Quantum Field Theory And Condensed Matter R. Shankar

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1. THERMODYNAMICS AND STATISTICAL MECHANICS REVIEW

1.1.

For an ideal gas, show that

$$T(S,V) = \frac{\partial U}{\partial S} = \frac{2}{3nR}U$$

to obtain a function of T. Next construct F(T,V)=U(T)-S(T,V)T and show that,

$$F(T,V) = \frac{3nRT}{2} \Big[(1+\ln C) - \ln \frac{3nRT}{2} - \frac{2}{3} \ln V \Big].$$

Verify that the partial derivatives with respect to T and V give the expected results for the entropy and pressure for an ideal gas.

Sol)

Starting from the definition of U,

$$U = C \left(\frac{e^{S/nR}}{V}\right)^{2/3}$$

$$T = \frac{\partial U}{\partial S} = \frac{C}{V^{2/3}} \frac{\partial}{\partial S} e^{2S/3nR}$$

$$= \frac{C}{V^{2/3}} \frac{2}{3nR} e^{2S/3nR} = \frac{2}{3nR} U.$$
(1.1)

1.2.

Evaluate $Z(\beta)$ for the classical oscillator.

Sol)

$$Z(\beta) = \int_{+\infty}^{-\infty} \int_{+\infty}^{-\infty} e^{-\beta \left(\frac{p^2}{2m} + \frac{1}{2}m\omega_0^2 x^2\right)} dp dx$$

$$= \int_{+\infty}^{-\infty} e^{-\frac{\beta}{2m}p^2} dp \int_{+\infty}^{-\infty} e^{-\frac{\beta}{2}m\omega_0^2 x^2} dx$$

$$= \sqrt{\frac{2m\pi}{\beta}} \sqrt{\frac{2\pi}{m\omega_0^2 \beta}}$$

$$= \frac{2\pi}{\omega_0 \beta}$$
(1.2)

Thus for a classical oscillator,

$$Z(\beta)_c = \frac{2\pi}{\omega_0 \beta} \tag{1.3}$$

1.3.

Evaluate $Z(\beta)$ for the quantum oscillator.

Sol)

For the quantum case, consider the Hamiltonian of the osciallator.

$$H(X,P) = \frac{P^2}{2m} + \frac{1}{2}m\omega_0^2 X^2 \tag{1.4}$$

The eigenvalues E_n of H(X, P) can be derived as

$$E_n = \left(n + \frac{1}{2}\right)\hbar\omega_0\tag{1.5}$$

The partition function $Z(\beta)$ is,

$$Z(\beta) = \sum_{n=0}^{\infty} e^{-\beta E_n} = \sum_{n=0}^{\infty} e^{-\beta \left(n + \frac{1}{2}\right)\hbar\omega_0}$$

$$= e^{-\beta \frac{1}{2}\hbar\omega_0} \sum_{n=0}^{\infty} e^{-\beta n\hbar\omega_0}$$

$$= e^{-\beta \frac{1}{2}\hbar\omega_0} \left(\frac{1}{1 - e^{-\beta\hbar\omega_0}}\right)$$

$$= \left[\sinh\left(\frac{1}{2}\beta\hbar\omega_0\right)\right]^{-1}$$
(1.6)

Thus for the quantum oscillator,

$$Z(\beta)_q = \left[\sinh\left(\frac{1}{2}\beta\hbar\omega_0\right)\right]^{-1} \tag{1.7}$$

1.4.

Evaluate $\langle E \rangle$ for the classical and quantum oscillators. Show that they become equal in the appropriate limit.

Sol)

For the classical oscillator,

$$\langle E \rangle_c = -\frac{\partial \ln Z_c}{\partial \beta}$$

$$= -\frac{\partial}{\partial \beta} [\ln 2\pi - \ln(\omega_0 \beta)]$$

$$= \frac{\partial}{\partial \beta} \ln(\omega_0 \beta) = \beta^{-1}$$
(1.8)

For the quantum oscillator,

$$\langle E \rangle_q = -\frac{\partial \ln Z_q}{\partial \beta}$$

$$= \frac{\partial}{\partial \beta} \ln \left(\sinh \left(\frac{1}{2} \beta \omega_0 \hbar \right) \right)$$

$$= \frac{1}{2} \omega_0 \hbar \left[\tanh \left(\frac{1}{2} \beta \omega_0 \hbar \right) \right]^{-1}$$
(1.9)

Consider $\hbar \sim 0$ limit,

$$\langle E \rangle_q \sim \frac{1}{2} \omega_0 \hbar \left(\frac{1}{2} \beta \omega_0 \hbar \right)^{-1} = \beta^{-1}$$
 (1.10)

Thus under the limit $\hbar \sim 0$, $\langle E \rangle_q \sim \langle E \rangle_c$.

1.5.

Apply the grand canonical ideas to a system which is a quantum state of energy ϵ that may be occupied by fermions(bosons). Show that in the two cases,

$$\langle N \rangle = n_{F/B} = \frac{1}{e^{\beta(\epsilon - \mu)} \pm 1}.$$

These averages are commonly referred to with lower case symbols as n_F and n_B respectively.

Sol)

First, let us consider the grand partition function of both fermionic and bosonic systems. Let it \mathcal{Z}_F and \mathcal{Z}_B respectively. As bosons are indistinguishable and any number can occupy a certain energy state, we could write \mathcal{Z}_B as

$$\mathcal{Z}_B = \sum_{N=0}^{\infty} e^{N\beta(\mu - \epsilon)} = \frac{1}{1 - e^{\beta(\mu - \epsilon)}}$$
 (1.11)

A fermionic system will only have 2 states due to the Pauli exclusion theorem, so Z_F would be expressed as,

$$\mathcal{Z}_F = e^{0 \cdot \beta(\mu - \epsilon)} + e^{1 \cdot \beta(\mu - \epsilon)} = e^{\beta(\mu - \epsilon)} + 1 \tag{1.12}$$

Using the relation between $\langle N \rangle$ and \mathcal{Z} ,

$$\langle N \rangle = \frac{1}{\beta Z} \left(\frac{\partial Z}{\partial \mu} \right) = \frac{1}{\beta} \frac{\partial \ln Z}{\partial \mu}$$
 (1.13)

For the fermionic system \mathcal{Z}_F ,

$$n_F = \frac{1}{\beta} \left(\frac{\partial \ln \mathcal{Z}_F}{\partial \mu} \right) = \frac{1}{e^{\beta(\epsilon - \mu)} + 1}$$
 (1.14)

For the bosonic sysytem \mathcal{Z}_B ,

$$n_B = \frac{1}{\beta} \left(\frac{\partial \ln \mathcal{Z}_B}{\partial \mu} \right) = \frac{1}{e^{\beta(\epsilon - \mu)} - 1}$$
 (1.15)

Thus for fermionic(bosonic) quantum systems, $\langle N \rangle$ can be expressed as

$$n_{F/B} = \frac{1}{e^{\beta(\epsilon - \mu)} \pm 1} \tag{1.16}$$

2. The Ising Model in d=0 and d=1

2.1.

Show that

$$\frac{\partial^2 [-\beta F(K, h_1, h_2)]}{\partial h_1 \partial h_2} = \langle s_1 s_2 \rangle - \langle s_1 \rangle \langle s_2 \rangle$$

Sol)

As
$$Z = e^{-\beta F}$$
,

$$\frac{\partial^{2}[-\beta F(K, h_{1}, h_{2})]}{\partial h_{1} \partial h_{2}} = \frac{\partial^{2} \ln Z}{\partial h_{1} \partial h_{2}}$$

$$= \frac{1}{Z} \frac{\partial^{2} Z}{\partial h_{1} \partial h_{2}} - \frac{1}{Z^{2}} \frac{\partial Z}{\partial h_{1}} \frac{\partial Z}{\partial h_{2}}$$
(2.1)

Also as $Z = \sum e^{Ks_1s_2 + h_1s_1 + h_2s_2}$

$$\frac{1}{Z} \frac{\partial^2 Z}{\partial h_1 \partial h_2} = \frac{1}{Z} \frac{\partial}{\partial h_1} \left(\frac{\partial Z}{\partial h_2} \right)$$

$$= \frac{1}{Z} \frac{\partial}{\partial h_1} \left(\sum_{s_1, s_2} s_2 e^{Ks_1 s_2 + h_1 s_1 + h_2 s_2} \right)$$

$$= \frac{1}{Z} \left(\sum_{s_1, s_2} s_1 s_2 e^{Ks_1 s_2 + h_1 s_1 + h_2 s_2} \right) = \langle s_1 s_2 \rangle$$
(2.2)

The second term,

$$\frac{1}{Z^2} \frac{\partial Z}{\partial h_1} \frac{\partial Z}{\partial h_2} = \left(\frac{1}{Z} \frac{\partial Z}{\partial h_1}\right) \left(\frac{1}{Z} \frac{\partial Z}{\partial h_2}\right)$$

$$= \frac{1}{Z} \left(\sum_{s_1, s_2} s_1 e^{Ks_1 s_2 + h_1 s_1 + h_2 s_2}\right) \frac{1}{Z} \left(\sum_{s_1, s_2} s_2 e^{Ks_1 s_2 + h_1 s_1 + h_2 s_2}\right)$$

$$= \langle s_1 \rangle \langle s_2 \rangle$$
(2.3)

Thus,

$$\langle s_1 s_2 \rangle_c \equiv \frac{\partial^2 [-\beta F(K, h_1, h_2)]}{\partial h_1 \partial h_2} = \langle s_1 s_2 \rangle - \langle s_1 \rangle \langle s_2 \rangle \tag{2.4}$$

2.2.

Show that

$$\begin{split} \langle s_1 s_2 s_3 s_4 \rangle_c &\equiv \frac{\partial^4 (-\beta F)}{\partial h_1 \partial h_2 \partial h_3 \partial h_4} \Big|_{h=0} \\ &= \langle s_1 s_2 s_3 s_4 \rangle - \langle s_1 s_2 \rangle \langle s_3 s_4 \rangle - \langle s_1 s_3 \rangle \langle s_2 s_4 \rangle - \langle s_1 s_4 \rangle \langle s_2 s_3 \rangle \end{split}$$

Sol)

The partition function for four spins with their source terms can be written as

$$Z = \sum_{s_1, s_2, s_3, s_4} e^{K(s_1 s_2 s_3 s_4) + h_1 s_1 + h_2 s_2 + h_3 s_3 + h_4 s_4}$$
(2.5)

We know that $s_i \in \{+1, -1\}$ and the source terms were originally

$$h(s_1 + s_2 + s_3 + s_4) = h_1 s_1 + h_2 s_2 + h_3 s_3 + h_4 s_4$$
(2.6)

so for the h = 0 condition, there shouldn't be

2.3.

Derive $\langle s_1 s_2 \rangle$ and discuss its K dependence at h = 0.

Sol)

Recall that $Z = \sum e^{K(s_1 s_2) + h(s_1 + s_2)} = 2e^K \cosh(2h) + 2e^{-K}$.

$$\langle s_1 s_2 \rangle = \frac{1}{Z} \sum_{s_1, s_2} s_1 s_2 e^{K(s_1 s_2) + h(s_1 + s_2)}$$

$$= \frac{1}{Z} \frac{\partial Z}{\partial K} = \frac{\partial \ln Z}{\partial K}$$

$$= \frac{\partial}{\partial K} \left[\ln(2e^K \cosh(2h) + 2e^{-K}) \right]$$

$$= \frac{e^K \cosh(2h) - e^{-K}}{e^K \cosh(2h) + e^{-K}}$$
(2.7)

Considering the h = 0 case,

$$\langle s_1 s_2 \rangle \big|_{h=0} = \frac{e^K - e^{-K}}{e^K + e^{-K}}$$

$$= \frac{\sinh(K)}{\cosh(K)} = \tanh(K)$$
(2.8)

Thus at h = 0, K dependence of $\langle s_1 s_2 \rangle$ shows up to be $\tanh(K)$.

2.4.

Show that if you use the rates specified by the Metropolis algorithm, you get

$$\frac{p(i)}{p(j)} = e^{-\beta(E_i - E_j)}$$

Given a computer that can generate a random number between 0 and 1, how will you accept a jump with probability $e^{-\beta(E_i-E_j)}$?

Sol)

Let us first assume that the energy levels of two states i and j is given as $E_j > E_i$. At equilibrium, we will have $\frac{dp(i)}{dt} = 0$.

3. STATISTICAL TO QUANTUM MECHANICS

3.1.

- (i). Consider $U(x, x'; \tau)$ for the oscillator as $\tau \to \infty$ and read off the ground-state wavefunction and energy. Compare to what you learned as a child. Given this, try to pull out the next state from the subdominant terms.
- (ii). Set x = x' = 0 and extract the energies from $U(\tau)$ in Eq. (3.24). Why are some energies missing?

Sol)

For an arbitrary initial state, $|\psi(0)\rangle$

$$\lim_{\tau \to \infty} \langle x | U(\tau) | x' \rangle | \psi(0) \rangle = \lim_{\tau \to \infty} U(x, x'; \tau) | \psi(0) \rangle = \psi_0(x) \psi_0(x')^* | \psi(0) \rangle e^{-\frac{1}{\hbar} E_0 \tau}$$
(3.1)

Also for a quantum harmonic oscillator we know that $U(x, x'; \tau)$ is given as

$$U(x, x'; \tau) = \sqrt{\frac{m\omega}{2\pi\hbar \sinh \omega \tau}} \exp\left[-\frac{m\omega}{2\pi\hbar \sinh \omega \tau} ((x^2 + x'^2) \cosh \omega \tau - 2xx')\right]$$
$$= \sqrt{\frac{m\omega}{2\pi\hbar}} \sqrt{\frac{1}{\sinh \omega \tau}} \exp\left[-\frac{m\omega}{2\pi\hbar} ((x^2 + x'^2) \frac{1}{\tanh \omega \tau} - 2\frac{xx'}{\sinh \omega \tau})\right]$$
(3.2)

Considering the limit of $\tau \to \infty$, where $\sinh \omega \tau \to \frac{1}{2} e^{\omega \tau}$ and $\tanh \omega \tau \to 1$

$$\lim_{\tau \to \infty} U(x, x'; \tau) = \lim_{\tau \to \infty} \sqrt{\frac{m\omega}{\pi\hbar}} \sqrt{\frac{1}{e^{\omega\tau}}} \exp\left[-\frac{m\omega}{2\pi\hbar} ((x^2 + x'^2) - \frac{4xx'}{e^{\omega\tau}})\right]$$

$$= \sqrt{\frac{m\omega}{\pi\hbar}} \exp\left[-\frac{m\omega}{2\pi\hbar} ((x^2 + x'^2) - \frac{1}{2}\omega\tau)\right]$$
(3.3)

Comparing the result with (3.1), terms with τ should be identical

$$e^{-\frac{1}{\hbar}E_0\tau} = e^{-\frac{1}{2}\omega\tau} \tag{3.4}$$

resulting the ground state energy to be $E_0 = \frac{1}{2}\hbar\omega$. For the ground state wave function,

$$\psi_0(x)\psi_0(x')^* = \sqrt{\frac{m\omega}{\pi\hbar}} \exp\left[-\frac{m\omega}{2\pi\hbar}(x^2 + x'^2)\right]$$

$$= \left[\left(\frac{m\omega}{\pi\hbar}\right)^{\frac{1}{4}} e^{-\frac{m\omega x^2}{2\hbar}}\right] \left[\left(\frac{m\omega}{\pi\hbar}\right)^{\frac{1}{4}} e^{-\frac{m\omega x'^2}{2\hbar}}\right]$$
(3.5)

Thus we can conclude that the ground state wave function $\psi_0(x)$ is

$$\psi_0(x) = \left(\frac{m\omega}{\pi\hbar}\right)^{\frac{1}{4}} e^{-\frac{m\omega x^2}{2\hbar}} \tag{3.6}$$

Setting x = x' = 0

3.2.

Check that the free energy per site is the same as above for periodic boundary conditions starting with

$$Z = \operatorname{Tr} T^{N}. (3.7)$$

Sol)

Let's assume λ_0, λ_1 as the eigenvalues of T and their respective eigenvectors as $|0\rangle, |1\rangle$. We can expand T and express T^N as,

$$T = \lambda_0 |0\rangle \langle 0| + \lambda_1 |1\rangle \langle 1| , T^N = \lambda_0^N |0\rangle \langle 0| + \lambda_1^N |1\rangle \langle 1|$$
(3.8)

and it tells us that the eigenvalues for T^N are λ_0^N, λ_1^N . Using the periodic boundary condition $s_0 = s_N$ for the d = 1 Ising model,

$$Z = \operatorname{Tr} T^N = \lambda_0^N + \lambda_1^N \tag{3.9}$$

Let us consider $\lambda_0 > \lambda_1$, and calculate the free energy per site under thermodynamic limits.

$$-f \sim \lim_{N \to \infty} \frac{1}{N} \ln Z$$

$$= \lim_{N \to \infty} \frac{1}{N} \ln \left[\lambda_0^N \left(1 + \mathcal{O}(\lambda_1/\lambda_0)^N \right) \right]$$

$$= \lim_{N \to \infty} \left[\ln \lambda_0 + \frac{1}{N} \mathcal{O}(\lambda_1/\lambda_0)^N \right] = \ln \lambda_0$$
(3.10)

It shows up to be that the free energy per site f is the same whether we choose fixed or periodic boundary conditions for the d = 1 Ising model.

3.3.

Show that if you invert

$$\tanh K^*(K) = e^{-2K} \tag{3.11}$$

you find $\tanh K = e^{-2K^*}$.

Sol)

Starting from $\tanh K^* = e^{-2K}$

$$\tanh K^* = \frac{e^{2K^*} - 1}{e^{2K^*} + 1} = e^{-2K}$$

$$1 - \frac{2}{e^{2K^*} + 1} = e^{-2K}$$

$$\frac{2}{e^{2K^*} + 1} = 1 - e^{-2K}$$

$$e^{2K^*} + 1 = \frac{2}{1 - e^{-2K}}$$

$$e^{2K^*} = \frac{2}{1 - e^{-2K}} - 1 = \frac{1 + e^{-2K}}{1 - e^{-2K}}$$

$$e^{-2K^*} = \frac{e^K - e^{-K}}{e^K + e^{-K}} = \tanh K$$
(3.12)

3.4.

Verify that when the correlation function of Eq.(3.61) is inserted into Eq.(3.62), the power law prefactor drops out in the determination of ξ .

Sol)

Eq.(3.61) states that

$$\lim_{|j-i|\to\infty} \langle s_i s_j \rangle_c \sim \frac{e^{-|j-i|/\xi}}{|j-i|^{d-2+\eta}}$$
(3.13)

Inserting this into Eq.(3.62),

$$\lim_{|j-i|\to\infty} \left[-\frac{\ln\langle s_i s_j \rangle_c}{|j-i|} \right] = \lim_{|j-i|\to\infty} \left[-\frac{1}{|j-i|} \ln\left(\frac{e^{-|j-i|/\xi}}{|j-i|^{d-2+\eta}}\right) \right]$$

$$= \lim_{|j-i|\to\infty} \frac{1}{|j-i|} \left[\frac{|j-i|}{\xi} + (d-2+\eta) \ln|j-i| \right]$$

$$= \lim_{|j-i|\to\infty} \left[\frac{1}{\xi} + (d-2+\eta) \frac{\ln|j-i|}{|j-i|} \right]$$

$$= \xi^{-1}$$
(3.14)

3.5.

Show that f in Eq.(3.74) agrees with Eq.(2.31) upon subtracting $\ln \cosh K^*$ and using the definition of K^* .

Sol)

To avoid confusion let f in Eq.(3.74) as $f' = -K^*$. By the definition of K^* , we know that $\tanh K = e^{-2K^*}$.

$$f' - \ln \cosh K^* = -K^* - \ln \cosh K^*$$

$$= \tag{3.15}$$

3.6.

(Very Important) Find the eigenvalues of T in Eq. (3.78) and show that there is degeneracy only for $h = K^* = 0$. Why does this degeneracy not violate the Perron-Frobenius theorem? Show that the magnetization is

$$\langle s \rangle = \sinh h / \left(\sqrt{\sinh^2 h + e^{-4K}} \right)$$

in the thermodynamic limit.

3.7.

Consider the correlation function for the h=0 problem with periodic boundary conditions and write it as a ratio of two traces. Saturate the denominator with the largest eigenket, but keep both eigenvectors in the numerator and show that the answer is invariant under $j-i \leftrightarrow N-(j-i)$. Using the fact that σ_3 exchanes $|0\rangle$ and $|1\rangle$ should speed things up. Argue that as long as |j-i| is much smaller than N, only one term is needed.

3.8.

Recall the remarkable fact that the correlation function $\langle s_j s_i \rangle$

4. QUANTUM TO STATISTICAL MECHANICS

4.1.

Write $T_{ss'} = e^{R(s,s')}$, and expand the function R in a series, allowing all possible powers of s and s'. Show that R(s,s') = Ass' + B(s+s') + C follows, given that $s^2 = s'^2 = 1$ and both $T_{ss'}$ and R are symmetric under $s \leftrightarrow s'$.

4.2.

(Important)

(i). Solve Eq.(4.9) for K, h and c terms of B_1, B_3 and ε by choosing $s = s' = \pm 1$ and s = -s', and show that

$$\tanh h = \frac{B_3}{B} \tanh \varepsilon B$$

$$e^{-2K+c} = \frac{B_1}{B} \sinh \varepsilon B$$

$$e^2 c \left(1 - e^{-4K}\right) = 1$$

4.3.

Verify that the exact results in Eqs. (4.10) - (4.12) from Exercise 4.2 reduce to Eqs. (4.20) and (4.21) and c = 0 to $\mathcal{O}(\varepsilon)$.

Sol)

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5. THE FEYNMAN PATH INTEGRAL

5.1.

Derive Eq. (5.8) by introducing a resolution of the identity in terms of momentum states between the exponential operator and the position eigenket on the left-hand side of Eq. (5.8).

Sol)

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5.2.

To show that the higher derivatives can be ignored in the limit $\alpha \to \infty$, first shift the origin to $x = x_0$ and estimate the average value of the new x^2 in terms of α . Argue that a factor $e^{\alpha x^3}$ will be essentially equal to 1 in the region where the Gaussian integral has any real support.

Sol)

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5.3.

(Important) Consider the oscillator. First solve for a trajectory that connects the spacetime points (x,0) to (x',t) by choosing the two free parameters in its solution. (Normally you choose them given the initial position and velocity; now you want the solution to go through the two end points in spacetime.) Then find its action and show that

$$U(x', x; t) = A(t) \left[\frac{im\omega}{2\hbar \sin \omega t} \left[(x^2 + x'^2) \cos \omega t - 2x'x \right] \right]$$

If you want A(t) you need to modify the trick used for the free particle, since the exponential is not a Gaussian in (x-x'). Note, however, that if you choose x=0, it becomes a Gaussian in x' which allows you to show that $A(t)=(m\omega/2\pi i\hbar\sin\omega t)^{1/2}$. That the answer for the fluctuation integral A does not depend on the classical path, especially the end ponts x or x', is a propert of the quadratic action. It amounts in our toy model to the fact that if F(x) is quadrati, that is $F=ax^2+bx+c$, then F''=2a for all x, including the minimum.

Sol)

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5.4.

Include the kinetic energy $p^2/2m$ of the atoms in the Boltzmann wight and do the p integrals as part of Z. Obtain an overall factor $(\sqrt{2\pi mkT})^{N-1}$ and show that the statistical properties of the x's are still given by the Z in Eq.(5.44).

Sol)

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5.5.

(Very Important) Assume that

$$H = \sum_{1}^{N} E_{0} |n\rangle \langle n| - t (\langle n| |n+1\rangle + \langle n+1| |n\rangle)$$

describes the low-energy Hamiltonian of a particle in a periodic potential with minima at integers n. The integers n go from 1 to N since it is assumed that the world is a ring of length N, so that the (N+1)th point is the "inArst. Thus, the problem has symmetry under translation by one site despite the "inAnite length of the world. The "inArst term in H represents the energy of the Gaussian state centered at x=n

Sol)

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