

DELFT UNIVERSITY OF TECHNOLOGY

MASTER'S THESIS

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# Quasilinear Time Decoding Algorithm for Topological Codes with High Error Threshold

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# Chapter 1

## Modifications to the Union-Find decoder

For the UF decoder, each cluster  $C_\alpha$  is represented by a set of vertices  $\mathcal{V}_\alpha = \{v_1, v_2, v_3 \dots v_{C_\alpha^s}\}$ , where  $S_\alpha$  is the size of the cluster. Here, the  $\mathcal{V}_\alpha$  is stored in a tree, and each tree root is a unique identifier of the cluster. When new vertices  $v_{new}$  are added during  $\mathbf{Grow}(C_\alpha)$ , they are added to the tree as a child of the root. When an edge is fully grown, we add it to a fusion list  $\mathcal{F}$ , and for all edges in  $\mathcal{F}$  the vertex tree for the two neighboring vertices  $v_x, v_y$  are traversed to their roots using  $\mathbf{Find}(v_x)$  and  $\mathbf{Find}(v_y)$  respectively. If  $\mathbf{Find}(v_x) \neq \mathbf{Find}(v_y)$  the cluster are merged using  $\mathbf{Union}(v_x, v_y)$  by making one vertex a child of another's root. The depth of the tree  $\mathcal{V}^\alpha$  is kept low due to *path compression* and *weighted union* of clusters.

The vanilla UF decoder (as described by Delfosse [1]) has an error threshold of 9.2% for a 2D toric lattice, that only suffers errors through a single Pauli channel. Delfosse has shown that the threshold can be improved by sorting the order of cluster growth, but has not provided a description of this sorting. In this chapter, we will show an implementation of this sorting routine that maintains a linear time complexity in section 1.2. In section 1.1, we will show an object oriented approach of the UF decoder that allows for a straight forward data structure that is used for our implementation. In the remaining sections, we will show some other alterations to the UF decoder, that uses the inspiration of the MLD-decoder or the MWPM decoder to improve the error threshold while retaining a low time complexity.

### 1.1 Object oriented approach

Others who have implemented weighted growth (wrongly) use an algorithm that has a time complexity of  $\mathcal{O}(n \log n)$ , which is worse than the main algorithm [2]. We will introduce a weighted growth algorithm that has a linear time complexity, and therefore preserving the inverse Ackermann time complexity of the Union-Find decoder.

### 1.1.1 A new data structure

### 1.1.2 Finding clusters

## 1.2 Bucket Cluster Sort (BCS)

To further increase the error threshold for the Union-Find decoder from 9.2% to 9.9%, Delfosse implements *weighted growth*, where clusters are grown in increasing order based on their sizes [1]. However, the main problem with weighted growth is that the clusters now need to be sorted, and that after each growth iteration another round of sorting is necessary, due to the fact that the clusters have changed sizes due to growth and merges, and the order of clusters may have been changed. Nickerson has not given a description of how weighted growth is implemented. As the complexity of the algorithm is now dominated by the Union-Find algorithm, we need to make sure that weighted growth does not add to this complexity. To avoid this iterative sorting, we need to make sure that the insertion of a new element in our sorted list of clusters does not depend on the values in that list.

The Bucket Cluster sorting algorithm as described in this section is evolved from a more complicated version that is described in appendix ??, which has a sub-linear complexity of  $\mathcal{O}(\sqrt{n})$ .

### 1.2.1 How to sort for weighted growth using BCS

Let us now first look at what weighted growth for the Union-Find decoder exactly does. When a cluster is odd, there exists at least one path of errors connecting this cluster to a generator outside of this cluster. When the cluster grows, a number of edges  $k$  that is proportional to the size  $S$  of the cluster is added to the cluster. If  $k \propto S$  new edges are added, only  $1/k$  of these edges will correctly connect the cluster with the generator. Therefore, more "incorrect" edges will be added during growth of a larger cluster.

Note however, that the benefit of growing a smaller cluster is not substantial if the clusters are of similar size. Take two clusters  $C_\alpha, C_\beta$  with size  $S_\alpha \ll S_\beta$ , growth of cluster  $C_\beta$  will add  $\sim k_\beta/2$  "incorrect" edges on average, whereas growth of cluster  $C_\alpha$  will add  $\sim k_\alpha/2 \ll k_\beta/2$  edges as  $k_\alpha \propto S_\alpha$  and  $k_\beta \propto S_\beta$ . However, if  $S_\alpha \simeq S_\beta$ , the number of added "incorrect" edges for both clusters will also be similar, and it is the same when  $S_\alpha = S_\beta$ .

**Lemma 1.1** *For two clusters  $C_\alpha, C_\beta$  with size  $S_\alpha \ll S_\beta$  the number of vertices in the clusters,  $\text{Grow}(S_\beta)$  will add a smaller amount of incorrect edges to the cluster, which are edges that are not part of the matching.*

The sorting method that is suited for our case is *Bucket sort*. In this algorithm, the elements are distributed into  $k$  buckets, after which each bucket is sorted individually and the buckets are concatenated to return the sorted elements. Applied to the clusters, we sort the odd-parity clusters into  $k$  buckets, which replaces the odd cluster list  $\mathcal{L}$ . As the sizes of the clusters can only take on integer values, each bucket can be assigned a clusters size, and sorting of each individual bucket is not necessary. Furthermore, as we are not interested in the overall order of clusters, concatenating of the buckets is not necessary.

## Growing a bucket

The procedure for the Union-Find decoder using the bucket sort algorithm is now to sequentially grow the clusters from a bucket starting from bucket 0, which contain the smallest single-generator clusters of size 1. After a round of growth, in the case of no merge event, these clusters are grown half edges, but are still size 1. We would therefore need twice as many buckets to differentiate between clusters without and with half-edges. Let us call them full-edged and half-edged clusters, respectively. Starting from bucket 0, even buckets contain full-edged clusters and odd buckets contain half-edged clusters of the same size. To grow a bucket, clusters are popped from the bucket, grown on the boundary, after which the clusters is to be distributed in a bucket again in a subroutine named **Place**.

$$\text{Place}(C) = \begin{cases} C \rightarrow b_{2(S_C-1)}, & \text{if } S_C \text{ even} \\ C \rightarrow b_{2(S_C-1)+1}, & \text{otherwise} \end{cases} \quad (1.1)$$

In the case of no merge event, clusters grown from even bucket  $b_i$  must be placed in odd bucket  $b_{i+1}$ , as it does not increase in size, and clusters grown from odd bucket  $j$  must be placed in even bucket  $b_{j+2k+1}$  with  $k \in \mathbb{N}_0$  the number of added vertices. Also in the case of a union event of clusters  $C_\alpha$  and  $C_\beta$ , the new cluster  $\text{union}(C_\alpha, C_\beta) = C_{\alpha\beta}$  must be placed in a bucket  $b_{\alpha\beta} > b_\alpha, b_{\alpha\beta} > b_\beta$ . Thus we can grow the buckets sequentially, and need not to worry about bucket that have been already "emptied". This ensures that for two clusters  $C_\alpha$  and  $C_\beta$  with  $S_\alpha < S_\beta$ , cluster A will be grown first, adding a fewer amount of "incorrect" edges as per lemma 1.1. Clusters of the same size  $S_\alpha = S_\beta$  are placed in the same bucket and their order of growth is dependent on their order of placements.

All clusters within the same bucket are grown "together"; we first grow all the boundary edges of the clusters in the bucket by half, adding all fully grown edges to the fusion list  $\mathcal{F}$  and check for the union and new boundary edges for all clusters together per algorithm ??. The order of growth within the bucket is dependent on the order of cluster placement into the bucket.

**Theorem 1.1** *Weighted growth is achieved by growing the odd clusters sequentially starting from bucket  $b_0$ . Grown odd clusters from bucket  $b_c$  are added back to the bucket list using the **Place** subroutine, in a bucket  $b_g$  where  $g > c$ . Clusters  $C_\alpha$  and  $C_\beta$  with  $S_\alpha = S_\beta$  are placed into the same bucket  $b_{S_\alpha}$ , and are grown together. However, their growing order is dependent on the order of placement within the bucket.*

## Faulty entries

Now let us be clear: *only odd parity clusters will be placed in buckets, but each bucket does not only contain odd parity clusters.* As a merge happens between two odd parity clusters  $C_\alpha$  and  $C_\beta$  during growth of  $C_\beta$ , cluster  $C_\alpha$  has already been placed in a bucket, as it was still odd after its growth. But cluster  $C_\alpha$  is now part of cluster  $AB$  and has even parity, and the entry of cluster  $C_\alpha$  is faulty. To prevent growth of the *faulty entry*, we can check for the parity of the root cluster.

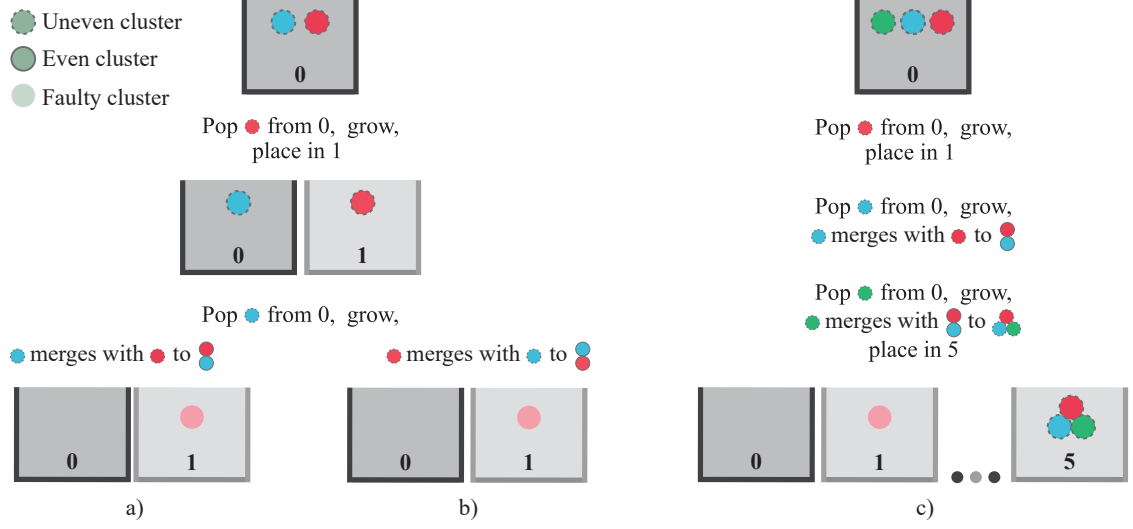


Figure 1.1: Faulty entries of clusters can occur in the buckets, a) cluster that should not be there due to a merge event. Situation a can be solved by checking the parity of the cluster. Checking the parity of the root cluster solves a) and b). Checking the bucket\_number of the root cluster solves all.

Furthermore, it is possible that another cluster  $C_\gamma$  merges onto  $C_{\alpha\beta}$ , such that the cluster  $C_{\alpha\beta\gamma}$  is odd again. Now, the faulty entry of cluster A passes the previous test. To solve this issue, we store an extra bucket number  $C_b$  at the root of a cluster. Whenever a cluster increases in size or merges to an odd parity cluster, we first update the  $C_b$  to the appropriate value and place it in its bucket. If the cluster merges to an even parity cluster, we update the  $C_b$  to *Null*. Now, every time a cluster is popped from bucket  $i$ , we can just check whether the current bucket corresponds to the  $C_b$  of the root cluster.

**Lemma 1.2** *Each bucket  $b_i$  does not necessary contain clusters that still belong to  $b_i$ . Growth of these faulty entries are prevented by storing the bucket number  $j$  at the cluster  $C_b = j$  during *Place* and checking for  $i = j$  and odd cluster parity add the beginning of *Grow*.*

### Number of buckets

How many buckets do we exactly need? On a lattice there can be  $n$  vertices, and a clusters can therefore grow to size  $n$ , spanning the entire lattice. Naturally, if a cluster spans the entire lattice, the solution given by the peeling decoder is now trivial. But we need to make sure that the decoder *can* give a solution. Consider an odd cluster  $C_\mu$  of size  $S_\alpha n/2$  which covers half the lattice. There must exists another odd cluster  $C_\beta$  for matchings to exists, which has size  $S_\beta \leq n/2$ . As per lemma 1.1,  $C_\beta$  will grow before  $C_\alpha$ . As the remaining

number of vertices is  $n - S_\alpha - S_\beta$ ,  $C_\beta$  can never grow larger than  $C_\alpha$  and will merge into  $C_\alpha$  if no other odd cluster exists. There exists a maximum cluster size  $S_\mu$  for which after  $\text{Grow}(C_\mu)$  this is true. This cluster size  $S_\mu$  is dependent on the code and the parity of lattice size  $L$ . We illustrate in figure 1.2 the clusters  $C_\mu$  for the toric and planar code. Their maximum odd cluster size  $S_\mu$  is listed in table 1.1, where  $L' = L - 1$  for the planar code.

**Lemma 1.3** *Once an odd cluster  $C_\alpha$  has reached a size  $S_\alpha > S_\mu$ , it is certain that a smaller cluster  $C_\beta$  will grow in size before the bucket of  $C_\alpha$  is reached, and it will merge into an even cluster  $\text{Union}(C_\alpha, C_\beta) = C_{\alpha\beta}$ .*

	$L$ even	$L$ odd
Toric	$S_\mu = L \times (\frac{L}{2} - 1) - 1$	$S_\mu = L \times (\frac{L'}{2} - 2) + (\frac{L'}{2} - 1)$
Planar	$S_\mu = L \times (\frac{L}{2} - 1)$	$S_\mu = L' \times \frac{L'}{2} - 1$

Table 1.1: The maximum cluster size  $S_\mu$  for which it is not certain that another cluster will merge onto the current cluster, or the maximum cluster size for which a cluster is allowed to grow.

This maximum cluster size  $S_\mu$  for growth determines the number of buckets  $k + 1$  we will need.

$$k = 2(S_\mu - 1) \quad (1.2)$$

Any cluster with size  $S \leq S_\mu$  will be placed into a bucket according to equation 1.1. If  $S > S_\mu$ , the cluster will not be placed into a bucket, and shall be assigned bucket number  $C_b = \text{Null}$ , as there is no bucket available.

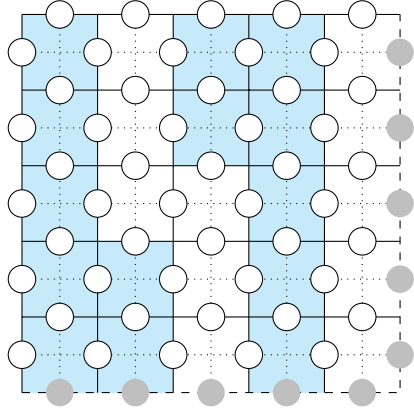
### Largest bucket occurrence

Not all buckets will be filled depending on the configuration of the lattice. It would therefore be redundant to go through all buckets just to find out that the majority of them is empty. To combat this, we can keep track of the largest filled bucket  $b_M$ . Whenever a bucket  $b_i$  has been emptied and  $i = M$ , we can break out of the bucket loop to skip the remainder of the buckets.

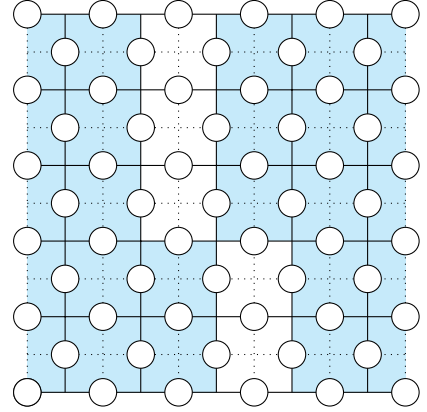
### 1.2.2 Complexity of BCS

Let us focus on the operations on a single cluster before it is grown an half-edge. A cluster is placed in a bucket, popped from that bucket some time after, checked for faulty entry, and if passed grown. All these operations are done linear time  $\mathcal{O}(1)$ . There are a maximum of  $\mathcal{O}(L^2) = \mathcal{O}(N)$  buckets to go through. Thus the overall complexity of  $\mathcal{O}(N\alpha(N))$  is preserved.

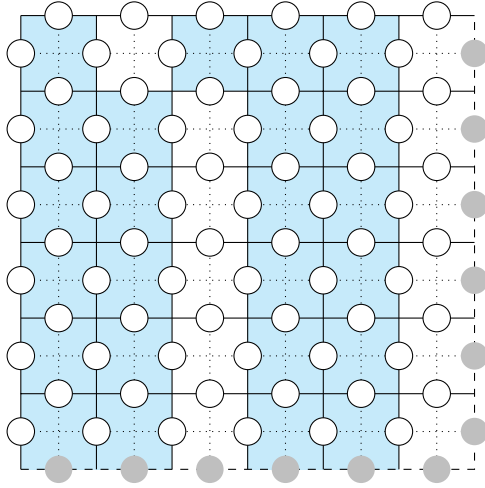
### 1.2.3 The BCS Union-Find decoder



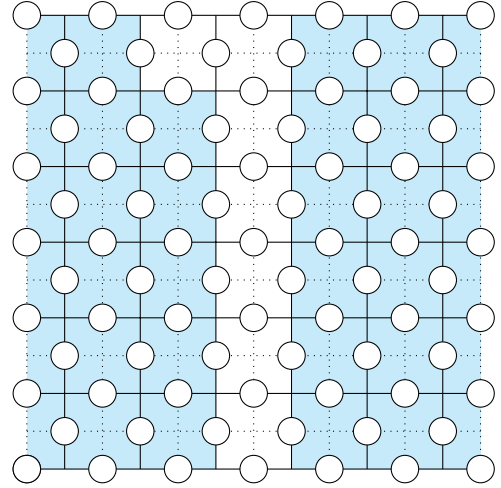
(a) Toric odd  $L = 5$



(b) Planar even  $L = 6$



(c) Toric even  $L = 6$



(d) Planar odd  $L = 7$

Figure 1.2: The clusters  $C_\mu$  with maximum cluster size  $S_\mu$  that is allowed to grow is pictured for each case on the left. On the right, another cluster  $C_\beta$  is pictured that has a maximum size while still separated from  $C_\mu$ .



### 1.3 Delayed Merge of boundary lists (DM)

When two clusters merge, one needs to check for the larger cluster between the two, and make the smaller cluster the child of the bigger cluster, which lowers the depth of the tree and is called the *weighted union rule*. Applied to the toric lattice, the Union-Find decoder also needs to append the boundary list (which contains all the boundary edges of a cluster) of the smaller cluster onto the list of the larger cluster. This method, as explained before, requires that the new boundary list needs to be checked again.

In our application, instead of appending the entire boundary list, we just add a pointer stored at the parent cluster to the child cluster. As a parent can have many children, the pointers are appended to a list `children`. When growing a cluster, we first check if this cluster has any child clusters. If yes, these child clusters will be grown first by popping them from the list, but any new vertices will always be added to the parent cluster. Also during and after a merge, we make sure that any new vertices are always added to the parent cluster. Any child will exist in the list of a parent for one round of growth, after which its boundaries will be grown, and the child is absorbed into the parent. This method also works recursively by keeping track of the root cluster instead of just the parent cluster, and many levels of parent-child relationships can exist, but again, only for one round of growth.

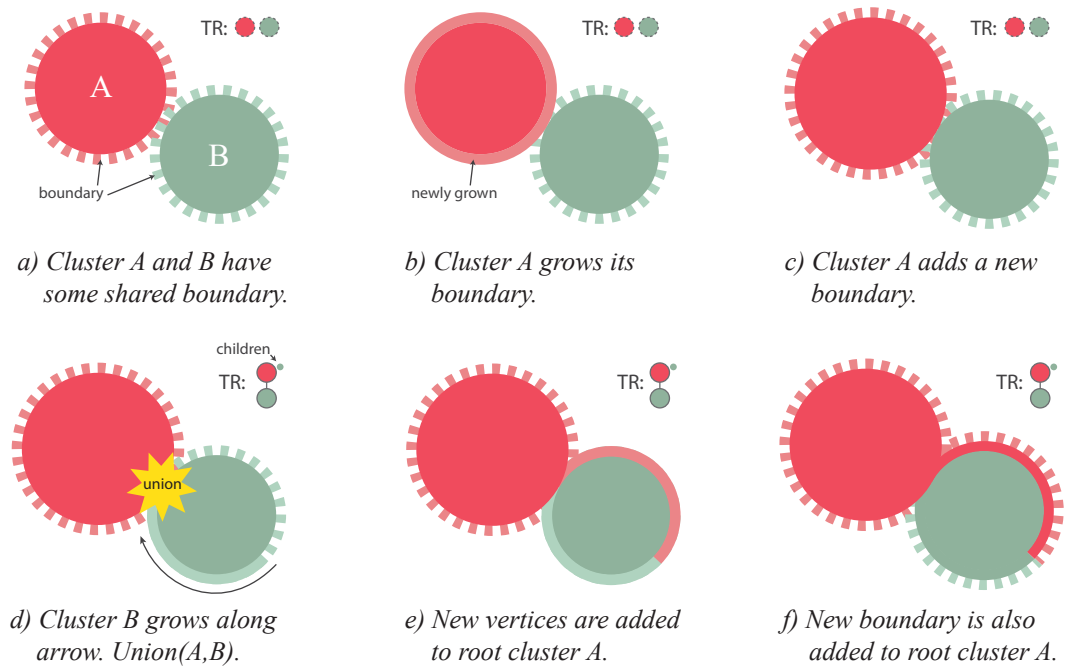
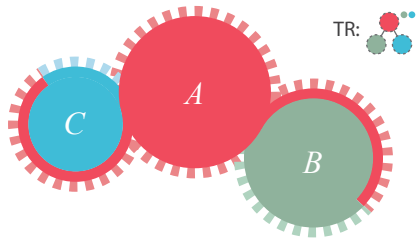
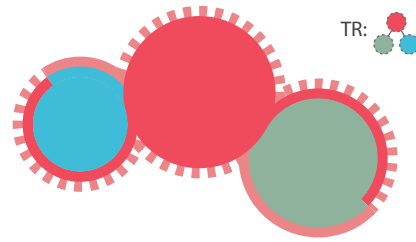


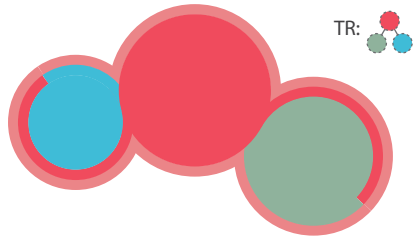
Figure 1.3: The parent-child method for merging boundary lists. By storing a list of pointers of child clusters at the parent cluster, we needn't append the full boundary list from the child to the parent cluster. The tree representation (TR) is shown on the top right.



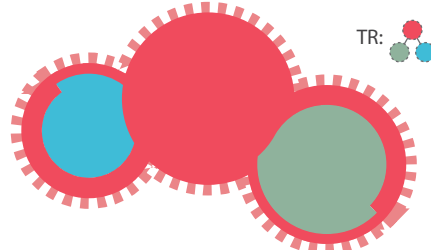
a) Cluster ABC with root cluster A is odd. Boundary of ABC is stored at root A, but also at children B and C.



b) If cluster ABC grows, first the children are popped from the list and grown. New vertices are added to root A.



c) The boundary stored at root A is grow after.



d) After growth, the children list is empty, and new boundary is stored at root A.

Figure 1.4: Growing a merged boundary using the parent-child method. The tree representation (TR) is shown on the top right.

## 1.4 Growing Edge Priority based on path degeneracy (GEP)

### 1.4.1 Degeneracy on connecting edges between Clusters (GEP-C)

### 1.4.2 Degeneracy on Vertices with connecting edges (GEP-V)

## 1.5 Union-Find Balanced Bloom

In this section we describe a modification of the UF-decoder, dubbed the *Union-Find Balanced Bloom* (UFBB) decoder, that increases the threshold of the UF-decoder by improving its heuristic to minimum-weight matchings, while retaining a relatively low time-complexity. Within the vanilla UF-decoder, not all odd clusters are grown at the same time. Larger clusters relatively add more "incorrect edges" to themselves than compared to a smaller cluster (lemma 1.1). The UF decoder therefore applies *weighted growth* of clusters, where the order of cluster growth is sorted based on the cluster sizes. We have shown a linear time implementation in the Bucket Cluster Sort in section 1.2. With the addition of weighted growth, the error threshold of the UF decoder is increased from 9.2% to 9.9% for a 2D toric lattice [1]. This approaches but still lacks in terms of the 10.3% error threshold of the MWPM decoder.

The UF decoder is in fact a heuristic for minimum-weight matching. A large cluster is generally the result of multiple rounds of growth of a smaller cluster. Each iteration of cluster growth buries the syndromes within that cluster with a layer of edges, of which only a small portion will be part of the matching, where each growth iteration adds to the matching weight. With weighted growth, smaller clusters are grown first, such that this effect is less dominant. But the UF decoder is unsurprisingly less successful at minimum-weight than the MWPM decoder, which does this perfectly. The MWPM decoder considers all possible matchings by constructing a fully connected graph where the edges have the distance between syndrome as weights. The UF decoder does not look at the lattice in such a global way, but performs locally on each cluster. This should yield the same result conceptually, but in reality it does not due to a major weakness; In each round of growth, all boundary edges are grown simultaneously. The potential merges between clusters, which is reserved to one edge but may occur on many, is only handled after each round, where the order of the merging edges determines which edge is selected as the bridge. This leaves us with the question: Should all boundary edges of a cluster be grown simultaneously?

We suspect that the error threshold of the UF decoder can be increased by improving the heuristic for minimum-weight matchings. In this section, we will accomplish this by sorting the order of boundary edge growth within a cluster by calculation of their so-called *potential matching weight*, explained in 1.5.1. We will introduce a new data structure that we call the *node set* of a cluster in 1.5.2. Within this node set, we compute the node *parity* and *delay* in 1.5.3, which sets the order of boundary edge growth. In 1.5.5 through 1.5.7, we cover the rules for growth and join operations for the node sets, which are more complex than those of the UF algorithm. The modified decoder, the UFBB decoder, still has a relatively low worst-case quasilinear time complexity, which is approximated in 1.5.9.

### 1.5.1 Potential matching weight

To show that not all boundary edges within a cluster should not be grown simultaneously, we introduce the concept of *Potential Matching Weight* of a vertex. Let us first consider an example. Cluster  $C_e$  is defined by vertex set  $\mathcal{V}_e = \{v_1, v_2, v_3\}$  (figure 1.5). The vertices lie on a horizontal line, distance 1 from each other, where each vertex has grown a single iteration of half-edges. Assume that each vertex in  $\mathcal{V}_e$  is a syndrome, it has odd parity and is selected for growth. As UF decoder performs on the cluster locally, it has no knowledge about its surroundings until it actually grows its edges.

Now let us investigate the weights of a matching if an additional vertex  $v'$  is connected to the cluster. If  $v'$  is connected to  $v_1$  or to  $v_3$ , then the resulting matchings have a total weight of 2:  $(v', v_1)$  and  $(v_2, v_3)$ , or  $(v', v_3)$  and  $(v_1, v_2)$ . However if  $v'$  is connected to vertex  $v_2$ , then the total weight is 3:  $(v', v_2)$  and  $(v_1, v_3)$ . This hypothetical weight after matching is the Potential Matching Weight (PMW) of a vertex.

**Lemma 1.4** *The Potential Matching Weight (PMW) of a vertex  $v$  is the total length of matching edges within the cluster  $C_{grow}$  if the parity of the cluster  $C$  is even in an union between  $C_{grow}$  and  $C_{other}$ , where  $C_{other}$  is connected to  $C_{grow}$  on an edge touching  $v$ .*

From the above example, we can see that even for a minimal sized odd cluster, the PMW is not equal for all vertices. It would therefore not be "fair" to grow all boundary edges simultaneously. The growth of boundary edges connected to vertices with a high PMW should thus be delayed for some iterations, such that PMW's in the cluster reach the same value. If the PMW is to be calculated for every vertex that has boundary edges for each cluster in each growth iteration, the time complexity of the algorithm would increase dramatically. Luckily, we can reduce these calculations to be performed on a set of *nodes* in each cluster.

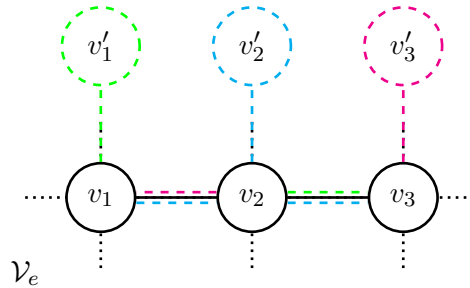


Figure 1.5: Unbalanced matching weight in cluster vertex set  $\mathcal{V}$ . The matching edges (dashed) correspond to the position of  $v'$ . If  $v'$  is connected to  $v_1$  or  $v_3$ , the resulting matchings have a weight of 2. IF  $v'$  is connected to  $v_2$ , the resulting matching has a weight of 3.

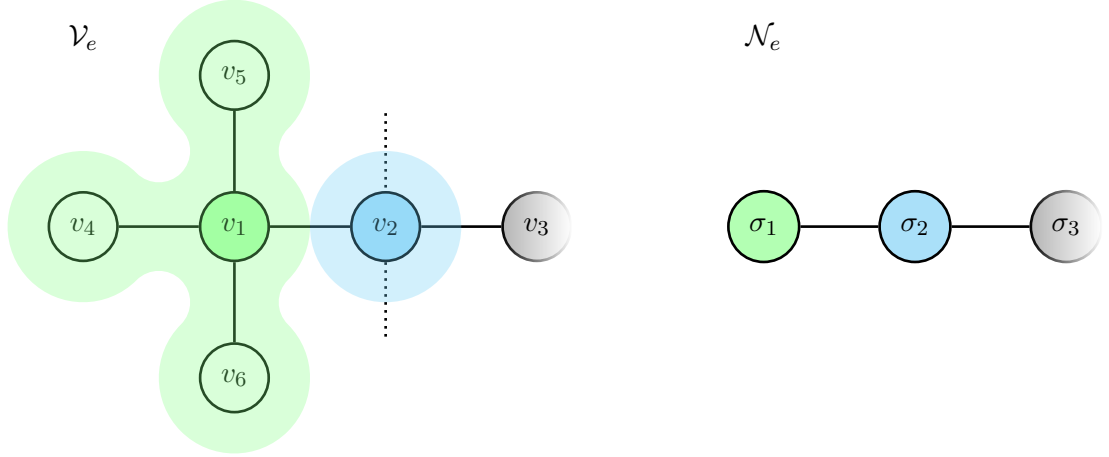


Figure 1.6: A node set  $\mathcal{N}$  vs. a vertex set  $\mathcal{V}$ , both representing the same cluster. Each shaded area covers the vertices of a different node.

### 1.5.2 Node set representation of cluster

To efficiently calculate the PMW's in a cluster, we introduce here an additional data structure, the *node set* of a clusters. In the case of only Pauli errors, after syndrome identification, all identified clusters consist of a single vertex  $v_i$  which are non-trivial syndromes  $\sigma_i$ . This set of clusters is equivalent to the syndrome set  $\Sigma$ . Within syndrome validation, these clusters are subjected to growth and merge events with other clusters. During growth, all vertices that are added to some cluster  $C$  have a closest syndrome  $\sigma$  within  $C$  that is in the syndrome set  $\Sigma$ . We say that these vertices are *seeded* in  $\sigma$ .

Let us call these seeds the *nodes*  $n_i$  of the cluster. From our previous example, each vertex in  $C_e$  is a syndrome in  $\Sigma$ , and is therefore a node. The node set is thus  $\mathcal{N}_e = \{n_1, n_2, n_3\} = \{\sigma_1, \sigma_2, \sigma_3\}$  where  $\sigma_1 \equiv v_1$ ,  $\sigma_2 \equiv v_2$  and  $\sigma_3 \equiv v_3$ . The number of vertices in  $C_e$  increases in each round of growth. However, the number of nodes remains the same at 3 nodes (figure 1.6). For all vertices with boundary vertices seeded in the same node, the PMW is thus equal. The calculation of PMW's in the cluster thus does not require to traverse all the vertices, but just the nodes of the cluster. To reach equal PMW in the cluster, we grow only the nodes with the smallest PMW, and delay the growth of nodes with larger PMW.

**Lemma 1.5** *The calculation of PMW in the cluster can be limited to the nodes of a cluster, where all vertices seeded in a node have the same PMW.*

### Balanced Bloom

We call the growth of the cluster in the subset of boundary edges that is seeded in  $n_i$  the *bloom* of node  $n_i$ . The flower of  $n_i$  is the subset of all vertices in the cluster seeded in the

node. Here we use the *object.property* notation to indicate that the property is stored at the parent object. The size of the flower  $n_i.s$  is the number of growth iterations a node has grown, and is equal to the maximum weight of edges grown from this node. Note that it does not define the number of vertices in the flower. The combined bloom of all nodes in a cluster is equivalent to the growth of the full cluster, where some nodes are to wait for some iterations based on their delay  $n_i.d$ .

The node set  $\mathcal{N} = \{n_1, n_2, \dots, n_{S_{\mathcal{N}}}\}$  is stored as a tree, an connected and acyclic graph, where the edges  $\epsilon$  between the nodes are the branches in our figurative flower bush. Each node-edge  $\epsilon$  can have arbitrary length and consists of one or more vertex-edges  $e$ . For any node set  $\mathcal{N}$ , we would prefer that the difference PMW for all nodes in the set to be minimal. The growth of a cluster with varying PMW values is thus selective in the nodes with the lowest PMW. As these nodes bloom and increase in size  $n.s$ , the cluster moves towards equal PMW. Once equal PMW in the cluster is reached, the growth of a node set is the *balanced bloom* of nodes.

**Theorem 1.2** *Every vertex  $v$  that is added to a cluster is seeded in some node  $n$ . All vertices with boundary edges that are seeded in the same node have the same PMW value. Equal PMW in the cluster is reached by selectively blooming the nodes with the lowest PMW values, as each bloom increases the node size  $n.s$  and its PMW.*

Furthermore, as long as the same nodes span the cluster, which is the case while no unions between clusters occur, we only need to calculate the PMW in the cluster once. The difference in PMW of a node with the minimal PMW in the cluster can be stored in memory at the node, and its bloom queued for some iterations based on its value.

**Lemma 1.6** *Between union events, the PMW's of nodes in a clusters need only to be calculated once, where the bloom of a node is queued based on the difference of its PMW and the minimal PMW in the cluster.*

## Junction-nodes

Syndrome-nodes  $\sigma$  are not the only type of nodes in the node set. Consider our example cluster  $C_e$  of 3 nodes  $n_1, n_2, n_3$  again. Now we slightly alter this cluster to  $C'_e$  by increasing the distance between  $n_1, n_2$  and  $n_2, n_3$  to two edges. This means that cluster  $C'_e$  is only established after two growth iterations of the three previous separate cluster of nodes  $n_1, n_2, n_3$  and has a total size of 13 vertices. Now consider the vertices  $v_{12}$  and  $v_{23}$  that lie between  $n_1, n_2$  and  $n_2, n_3$ , respectively. These are *merging vertices* as they are added to the cluster during an union of two merging clusters. It is not clear in which nodes these vertices are seeded, as they lie in equal distance to two nodes. To solve this, we make these kind of vertices nodes of themselves, and call them *junction nodes*  $j$ . All nodes  $j$  have the same characteristics of syndrome-nodes  $\sigma$ , and have their own delay and boundary edges seeded in them.

**Lemma 1.7** *On a merging vertex  $v$  that lie in equal distance to two syndrome-nodes from two cluster merging into one, we initiate a junction node  $j$  in the node set  $\mathcal{N}$ . A junction node has the same properties as a syndrome-node.*

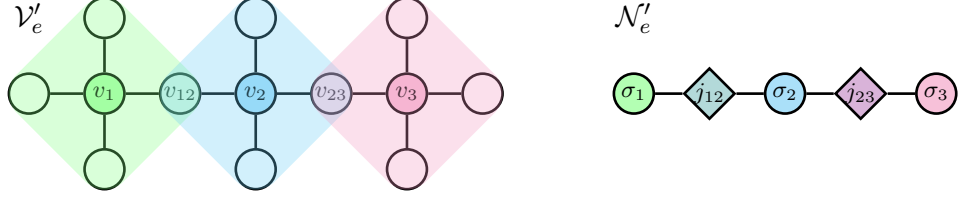


Figure 1.7: Merging vertices  $v_{12}$  and  $v_{23}$  are seeded in junction nodes  $j_{12}$  and  $j_{23}$ , respectively, as they lie in equal distance to more than 1 syndrome-nodes.

The union of the set of junction nodes  $\mathcal{J}$  and set of syndrome-nodes (syndromes)  $\mathcal{S}$  is equal to the node set  $\mathcal{N}$ . A vertex can either be a node in the syndrome-node set, the junction node set, or not a node at all, but never both as these sets are mutually exclusive. The node set size  $S_{\mathcal{N}}$ , is therefore upper bounded by the cluster size or vertex set size  $S_{\mathcal{V}}$ , as all nodes are vertices, but not all vertices are nodes.

$$\begin{aligned} \mathcal{N} &\subseteq \mathcal{V} \quad , \quad S_{\mathcal{N}} \leq S_{\mathcal{V}} \\ \mathcal{S} \cup \mathcal{J} &= \mathcal{N} \\ \mathcal{S} \cap \mathcal{J} &= \emptyset \end{aligned} \tag{1.3}$$

To be able to bloom each node separately, we cannot store the boundary edges of a cluster in a single list  $\mathcal{L}$  at the cluster. Instead, we store the boundary list for each node  $n_i$  separately in their own boundary lists  $n_i.\mathcal{L}$ . As we will see in the next section, the calculation of node-delays is dependant on the direction in which  $\mathcal{N}_{\alpha}$  is traversed. We store the node set by its root  $n_r$  at  $C_{\alpha}$ .

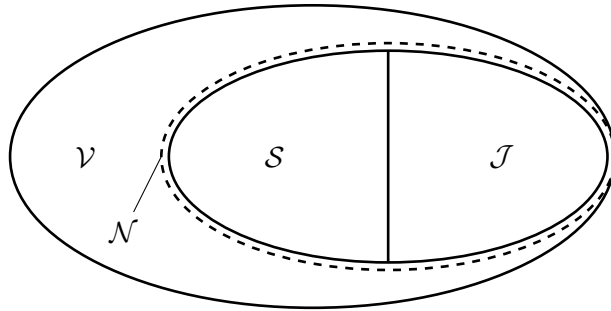


Figure 1.8: The space occupied by the sets of vertices  $\mathcal{V}$  and nodes  $\mathcal{N}$  (union of syndrome-node set  $\mathcal{S}$  and junction node set  $\mathcal{J}$ ).



**Theorem 1.3** *The set of nodes  $\mathcal{N} = \{n_1, n_2, \dots, n_N\}$  of cluster  $C$  is a connected acyclic graph with root  $n_r$ , and exists next to the exists set of vertices  $\mathcal{V}_\alpha$ . The function of  $\mathcal{N}_\alpha$  is to store the list of boundary edges at the nodes and growing each node according to the calculated node delay.*

### 1.5.3 Node parity and delay

Even within the node set data structure of the cluster, the calculation of the PMW for each node is a heavy task. If done naively, for the PMW of each node the entire set needs to be checked on which edges are part of the matching, and results to a quadratic complexity to the set size. Luckily, the node set data structure allows us to traverse the node set to compute the *relative delay* of a node to its parent, where the traversal allows us to compute its *delay*, which relates closely to the PMW. The delay computation complexity is therefore linear to the set size.

#### 1D node tree

To show how this calculation is performed, we first take the example of a 1D node tree  $\mathcal{N}_{1D}$  of size  $S_N$  consisting of only syndrome-nodes  $\sigma_i$ , where all nodes lie on one line, but are allowed to grow in x and y directions (figure 1.9). In our example, we only look at the first 3 nodes  $n_0, n_1$ , connected by edge  $\epsilon_1$ , and  $n_2$ , connected to  $n_1$  by edge  $\epsilon_2$ . The node tree continues after  $n_2$  for  $S_N - 3$  nodes. Note that edges of the node set are indicated by  $\epsilon$ , whereas edges of the vertex set are indicated by  $e$ .

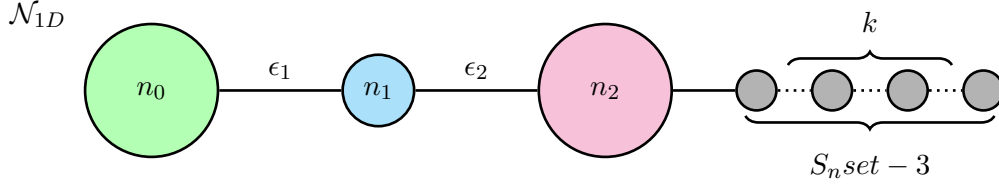


Figure 1.9: The 1D node tree  $\mathcal{N}_{1D}$ , consisting of only syndrome-nodes  $\sigma_i$

Recall that the size of the node  $n.s$  is equal to the iterations it has grown, one half-edge per iteration. This means that if a merge with some other cluster occurs on a boundary edge of  $n$ , the weight of the matchings edges within the flower of  $n$  is equal to  $\lfloor n.s/2 \rfloor + 1$  or. For a merge on  $n_0$ , we also add edge  $\epsilon_2$  and some value  $k$  corresponding to the weight of matching edges in the remainder of the cluster. Let us calculate the PMW values for each of nodes  $n_0, n_1, n_2$ .

$$\begin{aligned} PMW(n_0) &= \lfloor n_0.s/2 \rfloor + 1 + \epsilon_2 + k \\ PMW(n_1) &= \lfloor n_1.s/2 \rfloor + 1 + \epsilon_1 + \epsilon_2 + k \\ PMW(n_2) &= \lfloor n_2.s/2 \rfloor + 1 + \epsilon_1 + k \end{aligned}$$

By taking the difference in PMW values of a node  $n_i$  and its parent  $n_{i-1}$ , we can compute the *relative delays*  $\delta(n_i.d)$ .

$$\begin{aligned}\delta(n_1.d) &= PMW(n_1.d) - PMW(n_0.d) \\ \delta(n_2.d) &= PMW(n_2.d) - PMW(n_1.d)\end{aligned}$$

By setting the delay for the first node to some value, for example 0, we can find the node delay.

$$\begin{aligned}n_0.d &= 0 \\ n_1.d &= PMW(n_1) - PMW(n_0) + n_0.d = 2(\lfloor n_1.s/2 \rfloor - \lfloor n_0.s/2 \rfloor + \epsilon_1) + n_0.d \\ n_2.d &= PMW(n_2) - PMW(n_1) + n_1.d = 2(\lfloor n_2.s/2 \rfloor - \lfloor n_1.s/2 \rfloor - \epsilon_2) + n_1.d\end{aligned}$$

These delay values are not entirely correct, as  $n_1.s = n_2.s = 2i$  yields the same value as  $n_1.s = 2i$ ,  $n_2.s = 2i + 1$ . We introduce growth support of a node  $n.g = n.s \bmod 2$  to accommodate for this degeneracy in PMW, and add this to the delay values.

$$\begin{aligned}n_0.d &= 0 \\ n_1.d &= 2(\lfloor (n_1.s + n_1.g)/2 \rfloor - \lfloor (n_0.s + n_1.g)/2 \rfloor + \epsilon_0) - (n_0.g + n_1.g) \bmod 2 + n_0.d \\ n_2.d &= 2(\lfloor (n_2.s + n_2.g)/2 \rfloor - \lfloor (n_1.s + n_2.g)/2 \rfloor - \epsilon_1) - (n_2.g + n_1.g) \bmod 2 + n_1.d\end{aligned}$$

If we were to consider some nodes  $n_3, n_4 \dots$  as well, we would find a trend in which the delay calculation is dependant on the *parity* of the node number  $i$ . The delay of odd node  $n_{2i+1}$  has the positive addition of  $\epsilon_{2i+1}$  in its delay value, and the subtraction of  $\epsilon_{2i}$  for an even node  $n_{2i}$ . Thus we can generalize the delay calculation as the following:

$$\begin{aligned}n_i.d = n_{i-1}.d + 2 \left( \left\lfloor \frac{(n_i.s + n_i.g)}{2} \right\rfloor - \left\lfloor \frac{(n_{i-1}.s + n_i.g)}{2} \right\rfloor + (-1)^{i+1} \epsilon_{i-1} \right) \\ - (n_i.g + n_{i-1}.g) \bmod 2 \quad | \quad n_0.d = 0. \quad (1.4)\end{aligned}$$

Using equation 1.4, we can calculate all the relative delays in the 1D tree by traversing the node tree just once from left to right. Note that we had set the initial delay to be  $n_0.d = 0$ , but it can any arbitrary value. Thus the absolute values of delays has no intrinsic meaning. It is the difference compared the minimal delay value in the cluster that relates to the PMW.

$$PMW(n_i) = n_i.d - \min\{n_0.d, \dots, n_{S_N-1}.d\} + K - n.w \quad (1.5)$$

Here, the constant  $K$  is equal to the lowest PMW in the cluster. Recall from theorem 1.2 that Balanced Bloom searches for the lowest PMW nodes in the cluster, thus the value of  $k$  is irrelevant. The variable  $n.w$  stores the number of iterations a node has waited based on its calculated delay value, which is equivalent to the queue in lemma 1.6, and will be clarified in 1.5.5. If we store the minimal delay value in the cluster at the cluster object with

$$C.d = \min\{n_0.d, \dots, n_{S_N-1}.d\}, \quad (1.6)$$

we can define a *Potential Normalized Weight* (PNW) that is normalized in  $K$ ,

$$PNW(n_i) = n_i.d - C.d - n.w. \quad (1.7)$$

Balanced Bloom in a cluster is now achieved by blooming the nodes that has  $PNW(n) = 0$ . Additional, we can define an absolute delay (AD) of a node that is equal to the actual number of iterations for a node to wait:

$$AD(n_i) = n_i.d - C.d. \quad (1.8)$$

### Node tree parity

The 1D node tree does not accurately represent node trees that occur on a real lattice. On a 2D (Pauli errors) and 3D lattice (Pauli and measurement errors), the node tree is allowed to form in the same dimensions. But as  $\mathcal{N}$  is an acyclic graph, the 3D variant can be considered equal to the 2D variant. The difference is that now the *ancestry* in the tree, the set of parent-child relations, is not determined by some number  $i$ , and each node can have more than 2 connections.

The delay calculation is done comparatively with the previous node, which means that there must be some directed path within  $\mathcal{N}$ , such that there is a clear direction to traverse the tree for the delay calculation. We can start the calculation from the root  $n_r$ . The node parity, previously determined by the number  $i$ , is now set by the number of children nodes modulo 2. To calculate this parity for each node without traversing all children nodes, we can use the following function

$$n_\beta.p = \begin{cases} 0, & \text{if } n_\beta \text{ has no children} \\ (\sum_j 1 - n_{\gamma,j}.p) \bmod 2 \mid \forall n_\gamma \text{ child of } n_\beta, & \text{otherwise,} \end{cases} \quad (1.9)$$

where  $n_\beta$  is the node of interest, and each of the nodes  $n_{\gamma,j}$  is a child of  $n_\beta$ . As this requires the parity of each child node to be known, the node parities of the entire set can be calculated by a depth-first search (DFS) of the node tree, and traversing back to the root recursively and applying the above equation.

Since  $\mathcal{N}$  is acyclic, any node in the set can be set as the root  $n_r$  of the set, and the calculation of the parity would still be valid, although not identical. The node set  $\mathcal{N}$  is therefore a *semi*-directed tree, in which the edges are undirected, but an ancestry is set by the root node  $n_r$  (see figure 1.10). If the root node changes to  $n'_r$ , the ancestry within the tree changes, and the node parities within the set become unknown, or *undefined*, requiring a new calculation of a reversed DFS from  $n'_r$ .

**Lemma 1.8** *Any node  $n_i \in \mathcal{N}_\alpha$  is a valid root.*

**Lemma 1.9** *The node parity  $n_i.p$  is defined as of the number of children nodes of node  $n_i$  modulo 2, and can be calculated via a reversed DFS from root  $n_r$ . If a new node is set as root  $n'_r$ , the ancestry in a set changes, and node parities and delays in the set become undefined.*

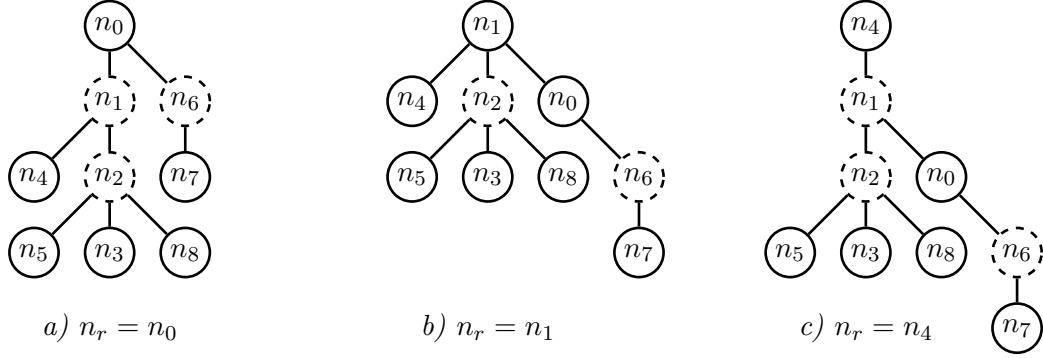


Figure 1.10: The nodes in a node set can have even (solid) or odd (dashed) parities. The node parities are dependant on which node is set as root  $n_r$ . Here the same node tree  $\mathcal{N}$  is illustrated with different roots.

### Node tree delay

The delay equation 1.4 can be altered by replacing the node number  $i$  with some parent-child relationship between nodes, similarly to the parity calculation. To calculate the node delays within  $\mathcal{N}$ , we need to traverse  $\mathcal{N}$  in a second DFS from root  $n_r$  with

$$n_\beta.d = n_\alpha.d + 2 \left( \left\lfloor \frac{(n_\beta.s + n_\beta.g)}{2} \right\rfloor - \left\lfloor \frac{(n_\alpha.s + n_\beta.g)}{2} \right\rfloor + (-1)^{n_\beta.p-1+1} \epsilon_\beta \right) - (n_\beta.g + n_\alpha.g) \bmod 2 \quad | \quad n_r.d = 0, \quad n_\beta \text{ child of } n_\alpha, \quad (1.10)$$

where  $n_\beta$  is the node of interest and  $n_\alpha$  is an ancestor of  $n_\beta$ , and the sign of the edge component is now dependant on the node parity  $n.p$ . As the node parities are only defined while the same node is root per lemma 1.9, the delay calculation is only valid if the DFS is performed from the same root  $n_r$  as in the parity calculation.

**Lemma 1.10** *The calculation of node delays is only valid while node parities within the set are defined along the same ancestry as the node delay calculation.*

An interesting aspect of the node delays is that the relative delays  $\delta(n.d)$  are indifferent for which node is set as root  $n_r = n$ . The actual delay value  $n.d$  however may differ for different roots as the delay value for the root node is arbitrary. But as we subtract by the minimal delay  $C.d$  to find the absolute delay, the root dependance of node PMW and node PNW is accounted for. This fact strengthens lemma 1.8.

### Junction node parity and delay

Up until now, we have neglected junction-nodes in our story on node parity and delays. But as junction nodes have the same properties as syndrome-nodes, there also exists edges seeded in junction nodes, and thus they must be included in the parity and delay calculations.

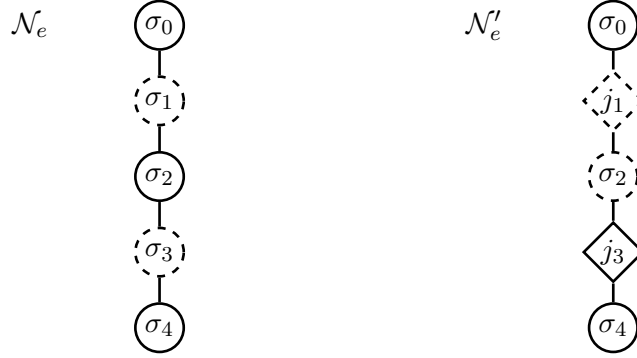


Figure 1.11: Two example node sets  $\mathcal{N}_e$  and  $\mathcal{N}'_e$  each containing 5 nodes, where syndrome-nodes  $\sigma_i$  are circles and junction nodes  $j_i$  are diamonds. Their appropriate parities are calculated where an even parity correspond to continuous and odd to dashed lines.

Furthermore, without junction nodes, lemma 1.8 cannot be true for a node set  $\mathcal{N}$  for all nodes  $n \in \mathcal{N}$  for the same set of edges  $\{\epsilon\}$ .

As a junction node is also allowed to bloom, similarly to a syndrome-node, equation 1.10 still holds for junction nodes. However, the parity of a junction node is calculated differently. Consider an example node set  $\mathcal{N}_e$  with 5 syndrome-nodes  $\{\sigma_1, \dots, \sigma_5\}$  lined up linearly with distance 1 between them and  $n_r = \sigma_1$ . Let us drop the *n.s, n.g* components of the delay in equation 1.10 as we are now only interested in the parity component  $(-1)^{n_\beta \cdot p - 1 + 1} \epsilon_\beta$ . The parity of  $\sigma_4$  is odd, therefore

$$\sigma_4.d = \sigma_3.d + 2(\sigma_3, \sigma_4),$$

where  $\epsilon = (\sigma_3, \sigma_4)$  is an edge connecting two nodes.

Consider now a second example node set  $\mathcal{N}'_e$  with 3 syndrome-nodes and 2 junction nodes  $\{\sigma_1, j_2, \sigma_3, j_4, \sigma_5\}$ . The PMW's for  $\sigma_3$  and  $j_4$  are  $(\sigma_1, j_2) + (j_4, \sigma_5)$  and  $(\sigma_1, j_2) + (\sigma_3, j_4) + (j_4, \sigma_5)$ , respectively, where the delay in  $j_4$  is now

$$j_4.d = \sigma_3.d - 2(\sigma_3, j_4).$$

The edge component of the delay calculation now has an opposite sign. This flip in sign is due to a flip in node parity for junction nodes compared to syndrome-nodes. As a result, we can generalize the parity calculation of equation 1.9 for realistic node sets.

$$n_\beta.p = \begin{cases} 0, & \text{if } n_\beta \text{ has no children} \\ \left( \sum_j 1 - n_{\gamma,j}.p \right) \bmod 2 \mid \forall n_\gamma \text{ child of } n_\beta, & n_\beta \equiv \sigma_\beta \\ 1 - \left( \sum_j 1 - n_{\gamma,j}.p \right) \bmod 2 \mid \forall n_\gamma \text{ child of } n_\beta, & n_\beta \equiv j_\beta \end{cases} \quad (1.11)$$

To put this into perspective of lemma 1.9, the parity of a syndrome-node is the number of children *syndrome* nodes. The parity of a junction node is 1 minus the number of children syndrome-nodes. From here, our definitions of parity and delay calculation stay unchanged;

the parities can to be calculated by a reversed DFS of the node tree from the root with equation 1.11, and the delays by a second DFS with equation 1.10.

**Lemma 1.11** *The node parity in a syndrome-node  $\sigma.p$  is the number of children syndrome-nodes  $\sigma_\gamma$  modulo 2. The node parity in junction node  $j.p$  is 1 minus the above definition.*

### Parity and delay calculations

With equation 1.11 and 1.13, we now finally have the tools to formulate the algorithms to calculate the node parities and delays. For a node set with root  $n_r$ , we can calculate the parities by calling the *head recursive* function **CalcParity** on  $n_r$  in algorithm 1, where we do a reverse DFS of the node tree. The node delays are calculated by calling the *tail recursive* function **CalcDelay** in algorithm 2, where we do a second DFS of the node tree. These Parity and Delay Calculation will from this point be referred to as the PDC. Note that in the **CalcDelay** algorithm, we have replaced the delay equation 1.10 with equation 1.13, which we will cover in the next section.

#### Algorithm 1: CalcParity

**Data:** node  
**Result:** Defined parities for all children of node

```

1 parity = Sum([1- CalcParity(child)  $\forall$  child of node]) %2
2 if node  $\equiv \sigma$  then
3   | node.p = parity
4 elif node  $\equiv j$  then
5   | node.p = 1- parity
6 return node.p

```

#### Algorithm 2: CalcDelay

**Data:** node, cluster  
**Result:** Defined parities for all children of node

```

1 if node has an ancestor then
2   | calculate node.d with equation 1.13
3   | if node.d < cluster.d then
4   |   | cluster.d = node.d
5 for child of node:
6   | CalcDelay(child, cluster)

```

**Theorem 1.4** *To prepare a cluster with node set  $\mathcal{N}$  and node root  $n_r$  with undefined node parities and delays, we calculate node parities in  $\mathcal{N}$  by calling the head recursive function **CalcParity**( $n_r$ ), and sequentially calculate node delays in  $\mathcal{N}$  by calling the tail recursive function **CalcDelay**( $n_r$ ).*

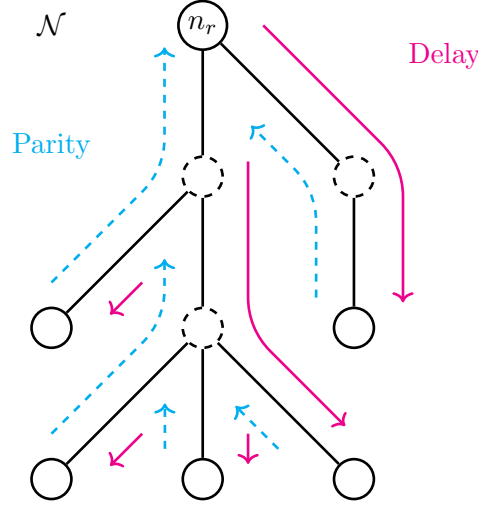


Figure 1.12: The parity and delay calculation (PDC) performed on a the root node  $n_r$ , which is equivalent to two depth-first searches on  $\mathcal{N}$  to compute node parities (head recursively) and delays (tail recursively).

#### 1.5.4 BB-state optimization

In this section, we alter the delay equation 1.10 with an extra parameter  $f_{bloom}$  to optimize a trade-off in the Balanced Bloom algorithm. In the PDC, the appropriate delays in nodes are calculated such that, if the bloom in these nodes are delayed for that many iterations, the PNW for every node in the set is equal. We will see how to grow a node set and how to join node sets in the following sections. But before we move on, there is one final problem with the delay calculation.

If some odd number of nodes  $\mathcal{N}^o$  is attached to  $n^e$  of  $\mathcal{N}^e$  during an union of two node sets, node parities for nodes in subset  $'\mathcal{N}^e = \{n_i \in \mathcal{N}^e | n_i \text{ ancestor of } n^e\}$  are flipped, where odd nodes become even and even become odd, which is called *parity inversion*. Per lemma 1.9 and 1.10, the delays in  $'\mathcal{N}^e$  are now undefined and need to be recalculated. Before the union, the cluster of  $\mathcal{N}^e$  is grown according to Balanced Bloom, where the odd nodes are delayed and consequently the even nodes will have some node sizes larger than the odd node sizes  $n_{even}^e.s > n_{odd}^e.s$ . After the union, the parities for nodes in  $'\mathcal{N}^e$  flip, and the pre-union even nodes are now odd and have some positive delay. As  $n_{even}^e.s > n_{odd}^e.s$ , the absolute delays (equation 1.8) of these nodes are larger than the absolute delays of the pre-union odd nodes per equation 1.10. Subsequent parity inversions further increases the absolute delays in the post-union odd nodes. To better illustrate this problem, we introduce the concept of the *BB-state* ( $M : I$ ) of a cluster, where  $M$  is equal to the maximum absolute delay in the cluster, and  $I \leq M$  is the number of iterations grown while zero PNW in the cluster has not been reached. For example, a cluster with  $M = 4$  requires 4 growth iterations to reach zero PNW in all nodes in the cluster. The BB-state thus gives us an indication of the how near Balanced Bloom a cluster performs. Let the fraction  $f = I/M$  be the *balance*

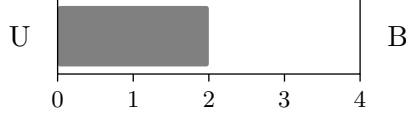


Figure 1.13: Visual representation of the BB-state  $(4 : 2)$ . The size of the full spectrum is  $M = 4$  and the length of the bar is  $I = 2$ . The left side of the spectrum is equivalent to the unbalanced BB-state, and the right the balanced state.

factor, then  $f \rightarrow 1$  is equivalent to a larger degree of balanced PNW's and thus improves the heuristic for minimum-weight.

**Lemma 1.12** *The BB-state  $(M : I)$  describes the degree of difference in PMW in the cluster, where the balance factor  $f = I/M = 1$  is equivalent to equal PMW.*

In the context of the BB-state, the delayed bloom of odd nodes in cluster growth is equivalent to increasing the value of  $I$ . Once  $f = 1$  is reached after  $I = B$  iterations, all nodes have zero PNW and none are delayed during further growth. As  $f \rightarrow 1$  increases the difference between even and odd node sizes, the balance factor at the moment of union  $f_u$  is proportional to the increase in the value of  $M$  after union or the fraction of the after-union  $M_{AC}$  and before-union  $M_{BC}$ :

$$f_u \propto \frac{M_{AU}}{M_{BU}} \geq 1. \quad (1.12)$$

Subsequent parity inversions cause a gradual but certain increase in  $M$  of the BB-state, depending on  $f_u$  during the parity inversion at the union, requiring a growing number of growth iterations  $I$  to reach the balanced BB-state  $(M : M)$ . Furthermore, as the lattice size is increased, the total number of unions of a cluster with other clusters also increases, leading to a growing number of parity inversions. Thus increasing the lattice size has the consequence that more growth iterations  $I$  are needed to reach a balanced BB-state.

This is a trade-off in the effectiveness of Balanced Bloom. On the one hand, it is preferred that  $f \rightarrow 1$  to reach a balanced BB-state, but on the other,  $f$  is also proportional to the number of iterations needed to actually reach  $f = 1$  in the case of parity inversions. To this end, we introduce the balance constant  $f_{bloom}$  to equation 1.10.

$$n_\beta.d = n_\alpha.d + \left[ f_{bloom} \left( 2 \left( \left\lfloor \frac{(n_\beta.s + n_\beta.g)}{2} \right\rfloor - \left\lfloor \frac{(n_\alpha.s + n_\beta.g)}{2} \right\rfloor + (-1)^{n_\beta.p-1+1} \epsilon_\beta \right) \right) - (n_\beta.g + n_\alpha.g) \bmod 2 \right] \mid n_r.d = 0, \quad n_\beta \text{ child of } n_\alpha, \quad f_{bloom} \in [0, 1] \quad (1.13)$$

**Lemma 1.13** *The balance factor  $f$  is the cause of a trade-off of Balanced Bloom, where  $f \rightarrow 1$  during growth improves the heuristic for minimum-weight, but also increases the number of growth iterations needed to reach  $f = 1$ .*



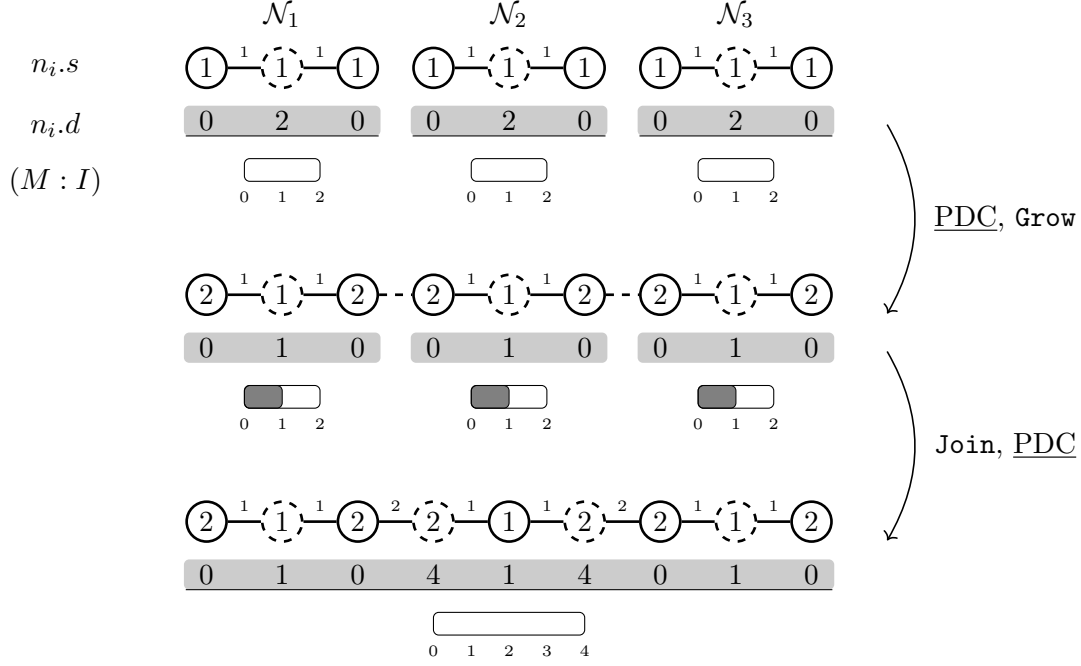


Figure 1.14: The delay values  $n_i.d$  and the BB-states  $(M : I)$  for 3 odd clusters  $\{\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}_3\}$  of 3 nodes that grow and join into a size-9 cluster. Initially (top), PDC's are performed with delay equation 1.10 on each odd cluster which have BB-states  $(2 : 0)$ , with delay 2 in the middle node. The clusters are grown (middle), where the middle node is delayed, such that it's delay value decreases to 1, and the clusters have B-states  $(2 : 1)$ . The clusters join (bottom) to a single odd cluster, which is selected for growth. Hence PDC is performed again, and the BB-state is  $(4 : 0)$ , thus requiring 4 growth iterations before zero PNW is reached in all nodes.

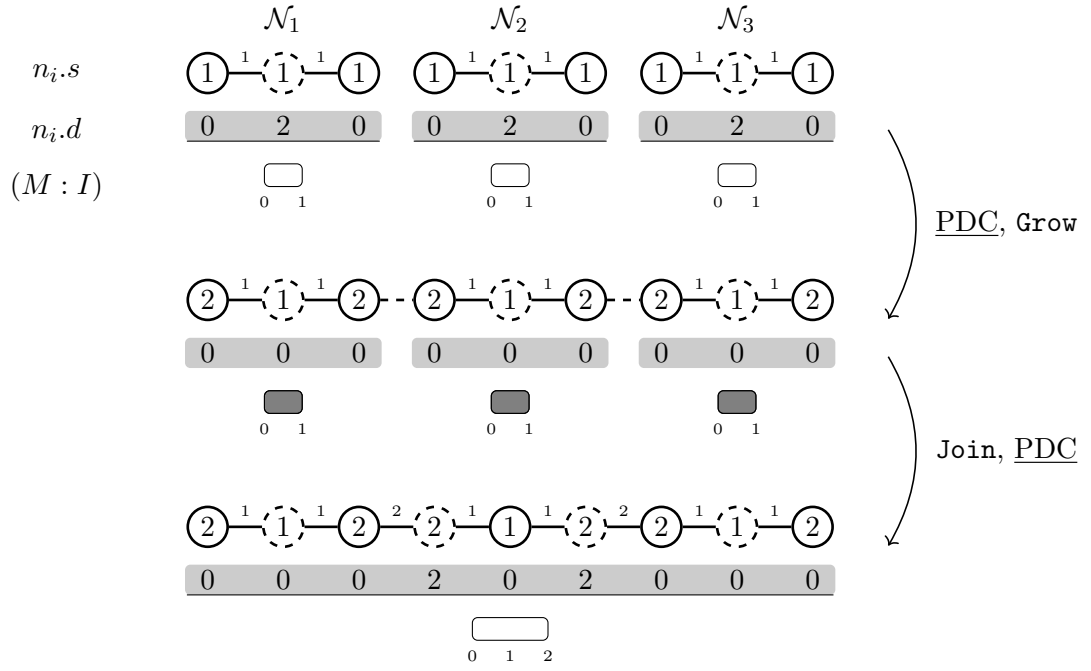


Figure 1.15: The same clusters, growths and joins as in figure 1.14, but now with delay equation 1.13 for  $f_{\text{bloom}} = 1/2$ . With the redefined BB-state  $(f_{\text{bloom}}M : I)$ ,  $f = 1$  can be reached in fewer growth iterations; e.g. after 1 round (middle),  $(1 : 1)$  is reached. Also after join to a single cluster (bottom), fewer iterations are needed (2 compared to 4 in figure 1.14).

For any  $f_{bloom} < 1$ , a cluster will never actually reach the balanced BB-state, but only  $(M : f_{bloom}I)$ . Consequently, in the case of parity inversion, the difference in node sizes between even and odd nodes is decreased, such  $f \rightarrow f_{bloom}$  can be reached in a lower number of growth iterations. Alternatively, the BB-state can be redefined as  $(f_{bloom}M : I)$ , where  $f = 1$  can now be reached in fewer growth iterations if  $f_{bloom} < 1$ . From intuition the balance constant should be around  $f_{bloom} = 1/2$ , which we will use in this thesis. For this value, the absolute delays in a node set are halved, such that both before and after the parity inversion, the lower half of the BB-state spectrum of delays is occupied.

**Theorem 1.5** *The degree of delay  $f_{bloom} \in [0, 1]$  determines the factor of the calculated delays between the even and odd nodes. For any  $f_{bloom} < 1$ , a cluster will never reach equal PMW in the delay spectrum, but decreases the total size of the delay spectrum after parity inversion.*

The optimal value of  $f_{bloom}$  is dependant on the number of parity inversions, and consequently the lattice size, such that the spectrum of delays after the last parity inversion of a cluster is maximally occupied. We do not provide an analytical approach to estimate the optimal value of  $f_{bloom}(L)$  here, but note that other values than  $f_{bloom} = 1/2$  should be explored.

### 1.5.5 Growing a cluster

With the node data structure, the growth of a cluster is equivalent to a DFS of the node set. The boundary list for each cluster is not stored at  $C$ , but separately stored at each of the nodes  $n_i$  in  $\mathcal{N}$ . We traverse all  $n_i \in \mathcal{N}$  from the root  $n_r$  and apply  $\text{Bloom}(n_i)$ , which increases the support of all boundary edges in  $\mathcal{L}_{n_i}$  at node  $n_i$  by 1.

Recall from theorem 1.2 that with Balanced Bloom, we need to conditionally bloom the nodes with minimal PMW, or zero PNW. Also, from lemma 1.6, the delays are not recalculated after each growth iteration (in the absence of unions) but stored in memory at the nodes, and the PNW is updated via  $n.w$  (equation 1.7), the number of growths a node has waited. Thus, we can define a function  $\text{Grow}(n_i)$  where  $\text{Bloom}(n_i)$  is only applied if  $n_i.d - C.d - n_i.w = 0$  is satisfied. If not, node  $n_i$  is skipped, the wait is increased  $n_i.w = n_i.w + 1$  and  $\text{Bloom}$  is recursively applied on its children.

New vertices  $v_{new}$  grown from node  $n_i$  are added to  $\mathcal{V}$ , while storing the seed node at each new vertex  $v_{new}.n = n_i$ . New boundary edges are appended to the boundary list  $n_i.\mathcal{L}$  stored each seed node  $n_i$ . The number of nodes in  $\mathcal{N}$  and the shape of the flower bush tree therefore does not change while no merge between clusters has happened.

**Theorem 1.6** *A cluster  $C$  is grown by calling  $\text{Grow}(n)$  on the root node  $n_r$ , which first checks for the wait of the current node  $n.d - C.d - n.w = 0$  to grow its boundary edges with  $\text{Bloom}(n)$ , and then recursively applies  $\text{Grow}$  to its children.*

### Algorithm 3: Grow

**Data:** node

**Result:** A node that has either grown or waited one iteration.

```

1 if node.d - cluster.d - node.w = 0 then
2   | Bloom(node), add all edges edge.support = 2 to  $\mathcal{F}$ 
3 else:
4   | node.w += 1
5 for child of node:
6   | Grow(child)

```

#### 1.5.6 Join of node sets

With the addition of the node set  $\mathcal{N}$ , during a union of clusters  $C_\alpha$  and  $C_\beta$ , we have to additionally combine the node sets  $\mathcal{N}_\alpha$  and  $\mathcal{N}_\beta$  that requires its own set of rules that we will explain in this section. Let us first make a clear distinction between the various routines. On the vertex set  $\mathcal{V}$  we apply  $\text{Union}(v^\alpha, v^\beta)$ , on the two vertices spanning the edge connecting two clusters. On node set  $\mathcal{N}$ , we introduce here  $\text{Join}(n^\alpha, n^\beta)$ , which is called on the two nodes  $n^\alpha, n^\beta$  that seed vertices  $v^\alpha, v^\beta$ , respectively. During a merge of two clusters, these routines are both applied on their respective sets. From this point, when either one of the expressions "merge clusters  $C^\alpha$  and  $C^\beta$ ", "the union of vertex sets  $\mathcal{V}_\alpha$  and  $\mathcal{V}_\beta$ " or the "join of node sets  $\mathcal{N}_\alpha$  and  $\mathcal{N}_\beta$ " is mentioned, it is always implied that both routines are executed.

Within the vertex set  $\mathcal{V}$ , we apply *path compression* and *weighted union* to minimize the depth of the tree and therefore minimizing the calls to the **Find** function. Similarly, in the node set  $\mathcal{N}$ , we would also like to apply a set of rules to minimize the calls to **CalcParity** and **CalcDelay**, which we will refer to as parity-delay calculation(s), or PDC in short. As the structure of the tree is crucial in computing the parity of the nodes and relative delays between the nodes, these rules will be quite different than in vertex set  $\mathcal{V}$ , that changes the ancestry dynamically by path compression. Join rules will be dependant on the parities of the joining node sets  $\mathcal{N}.p$ , which is the number of syndrome-nodes in the set modulo 2. The parity of a node set  $\mathcal{N}.p$  is equivalent to the parity of a cluster  $C.p$ , which also refer to the number of syndromes in the cluster.

**Lemma 1.14** *The parity of node set  $\mathcal{N}.p$  is the number of syndrome-nodes  $a_i \in \mathcal{N}$  modulo 2. The parity of node set  $\mathcal{N}.p$  is analogous to cluster parity  $C.p$ .*

Only odd clusters with odd parity node sets are grown in the UF-decoder. It may thus be tempting to conclude that a join must include at least one odd node set. This is however not true as within the same growth iteration, there may be many joins, where some odd cluster  $\mathcal{N}_1^o$  first joins with odd cluster  $\mathcal{N}_2^o$ , but also joins with even cluster  $\mathcal{N}_3^e$ . The second join is effectively between even clusters. There are thus 3 types of joins: 1) odd-odd, 2) even-odd and 3) even-even, where even-odd is equivalent to odd-even. These joins can be put into 2 *classes*, dependant on the parity of the resulting cluster. Both odd-odd and

even-even joins to an even cluster and thus belongs to the even class join (E-join), whereas even-odd (and odd-even) joins to an odd cluster in the odd class join (O-join).

### E-joins

For E-joins, the joint even cluster  $\mathcal{N}^e$  will not be selected for growth by the UF-decoder. One could naively conclude that no PDC will be performed and no PDC minimization can be made. This is of course not true as it is entirely possible that another cluster grows, and merges onto the cluster of  $\mathcal{N}^e$  in a O-join. In that case, we might think about "reusing" some of the node parities and delays that were already calculated in the subsets of  $\mathcal{N}^e$ , such that we don't have to traverse  $\mathcal{N}^e$  entirely for its parities and delays.

To reuse prior calculated parities and delays, we need to traverse  $\mathcal{N}^e$  to find which sections are still valid, and which sections are not. This is no trivial task and often requires us to traverse the entire set  $\mathcal{N}^e$ , especially when the clusters in the E-join are the results of joins within the same growth iteration. Checking the validity to reuse prior parities and delays then acquires the same complexity as redoing the PDC over the subset  $\mathcal{N}^e$ . We therefore define that the node parities and delays in the joint set after an E-join are *undefined*.

**Lemma 1.15** *Node parities and delays become undefined if multiple node sets joins into a new set  $\mathcal{N}$  with even parity.*

### O-joins

Consider now an O-join between an even node set  $\mathcal{N}^e$  and an odd node set  $\mathcal{N}^o$  in nodes  $n^e, n^o$  respectively, and assume that this join is due to the growth of odd cluster  $\mathcal{N}^o$  onto an "idle"  $\mathcal{N}^e$ . The join of these two sets produces a new odd node set  $\mathcal{N}_{new}^o$  with subsets  $'\mathcal{N}^e$  and  $'\mathcal{N}^o$ , referring to the original node sets. We are provided with two choices, A) make  $n^e$  child of  $n^o$ , or B) make  $n^o$  child of  $n^e$ . The ancestry in the parent node set stays unchanged, but the ancestry in the child subset is changed by setting the joining node in the child set  $n^c$  as the sub-root of the child subset  $'\mathcal{N}^c$ . This is allowed per lemma 1.8, but removes any calculated parities or delays per lemma 1.9 and 1.10.

For option A, an even number of nodes of  $'\mathcal{N}^e$  is attached to  $n^o$ , and the ancestry in  $'\mathcal{N}^o$  hasn't changed. The parities and delays in  $'\mathcal{N}^o$  stay valid and can be reused. From  $n^e$ , which is now the sub-root of  $'\mathcal{N}^e$ , we need to redo the PDC, where the relative delay of  $n^e$  is calculated with respect to its parent  $n^o$ . This is efficient as the parities and delays in  $'\mathcal{N}^e$  are already undefined per lemma 1.15. For option B, we need to redo the PDC in both  $'\mathcal{N}^o$  and  $'\mathcal{N}^e$ , as  $'\mathcal{N}^o$  has a changed ancestry and  $'\mathcal{N}^e$  is even. The PDC is thus minimized if option A is always chosen.

The rules are thus very simple for the function  $\text{Join}(n^\alpha, n^\beta)$ . For O-joins between an even and an odd node set  $\mathcal{N}^e, \mathcal{N}^o$  in the nodes  $n^e, n^o$ , always make the even node set a child of the even node set, where  $n^e$  is now the sub-root of the subset  $'\mathcal{N}^e$ . For E-joins between two even or two odd node sets, the parent and child sets can be picked at random.

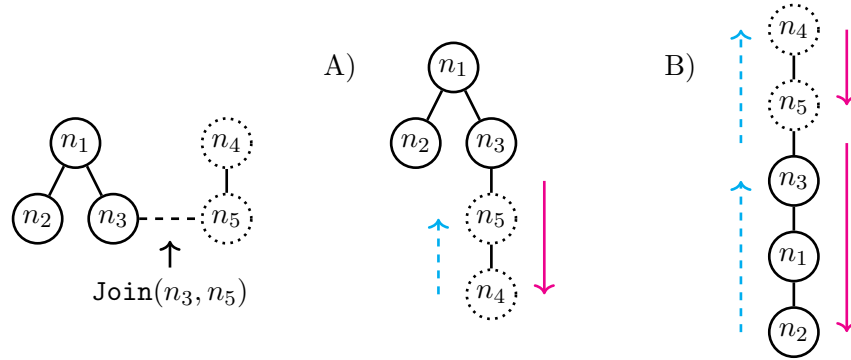


Figure 1.16: An odd cluster  $\mathcal{N}^e = \{n_1, n_2, n_3\}$  with root  $n_r^e = n_1$  joins with an odd cluster  $\mathcal{N}^o = \{n_4, n_5\}$  with root  $n_r^o = n_4$  on nodes  $n_3, n_5$ , respectively, to a new set  $\mathcal{N}$  with subsets  $'\mathcal{N}^e$  and  $'\mathcal{N}^o$ . Here we use dotted outlines on the nodes of  $'\mathcal{N}^e$  to indicate that their parities and delays are undefined. If we choose to A), make  $n_5$  a child of  $n_3$ , the parities and delays in  $'\mathcal{N}^o$  can be reused, and we only have to redo PDC over  $'\mathcal{N}^e$ . If we choose to B), make  $n_3$  a child of  $n_5$ , PDC's have to be redone over both  $'\mathcal{N}^o$ , as it has a new sub-root  $n_3$ , as well as  $'\mathcal{N}^e$  as its parties and delays were undefined.

**Theorem 1.7** The union of node sets  $\mathcal{N}^\alpha, \mathcal{N}^\beta$  on nodes  $n^\alpha, n^\beta$  respectively is performed with  $\text{Join}(n^\alpha, n^\beta)$ . If the join is between an even and an odd node set  $\mathcal{N}^e, \mathcal{N}^o$  in the nodes  $n^e, n^o$ ,  $\text{Join}(n^e, n^o)$  makes the node of the even set  $n^e$  a child of the node of the odd set  $n^o$ . If the join is between two even or two odd node sets, the choice is arbitrary.

### 1.5.7 Multiple joins per bucket

The final rule for joins between clusters introduces a data structure to store undefined parts of a cluster, such that multiple PDC's over a subset is prevented. If there are many O-joins (and E-joins) within the same growth iteration  $i$ , that at the end of  $i$  results to one single cluster  $\mathcal{N}$ , every O-join will require the PDC over the even subset. There may be subsets where multiple PDC's are redone before  $\mathcal{N}$  has formed. Thus if the PDC is done directly after an O-join, the calculated values may be redundant. Consider an example with 5 odd clusters  $\mathcal{N}_1, \dots, \mathcal{N}_5$  (figure 1.17). The join of  $\mathcal{N}_1$  and  $\mathcal{N}_2$  to  $\mathcal{N}_{12}$  is an E-join and requires no PDC. The join of  $\mathcal{N}_{12}$  and  $\mathcal{N}_3$  is an O-join, and we apply PDC in  $\mathcal{N}_{12}$ . The join of  $\mathcal{N}_{123}$  and  $\mathcal{N}_4$  is an E-join and the join of  $\mathcal{N}_{1234}$  and  $\mathcal{N}_5$  is an O-join, with PDC executed in  $\mathcal{N}_{1234}$ . The earlier computation in  $\mathcal{N}_{12}$  was therefore unnecessary and possibly invalid.

Note that some odd node set  $\mathcal{N}^o$  must always consist of some odd part  $'\mathcal{N}^o$  and an even part  $'\mathcal{N}^e$ . The even part  $'\mathcal{N}^e$  may be subdivided into a number of odd and even sub-subsets  $''\mathcal{N}$ , as long as the sum is even. Let us call the final O-join between  $'\mathcal{N}^e$  and  $'\mathcal{N}^o$  in a series of joins between clusters within the same growth iteration a *FO-join*, and all others O-joins that play a role in constructing  $'\mathcal{N}^e$  temporal O-joins or *TO-joins*. The PDC needs only to be executed on the even subset in the FO-join  $'\mathcal{N}^e$ .

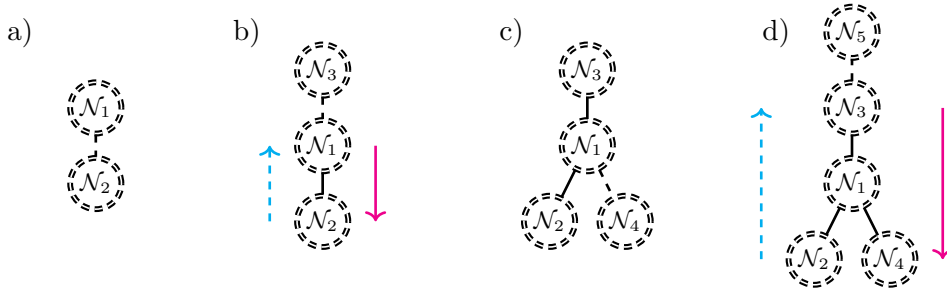


Figure 1.17: If the PDC is directly performed on the even subset in an O-join, there may be redundant PDC's in a series of O-joins and E-joins within the same growth iteration. Here we picture a series of join events between odd node sets (double lined circles, dashed for odd parity), where the O-join in b) initiates a redundant PDC.

**Lemma 1.16** *An odd node set  $\mathcal{N}$  that is the result of some joins must consist of an odd subset ' $\mathcal{N}^o$ ' and an even subset ' $\mathcal{N}^e$ ', where the even subset ' $\mathcal{N}^e$ ' may consist of smaller sub-subsets ' $\mathcal{N}$ '.*

To circumvent the PDC multiplicity, the calculation is suspended as much as possible. The parities and delays are required for the growth of a cluster. Thus the PDC is to be executed just before a cluster is grown, not when some O-join has occurred.

**Lemma 1.17** *Parity and delay calculations are only performed on the undefined part of a node set when a cluster is grown, not directly after a join.*

The only task now is to store where the even subset ' $\mathcal{N}^e$ ' of the FO-join starts in the ancestry of subset  $\mathcal{N}^o$ , as the sub-root of ' $\mathcal{N}^e$ ' is the starting point of the DFS's of the PDC. For each join between odd node set ' $\mathcal{N}^o$ ' and even node set ' $\mathcal{N}^e$ ' on nodes ' $n^o, n^e$ ', we additionally store the sub-root ' $n_r^e$ ' of subset ' $\mathcal{N}^e$ ' at the root node of the resulting set  $\mathcal{N}^o$  as  $n_r^o.u$ , the *undefined* sub-root. If  $\mathcal{N}^o$  is selected for growth as per theorem 1.1, we apply  $\text{CalcParity}(n_r^o.u)$  and  $\text{CalcDelay}(n_r^o.u)$  calculate parities and delays in undefined parts of the set, if it exists. The recursiveness of these function will make sure that the PDC are performed on all children nodes of (and including)  $n_r^o.u$ . We then call  $\text{Bloom}(n_r)$  per theorem 1.6.

This data structure dynamically saves the root of the undefined part of a cluster to the root node. For any TO-join, we don't know yet whether another O-join will occur, thus each TO-join to cluster ' $\mathcal{N}^o$ ' is treated as a FO-join. For a TO-join, we thus also store the undefined sub-root  $u_1$  at the root  $r_1 = n_r^o$ . If ' $\mathcal{N}^o$ ' joins with other clusters in subsequent E-join to cluster ' $\mathcal{N}^e$ ' and finally the "real" FO-join with ' $\mathcal{N}^o$ ' to  $\mathcal{N}^o$ , we again store the undefined sub-root  $u_2 = n_r^e$  at the new root of  $r_2 = n_r^o$ . Due to theorem 1.7, it is certain that  $u_2$  is an ancestor of  $u_1$ , and the PDC will traverse over all undefined regions.

**Theorem 1.8** *Undefined region of an odd cluster  $\mathcal{N}^o$  is defined as the sub-root  $u$  for which all children nodes including  $u$  have undefined parities and delays, and is stored at root node  $n_r^o$ . PDC is performed for  $n_r^o.u$  and its children before cluster  $\mathcal{N}^o$  is grown.*

### 1.5.8 Pseudocode

Now we have the full description of the *Balanced Bloom* alteration of the UF decoder, which we dub the *Union-Find Balanced Bloom* decoder. We present its pseudocode in algorithm 4. The recursive **Grow** function of algorithm 3 has been added fully to the pseudocode in lines 7-12, as it is a crucial part of the decoder. Note that the structure of the code is mostly identical to the BCS UF decoder, where we sort the clusters growth in buckets, and apply the merge, in this case the combination of **Union** and **Join**, after each bucket iteration.

#### Algorithm 4: Union-Find Balanced Bloom

```

Data: buckets
Result: Set of even clusters grown according to Balanced Bloom

1 for bucket in buckets:
2   for cluster in bucket:
3     check if cluster belongs is current bucket
4     for node in cluster.C:
5       CalcParity(node)
6       CalcDelay(node, cluster)
7     if node.w = node.d – cluster.d then
8       Bloom(node), add all edges edge.support = 2 to  $\mathcal{F}$ 
9     else:
10      node.w += 1
11     for child of node:
12      repeat lines 7-12 on child
13  for edge in  $\mathcal{F}$ :
14    Union( $v_1, v_2$ ) for edge = ( $v_1, v_2$ )
15    Join( $n_1, n_2$ ) for  $v_1, v_2$  seeded in nodes  $n_1, n_2$ 
16  Place(cluster)  $\forall$  odd clusters

```

### 1.5.9 Complexity of Balanced Bloom

The contribution to the time complexity of the UF-EG decoder compared to the UF decoder can be divided into two parts. First is the contribution by **CalcParity** and **CalcDelay**, the parity-delay calculations (PDC). As these two functions are always called together per theorem 1.8, we can just introspect the number of calls to one of them, and call this contribution the *PDC complexity*. The second contribution will be caused by **Grow** of algorithm 3, as now we have to additionally traverse the node set tree's of each cluster to access its boundary edges and grow them with **Bloom** as compared to a single boundary list per cluster. We call this second contribution the *bloom complexity*.

#### PDC complexity

As per lemma 1.10 and 1.15, the total cost of the PDC is increased when the ancestries within subtrees change due to join operations, and node parities and delays have to be



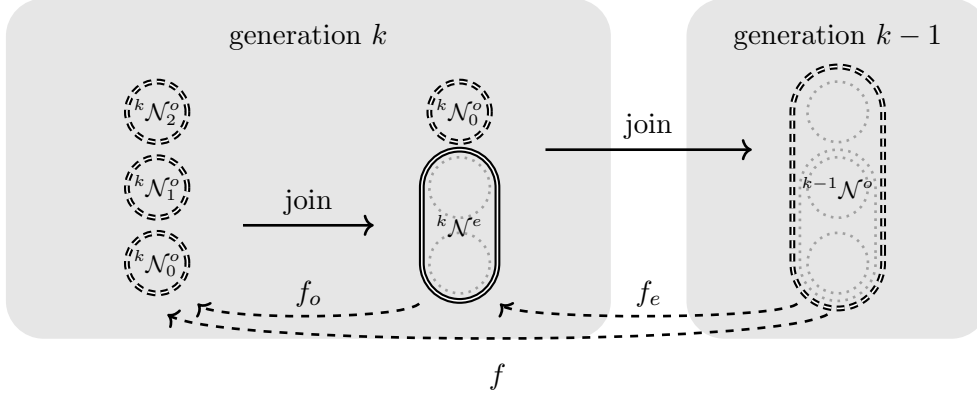


Figure 1.18: In the fragmentation of cluster  $^{k+1}\mathcal{N}^o$  that belongs to generation  $k-1$ , we find the clusters  $^k\mathcal{N}_j$  of which the joins constructed cluster  $^{k+1}\mathcal{N}^o$ . Any odd cluster can be fragmented into an odd (double dashed) and even (double continuous) cluster.

recalculated by traversal of the subtree. Per theorem 1.7 and 1.8, these calculations can be limited to the even subtrees in FEO type join events. The size of the even subtrees in FEO join events, multiplied by the number of join operations thus estimates the count of these calculations. We will take a top-down approach to find these estimates, where we retrace the ancestor node sets in their join operations in what we call the *fragmentation* of  $\mathcal{N}$ .

**Fragmentation of a node set** For each odd node set  $\mathcal{N}^o$  that is grown, it may have constructed by many joins of smaller ancestral node sets in some previous growth iteration. Before  $\mathcal{N}^o$  is grown, PDC is performed on the even subset  $\mathcal{N}^e$  in the FO-join of its ancestral node sets, where its size is proportional to the parity-delay calculations. Subset  $\mathcal{N}^e$  may itself be the result of many TO-joins and E-joins in some previous growth iteration  $i$ . But as these joins do not count towards the parity-delay calculations, it is not crucial to know which joins have occurred. What matters to the PDC count is to know the entire set of odd subsets  $\mathcal{N}^o$  that spans  $\mathcal{N}^e$ , as each of  $\mathcal{N}^o$  is subjected to PDC the first time it is grown.

We introduce a function that is called the *fragmentation* of a node set  $^{k-1}\mathcal{N}^o$ , that splits  $^{k-1}\mathcal{N}^o$  into its ancestral node sets  $\{^k\mathcal{N}_j\}$ , and resembles the inverse of a join operation. Here the prefix  $k$  indicates the *ancestral generation*, where a larger  $k$  is equivalent to a more distant ancestor set of smaller subsets. As the size of the even node set in the FO-join is crucial for the PDC count, we make the distinction of *partial fragmentations*  $f_e$  and  $f_o$ . Partial fragmentation  $f_e$  is equivalent to the inverse of the FO-join to  $^{k-1}\mathcal{N}^o$ , where

$$f_e(^{k-1}\mathcal{N}^o) = \mathcal{F}_k^e = \{^k\mathcal{N}_0^o, ^k\mathcal{N}^e\}. \quad (1.14)$$

Partial fragmentation  $f_o$  is equivalent to the combination of all TO-joins and E-joins that spans  $^k\mathcal{N}^e$ , with

$$f_o(^k\mathcal{N}^e) = \mathcal{F}_k^o = \{^k\mathcal{N}_1^o, \dots, ^k\mathcal{N}_{N_f}^o\}, \quad (1.15)$$

with the *partial fragmentation number*  $N_f$  indicating the total number of odd ancestral sets of  ${}^k\mathcal{N}^e$ . Let us call the 2 fragmentations  $f_e, f_o$  of  ${}^{k-1}\mathcal{N}^o$  into a set of node sets  $\mathcal{F}_k = \{{}^k\mathcal{N}_0^o, \dots, {}^k\mathcal{N}_{N_f}^o\}$  a *fragmentation step*  $f$ . Note that a node set  $\mathcal{N}^o$  can only be fragmented if  $S_{\mathcal{N}^o} \geq 3$ , in which case the resulting subsets have size 1.

$$f({}^{k-1}\mathcal{N}^o) = \mathcal{F}_k = f_o(f_e({}^{k-1}\mathcal{N}^o)) = \{{}^k\mathcal{N}_0^o, \dots, {}^k\mathcal{N}_{N_f}^o\} \mid S_{\mathcal{N}_j^o} \geq 3 \quad (1.16)$$

**Lemma 1.18** *Let the separation of an odd node set  ${}^{k-1}\mathcal{N}^o$  into subsets  $\mathcal{F}_k^o = \{{}^k\mathcal{N}_0^o, {}^k\mathcal{N}^e\}$  be the partial fragmentation  $f_e$  and subsequently  ${}^k\mathcal{N}^e$  into  $\mathcal{F}_k^e = \{{}^k\mathcal{N}_1^e, {}^k\mathcal{N}_2^e\}$  be  $f_o$ . The combination of the two is a fragmentation step  $f$ .*

If partial fragmentation function  $f_e$  is called on a set of node sets  $f_e(\{\mathcal{N}^o, \mathcal{N}^e, \dots\})$ , it fragments all odd node sets in the set, and  $f_o$  fragments all even node sets. Each odd node set of  $\mathcal{F}_k$  can thus undergo the same fragmentation step into odd subsets, resulting in a second set of node subsets  $\mathcal{F}_{k+1}$ . We can do this some  $p$  times on  ${}^0\mathcal{N}^o$ , where we have set  $k-1=0$ , until our resulting set of node sets  $\mathcal{F}_p$  consists only of smallest possible node subsets  ${}^p\mathcal{N}^o$  where  $S_{\mathcal{N}^o} = 1$ . Let us call the series of all  $p$  fragmentation steps on  ${}^0\mathcal{N}^o$  the *full fragmentation*  $F$ , with

$$F({}^0\mathcal{N}^o) = \underbrace{f(f(\dots f({}^0\mathcal{N}^o)))}_{p \text{ times}} = \{{}^p\mathcal{N}_1^o, {}^p\mathcal{N}_2^o, \dots, {}^p\mathcal{N}_{N_\sigma}^o\} \mid S_{\mathcal{N}_i^o} = 1. \quad (1.17)$$

To find the worst case complexity, we want to maximize the number of delay computations  $N_{\text{delay}}$  during the construction of all the final clusters on the lattice. Let us assume that the final clusters are a single odd cluster  ${}^0\mathcal{N}^o$  of size  $N/2 - 1$ , which is the largest odd cluster that can be grown. As the delay computation is only executed on the even subsets, the sequence of join operations that maximizes the sum of even node sets sizes  $S_{\mathcal{N}^e}$ , in the partial fragmentations  $\mathcal{F}_k^e$  in all fragmentation steps  $k = [1, \dots, p]$  in  $F({}^0\mathcal{N}^o)$ , maximizes  $N_{\text{delay}}$ .

$$N_{\text{delay}} = \sum_{k=1}^p \sum_j \{S_{\mathcal{N}_j^e} \mid {}^k\mathcal{N}_j^e \in \mathcal{F}_k^e\}. \quad (1.18)$$

**Proposition 1.1** *The worst-case delay complexity is computed by maximizing  $N_{\text{delay}}$  of the full fragmentation of  ${}^0\mathcal{N}^o$  with  $S_{{}^0\mathcal{N}^o} = N/2 - 1$ .*

**Partial fragmentation number** We ignore the fact that the partial fragmentation  $f_e$  or  $f_o$  of some node set may not result in two but many subsets. Let us call the number of odd subsets the *fragmentation number*  $N_f$ . For partial fragmentation  $f_e$ , the separation of the odd node set  ${}^{k-1}\mathcal{N}^o$  must be in 1 odd and 1 even subset per lemma 1.16, thus  $N_{f_e} = 2$ . For partial fragmentation  $f_o$  with fragmentation number  $N_{f_o}$ , the separation of even set  ${}^k\mathcal{N}^e$  can be in  $2n_o$  odd and  $n_e$  even subsets, where  $n_o \geq 1$  and  $n_e \geq 0$ . But any even subset will

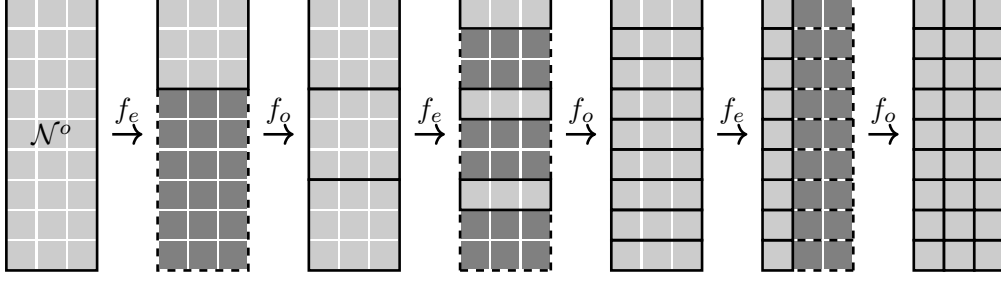


Figure 1.19: The full fragmentation of  $\mathcal{N}^o$  per equation 1.17. Each odd node set in the fragmentation is a rectangle with continuous lines, and even node set has dashed lines. Each square is equivalent to a node, where the sum of all dark shaded squares is  $N_{delay}$ . Here,  $N_{delay}$  is maximized as  $N_{f_e} = N_{f_o} = 2$  and  $R_j = \frac{1}{2}$ .

be subjected to the same partial fragmentation  $f_o$  in the full fragmentation. Thus we can set  $n_e = 0$ , and  $N_{f_o} = 2n_o$ .

To find  $n_o$ , let us consider two cases where  $n_o = 1$  or  $n_o = 2$ . If an even node set  ${}^{k-1}\mathcal{N}^e$  is fragmented with  $N_{f_o} = 2$ , a fragmentation step  $f({}^{k-1}\mathcal{N}^e) = f_e(f_o({}^{k-1}\mathcal{N}^e))$  produces the following partial fragmentation sets:

$$\begin{aligned}\mathcal{F}_{k-1}^o &= \{{}^{k-1}\mathcal{N}_1^o, {}^{k-1}\mathcal{N}_2^o\}, \\ \mathcal{F}_k^e &= \{{}^k\mathcal{N}_{1,0}^{o,o}, {}^k\mathcal{N}_1^{o,e}, {}^k\mathcal{N}_{2,0}^{o,o}, {}^k\mathcal{N}_2^{o,e}\}.\end{aligned}$$

For  $N_{f_2} = 4$ , the partial fragmentation sets are

$$\begin{aligned}\mathcal{F}_{k-1}^e &= \{{}^{k-1}\mathcal{N}_1^o, {}^{k-1}\mathcal{N}_2^o, {}^{k-1}\mathcal{N}_3^o, {}^{k-1}\mathcal{N}_4^o\}, \\ \mathcal{F}_k^e &= \{{}^k\mathcal{N}_{1,0}^{o,o}, {}^k\mathcal{N}_1^{o,e}, {}^k\mathcal{N}_{2,0}^{o,o}, {}^k\mathcal{N}_2^{o,e}, {}^k\mathcal{N}_{3,0}^{o,o}, {}^k\mathcal{N}_3^{o,e}, {}^k\mathcal{N}_{4,0}^{o,o}, {}^k\mathcal{N}_4^{o,e}\}.\end{aligned}$$

If the size of  $S_{\mathcal{N}^e}$  is large enough, and we fragment in the same ratio (see next paragraph), the sum of even node set sizes in these two kinds of fragmentations will be the same. However, the number of subsets in each fragmentation step has increased by a factor of 2, which means that the average size of subsets have decreased by 2. Consequently, the node set size decreases faster towards the minimum size of 3 as more fragmentation steps are applied. As the sum of even node set sizes in each fragmentation step is the same, increasing  $n_o$  will decrease the number of fragmentation steps and thus the number of delay calculations  $N_{delay}$  per equation 1.18. Thus  $N_{delay}$  is maximized for minimal  $n_o = 1$ , and our decision of  $N_{f_o} = 2$  in lemma 1.18 is correct.

**Partial fragmentation ratio** To complete the fragmentation description, we will need to find the *fragmentation ratios*  $R_0, R_1, R_2$  of a fragmentation step. The fragmentation ratios determine the node set sizes of the subsets in  $\mathcal{F}_k$  with respect to the size of  ${}^{k-1}\mathcal{N}^o$ , where  $R_i S_{k-1}\mathcal{N}^o$  is the size of subset  ${}^k\mathcal{N}_i^o$ . Note that  $R_0$  corresponds to the odd subset from  $f_e$ , and  $R_1, R_2$  to the odd subsets in  $f_o$ .

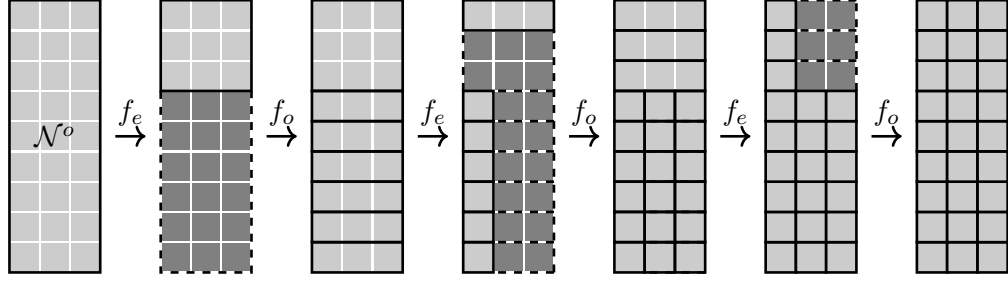


Figure 1.20: A full fragmentation of  $\mathcal{N}^o$  where in the first  $f_0$ , the fragmentation number is increased to  $N_{f_0} = 6$ . The number of dark shaded squares or  $N_{delay}$  has decreased from the fragmentation with optimal settings (figure 1.19).

**Lemma 1.19** Let the fragmentation ratios  $R_0, R_1, R_2$  be the relative set sizes of the odd subsets in the fragmentation set  $\mathcal{F}_k = \{{}^k\mathcal{N}_0^o, {}^k\mathcal{N}_1^o, {}^k\mathcal{N}_2^o\}$  with respect to set  ${}^{k-1}\mathcal{N}^o$ , where

$$R_i = \frac{S_{k\mathcal{N}_i^o}}{S_{k-1}\mathcal{N}^o} \quad (1.19)$$

Recall lemma 1.17 that the delay calculations are only done before a cluster is grown. During this grow process, some  $n_v$  vertices are added to the cluster, and some join operations can occur. If no join operations occur, the node set stays unchanged, and the cluster is allowed to continue growth without delay calculations per lemma 1.6. We want to minimize  $n_v$ , as each added vertex here is not a node that can possibly count towards  $N_{delay}$ . Thus in each growth iteration of a cluster, some join operation must occur for the maximization of  $N_{delay}$ .

Take the first fragmentation sets  $\mathcal{F}e_k = \{\mathcal{N}_0^o, \mathcal{N}^e\}$  and  $\mathcal{F}_k = \{\mathcal{N}_0^o, \mathcal{N}_1^o, \mathcal{N}_2^o\}$  of cluster  ${}^{k-1}\mathcal{N}^o$ . These partial fragmentations correspond to 2 join operations, between two odd clusters  $\mathcal{N}_1^o, \mathcal{N}_2^o$  in  $f_o$ , and between odd and even clusters  $\mathcal{N}_0^o, \mathcal{N}^e$  in  $f_e$ . If we want to minimize  $n_v$  in  $f_o$ , these odd clusters must grow within the same bucket  $b_i$ , which means that  $S_{\mathcal{V}_1} = S_{\mathcal{V}_2}$ . Note that these are the cluster sizes and not node set sizes. For  $f_e$ , the merge event is caused by growth of  $\mathcal{N}_0^o$  in either some larger or equal bucket  $b_j \geq b_i$  where  $S_{\mathcal{V}_0} \geq S_{\mathcal{V}_1}$ . This leaves us with  $S_{\mathcal{V}_0} \geq S_{\mathcal{V}_1} = S_{\mathcal{V}_2}$ . To maximize  $N_{delay}$ , we want to maximize  $S_{\mathcal{N}^e} = S_{\mathcal{N}_1^o} + S_{\mathcal{N}_2^o}$  in  $f_e$ . Recall from equation ?? that  $S_{\mathcal{N}} \leq S_{\mathcal{V}}$ . We assume the largest possible node set size  $S_{\mathcal{N}} = S_{\mathcal{V}}$  to find that  $S_{\mathcal{N}^e}$  is largest if  $S_{\mathcal{V}_0} = S_{\mathcal{V}_1}$ . We can therefore conclude that  $S_{\mathcal{N}_0^o} = S_{\mathcal{N}_1^o} = S_{\mathcal{N}_2^o}$  and  $R_0 = R_1 = R_2 = \frac{1}{3}$ .

**Lemma 1.20** A fragmentation step of  ${}^{k-1}\mathcal{N}^o$  is maximized in  $S_{k\mathcal{N}^e}$  if the fragmentation ratios take the value  $R = \frac{1}{3}$ .

**Time complexity** The last unknown parameter for the delay calculation is  $p$ , the number of fragmentation steps. If we assume that in each growth step not a single non-node vertex

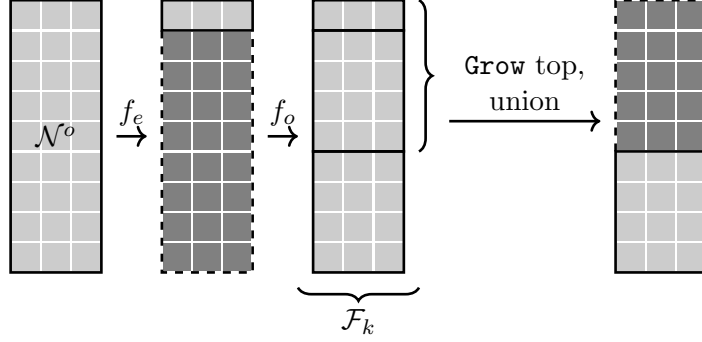


Figure 1.21: A fragmentation step  $f$  of  $\mathcal{N}^o$ , where the fragmentation ratios are not optimal  $R_i \neq \frac{1}{3}$ . This fragmentation is not possible, as the clusters in  $\mathcal{F}_k$  will grow and join in a different path according to the rules of weighted growth.

is added  $n_v = 0$ , the full fragmentation of some node set  $\mathcal{N}^o$  is just the continuous division of the set in 3 parts per lemma 1.20, which can be calculated easily.

$$p = \log_3(S_{\mathcal{N}^o}) \quad (1.20)$$

In each fragmentation step  $\mathcal{F}_k^e$ ,  $f_e$  is equivalent to the join operation of odd node sets with even node sets where the sum of odd sets sizes is

$$\sum_i \{S_{k\mathcal{N}_i^o} |^k \mathcal{N}_i^o \in \mathcal{F}_k^e\} = \frac{1}{3} S_{\mathcal{N}^o}, \quad (1.21)$$

and the sum of even node set of sizes is

$$\sum_i \{S_{k\mathcal{N}_i^e} |^k \mathcal{N}_i^e \in \mathcal{F}_k^e\} = \frac{2}{3} S_{\mathcal{N}^o}. \quad (1.22)$$

This approximation is true as we have taken  $S_{\mathcal{N}} = S_{\mathcal{V}}$  and  $n_v = 0$ . Filling in equation 1.20 and 1.22 in 1.18, we find that

$$\begin{aligned} N_{delay} &\leq \sum_{k=1}^p \sum_i \{S_{k\mathcal{N}_i^e} |^k \mathcal{N}_i^e \in \mathcal{F}_k^e\}. \\ &= \sum_{k=1}^{\log_3(S_{\mathcal{N}^o})} \frac{2}{3} S_{\mathcal{N}^o} \\ &= \frac{2}{3} S_{\mathcal{N}^o} \log_3(S_{\mathcal{N}^o}) \end{aligned} \quad (1.23)$$

The node set size of set is bounded by the lattice size  $\mathcal{N}^o \leq N$ . The worst case time complexity of the delay computation is thus bounded by  $\mathcal{O}(N \log_3(N))$ . The average-case complexity is even lower as it is quite certain that not all vertices are nodes such that  $S_{\mathcal{N}} < S_{\mathcal{V}}$  and  $n_v > 0$ .

### Bloom complexity

To grow a cluster represented by a node set  $\mathcal{N}$ , we have to traverse the entire set from root to stem to iterate over each boundary list that are stored at the nodes. Let's call the total number of times any node is traversed by Bloom  $N_{bloom}$ .

Similar to the previous section we make the assumption of a maximum number of nodes on the lattice where in each cluster  $S_{\mathcal{N}} = S_{\mathcal{V}}$  and  $n_v = 0$ . Recall that every odd node set  ${}^k N_i^o$  in each fragmentation set  $\mathcal{F}_k$  is subjected to growth in each partial fragmentation, and that we start with a maximum number of smallest cluster of size  $S_{p\mathcal{N}} = S_{p\mathcal{V}} = 1$ . Thus we are certain that with this assumption we have the upper bound in  $N_{bloom}$ .

$$N_{bloom} \leq \sum_{k=1}^p \sum_i \{S_{k\mathcal{N}_i} | {}^k \mathcal{N}_i \in \mathcal{F}_k\} \quad (1.24)$$

For a full fragmentation of  $\mathcal{N}$  of size  $S_{\mathcal{N}}$ , the sum of all set sizes in each fragmentation set  $\mathcal{F}$  is

$$\sum_i \{S_{k\mathcal{N}_i} | {}^k \mathcal{N}_i \in \mathcal{F}_k\} = S_{\mathcal{N}}. \quad (1.25)$$

By filling in  $p$  we find that

$$\begin{aligned} N_{bloom} &\leq \sum_{k=1}^p \sum_i \{S_{k\mathcal{N}_i} | {}^k \mathcal{N}_i \in \mathcal{F}_k\} \\ &= \sum_{k=1}^{\log_3(S_{\mathcal{N}^o})} S_{\mathcal{N}} \\ &= S_{\mathcal{N}^o} \log_3(S_{\mathcal{N}^o}), \end{aligned} \quad (1.26)$$

which again corresponds to a worst case time complexity that is bounded by  $\mathcal{O}(N \log_3(N))$ .

#### 1.5.10 Boundaries

For the UF decoder on surfaces with boundaries, we introduced the concept of *boundary vertices* that in contrast to normal vertices are not equivalent to stabilizers generators, measurements or ancillary qubits. During formation of the spanning forest  $F_C$  of a cluster, we must make sure that  $F_C$  does not contain more than 1 element of the set of boundary vertices  $\delta\mathcal{V}$ , as multiple elements of  $\delta\mathcal{V}$  is equivalent to a cycle.

The addition of boundaries requires a new type of node element, the *boundary node*  $\beta$ , that is exclusive to boundary vertices of  $\delta\mathcal{V}$ , and are initiated on a boundary vertex if a cluster grows into the boundary. For a cluster, it is already defined in the vanilla UF decoder that there can be only 1 boundary vertex in  $\mathcal{V}$ , and therefore only one boundary node in  $\mathcal{N}$ . As a result, a boundary node will always be a trailing node in  $\mathcal{N}$  with no children, and will never be the root node. However, the always-trailing boundary node always has parity 1, as a matching with the boundary is equally valid as a matching with another syndrome. The addition of boundary nodes just requires a small alteration to algorithm 1.

### Algorithm 5: CalcParity for surfaces with boundaries

```

Data: node
Result: Defined parities for all children of node
1 parity = Sum([1- CalcParity(child)  $\forall$  child of node ]) %2
2 if node  $\equiv \sigma$  then
3   | node.p = parity
4 elif node  $\equiv j$  then
5   | node.p = 1- parity
6 elif node  $\equiv \beta$  then
7   | node.p = 1
8 return node.p

```

For a surface containing  $N$  qubits, the number of boundary elements scales with  $\sqrt{N}$ . The number of node elements is thus bounded by  $N + \sqrt{N}$ . The added complexity due to the boundary elements will therefore not exceed some linear factor and remains the same as previously computed.

#### 1.5.11 Erasure noise

The inspiration for the UF decoder is the Peeling decoder [3], that only accounted for *erasure* errors. As the UFBB decoder is a descendant of the original Peeling decoder, we naturally needs to make sure that it can also solve erasure errors. The UF decoder solves for Pauli errors by considering each non-trivial syndrome as an single vertex odd cluster, and growing odd cluster in size until only even clusters remain. Each even cluster can than be considered as an pseudo-erasure to be solved by the Peeling decoder. Real erasures undergo the same growth, but have larger initial sizes.

To account for these erasures, we must construct the node sets for these initial erasure clusters. We can easily check that for an erasure-cluster, the PMW for each neighboring vertex is different. Each vertex in the cluster is therefore a node in  $\mathcal{N}$ , where each syndrome vertex is a syndrome-node  $\sigma$ , and every other vertex is a junction node  $j$ . Note that if the erasure is connected to the boundary, we need to make sure that only a single edge is connected to the boundary, where the single boundary vertex in the cluster naturally is a boundary node  $\beta$ . After constructing these initial clusters and node sets, we can proceed to the UFBB algorithm.

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