

Schrödinger equation in 3D

The generalization to three dimensions is straightforward. Schrödinger's equation says

$$i\hbar \frac{\partial \Psi}{\partial t} = \hat{H} \Psi;$$

the Hamiltonian operator \hat{H} is obtained from the classical energy

$$\frac{1}{2}mv^2 + V = \frac{1}{2m} (p_x^2 + p_y^2 + p_z^2) + V$$

by the standard prescription (applied now to y and z , as well as x):

$$p_x \rightarrow -i\hbar \frac{\partial}{\partial x}, \quad p_y \rightarrow -i\hbar \frac{\partial}{\partial y}, \quad p_z \rightarrow -i\hbar \frac{\partial}{\partial z},$$

or

$$\mathbf{p} \rightarrow -i\hbar \nabla,$$

Schrödinger equation in 3D

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi + V\Psi,$$

where

$$\nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2},$$

is the **Laplacian**, in cartesian coordinates.

The potential energy V and the wave function Ψ are now functions of $\mathbf{r} = (x, y, z)$ and t . The probability of finding the particle in the infinitesimal volume $d^3\mathbf{r} = dx dy dz$ is $|\Psi(\mathbf{r}, t)|^2 d^3\mathbf{r}$, and the normalization condition reads

$$\int |\Psi|^2 d^3\mathbf{r} = 1,$$

with the integral taken over all space.

Schrödinger equation in 3D

If V is independent of time, there will be a complete set of stationary states,

$$\Psi_n(\mathbf{r}, t) = \psi_n(\mathbf{r}) e^{-iE_n t/\hbar},$$

where the spatial wave function ψ_n satisfies the time-*independent* Schrödinger equation:

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V \psi = E \psi.$$

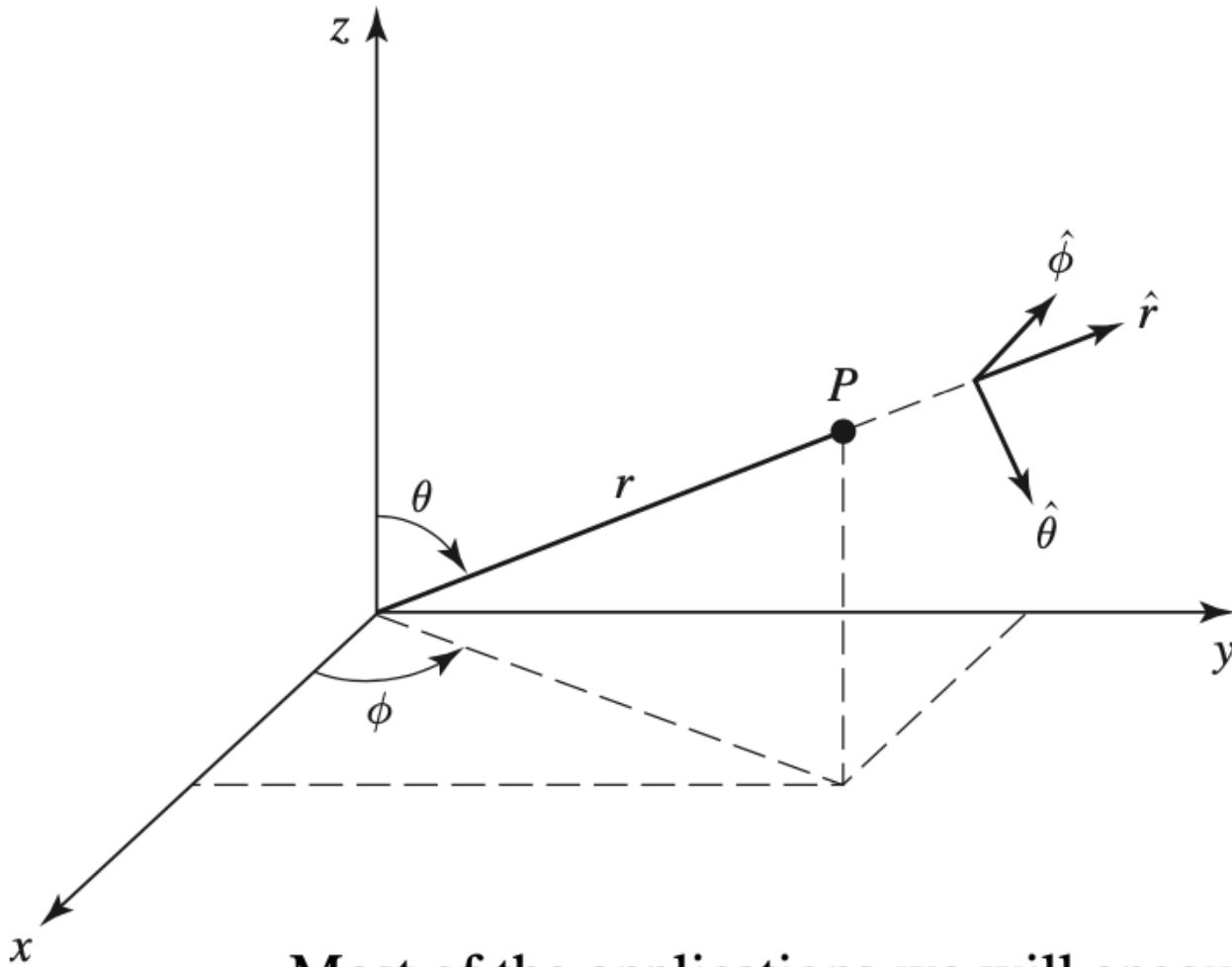
The general solution to the (time-*dependent*) Schrödinger equation is

$$\Psi(\mathbf{r}, t) = \sum c_n \psi_n(\mathbf{r}) e^{-iE_n t/\hbar},$$

with the constants c_n determined by the initial wave function, $\Psi(\mathbf{r}, 0)$, in the usual way. (If the potential admits continuum states, then the sum in Equation 4.9 becomes an integral.)

Schrödinger equation in spherical coordinates:

Spherical coordinates: radius r , polar angle θ , and azimuthal angle ϕ .



Most of the applications we will encounter involve **central potentials**, for which V is a function only of the distance from the origin, $V(\mathbf{r}) \rightarrow V(r)$. In that case it is natural to adopt **spherical coordinates**, (r, θ, ϕ) (Figure 4.1). In spherical coordinates the Laplacian takes the form¹

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \left(\frac{\partial^2}{\partial \phi^2} \right)$$

Schrödinger equation in spherical coordinates:

In spherical coordinates, then, the time-independent Schrödinger equation reads

$$-\frac{\hbar^2}{2m} \left[\frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial \psi}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \left(\frac{\partial^2 \psi}{\partial \phi^2} \right) \right] + V \psi = E \psi.$$

Variable separation:

$$\psi(r, \theta, \phi) = R(r) Y(\theta, \phi).$$

$$-\frac{\hbar^2}{2m} \left[\frac{Y}{r^2} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) + \frac{R}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{R}{r^2 \sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right] + V R Y = E R Y.$$

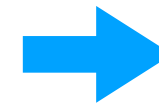
Dividing by $Y R$ and multiplying by $-2mr^2/\hbar^2$:

$$\left\{ \frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] \right\} + \frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = 0.$$

Schrödinger equation in spherical coordinates:

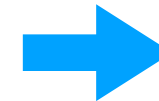
$$\left\{ \frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] \right\} + \frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = 0.$$

$$\frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] = \ell(\ell + 1);$$



The Radial Equation

$$\frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = -\ell(\ell + 1).$$



The Angular Equation

The Angular Equation

$$\frac{1}{Y} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right\} = -\ell (\ell + 1).$$

Multiplying by: $Y \sin^2 \theta$,

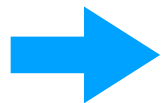
$$\sin \theta \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{\partial^2 Y}{\partial \phi^2} = -\ell (\ell + 1) \sin^2 \theta Y.$$

Separation of variables: $Y(\theta, \phi) = \Theta(\theta) \Phi(\phi)$.

Dividing by: $\Theta \Phi$

$$\left\{ \frac{1}{\Theta} \left[\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) \right] + \ell (\ell + 1) \sin^2 \theta \right\} + \frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = 0.$$

$$\frac{1}{\Theta} \left[\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) \right] + \ell (\ell + 1) \sin^2 \theta = m^2$$



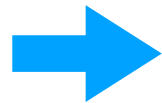
$$\frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} = -m^2.$$

Solution for Φ :

$$\frac{d^2\Phi}{d\phi^2} = -m^2\Phi \Rightarrow \Phi(\phi) = e^{im\phi}.$$

where m can be positive or negative.

when ϕ advances by 2π , we return to the same point in space.



$$\Phi(\phi + 2\pi) = \Phi(\phi).$$

$$\exp[im(\phi + 2\pi)] = \exp(im\phi), \text{ or } \exp(2\pi im) = 1.$$

m must be an integer: $m = 0, \pm 1, \pm 2, \dots$

Solution for Θ :

$$\sin \theta \frac{d}{d\theta} \left(\sin \theta \frac{d\Theta}{d\theta} \right) + \left[\ell (\ell + 1) \sin^2 \theta - m^2 \right] \Theta = 0,$$

The solution reads:

$$\Theta(\theta) = A P_{\ell}^m(\cos \theta)$$

where P_{ℓ}^m is the **associated Legendre function**, defined by:

$$P_{\ell}^m(x) \equiv (-1)^m \left(1 - x^2\right)^{m/2} \left(\frac{d}{dx}\right)^m P_{\ell}(x), \quad \text{for } m \geq 0$$

and $P_{\ell}(x)$ is the ℓ th **Legendre polynomial**, defined by the **Rodrigues formula**:

$$P_{\ell}(x) \equiv \frac{1}{2^{\ell} \ell!} \left(\frac{d}{dx}\right)^{\ell} \left(x^2 - 1\right)^{\ell}.$$

Solution for Θ :

For negative values of m :

$$P_{\ell}^{-m}(x) = (-1)^m \frac{(\ell - m)!}{(\ell + m)!} P_{\ell}^m(x).$$

$P_{\ell}(x)$ is a polynomial (of degree ℓ) in x , and is even or odd according to the parity of ℓ .

$P_{\ell}^m(x)$ is not, in general, a polynomial — if m is odd it carries a factor of $(1-x^2)^{0.5}$

ℓ must be a non-negative *integer*.

If $m > \ell$, $P_{\ell}^m = 0$. For any given ℓ , then, there are $(2\ell + 1)$ possible values of m :

$$\ell = 0, 1, 2, \dots \quad \rightarrow \quad m = -\ell, -\ell + 1, \dots, -1, 0, 1, \dots, \ell - 1, \ell.$$

Solution for Θ :

For negative values of m :

$$P_{\ell}^{-m}(x) = (-1)^m \frac{(\ell - m)!}{(\ell + m)!} P_{\ell}^m(x).$$

First Legendre polynomials:

$$P_0 = 1$$

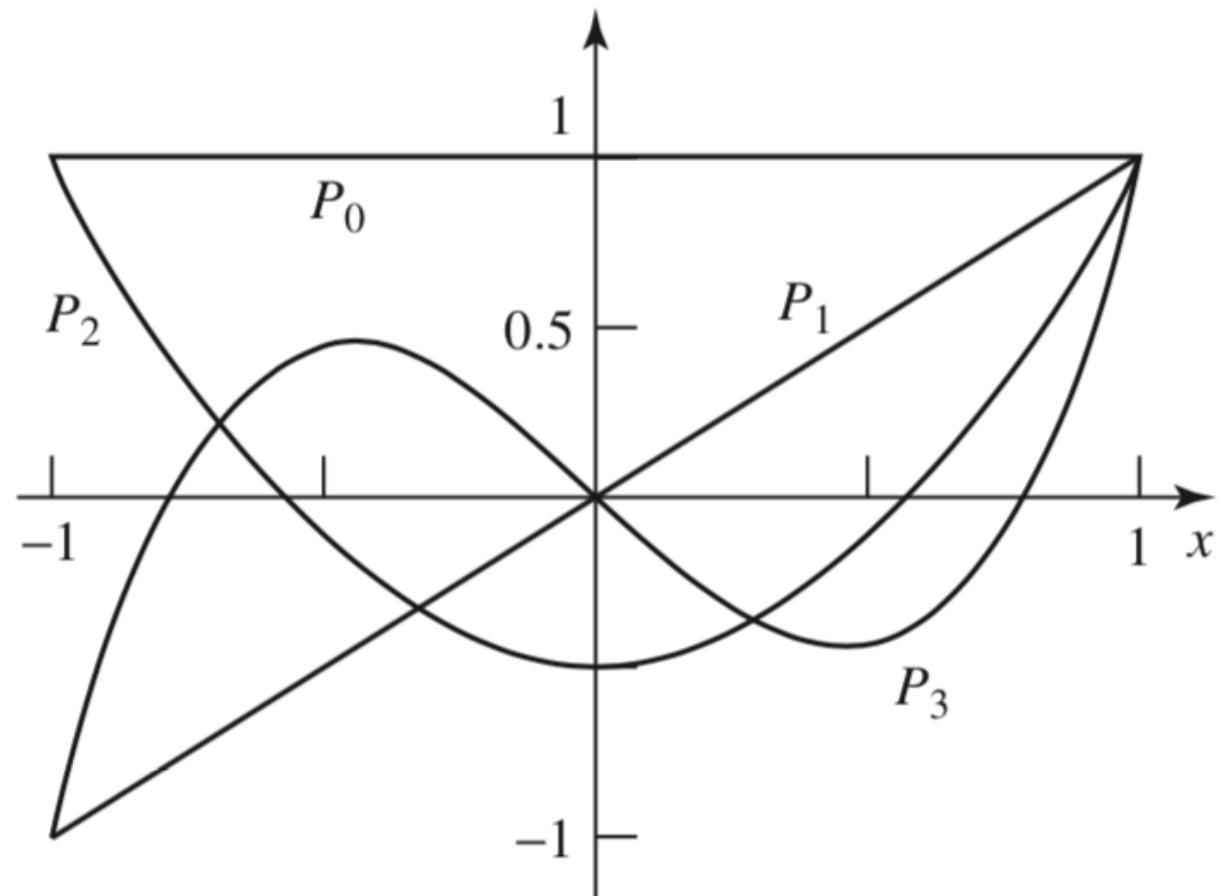
$$P_1 = x$$

$$P_2 = \frac{1}{2}(3x^2 - 1)$$

$$P_3 = \frac{1}{2}(5x^3 - 3x)$$

$$P_4 = \frac{1}{8}(35x^4 - 30x^2 + 3)$$

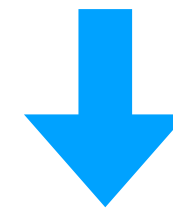
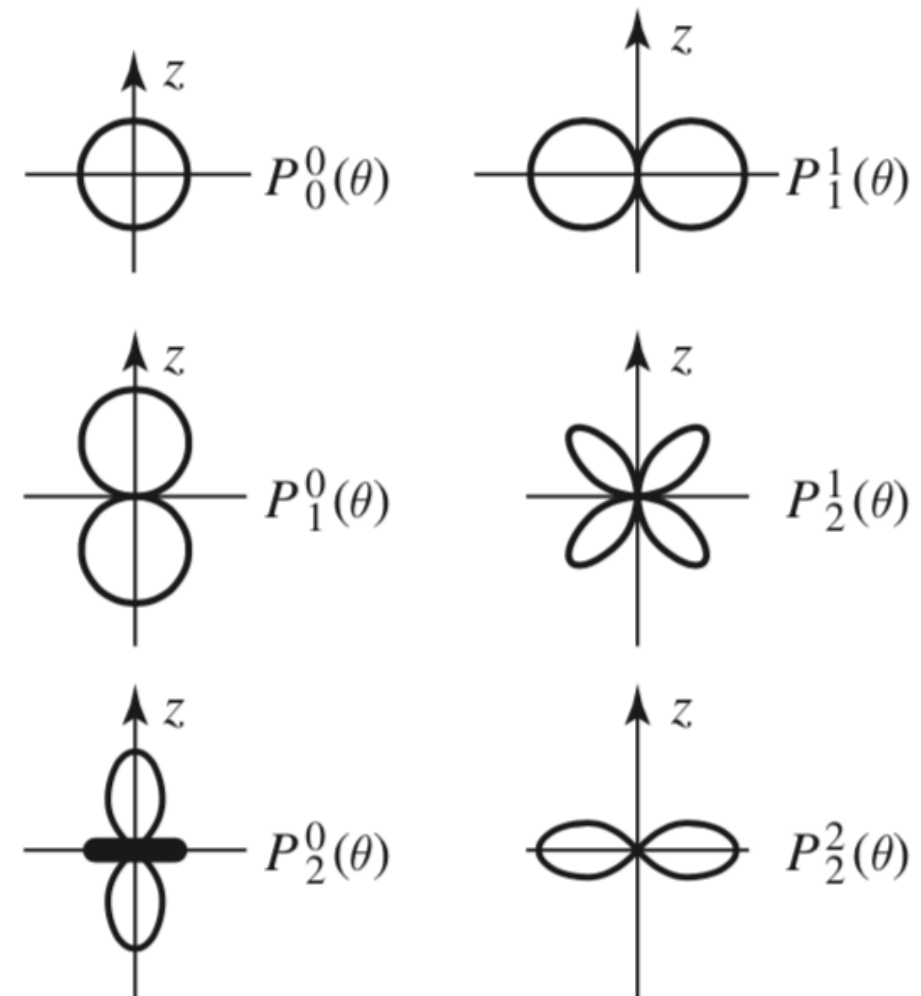
$$P_5 = \frac{1}{8}(63x^5 - 70x^3 + 15x)$$



Solution for Θ :

We need $P_\ell^m(\cos \theta)$, and $(1 - \cos^2 \theta)^{0.5} = \sin \theta$, so $P_\ell^m(\cos \theta)$ is always a polynomial in $\cos \theta$, multiplied — if m is odd — by $\sin \theta$.

$P_0^0 = 1$	$P_2^0 = \frac{1}{2} (3 \cos^2 \theta - 1)$
$P_1^1 = -\sin \theta$	$P_3^3 = -15 \sin \theta (1 - \cos^2 \theta)$
$P_1^0 = \cos \theta$	$P_3^2 = 15 \sin^2 \theta \cos \theta$
$P_2^2 = 3 \sin^2 \theta$	$P_3^1 = -\frac{3}{2} \sin \theta (5 \cos^2 \theta - 1)$
$P_2^1 = -3 \sin \theta \cos \theta$	$P_3^0 = \frac{1}{2} (5 \cos^3 \theta - 3 \cos \theta)$



graphs of $r = |P_\ell^m(\cos \theta)|$ (in these plots r tells you the magnitude of the function in the direction θ ; each figure should be rotated about the z axis).

Normalisation condition: solution for Θ :

The volume element in spherical coordinates:

$$d^3\mathbf{r} = r^2 \sin \theta \, dr \, d\theta \, d\phi = r^2 \, dr \, d\Omega, \quad \text{where} \quad d\Omega \equiv \sin \theta \, d\theta \, d\phi,$$

Normalisation condition:

$$\int |\Psi|^2 \, d^3\mathbf{r} = 1, \quad \rightarrow \quad \int |\psi|^2 r^2 \sin \theta \, dr \, d\theta \, d\phi = \int |R|^2 r^2 \, dr \int |Y|^2 \, d\Omega = 1.$$

It is convenient to normalise R and Y separately:

$$\int_0^\infty |R|^2 r^2 \, dr = 1$$

$$\int_0^\pi \int_0^{2\pi} |Y|^2 \sin \theta \, d\theta \, d\phi = 1.$$

Normalisation condition: solution for Θ :

The normalised angular wave functions are called **spherical harmonics**:

$$Y_{\ell}^m(\theta, \phi) = \sqrt{\frac{(2\ell + 1)(\ell - m)!}{4\pi(\ell + m)!}} e^{im\phi} P_{\ell}^m(\cos \theta),$$

They are orthogonal:
$$\int_0^{\pi} \int_0^{2\pi} [Y_{\ell}^m(\theta, \phi)]^* [Y_{\ell'}^{m'}(\theta, \phi)] \sin \theta d\theta d\phi = \delta_{\ell\ell'} \delta_{mm'}.$$

Spherical Harmonics:

$$Y_0^0 = \left(\frac{1}{4\pi}\right)^{1/2}$$

$$Y_1^0 = \left(\frac{3}{4\pi}\right)^{1/2} \cos \theta$$

$$Y_1^{\pm 1} = \mp \left(\frac{3}{8\pi}\right)^{1/2} \sin \theta e^{\pm i\phi}$$

$$Y_2^0 = \left(\frac{5}{16\pi}\right)^{1/2} (3 \cos^2 \theta - 1)$$

$$Y_2^{\pm 1} = \mp \left(\frac{15}{8\pi}\right)^{1/2} \sin \theta \cos \theta e^{\pm i\phi}$$

$$Y_2^{\pm 2} = \left(\frac{15}{32\pi}\right)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$$

$$Y_3^0 = \left(\frac{7}{16\pi}\right)^{1/2} (5 \cos^3 \theta - 3 \cos \theta)$$

$$Y_3^{\pm 1} = \mp \left(\frac{21}{64\pi}\right)^{1/2} \sin \theta (5 \cos^2 \theta - 1) e^{\pm i\phi}$$

$$Y_3^{\pm 2} = \left(\frac{105}{32\pi}\right)^{1/2} \sin^2 \theta \cos \theta e^{\pm 2i\phi}$$

$$Y_3^{\pm 3} = \mp \left(\frac{35}{64\pi}\right)^{1/2} \sin^3 \theta e^{\pm 3i\phi}$$

The Radial Equation

$$\frac{1}{R} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} [V(r) - E] = \ell(\ell + 1);$$

The angular part of the wave function, $Y(\theta, \phi)$, is the same for *all* spherically symmetric potentials.

The actual *shape* of the potential, $V(r)$, affects only the *radial* part of the wave function, $R(r)$.

Variable change:

$$R = u/r,$$

$$u(r) \equiv r R(r) \quad \rightarrow \quad \begin{aligned} dR/dr &= [r (du/dr) - u] / r^2, \\ (d/dr) [r^2 (dR/dr)] &= r d^2u/dr^2, \end{aligned}$$

We get the **radial equation**:

$$-\frac{\hbar^2}{2m} \frac{d^2u}{dr^2} + \left[V + \frac{\hbar^2}{2m} \frac{\ell(\ell + 1)}{r^2} \right] u = Eu.$$

The Radial Equation

We get the **radial equation**:

$$-\frac{\hbar^2}{2m} \frac{d^2 u}{dr^2} + \left[V + \frac{\hbar^2}{2m} \frac{\ell(\ell+1)}{r^2} \right] u = Eu.$$

It is *identical in form* to the one-dimensional Schrödinger, where:

$$V_{\text{eff}} = V + \frac{\hbar^2}{2m} \frac{\ell(\ell+1)}{r^2},$$

is the **effective potential**, which contains a **centrifugal term**: $(\hbar^2/2m) [\ell(\ell+1)/r^2]$

It tends to throw the particle outward (away from the origin), just like the centrifugal (pseudo-)force in classical mechanics.

The normalisation conditions is: $\int_0^\infty |u|^2 dr = 1.$

which is potential $V(r)$ specific.