

Client Name \_\_\_\_\_  
 Provider Name \_\_\_\_\_ Date Completed \_\_\_\_\_ Page 1 of \_\_\_\_\_  
 Revised 06/27/06

**APS Healthcare, Inc.**  
**4545 Lincoln Boulevard, Suite 103**  
**800-762-1560 (Main) / 800-762-1639 (FAX)**

TYPE OF FAX: (Mark only ONE of the following)

TO: APS – Medicaid Outpatient Preauthorization Unit      ATTENTION: \_\_\_\_\_  
FAX NUMBER: (405) 762-1639    (Reviewer)

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Check One:      Mental Health Request      Substance Abuse / Integrated Request

COMMENTS: (NO clinical information)

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Date Completed: \_\_\_\_\_

**Social Security #** \_\_\_\_\_ **Legal Guardian Name:** \_\_\_\_\_

**Relationship to Client:** \_\_\_\_\_

**Current Residence: (Check ALL that apply)**

**Foster Care (Placement Date: \_\_\_\_\_) TFC Multiple placements in past 2 years (# \_\_\_\_\_)**

LEVEL:	1	2	3	4	Exceptional Case	0-36 months	ICF/MR	RBMS
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**ADMIT DATE TO CURRENT FACILITY:**

**TREATMENT HISTORY:** (Admit / Discharge dates, facility, IP or OP, reason for treatment)

**DSM-IV DIAGNOSES: (Complete ALL five axes)**

**Principal Axis I Code:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Second Axis I Code:**

**Axis II Code:**

### Axis III

**Axis IV: Problems related to:** ☐ Primary support group ☐ Social environment ☐ Education ☐ Housing ☐ Economic  
☐ Occupation ☐ Access to health care services ☐ Interaction with legal system/crime ☐ Other

**Axis V GAF:** Current: \_\_\_\_\_ Highest Level in the Past Year: \_\_\_\_\_

**HISTORICAL INFORMATION** (relevant to current diagnosis and treatment):

Client Name: \_\_\_\_\_

Date Completed: \_\_\_\_\_

**CLIENT ASSESSMENT RECORD****Past****Current****1. FEELINGS/MOOD/AFFECT**Problem areas: \_\_\_ Mood lability \_\_\_ Coping skills \_\_\_ Suicidal/homicidal ideation/plan \_\_\_ Depression **SCORE** \_\_\_\_\_

\_\_\_ Anger \_\_\_ Anxiety \_\_\_ Euphoria \_\_\_ Change in appetite/sleep patterns

Evidenced by (specific examples, symptom frequency, duration and intensity, impact on daily functioning) \_\_\_\_\_

**2. THINKING/MENTAL PROCESS****SCORE** \_\_\_\_\_

Oriented x \_\_\_\_\_ MMSE score (if administered) \_\_\_\_\_ IQ Score (if MR diagnosis) \_\_\_\_\_

Problem areas: \_\_\_ Memory \_\_\_ Cognitive process \_\_\_ Concentration \_\_\_ Judgment \_\_\_ Obsessions

\_\_\_ Delusions/hallucinations \_\_\_ Belief system \_\_\_ Learning disabilities \_\_\_ Impulse Control

Evidenced by (specific examples, symptom frequency, duration and intensity, impact on daily functioning) \_\_\_\_\_

**3. SUBSTANCE USE:****SCORE** \_\_\_\_\_

Drug of Choice	Amount Used	Frequency of Use	Age First Used	Date Last used

Functional impact of current use, give examples of level of dependency \_\_\_\_\_

**4. MEDICAL/PHYSICAL****SCORE** \_\_\_\_\_

Current Medical/physical conditions \_\_\_\_\_

Impact/limitations on day-to-day function \_\_\_\_\_

**MEDICATIONS**

Name of Rx	Dosage/Frequency	Reason for Rx

**5. FAMILY****SCORE** \_\_\_\_\_

Currently resides with \_\_\_ biological family \_\_\_ adoptive family \_\_\_ foster family \_\_\_ Other \_\_\_\_\_

Problem areas: \_\_\_ Parenting \_\_\_ Conflict \_\_\_ Abuse/violence \_\_\_ Communication \_\_\_ Marital \_\_\_ Sibling \_\_\_ Parent/child

Evidenced by (specific examples, frequency, duration and intensity, impact on daily functioning) \_\_\_\_\_

Client Name: \_\_\_\_\_

Date Completed: \_\_\_\_\_

	<b>SCORE</b>	<b>Past</b>	<b>Current</b>
<b>6. INTERPERSONAL</b>			
Problem areas: ___ Peers/friends ___ Social interaction ___ Withdrawal ___ Make/keep friends ___ Conflict			
Evidenced by (specific examples, frequency, duration, intensity, impact on daily functioning) _____			
_____			
_____			

	<b>SCORE</b>	<b>Past</b>	<b>Current</b>
<b>7. ROLE PERFORMANCE</b>			
Functional role: ___ Employment/Volunteer ___ School/daycare ___ Home management ___ Other _____			
Effectiveness of functioning in identified role _____			

Evidenced by (specific examples, frequency, duration and intensity, impact on daily functioning) \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

	<b>SCORE</b>	<b>Past</b>	<b>Current</b>
<b>8. SOCIO-LEGAL</b>			
Problem areas: ___ Ability to follow rules/laws ___ Authority issues ___ Legal issues ___ Aggression			
___ Probation/parole ___ Abides by personal ethical/moral value system ___ Antisocial behaviors			
Evidenced by (specific examples, frequency, duration and intensity, impact on daily functioning) _____			

	<b>SCORE</b>	<b>Past</b>	<b>Current</b>
<b>9. SELF-CARE/BASIC NEEDS</b>			
Problem areas: ___ Hygiene ___ Food ___ Clothing ___ Shelter ___ Medical/dental needs ___ Transportation			
Evidenced by (specific examples, frequency, duration and intensity, impact on daily functioning) _____			

**COMMUNICATION** (required for ICF/MR level of care) \_\_\_ ESL \_\_\_ Hearing impaired \_\_\_ Non-verbal

\_\_\_ Uses interpreter \_\_\_ Signs \_\_\_ Uses mechanical device \_\_\_ Speech impaired \_\_\_ Fluency

Descriptors: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**INTERPRETIVE SUMMARY/ADDITIONAL INFORMATION:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Client Name: \_\_\_\_\_ Date Completed: \_\_\_\_\_

I/We (client/guardian) have actively participated in the development of this service plan and understand the treatment goals and objectives listed. I have the following comments/response:

I/We (\_\_\_ Agree) (\_\_\_ Disagree) with this service plan.

Client Signature, 14 or older \_\_\_\_\_ Date \_\_\_\_\_

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

Witness: \_\_\_\_\_

Relationship to client: \_\_\_\_\_

If client is unable to sign, document the reason: \_\_\_\_\_

**TREATMENT TEAM:**

\*Responsible MHP or AODTP Signature, Degree/License \_\_\_\_\_ Date \_\_\_\_\_ Physician, Credentials \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_ Physician signature not required

\* \_\_\_\_ All required signatures are on file and available for audit. Printed name and licensure required if not signing on PA request.

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Type of Service	Frequency of Service	Print Staff Name & Credentials	Signature	Date
Ind Psy	_____	_____	_____	_____
Int Psy	_____	_____	_____	_____
Grp Psy	_____	_____	_____	_____
Fam Psy	_____	_____	_____	_____
P/S Reh-G	_____	_____	_____	_____
P/S Reh-I	_____	_____	_____	_____
A/D Skill Dev-G	_____	_____	_____	_____
A/D Skill Dev-I	_____	_____	_____	_____
Psy Test	_____	_____	_____	_____
Med T/S	_____	_____	_____	_____
CM	_____	_____	_____	_____
BH Aid	_____	_____	_____	_____

Client Name: \_\_\_\_\_

Date Completed: \_\_\_\_\_

Recipient ID #: \_\_\_\_\_ Provider #: \_\_\_\_\_ Location: \_\_\_\_\_ Case Mgmt: \_\_\_\_\_

**Psychotherapy:**Individual Psychotherapy: \_\_\_\_\_ # of 20-30 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit= 1.54 RVU's)\_\_\_\_\_ # of 45-50 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit = 2.25 RVU's)\_\_\_\_\_ # of 75-80 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit = 3.34 RVU's)Interactive Psychotherapy: \_\_\_\_\_ # of 20-30 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit = 1.64 RVU's)\_\_\_\_\_ # of 45-50 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit = 2.43 RVU's)\_\_\_\_\_ # of 75-80 min sessions per month= \_\_\_\_\_ RVU's per month  
(1 unit = 3.51 RVU's)Family Psychotherapy: \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
(w/Client-60 min =2.69 RVU's;w/o-60min=2.20RVU's)Group Psychotherapy: \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
(60 min = 0.84 RVU's)

Total Psychotherapy RVU's per month= \_\_\_\_\_

**Psychosocial Rehabilitation or Alcohol and/or Substance Abuse Treatment Services, Skills Development and Case Management:**  
For Rehab and Case Management: Each 60 min. session equals 4 units and RVU's have been adjusted accordingly.Group Rehab: \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
or A/D Skills Development (60 min = 0.56 RVU's)Individual Rehab: \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
or A/D Skills Development (60 min = 1.48 RVU's)Case Management: Direct \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
(60 min = 1.76 RVU's)(Children Only) Indirect \_\_\_\_\_ # of 60 min sessions per month= \_\_\_\_\_ RVU's per month  
(60 min = 1.40 RVU's)Total Rehabilitation/Skills Development/Case Management RVU's per month = \_\_\_\_\_**Combined Total RVU's =** \_\_\_\_\_Requested Authorization Dates: Start Date: \_\_\_\_\_ 3 month 6 month authorization period  
**Additional / Optional Services:** (check one) \_\_\_\_\_ Extended Level of Care

Medication Training and Support: \_\_\_\_\_ # of sessions per month

Psychological Testing: \_\_\_\_\_ # of hours

Behavioral Health Aid: \_\_\_\_\_ # of hours

Client Name: \_\_\_\_\_

Date Completed: \_\_\_\_\_

**ADDENDUM**

Completion of this page of the request packet is optional for the provider and is not required for the preauthorization process at APS. The items listed on this page, however, may be required documentation for SURS reviews, CARF certification and/or JCAHO certification. Please do not submit this form to APS as part of the request packet unless instructed to do so on a specific request by an APS review coordinator.

**COMMUNITY INTEGRATION:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**CAREGIVER RESOURCES (for clients under the age of 21):** \_\_\_\_\_

\_\_\_\_\_

**CLIENT'S STRENGTHS/ABILITIES (in client's own words):** \_\_\_\_\_

\_\_\_\_\_

**CLIENT'S LIABILITIES/NEEDS (in client's own words):** \_\_\_\_\_

\_\_\_\_\_

**THEORETICAL APPROACH BEING UTILIZED WITH INDIVIDUAL PSYCHOTHERAPY:**

\_\_\_\_\_  
"

ENKGPV)URTGHGTGPEGU<

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aa"

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**COLLABORATION WITH SCHOOL SYSTEM (school age children only):** \_\_\_\_\_

\_\_\_\_\_

**REFERRALS TO OTHER COMMUNITY SERVICES:** \_\_\_\_\_

\_\_\_\_\_

**DISCHARGE PLAN:**

a. **CRITERIA (client-specific behaviors)** "0GUV'FCVG'FUEJ CTI GF "0CHVGTECTG'RNCP

aa

aa

aa

Persons involved in development: \_\_\_\_\_

Collaborative Referrals: \_\_\_\_\_

Client received resource list information regarding treatment options if symptoms recur or additional services are needed: \_\_\_\_\_

Staff Responsible for Follow-Up of Referrals: \_\_\_\_\_

\_\_\_\_\_