

1 STANDARD MODEL IS BEST MODEL (WORKING TITLE)

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27 I'd like to thanks the Ghosts of Penn Students Past for providing me with such an amazing thesis
28 template.

29

ABSTRACT

30

STANDARD MODEL IS BEST MODEL (WORKING TITLE)

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This is the abstract text.

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176		Both distributions are normalized to unit area. The associated efficiency curves are on	
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199		the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is	
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201		Move to appendix or omit	38
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227		uncertainties (red).	46

228

Preface

229 This is the preface. It's optional, but it's nice to give some context for the reader and stuff.

230

Will K. DiClemente
Philadelphia, February 2019

231

CHAPTER 1

232

Introduction

233 The Standard Model (SM)¹ has been remarkably successful...

¹Here's a footnote.

234

CHAPTER 2

235

Theoretical Framework

236 (Some example introductory text for this chapter)...

237 **2.1 Introduction to the Standard Model**

238 Modern particle physics is generally interpreted in terms of the Standard Model (SM). This is a
239 quantum field theory which encapsulates our understanding of the electromagnetic, weak, and strong
240 interactions...

241 **2.2 Electroweak Mixing and the Higgs Field**

242 When the theory of the electroweak interaction was first developed [4, 5], the W and Z bosons were
243 predicted to be massless (a typical mass term in the Lagrangian would violate the $SU(2)$ symmetry).
244 However, these were experimentally observed to have masses...

245

CHAPTER 3

246

LHC and the ATLAS Detector

247

3.1 The Large Hadron Collider

248

The Large Hadron Collider (LHC) [6] is...

249

3.2 The ATLAS Detector

250

ATLAS is a general-purpose particle detector...

251

3.2.1 The Inner Detector

252

The Inner Detector serves the primary purpose of measuring the trajectories of charged particles...

253

3.2.1.1 Pixel Detector

254

The Pixel detector consists of four cylindrical barrel layers and three disk-shaped endcap layers...

255

3.2.1.2 Semiconductor Tracker

256

The Semiconductor Tracker uses the same basic technology as the Pixels, but the fundamental unit

257

of silicon is a larger “strip”...

258

3.2.1.3 Transition Radiation Tracker

259

The Transition Radiation Tracker is the outermost component of the ID...

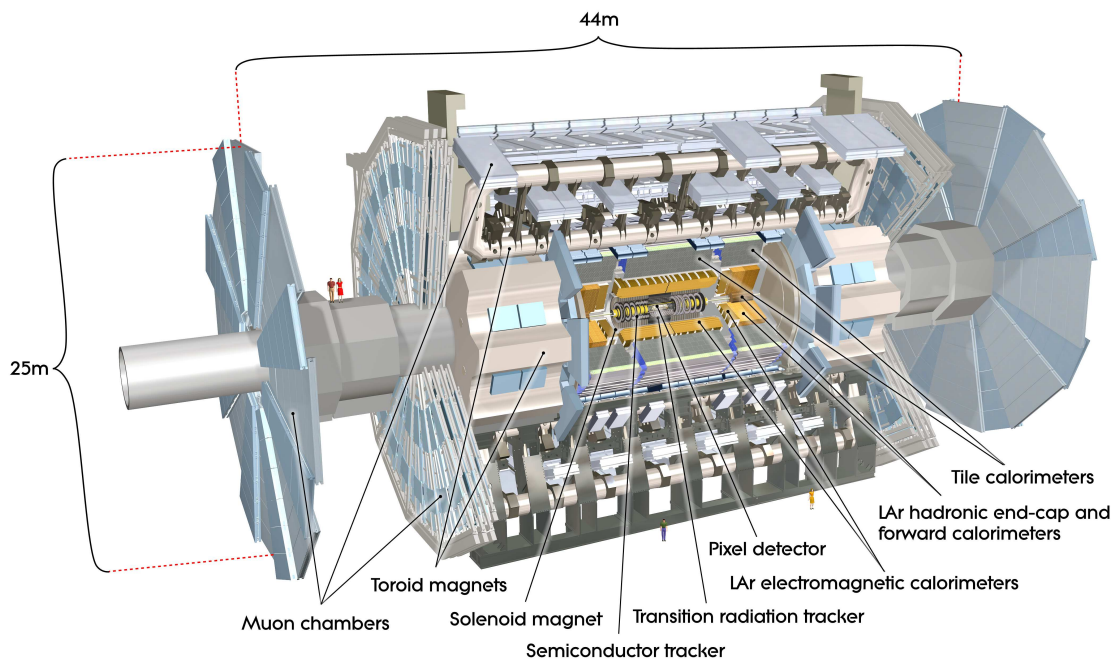


Figure 3.1: General cut-away view of the ATLAS detector [7].

3.2.2 The Calorimeters

ATLAS includes two types of calorimeter system for measuring electromagnetic and hadronic showers. These are the Liquid Argon (LAr) calorimeters and the Tile calorimeters. Together, these cover the region with $|\eta| < 4.9$...

3.2.2.1 Liquid Argon Calorimeters

The Liquid Argon system consists of...

3.2.2.2 Tile Calorimeters

The Tile calorimeter provides coverage for hadronic showers...

3.2.3 The Muon Spectrometer

Muon spectrometer stuff.

270 **3.2.4 Particle reconstruction**

271 Particle reconstruction algorithms

272 **3.2.4.1 Track reconstruction**

273 **3.2.4.2 Muon reconstruction**

274 **3.2.4.3 Electron reconstruction**

275 **3.2.4.4 Jet reconstruction**

Alignment of the ATLAS Inner Detector

278 In order for the subdetectors of the ID to operate at their designed precisions, it is essential that
279 the locations of the sensors be known as precisely as possible. Differences between the expected and
280 actual positions of a sensor can result in displaced particle hits and degrade track reconstruction
281 quality. These misalignments can occur for any number of reasons, including but not limited to
282 elements shifting during maintenance periods or cycles in ATLAS's magnetic field, or simply small
283 movements during normal detector operations. Since it is not practical to physically realign hundreds
284 of thousands of detector elements to μm precision by hand, an iterative track-based alignment
285 algorithm is used to determine the physical positions and orientations of these elements [8]. The
286 effects of misalignments and the steps taken to correct and monitor them are detailed in this chapter.

287 4.1 Effects of Misalignment

288 Hello world!

289 4.2 The Alignment Method

290 Hello world!

291 4.3 Momentum Bias Corrections

292 Hello world!

293 **4.4 Alignment of the IBL**

294 Hello world!

295 **4.5 Alignment Monitoring**

296 Hello world!

Measurement of same-sign WW production at $\sqrt{s} = 13$ TeV with ATLAS

Production of same-sign W boson pairs is a particularly interesting SM process. When produced via vector boson scattering (VBS), $W^\pm W^\pm jj$ is particularly sensitive to the electroweak symmetry breaking (EWSB) mechanism as well as potential “beyond the Standard Model” (BSM) physics. $W^\pm W^\pm jj$ events can be produced via electroweak-mediated (EWK) diagrams, of which VBS is a subset, or QCD-mediated diagrams. The biggest advantage of same-sign $W^\pm W^\pm jj$ lies in its ratio of electroweak (EWK) to QCD production cross sections. Despite the opposite-sign $W^\pm W^\mp$ having a considerably larger total cross section, its EWK-mediated diagrams are considerably smaller than its QCD-mediated diagrams, while for same-sign $W^\pm W^\pm$ the ratio is approximately one to one. This makes $W^\pm W^\pm jj$ one of the best channels for studying VBS at the LHC.

The first evidence of electroweak (EWK) $W^\pm W^\pm jj$ production was seen by the ATLAS and CMS experiments at $\sqrt{s} = 8$ TeV with excesses of 3.6σ [9] and 2.0σ [10] over backgrounds, respectively. More recently, ATLAS and CMS have both observed the EWK process at $\sqrt{s} = 13$ TeV with significances of 6.9σ [11] and 5.5σ [12], respectively. The analysis presented in this chapter is based off of the ATLAS $\sqrt{s} = 13$ TeV observation and cross section measurement of EWK $W^\pm W^\pm jj$ production [11, 13].

5.0.1 Theoretical overview of vector boson scattering

VBS processes are very important to understand due to their sensitivity to the EWSB mechanism. The scattering amplitude of longitudinally polarized vector bosons grows with center-of-mass energy and ultimately violates unitarity above $\sqrt{s} = 1$ TeV in the absence of a light SM Higgs boson [14, 15].

319 However, once the Higgs is introduced, the divergences cancel and the cross section no longer grows
 320 unbounded, as can be seen in Figure 5.1, which consists of plots from [2].

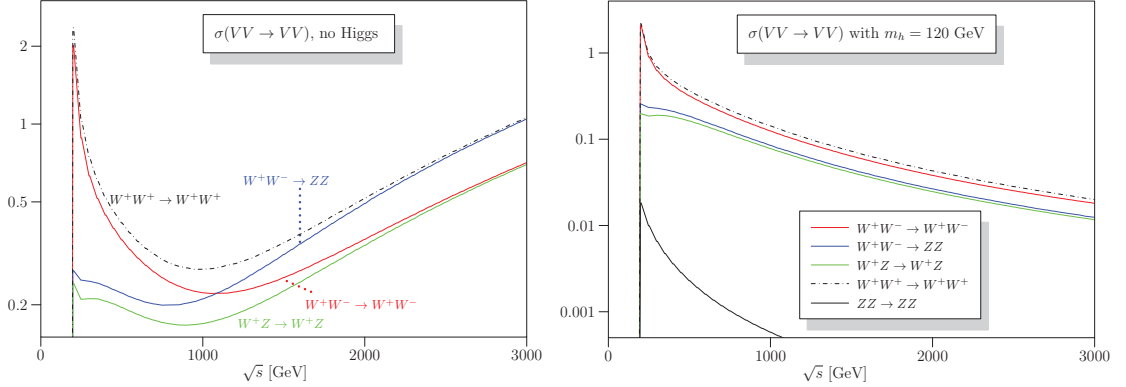


Figure 5.1: Cross sections in nb for five different scattering processes of longitudinally polarized vector bosons. Without a SM Higgs boson (left), the cross sections grow unbounded with \sqrt{s} ; however with a 120 GeV Higgs boson (right), the cross sections no longer diverge. Plots from [2]. **TODO: properly crop these plots so the rest of the pages aren't visible...**

321 With the discovery of the Higgs boson in 2012 [16, 17], the EWSB mechanism can now be directly
 322 studied. Due to the exchange of a Higgs in the s - and t -channel VBS diagrams ($W^\pm W^\pm jj$ specifically
 323 only contains the t -channel diagram), VBS processes can directly measure properties of the Higgs.
 324 For example, the high-mass tail in the VV scattering system allows an approximation of the effective
 325 coupling strength of the Higgs to vector bosons that is independent of any assumptions on the Higgs
 326 width [18]. Additionally, the center-of-mass energy dependence of the VV scattering can reveal
 327 whether the Higgs boson unitarizes the longitudinal scattering amplitude fully or only partially [19].

328 VBS events are characterized by two quarks from the colliding protons each radiating a massive
 329 vector boson which then scatter and decay in the detector. These high-momentum quarks only
 330 deflect a small amount upon radiating the vector boson; as a result, they often travel very close to
 331 the beam line. Ignoring the decay products of the bosons, these VBS events result in a final state
 332 of two vector bosons (V) and two jets (j) at high pseudorapidities (called *forward jets*) from the
 333 outgoing quarks. The shorthand $VVjj$ is used to represent this final state.

334 These $VVjj$ events can be produced via two different physical processes. The first involves
 335 purely electroweak interactions in the tree-level diagrams, with $\mathcal{O}(\alpha_{\text{EWK}}) = 6$ and will be referred
 336 to as *EWK production*. This can be further broken down into VBS and non-VBS production. In
 337 the VBS EWK production, the scattering occurs via triple or quartic gauge couplings, as well as the

338 s - or t -channel exchange of a Higgs boson. The non-VBS EWK production contains the same final
 339 state of two vector bosons and two outgoing quarks, but the bosons do not scatter. Due to gauge
 340 invariance, it is not possible to separate the VBS from the non-VBS productions [20]; therefore,
 341 both are included in the signal generation and are indistinguishable from one another. The second
 342 process involves a mix of the EWK and strong interactions, of order $\mathcal{O}(\alpha_s) = 2 \otimes \mathcal{O}(\alpha_{\text{EWK}}) = 4$ and
 343 will be referred to as *QCD production*. The tree-level Feynman diagrams for VBS EWK, non-VBS
 344 EWK, and QCD $VVjj$ production are found in Figures 5.2, 5.3, and 5.4, respectively.

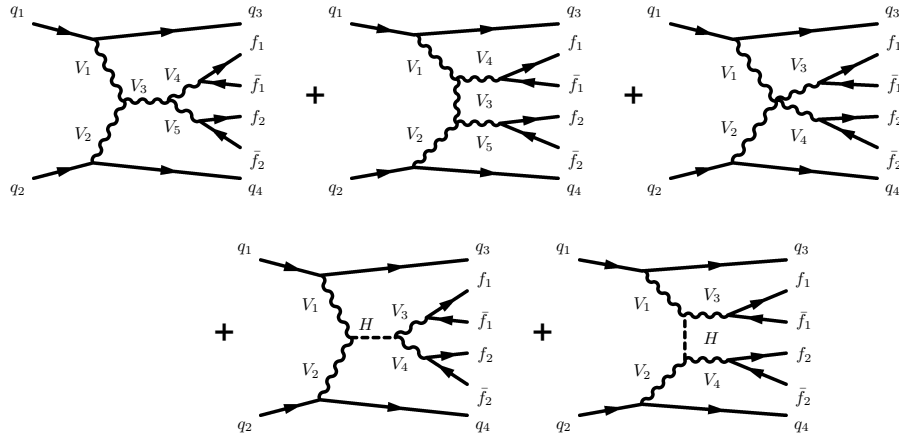


Figure 5.2: Tree-level Feynman diagrams for VBS EWK $VVjj$ production including triple gauge couplings involving W and/or Z bosons (top left and top middle), quartic gauge coupling (top right), or the exchange of a Higgs boson (s -channel bottom left and t -channel bottom right). The labels are quarks (q), fermions (f), and gauge bosons ($V = W, Z$). **TODO: Crop properly**

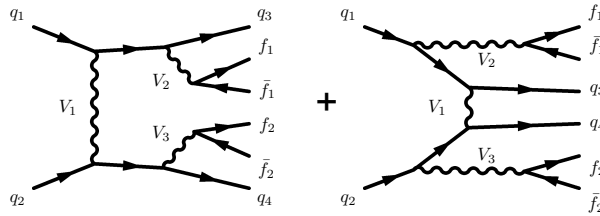


Figure 5.3: Tree-level Feynman diagrams for non-VBS EWK $VVjj$ production. The labels are quarks (q), fermions (f), and gauge bosons ($V = W, Z$). **TODO: Crop properly**

345 **TODO: Continue here** Using the SHERPA Monte Carlo (MC) generator, leading order cross sec-
 346 tions at $\sqrt{s} = 8$ TeV are calculated for a variety of diboson processes For example, even though the
 347 total cross section of opposite-sign WW production is considerably larger than that of same-sign

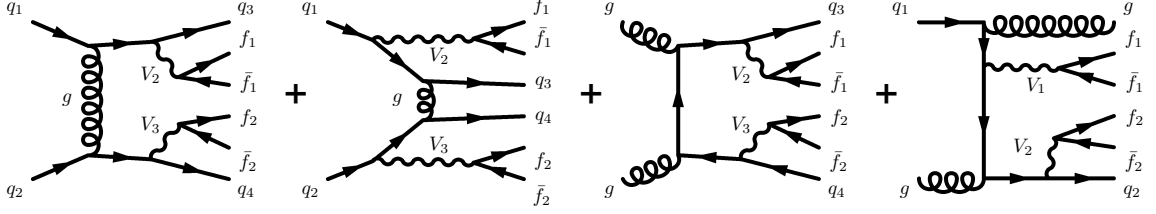


Figure 5.4: Tree-level Feynman diagrams for QCD $VVjj$ production. The labels are quarks (q), fermions (f), and gauge bosons ($V = W, Z$). **TODO: Crop properly**

348 WW , the ratio of EWK to QCD production is approximately 3%

349 There are several advantages to studying the same-sign WW process specifically. The final
 350 state's net charge of ± 2 helps considerably in reducing the number of background processes that
 351 can mimic the signal.

Process	σ_{EWK}	σ_{QCD}
$W^\pm W^\pm \rightarrow l^\pm l^\pm \nu \nu jj$	19.5 fb	18.8 fb
$W^\pm W^\mp \rightarrow l^\pm l^\mp \nu \nu jj$	91.3 fb	3030 fb
$W^\pm Z \rightarrow l^\pm l^\pm l^\mp \nu jj$	30.2 fb	687 fb
$ZZ \rightarrow l^+ l^- \nu \nu jj$	2.4 fb	162 fb
$ZZ \rightarrow l^+ l^- l^+ l^- jj$	1.5 fb	106 fb

Table 5.1: Predicted cross sections for EQK and QCD production of diboson processes relevant to VBS at $\sqrt{s} = 8$ TeV. Table from [1].

352 5.0.2 Same-sign $W^\pm W^\pm$ scattering

353 The process of interest is the production of two W bosons with the same electric charge and two jets.
 354 For the purposes of this analysis, only the case where both bosons decay leptonically to electrons
 355 or muons is considered, which results in a final state with two same charge leptons, two neutrinos,
 356 and two jets.

357 5.1 Data and Monte Carlo samples

358 This analysis uses 36.1 fb^{-1} of $\sqrt{s} = 13$ TeV proton-proton collision data recorded by ATLAS
 359 during 2015 and 2016. The uncertainty in the combined integrated luminosity is 2.1%. It is derived
 360 following a methodology similar to that detailed in [21] and using the LUCID-2 detector for the
 361 baseline luminosity measurements [22] from calibration of the luminosity scale using x - y beam-
 362 separation scans.

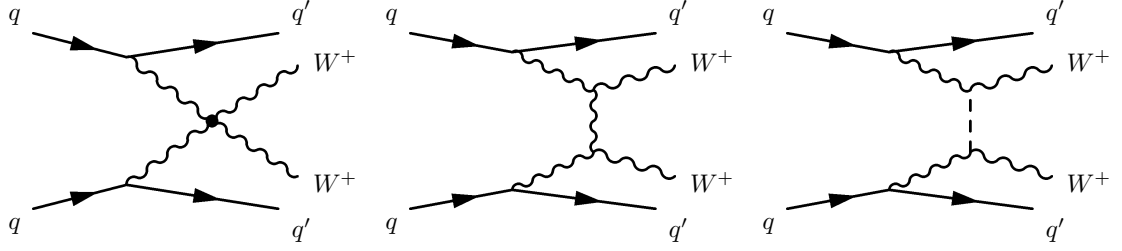


Figure 5.5: Feynman diagrams for VBS EWK production of $W^\pm W^\pm jj$ events. The leftmost diagram contains a quartic gauge coupling vertex, and the rightmost diagram contains an exchange of a Higgs boson. **TODO: Make own diagrams**

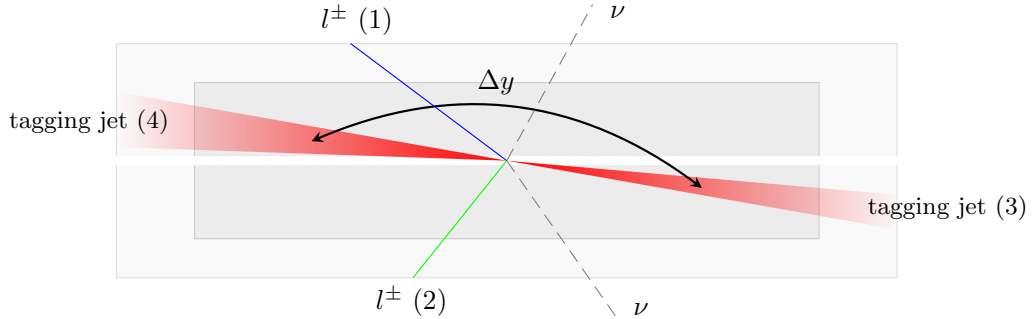


Figure 5.6: $W^\pm W^\pm jj$ VBS event topology containing two leptons (1 and 2) with the same electric charge, two neutrinos, and two forward tagging jets (3 and 4) with large rapidity separation Δy .

363 5.1.1 Monte Carlo samples

364 A number of Monte Carlo (MC) simulations are employed to model signal and background pro-
 365 cesses. In order to model the real collision data as closely as possible, each MC has been run through
 366 a full simulation of the ATLAS detector [23] in GEANT4 [24], and events have been reconstructed
 367 using the same algorithms as the data. The simulation reproduces as closely as possible the momen-
 368 tum resolutions and calorimeter responses of the detector, and also includes the effects of pileup by
 369 including soft QCD interactions using PYTHIA v8.1 [25]. The MC samples used in this analysis are
 370 detailed in this section and summarized in Table 5.2.

371 The $W^\pm W^\pm jj$ samples are modeled using SHERPA v2.2.2 [26, 27, 28] with the NNPDF3.0 PDF
 372 set [29]. The EWK signal samples are generated by fixing the electroweak coupling constant to
 373 $\mathcal{O}(\alpha_W) = 6$, and a QCD background sample was also generated with $\mathcal{O}(\alpha_W) = 4$. SHERPA includes
 374 up to one parton at next-to-leading order (NLO) and up to three at leading order (LO) in the

Process	Generator	Comments
$W^\pm W^\pm jj$ (EWK)	SHERPA v2.2.2	Signal sample
$W^\pm W^\pm jj$ (EWK)	POWHEG-BOX v2	Systematics sample
$W^\pm W^\pm jj$ (QCD)	SHERPA v2.2.2	
Diboson	SHERPA v2.2.2	Both bosons decay leptonically ($llll$, $lll\nu$, $ll\nu\nu$)
	SHERPA v2.2.1	One boson decays leptonically, the other hadronically
Triboson	SHERPA v2.1.1	
W +jets	SHERPA v2.2.1	
Z +jets	Madgraph5_aMC@NLO	
$V\gamma$	SHERPA v2.1.1	
$V\gamma jj$ (EWK)	SHERPA v2.2.4	
$t\bar{t}V$	Madgraph5_aMC@NLO	
$t\bar{t}$	POWHEG-BOX v2	
Single top	POWHEG-BOX v1	EWK t -, s -, & Wt -channels

Table 5.2: Summary of MC samples used in the analysis.

strong coupling constant α_s . A second $W^\pm W^\pm jj$ EWK sample is generated using POWHEG-BOX v2 [30] with the NNPDF3.0 PDF set and at NLO accuracy. This sample is only used for systematic studies, as POWHEG-BOX does not include resonant triboson contributions in its matrix element, which are non-negligible at NLO [31].

Diboson processes (VV where $V = W, Z$) are simulated with SHERPA v2.2.2 for mixed hadronic and leptonic decays and SHERPA v2.2.1 for fully leptonic decays of the bosons. Similarly, triboson (VVV) and $V\gamma$ processes are simulated using SHERPA v2.1.1 with up to one parton at NLO and up to three at LO. W +jets processes are simulated with SHERPA2.2.1 with up to two partons at NLO and four at LO. All the above SHERPA samples use the NNPDF3.0 PDF set and SHERPA's own parton showering. The Z +jets events are generated with Madgraph5_aMC@NLO [32] at LO and interfaced with PYTHIA v8.1 for parton showering.

$t\bar{t}$ events are generated using POWHEG-BOX v2 with the CT10 PDF set [33]. $t\bar{t}V$ samples are generated at NLO with Madgraph5_aMC@NLO and the NNPDF3.0 PDF set interfaced with PYTHIA v8 for parton showering. Finally, single top events are generated with POWHEG-BOX v1 and the CT10f4 PDF set interfaced with PYTHIA6 [34] for parton showering.

5.2 Object and event selection

This section details the selection criteria for objects used in the analysis as well as the selection for signal events.

5.2.1 Object selection

Muons, electrons, and jets all must pass strict selection requirements to ensure that only high quality, well measured objects are used. For leptons, a baseline selection is defined (called the *preselection*), which all leptons must pass in order to be considered for the analysis. This preselection is an intentionally loose set of criteria in order to have high acceptance for rejecting backgrounds with additional leptons (i.e. $WZ \rightarrow 3lvjj$). Signal leptons are then required to satisfy a much tighter *signal selection* aimed at suppressing backgrounds from non-prompt or fake leptons. A third set of lepton selection criteria, the *loose selection*, defines a sample enriched in non-prompt leptons, and it is used in the fake factor method for estimating the non-prompt background, discussed in detail in Section 5.3.2. Jets are only required to pass one set of selection criteria. These selections are detailed in the following sections and summarized in Table 5.3 for muons, Table 5.4 for electrons, and Table 5.5 for jets.

5.2.1.1 Muon candidate selection

Cuts on muon p_T serve to reject low momentum leptons from background processes and additional collisions from pileup events. Preselected muons must have $p_T > 6$ GeV and signal muons $p_T > 27$ GeV. The p_T requirement for loose muons is lower than for signal muons, $p_T > 15$ GeV, for reasons that are discussed in Section 5.3.2. **TODO: reference proper subsection when it's done** Muons are required to fall within the detector's η acceptance: $|\eta| < 2.7$ for preselected muons, which is tightened to $|\eta| < 2.5$ for the signal muons.

Cuts on the transverse and longitudinal impact parameters are applied to ensure that the candidate muon originated from the primary particle interaction and not some other source, such as a heavy flavor decay. The preselection and the loose selection both have looser requirements on the transverse impact parameter significance (d_0/σ_{d_0}) than the signal selection; all three have the same requirement on the transverse impact parameter ($|z_0 \times \sin \theta|$).

Finally, the muon candidates are required to pass a particle identification and an isolation criteria as defined in [35]. The methods used in constructing the identification and isolation workingpoints are described in more detail in Section 3.2.4.2. The muon identification serves to select prompt muons with high efficiency and well measured momenta. This analysis uses two different workingpoints, **Loose** for preselected muons and **Medium** for loose and signal muons, where **Medium** muons are a tighter subset of those that pass the **Loose** requirement. Muon isolation is a measurement of detector activity around the muon candidate, and it is measured with both track-based and calorimeter-

based variables. The isolation workingpoint used for the signal muons, **Gradient**, is defined such that there is 90% or better background rejection efficiency for 25 GeV muons, and 99% efficiency at 60 GeV. There is no minimum isolation requirement for preselected or loose muons. Loose muons are additionally required to fail one or both of the signal transverse impact parameter cut and signal isolation requirement.

Muon preselection	
Momentum cut	$p_T > 6$ GeV
Angular acceptance	$ \eta < 2.7$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 10$
Particle identification	Loose

Muon signal selection	
Momentum cut	$p_T > 27$ GeV
Angular acceptance	$ \eta < 2.5$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 3$
Particle identification	Medium
Particle isolation	Gradient

Muon loose selection	
Momentum cut	$p_T > 15$ GeV
Angular acceptance	$ \eta < 2.5$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 10$
Particle identification	Medium
Fail signal transverse impact parameter and/or isolation cuts	

Table 5.3: Muon selection criteria. All muons are required to pass the preselection (top), and then either the signal (middle) or loose (bottom) criteria is applied to the preselected electrons.

5.2.1.2 Electron candidate selection

The electron candidate selections are very similar to those for muons. The p_T cut starts at $p_T > 6$ GeV for the preselection, increases to $p_T > 15$ GeV for loose electrons, and finally to $p_T > 27$ GeV for signal electrons. The $|\eta|$ cut for electrons requires $|\eta| < 2.47$ for all electrons, with the region $1.37 \leq |\eta| \leq 1.52$ removed from loose and signal electrons. This region is where the electromagnetic calorimeter transitions from the barrel to the endcaps and is not fully instrumented. Both the transverse and longitudinal impact parameter cuts are the same for all electron selections.

436 The electron particle identification uses a multivariate likelihood technique (LH) [36] detailed
 437 in Section 3.2.4.3. Preselected electrons must pass the loosest LH workingpoint **LooseLH** with
 438 an additional requirement that there be a reconstructed track hit in the first layer of the pixel
 439 detector (a so-called B -layer hit). The LH requirement for the loose and signal electrons the tightness
 440 of the identification using **MediumLH** and **TightLH**, respectively. As for isolation, the **Gradient**
 441 workingpoint is required for signal electrons only. The loose electrons must fail one or both of the
 442 signal identification and isolation requirements.

Electron preselection	
Momentum cut	$p_T > 6$ GeV
Angular acceptance	$ \eta < 2.47$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$
Particle identification	LooseLH + B -layer hit

Electron signal selection	
Momentum cut	$p_T > 27$ GeV
Angular acceptance	$ \eta < 2.47$, excluding $1.37 \leq \eta \leq 1.52$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$
Particle identification	TightLH
Particle isolation	Gradient

Electron loose selection	
Momentum cut	$p_T > 15$ GeV
Angular acceptance	$ \eta < 2.47$, excluding $1.37 \leq \eta \leq 1.52$
Longitudinal impact parameter	$ z_0 \times \sin \theta < 0.5$ mm
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$
Particle identification	MediumLH
Fail signal identification and/or isolation cuts	

Table 5.4: Electron selection criteria. All electrons are required to pass the preselection (top), and then either the signal (middle) or loose (bottom) criteria is applied to the preselected electrons.

443 5.2.1.3 Jet candidate selection

444 The final objects that need to pass selection are jets. Jets are clustered using the anti- k_t algo-
 445 rithm [37] within a radius of $\Delta R = 0.4$. The jets are then calibrated using E_T - and η -dependent
 446 correction factors that are trained using MC simulations [38]. These calibrated jets are then re-

447 quired to have $p_T > 30$ GeV if they lie in the forward regions of the detector ($2.4 < |\eta| < 4.5$) and
 448 $p_T > 25$ GeV in the central region ($|\eta| \leq 2.4$). In order to suppress pileup jets, the so-called jet-
 449 vertex-tagger (JVT) discriminant associates a jet with the primary interaction vertex [39]; central
 450 jets with $p_T > 60$ GeV are required to pass the **Medium** JVT workingpoint, which corresponds to
 451 an average efficiency of over 92%. Finally, the jets are required to be separated by selected prompt
 452 leptons by at least $\Delta R(j, l) > 0.3$.

Jet selection	
Momentum cut	$p_T > 30$ GeV for $2.4 < \eta < 4.5$ $p_T > 60$ GeV for $ \eta < 2.4$
JVT cut	Medium
Jet-lepton separation	$\Delta R(j, l) > 0.3$

Table 5.5:

453 5.2.1.4 Treatment of overlapping objects

454 In the event that one or more objects are reconstructed very close to each other, there is the
 455 possibility for double-counting if both originated from the same object. The procedure by which
 456 this ambiguity is resolved is called *overlap removal* (OR). The standard ATLAS recommendation
 457 for OR is implemented in this analysis [40, 41] and is summarized in Table 5.6.

458 Since electrons leave a shower in the EM calorimeter, every electron has a jet associated with
 459 it. Therefore, any jets close to an electron (within $\Delta R(e, j) < 0.2$) are rejected due to the high
 460 probability that they are the same object. On the other hand, when jets and electrons overlap
 461 within a large radius of $0.2 < \Delta R(e, j) < 0.4$, it is likely that the electron and jet both are part of
 462 a heavy-flavor decay, and the electron is rejected.

463 High energy muons can produce photons via bremsstrahlung radiation or collinear final state
 464 radiation which results in a nearby energy deposit in the calorimeters. Non-prompt muons from
 465 hadronic decays produce a similar signature; however, in this case the jet has a higher track multiplic-
 466 ity in the ID. It is possible to address both cases by rejecting the jet when the ID track multiplicity
 467 is less than three and otherwise rejecting the muon for jets and muons within $\Delta R(\mu, j) < 0.4$.

468 In addition to the case above where muon bremsstrahlung results in a nearby reconstructed jet,
 469 the ID track from the muon and the calorimeter energy deposit can lead to it being reconstructed
 470 as an electron. In this case, if both a muon and an electron share a track in the ID, the muon is
 471 kept and the electron is rejected, unless the muon is calorimeter-tagged², in which case the muon is

²A calorimeter-tagged (CT) muon is a muon that is identified by matching an ID track to a calorimeter energy

472 removed in favor of the electron.

Overlap	Check	Result (remove \rightarrow keep)
Electron & Jet	$\Delta R(e, j) < 0.2$	Jet \rightarrow electron
	$0.2 < \Delta R(e, j) < 0.4$	Electron \rightarrow jet
Muon & Jet	$\Delta R(\mu, j) < 0.4$ and Jet $N_{\text{ID tracks}} < 3$	Jet \rightarrow muon
	$\Delta R(\mu, j) < 0.4$ and Jet $N_{\text{ID tracks}} \geq 3$	Muon \rightarrow jet
Electron & Muon	Shared ID track	Electron \rightarrow muon
	Shared ID track & muon is calo-tagged	Muon \rightarrow electron

Table 5.6: Summary of the overlap removal procedure used in the analysis. If the criteria in the “check” column is met, in the “result” column, the object on the left of the arrow is removed in favor of the object on the right.

473 5.2.2 Signal event selection

474 After the objects have been selected, cuts are applied on a per-event level to select $W^\pm W^\pm jj$ signal
 475 events. The event selection is summarized in Table 5.8 and is detailed in this section. It includes
 476 the results of an optimization performed using a multidimensional grid scan.

477 The initial event selection begins by choosing events that pass one or more of the trigger re-
 478 quirements listed in Table 5.7. At least one signal lepton is “matched” to a passed trigger in order
 479 to ensure that it was indeed a signal lepton that fired the trigger. A collection of *event cleaning*
 480 cuts must also be passed in order to remove events collected during periods in which one or more
 481 components of the detector was not operating optimally. Finally, the events are required to contain
 482 at least one interaction vertex. An event can have multiple reconstructed vertices from additional
 483 proton-proton collisions that occurred in the same bunch crossing. In this case, the *primary vertex*
 484 is determined by choosing the vertex with the largest sum of the p_T^2 of its associated tracks.

	2015 data	2016 data
Electrons	$p_T > 24$ GeV and Medium ID	$p_T > 26$ GeV and Tight ID and Loose isolation
	$p_T > 60$ GeV and Medium ID	$p_T > 60$ GeV and Medium ID
	$p_T > 120$ GeV and Loose ID	$p_T > 140$ GeV and Loose ID
Muons	$p_T > 20$ GeV and Loose isolation	$p_T > 26$ GeV and Medium isolation
	$p_T > 50$ GeV	$p_T > 50$ GeV

Table 5.7: Summary of trigger requirements for electrons and muons for $\sqrt{s} = 13$ TeV data collected in 2015 and 2016. At least one of the triggers must be satisfied.

deposit. CT muons have relatively low reconstruction efficiency compared to those measured by the MS, but can be used to recover acceptance in regions of the detector where the MS does not have full coverage [35].

Events are then required to contain exactly two signal leptons with the same electric charge. The dilepton pair must have a combined invariant mass of $m_{ll} \geq 20$ GeV in order to suppress low mass Drell-Yan backgrounds. Two additional selections are applied to events in the ee -channel: both electrons are required to have $|\eta| < 1.37$ with an invariant mass at least 15 GeV away from the Z -boson mass to reduce events where one electron is reconstructed with the wrong charge (this background will be discussed in more detail in Section 5.3 **TODO: Replace with proper subsection once it's written**). To suppress backgrounds from events with more than two leptons, events with more than two leptons passing the preselection are vetoed.

Missing transverse energy (E_T^{miss}) represents any particles that escape the detector without being measured, such as neutrinos, and is defined as the magnitude of the vector sum of transverse momenta of all reconstructed objects. It can be difficult to calculate accurately, as it involves measurements from all subsystems within the detector, and it is sensitive to any corrections that may be applied to the reconstructed physics objects [42]. These corrections, including the momentum smearing for muons, energy scale and smearing for electrons, and jet calibrations, are propagated to the E_T^{miss} calculation. Events are required to contain $E_T^{\text{miss}} > 30$ GeV in order to account for the two neutrinos from the W boson decays.

At least two jets are required. The leading and subleading jets must have $p_T > 65$ GeV and $p_T > 35$ GeV, respectively, and are referred to as the *tagging jets*. Events are vetoed if they contain one or more jets that have been tagged as a b -jet to suppress backgrounds from heavy flavor decays (especially top quark events). The b -tagging algorithm used by ATLAS is a boosted decision tree (BDT) called MV2c10, and this analysis uses a workingpoint with 85% efficiency [43].

Finally, cuts are applied on the VBS signature outlined in Section 5.0.2. The tagging jets are required to have a dijet invariant mass $m_{jj} > 200$ GeV and be separated in rapidity by $|\Delta y_{jj}| > 2.0$. This preferentially selects the VBS EWK events over the QCD-produced $W^\pm W^\pm jj$ events.

5.3 Background estimations

Hello world!

5.3.1 Reduction of WZ background using custom overlap removal

The dominant source of prompt background in this analysis comes from WZ events where both bosons decay leptonically. Traditionally, the background is dealt with by imposing a veto on any event with a third lepton passing some loose identification criteria (the so-called *trilepton veto*). In

Event selection	
Event preselection	Pass at least one trigger with a matched lepton
	Pass event cleaning
	At least one reconstructed vertex
Lepton selection	Exactly two leptons passing signal selection
	Both signal leptons with the same electric charge
	$ \eta < 1.37$ and $ M_{ee} - M_Z > 15$ GeV (ee -channel only)
	Veto events with more than two preselected leptons
Missing transverse energy	$E_T^{\text{miss}} \geq 30$ GeV
Jet selection	At least two jets
	Leading jet $p_T > 65$ GeV
	Subleading jet $p_T > 35$ GeV
	$m_{jj} > 200$ GeV
	b -jet veto
	$ \Delta y_{jj} > 2.0$

Table 5.8: The signal event selection

the case of this analysis, if one or more leptons (in addition to the two signal leptons) passed the preselection criteria, the event would be rejected. However, WZ events can still enter the signal region if one of the leptons fails the veto selection or falls outside of the detector’s acceptance.

In order to understand the sources of WZ events that are not removed by the trilepton veto, a study was performed on truth-level leptons³ on $W^\pm W^\pm jj$ and WZ MC samples. Events with three truth leptons were selected, and each was matched to its reconstruction-level partner by finding the closest $\Delta R(\text{truth}, \text{reco})$ and $\Delta p_{T, \text{truth}, \text{reco}}$ match. For events surviving the trilepton veto, the two signal leptons were removed, and the remaining leptons represent real leptons that failed to be selected for the veto. Between 40-50% of these leptons fell outside of the eta acceptance of the analysis (see Figure 5.7) and were unrecoverable. The second largest source of leptons failing the preselection was the OR, defined in Section 5.2.1.4. The standard OF procedure appeared to be too aggressive in removing leptons in favor of jets, causing many three lepton events to “lose” their third lepton and pass the trilepton veto. Therefore a *Custom OR* was investigated which would replace the standard OR in the preselection and allow for better WZ rejection by removing fewer third leptons.

TODO: Mention how the extra leptons in the $W^\pm W^\pm jj$ are background leptons since there are only 2 from the main decay

In order to construct a “custom” OR, a new quantity is defined between a lepton (l) and a nearby

³Truth particles are the particles produced directly by the MC generator before being passed through the full detector simulation, at which point they are considered *reconstruction-level* (or *reco-level*) particles.

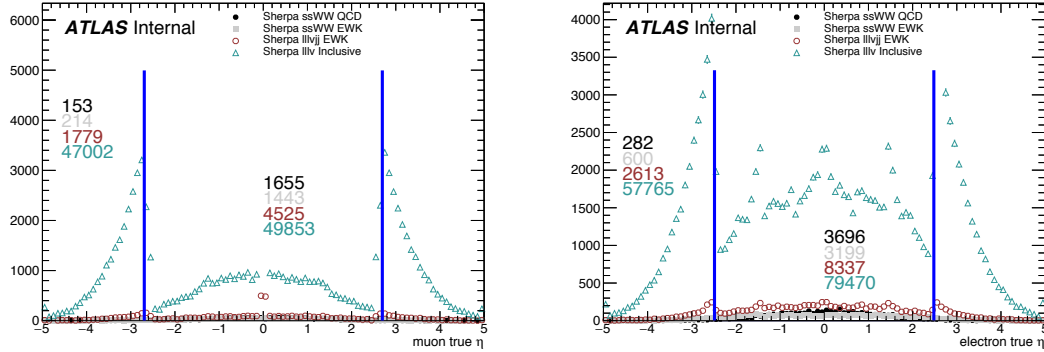


Figure 5.7: Pseudorapidity (η) distributions of truth muons (top) and electrons (bottom) for Sherpa $W^\pm W^\pm jj$ and WZ MC samples. The blue vertical lines represent the allowed η range for each lepton flavor. The numbers correspond to the number of raw MC events that fall within and outside of the allowed η range for each MC sample.

533 jet (j)

$$p_{T,\text{ratio}}(l, j) = \frac{p_{Tl}}{p_{Tj}} \quad (5.1)$$

534 which, along with $\Delta R(l, j)$, will allow for more third leptons to pass the preselection. The idea
 535 behind including $p_{T,\text{ratio}}$ is to be able to preferentially remove background leptons originating from
 536 jets (i.e. those that carry a low percentage of the total jet momentum) instead of removing *any*
 537 lepton near to jet. The distributions of $p_{T,\text{ratio}}$ and the associated efficiency curves for muons and
 538 electrons can be found in Figures 5.8 and 5.10, respectively, and the distributions for $\Delta R(\mu, j)$ for
 539 muons can be found in Figure 5.9. Since all electrons have an associated jet in the calorimeters, the
 540 $\Delta R(e, j)$ variable is not a good quantity to use for this custom OR.

541 A workingpoint for the Custom OR was chosen by requiring 90% signal retention for muons
 542 and 90% background rejection for electrons. The cut on electrons was allowed to be much tighter
 543 because the number of signal events with a third electron is considerably smaller than for muons.
 544 It should be re-emphasized the signal events that are present in Figures 5.8-5.10 do not represent
 545 the full set of signal events, but only those with a real third lepton (which must come from some
 546 source other than the signal $W^\pm W^\pm jj$ process). For muons, an or of $p_{T,\text{ratio}}(\mu, j)$ and $\Delta R(\mu, j)$ is
 547 used to maximize the third lepton acceptance due to correlations between the quantities, as shown
 548 in Figure 5.11; for electrons, only a cut on $p_{T,\text{ratio}}(e, j)$ is used. The Custom OR workingpoint is
 549 outlined in Table 5.9.

550 Tests of the performance of the Custom OR looked promising, with approximately 20% reduction

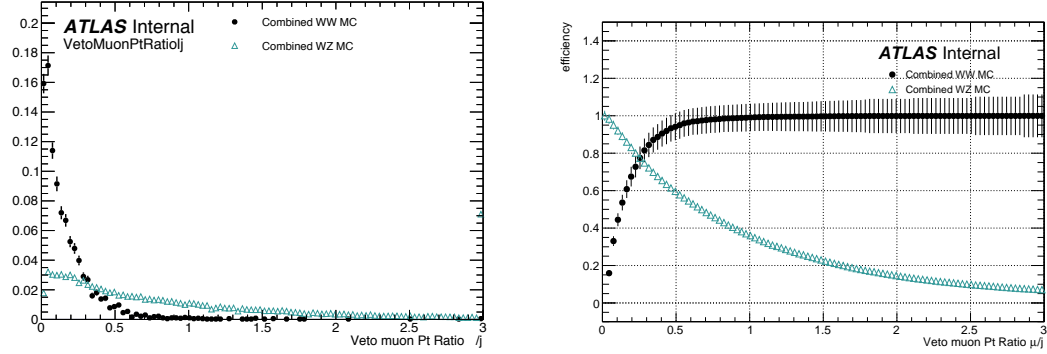


Figure 5.8: Distributions of $p_{T,\text{ratio}}(\mu, j)$ for EWK and QCD $W^\pm W^\pm jj$ signal (black) and WZ background (teal) for truth-matched third muons in events that pass the triplepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on $p_{T,\text{ratio}}(\mu, j)$ at a given value on the x -axis.

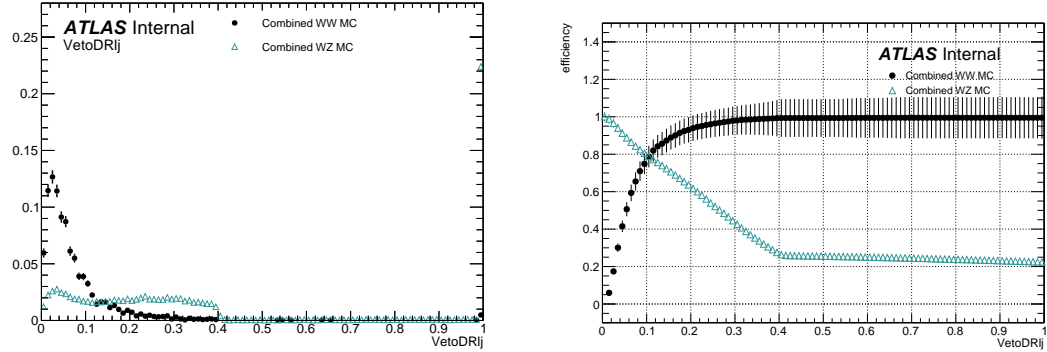


Figure 5.9: Distributions of $\Delta R(\mu, j)$ for EWK and QCD $W^\pm W^\pm jj$ signal (black) and WZ background (teal) for truth-matched third muons in events that pass the triplepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on $\Delta R(\mu, j)$ at a given value on the x -axis.

Custom OR Definition	
Muons	$p_{T,\text{ratio}}(\mu, j) > 0.40$ or $\Delta R(\mu, j) > 0.15$
Electrons	$p_{T,\text{ratio}}(e, j) > 0.18$

Table 5.9: Custom OR definition. Leptons must pass this selection in order to be counted for the triplepton veto.

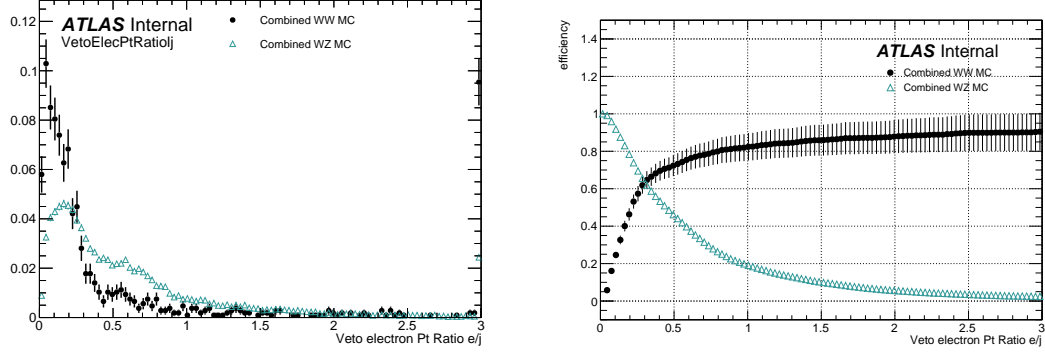


Figure 5.10: Distributions of $p_{T,\text{ratio}}(e, j)$ for EWK and QCD $W^\pm W^\pm jj$ signal (black) and WZ background (teal) for truth-matched third electrons in events that pass the triplepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on $p_{T,\text{ratio}}(e, j)$ at a given value on the x -axis.

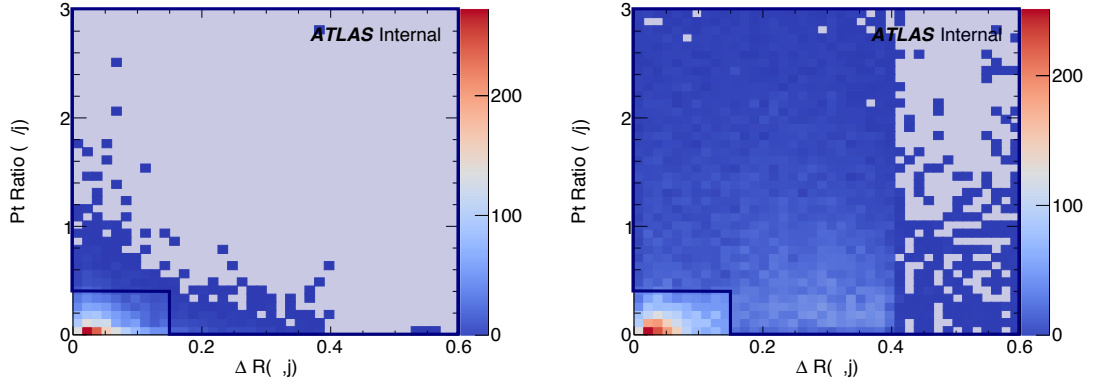


Figure 5.11: Two-dimensional plots of $p_{T,\text{ratio}}(\mu, j)$ vs $\Delta R(\mu, j)$ for truth-matched third muons in events that pass the triplepton veto for EWK and QCD $W^\pm W^\pm jj$ signal (left) and WZ background (right). The blue overlay indicates the area in which the third leptons will pass the custom OR and result in the event failing the triplepton veto.

551 in WZ background compared to less than 2% signal loss in the signal region. Unfortunately, due to
552 differences between the primary analysis framework and the one used for testing, in practice the gains
553 in WZ rejection were not nearly as substantial, and ultimately the Custom OR was dropped from
554 the final analysis. However, it is still a potentially useful tool for improving background rejection
555 via lepton number vetoes in analyses with overly aggressive OR procedures.

556 **5.3.2 Fake factor method**

557 fake factor method

558 **5.4 Cross section measurement**

559 Hello world!

560 **5.5 Results**

561 Results

CHAPTER 6

Prospects for same-sign WW at the High Luminosity LHC

On December 3, 2018, Run 2 of the LHC officially ended, and the collider was shut down to begin the first of two scheduled extended maintenance periods [44]. During these two long shutdowns, the Phase-I and Phase-II upgrades of the LHC and ATLAS will occur in order to prepare for the High-Luminosity LHC (HL-LHC) which is scheduled to begin operation in 2026 [45].

The HL-LHC is planned to run at a center-of-mass energy of $\sqrt{s} = 14$ TeV with an instantaneous luminosity of $\mathcal{L} = 5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ with up to 200 collisions per beam-crossing. Over the course of operation, the HL-LHC is expected to collect a total integrated luminosity of $\mathcal{L} = 3000 \text{ fb}^{-1}$ by 2035 [46].

These run conditions are much harsher than what ATLAS has experienced so far, and as a result there are several planned upgrades to the detector. Most notably, the entire ID will be replaced with an all-silicon tracker which will extend the coverage from $|\eta| \leq 2.7$ up to $|\eta| \leq 4.0$. This will allow for reconstruction of charged particle tracks which can in turn be matched to clusters in the calorimeters for electron identification or forward jet tagging [47].

The upgraded detector combined with the higher beam energy and the considerable increase in integrated luminosity means that many analyses with low signal statistics in Run 2 have the potential to be greatly improved with the HL-LHC. While the ATLAS 13 TeV $W^{\pm}W^{\pm}jj$ cross section measurement certainly did not suffer greatly from low statistics **TODO: -reword-**, the accuracy of the measurement can still be improved at the HL-LHC. Of particular interest is the longitudinal polarization of the W bosons due to its sensitivity to electroweak symmetry breaking [48].

The analysis detailed in this chapter is based off of the 2018 public ATLAS $W^{\pm}W^{\pm}jj$ prospects

study [49] which is itself an extension of the 2017 ATLAS study [50]. **TODO: mention CMS's study + yellow report?**

6.0.1 Analysis Overview

The experimental signature of interest here is identical to the 13 TeV analysis detailed in Chapter 5: two prompt leptons (electrons or muons) with the same charge, missing transverse energy, and two jets. Once again the two leading jets are required to have a large angular separation and a high combined invariant mass to preferentially select EWK VBS production over QCD $W^\pm W^\pm jj$ events.

Background processes that can mimic the signal are again similar to the 13 TeV analysis. The dominant source of prompt background from WZ +jets events where both bosons decay leptonically. If the lepton from the Z -decay with opposite charge from the W falls outside of the detector acceptance or is not identified, the remainder could appear to be a $W^\pm W^\pm jj$ signal event. To a lesser extent, ZZ +jets events can enter the signal region in much the same way provided two leptons are “lost”. Other prompt sources include $t\bar{t} + V$ and multiple parton interactions, however these processes do not contribute much. The upgrades to the ATLAS detector are expected to reduce the size of these prompt contributions due in large part to the increased detector acceptance from the forward tracking. Jets mis-reconstructed as leptons or leptons from hadronic decays (such as $t\bar{t}$ and W +jets production) comprise the non-prompt lepton background. Lastly, events with two prompt, opposite-charge electrons can contribute provided one of the electrons is mis-reconstructed as the wrong charge.

In this analysis, the EWK production of $W^\pm W^\pm jj$ is studied in the context of the planned HL-LHC run conditions and upgraded ATLAS detector. An optimized event selection (referred to as the *optimized selection*) is also explored in an effort to gain increased signal significance over the *default selection*. The cross section of the inclusive EWK production is measured for both the default and optimized selections, and the extraction of the longitudinal scattering significance is measured with the optimized selection.

6.1 Theoretical motivation

The theoretical motivation for studying the ssWW process is detailed in Section 5.0.1. **TODO: Rewrite this section referencing the main description in the 13tev section. Maybe just turn this into a 1 or 2 sentence refresher** The particular interest in polarization is the potential for the scattering amplitude of longitudinally polarized weak bosons to diverge linearly as the center of mass energy

increases, ultimately violating unitarity around 1 TeV [14]. In the Standard Model, the Higgs boson cancels these divergences. However, as the Higgs is recently discovered it is still extremely to study the mechanism of electroweak symmetry breaking (EWSB), and the longitudinal scattering of W bosons is expected to be one of the most sensitive tests of EWSB [48].

6.1.1 Experimental sensitivity to longitudinal polarization

TODO: mention that since there are so many polarization possibilities, a large integrated luminosity is needed to measure just one of them individually There are three possible polarization states for a massive vector boson: two transverse (+ or −) and one longitudinal (0). Therefore, in a system with two W bosons, the overall polarization can be purely longitudinal (00), purely transverse (++, −−, and +−), or mixed (+0 and −0). The three combinations will be referred to as LL , TT , and LT respectively.

In order to extract the longitudinal scattering component, it is necessary to find variables that distinguish the LL from the TT and LT . Several variables were studied, and those with the best discriminating power between the polarizations were the leading and subleading lepton p_T as well as the azimuthal separation ($|\Delta\phi_{jj}|$) of the two VBS jets. The LL events preferred lower p_T for both signal leptons (see Figure 6.1), which motivates keeping these two cuts as low as possible in the event selection in order to preserve as much longitudinal polarization as possible. In the case of $|\Delta\phi_{jj}|$, the LL events generally had a larger dijet separation (see Figure 6.2), and this variable is used in a binned likelihood fit to extract the longitudinal scattering significance.

6.2 Monte Carlo samples

As no real HL-LHC data will be available for many years, all processes in this prospects study must be simulated using Monte Carlo (MC) generators. Signal and background processes were generated at $\sqrt{s} = 14$ TeV, and the event yields scaled to the anticipated HL-LHC integrated luminosity of $\mathcal{L} = 3000 \text{ fb}^{-1}$. The MC samples used in the analysis are generated at particle-level and have not been run through the typical full simulation of the ATLAS detector. Smearing functions derived from a GEANT4 simulation of the upgraded ATLAS detector [24] are used to estimate detector effects such as momentum resolution. In addition, pileup events are fully simulated. The MC samples used in this analysis are summarized in Table 6.1.

The signal sample consists of both VBS and non-VBS electroweak (EWK) $W^\pm W^\pm jj$ production, and it is simulated with the Madgraph5_aMC@NLO generator [32] using the NNPDF3.0 PDF set [29] and

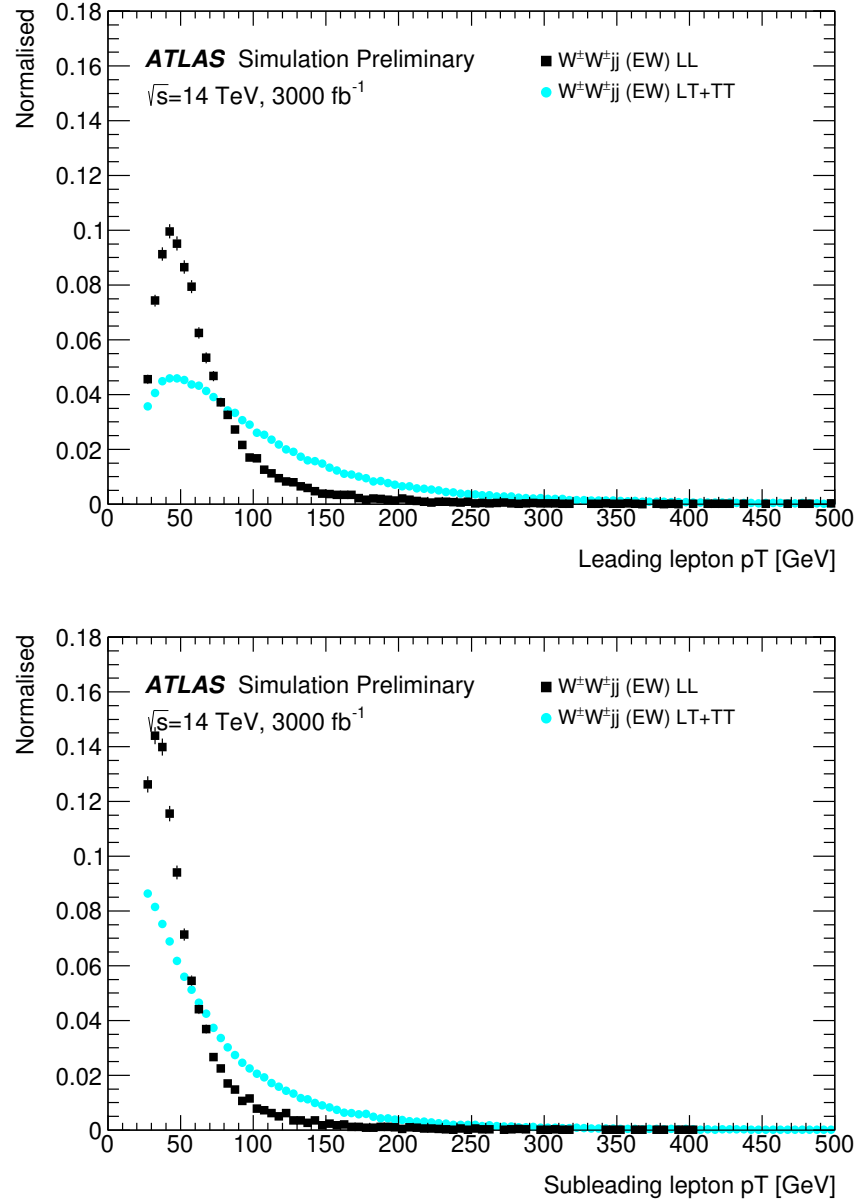


Figure 6.1: Comparison of the leading (top) and subleading (bottom) lepton p_T distributions for purely longitudinal (LL, black) and mixed polarization (LT+TT, cyan) $W^\pm W^\pm jj$ events. Plots from [3].

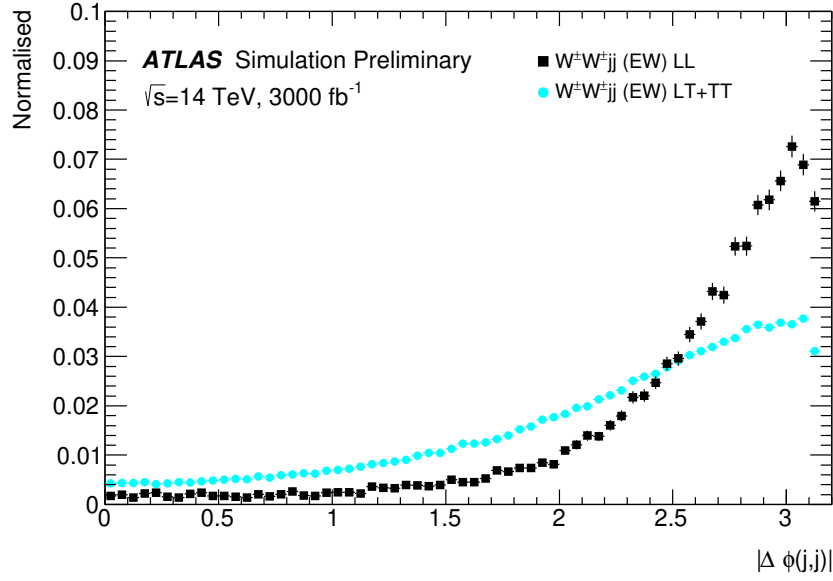


Figure 6.2: Comparison of the azimuthal dijet separation ($|\Delta\phi_{jj}|$) for purely longitudinal (LL, black) and mixed polarization (LT+TT, cyan) $W^\pm W^\pm jj$ events. Plot from [3].

interfaced with `PYTHIA v8` [51] for hadronization and parton showering. To study the longitudinal polarization more directly, two additional `Madgraph5_aMC@NLO` $W^\pm W^\pm jj$ samples are used: one containing only the longitudinal contribution (LL) and a second containing the transverse (TT) and mixed (LT) contributions.

There are many other processes that can produce the same final state as the $W^\pm W^\pm jj$ and must also be accounted for using MC simulations. WZ events are generated using `SHERPA v2.2.0` [26, 27, 28], which includes up to one parton at NLO in the strong coupling constant α_s and up to three additional partons at LO. Both EWK and QCD production are included in these samples. ZZ and triboson VVV ($V = W, Z$) events are generated using `SHERPA v2.2.2` with up to two additional partons in the final state. For the triboson backgrounds, the bosons can decay leptonically or hadronically. W +jets backgrounds are generated for electron, muon, and tau final states are generated at LO with `Madgraph5_aMC@NLO` and the `NNPDF3.0` set with showering from `PYTHIA v8`. Z +jets events are generated using `POWHEG-BOX v2` [30] and the `CT10` PDF set [33] interfaced with `PYTHIA v8`. Finally, $t\bar{t}$ and single-top events are generated using `POWHEG-BOX` with showering from `PYTHIA v6`.

Process	Generator	Comments
$W^\pm W^\pm jj$ (EWK)	Madgraph5_aMC@NLO	Signal sample
$W^\pm W^\pm jj$ (QCD)	Madgraph5_aMC@NLO	
$W^\pm W^\pm jj$ (LL)	Madgraph5_aMC@NLO	
$W^\pm W^\pm jj$ (TT+LT)	Madgraph5_aMC@NLO	
Diboson	SHERPA v2.2.0	WZ events
	SHERPA v2.2.2	ZZ events
Triboson	SHERPA v2.2.2	
W +jets	Madgraph5_aMC@NLO	
Z +jets	POWHEG-BOX v2	
$t\bar{t}$	POWHEG-BOX	
Single top	POWHEG-BOS	

Table 6.1: Summary of MC samples used in the analysis.

6.3 Background estimations

In this analysis, all background contributinos are estimated using MC simulations. Backgrounds such as electron charge misidentification and fake electrons from jets—which are traditionally estimated using data-driven techniques—are estimated using a set of parameterization functions applied to the MC. These functions calculate the probability that an electron is assigned the wrong charge or a jet is mis-reconstructed as an electron parameterized by the p_T and η of the electron or jet. The probabilities are derived from studies on expected electron performance with the upgraded ATLAS detector [52].

Processes involving two W and Z bosons are grouped together as *diboson* backgrounds, with the exception of $W^\pm W^\pm jj$ events produced via QCD interactions, which are kept separate. Similarly, all backgrounds with three vector bosons are combined and labeled as *triboson*. Any W +jets or top events that pass selection and do not contain a fake electron, as well as any Z +jets events without an electron identified as having its charge misidentified are combined as *other non-prompt* backgrounds.

6.3.1 Truth-based isolation

Since the MC samples used in this analysis have not been run through a full detector simulation, they lack any kind of particle isolation variables (since they require, for example, information on the calorimeter response). Generally, this is not a large concern, as at truth-level, high p_T signal leptons tend to be well isolated to begin with. However, isolation is one of the most powerful tools for rejecting leptons from non-prompt sources such as top events, which are produced in association with additional nearby particles from b and c quark decays. In the absence of any sort of isolation

requirement, contributions from top backgrounds (including single top, $t\bar{t}$ and $t\bar{t} + V$) were more than an order of magnitude higher than expected.

As a result, it was necessary to create an analogue to the isolation information that is available in fully-simulated samples. Track- and calorimeter-based isolation variables were constructed by summing the momentum and energy, respectively, of stable truth particles with $p_T > 1$ GeV within a specified radius of each signal lepton. For the track-based isolation, only charged truth particles were used; both charged and neutral particles (excluding neutrinos) were included for the calorimeter-based isolation. Ultimately, a set of isolation cuts were chosen that are similar to those recommended by ATLAS for Run 2 analyses. The truth-based isolation requirements are listed in Table 6.2.

	Electron Isolation	Muon Isolation
Track-based isolation cone size	$\Delta R < 0.2$	$\Delta R < 0.3$
Track-based isolation requirement	$\sum p_T/p_T^e < 0.06$	$\sum p_T/p_T^\mu < 0.04$
Calorimeter-based isolation cone size	$\Delta R < 0.2$	$\Delta R < 0.2$
Calorimeter-based isolation requirement	$\sum E_T/p_T^e < 0.06$	$\sum E_T/p_T^\mu < 0.15$

Table 6.2: Truth-based isolation requirements for electrons and muons.

The truth-based isolation requirement reduced the top background by over 99% and reduced the contribution of top events to the total background from 83% to 2%. Additional details on the truth-based isolation studies are presented in Appendix A.

6.4 Object and event selection

6.4.1 Object selection

Electrons and muons are preselected to have $p_T > 7$ and 6 GeV, respectively, and $|\eta| \leq 4.0$. The likelihood of a given lepton to pass the trigger or identification requirements is estimated by estimating an efficiency dependent on the p_T and η of the lepton. The leptons are also required to pass the isolation criteria detailed in Table 6.2. Jets that have been tagged as a fake electron by the functions described earlier in Section 6.3 are treated as electrons for the purpose of the object selection and are subject to the same criteria. In order to be considered a signal lepton, an additional requirement of $p_T > 25$ GeV is applied on top of the preselection. The two highest p_T leptons passing this selection are chosen to be the leading and subleading signal leptons.

Jets are clustered using the anti- k_t algorithm [37] from final-state particles within a radius of $\Delta R = 0.4$ (excluding muons and neutrinos). Jets are required to have $p_T > 30$ GeV and lie within $|\eta| < 4.5$, with an additional cut of $p_T > 70$ GeV for jets above $|\eta| \geq 3.8$ in order to suppress

705 jets from pileup interactions. Jets overlapping with a preselected electron within $\Delta R_{e,j} < 0.05$ are
 706 removed in order to prevent double counting. The two highest p_T jets are defined as the leading
 707 and subleading *tag jets*.

708 6.4.2 Event selection

709 The default event selection is summarized in Table 6.3 and described here. Exactly two signal leptons
 710 are required with the same electric charge and separated from each other by 0.3 in ΔR . In order to
 711 suppress contributions from Drell-Yan backgrounds, the two signal leptons must have an invariant
 712 mass m_{ll} greater than 20 GeV. Additionally, if both signal leptons are electrons, their mass must
 713 be at least 10 GeV from the Z -boson mass in order to reduce background from Z -boson decays⁴.
 714 The event is required to have at least 40 GeV of missing transverse energy (E_T^{miss}) to account for
 715 the two neutrinos from the W decays. Events with additional preselected leptons are vetoed, which
 716 greatly reduces WZ and ZZ backgrounds. Both tag jets are required to not overlap with the signal
 717 leptons, and there is a veto on events with one or more b -jets. In order to preferentially select
 718 VBS production, the tag jets are also required to have a large separation between them and a large
 719 invariant mass. Finally, a cut on the lepton centrality, ζ , defined in Equation 6.1 enhances the EWK
 720 $W^\pm W^\pm jj$ signal.

$$\zeta = \min[\min(\eta_{\ell 1}, \eta_{\ell 2}) - \min(\eta_{j 1}, \eta_{j 2}), \max(\eta_{j 1}, \eta_{j 2}) - \max(\eta_{\ell 1}, \eta_{\ell 2})] \quad (6.1)$$

721 6.5 Selection optimization

722 As mentioned earlier, the HL-LHC will feature forward tracking, an increase in center of mass energy,
 723 and a higher integrated luminosity. Therefore, this study is an excellent time to see if there are new
 724 optimizations to the signal event selection that can improve the signal to background ratio.

725 6.5.1 Random grid search algorithm

726 The chosen method for optimizing the event selection is a cut-based algorithm known as the Random
 727 Grid Search (RGS) [53]. Consider a simple case of two variables x and y chosen to differentiate the
 728 signal from the background. In order to be considered a signal event, a given event would be required
 729 to pass a *cut point* $c = \{x > x_c, y > y_c\}$. A simple method to choose the optimal cut point (i.e. the

⁴The electron charge mis-ID rate is high enough that contributions from $Z \rightarrow ee$ backgrounds are non-negligible.

Selection requirement	Selection value
Lepton kinematics	$p_T > 25 \text{ GeV}$ $ \eta \leq 4.0$
Jet kinematics	$p_T > 30 \text{ GeV}$ for $ \eta \leq 4.5$ $p_T > 70 \text{ GeV}$ for $ \eta > 3.8$
Dilepton charge	Exactly two signal leptons with same charge
Dilepton separation	$\Delta R_{l,l} \geq 0.3$
Dilepton mass	$m_{ll} > 20 \text{ GeV}$
Z boson veto	$ m_{ee} - m_Z > 10 \text{ GeV}$ (ee -channel only)
E_T^{miss}	$E_T^{\text{miss}} > 40 \text{ GeV}$
Jet selection	At least two jets with $\Delta R_{l,j} > 0.3$
b jet veto	$N_{b\text{-jet}} = 0$
Dijet separation	$\Delta \eta_{jj} > 2.5$
Trilepton veto	No additional preselected leptons
Dijet mass	$m_{jj} > 500 \text{ GeV}$
Lepton-jet centrality	$\zeta > 0$

Table 6.3: Summary of the signal event selection.

“best” values of the cuts x_c and y_c) would be to construct an $n \times m$ rectangular grid in x and y consisting of points $(x_0, y_0), (x_1, y_1), \dots, (x_n, y_m)$, as in Figure 6.3. One can then choose a cut point $c_k = \{x > x_i, y > y_j\}$ that maximizes the signal significance as measured by a chosen metric. This would be considered a *regular* or *rectangular* grid search.

While effective in principle, this rectangular grid search comes with two major drawbacks:

1. The algorithm does not scale well as the number of variables to be optimized—the dimensionality of the grid—increases. In the case of a square grid with N bins per variable v , the number of cut points to be evaluated grows as N^v .
2. Signal and background samples are rarely evenly distributed over the entire grid, resulting in many cut points being sub-optimal and evaluating them would be a waste of computing resources.

To combat these limitations, the RGS algorithm constructs a grid of cut points directly from the signal sample itself. In the two-dimensional example, this means that the variables x_i and y_j making up the cut point $c_k = \{x > x_i, y > y_j\}$ take their values directly from a given signal event. This has the benefit of creating a *random grid* of cut points that is by construction biased towards regions of high signal concentration. This reduces the need for exponentially increasing numbers of cut points while ensuring that computing resources are not wasted in regions with few to no signal events. An example of the the two-dimensional random grid is shown in Figure 6.4.

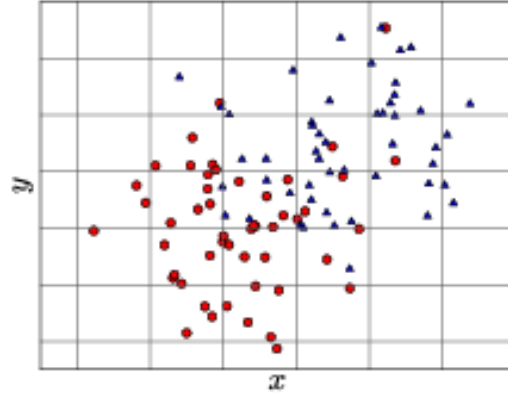


Figure 6.3: A visual representation of a rectangular grid search algorithm. The signal events are the blue triangles, and the red circles are the background events. **TODO: replace with own figure**

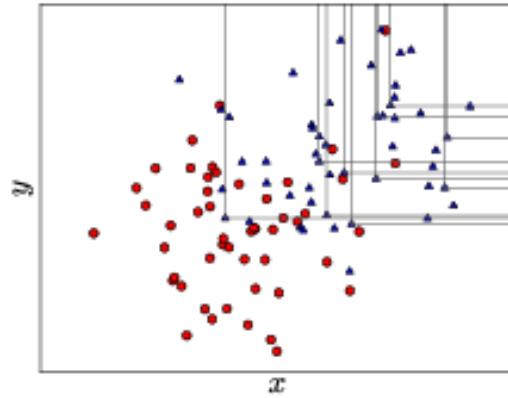


Figure 6.4: A visual representation of a random grid search algorithm. The signal events are the blue triangles, and the red circles are the background events. **TODO: replace with own figure**

Once the random grid of cut points is constructed, the optimal cut point can be chosen using whatever metric the analyzer chooses, such as signal to background ratio. For the purpose of the $W^\pm W^\pm jj$ upgrade study, the optimal cut point is the one that maximizes the signal significance Z defined as in Equation 6.2 [54].

$$Z = \sqrt{2 \left[(s+b) \ln \left(\frac{s+b}{b_0} \right) + b_0 - s - b \right] + \frac{(b-b_0)^2}{\sigma_b^2}} \quad (6.2)$$

where s and b are the number of signal and background events, respectively, σ_b is the total uncertainty on the background, and b_0 is defined as:

$$b_0 = \frac{1}{2} \left(b - \sigma_b^2 + \sqrt{(b - \sigma_b^2)^2 + 4(s+b)\sigma_b^2} \right) \quad (6.3)$$

In the case where the background is known precisely (i.e. $\sigma_b = 0$), Equation 6.2 simplifies to

$$Z = \sqrt{2 \left(b \left[(1 + s/b) \ln(1 + s/b) - s/b \right] \right)} \quad (6.4)$$

which further reduces to the familiar $Z = s/\sqrt{b}$ for the case when $s \ll b$.

6.5.2 Inputs to the optimization

In order to train the RGS, signal and background samples were prepared from events passing the event selection outlined in Table 6.3 up through the b -jet veto. The signal sample was chosen to be the longitudinally polarized $W^\pm W^\pm jj$ EWK events, and the transverse and mixed polarizations were treated as background along with $W^\pm W^\pm jj$ events from QCD interactions and the traditional backgrounds listed in Section 6.3. Splitting the inclusive $W^\pm W^\pm jj$ EWK events by polarization allows the optimization to favor the longitudinally polarized events as much as possible, even though they both contribute to the EWK signal.

The following variables were chosen for optimization:

- Leading lepton p_T
- Dilepton invariant mass (m_{ll})
- Leading and subleading jet p_T
- Dijet invariant mass (m_{jj})
- Lepton-jet centrality (ζ)

Subleading lepton p_T was omitted as it is desirable to keep the cut value as low as possible due to its sensitivity to the longitudinal polarization (as discussed in Section 6.1.1). Additionally, the dijet separation $\Delta\eta_{jj}$ was included in the optimization originally, however it was dropped from the list due to the cut value being motivated by differences between EWK and QCD produced $W^\pm W^\pm jj$ events.

Two additional constraints were imposed when selecting the optimal cut point:

1. At least 1000 signal events must survive in order to prevent the optimization from being too aggressive and unnecessarily reducing signal statistics.
2. The dijet invariant mass may only vary within a 50 GeV range of the default value (from 450 – 550 GeV) due to the cut being physically motivated by the VBS event topology (**TODO: reference where this is discussed in the 13 TeV section**).

Lastly, the decision was made to use calculate the signal significance without taking into account the uncertainty of the background using Equation 6.4. This was due to the fact that the statistical uncertainties of the fake electron and charge-misID backgrounds were quite large, and if Equation 6.2 were used instead, the optimization would cut unreasonably hard against these backgrounds. Since Monte Carlo statistics is not expected to be a limiting factor when this analysis is performed at the HL-LHC, it is more realistic to simply ignore these large statistical uncertainties for the purpose of the selection optimization.

6.5.3 Results of the optimization

Ultimately, the random grid was constructed from over 38,000 LL-polarized $W^\pm W^\pm jj$ events in the variables listed above. After applying the constraints, an optimal cut point was chosen which reduced the total background from 9900 to 2310 while reducing the signal from 3489 to 2958. This corresponds to an increase in signal significance from $Z = 33.26$ to $Z = 52.63$ as calculated by Equation 6.4. The updates to the event selection are listed in Table 6.4.

The large reduction in the background is primarily a result of the increase in the leading and subleading jet p_T from 30 GeV to 90 GeV and 45 GeV, respectively. As can be seen in Figure 6.7, this increase removes a significant portion of the backgrounds from jets faking electrons and charge mis-ID. Additionally, the loosening of the lepton-jet centrality cut ζ allows more signal events to survive the event selection (see Figure 6.9). Other changes to the event selection are minor and do not individually have a large impact on the signal or background yields.

799 The full event yields after optimization as well as the cross section measurement are detailed
 800 alongside those using the default selection in Section 6.6.

801 **TODO:** It's a bit awkward to reference the results of the default/optimized before they're prop-
 802 erly presented. Maybe move the sections around? not sure...

Selection requirement	Selection value
Lepton kinematics	$p_T > 28$ GeV (leading lepton only)
Jet kinematics	$p_T > 90$ GeV (leading jet) $p_T > 45$ GeV (subleading jet)
Dilepton mass	$m_{ll} > 28$ GeV
Dijet mass	$m_{jj} > 520$ GeV
Lepton-jet centrality	$\zeta > -0.5$

Table 6.4: Updates to the $W^\pm W^\pm jj$ event selection criteria after optimization. Cuts not listed remain unchanged from the default selection in Table 6.3.

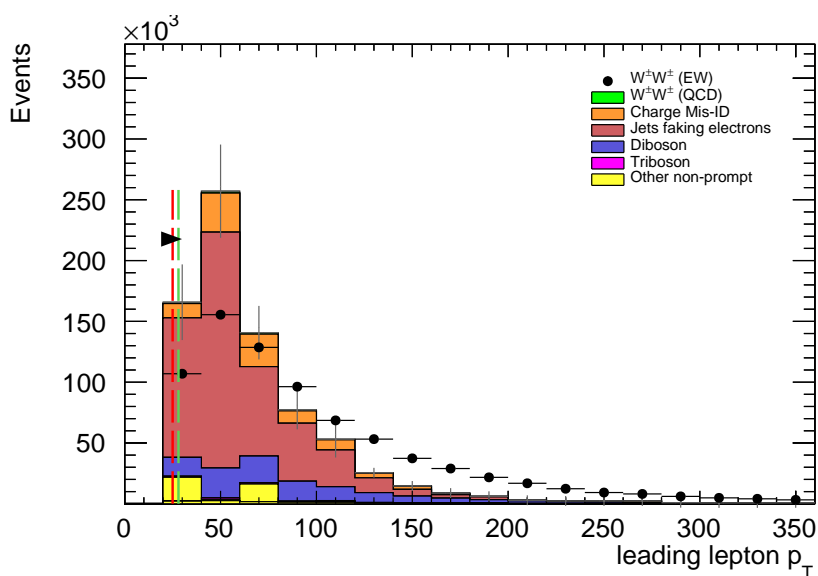


Figure 6.5: Leading lepton p_T distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram). **TODO:** Move to appendix or omit

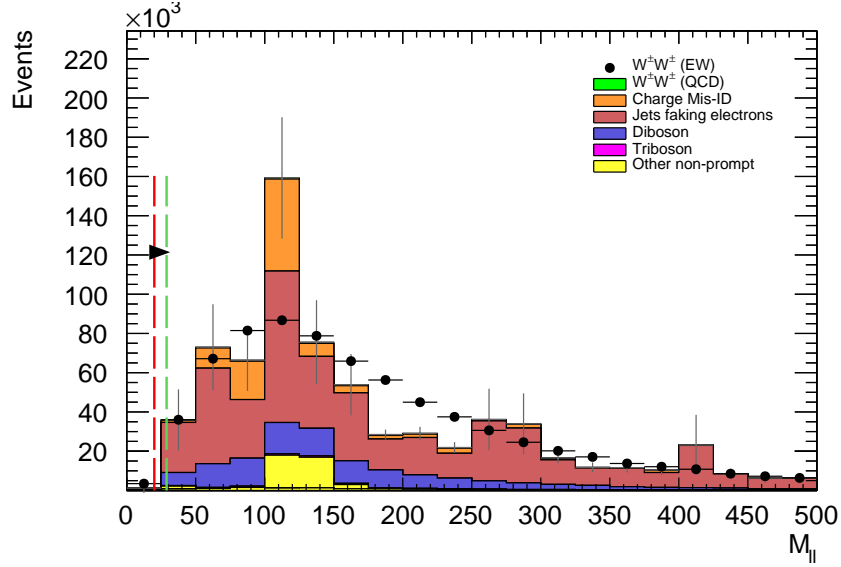


Figure 6.6: Dilepton invariant mass distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram).
 TODO: Move to appendix or omit

803 6.6 Results

804 6.6.1 Event yields

805 After applying the full event selection, the analysis is broken down into four channels based off of
 806 the flavor of the signal leptons: $\mu\mu$, ee , μe , and $e\mu$. The full signal and background event yields are
 807 shown in Table 6.5 for each channel separately and combined using the default event selection. 3489
 808 EWK $W^\pm W^\pm jj$ events are expected compared to 9900 background events. The dominant sources
 809 of background are jets faking electrons followed by charge misidentification and diboson processes.
 810 Triboson events, QCD $W^\pm W^\pm jj$, and other non-prompt sources make up approximately 5% of the
 811 total background combined.

812 The event yields for the optimized selection detailed in Section 6.5.3 are listed in Table 6.6. After
 813 optimization, 2958 signal events and just 2310 background events are expected. Diboson events now
 814 are the primary source of background, as the optimization greatly reduces the fake and charge
 815 misidentification backgrounds. As discussed earlier, the increase in the leading and subleading jet
 816 p_T cuts as well as the loosening of the centrality cut are most responsible for the changes in the
 817 signal and background yields; distributions of these quantities using the default and the optimized

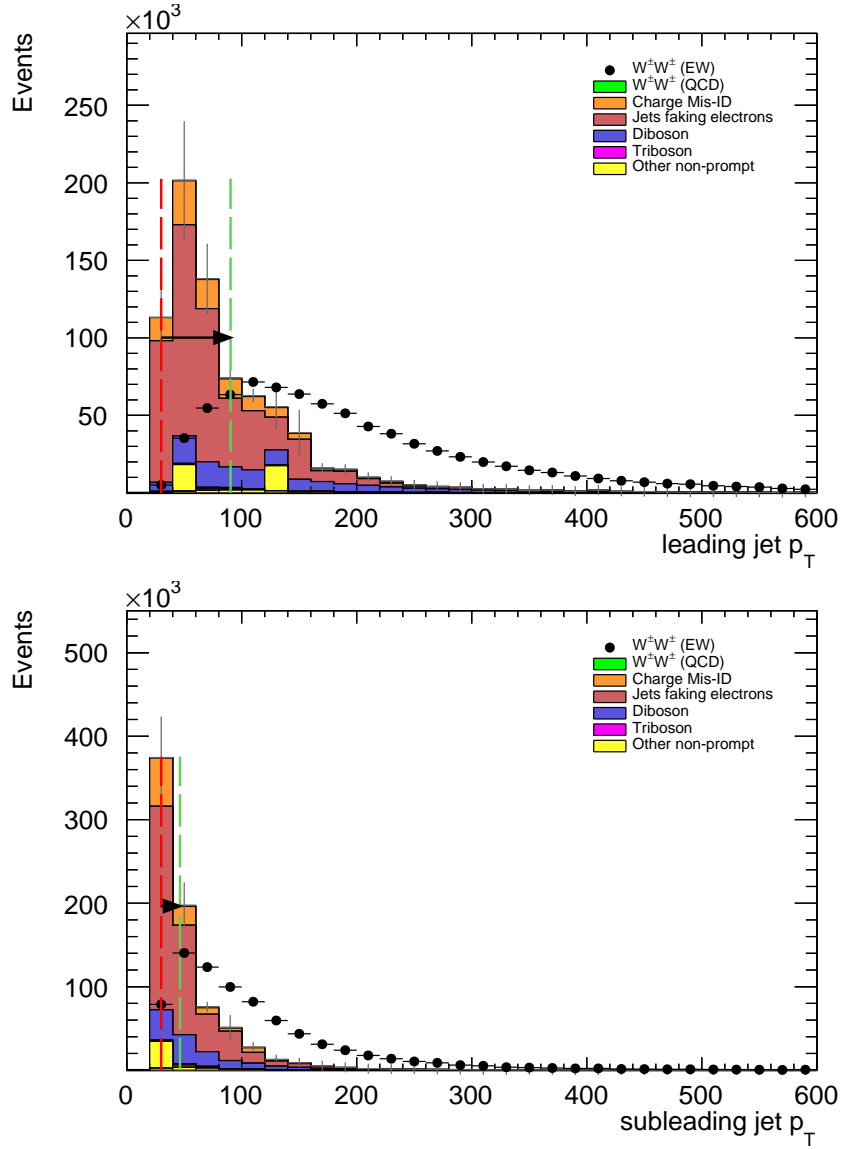


Figure 6.7: Leading (top) and subleading (bottom) jet p_T distributions. The default and optimized cuts are represented by the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram).

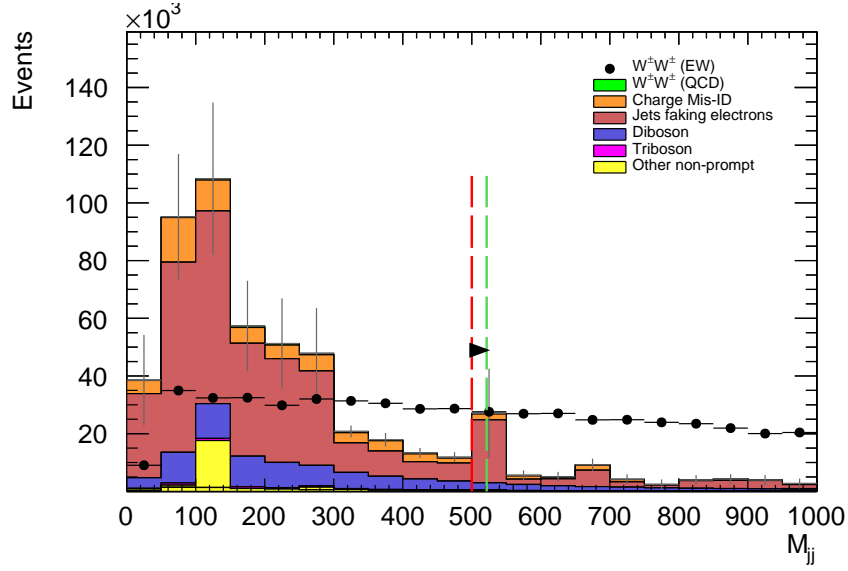


Figure 6.8: Dijet invariant mass distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram). **TODO:** Move to appendix or omit

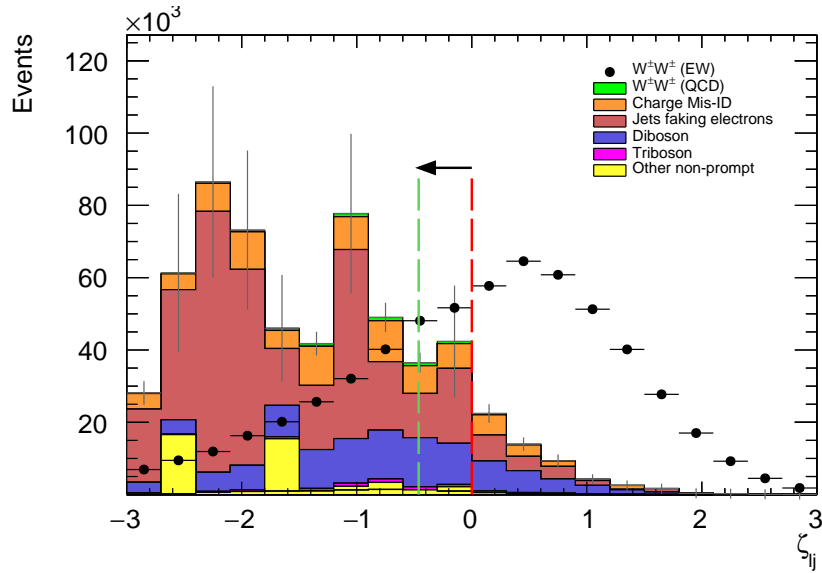


Figure 6.9: Lepton-jet centrality distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The $W^\pm W^\pm jj$ EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram).

	All channels	$\mu\mu$	ee	μe	$e\mu$
$W^\pm W^\pm jj$ (QCD)	206.4	91.1	22.8	38.4	54.1
Charge Misidentification	2300	0.0	2100	90	160
Jets faking electrons	5000	0.0	3400	1200	340
$WZ + ZZ$	2040	500	438	423	680
Tribosons	115	47	15.4	21.6	31.2
Other non-prompt	210	110	20	60	27
Total Background	9900	750	6000	1900	1290
Signal $W^\pm W^\pm jj$ (EWK)	3489	1435	432	679	944

Table 6.5: Signal and background event yields using the default event selection for an integrated luminosity of $\mathcal{L} = 3000 \text{ fb}^{-1}$. Events containing a fake or charge-flipped electron are removed from their respective sources and combined into a single entry each.

event selections can be found in Figures 6.10, 6.11, and 6.12, respectively.

	All channels	$\mu\mu$	ee	μe	$e\mu$
$W^\pm W^\pm jj$ (QCD)	168.7	74.6	19.7	32.2	42.2
Charge Misidentification	200	0.0	11	30	160
Jets faking electrons	460	0.0	130	260	70
$WZ + ZZ$	1286	322	289	271	404
Tribosons	76	30.1	9.6	15.1	21.6
Other non-prompt	120	29	16.6	50	19
Total Background	2310	455	480	660	710
Signal $W^\pm W^\pm jj$ (EWK)	2958	1228	380	589	761

Table 6.6: Signal and background event yields using the optimized event selection for an integrated luminosity of $\mathcal{L} = 3000 \text{ fb}^{-1}$. Events containing a fake or charge-flipped electron are removed from their respective sources and combined into a single entry each.

It is important to note, however, that the MC sample used to estimate Z +jets events suffers from poor statistics which results in large per-event weights once scaled to $\mathcal{L} = 3000 \text{ fb}^{-1}$. This sample contributes heavily to the fake and charge misidentification backgrounds, and a handful of these events being cut out by the optimization contributes has a large effect on the dramatic reduction of these backgrounds. As a result, these particular optimized results are likely overly optimistic. However, given proper MC statistics, it is still expected that the optimization will outperform the default selection.

6.6.2 Uncertainties

TODO: Ask for details on how some of these uncertainties were calculated – specifically the fakes and charge mis-ID The uncertainties considered for the analysis are summarized in Table 6.7. Values for experimental systematics on the trigger efficiency, lepton and jet reconstruction, and flavor tagging

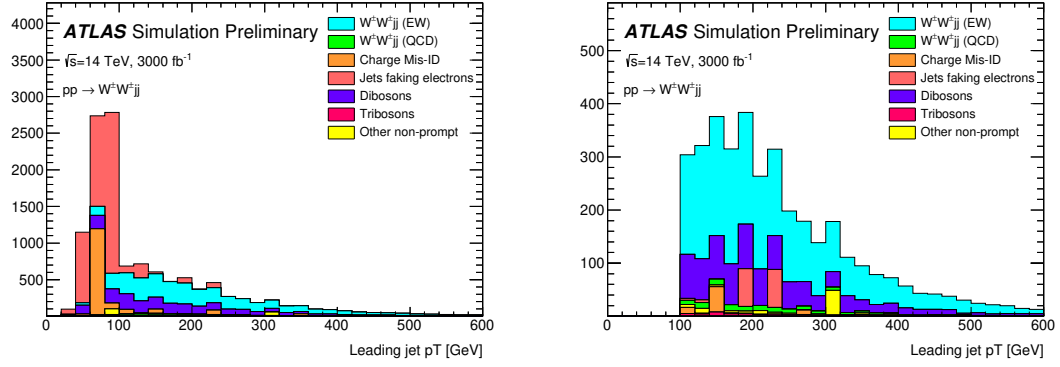


Figure 6.10: p_T distributions for the leading jet using the default (left) and optimized (right) event selections for all channels combined.

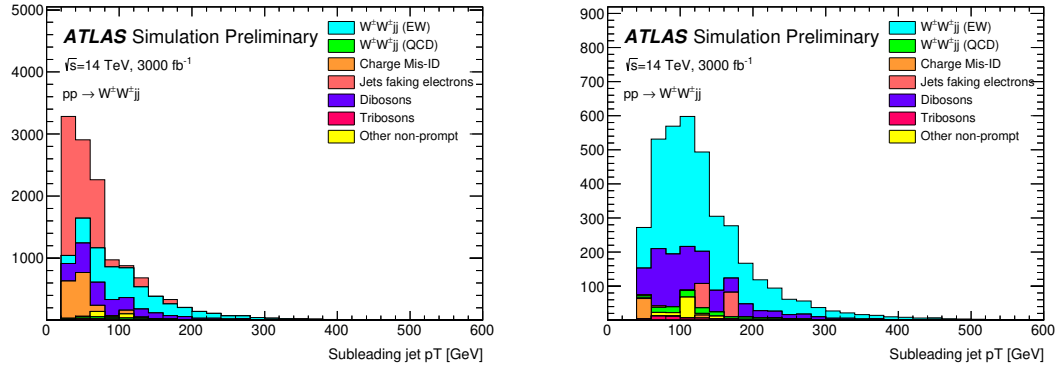


Figure 6.11: p_T distributions for the subleading jet using the default (left) and optimized (right) event selections for all channels combined.

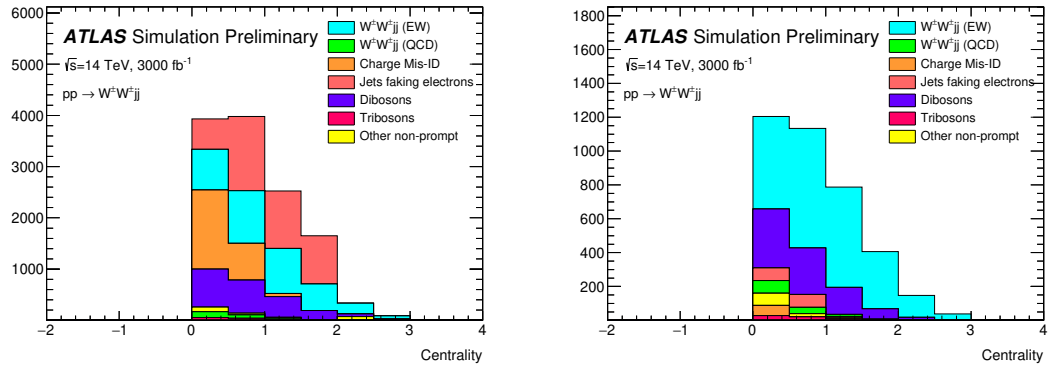


Figure 6.12: p_T distributions for lepton-jet centrality ζ using the default (left) and optimized (right) event selections for all channels combined.

are taken directly from the 13 TeV analysis **TODO: (cite or reference?)**. The rate uncertainties for the background processes are halved from the 13 TeV values.

Source	Uncertainty (%)
$W^\pm W^\pm jj$ (EWK)	3
Luminosity	1
Trigger efficiency	0.5
Lepton reconstruction and identification	1.8
Jets	2.3
Flavor tagging	1.8
Jets faking electrons	20
Charge misidentification	25
$W^\pm W^\pm jj$ (QCD)	20
Top	15
Diboson	10
Triboson	15

Table 6.7: Summary of estimated experimental and rate uncertainties.

6.6.3 Cross section measurement

The cross section is calculated using the same method as in the 13 TeV analysis, detailed in Chapter 5. **TODO: update from chapter reference to subsection reference (once it's written)**... Once again, each of the four lepton flavor channels is further split by charge (i.e. $\mu\mu \rightarrow \mu^+\mu^+ + \mu^-\mu^-$), as this increases the sensitivity of the analysis. Each channel's m_{jj} distribution is combined in a profile likelihood fit to extract the EWK $W^\pm W^\pm jj$ production cross section. The expected cross section calculated using the default event selection is:

$$\sigma_{W^\pm W^\pm jj}^{\text{expected}} = 16.89 \pm 0.36 \text{ (stat)} \pm 0.53 \text{ (theory)} \pm 0.84 \text{ (syst) fb} \quad (6.5)$$

The expected cross section calculated using the optimized event selection is:

$$\sigma_{W^\pm W^\pm jj}^{\text{expected}} = 16.94 \pm 0.36 \text{ (stat)} \pm 0.53 \text{ (theory)} \pm 0.78 \text{ (syst) fb} \quad (6.6)$$

The optimized selection should not change the measured value of the cross section, and indeed both are consistent within uncertainties. The systematic uncertainty is reduced by approximately 7% with the optimized selection. Projections of the total uncertainty on the cross section as a function of integrated luminosity made by **TODO: how was this made?** is shown in Figure 6.13.

6.6.4 Longitudinal scattering significance

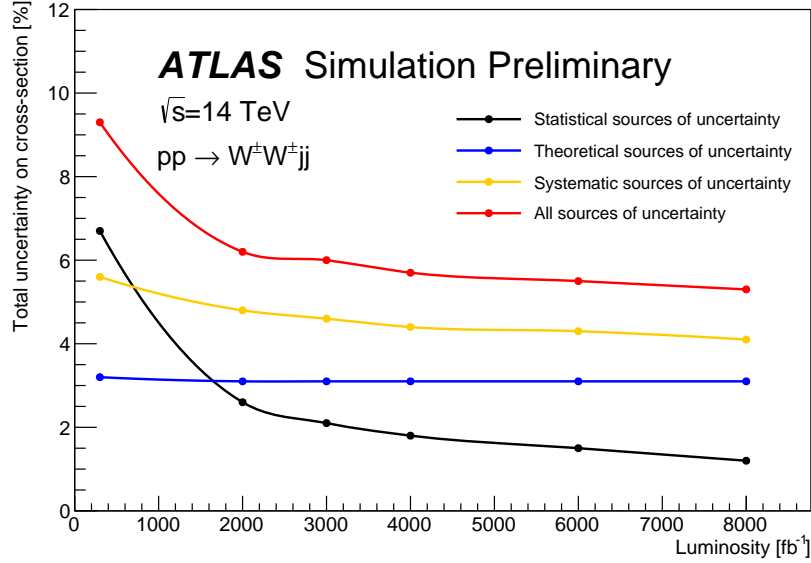


Figure 6.13: Projections of the statistical (black), theoretical (blue), systematic (yellow), and total (red) uncertainties on the measured cross section as a function of integrated luminosity using the optimized event selection.

845 **TODO: get some details on how this was all done...** The longitudinal scattering significance is
 846 extracted from the $|\Delta\phi_{jj}|$ distribution using a simultaneous binned likelihood fit. In order to increase
 847 sensitivity, the $|\Delta\phi_{jj}|$ distribution was split into two bins in m_{jj} , and an additional cut on the
 848 pseudorapidity of the subleading lepton was applied ($|\eta| < 2.5$) to reduce background from fake and
 849 charge misidentification. The $|\Delta\phi_{jj}|$ distributions used in the fit are shown in Figure 6.14. Due to
 850 limited statistics, the four lepton flavor channels were not split by charge. The expected significance
 851 of the $W_L^\pm W_L^\pm jj$ process is 1.8σ with a precision of 47% on the measurement. Projections of the
 852 expected significance as a function of integrated luminosity is shown in Figure 6.15.

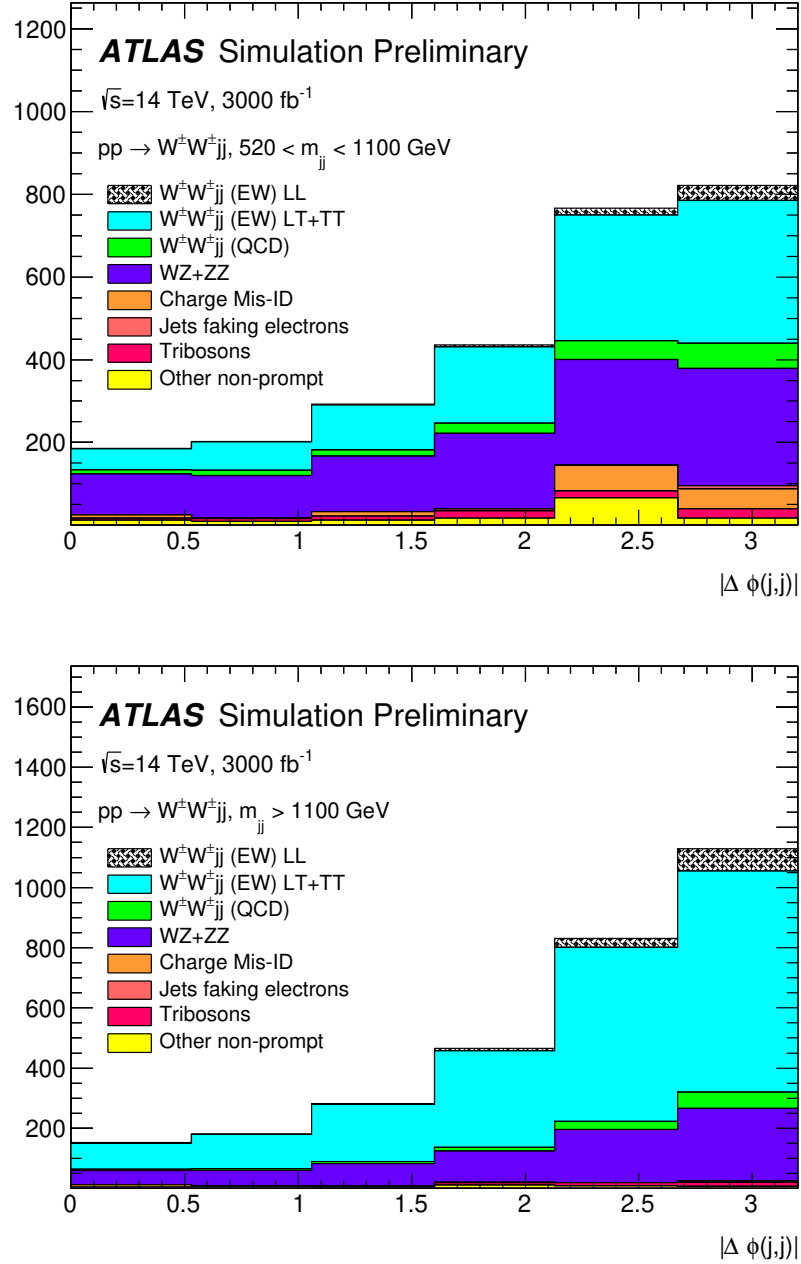


Figure 6.14: Dijet azimuthal separation ($|\Delta\phi_{jj}|$) for the low m_{jj} region ($520 < m_{jj} < 1100$ GeV, top) and the high m_{jj} region ($m_{jj} > 1100$ GeV, bottom). The purely longitudinal (LL, gray) is plotted separately from the mixed and transverse (LT+TT, cyan) polarizations.

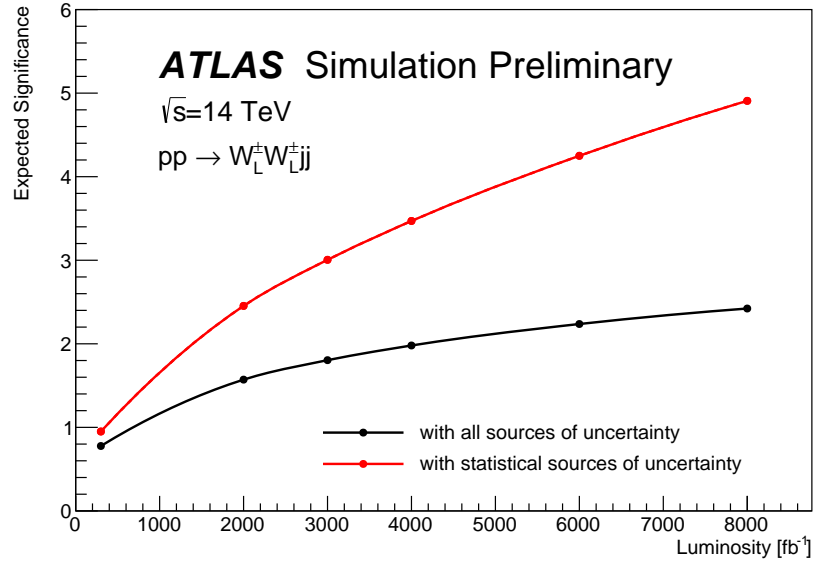


Figure 6.15: Projections of the expected longitudinal scattering significance as a function of integrated luminosity when considering all sources of uncertainties (black) or only statistical uncertainties (red).

853

CHAPTER 7

854

Conclusion

855 Here’s where you wrap it up.

856 **Looking Ahead**

857

858 Here’s an example of how to have an “informal subsection”.

Additional material on truth isolation

yields by type	all channels	$\mu\mu$	ee	μe	$e\mu$
signal	4011	1583.2	531.7	793.1	1103.1
ww qcd	252.6	105.8	30.4	48	68.4
charge flip	2528.4	0.0	2075.4	255.1	197.8
fakes	7135.4	0.0	4675.1	1904.3	555.9
diboson	2370.4	581.2	491.8	517.9	779.6
triboson	125.5	49.1	17.8	24.6	34.1
top	90150.5	26618	15301.6	25277.9	22953.1
z+jets	241.2	0.0	0.0	0.0	241.2
w+jets	31.4	3.9	7.6	13.2	6.7
total bkg	102803.9	27354	22592	28027.8	24830.1
signal	4011	1583.2	531.7	793.1	1103.1

Table A.1: Event yields prior to applying any form of truth-based isolation criteria.

yields by type	all channels	$\mu\mu$	ee	μe	$e\mu$
signal	3470.5	1427.3	428.8	675.8	938.7
ww qcd	205.8	90.8	22.7	38.3	54
charge flip	2398.3	0.0	2104.6	95.8	197.9
fakes	4309.7	0.0	3390.6	750.8	168.3
diboson	1552.4	311.3	355.6	346.8	538.7
triboson	115	46.8	15.4	21.6	31.2
top	156.9	42.3	14.8	76.6	23.3
z+jets	0.0	0.0	0.0	0.0	0.0
w+jets	0.3	0.0	0.0	0.3	0.0
total bkg	8738.1	491.3	5903.7	1329.8	1013.4
signal	3470.5	1427.3	428.8	675.8	938.7

Table A.2: Event yields after applying a test version of the truth-based isolation.

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