Formalization of Coverage Checking in Agda

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Abstract. Coverage checking is an essential static analysis for preventing runtime errors in languages with pattern matching. We present a work-in-progress formalization of Maranget's algorithm in Agda. The algorithm handles simple patterns but does not support complex patterns such as those involving GADTs or pattern guards. We have formally proven the algorithm's correctness and termination. Furthermore, our formalization is compatible with agda2hs, enabling extraction of a verified coverage checker implemented in Haskell. This work serves as a foundation for formalizing more efficient or expressive coverage checking algorithms.

Keywords: formal verification, pattern match, coverage check, agda

1 INTRODUCTION

Pattern matching is a fundamental feature in languages such as the ML family, Haskell, and Rust. It enables definitions to be specified on a case-by-case basis. This flexibility requires static analysis to ensure that pattern clauses satisfy two key properties: exhaustiveness and non-redundancy. Exhaustiveness means that all possible cases are covered by the pattern clauses, while non-redundancy means that there are no overlaps between clauses. Algorithms that verify these two properties are known as coverage checking algorithms. Coverage checking is crucial in languages with pattern matching, as it helps prevent runtime errors from unhandled cases and informs users when certain pattern clauses are unreachable.

Among the coverage checking algorithms available, we have formalized an algorithm proposed by Maranget [9], which serves as the foundation for Rust's coverage checker [10]. Although this algorithm does not handle complex patterns such as those involving GADTs or pattern guards, it is relatively simple and covers a wide range of use cases. The formalization is carried out in Agda [2] and is compatible with the extraction tool agda2hs [4], allowing us to generate readable Haskell programs. The formalization is available on GitHub¹.

In this paper, we present a simplified version of our formalization. The structure is as follows:

 Section 2 introduces the syntax for values and patterns, as well as the definition of pattern matching.

¹ GitHub repository: https://github.com/wasabi315/coverage-checking

- Section 3 formalizes the concept of usefulness and describes the usefulness checking algorithm. We begin with the basic algorithm and refine it into a verified version, proving both correctness and termination.
- Section 4 presents a verified exhaustiveness checking algorithm, building on the verified usefulness checking algorithm from the previous section.

Each section also includes small examples that build upon those from previous sections, creating running examples throughout the paper. Section 5 provides a brief overview of agda2hs and discusses the Haskell programs generated by extraction.

2 PATTERN MATCHING

2.1 Values

We begin by formalizing datatypes and signatures, and values. A signature maps each datatype name to its corresponding definition, specifying the number of constructors and the types of their arguments. In this formalization, we focus exclusively on datatypes, excluding primitive types such as integers.

Below is an example signature that defines a unit type and a list-like datatype.

```
-- type unit = Unit
unitDef : Dataty
unitDef .numCons = 1
unitDef .argsTy 0F = []

-- type list = Nil | One unit | Cons unit list
listDef : Dataty
listDef .numCons = 3
listDef .argsTy 0F = []
listDef .argsTy 1F = dataty 0 :: []
listDef .argsTy 2F = dataty 0 :: dataty 1 :: []

exampleSig : Signature
exampleSig .dataDef 0 = unitDef
exampleSig .dataDef (suc _) = listDef
```

We let t range over types and ts over lists of types. From here, we assume a single global signature is provided and refer directly to the dataDef field. We introduce

type aliases for data type names and constructor names. We let d ranges over data type names and c over constructor names.

```
NameData : Type NameCon : NameData 	o Type NameData = \mathbb N NameCon d = \mathsf{Fin} (dataDef d .numCons)
```

Values and (heterogeneous) value vectors are formalized with intrinsic typing.

```
\begin{array}{l} \mathsf{data} \ \mathsf{Value} : \mathsf{Ty} \to \mathsf{Type} \ \mathsf{where} \\ \mathsf{con} : (c : \mathsf{NameCon} \ d) \ (vs : \mathsf{Values} \ (\mathsf{dataDef} \ d \ .\mathsf{argsTy} \ c)) \\ \to \mathsf{Value} \ (\mathsf{dataty} \ d) \\ \\ \mathsf{Values} : \mathsf{Tys} \to \mathsf{Type} \\ \mathsf{Values} \ ts = \mathsf{All} \ \mathsf{Value} \ ts \end{array}
```

The Values type is implemented using the All relation on lists, which asserts that every element in a given list satisfies a given predicate:

```
\begin{array}{ll} \textbf{data} \ \mathsf{AII} \ (P:A \to \mathsf{Type}) : (xs: \mathsf{List} \ A) \to \mathsf{Type} \ \textbf{where} \\ [] & : \mathsf{AII} \ P \ [] \\ & : : : : P \ x \to \mathsf{AII} \ P \ xs \to \mathsf{AII} \ P \ (x::xs) \end{array}
```

We let u and v range over values, us and vs range over value vectors.

2.2 Patterns and Instance Relation

Patterns are also formalized with intrinsic typing. Following [9], we consider wildcard patterns, constructor patterns, and or-patterns. A pattern matrix is a list of pattern vectors, where each row corresponds to a pattern clause.

```
\begin{array}{lll} \mathsf{data} \; \mathsf{Pattern} : \; \mathsf{Ty} \to \mathsf{Type} \; \mathsf{where} \\ - & : \; \mathsf{Pattern} \; t \\ \mathsf{con} \; : \; (c : \mathsf{NameCon} \; d) \; (ps : \mathsf{Patterns} \; (\mathsf{dataDef} \; d \; .\mathsf{argsTy} \; c)) \\ & \to \mathsf{Pattern} \; (\mathsf{dataty} \; d) \\ \_|\_ : \; (p \; q : \mathsf{Pattern} \; t) \to \mathsf{Pattern} \; t \\ \\ \mathsf{Patterns} : \; \mathsf{Tys} \to \mathsf{Type} \\ \mathsf{Patterns} : \; \mathsf{Tys} \to \mathsf{Type} \\ \mathsf{Patterns} \; ts = \; \mathsf{All} \; \mathsf{Pattern} \; ts \\ \\ \mathsf{Patterns} \; ts = \; \mathsf{List} \; (\mathsf{Patterns} \; ts) \\ \end{array}
```

We let p, q, and r range over patterns, ps, qs, and rs over pattern vectors, and P and Q over pattern matrices.

To formalize pattern matching, we introduce a relation that specifies when a pattern matches a given value. This relation is called the *instance relation* in [9]. The definition is straightforward: a wildcard pattern matches any value of the same type; a constructor pattern matches values with the same constructor, provided each argument pattern matches the corresponding argument value; and an or-pattern matches a value if at least one of its branches matches. Note that

the instance relations are defined only when patterns and values have the same type. We define the instance relation for pattern matrices such that a value vector is related to a matrix if it matches at least one row of the matrix.

```
\begin{array}{l} \operatorname{data} \ \_ \preceq \ : \ \operatorname{Pattern} \ t \to \operatorname{Value} \ t \to \operatorname{Type} \ \operatorname{where} \\ \ - \preceq \ : \ - \preceq \ v \\ \ \operatorname{con} \preceq : \ (is: ps \preceq^* \ vs) \to \operatorname{con} \ c \ ps \preceq \operatorname{con} \ c \ vs \\ \ | \preceq^l \ : \ (i: p \preceq v) \to (p \mid q) \preceq v \\ \ | \preceq^r \ : \ (i: q \preceq v) \to (p \mid q) \preceq v \\ \end{array} \begin{array}{l} \operatorname{data} \ \_ \preceq^* \ : \ \operatorname{Patterns} \ ts \to \operatorname{Values} \ ts \to \operatorname{Type} \ \operatorname{where} \\ \ \| \ : \ \| \ \preceq^* \ \| \\ \ \_ :: \ : \ (i: p \preceq v) \ (is: ps \preceq^* \ vs) \to (p :: ps) \preceq^* \ (v :: vs) \\ \ \_ \not = \ : \ \operatorname{Pattern} \ t \to \operatorname{Value} \ t \to \operatorname{Type} \\ \ p \not \preceq v = \neg \ p \preceq v \\ \ \_ \not = \ & \ : \ \operatorname{PatternMatrix} \ ts \to \operatorname{Values} \ ts \to \operatorname{Type} \\ \ p \preceq^* \ vs = \ - \ ps \preceq^* \ vs \\ \ \ \_ \preceq^* \ vs = \ \operatorname{Any} \ (\lambda \ ps \to ps \preceq^* \ vs) \ P \\ \ P \not \preceq^* \ vs = \ \neg \ P \preceq^{**} \ vs \end{array}
```

Here, we use the Any relation on lists, which asserts that some element in a given list satisfies a given proposition:

```
\begin{array}{l} \textbf{data} \ \mathsf{Any} \ (P:A \to \mathsf{Type}) : \mathsf{List} \ A \to \mathsf{Type} \ \mathsf{where} \\ \mathsf{here} \ : P \ x \to \mathsf{Any} \ P \ (x :: xs) \\ \mathsf{there} : \mathsf{Any} \ P \ xs \to \mathsf{Any} \ P \ (x :: xs) \end{array}
```

Building on the example signature, the following defines an instance relation for the example. Pattern synonyms provide convenient shorthand notation. The constructor con is overloaded for both values and patterns, allowing these pattern synonyms to be used in either context.

```
pattern unit Ty = 0 pattern list Ty = 1  \begin{array}{ll} \text{pattern unit} &= \text{con } \{d = \text{unit Ty}\} \text{ OF } [] \\ \text{pattern nil} &= \text{con } \{d = \text{list Ty}\} \text{ OF } [] \\ \text{pattern one } x &= \text{con } \{d = \text{list Ty}\} \text{ 1F } (x :: []) \\ \text{pattern cons } x \text{ } xs = \text{con } \{d = \text{list Ty}\} \text{ 2F } (x :: xs :: []) \\ \underline{\quad} : \text{cons } - (\text{one } -) \preceq \text{cons unit (one unit)} \\ \underline{\quad} = \text{con} \preceq (-\preceq :: \text{con} \preceq (-\preceq :: []) :: []) \end{aligned}
```

2.3 Pattern matching

Pattern matching determines whether a given value is an instance of a specified pattern. We use the Dec datatype and its combinators to implement decision procedures for pattern matching. Here, _×_ denotes the product (pair) type, and _⊎_ denotes the sum (either) type.

```
\begin{array}{lll} \mathsf{Dec} : \mathsf{Type} \to \mathsf{Type} & \mathsf{yes} : A \to \mathsf{Dec} \ A & \mathsf{no} : \neg \ A \to \mathsf{Dec} \ A \\ \\ \mathsf{mapDec} & : (A \to B) \to (B \to A) \to \mathsf{Dec} \ A \to \mathsf{Dec} \ B \\ \underline{\ \ } \underline{\ \ } \mathsf{-dec} \underline{\ \ } : \mathsf{Dec} \ A \to \mathsf{Dec} \ B \to \mathsf{Dec} \ (A \times B) \\ \underline{\ \ } \underline{\ \ } \mathsf{-dec} \underline{\ \ } : \mathsf{Dec} \ A \to \mathsf{Dec} \ B \to \mathsf{Dec} \ (A \uplus B) \end{array}
```

With this API and a few basic inversion lemmas, we can implement decision procedures for the instance relation.

In the constructor pattern case, we use Agda's with-abstraction, which enables pattern matching on the result of an intermediate computation.

The following are examples demonstrating the decision of instance relations.

```
_: (cons - (one -) <u><</u>? cons unit (one unit))

= yes (con<u><</u> (-<u><</u> :: con<u><</u> (-<u><</u> :: []) :: []))

_ = refl

_: (cons - (one -) <u><</u>? cons unit (cons unit nil)) = no _
= refl
```

${f 3}$ USEFULNESS

In this section, we formalize an algorithm for checking usefulness, as introduced in [9]. A pattern vector ps is useful with respect to a pattern matrix P if and only if there exists a value vector that is an instance of ps but not an instance of any row in P.

```
 \begin{array}{l} \mathsf{Useful} : (ps: \mathsf{PatternS}\ ts)\ (P: \mathsf{PatternMatrix}\ ts) \to \mathsf{Type} \\ \mathsf{Useful}\ ps\ P = \exists [\ vs\ ]\ (ps \preceq^* vs) \times (P \npreceq^{**}\ vs) \end{array}
```

Usefulness is an important concept because its negation characterizes redundant patterns. Moreover, exhaustiveness can be defined in terms of usefulness, as we will see in Section 4.

3.1 Core algorithm

In this subsection we describe an algorithm called \mathcal{U}_{rec} for checking usefulness proposed in [9]. We require the terminating pragma to define the algorithm in Agda, as Agda cannot automatically verify its termination.

The algorithm proceeds by examining the head (first element) of ps at each step and solves a smaller, equivalent usefulness checking problem. It terminates when ps becomes empty.

Base case If ps has length 0 and P has no columns, is Useful returns true if P has no rows (i.e., P is a 0×0 matrix):

```
sUseful [] [] = true  sUseful [] (_ :: _) = false
```

Or-pattern case If the head is an or-pattern, we define:

```
isUseful ((r_1 \mid r_2) :: ps) P = \text{isUseful } (r_1 :: ps) P \lor \text{isUseful } (r_2 :: ps) P
```

Constructor pattern case If the head is a constructor pattern, is Useful checks usefulness for the specialized matrix:

```
isUseful (con c rs :: ps) P = \text{isUseful} (rs ++ ps) (specialize c P)
```

The specialization operation filters out rows whose head pattern does not match the specified constructor. Formally, it is defined as follows, where -*: Patterns ts represents the vector of wildcard patterns.

```
specialize : (c : \mathsf{NameCon}\ d)\ (P : \mathsf{PatternMatrix}\ (\mathsf{dataty}\ d :: ts))

\to \mathsf{PatternMatrix}\ (\mathsf{dataDef}\ d .\mathsf{argsTy}\ c ++ ts)
```

```
\begin{array}{l} \mathsf{specialize}\ c\ P = \mathsf{concatMap}\ (\mathsf{specialize'}\ c)\ P \\ \\ \mathsf{specialize'}\ : \ (c: \mathsf{NameCon}\ d)\ (ps: \mathsf{Patterns}\ (\mathsf{dataty}\ d:: ts)) \\ \qquad \to \mathsf{PatternMatrix}\ (\mathsf{dataDef}\ d\ .\mathsf{argsTy}\ c\ ++\ ts) \\ \\ \mathsf{specialize'}\ c\ (-\ :: ps) = (-^*++ps):: [] \\ \\ \mathsf{specialize'}\ c\ (r_1\mid r_2\ :: ps) = \mathsf{specialize'}\ c\ (r_1:: ps)\ ++\ \mathsf{specialize'}\ c\ (r_2:: ps) \\ \\ \mathsf{specialize'}\ c\ (\mathsf{con}\ c'\ rs:: ps)\ \mathsf{with}\ c\ \stackrel{?}{=}\ c' \\ \\ \ldots\mid \mathsf{yes}\ \mathsf{refl} = (rs\ ++\ ps):: [] \\ \\ \ldots\mid \mathsf{no}\ neq = [] \end{array}
```

Wildcard pattern case If the head is a wildcard pattern, the result depends on whether the set of root constructors of P is complete (whether it contains all possible constructors for the type). Root constructors are those that appear at the top level of the first column of P.

```
\begin{array}{lll} \operatorname{rootConSet'}:\operatorname{Pattern}\;(\operatorname{dataty}\;d)\to\operatorname{Set}\;(\operatorname{NameCon}\;d)\\ \operatorname{rootConSet'}-&=\operatorname{Set.empty}\\ \operatorname{rootConSet'}\;(\operatorname{con}\;c\_)=\operatorname{Set.singleton}\;c\\ \operatorname{rootConSet'}\;(r_1\mid r_2)&=\operatorname{Set.union}\;(\operatorname{rootConSet'}\;r_1)\;(\operatorname{rootConSet'}\;r_2)\\ \operatorname{rootConSet}:\operatorname{PatternMatrix}\;(\operatorname{dataty}\;d::ts)\to\operatorname{Set}\;(\operatorname{NameCon}\;d)\\ \operatorname{rootConSet}\;\;=&\operatorname{Set.empty}\\ \operatorname{rootConSet}\;(ps::P)&=\operatorname{Set.union}\;(\operatorname{rootConSet'}\;(\operatorname{head}\;ps))\;(\operatorname{rootConSet}\;P)\\ \end{array}
```

If the set of root constructors is complete, the algorithm checks whether $-^* ++ ps$ is useful with respect to P specialized by some constructor. Otherwise, is Useful checks usefulness with respect to the default matrix.

```
isUseful \{ts = \text{dataty } d :: ts\}\ (-:: ps)\ P =  let conSet = \text{rootConSet } P in let missConSet = \text{Set.difference Set.universal } conSet in if Set.null missConSet then any (\lambda \ c \rightarrow \text{isUseful } (-^* ++ ps) \text{ (specialize } c \ P)) Set.universal else isUseful ps (default P)
```

The default matrix operation removes all rows whose head is a constructor pattern.

```
\begin{array}{l} \mathsf{default} : (P : \mathsf{PatternMatrix} \; (\mathsf{dataty} \; d :: \; ts)) \to \mathsf{PatternMatrix} \; ts \\ \mathsf{default} \; P = \mathsf{concatMap} \; \mathsf{default}' \; P \\ \mathsf{default}' : (ps : \mathsf{Patterns} \; (\mathsf{dataty} \; d :: \; ts)) \to \mathsf{PatternMatrix} \; ts \\ \mathsf{default}' \; (- \quad :: \; ps) = ps :: [] \\ \mathsf{default}' \; (\mathsf{con} \; \_ \; :: \; ps) = [] \\ \mathsf{default}' \; (r_1 \mid r_2 \quad :: \; ps) = \mathsf{default}' \; (r_1 :: \; ps) \; ++ \; \mathsf{default}' \; (r_2 :: \; ps) \\ \end{array}
```

Using isUseful, we can verify that the pattern vector **ps** is useful with respect to P, but not with respect to Q.

```
\begin{array}{c} \mathsf{ps} = \mathsf{one} - :: \mathsf{one} - :: \, \big[\big] \\ \mathsf{P} = (\mathsf{nil} \quad :: - \quad :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{nil} \quad :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{one} - \quad :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{one} - \quad :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{cons} - - :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{cons} - - :: \, \big[\big]\big) :: \\ (- \quad :: \, \mathsf{cons} - - :: \, \big[\big]\big) :: \, \big[\big] \end{array}
```

3.2 Correctness

With the "raw" core algorithm explained, our next goal is to implement the verified version (without relying on the terminating pragma):

```
\mathsf{useful?} : (\mathit{ps} : \mathsf{PatternS}\ \mathit{ts})\ (\mathit{P} : \mathsf{PatternMatrix}\ \mathit{ts}) \to \mathsf{Dec}\ (\mathsf{Useful}\ \mathit{ps}\ \mathit{P})
```

This function not only decides whether ps is useful with respect to P, but also provides a proof of usefulness or uselessness. In particular, in the yes case, we obtain a value vector that witnesses usefulness. If we only care about the boolean result, we can easily recover a boolean-returning algorithm by checking whether the result is yes or no and discarding the accompanying proof. In the implementation, we show that each step of the algorithm reduces the usefulness checking problem to an equivalent one. Termination is discussed in Section 3.3.

We first establish several properties of specialize and default.

Properties of specialization specialize preserves the instance relation, and the converse also holds.

```
\begin{array}{ll} \mathsf{specialize\text{-}preserve\text{-}} \preceq : P \preceq^{**} (\mathsf{con}\ c\ us :: vs) \to \mathsf{specialize}\ c\ P \preceq^{**} (us ++ \ vs) \\ \mathsf{specialize\text{-}preserve\text{-}} \preceq^{-} : \mathsf{specialize}\ c\ P \preceq^{**} (us ++ \ vs) \to P \preceq^{**} (\mathsf{con}\ c\ us :: vs) \end{array}
```

This property can be proved by induction on P.

Properties of default matrix If the default matrix of P has an instance relation with vs, then P also has an instance relation with vs with any value prepended.

```
default-preserve-\prec^-: default P \prec^{**} vs \rightarrow P \prec^{**} (v :: vs)
```

The converse holds if the constructor of the head value is not in the set of root constructors of P. Note that any value is allowed if the root constructor set is empty.

```
\_\in**\_\_\notin**\_: NameCon d \to \mathsf{PatternMatrix} (dataty d :: ts) \to \mathsf{Type}
```

```
\mathsf{default\text{-}preserve\text{-}} \preceq : c \notin {}^{**}P \to P \preceq {}^{**} (\mathsf{con}\ c\ us :: vs) \to \mathsf{default}\ P \preceq {}^{**}vs
```

The proof proceeds by induction on P, similarly to the proof for specialize.

At this point, we can prove that each step of the algorithm preserves usefulness.

Base case We first establish the usefulness properties for the base case. The empty pattern vector is useful with respect to the empty matrix, as witnessed by the empty value vector. On the other hand, the empty pattern vector is not useful with respect to a matrix containing one or more empty rows.

```
\begin{split} &\mathsf{baseOkCase} : \mathsf{Useful} \ [] \ [] \\ &\mathsf{baseOkCase} = [] \ , \ [] \ , \ \lambda \ () \\ &\mathsf{baseBadCase} : \neg \ \mathsf{Useful} \ [] \ (ps :: P) \\ &\mathsf{baseBadCase} \ \{ps = []\} \ ([] \ , \ \_ \ , \ ni) = ni \ (\mathsf{here} \ []) \end{split}
```

Or-pattern case A pattern vector headed by an or-pattern is useful if and only if at least one of its branch patterns is useful. The witnessing value vector is preserved through this step.

```
orCase : Useful (r_1 :: ps) P \uplus Useful (r_2 :: ps) P \to Useful ((r_1 \mid r_2) :: ps) P orCase (\operatorname{inj}_1 \ (vs \ , \ i :: is \ , nis)) = vs \ , \ (|\preceq^l \ i) :: is \ , nis orCase (\operatorname{inj}_2 \ (vs \ , \ i :: is \ , nis)) = vs \ , \ (|\preceq^r \ i) :: is \ , nis orCaseInv : Useful ((r_1 \mid r_2) :: ps) P \to Useful (r_1 :: ps) P \uplus Useful (r_2 :: ps) P orCaseInv (vs \ , \ (|\preceq^l \ i) :: is \ , nis) = \operatorname{inj}_1 \ (vs \ , \ i :: is \ , nis) orCaseInv (vs \ , \ (|\preceq^r \ i) :: is \ , nis) = \operatorname{inj}_2 \ (vs \ , \ i :: is \ , nis)
```

Constructor pattern case A pattern vector headed by a constructor pattern is useful if and only if its specialized form is useful. This follows from the fact that specialize preserves the instance relation. Observe that the appropriate segment of the witnessing value vector is extracted, wrapped with the constructor, and then prepended to the rest of the witness in conCase, and that the reverse operation is performed in conCaseInv.

```
\begin{array}{ll} \mathsf{conCaseInv} : & \mathsf{Useful} \; (\mathsf{con} \; c \; rs :: ps) \; P \\ & \to \mathsf{Useful} \; (rs \; ++ \; ps) \; (\mathsf{specialize} \; c \; P) \\ \mathsf{conCaseInv} \; (\mathsf{con} \; c \; us :: vs \; , \; \mathsf{con} \preceq \; js :: is \; , \; nis) = \\ & us \; ++ \; vs \; , \; js \; ++ \; is \; , \; \mathsf{contraposition} \; \mathsf{specialize-preserve-} \preceq^- \; nis \end{array}
```

Wildcard pattern case A pattern vector headed by a wildcard pattern is useful if and only if there exists a constructor such that replacing the head with a vector of wildcards of appropriate length yields a pattern vector that is useful with respect to the matrix specialized by that constructor. The proof is very similar to the constructor pattern case.

```
wildCompCase : \exists [\ c\ ] Useful (-*++ps) (specialize c\ P) \rightarrow Useful {dataty d::\_} (-::ps)\ P wildCompCase (c\ , (vs\ , is\ , nis)) with split {dataDef d .argsTy c} -* is ... |\ vs_1\ , vs_2\ , \text{refl}\ , is_1\ , is_2 = con c\ vs_1::vs_2\ , -\preceq::is_2\ , \text{contraposition specialize-preserve-} \preceq nis wildCompCaseInv : Useful {dataty d::\_} (-::ps)\ P \rightarrow \exists [\ c\ ] Useful (-*++ps) (specialize c\ P) wildCompCaseInv (con c\ us::vs\ , \_::is\ , nis) = c\ , (us\ ++vs\ , -\preceq^*++is\ , \text{contraposition specialize-preserve-} \preceq^- nis)
```

A pattern vector headed by a wildcard pattern is useful with respect to a pattern matrix if there exists a constructor that does not appear in the root constructor set of P, and the tail patterns are useful with respect to the default matrix. Here, we must assume that every type is non-empty (the non-empty axiom); if a type is uninhabited, no value matches the wildcard pattern for that type. This is a restriction of the algorithm. Given the non-empty axiom, we can always construct a value for any specified constructor, which allows us to provide the required witnessing value. The converse direction holds regardless of the root constructor set of P.

```
\begin{array}{l} \mathsf{inhab} : (ax : (t : \mathsf{Ty}) \to \mathsf{Value}\ t) \to (c : \mathsf{NameCon}\ d) \to \mathsf{Value}\ (\mathsf{dataty}\ d) \\ \mathsf{wildMissCase} : \quad (ax : (t : \mathsf{Ty}) \to \mathsf{Value}\ t) \\ \quad \to \exists [\ c\ ]\ c \not\in^{**}\ P \to \mathsf{Useful}\ ps\ (\mathsf{default}\ P) \to \mathsf{Useful}\ (- :: ps)\ P \\ \mathsf{wildMissCase}\ ax\ (c\ , \mathit{miss})\ (vs\ , \mathit{is}\ , \mathit{nis}) = \\ \quad \mathsf{inhab}\ ax\ c :: vs\ , -\preceq :: \mathit{is}\ , \mathsf{contraposition}\ (\mathsf{default-preserve-} \preceq \mathit{miss})\ \mathit{nis} \\ \mathsf{wildMissCaseInv}: \mathsf{Useful}\ (- :: ps)\ P \to \mathsf{Useful}\ ps\ (\mathsf{default}\ P) \\ \mathsf{wildMissCaseInv}\ (v :: vs\ , \mathit{i} :: \mathit{is}\ , \mathit{nis}) = \\ vs\ , \mathit{is}\ , \mathsf{contraposition}\ \mathsf{default-preserve-} \preceq^- \mathit{nis} \\ \end{array}
```

3.3 Termination

We now prove termination of the algorithm. To do this, we use Bove's method for formalizing general recursion [3]. In this approach, we define a special-purpose accessibility predicate that captures the recursion pattern of the computation in question. The computation itself is then defined by structural recursion on this predicate, which is provided as an additional parameter. Separately, we prove that the predicate holds for all inputs and supply it to the algorithm. This method allows us to clearly separate the computational content from the termination proof.

The accessibility predicate for the usefulness checking algorithm is defined as follows:

```
\begin{array}{lll} \operatorname{\sf data} \ \operatorname{\sf UsefulAcc} : (ps: \mathsf{Patterns} \ ts) \ (P: \mathsf{PatternMatrix} \ ts) \to \mathsf{Type} \ \mathsf{where} \\ \operatorname{\sf done} & : & \mathsf{UsefulAcc} \ [] \ P \\ \operatorname{\sf orStep} : & \mathsf{UsefulAcc} \ (r_1::ps) \ P \\ \to & \mathsf{UsefulAcc} \ (r_2::ps) \ P \\ \to & \mathsf{UsefulAcc} \ ((r_1 \mid r_2)::ps) \ P \\ \operatorname{\sf conStep} : & \{c: \mathsf{NameCon} \ d\} \ \{rs: \mathsf{Patterns} \ (\mathsf{dataDef} \ d \ .\mathsf{argsTy} \ c)\} \\ \to & \mathsf{UsefulAcc} \ (rs ++ps) \ (\mathsf{specialize} \ c \ P) \\ \to & \mathsf{UsefulAcc} \ \{\mathsf{dataty} \ d :: ts\} \ (\mathsf{con} \ c \ rs ::ps) \ P \\ \mathsf{wildStep} : & (\forall \ c \to c \in **P \to \mathsf{UsefulAcc} \ (-*++ps) \ (\mathsf{specialize} \ c \ P)) \\ \to & (\exists [\ c\ ] \ c \notin **P \to \mathsf{UsefulAcc} \ (\mathsf{ps} \ (\mathsf{default} \ P)) \\ \to & \mathsf{UsefulAcc} \ \{\mathsf{dataty} \ d :: ts\} \ (-::ps) \ P \\ \end{array}
```

Note that this predicate simply mirrors the recursive structure of the algorithm. At this point, we are very close to obtaining the verified usefulness checking algorithm we set out to construct. Compared to the raw algorithm presented in Section 3.1, the following version differs in that it uses the usefulness properties established earlier to guarantee correctness, and is parametrized by the accessibility predicate to ensure termination.

```
useful?' { ts = \text{dataty } d :: \_} ax (-:: ps) P \text{ (wildStep } acc \ acc') with completeOrMissing P ... | inj<sub>1</sub> comp = \text{mapDec} wildCompCase wildCompCaseInv (anyFin? \lambda \ c \rightarrow \text{useful?'} \ ax \ (-* ++ \ ps) \text{ (specialize } c \ P) \ (acc \ c \ (comp \ c))) ... | inj<sub>2</sub> miss = \text{mapDec} (wildMissCase ax \ miss) wildMissCaseInv (useful?' ax \ ps \text{ (default } P) \ (acc' \ miss))
```

The only remaining task is to prove that the accessibility predicate holds for all possible inputs:

```
\forall \mathsf{UsefulAcc} : (\mathit{ps} : \mathsf{Patterns}\ \mathit{ts})\ (\mathit{P} : \mathsf{PatternMatrix}\ \mathit{ts}) 	o \mathsf{UsefulAcc}\ \mathit{ps}\ \mathit{P}
```

To prove this, we need to define a suitable measure on the inputs. Finding such a measure is tricky and challenging because:

- The measure must strictly decrease in cases where the wildcard at the head is replaced by multiple wildcards. This occurs in the first branch of the wildcard pattern case and when specialize encounters a wildcard pattern at the first column.
- The measure must also strictly decrease when the first column of P contains or-patterns, which causes specialize and default to duplicate the remaining columns.

Thus, several standard measures including the total size of patterns in the matrix fail. The measure we managed to found is the following lexicographic measure:

- 1. The combined size of ps and P, where size is measured by expanding all or-patterns in both and not counting wildcard patterns.
- 2. The length of the pattern vector ps.

The second component is necessary because the first component may not strictly decrease in the second branch of the wildcard pattern case. The second component guarantees strict reduction in this scenario, since the wildcard pattern at the head is removed. Using this measure, we can prove that $\forall UsefulAcc$ holds by well-founded induction, and thus we can finally implement useful?:

```
useful? : (ax:(t:\mathsf{Ty}) \to \mathsf{Value}\ t)\ (ps:\mathsf{PatternS}\ ts)\ (P:\mathsf{PatternMatrix}\ ts) \to \mathsf{Dec}\ (\mathsf{Useful}\ ps\ P) useful? ax\ ps\ P=\mathsf{useful}? ax\ ps\ P (\forall\mathsf{UsefulAcc}\ ps\ P)
```

We can verify that ps (from the isUseful example) is indeed useful with respect to P, as witnessed by one unit :: one unit :: []. However, it is not useful with respect to Q:

```
_ : useful? nonEmpty ps P \equiv yes (one unit :: one unit :: [] , _ , _) 
 _ = refl 
 _ : useful? nonEmpty ps Q \equiv no _ 
 _ = refl
```

In this example, we only have the unit type and the unit list type, which allows us to define nonEmpty.

4 EXHAUSTIVENESS

The concept of exhaustiveness for a pattern matrix can be derived from usefulness. A pattern matrix is exhaustive if, for every value vector, there exists a row in the matrix that matches it. Conversely, a pattern matrix is non-exhaustive if there exists a value vector that does not match any row in the matrix.

```
Exhaustive NonExhaustive : (P: \mathsf{PatternMatrix}\ ts) \to \mathsf{Type} Exhaustive P = \forall\ vs \to \mathsf{Any}\ (\lambda\ ps \to ps \preceq^* vs)\ P NonExhaustive P = \exists [\ vs\ ] \ \mathsf{All}\ (\lambda\ ps \to ps \not\preceq^* vs)\ P
```

In fact, this is equivalent to checking whether the vector of wildcard patterns is useful with respect to the given pattern matrix:

```
Exhaustive' NonExhaustive' : (P: \mathsf{PatternMatrix}\ ts) \to \mathsf{Type} NonExhaustive' P = \mathsf{Useful}\ -^*P Exhaustive' P = \neg \mathsf{NonExhaustive}' P convNonExhaustive : NonExhaustive' P \to \mathsf{NonExhaustive} P \to \mathsf{NonExhaustive} P \to \mathsf{NonExhaustive} : Exhaustive P \to \mathsf{Exhaustive} P
```

This equivalence allows us to define an exhaustiveness checking algorithm based on the verified usefulness checking algorithm:

```
exhaustive? : (ax:(t:\mathsf{Ty}) \to \mathsf{Value}\ t)\ (P:\mathsf{PatternMatrix}\ ts) \to \mathsf{Exhaustive}\ P \uplus \mathsf{NonExhaustive}\ P exhaustive? ax\ P with useful? ax\ -^*\ P ... | yes h=\mathsf{inj_2}\ (\mathsf{convNonExhaustive}\ h) ... | no h=\mathsf{inj_1}\ (\mathsf{convExhaustive}\ h)
```

We can verify that the example pattern matrix Q is exhaustive, while P is not. This is witnessed by the counterexample one unit :: one unit :: [].

```
_ : exhaustive? nonEmpty Q \equiv inj<sub>1</sub> (the (\forall vs \rightarrow Q \leq **vs) _) _ = refl _ : exhaustive? nonEmpty P \equiv inj<sub>2</sub> (one unit :: one unit :: [] , _) _ = refl
```

5 EXTRACTION

The formalization becomes more practical when it can be executed. To generate working Haskell code, we adapted our Agda formalization to be compatible with agda2hs [4], a tool that extracts readable Haskell programs from Agda code.

The agda2hs tool uses the erasure annotation @O in Agda to determine which parts of the program should be preserved in Haskell and which parts are only needed for proofs in Agda and can be erased. For example, the finite number datatype with erasure-annotated indices on the left translates to the Haskell datatype on the right:

```
\begin{array}{lll} \operatorname{\sf data}\;\operatorname{\sf Fin}: @0\;\mathbb{N} \to \operatorname{\sf Type}\; \operatorname{\sf where} & \operatorname{\sf data}\;\operatorname{\sf Fin} \\ \operatorname{\sf Zero}: \forall\; \{@0\;n\} \to \operatorname{\sf Fin}\; (\operatorname{\sf suc}\;n) & = \operatorname{\sf Zero} \\ \operatorname{\sf Suc}: \forall\; \{@0\;n\} \to \operatorname{\sf Fin}\; n \to \operatorname{\sf Fin}\; (\operatorname{\sf suc}\;n) & |\;\operatorname{\sf Suc}\;\operatorname{\sf Fin} \end{array}
```

Agda ensures that computations do not depend on these erased arguments.

We carefully annotated our formalization with erasure, for example on the indices of values and patterns. As a result, our formalization compiles to the following Haskell code:

```
data Ty = TyData Name
   type Tys = [Ty]
3
4
   data Value = VCon Name Values
   data Values = VNil | VCons Value Values
5
   data Pattern = PWild | PCon Name Patterns | POr Pattern Pattern
7
   data Patterns = PNil | PCons Pattern Patterns
   newtype Useful = MkUseful{witness :: Values}
10
11
   decUseful :: Signature -> (Ty -> Value) -> Tys -> [Patterns] ->
12
       Patterns -> DecP Useful
```

Notice that the syntax translates to standard Haskell datatypes. Also, observe that the resulting usefulness checking function has a clear type signature, and only the witnessing value vectors are returned from the function.

6 RELATED WORK

Although coverage checking is a well-studied problem, there has been little work on formalizing coverage checking algorithms within theorem provers. The only related work we found is a concurrent effort by Cohen [5]². Cohen developed a Rocq mechanization of pattern matching compilation to decision trees and first-order ADT axiomatization. Cohen's approach compiles a pattern matrix using an algorithm based on Maranget [8, 9], making it very similar to our coverage checking algorithm. In fact, pattern compilation and coverage checking are closely related; compilation to decision trees inherently provides exhaustiveness checking, as a non-exhaustive pattern matrix cannot be compiled into a decision tree. However, Cohen's formalization only proves that compilation fails if the pattern matrix is non-exhaustive, because this direction is sufficient for their purpose. It does not prove the converse, i.e., the pattern matrix is non-exhaustive if compilation fails, so it only provides a weak version of exhaustiveness checking.

² Cohen's paper appeared after the submission of the present work.

In contrast, we proved both directions by formalizing coverage checking as a decision procedure. Moreover, our algorithm is witness-producing, which is useful for displaying coverage errors to programmers.

Other than Cohen's work we could not find mechanization of full-fledged coverage checker, even in verified compilers. CakeML is a verified compiler for a substantial subset of StandardML [7]. According to Cohen's paper, CakeML implements a simple and restricted exhaustiveness checker. However, in our own testing, we were unable to observe it functioning as described. Agda Core is a work-in-progress core language for Agda, implemented in Agda [1]. It features a derivation-producing type checker compatible with agda2hs, from which we have learned techniques for utilizing agda2hs. Currently, Agda Core appears to lack a verified coverage checker. Our formalization of a coverage checker may not be directly applicable to Agda Core, as our algorithm only handles simple patterns and does not account for dependent types. However, we believe that this work serves as a foundation for formalizing more practical coverage checking algorithms.

7 CONCLUSIONS

In this paper, we described an Agda formalization of a coverage checking algorithm by Maranget [9]. The final, verified version of the usefulness checking algorithm not only determines usefulness but also provides a proof of usefulness, including the witnessing value vector. Our formalization is compatible with agda2hs, allowing it to compile to readable, working Haskell programs.

Our formalization is still in progress, and there are several future directions we intend to pursue. First, we plan to implement an exhaustiveness checking algorithm that reports all missing cases, not just a single witnessing value, as OCaml and Rust's exhaustiveness checkers do. This can be achieved by adjusting the definition of usefulness so that it consists of a list of witnessing pattern vectors, a proof that all witnessing pattern vectors are subsumed by the useful pattern vector in question, and a proof that all witnessing pattern vectors are disjoint from all rows in the pattern matrix. Second, we plan to implement practical extensions of the algorithm. One extension we would like to implement is proposed in Maranget's paper, which can identify which particular branches of or-patterns are useless. Another is an optimization used in Rust, where exhaustiveness of pattern clauses and redundancy of each branch can be computed simultaneously, rather than invoking the original algorithm for each checking problem. Third, it would be interesting to formalize more expressive algorithms, such as the one used in Haskell [6].

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