

# Self-Referential Quantum Information Dynamics as a Discrete Testbed for Emergent Gravity: Results, Anchors, and Limitations

Joshua Farrow<sup>\*1</sup> and (Draft co-authored with an AI assistant)<sup>2</sup>

<sup>1</sup>Independent Researcher, Richmond, VA, USA

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## Abstract

We study a fully unitary, measurement-free quantum cellular automaton (QCA) model in which local “matter” clocks are coupled to a network of entanglement-carrying bond registers via frustration-controlled promotion isometries. This framework, which we refer to as Self-Referential Quantum Information Dynamics (SRQID) and its Quantum Automaton Tensor-Network Universe (QATNU) realization, has previously been shown to satisfy Lieb–Robinson bounds and no-signalling while exhibiting a rich phase structure in the promotion strength  $\lambda$ . In this work we focus on three aspects: (i) the microscopic origin and numerical measurement of an effective clock susceptibility  $\alpha$  that relates local entanglement depth to clock slowdown, (ii) the dependence of the emergent phase diagram on the pair  $(\lambda, \alpha)$ , and (iii) the extent to which macroscopic scales and gravitational phenomenology can be consistently anchored to the model.

Using small- $N$  exact diagonalization, we show that the ratio of effective clock frequencies between an interior and exterior probe is well-approximated by a constitutive law  $\omega_{\text{eff}} = \omega/(1 + \alpha\Lambda)$ , where  $\Lambda$  is an entanglement proxy derived from bond occupation statistics, and  $\alpha$  plays the role of a linear-response susceptibility. We derive  $\alpha$  perturbatively from the SRQID Hamiltonian and define it non-perturbatively as a zero-frequency slope that can be extracted from numerical data. A sweep over  $\alpha$  on an  $N = 4$  path reveals three distinct dynamical regimes—outer-dominated, balanced susceptibility, and over-promotion—with a broad  $O(1)$  window around  $\alpha \sim 0.5$ – $0.9$  that yields a robust Minkowski-like sector flanked by curvature-dominated and catastrophic phases. A comparison between  $N = 4$  and  $N = 5$  chains confirms the qualitative picture and suggests improved self-consistency at larger  $N$ .

We then impose two phenomenological anchors: (i) a low- $\lambda$  vacuum in which massless excitations propagate at the observed speed of light  $c$ , and (ii) a catastrophic promotion regime that obeys the Bekenstein–Hawking area law for saturated clusters. These conditions fix the lattice spacing  $a$  and time step  $\tau$  to be Planckian up to  $O(1)$  factors determined by the bond entropy and QCA group velocity. The resulting dispersion relation exhibits quadratically suppressed Lorentz violation with a dimensionless coefficient  $\eta \sim 10^{-2}$ , and yields a Hawking-like temperature of order the Planck temperature for Planck-sized catastrophic clusters. We also show that vacuum bond promotions could only account for the observed dark-energy density if the average promotion density in the deep vacuum is of order  $10^{-120}$ , which we present as a sharp constraint rather than a solved problem.

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<sup>\*</sup>Correspondence: [joshua.james.farrow@protonmail.com](mailto:joshua.james.farrow@protonmail.com)

We emphasize the limitations of the present framework. The preferred lattice frame implies exact Lorentz invariance is broken in the ultraviolet, albeit in a controlled way; the clock slowdown relation holds at the  $\sim 10\%$  level for small  $N$  and has not been proven as a universal identity; and current attempts to extract a long-wavelength spin-2 sector from bond fluctuations yield power spectra far flatter than the  $1/k^2$  behavior required for linearized gravity. As such, SRQID/QATNU should be regarded as a concrete, falsifiable testbed for emergent gravitational phenomena in unitary QCAs, not as a complete theory of quantum gravity. We conclude by outlining the numerical and analytical work required to assess whether a genuine spin-2 sector and realistic vacuum statistics can emerge from this dynamics.

## 1 Introduction

Attempts to reconcile quantum mechanics with gravitation have historically pursued two broad strategies. The first quantizes a pre-existing geometric manifold, as in canonical quantum gravity and string theory. The second seeks to derive spacetime geometry and gravitational dynamics from more primitive, non-geometric degrees of freedom, such as entanglement structure, tensor networks, or quantum cellular automata. The latter approach is attractive because it offers the possibility of a fully unitary, background-free description in which geometry is emergent rather than fundamental.

In this work we study a particular realization of the second strategy: a measurement-free quantum cellular automaton (QCA) equipped with a self-referential promotion mechanism that dynamically couples local “matter” clocks to an entanglement-carrying network of bond registers. The framework, previously introduced under the name Self-Referential Quantum Information Dynamics (SRQID) and its Quantum Automaton Tensor-Network Universe (QATNU) instantiation, is defined by an explicit Hamiltonian on a graph and has been shown to obey Lieb–Robinson bounds and no-signalling while exhibiting a rich phase structure as a function of a promotion strength  $\lambda$ .

The present paper has three goals. First, we sharpen the connection between a phenomenological “clock slowdown” postulate and the underlying SRQID Hamiltonian by deriving and measuring an effective susceptibility parameter  $\alpha$  that relates local entanglement depth to clock renormalization. Second, we study how the phase diagram in  $\lambda$  depends on  $\alpha$ , revealing three distinct dynamical regimes and a broad  $O(1)$  window in which the model exhibits a robust Minkowski-like sector with curvature and collapse phases on either side. Third, we explore to what extent macroscopic scales—the Planck length, Planck time, speed of light, black-hole entropy, and dark-energy density—can be consistently anchored to this discrete, information-theoretic model.

At the same time, we are explicit about the limitations of the current framework. The fixed lattice spacing and local update rule pick out a preferred frame and imply that Lorentz invariance is approximate and energy-dependent rather than exact; the effective clock slowdown relation is numerically supported but not exact; and we do not yet obtain a clean, long-wavelength spin-2 sector with the characteristic  $1/k^2$  power spectrum of linearized gravity. For these reasons we present SRQID/QATNU not as a completed theory of quantum gravity but as a concrete testbed that makes nontrivial, falsifiable predictions and exposes where key open problems reside.

## 2 Self-Referential Quantum Information Dynamics

### 2.1 Hilbert space and Hamiltonian

We consider a finite graph with vertices  $i \in V$  and edges  $\langle i, j \rangle \in E$ . Each vertex hosts a two-level “matter” system (a qubit), and each edge hosts a multi-level bond register that tracks the local

entanglement structure. The total Hilbert space factorizes as

$$\mathcal{H} = \bigotimes_{i \in V} \mathcal{H}_i^{\text{mat}} \otimes \bigotimes_{\langle i, j \rangle \in E} \mathcal{H}_{ij}^{\text{bond}}, \quad (1)$$

with  $\dim \mathcal{H}_i^{\text{mat}} = 2$  and  $\dim \mathcal{H}_{ij}^{\text{bond}} = \chi_{\text{max}}$  for some finite ladder dimension  $\chi_{\text{max}}$ .

The SRQID Hamiltonian is written as

$$H = H_{\text{mat}} + H_{\text{bond}} + H_{\text{int}}. \quad (2)$$

The matter term is a transverse-field-like driver,

$$H_{\text{mat}} = \frac{\omega}{2} \sum_{i \in V} X_i, \quad (3)$$

where  $X_i$  is the Pauli- $X$  operator on qubit  $i$  and  $\omega$  sets the bare clock frequency. The bond term  $H_{\text{bond}}$  is diagonal in the bond basis and assigns an energy gap between successive rungs of the ladder,

$$H_{\text{bond}} = \sum_{\langle i, j \rangle} \sum_{m=0}^{\chi_{\text{max}}-1} \epsilon_m \Pi_{ij}^{(m)}, \quad (4)$$

where  $\Pi_{ij}^{(m)} = |m\rangle\langle m|_{ij}$  projects onto rung  $m$  of the bond register.

The interaction term  $H_{\text{int}}$  couples matter frustration to bond promotion:

$$H_{\text{int}} = \lambda \sum_{\langle i, j \rangle} F_{ij} \otimes (R_{ij} + R_{ij}^\dagger). \quad (5)$$

Here  $F_{ij}$  is a frustration tile,

$$F_{ij} = \frac{1}{2}(\mathbb{I} - Z_i Z_j), \quad (6)$$

where  $Z_i$  is Pauli- $Z$  on site  $i$ , so that  $F_{ij}$  projects onto configurations with  $Z_i Z_j = -1$  (frustrated bonds). The ladder operator

$$R_{ij} = \sum_{m=0}^{\chi_{\text{max}}-2} \sqrt{m+1} |m+1\rangle\langle m|_{ij} \quad (7)$$

(plus its Hermitian conjugate) promotes or demotes the bond register, with matrix elements chosen to mimic an isometric embedding. The dimensionless parameter  $\lambda$  controls the strength of promotion relative to the bare clock term.

## 2.2 Locality, unitarity, and causality

Because  $H$  is a finite-range sum of bounded terms on a lattice graph, the time-evolution operator

$$U(t) = e^{-iHt} \quad (8)$$

is unitary and generates dynamics with a finite Lieb–Robinson velocity  $v_{\text{LR}}$ . Specifically, there exist constants  $C, \xi, v_{\text{LR}}$  such that for any operators  $A_X$  supported on region  $X$  and  $B_Y$  supported on region  $Y$ ,

$$\|[A_X(t), B_Y]\| \leq C e^{-(\text{dist}(X, Y) - v_{\text{LR}} t)/\xi}. \quad (9)$$

Numerical estimates on small graphs yield  $v_{\text{LR}}$  of order unity in lattice units, with no-signalling bounds comfortably satisfied for the timescales and sizes we simulate. The model is linear, norm-preserving, and contains no projective measurements or ad hoc collapse processes.

### 3 QATNU Promotion and Local Clocks

#### 3.1 Entanglement proxy and test clocks

The QATNU realization of SRQID interprets the bond ladder occupation as an information-theoretic proxy for local entanglement depth. For each site  $i$ , we define an entanglement proxy

$$\Lambda_i = \sum_{j \in \partial i} \log(1 + \langle n_{ij} \rangle), \quad (10)$$

where  $\partial i$  denotes the neighbors of  $i$  and

$$n_{ij} = \sum_{m=0}^{\chi_{\max}-1} m \Pi_{ij}^{(m)} \quad (11)$$

is the rung number operator on edge  $\langle i, j \rangle$ . In the dilute regime  $\langle n_{ij} \rangle \ll 1$ , the logarithm can be linearized and  $\Lambda_i \approx \sum_{j \in \partial i} \langle n_{ij} \rangle$ .

To probe how  $\Lambda_i$  feeds back into matter dynamics, we introduce a “test clock” protocol on a small graph. Two sites are designated as probes: an outer site  $i_{\text{out}}$  with relatively low  $\Lambda_{i_{\text{out}}}$  and an inner site  $i_{\text{in}}$  near the center of the promotion cluster with higher  $\Lambda_{i_{\text{in}}}$ . By preparing suitable initial states and tracking  $\langle X_i(t) \rangle$  and  $\langle Y_i(t) \rangle$  for each probe over time, we extract an effective local clock frequency  $\omega_{\text{eff}}^{(i)}$  from the oscillatory behavior.

#### 3.2 An effective clock slowdown law

Numerically we observe that the ratio of effective frequencies between inner and outer probes is reasonably well captured by a single-parameter family

$$\frac{\omega_{\text{eff}}^{(i)}}{\omega} \approx \frac{1}{1 + \alpha \Lambda_i}, \quad (12)$$

for a wide range of promotion strengths  $\lambda$  prior to catastrophic behavior. We refer to this as an effective “clock slowdown” law: local entanglement depth slows down the effective clock according to a susceptibility  $\alpha$ .

Equation (12) is not exact. For  $N = 4$  and  $N = 5$  chains, and a fixed choice of  $\alpha$  discussed below, the best-fit form exhibits minimum residuals of order 10–15% in the revival region, with increasing deviations as one approaches the catastrophic phase where promotion is dense and highly correlated. Nonetheless, the quality of the fit and the smoothness of  $\omega_{\text{eff}}$  as a function of  $\Lambda$  motivate treating (12) as an effective constitutive relation valid in a particular regime.

## 4 Microscopic Origin and Measurement of $\alpha$

#### 4.1 Perturbative derivation

We first show that the structure (12) arises naturally from the SRQID Hamiltonian in the dilute promotion regime. Treating  $H_{\text{int}}$  in (5) as a perturbation to  $H_0 = H_{\text{mat}} + H_{\text{bond}}$ , we write the matter Green’s function at site  $i$  as

$$G_i(\nu) = \frac{1}{\nu - \omega - \Sigma_i(\nu)}, \quad (13)$$

where  $\Sigma_i(\nu)$  is the matter self-energy due to virtual bond promotions. To second order in  $\lambda$  one obtains schematically

$$\Sigma_i(\nu) \approx \sum_{j \in \partial i} \frac{2\lambda^2 \langle F_{ij}^2 \rangle \langle R_{ij} R_{ij}^\dagger \rangle}{\nu - \Delta_{ij} + i0^+}, \quad (14)$$

where  $\Delta_{ij}$  is an effective bond excitation gap and the expectation values are taken over the instantaneous bond state in the dilute regime. At zero frequency and for  $\Delta_{ij} \gg \lambda$ ,

$$\Sigma_i(0) \approx -\frac{2\lambda^2}{\Delta_{\text{eff}}} \sum_{j \in \partial i} \langle F_{ij} \rangle \langle n_{ij} \rangle, \quad (15)$$

using  $F_{ij}^2 = F_{ij}$  and  $\langle R_{ij} R_{ij}^\dagger \rangle \propto \langle n_{ij} \rangle$ .

The real part of  $\Sigma_i(0)$  shifts the bare frequency  $\omega \rightarrow \omega_{\text{eff}}^{(i)} = \omega + \Sigma_i(0)$ , so that

$$\omega_{\text{eff}}^{(i)} \approx \omega \left( 1 - \frac{2\lambda^2}{\omega \Delta_{\text{eff}}} \frac{\sum_{j \in \partial i} \langle F_{ij} \rangle \langle n_{ij} \rangle}{\sum_{j \in \partial i} \langle n_{ij} \rangle} \Lambda_i \right), \quad (16)$$

where we have introduced  $\Lambda_i$  from (10) and absorbed constants. Matching to a first-order expansion of (12),

$$\omega_{\text{eff}}^{(i)} \approx \omega(1 - \alpha \Lambda_i), \quad (17)$$

yields a microscopic expression

$$\alpha_{\text{pert}}(\lambda) \approx \frac{2\lambda^2}{\omega \Delta_{\text{eff}}} \frac{\sum_{j \in \partial i} \langle F_{ij} \rangle \langle n_{ij} \rangle}{\sum_{j \in \partial i} \langle n_{ij} \rangle}. \quad (18)$$

In particular, in a homogeneous dilute regime one expects  $\alpha \propto \lambda^2$  with an  $O(10^{-2}-10^{-1})$  prefactor set by the frustration tile and ladder gap. This perturbative result is valid for small  $\lambda$  and low bond occupation; it breaks down in the revival and catastrophic regimes.

## 4.2 Non-perturbative susceptibility

Beyond the dilute regime we define  $\alpha$  non-perturbatively as a static susceptibility,

$$\alpha(\lambda) \equiv -\frac{\partial \log(\omega_{\text{eff}}^{(i)}/\omega)}{\partial \Lambda_i}. \quad (19)$$

Operationally, for a fixed  $\lambda$  we prepare a family of initial conditions that differ slightly in their bond promotion patterns, measure  $\omega_{\text{eff}}^{(i)}$  and  $\Lambda_i$  for each, and estimate the derivative in (19) by finite differences. This definition coincides with (18) in the appropriate limit and extends it into the many-body regime where virtual and real promotions intertwine.

Formally,  $\alpha$  can also be expressed via a Kubo formula,

$$\alpha = \frac{1}{\omega} \int_0^\infty dt \langle [X_i(t), O_{\Lambda_i}(0)] \rangle, \quad (20)$$

where  $O_{\Lambda_i}$  is the operator whose expectation value yields  $\Lambda_i$ . We do not exploit this expression directly in the present work, but it underlines the interpretation of  $\alpha$  as a linear-response susceptibility rather than a freely tunable parameter.

## 5 Phase Structure in $(\lambda, \alpha)$

### 5.1 Definitions of critical scales

To characterize the dynamical regimes of the SRQID/QATNU model we consider two small graphs: an  $N = 4$  path and an  $N = 5$  path with nearest-neighbor edges. For each choice of susceptibility  $\alpha$  and promotion strength  $\lambda$  we perform exact diagonalization and evaluate the inner and outer probe clocks over a fixed time window. We fit the probe behavior to an effective Dirac dispersion and extract several characteristic promotion strengths:

- $\lambda_{c1}$ : the onset of curvature, defined as the smallest  $\lambda$  for which the inner probe residual exceeds a threshold relative to the outer probe;
- $\lambda_{\text{rev}}$ : the “revival” point at which the Dirac-like behavior is best restored after degradation, corresponding to a minimum in the residual;
- $\lambda_{c2}$ : the onset of catastrophic behavior, where the residual grows rapidly and bond promotions saturate.

We also record the minimum residual in the revival region, denoted  $\text{Residual}_{\text{min}}$ .

### 5.2 Three regimes in $\alpha$ for $N = 4$

For the  $N = 4$  path we sweep  $\alpha$  in a range  $0.1 \leq \alpha \leq 1.5$  and, for each  $\alpha$ , scan  $\lambda$  on a fine grid to extract  $\lambda_{c1}$ ,  $\lambda_{\text{rev}}$ ,  $\lambda_{c2}$  and  $\text{Residual}_{\text{min}}$ . The dependence of these scales on  $\alpha$  reveals three qualitatively distinct regimes:

1. *Outer-dominated regime* ( $\alpha \lesssim 0.4$ ):  $\lambda_{\text{rev}}$  and  $\lambda_{c2}$  remain pinned near their legacy values  $\lambda_{\text{rev}} \approx 1.31$  and  $\lambda_{c2} \approx 1.36$  over a wide range of  $\alpha$ , while  $\lambda_{c1}$  shifts slowly. In this regime the inner probe is only weakly renormalized; the dynamics effectively reduces to a single undressed clock set by the outer probe.
2. *Balanced susceptibility regime* ( $0.5 \lesssim \alpha \lesssim 0.9$ ): both  $\lambda_{\text{rev}}$  and  $\lambda_{c2}$  drop to lower, nearly  $\alpha$ -independent plateaus  $\lambda_{\text{rev}} \approx 1.03$  and  $\lambda_{c2} \approx 1.08$ . Here the interior bonds efficiently carry frustration inward, and both inner and outer probes experience a common self-consistent geometry governed by the clock slowdown relation (12). The minimum residual increases approximately linearly with  $\alpha$  in this band, consistent with stronger backreaction of entanglement on the clock.
3. *Over-promotion regime* ( $\alpha \gtrsim 1.0$ ): as  $\alpha$  is increased further, the system first relocks onto the legacy plateau and then, for  $\alpha \gtrsim 1.4$ , all three critical scales collapse into a narrow band at small  $\lambda$ , with  $\lambda_{\text{rev}}$  and  $\lambda_{c2}$  entering the range  $\lambda \sim 0.2$ – $0.5$ . In this regime the ladder promotion overwhelms the transverse field; even weak promotion produces early catastrophic behavior and suppresses any extended Dirac-like window.

The  $N = 4$   $\alpha$ -sweep thus shows that  $\alpha$  is not a cosmetic parameter. It reorganizes the entire phase structure in  $\lambda$ , with a broad  $O(1)$  band where the self-referential feedback between entanglement and clock rate is most active.

Table 1: Critical promotion strengths and minimum residuals for  $N = 4$  and  $N = 5$  paths at fixed  $\alpha = 0.8$ . Values are indicative and extracted from small- $N$  exact diagonalization.

System	$\lambda_{c1}$	$\lambda_{\text{rev}}$	$\lambda_{c2}$	Residual <sub>min</sub>
$N = 4$ path	0.203(1)	1.058(2)	1.095(3)	0.134
$N = 5$ path	0.232(2)	0.338(1)	1.033(5)	0.102

### 5.3 Finite-size trends: $N = 4$ vs $N = 5$

For a representative value  $\alpha = 0.8$  in the balanced regime we perform the same analysis on an  $N = 5$  path. Table 1 summarizes the critical scales and minimum residuals.

Two trends are noteworthy. First, the revival point  $\lambda_{\text{rev}}$  drops substantially when moving from  $N = 4$  to  $N = 5$ , reflecting the fact that the additional interior bonds can carry frustration and promotions inward more efficiently. Second, the minimum residual shrinks for  $N = 5$ , suggesting that the effective clock slowdown relation becomes more self-consistent as the system size grows, at least within the small- $N$  regime accessible to exact diagonalization.

## 6 Scale Setting from Vacuum and Black Holes

### 6.1 Anchoring strategy

The SRQID/QATNU model is defined in dimensionless units: the lattice spacing  $a$ , time step  $\tau$ , and energy scale are not fixed by the dynamics alone. To connect to physical units we impose two macroscopic anchors:

1. *Vacuum anchor*: the low- $\lambda$  regime with negligible bond promotion and  $\Lambda_i \rightarrow 0$  is identified with deep intergalactic vacuum, and the group velocity of massless excitations in this regime is set equal to the physical speed of light  $c$ .
2. *Black-hole anchor*: the catastrophic promotion regime, in which a cluster of bonds near saturation forms a nearly static “horizon”, is required to obey the Bekenstein–Hawking area law for entropy.

These two conditions determine the lattice spacing  $a$  and time step  $\tau$  in terms of the Planck length  $\ell_P$  and Planck time  $t_P$  up to  $O(1)$  factors.

### 6.2 Horizon entropy and lattice spacing

Let  $N_\partial$  denote the number of boundary bonds of a maximally promoted cluster in the catastrophic regime. In the QATNU picture each bond contributes an entanglement entropy

$$S_{\text{bond}} = \gamma k_B, \quad (21)$$

where  $\gamma = \ln \chi_{\text{max}}$  captures the effective bond Hilbert-space dimension at saturation. The total horizon entropy from bonds is then

$$S_{\text{QATNU}} = \gamma k_B N_\partial. \quad (22)$$

On the other hand, the Bekenstein–Hawking entropy for a horizon of area  $A$  is

$$S_{\text{BH}} = \frac{k_B A}{4\ell_P^2}. \quad (23)$$

Assuming that  $A$  is related to  $N_\partial$  by a geometric factor  $\beta \sim O(1)$ ,

$$A = \beta N_\partial a^2, \quad (24)$$

and equating  $S_{\text{QATNU}} = S_{\text{BH}}$  yields

$$\gamma k_B N_\partial = \frac{k_B}{4\ell_P^2} \beta N_\partial a^2 \quad \Rightarrow \quad a^2 = 4 \frac{\gamma}{\beta} \ell_P^2. \quad (25)$$

Thus

$$a = 2 \sqrt{\frac{\gamma}{\beta}} \ell_P, \quad (26)$$

so that the lattice spacing is Planckian up to  $O(1)$  factors determined by the bond entropy and geometry.

### 6.3 Vacuum dispersion and time step

In the low- $\lambda$  vacuum, the unpromoted QCA layer exhibits a Dirac-like dispersion

$$\omega(k) \approx c_0 k \quad (k \rightarrow 0), \quad (27)$$

with  $c_0$  the group velocity in lattice units (sites per tick). Numerical estimates yield  $c_0 = 1/\sqrt{2}$  for the QATNU update rule. The corresponding physical group velocity is

$$v_{\text{phys}} = c_0 \frac{a}{\tau}, \quad (28)$$

and the vacuum anchor requires  $v_{\text{phys}} = c$ , the observed speed of light. This fixes

$$\tau = \frac{c_0 a}{c} = 2c_0 \sqrt{\frac{\gamma}{\beta}} t_P, \quad (29)$$

so that the time step is Planckian up to the same  $O(1)$  factors as the lattice spacing.

### 6.4 UV energy scale and Hawking temperature

The natural ultraviolet energy scale associated with one QCA tick is

$$E_{\text{UV}} \sim \frac{\hbar}{\tau} = \frac{\hbar c}{c_0 a} = \frac{1}{2c_0 \sqrt{\gamma/\beta}} E_P, \quad (30)$$

with  $E_P$  the Planck energy. Thus  $E_{\text{UV}}$  is  $O(1)$  times the Planck scale.

Interpreting the catastrophic promotion regime as a Planckian black-hole horizon, we can estimate an effective Hawking temperature from the promotion rate. Let  $\Delta \sim \hbar/\tau$  be a characteristic bond excitation gap and

$$\Gamma_{\text{prom}} \sim \lambda \langle F_{ij} \rangle_{\text{BH}} \frac{\Delta}{\hbar} \quad (31)$$

be the promotion rate per bond near the horizon, with  $\langle F_{ij} \rangle_{\text{BH}} \sim O(1)$  in a maximally frustrated state. If we associate a temperature via

$$k_B T_H \sim \frac{\hbar \Gamma_{\text{prom}}}{2\pi}, \quad (32)$$

we obtain

$$T_H \sim \frac{\lambda}{2\pi} \frac{\hbar}{k_B \tau} \sim \frac{\lambda}{2\pi} \frac{1}{2c_0 \sqrt{\gamma/\beta}} T_P, \quad (33)$$

where  $T_P$  is the Planck temperature. For  $\lambda \sim O(1)$  this yields a Hawking-like temperature of order  $T_P$  for Planck-scale catastrophic clusters, consistent with expectations for microscopic black holes.



## 7 Phenomenological Consequences

### 7.1 Lorentz violation and dispersion

The unpromoted QCA layer is defined on a fixed lattice with a discrete time step, so exact Lorentz invariance cannot hold at all scales. Expanding the lattice dispersion for small  $k$ ,

$$\omega(k) = c_0 k + \frac{c_0}{12} k^3 + O(k^5), \quad (34)$$

and mapping to physical units via  $p = \hbar k/a$  and  $E = \hbar\omega/\tau$  using the Planckian  $a, \tau$  obtained above, we find schematically

$$E^2 \approx p^2 c^2 \left[ 1 + \eta \frac{p^2}{E_P^2} + O\left(\frac{p^4}{E_P^4}\right) \right], \quad (35)$$

with a dimensionless coefficient  $\eta \sim O(10^{-2})$  set by the cubic term and the  $O(1)$  factors in  $a, \tau$ . This corresponds to quadratically suppressed Lorentz violation,

$$\frac{\Delta v}{c} \sim \eta \left( \frac{E}{E_P} \right)^2, \quad (36)$$

which is completely negligible at currently accessible energies but in principle testable with future ultra-high-energy photons or cosmic rays.

### 7.2 Gravitational redshift and effective potential

The effective clock slowdown relation (12) implies a proper time rate at site  $i$ ,

$$\frac{d\tau_i}{dt} = \frac{\omega_{\text{eff}}^{(i)}}{\omega} = \frac{1}{1 + \alpha\Lambda_i} \approx 1 - \alpha\Lambda_i, \quad (37)$$

for  $\alpha\Lambda_i \ll 1$ . In a weak Schwarzschild gravitational field one has

$$\frac{d\tau}{dt} \approx 1 - \frac{GM}{rc^2}, \quad (38)$$

so a formal matching at linear order suggests

$$\frac{GM}{rc^2} \equiv \alpha\Lambda_i, \quad (39)$$

or equivalently an effective Newtonian potential

$$\phi_i \equiv -\frac{GM}{r} \sim -\alpha c^2 \Lambda_i. \quad (40)$$

We emphasize that this identification is phenomenological and holds only in a weak-field regime. Nonetheless it illustrates how local entanglement depth in QATNU could serve as a dimensionless proxy for gravitational potential, with  $\alpha$  playing the role of a coupling between information geometry and clock rate.

### 7.3 Vacuum promotions and dark energy

In Planck units the energy density associated with bond promotions in the vacuum can be estimated as

$$\rho_\Lambda \sim E_P^4 \langle n_{\text{vac}} \rangle, \quad (41)$$

where  $\langle n_{\text{vac}} \rangle$  is the average promotion density in the deep vacuum and we have used  $E_{\text{UV}} \sim E_P$  and  $a^3 \sim \ell_P^3$  up to  $O(1)$  factors. The observed dark-energy density is  $\rho_{\Lambda, \text{obs}} \sim 10^{-120} E_P^4$ , so for vacuum promotions to account for dark energy one must have

$$\langle n_{\text{vac}} \rangle \sim 10^{-120}. \quad (42)$$

This is a sharp constraint, not a solution: unless the SRQID promotion dynamics naturally produces an ultra-rare tail of vacuum activity with this suppression, dark energy cannot be explained in this way. Measuring the small- $\lambda$  statistics of  $n_{\text{vac}}$  (e.g. whether it falls off as a power law, exponential, or super-exponential in  $\lambda$ ) thus becomes a decisive test for this particular cosmological hypothesis within the model.

## 8 Spin-2 Sector and Open Problems

A central requirement for any candidate theory of gravity is the emergence of a long-wavelength, approximately massless spin-2 field with a characteristic  $1/k^2$  power spectrum in momentum space. In the QATNU/SRQID context, a natural idea is to construct an effective metric field from bond promotion patterns or entanglement profiles and to study the power spectral density (PSD) of its fluctuations.

Preliminary attempts along these lines have focused on simple proxies, such as the spatial profile of bond entropies or ladder occupations on small graphs, and their Fourier transforms. For  $N = 4$  and  $N = 5$  paths, the PSDs of these proxies exhibit slopes close to zero in log-log plots (e.g.  $-0.024$  and  $-0.111$ , respectively), far from the  $-2$  slope expected for a free spin-2 field. Earlier work based on a stochastic toy model with uncorrelated Poisson promotions did yield a  $1/k^2$ -like tail, but that model neglected the correlated, frustration-tied nature of promotions present in the full Hamiltonian.

At present, therefore, the QATNU/SRQID framework has not produced a convincing spin-2 sector. It does exhibit local gravitational redshift phenomenology and a rich phase structure in  $(\lambda, \alpha)$ , but the reconstruction of a coarse-grained metric field with the correct long-wavelength dynamics remains an open problem. We anticipate that progress will require:

- working on larger graphs and more realistic topologies beyond small paths;
- defining better geometric observables, possibly via tensor-network-inspired coarse-graining of bond states;
- and exploring whether correlated promotion clusters can, after suitable averaging, yield an effective  $1/k^2$  spectrum.

## 9 Assessment and Limitations

We briefly summarize the main strengths and limitations of the present framework.

**Unitarity and causality.** The SRQID Hamiltonian is strictly local and generates unitary evolution with a finite Lieb–Robinson velocity. This ensures a well-defined causal cone and rules out superluminal signalling within the model.

**Clock slowdown and susceptibility.** The constitutive relation  $\omega_{\text{eff}} = \omega/(1 + \alpha\Lambda)$  is supported by small- $N$  numerics and a perturbative derivation, with  $\alpha$  interpreted as a linear-response susceptibility. However, the relation is approximate: residuals of order 10–15% remain even in the best regime we have examined, and the behaviour in larger systems and more complex geometries is not yet known.

**Lorentz invariance.** The fixed lattice and discrete time step imply that exact Lorentz invariance is broken at the Planck scale. The resulting Lorentz-violating corrections to the dispersion relation are quadratically suppressed and numerically tiny at accessible energies, but they do imply a preferred frame in the ultraviolet. Whether this is acceptable as a fundamental feature depends on empirical constraints and on philosophical priors about the nature of spacetime symmetry.

**Anchoring to macroscopic scales.** The Planckian lattice spacing  $a$  and time step  $\tau$  are not derived purely from SRQID combinatorics; they are fixed by matching the model’s vacuum speed and horizon entropy to the observed speed of light and Bekenstein–Hawking law. This anchoring demonstrates consistency with known macroscopic physics but does not constitute a derivation of the Planck scale from first principles.

**Spin-2 sector.** We do not currently obtain a convincing spin-2 sector with the required  $1/k^2$  power spectrum and Pauli–Fierz-like dynamics. This is a major gap relative to the goal of reproducing general relativity in the infrared.

**Dark energy.** Expressing the cosmological constant problem in terms of vacuum promotion densities clarifies where fine-tuning would reside in the QATNU/SRQID model, but does not solve it. Whether the required ultra-rare promotion tail can emerge naturally is an open question.

## 10 Conclusion and Future Work

We have developed and analyzed a discrete, unitary, measurement-free QCA model in which local matter clocks are coupled to an entanglement-carrying network of bond registers via frustration-controlled promotion isometries. Within this Self-Referential Quantum Information Dynamics (SRQID) and QATNU framework we have:

- identified and derived an effective clock slowdown relation  $\omega_{\text{eff}} = \omega/(1 + \alpha\Lambda)$  and interpreted the parameter  $\alpha$  as a clock susceptibility;
- measured  $\alpha$  from small- $N$  exact diagonalization and shown that it reorganizes the phase structure in promotion strength  $\lambda$  into outer-dominated, balanced, and over-promotion regimes, with a broad  $O(1)$  window yielding a robust Minkowski-like sector;
- anchored the model to macroscopic scales via the speed of light in the vacuum and the Bekenstein–Hawking area law, obtaining Planckian lattice spacing and time steps with quadratically suppressed Lorentz violation;

- and clarified how black-hole-like catastrophic clusters and vacuum promotions might, in principle, relate to Hawking temperatures and dark-energy densities, while highlighting the stringent constraints that follow.

At the same time, we have been explicit about the framework’s limitations. The spin-2 sector remains undeveloped, Lorentz invariance is approximate rather than exact, the clock slowdown law is only numerically supported in small systems, and dark energy is not explained but reformulated as a constraint on vacuum promotion statistics. For these reasons, we view SRQID/QATNU not as a complete theory of quantum gravity but as a concrete, falsifiable testbed that sits at the intersection of quantum information, tensor-network-inspired dynamics, and emergent geometry.

Future work will focus on three directions. First, extending numerical simulations to larger systems and more complex topologies will test the robustness of the clock slowdown relation and the  $(\lambda, \alpha)$  phase structure. Second, constructing better geometric observables and coarse-graining procedures may reveal whether a genuine spin-2 sector and  $1/k^2$  power spectrum can emerge from correlated promotion clusters. Third, systematic exploration of vacuum promotion statistics at very small  $\lambda$  will determine whether the model admits naturally suppressed vacuum activity compatible with the observed dark-energy density. Together, these efforts will help determine whether the self-referential dynamics explored here is a viable stepping stone toward a fully emergent description of spacetime, or a useful but ultimately limited toy model.

## References

- [1] E. H. Lieb and D. W. Robinson. The finite group velocity of quantum spin systems. *Communications in Mathematical Physics*, 28:251–257, 1972.