

# Improved eukaryotic detection compatible with large-scale automated analysis of metagenomes

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## Abstract

## Background

Eukaryotes such as fungi and protists frequently accompany bacteria and archaea in microbial communities. Unfortunately, their presence is difficult to study with shotgun sequencing techniques, since prokaryotic signals dominate in most environments. Recent methods for eukaryotic detection use eukaryote-specific marker genes, but they do not yet incorporate strategies to handle the presence of unknown eukaryotes.

## Results

Here we present CORRAL (for Clustering of Related Reference Alignments), a tool for identification of eukaryotes in shotgun metagenomic data based on alignments to eukaryote-specific marker genes and Markov clustering. Using a combination of simulated datasets and large publicly available human microbiome studies, we demonstrate that our method is not only sensitive and accurate, but is also capable of inferring the presence of eukaryotes not included in the marker gene reference, such as novel species and strains. Finally, we deploy CORRAL on our MicrobiomeDB.org resource, producing an atlas of typical eukaryotic presence in various environments of the human body.

## Conclusion

CORRAL allows eukaryotic detection to be automated and carried out at scale. Since our approach is independent of the reference used, it may be applicable to other contexts where shotgun metagenomic reads are matched against redundant but non-exhaustive databases, such as identification of novel bacterial strains or taxonomic classification of viral reads.

## Background

Eukaryotic microbes are a large and phylogenetically diverse group of organisms that includes both pathogens and commensals, the latter of which are emerging as important modulators of health and disease. Protists include many important pathogens of humans and other animals, such as *Cryptosporidium*, *Toxoplasma*, *Eimeria*, *Trypanosoma*, and *Plasmodium*. Many fungi are also well-studied pathogens affecting a diverse range of hosts. For example, *Aspergillus fumigatus* is an important cause of respiratory disease in humans [1]; *Magnaporthe oryzae* is the most important fungal disease of rice globally [2]; while *Pseudogymnoascus destructans* is the cause of White-Nose Syndrome, one of the most devastating diseases of bats [3]. However, recent data also suggests that non-pathogenic commensal fungi are significant as modulators of the human antibody repertoire [4][5][6], intestinal barrier integrity [7], and colonization resistance [8]. This diverse array of host-microbe interactions and host phenotypes influenced by eukaryotic microbes underscores the importance of studying this class of organisms in their natural habitats. Unfortunately, the ability to carry out culture-independent analysis of eukaryotic microbes is severely hindered by their low abundance relative to bacteria, which makes accurate detection a challenge and consequently eukaryotes are commonly overlooked in metagenomic studies [9]. For example, an analysis of stool metagenomes in healthy adults participating in the Human Microbiome Project [10] reports only 0.01% reads aligning to fungal genomes [11].

Several methods have been developed to improve the detection of eukaryotes in complex samples. Targeted sequencing of internal transcribed spacer regions (ITS) [12] is a common approach but prevents simultaneous profiling of other members of the microbiome. Alternatively, collections of curated fungal genomes have been successfully used for strain-level identification of *Blastocystis* from stool [13]. However, pitfalls associated with non-specific or erroneous parts of reference genomes [14] combined with computational challenges associated with carrying out alignments to very large collections of reference genomes [15] limit applicability of these approaches to the discovery of eukaryotes from the vast amount of metagenomic data already available in the public domain. One attractive solution to this challenge was recently proposed in important work by Lind and Pollard [16], who base their method for sensitive and specific identification of eukaryotes in metagenomic studies, EukDetect, on alignments to a collection of over 500,000 universal, single-copy eukaryotic marker genes.

We recently sought to add the EukDetect reference database and software to our web-based resource, MicrobiomeDB.org [17], in order to allow for automated detection of eukaryotes across a range of human metagenomic studies currently available on the site. Since the EukDetect pipeline does not allow for adjustment of filtering thresholds and it is not packaged for containerised deployments, we decided to implement our own tool built with a more flexible software architecture. Our approach retains the EukDetect reference database, and also uses **bowtie2** [18] since it has been shown to be a sensitive aligner [19]. To better understand the filtering process used by EukDetect, we carried out a simulation-based evaluation. We observed that filtering read alignments based on mapping quality (MAPQ) [20] scores, though necessary for EukDetect’s high specificity, removes correct alignments for which **bowtie2** has inferior but closely scored alternatives.

Considering how the difficulty of detecting a taxon may be affected by similarity of its marker gene sequences to its most similar neighbor led us to develop CORRAL (for Clustering of Related Reference Alignments), an approach to processing marker gene alignments based on exploiting information in shared alignments to reference genes through Markov clustering. This allows for sensitive and accurate detection which also extends to species not present in the reference but similar to one or multiple known taxa.

## Results

### Species-specific impact of MAPQ filtering

To evaluate how read mapping and filtering parameters influence eukaryotic detection, we carried out a series of simulations using the EukDetect database of eukaryotic marker genes as both a source of reads with known identity and a reference to align to. When metagenomic reads are simulated from this reference and then simply mapped back, thus exactly matching the reference, they are accurately mapped to the correct taxon with a precision (fraction of correctly mapped reads among all reads that mapped) and recall (fraction of correctly mapped reads among all reads) of 95.1%. Applying a MAPQ  $\geq 30$  filter increases precision to 99.7% and decreases recall to 91.7%. This translates to 92% of the simulated reads mapping with MAPQ  $\geq 30$ , with only 0.3% of these mapping incorrectly, and out of the remaining 8%, almost half mapping incorrectly.

Stratifying these values by the source taxon of the reads reveals a structural component to the difficulty of mapping the reads, as well as the efficacy of the MAPQ  $\geq 30$  filter (**Figure 1**). For example, out of 3977 taxa whose reads map back to the reference, reads from 1908 taxa map with 100% precision (**Figure 1, upper rightmost points**), and after applying the MAPQ  $\geq 30$  filter, 1105 more taxa map with 100% precision. Despite this clear improvement after filtering, 146 taxa still map with precision lower than the pre-filter overall total of 95.1% (**Figure 1, dotted line**). This set of taxa includes numerous species of *Aspergillus* (**Figure 1A**), *Leishmania* (**Figure 1B**), and *Trichinella* (**Figure 1C**), all of which are important pathogens of humans and other mammals. Furthermore, filtering decreases precision for five taxa, including the fungi *Fusarium cf. fujikuroi* NRRL 66890 and *Escovopsis sp. Ae733*, and the protists *Favella ehrenbergii*, *Leishmania peruviana*, and *Mesodinium rubrum*.

Since the diversity of eukaryotic taxa extends far beyond the currently discovered species, let alone species present in the EukDetect reference [21], we next modified this experiment to evaluate the possibility of detecting ‘novel’ species. We split the species level markers in the EukDetect reference into a holdout set of 371 taxa and a remaining reference of 3343 taxa sampled from the holdout set, and align to an index built from the remaining reference. In these circumstances, the MAPQ  $\geq 30$  filter is not on average an improvement. *ame-genus* precision and recall are 82% and 30% without the filter, compared to 83.6% precision and a much-diminished recall of 7% with the filter. Source taxon is a structural component here, too: while applying the MAPQ  $\geq 30$  filter increases the number of taxa which only map to the correct genus from 48 to 152, it also increases the number of taxa which don’t map at all from 49 to 175.

To evaluate the potential to detect taxa not present in the reference that are also not ‘novel’ species - like a non-reference strain of a known species - we carried out a third simulation, in which we mutate sampled reads before mapping back to the reference. As mutation rate increases, recall starts to decline, starting from 95.1% and reaching below 10% when 20% of the bases are mutated. In this range, precision stays between 95-96% for all reads and  $\geq 99\%$  for reads with MAPQ  $\geq 30$  - an observation consistent with previous reports of bowtie2 preserving precision over recall [22]. Including the MAPQ  $\geq 30$  filter makes recall drop much faster, indicating there may be many taxa which match the reference sufficiently closely to allow for sensitive detection by mapping their reads to the reference - but only if not applying the MAPQ  $\geq 30$  filter. For example, when 10% of bases are mutated, recall is 68.3% overall but only 5.0% with the MAPQ  $\geq 30$  filter.

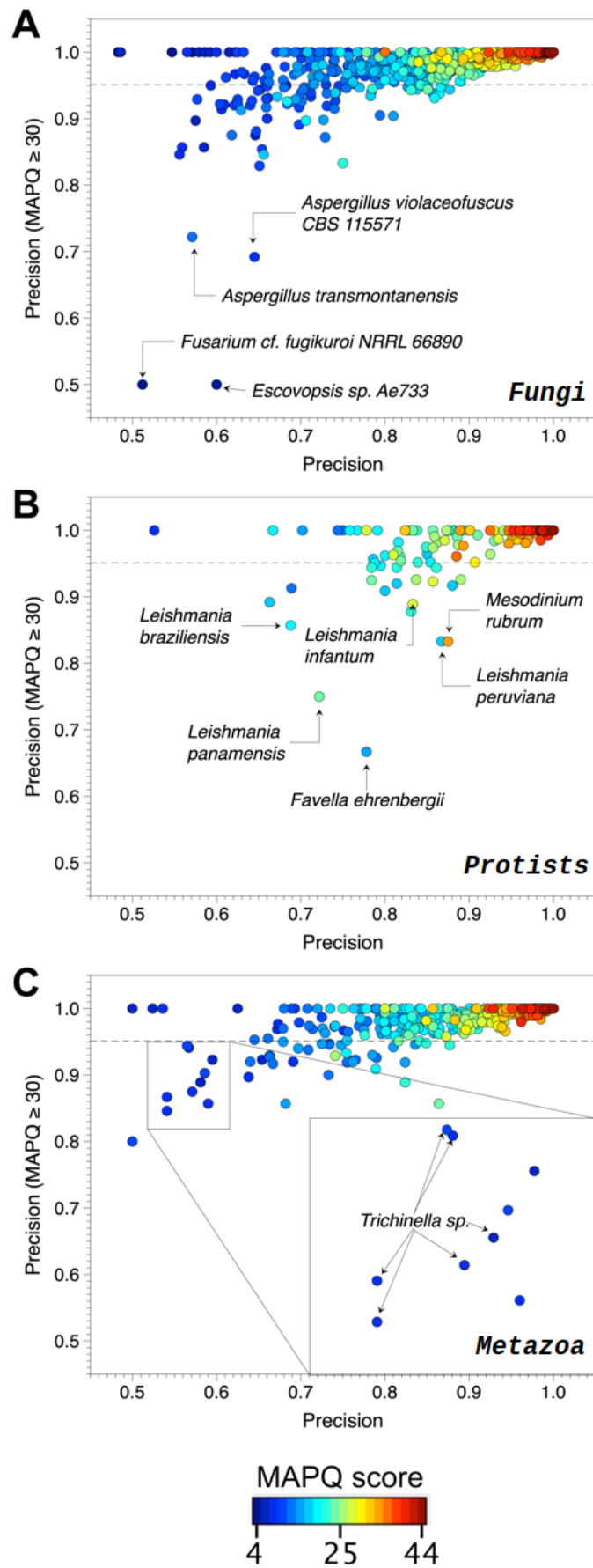


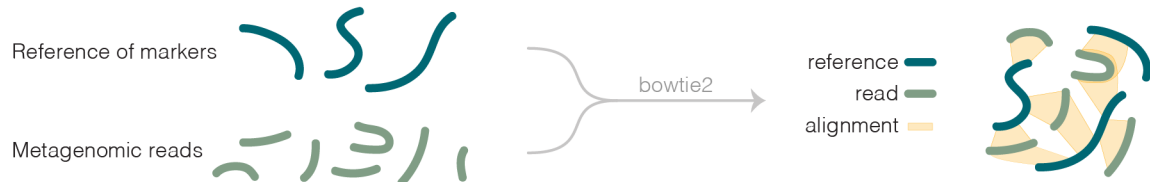
Figure 1: The improvement from the MAPQ  $\geq 30$  filter varies by species.

## CORRAL leverages Markov clustering for reference-based eukaryote detection

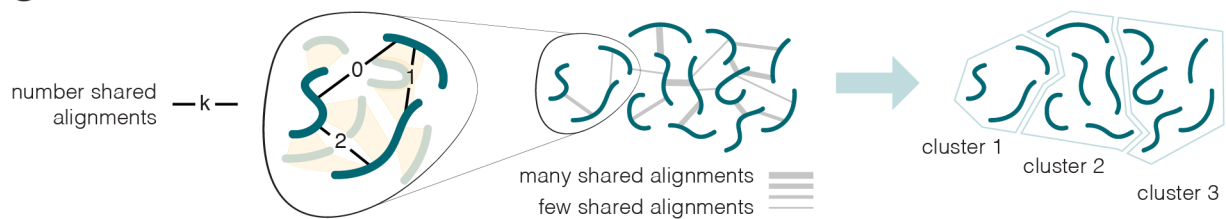
CORRAL - for Clustering of Related Reference Alignments - is written as a Nextflow workflow wrapping a Python module. It retrieves sequence files, aligns them to the reference of markers, and produces a taxonomic profile through a seven-step procedure (**Figure 2**). First, we run `bowtie2` and keep all alignments that are at least 60 nucleotides in length (**Figure 2, step 1**), which ensures that the matches contain enough information to be marker-specific. We then run Markov Clustering (MCL) on a graph composed of marker genes as nodes and counts of shared alignments as edge weights (**Figure 2, step 2**) to obtain marker clusters. Next, we calculate % match identities of alignments (**Figure 2, step 3**) and aggregate them by marker to obtain an identity average for each marker gene, as well as per cluster to obtain a cluster average. Each marker whose identity average is lower than the cluster average is considered an inferior representation of signal in the sample, so we next reject each taxon with  $\geq 50\%$  of such markers (**Figure 2, step 4**). Remaining taxa are then gathered into taxonomic clusters using MCL using counts of multiply aligned reads (**Figure 2, step 5**), which allows us to incorporate ambiguity of identification into reporting the hits. We then report unambiguous matches (defined as having average alignment identity of at least 97%, and two different reads aligned to at least two markers) as is (**Figure 2, step 6**), while rejecting other taxa in clusters where there were any unambiguous matches reported. Finally, for each remaining taxon cluster, we report it as one hit if it is a strong ambiguous match (defined as having at least four markers and eight reads) by joining names of taxa in the cluster and prepending with a “?” (**Figure 2, step 7**).

This approach represents a set of default parameters – based on our observations in simulated and human microbiome data – that can be altered when configuring CORRAL. Additionally, CORRAL has rich reporting capabilities, with our broadly recommended option being the default - this is ‘copies per million (CPMs)’, a quantitative estimate of abundance.

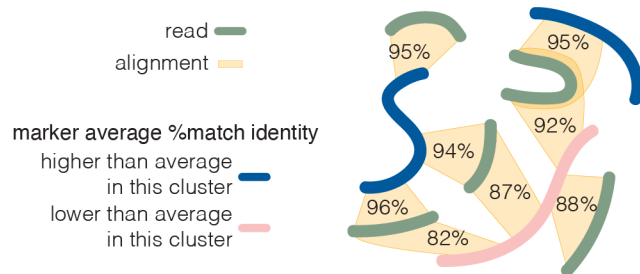
① Run bowtie2 to find all alignments of at least 60 bases



② Use shared alignments to identify marker clusters



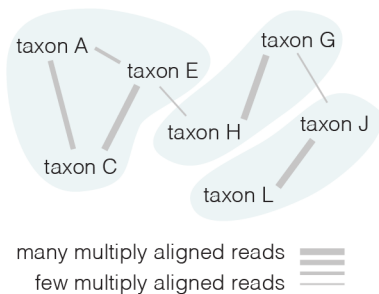
③ Compare each marker's average %match identity with the average of that cluster



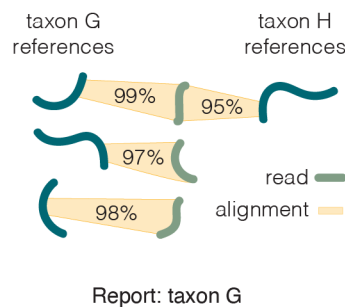
④ Reject taxa for which at least half of markers fall below the average cluster score

Taxon	Markers	Outcome
A		pass
B		reject
C		pass
D		reject

⑤ Cluster passing taxa based on multiply aligned reads



⑥ Report unambiguous hits



⑦ Report strong ambiguous hits

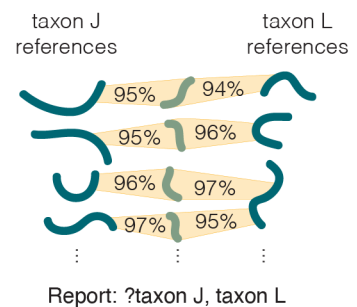


Figure 2: CORRAL - schematic

## CORRAL is capable of inferring presence of novel species

To demonstrate CORRAL’s ability to handle reads from a taxon that is not in the provided reference, we return to the holdout set and remaining reference described above, and prepare an input of 338 samples each with a single ‘novel’ eukaryotic species at 0.1 genome coverage. We compare CORRAL, EukDetect with default settings, EukDetect modified to filter  $\text{MAPQ} \geq 5$  instead of  $\text{MAPQ} \geq 30$ , and a simple “4 reads + 2 markers ( $\text{MAPQ} \geq 30$ )” scenario in which taxa are reported when at least four reads align with  $\text{MAPQ} \geq 30$  to at least two markers. We evaluate accuracy based on taxonomic proximity - whether results are the same genus as the ‘novel’ source of reads - as well as accuracy at reporting one taxon rather than either none or more than one.

Out of the four methods outlined above, CORRAL performs best at reporting a single unknown species as a single result in the correct genus (**Figure 3**). EukDetect’s proportion of No Hits is higher than for “EukDetect ( $\text{MAPQ} \geq 5$ )” and exactly the same as “4 reads + 2 markers ( $\text{MAPQ} \geq 30$ )”, which indicates that the  $\text{MAPQ} \geq 30$  filter makes inferring novel species more difficult.

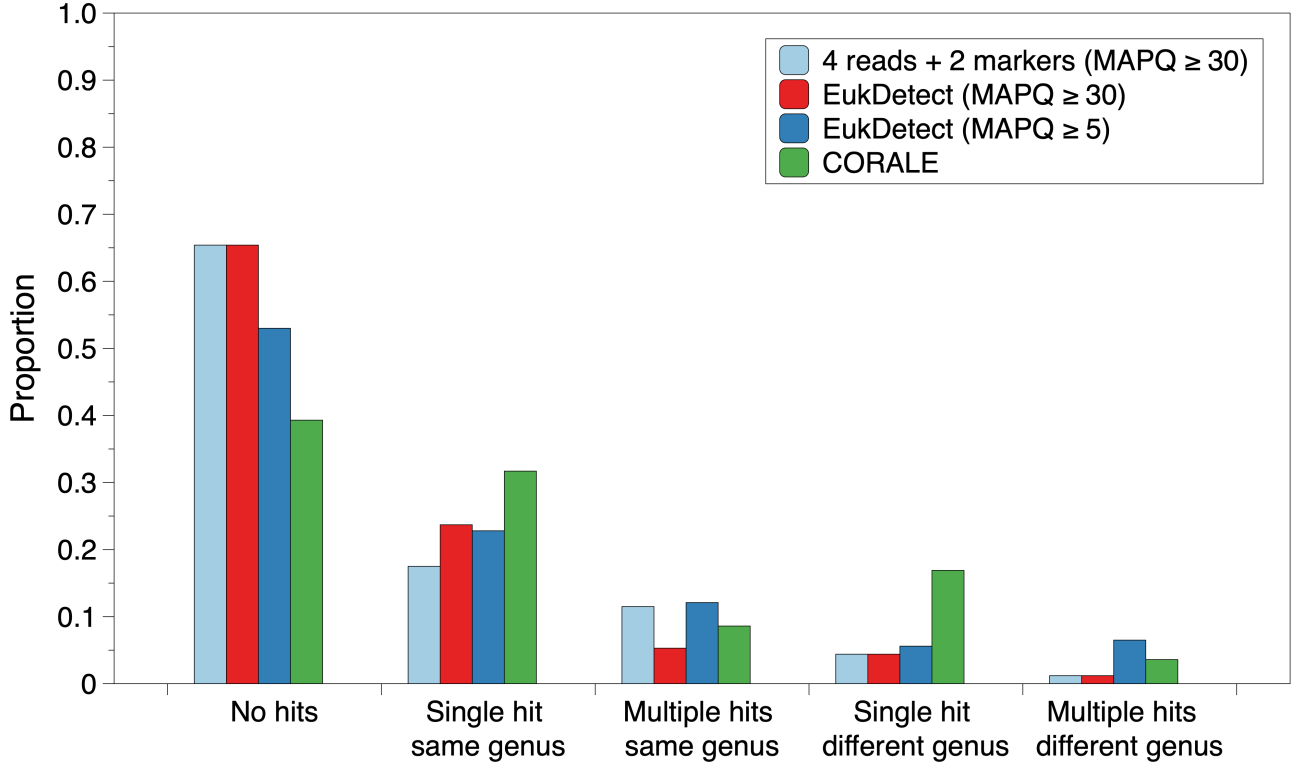


Figure 3: **Simulated unknown taxa - 0.1 coverage**

In the results above, fractions of samples where signal is detected for CORRAL, EukDetect, “EukDetect ( $\text{MAPQ} \geq 5$ )”, and “4 reads + 2 markers ( $\text{MAPQ} \geq 30$ )” are respectively 0.607, 0.346, 0.47, and 0.346, and fractions of detected signal reported as one species are respectively 0.8, 0.812, 0.604, and 0.632. This indicates that modifying EukDetect to filter on  $\text{MAPQ} \geq 5$  would not be a desirable adjustment, because while it improves the ability to recognise eukaryotic signal in the sample, it compromises the ability to recognise that this signal consists of only a single species. In contrast, our method is as good as EukDetect at interpreting recognized eukaryotic signal as coming from a single species, while being much better at recognizing that there is a signal.

## Evaluating CORRAL on human microbiome data

To move beyond the simulations described above we next tested CORRAL on data from real microbiome studies where expectations exist about which eukaryotes might be present. We first evaluated the DIABIMMUNE study [23], for which 136 data points about 30 different eukaryotes were reported across 1154 samples in the original EukDetect publication [16]. Processing these same 1154 samples, CORRAL is in exact concordance with EukDetect on 122/136 data points, and adds additional 97 data points. CORRAL reports common taxa at a higher frequency. For example, *S. cerevisiae* is detected by CORRAL 67 times, while EukDetect only identifies this organism 31 times. The other additional hits detected by CORRAL, but not EukDetect, consist primarily of yeast and other fungi that have been previously reported in the human gut, and thus seem plausible. In summary, these results are evidence that CORRAL improves sensitivity for eukaryote detection without compromising specificity.

Importantly, CORRAL differs from EukDetect in how it treats reads that might originate from a novel species. For example, in sample G78909 from DIABIMMUNE, EukDetect reports *Penicillium nordicum*, while our method reports a novel *Penicillium*. In sample G80329, our method agrees with EukDetect regarding detection of *Candida parapsilosis*, and also identifies the sample as positive for *C. albicans*. Finally, in sample G78500 EukDetect reports *Saccharomyces cerevisiae* and *Kazachstania unispora*, which our method reports to be reads from a single taxon: a strain of *S. cerevisiae* slightly different from the strain whose sequences make up the reference.

## Automating eukaryote detection with CORRAL

In addition to making our software simple to install through **pip** and easily parametrized, we integrate CORRAL into the automated data loading workflow for our open-science platform, MicrobiomeDB.org. As of Release 27 (20 Apr 2022), the site contains 6337 samples from 8 published metagenomic studies [24] [23] [25] [26] [27] [28] [29] [30]. CORRAL identifies eukaryotes in 1453/6337 (23%) of the samples, yielding 2084 data points for 190 different eukaryotic taxa. A large majority, 1851/2084 or 89% of these data points, are fungal taxa. Of the 233 data points for non-fungal eukaryotes detected in these samples, 200 (86%) are species belonging to the genus *Blastocystis*. A summary of the top 10 most frequently observed fungi (**Figure 4A**) reveals that *Candida albicans*, a prevalent component of gut flora, and *Malassezia restricta*, a common commensal and opportunistic pathogen, are the top two most commonly detected fungal taxa on MicrobiomeDB using CORRAL, with presence in 436 and 418 samples, respectively. Since these results are integrated with all other sample annotations on MicrobiomeDB, users can easily identify associations between eukaryotes and metadata (**Figure 4B and 4C**). For example, *Malassezia globosa* (identified in 130 samples) is primarily found on skin and nostrils, while *C. albicans* (**Figure 4B and 4C**), *C. parapsilosis*, *Clavispora lusitaniae*, and *S. cerevisiae* are all primarily or exclusively found in stool.

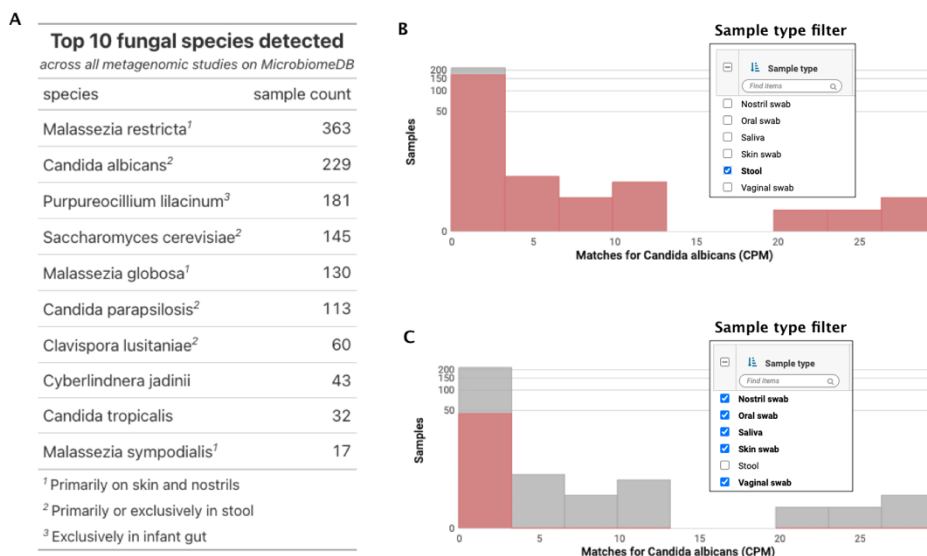


Figure 4: MicrobiomeDB - top fungal species



## Discussion

### Use cases and limitations of CORRAL

CORRAL is a freely available tool for detecting eukaryotes in metagenomic samples based on marker genes and clustering of related reference alignments. This approach achieves better sensitivity, similar specificity, and additional capabilities compared to existing methods. It is suitable for processing public shotgun metagenomic (WGS) data at scale - deploying CORRAL on our open-science platform, MicrobiomeDB.org, allows automated processing of thousands of samples currently on the site, as well as any metagenomic study loaded in the future.

In addition to being an appropriate tool for processing already existing WGS data, CORRAL empowers the microbiome research community with a means of setting up broad screens of metagenomic data to identify samples where eukaryotes are present, but not without limitations. A primary hurdle for this approach is the high cost of WGS sequencing to reach the depth required to detect most eukaryotes. CORRAL addresses this setback gracefully, being able to work with minimal information required to plausibly report different kinds of hits. Additionally, future improvements in genome assembly would provide more complete information on eukaryote-specific genomic sequences which could be used to create a larger reference with more taxa and more sequences per taxon, improving both specificity and sensitivity of hits reported by CORRAL.

With a suitable reference of marker genes, CORRAL could also potentially be applied to processing alignments to any reference that is anticipated to be redundant and incomplete, and where reads are expected to map with varying identity. This includes identification of bacteria to the strain-level resolution required in genomic epidemiology, as well as taxonomic classification of viral reads.

### Future work

Our strategy of clustering of related reference alignments could be further improved by making use of information about similarity between reference sequences. Not relying on external data about similarity of different proteins has the benefit of flexibility, but lacks the capacity to act on implied ‘improbability’ of reported taxa. For example, it is relatively unlikely that a sequenced sample containing reads which map to multiple closely related *Leishmania* species does in fact contain different lines of *Leishmania*, because the reference sequences are highly similar and the species readily hybridize [31]. Conversely, reads sharing alignments to markers across a large taxonomic distance are more likely to come from a single source because of relative implausibility of the sample containing multiple eukaryotes of unknown genera: for example, they might all be contamination from a metazoan host.

Incorporating such speculations about ‘likely’ and ‘unlikely’ results into a detection method is an ambitious undertaking, because it involves making modeling assumptions about vast numbers of eukaryotic taxa, most of which are unsequenced and not described by science. It could, however, yield methods with a more natural choice of threshold parameters, and further gains in sensitivity and specificity.

## Conclusion

CORRAL (for Clustering of Related Reference Alignments) is a tool for identification of eukaryotes in shotgun metagenomic studies in which the results are not overwhelmed by false positives. While CORRAL is based on the same marker gene reference as EukDetect, it does not use EukDetect’s approach to filtering, most notably not including the  $\text{MAPQ} \geq 30$  filter which we show to have species-specific impact on results. The CORRAL approach, based on multiple alignments and Markov clustering, results in sensitive and accurate detection, and is capable of inferring presence of eukaryotes not included in the reference. We highlight this feature using simulated samples with ‘novel’ species, as well as data from DIABIMMUNE, a large infant gut metagenome study. We also successfully deployed CORRAL on our MicrobiomeDB.org resource to detect eukaryotes in over 6000 human metagenomes, demonstrating the utility of our method for conducting large-scale screens of metagenomic data.

## Methods

To simulate reads, we used `wgsim` [32] to sample from the EukDetect reference (the 1/23/2021 version, latest at time of writing, consisting of BUSCOs from OrthoDB [33]). `bowtie2` [18] was used to align reads to references with settings like in EukDetect: the end to end (default) mode and the `--no-discordant` flag. When using `wgsim` read length was set to 100, and base error rate to 0.

To check correctness of simulated alignments, we retrieved the rank of the nearest taxon containing source and match by using the ETE toolkit [34] and the NCBI database version dated 2020/1/14 packaged with EukDetect. Alignments were deemed correct if the source and match were of the same species, and in case of hold-out analysis where the species was missing from the reference by construction, same genus.

Our formulas to calculate precision and recall are as used in the OPAL method of assessing taxonomic metagenome profilers [35]: precision is a fraction of correctly mapped reads among all reads that are mapped, and recall is a fraction of correctly mapped reads among all reads.

When simulating whole samples, we obtained 338 simulated samples from a holdout set of 371 taxa, because we skipped 33 cases in which `wgsim` considers the sequences too fragmented to source reads at a set coverage, and errors out. The number of reads to source per marker to obtain 0.1 coverage was calculated as described in [36].

To run EukDetect, we edited the default config file such that it lists the simulated samples. To run “EukDetect (MAPQ  $\geq 5$ )”, we additionally modified the source code of our local installation. To run “4 reads + 2 markers (MAPQ  $\geq 30$ )”, we ran CORRAL configured to use these three filters instead of the default procedure described in this publication.

CORRAL quantifies abundance for each found taxon with ‘copies per million’ (CPMs) as the number of reads assigned to the taxon normalized by marker length and sequencing depth, in line with the quantity being calculated in the integrated metagenomic profiling tool, HUMAnN [37].

## Data availability

All our software is publicly available under the MIT license: CORRAL ([github.com/wbazant/CORRAL](https://github.com/wbazant/CORRAL)), its main Python module, ([github.com/wbazant/marker\\_alignments](https://github.com/wbazant/marker_alignments)), and a mix of Python, Make, and Bash scripts to produce simulations, comparisons, and figures for this publication ([github.com/wbazant/markerAlignmentsPaper](https://github.com/wbazant/markerAlignmentsPaper)).

All results are publicly viewable and downloadable on MicrobiomeDB. In addition, the following files are available as supplemental material:

LINK: Simulated whole samples - results for different methods

LINK: Simulated reads - per-species breakdown and aggregate stats

LINK: Comparison of CORRAL and EukDetect on DIABIMMUNE study

LINK: Summary of MicrobiomeDB results

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