# STANDARD MODEL IS BEST MODEL (WORKING TITLE)

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3	A DISSERTATION
4	in
5	Physics and Astronomy
6	Presented to the Faculties of The University of Pennsylvania
7	in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy
8	2019 Last compiled: January 8, 2019
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# Acknowledgements

- 27 I'd like to thanks the Ghosts of Penn Students Past for providing me with such an amazing thesis
- 28 template.

26

# ABSTRACT STANDARD MODEL IS BEST MODEL (WORKING TITLE) William Kennedy DiClemente J. Kroll

This is the abstract text.

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# Preface

206

208

207 This is the preface. It's optional, but it's nice to give some context for the reader and stuff.

Will K. DiClemente Philadelphia, February 2019

210

209

# Introduction

The Standard Model  $(SM)^1$  has been remarkably successful...

<sup>&</sup>lt;sup>1</sup>Here's a footnote.

## Theoretical Framework

214 (Some example introductory text for this chapter)...

#### 2.1 Introduction to the Standard Model

- 216 Modern particle physics is generally interpreted in terms of the Standard Model (SM). This is a
- 217 quantum field theory which encapsulates our understanding of the electromagnetic, weak, and strong
- 218 interactions...

212

#### 219 2.2 Electroweak Mixing and the Higgs Field

- When the theory of the electroweak interaction was first developed [2, 3], the W and Z bosons were
- 221 predicted to be massless (a typical mass term in the Lagrangian would violate the SU(2) symmetry).
- 222 However, these were experimentally observed to have masses...

## LHC and the ATLAS Detector

#### 225 3.1 The Large Hadron Collider

226 The Large Hadron Collider (LHC) [4] is...

#### 227 3.2 The ATLAS Detector

223

224

228 ATLAS is a general-purpose particle detector...

#### 229 3.2.1 The Inner Detector

230 The Inner Detector serves the primary purpose of measuring the trajectories of charged particles...

#### 231 3.2.1.1 Pixel Detector

232 The Pixel detector consists of four cylindrical barrel layers and three disk-shaped endcap layers...

#### 3.2.1.2 Semiconductor Tracker

- 234 The Semiconductor Tracker uses the same basic technology as the Pixels, but the fundamental unit
- of silicon is a larger "strip"...

#### 236 3.2.1.3 Transition Radiation Tracker

237 The Transition Radiation Tracker is the outermost component of the ID...

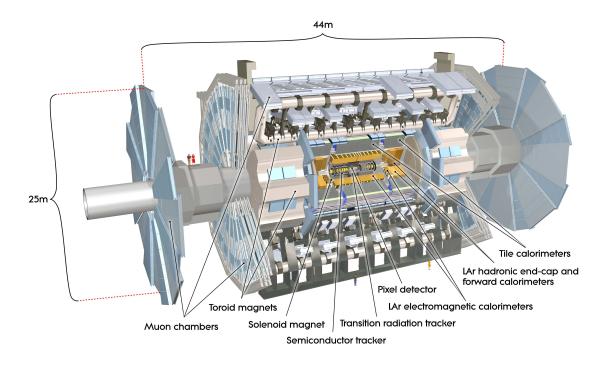


Figure 3.1: General cut-away view of the ATLAS detector [5].

#### 8 3.2.2 The Calorimeters

- 239 ATLAS includes two types of calorimeter system for measuring electromagnetic and hadronic show-
- ers. These are the Liquid Argon (LAr) calorimeters and the Tile calorimeters. Together, these cover
- the region with  $|\eta| < 4.9...$

#### 242 3.2.2.1 Liquid Argon Calorimeters

243 The Liquid Argon system consists of...

#### 244 3.2.2.2 Tile Calorimeters

245 The Tile calorimeter provides coverage for hadronic showers...

#### 246 3.2.3 The Muon Spectrometer

247 Muon spectrometer stuff.

#### 248 3.2.4 Particle reconstruction

- 249 Particle reconstruction algorithms
- 250 3.2.4.1 Track reconstruction
- 3.2.4.2 Muon reconstruction
- 252 3.2.4.3 Electron reconstruction
- 3.2.4.4 Jet reconstruction

## Alignment of the ATLAS Inner Detector

In order for the subdetectors of the ID to operate at their designed precisions, it is essential that 256 the locations of the sensors be known as precisely as possible. Differences between the expected and 257 actual positions of a sensor can result in displaced particle hits and degrade track reconstruction 258 quality. These misalignments can occur for any number of reasons, including but not limited to elemnts shifting during maintenance periods or cycles in ATLAS's magnetic field, or simply small 260 movements during normal detector operations. Since it is not practical to physically realign hundreds 261 of thousands of detector elements to  $\mu$ m precision by hand, an iterative track-based alignment 262 algorithm is used to determine the physical positions and orientations of these elements [6]. The 263 effects of misalignments and the steps taken to correct and monitor them are detailed in this chapter.

#### 4.1 Effects of Misalignment

266 Hello world!

254

#### 267 4.2 The Alignment Method

268 Hello world!

#### 269 4.3 Momentum Bias Corrections

270 Hello world!

## 271 4.4 Alignment of the IBL

Hello world!

## 273 4.5 Alignment Monitoring

274 Hello world!

# Measurement of same-sign WWproduction at $\sqrt{s} = 13$ TeV with ATLAS

#### 278 5.0.1 Analysis Overview

#### 5.1 Theoretical motivation

280 Hello world!

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276

277

#### 5.2 Data and Monte Carlo samples

This analysis uses  $36.1 \text{ fb}^{-1}$  of  $\sqrt{s} = 13 \text{ TeV}$  proton-proton collision data recorded by ATLAS during 2015 and 2016. The uncertainty in the combined 2015+2016 integrated luminosity is 2.1%. It is derived following a methodology similar to that detailed in Ref. [7] and using the LUCID-2 detector for the baseline luminosity measurements [8] from calibration of the luminosity scale using x-y beam-separation scans.

#### 287 5.2.1 Monte Carlo samples

A number of Monte Carlo (MC) simululations are employed to model signal and background processes. In order to model the real collision data as closely as possible, each MC has been run through a full simulation of the ATLAS detector [9] in GEANT4 [10], and events have been reconstructed using the same algorithms as the data. The simulation reproduces as closely as possible the momentum resolutions and calorimeter responses of the detector, and also includes the effects of pileup by including soft QCD interactions using PYTHIA v8.1 [11]. The MC samples used in this analysis are

Process	Generator	Comments
$W^{\pm}W^{\pm}jj$ (EWK)	SHERPA v2.2.2	Signal sample
$W^{\pm}W^{\pm}jj$ (EWK)	POWHEG-BOX v2	Systematics sample
$W^{\pm}W^{\pm}jj$ (QCD)	SHERPA v2.2.2	
Diboson	SHERPA v2.2.2	Both bosons decay leptonically ( $llll, lll\nu, lll\nu\nu$ )
Diboson	SHERPA v2.2.1	One boson decays leptonically, the other hadronically
Triboson	SHERPA v2.1.1	
W+jets	SHERPA v2.2.1	
Z+jets	Madgraph5_aMC@NLO	
$V\gamma$	SHERPA v2.1.1	
$V\gamma jj~(\mathrm{EWK})$	SHERPA v2.2.4	
$-t\bar{t}V$	Madgraph5_aMC@NLO	
$tar{t}$	POWHEG-BOX v2	
Single top	POWHEG-BOX v1	EWK $t$ -, $s$ -, & $Wt$ -channels

Table 5.1: Summary of MC samples used in the analysis.

detailed in this section and summarized in Table 5.1.

The  $W^{\pm}W^{\pm}jj$  samples are modeled using SHERPA v2.2.2 [12, 13, 14] with the NNPDF3.0 PDF set [15]. The EWK signal samples are generated by fixing the electroweak coupling constant to  $\mathcal{O}(\alpha_W) = 6$ , and a QCD background sample was also generated with  $\mathcal{O}(\alpha_W) = 4$ . SHERPA includes up to one parton at next-to-leading order (NLO) and up to three at leading order (LO) in the strong coupling constant  $\alpha_s$ . A second  $W^{\pm}W^{\pm}jj$  EWK sample is generated using POWHEG-BOX v2 [16] with the NNPDF3.0 PDF set and at NLO accuracy. This sample is only used for systematic studies, as POWHEG-BOX does not include resonant triboson contributions in its matrix element, which are non-negligible at NLO [17].

Diboson processes (VV where V=W,Z) are simulated with SHERPA v2.2.2 for mixed hadronic and leptonic decays and SHERPA v2.2.1 for fully leptonic decays of the bosons. Similarly, triboson (VVV) and  $V\gamma$  processes are simulated using SHERPA v2.1.1 with up to one parton at NLO and up to three at LO. W+jets processes are simulated with SHERPA2.2.1 with up to two partons at NLO and four at LO. All the above SHERPA samples use the NNPDF3.0 PDF set and SHERPA's own parton showering. The Z+jets events are generated with Madgraph5\_aMC@NLO [18] at LO and interfaced with PYTHIA v8.1 for parton showering.

 $t\bar{t}$  events are generated using POWHEG-BOX v2 with the CT10 PDF set [19].  $t\bar{t}V$  samples are generated at NLO with Madgraph5\_aMC@NLO and the NNPDF3.0 PDF set interfaced with PYTHIA v8 for parton showering. Finally, single top events are generated with POWHEG-BOX v1 and the CT10f4 PDF set interfaced with PYTHIA6 [20] for parton showering.

#### 5.3 Object and event selection

This section details the selection criteria for objects used in the analysis as well as the selection for signal events.

#### 5.3.1 Object selection

Muons, electrons, and jets all must pass strict selection requirements to ensure that only high quality, 318 well measured objects are used. For leptons, a baseline selection is defined (called the preselection), 319 which all leptons must pass in order to be considered for the analysis. This preselection is an 320 intentionally loose set of criteria in order to have high acceptance for rejecting backgrounds with 321 additional leptons (i.e.  $WZ \to 3l\nu jj$ ). Signal leptons are then required to satisfy a much tighter 322 signal selection aimed at suppressing backgrounds from non-prompt or fake leptons. A third set of 323 lepton selection criteria, the loose selection, defines a sample enriched in non-prompt leptons, and 324 it is used in the fake factor method for estimating the non-prompt background, discussed in detail 325 in Section 5.4.2. Jets are only required to pass one set of selection criteria. These selections are 326 detailed in the following sections and summarized in Table 5.2 for muons, Table 5.3 for electrons, 327 and Table 5.4 for jets. 328

#### 5.3.1.1 Muon candidate selection

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Cuts on muon  $p_{\rm T}$  serve to reject low momentum leptons from background processes and additional collisions from pileup events. Preselected muons must have  $p_{\rm T}>6$  GeV and signal muons  $p_{\rm T}>$  27 GeV. The  $p_{\rm T}$  requirement for loose muons is lower than for signal muons,  $p_{\rm T}>15$  GeV, for reasons that are discussed in Section 5.4.2. TODO: reference proper subsection when it's done Muons are required to fall within the detector's  $\eta$  acceptance:  $|\eta|<2.7$  for preselected muons, which is tightened to  $|\eta|<2.5$  for the signal muons.

Cuts on the transverse and longitudinal impact parameters are applied to ensure that the candidate muon originated from the primary particle interaction and not some other source, such as a heavy flavor decay. The preselection and the loose selection both have looser requirements on the transverse impact parameter significance  $(d_0/\sigma_{d_0})$  than the signal selection; all three have the same requirement on the transverse impact parameter  $(|z_0 \times \sin \theta|)$ .

Finally, the muon candidates are required to pass a particle identification and an isolation criteria as defined in [21]. The methods used in constructing the identification and isolation workingpoints are described in more detail in Section 3.2.4.2. The muon identification serves to select prompt muons

with high efficiency and well measured momenta. This analysis uses two different working points, Loose for preselected muons and Medium for loose and signal muons, where Medium muons are a 345 tighter subset of those that pass the Loose requirement. Muon isolation is a measurement of detector 346 activity around the muon candidate, and it is measured with both track-based and calorimeter-based 347 variables. The isolation workingpoint used for the signal muons, Gradient, is defined such that there 348 is 90% or better background rejection for 25 GeV muons, and 99% efficiency at 60 GeV. There is 349 no minimum isolation requirement for preselected or loose muons. Loose muons are additionally 350 required to fail one or both of the signal transverse impact parameter cut and signal isolation 351 requirement.

#### Muon preselection

Momentum cut	$p_{\rm T} > 6 \; {\rm GeV}$
Angular acceptance	$ \eta  < 2.7$
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$
Transverse impact parameter	$d_0/\sigma_{d_0} < 10$
Particle identification	Loose

#### Muon signal selection

Momentum cut	$p_{\rm T} > 27~{\rm GeV}$
Angular acceptance	$ \eta  < 2.5$
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$
Transverse impact parameter	$d_0/\sigma_{d_0} < 3$
Particle identification	Medium
Particle isolation	Gradient

#### Muon loose selection

Momentum cut	$p_{\rm T} > 15 \; {\rm GeV}$
Angular acceptance	$ \eta  < 2.5$
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$
Transverse impact parameter	$d_0/\sigma_{d_0} < 10$
Particle identification	Medium
Fail signal transverse impact parameter and/or isolation cuts	

Table 5.2: Muon selection criteria. All muons are required to pass the preselection (top), and then either the signal (middle) or loose (bottom) criteria is applied to the preselected electrons.

#### 5.3.1.2 Electron candidate selection

The electron candidate selections are very similar to those for muons. The  $p_{\rm T}$  cut starts at  $p_{\rm T} >$  6 GeV for the preselection, increases to  $p_{\rm T} > 15$  GeV for loose electrons, and finally to  $p_{\rm T} > 27$  GeV

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for signal electrons. The  $|\eta|$  cut for electrons requires  $|\eta| < 2.47$  for all electrons, with the region 1.37  $\leq |\eta| \leq 1.52$  removed from loose and signal electrons. This region is where the electromagnetic calorimeter transitions from the barrel to the endcaps and is not fully instrumented. Both the transverse and longitudinal impact parameter cuts are the same for all electron selections.

The electron particle identification uses a multivariate likelihood technique (LH) [22] detailed in Section 3.2.4.3. Preselected electrons must pass the loosest LH workingpoint LooseLH with an additional requirement that there be a reconstructed track hit in the first layer of the pixel detector (a so-called *B*-layer hit). The LH requirement for the loose and signal electrons the tighness of the identification using MediumLH and TightLH, respectively. As for isolation, the Gradient workingpoint is required for signal electrons only. The loose electrons must fail one or both of the signal identification and isolation requirements.

#### Electron preselection

Momentum cut	$p_{\rm T} > 6 \text{ GeV}$
Angular acceptance	$ \eta  < 2.47$
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$
Particle identification	LooseLH $+$ $B$ -layer hit

#### Electron signal selection

Momentum cut	$p_{\rm T} > 27~{\rm GeV}$
Angular acceptance	$ \eta  < 2.47$ , excluding $1.37 \le  \eta  \le 1.52$
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$
Particle identification	TightLH
Particle isolation	Gradient

#### Electron loose selection

Momentum cut	$p_{\rm T} > 15~{\rm GeV}$	
Angular acceptance	$ \eta  < 2.47$ , excluding $1.37 \le  \eta  \le 1.52$	
Longitudinal impact parameter	$ z_0 \times \sin \theta  < 0.5 \text{ mm}$	
Transverse impact parameter	$d_0/\sigma_{d_0} < 5$	
Particle identification	MediumLH	
Fail signal identification and/or isolation cuts		

Table 5.3: Electron selection criteria. All electrons are required to pass the preselection (top), and then either the signal (middle) or loose (bottom) criteria is applied to the preselected electrons.

#### 5.3.1.3 Jet candidate selection

The final objects that need to pass selection are jets. Jets are clustered using the anti- $k_t$  algo-368 rithm [23] within a radius of  $\Delta R = 0.4$ . The jets are then calibrated using  $E_{\rm T}$ - and  $\eta$ -dependent 369 correction factors that are trained using MC simulations [24]. These calibrated jets are then re-370 quired to have  $p_T > 30$  GeV if they lie in the forward regions of the detector  $(2.4 < |\eta| < 4.5)$  and 371  $p_{\rm T} > 25$  GeV in the central region ( $|\eta| \le 2.4$ ). In order to suppress pileup jets, the so-called jet-372 vertex-tagger (JVT) discriminant associates a jet with the primary interaction vertex [25]; central 373 jets with  $p_{\rm T} > 60$  GeV are required to pass the Medium JVT workingpoint, which corresponds to 374 an average efficiency of over 92%. Finally, the jets are required to be separated by selected prompt 375 leptons by at least  $\Delta R(i, l) > 0.3$ . 376

Jet selection	
Momentum cut	$p_{\rm T} > 30 \text{ GeV for } 2.4 <  \eta  < 4.5$
JVT cut	$p_{ m T} > 60~{ m GeV}~{ m for}~ \eta  < 2.4$ Medium
Jet-lepton separation	
	(V / /

Table 5.4:

#### 5.3.1.4 Treatment of overlapping objects

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In the event that one or more objects are reconstructed very close to each other, there is the possiblity for double-counting if both originated from the same object. The procedure by which this ambiguity is resolved is called *overlap removal* (OR). The standard ATLAS recommendation for OR is implemented in this analysis [26, 27] and is summarized in Table 5.5.

Since electrons leave a shower in the EM calorimeter, every electron has a jet associated with it. Therefore, any jets close to an electron (within  $\Delta R(e,j) < 0.2$ ) are rejected due to the high probability that they are the same object. On the other hand, when jets and electrons overlap within a large radius of  $0.2 < \Delta R(e,j) < 0.4$ , it is likely that the electron and jet both are part of a heavy-flavor decay, and the electron is rejected.

High energy muons can produce photons via bremsstrahlung radiation or collinear final state radiation which results in a nearby energy deposit in the calorimeters. Non-prompt muons from hadronic decays produce a similar signature; however, in this case the jet has a higher track multiplicity in the ID. It is possible to address both cases by rejecting the jet when the ID track multiplicity is less than three and otherwise rejecting the muon for jets and muons within  $\Delta R(\mu, j) < 0.4$ .

In addition to the case above where muon bremsstrahlung results in a nearby reconstructed jet, the ID track from the muon and the calorimeter energy deposit can lead to it bein reconstructed as an electron. In this case, if both a muon and an electron share a track in the ID, the muon is kept and the electron is rejected, unless the muon is calorimeter-tagged<sup>2</sup>, in which case the muon is removed in favor of the electron.

Overlap	Check	Result (remove $\rightarrow$ keep)
Electron & Jet	$\Delta R(e,j) < 0.2$	$\text{Jet} \to \text{electron}$
Election & Jet	$0.2 < \Delta R(e, j) < 0.4$	$\mathrm{Electron} \to \mathrm{jet}$
Muon & Jet	$\Delta R(\mu, j) < 0.4$ and Jet $N_{\rm ID\ tracks} < 3$	$\mathrm{Jet} \to \mathrm{muon}$
Muon & Jet	$\Delta R(\mu, j) < 0.4$ and Jet $N_{\rm ID~tracks} \geq 3$	$\mathrm{Muon} \to \mathrm{jet}$
Electron & Muon	Shared ID track	$Electron \rightarrow muon$
Electron & Muon	Shared ID track & muon is calo-tagged	$\mathrm{Muon} \to \mathrm{electron}$

Table 5.5: Summary of the overlap removal procedure used in the analysis. If the criteria in the "check" column is met, in the "result" column, the object on the left of the arrow is removed in favor of the object on the right.

#### 5.3.2 Signal event selection

#### TODO: MET and b-tag descriptions

After the objects have been selected, cuts are applied on a per-event level to select  $W^{\pm}W^{\pm}jj$  signal events. The event selection is summarized in Table 5.7 and is detailed in this section. It includes the results of an optimization performed using a multidimensional grid scan.

The initial event selection begins by choosing events that pass one or more of the trigger requirements listed in Table 5.6. At least one signal lepton is "matched" to a passed trigger in order to ensure that it was indeed a signal lepton that fired the trigger. A collection of event cleaning cuts must also be passed in order to remove events collected during periods in which one or more components of the detector was not operating optimally. Finally, the events are required to contain at least one interaction vertex. An event can have multiple reconstructed vertices from additional proton-proton collisions that occurred in the same bunch crossing. In this case, the primary vertex is determined by choosing the vertex with the largest sum of the  $p_{\rm T}^2$  of its associated tracks.

Events are then required to contain exactly two signal leptons with the same electric charge. The dilepton pair must have a combined invariant mass of  $m_{ll} \geq 20$  GeV in order to suppress low mass

<sup>&</sup>lt;sup>2</sup>A calorimeter-tagged (CT) muon is a muon that is identified by matching an ID track to a calorimeter energy deposit. CT muons have relatively low reconstruction efficiency compared to those measured by the MS, but can be used to recover acceptance in regions of the detector where the MS does not have full coverage [21].

	2015 data	2016 data
	$p_{ m T} > 24~{ m GeV}$ and Medium ID	$p_{\mathrm{T}} > 26 \; \mathrm{GeV}$ and Tight ID and Loose isolation
Electrons	$p_{ m T} > 60~{ m GeV} ~{ m and} ~{ m Medium} ~{ m ID}$	$p_{ m T} > 60~{ m GeV} ~{ m and} ~{ m Medium} ~{ m ID}$
	$p_{ m T} > 120~{ m GeV}$ and Loose ID	$p_{ m T} > 140~{ m GeV}$ and Loose ID
Muons	$p_{\rm T} > 20~{ m GeV}$ and Loose isolation	$p_{ m T} > 26~{ m GeV}$ and Medium isolation
Muons	$p_{\mathrm{T}} > 50 \; \mathrm{GeV}$	$p_{\mathrm{T}} > 50  \mathrm{GeV}$

Table 5.6: Summary of trigger requirements for electrons and muons for  $\sqrt{s} = 13$  TeV data collected in 2015 and 2016. At least one of the triggers must be satisfied.

Drell-Yan backgrounds. Two additional selections are applied to events in the ee-channel: both elec-412 trons are required to have  $|\eta| < 1.37$  with an invariant mass at least 15 GeV away from the Z-boson 413 mass to reduce events where one electron is reconstructed with the wrong charge (this background 414 will be discussed in more detail in Section 5.4 TODO: Replace with proper subsection once it's 415 written). To suppress backgrounds from events with more than two leptons, events with more than 416 two leptons passing the preselection are vetoed. In order to account for the two final state neutrinos, 417 the event must contain  $E_{\rm T}^{\rm miss} > 30$  GeV. TODO: brief blurb on MET reconstruction/corrections 418 At least two jets are required. The leading and subleading jets must have  $p_T > 65$  GeV and 419  $p_{\rm T} > 35$  GeV, respectively, and are referred to as the tagging jets. Events are vetoed if they contain 420 one or more jets that have been tagged as a b-jet using the MV2c20 tagger operating at the 85% 421 efficiency workingpoint [28] to suppress backgrounds from heavy flavor decays (especially top quark 422 events). TODO: Make less jargony or one sentence to explain b-tagging 423 Finally, cuts are applied on the VBS signature outlined in Section 5.0.1. The tagging jets are 424 required to have a dijet invariant mass  $m_{jj} > 200$  GeV and be separated in rapidity by  $|\Delta y_{jj}| > 2.0$ . 425 This preferentially selects the VBS EWK events over the QCD-produced  $W^{\pm}W^{\pm}jj$  events. 426

#### 7 5.4 Background estimations

428 Hello world!

#### 429 5.4.1 Reduction of WZ background using custom overlap removal

The dominant source of prompt background in this analysis comes from WZ events where both bosons decay leptonically. Traditionally, the background is dealt with by imposing a veto on any event with a third lepton passing some loose identification criteria (the so-called *trilepton veto*). In the case of this analysis, if one or more leptons (in addition to the two signal leptons) passed the

Event selection			
	Pass at least one trigger with a matched lepton		
Event preselection	Pass event cleaning		
	At least one reconstructed vertex		
	Exactly two leptons passing signal selection		
Lanton galaction	Both signal leptons with the same electric charge		
Lepton selection	$ \eta  < 1.37$ and $ M_{ee} - M_Z  > 15$ GeV (ee-channel only)		
	Veto events with more than two preselected leptons		
Missing transverse energy	$E_{\rm T}^{\rm miss} \ge 30 \text{ GeV}$		
	At least two jets		
Jet selection	Leading jet $p_{\rm T} > 65 \text{ GeV}$		
	Subleading jet $p_{\rm T} > 35 \text{ GeV}$		
	$m_{jj} > 200 \text{ GeV}$		
	b-jet veto		
	$ \Delta y_{jj}  > 2.0$		

Table 5.7: The signal event selection

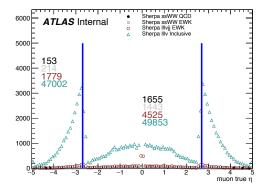
preselection criteria, the event would be rejected. However, WZ events can still enter the signal region if one of the leptons fails the veto selection or falls outside of the detector's acceptance.

In order to understand the sources of WZ events that are not removed by the trilepton veto, a study was performed on truth-level leptons<sup>3</sup> on  $W^{\pm}W^{\pm}jj$  and WZ MC samples. Events with three truth leptons were selected, and each was matched to its reconstruction-level partner by finding the closest  $\Delta R(\text{truth, reco})$  and  $\Delta p_{T,\text{truth,reco}}$  match. For events surviving the trilepton veto, the two signal leptons were removed, and the remaining leptons represent real leptons that failed to be selected for the veto. Between 40-50% of these leptons fell outside of the eta acceptance of the analysis (see Figure 5.1) and were unrecoverable. The second largest source of leptons failing the preselection was the OR, defined in Section 5.3.1.4. The standard OF procedure appeared to be too aggressive in removing leptons in favor of jets, causing many three lepton events to "lose" their third lepton and pass the trilepton veto. Therefore a *Custom OR* was investigated which would replace the standard OR in the preselection and allow for better WZ rejection by removing fewer third leptons.

TODO: Mention how the extra leptons in the  $W^{\pm}W^{\pm}jj$  are background leptons since there are only 2 from the main decay

In order to construct a "custom" OR, a new quantity is defined between a lepton (l) and a nearby

<sup>&</sup>lt;sup>3</sup>Truth particles are the particles produced directly by the MC generator before being passed through the full detector simulation, at which point they are considered reconstruction-level (or reco-level) particles.



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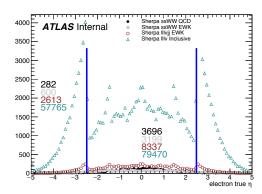


Figure 5.1: Pseudorapidity  $(\eta)$  distributions of truth muons (top) and electrons (bottom) for Sherpa  $W^{\pm}W^{\pm}jj$  and WZ MC samples. The blue vertical lines represent the allowed  $\eta$  range for each lepton flavor. The numbers correspond to the number of raw MC events that fall within and outside of the allowed  $\eta$  range for each MC sample.

$$p_{\mathrm{T,ratio}}(l,j) = \frac{p_{\mathrm{T}_l}}{p_{\mathrm{T}_i}}$$

$$(5.1)$$

which, along with  $\Delta R(l,j)$ , will allow for more third leptons to pass the preselection. The idea behind including  $p_{\rm T,ratio}$  is to be able to preferentially remove background leptons originating from jets (i.e. those that carry a low percentage of the total jet momentum) instead of removing any lepton near to jet. The distributions of  $p_{\rm T,ratio}$  and the associated efficiency curves for muons and electrons can be found in Figures 5.2 and 5.4, respectively, and the distributions for  $\Delta R(\mu, j)$  for muons can be found in Figure 5.3. Since all electrons have an associated jet in the calorimeters, the  $\Delta R(e,j)$  variable is not a good quantity to use for this custom OR.

A workingpoint for the Custom OR was chosen by requiring 90% signal retention for muons and 90% background rejection for electrons. The cut on electrons was allowed to be much tighter because the number of signal events with a third electron is considerably smaller than for muons. It should be re-emphasized the signal events that are present in Figures 5.2-5.4 do not represent the full set of signal events, but only those with a real third lepton (which must come from some source other than the signal  $W^{\pm}W^{\pm}jj$  process). For muons, an or of  $p_{\rm T,ratio}(\mu,j)$  and  $\Delta R(\mu,j)$  is used to maximize the third lepton acceptance due to correlations between the quantities, as shown in Figure 5.5; for electrons, only a cut on  $p_{\rm T,ratio}(e,j)$  is used. The Custom OR workingpoint is outlined in Table 5.8.

Tests of the performance of the Custom OR looked promising, with approximately 20% reduction

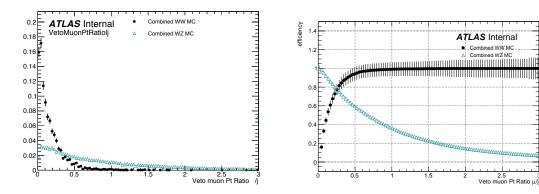


Figure 5.2: Distributions of  $p_{\rm T,ratio}(\mu,j)$  for EWK and QCD  $W^\pm W^\pm jj$  signal (black) and WZ background (teal) for truth-matched third muons in events that pass the trilepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on  $p_{\rm T,ratio}(\mu,j)$  at a given value on the x-axis.

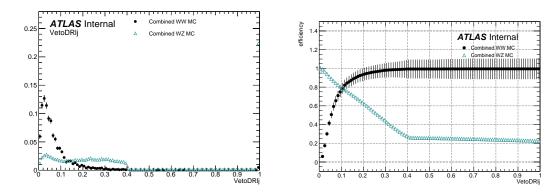


Figure 5.3: Distributions of  $\Delta R(\mu, j)$  for EWK and QCD  $W^{\pm}W^{\pm}jj$  signal (black) and WZ background (teal) for truth-matched third muons in events that pass the trilepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on  $\Delta R(\mu, j)$  at a given value on the x-axis.

Custom OR Definition	
Muons	$p_{\rm T,ratio}(\mu, j) > 0.40 \text{ or } \Delta R(\mu, j) > 0.15$
Electrons	$p_{\mathrm{T,ratio}}(e,j) > 0.18$

Table 5.8: Custom OR definition. Leptons must pass this selection in order to be counted for the trilepton veto.

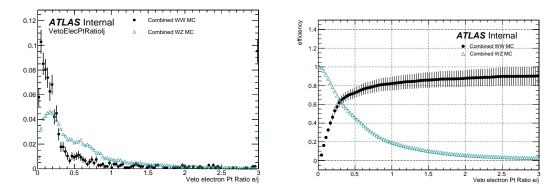


Figure 5.4: Distributions of  $p_{\text{T,ratio}}(e,j)$  for EWK and QCD  $W^{\pm}W^{\pm}jj$  signal (black) and WZ background (teal) for truth-matched third electrons in events that pass the trilepton veto. Both distributions are normalized to unit area. The associated efficiency curves are on the right where efficiency is defined as the percentage of total events that would pass a cut on  $p_{\text{T,ratio}}(e,j)$  at a given value on the x-axis.

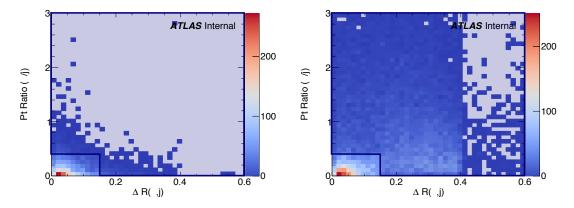


Figure 5.5: Two-dimensional plots of  $p_{T,ratio}(\mu, j)$  vs  $\Delta R(\mu, j)$  for truth-matched third muons in events that pass the trilepton veto for EWK and QCD  $W^{\pm}W^{\pm}jj$  signal (left) and WZ background (right). The blue overlay indicates the area in which the third leptons will pass the custom OR and result in the event failing the trilepton veto.

- in WZ background compared to less than 2% signal loss in the signal region. Unfortunately, due to
- differences between the primary analysis framework and the one used for testing, in practice the gains
- in WZ rejection were not nearly as substantial, and ultimately the Custom OR was dropped from
- the final analysis. However, it is still a potentially useful tool for improving background rejection
- via lepton number vetoes in analyses with overly aggressive OR procedures.

#### 5.4.2 Fake factor method

475 fake factor method

#### 476 5.5 Cross section measurement

477 Hello world!

#### 478 **5.6** Results

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# Prospects for same-sign WW at the High Luminosity LHC

On December 3, 2018, Run 2 of the LHC officialy ended, and the collider was shut down to begin the first of two scheduled extended maintenance periods [29]. During these two long shutdowns, 484 the Phase-I and Phase-II upgrades of the LHC and ATLAS will occur in order to prepare for the 485 High-Luminosity LHC (HL-LHC) which is scheduled to begin operation in 2026 [30]. 486 The HL-LHC is planned to run at a center-of-mass energy of  $\sqrt{s} = 14$  TeV with an instantaneous 487 luminosity of  $\mathcal{L} = 5 \times 10^{34} \text{ cm}^{-2} \text{s}^{-1}$  with up to 200 collisions per beam-crossing. Over the course 488 of operation, the HL-LHC is expected to collect a total integrated luminosity of  $\mathcal{L} = 3000~\mathrm{fb}^{-1}$  by 489 2035 [31]. 490 These run conditions are much harsher than what ATLAS has experienced so far, and as a result 491 there are several planned upgrades to the detector. Most notably, the entire ID will be replaced 492 with an all-silicon tracker which will extend the coverage from  $|\eta| \leq 2.7$  up to  $|\eta| \leq 4.0$ . This will 493 allow for reconstruction of charged particle tracks which can in turn be matched to clusters in the 494 calorimeters for electron identification or forward jet tagging [32]. 495 The upgraded detector combined with the higher beam energy and the considerable increase in 496 integrated luminosity means that many analyses with low signal statistics in Run 2 have the poten-497 tial to be greatly improved with the HL-LHC. While the ATLAS 13 TeV  $W^{\pm}W^{\pm}jj$  cross section measurement certainly did not suffer greatly from low statistics TODO: -reword-, the accuracy of 499 the measurement can still be improved at the HL-LHC. Of particular interest is the longitudinal 500

The analysis detailed in this chapter is based off of the 2018 public ATLAS  $W^{\pm}W^{\pm}jj$  prospects

polarization of the W bosons due to its sensitivity to electroweak symmetry breaking [33].

study [34] which is itself an extension of the 2017 ATLAS study [35]. TODO: mention CMS's study + yellow report?

#### 6.0.1 Analysis Overview

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The experimental signature of interest here is identical to the 13 TeV analysis detailed in Chapter 5: 506 two prompt leptons (electrons or muons) with the same charge, missing transverse energy, and two 507 jets. Once again the two leading jets are required to have a large angular separation and a high 508 combined invariant mass to preferentially select EWK VBS production over QCD  $W^{\pm}W^{\pm}jj$  events. 509 Background processes that can mimic the signal are again similar to the 13 TeV analysis. The 510 dominant source of prompt background from WZ+jets events where both bosons decay leptonically. 511 If the lepton from the Z-decay with opposite charge from the W falls outside of the detector accep-512 tance or is not identified, the remainder could appear to be a  $W^{\pm}W^{\pm}jj$  signal event. To a lesser 513 extent, ZZ+jets events can enter the signal region in much the same way provided two leptons are 514 "lost". Other prompt sources include  $t\bar{t}+V$  and and multiple parton interactions, however these 515 processes do not contribute much. The upgrades to the ATLAS detector are expected to reduce the 516 size of these prompt contributions due in large part to the increased detector acceptance from the 517 forward tracking. Jets mis-reconstructed as leptons or leptons from hacronic decays (such as  $t\bar{t}$  and 518 W+jets production) comprise the non-prompt lepton background. Lastly, events with two prompt, 519 opposite-charge electrons can contribute provided one of the electrons is mis-reconstructed as the wrong charge. 521 In this analysis, the EWK production of  $W^{\pm}W^{\pm}jj$  is studied in the context of the planned 522 HL-LHC run conditions and upgraded ATLAS detector. An optimized event selection (referred to 523 as the optimized selection) is also explored in an effort to gain increased signal significance over 524 the default selection. The cross section of the inclusive EWK production is measured for both the 525 default and optimized selections, and the extraction of the longitudinal scattering significance is 526

#### 6.1 Theoretical motivation

measured with the optimized selection.

The theoretical motivation for studying the ssWW process is detailed in Section 5.1. The particular interest in polarization is the potential for the scattering amplitude of longitudinally polarized weak bosons to diverge linearly as the center of mass energy increases, ultimately violating unitarity around 1 TeV [36]. In the Standard Model, the Higgs boson cancels these divergences. However, as

the Higgs is recently discovered it is still extremely to study the mechanism of electroweak symmetry 533 breaking (EWSB), and the longitudinal scattering of W bosons is expected to be one of the most 534 sensitive tests of EWSB [33]. 535

#### 6.1.1Experimental sensitivity to longitudinal polarization 536

There are three possible polarization states for a massive vector boson: two transverse (+ or -) 537 and one longitudinal (0). Therefore, in a system with two W bosons, the overall polarization can be 538 purely longitudinal (00), purely transverse (++, --, and +-), or mixed (+0 and -0). The three 539 combinations will be referred to as LL, TT, and LT respectively. 540 In order extract the longitudinal scattering component, it is necessary to find variables that 541 distinguish the LL from the TT and LT. Several variables were studied, and those with the best 542 discriminating power between the polarizations were the leading and subleading lepton  $p_{\rm T}$  as well 543 as the azimuthal separation  $(|\Delta\phi_{jj}|)$  of the two VBS jets. The LL events preferred lower  $p_{\rm T}$  for 544 both signal leptons (see Figure 6.1), which motivates keeping these two cuts as low as possible in 545 the event selection in order to preserve as much longitudinal polarization as possible. In the case of 546  $|\Delta\phi_{ij}|$ , the LL events generally had a larger dijet separation (see Figure 6.2), and this variable is 547 used in a binned likelihood fit to extract the longitudinal scattering significance.

#### Monte Carlo samples 6.2549

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As no real HL-LHC data will be available for many years, all processes in this prospects study must 550 be simulated using Monte Carlo (MC) generators. Signal and background processes were generated 551 at  $\sqrt{s}=14$  TeV, and the event yields scaled to the anticipated HL-LHC integrated luminosity of 552  $\mathcal{L} = 3000 \text{ fb}^{-1}$ . The MC samples used in the analysis are generated at particle-level and have not 553 been run through the typical full simulation of the ATLAS detector Smearing functions derived from 554 a GEANT4 simulation of the upgraded ATLAS detector [10] are used to estimate detector effects such 555 as momentum resolution. In addition, pileup events are fully simulated. The MC samples used in 556 this analysis are summarized in Table 6.1. 557 The signal sample consists of both VBS and non-VBS electroweak (EWK)  $W^{\pm}W^{\pm}jj$  production, 558 and it is sumulated with the Madgraph5\_aMC@NLO generator [18] using the NNPDF3.0 PDF set [15] and 559 interfaced with PYTHIA v8 [37] for hadronization and parton showering. To study the longitudinal 560 polarization more directly, two additional Madgraph5\_aMC@NLO  $W^{\pm}W^{\pm}jj$  samples are used: one

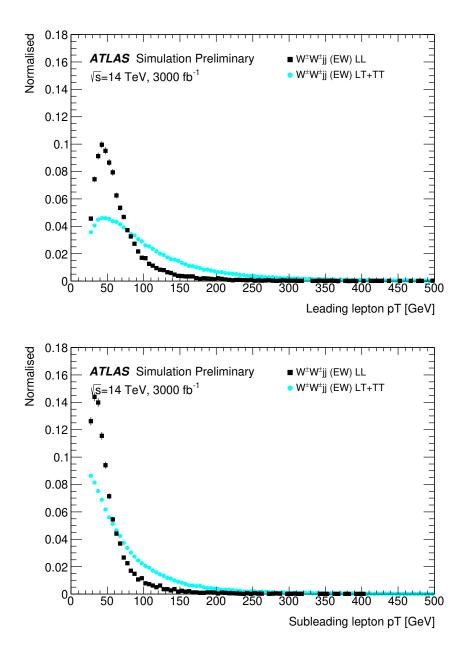


Figure 6.1: Comparison of the leading (top) and subleading (bottom) lepton  $p_{\rm T}$  distributions for purely longitudinal (LL, black) and mixed polarization (LT+TT, cyan)  $W^{\pm}W^{\pm}jj$  events. Plots from [1].

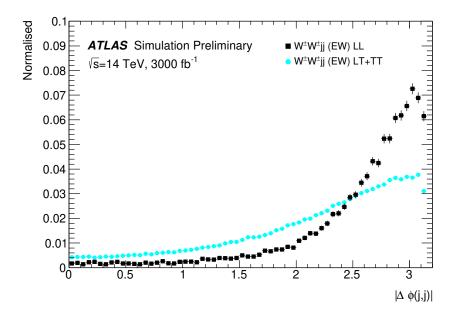


Figure 6.2: Comparison of the azimuthal dijet separation ( $|\Delta \phi_{jj}|$ ) for purely longitudinal (LL, black) and mixed polarization (LT+TT, cyan)  $W^{\pm}W^{\pm}jj$  events. Plot from [1].

containing only the longitudinal contribution (LL) and a second containing the transverse (TT) and mixed (LT) contributions.

There are many other processes that can produce the same final state as the  $W^{\pm}W^{\pm}jj$  and must also be accounted for using MC simulations. WZ events are generated using SHERPA v2.2.0 [12, 13, 14], which includes up to one parton at NLO in the strong coupling constant  $\alpha_s$  and up to three additional partons at LO. Both EWK and QCD production are included in these samples. ZZ and triboson VVV (V=W,Z) events are generated using SHERPA v2.2.2 with up to two additional partons in the final state. For the triboson backgrounds, the bosons can decay leptonically or hadronically. W+jets backgrounds are generated for electron, muon, and tau final states are generated at LO with Madgraph5-aMC@NLO and the NNPDF3.0 set with showering from PYTHIA v8. Z+jets events are generated using POWHEG-BOX v2 [16] and the CT10 PDF set [19] interfaced with PYTHIA v8. Finally,  $t\bar{t}$  and single-top events are generated using POWHEG-BOX with showering from PYTHIA v6.

Process	Generator	Comments
$W^{\pm}W^{\pm}jj$ (EWK)	Madgraph5_aMC@NLO	Signal sample
$W^{\pm}W^{\pm}jj$ (QCD)	Madgraph5_aMC@NLO	
$W^{\pm}W^{\pm}jj$ (LL)	Madgraph5_aMC@NLO	Pure longitudinal polarization sample
$W^{\pm}W^{\pm}jj$ (TT+LT)	Madgraph5_aMC@NLO	Mixed and transverse polarization sample
Diboson	SHERPA v2.2.0	WZ events
Diboson	SHERPA v2.2.2	ZZ events
Triboson	SHERPA v2.2.2	
W+jets	Madgraph5_aMC@NLO	
Z+jets	POWHEG-BOX v2	
$t\bar{t}$	POWHEG-BOX	
Single top	POWHEG-BOS	

Table 6.1: Summary of MC samples used in the analysis.

### 575 6.3 Background estimations

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In this analysis, all background contributinos are estimated using MC simulations. Backgrounds such as electron charge misidentification and fake electrons from jets—which are traditionally estimated using data-driven techniques—are estimated using a set of parameterization functions applied to the MC. These functions calculate the probability that an electron is assigned the wrong charge or a jet is mis-reconstructed as an electron parameterized by the  $p_{\rm T}$  and  $\eta$  of the electron or jet. The probabilities are derived from studies on expected electron performance with the upgraded ATLAS detector [38].

Processes involving two W and Z bosons are grouped together as diboson backgrounds, with the exception of  $W^{\pm}W^{\pm}jj$  events produced via QCD interactions, which are kept separate. Similarly, all backgrounds with three vector bosons are combined and labeled as triboson. Any W+jets or top events that pass selection and do not contain a fake electron, as well as any Z+jets events without an

electron identified as having its charge misidentified are combined as other non-prompt backgrounds.

#### 6.3.1 Truth-based isolation

Since the MC samples used in this analysis have not been run through a full detector simulation, they lack any kind of particle isolation variables (since they require, for example, information on the calorimeter response). Generally, this is not a large concern, as at truth-level, high  $p_{\rm T}$  signal leptons tend to be well isolated to begin with. However, isolation is one of the most powerful tools for rejecting leptons from non-prompt sources such as top events, which are produced in association with additional nearby particles from b and c quark decays. In the absence of any sort of isolation requirement, contributions from top backgrounds (including single top,  $t\bar{t}$  and  $t\bar{t}+V$ ) were more than an order of magnitude higher than expected.

As a result, it was necessary to create an analogue to the isolation information that is available in fully-simulated samples. Track- and calorimeter-based isolation variables were constructed by summing the momentum and energy, respectively, of stable truth particles with  $p_{\rm T}>1$  GeV within a specified radius of each signal lepton. For the track-based isolation, only charged truth particles were used; both charged and neutral particles (excluding neutrinos) were included for the calorimeter-based isolation. Ultimately, a set of isolation cuts were chosen that are similar to those recommended by ATLAS for Run 2 analyses. The truth-based isolation requirements are listed in Table 6.2.

	Electron Isolation	Muon Isolation
Track-based isolation cone size	$\Delta R < 0.2$	$\Delta R < 0.3$
Track-based isolation requirement	$\sum p_{\rm T}/p_{\rm T}^e < 0.06$	$\sum p_{\rm T}/p_{\rm T}^{\mu} < 0.04$
Calorimeter-based isolation cone size	$\Delta R < 0.2$	$\Delta R < 0.2$
Calorimeter-based isolation requirement	$\sum E_{\rm T}/p_{\rm T}^e < 0.06$	$\sum E_{\rm T}/p_{\rm T}^{\mu} < 0.15$

Table 6.2: Truth-based isolation requirements for electrons and muons.

The truth-based isolation requirement reduced the top background by over 99% and reduced the contribution of top events to the total background from 83% to 2%. Additional details on the truth-based isolation studies are presented in Appendix A.

#### 6.4 Object and event selection

#### 608 6.4.1 Object selection

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Electrons and muons are preselected to have  $p_T > 7$  and 6 GeV, respectively, and  $|\eta| \leq 4.0$ . The 609 likelihood of a given lepton to pass the trigger or identification requirements is estimated by estimat-610 ing an efficiency dependent on the  $p_{\rm T}$  and  $\eta$  of the lepton. The leptons are also required to pass the 611 isolation criteria detailed in Table 6.2. Jets that have been tagged as a fake electron by the functions 612 described earlier in Section 6.3 are treated as electrons for the purpose of the object selection and are 613 subject to the same criteria. In order to be considered a signal lepton, an additional requirement of 614  $p_{\rm T} > 25$  GeV is applied on top of the preselection. The two highest  $p_{\rm T}$  leptons passing this selection 615 are chosen to be the leading and subleading signal leptons. 616 Jets are clustered using the anti- $k_t$  algorithm [23] from final-state particles within a radius of 617  $\Delta R = 0.4$  (excluding muons and neutrinos). Jets are required to have  $p_T > 30$  GeV and lie within 618  $|\eta|$  < 4.5, with an additional cut of  $p_{\rm T}$  > 70 GeV for jets above  $|\eta| \geq 3.8$  in order to suppress 619

jets from pileup interactions. Jets overlapping with a preselected electron within  $\Delta R_{e,j} < 0.05$  are removed in order to prevent double counting. The two highest  $p_{\rm T}$  jets are defined as the leading and subleading tag~jets.

#### 623 6.4.2 Event selection

The default event selection is summarized in Table 6.3 and described here. Exactly two signal leptons 624 are required with the same electric charge and separated from each other by 0.3 in  $\Delta R$ . In order to 625 suppress contributions from Drell-Yan backgrounds, the two signal leptons must have an invariant 626 mass  $m_{ll}$  greater than 20 GeV. Additionally, if both signal leptons are electrons, their mass must 627 be at least 10 GeV from the Z-boson mass in order to reduce background from Z-boson decays<sup>4</sup>. 628 The event is required to have at least 40 GeV of missing transverse energy  $(E_{\rm T}^{\rm miss})$  to account for 629 the two neutrinos from the W decays. Events with additional preselected leptons are vetoed, which 630 greatly reduces WZ and ZZ backgrounds. Both tag jets are required to not overlap with the signal 631 leptons, and there is a veto on events with one or more b-jets. In order to preferentially select 632 VBS production, the tag jets are also required to have a large separation between them and a large 633 invariant mass. Finally, a cut on the lepton centrality,  $\zeta$ , defined in Equation 6.1 enhances the EWK 634  $W^{\pm}W^{\pm}jj$  signal. 635

$$\zeta = \min[\min(\eta_{\ell 1}, \eta_{\ell 2}) - \min(\eta_{i1}, \eta_{i2}), \max(\eta_{i1}, \eta_{i2}) - \max(\eta_{\ell 1}, \eta_{\ell 2})]$$
(6.1)

#### 636 6.5 Selection optimization

As mentioned earlier, the HL-LHC will feature forward tracking, an increase in center of mass energy, and a higher integrated luminosity. Therefore, this study is an excellent time to see if there are new optimizations to the signal event selection that can improve the signal to background ratio.

#### 640 6.5.1 Random grid search algorithm

The chosen method for optimizing the event selection is a cut-based algorithm known as the Random Grid Search (RGS) [39]. Consider a simple case of two variables x and y chosen to differentiate the signal from the background. In order to be considered a signal event, a given event would be required to pass a *cut point*  $c = \{x > x_c, y > y_c\}$ . A simple method to choose the optimal cut point (i.e. the

<sup>&</sup>lt;sup>4</sup>The electron charge mis-ID rate is high enough that contributions from  $Z \to ee$  backgrounds are non-negligible.

Selection requirement	Selection value
Lepton kinematics	$p_{\mathrm{T}} > 25 \; \mathrm{GeV}$
Eopton milomatics	$ \eta  \le 4.0$
Jet kinematics	$p_{\rm T} > 30  {\rm GeV for}   \eta  \le 4.5$
	$p_{\rm T} > 70 {\rm GeV} {\rm for}   \eta  > 3.8$
Dilepton charge	Exactly two signal leptons with same charge
Dilepton separation	$\Delta R_{l,l} \ge 0.3$
Dilepton mass	$m_{ll} > 20 \text{ GeV}$
Z boson veto	$ m_{ee} - m_Z  > 10 \text{ GeV } (ee\text{-channel only})$
$E_{ m T}^{ m miss}$	$E_{\rm T}^{\rm miss} > 40~{ m GeV}$
Jet selection	At least two jets with $\Delta R_{l,j} > 0.3$
b jet veto	$N_{\text{b-jet}} = 0$
Dijet separation	$\Delta \eta_{jj} > 2.5$
Trilepton veto	No additional preselected leptons
Dijet mass	$m_{jj} > 500 \text{ GeV}$
Lepton-jet centrality	$\zeta > 0$

Table 6.3: Summary of the signal event selection.

"best" values of the cuts  $x_c$  and  $y_c$ ) would be to construct an  $n \times m$  rectangular grid in x and y consisting of points  $(x_0, y_0), (x_1, y_1), ..., (x_n, y_m)$ , as in Figure 6.3. One can then choose a cut point  $c_k = \{x > x_i, y > y_j\}$  that maximizes the signal significance as measured by a chosen metric. This would be considered a regular or rectangular grid search.

While effective in principle, this rectangular grid search comes with two major drawbacks:

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- 1. The algorithm does not scale well as the number of variables to be optimized—the dimensionality of the grid—increases. In the case of a square grid with N bins per variable v, the number of cut points to be evaluated grows as  $N^v$ .
- 2. Signal and background samples are rarely evenly distributed over the entire grid, resulting in many cut points being sub-optimal and evaluating them would be a waste of computing resources.

To combat these limitations, the RGS algorithm constructs a grid of cut points directly from the signal sample itself. In the two-dimensional example, this means that the variables  $x_i$  and  $y_j$ making up the cut point  $c_k = \{x > x_i, y > y_j\}$  take their values directly from a given signal event. This has the benefit of creating a random grid of cut points that is by construction biased towards regions of high signal concentration. This reduces the need for exponentially increasing numbers of cut points while ensuring that computing resources are not wasted in regions with few to no signal events. An example of the the two-dimensional random grid is shown in Figure 6.4.

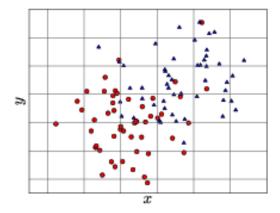


Figure 6.3: A visual representation of a rectangular grid search algorithm. The signal events are the blue triangles, and the red circles are the background events. TODO: replace with own figure

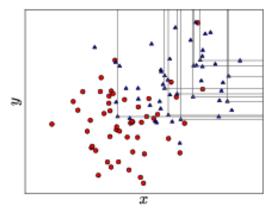


Figure 6.4: A visual representation of a random grid search algorithm. The signal events are the blue triangles, and the red circles are the background events. TODO: replace with own figure

Once the random grid of cut points is constructed, the optimal cut point can be chosen using whatever metric the analyzer chooses, such as signal to background ratio. For the purpose of the  $W^{\pm}W^{\pm}jj$  upgrade study, the optimal cut point is the one that mazimizes the signal significance Z defined as in Equation 6.2 [40].

$$Z = \sqrt{2\left[(s+b)\ln\left(\frac{s+b}{b_0}\right) + b_0 - s - b\right] + \frac{(b-b_0)^2}{\sigma_b^2}}$$
 (6.2)

where s and b are the number of signal and background events, respectively,  $\sigma_b$  is the total uncertainty on the background, and  $b_0$  is defined as:

$$b_0 = \frac{1}{2} \left( b - \sigma_b^2 + \sqrt{(b - \sigma_b^2)^2 + 4(s + b)\sigma_b^2} \right)$$
(6.3)

In the case where the backround is known precisely (i.e.  $\sigma_b = 0$ ), Equation 6.2 simplifies to

$$Z = \sqrt{2\left(b\left[(1+s/b)\ln(1+s/b) - s/b\right]\right)}$$
 (6.4)

which further reduces to the familiar  $Z = s/\sqrt{b}$  for the case when s << b.

### 6.5.2 Inputs to the optimization

In order to train the RGS, signal and background samples were prepared from events passing the event selection outlined in Table 6.3 up through the b-jet veto. The signal sample was chosen to be the longitudinally polarized  $W^{\pm}W^{\pm}jj$  EWK events, and the transverse and mixed polarizations were treated as background along with  $W^{\pm}W^{\pm}jj$  events from QCD interactions and the traditional backgrounds listed in Section 6.3. Splitting the inclusive  $W^{\pm}W^{\pm}jj$  EWK events by polarization allows the optimization to favor the longitunally polarized events as much as possible, even though they both contribute to the EWK signal.

- The following variables were chosen for optimization:
- Leading lepton  $p_{\rm T}$

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- Dilepton invariant mass  $(m_{ll})$
- Leading and subleading jet  $p_{\mathrm{T}}$
- Dijet invariant mass  $(m_{ij})$
- Lepton-jet centrality  $(\zeta)$

Subleading lepton  $p_T$  was omitted as it is desirable to keep the cut value as low as possible due to 685 its sensitivity to the longitudinal polarization (as discussed in Section 6.1.1). Additionally, the dijet 686 separation  $\Delta \eta_{jj}$  was included in the optimization originally, however it was dropped from the list due 687 to the cut value being motivated by differences between EWK and QCD produced  $W^{\pm}W^{\pm}jj$  events. 688 689

Two additional constraints were imposed when selecting the optimal cut point:

- 1. At least 1000 signal events must survive in order to prevent the optimization from being too 690 aggressive and unnecssarily reducing signal statistics. 691
  - 2. The dijet invariant mass may only vary within a 50 GeV range of the default value (from 450-550 GeV) due to the cut being physically motivated by the VBS event topology (TODO: reference where this is discussed in the 13 TeV section ).

Lastly, the decision was made to use calculate the signal significance without taking into account 695 the uncertainty of the background using Equation 6.4. This was due to the fact that the statistical 696 uncertainties of the fake electron and charge-misID backgrounds were quite large, and if Equation 6.2 697 were used instead, the optimization would cut unreasonably hard against these backgrounds. Since 698 Monte Carlo statistics is not expected to be a limiting factor when this analysis is performed at the 699 HL-LHC, it is more realistic to simply ignore these large statistical uncertainties for the purpose of 700 the selection optimization. 701

#### Results of the optimization 6.5.3702

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Ultimately, the random grid was constructed from over 38,000 LL-polarized  $W^{\pm}W^{\pm}jj$  events in 703 the variables listed above. After applying the constraints, an optimal cut point was chosen which 704 reduced the total background from 9900 to 2310 while reducing the signal from 3489 to 2958. This 705 corresponds to an increase in signal significance from Z=33.26 to Z=52.63 as calculated by 706 Equation 6.4. The updates to the event selection are listed in Table 6.4. 707

The large reduction in the background is primarily a result of the increase in the leading and subleading jet  $p_T$  from 30 GeV to 90 GeV and 45 GeV, respectively. As can be seen in Figure 6.7, this increase removes a significant portion of the backgrounds from jets faking electrons and charge mis-ID. Additionally, the loosening of the lepton-jet centrality cut  $\zeta$  allows more signal events to survive the event selection (see Figure 6.9). Other changes to the event selection are minor and do not individually have a large impact on the signal or background yields.

The full event yields after optimization as well as the cross section measurement are detailed alongside those using the default selection in Section 6.6.

TODO: It's a bit awkward to reference the results of the default/optimized before they're properly presented. Maybe move the sections around? not sure...

Selection requirement	Selection value		
Lepton kinematics	$p_{\rm T} > 28$ GeV (leading lepton only)		
Jet kinematics	$p_{\rm T} > 90 \; {\rm GeV} \; ({\rm leading \; jet})$ $p_{\rm T} > 45 \; {\rm GeV} \; ({\rm subleading \; jet})$		
Dilepton mass	$m_{ll} > 28 \text{ GeV}$		
Dijet mass	$m_{jj} > 520 \text{ GeV}$		
Lepton-jet centrality	$\zeta > -0.5$		

Table 6.4: Updates to the  $W^{\pm}W^{\pm}jj$  event selection criteria after optimization. Cuts not listed remain unchanged from the default selection in Table 6.3.

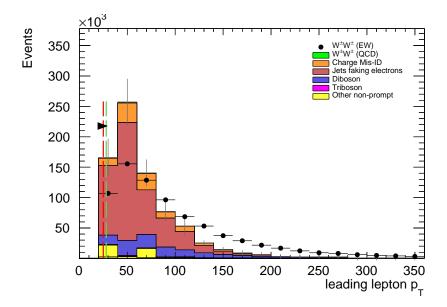


Figure 6.5: Leading lepton  $p_{\rm T}$  distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The  $W^\pm W^\pm jj$  EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram). TODO: Move to appendix or omit

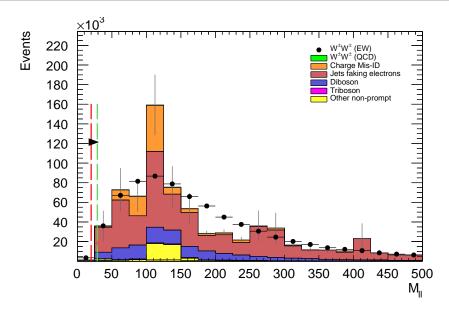


Figure 6.6: Dilepton invariant mass distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The  $W^{\pm}W^{\pm}jj$  EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram). TODO: Move to appendix or omit

#### 718 6.6 Results

#### 719 6.6.1 Event yields

After applying the full event selection, the analysis is broken down into four channels based off of the flavor of the signal leptons:  $\mu\mu$ , ee,  $\mu e$ , and  $e\mu$ . The full signal and background event yields are shown in Table 6.5 for each channel separately and combined using the default event selection. 3489 EWK  $W^{\pm}W^{\pm}jj$  events are expected compared to 9900 background events. The dominant sources of background are jets faking electrons followed by charge misidentification and diboson processes. Triboson events, QCD  $W^{\pm}W^{\pm}jj$ , and other non-prompt sources make up approximately 5% of the total background combined.

The event yields for the optimized selection detailed in Section 6.5.3 are listed in Table 6.6. After optimization, 2958 signal events and just 2310 background events are expected. Diboson events now are the primary source of background, as the optimization greatly reduces the fake and charge misidentification backgrounds. As discussed earlier, the increase in the leading and subleading jet  $p_{\rm T}$  cuts as well as the loosening of the centrality cut are most responsible for the changes in the signal and background yields; distributions of these quantities using the default and the optimized

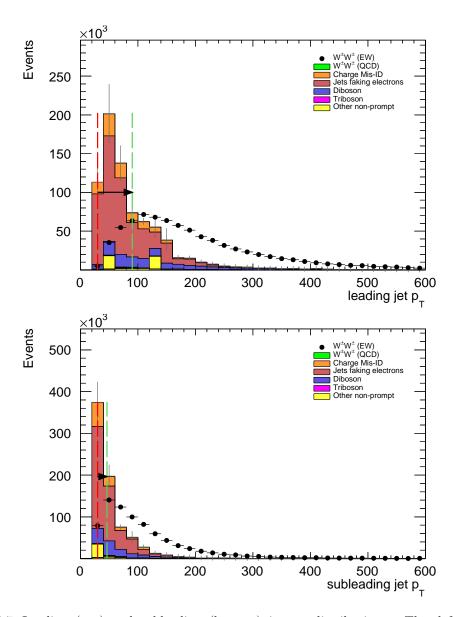


Figure 6.7: Leading (top) and subleading (bottom) jet  $p_{\rm T}$  distributions. The default and optimized cuts are represented by the red and green dashed lines, respectively. The  $W^\pm W^\pm jj$  EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram).

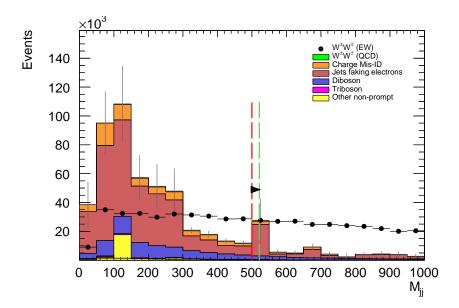


Figure 6.8: Dijet invariant mass distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The  $W^{\pm}W^{\pm}jj$  EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram). TODO:

Move to appendix or omit

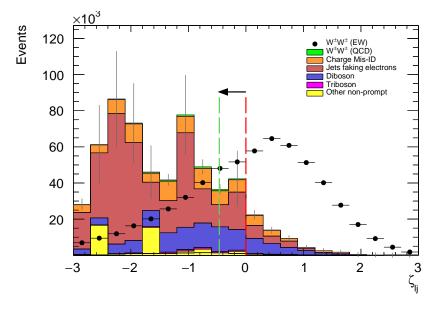


Figure 6.9: Lepton-jet centrality distribution. The default and optimized cuts are represented by the red and green dashed lines, respectively. The  $W^{\pm}W^{\pm}jj$  EWK signal (black points) is normalized to the same area as the sum of the backgrounds (colored histogram).

	All channels	$\mu\mu$	ee	$\mu e$	$e\mu$
$W^{\pm}W^{\pm}jj$ (QCD)	206.4	91.1	22.8	38.4	54.1
Charge Misidentification	2300	0.0	2100	90	160
Jets faking electrons	5000	0.0	3400	1200	340
WZ + ZZ	2040	500	438	423	680
Tribosons	115	47	15.4	21.6	31.2
Other non-prompt	210	110	20	60	27
Total Background	9900	750	6000	1900	1290
Signal $W^{\pm}W^{\pm}jj$ (EWK)	3489	1435	432	679	944

Table 6.5: Signal and background event yields using the default event selection for an integrated luminosity of  $\mathcal{L} = 3000 \text{ fb}^{-1}$ . Events containing a fake or charge-flipped electron are removed from their respective sources and combined into a single entry each.

event selections can be found in Figures 6.10, 6.11, and 6.12, respectively.

	All channels	$\mu\mu$	ee	$\mu e$	$e\mu$
$W^{\pm}W^{\pm}jj$ (QCD)	168.7	74.6	19.7	32.2	42.2
Charge Misidentification	200	0.0	11	30	160
Jets faking electrons	460	0.0	130	260	70
WZ + ZZ	1286	322	289	271	404
Tribosons	76	30.1	9.6	15.1	21.6
Other non-prompt	120	29	16.6	50	19
Total Background	2310	455	480	660	710
Signal $W^{\pm}W^{\pm}jj$ (EWK)	2958	1228	380	589	761

Table 6.6: Signal and background event yields using the optimized event selection for an integrated luminosity of  $\mathcal{L}=3000~{\rm fb}^{-1}$ . Events containing a fake or charge-flipped electron are removed from their respective sources and combined into a single entry each.

It is important to note, however, that the MC sample used to estimate Z+jets events suffers from poor statistics which results in large per-event weights once scaled to  $\mathcal{L}=3000~\mathrm{fb}^{-1}$ . This sample contributes heavily to the fake and charge misidentification backgrounds, and a handful of these events being cut out by the optimization contributes has a large effect on the dramatic reduction of these backgrounds. As a result, these particular optimized results are likely overly optimistic. However, given proper MC statistics, it is still expected that the optimization will outperform the default selection.

#### 6.6.2 Uncertainties

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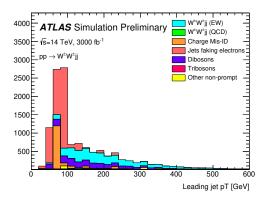
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TODO: Ask for details on how some of these uncertainties were calculated – specifically the fakes and charge mis-ID The uncertainties considered for the analysis are summarized in Table 6.7. Values for experimental systematics on the trigger efficiency, lepton and jet reconstruction, and flavor tagging



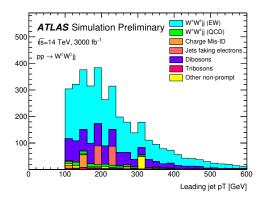
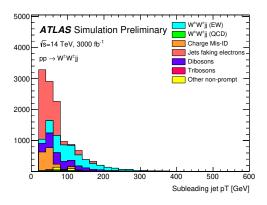


Figure 6.10:  $p_{\rm T}$  distributions for the leading jet using the default (left) and optimized (right) event selections for all channels combined.



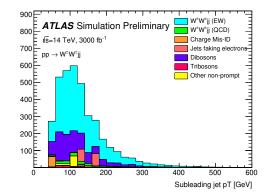
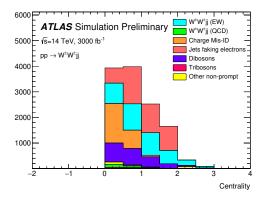


Figure 6.11:  $p_{\rm T}$  distributions for the subleading jet using the default (left) and optimized (right) event selections for all channels combined.



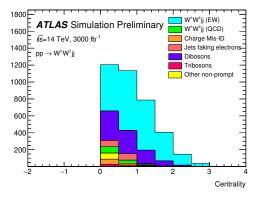


Figure 6.12:  $p_{\rm T}$  distributions for lepton-jet centrality  $\zeta$  using the default (left) and optimized (right) event selections for all channels combined.

are taken directly from the 13 TeV analysis TODO: (cite or reference?). The rate uncertainties for the background processes are halved from the 13 TeV values.

Source	Uncertainty (%)
$W^{\pm}W^{\pm}jj$ (EWK)	3
Luminosity	1
Trigger efficiency	0.5
Lepton reconstruction and identification	1.8
Jets	2.3
Flavor tagging	1.8
Jets faking electrons	20
Charge misidentification	25
$W^{\pm}W^{\pm}jj$ (QCD)	20
Top	15
Diboson	10
Triboson	15

Table 6.7: Summary of estimated experimental and rate uncertainties.

#### 47 6.6.3 Cross section measurement

The cross section is calculated using the same method as in the 13 TeV analysis, detailed in Chapter 5. TODO: update from chapter reference to subsection reference (once it's written)... Once again, each of the four lepton flavor channels is further split by charge (i.e.  $\mu\mu \to \mu^+\mu^+ + \mu^-\mu^-$ ), as this increases the sensitivity of the analysis. Each channel's  $m_{jj}$  distribution is combined in a profile likelihood fit to extract the EWK  $W^{\pm}W^{\pm}jj$  production cross section. The expected cross section calculated using the default event selection is:

$$\sigma_{W^{\pm}W^{\pm}jj}^{\text{expected}} = 16.89 \pm 0.36 \text{ (stat)} \pm 0.53 \text{ (theory)} \pm 0.84 \text{ (syst) fb}$$
 (6.5)

The expected cross section calculated using the optimized event selection is:

$$\sigma_{W^{\pm}W^{\pm}jj}^{\text{expected}} = 16.94 \pm 0.36 \text{ (stat)} \pm 0.53 \text{ (theory)} \pm 0.78 \text{ (syst) fb}$$
 (6.6)

The optimized selection should not change the measured value of the cross section, and indeed both are consistent with within uncertainties. The systematic uncertainty is reduced by approximately 7% with the optimized selection. Projections of the total uncertainty on the cross section as a function of integrated luminosity made by TODO: how was this made? is shown in Figure 6.13.

#### 59 6.6.4 Longitudinal scattering significance

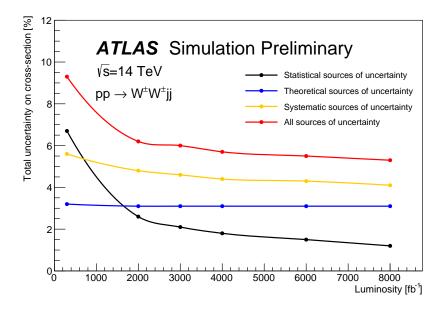


Figure 6.13: Projections of the statistical (black), theoretical (blue), systematic (yellow), and total (red) uncertainties on the measured cross section as a function of integrated luminosity using the optimized event selection.

TODO: get some details on how this was all done... The longitudinal scattering significance is extracted from the  $|\Delta\phi_{jj}|$  distribution using a simultaneous binned likelihood fit. In order to increase sensitivity, the  $|\Delta\phi_{jj}|$  distribution was split into two bins in  $m_{jj}$ , and an additional cut on the pseudorapidity of the subleading lepton was applied ( $|\eta| < 2.5$ ) to reduce background from fake and charge misidentification. The  $|\Delta\phi_{jj}|$  distributions used in the fit are shown in Figure 6.14. Due to limited statistics, the four lepton flavor channels were not split by charge. The expected significance of the  $W_L^{\pm}W_L^{\pm}jj$  process is  $1.8\sigma$  with a precision of 47% on the measurement. Projections of the expected significance as a function of integrated luminosity is shown in Figure 6.15.

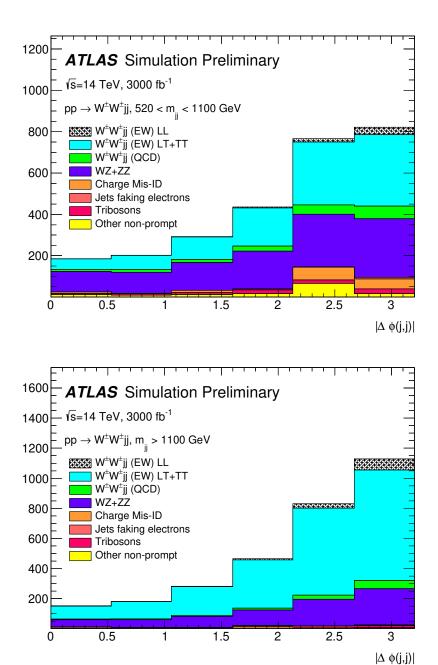


Figure 6.14: Dijet azimuthal separation ( $|\Delta \phi_{jj}|$ ) for the low  $m_{jj}$  region (520 <  $m_{jj}$  < 1100 GeV, top) and the high  $m_{jj}$  region ( $m_{jj} > 1100$  GeV, bottom). The purely longitudinal (LL, gray) is plotted separately from the mixed and transverse (LT+TT, cyan) polarizations.

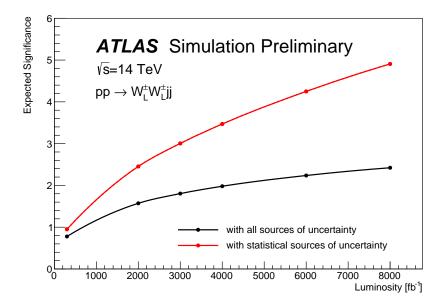


Figure 6.15: Projections of the expected longitudinal scattering significance as a function of integrated luminosity when considering all sources of uncertainties (black) or only statistical uncertainties (red).

## Chapter 7

Conclusion

770 Here's where you wrap it up.

771 Looking Ahead

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 $^{773}$  Here's an example of how to have an "informal subsection".

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### Additional material on truth isolation

yields by type	all channels	$\mu\mu$	ee	$\mu e$	$e\mu$
signal	4011	1583.2	531.7	793.1	1103.1
ww qcd	252.6	105.8	30.4	48	68.4
charge flip	2528.4	0.0	2075.4	255.1	197.8
fakes	7135.4	0.0	4675.1	1904.3	555.9
diboson	2370.4	581.2	491.8	517.9	779.6
triboson	125.5	49.1	17.8	24.6	34.1
top	90150.5	26618	15301.6	25277.9	22953.1
z+jets	241.2	0.0	0.0	0.0	241.2
w+jets	31.4	3.9	7.6	13.2	6.7
total bkg	102803.9	27354	22592	28027.8	24830.1
signal	4011	1583.2	531.7	793.1	1103.1

Table A.1: Event yields prior to applying any form of truth-based isolation criteria.

yields by type	all channels	$\mu\mu$	ee	$\mu e$	$e\mu$
signal	3470.5	1427.3	428.8	675.8	938.7
ww qcd	205.8	90.8	22.7	38.3	54
charge flip	2398.3	0.0	2104.6	95.8	197.9
fakes	4309.7	0.0	3390.6	750.8	168.3
diboson	1552.4	311.3	355.6	346.8	538.7
triboson	115	46.8	15.4	21.6	31.2
top	156.9	42.3	14.8	76.6	23.3
z+jets	0.0	0.0	0.0	0.0	0.0
w+jets	0.3	0.0	0.0	0.3	0.0
total bkg	8738.1	491.3	5903.7	1329.8	1013.4
signal	3470.5	1427.3	428.8	675.8	938.7

Table A.2: Event yields after applying a test version of the truth-based isolation.

TODO: Add tables for tight vs loose working point, information on the necessity of TRUTH1++

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