

# 1. Review of Linear Algebra

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Linear Operator

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Eigenvalue and Eigenvector

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## Vector space and Basis

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## Definition 1 (vector space)

A **vector space** is a set  $V$  along with an addition on  $V$  and a scalar multiplication on  $V$  for every  $u, v \in V$ ,  $a \in \mathbb{C}$ .

- (addition)  $u + v \in V$
- (scalar multiplication)  $au \in V$

By definition of vector space, satisfies the following properties:

- 1) (commutativity)  $u + v = v + u$ ,
- 2) (associativity)  $(u + v) + w = u + (v + w)$  and  $(ab)v = a(bv)$ ,
- 3) (additive identity) There exists an element  $0 \in V$  s.t.  $v + 0 = v$ ,
- 4) (additive inverse) There exists an element  $w \in V$  s.t.  $v + w = 0$ ,
- 5) (multiplicative identity) There exists an element s.t.  $1v = v$ ,
- 6) (distributive properties)  $a(u + v) = au + av$  and  $(a + b)v = av + bv$ .

## Definition 2 (linear combination and span)

A **linear combination** of a list  $\{|v_1\rangle, \dots, |v_m\rangle\}$  of vectors in  $V$  is a vector of the form

$$|v\rangle = \sum_i a_i |v_i\rangle = a_1 |v_1\rangle + \dots + a_m |v_m\rangle \Rightarrow \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_m \end{pmatrix}$$

where  $a_1, \dots, a_m \in \mathbb{C}$ . And,  $V$  is called the **span** of  $\{|v_1\rangle, \dots, |v_m\rangle\}$ , denoted by  $\text{span}(|v_1\rangle, \dots, |v_m\rangle)$ . In other words:

$$\text{span}(|v_1\rangle, \dots, |v_m\rangle) = \{a_1 |v_1\rangle + \dots + a_m |v_m\rangle : a_1, \dots, a_m \in \mathbb{C}\}$$

## Definition 3 (linearly independent)

A list  $\{|v_1\rangle, \dots, |v_m\rangle\}$  of vectors in  $V$  is called **linearly independent** if the *only* choice of  $a_1, \dots, a_m \in \mathbb{C}$  that makes

$$a_1 |v_1\rangle + \dots + a_m |v_m\rangle = 0$$

is  $a_1 = \dots = a_m = 0$ .

## Definition 4 (linearly dependent)

A list  $\{|v_1\rangle, \dots, |v_m\rangle\}$  of vectors in  $V$  is called **linearly dependent** if there *exists* a set of numbers  $a_1, \dots, a_m \in \mathbb{C}$  with  $a_i \neq 0$  for at least one value of  $i$ , such that

$$a_1 |v_1\rangle + \dots + a_m |v_m\rangle = 0.$$

## Definition 5 (basis)

A **basis** of  $V$  is a list of vectors in  $V$  that is linearly *independent* and *spans*  $V$ . The number of elements in the basis is defined to be the *dimension* of  $V$ .

- Basis가 주어지면,  $V$ 에 있는 어떤 vector  $|v\rangle \in V$ 도 basis vector들의 linear combination으로 쓸 수 있다.

$$|v\rangle = \sum_i a_i |v_i\rangle \rightarrow \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$$

- Example:  $\mathbb{C}^2$ 의 basis로 사용될 수 있는 2개의 집합이 있을 때, vector  $|v\rangle$ 를 다른 basis의 linear combination으로 나타내면?

$$|v_1\rangle \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |v_2\rangle \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$|u_1\rangle \equiv \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad |u_2\rangle \equiv \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

## Linear Operator

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## Definition 6 (linear operator)

A function from a vector space to vector space  $A : V \rightarrow W$  is called an **linear map**.

$$A \left( \sum_i a_i |v_i\rangle \right) = \sum_i a_i A(|v_i\rangle)$$

Specially, operator from a vector space to *itself* is called an **linear operator**.

## Theorem 7

*Set of all linear operator  $V \rightarrow W$  forms a vector space and denoted by:*

$$\mathcal{L}(V, W)$$

\* Proof:

- $A : V \rightarrow W$ 이고 각 벡터공간의 basis가  $\{|v_1\rangle, \dots, |v_m\rangle\}$ ,  $\{|w_1\rangle, \dots, |w_n\rangle\}$ 라고 하자.
- $V$ 의 어떤 basis vector에 대해서 linear operator를 적용한 결과는  $W$  벡터공간안에 있는 벡터이다.

$$A|v_i\rangle \in W$$

- 따라서 이 벡터는  $\{|w_i\rangle\}$ 의 linear combination으로 나타낼 수 있다.

$$A|v_j\rangle = \sum_i A_{ij} |w_i\rangle$$

이때 coefficient  $A_{ij}$ 를 operator  $A$ 를 표현하는 matrix의 원소로 사용한다.

$$A = \begin{pmatrix} A_{11} & A_{12} & \cdots & A_{1m} \\ A_{21} & A_{22} & \cdots & A_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n1} & A_{n2} & \cdots & A_{nm} \end{pmatrix}$$

## **Inner product and Outer product**

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## Definition 8 (inner product)

An **inner product** is a function which takes as input two vectors  $|v\rangle, |w\rangle \in V$  and produces a complex number as output.

$$(\cdot, \cdot) : V \times V \rightarrow \mathbb{C}$$

Any operation can be defined as **inner product** if it satisfies

- linear in the second argument

$$\left( |v\rangle, \sum_i \lambda_i |w_i\rangle \right) = \sum_i \lambda_i (|v\rangle, |w_i\rangle),$$

- (complex conjugation)  $(|v\rangle, |w\rangle) = (|w\rangle, |v\rangle)^*$ ,
- (non-negative integer)  $(|v\rangle, |w\rangle) \geq 0$ , with equality if and only if  $|v\rangle = 0$ .

- Note that the definition implies

$$\left( \sum_i \lambda_i |w_i\rangle, |v\rangle \right) = \dots$$

- Inner product가 정의되는 vector space를 *inner product space*라고 한다.

## Definition 9 (norm)

We define the **norm** of a vector  $|v\rangle$  by

$$\sqrt{\langle v|v\rangle} = \| |v\rangle \|.$$

If norm of a vector  $|v\rangle$  is  $\| |v\rangle \| = 1$ , we call it as *unit vector*.

## Definition 10 (orthogonality)

Vectors  $|w\rangle$  and  $|v\rangle$  are **orthogonal** if their inner product is zero.

$$\langle v|w\rangle = 0$$

## Definition 11 (orthonormal)

A set of vectors is **orthonormal** if each vector is a unit vector, and each vector pairs is orthogonal.

$$\langle v|w\rangle = 0, \quad \text{and} \quad \langle v|v\rangle = 1, \langle w|w\rangle = 1$$

## Definition 12 (outer product)

An **outer product** is a **linear operator** which takes as input vector  $|v'\rangle \in V$  and produces a vector  $|\psi\rangle \in W$  as output.

$$|w\rangle \langle v| : V \rightarrow W$$

where  $|w\rangle \in W, |v\rangle \in V$ .

$$(|w\rangle \langle v|) |v'\rangle = |w\rangle \langle v|v'\rangle = \underbrace{\langle v|v'\rangle}_{\text{scalar}} \underbrace{|w\rangle}_{\text{vector} \in W} = |\psi\rangle$$

✓ meaning: outer-product는 2개의 vector에 대해 연산하여 하나의 matrix; linear operator를 결과로 만들어낸다.

## Theorem 13 (completeness)

Any orthonormal basis  $\{|i\rangle\}$  for  $V$ , an arbitrary vector  $|v\rangle$  can be written as  $|v\rangle = \sum_i v_i |i\rangle$  for some set of complex numbers  $v_i$  that  $v_i = \langle i|v\rangle$ .

Therefore, substituting into  $v_i$ ,

$$|v\rangle = \sum_i \underbrace{\langle i|v\rangle}_{\text{scalar}} |i\rangle = \sum_i |i\rangle \langle i|v\rangle$$

Since this is true for any  $|v\rangle$ , so we can define identity operator

$$I = \sum_i |i\rangle \langle i|$$

which is called the completeness relation.

- $A : V \rightarrow W$  인 linear operator에 대해서, 양변에 각 vector space에 대한 identity operator를 취하면 다음의 표현을 얻을 수 있다.

$$A = I_W A I_V = \sum_{ij} |w_j\rangle \langle w_j| A |v_i\rangle \langle v_i| = \sum_{ij} \langle w_j| A |v_i\rangle |w_j\rangle \langle v_i|,$$

- 이러한 표현을  $A$ 에 대한 outer product representation이라고 한다.
- $A_{ij} = \langle w_j|A|v_j\rangle$

## Eigenvalue and Eigenvector

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## Definition 14 (eigenvalue and eigenvector)

A number  $\lambda$  is called an **eigenvalue**  $A$  and a non-zero vector  $|v\rangle$  is called an **eigenvector** of  $A$  if there exists such that

$$A |v\rangle = \lambda |v\rangle$$

✓ meaning: linear operator의 transform과 동일한 결과를 단순한 scalar multiplication으로 만들어낼 수 있는 특수한 case를 eigenvalue-vector라고 한다. 직관적으로는 linear transformation의 회전축에 대응된다고 생각할 수 있다.

- $C(\lambda) = 0$ 을 만족시키는  $\lambda$ 가 eigenvalue이다.

$$C(\lambda) = \det(A - \lambda I)$$

- Eigenvalue  $\lambda$ 에 대한 *eigenspace*는 eigenvalue가  $\lambda$ 인 eigenvector들의 집합으로  $V$ 의 subspace이다.

- 다음과 같이 vector space  $V$ 에 작용하는 linear operator  $A : V \rightarrow V$ 에 대해 eigenvalue  $\{\lambda_i\}$ 와 orthonormal eigenbasis  $\{|i\rangle\}$ 로 decomposition 하는 과정을 *Spectral decomposition*이라고 한다.

$$A = \sum_i \lambda_i |i\rangle \langle i|$$

- Spectral decomposition을 할 수 있는 operator는 *diagonalizable*하다고 한다.
- Example: Pauli Z matrix에 대해 spectral decomposition은

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} =$$

## Matrix properties: Hermitian and Unitary

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## Definition 15 (linear functional)

Set of all linear operator from  $V \rightarrow \mathbb{C}$ .

$$\mathcal{L}(V, \mathbb{C})$$

✓ meaning: 벡터를 상수로 mapping시키는 operator를 linear functional이라고 한다.

# Riesz representation theorem

## Theorem 16 (Riesz representation theorem)

Suppose  $\varphi$  is a linear functional on vector space  $V$ . Then there is a unique vector  $u \in V$  s.t.

$$\varphi |v\rangle = \langle u|v\rangle$$

✓ meaning: 내적이 linear functional의 연산 결과와 동일한 벡터가 존재한다.

## Corollary 17

Suppose  $A : V \rightarrow V$  is a linear operator on vector space  $V$ . By Riesz Representation Theorem, there is a unique operator  $B \equiv A^\dagger$  for all vectors  $|v\rangle, |w\rangle \in V$ , s.t.

$$(|v\rangle, A |w\rangle) = (B |v\rangle, |w\rangle)$$

$$\langle v|A|w\rangle = \langle v|B^\dagger|w\rangle = \langle v|(A^\dagger)^\dagger|w\rangle$$

\* Proof:

## Definition 18 (hermitian)

Operator  $A$  is the **Hermitian** operator such that

$$A^\dagger = A.$$

## Corollary 19 (projector)

Operator  $P$  is the **projector** onto the subspace  $W$ . Where  $W$  has orthonormal basis  $\{|1\rangle, \dots, |k\rangle\}$  construct from orthonormal basis  $\{|1\rangle, \dots, |d\rangle\}$  for  $V$ .

$$P \equiv \sum_{i=1}^k |i\rangle \langle i|$$

- Projector가  $|v\rangle \in V$ 에 대해 취하는 연산은 다음과 같다. 즉, 일부 basis만 사용한 linear combination으로 만들어지는 벡터이다.

$$P|v\rangle = \sum_{i=1}^k |i\rangle \langle i|v\rangle = \sum_{i=1}^k v_i |i\rangle$$

- $|v\rangle \in V$ 는  $P$ 에 대해  $|v\rangle = P|v\rangle + (I - P)|v\rangle$ 로 나눌 수 있다.
- $P$ 는 Hermitian operator이다. (i.e.,  $P^\dagger = P$ )

## Definition 20 (normal)

Operator  $A$  is the **normal** operator such that

$$AA^\dagger = A^\dagger A.$$

- Hermitian operator는 normal operator이다. → Hermitian has spectral decomposition
- Normal operator는 *if and only if* real eigenvalues만 가질 때, Hermitian 이다.
- Vector space  $V$ 에 대한 Normal operator는  $V$ 에 대한 some orthonormal basis를 사용하여 **diagonal** 할 수 있다. → normal has spectral decomposition

## Definition 21 (positive operator)

Operator  $A$  is positive operator such that for some operator  $B$

$$A = B^\dagger B.$$

Condition of positive operator:

- (hermitian)  $A = A^\dagger$  (\*)
- (positive semi-definite)  $\langle v|A|v \rangle \geq 0$

## Definition 22 (Unitary)

Operator  $U$  is **unitary** operator such that

$$UU^\dagger = U^\dagger U = I.$$

In other words,

$$U^\dagger = U^{-1}.$$

- Unitary operator는 normal operator이다.  $\rightarrow$  unitary has spectral decomposition
- Unitary operator는 inner products 결과를 보존한다. (\*)

$$(U|v\rangle, U|w\rangle) = \langle v|w\rangle$$

- 만약  $U$ 가 orthonormal basis  $\{|v_i\rangle\}$ 에 취해지면, unitary operator의 성질로 인해(\*) 내적 결과로 만들어지는 벡터들의 집합  $\{|w_i\rangle\}$ 도 orthonormal basis가 된다. 따라서 unitary operator는 다음과 같이 표현할 수 있다.

$$U = \sum_i |w_i\rangle \langle v_i|,$$

where  $|w_i\rangle = U|v_i\rangle$ .



## Tensor product

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## Definition 23 (tensor product)

Suppose  $V$  and  $W$  be vector spaces with respective bases  $\{|i\rangle\}$  and  $\{|j\rangle\}$ . The tensor product of these two vector spaces is defined as

$$V \otimes W, \quad \{|i\rangle \otimes |j\rangle\} \in V \otimes W.$$

✓ meaning: Tensor product로 만들어지는 벡터는 두 vector space  $V, W$ 의 basis vector  $|i\rangle, |j\rangle$ 들의 tensor product의 linear combination으로 표현된다. 즉, 결과 벡터는  $|i\rangle \otimes |j\rangle$ 를 basis로 갖는 새로운 vector space에 존재한다.

$$|v\rangle \otimes |w\rangle = \sum_{i,j} \alpha_{ij} |i\rangle \otimes |j\rangle$$

By definition of tensor product, satisfies the following properties:

For an arbitrary scalar  $z$  and vectors  $|v\rangle \in V$  and  $|w\rangle \in W$

- 1)  $z(|v\rangle \otimes |w\rangle) = (z|v\rangle) \otimes |w\rangle = |v\rangle \otimes (z|w\rangle),$
- 2)  $(|v_1\rangle + |v_2\rangle) \otimes |w\rangle = |v_1\rangle \otimes |w\rangle + |v_2\rangle \otimes |w\rangle,$
- 3)  $|v\rangle \otimes (|w_1\rangle + |w_2\rangle) = |v\rangle \otimes |w_1\rangle + |v\rangle \otimes |w_2\rangle$

### Definition 24 (tensor product for operators)

Combine linear operator  $A : V \rightarrow V'$  and  $B : W \rightarrow W'$ , then we define the **tensor product linear operator**  $C : V \otimes W \rightarrow V' \otimes W'$  as

$$(A \otimes B)(|v\rangle \otimes |w\rangle) = A|v\rangle \otimes B|w\rangle$$

more general,

$$C = \sum_i c_i A_i \otimes B_i.$$

- Example : The tensor product of the Pauli matrices  $X$  and  $Y$  is

$$X \otimes Y =$$

**Useful concepts: projector, trace and commutator**

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## Definition 25 (operator function)

Let  $A = \sum_a a |a\rangle \langle a|$  be a spectral decomposition for a normal operator  $A$ . Then we define **operator function** as

$$f(A) = \sum_a f(a) |a\rangle \langle a|.$$

✓ meaning: operator의 spectral decomposition에 대해 eigenvalue에만 적용된다.

- Example:  $A = Z, f(x) = e^{\theta x}$  then,

$$f(Z) =$$

## Definition 26 (trace)

$$\text{tr}(A) = \sum_i A_{ii} = \sum_i \langle i|A|i\rangle$$

By definition of trace, satisfies the following properties:

- $\text{tr}(AB) = \text{tr}(BA)$
- $\text{tr}(A + B) = \text{tr}(A) + \text{tr}(B)$
- $\text{tr}(zA) = z \cdot \text{tr}(A)$
- (unitary invariant)

$$\text{tr}(UAU^\dagger) = \text{tr}(A)$$

- $\langle \psi|A|\psi\rangle = \text{tr}[A|\psi\rangle\langle\psi|] (*)$

\* Proof:

## Definition 27 (commutator)

$A, B$  is linear operator,  
commutator:

$$[A, B] = AB - BA$$

anti-commutator:

$$\{A, B\} = AB + BA$$

## Theorem 28

*If commutator is zero;  $[A, B] = 0$  then if and only if there exists an orthogonal basis that diagonalizable  $A$  and  $B$  **simultaneously**.*

## Decompositions

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Let  $A$  be a linear operator on a vector space  $V$ . Then there exists *unitary*  $U$  and *positive operators*  $J$  and  $K$  such that

$$A = UJ = KU$$

- If  $A$  is invertible, then  $U$  is unique. (i.e., If  $A$  is full rank.)
- $J, K$ 가 positive operator 이므로 다음과 같다.

$$J = \sqrt{A^\dagger A}, \quad K = \sqrt{AA^\dagger}.$$

- $A$ 는 square matrix가 아니어도 된다.

## Singular value decomposition

Let  $A$  be a linear operator on a vector space  $V$ . Then there exists unitary  $U$  and  $V$  and a diagonal matrix  $D$  with nonnegative entries such that

$$A = UDV.$$

\* Proof: (hint: using polar decomposition)

Suppose we have a vector in a composite system  $V \otimes W$ . Then there exist orthonormal basis in  $V$  and  $W$  such that

$$|a\rangle = \sum_i \lambda_i |v_i\rangle \otimes |w_i\rangle$$

\* Proof: (hint: using singular value decomposition)

- Sheldon Axler, Linear Algebra Done Right, 3th
- Lecture notes for QU511: Quantum Computing (Fall 2024)