

# Fast Generation of Big Random Binary Trees

UCL Computer Science Research Note RN/20/01

13 January 2020 [arXiv:2001.04505](https://arxiv.org/abs/2001.04505)



`random_tree()` is a linear time and space C++ implementation able to create trees of up to a billion nodes for genetic programming and genetic improvement experiments. A 3.60GHz CPU can generate more than 18 million random nodes for GP program trees per second.

[W. B. Langdon](#)

Slides for Software Systems Engineering SSE [Reading Group](#), 15 Jan 2020



# Why do we care?

- Tree universal data structure
- Space of trees is huge but sampling it is not simple
- Lessons
  - Linear  $O(n)$  1999 code fine for trees of 100 nodes not usable with trees of a million nodes
  - Solving problem with real pseudo random number generators (PRNGs)
    - although we are in a state of sin (Von Neumann), call it random from now on.

# Back Ground 1996-2000

- Genetic Programming needs random trees
  - Random start to the population
  - Subtree replacement mutation
- John Koza propose “ramped half and half”
- Walter Bohm and Andreas Geyer-Schulz [[FOGA 4](#)] and Hitoshi Iba [[PPSN 96](#)] suggest uniform random trees.
- 1997 I implement Iba’s in Andy Singleton’s GPquick
- Sean Luke [[2000](#)] says not fast
  - Who cares trees tiny trees, over head small.

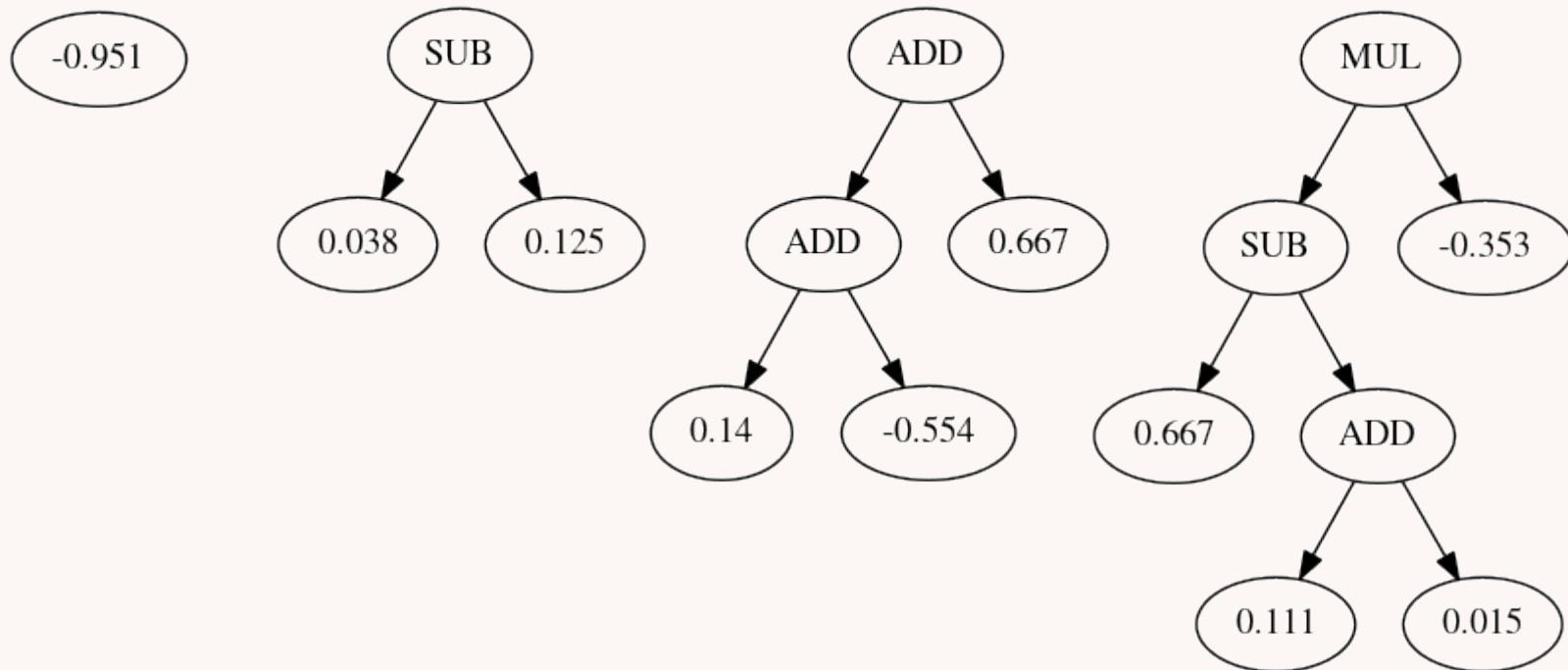
# Back Ground 2019

- Applying Genetic Improvement to speed up existing GP system: [COW62](#)
  - To speed up eval of very bloated big  $10^8$  trees
- Only need binary trees (for now)
- Need lots of different big trees like those evolved by Genetic Programming (GP)
- Want random trees since:
  - Humungous GP trees take weeks to evolve
  - Without compression gigabytes each
- Existing (linear code) takes far too long.
- Code rewritten

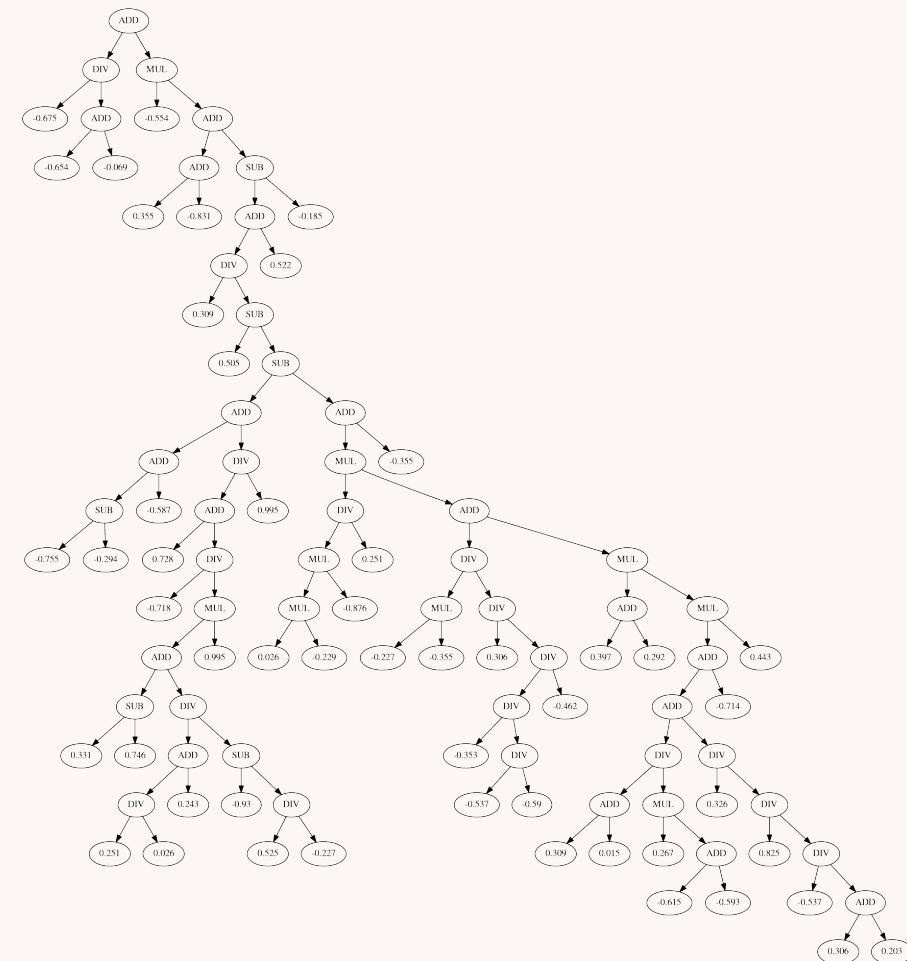
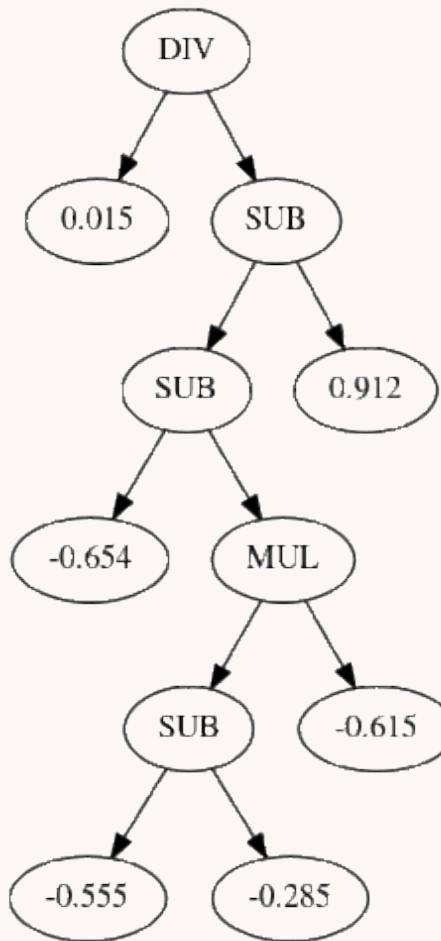
# Evolved GP Trees

- Picture binary tree
- Picture GP population evolving

# Binary GP Trees



# Binary GP Trees



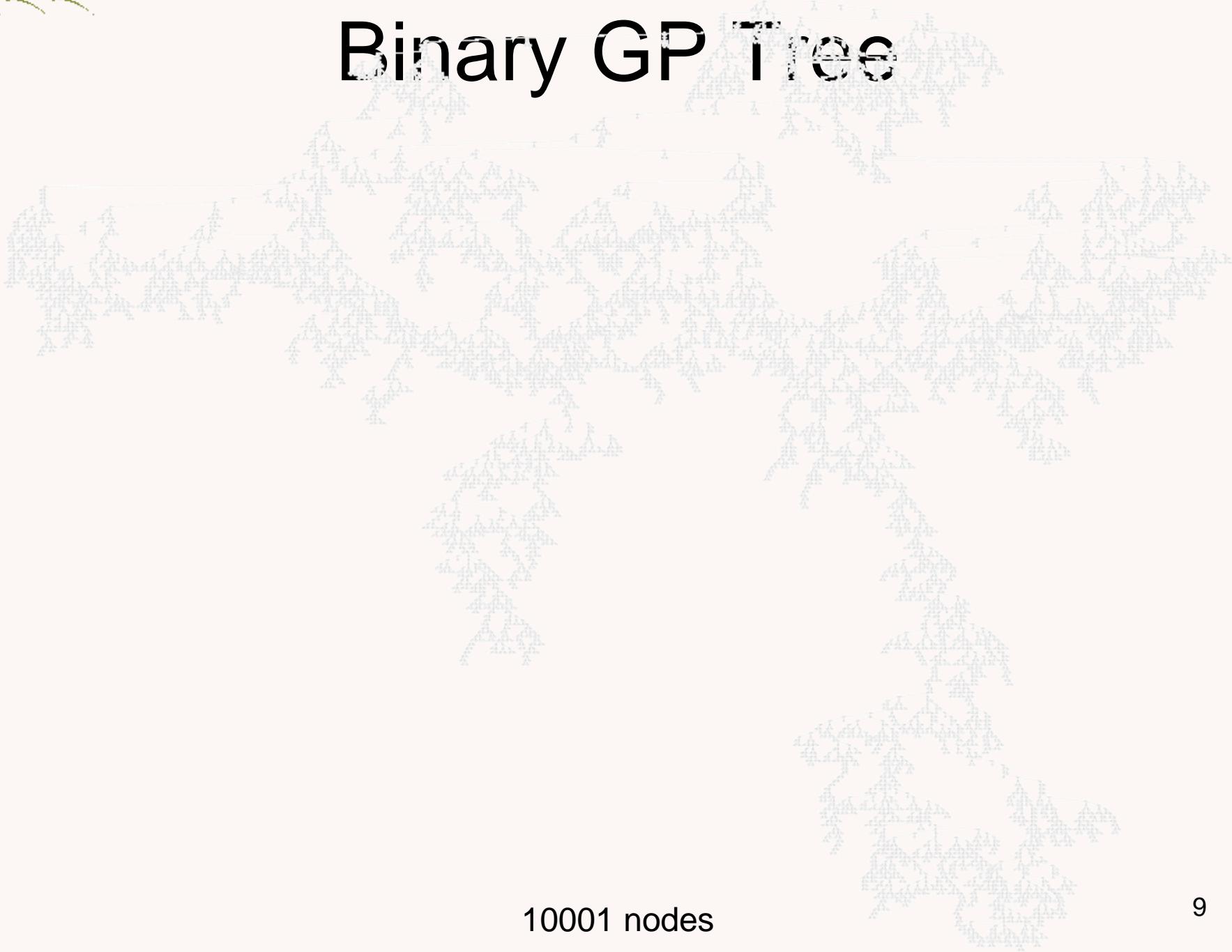
101 nodes

# Binary GP Tree



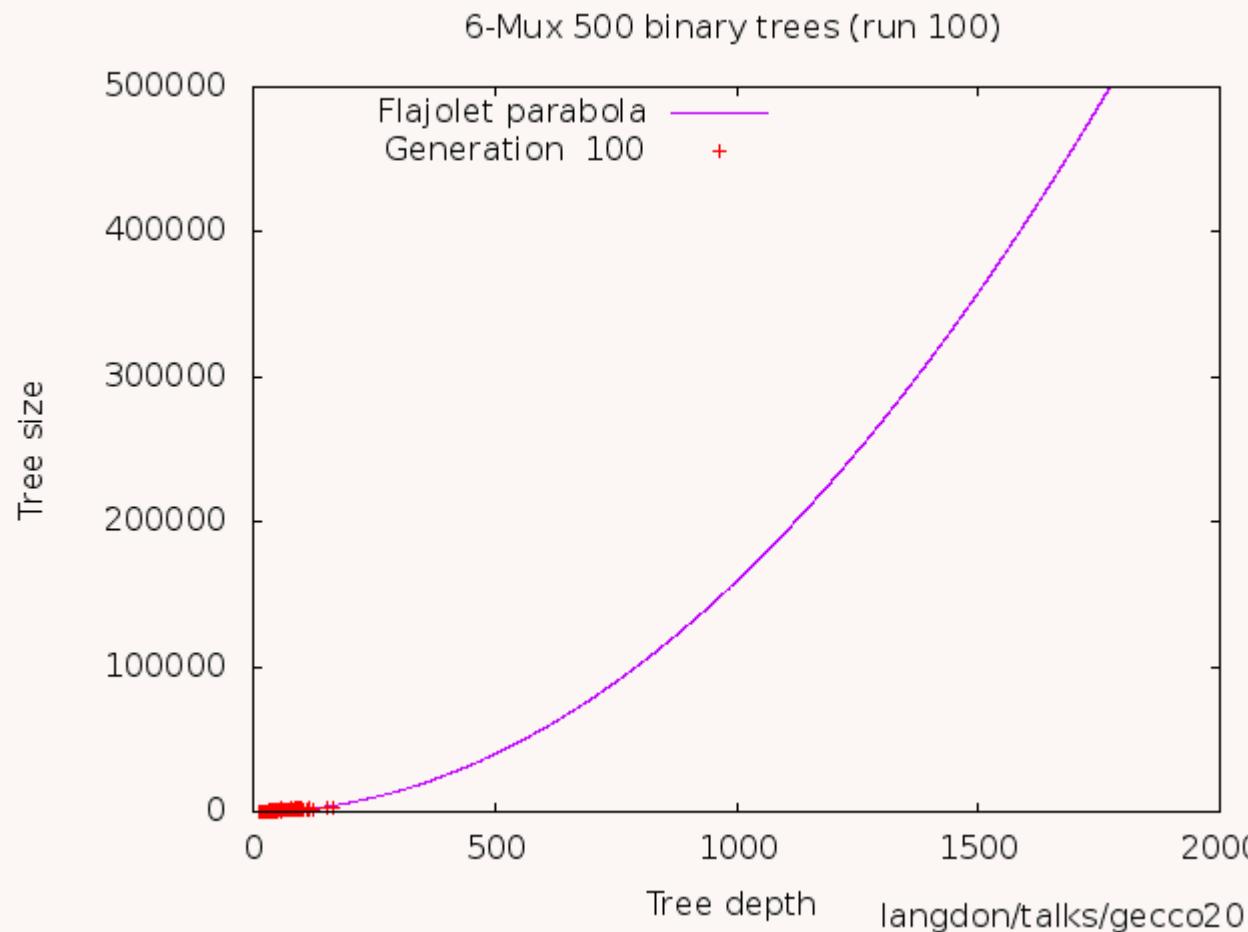
1001 nodes

# Binary GP Trees



10001 nodes

# GP population Evolving



# Mathematics of Large Trees

Combinatorics of large trees hard but well studied

Robert Sedgewick and Philippe Flajolet  
[analysis of algorithms]

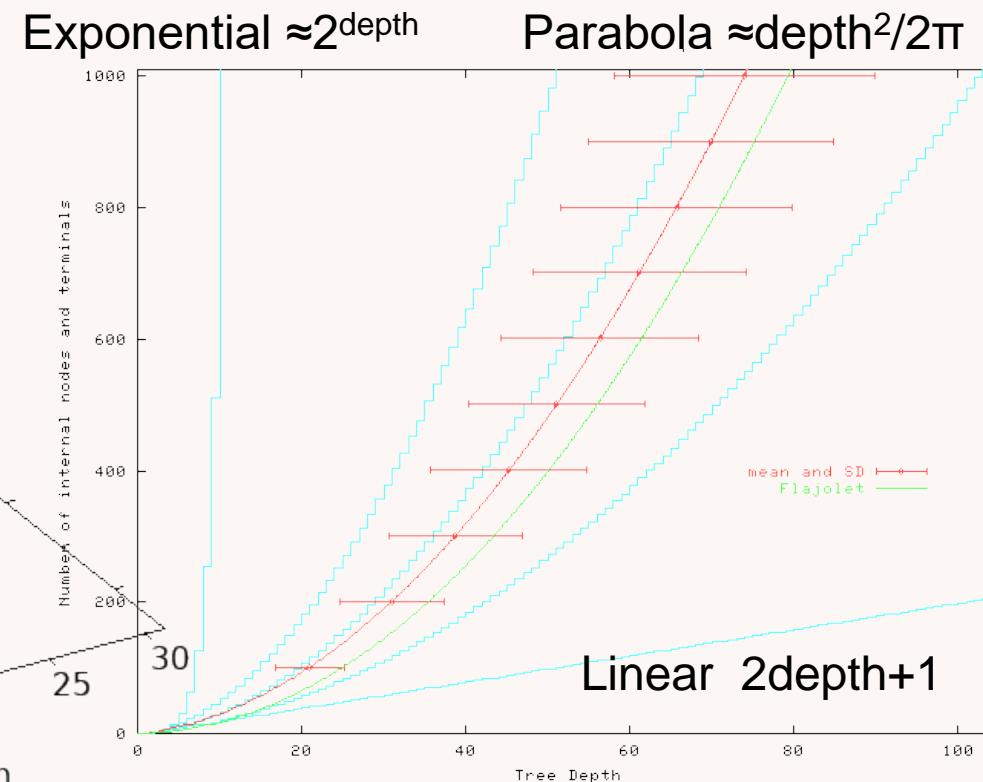
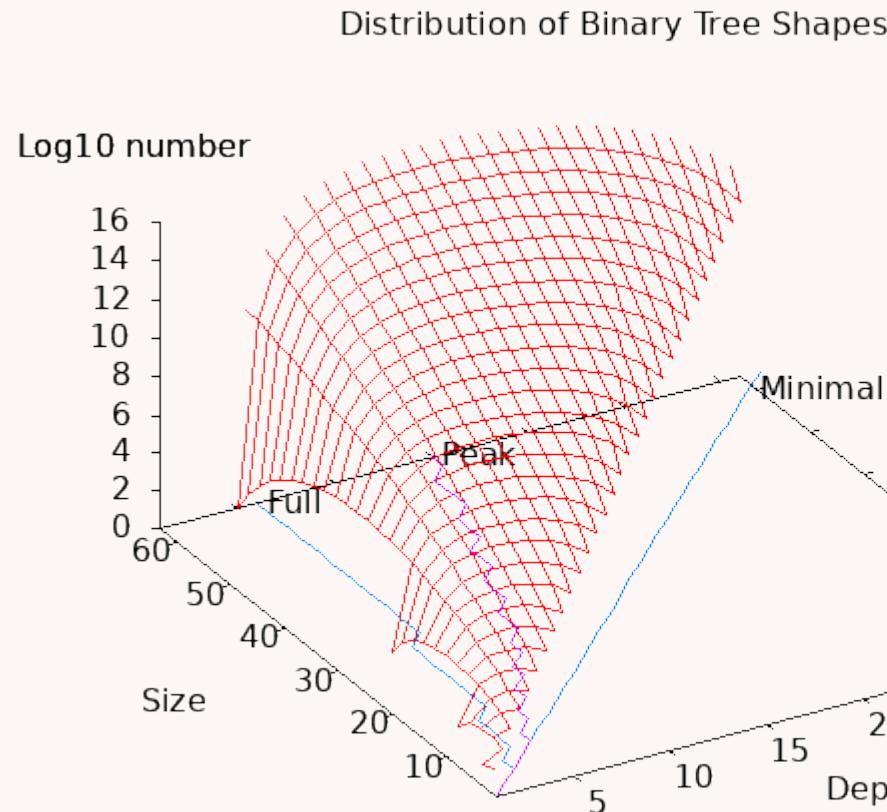
Number of binary trees  
is Catalan number  
 $N = (\text{size}-1)/2$

$$T_N = \frac{1}{N+1} \binom{2N}{N} = \frac{4^N}{\sqrt{\pi N^3}} \left(1 + O\left(\frac{1}{N}\right)\right).$$

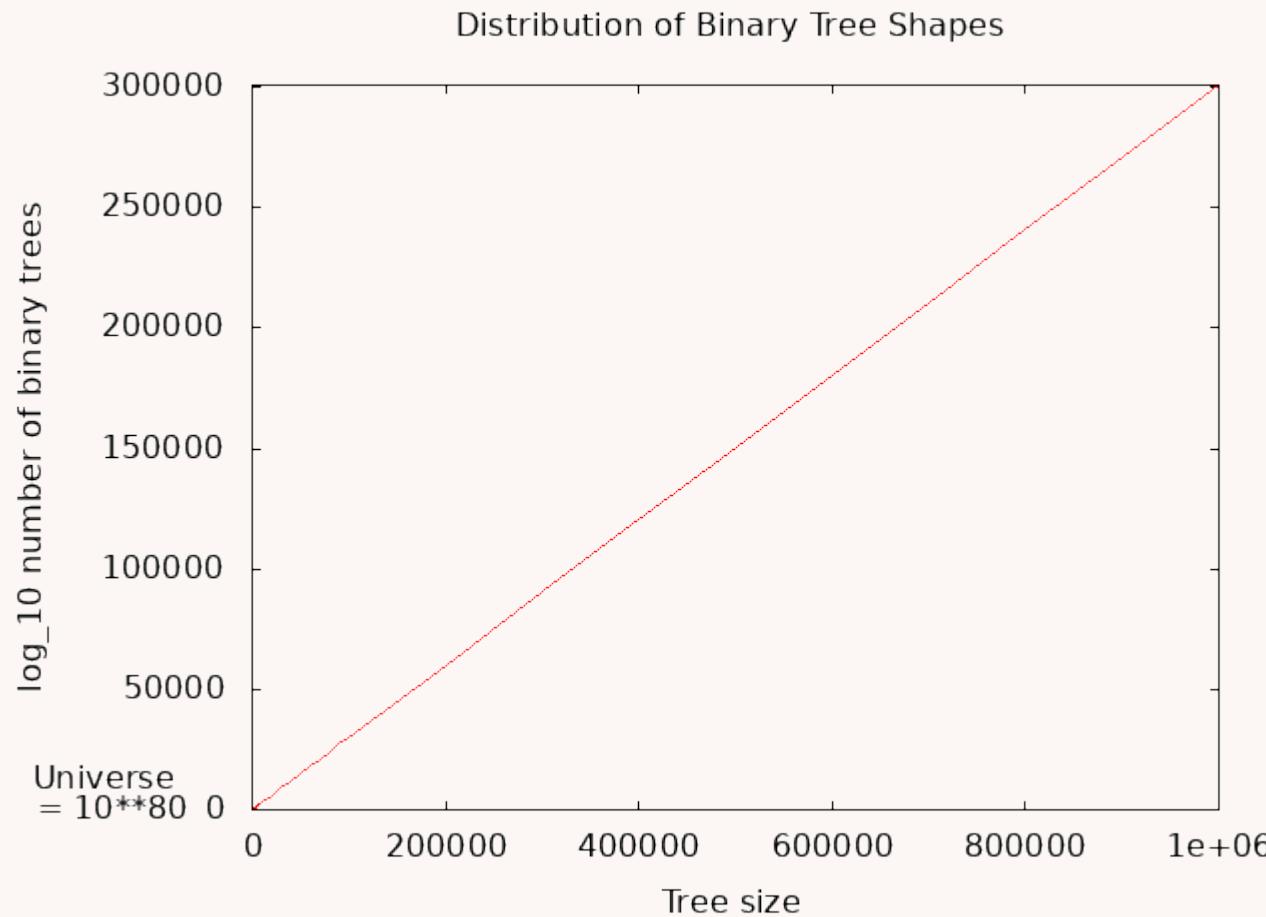
Large N limit

$$\begin{aligned} & 2\sqrt{\pi N} + O(N^{1/4+\epsilon}) \\ & \approx \sqrt{(2\pi \text{ size})} \end{aligned}$$

# Distribution of binary trees



# Number of possible binary trees



# Large Evolved GP Trees

- Genetic Programming trees not random but random shape.
- Random trees good enough to test and time each new mutated eval() function.

# Sampling Large Trees

- Sampling trees is **hard**
- Mathematical cheat: sample random permutation of size  $n$ , translate chosen permutation into tree
- Proof that sample permutation uniformly at random, so have sampled random trees uniformly at random.

# 1997 (Small) random Trees

- Original implementation followed Iba's technical report [ETL-TR-95-35](#) and existing random permutation C code.
- Not efficient. Linear time  $O(n)$  but not fast. Instead deal with hard maths by keeping code modular.
- Also needed to deal with functions with up to 4 arguments (arity 0..4)

# Sampling Permutation for Binary Tree

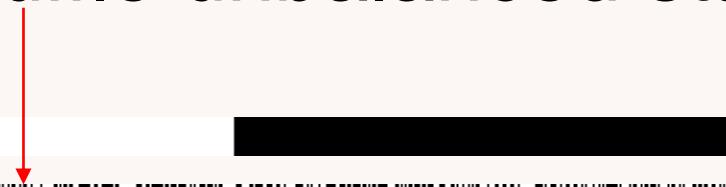
- Original code slow because general
- Simplify for binary tree
  - $n/2$  functions,  $n/2+1$  leafs
- Start with empty sequence `int dyck[n]`
- Deterministically load  $n/2$  node( $a=2$ ) and  $n/2+1$  leafs (arity=0).
- Randomise sequence, `dyck`
- New: use Knuth shuffle

# Sampling Permutation for Binary Tree

- Use Knuth shuffle to randomise sequence
- Prove that Knuth shuffle samples uniformly at random, hence have random permutation, hence will have random tree
- With real PRNG, need to help Knuth?
- Alternate 0,2 initial sequence (don't start with all 0 at one end). Then shuffle.
- Number of random trees far bigger than number of PRNG sequences.

# Randomising sequence for real

Knuth shuffle unbalanced start



Knuth shuffle uniform start



# Permutation into Tree via onedom()

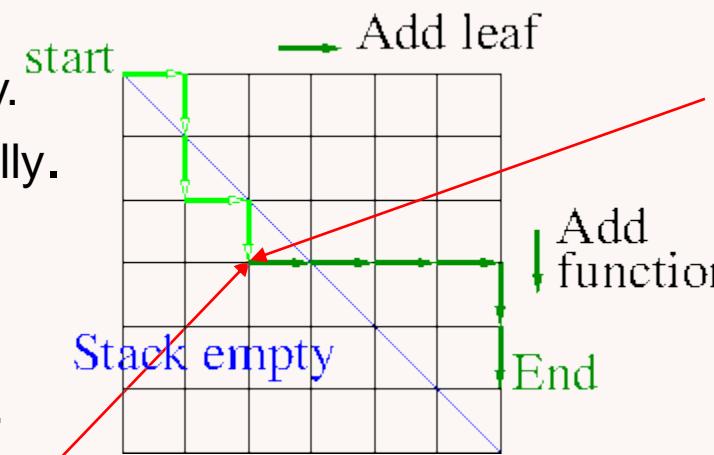
- Sampling trees is hard
  - Odd language dyck sequence, 1-dominated
- For binary trees, sequence in  $n/2+1$  square
  - Add leaf move horizontally.
  - Add function move vertically.
  - All random permutations move from **start** to **End** (albeit by different routes, next slide).
- Exactly one rotation makes route a valid tree.
- Routine onedom (1-dominated) converts random permutation into corresponding random tree.

# Onedom() permutation into tree

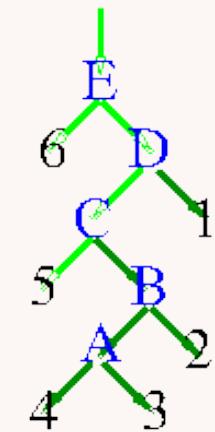
Add leaf move horizontally.

Add function move vertically.

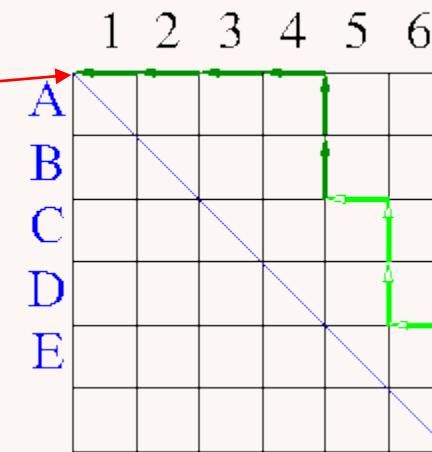
All random permutations move from start to end (albeit by different routes).



Below diagonal.  
Not a valid tree.  
More functions than leafs.  
More pops than pushes.

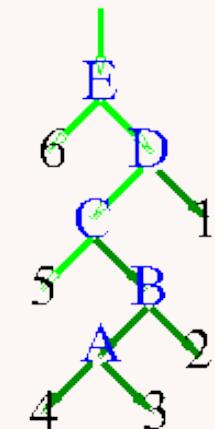
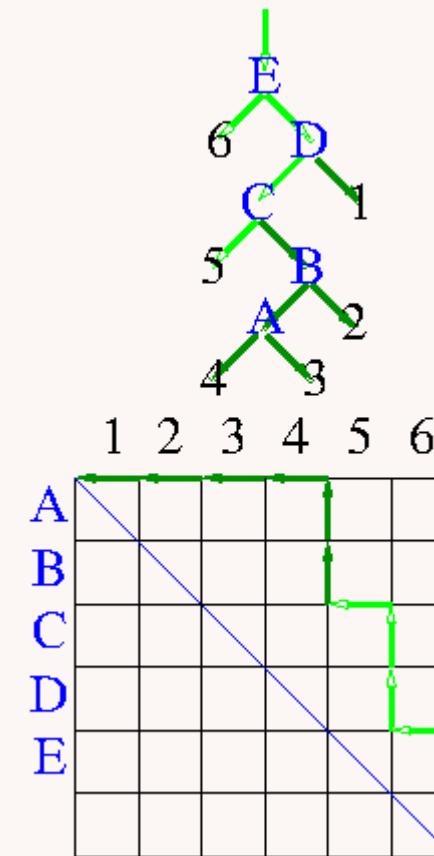


Onedom scans sequence.  
For each (x,y) find distance below diagonal.  
Keep last max.  
Reorder sequence to start at max.



# Permutation into Tree

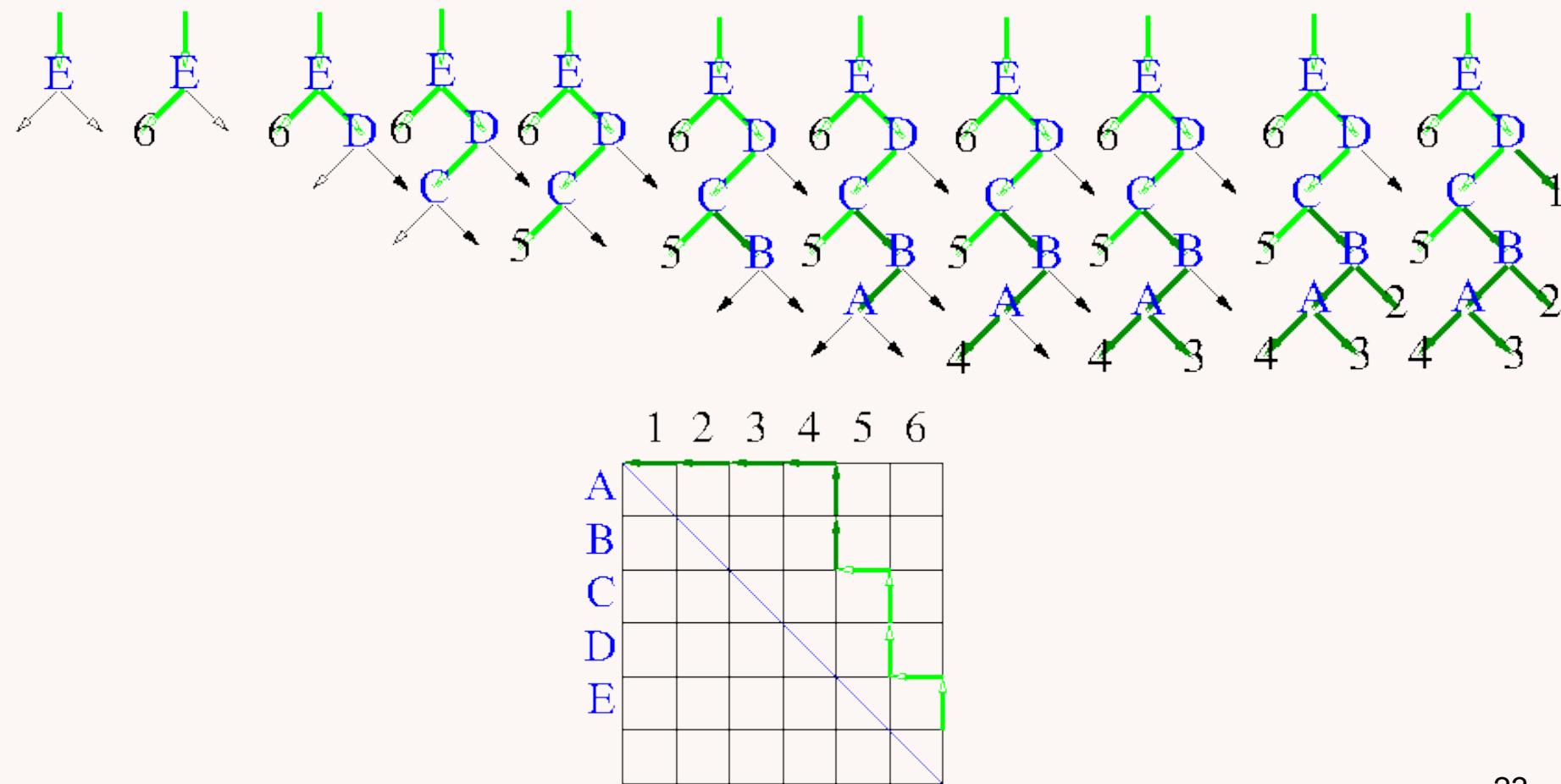
- It turns out to be easier to trace route backwards
- From end: Add **E,6,D,C,5,B,A,4,3,2,1**



[video](#)

# Permutation into Tree

- It turns out to be easier to trace route backwards
- From end: Add **E,6,D,C,5,B,A,4,3,2,1**



# Tree into GP Program

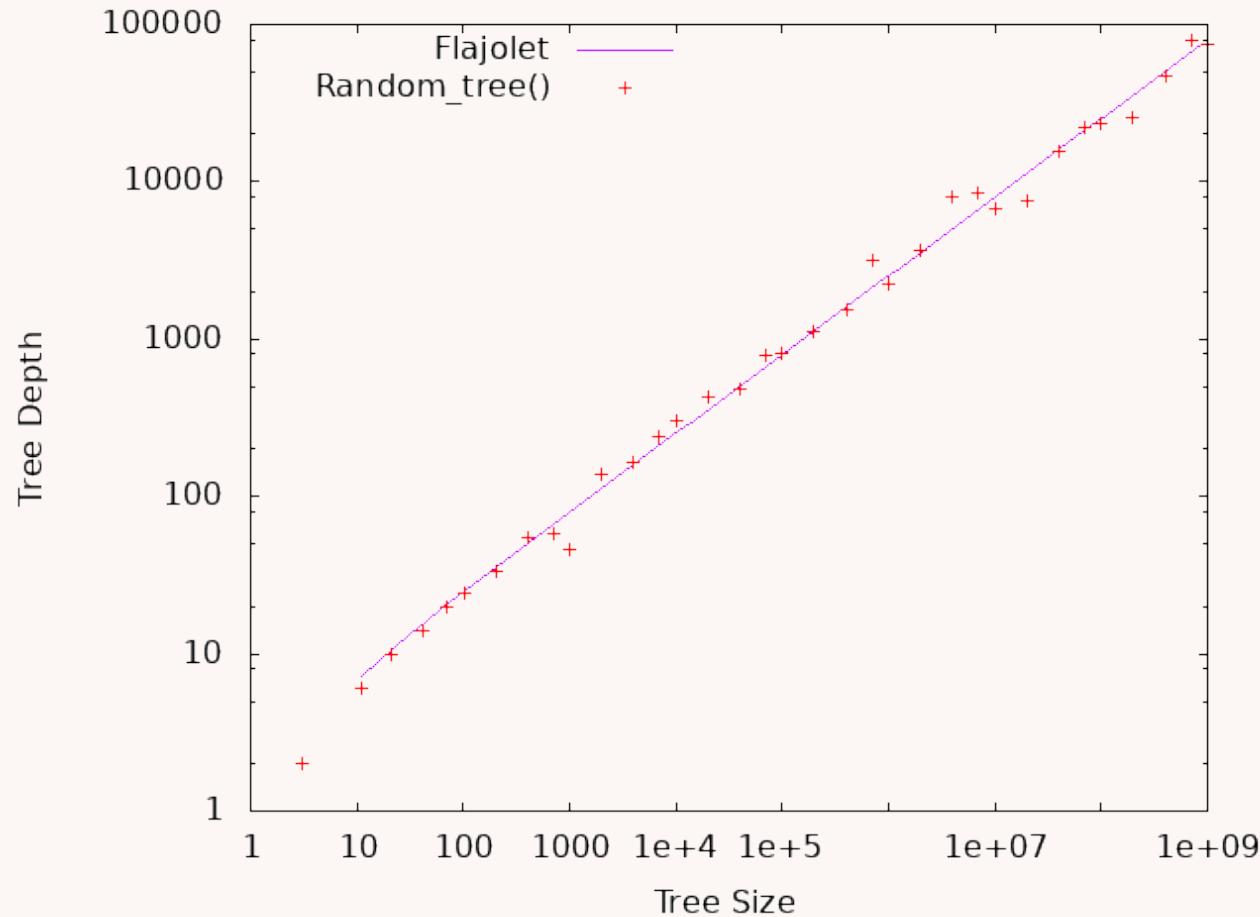
- Label tree with GP functions and leafs
  - If function (2) chose random function
  - If leaf (0) chose leaf at random
- Return GP tree and its depth

# Depth an additional benefit

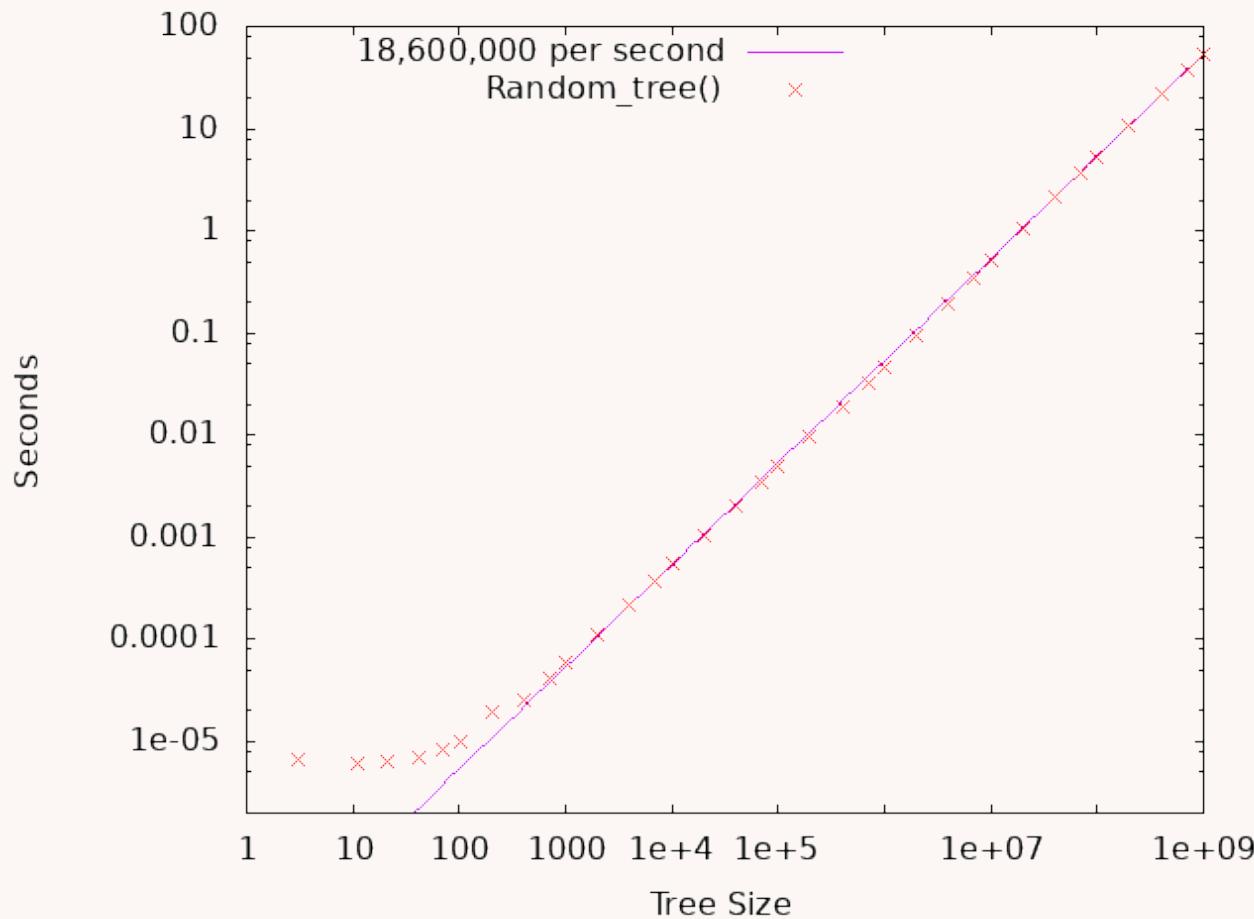
- Some data structures in eval() depend on depth of tree
- The distribution of random trees is known in advance so can conservatively set tree depth from known size of tree.
  - $\text{depth} < \text{mean} + \text{multiple of standard deviation}$
  - $\text{depth} < 10 \times \sqrt{\text{size}} + 100$
- Estimated tree depth much smaller than worst case ( $\text{size}/2$ ) but still wasteful.
- New code returns exact depth.

# Does it work

# Large Random Trees



# Speed of Large Random Trees



# UCL Computer Science Research Notes

- UCL CS RN more than 20 years history
- UCL CS Research Notes no longer visible to the world
- Hostile to non-student www presence

# Conclusions

- Can generate large trees quickly
  - up to 2 billion
- Original system was linear  $O(n)$ , still  $O(n)$  but now usable for big trees
  - big O notation only gets you so far
- Applied to do fitness testing of genetic programming system GPquick
  - next week [COW62](#)
- Scope for further speed up if wanted
- C++ code available via [www](#)

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# GI 2020

## Genetic Improvement of Software

<http://www.human-competitive.org/>

Workshop at ICSE 2020

- Position papers (1 or 2 pages)
- Research papers (up to 8 pages)

Submissions due **22 January**

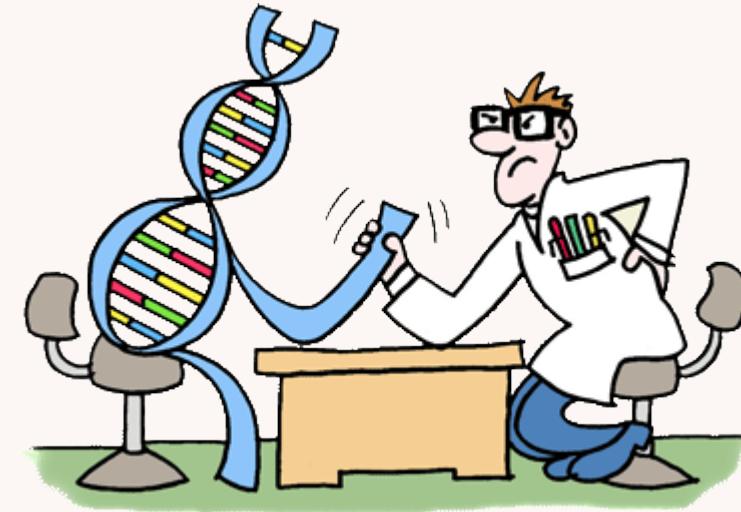
<https://icse20-gi8.hotcrp.com/>

HotCRP



WIKIPEDIA

Genetic Improvement



## Humies

<http://www.human-competitive.org/>

Awards for Human-Competitive results

**\$10,000 prizes**

Presentation at GECCO-2020 in Cancun, Mexico  
send email before 29 May to [goodman@msu.edu](mailto:goodman@msu.edu)

<http://www.epsrc.ac.uk/> EPSRC

# END

<http://www.cs.ucl.ac.uk/staff/W.Langdon/>

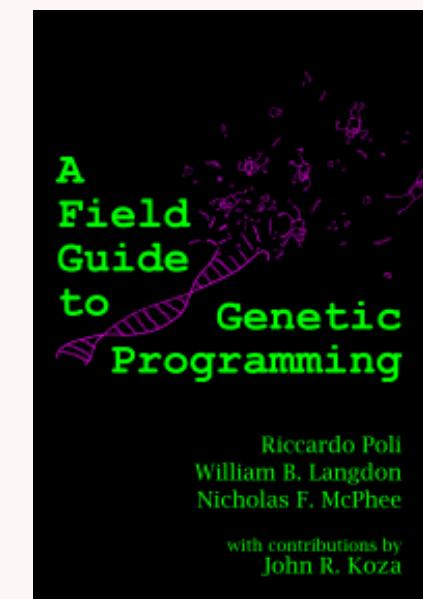
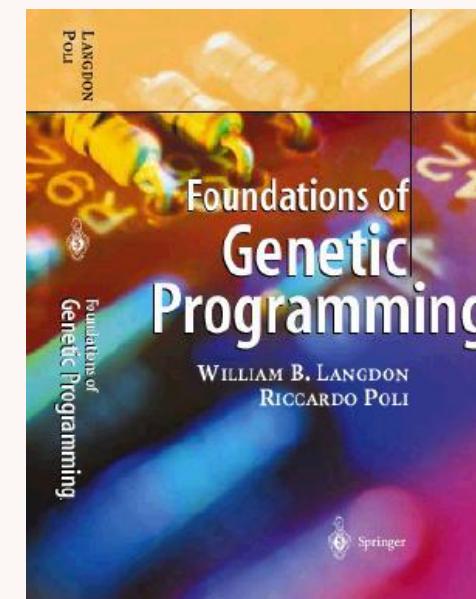
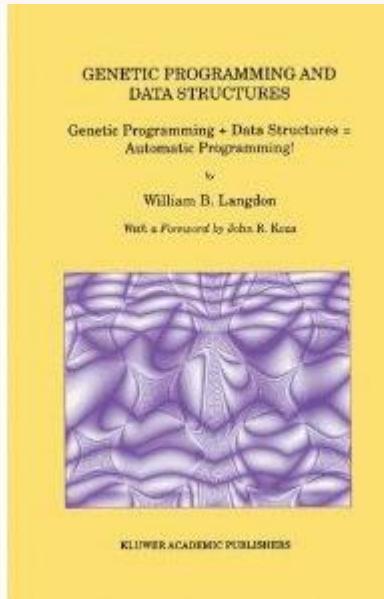
<http://www.epsrc.ac.uk/> **EPSRC**

# Genetic Programming



W. B. Langdon

CREST  
Department of Computer Science



# The Genetic Programming Bibliography

<http://gpbib.cs.ucl.ac.uk/>

13435 references, [12000 authors](#)

**Make sure it has all of your papers!**

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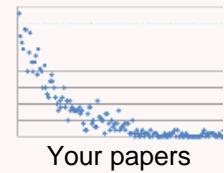


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