

# 知数堂公开课

最有良心、最有品质的在线培训品牌

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# 《经典SQL优化实例剖析》

知数堂培训公开课

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# 今天的案例

- 1 UDF 当作谓词情况下下优化案例

```
11:31: [redacted] > set [redacted]_last_insert_uuid='E1BD2BB9-4880-42BC-9232-C6070B89FE60';
Query OK, 0 rows affected (0.00 sec)

11:31: [redacted] > select guid from [redacted]s force index(PRI) where guid = [redacted]_last_insert_uuid();
+-----+
| guid |
+-----+
| E1BD2BB9-4880-42BC-9232-C6070B89FE60 |
+-----+
1 row in set (0.65 sec)

11:31: [redacted] > explain select guid from [redacted]s force index(PRI) where guid = [redacted]_last_insert_uuid();
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | partitions | type | possible_keys | key | key_len | ref | rows | filtered | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | [redacted]s | NULL | index | NULL | PRIMARY | 144 | NULL | 162968 | 10.00 | Using where; Using index |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
1 row in set, 1 warning (0.00 sec)

11:32: [redacted] > select guid from [redacted]s force index(PRI) where guid = [redacted]_last_insert_uuid();
+-----+
| guid |
+-----+
| E1BD2BB9-4880-42BC-9232-C6070B89FE60 |
+-----+
1 row in set (0.65 sec)
```

# 今天的案例

- 使用常数 效果非常好！

```
11:37: [redacted] > explain select guid from [redacted]s force index(PRI) where guid='E1B02BB9-4880-42BC-9232-C6070B89FE60';
```

id	select_type	table	partitions	type	possible_keys	key	key_len	ref	rows	filtered	Extra
1	SIMPLE	[redacted]s	NULL	const	PRIMARY	PRIMARY	144	const	1	100.00	Using index

```
1 row in set, 1 warning (0.00 sec)
```

# 今天的案例

- 一看 常数效果 非常好 问题就非常明确了 是因为where条件的谓词是函数，是时刻变化的 无法给定具体的值，导致执行计划变差
- 跟 sysdate 特性 非常像！

# 时间函数 now , sysdate

- desc select \* from salaries where emp\_no=10001 and from\_date>now();

```
zst01@3306>[employees]>desc select * from salaries where emp_no=10001 and from_date>now();
```

id	select_type	table	partitions	type	possible_keys	key	key_len	ref	rows	filtered	Extra
1	SIMPLE	salaries	NULL	range	PRIMARY,emp_no	PRIMARY	7	NULL	1	100.00	Using where

1 row in set, 1 warning (0.01 sec)

```
zst01@3306>[employees]>desc select * from salaries where emp_no=10001 and from_date>sysdate();
```

id	select_type	table	partitions	type	possible_keys	key	key_len	ref	rows	filtered	Extra
1	SIMPLE	salaries	NULL	ref	PRIMARY,emp_no	PRIMARY	4	const	17	33.33	Using where

1 row in set, 1 warning (0.00 sec)

```
[root@diedbs1 ~]# mysql --verbose --help|grep sysdate
mysql: can't change dir to '/var/lib/mysql/' (Errcode: 2 - No such file or directory)
--sysdate-is-now      Non-default option to alias SYSDATE() to NOW() to make it
sysdate-is-now        FALSE
[root@diedbs1 ~]#
```



# 最终修改的SQL

我们知道了原理 我们就用子查询把动态变化的函数，变成静态化，常数化 然后进行Join 达到优化的效果！

```
11:46: [REDACTED] explain select guid from ( select [REDACTED]_last_insert_uuid() a1 from dual limit 1 ) a join [REDACTED]s b on b.guid = a.a1;
```

id	select_type	table	partitions	type	possible_keys	key	key_len	ref	rows	filtered	Extra
1	PRIMARY	<derived2>	NULL	system	NULL	NULL	NULL	NULL	1	100.00	NULL
1	PRIMARY	b	NULL	const	PRIMARY	PRIMARY	144	const	1	100.00	Using index
2	DERIVED	NULL	NULL	NULL	NULL	NULL	NULL	NULL	NULL	NULL	No tables used

3 rows in set, 1 warning (0.00 sec)

# 今天的案例

- 2 下面的sql 是根据问题sql 核心部分重现

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
```



# 执行计划如下

```
***** 1. row *****
  id: 1
select_type: PRIMARY
  table: e
  partitions: NULL
  type: range
possible_keys: PRIMARY
  key: PRIMARY
  key_len: 4
  ref: NULL
  rows: 1000
  filtered: 100.00
  Extra: Using where; Using index
***** 2. row *****
  id: 2
select_type: DEPENDENT SUBQUERY
  table: <derived3>
  partitions: NULL
  type: ref
possible_keys: <auto_key0>
  key: <auto_key0>
  key_len: 16
  ref: employees.e.emp_no,const
  rows: 16580
  filtered: 100.00
  Extra: NULL
***** 3. row *****
  id: 3
select_type: DERIVED
  table: dept_emp
  partitions: NULL
  type: index
possible_keys: PRIMARY,emp_no,dept_no
  key: PRIMARY
  key_len: 16
  ref: NULL
  rows: 331603
  filtered: 50.00
  Extra: Using where
3 rows in set, 2 warnings (0.00 sec)
```

# 从执行计划看主要性能消耗点

- 1 怎么读这个执行计划 ？
- 2 从执行计划中发现主要消耗点在哪里 ？

# 1 怎么读这个执行计划 ？

- 1 mysql 现在只支持nested loop join
- 2 从上到下 第一行开始读
- 3 table 列里的值含有 < derived+id> 就先跳到对应的id中 然后返回
- 4 type 列中的 range , ref , index 分别表示索引范围扫描 , join 中等号连接 不能保证唯一性 , 索引全扫描
- 5 rows 是预估的行数 越少越好！

## 2 从执行计划中发现主要消耗点在哪里？

- 1 根据上面讲的内容 这个执行计划分析如下
- 先对e 表进行 range 扫描 然后 和 dept\_emp 的结果集 进行 nested loop join
- 2 因为id 3 进行了 index 扫描 所以导致 rows 很大 所以优化策略出来了 想尽办法对 id=3 rows减少！

# 怎么减少 rows ?

- 1 我们在来分析这个sql
- 红线里面就是id=3的部分
- 这部分开发到底想表达什么 ?

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ?

- 1 从最里面的视图开始说起
- 2 这部分表达的是 经过where 条件过滤之后 根据 From\_date 进行倒序排序!

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
and e.emp_no <= 11000
```



# 怎么减少 rows ?

- 1 在红框以内椭圆形以外的部分
- 2 然后通过emp\_no 进行了分组 !
- 3 这是5.6的时候是可以的但5.7报错!
- 4 相当于 求 emp\_no为唯一值 from\_date 为 最大值的那一行 跟oracle 的 row\_number over 类似

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ?

- 1 红箭头部分表示 对from\_date为最大值的行如果dept\_no为 d003 就满足条件!

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ？

- 1 我们想把rows 减少就必须得把红框部分的条件塞进去 ！

```
select emp_no from employees e
where exists (
select b1.emp_no,b1.dept_no,b1.from_date from (
select t2.emp_no,t2.dept_no,t2.from_date from (
select emp_no,dept_no,from_date from dept_emp where dept_no between 'd002' and 'd006'
order by from_date desc ) t2
group by t2.emp_no ) b1
where b1.emp_no = e.emp_no
and b1.dept_no = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ?

- 1 利用max having 改写sql 达到跟原来的语义一样的sql
- 我们通过 concat 合并 两个列之后 利用max
- 进行筛选 然后利用having 的特点进行过滤！

```
select e.emp_no from employees e
where exists (
select max(concat(d.from_date, '|' , d.dept_no )) cc from dept_emp d
where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
having substring_index(cc, '|', -1) = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ?

- 1 为什么去掉 group by 了 ?
- 因为 在 where 条件上有了 emp\_no 就可以去掉!
- 2 为什么去掉 order by desc 了 ?

```
select e.emp_no from employees e
where exists (
  select max(concat(d.from_date, '|', d.dept_no)) cc from dept_emp d
  where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
  having substring_index(cc, '|', -1) = 'd003'
)
and e.emp_no <= 11000
```



# 怎么减少 rows ?

- 3 为什么要使用having ?
- 因为不想再嵌套一层子查询了
- 如果不用 having 用子查询是什么情况 ?

```
select e.emp_no from employees e
where exists (
select max(concat(d.from_date,'|', d.dept_no )) cc from dept_emp d
where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
having substring_index(cc,'|',-1) = 'd003'
)
and e.emp_no <= 11000
```



# 怎么减少 rows ？

- 如果不用 having 用子查询是什么情况 ？
- 改写成如下 看样子没啥问题！

```
select e.emp_no from employees e
where exists (
select b.cc from (
select max(concat(d.from_date,'|', d.dept_no )) cc from dept_emp d
      where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
)b where substring_index(b.cc,'|',-1) = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ？

- 如果不用 having 用子查询是什么情况 ？
- 直接报错了！ Mysql 不支持这种语法！

```
root@mysql3306.sock>[employees]> select e.emp_no from employees e
-> where exists (
-> select b.cc from (
-> select max(concat(d.from_date,'|', d.dept_no)) cc from dept_emp d where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
-> )b where substring_index(b.cc,'|',-1) = 'd003'
-> )
-> and e.emp_no <= 11000;
ERROR 1054 (42S22): Unknown column 'e.emp_no' in 'where clause'
```

# 怎么减少 rows ?

## 修改之后的SQL

```
select e.emp_no from employees e
where exists (
  select max(concat(d.from_date,'|', d.dept_no )) cc from dept_emp d
  where d.dept_no between 'd002' and 'd006' and d.emp_no = e.emp_no
  having substring_index(cc,'|',-1) = 'd003'
)
and e.emp_no <= 11000
```

# 怎么减少 rows ?

## 查看修改之后的执行计划

```
***** 1. row *****
      id: 1
select_type: PRIMARY
      table: e
  partitions: NULL
         type: range
possible_keys: PRIMARY
          key: PRIMARY
       key_len: 4
          ref: NULL
         rows: 1000
   filtered: 100.00
      Extra: Using where; Using index
***** 2. row *****
      id: 2
select_type: DEPENDENT SUBQUERY
      table: d
  partitions: NULL
         type: ref
possible_keys: PRIMARY,emp_no,dept_no
          key: PRIMARY
       key_len: 4
          ref: employees.e.emp_no
         rows: 1
   filtered: 50.00
      Extra: Using where
2 rows in set, 2 warnings (0.01 sec)
```

# 检验数据

1 运行原来的 sql

```
10823
10829
10892
10907
10908
10920
10936
+-----+
48 rows in set (0.00 sec)
```

2 我们修改的sql

```
10815
10818
10823
10829
10892
10907
10908
10920
10936
+-----+
53 rows in set (0.00 sec)
```

数据怎么不对了？到底哪里出问题了？

# 检验数据

1 原SQL的 转换之后的SQL

发现了 原来的order by 没了 ！

```
zst01@3306>[employees]>show warnings\G
***** 1. row *****
Level: Note
Code: 1276
Message: Field or reference 'employees.e.emp_no' of SELECT #2 was resolved in SELECT #1
***** 2. row *****
Level: Note
Code: 1003
Message: /* select#1 */ select `employees`.`e`.`emp_no` AS `emp_no` from `employees`.`employees` `e`
where (exists(/* select#2 */ select `b1`.`emp_no`,`b1`.`dept_no`,`b1`.`from_date`
from (/* select#3 */ select `employees`.`dept_emp`.`emp_no` AS `emp_no`,`employees`.`dept_emp`.`dept_no` AS `dept_no`,
employees`.`dept_emp`.`from_date` AS `from_date` from `employees`.`dept_emp`
where (`employees`.`dept_emp`.`dept_no` between 'd002' and 'd006')
group by `employees`.`dept_emp`.`emp_no`) `b1`
where ((`b1`.`emp_no` = `employees`.`e`.`emp_no`)
and (`b1`.`dept_no` = 'd003'))
and (`employees`.`e`.`emp_no` <= 11000))
```



# 检验数据

在原来的SQL中添加distinct 之后的结果发现含有order by ！

```
root@mysql3306.sock>[employees]>show warnings\G
```

```
***** 1. row *****
```

```
Level: Note
```

```
Code: 1276
```

```
Message: Field or reference 'employees.e.emp_no' of SELECT #2 was resolved in SELECT #1
```

```
***** 2. row *****
```

```
Level: Note
```

```
Code: 1003
```

```
Message: /* select#1 */ select `employees`.`e`.`emp_no` AS `emp_no` from `employees`.`employees` `e`  
where (exists(/* select#2 */ select `b1`.`emp_no`,`b1`.`dept_no`,`b1`.`from_date`  
from (/* select#3 */ select `t2`.`emp_no` AS `emp_no`,`t2`.`dept_no` AS `dept_no`,`t2`.`from_date` AS `from_date`  
from (/* select#4 */ select distinct `employees`.`dept_emp`.`emp_no` AS `emp_no`,  
`employees`.`dept_emp`.`dept_no` AS `dept_no`,`employees`.`dept_emp`.`from_date` AS `from_date` from `employees`.`dept_emp`  
where (`employees`.`dept_emp`.`dept_no` between 'd002' and 'd006') order by `employees`.`dept_emp`.`from_date` desc `t2`  
group by `t2`.`emp_no`) `b1`  
where ((`b1`.`emp_no` = `employees`.`e`.`emp_no`) and (`b1`.`dept_no` = 'd003')) and (`employees`.`e`.`emp_no` <= 11000))  
2 rows in set (0.00 sec)
```

# 检验数据

运行之后的结果 跟我们修改之后的结果一样！

发现 原来的SQL是有问题的！

```
10748  
10766  
10783  
10806  
10815  
10818  
10823  
10829  
10892  
10907  
10908  
10920  
10936
```

+-----+  
53 rows in set

知数堂培训是由资深MySQL专家叶金荣、吴炳锡联合推出专业优质在线培训课程，目前主要有MySQL DBA实战优化和Python运维开发两个课程，是业内最有良心、最有品质的培训课程。

### 现有课程

MySQL DBA 实战班

MySQL DBA 优化班

Python运维开发班

SQL开发优化班

