SerialExperimentsOlga

Olga Yakobson

February 4, 2022
Version: My First Draft

LMU LUDWIG-MAXIMILIANS-UNIVERSITÄT MÜNCHEN

Department of Mathematics,
Informatics and Statistics
Institute of Informatics

Artificial Intelligence and
Machine Learning

Bachelor's Thesis

SerialExperimentsOlga

Olga Yakobson

1. Reviewer Prof. Dr. Eyke Hüllermeier
Institute of Informatics
LMU Munich

2. Reviewer John Doe
Institute of Informatics
LMU Munich

Supervisors Jane Doe and John Smith

February 4, 2022

Olga Yakobson
SerialExperimentsOlga
Bachelor's Thesis, February 4, 2022
Reviewers: Prof. Dr. Eyke Hüllermeier and John Doe
Supervisors: Jane Doe and John Smith

LMU Munich
Department of Mathematics, Informatics and Statistics
Institute of Informatics
Artificial Intelligence and Machine Learning (AIML)
Akademiestraße 7
80799 Munich

Abstract

Abstract (different language)

Acknowledgement

Contents

1 Introduction

The field of ML on graph-structured data has recently become an active topic of research. One reason for this is the wide range of domains and problems that are expressible in terms of graphs.

1.1 Motivation

1.2 Research Questions

1.3 Structure

Chapter 2: Related Work Some text

Chapter 3: Implementation Some text

??: Evaluation Some text

Chapter 5: Conclusion Finally, the results of this thesis are summarized and a brief outline of promising directions for future research is given.

2 Related Work

Before describing the problem, and later on the experimental setup, we first

1. Introduce three common prediction tasks in graph neural networks (GNNs).

2. Give a general overview of how GNNs organize and process graph structured data.

3. We further discuss the relation of messasge passing mechanism to the WL-test, an algorithm for inspecting whether two graphs are isomorph.

4. Give a formal definition and description of two GNN architectures which will be used in our experiments.

5. Discuss typical issues which occur in GNNs and methods for adressing those issues.

2.1 Prediction Tasks and Typical Problems

Graphs naturally appear in numerous application domains, ranging from social analysis, bioinformatics to computer vision. A Graph $G = (V, E)$, where $V = \{v_1, ..., v_n\}$ is a set of $N = |V|$ nodes and $E \subseteq V \times V$ a set of edges betwen those nodes. The unique capability of graphs enables capturing the structural relations among data, and thus allows to harvest more insights compared to analyzing data in isolation [Zha+19]. Graphs therefore can be seen as a general language for describing entities and relationships between those entities. Graph neural networks (GNNs) then organize graph structured data to tackle various prediction and classification tasks. Typically, one is interested in one of the following three tasks:

1. **Link prediction:** Predict whether there are missing links between two nodes e.g., knowledge graph completion.

2. **Vertex classification & regression:** Predict a property of a node e.g., categorize online users/items.

3. **Graph classification & regression:** Here, we are interested in classifying or predicting a continuous value for the entire graph, e.g., predicting a property of a molecule.

In this work the main focus will be on the latter two, node classification (NC) node regression (NR) and graph classification (GC) graph regression (GR) for small- as well as large-sized graphs.

2.2 Passing Messages in GNNs

Graphs, by nature, are unstructured. Vertices in graphs have no natural order and can contatin any type of information. In order for machine learning algorithms to be able to make use of graph structured data, a mechanism is needed to organize them in a suitable way [Zho+20a; HYL17; Zha+19].

Message passing is a mechanism, which embeds into every node information about it's neighbourhood [Xu+19; Zho+20a]. This can be done in several ways. One way of classifying a GNN is by looking at the underlying message passing machanism. In this paper we will look at a network, where message passing is done via convolutions (graph convolutional network (GCN)). We will however ocasionally use the more general term message passing, as the separation is rather blurred and message passing describes a neighborhood aggregation scheme which is seen as a generalisation of other, more specific mechanisms.

Formally, message passing in a GNN can be described as using two functions: AGGREGATE and COMBINE. The expressive and representational power of a GNN can then be determined by looking at the concrete functions and their properties, used to implement aggregation and combination. AGGREGATE mixes the hidden representation of every node's neighborhood in every iteration. COMBINE then combines the mixed representation togheter with the representation of the node.

Each node uses the information from its neighbors to update its embeddings, thus a natural extension is to use the information to increase the receptive field by performing AGGREGATE and COMBINE multiple times.

$$a_v^k = \text{AGGREGATE}^{(k)}(\{h_u^{(k-1)} : u \in \mathcal{N}_{(v)}\})$$
$$h_v^{(k)} = \text{COMBINE}^{(k)}(h_v^{(k-1)}, a_v^{(k)})$$

For graph-level predictions, an additional READOUT- operation is used:

$$h_G = \text{READOUT}(\{h_v^{(K)} \mid v \in G\})$$

One useful type of information, which the message passing framework should be able to capture, is the local graph structure. This can be done by choosing functions with appropriate properties. A more detailed explanation will follow in section 2.2.2. In spatial GNNs we make the assumption of the similarity of neighbor nodes. To exploit this spatial similarity, we perform composition by stacking multiple layers togheter increasing the receptive field.

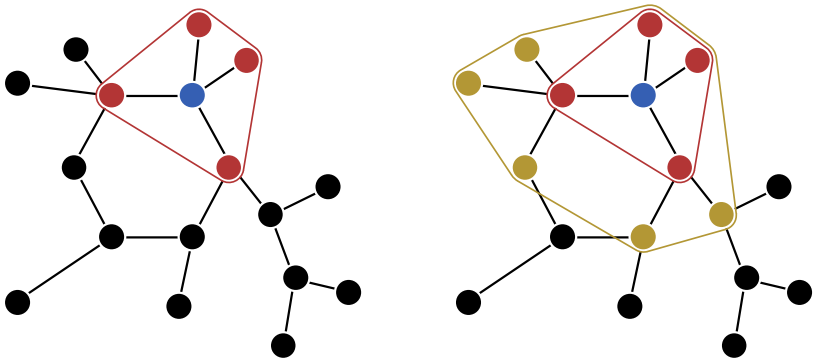

Fig. 2.1.: By performing aggregation k-times we can reach and capture the structural information of the k-hop neighborhood

2.2.1 Weisfeiler-Lehman Graph Colorings

The Message passing mechanism has a close relation, to the way the Weisfeiler-Lehman (WL) test [WL68; DMH20; HV22] works, an algorithm for deciding if two graphs are isomorphic. Before describing the algorithm, we introduce notations and

preliminaries.

Let $G = (V, E, X)$ denote an undirected graph, where $V = \{v_1, ..., v_n\}$ is a set of $N = |V|$ nodes and $E \subseteq V \times V$ a set of edges between those nodes. For simplicity, we represent an edge $\{v, u\} in E$ by $(v, u) \in E$ or $(u, v) \in E$. $X = [x_1, ..., x_n]^T \in \mathbb{R}^{n \times d}$ is the node feature matrix, where $n = |V|$ is the number of nodes and $x_v \in \mathbb{R}^d$ represents the *d*-dimensional feature of node v. $\mathcal{N}_v = \{u \in V \mid (v, u) \in E\}$ is the set of neighboring nodes of node v. A multiset is denoted as $\{\!\{...\}\!\}$ and formally defined as follows.

Definition 2.1 (Multiset)**.** A multiset is a generalisation of a set allowing repeating elements. A multiset $\mathcal{X}$ can be formally represented by a 2-tuple as $X = (S_X, m_X)$, where S_X is the underlying set formed by the distinct elements in the multiset and $m_X : S_X \to \mathbb{Z}^+$ gives the multiplicity (i.e, the number of occurrences) of the elements. If the elements in the multiset are generally drawn from a set X (i.e., $S_X \subseteq \mathcal{X}$), then $\mathcal{X}$ is the universe of X and we denote it as $X \subseteq \mathcal{X}$ for ease of notation.

Definition 2.2 (Isomorphism)**.** Two Graphs $\mathcal{G} = (V, E, X)$ and $\mathcal{H} = (P, F, Y)$ are *isomorphic*, denoted as $\mathcal{G} \simeq \mathcal{H}$, if there exists a *bijective* mapping $g : V \to P$ such that $x_v = y_{g(v)}$, $\forall v \in V$ and $(v, u) \in E$ iff $(g(v), g(u)) \in F$.

The 1-dimensional WL algorithm (color refinement)

In the 1-dimensional WL algorithm (1-WL), a label, called *color*, c_v^0 is assigned to each vertex of a graph. Then, in every iteration, the colors get updated based on the multiset representation of the neighborhood of the node until convergence. If at some iteration the colorings of the graphs differ, 1-WL decides that the graphs are not isomorphic.

$$c_v^l \leftarrow \text{HASH}\left(c_v^{l-1}, \{\!\{c_u^{l-1} \mid u \in \mathcal{N}_v\}\!\}\right)$$

Algorithmically this can be expressed as follows:

Algorithm 1 1-dim. WL (color refinement)

Input: $G = (V, E, X_V)$

1: $c_v^0 \leftarrow hash(X_v)$ for all $v \in V$
2: **repeat**
3: $\quad c_v^l \leftarrow hash(c_v^{l-1}, \{\!\{c_w^{l-1} : w \in \mathcal{N}_G(v)\}\!\})$ forall $v \in V$
4: **until** $(c_v^l)_{v \in V} = (c_v^{l-1})_{v \in V}$
5: **return** $\{\!\{c_v^l : v \in V\}\!\}$

The 1-WL is a heuristic method, which can efficiently distinguish a broad class of non-isomorphic graphs [BK79]. However, there exist some corner cases, where the algorithm fails to classify simple shapes as non-isomorphic. This is the case for non-isomorphic graphs with the same number of nodes and equivalent sets of node-degrees, as shown in fig. 2.3.

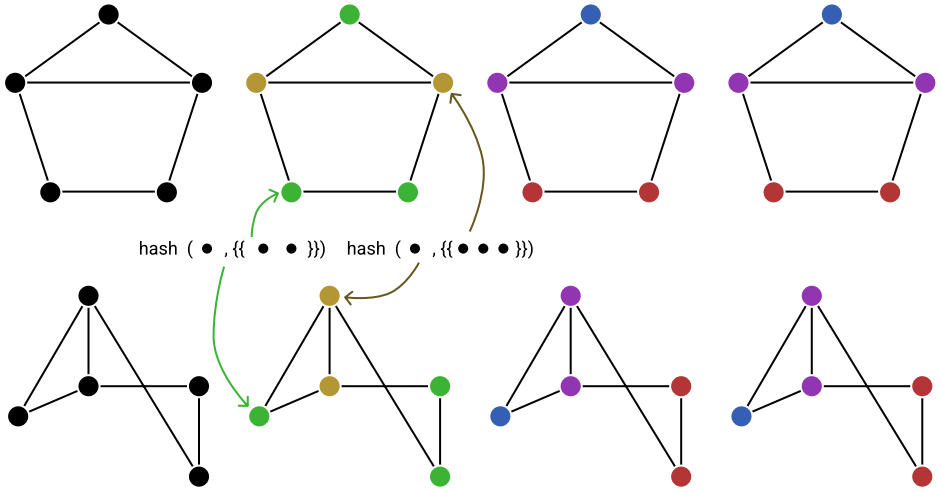

Fig. 2.2.: Two isomorphic graphs. 1-WLassigns the same representation to those graphs.

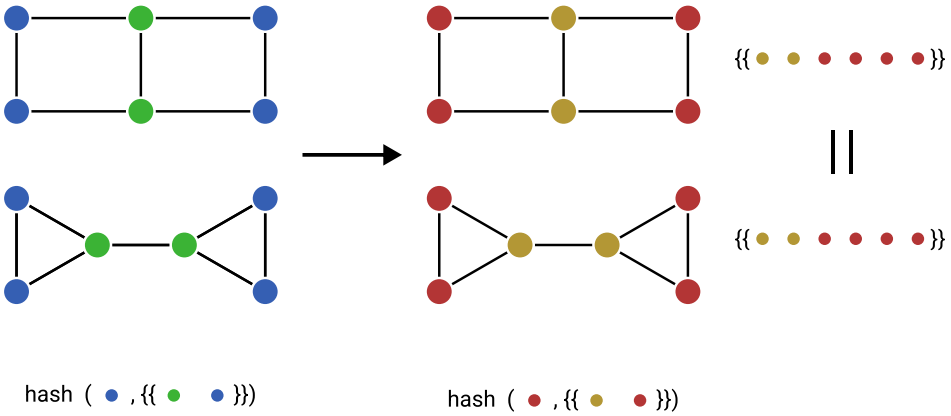

Fig. 2.3.: 1-WL assigned the same labeling to two non-isomorphic graphs [LYJ22].

2.2.2 GNN Architectures in this Paper

In the following section we briefly introduce and motivate the choice of two types of networks, which we have chosen to experiementally verify the efficacy of several regularization techniques, which will be discussed in section 2.2.4.

Since all GNNs encorporate message passing in a way, we decided to chose two architectures for our experiments, which are powerful, efficient, scalable and broadly used.

Graph Convolutional Network (GCN)

Graph convolutional network (GCN) was originally proposed by Kipf and Welling [KW17] to tackle the problem of semi-supervised node classification, where lables are available for a small subset of nodes. GCN is a simple, but powerful architecture, that scales linearly in the number of graph edges and learns hidden layer representations that encode both local graph structure and features of nodes.

A GCN can formally be expressed via the following layer-wise propagation rule:

$$H^{(l+1)} = \sigma(\tilde{D}^{-\frac{1}{2}}\tilde{A}\tilde{D}^{-\frac{1}{2}}H^{(l)}W^{(l)})$$

Where $\tilde{A} = A + I_N$ is the adjacency matrix of the undirected graph $\mathcal{G}$ with added self-connections. I_N is the identity matrix. $\tilde{D}_{ii} = \sum_j \tilde{A}_{ij}$ and W^l is a layer-specific trainable weight-matrix. $\sigma(\cdot)$ denotes an activation function, such as $ReLU(\cdot) = max(0, \cdot)$. $H^l \in N \times D$ is the matrix of activations in the l^{th} layer; $H^0 = X$.

Because we consider every neighbor to be of equal importance and therefore normalization is accomplished by dividing by the number of neighbours, one can view this operation as performing an element-wise mean-pooling [Xu+19].

$$h_v^{(k)} = \text{ReLU}(\text{W} \cdot \text{MEAN}\{h_u^{k-1} \mid \forall u \in \mathcal{N}_{(v)} \cup \{v\}\})$$

An application of a two-layer GCN is given by:

$$Z = f(X, A) = \text{softmax}(\hat{A}\ \text{ReLU}(\hat{A}XW^0)W^l)$$

where $\hat{A} = \tilde{D}^{-\frac{1}{2}}\tilde{A}\tilde{D}^{-\frac{1}{2}}$ is calculated in a preprocessing step. The model uses a single weight matrix per layer and deals with varying node degrees through an appropriate normalization of the adjacency matrix. This model consisting of a 2-layer GCN performed well in a series of experimental tasks, including semi-supervised document classification, semi-supervised node classification in citation networks and semi-supervised entity classification in a bipartite graph extracted from a knowledge graph. The prediction accuracy was evaluated on a set of 1000 examples and additional experiments on deeper models with up to 10 layers have been also provided. Being capable of encoding both graph structure and node features, GCN outperformed numerous related methods by a significant margin [KW17].

Graph convolutional networks (GCNs) are widely and successfully used today in many fiels due to their simplicity and scalability.

Graph Isomorphism Network (GIN)

To overcome the lack of expressivity of popular GNN architectures, Xu et al. [Xu+19] designed a new type of GNN, graph isomorphism network (GIN). They prove that GINs are strictly more expressive than a variety of previous GNN architectures and that they are in fact as powerful as the commonly used 1-dimensional Weisfeiler-Lehman (WL)-test.

Two requirements must be met for a network to have the same expressive and representational power as the WL isomorphism test:

1. The framework must be able to represent the set of feature vectors of a given nodes neighbors as a multiset.

2. Choosing an injective function for the aggregation step. Such a function would never map two different neighborhoods to the same representation.

The more discriminative the multiset function is, the more powerful the representational power of the underlying GNN.

Formally, a graph isomorphism network (GIN) can be expressed as follows:

$$h_v^{(k)} = \mathrm{MLP}^{(k)}\left((1+\epsilon^{(k)})\cdot h_v^{(k-1)} + \sum_{u\in\mathcal{N}(v)} h_u^{(k-1)}\right)$$

The choice of such an architecture, is motivated by the necessity to learn two functions with certain properties, f and ϕ. This task can be accomplished using a multilayer perceptron (MLP). The following lemma and corollary, proven by Xu et al. [Xu+19] show the properties and application of the functions:

Theorem 2.3. *Let $A : G :\to \mathbb{R}^d$ be a GNN. With a sufficient number of GNN layers, A maps any grphs G_1 and G_2 to different embeddings, the WL-test of isomorphism decides as non-isomorphic, to different embeddings if the following conditions hold:*

(a) A aggregates and updates node features iteratively with

$$h_v^{(k)} = \phi(h_v^{(k-1)}, f(\{h_u^{(k-1)} \mid u \in \mathcal{N}_{(v)}\}))$$

where the functions f, which operates on multisets and, and ϕ are injective.

(b) A's graph-level readout, which operates on the multiset of node features $\{h_v^{(k)}\}$, is injective.

Lemma 2.4. *Assume $\mathcal{X}$ is countable. There exists a function $f : \mathcal{X} \to \mathbb{R}^n$ so that $h(X) = \sum_{x \in X} f(x)$ is unique for each multiset $X \subseteq \mathcal{X}$ of bounded size. Moreover, any multiset function g can be decomposed as $g(X) = \phi(\sum_{x \in X} f(x))$ for some function ϕ.*

Corollary 2.5. *Assume $\mathcal{X}$ is countable. There exists a function $f : \mathcal{X} \to \mathbb{R}^n$ so that for infinetly many choices of ϵ, including all irrational numbers, $h(c, X) = (1 + \epsilon) \cdot f(c) + \sum_{x \in X} f(x)$ is unique for each pair (c,X), where $c \in \mathcal{X}$ and $X \subseteq \mathcal{X}$ is a multiset of bounded size. Moreover, any function g over such pairs can be decomposed as $g(c, X) = \varphi(1 + \epsilon)\dot{f}(c) + \sum_{x \in X} f(x)$ for some function φ.*

2.2.3 Weaknesses and Obstacles in GNNs

Because of the way GNNs operate, they tend to suffer from two main obstacles: Overfitting and oversmoothing.

Overfitting hinders the generalization ability of a neural network (NN), making it perform poorly on previously unseen data. This occurs expecially when using small datasets, since the model thends to 'memorize' instead of learn the pattern.

Oversmoothing is a condition, where the performance and predictive power of a NN does not improve or even gets worse when more layers are added. This happens because by stacking multiple layers togheter aggregation is being performed over and over again. This way, the representation of a node is being smoothed, i.e., mixed with features of very distant, possibly unrelated nodes. Oversmoothing is a problem mainly for node classification tasks. There is a trade-off between the expressiveness of the model (capturing graph structure by applying multiple layers) and oversmoothing, which leads to a model where nodes have the same representation, because they all converge to indistinguishable vectors [Zho+20b; Has+20].[1]

A closer examination of underlying causes of oversmoothing was conducted by Chen et al. [Che+20], who suggested, that not message passing itself, but the type of interacting nodes cause this issue. For node classification (NC) tasks, intra-class communication (interaction between two nodes sharing the same class) is useful (signal), whereas inter-class communication (the communication between two nodes

[1] In spatial GNNs we make the assumption of relatedness by proximity.

sharing different lables) is considered harmful, because it brings interference noise into the feature-representations by mixing unrelated features and therefore making unrelated nodes more similar to each other. Because of that, the the quality of shared information is essential and should therefore be considered as a benchmark for improvement.

2.2.4 Regularization Techniques

Kukacka et al. [KGC17] define regularization as any supplementary technique that aims at making the model generalize better, i.e., produce better results on the test set, which can include various properties of the loss function, the loss optimization algorithm, or other techniques.

One subgroup of regularization is via data, where the training set $\mathcal{D}$ is transformed into a new set $\mathcal{D}_R$ using some stochastic parameter π, which can be used in various ways, including to manipulate the feature space, create a new, augmented dataset or to change e.g, thin out the hidden layers of the NN.

An example of such a transformation is corruption of inputs by Gaussian noise.

$$\tau_0(x) = x + \theta, \theta \backsim \mathcal{N}(0, \Sigma)$$

In this work we focus on stochastic regularization techniques, which perform data augmentation in one way or another and whose main benefits lie in the alleviation of overfitting and oversmoothing [Has+20]. We will use the following notation:

Notation	Description
$H^{(l)} = [h_0^{(l)}, \dots h_n^{(l)}]^T \in \mathbb{R}^{n \times f_l}$	Output of the l-th hidden layer in GNN
n	Number of nodes
f_l	The number of output features at the l-th layer
$H^0 = X \in \mathbb{R}^{n \times f^0}$	Input matrix of node attributes
f_0	Number of nodes features
$W^l \in \mathbb{R}^{f_l \times f_{l+1}}$	The GNN parameters at the l-th layer
$\sigma(\cdot)$	Corresponding activation function
$\mathcal{N}(v)$	Neighborhood of node v
$\tilde{\mathcal{N}}(v) = \mathcal{N}(v) \cup v$	$\mathcal{N}(v)$ with added self-connection
$\mathfrak{N}(\cdot)$	Normalizing operator
$\odot$	Hadamard product

DropOut (Srivastava et al.)

DropOut (DO)[Sri+14] randomly removes elements of its previous hidden layer $H^{(l)}$ based on independent Bernoulli random draws with a constant success rate at each training iteraration:

$$H^{(l+1)} = \sigma(\mathfrak{R}(A)(Z^{(l)} \odot H^{(l)})W^{(l)})$$

where Z^l is a ramdom binary matrix, with the same dimensions as H^l, whose elements are samples of Bernoulli(π).

The random drop of units (along with their connections) from the neural network during training prevents units from co-adapting too much. A neural net with n units can be seen as a collection of 2^n possible networks. Applying dropout with a certain probability π can be interpreted as sampling "thinned" networks from all possible 2^n networks. In the end, since averaging over all possible networks is computationally expensive, an approximation for combining the prediction is used. This averaging method entails using a single neural net with weights, which are scaled-down weights obtained during training time.

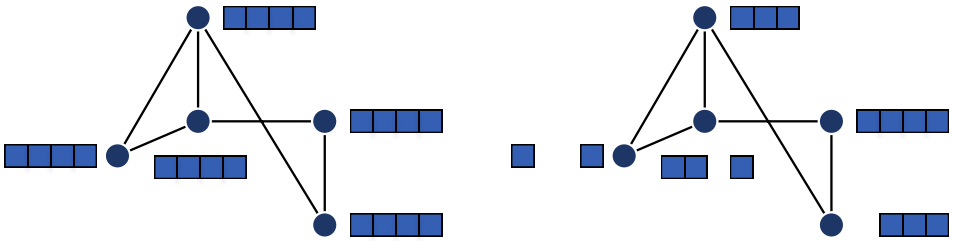

Fig. 2.4.: DropOut (DO) preserves connections between nodes as well as the nodes itself, unless we chose a large probability π, which drops all of the nodes features.

DropEdge (Rong et al.)

DropEdge (DE) [Ron+20] randomly removes a certain number of edges from the input graph at each training epoch and can be formally expressed as follows:

$$H^{(l+1)} = \sigma(\mathfrak{R}(A \odot Z^{(l)})H^{(l)}W^{(l)})$$

The random binary mask Z^l has the same dimensions as A. Its elements are the random samples of Bernoulli(π) where their corresponding elements in A are non-zero and zero everywhere else.
Message passing in GNNs happens along the edges between neighbours. Randomly removng edges makes the connections more sparse, which leads to slower convergence time and thus prevents the network from oversmoothing and allows for a deeper architecture. Intuitively this makes sence, since removing an edge means, that the node, previosely connected by that edge stops being a neighbor. Consequently the representation of this former neighbor does not get mixed with the representation of the node.

DE also acts like a data augmenter, since by randomly dropping edges we manipulate/change the underlying graph data. Since the data is now augmented with noise, it is harder for the network to overfit the data by "memorising" rather than learning complex relationships. The combination of DO and DE reaches a better performance in terms of mitigating overfitting in GNNs than DE on it's own.

NodeSampling (Chen et al.)

This method of regularization, also known as FastGCN [CMX18] was developed to improve the GCN [KW17] architecture and to adress the bottleneck issues of

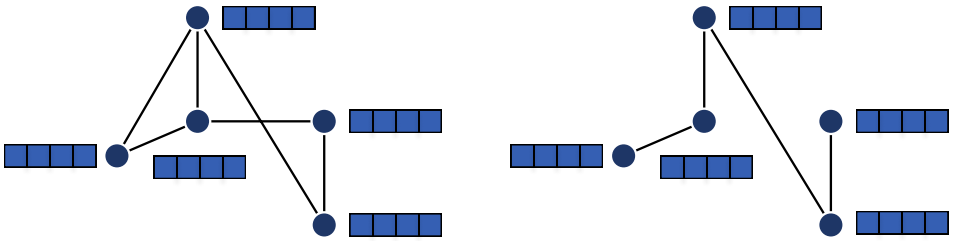

Fig. 2.5.: DropEdge (DE) preserves nodes and all of nodes featurs, but randomly removes edges, leading to a smaller number of neighbors, which results in slower convergence times and allowes for architectures with more hidden layers.

GCNs caused by recursive expansion of neighborhoods.It reduces the expensive computation in batch training of GNN by relaxing the requirement of simultaneous availability of test data. Graphs can be very large and therefore require large computational and processing capacities. By randomly dropping out nodes, we reduce the amount of data in such a manner, that it alleviates the expensiveness of the computation reduces and bottleneck issue while preserving important relations.

$$H^{(l+1)} = \sigma(\mathfrak{R}(A)diag(z^{(l)})H^{(l)}W^{(l)})$$

Here, $z^{(l)}$ is a random vector whose elements are drawn from Bernoulli(π). This is a special case of DO, since all of the output features are either kept or completely dropped.

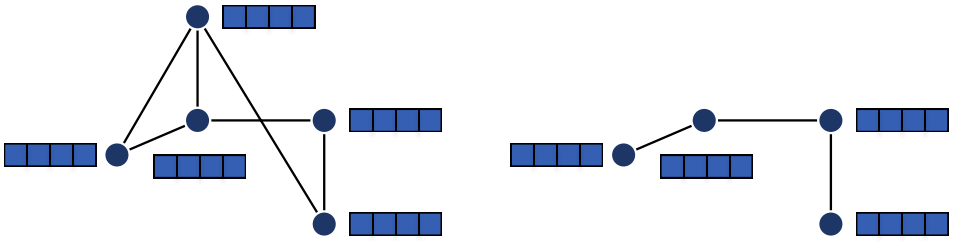

Fig. 2.6.: In NodeSampling (NS), a node is either removed or preserved along with the whole feature vector with a certain probability π.

GraphDropConnect (Hasanzadeh et al.)

Finally, GraphDropConnect (GDC) [Has+20], which can be seen as a generalization of all the above proposed methods, is a stochastic regularization approach,

which has been shown to be the most effective among all the above and even more effective than the combination of DO and DE. The regularization is done via adaptive connection sampling and can be interpreted as an approximation of Bayesian GNNs.

$$H^{(l+1)}[:,j] = \sigma\left(\sum_{i=1}^{f_t} \mathfrak{R}\left(A \odot Z_{i,j}^{(l)}\right) H^{(l)}[:,i] W^{(l)}[i,j]\right)$$
$$\text{for } j = 1, ..., f_{t+1}$$

where f_t and f_{t+1} are the number of features at layers l and $l+1$, respectively, and $Z_{i,j}^{(l)}$ is a sparse random matrix (with the same sparsity as A), whose non-zero elements are randomly drawn from Bernoulli(π_l), where π_l can be different for each layer. GDC is a regularization technique, that combines all of the above by drawing different random masks for each channel and edge independently, which yield better performance results then all of the previous methods or even combinations of them. GDC, as it is expressed in the formula above has not been implemented and evaluated yet. Instead, a special case of GDC has been implemented:

Under the assumption, that $Z_{i,j}^{(l)}$ are the same for all $j \in \{1, 2, \ldots, f_{l+1}\}$, we can omit the indices of the output elements at layer $l+1$ and rewrite the above formula as follows:

$$H^{(l+1)} = \sigma(\sum_{i=1}^{f_l} \mathfrak{N}(A \odot Z_i^{(l)}) H^{(l)}[:,i] W^{(l)}[i,:])$$

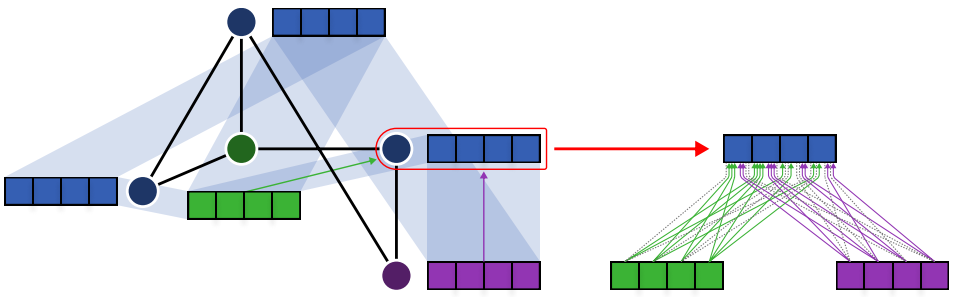

Fig. 2.7.: GraphDropConnect (GDC)can be thought of as duplicating every existing edge between features of the feature-vectors of existing nodes and then randomly removing every edge with a certain probability π before the convolution.

All the methods are somewhat related and share some similarities [Ron+20]. DropOut (DO) has been successful in alleviating overfitting by perturbing the feature matrix and setting some entries to zero. The issue of oversmoothing is not affected by this measure. DropEdge (DE) achieved great results in reducing both overfitting as well as oversmoothing. Intuitively this makes sense, because smoothing comes from the aggregation of the neighbours of a certain node and by dropping the connections to some neighbours, the feature vectors of those neighbours are no longer aggregated and combined with the hidden representaion of the node.

NodeSampling (NS) is a special case of DropOut (DO), as all of the output features for a node are either completely kept or dropped while DO randomly removes some of these related output elements associated with the node. Also, along with the dropped node, the edges of this node are dropped. The method itself, however is node-oriented and the edge-drop is a "side-effect".

GraphDropConnect (GDC) generalizes existing stochastic regularization methods for training GNNs and is effective in dealing with overfitting and oversmoothing. GDC regularizes neighbourhood aggregation in GNNs at each chanel separately. This prevents connected nodes in graph from having the same learned representations in GNN layers; hence better improvement without serious oversmoothing can be achieved [Has+20].

3 Implementation

This section provides a brief overview of the experimental setup and aims to motivate the choice of datasets, libraries and frameworks.

3.1 Scope and Limitations

3.2 Experimental Setup

Usually neighbourhood relationships between nodes are represented with adjacency matrices. In this paper, however we choose to work with adjacency lists, as it brings some benefits. Since the experiments are conducted on Open Graph Benchmark (OGB) datasets, where adjacency is represented as a list, we make use of tensorflow's tensorflow [TF] gather and scatter operations.

gather fetches data at the indexed locations and scatter updates the output data at the indexed loacations. This is used to realize the convolution

3.2.1 Choice of Frameworks

Below we give a quick overview of used daasets and frameworks and motivate the choice.

Datasets

Despite the fact, that machine learning on graph structured data is carried out in many areas and has many interesting use cases ranging from social networks, to

molecular graphs, to manifolds, to source code [Hu+20], there does not exist and unified framework for working with graph-structured data. Furthermore commonly-used datasets and evaluation procedures suffer from multiple issues, that negatively affect the quality of predictions and the reliability of evaluations of models. Machine learning algorithms rely heavily on data. In order for a GNN to be able to make accurate predictions, there is need for a sufficient amount of properly prepared training data. To be able to compare different models against each other there is need of standardized splitting and evaluation methods.

Today, most of the frequently-used graph datasets are extremely small compared to graphs found in real applications. Same datasets, such as Cora, CiteSeer and PubMed are used again and again to train various models leading to poor scalability in the majority of cases. Small datasets also make it hard to rigorously evaluate data-hungry models, such as graph neural networks (GNNs). The performance of a GNN on these datasets is often unstable and nearly statistically identical to each other, due to the small number of samples the models are trained and evaluated on [KW17; Xu+19; Hu+20].

OGB offers a wide range of different-sized graph-datasets from different domains for a variety of different classification tasks and provides an unified pipeline for working with the datasets in ML tasks. The unified experimental protocol with standardized dataset splits, evaluation metrics and cross-validation protocols makes it easy to compare perfromance reported across various studies [Hu+20].

Working with OGB consists of following steps:

1. OGB provides realistic, different-scaled graph benchmark datasets that cover different prediction tasks, are from diverse application.

2. Dataset processing and splitting is fully automated. OGB data loaders automatically download and process graphs and further split the datasets in a standardized manner. This is compatible with multiple libraries and a library-agnostic option is also provided.

3. This step includes developing an ML model to train on the ogb datasets.

4. OGB evaluates the model in a dataset-dependent manner, and outputs the model performance appropriate for the task at hand.

5. OGB provides public leaderboards to keep track of recent advances.

Fig. 3.1.: Overview of the standardized OGB pipeline adapted from [Hu+20]

Metrics

To be able to make systematic and quantative statements about the positive effects on oversmoothing by using different regularization techniques, one has to be able to monitor the smoothness of nodes at different execution steps during training. Therefore, a choice of a suitable metric is of great importance, as it helps to access the extent of the effect produced by various regularization techniques and compare them against each other in terms of efficacy.

Mean Average Distance (MAD) [Che+20] is a metric for smoothness, the similarity of graph nodes representations. In that sence over-smoothness is the similarity of nodes representations among different classes. While smoothing to some extent is desired(we assume spatial similarity between nodes), mixing features of nodes with different lables over several iterations leads to oversmoothing.

It is therefore important to differentiate between different types of messages between nodes. Signal/information is the messaging of nodes, which share the same class/label, i.e., intra-class communication and noize denotes intra-class comunication. Having too many inter-class edges leads to much noise by encorporating messages from other classes, which results in oversmoothing.

Because of that it is crucial to have a measure of the quality of the recieved messages. A way to do that is to consider the information-to noise ratio i.e., the fraction of intra-class node pairs and all node pairs that have interaction trough GNN model. That way it is possible to differentiate between remote and neihbouring nodes and calculate the **MADGap (MADGap)**, which is strongly positive correlated with a models accuracy.

MAD is calculated as follows:

Given the graph representation matrix $H \in \mathbb{R}^{n \times h}$ we first obtain the distance matrix $D \in \mathbb{R}^{n \times n}$ for H by computing the cosine distance between each node pair.

$$D_{i,j} = 1 - \frac{H_{i,:} \cdot H_{j,:}}{\mid H_{i,:} \mid \cdot \mid H_{j,:} \mid} \quad i, j \in [1, 2, \ldots, n],$$

where H_k is the k-th row of H. The reason to use cosine distance is that cosine distance is not affected by the absolute value of the node vector, thus better reflecting the smoothness of graph representation. Then we filter the target node pairs by element-wise mutliplication D with a mask matrix M^{tgt}

$$D^{tgt} = D \odot M^{tgt},$$

where $\odot$ denotes the element-wise mutliplication: $M^{tgt} \in \{0, 1\}^{n \times n}; M^{tgt}_{i,j} = 1$ only if node pair (i, j) is the target one. Next we access the average distance $\bar{D}^{tgt}$ for non-zero values along each row in D^{tgt} :

$$\bar{D}^{tgt}_t = \frac{\sum_{j=0}^{n} D^{tgt}_{i,j}}{\sum_{j=0}^{n} \mathbb{1}(D^{tgt}_{i,j})}$$

MAD gives acess to the smoothness of a node and pairs of nodes troughout iterations, which makes it easy to "track down" oversmoothing.

4 Evaluation

5 Conclusion

5.1 Future Work

Another proposed regularization technique https://arxiv.org/pdf/2106.07971.pdf

Appendix A

Bibliography

[BK79] László Babai and Ludek Kucera. “Canonical Labelling of Graphs in Linear Average Time”. In: *20th Annual Symposium on Foundations of Computer Science, San Juan, Puerto Rico, 29-31 October 1979*. IEEE Computer Society, 1979, pp. 39–46 (cit. on p. 7).

[Che+20] Deli Chen, Yankai Lin, Wei Li, et al. “Measuring and Relieving the Over-Smoothing Problem for Graph Neural Networks from the Topological View”. In: *The Thirty-Fourth AAAI Conference on Artificial Intelligence, AAAI 2020, The Thirty-Second Innovative Applications of Artificial Intelligence Conference, IAAI 2020, The Tenth AAAI Symposium on Educational Advances in Artificial Intelligence, EAAI 2020, New York, NY, USA, February 7-12, 2020*. AAAI Press, 2020, pp. 3438–3445 (cit. on pp. 11, 21).

[CMX18] Jie Chen, Tengfei Ma, and Cao Xiao. “FastGCN: Fast Learning with Graph Convolutional Networks via Importance Sampling”. In: *6th International Conference on Learning Representations, ICLR 2018, Vancouver, BC, Canada, April 30 - May 3, 2018, Conference Track Proceedings*. OpenReview.net, 2018 (cit. on p. 14).

[DMH20] Clemens Damke, Vitalik Melnikov, and Eyke Hüllermeier. “A Novel Higher-order Weisfeiler-Lehman Graph Convolution”. In: *Proceedings of The 12th Asian Conference on Machine Learning, ACML 2020, 18-20 November 2020, Bangkok, Thailand*. Ed. by Sinno Jialin Pan and Masashi Sugiyama. Vol. 129. Proceedings of Machine Learning Research. PMLR, 2020, pp. 49–64 (cit. on p. 5).

[HYL17] William L. Hamilton, Rex Ying, and Jure Leskovec. “Representation Learning on Graphs: Methods and Applications”. In: *IEEE Data Eng. Bull.* 40.3 (2017), pp. 52–74 (cit. on p. 4).

[Has+20] Arman Hasanzadeh, Ehsan Hajiramezanali, Shahin Boluki, et al. “Bayesian Graph Neural Networks with Adaptive Connection Sampling”. In: *CoRR* abs/2006.04064 (2020). arXiv: 2006.04064 (cit. on pp. 11, 12, 15, 17).

[Hu+20] Weihua Hu, Matthias Fey, Marinka Zitnik, et al. “Open Graph Benchmark: Datasets for Machine Learning on Graphs”. In: *CoRR* abs/2005.00687 (2020). arXiv: 2005.00687 (cit. on pp. 20, 21).

[HV22] Ningyuan Huang and Soledad Villar. “A Short Tutorial on The Weisfeiler-Lehman Test And Its Variants”. In: *CoRR* abs/2201.07083 (2022). arXiv: 2201.07083 (cit. on p. 5).

[KW17] Thomas N. Kipf and Max Welling. “Semi-Supervised Classification with Graph Convolutional Networks”. In: *5th International Conference on Learning Representations, ICLR 2017, Toulon, France, April 24-26, 2017, Conference Track Proceedings*. OpenReview.net, 2017 (cit. on pp. 8, 9, 14, 20).

[KGC17] Jan Kukacka, Vladimir Golkov, and Daniel Cremers. “Regularization for Deep Learning: A Taxonomy”. In: *CoRR* abs/1710.10686 (2017). arXiv: 1710.10686 (cit. on p. 12).

[LYJ22] Meng Liu, Haiyang Yu, and Shuiwang Ji. “Your Neighbors Are Communicating: Towards Powerful and Scalable Graph Neural Networks”. In: *CoRR* abs/2206.02059 (2022). arXiv: 2206.02059 (cit. on p. 8).

[Ron+20] Yu Rong, Wenbing Huang, Tingyang Xu, and Junzhou Huang. “DropEdge: Towards Deep Graph Convolutional Networks on Node Classification”. In: *8th International Conference on Learning Representations, ICLR 2020, Addis Ababa, Ethiopia, April 26-30, 2020*. OpenReview.net, 2020 (cit. on pp. 14, 17).

[Sri+14] Nitish Srivastava, Geoffrey E. Hinton, Alex Krizhevsky, Ilya Sutskever, and Ruslan Salakhutdinov. “Dropout: a simple way to prevent neural networks from overfitting”. In: *J. Mach. Learn. Res.* 15.1 (2014), pp. 1929–1958 (cit. on p. 13).

[WL68] Boris Weisfeiler and Andrei A. Lehman. “A reduction of a graph to a canonical form and an algebra arising during this reduction”. In: *Nauchno-Technicheskaya Informatsia* 2.9 (1968), pp. 12–16 (cit. on p. 5).

[Xu+19] Keyulu Xu, Weihua Hu, Jure Leskovec, and Stefanie Jegelka. “How Powerful are Graph Neural Networks?” In: *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*. OpenReview.net, 2019 (cit. on pp. 4, 9, 10, 20).

[Zha+19] Si Zhang, Hanghang Tong, Jiejun Xu, and Ross Maciejewski. “Graph Convolutional Networks: A Comprehensive Revew”. In: *Computational Social Networks* (2019) (cit. on pp. 3, 4).

[Zho+20a] Jie Zhou, Ganqu Cui, Shengding Hu, et al. “Graph neural networks: A review of methods and applications”. In: *AI Open* 1 (2020), pp. 57–81 (cit. on p. 4).

[Zho+20b] Kaixiong Zhou, Xiao Huang, Yuening Li, et al. “Towards Deeper Graph Neural Networks with Differentiable Group Normalization”. In: *Advances in Neural Information Processing Systems 33: Annual Conference on Neural Information Processing Systems 2020, NeurIPS 2020, December 6-12, 2020, virtual*. Ed. by Hugo Larochelle, Marc’Aurelio Ranzato, Raia Hadsell, Maria-Florina Balcan, and Hsuan-Tien Lin. 2020 (cit. on p. 11).

Websites

[TF] *Tensorflow*. URL: https://www.tensorflow.org/ (visited on Jan. 20, 2023) (cit. on p. 19).

List of Figures

List of Tables

Colophon

This thesis was typeset with LaTeX 2_ε. It uses the *Clean Thesis* style developed by Ricardo Langner. The design of the *Clean Thesis* style is inspired by user guide documents from Apple Inc.

Download the *Clean Thesis* style at `http://cleanthesis.der-ric.de/`.

Declaration

Ich, Olga Yakobson (Matrikel-Nr. 11591478), versichere, dass ich die Masterarbeit mit dem Thema SerialExperimentsOlga selbstständig verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt habe. Die Stellen der Arbeit, die ich anderen Werken dem Wortlaut oder dem Sinn nach entnommen habe, wurden in jedem Fall unter Angabe der Quellen der Entlehnung kenntlich gemacht. Das Gleiche gilt auch für Tabellen, Skizzen, Zeichnungen, bildliche Darstellungen usw. Die Bachelorarbeit habe ich nicht, auch nicht auszugsweise, für eine andere abgeschlossene Prüfung angefertigt. Auf § 63 Abs. 5 HZG wird hingewiesen. München, 1. Februar 2023

Munich, February 4, 2022

Olga Yakobson