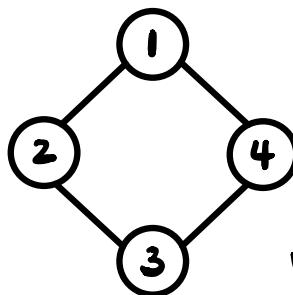


Ch.3 Graph Algorithms

3.1 Definitions

Def. $G = (V, E)$



$$V = \{1, 2, 3, 4\}$$

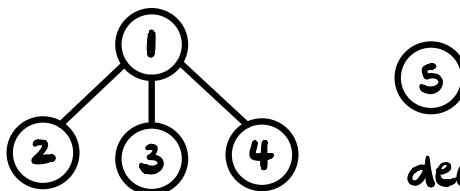
$$E = \{(1, 2), (1, 4), (2, 3), (3, 4)\}$$

undirected graph

$$n = |V| \quad \# \text{ nodes}$$

$$m = |E| \quad \# \text{ edges}$$

degree(v) = # edges associated w/ node v



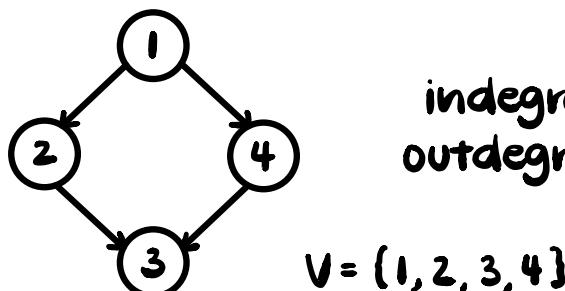
$$\deg(1) = 3$$

$$\deg(5) = 0$$

directed graph

indegree(v) = # edges pointing to v

outdegree(v) = # edges from v



$$\begin{aligned} \text{indegree}(1) &= 0 \\ \text{outdegree}(1) &= 2 \end{aligned}$$

$$V = \{1, 2, 3, 4\}$$

$$E = \{(1, 2), (1, 4), (2, 3), (4, 3)\}$$

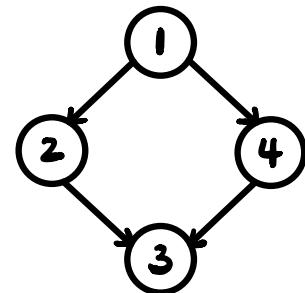
Data structures for storing graphs

- adjacency matrix

	1	2	3	4
1	0	1	0	1
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

$A[u,v] = 1$ if $(u,v) \in E$

- directed graph
asymmetric



+ : $O(1)$ time to check $A[u,v]$

- : n^2 space

- in practice, $m \ll n^2$

- : $O(n)$ time to list all edges associated w/ a node

- usually $\deg(v) < n$

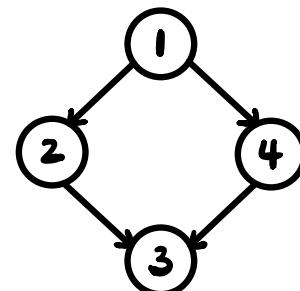
- adjacency list

node 1 head \rightarrow [2] \rightarrow [4] █

node 2 head \rightarrow [3] █

node 3 head \rightarrow null

node 4 head \rightarrow [3] █



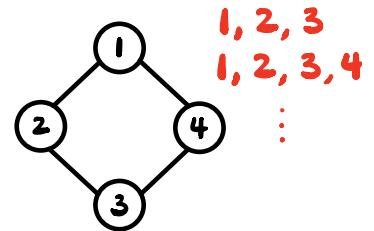
+ : $O(m+n)$ space

+ : $O(\deg(v))$ time to enumerate edges associated w/ node v

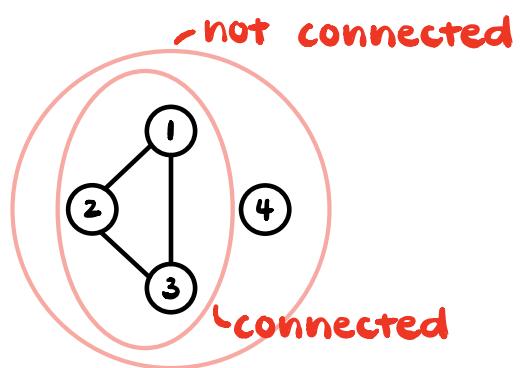
- : $O(n^2)$ time to check $A[u,v]$

Paths & connectivity

- **path**: sequence of nodes v_1, v_2, \dots, v_k
 - $(v_i, v_{i+1}) \in E$
 - no repeated nodes (simple)



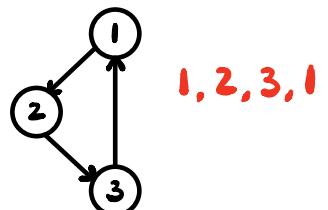
- nodes u and v are **connected**
 $\Leftrightarrow \exists$ path between u and v



- **connected graph**: all nodes are connected to each other

- **cycle**: sequence of nodes $v_1, v_2, \dots, v_k = v_1$

- $k > 2$
- no repeated edges
- no repeated nodes except $v_1 = v_k$



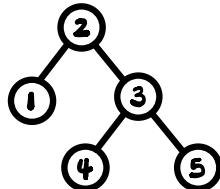
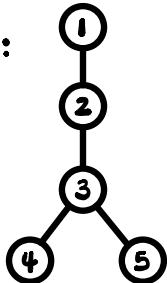
Trees

- an undirected graph is a **tree** if:

- connected

- no cycles

- ## • “root” the tree:



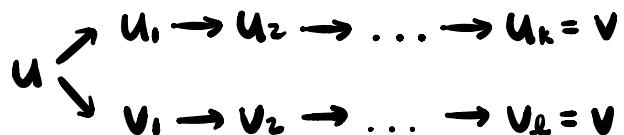
• • •

2 is an ancestor of 5

5 is a descendant of 2

- **unrooted tree:** leaf node w/ degree 1
 - a tree has only 1 path between any two nodes

Pf. (by \downarrow) If \exists 2 paths between u, v :



To find \bar{u} : find the first i s.t. $u_i = v_i$

and $U_{i+1} \neq V_{i+1}$

For \tilde{u} : find the smallest $j, k > i$ s.t. $u_j = u_k = \tilde{u}_i$

$$\overline{U} = \tilde{U}_i \rightarrow U_{i+1} \rightarrow \dots \rightarrow U_j \rightarrow V_{k-1} \rightarrow V_{k-2} \rightarrow \dots \rightarrow V_i$$

\Rightarrow contradicts def of tree $\stackrel{\text{def}}{\sim}$ \Rightarrow cycle

→ contradicts def. of tree

11
V_k

⇒ cycle

(2 leaf nodes)

- each tree will have ≥ 2 nodes w/ deg. 1

Pf. Start from u , construct a path until cannot go anymore. $u \rightarrow u_1 \rightarrow \dots \rightarrow u_k$

$$u \rightarrow u_1 \rightarrow \dots \rightarrow u_k$$

$$u_k \rightarrow v_1 \rightarrow \dots \rightarrow v_t$$

- every n -node tree has $n-1$ edges

3.2 Graph traversal and connectivity

- s-t connectivity : whether nodes s, t are connected

BFS (Breadth-First Search)

Level i : neighbors of level $i-1$ nodes
that have not been visited

BFS:

$$L_0 = \{s\}, T = \emptyset$$

$\text{visited}[i] = \text{false} \quad \forall i, \quad \text{visited}[s] = \text{true}$

$$i = 1$$

while ($L_{i-1} \neq \emptyset$):

$$L_i = \emptyset$$

for $u \in L_{i-1}$:

for all v s.t. $(u, v) \in E$:

if $\text{visited}[v] = \text{false}$:

$\text{visited}[v] = \text{true}$

add v to L_i

add (u, v) to T

$$i \pm 1$$

(*)

BFS (queue implementation):

$Q.\text{push}(s)$

while (Q is not empty)

$u = Q.\text{pop}()$

for all v s.t. $(u, v) \in E$

if $\text{visited}[v] = \text{false}$:

$\text{visited}[v] = \text{true}$

$Q.\text{push}(v)$

Properties

- L_i : nodes at distance i from s
- non-tree edges are either within a layer or between 2 adjacent layers
 - if (u, v) is a non-tree edge and $u \in L_i, v \in L_j$, then $|i-j| \leq 1$

use adj. list

(*) in $O(\deg(n))$

BFS time: $\sum \deg(n) = |E|$

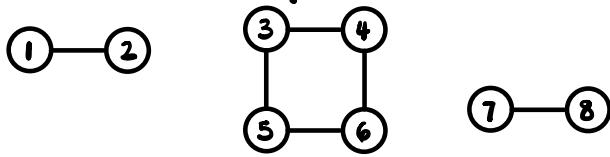
$O(|E|), O(m)$

$O(n+m)$

use adj. matrix

Applications

- connected components



BFS(1) : (1, 2)

BFS(3) : (3, 4, 5, 6)

BFS(7) : (7, 8)

- testing bipartiteness

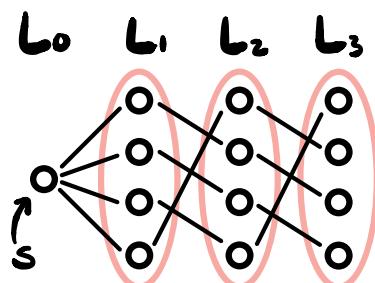
Def. $G = (E, V)$ is bipartite if $G = V_1 \cup V_2$, $V_1 \cap V_2 = \emptyset$, all the edges are between V_1 and V_2

Lemma A bipartite graph has no odd cycle.

Pf. $u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_{2k}$
 $\uparrow \quad \uparrow \quad \uparrow \quad \uparrow$
 $v_1 \quad v_2 \quad v_1 \quad v_2$

- alg: run BFS, then check whether exists any edge within same level
 - yes \Rightarrow not bipartite
 - no \Rightarrow bipartite

Pf. if no edge within same level



$$V_1 = L_0 \cup L_2 \cup L_3 \cup \dots$$

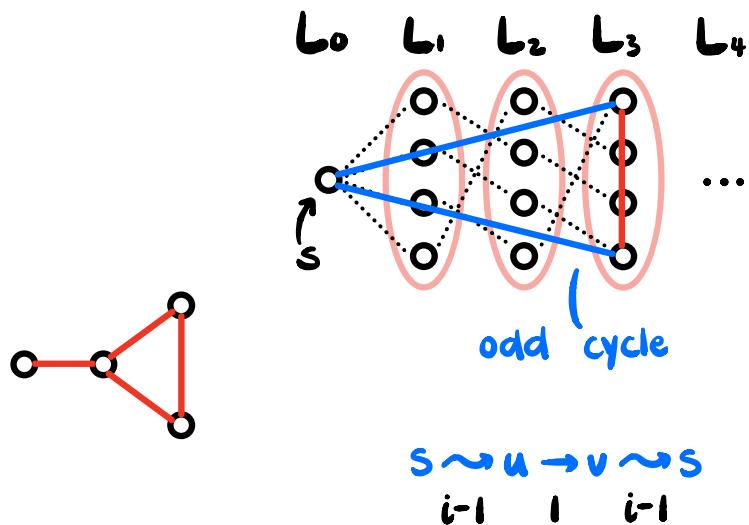
$$V_2 = L_1 \cup L_3 \cup L_5 \cup \dots$$

no edge within V_1 / V_2

BFS \rightarrow no edges between $L_i, L_j, |i-j| \leq 1$

If \exists edge within L_i :

show \exists odd cycle



\exists a path $s \rightarrow u_1 \rightarrow u_2 \rightarrow \dots \rightarrow u_k \stackrel{u}{\sim}$

a path $s \rightarrow v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k \stackrel{v}{\sim}$

Assume i is the last index s.t. $u_i = v_i$.

$u_i \rightarrow u_{i+1} \rightarrow \dots \rightarrow u_k \rightarrow v_k \rightarrow \dots \rightarrow v_i$

↑
odd cycle

DFS (Depth-First Search) :

DFS(u) :

visited[u] = true

for each v s.t. $(u, v) \in E$:

if visited[v] = false :

DFS(v)

Discover ordering

finishing: time when DFS(u) finished for u

Properties

- descendants of node u are all the nodes connected to u that are discovered after u
- if (u, v) is a nontree edge in DFS: either u is an ancestor of v or v is an ancestor of u

Pf. assume u is discovered before v .

v is connected to u

$\Rightarrow v$ is a descendant of u

DFS (stack implementation):

A. push(s)

visited [i] = false $\forall i$

while A is not empty :

$u \leftarrow A.pop()$

if visited [i] = false :

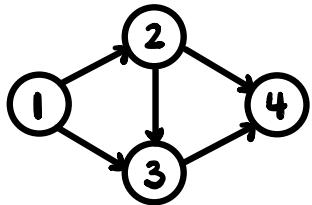
visited [i] = true

for v s.t. $(u, v) \in E$:

A.push(v)

• articulation point

3.5 Directed Graph



$1 \rightsquigarrow 4$: a path from 1 to 4

$4 \not\rightsquigarrow 1$

Def. **strongly connected**: $u \rightsquigarrow v \wedge u, v$ in the graph

check a graph is SC:

1. Pick any node s

2. Run BFS / DFS from s on $G : R$
(nodes reachable from s)

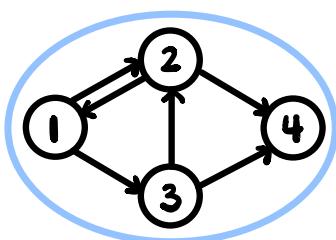
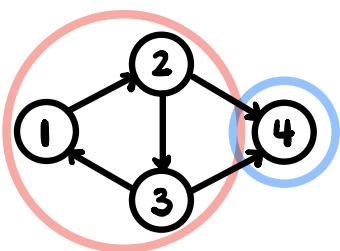
3. Run BFS / DFS from s on $G^{\text{rev}} : U$
 $\leftarrow G$ with each edge reversed
(nodes that can reach s)

If $R \neq U$ or

strongly connected component (SCC)

Def. maximal strongly connected subgraph

\downarrow adding any other node will destroy
SC of the subgraph



$V = V_1 \cup V_2 \cup \dots \cup V_k$ decompose graph to SCC
 $V_i \cap V_j = \emptyset$
 $\forall u, v \in V_i, u \sim v$

decompose graph to SCCs:

while $\exists u$ not in any SCC:

$R \leftarrow \text{DFS}(u)$

$U \leftarrow \text{reverse DFS}(u)$

form a new SCC $R \cup U$

$\Theta(n(n+m))$ worst case

better algorithm: $O(n)$

2 DFS

Def. **Directed Acyclic Graph (DAG)**: directed graph w/o cycle.

multiple topological orderings

Def. **topological ordering**: an ordering of nodes v_1, v_2, \dots, v_n s.t. all edges are pointing forward
 $\forall (v_i, v_j) \in E, i < j$

Thm. A directed graph G has a topological ordering
 $\Rightarrow G$ is a DAG.

Pf. (by γ)

Assume G has T-order.

If G has cycle $u_1, u_2, \dots, u_k, u_1$,

let u_i be the last one in T-order.

$\Rightarrow u_i \rightarrow u_{i+1}$ will be a backward edge. γ

Lemma G is a DAG $\Rightarrow \exists$ a node in G with no incoming edge.

Pf. Can find a cycle in G if all nodes have indegree ≥ 1

Start from an arbitrary node u ; keep finding nodes "backward".

$$u_0 \leftarrow u_1 \leftarrow u_2 \leftarrow u_3 \leftarrow \dots$$

Can keep going until visiting a node a second time
 \Rightarrow find a cycle in G .

Thm. G is a DAG $\Rightarrow G$ has a topological ordering.

Pf. (by induction)

Base case: $n=1$: 1 node \checkmark

IH: Suppose every DAG with $n-1$ nodes has a topological ordering.

IS: For DAG w/ n nodes:

\exists node u w/ indegree = 0.

Remove u ; $G - \{u\}$ = DAG with $n-1$ nodes.

$G - \{u\}$ has a topological ordering v, \dots, v_n .

Then u, v_1, \dots, v_n is a valid topological ordering for G .

Topological sort:

for $i = 1, 2, \dots n$:

$u \leftarrow$ node w/ $\text{indegree} = 0$

make u the next node in T-order

remove u from the graph

remove all associated edges: $O(n+m)$

array $\text{indegree}[i] \quad i = 1, 2, \dots n$

initial indegree array $O(n+m)$

push i to L if $\text{indegree}[i] = 0$

for $i = 1, 2, \dots n$:

$u \leftarrow L.pop()$

append u to T-order

for v s.t. $(u, v) \in E$

$\text{indegree}[v] --$

if $\text{indegree}[v] = 0$:

$L.push(v)$

check if G is a DAG:

if can't find any node w/ $\text{indegree} = 0$ in the loop:

G is not a DAG: