



Application Note

Intra and Inter eNB/gNB Handover

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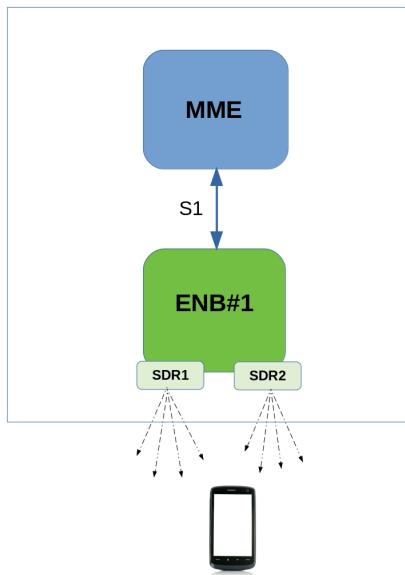
1 Introduction

This application notes aims to provide the procedure for running intra and Inter eNB/gNB handover scenarios on Amarisoft products using commercial UE.

In the case where handover tests are run with Amarisoft UE simulator (instead of commercial UE), please See [handover using UE simulator], page 14, for UE prerequisites and parameters.

2 Handover Intra eNB

2.1 LTE Network architecture



Setup is composed of :

- One MME and one eNB running on same PC and connected through S1 interface
- One or Two SDR cards

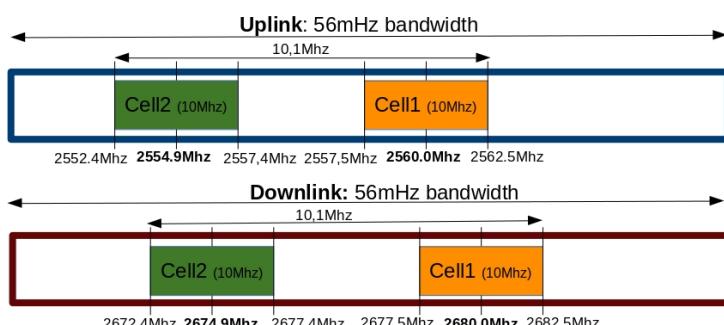
2.2 Constraints

In order to test Inter Frequency/Band handover between two cells running on same eNB, one or two SDR cards are required depending on the spectrum used by both cells.

PCIe SDR cards have a bandwidth of 56Mhz (USRP N2x0 is 40Mhz). By consequence, if both cells can fit in this bandwidth, handover can be carried out with one SDR only. Otherwise, two SDR cards are required.

Example of 2 cells fitting in one SDR cards :

- **Cell1** (Band7) , dl_earfcn: 3350, 2680,0Mhz DL-2560,0Mhz UL freq, 5Mhz Bandwidth
- **Cell2** (Band7) , dl_earfcn: 3299, 2674.9Mhz DL-2554.9Mhz UL freq, 5Mhz Bandwidth



In the example above, there is no overlap between the two cells neither in Uplink nor in downlink. If a higher bandwidth had been used (20Mhz as instance), both cells would have overlapped, resulting in interference.

Others constraints:

- The difference of the center frequencies of each cell must be a multiple of 300 kHz (hence the difference of their EARFCN must be a multiple of 3).
- The difference between the center frequency of each cell and the average of center frequencies must be a multiple of 15 kHz.
- The number of cells that could be configured in a frequency band depends on the total bandwidth of the lte band and the configured bandwidth of each cell + the offsets.
- The cells must have the same `prach-ConfigIndex` (SIB2), i.e. their PRACH must have the same duration and transmitted in the same subframes.
- Multiple cells can be set at the same frequency provided their physical cell identity (`n_id_cell` property) and PRACH `rootSequenceIndex` (SIB2) are different to minimize the inter-cell interference. In the current version, there is no resource reservation among the cells, so a performance degradation happens if they transmit at the same time in the same resource blocks. So it is currently better to use cells at different frequencies.

Let's take the following example to configure 3 cells in band 7:

```
cell 1 DL frequency: 2627 MHz
cell 2 DL frequency: 2642 MHz
cell 3 DL frequency: 2657 MHz
average_dl_freq = (2627 + 2642 + 2657)/3 = 2642 MHz
cell1_freq_offset = 2627 - 2642 = -15 MHz
cell2_freq_offset = 2642 - 2642 = 0 MHz
cell3_freq_offset = 2657 - 2642 = 15 MHz
```

We can observe that the difference between the center frequency of each cell and the average of center frequencies is indeed a multiple of 15 kHz and the difference between the DL EARFCNs are a multiple of 3.

2.3 MME configuration

For running intra eNB Handover, no specific configuration is required on MME side. Default configuration files can be used without customization

2.4 eNB configuration with both cells running on same SDR card

As described in previous section, it's possible to configure two or more cells on the same SDR card as long as it fulfills the spectrum constraints. See [constraints], page 2, for more details.

2.4.1 Neighbor cell info

In `enb.cfg` file, for each cell, set neighbour cell info using `ncell_list` parameters:

```
/* high 24 bits of SIB1.cellIdentifier */
enb_id: 0x1A2E0,
cell_list: [
{
```

```

dl_earfcn: 3350, /* DL center frequency: 2680 MHz (Band 7) */

n_id_cell: 1,
cell_id: 0x01,
tac: 0x0001,
root_sequence_index: 204, /* PRACH root sequence index */

/* Neighbour cell list (used for handover) */
ncell_list: [
    { n_id_cell: 2, dl_earfcn: 3299, cell_id: 0x1a2e002, tac: 1 },
],
},
{
    dl_earfcn: 3299, /* DL center frequency: 2674.9 MHz (Band 7) */

    n_id_cell: 2,
    cell_id: 0x02,
    tac: 0x0001,
    root_sequence_index: 86, /* PRACH root sequence index */

    /* Neighbour cell list (used for handover) */
    ncell_list: [
        { n_id_cell: 1, dl_earfcn: 3350, cell_id: 0x1a2e001, tac: 1 },
    ],
},
], /* cell_list */

```

where:

- `n_id_cell` is `n_id_cell` of neighbour cell
- `dl_earfcn` is the downlink earfcn of neighbour cell
- `cell_id` is the EUTRAN cell identifier (concatenation of the `enb_id` and `cell_id` of neighbour cell)

Please refer to lteenb.pdf for more details about cell list parameters

2.4.2 Measurement configuration

In order to trigger measurement reports (a1,a2 and a3) at UE side when cells level will fluctuate, set `meas_config_desc` parameters in `enb.cfg` file (under `cell_default` object) :

Example:

```

/* measurement configuration */
meas_config_desc: {
    a1_report_type: "rsrp",
    a1_rsrp: -70,
    a1_hysteresis: 0,
    a1_time_to_trigger: 640,
    a2_report_type: "rsrp",
    a2_rsrp: -80,
    a2_hysteresis: 0,
    a2_time_to_trigger: 640,
    eutra_handover: {

```

```

        a3_report_type: "rsrp",
        a3_offset: 6,
        hysteresis: 0,
        time_to_trigger: 480
    }
},

/* measurement gap configuration */
meas_gap_config: "gp0",

/* if true, initiate a handover when a suitable measurement report
   is received */
ho_from_meas: true,

```

Please refer to lteenb.pdf for more details about meas_config_desc parameters

2.5 eNB configuration with cells running on two SDR card

For Inter frequency/band handover two SDR cards may be required if spectrum used by both cells is larger than SDR bandwidth (56Mhz for PCIe SDR card) .

In that case, cell configuration is slightly different and `rf_port` used by each cell must be defined.

Others parameters (Neighbor cell info and Measurement configuration) remain identical.

Example:

```

cell_list: [
{
    rf_port: 0, /* means that cell 0x01 will use dev0=/dev/sdr0 */
    dl_earfcn: 3350, /* DL center frequency: 2680 MHz (Band 7) */
    n_id_cell: 1,
    cell_id: 0x01,
    tac: 0x0001,
    root_sequence_index: 204, /* PRACH root sequence index */
    /* Neighbour cell list (used for handover) */
    ncell_list: [
        { n_id_cell: 2, dl_earfcn: 3299, cell_id: 0x1a2e002, tac: 1 },
    ],
},
{
    rf_port: 1, /* means that cell 0x02 will use dev1=/dev/sdr1 */
    dl_earfcn: 3299, /* DL center frequency: 2674.9 MHz (Band 7) */
    n_id_cell: 2,
    cell_id: 0x02,
    tac: 0x0001,
    root_sequence_index: 86, /* PRACH root sequence index */

    /* Neighbour cell list (used for handover) */
    ncell_list: [
        { n_id_cell: 1, dl_earfcn: 3350, cell_id: 0x1a2e001, tac: 1 },
    ],
}

```

In config/rf_driver, both SDR cards must be defined as well. See trx_sdr.pdf for more details.

```

rf_driver: {
    name: "sdr",

    /* list of devices. 'dev0' is always the master. */
    args: "dev0=/dev/sdr0,dev1=/dev/sdr1",

```

Note: When two SDR cards are used on same eNB, SDR1 must be connected to SDR0 through USB cable provided by Amarisoft in order to synchronize the Radio frames. See installguide.pdf for more details.

2.6 Handover triggered by UE measurement report

In order to carry out handover based on UE measurement report, once enb is configured, the only thing to do is to decrease step by step cell gain at eNB side (command `cell_gain cell_id gain` on eNB screen) . Example : `cell_gain 1 -20`

Note: To perform handover and not a reselection, it's recommended to run uplink or downlink transfer using iperf as instance in order to keep UE in RRC connected state

2.7 Blind handover triggered by eNB

In order to carry out blind handover, eNB command can be used. To do this:

- Start downlink or Uplink transfer (with iperf as instance) in order to keep UE in RRC connected state
- On eNB screen, enter `ue` command to identify UE id at eNB level (`eNB_UE_ID`)
- Enter `handover` command with the following parameters : `eNB_UE_ID pci dl_earfcn type`

Where :

- `eNB_UE_ID` is UE ID at eNB level
- `pci` is physical Cell ID of target cell (neighbor cell).
- `dl_earfcn` is downlink earfcn of target cell (neighbor cell).
- `type` is handover type. Use `intra` here.

Example of handover command : `handover 14 2 3299 intra`

3 Handover inter eNB

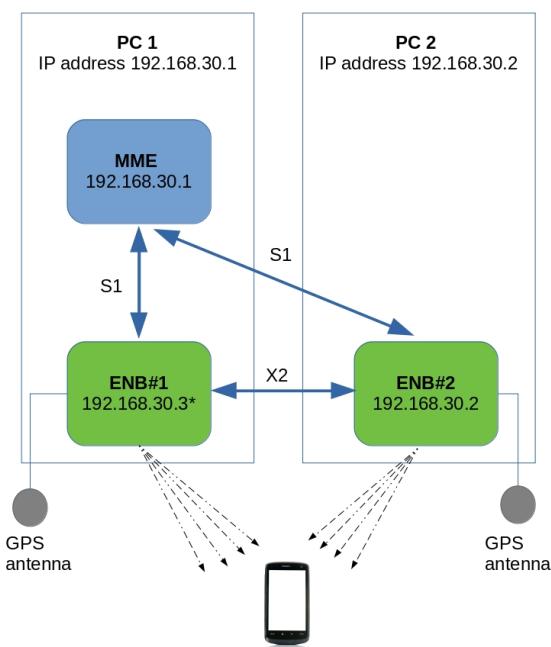
3.1 Prerequisites

In order to test handover between two different eNB, some prerequisites must be fulfilled:

- Each eNB must be running on a different PC (host). It's not possible to run 2 eNB components on same Hardware.
- By consequence two eNB licenses are required to run inter eNB handover scenario. Only one MME license is needed.
- Radio frames must be synchronized between eNB1 and eNB2.
The most convenient way is to use GPS antenna on both eNB. Any active GPS antenna accepting a 3.3V DC supply can be used,
- Each eNB is configured with one cell (using one SDR card). UE can attach on each cell independently

Note: MME component can be run on same PC as eNB. This will be the setup described in this document

3.2 LTE Network architecture



Setup is composed of :

- One MME and one eNB (named eNB1) running on the same PC (IP address 192.168.30.1)
- A second eNB (eNB2) running on a different PC (IP address 192.168.30.2)

MME and both eNB connected through S1 interface
eNB1 and eNB2 connected through X2 interface

3.3 MME configuration

In order to connect both eNB to same MME, default (loopback) GTP address must be changed and replaced with IP address of PC where MME component is running.

in `mme.cfg` file, change :

```
gtp_addr: "127.0.1.100",
with
gtp_addr: "192.168.30.1",
```

Note: If another MME component is running on PC where eNB2 is running, this one must be turned off.

3.4 eNB1 configuration

This section aims to describe the modifications required on eNB to carry out inter eNB handover. The initial setting of eNB (cell, earfcn , gain, SDR configuration, etc..) are not covered in this document.

Please refer to `lteots.pdf` document for more details on basic configurations.

3.4.1 MME address

In `enb.cfg` file, replace default (loopback) MME address with IP address of PC1 (192.168.30.1).

```
mme_list: [
    {
        /* address of MME for S1AP connection. Must be modified if the MME
           runs on a different host. */
        mme_addr: "192.168.30.1",
    },
],
```

3.4.2 GTP address

As for MME, default GTP address must be changed and replaced with IP address of the PC1 (instead of using loopback IP address).

However, as IP address of PC1 is already used by MME, it's not possible to reuse it.
The solution is to create an IP alias (192.168.30.3 as instance) and use this alias as `gtp_addr` for eNB1.

To create the alias:

- Run `ifconfig` command
- Check ethernet interface name (example "eth2:")
- Enter command `ifconfig eth2:1 192.168.30.3/24`
- Check alias has been created with `ifconfig` command

Note: This hint is not needed if MME is running on its own PC and don't have, as consequence, the same IP address as eNB1.

Once the IP alias has been created , update `enb.cfg` file and replace default `gtp_addr` with this value

```
/* GTP bind address (=address of the ethernet interface connected to
   the MME). Must be modified if the MME runs on a different host. */
gtp_addr: "192.168.30.3",
```

3.4.3 X2 address

In order to connect both eNB through X2 interface, X2 peer IP address (eNB2) must be set . In `enb.cfg` file , add the following parameter :

```
x2_peers: ["192.168.30.2"],
```

3.4.4 eNB ID

Each eNB must have a unique ID. The default value is:

```
enb_id: 0x1A2D0
```

3.4.5 Neighbor cell info

In `enb.cfg` file, set neighbour cell (eNB2 cell) info using `ncell_list` parameters:

```
cell_list: [
{
    dl_earfcn: 3350, /* DL center frequency: 2680 MHz (Band 7) */

    n_id_cell: 1,
    cell_id: 0x01,
    tac: 0x0001,
    root_sequence_index: 204, /* PRACH root sequence index */

    /* Neighbour cell list (used for handover) */
    ncell_list: [
        { n_id_cell: 2, dl_earfcn: 6300, cell_id: 0x1A2D101, tac: 1 },
    ],
},
],
```

where:

- `n_id_cell` is `n.id_cell` of eNB2 cell
- `dl_earfcn` is the downlink earfcn of eNB2 cell
- `cell_id` is the EUTRAN cell identifier (concatenation of the `enb_id` and `cell_id` of eNB2 cell)

3.4.6 Measurement configuration

In order to trigger measurement reports (a1,a2 and a3) at UE side when cells level will fluctuate, set `meas_config_desc` parameters in `enb.cfg` file (under `cell_default` object) : Example:

```
/* measurement configuration */
meas_config_desc: {
    a1_report_type: "rsrp",
    a1_rsrp: -70,
    a1_hysteresis: 0,
    a1_time_to_trigger: 640,
    a2_report_type: "rsrp",
```

```

        a2_rsrp: -80,
        a2_hysteresis: 0,
        a2_time_to_trigger: 640,
        eutra_handover: {
            a3_report_type: "rsrp",
            a3_offset: 6,
            hysteresis: 0,
            time_to_trigger: 480
        }
    },
/* measurement gap configuration */
meas_gap_config: "gp0",

/* if true, initiate a handover when a suitable measurement report
   is received */
ho_from_meas: true,

```

Please refer to lteenb.pdf for more details about meas_config_desc parameters

3.4.7 SDR clock setting

As described in hardware prerequisite section, both eNB must be synchronized using a GPS clock antenna.

If PCIe SDR cards are used on your setup, the synchronization source must be changed.

In /root/enb/config/rf_driver folder, open config file used by enb.cfg file and uncomment sync parameter.

```
/* synchronization source: internal, gps, external (default = internal) */
sync: "gps",
```

Whith this modification, PCIe SDR card will get its clock from the GPS antenna.

3.5 eNB2 configuration

3.5.1 MME address

As for eNB1 , in `enb.cfg` file, replace default (loopback) MME address with IP address of PC where MME component is running (192.168.30.1).

```
mme_list: [
{
    /* address of MME for S1AP connection. Must be modified if the MME
       runs on a different host. */
    mme_addr: "192.168.30.1",
},
]
```

3.5.2 eNB ID

As both eNB are connected to same MME component, their ID must be different.

In `enb.cfg` file change `enb_id` to be different of eNB1

```
/* high 24 bits of SIB1.cellIdentifier */
enb_id: 0x1A2D1,
```

3.5.3 GTP address

As for eNB1 , GTP address must be changed.

However, as there is no MME component on this PC (eNB2 only), the IP constraint encountered with eNB1 is not present and GTP address can be the one of PC2

```
/* GTP bind address (=address of the ethernet interface connected to
   the MME). Must be modified if the MME runs on a different host. */
gtp_addr: "192.168.30.2",
```

3.5.4 X2 address

As for eNB1, X2 peer IP address (eNB1) must be set.

In `enb.cfg` file, add the following parameter:

```
x2_peers: ["192.168.30.1"],
```

3.5.5 Neighbor cell info

As for eNB1, in `enb.cfg` file, set neighbour cell (eNB1 cell) info using `ncell_list` parameters:

```
cell_list: [
  {
    dl_earfcn: 6300, /* DL center frequency: 2680 MHz (Band 7) */

    n_id_cell: 2,
    cell_id: 0x01,
    tac: 0x0001,
    root_sequence_index: 204, /* PRACH root sequence index */

    /* Neighbour cell list (used for handover) */
    ncell_list: [
      { n_id_cell: 1, dl_earfcn: 3350, cell_id: 0x1A2D001, tac: 1 },
    ],
  },
],
```

where:

- `n_id_cell` is `n_id_cell` of eNB1 cell
- `dl_earfcn` is the downlink earfcn of eNB1 cell
- `cell_id` is the EUTRAN cell identifier (concatenation of the `enb_id` and `cell_id` of eNB1 cell),

3.5.6 Measurement configuration

As for eNB1 , in `enb.cfg` file, under `cell_default` object, set `meas_config_desc` parameters:
Example :

```
/* measurement configuration */
meas_config_desc: {
  a1_report_type: "rsrp",
  a1_rsrp: -70,
  a1_hysteresis: 0,
  a1_time_to_trigger: 640,
  a2_report_type: "rsrp",
  a2_rsrp: -80,
```

```

        a2_hysteresis: 0,
        a2_time_to_trigger: 640,
        eutra_handover: {
            a3_report_type: "rsrp",
            a3_offset: 6,
            hysteresis: 0,
            time_to_trigger: 480
        }
    },
    /* measurement gap configuration */
    meas_gap_config: "gp0",

    /* if true, initiate a handover when a suitable measurement report
     * is received */
    ho_from_meas: true,

```

Please refer to lteenb.pdf for more details about meas_config_desc parameters

3.6 GPS antenna connection

3.6.1 Connection

- Place your GPS antenna in a place where GPS signal is likely to be found (outdoor).
- Connect each GPS antenna to SMA connector GPS of PCIe SDR card on eNB1 and eNB2.

3.6.2 GPS test

Once connection is done, you can check if GPS clock is locked. To do this :

- Stop LTE service on your PC (service lte stop)
- Go under /root/trx_sdr folder
- Enter the command ./sdr_util -c 0 gps_state
- If GPS clock is locked, date and time will be displayed :

```

GPS locked
TAI: 2018-11-20 16:18:29
UTC: 2018-11-20 16:17:52

```

Note: The GPS takes a few minutes to lock if the GPS antenna is connected.

3.7 S1 and X2 connection check

Once eNB1,eNB2 and MME have be configured, you can start each component and check the connections.

- On MME screen, enter **enb** command. Both eNB should be listed
- On both eNB screen, enter **s1** command. S1 connection state should be "setup_done"
- On both eNB screen, enter **x2** command. X2 Peer connection state should be "setup_done"
- If X2 peer connection state is "disconnected", just enter **x2connect** command and check again with **x2** command afterwards.

Your setup is now completed ! You can connect your UE and run handover test .

3.8 S1/X2 Handover triggered by UE measurement report

In order to carry out Handover based on UE measurement report, the only thing to do is to decrease step by step cell gain at eNB side (command `cell_gain cell_id gain` on eNB screen). Example : `cell_gain 1 -20`

To trigger a S1 handover rather than X2, just remove the x2_peers connection in eNB configuration files

Note: To perform Handover and not a reselection, it's recommended to run uplink or downlink transfer with iperf as instance in order to keep UE in RRC connected state

3.9 Blind S1/X2 Handover triggered by eNB

In order to carry out blind X2 or S1 handover, eNB command can be used. To do this:

- Start downlink or Uplink transfer (with iperf as instance) in order to keep UE in RRC connected state
- On eNB screen, enter `ue` command to identify UE id at eNB level (`eNB_UE_ID`)
- Enter `handover` command with the following parameters : `eNB_UE_ID pci dl_earfcn type`

Where :

- `eNB_UE_ID` is UE ID at eNB level
- `pci` is physical Cell ID of target cell (eNB2).
- `dl_earfcn` is downlink earfcn of target cell (eNB2).
- `type` is handover type. Can be intra, s1 or x2.

Example of X2 handover command : `handover 14 2 3350 x2`

4 Handover using UE simulator

4.1 Hardware prerequisite

When Amarisoft UE simulator is used for testing handover, two SDR cards are required. SDR1 card will be connected to cell 1, SDR2 card will be connected to cell 2.

4.2 UE configuration file

In ue.cfg file, both cells must be declared

Example :

```
cells: [
  {
    dl_earfcn: 6300,
    n_antenna_dl: 2,
    n_antenna_ul: 1,
  },
  {
    dl_earfcn: 3350,
    n_antenna_dl: 2,
    n_antenna_ul: 1,
  },
]
```

On top of that, SDR mapping must be defined :

Example :

```
rf_driver: {
  name: "sdr",
  args: "dev0=/dev/sdr0,dev1=/dev/sdr1",
},
```

The mapping between Cells and SDR cards is made automatically and follows the same order as the declaration in the configuration file.

The example here above results in:

- SDR card#0 listening on earfcn 6300
- SDR card#1 listening on earfcn 3350

The RF connection must be done accordingly.

For 5G SA handover similar concepts apply, for that please refer to the provided example configuration file ue-nr-2cc-sa.cfg.

Note: When two cells are declared on UE side, both cells must be active otherwise UE will remain in SIB detection state indefinitely

5 Handover call flow

In order to help the investigation, here are the expected call flows of handover scenario

5.1 X2 Handover triggered by UE measurement

Call flow traced on eNB1 (Ue initially attached on eNB1)					
-	NAS	1	EMM	Attach accept	1
-	RRC	1	DCCH	RRC Connection Reconfiguration	1
17:31:36.424	+0.020	RRC	1	RRC Connection Reconfiguration Complete	1
-	RRC	1	DCCH	UL Information Transfer	
-	NAS	1	EMM	Attach complete	
17:31:36.425	+0.001	NAS	1	EMM information	
-	RRC	1	DCCH	DL Information Transfer	
17:32:20.864	+44.439	RRC	1	Measurement Report	2
-	RRC	1	DCCH	RRC Connection Reconfiguration	3
17:32:20.904	+0.040	RRC	1	RRC Connection Reconfiguration Complete	
17:32:21.504	+0.600	RRC	1	Measurement Report	4
-	X2AP			192.168.1.10:36422 Handover request	5
17:32:21.505	+0.001	X2AP		192.168.1.10:36422 Handover request acknowledge	6
-	RRC	1	DCCH	RRC Connection Reconfiguration	7
-	X2AP			192.168.1.10:36422 SN status transfer	
17:32:21.574	+0.069	X2AP		192.168.1.10:36422 UE context release	

Call flow traced on eNB2

17:32:21.506	+8.944	X2AP		192.168.1.41:54865 Handover request
-		X2AP		192.168.1.41:54865 Handover request acknowledge
17:32:21.507	+0.001	X2AP		192.168.1.41:54865 SN status transfer
17:32:21.574	+0.067	RRC	1	DCCH RRC Connection Reconfiguration Complete
17:32:21.575	+0.001	X2AP		192.168.1.41:54865 UE context release

1. RRC Connection Reconfiguration:

Sent by eNB to UE to configure measurement report events

Three event reports a1, a2, a3 are configured in RRC Connection Reconfiguration
 Two event reports a2, a3 are activated

Example of a1 report configuration within RRC Connection Reconfiguration message:

```
reportConfigToAddModList {
    {
        reportConfigId 1,
        reportConfig reportConfigEUTRA: {
            triggerType event: {
                eventId eventA1: {
                    a1-Threshold threshold-RSRP: 90
                },
                hysteresis 0,
                timeToTrigger ms640
            },
            triggerQuantity rsrp,
            reportQuantity both,
            maxReportCells 1,
            reportInterval ms120,
            reportAmount r1
        }
    }
}
```

2. Measurement Report A2:

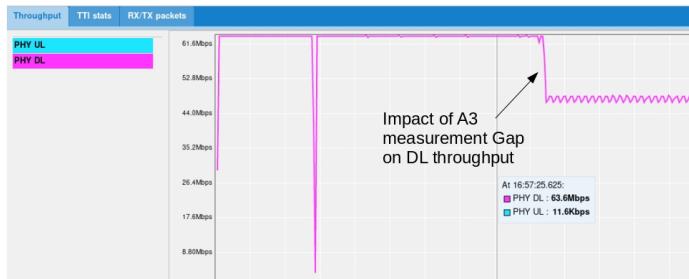
Sent by UE to eNB when the serving cell becomes worse than a threshold

```
message c1: measurementReport: {
    criticalExtensions c1: measurementReport-r8: {
        measResults {
            measId 2,
            measResultPCell {
                rsrpResult 79,
                rsrqResult 34
            }
        }
    }
}
```

3. RRC Connection Reconfiguration:

Upon reception of a2 meas report, network reconfigures UE event report (deactivate a2, activate a1 and activate Measurement Gap) through RRC Connection Reconfiguration message

Note: Measurement Gap have an impact on throughput as no transmission and reception happens during gap periods



4. Measurement Report A3:

Sent by UE to eNB when a neighbouring cell becomes better than the serving cell by an offset

Upon reception of a3 meas report, network trigger the Handover through X2 interface

```
message c1: measurementReport: {
    criticalExtensions c1: measurementReport-r8: {
        measResults {
            measId 3,
            measResultPCell {
                rsrpResult 79,
                rsrqResult 34
            },
            measResultNeighCells measResultListEUTRA: {
                {
                    physCellId 2,
                    measResult {
                        rsrpResult 90,
                        rsrqResult 34
                    }
                }
            }
        }
    }
}
```

5. Handover request:

Sent by eNB1 to eNB2 to set up the inter eNB handover through X2AP interface (X2AP trace level must be set to "debug" to see this message)

6. Handover request acknowledge:

Sent by eNB2 to eNB1 to acknowledge eNB handover

7. RRC Connection Reconfiguration:

Once eNB2 acknowledges the X2 handover request, eNB1 triggers a handover at UE side by sending the `RRCconnectionReconfiguration`.

This message provides mobility information as described below as well as target cell information for UE to establish a RRC connection request on target cell.

```
mobilityControlInfo {
    targetPhysCellId 2,
    carrierFreq {
        dl-CarrierFreq 3350
    },
}
```

8. RRC Connection Reconfiguration Complete:

Once UE has moved from eNB1 to eNB2 , it sends the `RCC Connection Reconfiguration Complete` on the target cell (eNB2)

To see this message, a log must be captured on eNB2 this time

Traffic should be now resumed on target cell.

5.2 S1 Handover triggered by UE measurement

S1 inter eNB handover has the same call flow as X2 handover when it comes to the messages exchanged between UE and eNB (See [X2 handover], page 15, call flow for more details).

The main difference is on the Handover procedure at eNB side. Handover request is sent to MME through S1 interface and not to peer eNB through X2 interface.

Call flow traced at eNB1 side (UE initially attached on eNB1)				
-	NAS	8	EMM	Attach complete①
-	S1AP			192.168.1.41:36412 Uplink nas transport
14:07:41.341	+0.001	S1AP		192.168.1.41:36412 Downlink nas transport
-	NAS	8	EMM	EMM information②
-	RRC	8	DCCH	DL Information Transfer③
14:08:48.980	+67.639	RRC	8	DCCH Measurement Report④
-	RRC	8	DCCH	RRC Connection Reconfiguration⑤
14:08:49.020	+0.040	RRC	8	DCCH RRC Connection Reconfiguration Complete⑥
14:08:49.620	+0.600	RRC	8	DCCH Measurement Report⑦
-	S1AP			192.168.1.41:36412 Handover required ⑧
14:08:49.622	+0.002	S1AP		192.168.1.41:36412 Handover command ⑨
-	RRC	8	DCCH	RRC Connection Reconfiguration⑩
-	S1AP			192.168.1.41:36412 eNB status transfer
14:08:49.663	+0.041	S1AP		192.168.1.41:36412 UE context release command
-	S1AP			192.168.1.41:36412 UE context release complete
-	RRC	8	DCCH	RRC Connection Release⑪

Call flow traced on eNB2					
Time	Diff	ENB	UE ID	Info	Message
14:08:49.623	-	S1AP			192.168.1.41:36412 Handover request (2)
-	-	S1AP			192.168.1.41:36412 Handover request acknowledge (3)
14:08:49.625	+0.002	S1AP			192.168.1.41:36412 MME status transfer
14:08:49.664	+0.039	RRC	5	DCCH	RRC Connection Reconfiguration Complete (6)
-	-	S1AP			192.168.1.41:36412 Handover notify (7)

1. Handover required:

Upon reception of Measurement Report A3, eNB1 triggers a Handover by sending a **Handover required** to MME. The source eNodeB indicates which bearers are subject to data forwarding.

2. Handover Request:

MME sends **Handover Request** message to the target eNodeB. This message creates the UE context in the target eNodeB, including information about the bearers, and the security context

3. Handover Request Acknowledge:

Target eNodeB responds back to the MME with a **Handover Request Acknowledge** message. This message carries the Handover Command message (RRC Connection Reconfiguration Request) in a transparent container

4. Handover Command:

MME sends a Handover Command message to the source eNodeB.

5. RRC Connection Reconfiguration:

Upon reception of the Handover command, source eNB sends the **RRC Connection Reconfiguration** message to the UE. The message contains a new C-RNTI and new DRB IDs. Upon reception of this message the UE will remove any EPS bearers for which it did not receive the corresponding EPS radio bearers in the target cell.

6. RRC Connection Reconfiguration Complete:

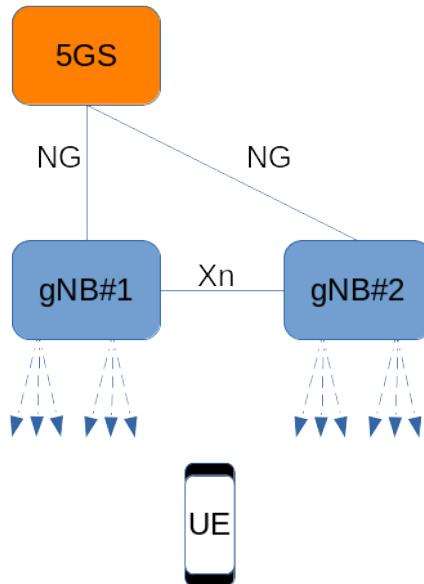
UE uses the preamble assigned in the handover command to send a RACH to the target eNodeB. The target eNodeB accepts the request and responds back with a timing adjustment and an uplink resource. UE uses the assigned resources to transmit the **RRC Connection**

Reconfiguration Complete (Handover Confirm message)

7. Handover Notify:

Target eNodeB sends a Handover Notify message to MME. Handover is successful

6 5G SA Handover



Amarisoft software supports intra gNB, XnAP and NGAP Handover.

6.1 Intra gNB Handover

The gNodeB can run several NR cells. The cells can be configured individually and share the same NG interfaces with the Core Network.

Cells can be configured on one (intra-band) or several (intra/inter band) SDR cards. Refer to lteenb.pdf, section 7.6.1, for limitations related to intra-band cells configured on the same SDR card.

An example of multi cell configuration for SA handover is given in `config/gnb-sa-ho.cfg`.

For each cell, a list of neighbor cells can be provided as in the example below:

```
ncell_list:
[
  {
    rat: "nr",
    cell_id: 0x02,
  }
],
```

where `cell_id` is the cell_id of the neighbor cell.

The handover can be manually initiated with the handover monitor command, the handover remote API, or automatically initiated based on UE measurements.

In case of handover based on UE measurement reports, a measurement object can be configured as in the example below:

```
/* measurement configuration */
meas_config_desc: {
    a1_report_type: "rsrp",
    a1_rsrp: -60,
    a1_hysteresis: 10,
    a1_time_to_trigger: 100,
    a2_report_type: "rsrp",
    a2_rsrp: -70,
    a2_hysteresis: 0,
    a2_time_to_trigger: 100,
    nr_handover: {
        a3_report_type: "rsrp",
        a3_offset: 6,
        hysteresis: 0,
        time_to_trigger: 100
    }
},
meas_gap_config: {
    pattern_id: 0
},
```

For handover procedure triggered by UE measurement report refer to [Handover triggered by UE measurement report], page 6.

To perform blind intra gNB handover triggered by gNB, the `handover` command or remote API can be used, refer to lteenb.pdf for more details.

6.2 Inter gNB Handover

The same prerequisites as per inter-eNB [Prerequisites], page 7, apply.

In case of inter gNB Handover (XnAP or NGAP), each gNB runs on a different host and they are both connected to the same AMF. AMF can run on one of the gNB machine or on a third one. The `gtp_address` defined in each gNB configuration has to be set to the address of the ethernet interface connected to the AMF.

```
/* GTP bind address (=address of the ethernet interface connected to
   the AMF). Must be modified if the AMF runs on a different host. */
gtp_addr: "192.168.30.1",
```

As both gNBs are connected to same AMF component, their ID must be different. Use a unique `gnb_id` on each configuration file.

```
gnb_id: 0x12345,
```

Each gNB configuration must define the serving cell and a list of fully described neighbor cells, as in the example below:

```
nr_cell_list: [
{
    rf_port: 0,
    cell_id: 0x01,
    n_id_cell: 500,

    band: 78,
    dl_nr_arfcn: 621300,

    ncell_list: [
    {
        ssb_nr_arfcn: 525850,
        dl_nr_arfcn: 526000,
        ul_nr_arfcn: 502000,
        n_id_cell: 501,
        gnb_id_bits: 28,
        nr_cell_id: 0x1234502,
        tac: 1,
        band: 7,
        ssb_subcarrier_spacing: 15,
        ssb_period: 5,
        ssb_offset: 0,
        ssb_duration: 1
    }],
}
```

Refer to lteenb.pdf for more details about each parameter.

6.2.1 XnAP Handover

To perform XnAP handover, a Xn connection between the gNBs is needed, for that `xn_peers` parameter has to be set to the ip address of the other gNB (refer to lteenb.pdf).

```
xn_peers: ["192.168.30.2"],
```

If this interface is not connected, NGAP handover is performed.

6.2.2 Handover procedures

The handover can be manually initiated with the handover monitor command, the handover remote API, or automatically initiated based on UE measurements.

In case of handover based on UE measurements, the same measurement configuration as for intra gNB handover can be used on both gNB.

```
/* measurement configuration */
meas_config_desc: {
    a1_report_type: "rsrp",
    a1_rsrp: -60,
    a1_hysteresis: 10,
    a1_time_to_trigger: 100,
    a2_report_type: "rsrp",
    a2_rsrp: -70,
    a2_hysteresis: 0,
    a2_time_to_trigger: 100,
    nr_handover: {
        a3_report_type: "rsrp",
        a3_offset: 6,
        hysteresis: 0,
        time_to_trigger: 100
    }
},
meas_gap_config: {
    pattern_id: 0
},
```

To trigger an handover based on UE measurement report refer to [Handover triggered by UE measurement report], page 6.

For details about **handover** monitor and remote API command refer to lteenb.pdf.

7 Troubleshooting

This chapter aims to list some basic checks to be done when handover is not carried out as expected.

7.1 Preconditions

Before starting handover testing, you must check first that UE can connect on each eNB/gNB independently and run Uplink/Downlink transfer.

If UE can't attach, check that:

- For 4G, eNB and MME are connected using `s1` command on eNB screen. S1 connection state should be "setup_done"
- For 5G, gNB and 5GS are connected using `xn` command on eNB screen. Xn connection state should be "setup_done"
- (For inter eNB/gNB handover) Check GPS clock is locked using `./sdr_util gps_state` command under `/root/trx_sdr` folder (LTE service must be turned off previously). If GPS clock is locked, date and time will be displayed

7.2 Measurement reports not triggered

If measurement reports are not sent by UE, check that :

1. Measurement configuration parameters have been set in `enb.cfg` file
2. Thresholds set in Measurement configuration are not too low and that UE doesn't lose the RRC connection before sending the events. `a1_rsrp: -70` and `a2_rsrp: -80` can be used as instance.
3. UE is in connected mode during the test and not in idle when cell conditions fulfill handover conditions.

7.3 Handover is triggered but UE fails to move on target cell

If after handover, UE is not seen on target cells check that

1. Radio frame are synchronized on both cells thanks to GPS antenna clock
2. UE can attach previously on target cell.
3. Cell power of target cell is good enough for UE to handover (may happen when consecutive handovers are triggered between two cells)

7.4 TRX discontinuity too wide

This message may happen if both cells running on two different SDR cards of same enB are not synchronized. In that case, check that USB cable is connected between OUT and IN of master and slave SDR card respectively. See `installguide.pdf` for more details.