

Legal Activities

Section Titles		Page
LT	Litigation	1
	LT10 Case Assessment, Development and Administration	1
	LT15 Pre-Trial Pleadings and Motions	1
	LT20 Discovery	2
	LT25 eDiscovery	2
	LT30 Trial Preparation and Trial	3
	LT35 Appeal	3
	LT40 Exemplar and Exhibit Disposition	3
PR	Project (Transaction/M&A)	4
	PR10 Deal Management	4
	PR15 Preliminary Matters	4
	PR20 Initial Document Preparation	4
	PR25 Due Diligence and Disclosure Schedules	4
	PR30 Negotiation/Revision/Response	4
	PR35 Financing	4
	PR40 Regulatory and Specialty Matters	4
	PR45 Shareholder/Board Matters	5
	PR50 Closing Matters	5
	PR55 Integration Matters (M&A)	5
	PR60 Post-Closing Requirements, Disputes & Adjustments	5
	PR65 Maintenance and Renewal	5
PT	Patent	5
	PT10 Assessment, Development, and Administration	5
	PT15 Patent Investigation and Analysis	5
	PT20 Domestic Patent Preparation	6
	PT25 Domestic Patent Prosecution	7
	PT30 International Patent Preparation	7
	PT35 International Patent Prosecution	8
	PT40 Other Patent-Related Tasks	9
TM	Trademark	9
	TM10 Assessment, Development, and Administration	9
	TM15 Trademark Investigation and Analysis	9
	TM20 Domestic Trademark Application Preparation	10
	TM25 Domestic Trademark Prosecution and Renewal	10
	TM30 International Trademark Application Preparation and Renewals	11
	TM35 International Trademark Prosecution and Renewal	11
	TM40 Other Trademark Related Tasks	12

Section Titles		Page
WC	Workers' Compensation	12
	WC10 Initial Assessment	12
	WC15 Pleadings/Preliminary Proceedings	12
	WC20 Discovery/Document Production	13
	WC25 Hearings/Trial Practice	13
	WC30 Appellate Practice	13
BK	Bankruptcy	14
	BK10 Administration	14
	BK15 Business Operations	14
	BK20 Claims Administration and Plan Disclosure	14
	BK25 Related Advice	15
CN	Counseling	15
	CN10 Fact Gathering	15
	CN15 Research Law	15
	CN20 Analysis and Advice	15
	CN25 Third Party Communications	15
LB	Lobbying (Government Relations)	15
	LB10 Lobbying Administration	15
	LB15 Lobbying Strategy	15
	LB20 Lobbying	15
	LB25 Lobbying Research	15

S_Code	Substantive Area	Description/Definition	
C_Code	Category		
P_Code	Process		
LT	<u>Litigation</u>	All contested matters including judicial litigation, binding arbitration and regulatory/administrative proceedings. This category excludes Workers' Compensation cases as there is a specific section for those activities.	
LT10	<i>Case Assessment, Development and Administration</i>	Understanding the facts of matter, developing strategy, working with experts or consultants, organizing file materials, managing budgets, and participating in settlement activities.	
LT10.10	Fact Investigation/Development	Investigating or understanding the facts of matter. It includes interviewing involved personnel/potential witnesses, reviewing documents, working with investigators, legal research for initial case assessment purposes, and communications for fact investigation.	
LT10.15	Property and Exemplars	Collecting, handling and storing property and exemplars (models or exhibits). It includes lifecycle management, procurement, storage, tracking location, and managing case billing information.	
LT10.20	Analysis/Strategy	Thinking, strategizing, and planning for a case. It includes discussions, writings, and meetings on case strategy, preparing litigation plan, and communicating on case strategy.	
LT10.25	Experts/Consultants	Researching, interviewing, and working with experts and consultants. It includes developing expert and consultant reports and communicating with experts and consultants.	
LT10.30	Document/File Management	File organization and administration for database construction and management.	
LT10.35	Budgeting	Developing and editing correspondence for regarding matter budget.	
LT10.40	Settlement/Non-Binding ADR	Settlement. Includes planning and participating in settlement discussions and conferences, implementing settlement, pursuing mediation, travel to/from mediation and attending mediation. Also includes pre-litigation demand letters and communications regarding settlement, mediation, or alternative dispute resolution (ADR).	
LT15	<i>Pre-Trial Pleadings and Motions</i>	All pleadings and pretrial motions and procedures other than discovery.	
LT15.10	Pleadings	Drafting and editing pleadings. It includes reviewing and answering complaints, counter-claims, and third party complaints, motions to dismiss or strike jurisdictional motions, and research for pleadings.	
LT15.15	Preliminary Injunctions/Provisional Remedies	Developing and discussing strategy for remedies, preparing motions, affidavits, and briefs, reviewing opponent's papers, preparing for, travel to/from and attending court hearing, preparing witnesses for the hearing, effectuating the remedy, and research for preliminary injunctions and provisional remedies.	
LT15.20	Court Mandated Conferences	Preparing for hearing required by court order or procedural rules. It includes travel to/from court ordered conferences and attending hearings required by court order or procedural rules.	
LT15.25	Dispositive Motions	Developing strategy and legal research for dispositive motions and motions for complete or partial summary judgment, preparing affidavits, reviewing opponent's pleadings and defensive motions, preparing for, travel to/from and attending hearing.	
LT15.30	Other Written Motions/Submissions	Developing all motions other than dispositive, pleadings, and discovery, responding to all motions other than dispositive, pleadings, and discovery, arguing all motions other than dispositive, pleadings, and discovery, and research for other written motions and submissions.	
LT15.35	Class Action Certification and Notice	Proceedings unique to class action litigation and derivative suits such as class certification and notice.	
LT20	<i>Discovery</i>	A category of procedural devices employed by a party to a civil or criminal action, prior to trial, to require the adverse party to disclose information that is essential for the preparation of the requesting party's case and that the other party alone knows or possesses.	

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		LT20.10	Written Discovery /Interrogatories
			Developing, responding, or objecting to interrogatories or request to admit and summarizing interrogatories and admissions received.
		LT20.15	Document Production
			Developing, responding, objecting to, and negotiating document request. Includes identifying and reviewing documents for production, identifying and reviewing documents for privilege, preparing and drafting request to produce, preparing and drafting response for request to produce, preparing and drafting notice of non-party production (NNP), preparing and drafting subpoena and authorization, obtaining non-subpoenaed documents (i.e. tax returns, work comp, disability, court files), and reviewing summarizing subpoenaed documents.
		LT20.20	Depositions
			Preparing deposition notices and subpoenas, communicating with opposing and other party's counsel on scheduling and logistics, planning and preparing to take the deposition, discussing deposition strategy, preparing witnesses, reviewing documents for deposition preparation, travel to/from and attending depositions, and drafting deposition summaries.
		LT20.25	Expert Discovery
			Preparing expert discovery notices, communicating with expert on scheduling and logistics, discussing expert strategy, preparation and consultation with expert, reviewing expert documents, records, or films, and drafting expert summaries and independent medical examinations (IMEs).
		LT20.30	Discovery Motions
			Developing and responding to or arguing motions that arise from discovery protective order process.
		LT20.35	Discovery On-Site Inspections
			Attending and travel to/from site inspections.
LT25	eDiscovery		Discovery in litigation or government investigations which deals with the exchange of information in electronic format (often referred to as electronically stored information or ESI). This data is subject to local rules and agreed-upon processes, and are often reviewed for privilege and relevance before being turned over to opposing counsel.
		LT25.10	Identification
			The identification of potentially responsive documents for further analysis and review.
		LT25.15	Preservation
			Identifying potentially relevant documents and placing them in a legal hold. This ensures that data cannot be destroyed. Care is taken to ensure this process is defensible, while the end-goal is to reduce the possibility of data spoliation or destruction.
		LT25.20	Collection
			Collecting and transferring data from a company to their legal counsel, who will determine relevance and disposition of data.
		LT25.25	Processing
			Preparing native files to be loaded into a document review platform. Often, this involves the extraction of text and metadata from the native files.
		LT25.30	Review
			Reviewing documents for responsiveness to discovery requests and for privilege.
		LT25.35	Analysis
			Actions required by litigation teams that ensure ability to make informed decisions about strategy and scope through reliable methods based on verified data.
		LT25.40	Production
			Turning over documents to opposing counsel based on agreed-upon specifications.
		LT25.45	Presentation
			Preparing and displaying ESI before audiences (at depositions, hearings, trials, etc.), especially in native & near-native forms, to elicit further information, validate existing facts or positions, or persuade an audience.

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	LT25.50	EDRM Project Management	Supervising or managing specific activities or actions throughout the EDRM (Electronic Discovery Reference Model) continuum such as conducting meetings and team calls, developing work plans, budgets, forecasts, reports and other meaningful activities or for general project management.
LT30	Trial Preparation and Trial		Preparing for or participation in a judicial examination and determination of facts and legal issues arising between parties to a civil or criminal action.
	LT30.10	Fact Witnesses	Preparing for examination of non-expert witness and preparing for cross-examination of non-expert witness.
	LT30.15	Expert Witnesses	Preparing for examination of expert witness and preparing for cross examination of expert witness.
	LT30.20	Written Motions/Submissions	Developing written motions during prep for trial and trial, preparing for motions in limine, preparing and reviewing jury instructions, responding to written motions during prep for trial and trial, and arguing written motions during prep for trial and trial. Also includes developing and reviewing written pre-trial and trial filings, witness lists, proposed findings of fact, conclusions of law, and trial briefs.
	LT30.25	Trial Preparation and Support	Preparing for trial. It includes preparing opening and closing arguments, establishing off-site support office, identifying documents and evidence for use at trial, preparing demonstrative materials and exhibits, indexing and summarizing deposition abstracts, conducting mock trials and focus groups, jury research, and travel to/from trial preparation.
	LT30.30	Trial and Hearing Attendance	Appearing at trial, trial-related hearings, and court-mandated conferences, travel to/from hearings and conferences, and communication regarding trial progression.
	LT30.35	Post-Trial Motions/Submissions	Developing, responding, or arguing post-verdict matters, researching post-trial motions and submissions, and related travel.
	LT30.40	Enforcement	Enforcing judgments, collecting judgments, and filings for stay pending appeal.
LT35	Appeal		Timely resorts by an unsuccessful party in a lawsuit or administrative proceeding to an appropriate superior court empowered to review a final decision on the ground that it was based upon an erroneous application of law.
	LT35.10	Appellate Proceedings/Motions Practice	Researching appellate issues, preparing and reviewing motions or other filings, drafting response to motions or other filings, arguing motions or other filings, travel to/from and attending motion hearings, preparing notice of appeal, designating appellate record, reviewing responses to appellate petition, preparing responses to appellate petition, and preparing petition appendix.
	LT35.15	Appellate Briefs	Researching and preparing appellate briefs, reviewing opposing party appellate brief, and reviewing record.
	LT35.20	Oral Argument	Preparing for oral argument, travel to/from and arguing an appeal, communicating with client or opposing counsel or co-counsel, and preparing appellate record.
LT40	Exemplar and Exhibit Disposition		The retention, storage and proper disposition of property and exemplars (models).

S_Code	Substantive Area			Description/Definition
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PR			<u>Project (Transaction/M&A)</u>	Deals or transactions (e.g., real estate, securities, financings, restructurings, mergers and acquisitions), administrative filings with federal and state agencies, and stand-alone projects (e.g., establishing an environmental compliance program).
	PR10		<i>Deal Management</i>	Managing project activities not clerical in nature, i.e., time spent overseeing the management of the matter based on assuring that scope, time and cost are evaluated, monitored and communicated. Includes adjusting the level of effort and assignment of work, communicating among the team and with the client/customer on changes in circumstances and evaluation of budget against actual.
	PR15		<i>Preliminary Matters</i>	Preliminary matters (letter of intent, confidentiality agreements, exclusivity, inducement, break fee information memorandum, auction process, service provider engagement agreements). Includes drafting, negotiating and amending preliminary stage agreements, establishing bidding and auction procedures, and drafting, negotiating and amending engagement agreements with service providers (e.g., accountants, environmental consultants, investment bankers, etc.).
	PR20		<i>Initial Document Preparation</i>	Drafting transaction documents and opinions prior to delivery, and soliciting input/guidance from specialists on terms and provisions. Includes all related communications regarding engagement.
	PR25		<i>Due Diligence and Disclosure Schedules</i>	Reviewing documents, attending management presentations, preparing due diligence questionnaire, setting up data room, negotiating due diligence reliance letters, and conducting other due diligence searches (e.g., liens, litigation, background checks, etc.). It includes time related to drafting, reviewing and negotiating disclosure schedules.
	PR30		<i>Negotiation/Revision/Response</i>	Negotiating and amending initial transaction documentation as a result of such negotiations, drafting ancillary agreements, attendance at meetings, and associated responses.
	PR35		<i>Financing</i>	Drafting, negotiating and amending any sale or acquisition financing (e.g., bank loans, debentures, equity financing, security agreements, guarantees, etc.).
	PR40		<i>Regulatory and Specialty Matters</i>	Consultations regarding particular areas of expertise.
	PR40.10		Antitrust / Competition	Analyzing filing requirements and possible antitrust overlaps, preparing competition law filings, responding to information requests from regulators, meetings and negotiations with regulators, and implementing any regulatory required actions (e.g., hold separate commitments, asset dispositions).
	PR40.15	Data	Security/Privacy/Data Protection/Cyber Security	Data security, privacy, and cybersecurity due diligence. It includes assessment of risks and maturity of security systems, tactical and strategic fixes to improve security and their related costs, potential or actual data breaches, system vulnerabilities, overall security posture, potential liabilities, and impact on valuations.
	PR40.20		Employment, Labor and Employee Benefits	The analysis of human resource issues (excluding Executive Compensation) in an acquisition (e.g., WARN Act requirements, relative pay scales and practices, title mapping, etc.), employee benefit issues (e.g., 401(k) mergers, health and welfare plan analysis and harmonization, leave policy issues), trade union and works council matters (e.g., notices or consultations, renegotiation of union contracts, etc.), and immigration matters.
	PR40.25		Executive Compensation	The analysis of employment agreements, severance arrangements, equity award plans and agreements, change-in-control payments, retention or "Stay" agreements and nonqualified deferred compensation arrangements and other incentive compensation arrangements. Preparation of "golden parachute" (Section 280G) vote materials, if applicable. Consideration of post-acquisition executive benefits to be provided to senior management.

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		PR40.30	Environmental	Environmental due diligence, transfer of environmental permits, and other environmental matters.
		PR40.35	Intellectual Property and Technology	Intellectual property and technology due diligence. It includes drafting, negotiating and amending licenses of intellectual property and technology assets
		PR40.40	Real Property	Real property due diligence. It includes drafting, negotiating and amending real property transfer documents, assigning leases, landlord consents, land use regulations.
		PR40.45	Securities Regulatory Matters	Drafting and filing any required registration statements, proxy statements and Reports/Circulars, and no action requests.
		PR40.50	Tax	Transaction structuring. It includes tax due diligence, negotiation of tax allocations, and indemnifications and representations.
	PR45	Shareholder/Board Matters		Board presentations regarding due diligence findings and the transaction, drafting of minutes and resolutions of the board, review of fairness opinions, and advising the board regarding fiduciary duties/shareholder meetings.
	PR50	Closing Matters		Drafting and negotiating closing documents (e.g., certificates, bills of sale, legal opinions, closing agenda, powers of attorney, etc.) and attending the closing.
	PR55	Integration Matters (M&A)		Post-closing integration matters, including restructuring of subsidiaries and post-closing transfer of assets and liabilities within a party’s corporate structure.
	PR60	Post-Closing Requirements, Disputes & Adjustments		Post-closing requirements, disputes and adjustments (working capital, indemnification, earn-out), post-closing working capital, purchase price adjustments, earn-out and other adjustments, and negotiating and litigating indemnification claims.
	PR65	Maintenance and Renewal		Subsequent maintenance and renewal requirements under the terms of the transaction or project such as monitoring of lease agreements, routine waivers and coordination of lien perfection (UCC and other) requirements.
PT	Patent			The assessment, development and administration of patents. Includes patent investigation and analysis, domestic and international patent preparation, domestic and international patent prosecution, and related activities.
	PT10	Assessment, Development, and Administration		Fact investigation and development, analysis and strategy, document and file management, and budgeting.
		PT10.10	Fact Investigation and Development	Investigating and understanding the facts of a matter. Includes interviewing of involved personnel and potential witnesses, reviewing documents to learn the facts of a potential case, working with an investigator, and all related communications and correspondence.
		PT10.15	Analysis/Strategy	Thinking, strategizing, and planning for a case. Includes discussions, writing, and meetings on case strategy. Also includes initial legal research for case assessment purposes and legal research for developing a basic case strategy.
		PT10.20	Document/File Management	Creating and populating document and other databases or filing systems. Includes the planning, design, and overall management of this process.
		PT10.25	Budgeting	Developing, negotiating, and revising the budget for a matter.
		PT10.30	Other Assessment, Development, or Administration	The assessment, development, administration and other activities that cannot be included under another category.
	PT15	Patent Investigation and Analysis		The state-of-the-art, patentability, clearance, validity, infringement, and status investigations, and publication watches.
		PT15.10	State-of-the-Art Investigation	Determining the state of the art in a particular area of technology, including definition of the scope and field of the search, searching, analysis of search results, and reporting to client.

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		PT15.15	Patentability Investigation	Determining the approximate scope of patent protection that may be available for an invention, including definition of the scope and field of the search, searching, analysis of search results, and reporting to client.
		PT15.20	Clearance Investigation	Determining whether a proposed product or process might be covered by the claims of patents and/or published patent applications, including definition of the scope and field of the search, searching, search field integrity checking, analysis of search results, and reporting to client.
		PT15.25	Validity Investigation	Determining whether a patent claim is invalid, including defining of the scope and field of search, searching, analyzing search results, and reporting to the client.
		PT15.30	Publication Watches	Planning, completing, and reporting the results of periodic searches for new publications in connection with a specific assignee, area of technology, patent application, or issued patent.
		PT15.35	Infringement Investigation	Determining which of a client's patent claims may cover a non-client's products or processes, including collecting patent and/or product information, obtaining any product samples, comparing the products to the client's patent claims, and reporting to the client.
		PT15.40	Status Investigation	Determining the status of a published or unpublished patent application, or issued patent, including application and/or database review and forwarding report to client.
		PT15.45	Other Patent Investigation and Analysis	Patent investigation and analysis activities that cannot be included under another category.
PT20	Domestic Patent Preparation			Provisional, non-provisional, and design application preparation, plant and validation patent and continuing application preparation for domestic patents.
		PT20.10	Provisional Application Preparation - Domestic	Completing a provisional patent application that is to be filed in the ("domestic") home country or region of the applicant, including review of invention disclosure materials and prior art, interviews with the inventors, drafting and revision of application, preparation and execution of formal documentation (such as assignments, transmittals, and biological deposits), filing of application, and reporting to the client.
		PT20.15	Non-Provisional Application Preparation - Domestic	Completing a non-provisional utility or utility model (but not design or provisional) patent application that are to be filed in the ("domestic") home country or region of the applicant, including review of invention disclosure materials and prior art, interviews with the inventors, drafting and revision of application, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, transmittals, and biological deposits) filing of application, and reporting to the client. Includes preparation of PCT, European and other regional applications when filed in the home country of the applicant.
		PT20.20	Design Application Preparation- Domestic	Completing a design patent (but not utility or utility model) application or design registration application that are to be filed in the ("domestic") home country or region of the applicant, including review of invention disclosure materials and prior art, interviews with the inventors, drafting and revision of application, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, and transmittals) filing of application, and reporting to the client.
		PT20.25	Plant Patent Preparation - Domestic	Completing plant patent applications that are to be filed in the ("domestic") home country or region of the applicant, including review of invention disclosure materials and prior art, interviews with the inventors, drafting and revision of application, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements and transmittals, and biological deposits) filing of application, and reporting to the client.

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PT20		PT20.30	Continuing Application Preparation - Domestic	Completing a continuing patent application (claiming priority to an earlier-filed, parent application in the same country, without adding any new disclosure) that are to be filed in the ("domestic") home country or region of the applicant, including review of parent application, drafting and execution of any formal documents (such as transmittals and information disclosure statements), filing of application, and reporting to the client.
		PT20.35	Validation Patent Application	Preparing and filing applications for validation of an issued regional patent in the ('domestic') home country of the applicant.
		PT20.40	Other Patent Application Preparation - Domestic	Patent application preparation for applications that are to be filed in the ("domestic") home country or region of the applicant, and that cannot be included under another category.
	PT25	Domestic Patent Prosecution		Information disclosure, preliminary amendment, official communication, quasi-judicial administrative proceedings, post-issuance remedial action, and other patent prosecution activities for domestic patents.
		PT25.10	Information Disclosure Statement - Domestic	Bringing prior art, or other information, to the attention of an Examiner in the home country of the applicant.
		PT25.15	Preliminary Amendment - Domestic	Revising an application prior to the examination of the application on its merits in the home country of the applicant, including preparation of amendments and revised drawings that do not affect the scope of the disclosure.
		PT25.20	Official Communication - Domestic	Processing an Official Communication from a Patent Office (known as an "Office Action" in the U.S. when directed toward the merits of the application) in the home country of an application, including initial review and any calendaring ("docketing" in the U.S.) of any response deadline, report to client with any proposed response, review of applicant's instructions and inventor's comments, preparation of amendments and/or responsive arguments, examiner interviews, and reporting to the client.
		PT25.25	Quasi-Judicial Administrative Proceedings - Domestic	Completing a quasi-judicial proceeding before an administrative tribunal associated with the Patent Office of the home country of the applicant, including Appeals, Oppositions, Interferences, and other ex-parte and inter-parties proceedings. Includes collecting evidence, preparing and filing motions, briefs, responses, replies, surreplies, and other documents, attending conferences, depositions, hearings, and other proceedings.
		PT25.30	Post-Issuance Remedial Action- Domestic	Completing activities in connection with any necessary remedial action, after issuance of a patent in the ('domestic') home country of the applicant, such as the filing of a Certificate of Correction, and correcting patent term in view of patent term adjustment (mis)calculation in the U.S.
		PT25.35	Other Patent Prosecution - Domestic	Applications that are to be initially filed in the ("domestic") home country or region of the applicant, and that cannot be included under another category.
PT30	International Patent Preparation		Provisional, non-provisional, and design application preparation, plant and validation patent and continuing application preparation for international patents.	
		PT30.10	Provisional Application Preparation - International	Completing a provisional patent application that is to be filed outside the ('domestic') home country or region of the applicant, including translations, review of invention disclosure materials and prior art, interviews with the inventors, drafting and revision of application, preparation and execution of formal documentation (such as assignments, transmittals, and biological deposits), filing of application, and reporting to the client.

S_Code	Substantive Area		Description/Definition	
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		PT30.15	Non-Provisional Application Preparation - International	Completing a non-provisional, utility or utility model (but not design or provisional) patent application that is to be filed outside the ('domestic') home country or region of the applicant, including translations, review of any invention disclosure materials or parent applications, drafting of application (including pre-filing revision of parent applications), preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, transmittals, and biological deposits) filing of application, and reporting to the client.
		PT30.20	Design Application Preparation- International	Completing a design patent (but not utility or utility model) applications or design registration applications that are to be filed outside the ('domestic') home country or region of the applicant, including translations, review of any invention disclosure materials or parent applications, drafting of application including pre-filing revision of parent applications, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, and transmittals) filing of application, and reporting to the client.
		PT30.25	Plant Patent Preparation - International	Completing plant patent applications that are to be filed outside the ('domestic') home country or region of the applicant, including translations, review of any invention disclosure materials or parent applications, drafting of application including pre-filing revision of parent applications, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, transmittals, and biological deposits) filing of application, and reporting to the client.
		PT30.30	Continuing Application Preparation - International	Completing a continuing patent application (claiming priority to an earlier-filed application in the same country, but without adding new disclosure) that are to be filed outside the ('domestic') home country or region of the applicant, including translations, review of any invention disclosure materials or parent applications, drafting of application including pre-filing revision of any parent applications, preparation and execution of formal documents (such as assignments, declarations, information disclosure statements, transmittals, and biological deposits) filing of application, and reporting to the client.
		PT30.35	Validation Patent Application Preparation - International	The preparation and filing of applications for validation of an issued regional patent outside of the ('domestic') home country of the applicant.
		PT30.40	Other Patent Application Preparation - International	Preparation activities in connection with patent applications that are to be filed outside the ('domestic') home country or region of the applicant, and that cannot be included under another category.
PT35	International Patent Prosecution			Information disclosure, preliminary amendment, official communication, quasi-judicial administrative proceedings, post-issuance remedial action, and other patent prosecution activities for international patents.
		PT35.10	Information Disclosure Statement - International	Bringing prior art, or other information, to the attention of an Examiner outside the home country of the applicant.
		PT35.15	Preliminary Amendment - International	Revising an application prior to the examination of the application on its merits outside the home country of the applicant, including preparation of amendments and revised drawings that do not affect the scope of the disclosure.
		PT35.20	Official Communication - International	Processing an Official Communication from a Patent Office outside the home country of the applicant, including initial review and calendaring of any response deadline, report to client with any proposed response, review of applicant's instructions and inventor's comments, preparation of amendments and/or responsive arguments, examiner interviews, and report of actions to the client. Includes activities associated with Official Communications not affecting the merits of the application, such as filing receipts, restriction/election requirements, and annuity/maintenance fee payments.

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		PT35.25	Quasi-Judicial Administrative Proceedings - International	Completing a quasi-judicial proceeding before an administrative tribunal associated with a Patent Office outside of the home country of the applicant, including Appeals, Oppositions, Interferences and other ex-parte and inter-partes proceedings. Includes collecting evidence, preparing and filing motions, briefs, responses, replies, surreplies, and other documents, attending conferences, depositions, hearings, and other proceedings.
		PT35.30	Post-Issuance Remedial Action - International	Completing a continuing patent application (claiming priority to an earlier-filed, parent application in the same country, without adding any new disclosure) that are to be filed outside of the ("domestic") home country or region of the applicant, including review of parent application, drafting and execution of any formal documents (such as transmittals and information disclosure statements), filing of application, and reporting to the client.
		PT35.35	Other Patent Prosecution - International	Patent prosecution activities in connection with applications that are ("International") filed outside the home country or region of the applicant, and that cannot be included under another category.
PT40	Other Patent-Related Tasks			Opinion preparation, portfolio analysis and management, assignments and security interests, and licensing.
		PT40.10	Opinion Preparation	Completing formal legal opinions on the validity, enforceability, infringement, and/or non-infringement of a patent claim. Includes opinions on infringement of a client's patent by a non-client infringer.
		PT40.15	Portfolio Analysis and Management	Reviewing, analyzing, documenting, and managing a portfolio of patents. Includes patent "due diligence" during transactional analysis.
		PT40.20	Assignments and Security Interests	Negotiating and preparing assignment (i.e. previously registered or filed application) or security interest, including review of file history, checking status and meeting with client.
		PT40.25	Licensing	Negotiating and preparing license.
TM	Trademark			The assessment, development and administration of trademarks. Includes trademark investigation and analysis, domestic and international trademark application preparation, domestic and international trademark prosecution and renewal, and related activities.
TM10	Assessment, Development, and Administration			Fact investigation and development, analysis and strategy, document and file management, and budgeting.
		TM10.10	Fact Investigation and Development	Investigating and understanding the facts of a matter. It includes initial meeting to discuss new matter, interviewing client personnel and potential witnesses, reviewing documents to learn the facts of a potential case, working with an investigator, and all related communications and correspondence.
		TM10.15	Analysis/Strategy	The thinking, strategizing, and planning for a case. Includes discussions, writing, and meetings on case strategy. Also includes initial legal research for case assessment purposes and legal research for developing a basic case strategy.
		TM10.20	Document/File Management	Creating and populating document and other databases or filing systems. Includes the planning, design, and overall management of this process.
		TM10.25	Budgeting	Developing, negotiating, and revising the budget for a matter.
		TM10.30	Other Assessment, Development, or Administration	The assessment, development, administration and other activities that cannot be included under another category.
TM15	Trademark Investigation and Analysis			The investigation to verify ability to register, clearance and opposition investigations, publication watches, enforcement investigation, and status investigation.

S_Code	Substantive Area		Description/Definition	
C_Code	Category	P_Code	Process	
		TM15.10	Registerability Investigation	Determining the initial availability and registerability of a particular trademark, including the definition of the scope of protection, searching, analyzing the search results, and reporting results to the client. Also known as a "knock out" search, or 'preliminary' search.
		TM15.15	Clearance Investigation	Determining the final availability and registerability of a particular trademark, including definition of the scope of protection, searching, analyzing the search results, and reporting the results to the client. Also known as a "full" search.
		TM15.20	Opposition Investigation	Investigating a claim or potential claim of trademark opposition, trademark infringement or trademark dilution before a trademark office tribunal, including definition of the scope of the investigation, searching, analyzing the search results, and reporting to the client.
		TM15.25	Publication Watches	Planning, completing, and reporting the results of periodic searches for conflicting trademarks.
		TM15.30	Enforcement Investigation	Investigating a claim or potential claim of trademark infringement, dilution or unfair competition before a court of jurisdiction, including definition of the scope of the investigation, searching, analyzing the search results, and reporting to the client.
		TM15.35	Status Investigation	Determining the status of a pending or registered trademark, including inquiries with the trademark office, directing outside counsel and database review, and forwarding status report to client.
		TM15.40	Other Trademark Investigation and Analysis	Trademark investigation and analysis activities that cannot be included under another category.
TM20	Domestic Trademark Application Preparation			Application preparation and filing for domestic trademarks.
		TM20.10	Application Preparation and Filing - Domestic	Completing a trademark or service mark application (including renewal applications) that is to be filed in the ("domestic") home country or region of the applicant, including obtaining the specimen of use from the client, scanning the specimen for electronic filing, discussion with the client to ascertain the dates of first use and first use in commerce, drafting and revision of the application, preparation and filing of the application by electronic or paper formats, and reporting results to the client.
		TM20.15	Other Domestic Trademark Application Preparation	Trademark application preparation activities that cannot be included under another category.
TM25	Domestic Trademark Prosecution and Renewal			Affidavits, petitions, extensions, declarations and other filings, preliminary amendments, official communications, and quasi-judicial administrative proceedings for domestic trademarks.
		TM25.10	Affidavits, Petitions, Extensions, Declarations and O	Processing affidavits, petitions, extensions, declarations, and change of correspondence with the Trademark Office in the home country of an application, including initial review and any calendaring ("docketing" in the U.S.) of any response deadline, reporting to client with any proposed response, reviewing applicant's instructions and comments, preparation of affidavits, petitions, extensions, declarations, change of address or change of ownership and/or responsive arguments, examiner interviews, and reporting to the client.
		TM25.15	Preliminary Amendment - Domestic	Revising an application prior to the examination of the application on its merits in the home country of the applicant, including preparation of amendments and revised drawings that does not materially alter the original drawing.
		TM25.20	Official Communication - Domestic	Processing an Official Communication from a Trademark Office (known as an "Office Action" in the U.S. when directed toward the merits of the application) in the home country of an application, including initial review and any calendaring ("docketing" in the U.S.) of any response deadline, reporting to client with any proposed response, reviewing applicant's instructions and comments, preparation of amendments and/or responsive arguments, examiner interviews, and reporting to the client.

S_Code	Substantive Area	Description/Definition
C_Code	Category	
P_Code	Process	
	TM25.25 Quasi-Judicial Administrative Proceedings - Domestic	Completing a quasi-judicial proceeding before an administrative tribunal associated with the Trademark Office of the home country of the applicant, including Appeals, Oppositions, and other ex-parte and inter-parties proceedings. Includes collecting evidence, preparing and filing motions, briefs, responses, replies, surreplies, and other documents, attending conferences, depositions, hearings, and other proceedings.
	TM25.30 Other Trademark Prosecution - Domestic	Trademark prosecution activities for applications that are to be initially filed in the ("domestic") home country or region of the applicant, and that cannot be included under any other category.
TM30	International Trademark Application Preparation and Renewal	An application preparation and filing for international trademarks.
	TM30.10 Application Preparation and Filing - International	Completing a trademark, service mark or design mark application (including renewal applications) that is to be filed in a jurisdiction outside the home country or region of the applicant, including obtaining the power of attorney, translations if necessary, obtaining supporting information and documentation from the client, discussing priority filings with the client, drafting and revision of the application, preparation and filing of the application, and reporting to the client.
	TM30.15 Other International Trademark Application Preparation	International trademark application preparation activities that cannot be included in another category.
TM35	International Trademark Prosecution and Renewal	Affidavits, petitions, extensions, declarations and other filings, preliminary amendments, official communications, and quasi-judicial administrative proceedings for international trademarks.
	TM35.10 Affidavits, Petitions, Extensions, Declarations and Other	Processing affidavits, petitions, extensions, declarations, and change of correspondence with the Trademark Office in the home country, including initial review and any calendaring ("docketing" in the U.S.) of any response deadline, reporting to client with any proposed response, reviewing applicant's instructions and comments, preparation of affidavits, petitions, extensions, declarations, change of address or change of ownership and/or responsive arguments, examiner interviews, and reporting to the client.
	TM35.15 Preliminary Amendment - International	Revising an application prior to the examination of the application on its merits in the home country of the applicant, including preparation of amendments and revised drawings that does not materially alter the original drawing.
	TM35.20 Official Communication - International	Processing an Official Communication from a Trademark Office outside the home country of the applicant, including initial review and calendaring of any response deadline, reporting to client with any proposed response, reviewing applicant's instructions and comments, preparation of amendments and/or responsive arguments, submitting response to local counsel, and reporting to the client.
	TM35.25 Quasi-Judicial Administrative Proceedings - International	Completing a quasi-judicial proceeding before an administrative tribunal associated with a Trademark Office outside of the home country of the applicant, including Appeals, Oppositions, and other ex-parte and inter-parties proceedings. Includes collecting evidence, preparing and filing motions, briefs, responses, replies, surreplies, and other documents, attending conferences, depositions, hearings, and other proceedings.
	TM35.30 Other Trademark Related Tasks	Trademark prosecution activities in connection with applications that are ("International") filed outside the home country or region of the applicant, and that cannot be included under another category.
TM40	Other Trademark Related Tasks	Opinion preparation, portfolio analysis and management, assignments and security interests, licensing, domain names, and quasi-judicial administrative proceedings for domain names.
	TM40.10 Opinion Preparation	Completing formal legal opinions on the validity, enforceability, infringement, and/or non-infringement of a trademark right. It includes opinions on infringement of a client's trademark by a non-client infringer.

S_Code	Substantive Area	Description/Definition	
C_Code	Category		
P_Code	Process		
	TM40.15 Portfolio Analysis and Management	Reviewing, analyzing, documenting, and managing a portfolio of trademarks. Includes trademark "due diligence" during transactional analysis.	
	TM40.20 Assignments and Security Interests	Negotiating and preparing assignment (i.e. previously registered or filed application) or security interest, including review of file history, checking status and meeting with client.	
	TM40.25 Licensing	Negotiating and preparing trademark license.	
	TM40.30 Domain Names - gTLDs	Completing a domain name application (including renewal) that is to be filed as a generic top-level domain (gTLD), e.g., .com.	
	TM40.35 Domain Names - ccTLDs	Completing a domain name application (including renewal) that is to be filed as a country code top-level domain (ccTLD), e.g., .jp, .uk, .tv.	
	TM40.40 Quasi-Judicial Administrative Proceedings - Domain	Completing a quasi-judicial proceeding before an administrative tribunal associated with domain name enforcement actions, including Uniform Domain Name Dispute Resolution (UDRP) actions administered under ICANN and WIPO.	
	TM40.45 Other Trademark Prosecution	Trademark related tasks that cannot be included under a previous code.	

WC

Workers' Compensation

WC10 Initial Assessment

WC10.10	Fact Investigation/Development
WC10.15	Analysis/Strategy
WC10.20	Experts/Consultants
WC10.25	Budgeting
WC10.30	Settlement/Resolution
WC10.35	Alternative Fee Arrangements (AFA's)

State-sponsored systems that pays monetary benefits to workers who become injured or disabled in the course of their employment.
The initial assessment of case and/or issue and outcomes. Includes fact investigation, analysis, strategy development, identifying experts, budgeting, and settlement/mediation activities and associated alternative fee arrangements (AFA's).
Investigating and/or understanding the facts of a matter.
Thinking, strategizing, and planning for a case.
Selecting experts, reviewing reports, and communication regarding opinions.
The preparation of budget and related communications.
The planning and participation in settlement or mediation discussions. It includes conferences and communications with attorney, adjuster and employer and/or other party.
The development, calculation or approval of all non-hourly or other alternative fee arrangements for tasks and activities in this category.

WC15 Pleadings/Preliminary Proceedings

WC15.10	Pleadings
WC15.15	Conferences with Judge
WC15.20	Alternative Fee Arrangements (AFA's)

Developing and preparing pleadings, meetings and conferences with judge up to Hearing, and associated alternative fee arrangements (AFA's).
Drafting, editing and filing pleadings, Workers' Comp State forms, pre-hearing notices, and affidavits. It includes related research and review of opposing counsel pleadings.
Preparing for, attending, and reporting on conference with judge. It includes all matters up to hearing on merits. Actual hearing/trial matters are excluded.
The development, calculation or approval of all non-hourly or other alternative fee arrangements for tasks and activities in this category.

WC20 Discovery/Document Production

WC20.10	Written Discovery
WC20.15	Document Production/Acquisition
WC20.20	Depositions

Written discovery, document production and acquisition, depositions, expert discovery, discovery motions, discovery on-site inspections and visits, and associated alternative fee arrangements (AFA's).
Preparing, identifying, summarizing, and analyzing written discovery. It includes reviewing responses to written discovery and attending mandatory meet-and-confer sessions.
Preparing and responding and/or objecting to document request. It includes identifying and reviewing documents for production and privilege, and preparation of subpoenas and requests.
Preparing notices, communication and preparation of witnesses, discussing strategy, deposition preparation, attending, and travel to/from depositions.

S_Code	Substantive Area		Description/Definition	
C_Code	Category	P_Code	Process	
		WC20.25	Expert Discovery	Preparing notices, communication with experts and opposing counsel, preparing subpoenas, strategy discussions, document review, preparing summaries, scheduling and reviewing IME's and IRE's.
		WC20.30	Discovery Motions	Preparing, responding to, and arguing all motions that arise out of discovery. Includes protective orders.
		WC20.35	Discovery On-Site Inspections/Visits	Attending, traveling to/from, and summarizing results from site inspection/visits.
		WC20.40	Alternative Fee Arrangements (AFA's)	Development, calculation or approval of all non-hourly or other alternative fee arrangements for tasks and activities in this category.
WC25	Hearings/Trial Practice			The examination of fact and expert witnesses, written motions/submissions, Hearing preparation and support, hearing appearances, post-hearing activities, subrogation claims, and associated alternative fee arrangements (AFA's).
		WC25.10	Fact Witnesses	Preparing for examination and cross-examination of witnesses, witness interviews, and review and analysis of transcripts.
		WC25.15	Expert Witnesses	Preparing for examination and cross-examination of expert witnesses, expert witness interviews, and review and analysis of transcripts.
		WC25.20	Written Motions/Submissions	Developing, reviewing, and responding to written motions. Includes activities related to motions for hearing as well as jurisdictional and dispositive motions.
		WC25.25	Hearing Preparation and Support	Identifying documents and evidence, preparing evidence/exhibits, pre-hearing conferences, prepatory research, deposition review, and preparation of memorandum of law and opening/closing statements.
		WC25.30	Hearing	Appearances, arguing motions, travel to/from hearings, and post-hearing communication/report regarding hearing.
		WC25.35	Post-Hearing	Preparing proposed findings of fact, conclusions of law, position paper, and memorandum of law in support thereof, preparing and preserving objections, post hearing conferences, and preparing and reviewing order for court.
		WC25.40	Subrogation Claims	Assertion of subrogation claims on behalf of employer or carrier.
		WC25.45	Alternative Fee Arrangements (AFA's)	The development, calculation or approval of all non-hourly or other alternative fee arrangements for tasks and activities in this category.
WC30	Appellate Practice			Appellate proceedings/motions; appellate briefs, oral arguments/post submission, and associated alternative fee agreements (AFA's).
		WC30.10	Appellate Proceedings/Motions	Researching, drafting and arguing motions or other filings, attending and traveling to/from hearings, and review and preparation of notice of appeal and appellate petition.
		WC30.15	Appellate Briefs	The preparation and review of appellate briefs, related research, preparing and reviewing appellate record, and communication with parties.
		WC30.20	Oral Arguments/Post Submission	Preparing for, attending, and traveling to/from oral arguments, communication regarding appeal, and review of decision.
		WC30.25	Alternative Fee Arrangements (AFA's)	The development, calculation or approval of all non-hourly or other alternative fee arrangements for tasks and activities in this category.
BK	<u>Bankruptcy</u>			The reduction or elimination of certain debts by restructuring, liquidation and other proceedings.
BK10	Administration			Case administration, asset analysis or recovery, asset disposition, relief from Stay/Adequate proceedings, meetings and communications with creditors, fee/employment applications and objections, avoidance action analysis, assumption/rejection of leases and contracts, and other contested matters.

S_Code	Substantive Area	Description/Definition	
C_Code	Category	P_Code	Process
	BK10.10	Case Administration	Coordination and compliance activities, including preparation of statement of financial affairs, schedules, list of contracts, United States Trustee interim statements and operating reports, contacts with the United States Trustee, and general creditor inquiries.
	BK10.15	Asset Analysis and Recovery	The identification and review of potential assets including causes of action and non-litigation recoveries.
	BK10.20	Asset Disposition	Sales, abandonment or transaction work related to asset disposition.
	BK10.25	Relief from Stay or Adequate Protection Proceedings	The termination or continuation of automatic stay under 362 or motions for adequate protection.
	BK10.30	Meetings of and Communication with Creditors	Preparing for and attending the conference of creditors, the 341(a) meeting or other creditors' committee meetings.
	BK10.35	Fee/Employment Applications	Preparing employment and fee applications, motions to establish interim procedures.
	BK10.40	Fee or Employment Objections	The review of or objections to the employment or fee applications of others.
	BK10.45	Avoidance Action Analysis	The review of potential avoiding actions under Sections 544-549 of the Code to determine whether adversary proceedings are warranted.
	BK10.50	Assumption or Rejection of Leases and Contracts	The analysis of leases and executory contracts or preparation of motions specifically to assume or reject.
	BK10.55	Other Contested Matters	The analysis or preparation of all other motions, opposition to motions or reply memoranda in support of motions (excludes assumption or rejection motions).
	BK10.60	Non-Working Travel	Non-working travel.
BK15	Business Operations		Business operations, employee benefits/pensions, financing/cash collections, tax issues, real estate, and Board of Directors matters.
	BK15.10	Operations	Issues related to a debtor-in-possession operating in chapter 11 such as employee, vendor, tenant issues or similar problems.
	BK15.15	Employee Benefits and Pensions	The review of issues such as severance, retention, 401(k) coverage or continuance of pension plan.
	BK15.20	Financing and Cash Collections	Matters under 361, 363 and 364 including cash collateral and secured claims and loan document analysis.
	BK15.25	Tax Issues	The analysis or advice regarding tax-related issues.
	BK15.30	Real Estate	The review or analysis of real estate-related matters.
	BK15.35	Board of Directors Matters	The preparation of materials for or attendance at Board of Directors meetings, analysis or advice regarding corporate governance issues, and review or preparation of corporate documents.
BK20	Claims Administration and Plan Disclosure		Claims administration and objections and Plan Disclosure Statement.
	BK20.10	Claims Administration or Objections	Specific claim inquiries, bar date motions, analyses, and objections or allowances of claims.
	BK20.15	Plan Disclosure Statement (Incl. Business Plan)	Formulation, presentation or confirmation, compliance with the plan confirmation order, related orders or rules, and disbursement or case closing activities.

S_Code	Substantive Area			Description/Definition
	C_Code	Category		
		P_Code	Process	
	BK25	Related Advice		The support of general bankruptcy advice/options; restructuring.
		BK25.10	General Bankruptcy Advice/Opinions	The support of analysis, advice or opinions regarding potential bankruptcy related issues where no bankruptcy case has been filed.
		BK25.15	Restructuring	Analysis, consultation or drafting in connection with the restructuring of agreements where no bankruptcy case has been filed.
CN	<u>Counseling</u>			General advice and counseling related to legal matters not specified within another category in this Substantive Area (e.g., tax, labor, corporate, regulatory).
	CN10	Fact Gathering		Supporting initial inquiries, meetings and instructions. Includes the identification and collection of information relevant to the assignment.
		CN10.10	Informational Meetings	Supporting initial inquiries or meetings.
		CN10.15	Information Review	Supporting the identification or collection of information relevant to the assignment.
	CN15	Research Law		Legal research tasks, including internal meetings and consultations with those with special expertise.
		CN15.10	Consultations	Internal meetings or consultations with those with special expertise.
		CN15.15	On-Line Research	Computer or on-line research in support of assignment.
		CN15.20	Other Legal Research	Other legal research performed in support of assignment.
	CN20	Analysis and Advice		Supporting the analysis of facts or research performed and communicating related opinions or advice to clients.
		CN20.10	Analysis	Supporting the analysis of facts or research performed.
		CN20.15	Advice	Supporting the communication of related opinions or advice to clients.
	CN25	Third Party Communications		Supporting communications with third parties not otherwise covered in this Substantive Area. Includes communications with regulators or parties to contracts with the client.
		CN25.10	Contract Party Communications	Supporting communications with parties to contracts with the client.
		CN25.15	Regulatory Communications	Supporting communications with regulators and regulatory agencies.
		CN25.20	Other Communications	Supporting communications with other third parties.
LB	<u>Lobbying (Government Relations)</u>			Lobbying activities, legislative research, attending hearings and other public meetings, educating officials and officers, and public relations activities.
	LB10	Lobbying Administration		Administration and oversight of lobbying efforts and related support activities.
	LB15	Lobbying Strategy		Defining and developing a plan to governs and directs lobbying efforts and related activities.
	LB20	Lobbying		Persuading members of the government to make decisions that would benefit a particular group or special interest.
	LB25	Lobbying Research		Monitoring, researching, and analyzing legislation or regulatory proposals and attending congressional hearings and other public meetings.