## On Longitudinal Emittance

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#### 1 Overview

The standard formula for an upright ellipse in phase-space  $\Delta \phi \otimes w$  is:

$$\frac{\Delta\phi^2}{\Delta\phi_0^2} + \frac{w^2}{w_0^2} = 1\tag{1}$$

with  $\Delta \phi = \phi - \phi_s$  and  $w \equiv \delta \gamma = \Delta W/mc^2$ .  $\phi_s$  being the synchronous phase,  $mc^2$  the rest energy, W the total energy and  $\gamma$  the Lorentz factor. It has the emittance

$$\epsilon_w = \Delta \phi_0 w_0 \tag{2}$$

and units [rad]. The ellipse intersects the  $\Delta\phi$ -axis at  $\Delta\phi_0$  and the w-axis at  $w_0$ . The intersection with the w-axis determines the  $\beta$ -function by the relation  $\beta_w = \epsilon_w/w_0^2$ . Its units are [rad].

Let's change to new coordinates, for instance the pair of canonical variables  $\Delta z \otimes \Delta p/p$ , as it is used internally in Trace 3D. The transformation from old to new coordinates is:  $|\Delta z| = \kappa |\Delta \phi| = \frac{\beta \lambda}{2\pi} |\Delta \phi|$  and  $\Delta p/p = \tau w = \gamma/(\gamma^2 - 1)w = (\gamma \beta^2)^{-1}w$ . This gives the modified ellipse equation:

$$\frac{\Delta z^2}{\left(\kappa \Delta \phi_0\right)^2} + \frac{(\Delta p/p)^2}{(\tau w_0)^2} = 1 \tag{3}$$

which has the transformed emittance

$$\epsilon_z = \kappa \Delta \phi_0 \tau w_0 = \kappa \tau \epsilon_w = \frac{\beta \lambda}{2\pi} \gamma / (\gamma^2 - 1) \epsilon_w = \frac{\lambda}{2\pi \gamma \beta} \epsilon_w,$$
 (4)

with units  $[m \times rad]$ . Again the  $\beta$ -function is given by

$$\beta_z = \epsilon_z / (\Delta p/p)_0^2 = \kappa \tau \epsilon_w / (\tau w_0)^2 = \kappa / \tau \times \beta_w = \frac{\beta \lambda}{2\pi} \frac{\gamma^2 - 1}{\gamma} \beta_w, \tag{5}$$

with units [m].

For the  $\Delta \phi \otimes \Delta W$  phase space, because  $\Delta W = mc^2 w$ , we have  $\kappa = 1$  and  $\tau = mc^2$ . So that

$$\epsilon_W = mc^2 \epsilon_w \quad [rad \times eV] \tag{6}$$

$$\beta_W = 1/mc^2 \beta_w \quad [rad/eV] \tag{7}$$

Finally for the  $\Delta z \otimes \Delta W$  phase space we get the emittance

$$\epsilon_{zW} = \frac{\beta \lambda}{2\pi} mc^2 \epsilon_w \quad [m \times eV] \tag{8}$$

$$\beta_{zW} = \frac{\beta \lambda}{2\pi} \frac{1}{mc^2} \beta_w \quad [m/eV] \tag{9}$$

The ESS conceptual design report uses the  $z \otimes z'$  phase space, i.e. the emittance  $\epsilon_{zz'}$ . Since  $\delta \gamma = w = \beta^2 \gamma^3 \delta \beta / \beta = \beta^2 \gamma^3 z'$  and  $\Delta \phi = \frac{2\pi}{\beta \lambda} z$  we have:

$$\epsilon_{zz'} = \frac{\lambda}{2\pi\beta\gamma^3}\epsilon_w \tag{10}$$

with units  $[m \times rad]$ .

Instead of longitudinal position some people use arrival time. For the  $\Delta t \otimes \Delta W$  phase space we use  $|\Delta t| = (\beta c)^{-1} |\Delta z| = (\beta c)^{-1} \frac{\beta \lambda}{2\pi} |\Delta \phi|$  and get

$$\epsilon_{tW} = \frac{\lambda}{2\pi c} mc^2 \epsilon_w \quad [sec \times eV] \tag{11}$$

### 2 Full Treatment

The ellipse in normal form:

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1\tag{12}$$

defines the emittance  $\epsilon$  as:

$$\epsilon = a * b \tag{13}$$

Changing scales of x, y coordinates:  $x = \kappa x'$  and  $y = \tau y'$  and inserting into normal form:

$$\frac{x'^2}{(a/\kappa)^2} + \frac{y'^2}{(b/\tau)^2} = 1 \tag{14}$$

gives scaled emittance  $\epsilon'$ 

$$\epsilon' = \frac{a}{\kappa} * \frac{b}{\tau} = \frac{\epsilon}{\kappa \tau} \tag{15}$$

Let  $\beta_x = x_0^2/\epsilon$  then  $\beta_x = (\kappa x')^2/(\kappa \tau \epsilon') = \kappa/\tau \beta_x'$ , we get

$$\beta_x' = \frac{\tau}{\kappa} \beta_x \tag{16}$$

In phase space  $\Delta \phi$ , z and  $\Delta t$  are usually used as abscissa and w,  $\Delta W$ ,  $\Delta p/p$  and z' as ordinates. We use  $\kappa$  to connect abscissa and  $\tau$  to connect different ordinates. Six different combinations of abscissa can be made and 11 combinations for ordinates. Their corresponding  $\kappa$ - and  $\tau$ -values are assembled in the following tables.

Table of  $\kappa$ -values:

wanted $\downarrow$ in terms of $\rightarrow$	$\Delta \phi \text{ [rad]}$	z [m]	$\Delta t [{ m sec}]$
$\Delta \phi \text{ [rad]}$	1	$2\pi/\lambda$	$2\pi\beta c/\beta\lambda$
z [m]	$\beta \lambda/2\pi$	1	eta c
$\Delta t \text{ [sec]}$	$\beta \lambda/(2\pi \beta c)$	$1/\beta c$	1

Table of  $\tau$ -values:

Table of /-values.				
wanted $\downarrow$ in terms of $\rightarrow$	$\delta \gamma = w$	$\Delta W [eV]$	$\Delta p/p$	z' [rad]
$\delta \gamma = w$	1	$1/(m_0c^2) [eV^{-1}]$	$\gamma \beta^2$	$\gamma(\gamma\beta)^2$
$\Delta W [eV]$	$m_0c^2$	1	$W(1-1/\gamma)$	$W\gamma(\gamma+1)$
$\Delta p/p$	$(\gamma \beta^2)^{-1}$	$(W(1-1/\gamma))^{-1}$	1	$\gamma^2$
z' [rad]	$\gamma^{-1}(\gamma\beta)^{-2}$	$(W\gamma(\gamma+1))^{-1}$	$\gamma^{-2}$	1
with $W = m_0 c^2 (\gamma - 1)$				

Example: Phase space  $[z \otimes \Delta W]$  in terms of  $[\Delta \phi \otimes \delta \gamma]$ .  $\kappa = \beta \lambda / 2\pi$ .  $\tau = m_0 c^2$ .

$$\epsilon_{zW} = \kappa \tau \epsilon_w = (\beta \lambda / 2\pi) m_0 c^2 \epsilon_w.$$
 (17)

$$\beta_{zW} = \frac{\kappa}{\tau} \epsilon_w = \frac{\beta \lambda / 2\pi}{m_0 c^2} \epsilon_w. \tag{18}$$

More interesting details about emittance definitions, normalized and unnormalized, and their units can be found in the UserManual of the *TraceWin* program.

#### 3 Twiss Parameter Values

To simplify we assume the twiss parameter  $\alpha = 0$ . The twiss parameter  $\gamma$  then reduces to  $1/\beta$  and only two free parameters  $\epsilon$  and  $\beta$  remain to describe the ellipse in phase space completely.

For the longitudinal dynamics in the passage of an rf-gap the intersection  $w_0$  on the w-axis is given by

$$w_0 = \frac{\Delta W}{mc^2} = \sqrt{qE_0 T \beta_s^3 \gamma_s^3 \lambda sin(-\phi_s) \Delta \phi_0^2 / 2\pi mc^2}$$
 (19)

$$= \Delta \phi_0 \sqrt{qE_0 T \beta_s^3 \gamma_s^3 \lambda_s in(-\phi_s)/2\pi mc^2}$$
 (20)

If  $w_0$  is given  $\Delta \phi_0$  follows from (20) and vice versa. Putting  $w_0 = \epsilon_w/\Delta \phi_0$  into (20) we get

$$\Delta\phi_0 = \sqrt{\epsilon_w/\sqrt{qE_0T\beta_s^3\gamma_s^3\lambda sin(-\phi_s)/2\pi mc^2}}$$
 (21)

and from (21) we get finally

$$\gamma_0 = \epsilon_w / \Delta \phi_0^2 = \sqrt{q E_0 T \beta_s^3 \gamma_s^3 \lambda sin(-\phi_s) / 2\pi mc^2}$$
 (22)

and

$$\beta_0 = 1/\gamma_0 \tag{23}$$

NOTE: the two twiss parameters  $\gamma_0$  and  $\beta_0$  are completely defined by the emittance  $\epsilon_w$  and the cavity field  $E_0$ , rf-phase  $\phi_s$ , rf-wavelength  $\lambda$  and particle impuls  $\sim \gamma \beta$ .

# 4 Appendix

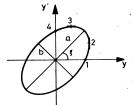
## 4.1 SIMULAC Variables

Table 1:	variable names
$b = \mathrm{Dphi}$	$\Delta \phi_0 = \mathrm{Dphi0}$

$\epsilon_w = \text{emitw}$	$\Delta \phi = \mathrm{Dphi}$	$\Delta \phi_0 = \mathrm{Dphi}0$	w = w	$w_0 = w_0$
$\epsilon_W = \mathrm{emitW}$	$\Delta z = z$	$\Delta W = \mathrm{DW}$	$\Delta p/p = \mathrm{Dp2p}$	$\Delta p/p_0 = \mathrm{Dp2p0}$
$\epsilon_z = \text{emitz}$	$\beta_z = \text{betaz}$	$\gamma_z = \text{gammaz}$	$\alpha_z = \text{alphaz}$	$\lambda = lamb$
$Ez_{avg} = EzAvg$	$Ez_{peak} = EzPeak$	$\phi_+={\tt phi\_1}$	$\phi={ t phi_2}$	$\psi = psi$
$\gamma = \text{gamma}$	$\gamma\beta = gb$	$\beta = \text{beta}$	$E_0T = E0T$	$mc^3 = m0c3$
$mc^2 = m0c2$	$\epsilon_{xi} = { t emitx\_i}$	$\epsilon_{yi} =  exttt{emity\_i}$	$\epsilon_{zi} = { t emitz\_i}$	$eta_{xi} = \mathtt{betax\_i}$
$eta_{yi} = \mathtt{betay\_i}$	$lpha_{xi} = { t alfax_i}$	$lpha_{yi} = { t alfay_i}$	$\gamma_{xi} = { t gamax\_i}$	$\gamma_{yi} = { t gamay\_i}$
$\omega = \text{omg}$	$\phi = \text{phi}$	$\phi_s = \text{phis}$		

## 4.2 Relations Between Ellipse and Twiss Parameters

5.4 Geometrical properties of the ellipse



$\begin{array}{c ccccccccccccccccccccccccccccccccccc$				_
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		α, β, γ, ε	• -	L, S, €
$\begin{array}{cccccccccccccccccccccccccccccccccccc$			· ·	$H = \frac{1}{2L} (L^2 + S^2 + 1)$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	У1	√ε/ <sub>Υ</sub>	$\epsilon/\sqrt{c_3^2+c_4^2}$	$\sqrt{\epsilon L}$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	У2	<i>√€</i> β	$\sqrt{c_1^2 + c_2^2}$	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	y ś	- α√ <del>ε</del> /β	$(c_1c_3 + c_2c_4)/\sqrt{c_1^2 + c_2^2}$	$S\sqrt{\varepsilon/L}/\sqrt{S^2 + L^2}$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	У3	- α√ε/ <sub>Υ</sub>	$(c_1c_3 + c_2c_4)/\sqrt{c_3^2 + c_4^2}$	S√€/L
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	y <b>ś</b>	$\sqrt{e_Y}$	$\sqrt{c_3^2 + c_4^2}$	
$ \frac{1}{2\varepsilon/(\sqrt{H}+1+\sqrt{H}-1)} = \sqrt{\varepsilon/2} \left(\sqrt{H}+1-\sqrt{H}-1\right) $ $ \frac{1}{4\sqrt{H^2-1}} $ $ \frac{1}{4\sqrt{H^2-1}} = \frac{1}{4\sqrt{H^2-1}} \left[ \frac{1}{2\varepsilon} + \frac{1}{$	у4	√ <u>ε/β</u>	$\epsilon/\sqrt{c_1^2 + c_2^2}$	$\sqrt{\varepsilon L}/\sqrt{S^2 + L^2}$
	a		$\sqrt{\epsilon/2} \left( \sqrt{H+1} + \sqrt{H-1} \right)$	
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	b	√2€/(√H -	$\frac{1}{1} + \sqrt{H-1} = \sqrt{\varepsilon/2} \left(\sqrt{H+1} - \sqrt{H-1}\right)$	
$\sin 2\xi$ $-a/\sqrt{h^2-1}$ $(c_1c_3+c_2c_4)/e\sqrt{h^2-1}$ $S/L\sqrt{h^2-1}$	a/b > 1		$II + \sqrt{II^2 - 1}$	
	tan ç	$[-\alpha(H + \sqrt{H^2 - 1})]/[\beta(H + \sqrt{H^2 - 1}) - 1]$	$[c_2 + c_3(H + \sqrt{H^2 - 1})]/[c_1(H + \sqrt{H^2 - 1}) - c_4]$	$S/[L(H + \sqrt{H^2 - 1}) - 1]$
$\sqrt{2}$ 2 2 $\sqrt{2} + \sqrt{2}$ 4 $\sqrt{2}$ 6 4 $\sqrt{2}$ 6 4 $\sqrt{2}$ 6 4 4 $\sqrt{2}$ 7 7 7 8 4 $\sqrt{2}$ 7 7 8 4 $\sqrt{2}$ 7 7 8 4 $\sqrt{2}$ 8 4	sin 2¢	$-\alpha/\sqrt{1i^2-1}$	$(c_1c_3 + c_2c_4)/\epsilon \sqrt{ll^2 - 1}$	S/L√H <sup>2</sup> - 1
$\begin{vmatrix} \cos 2\xi \end{vmatrix} = \frac{(\beta - \gamma)/2\sqrt{11^2 - 1}}{(c_1^2 + c_2^2 - c_3^2)/2E\sqrt{11^2 - 1}} = \frac{(L^2 + S - 1)/2E\sqrt{11^2 - 1}}{(L^2 + S - 1)/2E\sqrt{11^2 - 1}}$	cos 2ç	$(\beta - \gamma)/2\sqrt{11^2 - 1}$	$(c_1^2 + c_2^2 - c_3^2 - c_4^2)/2\epsilon\sqrt{ll^2 - 1}$	$(L^2 + S^2 - 1)/2L\sqrt{H^2 - 1}$
$\tan 2\hat{\varsigma} \qquad -2\alpha/(\beta-\gamma) \qquad \qquad 2(c_1c_3+c_2c_4)/(c_1^2+c_2^2-c_3^2-c_4^2) \qquad 2S/(L^2+S^2-1)$	tan 2¢	- 2α/(β - Υ)	$2(c_1c_3 + c_2c_4)/(c_1^2 + c_2^2 - c_3^2 - c_4^2)$	$2S/(L^2 + S^2 - 1)$