

Print Client Name:		Client Identification Number:		Date:		Adult 65D-30 Intervention ASAM Level .05	
DIMENSIONS	ADMISSION CRITERIA	Circle all items in each dimension that apply to the client. Place a check in the “yes” or “no” box that indicates validation or lack of validation for placement into this level of care.				YES	NO
ASAM Requirements	Must meet one of the Dimensions of 4, 5, or 6 and any identifiable problems in Dimensions 1, 2 or 3 that are stable or being addressed through appropriate outpatient medical or mental health services.						
Dimension 1: Acute Intoxication and/or Withdrawal Potential	The client’s status in this dimension is characterized by one of the following: a. Client is free from intoxication or withdrawal symptoms/risks; or b. The client’s intoxication or withdrawal symptoms/risks can be managed at this level of care.						
Dimension 2: Biomedical Conditions and Complications	None or very stable– Any biomedical conditions are stable or are being actively addressed and will not interfere with interventions at this level of care.						
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	None or very stable - Any emotional, behavioral or cognitive conditions or complications are being addressed through appropriate mental health services and will not interfere with interventions at this level of service.						
Dimension 4: Readiness to Change	Willing to understand how current use may affect personal goals – The client expresses a willingness to gain an understanding of how his/her current use of alcohol and /or other drugs may interfere with meeting responsibilities and achieving personal goals.						
Dimension 5: Relapse/ Continued Use Potential	Needs understanding of, or skills to change current use patterns. The situation is characterized by one of the following: a. The client does not understand the need to alter the current pattern of use of substances to prevent further harm related to such use; or b. The client needs to acquire the specific skills needed to change his/her current pattern of use.						
Dimension 6: Recovery Environment	Social support system or significant others increase risk for personal conflict about alcohol/drug use. The client’s living environment is characterized by one of the following: a. The social support system is composed primarily of individuals whose substance use patterns prevent them from meeting social, work, school or family obligations; or b. Family members currently are abusing substances, increasing the client’s risk for substance related disorder; or c. Significant others express values concerning alcohol or other drug use that create significant conflict for the client; or d. Significant others condone or encourage inappropriate use of alcohol or other drugs.						
Recommendations/Notes:							
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DIMENSIONS	CONTINUED STAY CRITERIA	Circle all items in each dimension that apply to the client. Place a check in the “yes” or “no” box that indicates validation or lack of validation for continued stay in this level of care.				YES	NO
ASAM Requirements	The client remains stable in Dimensions 1, 2, and 3, and meets the criteria in at least one of Dimensions 4, 5, or 6.						
Dimension 1: Acute Intoxication and/or Withdrawal Potential	Client is free from intoxication or withdrawal symptoms/risks.						
Dimension 2: Biomedical Conditions and Complications	None or very stable – Any biomedical conditions are stable or are being actively addressed and will not interfere with interventions at this level of care.						
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	None or very stable - Any emotional, behavioral or cognitive conditions or complications are stable or are being actively addressed and will not interfere with interventions at this level of service.						
Dimension 4: Readiness to Change	Willing to understand how current use may affect personal goals – The client continues to express a willingness to gain an understanding of how his/her current use of alcohol and /or other drugs may interfere with meeting responsibilities and achieving personal goals.						
Dimension 5: Relapse/ Continued Use Potential	The client demonstrates an understanding of the detrimental aspects of his/her alcohol and/or drug use pattern but does not yet demonstrate the skills necessary to change those patterns.						
Dimension 6: Recovery Environment	The client’s living environment is characterized by one of the following: a. Problem aspects of the environment remain, but the client is acquiring the skills needed to cope with them; or b. Significant others who have documented problems with alcohol or other drug use are receiving help for their problems, which have not yet stabilized.						
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DIMENSIONS	DISCHARGE _____	TRANSFER _____ Enter Level _____	Circle all items in each dimension that apply to the client. Place a check in the “yes” of “no” box that indicates validation or lack of validation for discharge or transfer from this level of care.		YES	NO
ASAM Requirements	Discharge from this level of service requires that the client meet the criteria in one of the six dimensions.					
Dimension 1: Acute Intoxication and/or Withdrawal Potential	The client’s status in this dimension is characterized by one of the following: a. The client is free from intoxication or withdrawal symptoms/risks; or b. The client exhibits severe intoxication and/or withdrawal, which can not be safely managed at this level of care.					
Dimension 2: Biomedical Conditions and Complications	The client’s status in this dimension is characterized by one of the following: a. The client’s biomedical conditions, if any, have diminished or stabilized and the client does not meet any of the continued stay criteria in this or another dimension that indicates the need for transfer to another level of care; or b. The client’s condition precludes continued participation in this level of care and requires transfer to another level of care.					
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	The client’s status in this dimension is characterized by one of the following: a. The client’s emotional, behavioral or cognitive conditions, if any, have diminished or stabilized and the client does not meet any of the continued stay criteria in this or another dimension that indicates the need for transfer to another level of care; or b. The client’s mental health conditions (e.g., anxiety, guilt, or thought disorders) are stable and related to substance use or to a co-occurring emotional, behavioral or cognitive condition. Mental health monitoring is needed to maintain this stability (e.g., fluctuations in mood recently stabilized with medication, substance induced depression reduced and still significant or a client with schizophrenic disorder released recently from the hospital); or c. The client’s condition precludes continued participation in this level of care and requires transfer to another level of care; or d. The client is assessed as not posing a risk of harm to self or others and is not vulnerable to victimization by others.					
Dimension 4: Readiness to Change	The client’s status in this dimension is characterized by one of the following: a. The client has remained stable in Dimension 4 and does not meet any other criteria that indicates the need for continued service at this level of care; or b. The client no longer is willing to examine personal substance use patterns, despite program efforts, and a recommendation is being made for further assessment and follow-up.					
Dimension 5: Relapse/ Continued Use Potential	The client’s status in this dimension is characterized by one of the following: a. The client has demonstrated the personal skills necessary to make responsible choices about alcohol/other drug use, and does not meet any other criteria indicating the need for continued service at this level of care; or b. The client has not integrated the skills necessary to avoid harmful or inappropriate substance use, despite professional interventions, and a recommendation is being made for further assessment and follow-up.					

Dimension 6: Recovery Environment	The client's status in this dimension is characterized by one of the following: a. The client has resolved problems in his/her living environment or demonstrates the needed coping skills necessary to achieve personal goals and does not meet any other criteria indicating a need for continued service at this level of care; or b. The client is no longer willing to examine problems in his/her living environment, despite program efforts. Since these problems persist, a recommendation is being made for appropriate living and support services.		
Recommendations/Notes:			

Print Counselor Name:

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Print Client Name:		Client Identification Number:	Date:	Adult 65D-30 Outpatient ASAM Level I	
DIMENSIONS	ADMISSION CRITERIA	Circle all items in each dimension that apply to the client. Place a check in the “yes” or “no” box that indicates validation or lack of validation for placement into this level of care.		YES	NO
ASAM Requirements	Meets criteria in all six dimensions.				
Dimension 1: Acute Intoxication and/or Withdrawal Potential	The client’s status in this dimension is characterized by one of the following: a. Client is free from intoxication or withdrawal symptoms/risks; or b. The client’s intoxication or withdrawal symptoms/risks can be managed at this level of care.				
Dimension 2: Biomedical Conditions and Complications	None or very stable - The client’s biomedical conditions, if any, are stable enough to participate in outpatient treatment.				
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	<p>None or very stable – The situation is characterized by all of the following:</p> <p>a. The client’s anxiety, guilt and/or depression, if present, appear to be related to substance-related problems rather than to a coexisting psychiatric/emotional/behavioral or cognitive condition. If they are related to such a condition, appropriate care is being provided concurrent with ASAM Level I; and</p> <p>b. The client’s mental status does not preclude his/her ability to understand the materials presented or to participate in the treatment process; and</p> <p>c. The client is assessed as not posing a risk of harm to self or others.</p> <p>Dual Diagnosed Enhanced Programs</p> <p>In addition to the foregoing criteria, either (a) or (b) and (c) and (d) characterize the client’s status in Dimension 3.</p> <p>(a) The client has a severe and persistent mental illness that impairs his or her ability to follow through consistently with mental health appointments and psychotropic medication. The client maintains the ability to access service such as assertive community treatment and intensive case management or supportive living designed to help the client remain engaged in treatment; or</p> <p>(b) The client has a severe and persistent mental disorder or other emotional, behavioral or cognitive problems, or substance-induced disorder; and</p> <p>(c) The client’s mental health functioning is such that he or she has impaired ability to: [1] understand the information presented, and [2] participate in treatment planning and the treatment process. Mental health management is required to stabilize mood, cognition and behavior; and</p> <p>(d) The client is assessed as not posing a risk of harm to self or others and is not vulnerable to victimization by another.</p>				
Dimension 4: Readiness to Change	<p>The situation is characterized by (a) and (b) or (c) or (d):</p> <p>a. The client wants to adhere to the treatment plan and attend all scheduled activities; and</p> <p>b. The client admits to a substance abuse and/or a mental health problem but requires monitoring and motivating strategies. A structured residential program is not required; or</p> <p>c. The client is ambivalent about or does not recognize that he or she has a substance related and/or mental health problem; or</p> <p>d. The client may not recognize that they have a substance-related and/or mental health problem. They may require monitoring and motivating strategies to engage in treatment and to progress through the stages of change.</p>				
Dimension 5: Relapse/ Continued Use Potential	<p>Able to maintain abstinence and pursue recovery goals with minimal support – The client is assessed as being able to achieve or maintain abstinence and recovery goals only with support and scheduled counseling to assist in dealing with issues that include mental preoccupation with alcohol or other drugs, craving, peer pressure, lifestyle, attitude changes and other treatment plans issues.</p> <p>Dual Diagnosed Enhanced Programs</p> <p>In addition to the criteria listed above, the client is assessed as able to achieve or maintain mental health functioning and related goals only with support and scheduled therapeutic contact to assist him or her in dealing with issues that include (but are not limited to) impulses to harm self or others and difficulty in coping with his or her affects, impulses or cognition.</p>				
Dimension 6: Recovery Environment	<p>The situation is characterized by one of the following:</p> <p>a. A sufficiently supportive psychosocial environment makes outpatient treatment feasible; or</p>				

	<ul style="list-style-type: none"> b. Although the client does not have an ideal primary or social support system to assist with sobriety, he or she has demonstrated motivation and willingness to obtain such a support system; or c. Family and significant others are supportive but require professional interventions to improve the client's chance of treatment success and recovery. <p>Dual Diagnosed Enhanced Programs</p> <p>In addition to the criteria listed above, (a) or (b) or (c) characterizes the client's status in Dimension 6.</p> <ul style="list-style-type: none"> a. The client does not have an adequate primary or social support system and has mild impairment in his or her ability to obtain a support system; or b. The family guardian or significant others require active family therapy or systems interventions to improve the client's chances of treatment success and recovery; or c. The client's status in Dimension 6 is characterized by all of the following: (1) the client has a severe and persistent mental disorder or emotional, behavioral or cognitive problem, and (2) the client does not have an adequate family or social support system, and (3) the client is chronically impaired, however, not in imminent danger, had limited ability to establish a supportive recovery environment. (The client however, does not have access to intensive outreach and case management services that can provide structure and allow him or her to work toward stabilizing both the substance and mental health related disorders). The client does however, have access to intensive outreach and case management services that can provide structure and allow them to work toward stabilizing both the substance related and mental disorders. 		
Recommendations/Notes:			
<div style="display: flex; justify-content: space-between; margin-top: 20px;"> Print Counselor Name: Counselor Signature/Credential: Date: </div>			

Print Client Name:		Client Identification Number:		Date:		Adult 65D-30 Outpatient ASAM Level I	
DIMENSIONS	CONTINUED STAY CRITERIA	Circle all items in each dimension that apply to the client. Place a check in the “yes” or “no” box that indicates validation or lack of validation for continued stay in this level of care.				YES	NO
ASAM Requirements	Meets criteria in Dimensions 1 and 2 and one of Dimensions 3, 4, 5 or 6.						
Dimension 1: Acute Intoxication and/or Withdrawal Potential	Client is free from intoxication or withdrawal symptoms/risks.						
Dimension 2: Biomedical Conditions and Complications	None or very stable - The client’s status in this dimension is characterized by one of the following: a. The client’s biomedical conditions are stable enough to continue to participate in outpatient treatment; or b. An intervening problem interrupted treatment but is now stable enough for the client to progress in treatment						
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	None or very stable - The client’s status in this dimension is characterized by one of the following: a. The client is making progress to reduce anxiety, guilt or depression, if present, but these symptoms have not been sufficiently resolved to permit discharge from treatment; or b. An intervening emotional, behavioral or cognitive condition interrupted treatment but is now stable enough for the client to progress in treatment.						
Dimension 4: Readiness to Change	Willing to cooperate but needs motivating and monitoring strategies - The client continues to work on treatment goals and objectives, but does not yet understand or accept his/her addiction sufficiently to maintain a self-directed recovery plan.						
Dimension 5: Relapse/ Continued Use Potential	Able to maintain abstinence and pursue recovery goals with minimal support as characterized by one of the following: a. Client is not using alcohol or other drugs but remains mentally preoccupied with such use to the extent he/she is unable to address primary relationships, social or work tasks; however, there are indications that with continued treatment, the client will effectively address these issues; or b. Client is not using alcohol or drugs and demonstrates minimal craving but requires continued work on the development of an alternative lifestyle, thinking patterns and emotional responses; however, the client is making progress toward these goals.						
Dimension 6: Recovery Environment	Supportive recovery environment and/or client has skills to cope – The social environment remains non-supportive or has deteriorated, but the client is making sufficient progress in learning social and related coping skills to function in the environment without using substances.						
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DIMENSIONS	DISCHARGE _____	TRANSFER _____ Enter Level _____	Circle all items in each dimension that apply to the client. Place a check in the “yes” or “no” box that indicates validation of lack of validation for discharge or transfer from this level of care.		YES	NO
ASAM Requirements	Meets criteria in one of the six dimensions unless discharged for lack of diagnostic criteria.					
Dimension 1: Acute Intoxication and/or Withdrawal Potential	The client’s status in this dimension is characterized by one of the following: a. Client is free from intoxication or withdrawal symptoms/risks; or b. The client exhibits symptoms of severe intoxication and/or withdrawal, which can not be safely managed at this level of care.					
Dimension 2: Biomedical Conditions and Complications	The client’s status in this dimension is characterized by one of the following: a. The client’s biomedical conditions, if any, have diminished or stabilized to the extent they can be managed through outpatient appointments at the client’s discretion, and the client does not meet any of the continued stay criteria in this or another dimension that indicates the need for further treatment in ASAM Level I; or b. The client has a biomedical condition that is interfering with addiction treatment and that requires treatment in another setting.					
Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications	The client’s status in this dimension is characterized by one of the following: a. The client’s emotional, behavioral or cognitive conditions, if any, have diminished or stabilized to the extent they can be managed through outpatient appointments at the client’s discretion, and the client does not meet any of the continued stay criteria in this or another dimension that indicates the need for further treatment in ASAM Level I; or b. The client has an emotional, behavioral or cognitive condition that is interfering with treatment and that requires additional treatment in another setting.					
Dimension 4: Readiness to Change	The client’s status in this dimension is characterized by one of the following: a. The client’s awareness and acceptance of his/her addiction problem and commitment to recovery is sufficient to expect maintenance of a self-directed recovery plan, based on the following evidence: 1) the client recognizes the severity of the substance abuse problem; 2) the client has and understanding of the self-defeating relationship with substances; 3) the client is applying the skills necessary to maintain sobriety in a mutual self-help group and/or with post-treatment support care; and 4) the client does not meet any of the continued stay criteria in this or another dimension that indicates the need for further treatment in ASAM Level I; or b. The client consistently has failed to achieve essential treatment objectives despite revisions to the treatment plan, to an extent that no further progress is likely to occur.					
Dimension 5: Relapse/ Continued Use Potential	The client’s status in this dimension is characterized by one of the following: a. The client’s therapeutic gains in addressing craving and relapse issues have been internalized and integrated so the client does not meet any of the ASAM Level I continued stay criteria in this or another dimension that indicates the need for further treatment in ASAM Level I; or b. The client is experiencing a worsening of drug-seeking behaviors or craving, requiring treatment in a more intensive level of care.					
Dimension 6: Recovery Environment	The client’s status in this dimension is characterized by one of the following: a. The client’s social system and significant others are supportive of recovery to an extent that the client can follow a self-directed treatment plan without substantial risk of relapse/continued use and the client does not meet any of the continued service criteria in this or another dimension that indicates the need for further treatment at ASAM Level I; or b. The client is functioning adequately in assessed life task areas of work, social functioning or primary relationships and does not meet any of the continued service criteria in this or another dimension that indicates the need for further treatment at ASAM Level I; or c. The client’s social system remains non-supportive or has deteriorated. The client is having difficulty coping with this environment and is at substantial risk of relapse and requires placement in a more intensive level of care.					
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