

# Semantyka i weryfikacja programów

**Bartosz Klin**

(slajdy Andrzeja Tarleckiego)

Instytut Informatyki

Wydział Matematyki, Informatyki i Mechaniki

Uniwersytet Warszawski

<http://www.mimuw.edu.pl/~klin>

pok. 5680

[klin@mimuw.edu.pl](mailto:klin@mimuw.edu.pl)

Strona tego wykładu:

<http://www.mimuw.edu.pl/~klin/sem19-20.html>

# Program Semantics & Verification

**Bartosz Klin**

(slides courtesy of Andrzej Tarlecki)

Institute of Informatics

Faculty of Mathematics, Informatics and Mechanics

University of Warsaw

<http://www.mimuw.edu.pl/~klin>

office: 5680

[klin@mimuw.edu.pl](mailto:klin@mimuw.edu.pl)

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# Denotational semantics for TINY

*Semantic clauses*

$\mathcal{S}: \text{Stmt} \rightarrow \text{STMT}$

$$\begin{aligned}\mathcal{S}[x := e]s &= s[x \mapsto \mathcal{E}[e]s] \\ \mathcal{S}[\text{skip}]s &= s \\ \mathcal{S}[S_1; S_2]s &= \mathcal{S}[S_2](\mathcal{S}[S_1]s) \\ \mathcal{S}[\text{if } b \text{ then } S_1 \text{ else } S_2]s &= \text{ifte}_{\text{State}}(\mathcal{B}[b]s, \mathcal{S}[S_1]s, \mathcal{S}[S_2]s) \\ \mathcal{S}[\text{while } b \text{ do } S]s &= \text{ifte}_{\text{State}}(\mathcal{B}[b]s, \mathcal{S}[\text{while } b \text{ do } S]s', s) \\ &\quad \text{where } s' = \mathcal{S}[S]s\end{aligned}$$

## Denotational semantics for TINY

*The same clauses with notational sugar*

$\mathcal{S}: \text{Stmt} \rightarrow \text{STMT}$

$\mathcal{S}[\mathbf{x} := e] = \lambda s: \text{State}. s[x \mapsto \mathcal{E}[e] s]$

$\mathcal{S}[\mathbf{skip}] = id_{\text{State}}$

$\mathcal{S}[S_1; S_2] = \mathcal{S}[S_1]; \mathcal{S}[S_2]$

$\mathcal{S}[\mathbf{if } b \mathbf{ then } S_1 \mathbf{ else } S_2] = cond(\mathcal{B}[b], \mathcal{S}[S_1], \mathcal{S}[S_2])$

$\mathcal{S}[\mathbf{while } b \mathbf{ do } S] = cond(\mathcal{B}[b], \mathcal{S}[S]; \mathcal{S}[\mathbf{while } b \mathbf{ do } S], id_{\text{State}})$

## Something wrong?

The clause for **while**:

$$\mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S] = \text{cond}(\mathcal{B}[b], \mathcal{S}[S]; \mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S], id_{\text{State}})$$

is *not* compositional!

We "define":

$$??? \quad \mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S] = \Phi(\dots, \mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S], \dots) \quad ???$$

We need *fixed point definitions*

## Potential problems with fixed point definitions

Consider fixed point definitions in  $\mathbf{STMT} = \mathbf{State} \rightarrow \mathbf{State}$ , as

$$\mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S] = \Phi(\dots, \mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S], \dots)$$

- Does a fixed point always exist?

$$f = \lambda s:\mathbf{State}. \text{ifte}_{\mathbf{State}}(f(s) \text{ is not defined}, s, f(s)[x \mapsto (f(s) \ x) + 1])$$

*Only some functionals  $\Phi$  may be allowed*

- If a fixed point exists, is it unique?

$$f = \lambda s:\mathbf{State}. f(s)[x \mapsto 2 * (f(s) \ x)]$$

(or even:  $f = \lambda s:\mathbf{State}. f(s)$ )

*Some “best” fixed point must be chosen*

## The guiding fixed point definition

Looking closer at the clause for **while**:

$$\mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S] = \Phi(\mathcal{S}[\mathbf{while} \ b \ \mathbf{do} \ S])$$

where  $\Phi: \mathbf{STMT} \rightarrow \mathbf{STMT}$  is defined as follows:

$$\Phi(F) = \text{cond}(\mathcal{B}[b], \mathcal{S}[S]; F, id_{\mathbf{State}})$$

Whatever fixed point we choose, we want it to be adequate for our operational intuitions; we want a denotation  $fix(\Phi) \in \mathbf{STMT}$  that is a fixed point of  $\Phi$  (so that  $\Phi(fix(\Phi)) = fix(\Phi)$ ) and is adequate for the operational semantics of **while**, i.e., such that

$$\langle \mathbf{while} \ b \ \mathbf{do} \ S, s \rangle \Rightarrow^* s' \text{ iff } fix(\Phi) \ s = s'$$

## Right guess!

Suppose that we have such adequacy for  $S$ , i.e.,  $\langle S, s \rangle \Rightarrow^* s'$  iff  $\mathcal{S}[[S]] s = s'$ .

Right guess:

$$\langle \text{while } b \text{ do } S, s \rangle \Rightarrow^* s' \text{ iff for some } n \geq 0, \Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) s = s'$$

where  $\emptyset_{\text{State} \rightarrow \text{State}} : \text{State} \rightarrow \text{State}$  is the function undefined everywhere,  
 $\Phi^0(\emptyset_{\text{State} \rightarrow \text{State}}) = \emptyset_{\text{State} \rightarrow \text{State}}$ , and  $\Phi^{n+1}(\emptyset_{\text{State} \rightarrow \text{State}}) = \Phi(\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}))$ .

Proof: in a moment.

## Conclusion

$$\mathcal{S}[[\text{while } b \text{ do } S]] = \text{fix}(\Phi) = \bigcup_{n \geq 0} \Phi^n(\emptyset_{\text{State} \rightarrow \text{State}})$$

This is well-defined, and yields the *least* fix-point of  $\Phi$ , see below.



**while**  $sqr \leq n$  **do**  $rt := rt + 1; sqr := sqr + 2 * rt + 1$

$\Phi(F) = \text{cond}(\mathcal{B}[\![sqr \leq n]\!], \mathcal{S}[\![rt := rt + 1; sqr := sqr + 2 * rt + 1]\!]; F, id_{\text{State}})$

$s(n, rt, sqr)$	$\Phi^0(\emptyset)(s)$	$\Phi^1(\emptyset)(s)$	$\Phi^2(\emptyset)(s)$	$\Phi^3(\emptyset)(s)$	$\Phi^4(\emptyset)(s)$	$\dots$	$\bigcup \Phi^n(\emptyset)(s)$
0, 0, 1	?	0, 0, 1	0, 0, 1	0, 0, 1	0, 0, 1	$\dots$	0, 0, 1
1, 0, 1	?	?	1, 1, 4	1, 1, 4	1, 1, 4	$\dots$	1, 1, 4
2, 0, 1	?	?	2, 1, 4	2, 1, 4	2, 1, 4	$\dots$	2, 1, 4
3, 0, 1	?	?	3, 1, 4	3, 1, 4	3, 1, 4	$\dots$	3, 1, 4
4, 0, 1	?	?	?	4, 2, 9	4, 2, 9	$\dots$	4, 2, 9
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$
8, 0, 1	?	?	?	8, 2, 9	8, 2, 9	$\dots$	8, 2, 9
9, 0, 1	?	?	?	?	9, 3, 16	$\dots$	9, 3, 16
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$

$$\Phi(F) = \text{cond}(\mathcal{B}[\![sqr \leq n]\!], \mathcal{S}[\![rt := rt + 1; sqr := sqr + 2 * rt + 1]\!]; F, id_{\text{State}})$$

$s(n, rt, sqr)$	$\Phi^0(\emptyset)(s)$	$\Phi^1(\emptyset)(s)$	$\Phi^2(\emptyset)(s)$	$\Phi^3(\emptyset)(s)$	$\Phi^4(\emptyset)(s)$	$\dots$	$\bigcup \Phi^n(\emptyset)(s)$
0, 0, 1	?	0, 0, 1	0, 0, 1	0, 0, 1	0, 0, 1	$\dots$	0, 0, 1
1, 0, 1	?	?	1, 1, 4	1, 1, 4	1, 1, 4	$\dots$	1, 1, 4
1, 1, 4	?	1, 1, 4	1, 1, 4	1, 1, 4	1, 1, 4	$\dots$	1, 1, 4
2, 0, 1	?	?	2, 1, 4	2, 1, 4	2, 1, 4	$\dots$	2, 1, 4
2, 1, 4	?	2, 1, 4	2, 1, 4	2, 1, 4	2, 1, 4	$\dots$	2, 1, 4
3, 0, 1	?	?	3, 1, 4	3, 1, 4	3, 1, 4	$\dots$	3, 1, 4
3, 1, 4	?	3, 1, 4	3, 1, 4	3, 1, 4	3, 1, 4	$\dots$	3, 1, 4
4, 0, 1	?	?	?	4, 2, 9	4, 2, 9	$\dots$	4, 2, 9
4, 1, 4	?	?	4, 2, 9	4, 2, 9	4, 2, 9	$\dots$	4, 2, 9
4, 2, 9	?	4, 2, 9	4, 2, 9	4, 2, 9	4, 2, 9	$\dots$	4, 2, 9
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$
9, 0, 1	?	?	?	?	9, 3, 16	$\dots$	9, 3, 16
9, 1, 4	?	?	?	9, 3, 16	9, 3, 16	$\dots$	9, 3, 16
9, 2, 9	?	?	9, 3, 16	9, 3, 16	9, 3, 16	$\dots$	9, 3, 16
9, 3, 16	?	9, 3, 16	9, 3, 16	9, 3, 16	9, 3, 16	$\dots$	9, 3, 16
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$

## Proof

“ $\Rightarrow$ ”: By induction on the length of the computation  $\langle \text{while } b \text{ do } S, s \rangle \Rightarrow^k s'$ .

$k > 0$ : Then  $\langle \text{while } b \text{ do } S, s \rangle \Rightarrow \gamma \Rightarrow^{k-1} s'$ . By cases on this first step:

- $\mathcal{B}[[b]] s = \text{ff}$  and  $\gamma = s$ . Then  $s' = s$ , and  $\Phi(\emptyset_{\text{State} \rightarrow \text{State}}) s = s$ . OK
- $\mathcal{B}[[b]] s = \text{tt}$  and  $\gamma = \langle S; \text{while } b \text{ do } S, s \rangle \Rightarrow^{k-1} s'$ . Then  $\langle S, s \rangle \Rightarrow^{k_1} \hat{s}$  and  $\langle \text{while } b \text{ do } S, \hat{s} \rangle \Rightarrow^{k_2} s'$ , for some  $\hat{s} \in \text{State}$  and  $k_1, k_2 > 0$  with  $k_1 + k_2 = k - 1$ . Hence,  $\mathcal{S}[[S]] s = \hat{s}$  and  $\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) \hat{s} = s'$  for some  $n \geq 0$ . Thus,  $\Phi^{n+1}(\emptyset_{\text{State} \rightarrow \text{State}}) s = s'$ . OK

BTW: This relies only on  $\langle S, s \rangle \Rightarrow^* s' \implies \mathcal{S}[[S]] s = s'$

## Proof

“ $\Leftarrow$ ”: By induction on  $n \geq 0$ , assuming  $\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) s = s'$ .

$n > 0$ : Then

$$\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) s = \text{cond}(\mathcal{B}[b], \mathcal{S}[S]; \Phi^{n-1}(\emptyset_{\text{State} \rightarrow \text{State}}), \text{id}_{\text{State}}) s.$$

- $\mathcal{B}[b] s = \text{ff}$ : then  $\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) s = s$ , so  $s' = s$ , and also  
 $\langle \text{while } b \text{ do } S, s \rangle \Rightarrow s$ . OK
- $\mathcal{B}[b] s = \text{tt}$ : then  $\Phi^n(\emptyset_{\text{State} \rightarrow \text{State}}) s = \Phi^{n-1}(\emptyset_{\text{State} \rightarrow \text{State}}) (\mathcal{S}[S] s)$ .

Hence,  $\langle \text{while } b \text{ do } S, \mathcal{S}[S] s \rangle \Rightarrow^* s'$ , and since  $\langle S, s \rangle \Rightarrow^* (\mathcal{S}[S] s)$ , we get  $\langle \text{while } b \text{ do } S, s \rangle \Rightarrow \langle S; \text{while } b \text{ do } S, s \rangle \Rightarrow^* \langle \text{while } b \text{ do } S, \mathcal{S}[S] s \rangle \Rightarrow^* s'$ . OK

BTW: This relies only on  $\langle S, s \rangle \Rightarrow^* s' \Leftarrow \mathcal{S}[S] s = s'$

## Adequacy of denotational semantics

**Fact:** For each statement  $S \in \mathbf{Stmt}$  and states  $s, s' \in \mathbf{State}$ ,

$$\mathcal{S} \llbracket S \rrbracket s = s' \text{ iff } \langle S, s \rangle \Rightarrow^* s'$$

**Proof:**

“ $\Rightarrow$ ”: By structural induction on  $S$ .

“ $\Leftarrow$ ”: By induction on the length of the computation  $\langle S, s \rangle \Rightarrow^* s'$ .

## How general is this fixpoint construction?

For any sets  $X$  and  $Y$ , define the *information ordering* on partial functions from  $X$  to  $Y$ :

$$f \sqsubseteq g \text{ iff } [f(x) \text{ is defined implies } g(x) \text{ is defined and } f(x) = g(x)]$$

This is a *complete partial order* (c.p.o.) on  $X \multimap Y$ , i.e., it has the least element  $\emptyset_{X \multimap Y}$  and limits (least upper bounds) of increasing chains:

$$f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq f_3 \sqsubseteq \cdots \sqsubseteq \bigcup_{n \in \mathbb{N}} f_n$$

Putting  $X = Y = \mathbf{State}$ , we get a c.p.o. on the set **STMT**.

## Continuous functions

**Fact:** For any  $S \in \mathbf{Stmt}$  and  $b \in \mathbf{BExp}$ , the function

$$\Phi(F) = \text{cond}(\mathcal{B}[[b]], \mathcal{S}[[S]]; F, \text{id}_{\mathbf{State}})$$

is monotone and even continuous:

- $\Phi(f) \sqsubseteq \Phi(g)$  if  $f \sqsubseteq g$ ,
- $\Phi(\bigcup_{n \in \mathbb{N}} f_n) = \bigcup_{n \in \mathbb{N}} \Phi(f_n)$  if  $f_1 \sqsubseteq f_2 \sqsubseteq f_3 \sqsubseteq \dots$ .

**Fact: (Kleene fixpoint theorem)** Every continuous function  $\Phi$  on a c.p.o.  $X$  has a least fixpoint, defined by:

$$\bigcup_{n \in \mathbb{N}} \Phi^n(\emptyset).$$

## Continuous functions

Some functions are not continuous, or even not monotone. Recall our flawed "fixpoint definition":

$$f = \lambda s:\mathbf{State}. \text{if } \text{te}_{\mathbf{State}}(f(s) \text{ is not defined}, s, f(s)[x \mapsto (f(s) x) + 1])$$

This is supposed to be a fixpoint of the function  $\Phi : \mathbf{STMT} \rightarrow \mathbf{STMT}$ :

$$\Phi(F) = \lambda s:\mathbf{State}. \text{if } \text{te}_{\mathbf{State}}(F(s) \text{ is not defined}, s, F(s)[x \mapsto (F(s) x) + 1])$$

This  $\Phi$  is well-defined, but it is not monotone:  $\emptyset_{\mathbf{State} \rightarrow \mathbf{State}} \sqsubseteq id_{\mathbf{State}}$ , but

$$\Phi(\emptyset_{\mathbf{State} \rightarrow \mathbf{State}}) = id_{\mathbf{State}} \not\sqsubseteq \Phi(id_{\mathbf{State}}).$$

*In practice, all "reasonable" functions that we are likely to write are continuous.*