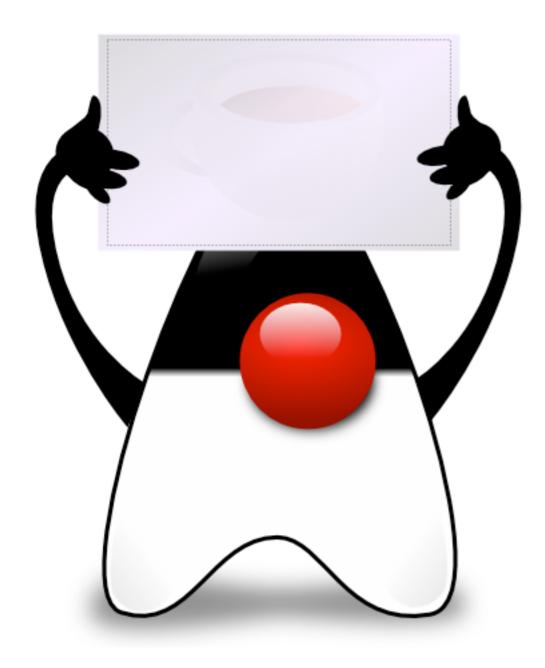
Lecture 6: Transactions in practice

Olivier Liechti AMT



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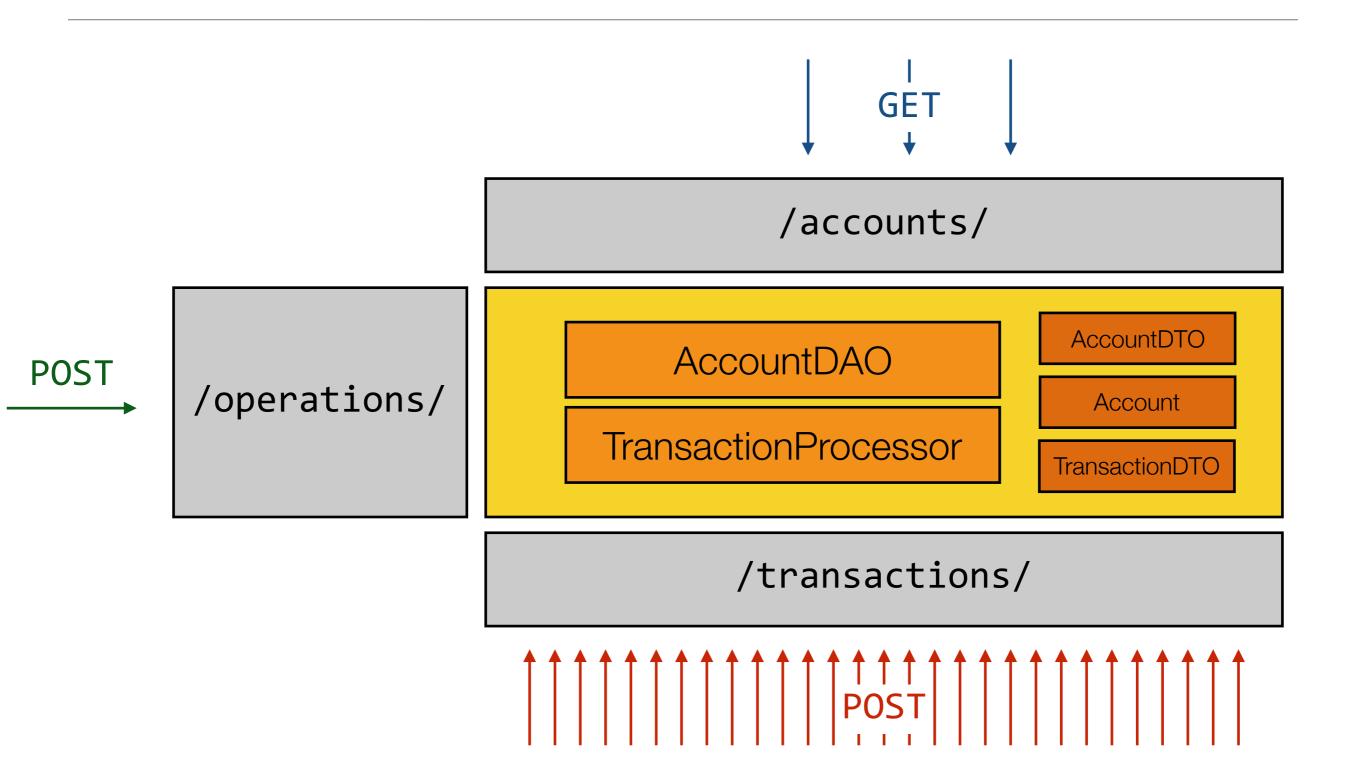
Transactions

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System overview: REST APIs





System overview: REST APIs



Account

Transaction

id : long

balance : double

numberOfTransactions : long

holderName : String

accountId : long
amount : double

Concurrent creation & unique constraints



- In the system, we do not want to create accounts in advance.
- Instead, we want to create them "on the fly": when we process a financial transaction, we check if the related account already exists:
 - If **no**, we create and initialize it.
 - If yes, we update it.
- Let's try to implement this behavior!

Concurrent creation & unique constraints



```
$ git clone git@github.com:SoftEng-HEIGVD/Teaching-
HEIGVD-AMT-ConcurrentTransactions.git
```

\$ git checkout step1-validating-on-the-fly-accountcreation

Configure your **JDBC data source** (see persistence.xml): jdbc/

After deploying the application, POST a number of transactions on / api/transactions. Then validate with GET /accounts/.

Check the Glassfish logs.

Looks good!

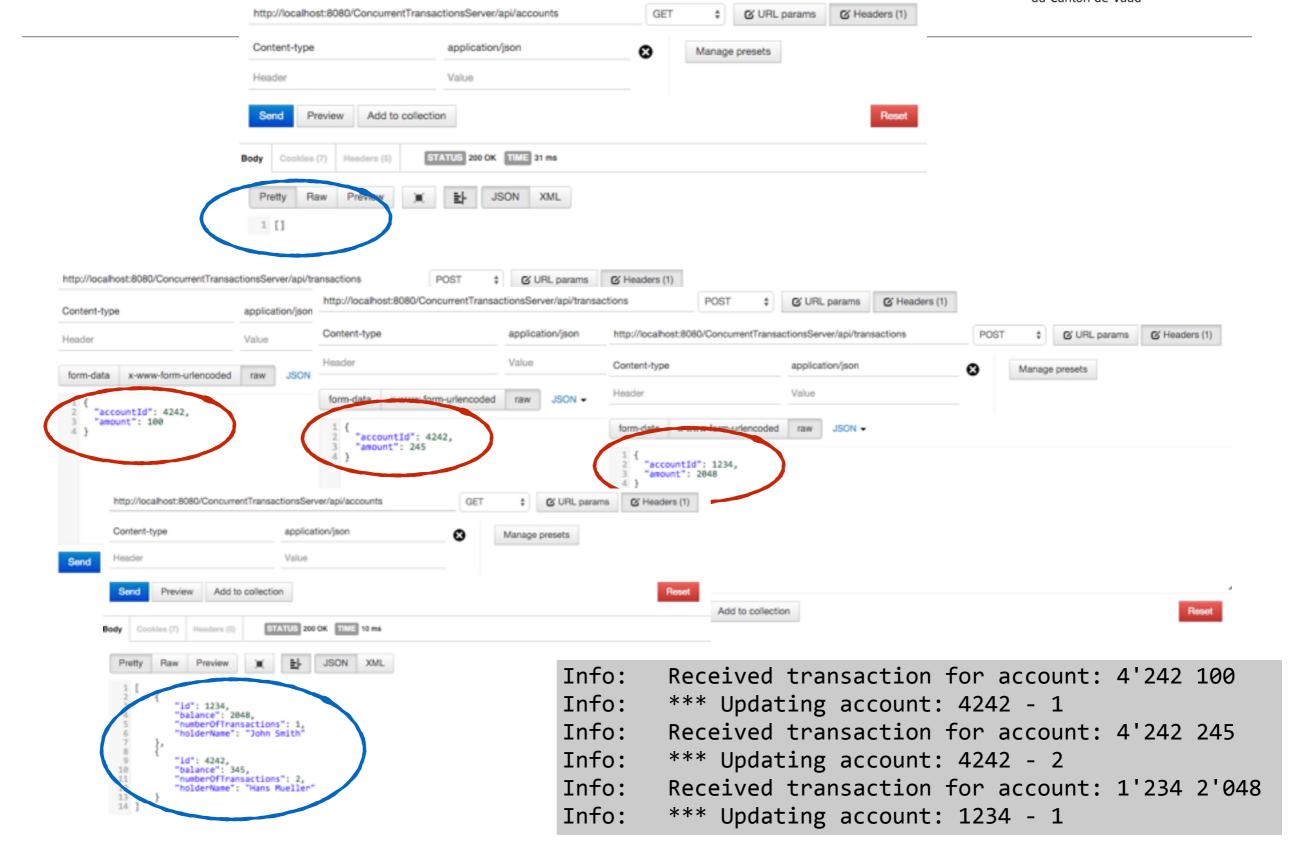


Take 15' to build, deploy and run on your machine

Concurrent creation & unique constraints



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Concurrent creation & unique constraints



Are we really **safe**?

\$ git checkout step2-really-validating-on-the-flyaccount-creation

You now have 2 test projects:

ConcurrentUpdateDemoClient (Java with JAX-RS client)
ConcurrentUpdateDemoClientNode (JavaScript)

Let's see what happens "out-of-the-box"



Take 15' to read the Java and the JavaScript test clients. You can play with them, too.

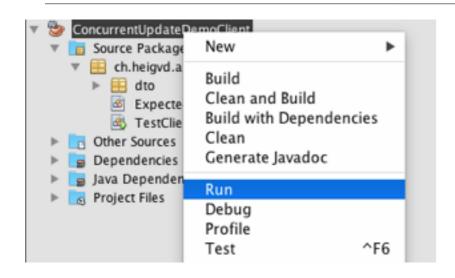
ConcurrentUpdateDemoClientNode



```
$ npm install
  $ node client.js
  ______
  Comparing client-side and server-side stats
  Number of accounts on the client side: 10
  Number of accounts on the server side: 10
  ______
  Summary
  [ 'The RESET operation has been processed (status code: 204)',
    '200 transaction POSTs have been sent. 0 have failed.',
    'The client side and server side values have been compared. Number of corrupted accounts: 0' ]
Info:
      Received transaction for account: 1 33
Info: *** Updating account: 1 - 1
Info: Received transaction for account: 1 74
Info: *** Updating account: 1 - 2
Info: Received transaction for account: 1 85
Info: *** Updating account: 1 - 3
Info: Received transaction for account: 1 1
Info: *** Updating account: 1 - 4
Info: Received transaction for account: 1 118
Info: *** Updating account: 1 - 5
Info: Received transaction for account: 1 -11
Info: *** Updating account: 1 - 6
Info: Received transaction for account: 1 61
Info: *** Updating account: 1 - 7
Info: Received transaction for account: 1 -3
Info: *** Updating account: 1 - 8
Info: Received transaction for account: 1 126
Info: *** Updating account: 1 - 9
      Received transaction for account: 1 -28
Info: *** Updating account: 1 - 10
```

ConcurrentUpdateDemoClient





```
10:50:54 INFO Expected vs actual number of transactions for account 18: 20/20 10:50:54 INFO Expected vs actual balance for account 18: 20/20 10:50:54 INFO Expected vs actual number of transactions for account 19: 20/20 10:50:54 INFO Expected vs actual balance for account 19: 20/20 10:50:54 INFO Expected vs actual number of transactions for account 20: 20/20 10:50:54 INFO Expected vs actual balance for account 20: 20/20 10:50:54 INFO Errors: [] 10:50:54 INFO Done.
```

```
*** Updating account: 20 - 1
Info:
       Received transaction for account: 20 1
       *** Updating account: 20 - 2
Info: Received transaction for account: 20 1
Info: *** Updating account: 20 - 3
Info:
       Received transaction for account: 20 1
Info:
     *** Updating account: 20 - 4
Info: Received transaction for account: 20 1
Info: *** Updating account: 20 - 5
Info: Received transaction for account: 20 1
Info:
       *** Updating account: 20 - 6
Info:
       Received transaction for account: 20 1
Info: *** Updating account: 20 - 7
```

Concurrent creation & unique constraints



Still looks good... Are we really **safe**?

Change the experiment parameters, so that we have concurrent requests!

There are parameters in the Java and the JavaScript test client

ConcurrentUpdateDemoClientNode

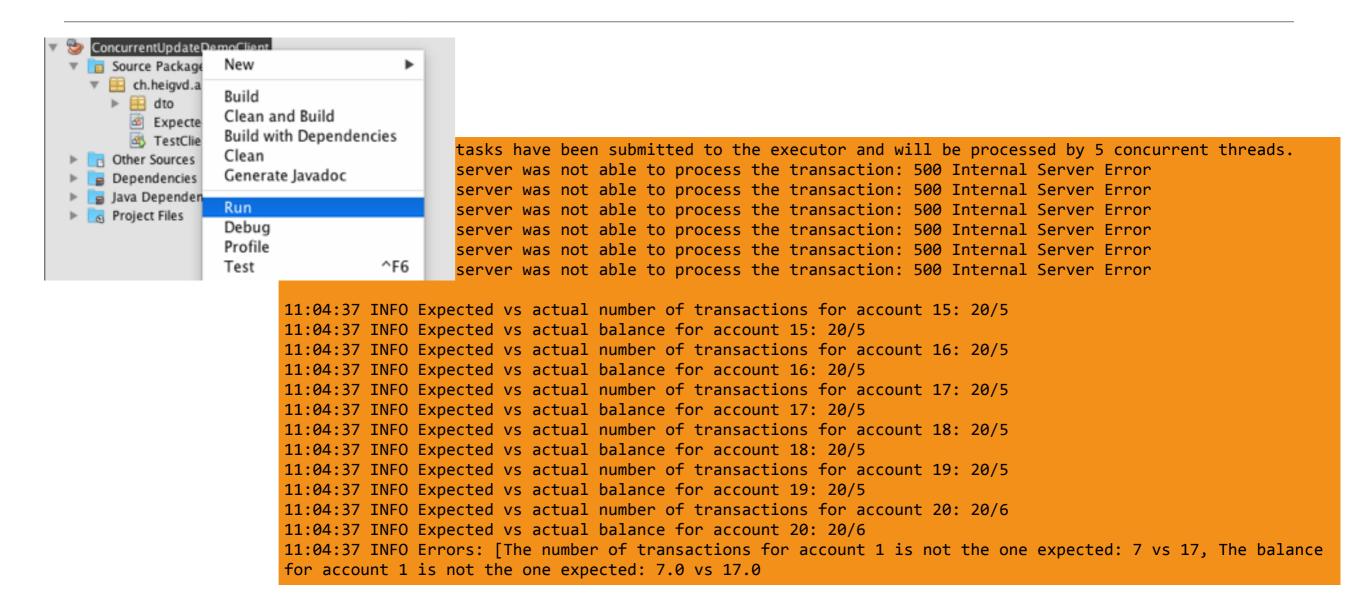


```
$ node client.js
                                      Some POST requests fail (the
Result 162: 500
Result 181: 500
                                       client is aware of a problem)
Comparing client-side and server-side stats
                                                             Worse: money has
Number of accounts on the client side: 10
Number of accounts on the server side: 10
                                                         vanished without anyone
Account 1 --> Server/Client balance: 276/908 X
Account 2 --> Server/Client balance: 573/1161 X
                                                              being aware of it!
Account 3 --> Server/Client balance: 478/1007 X
Account 4 --> Server/Client balance: 280/532 X
Account 5 --> Server/Client balance: 612/923
Account 6 --> Server/Client balance: 192/942 X
Account 7 --> Server/Client balance: 342/722 X
Account 8 --> Server/Client balance: 555/800 X
Account 9 --> Server/Client balance: 354/1107 X
Account 10 --> Server/Client balance: 407/1264 X
```

```
Caused by: javax.persistence.PersistenceException: Exception [EclipseLink-4002] (Eclipse Persistence Services - 2.5.2.v20140319-9ad6abd): org.eclipse.persistence.exceptions.DatabaseException
Internal Exception: com.mysql.jdbc.exceptions.jdbc4.MySQLIntegrityConstraintViolationException: Duplicate entry '3' for key 'PRIMARY'
Error Code: 1062
Call: INSERT INTO ACCOUNT (ID, BALANCE, HOLDERNAME, NUMBEROFTRANSACTIONS) VALUES (?, ?, ?, ?)
    bind => [4 parameters bound]
Query: InsertObjectQuery(ch.heigvd.amt.demo.model.Account@7512b0e3)
    at org.eclipse.persistence.internal.jpa.EntityManagerImpl.flush(EntityManagerImpl.java:868)
    at com.sun.enterprise.container.common.impl.EntityManagerWrapper.flush(EntityManagerWrapper.java:437)
    at ch.heigvd.amt.demo.services.dao.AccountDAO.create(AccountDAO.java:26)
```

ConcurrentUpdateDemoClient





```
Caused by: javax.persistence.PersistenceException: Exception [EclipseLink-4002] (Eclipse Persistence Services - 2.5.2.v20140319-9ad6abd): org.eclipse.persistence.exceptions.DatabaseException
Internal Exception: com.mysql.jdbc.exceptions.jdbc4.MySQLIntegrityConstraintViolationException: Duplicate entry '1' for key 'PRIMARY'
Error Code: 1062
Call: INSERT INTO ACCOUNT (ID, BALANCE, HOLDERNAME, NUMBEROFTRANSACTIONS) VALUES (?, ?, ?, ?)
    bind => [4 parameters bound]
Query: InsertObjectQuery(ch.heigvd.amt.demo.model.Account@178ebe08)
    at org.eclipse.persistence.internal.jpa.EntityManagerImpl.flush(EntityManagerImpl.java:868)
    at com.sun.enterprise.container.common.impl.EntityManagerWrapper.flush(EntityManagerWrapper.java:437)
    at ch.heigvd.amt.demo.services.dao.AccountDAO.create(AccountDAO.java:26)
```

What is the account creation problem?

```
@Override
public void createAccountIfNotExists(long id) {
   Account account = accountDAO.findById(id);
   if (account == null) {
      account = new Account();
      account.setId(id);
      account.setBalance(0);
      account.setNumberOfTransactions(0);
      account.setHolderName(generateRandomHolderName());
      accountDAO.create(account);
   }
}
```

Thread T1 on EJB 1

```
Account account = accountDAO.findById(id);
if (account == null) {
    account = new Account();
    account.setId(id);
    account.setBalance(0);
    account.setNumberOfTransactions(0);
    account.setHolderName(generateRandomHolderName());

account.setHolderName(generateRandomHolderName());
```

Thread T2 on EJB2

```
Account account = accountDAO.findById(id);
  if (account == null) {
    account = new Account();
    account.setId(id);
    account.setBalance(0);
    account.setNumberOfTransactions(0);
    account.setHolderName(generateRandomHolderName());
    accountDAO.create(account);
}
```

Fixing the problem: approach 1 (new tx)



- Last week, we have seen that it is possible:
 - to divide one "use case" into multiple sub-transactions
 - to decide whether all sub-transactions should be rolled back or only some of them in the case of errors
- We have seen that there is a special annotation (@TransactionAttribute) for specifying the behavior (by default, the container rolls back everything).

\$ git checkout step3-fix-account-creation-with-trycatch

Transaction Scope



http://java.sun.com/javaee/5/docs/tutorial/doc/bncij.html

Transaction Scope

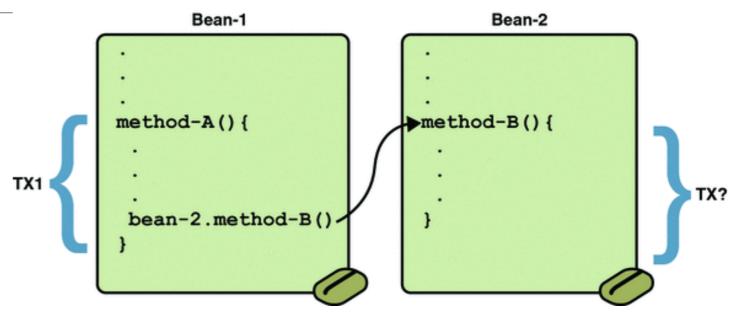
```
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```

```
@TransactionAttribute(NOT_SUPPORTED)
@Stateless
public class TransactionBean implements
Transaction {
...
    @TransactionAttribute(REQUIRES_NEW)
    public void firstMethod() {...}

    @TransactionAttribute(REQUIRED)
    public void secondMethod() {...}

public void thirdMethod() {...}

public void fourthMethod() {...}
```



Transaction Attribute	Client's Transaction	Business Method's Transaction
Required	None	T2
	T1	T1
RequiresNew	None	T2
	T1	T2
Mandatory	None	error
	T1	T1
NotSupported	None	None
	T1	None
Supports	None	None
	T1	T1
Never	None	None
	T1	Error

Fixing the problem: approach 1 (new tx)

If we want to capture failed transactions, we need to go via the container

```
@Stateless
public class TransactionProcessor implements TransactionProcessorLocal {
  private static final Logger LOG = Logger.getLogger(TransactionProcessor.class.getName());
  @EJB
 AccountDAOLocal accountDAO;
▲ @EJB
  TransactionProcessorLocal selfViaContainer;
  @Override
 public void processTransaction(TransactionDTO transaction) {
    selfViaContainer.createAccountIfNotExists(transaction.getAccountId());
    } catch (Exception e) {
      LOG.info("*** Maybe a DUPLICATE KEY that would not be a real problem..." + e.getMessage());
 @TransactionAttribute(TransactionAttributeType.REQUIRES_NEW)
  public void createAccountIfNotExists(long id) {
    Account account = accountDAO.findById(id);
    if (account == null) {
      account = new Account();
      account.setId(id);
      account.setBalance(0);
      account.setNumberOfTransactions(0);
      account.setHolderName(generateRandomHolderName());
      accountDAO.create(account);
```

If an exception occurs in this block, we don't want to rollback everything!

ConcurrentUpdateDemoClientNode



```
$ node client.js
```

```
Summary

[ 'The RESET operation has been processed (status code: 204)',
    '200 transaction POSTs have been sent. 0 have failed.',
    'The client side and server side values have been compared. Number of corrupted accounts: 10' ]
```

We have resolved one issue: the client does not receive any error when the first two financial transactions for one account are sent simultaneously.

However, we still have a problem with data corruption (unrelated to account creation).

We also have ugly stack traces in our logs and assuming that the exception thrown during account creation is harmless is not very robust...

Fixing the problem: approach 2 (upsert)



- Many databases support a special type of operation, often called an "upsert"
- With this operation, you can specify that when you can update a record if it already exists in the database, or create it if does not exist yet.
- MySQL supports this feature with the INSERT ... ON DUPLICATE KEY UPDATE syntax

\$ git checkout step4-fix-account-creation-with-upsert

Fixing the problem: approach 2 (upsert)

```
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```

```
@Entity
@NamedQueries({
    @NamedQuery(name="Account.findAll", query="SELECT a FROM Account a"),
    @NamedQuery(name="Account.deleteAll", query="DELETE FROM Account")
})
@NamedNativeQuery(name = "Account.upsert", query = "INSERT INTO Account (ID, HOLDERNAME, BALANCE, NUMBEROFTRANSACTIONS) VALUES
(?1, ?2, ?3, ?4) ON DUPLICATE KEY UPDATE BALANCE=BALANCE+?4, NUMBEROFTRANSACTIONS=NUMBEROFTRANSACTIONS+0")
public class Account { ... }
```

This is a **proprietary** feature provided by MySQL

```
@Stateless
public class TransactionProcessor implements TransactionProcessorLocal {
    private static final Logger LOG = Logger.getLogger(TransactionProcessor.class.getName());
    @EJB
    AccountDAOLocal accountIAO;

public void createAccountIfNotExists(long id) {
    @Override
    public void createAccountIfNotExists(long id) {
        Query query = em.createNamedQuery("Account.upsert");
        query.setParameter(1, id);
        query.setParameter(2, generateRandomHolderName());
        query.setParameter(3, 0);
        query.setParameter(4, 0);
        long result = query.executeUpdate();
    }
}
```

ConcurrentUpdateDemoClientNode



We don't have any 500 response sent to the client (no problem with duplicate accounts)

As an additional benefit, we don't have any data corruption! That is because the special MySQL requests locks the row in the database.

We have also got rid of the exceptions!

```
Received transaction for account: 20 104
        *** Updating account: 20 - 22
Info:
Info:
       Received transaction for account: 20 21
        *** Updating account: 20 - 23
       Received transaction for account: 20 46
Info:
        *** Updating account: 20 - 24
Info:
        *** Updating account: 20 - 25
Info:
       Received transaction for account: 20 143
       *** Updating account: 20 - 26
       Received transaction for account: 20 74
        *** Updating account: 20 - 27
       Received transaction for account: 20 12
```

What is the data corruption problem?

```
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```

```
public void processTransaction(TransactionDTO transaction) {
   try {
      selfViaContainer.createAccountIfNotExists(transaction.getAccountId());
   } catch (Exception e) {
      LOG.info("*** Maybe a DUPLICATE KEY that would not be a real problem..." + e.getMessage());
   }

   Account account = accountDAO.findById(transaction.getAccountId());
   double bal = account.getBalance();
   bal = bal + transaction.getAmount();
   account.setBalance(bal);
   account.setNumberOfTransactions(account.getNumberOfTransactions() + 1);
}
```

Thread T1 on EJB 1

Thread T2 on EJB2

```
Account account = accountDAO.findById(transaction.getAccountId());
double bal = account.getBalance();

bal = bal + transaction.getAmount();
account.setBalance(bal);
account.setNumberOfTransactions(account.getNumberOfTransactions() + 1);
```

```
Account account = accountDAO.findById(transaction.getAccountId());
double bal = account.getBalance();
bal = bal + transaction.getAmount();
account.setBalance(bal);
account.setNumberOfTransactions(account.getNumberOfTransactions() + 1);
```

Optimistic vs Pessimistic Locking



- To fix this issue, we have the choice between a pessimistic and an optimistic locking strategy:
 - If we believe that there is a high probability to have a conflict (we are pessimistic), then we should lock the record before modifying it (the other transaction will have to wait that we release it).
 - If we believe that there is a little probability to have a conflict, then we can
 look at the version number of the record when we read it, check that it is still
 the same and increment it when we update the record.
 - If someone has modified the record in the meantime, then the version number will have changed and we will be aware of the issue.
- JPA provides support for both pessimistic and optimistic locking strategies.
- Pessimistic Locking has a performance cost (and may introduce deadlocks).
 Optimistic locking may require some extra work (dealing with exceptions).

Pessimistic locking solution

```
$ git checkout step5-fix-account-creation-with-try-
catch-pessimistic-lock
```

```
@Stateless
public class AccountDAO implements AccountDAOLocal {
...
    @Override
    public Account findByIdForUpdate(long id) {
        return em.find(Account.class, id, LockModeType.PESSIMISTIC_WRITE);
    }
...
}
```

```
@Stateless
public class TransactionProcessor implements TransactionProcessorLocal {
    ...
    @Override
    public void processTransaction(TransactionDTO transaction) {
        ...
        Account account = accountDAO.findByIdForUpdate(transaction.getAccountId());
        ...
    }
}
```

Optimistic locking solution



```
$ git checkout step6-fix-account-creation-with-try-
catch-optimistic-lock
```

```
@Entity
public class Account {

   @Id
   private long id;

   @Version
   private long version;
```



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Looking at the Test Clients

General approach



- In order to validate that the server is working as expected, we need to:
 - Generate some load (simulate the activity of users, which translates into HTTP requests being sent to the API implementation).
 - Create and update a model, which captures the expected state of the domain model data at the end of the process (i.e. "if everything works well, this is how the 'world' should be).
 - Keep track of errors reported by the server (i.e. if the server tells us that
 it was unable to create a business object, we should not wrongly update
 the expect state). In other words, we have to make the difference between
 known errors and silent bugs.
 - At the end of the process, we have to **compare** the actual state on the server side with the model that we have built on the client side. If we see differences, then we have a problem (a silent bug).

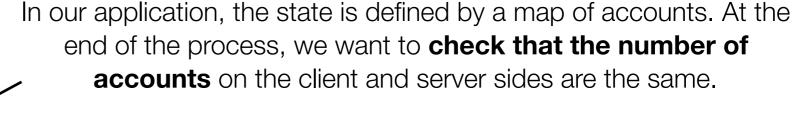
The Java test client



```
public class TestClient {
                                                 We reset the state on the server side (delete all accounts)
 private void test() {
   sendResetCommandToServer()
   ExecutorService executor = Executors.newFixedThreadPool(numberOfConcurrentThreads);
   final WebTarget target = client.target("http://localhost:8080/ConcurrentTransactionsServer/api").path("transactions");
   for (int account = 1; account <= numberOfAccounts; account++) {</pre>
     for (int transaction = 0; transaction < numberOfTransactionsPerAccount; transaction++) {</pre>
      final int accountId = account;
       Runnable task = new Runnable() {
         public void run() {
                                                                                In this version, the amount is 1
           TransactionDTO transaction = new TransactionDTO(accountId, 1);
                                                                                 (but we could also generate a
           Response response = target.request().post(Entity.json(transaction));
           if (response.getStatus() < 200 | response.getStatus() >= 300) {
                                                                                          random value)
           } else {
             expectedState.logTransactionIntoAccount(transaction);
                                                        We only update the expected state (client side) if
                                                        the server has told us that the transaction could
       executor.execute(task);
                                                         be processed with 2xx HTTP status code (note
                                                        that this works if the processing is synchronous)
  try {
      executor.shutdown();
      executor.awaitTermination(1, TimeUnit.HOURS);
      List<String> errors = validateExpectedAgainstActualState();
   } catch (InterruptedException ex) {
      Logger.getLogger(TestClient.class.getName()).log(Level.SEVERE, null, ex);
```

The Java test client







```
public class ExpectedState {

private final Map<Long, AccountDTO> accounts = new HashMap<>();

public synchronized void logTransactionIntoAccount(TransactionDTO transaction) {
    AccountDTO account = accounts.get(transaction.getAccountId());
    if (account == null) {
        account = new AccountDTO();
        account.setId(transaction.getAccountId());
        account.setId(transaction.getAccountId());
        account.setBulance(0);
        account.setBalance(0);
        account.setBalance(docount.getId(), account);
    }
    account.setBalance(account.getBalance() + transaction.getAmount());
    account.setNumberOfTransactions(account.getNumberOfTransactions() + 1);
}

public Map<Long, AccountDTO> getAccounts() {
    return accounts;
}
...
}
```



We use async.js to perform **3 main tasks** one after the other: **reset** the server state, **simulate** the users activity and **check** that the state on the client and server side is the same.

```
async.series([
    resetServerState,
    postTransactionRequestsInParallel,
    checkValues
], function(err, results) {
    console.log("\n\n==============);
    console.log("Summary");
    console.log("------");
    //console.log(err);
    console.log(results);
});
```



Resetting the server state is easy, since we have a REST endpoint for that purpose.



To simulate the activity of users, we use **async.js** once again. But this time, we execute multiple functions (to submit multiple requests) in **parallel**.

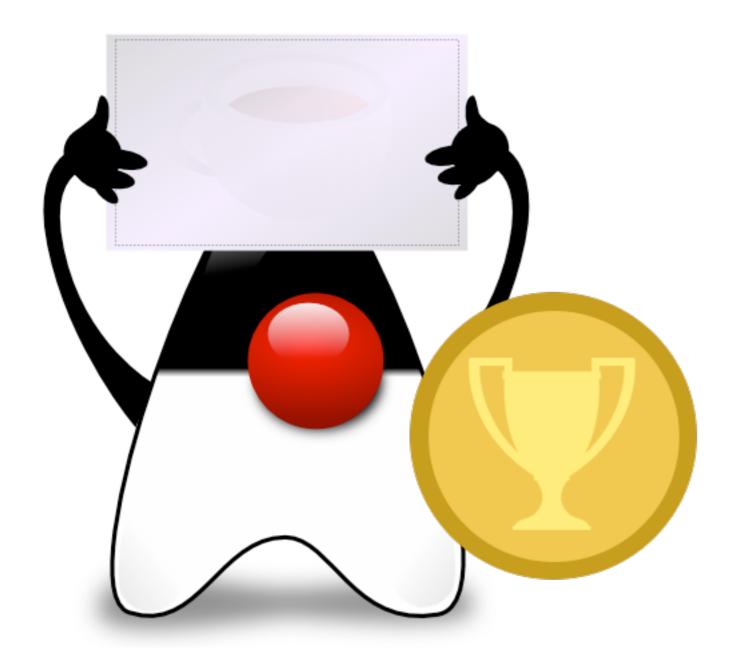
We only update the client-side state if the server has responded with a **2xx** status code.

```
function postTransagtionRequestsInParallel(callback) {
    console.log("POSTing transaction requests in parallel");
    console.log //
    var numberofUnsuccessfulResponses = 0;
    async.parallel(requests, function(err, results)
        for (var i=0; i<results.length; i++) {</pre>
             if (results[i].response.statusCode < 200 | results[i].response.statusCode >= 300) {
                 console.log("Result " + 1 + ": " + results[i].response.statusCode);
                 numberOfUnsuccessfulResponses++;
             } else {
                 logTransaction(processedStats, results[i].requestData.data);
        callback(null, results.length + " transaction POSTs have been sent. " +
numberOfUnsuccessfulResponses + " have failed.");
    });
```

```
When we use async.js to execute functions in parallel, we must provide an array of functions. We pepare it in advance.
```

```
var requests = [];
for (var account=1; account<=numberOfAccounts; account++) {
    for (var transaction=0; transaction<numberOfTransactionsPerAccount; transaction++) {
        requests.push(
            getTransactionPOSTRequestFunction(account)
            );
    }
};</pre>
```

```
function getTransactionPOSTRequestFunction(accountId) {
                                                                        We also keep track of all the
    return function(callback) {
                                                                     transactions that we have submitted
       var requestData = { ... }
                                                                     (some may be rejected by the server).
    };
                                                                         This is an additional feature
    requestData.data.amount = Math.floor((Math.random() * 200) - 50);
                                                                        compared to the Java version.
    logTransaction(submittedStats, requestData.data);
var req = client.post("http://localhost:8080/.../api/transactions", requestData, function(data,
response) {
         var error = null;
         var result = { requestData: requestData, data: data, response: response };
              callback(error, result);
         });
```



Your Turn!

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Apply this to your Gamification API (1)



- Design and implement a RESTful endpoint for POSTing application events
- Implement an event processing service that correctly updates the state of your model:
 - End-users, reputation, badges, points, levels, etc.
 - What happens if the first two events for a given end-user arrive at the same time?
 - What happens if, later on, two events for a given end-user arrive at the same time and that the end-user should be awarded points in every case?

Apply this to your Gamification API (2)



```
How to identify / authenticate the
             application?
                                   How do we manage the event
Host: localhost:8080
                                               types?
Content-type: application/json
  'timestamp' : ???,
  'type' : ???,
  'properties' : {
    'difficulty' : 'hard',
    'tags' : 'java'
                                How do we serialize (dynamic)
                                          properties?
     How do we format the
          timestamp?
```

Apply this to your Gamification API (3)



Timestamps

- You are not developing an API for Swiss end-users. You have to think about time zones.
- The recommended approach is to use the ISO-8601 format and the UTC time zone. This is an example:

"2015-04-29**T**22:05:07.0**Z**"

Identification / authentication

- At the minimum, to implement and test the processing of events, we need to know which application is sending the events (identification). We can use the API key assigned to the application for that purpose.
- It is up to us to send it in a query string parameter, in a custom HTTP header, or in the standard HTTP Authorization header.
- In a second step, if we want a secure solution, we need to assign a shared secret to the application key. We can then either use the application key and shared secret in the HTTP Authorization header. Or even better, we can implement a token service.

Apply this to your Gamification API (3)



Managing event types, approach 1

- We can use a strongly typed approach, where we explicitly define event types in some kind of schema.
- The developer of the gamified application needs to do some work upfront (there is "questionAsked" event type, with these 2 properties, etc.). This is a pain, but it makes it possible to do validations when we create the rules.

Managing event types, approach 2

- We can use a dynamic approach, were we do not define event types in advance.
- The gamified application can put anything is the "type" property when POSTing a new event.
- When a rule is created, we need to be careful to use the correct value when we specify the type of events that trigger the rule.

Apply this to your Gamification API (4)



- Design and implement a test client (in Java or JavaScript)
 - Simulate the behavior of end-users (try to be as realistic and to consider "rich" use cases)
 - Implement a model to keep track of the application state on the client side.
 - At the end of the validation process, compare the client-side with the server-side state.
 - Document your results.