

General Topology

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1 METRIC SPACES

Def 1. A **metric** d defined on a set X is a mapping $d : X \times X \rightarrow \mathbb{R}$ which has the following properties.

$$(m_1) \quad \forall x, y \in X : d(x, y) \geq 0, \quad d(x, y) = 0 \iff x = y$$

$$(m_2) \quad \forall x, y \in X : d(x, y) = d(y, x)$$

$$(m_3) \quad \forall x, y, z \in X : d(x, y) + d(y, z) \geq d(x, z)$$

If such map is well defined on a certain set X , we can now introduce the notion of metric spaces, which is merely a set equipped with a well defined metric.

Def 2. A **metric space** (X, d) is a set X equipped with a metric $d : X \times X \rightarrow \mathbb{R}$.

Ex 1. These are some simple examples of metric spaces.

1) (\mathbb{R}^n, d) where $d(x, y) =$

2) (X, d) where $d(x, y) = 0$ if $x = y$ and $d(x, y) = 1$ if $x \neq y$ (**discrete metric**)

Now it is natural to think of how the metric would be affected under the subsets, and the following theorem states that the restriction of such metric is still a metric on the subsets.

Thm 1. *Let (X, d) be a metric space and let $Y \subset X$. Then $d|_{Y \times Y}: Y \times Y \rightarrow \mathbb{R}$ is a metric on Y .*

Proof. left as an exercise □

Def 3. *Let (X, d) be a metric space and $x \in X$, $r \in \mathbb{R}$ where $r > 0$. The **open ball** $B(x; r)$ is defined as $\{y \in X : d(x, y) < r\}$ where x is called the **center** of $B(x; r)$, and r is called the **radius** of $B(x; r)$.*

Ex 2. *Some basic examples of open balls on different metric spaces.*

- 1) On (\mathbb{R}, d) where d is the usual metric, $B(x; r) = (x - r, x + r)$.
- 2) On (\mathbb{R}, d) where d is the discrete metric, $B(x; r) = \{x\}$ if $r \in (0, 1]$ and $B(x; r) = \mathbb{R}$ if $r > 1$.

Lem 1. *Let (X, d) be a metric space, where $x \in X$ and $r > 0$. Then,*

1. $\bigcup_{r>0} B(x; r) = X$
2. $\bigcap_{r>0} B(x; \frac{1}{r}) = \{x\}$

Proof.

1. $\bigcup_{r>0} B(x; r) = X$
 - (i) As $B(x; r) \subset X$ for any r by definition, it is obvious that $\bigcup_{r>0} B(x; r) \subset X$.
 - (ii) Let $y \in X$, then $\exists n \in \mathbb{N}$ such that $d(x, y) < n$. Then $y \in B(x; n)$ where $B(x; n) \subset \bigcup_{r>0} B(x; r)$. Thus $y \in B(x; n) \subset \bigcup_{r>0} B(x; r)$, which implies that $\bigcup_{r>0} B(x; r) \supset X$.
2. $\bigcap_{r>0} B(x; \frac{1}{r}) = \{x\}$
 - (i) As for $\forall r > 0$, $x \in B(x; r)$ which makes $\{x\} \subset \bigcap_{r>0} B(x; r)$ obvious.

- (ii) Suppose $x \neq y$ for $y \in \bigcap_{r>0} B(x; r)$. Then since $d(x, y) > 0$, there exists some $n \in \mathbb{N}$ such that $0 < \frac{1}{n} < d(x, y)$. Then $y \notin B(x; \frac{1}{n})$, which contradicts our assumption that $x \neq y$. Thus $\forall y \in \bigcap_{r>0} B(x; r) : y = x$, which implies that $\{x\} \supset \bigcap_{r>0} B(x; r)$.

□

Def 4. Let (X, d) be a metric space where $Y \subseteq X$ and $r \in \mathbb{R}$. We define,

1. For $x \in Y$, x is an **interior point** of Y if $\exists r > 0$ such that $B(x; r) \subset Y$.
2. The **interior of Y** , where we denote it as $\text{int}(Y) = \{y \in Y \mid \exists r > 0 : B(y; r) \subset Y\}$, which is the set of all interior points of Y .
3. If $\text{int}(Y) = Y$, we say that Y is **open in X** , or an **open subset of X** .

Remark. By definition, $\text{int}(A) \subset A$.

By defining the concept of open balls, we just introduced the concept of open subsets of a certain metric space. Let's take a look at some examples to get close with these concepts.

Ex 3.

- 1) $X \subseteq X : \text{int}(X) = X$

Then by the definition of open balls it is obvious that $\text{int}(X) = X$. To elaborate, for any $x \in X$

- 2) $Y = [0, 1) \subset \mathbb{R} : \text{int}(Y) = (0, 1)$.

This can be shown directly by using the definitions above. 0 is not an interior point as $\forall r > 0$, $B(0; r) = (-r, r) \not\subset [0, 1)$. Also $\forall x \in (0, 1)$, x is an interior point. This is due to $\forall x, \exists r = \min\{x, 1 - x\}$ such that $B(x; r) \subset [0, 1)$.

- 3) $Y = [0, 1) \subset X = [0, \infty) : \text{int}(Y) = [0, 1)$.

Compared to the previous case, the point 0 is in $\text{int}(Y)$. This is because as for any $r > 0$, $B(0; r) = \{x \in [0, \infty) : d(0, x) < r\} = [0, r)$.

- 4) $Y = \{(x, 0) : 0 < x < 1\} \subset \mathbb{R}^2 : \text{int}(Y) = \emptyset$.

The second and third example compared shows us that **whether such subset is open or not depends on the total metric space where the subset lies in**. After taking a look of some examples above, it's natural to have questions about which are open subsets, regardless of the choice of the total metric space. With some insight and the name itself, it seems as if every open balls should be open sets. The next theorem exactly states that every open ball is open. (*very surprising*)

Thm 2. *Let (X, d) be a metric space where $x \in X, r > 0$. The **open ball** $B(x; r)$ is **open** in X .*

Proof. Let $y \in B(x; r)$, and $r' = r - d(x, y) > 0$. Also let $z \in B(y; r')$. We will show that $z \in B(x; r)$ which is equivalent of showing $d(x, z) < r$. Using the triangular inequality,

$$d(x, z) \leq d(x, y) + d(y, z)$$

As $z \in B(y; r')$, $d(y, z) < r'$. Using this relation we obtain,

$$d(x, z) \leq d(x, y) + d(y, z) < d(x, y) + r' = r$$

As $d(x, z) < r$, it implies that $z \in B(x; r)$. Thus, as $\forall z \in B(y; r') \implies z \in B(x; r)$, we can conclude that $B(y; r') \subset B(x; r)$. Again this shows us that $\forall y \in B(x; r) \implies y \in \text{int}(B(x; r))$. Thus $B(x; r) = \text{int}(B(x; r))$. □

Ex 4. *Consider a metric space (X, d) where X is a set and d is the discrete metric. Open balls with radius 1 in this metric space, $B(x, 1) = \{x\}$ are all open sets in X . In other words, every singleton subset are open sets in X when discrete metric is given.*

As we've seen that every open balls are open, now we will show that a union of open balls is also open. This lets us consider open balls as building blocks of open sets, which is quite acceptable.

Thm 3. *Let (X, d) be a metric space where $U \subset X$. Then U is open in X iff U is a union of open balls in X .*

Proof.

(i) U is a union of open balls in $X \implies U$ is open in X .

Let $U = \bigcup_{x \in A} B(x; r_x)$ for some $A \subset U$. Let $y \in U$, so $y \in B(x; r_x)$ for some $x \in A$.

Since $B(x; r_x)$ is open, there exists some $r > 0$ for y such that $B(y; r) \subset B(x; r_x)$

(ii) U is an union of open balls in $X \iff U$ is open in X .

Suppose U is open in X , then for each $x \in U$ there exists $r_x > 0$ such that $B(x; r_x) \subset U$. We claim that $U = \bigcup_{x \in U} B(x; r_x)$ which is a union of open balls.

First, $U = \bigcup_{x \in U} \{x\} \subset \bigcup_{x \in U} B(x; r_x)$ as for every x , $\{x\} \subset B(x; r_x)$. The other side can be also shown as $B(x; r_x) \subset U \implies \bigcup_{x \in U} B(x; r_x) \subset U$.

□

Yeah and why do we only have to think of open sets? Let us also define closed sets. Because that surely makes sense. I don't know, I feel so. And you should feel so too. That's how mathematics works. (*I am majoring in Physics*)

Def 5. Let (X, d) be a metric space, where $Y \subset X$ and $r \in \mathbb{R}$. We define,

1. $x \in X$ is said to be **adherent to Y** if for $\forall r > 0$, $B(x; r) \cap Y \neq \emptyset$.
2. The **closure of Y** in X is denoted as \overline{Y} , and defined as $\{x \in X \mid \forall r > 0, B(x; r) \cap Y \neq \emptyset\}$, which is the set of all points adherent to Y .
3. Y is said to be **closed in X** if $Y = \overline{Y}$.

Remark. Again, by definition $Y \subset \overline{Y}$ always holds. Thus $\text{int}(Y) \subset Y \subset \overline{Y}$ also always holds.

Again as we've introduced some new concepts let's get used to it by taking a close look to some simple examples.

Ex 5.

- 1) *yeet*
- 2) *yeet*
- 3) *yeet*

Thm 4. For $x \in X$, a singleton subset $\{x\}$ is closed in X .

Thm 5. X and \emptyset are both open and closed in X .

Ex 6. For a metric space where the discrete metric is given, every subset are open and closed in it. We've shown that every singleton subset is an open set as they are open balls, and **Thm.4** states that those are also all closed at the same time. Again we've also seen that a union of open sets are open, which makes every subset open as they can be expressed as a union of singleton subsets.

Open and closed sets are weird, not open doesn't imply that it is closed, and conversely not closed also doesn't imply that it is open. The next theorem kind of lets us make a connection to open and closed subsets.

Thm 6. Very Important!

For a metric space X where $Y \subset X$, Y is closed in X iff $X \setminus Y$ is open in Y .

Proof.

- (i) Y is closed in $X \implies X \setminus Y$ is open in Y .

Let $x \in X \setminus Y$ which implies that $x \notin Y$. Since Y is closed, $Y = \bar{Y}$, thus $x \notin \bar{Y}$. In other words, x is not adjacent to Y , which means that there exists some $r > 0$ such that $B(x; r) \cap Y = \emptyset$. This again implies that $B(x; r) \subset X \setminus Y$. Thus $x \in \text{int}(X \setminus Y)$, and as this holds for $\forall x \in X \setminus Y$, we can conclude that $X \setminus Y \subset \text{int}(X \setminus Y)$. Thus $X \setminus Y$ is open in Y .

- (ii) Y is closed in $X \iff X \setminus Y$ is open in Y .

Let $x \in X \setminus Y$, and as $X \setminus Y$ is open, there exists $r > 0$ such that $B(x; r) \subset X \setminus Y \iff B(x; r) \cap Y = \emptyset \iff x$ is not adjacent to $Y \iff x \notin \bar{Y} \iff x \in X \setminus \bar{Y}$. Thus as $x \in X \setminus Y \implies x \in X \setminus \bar{Y}$, it implies that $\bar{Y} \subset Y$. Thus Y is closed in X .

□

Of course this has a lot of mathematical significance but another great thing about this is that it can somehow ease some cumbersome "is it open or closed?" questions by taking its complement over the total metric space. For example, (actually this is not that complicated)

Ex 7. $Y = [0, 1]$ is closed in \mathbb{R} as $\mathbb{R} \setminus Y = (-\infty, 0) \cup (1, \infty)$ is open as it is a union of open sets.

Thm 7. For $Y \subset X$, where X is a metric space,

1. $\text{int}(Y) = \text{int}(\text{int}(Y))$, which implies that **any interior is open**.
2. $\overline{(\overline{Y})} = \overline{Y}$, which implies that **any closure is closed**.

Remark. This actually leads us to the fact that (of course with some additional proof), for a subset Y of a metric space, $\text{int}(Y)$ is the **largest open set** contained in Y and \overline{Y} is the **smallest closed set** containing Y .

Now knowing which are open and closed, and what kind of relations they have, as we've done with the union of open balls is open, we want to see when does the open and closedness is conserved under certain set operations. The following theorem states those certain conditions.

Thm 8. Very Important!

1. A union of open sets of X is open.
2. An **intersection of finitely many open sets** of X is open.
3. An intersection of closed sets of X is closed.
4. A **union of finitely many open sets** of X is closed.

Proving 1 and 2 suffices to show 3 and 4 using the open and closed relation.

Proof.

1. Let $U = \bigcup_{\alpha \in A} U_\alpha$ where each U_α is open in X . Suppose $x \in U$, then there exists some $\alpha_0 \in A$ such that $x \in U_{\alpha_0}$. Since U_{α_0} is open, there exists $r > 0$ such that $B(x; r) \subset U_{\alpha_0} \subset U$, which implies that $B(x; r) \subset U$. As $x \in \text{int}(U)$ for every x in U , so $U \subset \text{int}(U)$. Thus U is open.
2. Let $U = \bigcap_{i=1}^n U_i$ where each U_i is open in X . Suppose $x \in U$. Then $x \in U_i$ for each $i = 1, 2, \dots, n$. Since each U_i is open, there exists each $r_i > 0$ such that $B(x; r_i) \subset U_i$. Take $r = \min\{r_1, \dots, r_n\}$, then $B(x; r) \subset B(x; r_i) \subset U_i$. Thus $B(x; r) \subset \bigcap_{i=1}^n U_i = U$ which implies that $x \in \text{int}(U)$, and as it holds for any $x \in U$, U is open.

□

This sums up to the fact that open sets are still open after arbitrary union and closed sets are closed after arbitrary intersections, while for open sets adding a finite condition while intersecting guarantees being open and for closed sets vice versa.

Recall. A sequence $\{a_n\}$ in \mathbb{R} converging to a implies that for given $\epsilon > 0$ there exists $N > 0$ such that $|a - a_n| < \epsilon$ for $\forall n \geq N$.

Def 6. Let (X, d) be a metric space where $\{x_n\}_{n=0}^\infty$ is a sequence in X . We say that $\{x_n\}$ **converges** to $x \in X$ if for given $\epsilon > 0$ there exists $N > 0$ such that $d(x, x_n) < \epsilon$ for $\forall n \geq N$, i.e., $\lim_{n \rightarrow \infty} d(x, x_n) = 0$. In this case we denote it as $\lim_{n \rightarrow \infty} x_n = x$ and say that x is the **limit** of $\{x_n\}$.

Time to check super trivial shit. Of course lmao if some sequence has a limit it must be unique. The next theorem asserts it.

Thm 9. Let (X, d) be a metric space and $\{x_n\}_{n=0}^\infty$ a sequence in X . If $\lim_{n \rightarrow \infty} x_n = x$ and $\lim_{n \rightarrow \infty} x_n = y$, then $x = y$. In other words, if a sequence converges, its **limit uniquely exists**.

Proof. Suppose that $x \neq y$. Let $r = \frac{1}{2}d(x, y) > 0$. Since $x_n \rightarrow x$, $\exists N > 0$ such that $x_n \in B(x; r)$ for $\forall n \geq N$. Also, at the same time as $x_n \rightarrow y$, $\exists M > 0$ such that $x_n \in B(y, r)$ for $\forall n \geq M$. Then for $\forall n \geq \max\{N, M\}$, $x_n \in B(x; r) \cap B(y, r)$. But $B(x; r) \cap B(y, r) = \emptyset$ as if $\exists z \in B(x; r) \cap B(y, r)$,

$$d(x, y) \leq d(z, x) + d(y, z) < 2r = d(x, y)$$

which contradicts $\exists z \in B(x; r) \cap B(y, r)$, thus $x_n \in B(x; r) \cap B(y, r) = \emptyset$ which again contradicts the very first assumption we made. Thus $x = y$. □

Thm 10. x is adherent to $Y \iff \exists \{y_n\}_{n=0}^\infty$ in Y such that $y_n \rightarrow x$.

Proof.

(i) x is adherent to $Y \implies \exists \{y_n\}_{n=0}^\infty$ in Y such that $y_n \rightarrow x$

Since x is adherent to Y , for each $n \in \mathbb{N}$, $B(x; \frac{1}{n}) \cap Y \neq \emptyset$. This implies that there exists $y_n \in Y$ such that also $y_n \in B(x; \frac{1}{n})$, i.e., $\exists y_n \in B(x; \frac{1}{n}) \cap Y$. We now claim that for this sequence, $y_n \rightarrow x$. Since $y_n \in B(x; \frac{1}{n})$,

$$d(y_n, x) < \frac{1}{n} \implies 0 \leq \lim_{n \rightarrow \infty} d(x_n, y) \leq \lim_{n \rightarrow \infty} \frac{1}{n} = 0$$

Thus as $d(y_n, x) \rightarrow 0$, $y_n \rightarrow x$ by definition.

(ii) x is adherent to $Y \iff \exists \{y_n\}_{n=0}^\infty$ in Y such that $y_n \rightarrow x$

Since $y_n \rightarrow x$, there exists $N > 0$ such that $d(y_n, x) < r$ for $\forall n \geq N$ and some $r > 0$. In particular, as $y_N \in Y$ and $d(y_N, x) < r$, i.e, $y_N \in B(x; r) \cap Y$. Thus as $B(x; r) \cap Y \neq \emptyset$, x is adherent to Y .

□

Def 7. Let X be a metric space equipped with a metric d , and $Y \subset X$, $x \in X$.

1. x is a **limit point** of Y if $B(x; r) \cap Y$ is infinite for $\forall r > 0 \iff (B(x; r) \setminus \{x\}) \cap Y \neq \emptyset$ for $\forall r > 0$.
2. x is an **isolated point** of Y if $\exists r > 0$ such that $B(x; r) \cap Y = \{x\} \iff (B(x; r) \setminus \{x\}) \cap Y = \emptyset$ for $\exists r > 0$.

Remark. x is a limit point of $Y \iff x$ is a limit of a sequence in Y .

As you can see on **Def.7**, being a limit point is a stronger condition than being adherent to the same subset.

Ex 8.

1) $Y = (0, 1] \cup \{2\}$ in \mathbb{R} with the standard metric.

- limit points : $[0, 1]$
- isolated points : $\{2\}$

2) Same Y in \mathbb{R} but with the discrete metric.

- limit points : \emptyset
- isolated points : Y

Thm 11. For $Y \subset X$ where X is a metric space, $\overline{Y} = \mathcal{L} \sqcup \mathcal{I}$ where \mathcal{L} is the set of limit points of Y and \mathcal{I} is the set of isolated points in Y .

Remark. By definition, $\mathcal{L} \cap \mathcal{I} = \emptyset$. In other words, a point can't be a limited point while being an isolated point at the same time.

Def 8. Let Y be a subset of a metric space X . The **boundary** of Y , denoted as ∂Y is defined as $\partial Y = \overline{Y} \cap \overline{X \setminus Y}$.

Thm 12. Let X metric space, where $U \subset Y \subset X$. Then,

$$U \text{ is open in } Y \iff \text{there exists an open set } V \text{ of } X \text{ s.t. } U = Y \cap V$$

Proof.

(i) (\implies)

For each $x \in U$ there exists $r_x > 0$ such that $B(x; r_x) \cap Y \subset U$ since U is open in Y . Let $V = \bigcup_{x \in U} B(x; r_x)$. Since such V is an union of open balls of X , V is open in X . Now we claim that $V \cap Y = U$.

a) $U \subset V \cap Y$

By assumption, $U \subset Y$ holds and as

$$U = \bigcup_{x \in U} \{x\} \subset \bigcup_{x \in U} B(x; r_x) = V$$

$U \subset V$ also holds. Thus $U \subset V \cap Y$.

b) $V \cap Y \subset U$

$$\begin{aligned} V \cap Y &= \left(\bigcup_{x \in U} B(x; r_x) \right) \cap Y \\ &= \bigcup_{x \in U} (B(x; r_x) \cap Y) \subseteq U. \end{aligned}$$

(ii) (\impliedby)

Let $x \in U$. Since $Y \cap V = U$, it also implies that $x \in V$. Since V is open in X , there exists $r_x > 0$ for each $x \in V$ that $B(x; r_x) \subset V$. Then $B(x; r_x) \cap Y \subset V \cap Y = U$. Thus as $\forall x \in U, \exists r_x > 0$ such that $B(x; r_x) \cap Y \subset U$, U is open in Y .

□

Def 9. Let (X, d) be a metric space where $\{x_n\}$ is a sequence in X .

1. A sequence $\{x_n\}$ is a **Cauchy sequence** if $\lim_{n, m \rightarrow \infty} d(x_n, x_m) = 0$.

\iff for a given $\epsilon > 0$ there exists $N > 0$ s.t. $d(x_n, x_m) < \epsilon$ for $\forall n, m \geq N$.

2. X is **complete** if every Cauchy sequence converges in X .

Remark. \mathbb{R} is complete, it is one of the well known properties of \mathbb{R} .

Ex 9. Consider $A = (0, \infty)$ and $x_n = \frac{1}{n}$ as a sequence. Then $\{x_n\}$ is a Cauchy sequence in A . For a given $\epsilon > 0$, there exists $N > 0$ such that $0 < \frac{1}{n} < \epsilon$ for any $n \geq N$. Then $d(x_n, x_m) = \left| \frac{1}{n} - \frac{1}{m} \right| < \epsilon$ for all $n, m \geq N$. However, while $\{x_n\}$ converges in \mathbb{R} , it doesn't converge in A as $x_n \rightarrow 0 \notin A$. Thus we can conclude that A is not complete, as there exists a Cauchy sequence in A that does not converge in A .

Thm 13. Let X be a metric space.

1. A convergent sequence in X is a Cauchy sequence.
2. If a Cauchy sequence in X has a convergent subsequence, then the sequence converges in X .

Proof.

1. Let $\{x_n\}$ be a sequence in X where $x_n \rightarrow x$. Suppose $\epsilon > 0$ is given. Since $x_n \rightarrow x$, there exists some $N > 0$ such that $d(x, x_n) < \epsilon/2$ for all $n \geq N$. Then,

$$d(x_n, x_m) \leq d(x_n, x) + d(x, x_m) < \epsilon/2 + \epsilon/2 = \epsilon$$

for any $n, m \geq N$, which makes $\{x_n\}$ a Cauchy sequence.

2. Let $\{x_{n_k}\}$ be the convergent subsequence of $\{x_n\}$, and let the limit as $x_{n_k} \rightarrow x$. Since $\{x_n\}$ is a Cauchy sequence, for a given $\epsilon/2 > 0$ there exists $N_1 > 0$ such that $d(x_n, x_m) < \epsilon/2$ for all $n, m \geq N_1$. Also as $\{x_{n_k}\}$ converges, there also exists some $N_2 > 0$ such that $d(x, x_{n_k}) < \epsilon/2$ for all $n_k \geq N_2$. Take $N = \max\{N_1, N_2\}$. Then for $n \geq N$,

$$d(x_n, x) \leq d(x_n, x_{n_k}) + d(x_{n_k}, x) < \epsilon/2 + \epsilon/2 = \epsilon$$

Thus $\{x_n\}$ converges in X .

□

Remark. Note that being complete is independent of where it lies on, while being closed depends on its outer space.

The next theorem lets us think about the relation between being closed and complete.

Thm 14. Let X be a metric space.

1. If X is complete and Y is a closed subset of X , then Y is also complete.
2. If Y is a complete subset of X then it is closed.

Proof.

1. Let $\{y_n\}$ be a Cauchy sequence in Y . Then it is also a Cauchy sequence in X . Since X is complete, $\{y_n\}$ converges in X . To elaborate, there exists $x \in X$ such that $y_n \rightarrow x$. Since Y is closed in X and x is a limit of a sequence in Y , $x \in Y$. Thus as there exists a limit $x \in Y$ for every Cauchy sequences in Y , Y is also complete.
2. Suppose $\{y_n\}$ is a sequence in Y which converges in X such that $y_n \rightarrow x \in X$. Since $\{y_n\}$ is a convergent sequence in X , it is also a Cauchy sequence in X due to **Thm 13**, hence it is also a Cauchy sequence in Y . Since Y is complete, $\{y_n\}$ converges in Y , i.e., $\exists y \in Y$ such that $y_n \rightarrow y$. Due to **Thm 9**, the limit of $\{y_n\}$ uniquely exists, thus $x = y \in Y$. Thus Y is closed.

□

Def 10. A subset A of a metric space X is **dense** in X if $\bar{A} = X$.