Languages, Automata and Computation II
Assignment 1

Dominik Wawszczak Student ID Number: 440014

Group Number: 1

## Problem 1

We define an  $\omega$ -word u as universal if, and only if, for any  $i \in \mathbb{N}$ , there exists a j > i such that  $u[i] \neq u[j]$ . Intuitively, this means that u contains an infinite subsequence of the form  $(ab)^{\infty}$ . Note that if  $u \in \Sigma^{\omega}$  is universal, then for any  $v \in \Sigma^{\omega}$ , it holds that  $v \sqsubseteq u$ . This is because we can remove finitely or infinitely many letters from u to obtain  $(ab)^{\infty}$ , and for each i-th pair of consecutive ab occurrences, we can remove either a or b, depending on the value of v[i].

If an  $\omega$ -word u is not universal, then either  $\#_a(u)$  or  $\#_b(u)$  is finite. In the first case, u is of the form  $vb^{\infty}$ , and we will refer to such an  $\omega$ -word as a-long. In the second case, u is of the form  $va^{\infty}$ , and we will call it b-long. In both cases, v is a word of finite length.

Let  $u_1, u_2, \ldots$  be any infinite sequence of  $\omega$ -words. We will prove that there exist indices i < j such that  $u_i \sqsubseteq u_j$ . If there are at least two universal  $\omega$ -words in this sequence, the proof is straightforward since  $u_1$  can embed into the second universal word in the sequence. Otherwise, either there are infinitely many a-long words in the sequence, or there are infinitely many b-long words. Without loss of generality, assume there are infinitely many a-long words in the sequence. Let  $k_1, k_2, \ldots$  be the indices of the a-long words. Denote  $u_{k_l} = u'_{k_l} a^{\infty}$ , where  $u'_{k_l}$  is finite. By Higman's lemma, there exist indices i < j such that  $u'_{k_i}$  is a substring of  $u'_{k_j}$ , concluding the proof as  $u_{k_i} \sqsubseteq u_{k_i}$ .

Now, we will show that in the variant where only finitely many letters can be removed, the resulting relation is not a well-quasi-order. Consider the infinite sequence of  $\omega$ -words  $u_1, u_2, \ldots$  such that

$$u_i = a^i b a^{i+1} b a^{i+2} b \dots$$

Clearly, for any  $i \in \mathbb{N}^+$ , we have  $u_i \supseteq u_{i+1}$  because the first i+1 letters of  $u_i$  can be removed to obtain  $u_{i+1}$ .

Suppose, for the sake of contradiction, that there exists  $i \in \mathbb{N}^+$  such that  $u_i \sqsubseteq u_{i+1}$ . Let k be the smallest number such that a non expandable block of the form  $a^k b$  remains intact, after removing finitely many letters from  $u_{i+1}$  to obtain  $u_i$ . The number of letters b in  $u_{i+1}$  before this block equals k - i - 1. However, the number of letters b in  $u_i$  before this block equals k - i > 1, which is a contradiction, as letters can only be removed.

Suppose, for the sake of contradiction, that there exists  $i \in \mathbb{N}^+$  such that  $u_i \sqsubseteq u_{i+1}$ . Let k be the smallest number such that a non-expandable block of the form  $a^k b$  remains intact after removing finitely many letters from  $u_{i+1}$  to obtain  $u_i$ . The number of b letters in  $u_{i+1}$  before this block is k-i-1. However, the number of b letters in  $u_i$  before this block is k-i, which is greater than k-i-1, contradicting the fact that letters can only be removed.

From the above, we conclude that  $u_i \supseteq u_{i+1}$  for any  $i \in \mathbb{N}^+$ . Therefore,  $u_1, u_2, \ldots$  forms an infinite sequence of strictly decreasing elements, which shows that the relation is not a well-quasi-order.

## Problem 2

For any set  $A \subseteq \{1, \ldots, d\}$ , define a function  $f_A : \mathbb{N} \to \mathbb{N}^d$  as follows:

$$f_A(n) = ([1 \in A] \cdot n, \dots, [d \in A] \cdot n),$$

where [P] denotes the Iverson bracket, i.e., [P] = 1 if P is true, and [P] = 0 otherwise. A set A is called *good* if and only if  $f_A(n) \in X$  for every  $n \in \mathbb{N}$ . Note that  $\emptyset$  is good unless X is empty, which is a trivial case.

For any good set  $A \subseteq \{1, \ldots, d\}$ , let g(A) denote the set of tuples  $(a_1, \ldots, a_d)$  satisfying the following conditions:

- 1.  $a_i = 0$ , if  $i \in A$ ;
- 2.  $\bigvee_{n \in \mathbb{N}} (a_1, \dots, a_d) + f_A(n) \in X;$
- 3.  $\exists_{n_0 \in \mathbb{N}} \forall_{n \geqslant n_0} \forall_{i \in \{1,\dots,d\} \setminus A} (a_1,\dots,a_{i-1},a_i+1,a_{i+1},\dots,a_d) + f_A(n) \notin X,$

where  $(a_1, ..., a_d) + (b_1, ..., b_d) = (a_1 + b_1, ..., a_d + b_d)$ , for any tuples  $(a_1, ..., a_d)$  and  $(b_1, ..., b_d)$  in  $\mathbb{N}^d$ 

Suppose, for the sake of contradiction, that g(A) is infinite. Let

$$g(A) = \{(a_1^1, \dots, a_d^1), (a_1^2, \dots, a_d^2), \dots\}$$

By Dickson's lemma, the structure  $(\mathbb{N}^d, \leq)$  is a well-quasi-order. Therefore, there exist indices  $i, j \in \mathbb{N}$  such that i < j and  $(a_1^i, \ldots, a_d^i) \leq (a_1^j, \ldots, a_d^j)$ , contradicting the third condition for tuples in g(A).

Define  $\operatorname{down}(g(A))$  as the set of all tuples  $(b_1, \ldots, b_d) \in \mathbb{N}^d$  such that there exists a tuple  $(a_1, \ldots, a_d) \in g(A)$  with  $(a_1, \ldots, a_d) \geq (b_1, \ldots, b_d)$ . If g(A) is empty, let  $\operatorname{down}(g(A))$  be the singleton containing the zero tuple.

The set down(g(A)) is finite, with the following upper bound on its size:

$$|\text{down}(g(A))| \leq \sum_{(a_1,\dots,a_d)\in g(A)} \prod_{i=1}^d (a_i+1).$$

Using this, define a semilinear set

$$Y = \bigcup_{A \subseteq \{1, \dots, d\} \land A \text{ is good}} \left( \operatorname{down}(g(A)) + \left( \bigcup_{i \in A} ([1 = i], \dots, [d = i]) \right)^* \right).$$

By definition of g(A), we have  $Y \subseteq X$ . Our goal is to show that Y = X.

Suppose, for the sake of contradiction, that there exists a tuple  $(a_1, \ldots, a_d) \in X \setminus Y$ . We will construct a tuple  $(b_1, \ldots, b_d) \in X$  such that  $(b_1, \ldots, b_d) \geqslant (a_1, \ldots, a_d)$ . Since Y is downward closed, this will imply  $(b_1, \ldots, b_d) \in X \setminus Y$ .

Proceed through indices  $i=1,\ldots,d$ , choosing  $b_i$  iteratively while maintaining a set A, initially empty. Assume  $b_1,\ldots,b_{i-1}$  have been chosen. For all  $j\in\{1,\ldots,i-1\}$ , define  $b'_j=b_j$  if  $j\in A$ , and  $b'_j=0$  otherwise. If there exists a number  $c_i\in\mathbb{N}$  such that

• 
$$\forall_{n \in \mathbb{N}} (b'_1, \dots, b'_{i-1}, c_i, a_{i+1}, \dots, a_d) + f_A(n) \in X$$
, and

• 
$$\exists_{n_0 \in \mathbb{N}} \forall_{n \ge n_0} (b'_1, \dots, b'_{i-1}, c_i + 1, a_{i+1}, \dots, a_d) + f_A(n) \notin X$$
,

set  $b_i = c_i$ . Otherwise, let  $b_i = a_i$  and add i to A. Note that  $b_i \ge a_i$ .

By construction,  $(b_1, \ldots, b_d) \in X$ , and A is good. Furthermore,

$$\exists_{n_0 \in \mathbb{N}} \forall_{n \geqslant n_0} \forall_{i \in \{1,\dots,d\} \setminus A} (b_1,\dots,b_{i-1},b_i+1,b_{i+1},\dots,b_d) + f_A(n) \notin X.$$

Thus,

$$(b_1, \dots, b_d) \in \text{down}(g(A)) + \left(\bigcup_{i \in A} ([1 = i], \dots, [d = i])\right)^*.$$

This contradiction completes the proof that X is a semilinear set.