07-31-2023

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2023-07-31

Abstract

This project explores the application of FDA to temporal gene expression data, implementing two distinct methodologies. The first approach employs Multinomial Logistic Regression, controlled by Leave-One-Out Cross-Validation (LOOCV), following the methodology of an existing study, and achieving an overall accuracy rate of 73.3% and a G1 classification accuracy of 87.5% in our implementation. The second approach leverages Penalized Functional Regression (PFR) using both Functional Principal Components and raw expression profiles for selected genes as predictors, resulting in an overall classification accuracy rate of 90% for G1 and non-G1. The report also emphasizes the random selection of training and testing datasets, a crucial step due to the absence of specific gene information in the original paper. In addition to successfully reproducing Figures 1, 2, 3, 4, 5, and 7 from the original paper, our Figure 6 diverges from the original by plotting pairwise predicted values against observations, providing a distinct analytical perspective.

Introduction

Functional Data Analysis (FDA) is a powerful statistical approach that deals with data represented as curves or functions, making it particularly well-suited for the analysis of temporal gene expression data. In this report, we aim to explore the application of FDA in the classification of gene expression profiles over time through two distinct approaches. The first employs Multinomial Logistic Regression with Leave-One-Out Cross-Validation (LOOCV), as described in a published paper[1]. The second leverages Penalized Functional Regression (PFR), a method we learned in our course, using both Functional Principal Components and raw expression profiles for the selected 90 genes as predictors. Our primary objective is to replicate and reproduce key classification results from the original work, while also introducing innovations through the application of methods we learned in the course. The original article served as the foundation for our investigation, guiding our methodology and design. We successfully replicated the methods used to create Figures 1, 2, 3, 4, 5, and 7 in the original paper. While the specific plots are not exactly identical due to differences in the data, they maintain the essential structure and analytical approach of the original figures. By using Multinomial Logistic Regression controlled by LOOCV to classify five types of genes, we achieved an overall accuracy rate of 73.3% and a G1 classification accuracy rate of 87.5%. When applying Penalized Functional Regression (PFR) for the classification of G1 and non-G1, we attained an overall classification accuracy of 90%. In addition to this replication, we contributed our innovations through Table 2, showing the prediction results by the first approach, and Figure 6, where we plotted pairwise predicted values against observations for both approaches. These successes and distinctions underline both the robustness of the original paper's methodology and our innovative contributions in applying FDA techniques learned during our course. By following the approach proposed by the authors, while incorporating these two complementary techniques, we aim to ensure the reproducibility and application of what we learned. The selected data used in our project differs from what was employed in the original paper. In the given context, 90 genes have been identified by traditional methods as being related to the yeast cell cycle, with specific associations to different phases such as G1, S, S/G2, G2/M, and M/G1 [1]. However, it's important to note that we do not have detailed information about which specific genes were identified by these traditional methods and have data available[1, 2]. Given this lack of specific information, the selection of our training and testing datasets had to be conducted randomly. Without insight into the genes identified by traditional methods, we were unable to segregate or prioritize them based on their known characteristics or associations. As a result, random selection was the most unbiased approach available to partition the data into training and testing sets. The implications of this difference should be carefully considered when comparing the results and conclusions drawn from our analysis with those from the original study. Our approach aims to practice what we have learned in the FDA course, while the original paper has more resources for data.

REFERENCES

- [1] Leng, X., & Müller, H. G. (2006). Classification using functional data analysis for temporal gene expression data. Bioinformatics, 22(1), 68-76.
- [2] Spellman, P. T., Sherlock, G., Zhang, M. Q., Iyer, V. R., Anders, K., Eisen, M. B., ... & Futcher, B. (1998). Comprehensive identification of cell cycle–regulated genes of the yeast Saccharomyces cerevisiae by microarray hybridization. Molecular biology of the cell, 9(12), 3273-3297.

Methodology

Multinomial Logistic Regression (Model 1) with control of LOOCV

Following the methodology mentioned in the original paper, we employed multinomial logistic regression using the "multinom" method in the train function from the 'caret' package. This approach is consistent with the original paper and targets classification problems with a categorical response variable having more than two levels. The predictors in this model are the Functional Principal Components (FPCs), capturing the major patterns of variation in the functional data. The R code for this method is:

 $model1 \leftarrow train(Y \sim ., data = data1, method = "multinom", trace = FALSE, trControl = train_control)$

Here, Y represents the five different gene types, and data1 contains Y and the predictors. The predictors are the FPC cores of raw expression profiles. The number of FPCs in data 1 is selected by minimizing the LOOCV classification error rate. The trace = FALSE argument prevents additional information from being printed during training, and train_control defines the resampling method used, such as LOOCV (Leave-One-Out Cross-Validation).

Genalized Functional Regression (PFR) with Logit Link (Model 2)

For the second approach, we explored penalized functional regression (PFR) using both Functional Principal Components (FPCs) and raw expression profiles for the selected 90 genes in the training dataset as predictors. The aim was to compare these two types of input features within the framework of PFR. The code snippet for using raw expression profiles is:

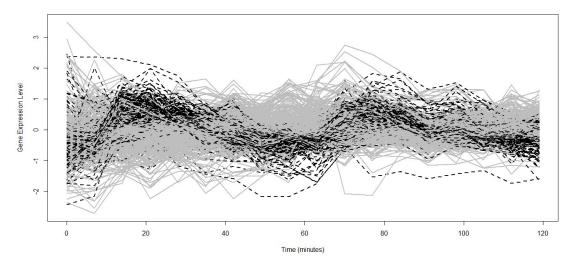
pfr.fit.final \leftarrow pfr(Y \sim lf(X, k = k_opt, fx = FALSE), family = binomial(link = "logit"))

Here, Y is the binary response variable of G1 and non-G1, and X represents the chosen predictors, either the raw expression profiles or FPCs of them. The term $lf(X, k = k_opt, fx = FALSE)$ represents a linear functional form, and k_opt is the selected number of basis functions by minimizing AIC. We also experimented with the "probit" link, achieving similar results to the "logit" link. Interestingly, both methods, utilizing raw expression profiles and FPCs, yielded very close results. This congruence showcases the robustness of the PFR method and offers multiple perspectives on the relationships between gene expression patterns and the response variable. By employing these two different types of predictors, we were able to validate our findings and gain comprehensive insights into the underlying structure of the data.

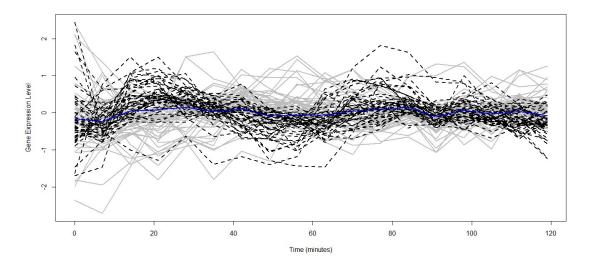
```
library(refund)
library(fda)
## Loading required package: splines
## Loading required package: fds
## Loading required package: rainbow
## Loading required package: MASS
## Loading required package: pcaPP
## Loading required package: RCurl
## Loading required package: deSolve
##
## Attaching package: 'fda'
## The following object is masked from 'package:graphics':
##
##
       matplot
library(Ecdat)
## Loading required package: Ecfun
##
## Attaching package: 'Ecfun'
## The following object is masked from 'package:base':
##
##
       sign
##
## Attaching package: 'Ecdat'
  The following object is masked from 'package:MASS':
##
##
##
       SP500
```

```
## The following object is masked from 'package:datasets':
##
##
       Orange
library(boot)
##
## Attaching package: 'boot'
## The following object is masked from 'package:fda':
##
       melanoma
##
## The following object is masked from 'package:refund':
##
       cd4
##
library(dplyr)
##
## Attaching package: 'dplyr'
## The following object is masked from 'package:MASS':
##
##
       select
## The following objects are masked from 'package:stats':
##
       filter, lag
##
## The following objects are masked from 'package:base':
##
##
       intersect, setdiff, setequal, union
library(ggplot2)
library(caret)
## Loading required package: lattice
##
## Attaching package: 'lattice'
## The following object is masked from 'package:boot':
##
       melanoma
##
## The following object is masked from 'package:fda':
##
       melanoma
##
library(caTools)
library(stepPlr)
```

```
library(plot3D)
library(gridExtra)
##
## Attaching package: 'gridExtra'
## The following object is masked from 'package:dplyr':
##
##
       combine
library(scatterplot3d)
file_path <- "C:/Users/fwjbi/OneDrive - Bowling Green State University/Summer 2023/</pre>
6820-Function/Final Project/Data/cdcdata.txt"
# Read the file into a data frame
dtcdc <- read.csv(file_path, header = TRUE, sep = "\t", na.strings = "")</pre>
file_path2 <- "C:/Users/fwjbi/OneDrive - Bowling Green State University/Summer 2023</pre>
/6820-Function/Final Project/Data/orf data.txt"
# Read the file into a data frame
dtorf <- read.csv(file_path2, header = TRUE, sep = "\t", na.strings = "")</pre>
# Find column indices containing 'alpha' or 'cdc' in dtcdc
alphancols <- grep("alpha", colnames(dtcdc))</pre>
columns1 <- c(1, alphancols)</pre>
subdtcdc <- dtcdc[, columns1]</pre>
names(subdtcdc)[names(subdtcdc) == "X"] <- "NAME"</pre>
# Merge subdtcdc and dtorf data frames by the 'NAME' column
merdata <- merge(subdtcdc, dtorf, by = "NAME", all.x = TRUE)</pre>
merdata<- merdata[complete.cases(merdata), ]</pre>
# Create a vector of line types based on the X.1 column
lines = ifelse(merdata$X.1 == "G1", 2, 1) # use 2 for 'dashed' line and 1 for 'sol
id' Line
# Create a vector of colors based on the X.1 column
colors = ifelse(merdata$X.1 == "G1", "black", "gray") # use 'black' for G1 and 'gr
ay' otherwise
```

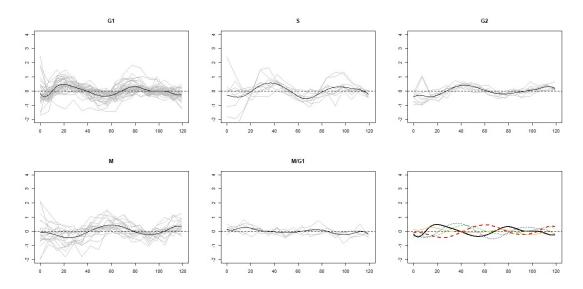


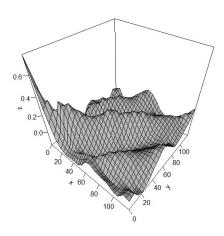
```
# Randomly select 44 rows with X.1 equal to "G1"
set.seed(13)
subg1 <- subset(merdata, X.1 == "G1")</pre>
subg1 <- subg1[sample(nrow(subg1), 44), ]</pre>
# Randomly select 46 rows with X.1 not equal to "G1"
subnong1 <- subset(merdata, X.1 != "G1")</pre>
subnong1 <- subnong1[sample(nrow(subnong1), 46), ]</pre>
# Combine subset_g1 and subset_non_g1 into one data frame
gendata <- rbind(subnong1,subg1)</pre>
## Create gentest with the remaining rows from merdata
gentest <- merdata[!(rownames(merdata) %in% rownames(gendata)), ]</pre>
subg2 <- subset(gentest, X.1 == "G1")</pre>
subg2 <- subg2[sample(nrow(subg2), 44), ]</pre>
subnong2 <- subset(gentest, X.1 != "G1")</pre>
subnong2 <- subnong2[sample(nrow(subnong2), 46), ]</pre>
gendata1 <- rbind(subnong2,subg2)</pre>
################################# Figure 1
```



```
S fd <- Data2fd(time1,t(S data),basis)</pre>
S mu <- mean.fd(S fd)
G2_fd <- Data2fd(time1,t(G2_data),basis)</pre>
G2_mu <- mean.fd(G2_fd)</pre>
M_fd <- Data2fd(time1,t(M_data),basis)</pre>
M mu <- mean.fd(M fd)
MG1_fd <- Data2fd(time1,t(MG1_data),basis)</pre>
MG1_mu <- mean.fd(MG1_fd)
# Plot the gene expression profiles with mean functions
par(mfrow = c(2, 3))
matplot(time1, t(G1_data), type = "1", lty = 1, col = "gray", lwd = 1.25,xlab="",yl
ab="",ylim = c(-2, 4), xlim = c(0, 120), main="G1")
plot(G1 mu,lwd =1.5, add= TRUE)
## [1] "done"
matplot(time1, t(S_data), type = "1", lty = 1, col = "gray", lwd = 1.25,xlab="",yla
b="",ylim = c(-2, 4), xlim = c(0, 120),main="S")
plot(S_mu,lwd =1.5, add= TRUE)
## [1] "done"
matplot(time1, t(G2_data), type = "l", lty = 1, col = "gray", lwd = 1.25,xlab="",yl
ab="",ylim = c(-2, 4), xlim = c(0, 120), main="G2")
plot(G2_mu,lwd =1.5, add= TRUE)
## [1] "done"
matplot(time1, t(M_data), type = "l", lty = 1, col = "gray", lwd = 1.25,xlab="",yla
b="",ylim = c(-2, 4), xlim = c(0, 120),main="M")
plot(M_mu,lwd =1.5, add= TRUE)
## [1] "done"
matplot(time1, t(MG1_data), type = "l", lty = 1, col = "gray", lwd = 1.25,xlab="",y
lab="",ylim = c(-2, 4), xlim = c(0, 120),main="M/G1")
plot(MG1_mu,lwd =1.5, add= TRUE)
## [1] "done"
plot(G1_mu, col = "black", lwd = 2, ylim = c(-2, 4), xlim = c(0, 120), xlab = "", ylab = ""
)
## [1] "done"
lines(S_mu, col = "blue", lwd = 1.25, lty = "dotted")
lines(G2_mu, col = "green", lwd = 1.25, lty = "dashed")
```

```
lines(M_mu, col = "red", lwd = 2, lty = "44")
lines(MG1_mu, col = "orange", lwd = 1, lty = "solid")
```





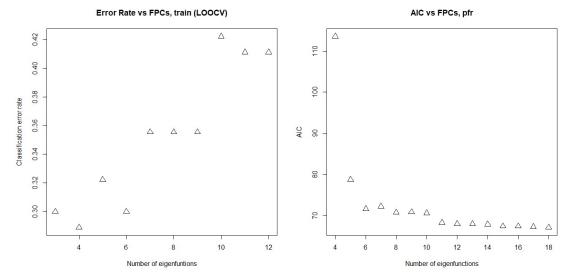
```
#Plot Figure 4
X=gendata[,2:19]
X2=gendata1[,2:19]
dim(X)
## [1] 90 18
# gendata$YG1 <- ifelse(gendata$X.1 == "G1", 1, 0)
Y=gendata$X.1
Y2=gendata1$X.1
X = as.matrix(t(X))
X2 = as.matrix(t(X2))
X = t(X)
X2 = t(X2)
dim(X)
## [1] 90 18
Y <- factor(gendata$X.1)
Y2 <-factor(gendata1$X.1)
grid \leftarrow seq(0, 119, by = 7)
# Create the Fourier basis with a reduced number of basis functions (nbasis = 5)
mybasis <- create.bspline.basis(rangeval = range(grid), nbasis = 12)</pre>
# Convert the data to functional data object using the Fourier basis
Xfdtrain <- Data2fd(argvals = grid, y = t(X), mybasis)</pre>
Xfdtrain2 <- Data2fd(argvals = grid, y = t(X2), mybasis)</pre>
error_rates <- numeric(0)</pre>
nharm_all = 3:12 # k = number of basis functions
suppressWarnings(
 # Loop through nharm values
  for (nharm in nharm_all) {
    # Perform PCA with current nharm value
    FPCtrain <- pca.fd(Xfdtrain, nharm = nharm)</pre>
    # Extract the scores from PCA
    Sctrain <- FPCtrain$score
    Sctrain_df <- as.data.frame(Sctrain)</pre>
   # Combine the scores with the Y variable
    data1 <- cbind(Y, Sctrain df)</pre>
  # Set up trainControl for LOOCV
```

```
train control <- trainControl(method = "LOOCV")</pre>
    # Build the multinomial model using train function
    model <- train(Y ~ ., data = data1,</pre>
                    method = "multinom",trace = FALSE,
                    trControl = train control)
    error_rate <- 1 -model$results$Accuracy[1]</pre>
    error rates <- c(error rates, error rate)
  }
)
# Print the error rates for different nharm values
par(mfrow = c(1, 2))
print(error rates)
## [1] 0.3000000 0.2888889 0.3222222 0.3000000 0.3555556 0.3555556 0.3555556
## [8] 0.4222222 0.4111111 0.4111111
plot(nharm_all, error_rates, cex = 1.5, pch = 2, ylab = "Classification error rate"
, xlab = "Number of eigenfuntions", main="Error Rate vs FPCs, train (LOOCV)")
FPCtrain$varprop
## [1] 3.580857e-01 2.918024e-01 1.683861e-01 1.010213e-01 2.142393e-02
## [6] 1.723013e-02 1.176647e-02 1.129491e-02 9.135514e-03 6.945051e-03
## [11] 2.906461e-03 2.122647e-06
M OPT <- nharm all[which.min(error rates)]</pre>
print(M OPT)
## [1] 4
FPCtrain <- pca.fd(Xfdtrain, nharm = M_OPT)</pre>
FPCtrain2 <- pca.fd(Xfdtrain2, nharm = M OPT)</pre>
var.explained <- cumsum(FPCtrain$varprop)</pre>
n.components <- which(var.explained >= 0.90)[1]
n.components
## [1] 4
Sctrain <- FPCtrain$score
Sctrain2 <- FPCtrain2$score</pre>
Sctrain_df <- as.data.frame(Sctrain)</pre>
Sctrain df2 <- as.data.frame(Sctrain2)</pre>
data1 <- cbind(Y, Sctrain df)</pre>
data2 <- cbind(Y2, Sctrain_df2)</pre>
# Set up trainControl for LOOCV
```

```
train control <- trainControl(method = "LOOCV")</pre>
suppressWarnings(
model1 <- train(Y ~ ., data = data1,</pre>
                 method = "multinom", trace = FALSE,
                trControl = train_control)
)
errorrate1 <- 1- model1$results$Accuracy</pre>
print(errorrate1)
## [1] 0.2888889 0.2888889 0.2888889
P1 <- model1$pred$pred
O1 <- model1$pred$obs
Error_rate <- num_differences <- sum(P1 != 01)/270</pre>
Error_rate
## [1] 0.2888889
print(model1$results$Accuracy)
## [1] 0.7111111 0.7111111 0.7111111
print(model1$results$Accuracy[1])
## [1] 0.7111111
pred1 <- predict(model1, data2)</pre>
Y2obs <- factor(data2$Y2)
unique(Y2)
## [1] M/G1 M S
                            G1
                       G2
## Levels: G1 G2 M M/G1 S
# Compute the confusion matrix
conf_matrix <- confusionMatrix(pred1, Y2obs)</pre>
# Calculate the accuracy and error rate
accuracy <- conf_matrix$overall["Accuracy"]</pre>
error_rate <- 1 - accuracy
# Print the error rate
print(error rate)
## Accuracy
## 0.2666667
# Get the table of the confusion matrix
cm_table <- as.table(conf_matrix$table)</pre>
```

```
# Compute accuracy for each class
class_accuracies <- diag(cm_table) / rowSums(cm_table)</pre>
# Compute error rates for each class
class_error_rates <- 1 - class_accuracies</pre>
G1.C <- sum(gendata$X.1=="G1")</pre>
M.C <- sum(gendata$X.1 == "M")</pre>
S.C <- sum(gendata$X.1 == "S")</pre>
G2.C <- sum(gendata$X.1 == "G2")
MG1.C <- sum(gendata$X.1=="M/G1")
G1.C1 <- sum(gendata1$X.1=="G1")</pre>
M.C1 <- sum(gendata1$X.1 == "M")
S.C1 <- sum(gendata1$X.1 == "S")
G2.C1 <- sum(gendata1$X.1== "G2")
MG1.C1 <- sum(gendata1$X.1=="M/G1")
# Create a data frame
counts <- data.frame(</pre>
  Train set = c(G1.C, G2.C, M.C, MG1.C, S.C),
  Test set = c(G1.C1, G2.C1, M.C1, MG1.C1, S.C1),
  accuracy = class accuracies,
  Error = class_error_rates
class accuracies
                                        M/G1
                    G2
## 0.8750000 0.6153846 0.6250000
                                        NaN 0.2000000
# Print the data frame
print(counts)
##
        Train_set Test_set accuracy
                                           Error
## G1
               44
                        44 0.8750000 0.1250000
                9
                         15 0.6153846 0.3846154
## G2
               21
## M
                       19 0.6250000 0.3750000
## M/G1
               6
                         8
                                  NaN
                                             NaN
## S
               10
                         4 0.2000000 0.8000000
sum(pred1=="M/G1")
## [1] 0
#####################
mybasis <- create.bspline.basis(rangeval = range(grid), nbasis = 12)</pre>
# Convert the data to functional data object using the Fourier basis
Xfdtrain <- Data2fd(argvals = grid, y = t(X), mybasis)</pre>
Xfdtrain2 <- Data2fd(argvals = grid, y = t(X2), mybasis)</pre>
# Initialize empty vector to store AIC values
```

```
aic_values <- numeric(0)</pre>
# Initialize an empty data frame for results
result <- data.frame()</pre>
# Initialize variables to track the best parameters
min_aic <- Inf
best k <- NA
best_nharm <- NA
 Y <- ifelse(gendata$X.1=="G1",1,0)</pre>
 Y2 <- ifelse(gendata1$X.1=="G1",1,0)
 # Compute AIC for different values of k
  aic_all = numeric(0)
k_all = 4:18
for(k in k all){
  pfr.fit <- pfr(Y ~ lf(X, k = k, fx = FALSE), family = binomial(link = "logit"))</pre>
 tmp = extractAIC(pfr.fit)[2]
 aic_all = c(aic_all,tmp)
}
# Find the minimum AIC for this value of nharm
k_opt <- k_all[which.min(aic_all)]</pre>
aic_all
## [1] 113.53855 78.72992 71.60561 72.21537 70.75303 70.84954 70.61562
## [8] 68.25819 67.98803 67.98864 67.90441 67.40899 67.43468 67.25338
## [15] 67.10972
k_opt
## [1] 18
plot(k_all,aic_all, cex = 1.5, pch = 2, xlab="Number of eigenfunctions", ylab="AIC"
, main="AIC vs FPCs, pfr")
```

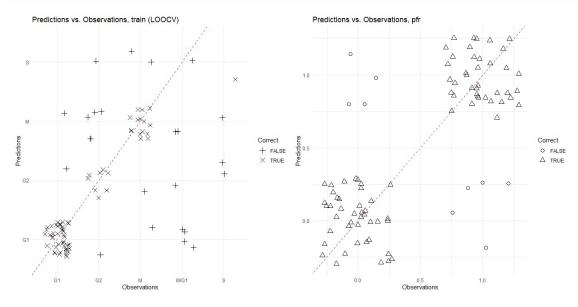


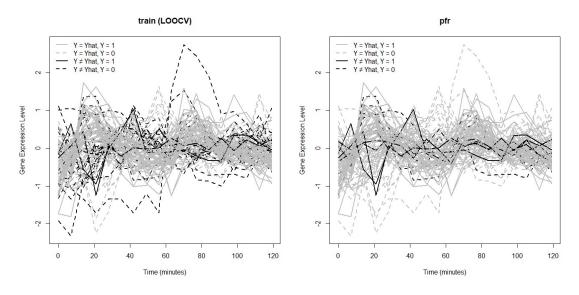
```
length(k_all)
## [1] 15
length(aic_all)
## [1] 15
par(mfrow = c(1, 1))
pfr.fit.final <- pfr(Y ~ lf(X, k = k_opt, fx = FALSE), family = binomial(link = "l
ogit"))
# Make predictions
predictions <- predict(pfr.fit.final, newdata = list(X = X2))# type = "response")</pre>
pred2 <- ifelse(predictions > 0.5, 1, 0)
error.rate2 <- 1 - mean(pred2 == Y2)</pre>
# Print the error rate
print(error.rate2)
## [1] 0.1
################################# Figure 5
#Plot Figure 5
par(mfrow = c(2,2))
plot(FPCtrain$harmonics[1], ylab = "", lwd=3)
## [1] "done"
```

```
legend("topleft", legend = "1", bty = "n")
plot(FPCtrain$harmonics[2], ylab = "", lwd=3)
## [1] "done"
legend("topleft", legend = "2", bty = "n")
plot(FPCtrain$harmonics[3], ylab = "", lwd=3)
## [1] "done"
legend("topright", legend = "3", bty = "n")
plot(FPCtrain$harmonics[4], ylab = "", lwd=3)
                                    0.0
                                    0.1
 -0.05
                                    -0.2
## [1] "done"
par(mfrow = c(1,1))
#Plot Figure 7
datap <- data.frame(Predictions = pred1, Actual = Y2obs)</pre>
datap$Correct = datap$Predictions == datap$Actual
plot1 <- ggplot(datap, aes(x = Actual, y = Predictions)) +</pre>
 geom_jitter(aes(shape = Correct), size = 3, width = 0.3, height = 0.3) +
 scale shape manual(values = c(3, 4)) +
 geom_abline(intercept = 0, slope = 1, color = "red", linetype = "dashed") +
 xlab("Observations") +
 ylab("Predictions") +
 ggtitle("Predictions vs. Observations, train (LOOCV)") +
 theme_minimal()
datap1 <- data.frame(Predictions = pred2, Actual = Y)</pre>
datap1$Correct = datap1$Predictions == datap1$Actual
```

```
plot2 <- ggplot(datap1, aes(x = Actual, y = Predictions)) +
    geom_jitter(aes(shape = Correct), size = 3, width = 0.3, height = 0.3) +
    scale_shape_manual(values = c(1, 2)) +
    geom_abline(intercept = 0, slope = 1, color = "red", linetype = "dashed") +
    xlab("Observations") +
    ylab("Predictions") +
    ggtitle("Predictions vs. Observations, pfr") +
    theme_minimal()

grid.arrange(plot1, plot2, ncol = 2)</pre>
```





par(mfrow = c(1,1))