

# SerialExperimentsOlga

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Department of Mathematics,  
Informatics and Statistics  
Institute of Informatics



Artificial Intelligence and  
Machine Learning

Bachelor's Thesis

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Abstract

Abstract (different language)



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# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Motivation . . . . .	1
1.2	Research Questions . . . . .	1
1.3	Structure . . . . .	1
<b>2</b>	<b>Related Work</b>	<b>3</b>
2.1	Prediction Tasks and Typical Problems . . . . .	3
2.2	Passing Messages in GNNs . . . . .	4
2.2.1	Weisfeiler-Lehman Graph Colorings . . . . .	5
2.2.2	GNN Architectures in this Paper . . . . .	8
2.2.3	Weaknesses and Obstacles in graph neural networks (GNNs) .	11
2.2.4	Regularization Techniques . . . . .	12
<b>3</b>	<b>Implementation</b>	<b>19</b>
3.1	Scope and Limitations . . . . .	19
3.2	Experimental Setup . . . . .	19
3.2.1	Choice of Frameworks . . . . .	20
3.2.2	Implementation of Regularization Techniques . . . . .	24
3.2.3	Finding the Best Set of hyperparameters . . . . .	25
<b>4</b>	<b>Evaluation</b>	<b>27</b>
4.1	Datasets and Metrics Overview . . . . .	27
4.2	Conclusion . . . . .	28
4.3	Insights from Plots . . . . .	29
4.3.1	Effect of the Number of Layers . . . . .	29
4.4	Insights Regression Datasets . . . . .	34
4.4.1	Effect of the probability of regularization . . . . .	34



# Introduction

The field of ML on graph-structured data has recently become an active topic of research. One reason for this is the wide range of domains and problems that are expressible in terms of graphs.

## 1.1 Motivation

## 1.2 Research Questions

## 1.3 Structure

**Chapter 2: Related Work** Some text

**Chapter 3: Implementation** Some text

**?: Evaluation** Some text

**?: ??** Finally, the results of this thesis are summarized and a brief outline of promising directions for future research is given.



## Related Work

Before describing the problem, and later on the experimental setup, we first

1. Introduce three common prediction tasks in graph neural networks (GNNs).
2. Give a general overview of how GNNs organize and process graph structured data.
3. We further discuss the relation of message passing mechanism to the WL-test, an algorithm for inspecting whether two graphs are isomorph.
4. Give a formal definition and description of two GNN architectures which will be used in our experiments.
5. Discuss typical issues which occur in GNNs and methods for addressing those issues.

### 2.1 Prediction Tasks and Typical Problems

Graphs naturally appear in numerous application domains, ranging from social analysis, bioinformatics to computer vision. A Graph  $G = (V, E)$ , where  $V = \{v_1, \dots, v_n\}$  is a set of  $N = |V|$  nodes and  $E \subseteq V \times V$  a set of edges between those nodes. The unique capability of graphs enables capturing the structural relations among data, and thus allows to harvest more insights compared to analyzing data in isolation [Zha+19]. Graphs therefore can be seen as a general language for describing entities and relationships between those entities. Graph neural networks (GNNs) then organize graph structured data to tackle various prediction and classification tasks. Typically, one is interested in one of the following three tasks:

1. **Link prediction:** Predict whether there are missing links between two nodes e.g., knowledge graph completion.
2. **Vertex classification & regression:** Predict a property of a node e.g., categorize online users/items.
3. **Graph classification & regression:** Here, we are interested in classifying or predicting a continuous value for the entire graph, e.g., predicting a property of a molecule.

In this work the main focus will be on node classification (NC), graph classification (GC) and graph regression (GR) for small- as well as medium-sized graphs.

## 2.2 Passing Messages in GNNs

Graphs, by nature, are unstructured. Vertices in graphs have no natural order and can contain any type of information. In order for machine learning algorithms to be able to make use of graph structured data, a mechanism is needed to organize them in a suitable way [Zho+20a; HYL17; Zha+19].

Message passing is a mechanism, which embeds into every node information about it's neighbourhood [Xu+19; Zho+20a]. This can be done in several ways. One way of classifying a GNN is by looking at the underlying message passing mechanism. In this paper we will look at a network, where message passing is done via convolutions (graph convolutional network (GCN)). We will however occasionally use the more general term message passing, as the separation is rather blurred and message passing describes a neighborhood aggregation scheme which is seen as a generalisation of other, more specific mechanisms.

Formally, message passing in a GNN can be described as using two functions: AGGREGATE and COMBINE. The expressive and representational power of a GNN can then be determined by looking at the concrete functions and their properties, used to implement aggregation and combination. AGGREGATE mixes the hidden representation of every node's neighborhood in every iteration. COMBINE then combines the mixed representation together with the representation of the node. Each node uses the information from its neighbors to update its embeddings, thus

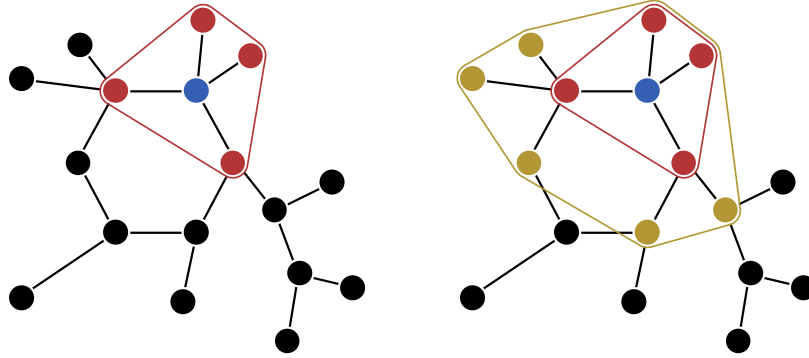
a natural extension is to use the information to increase the receptive field by performing AGGREGATE and COMBINE multiple times.

$$\begin{aligned} a_v^k &= \text{AGGREGATE}^{(k)}(\{h_u^{(k-1)} : u \in \mathcal{N}_{(v)}\}) \\ h_v^{(k)} &= \text{COMBINE}^{(k)}(h_v^{(k-1)}, a_v^{(k)}) \end{aligned}$$

For graph-level predictions, an additional READOUT- operation is used:

$$h_G = \text{READOUT}(\{h_v^{(K)} \mid v \in G\})$$

One useful type of information, which the message passing framework should be able to capture, is the local graph structure. This can be done by choosing functions with appropriate properties. A more detailed explanation will follow in section 2.2.2. In spatial GNNs we make the assumption of the similarity of neighbor nodes. To exploit this spatial similarity, we perform composition by stacking multiple layers together increasing the receptive field.



**Fig. 2.1:** By performing aggregation  $k$ -times, we can reach and capture the structural information of the  $k$ -hop neighborhood

### 2.2.1 Weisfeiler-Lehman Graph Colorings

The Message passing mechanism is closely related to the way the Weisfeiler-Lehman (WL) test [WL68; DMH20; HV22] works, an algorithm for deciding if two graphs are isomorphic. Before describing the algorithm, we introduce notations and prelim-

inaries.

Let  $G = (V, E, X)$  denote an undirected graph, where  $V = \{v_1, \dots, v_n\}$  is a set of  $N = |V|$  nodes and  $E \subseteq V \times V$  a set of edges between those nodes. For simplicity, we represent an edge  $\{v, u\} \in E$  by  $(v, u) \in E$  or  $(u, v) \in E$ .  $X = [x_1, \dots, x_n]^T \in \mathbb{R}^{n \times d}$  is the node feature matrix, where  $n = |V|$  is the number of nodes and  $x_v \in \mathbb{R}^d$  represents the  $d$ -dimensional feature of node  $v$ .  $\mathcal{N}_v = \{u \in V \mid (v, u) \in E\}$  is the set of neighboring nodes of node  $v$ . A multiset is denoted as  $\{\!\!\{ \dots \}\!\!\}$  and formally defined as follows.

**Definition 2.1** (Multiset). A multiset is a generalization of a set allowing repeating elements. A multiset  $\mathcal{X}$  can be formally represented by a 2-tuple as  $X = (S_X, m_X)$ , where  $S_X$  is the underlying set formed by the distinct elements in the multiset and  $m_X : S_X \rightarrow \mathbb{Z}^+$  gives the multiplicity (i.e., the number of occurrences) of the elements. If the elements in the multiset are generally drawn from a set  $X$  (i.e.,  $S_X \subseteq X$ ), then  $X$  is the universe of  $X$  and we denote it as  $X \subseteq \mathcal{X}$  for ease of notation.

**Definition 2.2** (Isomorphism). Two Graphs  $\mathcal{G} = (V, E, X)$  and  $\mathcal{H} = (P, F, Y)$  are *isomorphic*, denoted as  $\mathcal{G} \simeq \mathcal{H}$ , if there exists a *bijective* mapping  $g : V \rightarrow P$  such that  $x_v = y_{g(v)}$ ,  $\forall v \in V$  and  $(v, u) \in E$  iff  $(g(v), g(u)) \in F$ .

### The 1-dimensional WL algorithm (color refinement)

In the 1-dimensional WL algorithm (1-WL), a label, called *color*,  $c_v^0$  is assigned to each vertex of a graph. Then, in every iteration, the colors get updated based on the multiset representation of the neighborhood of the node until convergence. If at some iteration the colorings of the graphs differ, 1-WL decides that the graphs are not isomorphic.

$$c_v^l \leftarrow \text{HASH} (c_v^{l-1}, \{\!\!\{ c_u^{l-1} \mid u \in \mathcal{N}_v \}\!\!\})$$

Algorithmically, this can be expressed as follows:



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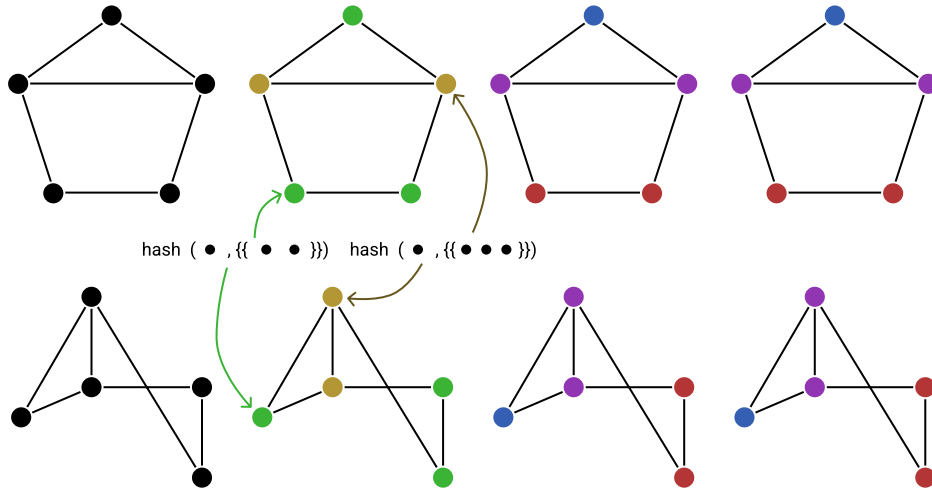
**Algorithm 1** 1-dim. WL (color refinement)

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**Input:**  $G = (V, E, X_V)$ 

- 1:  $c_v^0 \leftarrow \text{hash}(X_v)$  for all  $v \in V$
  - 2: **repeat**
  - 3:    $c_v^l \leftarrow \text{hash}(c_v^{l-1}, \{\{c_w^{l-1} : w \in \mathcal{N}_G(v)\}\})$  forall  $v \in V$
  - 4: **until**  $(c_v^l)_{v \in V} = (c_v^{l-1})_{v \in V}$
  - 5: **return**  $\{c_v^l : v \in V\}$
- 

The 1-WL is a heuristic method which can efficiently distinguish a broad class of non-isomorphic graphs [BK79]. However, there exist some corner cases, where the algorithm fails to classify simple shapes as non-isomorphic. This is the case for non-isomorphic graphs with the same number of nodes and equivalent sets of node-degrees, as shown in fig. 2.3.



**Fig. 2.2:** Two isomorphic graphs. 1-WL assigns the same representation to those graphs.



**Fig. 2.3:** 1-WL assigned the same labeling to two non-isomorphic graphs [LYJ22].

## 2.2.2 GNN Architectures in this Paper

In the following section we briefly introduce and motivate the choice of two types of networks, which we have chosen to experimentally verify the efficacy of several regularization techniques, which will be discussed in section 2.2.4.

Since all GNNs incorporate message passing in a way, we decided to chose two architectures for our experiments, which are powerful, efficient, scalable and broadly used.

### Graph Convolutional Network (GCN)

Graph convolutional network (GCN) was originally proposed by Kipf and Welling [KW17] to tackle the problem of semi-supervised node classification, where lables are available for a small subset of nodes. GCN is a simple, but powerful architecture, that scales linearly in the number of graph edges and learns hidden layer representations that encode both local graph structure and features of nodes.

A GCN can formally be expressed via the following layer-wise propagation rule:

$$H^{(l+1)} = \sigma(\tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}} H^{(l)} W^{(l)})$$

Where  $\tilde{A} = A + I_N$  is the adjacency matrix of the undirected graph  $\mathcal{G}$  with added self-connections.  $I_N$  is the identity matrix.  $\tilde{D}_{ii} = \sum_j \tilde{A}_{ij}$  and  $W^l$  is a layer-specific trainable weight-matrix.  $\sigma(\cdot)$  denotes an activation function, such as  $\text{ReLU}(\cdot) = \max(0, \cdot)$ .  $H^l \in N \times D$  is the matrix of activations in the  $l^{\text{th}}$  layer;  $H^0 = X$ .

Because we consider every neighbor to be of equal importance and therefore normalization is accomplished by dividing by the number of neighbours, one can view this operation as performing an element-wise mean-pooling [Xu+19].

$$h_v^{(k)} = \text{ReLU}(W \cdot \text{MEAN}\{h_u^{k-1} \mid \forall u \in \mathcal{N}_{(v)} \cup \{v\}\})$$

An application of a two-layer GCN is given by:

$$Z = f(X, A) = \text{softmax}(\hat{A} \text{ReLU}(\hat{A} X W^0) W^l)$$

where  $\hat{A} = \tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}}$  is calculated in a preprocessing step. The model uses a single weight matrix per layer and deals with varying node degrees through an appropriate normalization of the adjacency matrix. This model consisting of a 2-layer GCN performed well in a series of experimental tasks, including semi-supervised document classification, semi-supervised node classification in citation networks and semi-supervised entity classification in a bipartite graph extracted from a knowledge graph. The prediction accuracy was evaluated on a set of 1000 examples and additional experiments on deeper models with up to 10 layers have been also provided. Being capable of encoding both graph structure and node features, GCN outperformed numerous related methods by a significant margin [KW17].

Graph convolutional networks (GCNs) are widely and successfully used today in many fields due to their simplicity and scalability.

## Graph Isomorphism Network (GIN)

To overcome the lack of expressivity of popular GNN architectures, Xu et al. [Xu+19] designed a new type of GNN, graph isomorphism network (GIN). They prove that GINs are strictly more expressive than a variety of previous GNN architectures and that they are in fact as powerful as the commonly used 1-dimensional Weisfeiler-Lehman (WL)-test.

Two requirements must be met for a network to have the same expressive and representational power as the WL isomorphism test:

1. The framework must be able to represent the set of feature vectors of a given nodes neighbors as a multiset.
2. Choosing an injective function for the aggregation step. Such a function would never map two different neighborhoods to the same representation.

The more discriminative the multiset function is, the more powerful the representational power of the underlying GNN.

Formally, a graph isomorphism network (GIN) can be expressed as follows:

$$h_v^{(k)} = \text{MLP}^{(k)} \left( (1 + \epsilon^{(k)}) \cdot h_v^{(k-1)} + \sum_{u \in \mathcal{N}(v)} h_u^{(k-1)} \right)$$

The choice of such an architecture, is motivated by the necessity to learn two functions with certain properties,  $f$  and  $\phi$ . This task can be accomplished using a multilayer perceptron (MLP). The following lemma and corollary, proven by Xu et al. [Xu+19] show the properties and application of the functions:

**Theorem 2.3.** *Let  $A : G \rightarrow \mathbb{R}^d$  be a GNN. With a sufficient number of GNN layers,  $A$  maps any graphs  $G_1$  and  $G_2$  to different embeddings, the WL-test of isomorphism decides as non-isomorphic, to different embeddings if the following conditions hold:*

- (a)  *$A$  aggregates and updates node features iteratively with*

$$h_v^{(k)} = \phi(h_v^{(k-1)}, f(\{h_u^{(k-1)} \mid u \in \mathcal{N}_{(v)}\}))$$

*where the functions  $f$ , which operates on multisets and, and  $\phi$  are injective.*

- (b)  *$A$ 's graph-level readout, which operates on the multiset of node features  $\{h_v^{(k)}\}$ , is injective.*

**Lemma 2.4.** Assume  $\mathcal{X}$  is countable. There exists a function  $f : \mathcal{X} \rightarrow \mathbb{R}^n$  so that  $h(X) = \sum_{x \in X} f(x)$  is unique for each multiset  $X \subseteq \mathcal{X}$  of bounded size. Moreover, any multiset function  $g$  can be decomposed as  $g(X) = \phi(\sum_{x \in X} f(x))$  for some function  $\phi$ .

**Corollary 2.5.** Assume  $\mathcal{X}$  is countable. There exists a function  $f : \mathcal{X} \rightarrow \mathbb{R}^n$  so that for infinitely many choices of  $\epsilon$ , including all irrational numbers,  $h(c, X) = (1 + \epsilon) \cdot f(c) + \sum_{x \in X} f(x)$  is unique for each pair  $(c, X)$ , where  $c \in \mathcal{X}$  and  $X \subseteq \mathcal{X}$  is a multiset of bounded size. Moreover, any function  $g$  over such pairs can be decomposed as  $g(c, X) = \varphi(1 + \epsilon)f(c) + \sum_{x \in X} f(x)$  for some function  $\varphi$ .

## GIN is as powerful as 1-dimensional WL

GIN is a neural network-based approach designed to handle graph data and detect graph isomorphisms. It operates on each vertex and updates its representation based on its own features and the aggregated features of its neighbors. There exists a fundamental similarity between the GIN and the way the 1-WL algorithm works

### 2.2.3 Weaknesses and Obstacles in GNNs

Because of the way GNNs operate, they tend to suffer from two main obstacles: Overfitting and oversmoothing.

Overfitting hinders the generalization ability of a neural network (NN), making it perform poorly on previously unseen data. This occurs especially when using small datasets, since the model tends to 'memorize' instead of learn the pattern.

Oversmoothing is a condition, where the performance and predictive power of a NN does not improve or even gets worse when more layers are added. This happens because by stacking multiple layers together aggregation is being performed over and over again. This way, the representation of a node is being smoothed, i.e., mixed with features of very distant, possibly unrelated nodes. Oversmoothing is a problem mainly for node classification tasks. There is a trade-off between the expressiveness of the model (capturing graph structure by applying multiple layers) and oversmoothing, which leads to a model where nodes have the same

representation, because they all converge to indistinguishable vectors [Zho+20b; Has+20].<sup>1</sup>

A closer examination of underlying causes of oversmoothing was conducted by Chen et al. [Che+20], who suggested, that not message passing itself, but the type of interacting nodes cause this issue. For node classification (NC) tasks, intra-class communication (interaction between two nodes sharing the same class) is useful (signal), whereas inter-class communication (the communication between two nodes sharing different labels) is considered harmful, because it brings interference noise into the feature-representations by mixing unrelated features and therefore making unrelated nodes more similar to each other. Because of that, the the quality of shared information is essential and should therefore be considered as a benchmark for improvement.

## 2.2.4 Regularization Techniques

Kukacka et al. [KGC17] define regularization as any supplementary technique that aims at making the model generalize better, i.e., produce better results on the test set, which can include various properties of the loss function, the loss optimization algorithm, or other techniques.

One subgroup of regularization is via data, where the training set  $\mathcal{D}$  is transformed into a new set  $\mathcal{D}_R$  using some stochastic parameter  $\pi$ , which can be used in various ways, including to manipulate the feature space, create a new, augmented dataset or to change e.g, thin out the hidden layers of the NN.

An example of such a transformation is corruption of inputs by Gaussian noise.

$$\tau_0(x) = x + \theta, \theta \sim \mathcal{N}(0, \Sigma)$$

In this work we focus on stochastic regularization techniques, which perform data augmentation in one way or another and whose main benefits lie in the alleviation of overfitting and oversmoothing [Has+20]. We will use the following notation:

---

<sup>1</sup>In spatial GNNs we make the assumption of relatedness by proximity.

Notation	Description
$H^{(l)} = [h_0^{(l)}, \dots, h_n^{(l)}]^T \in \mathbb{R}^{n \times f_l}$	Output of the $l$ -th hidden layer in GNN
$n$	Number of nodes
$f_l$	The number of output features at the $l$ -th layer
$H^0 = X \in \mathbb{R}^{n \times f^0}$	Input matrix of node attributes
$f_0$	Number of nodes features
$W^l \in \mathbb{R}^{f_l \times f_{l+1}}$	The GNN parameters at the $l$ -th layer
$\sigma(\cdot)$	Corresponding activation function
$\mathcal{N}(v)$	Neighborhood of node $v$
$\tilde{\mathcal{N}}(v) = \mathcal{N}(v) \cup v$	$\mathcal{N}(v)$ with added self-connection
$\mathfrak{N}(\cdot)$	Normalizing operator
$\odot$	Hadamard product

### DropOut (Srivastava et al.)

DropOut (DO) [Sri+14] randomly removes elements of its previous hidden layer  $H^{(l)}$  based on independent Bernoulli random draws with a constant success rate at each training iteration:

$$H^{(l+1)} = \sigma(\mathfrak{N}(A)(Z^{(l)} \odot H^{(l)})W^{(l)})$$

where  $Z^l$  is a random binary matrix, with the same dimensions as  $H^l$ , whose elements are samples of  $\text{Bernoulli}(\pi)$ .

The random drop of units (along with their connections) from the neural network during training prevents units from co-adapting too much. A neural net with  $n$  units can be seen as a collection of  $2^n$  possible networks. Applying dropout with a certain probability  $\pi$  can be interpreted as sampling “thinned” networks from all possible  $2^n$  networks. In the end, since averaging over all possible networks is computationally expensive, an approximation for combining the prediction is used. This averaging method entails using a single neural net with weights, which are scaled-down weights obtained during training time.



**Fig. 2.4:** DropOut (DO) preserves connections between nodes as well as the nodes itself, unless we chose a large probability  $\pi$ , which drops all of the nodes features.

### DropEdge (Rong et al.)

DropEdge (DE) [Ron+20] randomly removes a certain number of edges from the input graph at each training epoch and can be formally expressed as follows:

$$H^{(l+1)} = \sigma(\Re(A \odot Z^{(l)})H^{(l)}W^{(l)})$$

The random binary mask  $Z^l$  has the same dimensions as  $A$ . Its elements are the random samples of  $\text{Bernoulli}(\pi)$  where their corresponding elements in  $A$  are non-zero and zero everywhere else.

Message passing in GNNs happens along the edges between neighbours. Randomly removing edges makes the connections more sparse, which leads to slower convergence time and thus prevents the network from oversmoothing and allows for a deeper architecture. Intuitively this makes sense, since removing an edge means, that the node, previously connected by that edge stops being a neighbor. Consequently the representation of this former neighbor does not get mixed with the representation of the node.

DE also acts like a data augmenter, since by randomly dropping edges we manipulate/change the underlying graph data. Since the data is now augmented with noise, it is harder for the network to overfit the data by “memorising” rather than learning complex relationships. The combination of DO and DE reaches a better performance in terms of mitigating overfitting in GNNs than DE on it’s own.

### NodeSampling (Chen et al.)

This method of regularization, also known as FastGCN [CMX18] was developed to improve the GCN [KW17] architecture and to adress the bottleneck issues of





**Fig. 2.5:** DropEdge (DE) preserves nodes and all of nodes features, but randomly removes edges, leading to a smaller number of neighbors, which results in slower convergence times and allows for architectures with more hidden layers.

GCNs caused by recursive expansion of neighborhoods. It reduces the expensive computation in batch training of GNN by relaxing the requirement of simultaneous availability of test data. Graphs can be very large and therefore require large computational and processing capacities. By randomly dropping out nodes, we reduce the amount of data in such a manner, that it alleviates the expensiveness of the computation reduces and bottleneck issue while preserving important relations.

$$H^{(l+1)} = \sigma(\mathfrak{R}(A) \text{diag}(z^{(l)}) H^{(l)} W^{(l)})$$

Here,  $z^{(l)}$  is a random vector whose elements are drawn from Bernoulli( $\pi$ ). This is a special case of DO, since all of the output features are either kept or completely dropped.



**Fig. 2.6:** In NodeSampling (NS), a node is either removed or preserved along with the whole feature vector with a certain probability  $\pi$ .

### GraphDropConnect (Hasanzadeh et al.)

Finally, GraphDropConnect (GDC) [Has+20], which can be seen as a generalization of all the above proposed methods, is a stochastic regularization approach,

which has been shown to be the most effective among all the above and even more effective than the combination of DO and DE. The regularization is done via adaptive connection sampling and can be interpreted as an approximation of Bayesian GNNs.

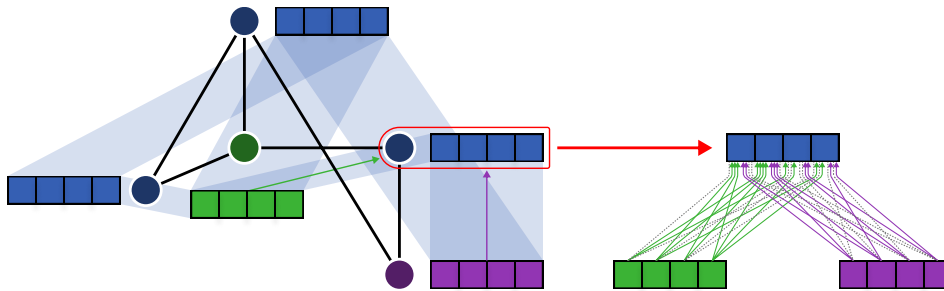
$$H^{(l+1)}[:, j] = \sigma \left( \sum_{i=1}^{f_l} \Re \left( A \odot Z_{i,j}^{(l)} \right) H^{(l)}[:, i] W^{(l)}[i, j] \right)$$

for  $j = 1, \dots, f_{l+1}$

where  $f_l$  and  $f_{l+1}$  are the number of features at layers  $l$  and  $l+1$ , respectively, and  $Z_{i,j}^{(l)}$  is a sparse random matrix (with the same sparsity as  $A$ ), whose non-zero elements are randomly drawn from Bernoulli( $\pi_l$ ), where  $\pi_l$  can be different for each layer. GDC is a regularization technique, that combines all of the above by drawing different random masks for each channel and edge independently, which yield better performance results than all of the previous methods or even combinations of them. GDC, as it is expressed in the formula above has not been implemented and evaluated yet. Instead, a special case of GDC has been implemented:

Under the assumption, that  $Z_{i,j}^{(l)}$  are the same for all  $j \in \{1, 2, \dots, f_{l+1}\}$ , we can omit the indices of the output elements at layer  $l+1$  and rewrite the above formula as follows:

$$H^{(l+1)} = \sigma \left( \sum_{i=1}^{f_l} \Re(A \odot Z_i^{(l)}) H^{(l)}[:, i] W^{(l)}[i, :] \right)$$



**Fig. 2.7:** GraphDropConnect (GDC) can be thought of as duplicating every existing edge between features of the feature-vectors of existing nodes and then randomly removing every edge with a certain probability  $\pi$  before the convolution.

All the methods are somewhat related and share some similarities [Ron+20]. DropOut (DO) has been successful in alleviating overfitting by perturbing the feature matrix and setting some entries to zero. The issue of oversmoothing is not affected by this measure. DropEdge (DE) achieved great results in reducing both overfitting as well as oversmoothing. Intuitively this makes sense, because smoothing comes from the aggregation of the neighbours of a certain node and by dropping the connections to some neighbours, the feature vectors of those neighbours are no longer aggregated and combined with the hidden representation of the node.

NodeSampling (NS) is a special case of DropOut (DO), as all of the output features for a node are either completely kept or dropped while DO randomly removes some of these related output elements associated with the node. Also, along with the dropped node, the edges of this node are dropped. The method itself, however is node-oriented and the edge-drop is a "side-effect".

GraphDropConnect (GDC) generalizes existing stochastic regularization methods for training GNNs and is effective in dealing with overfitting and oversmoothing. GDC regularizes neighbourhood aggregation in GNNs at each channel separately. This prevents connected nodes in graph from having the same learned representations in GNN layers; hence better improvement without serious oversmoothing can be achieved [Has+20].



## Implementation

This section provides a brief overview of the experimental setup and aims to motivate the choice of datasets, libraries and frameworks.

### 3.1 Scope and Limitations

### 3.2 Experimental Setup

#### GDC

Before we describe our implementation in Python, we look at the two proposed variants of GDC and provide an intuition for them. As stated previously, this version of GDC allows drawing different random masks for each channel and edge independently, giving more flexibility and increasing the time and space complexity.

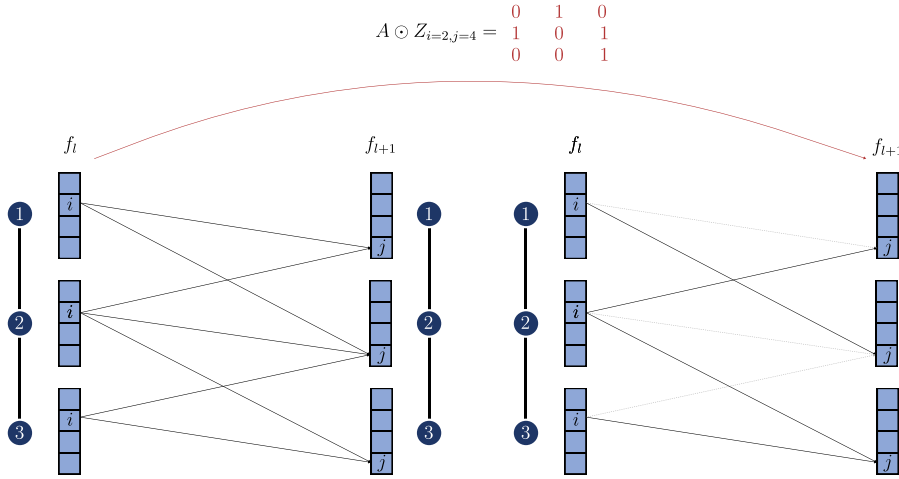
$$H^{(l+1)}[:, j] = \sigma \left( \sum_{i=1}^{f_l} \Re \left( A \odot Z_{i,j}^{(l)} \right) H^{(l)}[:, i] W^{(l)}[i, j] \right)$$

for  $j = 1, \dots, f_{l+1}$

Here, we calculate the new feature matrix  $H^{(l+1)}$  by stacking the column vectors of each iteration. One can think of the calculations that are being performed as a 4-dimensional matrix with the dimensions  $n \times n \times f_l \times f_{l+1}$

To understand what is being done, we can look at what is being performed when calculating one column of the resulting matrix. First, a random mask is applied to the connection from the  $i$ -th to the  $j$ -th. Regarding node features communicating with each other, we look at the edge between the  $i$ -th and  $j$ -th features between connected nodes. By applying the random mask, we drop those connections selectively. Because we sample the random binary mask  $Z$   $f_{l+1}$  times, one time for every feature in the

$l + 1$ -th iteration, we differentiate between the connection  $i \rightarrow j$  between two nodes and the connection  $j \rightarrow i$  between the same two nodes. Thus, the same edge can be dropped as a connection and remain as a connection in the opposite direction. The masked adjacency is then multiplied by the corresponding column and weight. One may think of it as performing a random sampling across each channel since in each iteration from  $i = 1$  to  $f_{l+1}$ , we perform multiplication with the  $i$ -th column of  $H$ .



**Fig. 3.1:** GDCNote: self connection are assumed

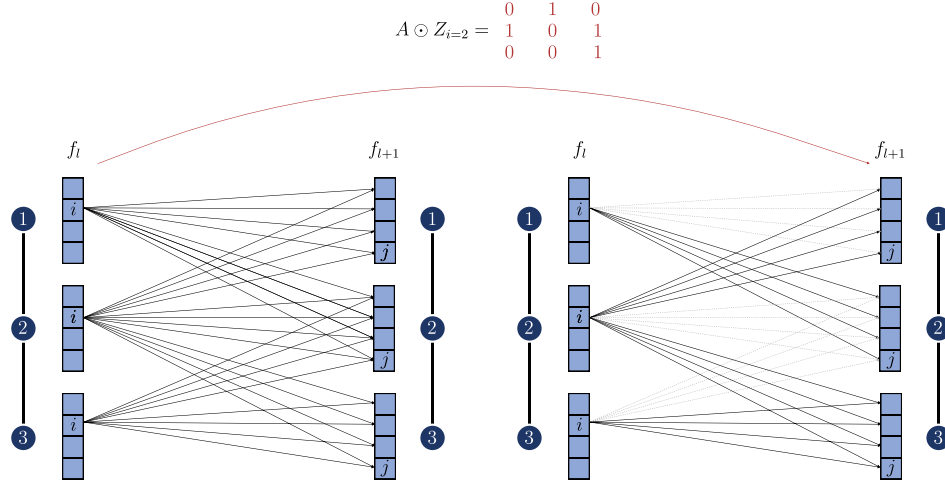
As for the implementation of GDC, we decided to implement the less complex version, as shown below, since this implementation reduces the runtime completely and is also the one that was originally implemented for testing the efficacy of GDC

$$H^{(l+1)} = \sigma\left(\sum_{i=1}^{f_l} \Re(A \odot Z_i^{(l)}) H^{(l)}[:, i] W^{(l)}[i, :]\right) \quad (3.1)$$

Here, we compute the new feature matrix in one go, instead of doing  $f_l$  iterations for all  $f_l$  columns.

### 3.2.1 Choice of Frameworks

Below we give a quick overview of used datasets and frameworks and motivate the choice.



**Fig. 3.2:** Originally proposed GDCNote: self connection are assumed

## Datasets

Despite the fact, that machine learning on graph-structured data is carried out in many areas and has many interesting use cases ranging from social networks, to molecular graphs, to manifolds, to source code [Hu+20], there does not exist a unified framework for working with graph-structured data. Furthermore commonly-used datasets and evaluation procedures suffer from multiple issues, that negatively affect the quality of predictions and the reliability of evaluations of models. Machine learning algorithms rely heavily on data. In order for a GNN to be able to make accurate predictions, there is need for a sufficient amount of properly prepared training data. To be able to compare different models against each other there is need of standardized splitting and evaluation methods.

Today, most of the frequently-used graph datasets are extremely small compared to graphs found in real applications. Same datasets, such as Cora, CiteSeer and PubMed are used again and again to train various models leading to poor scalability in the majority of cases. Small datasets also make it hard to rigorously evaluate data-hungry models, such as graph neural networks (GNNs). The performance of a GNN on these datasets is often unstable and nearly statistically identical to each other, due to the small number of samples the models are trained and evaluated on [KW17; Xu+19; Hu+20].

**Open Graph Benchmark (OGB)** offers a wide range of different-sized graph-datasets from different domains for a variety of different classification tasks and provides an unified pipeline for working with the datasets in ML tasks. The unified exper-

imental protocol with standardized dataset splits, evaluation metrics and cross-validation protocols makes it easy to compare performance reported across various studies [Hu+20].

Working with OGB consists of following steps:

1. OGB provides realistic, different-scaled graph benchmark datasets that cover different prediction tasks, are from diverse application.
2. Dataset processing and splitting is fully automated. OGB data loaders automatically download and process graphs and further split the datasets in a standardized manner. This is compatible with multiple libraries and a library-agnostic option is also provided.
3. This step includes developing an ML model to train on the ogb datasets.
4. OGB evaluates the model in a dataset-dependent manner, and outputs the model performance appropriate for the task at hand.
5. OGB provides public leaderboards to keep track of recent advances.



**Fig. 3.3:** Overview of the standardized OGB pipeline adapted from [Hu+20]

## Metrics

To be able to make systematic and quantitative statements about the positive effects on oversmoothing by using different regularization techniques, one has to be able to monitor the smoothness of nodes at different execution steps during training. Therefore, a choice of a suitable metric is of great importance, as it helps to access the extent of the effect produced by various regularization techniques and compare them against each other in terms of efficacy.

**Mean Average Distance (MAD)** [Che+20] is a metric for smoothness, the similarity of graph nodes representations. In that sense over-smoothness is the similarity of nodes representations among different classes. While smoothing to some extent is



desired (we assume spatial similarity between nodes), mixing features of nodes with different labels over several iterations leads to oversmoothing.

It is therefore important to differentiate between different types of messages between nodes. Signal/information is the messaging of nodes, which share the same class/label, i.e., intra-class communication and noise denotes intra-class communication. Having too many inter-class edges leads to much noise by incorporating messages from other classes, which results in oversmoothing.

Because of that it is crucial to have a measure of the quality of the received messages. A way to do that is to consider the information-to noise ratio i.e., the fraction of intra-class node pairs and all node pairs that have interaction through GNN model. That way it is possible to differentiate between remote and neighbouring nodes and calculate the **MADGap (MADGap)**, which is strongly positive correlated with a model's accuracy.

MAD is calculated as follows:

Given the graph representation matrix  $H \in \mathbb{R}^{n \times h}$  we first obtain the distance matrix  $D \in \mathbb{R}^{n \times n}$  for  $H$  by computing the cosine distance between each node pair.

$$D_{i,j} = 1 - \frac{H_{i,:} \cdot H_{j,:}}{\|H_{i,:}\| \cdot \|H_{j,:}\|} \quad i, j \in [1, 2, \dots, n],$$

where  $H_k$  is the  $k$ -th row of  $H$ . The reason to use cosine distance is that cosine distance is not affected by the absolute value of the node vector, thus better reflecting the smoothness of graph representation. Then we filter the target node pairs by element-wise multiplication  $D$  with a mask matrix  $M^{tgt}$

$$D^{tgt} = D \odot M^{tgt},$$

where  $\odot$  denotes the element-wise multiplication:  $M^{tgt} \in \{0, 1\}^{n \times n}$ ;  $M_{i,j}^{tgt} = 1$  only if node pair  $(i, j)$  is the target one. Next we access the average distance  $\bar{D}^{tgt}$  for non-zero values along each row in  $D^{tgt}$ :

$$\bar{D}_t^{tgt} = \frac{\sum_{j=0}^n D_{i,j}^{tgt}}{\sum_{j=0}^n \mathbb{1}(D_{i,j}^{tgt})}$$

where where  $\mathbb{1}(x) = 1$  if  $x > 0$  otherwise 0. Finally, the MAD value given the target node pairs is calculated by averaging the non-zero values in  $tgt$

MAD gives access to the smoothness of a node and pairs of nodes throughout iterations, which makes it easy to "track down" over smoothing.

First, the cosine similarity is calculated, which shows us how similar the corresponding feature vectors are. By subtracting the cosine similarity from one, we get the cosine distance, which tells us about the difference of the nodes.

### 3.2.2 Implementation of Regularization Techniques

The for regularization techniques as described in (\*) can be described using two parameters/ answering two questions: Is the regularization happening row-wise and do we gather the values before applying the regularization?

The table below shows the possible combinations and the corresponding regularization technique.

Regularization	row-wise?	gather first?
DropOut (DO)	false	false
DropEdge (DE)	true	true
NodeSampling (NS)	true	false
GraphDropConnect (GDC)	false	true

We use this insight and implement the regularization techniques as they are described in section 2.2.4.

## DropOut

The implementation of dropout is rather straightforward. The method takes in a probability  $p$  as a parameter and a shape and creates a mask by randomly sampling from a uniform distribution.

This mask is then applied to the batch-matrix and by this multiplication DO is performed.

```
def dropout_mask(p, shape): p_list = (tf.random.uniform( shape=shape, min-  
val=0, maxval=1, dtype=tf.dtypes.float32)) mask = p_list >= p return tf.cast(mask,  
dtype=tf.dtypes.float32)
```

### 3.2.3 Finding the Best Set of hyperparameters

For hyperparameter optimization we use grid search (GS) [Lor+17; YS20; ZH21]. GS is a model-free method of automated hyperparameter selection, which systematically explores the configuration space performing an exhaustive search.

Despite its two major drawbacks

1. Poor scalability for large configuration spaces due to its complexity being exponential in the number of hyperparameters and corresponding values. Assuming that there are  $k$  parameters, and each of them has  $n$  distinct values, its computational complexity is  $O(n^k)$
2. Lack of consideration of the hierarchical hyperparameter structure, which leads to many redundant configurations.

GS is well suitable for small search spaces and can easily be implemented and parallelized.



## Evaluation

In this section, we delve into the critical evaluation of the machine learning experiments conducted as part of this research. The main postulated question of this study was to determine whether GDC is effective in solving the problem of overfitting and over-smoothing for graph-level prediction tasks, as there is already a wide range of conducted studies, which answer the question of various regularization techniques for node level prediction tasks. Other regularization techniques have also been evaluated for this type of task. The investigation encompassed classification and regression tasks, with a comprehensive analysis of two types of neural networks, GCN and GIN. The datasets of choice were all molecular datasets. In the evaluation, we will mainly focus on two manipulated parameters: the number of layers and the dropout rate, since the number of layers is important in concluding overfitting, especially the issue where additional layers do not make the network perform better. The dropout rate since this parameter indicates the efficacy of various types of dropouts and if higher rates have an impact at all.

### 4.1 Datasets and Metrics Overview

Before proceeding to the experimental findings, we present a quick overview of the used datasets and metrics to ensure a better understanding of the subject matter. We have used five datasets, all from the molecular realm. Molhiv and molpcba are small and medium-size classification datasets, respectively. The other three, OGB-molesol, -mollipo and -molfreesolv are regression datasets. They contain 1128, 4200, and 642 molecular structure graphs, respectively. The regression task is to predict the solubility of a molecule in different substances. To evaluate the performance of molhiv, we used ROC-AUC, for molpcba AP was used and we used MAE for all the regression datasets.

Some insights we can gather from the results table: The best results are achieved using no regularization techniques for graph-level prediction tasks on both types of networks GCN and GIN. This holds for both datasets – classification and regression –

**Tab. 4.1:** Experimental results for graph-level prediction tasks. With ROC-AUC metric for OGB-molhiv, AP for -molpcba and MSE for the three remaining regression datasets.

		OGB-molhiv	-molpcba	-molesol	-molreesolv	-mollipo
GCN	<b>None</b>	$0.51 \pm 0.12$	$0.11 \pm 0.00$	$1.67 \pm 0.20$	$10.01 \pm 1.71$	$0.69 \pm 0.02$
	<b>DropOut</b>	$0.47 \pm 0.03$	$0.06 \pm 0.00$	$3.97 \pm 0.31$	$13.84 \pm 0.77$	$1.11 \pm 0.01$
	<b>NodeSampling</b>	$0.51 \pm 0.03$	$0.07 \pm 0.00$	$2.96 \pm 0.12$	$12.54 \pm 0.59$	$1.06 \pm 0.02$
	<b>DropEdge</b>	$0.48 \pm 0.10$	$0.10 \pm 0.00$	$1.92 \pm 0.07$	$8.79 \pm 1.08$	$0.82 \pm 0.05$
	<b>GDC</b>	$0.54 \pm 0.03$	$0.08 \pm 0.00$	$2.88 \pm 0.13$	$13.29 \pm 1.19$	$1.02 \pm 0.03$
GIN	<b>None</b>	$0.70 \pm 0.01$	$0.10 \pm 0.02$	$1.74 \pm 0.10$	$8.36 \pm 0.70$	$0.75 \pm 0.05$
	<b>DropOut</b>	$0.50 \pm 0.03$	$0.07 \pm 0.00$	$3.46 \pm 0.24$	$20.32 \pm 1.15$	$1.10 \pm 0.02$
	<b>NodeSampling</b>	$0.55 \pm 0.04$	$0.08 \pm 0.00$	$3.02 \pm 0.59$	$13.10 \pm 1.80$	$0.94 \pm 0.04$
	<b>DropEdge</b>	$0.52 \pm 0.04$	$0.11 \pm 0.00$	$2.16 \pm 0.21$	$7.94 \pm 0.17$	$0.78 \pm 0.05$
	<b>GDC</b>	$0.52 \pm 0.03$	$0.09 \pm 0.00$	$2.54 \pm 0.20$	$20.10 \pm 3.50$	$1.06 \pm 0.04$

indicating that any regularization type is unsuitable for both graph-level prediction tasks independently of the network of choice. For both classification datasets, the variance is very small; the network performance is stable. Out of the three regression datasets, only the mollipo dataset has low variance in performance for both types of GNNs, and we have rather a high variance on the remaining datasets. GCN and GIN perform better on classification tasks for graph-level predictions. This high variance of molesol and mollipo is an interesting trend, which would be nice to investigate further. Despite achieving the best result when using no regularization, we can point out a clear second-place ‘winner’ among the different regularization methods on both networks and across all datasets. DE performs the second best in all cases, with the second place being GDC for classification tasks and NS for regression tasks, apart from one exception on the mollipo dataset where the second best performing regularization is GDC. However, as all the results are very close in range, we cannot point out any notable advantages of using GDC above other regularization methods, as their performance varies depending on the task and dataset. Despite the GIN network being more powerful than GCN and as powerful as the 1-dimWL test, the performance on both networks is very similar, with only a significant difference in performance on the molhiv dataset.

## 4.2 Conclusion

Our study examined the impact of various regularization techniques, particularly GDC, on graph-level prediction tasks across two different network types. Regularization techniques are commonly employed in node-prediction tasks across diverse

networks to mitigate over-smoothing and overfitting issues. These methods perturb the values and introduce randomness, leading to improved results.

In graph-level tasks, the final output is a readout of aggregated nodes, making over-smoothing less problematic as the focus shifts from distinguishing individual nodes to capturing collective behavior. Consequently, introducing controlled randomness might seem unnecessary for such predictive tasks. This shift in focus from individual nodes to aggregated outcomes challenges the conventional wisdom of applying regularization methods in graph-level prediction tasks.

Despite this counterintuitive aspect, our study aimed to empirically validate the effectiveness of regularization techniques in graph-level prediction tasks. Our exploration of this uncharted territory was motivated by a desire to challenge existing assumptions and gain a nuanced understanding of the relationship between regularization methods and graph-level predictions. This unconventional approach enabled a rigorous assessment of current methodologies, providing valuable insights into graph-based machine learning.

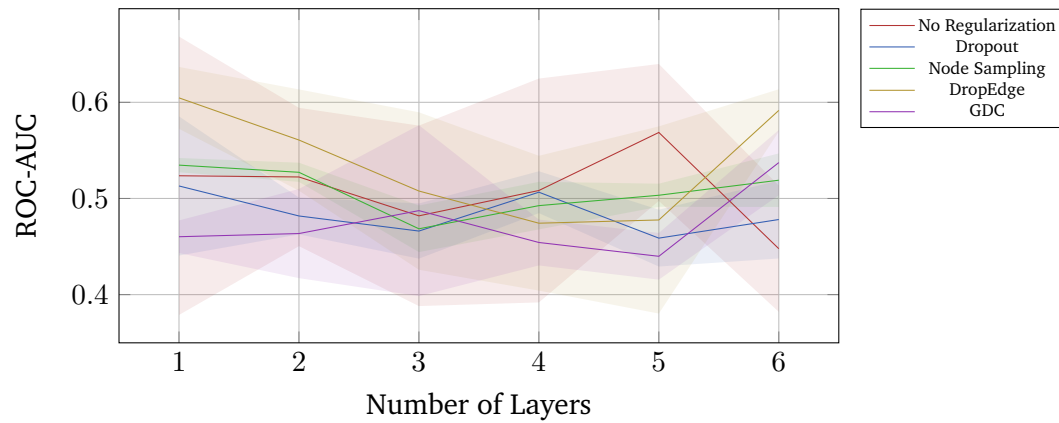
## 4.3 Insights from Plots

This analysis aims to gain insights into the phenomenon of over-smoothing. To achieve this, we investigate the variations in performance corresponding to changes in the number of layers within the network architecture.

### 4.3.1 Effect of the Number of Layers

Upon analyzing five distinct datasets, discernible patterns in performance relative to the number of network layers become evident. Except for the molhiv and molfree-solv datasets, a weak positive correlation between increased layers and improved performance is observable. This trend is consistent across both GCN and GIN models. Typically, optimal performance is achieved at the 5th or 6th layer. However, it is noteworthy that the overall differences in performance are marginal.

This observed trend persists across various regularization techniques, including scenarios without regularization. Interestingly, insufficient evidence supports that



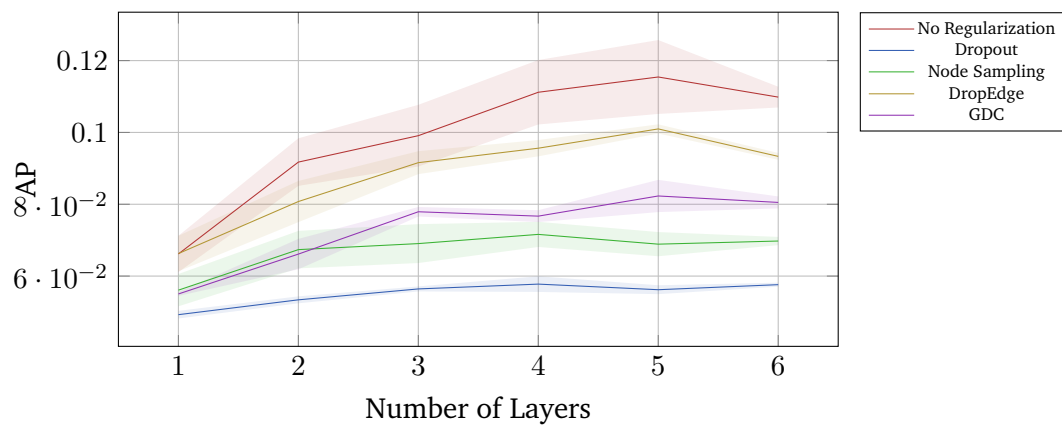
**Fig. 4.1:** molhiv (GCN Model)

regularization effectively mitigates over-smoothing for graph-level classification tasks on the GCN, specifically concerning these datasets. Additionally, substantial fluctuations are observed in the molhiv dataset, introducing complexity to the observed trends.

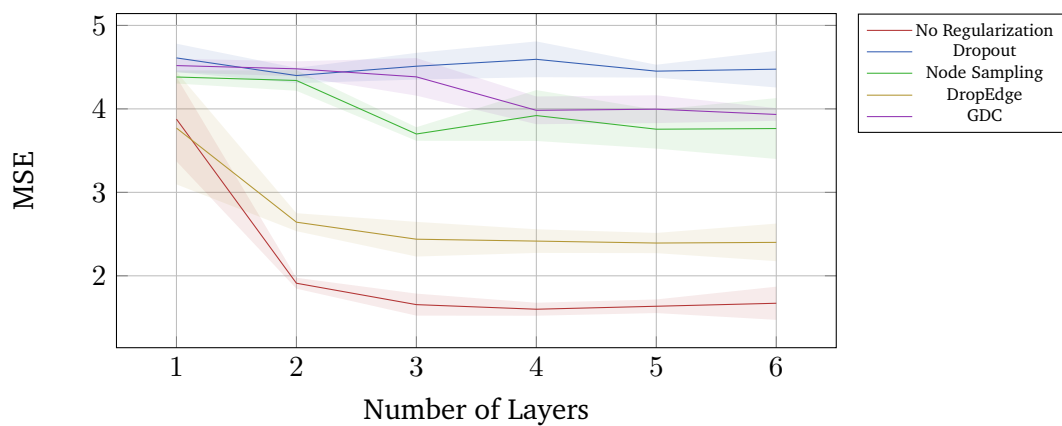
In regression datasets, the molfreesolv dataset presents a unique case where optimal performance is attained with a single layer, contrary to the general trend where 5-6 layers yield high performance. Importantly, consistent trends emerge across all regularization techniques and without regularization, suggesting that regularization does not induce unexpected network behavior.

Regarding variance, apart from substantial variance and high fluctuations observed in the molhiv dataset, across both networks and all types of regularization, including scenarios without any regularization. Overall, higher variance is evident in instances without regularization, whereas regularization techniques tend to stabilize performance, resulting in lower variance. This finding underscores the stabilizing influence of regularization techniques on model performance in the context of graph-level classification tasks.

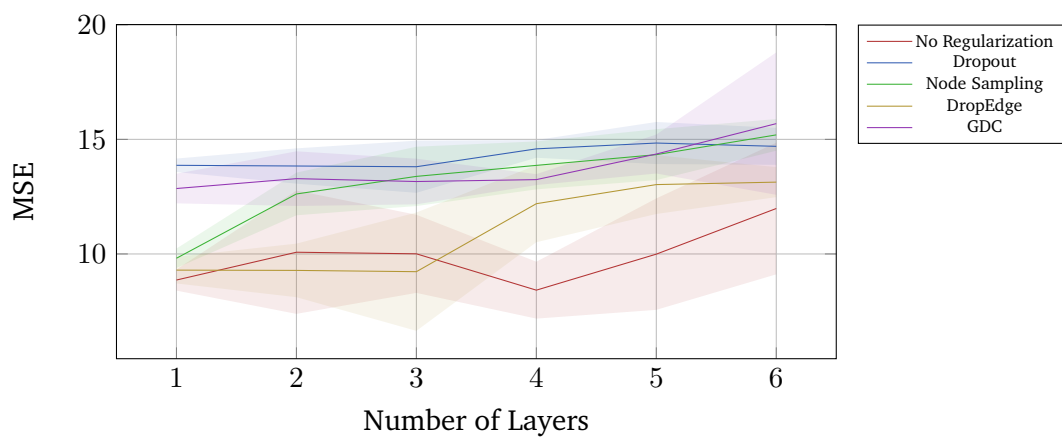




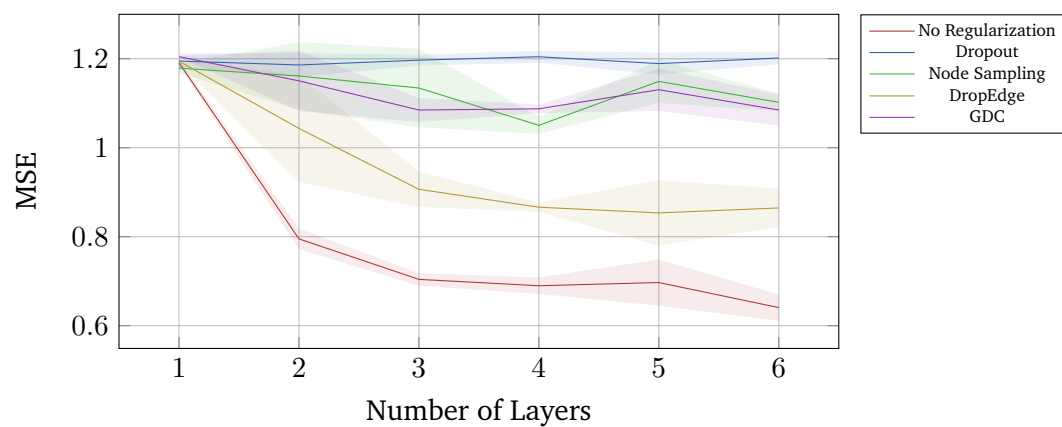
**Fig. 4.2:** molpcba (GCN Model)



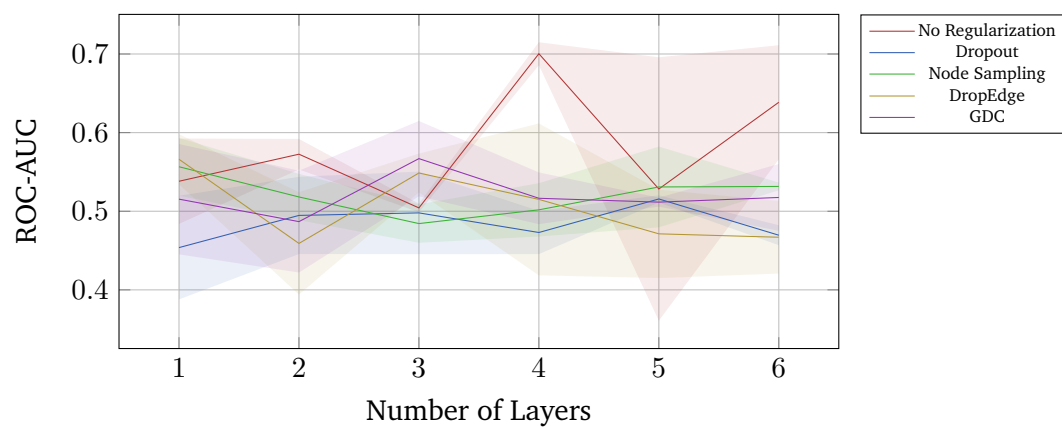
**Fig. 4.3:** molesol(GCN Model)



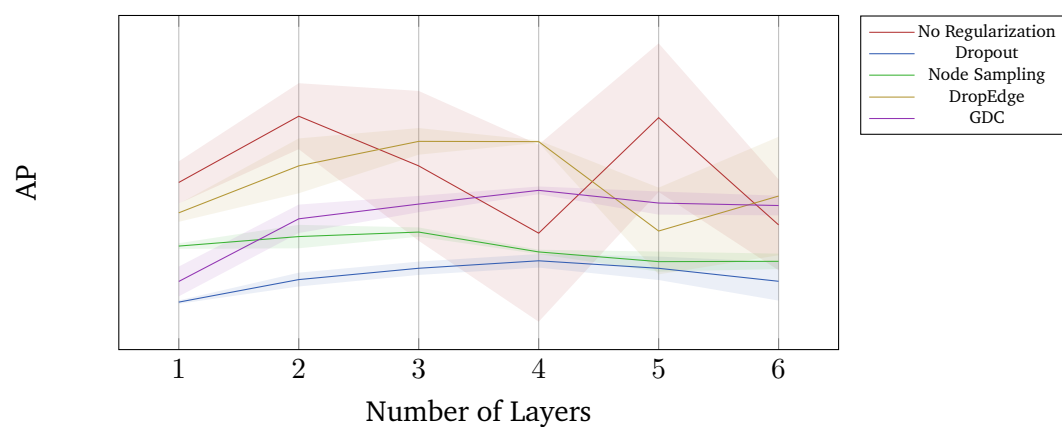
**Fig. 4.4:** molfreesolv (GCN Model)



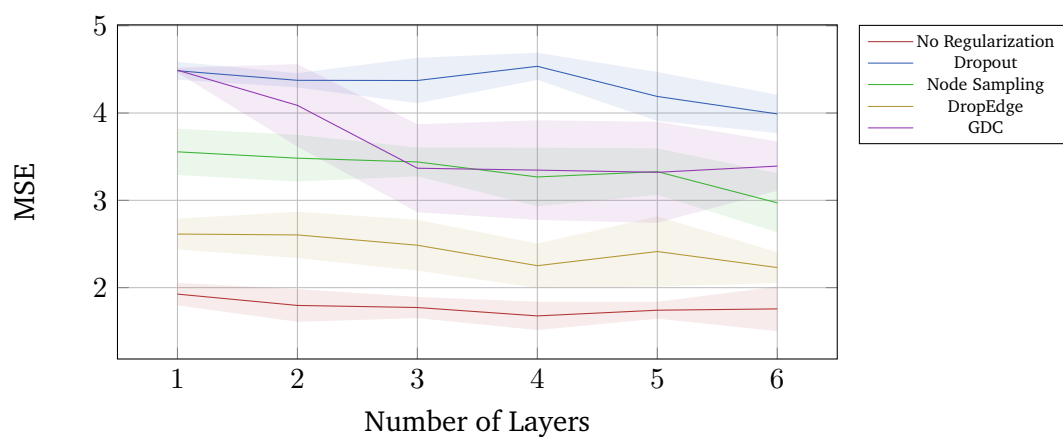
**Fig. 4.5:** mollipo (GCN Model)



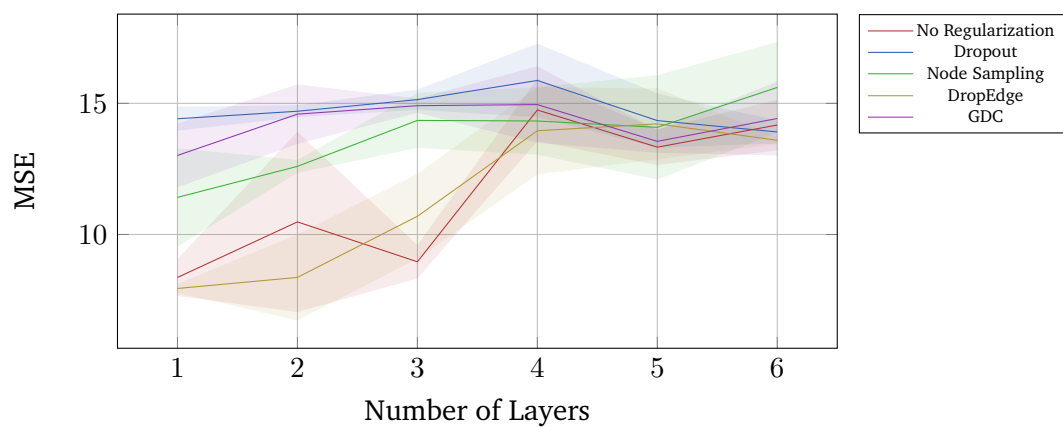
**Fig. 4.6:** molhiv (GIN Model)



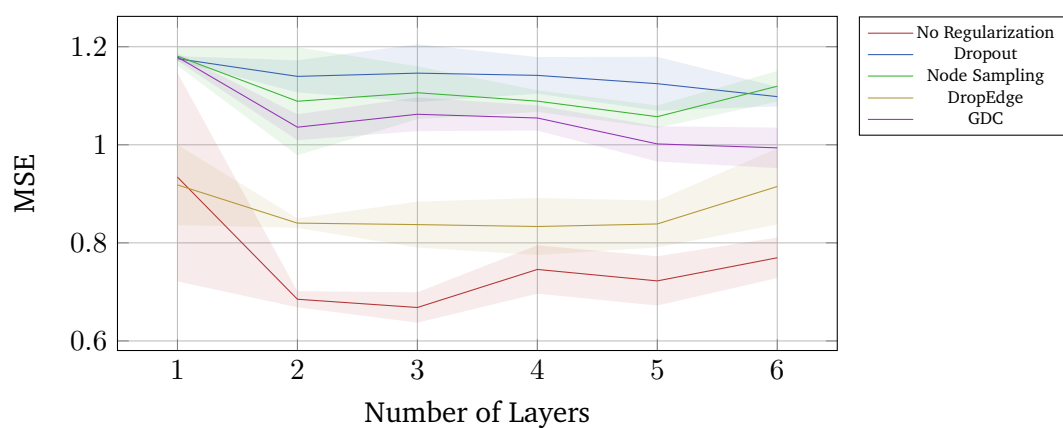
**Fig. 4.7:** molpcba (GIN Model)



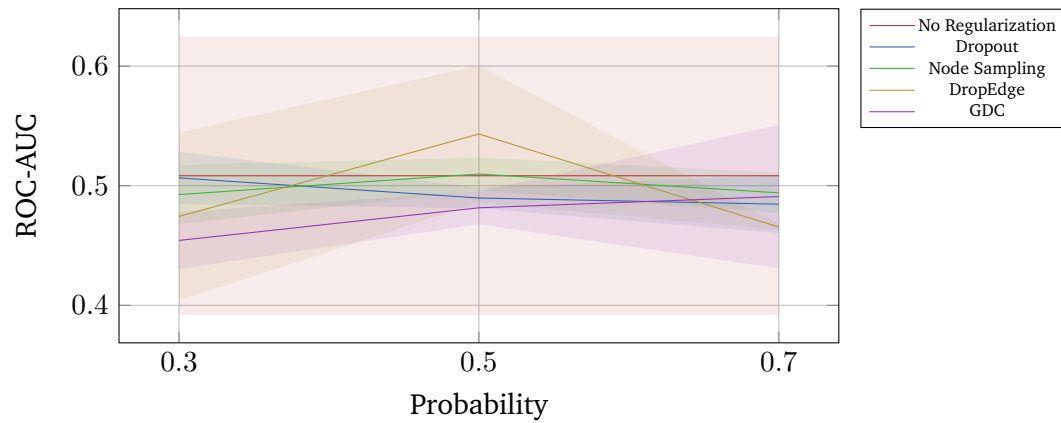
**Fig. 4.8:** molisol (GIN Model)



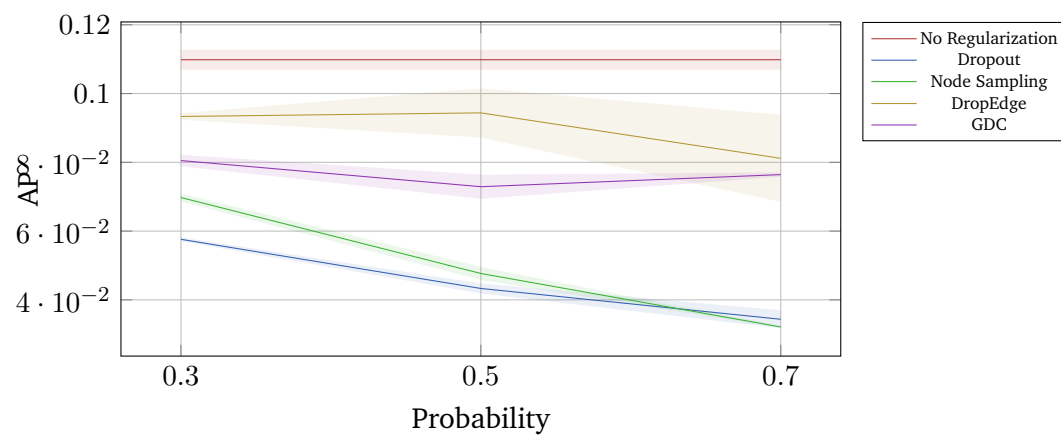
**Fig. 4.9:** molfreesolv (GIN Model)



**Fig. 4.10:** mollipo (GIN Model)



**Fig. 4.11:** molhiv (GCN Model)



**Fig. 4.12:** molpcba (GCN Model)

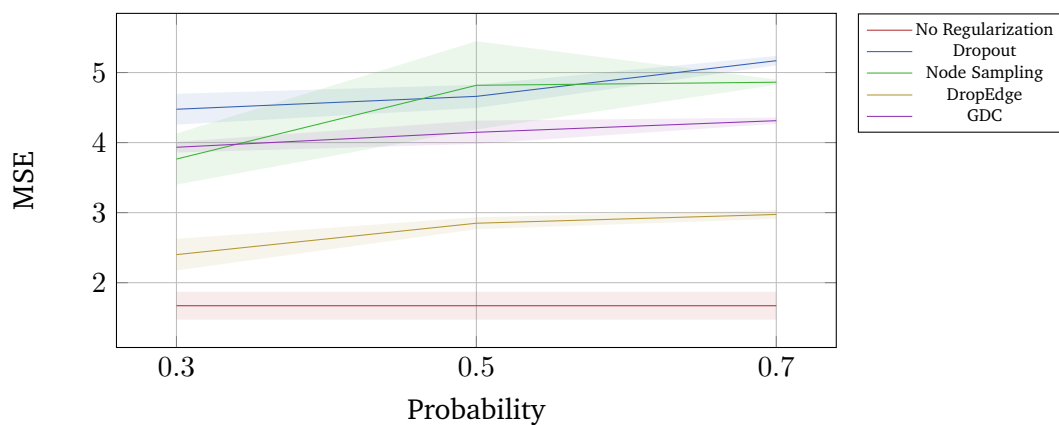
### Probability Manipulation

## 4.4 Insights Regression Datasets

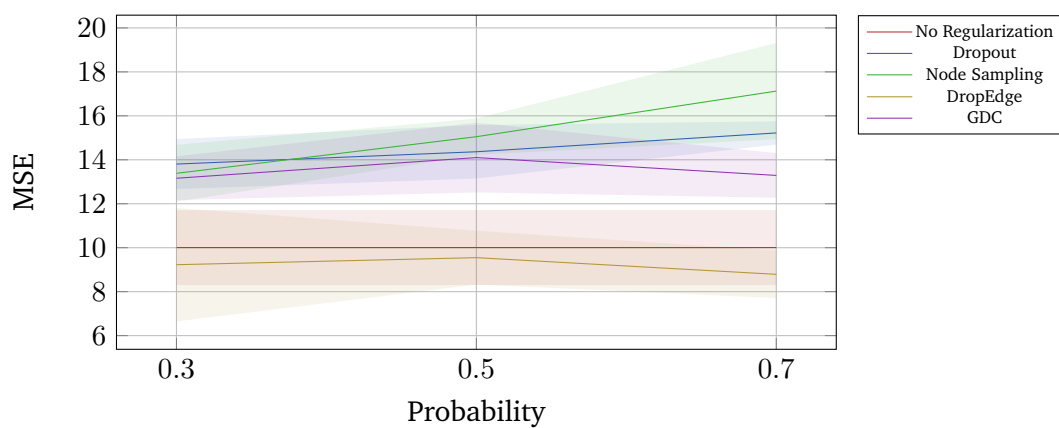
### 4.4.1 Effect of the probability of regularization

Unfortunately our data does not give any clear insights as to what connection there is between the probability of dropping an entity in a regularization technique and the performance. Intuitive

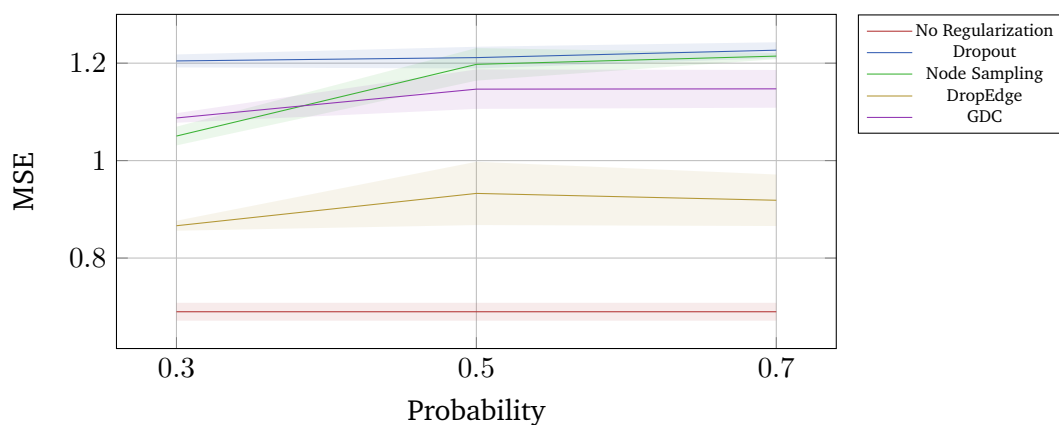
Among the datasets, the classification dataset molhiv and the regression dataset molfreesolv



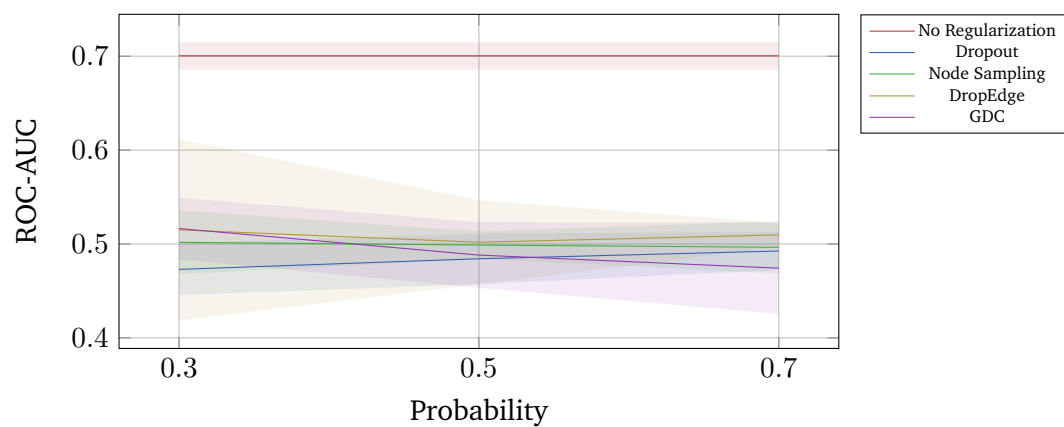
**Fig. 4.13:** molesol (GCN Model)



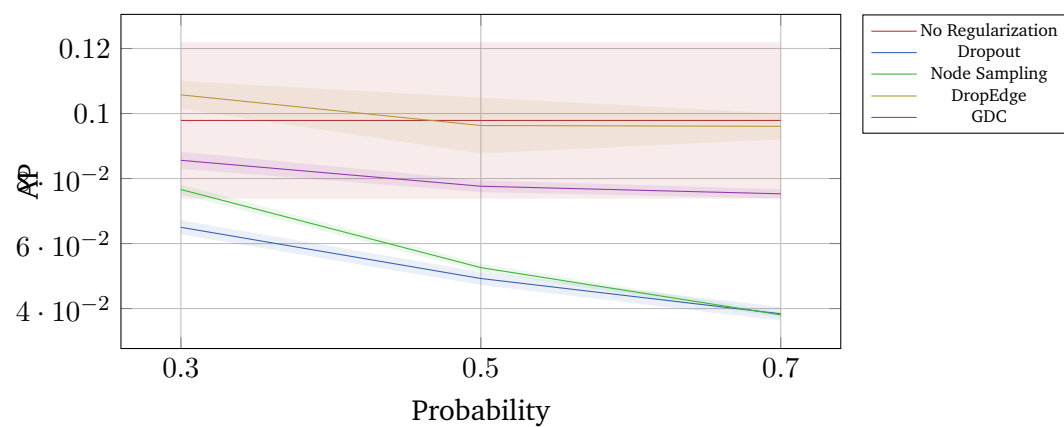
**Fig. 4.14:** molfreesolv (GCN Model)



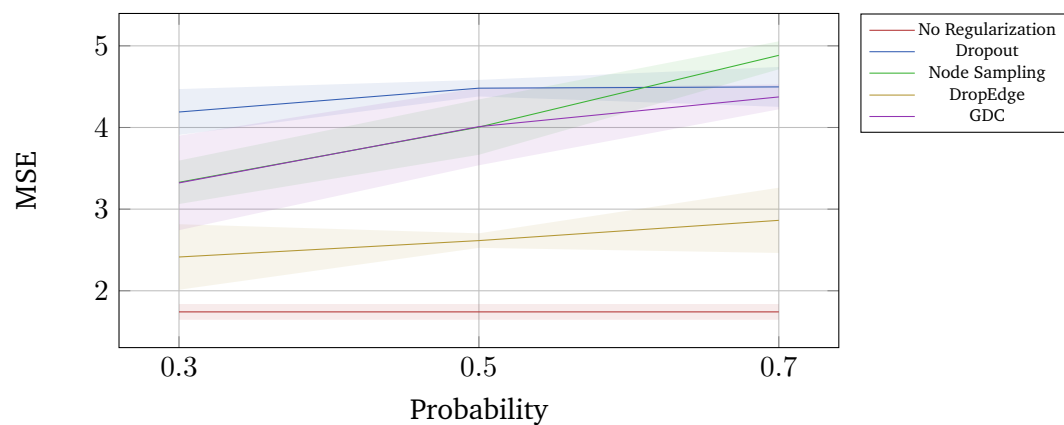
**Fig. 4.15:** mollipo (GCN Model)



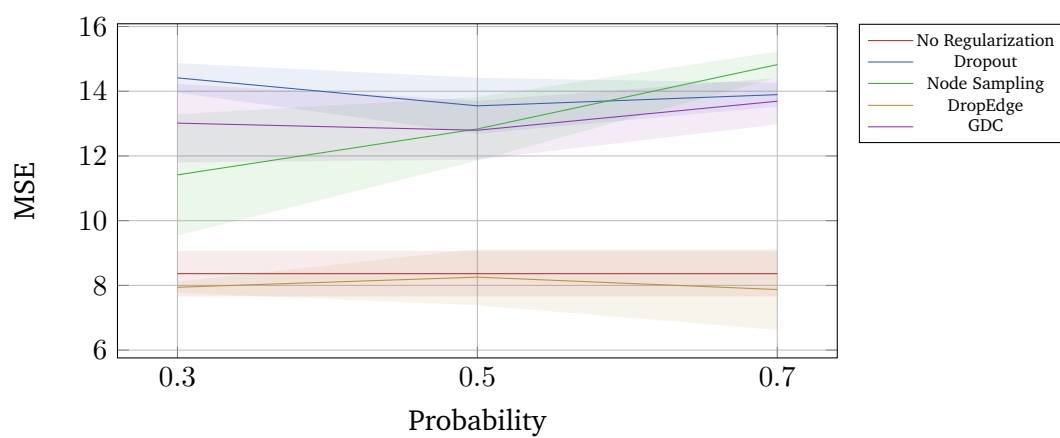
**Fig. 4.16:** molhiv (GIN Model)



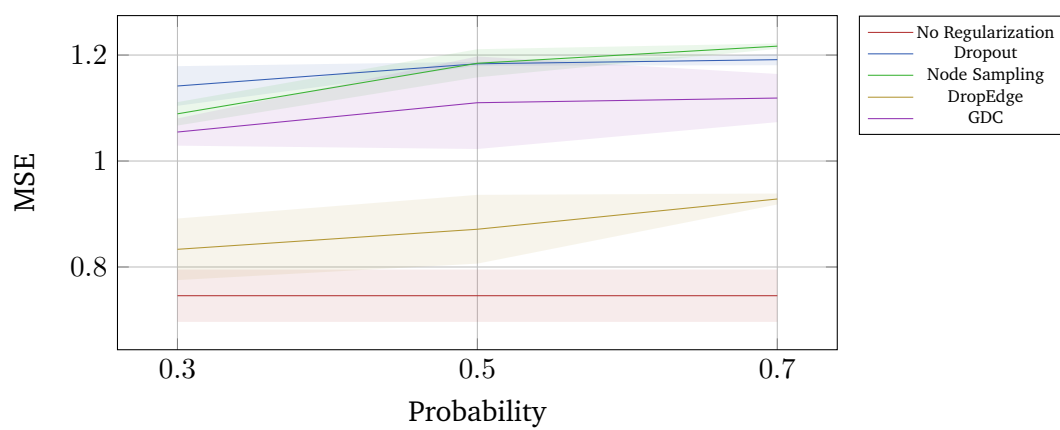
**Fig. 4.17:** molpcba (GIN Model)



**Fig. 4.18:** molesol (GIN Model)



**Fig. 4.19:** molfreesolv (GIN Model)



**Fig. 4.20:** mollipo (GIN Model)

As mentioned earlier, the results are very close in range, and together with the limited number of datasets- all from the same molecular realm- we cannot draw any general conclusions. However, we can describe some emerging trends from our findings: The trends: Overall, regularization seems to smoothen the line, i.e., to reduce the differences in performance depending on the number of layers. Also, the performance variance is much higher when we use no regularization.