_{xy}^{\text{spin}z}$ versus ε_F , issue

```
myshell> gnuplot
gnuplot> plot 'Pt-shc-fermiscan.dat' u 2:3 w lp
```

As a result of the strong and rapid variations of the Berry curvature-like term across the BZ, the SHC converges rather slowly with k-point sampling, and a $25 \times 25 \times 25$ kmesh does not yield a well-converged value.

- Increase the kmesh density by changing berry_kmesh.
- To accelerate the convergence, adaptively refine the kmesh around spikes in the Berry curvaturelike term, by adding to Pt.win the lines

```
berry_curv_adpt_kmesh = 5
berry_curv_adpt_kmesh_thresh = 100.0
```

This adds a $5\times5\times5$ fine mesh around those points where $|\Omega_{\alpha\beta}^{\text{spin}\gamma}(\mathbf{k})|$ exceeds 100 berry_curv_unit. The percentage of points triggering adaptive refinement is reported in Pt.wpout.

Compare the converged SHC value with those obtained in Refs. [20] and [21].

Note some rough estimations of computation progress and time are reported in Pt.wpout (see the SHC part of the Solution Booklet). These may be helpful if the computation time is very long.

Notes

• Since the Kubo formula of SHC involves unoccupied bands, we need to include some unoccupied bands and construct more MLWF. Thus the following parameters should be increased accordingly:

```
dis_froz_max
dis_win_max
projections
```

• Normally we calculate the SHC $\sigma_{xy}^{\text{spin}z}$, i.e. $\alpha = x, \beta = y, \gamma = z$. To calculate other components, the following parameters can be set as 1, 2, 3

```
shc_alpha = [insert here the \alpha direction]
shc_beta = [insert here the \beta direction]
shc_gamma = [insert here the \gamma direction]
with 1, 2, 3 standing for x, y, z respectively.
```

Berry curvature-like term plots

The band-projected Berry curvature-like term $\Omega_{n,\alpha\beta}^{\mathrm{spin}\gamma}(\boldsymbol{k})$ is defined in Eq. (12.22) of the User Guide. The following lines in Pt.win are used to calculate the energy bands colored by the band-projected Berry curvature-like term $\Omega_{n,\alpha\beta}^{\mathrm{spin}\gamma}(\boldsymbol{k})$ (in Å²), as well as the k-resolved Berry curvature-like term $\Omega_{\alpha\beta}^{\mathrm{spin}\gamma}(\boldsymbol{k})$ along high-symmetry lines in k-space, i.e. the kpath plot. First comment the line berry = true and then set

```
kpath = true
kpath_task = bands+shc
kpath_bands_colour = shc
kpath_num_points = 400
kubo_adpt_smr = false
kubo_smr_fixed_en_width = 1
fermi_energy = [insert your value here]
berry_curv_unit = ang2
```

After executing postw90, four files are generated: Pt-bands.dat, Pt-path.kpt, Pt-shc.dat and Pt-bands+shc.py. Then plot the band-projected Berry curvature-like term $\Omega_{n,\alpha\beta}^{\mathrm{spin}\gamma}(k)$ using the script generated at runtime,

```
myshell> python Pt-bands+shc.py
```

and compare with Fig. 2 of Ref. [20]. Note a large fixed smearing of 1 eV is used to recover the result in Ref. [20]. You can adjust the kubo_smr_fixed_en_width as you like to draw a visually appealing figure. A kpath plot of 0.05 eV smearing is shown in the Solution Booklet.

Besides, you can set kpath_task = shc to only draw k-resolved term $\Omega_{\alpha\beta}^{\mathrm{spin}\gamma}(k)$ (the lower panel of the figure), or set kpath_task = bands and kpath_bands_colour = shc to only draw energy bands colored by the band-projected term $\Omega_{n,\alpha\beta}^{\mathrm{spin}\gamma}(k)$ (the upper panel of the figure).

Similar to that of AHC, we can get a heatmap plot of the k-resolved Berry curvature-like term $\Omega_{\alpha\beta}^{\mathrm{spin}\gamma}(k)$, i.e. the kslice plot. To move forward, set kpath = false and uncomment the following lines in Pt.win,

```
kslice = true
kslice_task = shc+fermi_lines
```

```
kslice_corner = 0.0 0.0 0.0
kslice_b1 = 1.0 0.0 0.0
kslice_b2 = 0.3535533905932738 1.0606601717798214 0.00
kslice_2dkmesh = 200 200
```

Note the kslice_b2 is actually $(\frac{\sqrt{2}}{4}, \frac{3\sqrt{2}}{4}, 0.0)$ which leads to a square slice in the BZ, making it easier to plot in the generated python script. Re-run postw90, and issue

```
myshell> python Pt-kslice-shc+fermi_lines.py
```

Compare the generated figure with Fig. 3 in Ref. [20], or the Solution Booklet.

Notes

• Adaptive smearing depends on a uniform kmesh, so when running kpath and kslice plots adaptive smearing should not be used. A fixed smearing is needed to avoid near zero number in the denominator of the Kubo formula, Eq. (12.22) in the User Guide. To add a fixed smearing of 0.05 eV, add the following keywords in the Pt.win,

```
kubo_adpt_smr = .false.
kubo_smr_fixed_en_width = 0.05
```

Input parameters for SHC

Finally, we provide a complete list of input parameters that can be used to control the SHC calculation, including the calculation of alternating current (ac) SHC which will be introduced in the next tutorial.

• general controls for SHC

```
shc_freq_scan, shc_alpha, shc_beta, shc_gamma,
kubo_eigval_max, exclude_bands, berry_curv_unit
```

• kmesh

```
berry_task, berry_kmesh,
berry_curv_adpt_kmesh, berry_curv_adpt_kmesh_thresh
```

• ac SHC

```
kubo_freq_min, kubo_freq_max, kubo_freq_step,
shc_bandshift, shc_bandshift_firstband, shc_bandshift_energyshift,
scissors_shift, num_valence_bands
```

smearing

```
[kubo_]adpt_smr, [kubo_]adpt_smr_fac, [kubo_]adpt_smr_max, [kubo_]smr_fixed_en_width
```

• Fermi energy

```
fermi_energy, fermi_energy_min, fermi_energy_max, fermi_energy_step
```

kpath

```
kpath, kpath_task, kpath_num_points, kpath_bands_colour
```

kslice

```
kslice, kslice_task, kslice_corner, kslice_b1, kslice_b2, kslice_2dkmesh, kslice_fermi_level, kslice_fermi_lines_colour
```

Their meanings and usages can be found in Ch. 11.5 of the User Guide.

30: Gallium Arsenide – Frequency-dependent spin Hall conductivity

- Outline: Calculate the alternating current (ac) spin Hall conductivity of gallium arsenide considering spin-orbit coupling. To gain a better understanding of this example, it is suggested to read Ref. [20] for a detailed description of the theory and Ch. 12.5 of the User Guide.
- Directory: examples/example30/
- Input files
 - GaAs.scf The PWSCF input file for ground state calculation
 - GaAs.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - GaAs.pw2wan The input file for pw2wannier90
 - GaAs.win The wannier90 and postw90 $input\ file$
- Run PWSCF to obtain the ground state of gallium arsenide pw.x < GaAs.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform k-point grid pw.x < GaAs.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file) wannier90.x -pp GaAs
- 4. Run pw2wannier90 to compute the overlaps between Bloch states and the projections for the starting guess (written in the GaAs.mmn and GaAs.amn files) pw2wannier90.x < GaAs.pw2wan > pw2wan.out
- 5. Run wannier90 to compute the MLWFs wannier90.x GaAs
- 6. Run postw90
 postw90.x GaAs (serial execution)
 mpirun -np 8 postw90.x GaAs (example of parallel execution with 8 MPI processes)

ac spin Hall conductivity

The spin Hall conductivity is also dependent on the frequency ω in the Eq. (12.22) of the User Guide. The direct current (dc) SHC calculated in the previous example corresponds to $\sigma_{\alpha\beta}^{\rm spin\gamma}$ in the limit $\omega \to 0$ and it is a real number. At finite frequency $\sigma_{\alpha\beta}^{\rm spin\gamma}$ acquires an imaginary part.

To compute the ac spin Hall conductivity for $\hbar\omega$ up to 8 eV, add the lines

```
shc_freq_scan = true
kubo_freq_min = 0.0
kubo_freq_max = 8.0
kubo_freq_step = 0.01
```

and re-run postw90. The file GaAs-shc-freqscan.dat contains the calculated ac SHC. Reasonably converged spectra can be obtained with a $250 \times 250 \times 250$ k-point mesh. To plot the ac SHC, issue the following commands

```
myshell> gnuplot
gnuplot> plot 'GaAs-shc-freqscan.dat' u 2:3 w l title 'Re', 'GaAs-shc-freqscan.dat'
u 2:4 w l title 'Im'
```

and then compare the result with Fig. 4 in Ref. [20] or the Solution Booklet.

Notes

• When calculating ac SHC, adaptive smearing can be used by add the following keywords in the GaAs.win,

```
kubo_adpt_smr = true
kubo_adpt_smr_fac = [insert here your smearing factor]
kubo_adpt_smr_max = [insert here your maximum smearing]
```

- Adaptive kmesh refinement is not implemented for ac SHC calculation.
- The first 10 semi-core states are excluded from the calculation by using the following keywords

```
exclude\_bands = 1-10
```

and in the case of GaAs disentanglement is not adopted so

```
num_bands = 16
num_wann = 16
```

• Since the band gap is often under estimated by LDA/GGA calculations, a scissors shift is applied to recover the experimental band gap by using the following keywords

```
shc_bandshift = true
shc_bandshift_firstband = 9
shc_bandshift_energyshift = 1.117
```

or by

```
num_valence_bands = 8
scissors_shift = 1.117
```

31: Platinum – Selected columns of density matrix algorithm for spinor wavefunctions

Note: This example requires a recent version of the pw2wannier90.x post-processing code of Quantum ESPRESSO (v6.3 or above).

• Outline: For bulk crystalline platinum with spin-orbit coupling, generate the A_{mn} matrices via the selected columns of density matrix (SCDM) algorithm and the corresponding spinor-MLWFs. To better understand the input files and the results of these calculations, it is crucial that the Reader has familiarized with the concepts and methods explained in Ref. [19]. More info on the keywords related to the SCDM method may be found in the user_guide.

This example focuses on the use of the SCDM method for spin-noncollinear systems. For the overview of the use of SCDM method to spinless systems, please refer to example 27.

• Directory: examples/example31/

The input files for this examples are similar to the ones in example 29, except that a coarser k-point grid is used and that the keywords related to postw90.x are removed.

- Input Files:
 - Pt.scf The PWSCF input file for the ground state calculation
 - Pt.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - Pt.pw2wan The input file for pw2wannier90 with keywords related to the SCDM method
 - Pt.win The wannier90 input file

We will compute 18 localized WFs. Since the band structure of platinum is metallic, the low-lying bands are entangled with other high-energy bands, and the columns of the density matrix are not exponentially localized by construction. Thus, we use a modified density matrix [19], with the function $f(\varepsilon_{n,\mathbf{k}})$ defined as a complementary error function. Refer to example 27 for the definition of the modified density matrix and the functional form of $f(\varepsilon_{n,\mathbf{k}})$.

- Run PWSCF to obtain the ground state of platinum pw.x < Pt.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a uniform $7 \times 7 \times 7$ k-point grid pw.x < Pt.nscf > nscf.out
- 3. Inspect the Pt.win input file and make sure that the auto_projections flag is set to .true.. Also, make sure that no projection block is present.
- 4. Run wannier90 to generate a list of the required overlaps (written into the Pt.nnkp file) wannier90.x -pp Pt

5. Inspect the Pt.nnkp file and make sure you find the auto_projections block and that no projections have been written in the projections block.

- 6. Inspect the Pt.pw2wan input file. You will find four SCDM-related keywords: scdm_proj, scdm_entanglement, scdm_mu and scdm_sigma. In particular, the keyword scdm_proj will instruct pw2wannier90.x to use the SCDM method when generating the A_{mn} matrix. The remaining three keywords defines the formula and parameters to define the function $f(\varepsilon_{nk})$ (see Ref. [19] and example 27).
- 7. Run pw2wannier90 to compute the overlap between Bloch states and the projections via the SCDM method (written in the Pt.mmn and Pt.amn respectively). pw2wannier90.x < Pt.pw2wan > pw2wan.out
- 8. Inspect the pw2wan.out output file. Compared to the spinless case, you will find the following two additional lines.

```
Number of pivot points with spin up : 9
Number of pivot points with spin down: 9
```

These lines give information on the pivots obtained by the QR decomposition with column pivoting (QRCP) in the SCDM algorithm. Each pivot determines a point in the real-space grid and a spin state. The basis of the spin state is determined by the basis used in the electronic structure code. In PWSCF, the basis states are spin up and down states along the Cartesian z-axis.

9. Run wannier90 to compute the MLWFs wannier90.x Pt

32: Tungsten — SCDM parameters from projectability

- Outline: Compute the Wannier interpolated band structure of tungsten (W) using the SCDM method to calculate the initial guess (see Example 27 for more details). The free parameters in the SCDM method, i.e., μ and σ, are obtained by fitting a complementary error function to the projectabilities. The number of MLWFs is given by the number of pseudo-atomic orbitals (PAOs) in the pseudopotential, 21 in this case. All the steps shown in this example have been automated in the AiiDA[22] workflow that can be downloaded from the MaterialsCloud website[23].
- Directory: examples/example31/
- Input files
 - W.scf The PWSCF input file for ground state calculation
 - W.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - W.pw2wan The input file for pw2wannier90
 - W.proj The input file for projwfc
 - generate_weights.sh The bash script to extract the projectabilities from the output of projwfc

- W.win The wannier90 input file
- Run PWSCF to obtain the ground state of tungsten pw.x -in W.scf > scf.out
- 2. Run PWSCF to obtain the Bloch states on a $10 \times 10 \times 10$ uniform k-point grid pw.x -in W.nscf > nscf.out
- 3. Run wannier90 to generate a list of the required overlaps (written into the W.nnkp file) wannier90.x -pp W
- 4. Run projwfc to compute the projectabilities of the Bloch states onto the Bloch sums obtained from the PAOs in the pseudopotential projwfc.x -in W.proj > proj.out
- 5. Run generate_weights to extract the projectabilitites from proj.out in a format suitable to be read by Xmgrace or gnuplot
 ./generate_weights.sh
- 6. Plot the projectabilities and fit the data with the complementary error function

$$f(\epsilon; \mu, \sigma) = \frac{1}{2} \operatorname{erfc}(-\frac{\mu - \epsilon}{\sigma}).$$

We are going Xmgrace to plot the projectabilities and perform the fitting. Open Xmgrace xmgrace

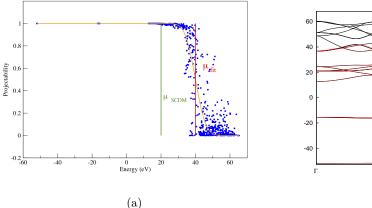
To Import the p_vs_e.dat file, click on Data from the top bar and then Import -> ASCII.... At this point a new window Grace: Read sets should pop up. Select p_vs_e.dat in the Files section, click Ok at the bottom and close the window. You should now be able to see a quite noisy function that is bounded between 1 and 0. You can modify the appearance of the plot by clicking on Plot in the top bar and then Set appearance.... In the Main section of the pop-up window change the symbol type from None to Circle. Change the line type from straight to none, since the lines added by default by Xmgrace are not meaningful. For the fitting, go to Data -> Transformations -> Non-linear curve fitting. In this window, select the source from the Set box and in the Formula box insert the following

$$y = 0.5 * erfc((x - A0) / A1)$$

Select 2 as number of parameters, give 40 as initial condition for A0 and 7 for A1. Click Apply. A new window should pop up with the stats of the fitting. In particular you should find a Correlation coefficient of 0.96 and a value of 39.9756 for A0 and 6.6529 for A1. These are the value of μ_{fit} and σ_{fit} we are going to use for the SCDM method. In particular, $\mu_{SCDM} = \mu_{fit} - 3\sigma_{fit} = 20.0169$ eV and $\sigma_{SCDM} = \sigma_{fit} = 6.6529$ eV. The motivation for this specific choice of μ_{fit} and σ_{fit} may be found in Ref. [24], where the authors also show validation of this approach on a dataset of 200 materials. You should now see the fitting function, as well as the projectabilities, in the graph (see Fig. 10-(a)).

7. Open W.pw2wan and append the following lines

```
scdm_entanglement = 'erfc'
scdm_mu = 20.0169
```



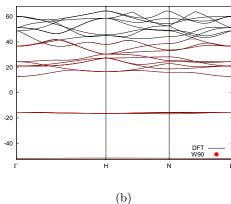


Figure 10: a) Each blue dot represents the projectability as defined in Eq. (22) of Ref. [24] of the state $|n\mathbf{k}\rangle$ as a function of the corresponding energy $\epsilon_{n\mathbf{k}}$ for tungsten. The yellow line shows the fitted complementary error function. The vertical red line represents the value of σ_{fit} while the vertical green line represents the optimal value of μ_{SCDM} , i.e. $\mu_{SCDM} = \mu_{fit} - 3\sigma_{fit}$. b) Band structure of tungsten on the Γ -H-N- Γ path from DFT calculations (solid black) and Wannier interpolation using the SCDM method to construct the initial guess (red dots).

```
scdm_proj = .true.
scdm_sigma = 6.6529
/
```

- 8. Run pw2wannier90 to compute the overlaps between Bloch states and the projections for the starting guess (written in the W.mmn and W.amn files)
 pw2wannier90.x -in W.pw2wan > pw2wan.out
- 9. Run wannier90 to obtain the interpolated bandstructure (see Fig. 10-(b)). wannier90.x $\tt W$

Please cite Ref. [24] in any publication employing the procedure outlined in this example to obtain μ and σ .

33: Monolayer $BC_2N - k \cdot p$ expansion coefficients

- Outline: Calculate $k \cdot p$ expansion coefficients monolayer BC_2N using quasi-degenerate (Löwdin) perturbation theory. In preparation for this example it may be useful to read Ref. [25]
- Directory: examples/example33/
- Input files:
 - bc2n.scf The PWSCF input file for ground state calculation
 - bc2n.nscf The PWSCF input file to obtain Bloch states on a uniform grid
 - bc2n.pw2wan The input file for pw2wannier90

- bc2n.win The wannier90 and postw90 input file
- Run PWSCF to obtain the ground state of Gallium Arsenide pw.x < bc2n.scf > scf.out
- $2.\,$ Run PWSCF to obtain the ground state of Gallium Arsenide

```
pw.x < bc2n.nscf > nscf.out
```

- 3. Run Wannier90 to generate a list of the required overlaps (written into the GaAs.nnkp file) wannier90.x -pp bc2n
- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{n\mathbf{k}+\mathbf{b}}\rangle$ between spinor Bloch states (written in the bc2n.mmn file)
 - The projections for the starting guess (written in the bc2n.amn file)

```
pw2wannier90.x < bc2n.pw2wan > pw2wan.out
```

- 5. Run wannier90 to compute MLWFs wannier90.x bc2n
- 6. Run postw90 to compute expansion coefficients postw90.x bc2n

Expansion coefficients

For computing $k \cdot p$ expansion coefficients as given by quasi-degenerate (Löwdin) perturbation theory, set

```
berry = true
berry_task = kdotp
```

Select the k-point around which the expansion coefficients will be computed, e.g., the S point

```
kdotp_kpoint = 0.5000 0.0000 0.5000
```

Set number of bands that should be taken into account for the $k \cdot p$ expansion, as well as their band indexes within the Wannier basis

```
kdotp_num_bands = 2
kdotp_bands = 2,3
```

Since no k-space integral is needed, set

```
berry_kmesh = 1 1 1
```

Although not used, we also need to input the value of the Fermi level in eV

```
fermi_energy = [insert your value here]
```

On output, the program generates three files, namely SEED-kdotp_0.dat, SEED-kdotp_1.dat and SEED-kdotp_2.dat, which correspond to the zeroth, first and second order expansion coefficients, respectively. The dimension of the matrix contained in each file is $3^l \times N^2$, where N is the number of bands set by kdotp_num_bands, and l is the order of the expansion term (currently l = 0, 1 or 2).

These coefficients can be used, among other things, to compute the energy dispersion of the bands of interest around the chosen k-point. The $k \cdot p$ band dispersion can be computed and plotted along k_x (from S to X) using python and the file kdotp_plot.py provided in the example folder

python kdotp_plot.py

For comparison, the exact band structure calculated using Wannier90 (file bc2n_band.dat, generated automatically) is also plotted along (see Fig. 11).

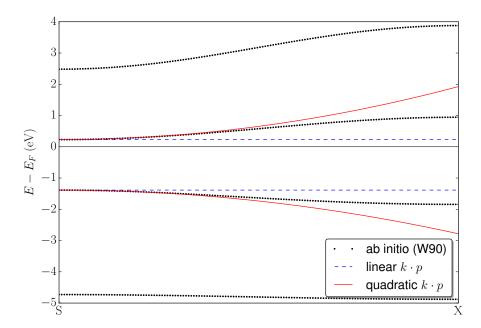


Figure 11: Band dispersion of monolayer BC₂N around S point. Exact results (solid dots) are compared to first-order (blue) and second-order (red) $k \cdot p$ model results for valence and conduction bands.

34: TaAs – Injection-current tensor components

- Outline: Calculate the nonlinear injection-current of TaAs. In preparation for this example it may be useful to read Ref. [16]
- Directory: examples/example34/
- Input files:
 - TaAs.scf The PWSCF input file for ground state calculation
 - TaAs.nscf The PWSCF input file to obtain Bloch states on a uniform grid

- TaAs.pw2wan The input file for pw2wannier90
- TaAs.win The wannier90 and postw90 $input\ file$
- Run PWSCF to obtain the ground state of Tantalum Arsenide pw.x < TaAs.scf > scf.out
- 2. Run PWSCF to obtain the ground state of Tantalum Arsenide pw.x < TaAs.nscf > nscf.out
- 3. Run Wannier90 to generate a list of the required overlaps (written into the TaAs.nnkp file) wannier90.x -pp TaAs
- 4. Run pw2wannier90 to compute:
 - The overlaps $\langle u_{n\mathbf{k}}|u_{n\mathbf{k}+\mathbf{b}}\rangle$ between spinor Bloch states (written in the TaAs.mmn file)
 - The projections for the starting guess (written in the TaAs.amn file)

pw2wannier90.x < TaAs.pw2wan > pw2wan.out

- 5. Run wannier90 to compute MLWFs wannier90.x TaAs
- 6. Run postw90 to compute expansion coefficients postw90.x TaAs

Injection current $\eta^{abc} = \eta^{abc}_S + \eta^{abc}_A$

The injection-current tensor of TaAs has only one independent component that is finite, namely η_A^{xzx} . For its computation, set

```
berry = true
berry_task = ic
```

Like the shift- or the jerk-current, the injection-current is a frequency-dependent quantity. The frequency window and step is controlled by kubo_freq_min, kubo_freq_max and kubo_freq_step, as explained in the users guide.

The injection-current requires an integral over the Brillouin zone. The interpolated k-mesh is controlled by berry_kmesh, which has been set to

```
berry_kmesh = 100 100 100
```

We also need to input the value of the Fermi level in eV:

```
fermi_energy = [insert your value here]
```

On output, the program generates a set of 18+9 files named SEED-ic_S_***.dat and SEED-ic_A_***.dat, which correspond to the different tensor components of the injection-current, which have been separated into symmetric (S) (18) and antisymmetric (A) (9) parts with respect to the $b \leftrightarrow c$ index exchange. The symmetric part is completely real, can only be nonzero in materials exhibiting time-reversal symmetry beaking and only provides current in presence of linearly polarized light. The antisymmetric part is completely imaginary and only provides current in presence of circularly polarized light. For plotting imaginary part of the finite injection-current component of TaAs η_A^{xzx} (units of A/V^2s),

```
myshell> gnuplot
gnuplot> plot 'TaAs-ic_xzx.dat' u 1:2 w 1
```

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