

Introduction to Diffusion Models and its Application

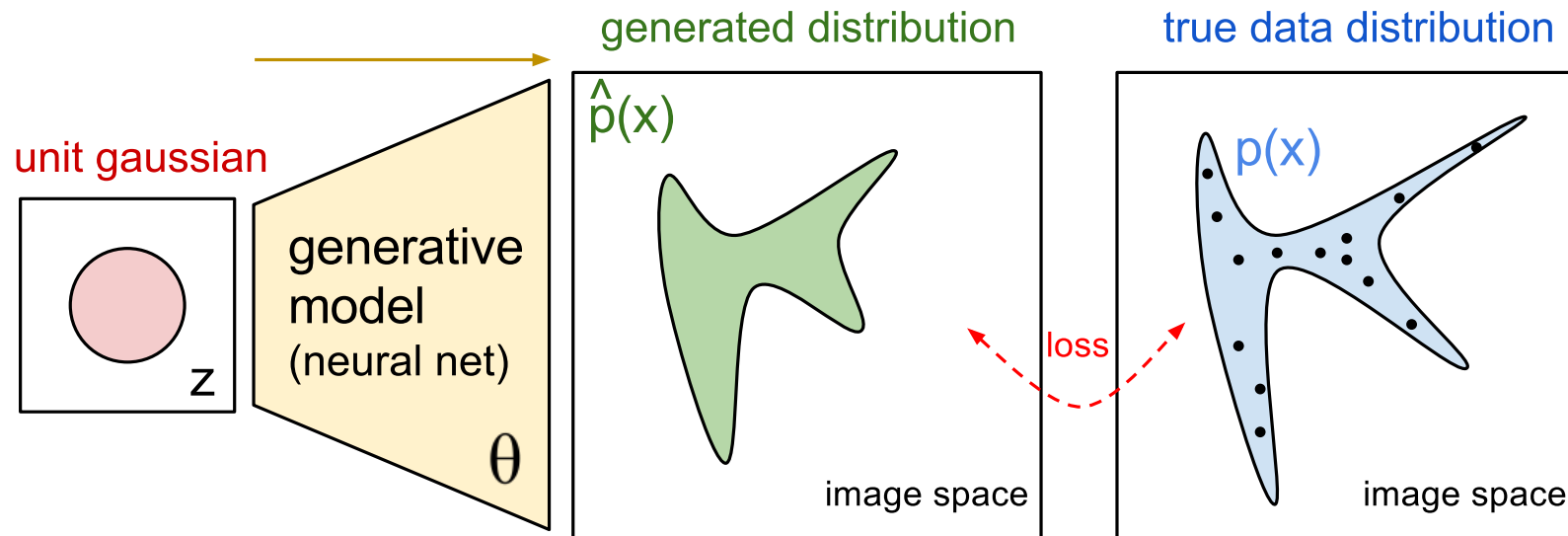
Ruofeng Yang

Shanghai Jiao Tong University

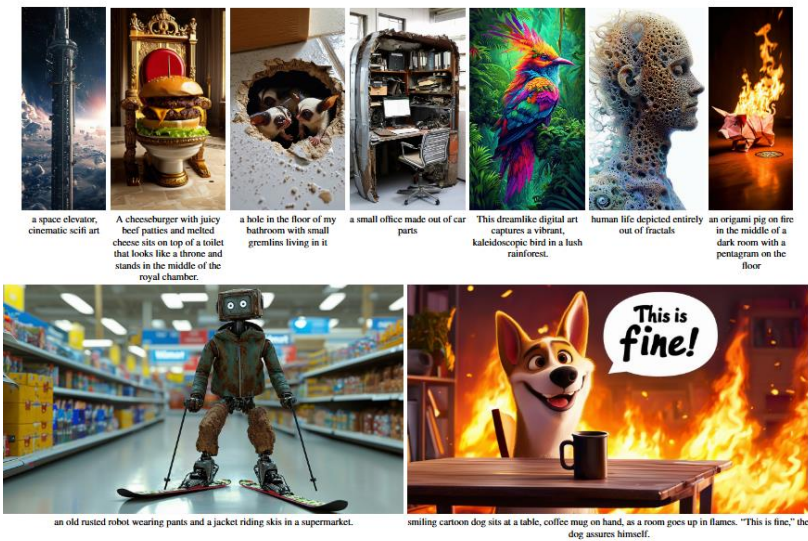
wanshuiyin@sjtu.edu.cn

What is the Generative Model?

- Given a pure noise z , the generative models generate sample from the target distribution.



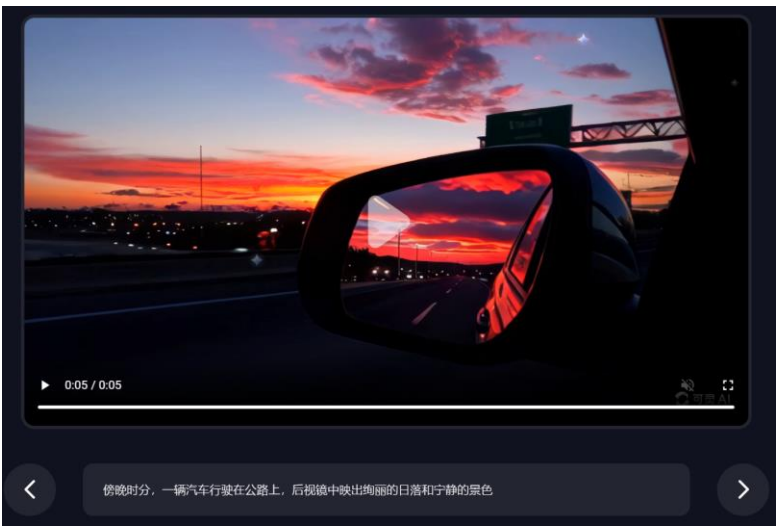
Current Application



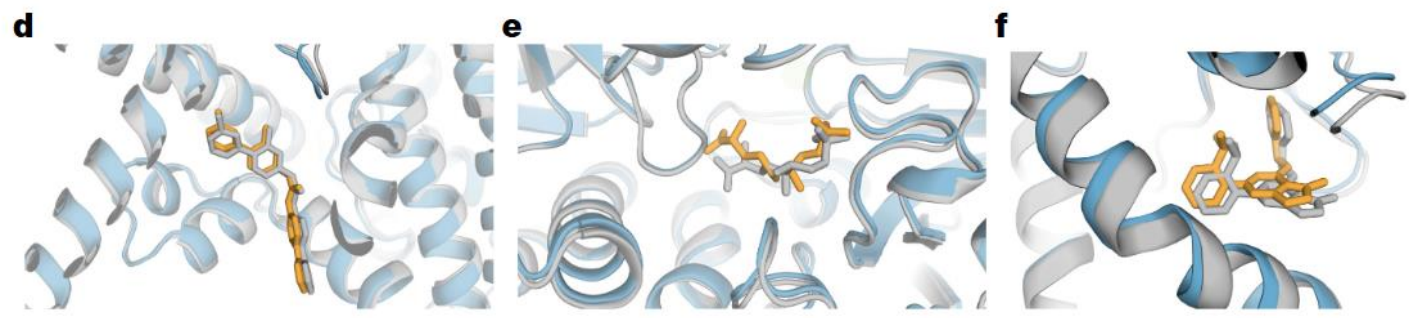
2D Generation: Stable Diffusion 3



3D Generation: Wonder3D

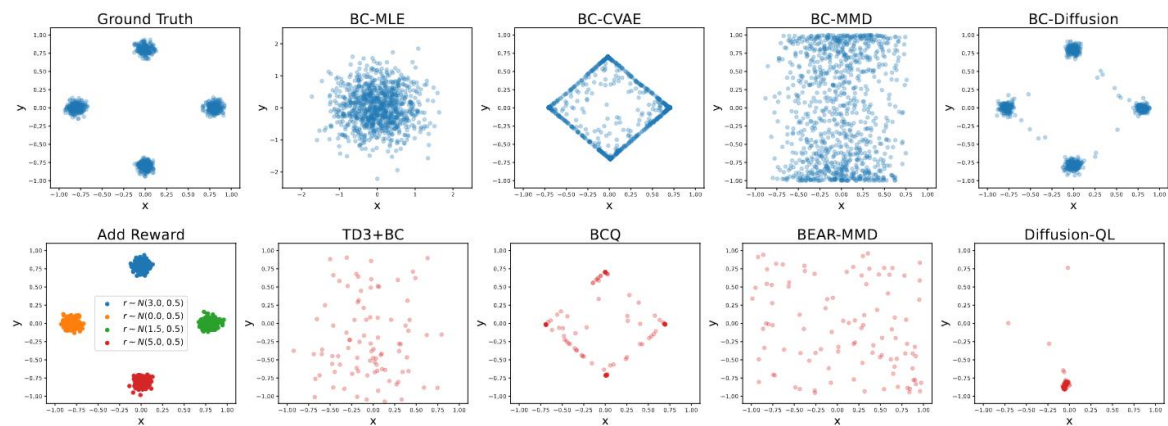


Video Generation: KLING



AlphaFold 3.

Current Application-Other Areas



Diffusion as the RL policy.

GPT-2 S	a hiring platform that "includes a fun club meeting place," says petitioner's AQQFredricks. They's the adjacent marijuana-hop. Others have allowed 3B Entertainment
GPT-2 M	misused, whether via Uber, a higher-order reality of quantified impulse or the No Mass Paralysis movement, but the most shamefully universal example is gridlock
SEDD S	As Jeff Romer recently wrote, "The economy has now reached a corner - 64% of household wealth and 80% of wealth goes to credit cards because of government austerity
SEDD M	Wyman worked as a computer science coach before going to work with the U.S. Secret Service in upstate New York in 2010. Without a license, the Secret Service will have to

(b) Generated Text (small models)

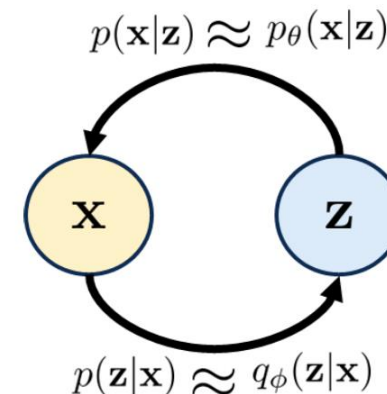
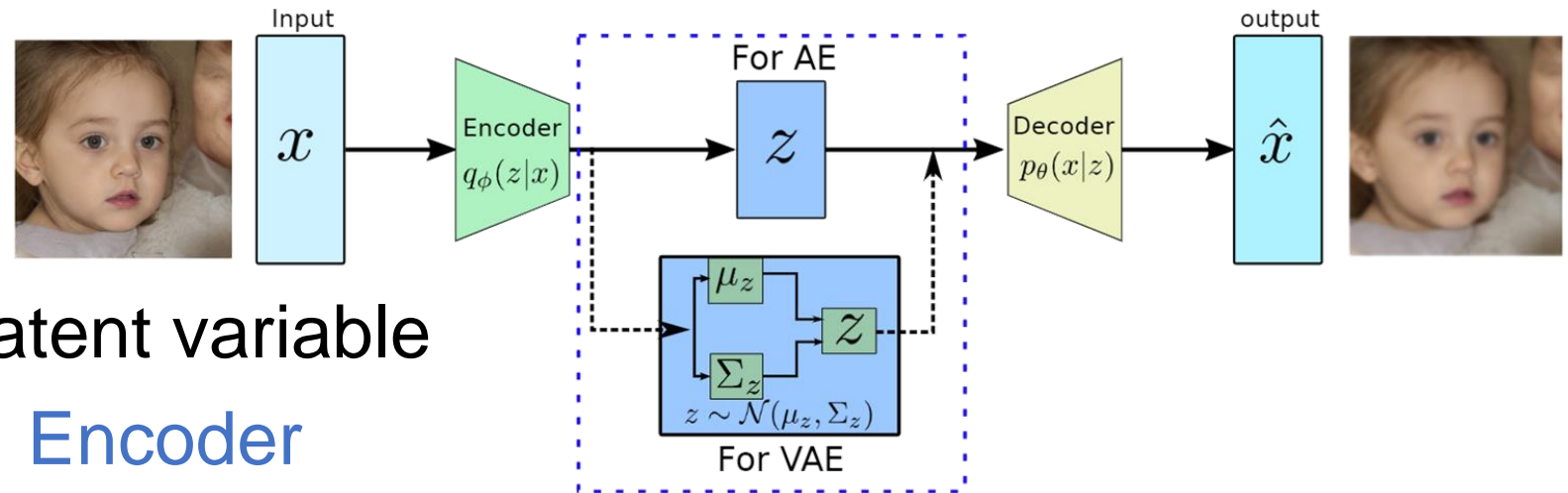
NLP Tasks: SEED

Basic of Diffusion

Previous Generative Model: VAE

Distributions:

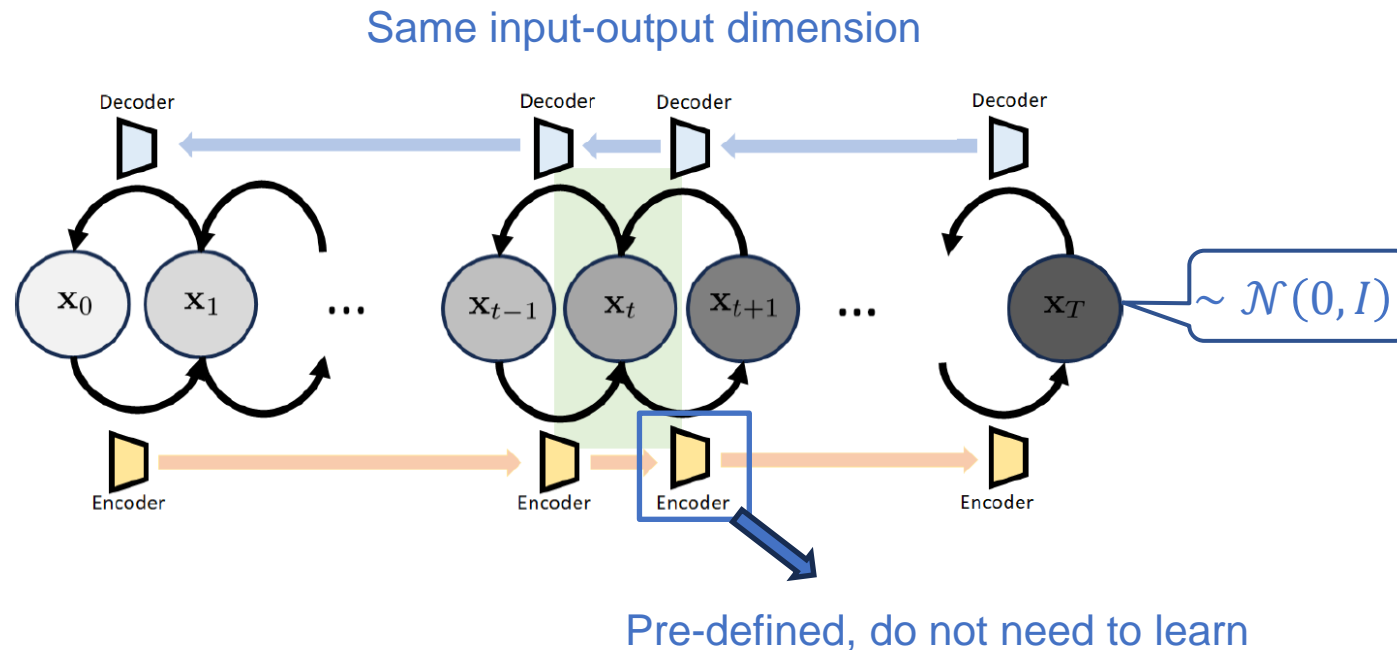
- $p(x)$: never know
- $p(z) \approx \mathcal{N}(z \mid 0, I)$ latent variable
- $p(z \mid x) \approx q_\phi(z \mid x)$ **Encoder**
- $p(x \mid z) \approx p_\theta(x \mid z)$ **Decoder**



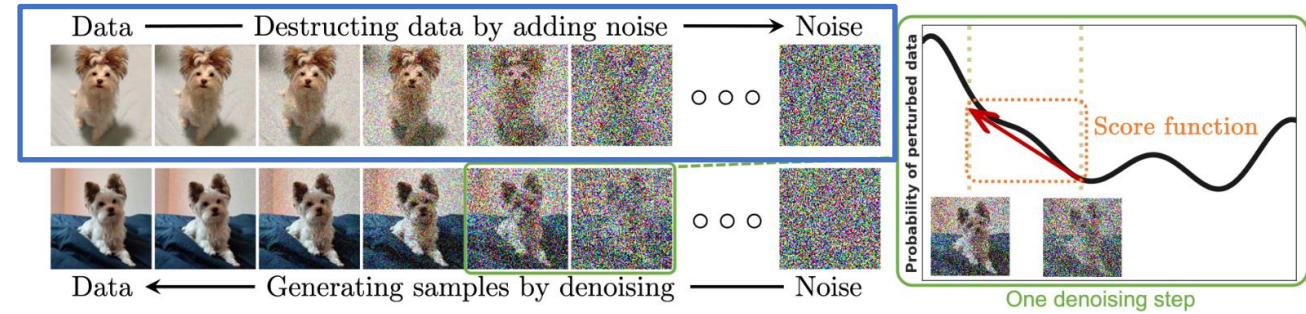
Shortcoming of VAE: One-Step is Too large

- One-step generation limited the generation ability of VAE.
- How about multi-step? → Denoising Diffusion Probabilistic Models (DDPM)

- Incremental updates
- The assembly gives the encoder-decoder structure



Transition Distribution



- $x_t = \sqrt{\alpha_t}x_{t-1} + \sqrt{1 - \alpha_t}\epsilon_{t-1} \rightarrow$

Pre-defined $q_\phi(x_t | x_{t-1}) = \mathcal{N}(x_t | \sqrt{\alpha_t}x_{t-1}, (1 - \alpha_t)I)$

- $x_t = \sqrt{\alpha_t\alpha_{t-1}}x_{t-2} + \sqrt{1 - \alpha_t\alpha_{t-1}}\epsilon_{t-2}$

$$= \dots = \sqrt{\prod_{i=1}^t \alpha_i} x_0 + \sqrt{1 - \prod_{i=1}^t \alpha_i} \epsilon_0$$

↓

$$q_\phi(x_t | x_0) = \mathcal{N}(x_t | \sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)I)$$

$$\bar{\alpha}_t = \prod_{i=1}^t \alpha_i$$

Evidence Lower Bound

$$\begin{aligned} & \mathbb{E}_{q_{\phi}(z|x)} \left[\log \frac{p(x|z)p(z)}{q_{\phi}(z|x)} \right] \leq 0 \\ & \approx \underbrace{\mathbb{E}_{q_{\phi}(z|x)} [\log p_{\theta}(x|z)]}_{\text{how good decoder is}} - \underbrace{\mathbb{E}_{q_{\phi}(z|x)} \left[\log \frac{q_{\phi}(z|x)}{p(z)} \right]}_{= D_{\text{KL}}(q_{\phi}(\cdot|x) \parallel p(\cdot)) \text{ how good encoder is}} \end{aligned}$$


ELBO for VAE

- ELBO for DDPM $\mathbb{E}_{q_{\phi}(x_{1:T}|x_0)} \left[\log \frac{p(x_{0:T})}{q_{\phi}(x_{1:T}|x_0)} \right] =$
- $\mathbb{E}_{q_{\phi}(x_1|x_0)} [\log p_{\theta}(x_0 | x_1)] - D_{\text{KL}}(q_{\phi}(x_T | x_0) \parallel p(x_T))$
 $- \sum_{t=2}^T \mathbb{E}_{q_{\phi}(x_t|x_0)} \left[D_{\text{KL}}(q_{\phi}(x_{t-1} | x_t, x_0) \parallel p_{\theta}(x_{t-1} | x_t)) \right]$



Pre-defined, do not need to train

Reverse Process and Training Objective

- Each forward step: a Gaussian distribution with small noise ->
The reverse kernel is still a Gaussian
- Recall $q_\phi(x_t | x_{t-1}) = \mathcal{N}(x_t | \sqrt{\alpha_t}x_{t-1}, (1 - \alpha_t)I)$
- $q_\phi(x_{t-1} | x_t, x_0) = \frac{q_\phi(x_t|x_{t-1})q_\phi(x_{t-1}|x_0)}{q_\phi(x_t|x_0)}$ instead of unknown $q_\phi(x_{t-1} | x_t)$
- Then $D_{\text{KL}}(q_\phi(x_{t-1} | x_t, x_0) \| p_\theta(x_{t-1} | x_t))$
- $= D_{\text{KL}}(\mathcal{N}(x_{t-1} | \mu_q(x_t, x_0), \sigma_q^2(t)I) \| \mathcal{N}(x_{t-1} | \mu_\theta(x_t), \sigma_q^2(t)I))$
- $= \frac{1}{2\sigma_q^2(t)} \|\mu_q(x_t, x_0) - \mu_\theta(x_t)\|^2$

Some weight sum (α_t) of x_t and x_0

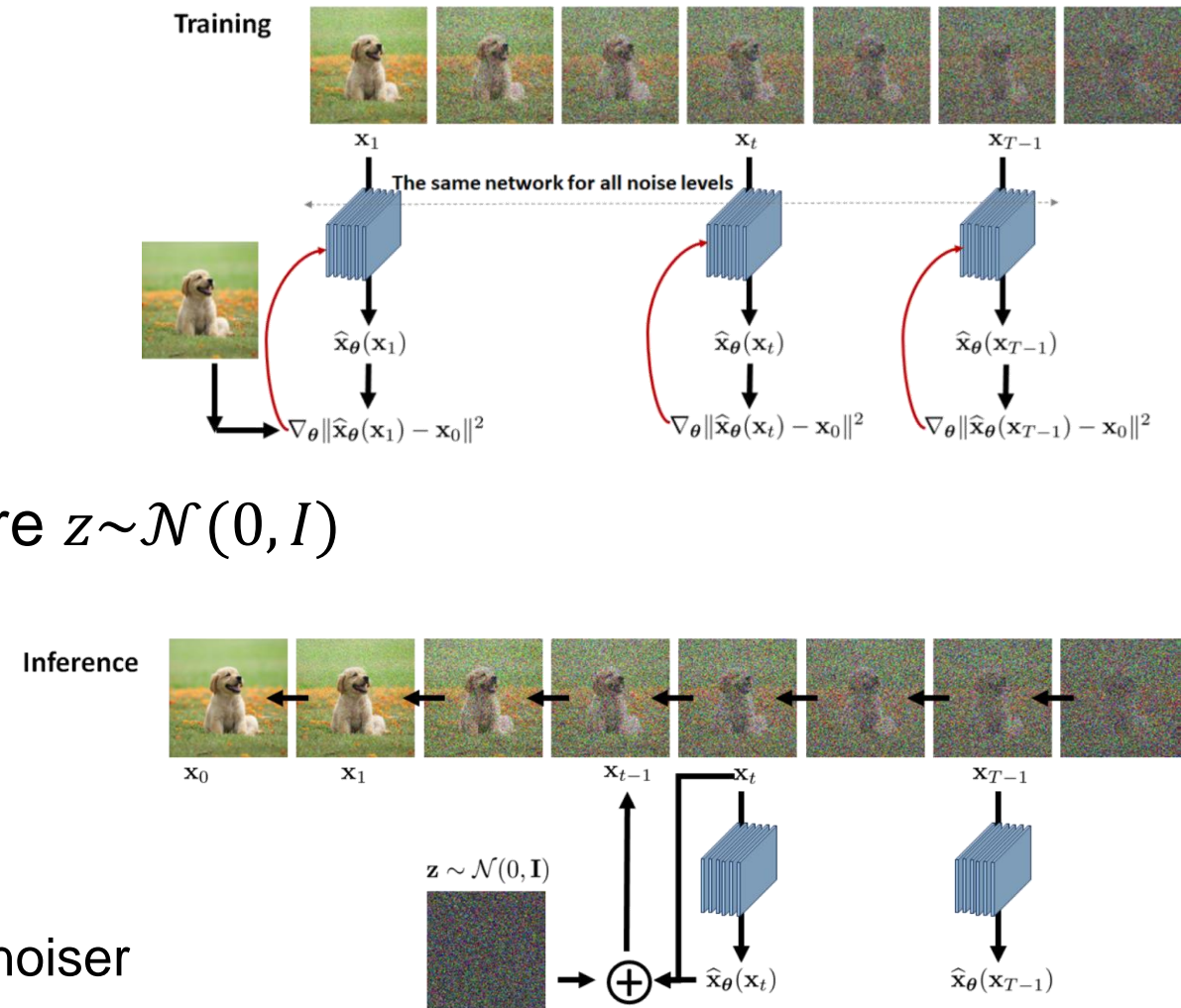
Training and Inference

- Training

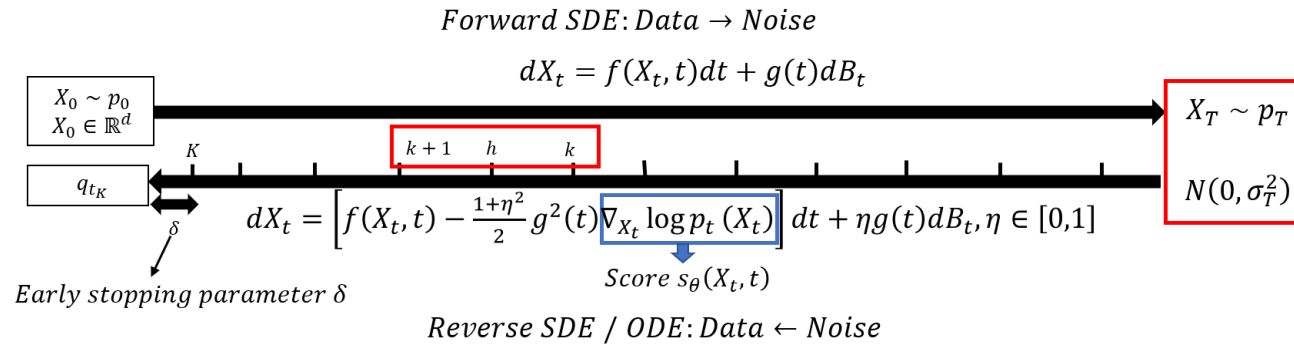
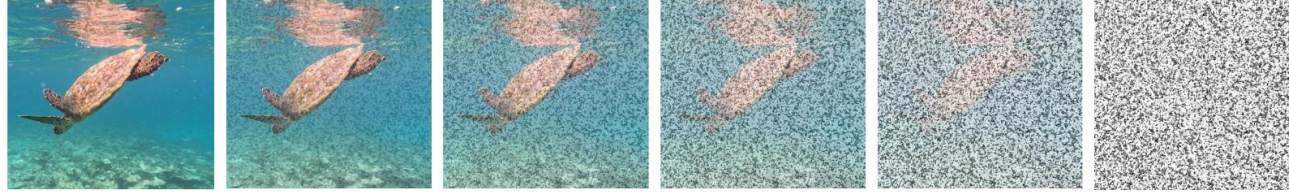
- Random sample $t \sim \text{Uniform}[T]$
- Draw $x_t = \sqrt{\bar{\alpha}_t}x_0 + (1 - \bar{\alpha}_t)z$, where $z \sim \mathcal{N}(0, I)$
- Take gradient on $\nabla_{\theta} \|\hat{x}_{\theta}(x_t) - x_0\|^2$

- Inference

- Draw $x_T \sim \mathcal{N}(0, I)$
- Repeat for $t = T, T - 1, \dots, 1$
 - Calculate $\hat{x}_{\theta}(x_t)$ using our trained denoiser
 - $x_{t-1} = \frac{(1 - \bar{\alpha}_{t-1})\sqrt{\alpha_t}}{1 - \bar{\alpha}_t}x_t + \frac{(1 - \alpha_t)\sqrt{\bar{\alpha}_{t-1}}}{1 - \bar{\alpha}_t}\hat{x}_{\theta}(x_t) + \sigma_q(t)z$, $z \sim \mathcal{N}(0, I)$



Another SDE Perspective



2. Three choices of the forward process:

(1) Variance Preserving SDE: $f(X_t, t) = -\frac{1}{2}X_t, g(X_t, t) = 1 \rightarrow X_t = e^{-\frac{t}{2}}X_0 + (1 - e^{-\frac{t}{2}})Z$

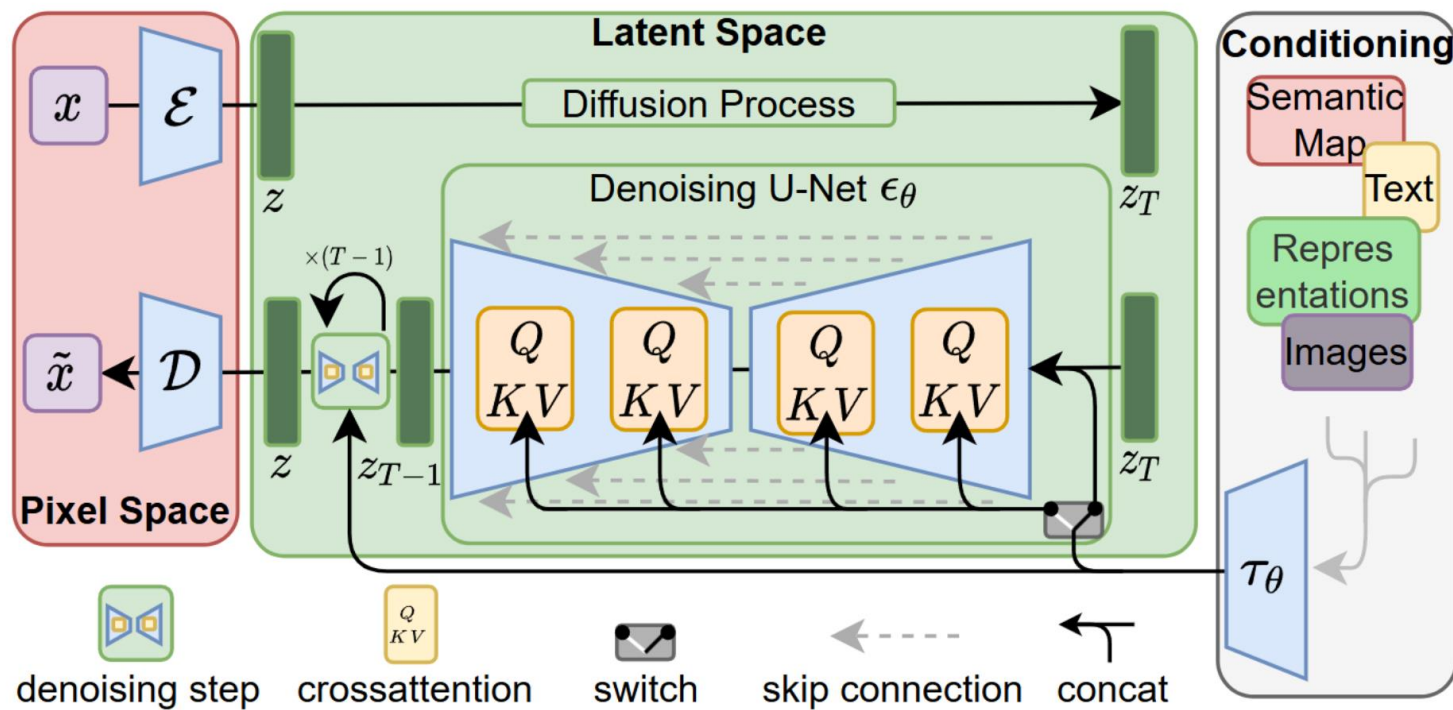
(2) Variance Exploding SDE: $f(X_t, t) = 0, g(X_t, t) = \sqrt{\frac{d\sigma_t^2}{dt}} \rightarrow X_t = X_0 + \sigma_t Z$

(3) Rectified Flow: $X_t = (1 - t)X_0 + tZ, t \in [0, 1]$

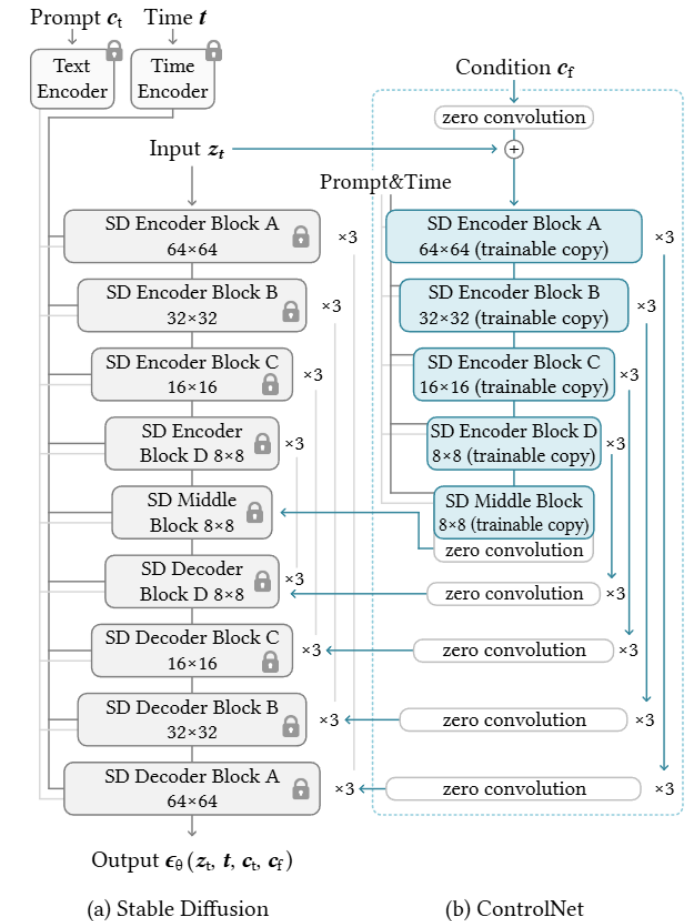
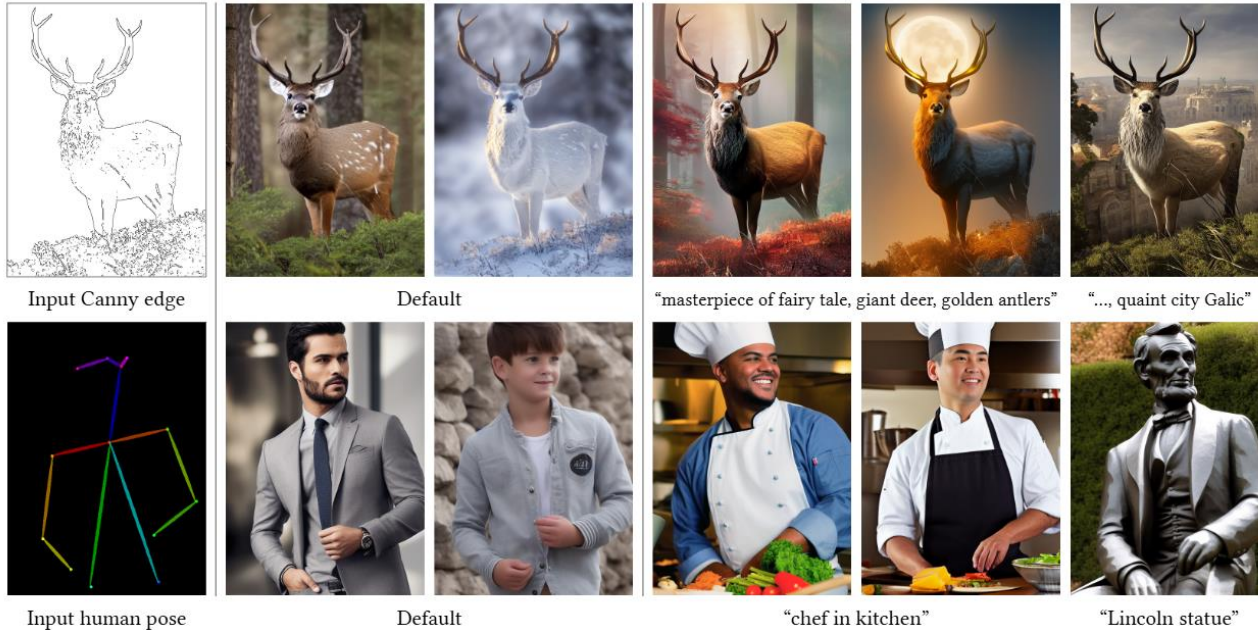
3. When $\eta = 1$, reverse SDE generative process; When $\eta = 0$, reverse PFODE process.

Diffusion in Application

How to Scale Up: Latent Diffusion Model



How to Control 1: ControlNet



How to Control 2: Classifier (or free) Guidance

The conditional score function:

$$\begin{aligned}\nabla \log p(\mathbf{x}_t | y) &= \nabla \log \left(\frac{p(\mathbf{x}_t) p(y | \mathbf{x}_t)}{p(y)} \right) \\ &= \nabla \log p(\mathbf{x}_t) + \nabla \log p(y | \mathbf{x}_t) - \nabla \log p(y) \\ &= \underbrace{\nabla \log p(\mathbf{x}_t)}_{\text{unconditional score}} + \underbrace{\nabla \log p(y | \mathbf{x}_t)}_{\text{classifier gradient}}\end{aligned}$$



CFG Scale : 1



CCG Scale : 3



CFG Scale : 7



CFG Scale : 10



CFG Scale : 15



CFG Scale : 20

The classifier guidance: Train an additional classifier

The classifier-free guidance (cfg): Train $s_\theta(x, y, t)$

$$\begin{aligned}\nabla_{\mathbf{x}_t} \log p(y | \mathbf{x}_t) &= \nabla_{\mathbf{x}_t} \log p(\mathbf{x}_t | y) - \nabla_{\mathbf{x}_t} \log p(\mathbf{x}_t) \\ &= -\frac{1}{\sqrt{1 - \bar{\alpha}_t}} (\epsilon_\theta(\mathbf{x}_t, t, y) - \epsilon_\theta(\mathbf{x}_t, t))\end{aligned}$$

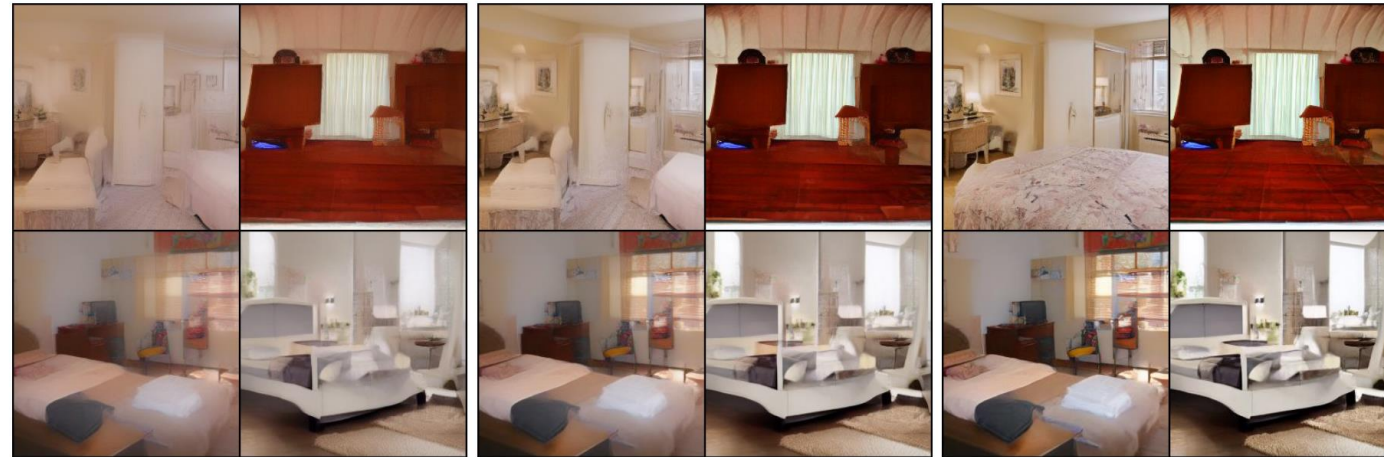
[1] Diffusion Models Beat GANs on Image Synthesis

[2] Classifier-Free Diffusion Guidance

[3] Tout savoir du CFG Scale. <https://www.stablediffusion.blog/cfg-scale>

How to Accelerate:

- The deterministic sampler:
 - (a) Choose some important step to denoise (DDIM [1])
 - (b) Using the form of reverse PFODE process (DPM-Solver Type Algorithm)



(a) DPM-Solver++ [32] (FID 18.59)

(b) UniPC [58] (FID 12.24)

(c) DPM-Solver-v3 (**Ours**) (FID 7.54)

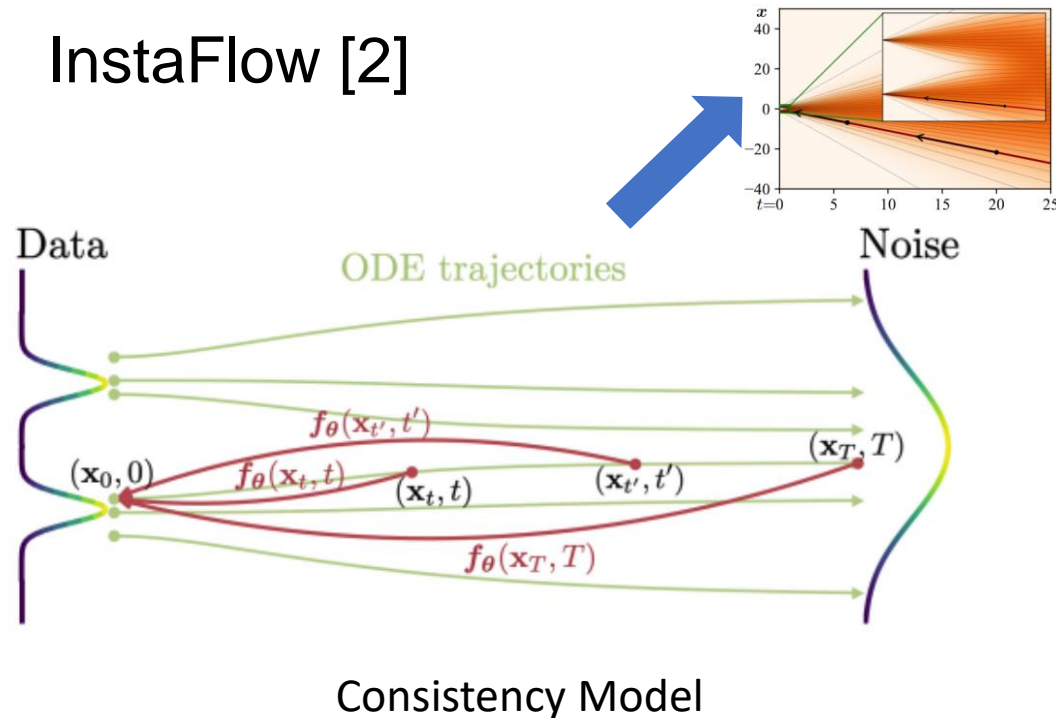
Figure 6: Random samples of Latent-Diffusion [43] on LSUN-Bedroom [55] with only NFE = 5.

[1] Denoising Diffusion Implicit Models

[2] DPM-Solver: A Fast ODE Solver for Diffusion Probabilistic Model Sampling in Around 10 Steps (Following DPM-Solver-2 and 3)

How to One-Step Sampling

- Consistency Models [1]
- InstaFlow [2]



Randomly sample $X_0 \sim \pi_0$



ODE is straightened!

Generated distribution $X_1 \sim \pi_1$
Guaranteed by math



Simulate with ODE solver, e.g., Euler

$$\text{ODE: } \frac{dX}{dt} = v_\theta(X, t)$$

$$\text{InstaFlow: } X_t = (1 - t)X_0 + tZ, t \in [0, 1]$$

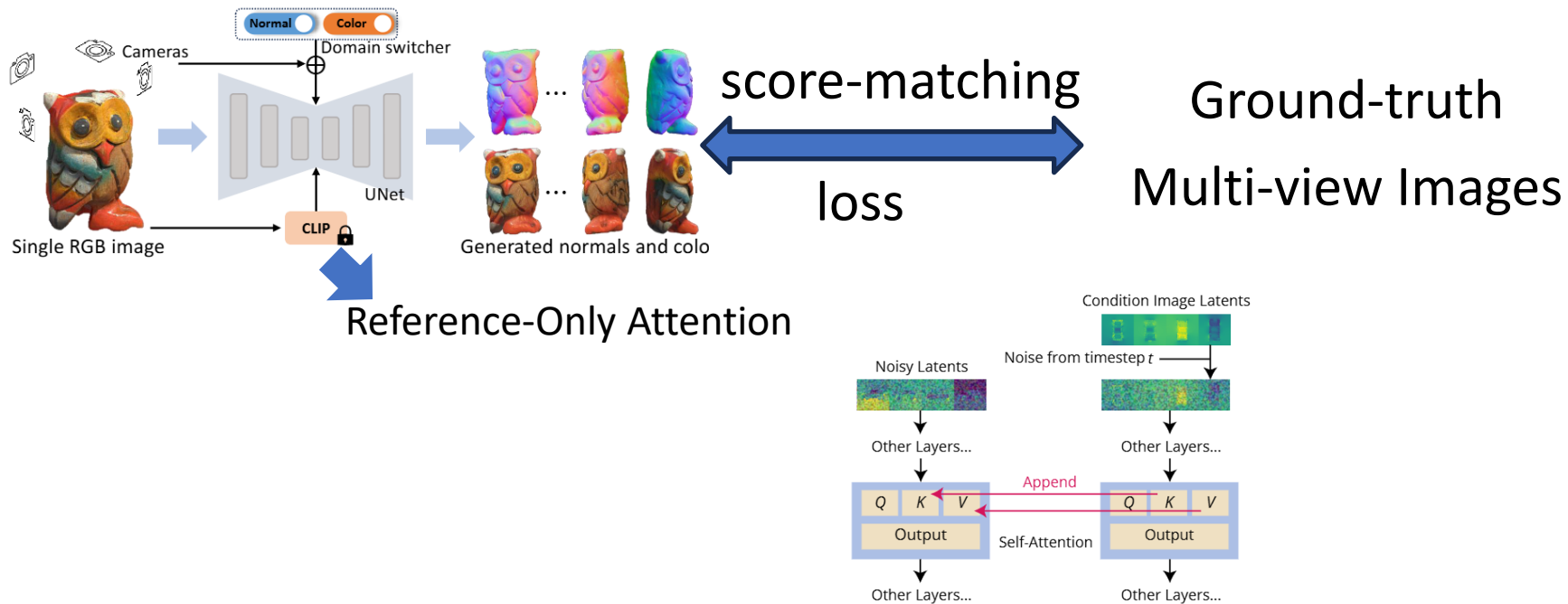
Question: Is the linear solution curve necessary?

[1] Consistency Model; Simplifying, Stabilizing and Scaling Continuous-Time Consistency Models

[2] Instaflow: One step is enough for high-quality diffusion-based text-to-image generation

How to 3D and Video (Supervised Fine-tuning)

- Here, we use 3D task as an example to show how to use 2D prior of SD.



- It is similar for video generation (additional spatial and temporal modules)

[1] Wonder3D: Single Image to 3D using Cross-Domain Diffusion

[2] Zero123++: a Single Image to Consistent Multi-view Diffusion Base Model

[3] VideoCrafter2: Overcoming Data Limitations for High-Quality Video Diffusion Models

[4] Stable Video Diffusion: Scaling Latent Video Diffusion Models to Large Datasets

How to Alignment: RLHF in Diffusion

- View the denoised process as a MDP.

$$\mathbf{s}_t \triangleq (\mathbf{c}, t, \mathbf{x}_{T-t}) \quad P(\mathbf{s}_{t+1} \mid \mathbf{s}_t, \mathbf{a}_t) \triangleq (\delta_{\mathbf{c}}, \delta_{t+1}, \delta_{\mathbf{x}_{T-t-1}})$$

$$\mathbf{a}_t \triangleq \mathbf{x}_{T-t-1} \quad \pi(\mathbf{a}_t \mid \mathbf{s}_t) \triangleq p_{\theta}(\mathbf{x}_{T-t-1} \mid \mathbf{c}, t, \mathbf{x}_{T-t})$$

$$\rho_0(\mathbf{s}_0) \triangleq (p(\mathbf{c}), \delta_0, \mathcal{N}(\mathbf{0}, \mathbf{I}))$$

$$r(\mathbf{s}_t, \mathbf{a}_t) \triangleq r((\mathbf{c}, t, \mathbf{x}_{T-t}), \mathbf{x}_{T-t-1})$$

- Then, PPO or DPO

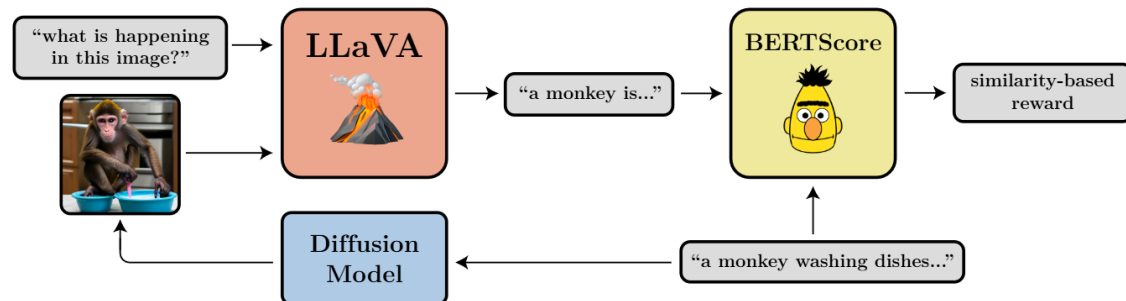


Figure 1. An illustration of our annotation UI. Annotators mark points on the image to indicate artifact/implausibility regions (red points) or misaligned regions (blue points) w.r.t the text prompt. Then, they click on the words to mark the misaligned keywords (underlined and shaded) and choose the scores for plausibility, text-image alignment, aesthetics, and overall quality (underlined).

RichHF-18K dataset [1]

[1] Rich Human Feedback for Text-to-Image Generation, CVPR 24 best paper

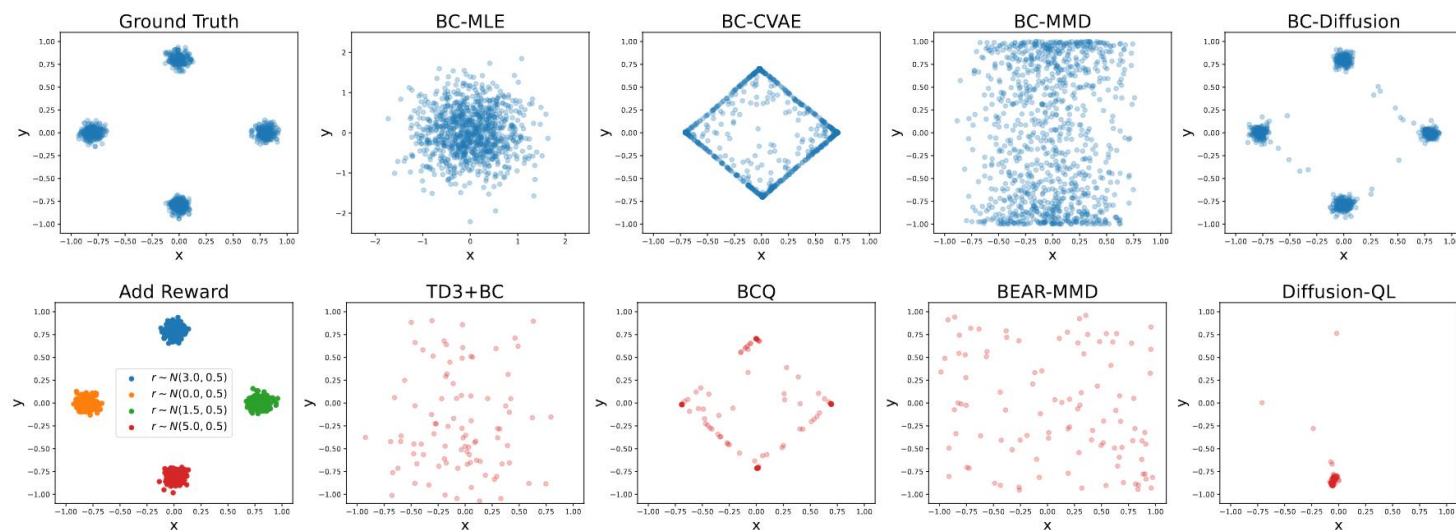
[2] Training Diffusion Models with Reinforcement Learning

How to RL 1: The Diffusion Policy

- View the conditional diffusion as policy: condition on state s to generate a .

$$\pi = \arg \min_{\pi_{\theta}} \mathcal{L}(\theta) = \underbrace{\mathcal{L}_d(\theta)}_{\text{Diffusion loss}} + \underbrace{\mathcal{L}_q(\theta)}_{\text{Max Q function}} = \mathcal{L}_d(\theta) - \alpha \cdot \mathbb{E}_{s \sim \mathcal{D}, a^0 \sim \pi_{\theta}} [Q_{\phi}(s, a^0)] .$$

(BC term)

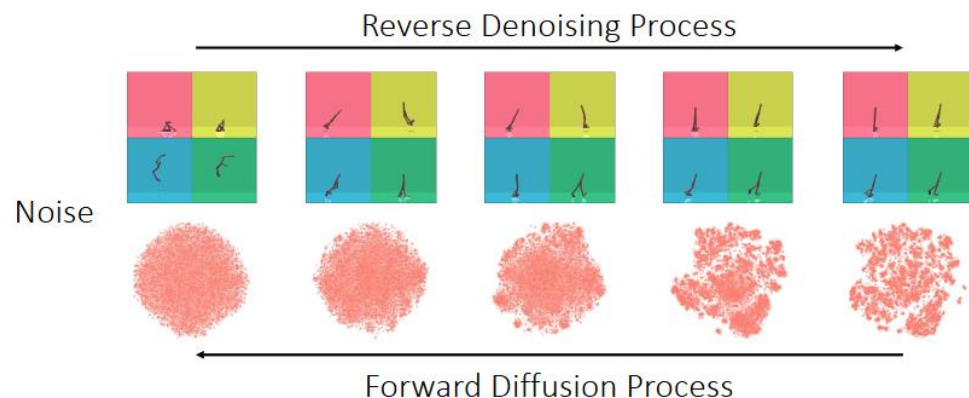


[1] Diffusion Policies as an Expressive Policy Class for Offline Reinforcement Learning

[2] Consistency Models as a Rich and Efficient Policy Class for Reinforcement Learning

How to RL 2: The Diffusion Augmentation

[1]



Algorithm 1 SYNTER for online replay-based algorithms. Our additions are highlighted in blue.

- 1: **Input:** real data ratio $r \in [0, 1]$
- 2: **Initialize:** $\mathcal{D}_{\text{real}} = \emptyset$ real replay buffer, π agent, $\mathcal{D}_{\text{synthetic}} = \emptyset$ synthetic replay buffer, M diffusion model
- 3: **for** $t = 1, \dots, T$ **do**
- 4: Collect data with π in the environment and add them to $\mathcal{D}_{\text{real}}$
- 5: Update diffusion model M with samples from $\mathcal{D}_{\text{real}}$
- 6: Generate samples from M and add them to $\mathcal{D}_{\text{synthetic}}$
- 7: Train π on samples from $\mathcal{D}_{\text{real}} \cup \mathcal{D}_{\text{synthetic}}$ mixed with ratio r
- 8: **end for**

[2]

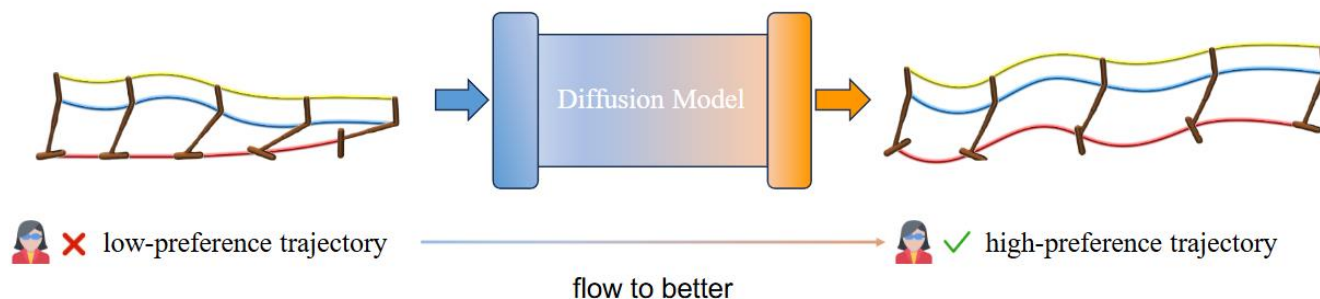



Figure 1: Illustration of the key idea of our method. Given a low-preference trajectory (left), the FTB model generates a higher-preference trajectory (right).

[1] Synthetic Experience Replay

[2] Flow to Better: Offline Preference-based Reinforcement Learning via Preferred Trajectory Generation

How to Start: Some tutorials and Code

- DDPM Demo: <https://github.com/lucidrains/denoising-diffusion-pytorch>
- Score SDE Demo: https://colab.research.google.com/drive/17ITrPLTt_0EDXa4hkbHmbAFQEkpRDZnh?usp=sharing
- Diffusers: common diffusion pipelines  **D~~iff~~users**
- Stable Diffusion (base on Idm): <https://github.com/Stability-AI/stablediffusion>