

# Smart Pointers

A *pointer* is a general concept for a variable that contains an address in memory. This address refers to, or “points at,” some other data. The most common kind of pointer in Rust is a reference, which you learned about in Chapter 4. References are indicated by the `&` symbol and borrow the value they point to. They don’t have any special capabilities other than referring to data, and have no overhead.

*Smart pointers*, on the other hand, are data structures that act like a pointer but also have additional metadata and capabilities. The concept of smart pointers isn’t unique to Rust: smart pointers originated in C++ and exist in other languages as well. Rust has a variety of smart pointers defined in the standard library that provide functionality beyond that provided by references. To explore the general concept, we’ll look at a couple of different examples of smart pointers, including a *reference counting* smart pointer type. This pointer enables you to allow data to have multiple owners by keeping track of the number of owners and, when no owners remain, cleaning up the data.

Rust, with its concept of ownership and borrowing, has an additional difference between references and smart pointers: while references only borrow data, in many cases, smart pointers *own* the data they point to.

## IMP

Though we didn’t call them as such at the time, we’ve already encountered a few smart pointers in this book, including `String` and `Vec<T>` in Chapter 8. Both these types count as smart pointers because they own some memory and allow you to manipulate it. They also have metadata and extra capabilities or guarantees. `String`, for example, stores its capacity as metadata and has the extra ability to ensure its data will always be valid UTF-8.

Smart pointers are usually implemented using structs. Unlike an ordinary struct, smart pointers implement the `Deref` and `Drop` traits. The `Deref` trait allows an instance of the smart pointer struct to behave like a reference so you can write your code to work with either references or smart pointers. The `Drop` trait allows you to customize the code that’s run when an instance of the smart pointer goes out of scope. In this chapter, we’ll discuss both traits and demonstrate why they’re important to smart pointers.

Given that the smart pointer pattern is a general design pattern used frequently in Rust, this chapter won’t cover every existing smart pointer. Many libraries have their own smart pointers, and you can even write your own. We’ll cover the most common smart pointers in the standard library:

- `Box<T>` for allocating values on the heap
- `Rc<T>`, a reference counting type that enables multiple ownership

- `Ref<T>` and `RefMut<T>`, accessed through `RefCell<T>`, a type that enforces the borrowing rules at runtime instead of compile time

In addition, we'll cover the *interior mutability* pattern where an immutable type exposes an API for mutating an interior value. We'll also discuss *reference cycles*: how they can leak memory and how to prevent them.

Let's dive in!

## Using `Box<T>` to Point to Data on the Heap

The most straightforward smart pointer is a *box*, whose type is written `Box<T>`. Boxes allow you to store data on the heap rather than the stack. What remains on the stack is the pointer to the heap data. Refer to Chapter 4 to review the difference between the stack and the heap.

Boxes don't have performance overhead, other than storing their data on the heap instead of on the stack. But they don't have many extra capabilities either. You'll use them most often in these situations:

- When you have a type whose size can't be known at compile time and you want to use a value of that type in a context that requires an exact size
- When you have a large amount of data and you want to transfer ownership but ensure the data won't be copied when you do so
- When you want to own a value and you care only that it's a type that implements a particular trait rather than being of a specific type

We'll demonstrate the first situation in the “[Enabling Recursive Types with Boxes](#)” section. In the second case, transferring ownership of a large amount of data can take a long time because the data is copied around on the stack. To improve performance in this situation, we can store the large amount of data on the heap in a box. Then, only the small amount of pointer data is copied around on the stack, while the data it references stays in one place on the heap. The third case is known as a *trait object*, and Chapter 17 devotes an entire section, “[Using Trait Objects That Allow for Values of Different Types](#),” just to that topic. So what you learn here you'll apply again in Chapter 17!

## Using a `Box<T>` to Store Data on the Heap

Before we discuss the heap storage use case for `Box<T>`, we'll cover the syntax and how to interact with values stored within a `Box<T>`.

Listing 15-1 shows how to use a box to store an `i32` value on the heap:

Filename: `src/main.rs`

```
fn main() {  
    let b = Box::new(5);  
    println!("b = {b}");  
}
```

### Listing 15-1: Storing an `i32` value on the heap using a box

We define the variable `b` to have the value of a `Box` that points to the value `5`, which is allocated on the heap. This program will print `b = 5`; in this case, we can access the data in the box similar to how we would if this data were on the stack. Just like any owned value, when a box goes out of scope, as `b` does at the end of `main`, it will be deallocated. The deallocation happens both for the box (stored on the stack) and the data it points to (stored on the heap).

Putting a single value on the heap isn't very useful, so you won't use boxes by themselves in this way very often. Having values like a single `i32` on the stack, where they're stored by default, is more appropriate in the majority of situations. Let's look at a case where boxes allow us to define types that we wouldn't be allowed to if we didn't have boxes.

## Enabling Recursive Types with Boxes

A value of *recursive type* can have another value of the same type as part of itself. Recursive types pose an issue because at compile time Rust needs to know how much space a type takes up. However, the nesting of values of recursive types could theoretically continue infinitely, so Rust can't know how much space the value needs. Because boxes have a known size, we can enable recursive types by inserting a box in the recursive type definition.

As an example of a recursive type, let's explore the *cons list*. This is a data type commonly found in functional programming languages. The cons list type we'll define is straightforward except for the recursion; therefore, the concepts in the example we'll work with will be useful any time you get into more complex situations involving recursive types.

### More Information About the Cons List

A *cons list* is a data structure that comes from the Lisp programming language and its dialects and is made up of nested pairs, and is the Lisp version of a linked list. Its name comes from the `cons` function (short for "construct function") in Lisp that constructs a new pair from its two arguments. By calling `cons` on a pair consisting of a value and another pair, we can construct cons lists made up of recursive pairs.

For example, here's a pseudocode representation of a cons list containing the list 1, 2, 3 with each pair in parentheses:

```
(1, (2, (3, Nil)))
```

Each item in a cons list contains two elements: the value of the current item and the next item. The last item in the list contains only a value called `Nil` without a next item. A cons list is

produced by recursively calling the `cons` function. The canonical name to denote the base case of the recursion is `Nil`. Note that this is not the same as the “null” or “nil” concept in Chapter 6, which is an invalid or absent value.

The cons list isn’t a commonly used data structure in Rust. **Most of the time when you have a list of items in Rust, `Vec<T>` is a better choice to use.** Other, more complex recursive data types *are* useful in various situations, but by starting with the cons list in this chapter, we can explore how boxes let us define a recursive data type without much distraction.

Listing 15-2 contains an enum definition for a cons list. Note that this code won’t compile yet because the `List` type doesn’t have a known size, which we’ll demonstrate.

Filename: `src/main.rs`

```
enum List {
    Cons(i32, List),
    Nil,
}
```

## Recursive DS requesting infinite size



Listing 15-2: The first attempt at defining an enum to represent a cons list data structure of `i32` values

---

Note: We’re implementing a cons list that holds only `i32` values for the purposes of this example. We could have implemented it using generics, as we discussed in Chapter 10, to define a cons list type that could store values of any type.

---

Using the `List` type to store the list `1, 2, 3` would look like the code in Listing 15-3:

Filename: `src/main.rs`

```
use crate::List::{Cons, Nil};

fn main() {
    let list = Cons(1, Cons(2, Cons(3, Nil)));
}
```



Listing 15-3: Using the `List` enum to store the list `1, 2, 3`

The first `Cons` value holds `1` and another `List` value. This `List` value is another `Cons` value that holds `2` and another `List` value. This `List` value is one more `Cons` value that holds `3` and a `List` value, which is finally `Nil`, the non-recursive variant that signals the end of the list.

If we try to compile the code in Listing 15-3, we get the error shown in Listing 15-4:

```
$ cargo run
   Compiling cons-list v0.1.0 (file:///projects/cons-list)
error[E0072]: recursive type `List` has infinite size
  --> src/main.rs:1:1
   |
1  | enum List {
   | ^^^^^^^^^
2  |     Cons(i32, List),
   |               ---- recursive without indirection
help: insert some indirection (e.g., a `Box`, `Rc`, or `&`) to break the cycle
   |
2  |     Cons(i32, Box<List>),
   |               ++++++ +

error[E0391]: cycle detected when computing when `List` needs drop
  --> src/main.rs:1:1
   |
1  | enum List {
   | ^^^^^^^^^
   |
   = note: ...which immediately requires computing when `List` needs drop again
   = note: cycle used when computing whether `List` needs drop
   = note: see https://rustc-dev-guide.rust-lang.org/overview.html#queries and
https://rustc-dev-guide.rust-lang.org/query.html for more information

Some errors have detailed explanations: E0072, E0391.
For more information about an error, try `rustc --explain E0072`.
error: could not compile `cons-list` (bin "cons-list") due to 2 previous errors
```

Listing 15-4: The error we get when attempting to define a recursive enum

The error shows this type “has infinite size.” The reason is that we’ve defined `List` with a variant that is recursive: it holds another value of itself directly. As a result, Rust can’t figure out how much space it needs to store a `List` value. Let’s break down why we get this error. First, we’ll look at how Rust decides how much space it needs to store a value of a non-recursive type.

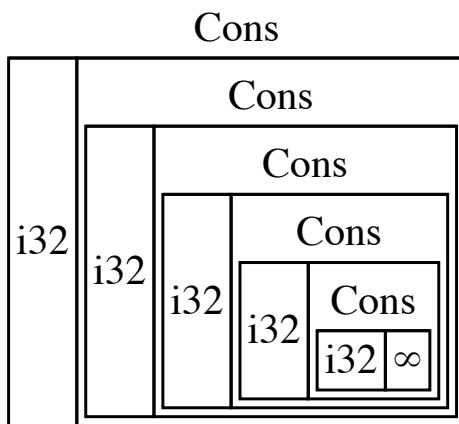
## Computing the Size of a Non-Recursive Type

Recall the `Message` enum we defined in Listing 6-2 when we discussed enum definitions in Chapter 6:

```
enum Message {
    Quit,
    Move { x: i32, y: i32 },
    Write(String),
    ChangeColor(i32, i32, i32),
}
```

To determine how much space to allocate for a `Message` value, Rust goes through each of the variants to see which variant needs the most space. Rust sees that `Message::Quit` doesn't need any space, `Message::Move` needs enough space to store two `i32` values, and so forth. Because only one variant will be used, the most space a `Message` value will need is the space it would take to store the largest of its variants.

Contrast this with what happens when Rust tries to determine how much space a recursive type like the `List` enum in Listing 15-2 needs. The compiler starts by looking at the `Cons` variant, which holds a value of type `i32` and a value of type `List`. Therefore, `Cons` needs an amount of space equal to the size of an `i32` plus the size of a `List`. To figure out how much memory the `List` type needs, the compiler looks at the variants, starting with the `Cons` variant. The `Cons` variant holds a value of type `i32` and a value of type `List`, and this process continues infinitely, as shown in Figure 15-1.



Rust cannot figure out the size of `Cons`

Figure 15-1: An infinite `List` consisting of infinite `Cons` variants

## Using `Box<T>` to Get a Recursive Type with a Known Size

Because Rust can't figure out how much space to allocate for recursively defined types, the compiler gives an error with this helpful suggestion:

```

help: insert some indirection (e.g., a `Box`, `Rc`, or `&`) to break the cycle
2 |         Cons(i32, Box<List>),
  |                   ++++++

```

In this suggestion, “indirection” means that instead of storing a value directly, we should change the data structure to store the value indirectly by storing a pointer to the value instead.

Because a `Box<T>` is a pointer, Rust always knows how much space a `Box<T>` needs: a pointer’s size doesn’t change based on the amount of data it’s pointing to. This means we can put a `Box<T>` inside the `Cons` variant instead of another `List` value directly. The `Box<T>` will point to the next `List` value that will be on the heap rather than inside the `cons` variant. Conceptually, we still have a list, created with lists holding other lists, but this implementation is now more like placing the items next to one another rather than inside one another.

We can change the definition of the `List` enum in Listing 15-2 and the usage of the `List` in Listing 15-3 to the code in Listing 15-5, which will compile:

Filename: src/main.rs

```

enum List {
    Cons(i32, Box<List>),
    Nil,
}

use crate::List::{Cons, Nil};

fn main() {
    let list = Cons(1, Box::new(Cons(2, Box::new(Cons(3, Box::new(Nil))))));
}

```

Listing 15-5: Definition of `List` that uses `Box<T>` in order to have a known size

The `cons` variant needs the size of an `i32` plus the space to store the box’s pointer data. The `Nil` variant stores no values, so it needs less space than the `cons` variant. We now know that any `List` value will take up the size of an `i32` plus the size of a box’s pointer data. By using a box, we’ve broken the infinite, recursive chain, so the compiler can figure out the size it needs to store a `List` value. Figure 15-2 shows what the `cons` variant looks like now.



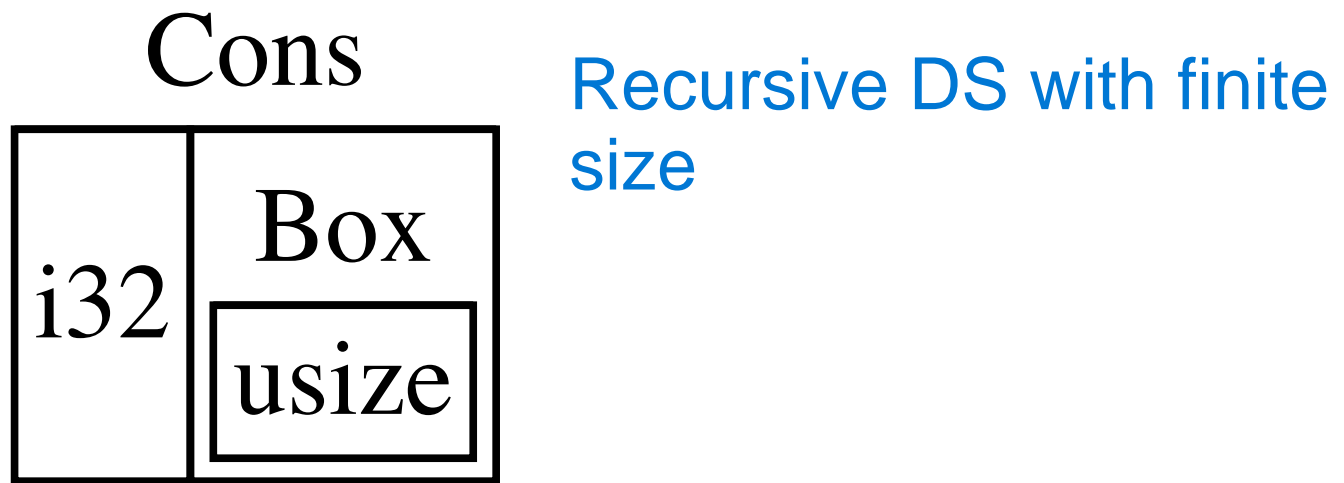


Figure 15-2: A `List` that is not infinitely sized because `Cons` holds a `Box`

Boxes provide only the indirection and heap allocation; they don't have any other special capabilities, like those we'll see with the other smart pointer types. They also don't have the performance overhead that these special capabilities incur, so they can be useful in cases like the cons list where the indirection is the only feature we need. We'll look at more use cases for boxes in Chapter 17, too.

## IMP

The `Box<T>` type is a smart pointer because it implements the `Deref` trait, which allows `Box<T>` values to be treated like references. When a `Box<T>` value goes out of scope, the heap data that the box is pointing to is cleaned up as well because of the `Drop` trait implementation. These two traits will be even more important to the functionality provided by the other smart pointer types we'll discuss in the rest of this chapter. Let's explore these two traits in more detail.

# Treating Smart Pointers Like Regular References with the Deref Trait

IMP

Implementing the `Deref` trait allows you to customize the behavior of the *dereference operator* `*` (not to be confused with the multiplication or glob operator). By implementing `Deref` in such a way that a smart pointer can be treated like a regular reference, you can write code that operates on references and use that code with smart pointers too.

Let's first look at how the dereference operator works with regular references. Then we'll try to define a custom type that behaves like `Box<T>`, and see why the dereference operator doesn't work like a reference on our newly defined type. We'll explore how implementing the `Deref` trait makes it possible for smart pointers to work in ways similar to references. Then we'll look at Rust's *deref coercion* feature and how it lets us work with either references or smart pointers.

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Note: There's one big difference between the `MyBox<T>` type we're about to build and the real `Box<T>`: our version will not store its data on the heap. We are focusing this example on `Deref`, so where the data is actually stored is less important than the pointer-like behavior.

---

## Following the Pointer to the Value

A regular reference is a type of pointer, and one way to think of a pointer is as an arrow to a value stored somewhere else. In Listing 15-6, we create a reference to an `i32` value and then use the dereference operator to follow the reference to the value:

Filename: `src/main.rs`

```
fn main() {
    let x = 5;
    let y = &x;

    assert_eq!(5, x);
    assert_eq!(5, *y);
}
```

Listing 15-6: Using the dereference operator to follow a reference to an `i32` value

The variable `x` holds an `i32` value `5`. We set `y` equal to a reference to `x`. We can assert that `x` is equal to `5`. However, if we want to make an assertion about the value in `y`, we have to use `*y` to follow the reference to the value it's pointing to (hence *dereference*) so the compiler can compare the actual value. Once we dereference `y`, we have access to the integer value `y` is pointing to that we can compare with `5`.

If we tried to write `assert_eq!(5, y);` instead, we would get this compilation error:

```
$ cargo run
   Compiling deref-example v0.1.0 (file:///projects/deref-example)
error[E0277]: can't compare `{integer}` with `&{integer}`
  --> src/main.rs:6:5
   |
6  |     assert_eq!(5, y);
   |     ^^^^^^^^^^^^^^^^^ no implementation for `{integer} == &{integer}`
   |
   = help: the trait `PartialEq<&{integer}>` is not implemented for `{integer}`
   = note: this error originates in the macro `assert_eq` (in Nightly builds, run
with -Z macro-backtrace for more info)

For more information about this error, try `rustc --explain E0277`.
error: could not compile `deref-example` (bin "deref-example") due to 1 previous
error
```

Comparing a number and a reference to a number isn't allowed because they're different types. We must use the dereference operator to follow the reference to the value it's pointing to.

## Using Box<T> Like a Reference

We can rewrite the code in Listing 15-6 to use a `Box<T>` instead of a reference; the dereference operator used on the `Box<T>` in Listing 15-7 functions in the same way as the dereference operator used on the reference in Listing 15-6:

Filename: src/main.rs

```
fn main() {
    let x = 5;
    let y = Box::new(x);

    assert_eq!(5, x);
    assert_eq!(5, *y);
}
```

Listing 15-7: Using the dereference operator on a `Box<i32>`

The main difference between Listing 15-7 and Listing 15-6 is that here we set `y` to be an instance of a `Box<T>` pointing to a copied value of `x` rather than a reference pointing to the value of `x`. In the last assertion, we can use the dereference operator to follow the pointer of the `Box<T>` in the same way that we did when `y` was a reference. Next, we'll explore what is special about `Box<T>` that enables us to use the dereference operator by defining our own type.

## Defining Our Own Smart Pointer

Let's build a smart pointer similar to the `Box<T>` type provided by the standard library to experience how smart pointers behave differently from references by default. Then we'll look at how to add the ability to use the dereference operator.

The `Box<T>` type is ultimately defined as a tuple struct with one element, so Listing 15-8 defines a `MyBox<T>` type in the same way. We'll also define a `new` function to match the `new` function defined on `Box<T>`.

Filename: src/main.rs

```
struct MyBox<T>(T);

impl<T> MyBox<T> {
    fn new(x: T) -> MyBox<T> {
        MyBox(x)
    }
}
```

Listing 15-8: Defining a `MyBox<T>` type

We define a struct named `MyBox` and declare a generic parameter `T`, because we want our type to hold values of any type. The `MyBox` type is a tuple struct with one element of type `T`. The `MyBox::new` function takes one parameter of type `T` and returns a `MyBox` instance that holds the value passed in.

Let's try adding the `main` function in Listing 15-7 to Listing 15-8 and changing it to use the `MyBox<T>` type we've defined instead of `Box<T>`. The code in Listing 15-9 won't compile because Rust doesn't know how to dereference `MyBox`.

Filename: src/main.rs

```
fn main() {
    let x = 5;
    let y = MyBox::new(x);

    assert_eq!(5, x);
    assert_eq!(5, *y);
}
```



Listing 15-9: Attempting to use `MyBox<T>` in the same way we used references and `Box<T>`

Here's the resulting compilation error:

```
$ cargo run
   Compiling deref-example v0.1.0 (file:///projects/deref-example)
error[E0614]: type `MyBox<{integer}>` cannot be dereferenced
  --> src/main.rs:14:19
   |
14 |         assert_eq!(5, *y);
   |                        ^^
```

For more information about this error, try ``rustc --explain E0614``.  
error: could not compile `deref-example` (bin "deref-example") due to 1 previous error

IMP

Our `MyBox<T>` type can't be dereferenced because we haven't implemented that ability on our type. To enable dereferencing with the `*` operator, we implement the `Deref` trait.

## Treating a Type Like a Reference by Implementing the Deref Trait

As discussed in the “[Implementing a Trait on a Type](#)” section of Chapter 10, to implement a trait, we need to provide implementations for the trait's required methods. The `Deref` trait, provided by the standard library, requires us to implement one method named `deref` that borrows `self` and returns a reference to the inner data. Listing 15-10 contains an implementation of `Deref` to add to the definition of `MyBox`:

Filename: `src/main.rs`

```
use std::ops::Deref;

impl<T> Deref for MyBox<T> {
    type Target = T;

    fn deref(&self) -> &Self::Target {
        &self.0
    }
}
```

**Listing 15-10: Implementing `Deref` on `MyBox<T>`**

The `type Target = T;` syntax defines an associated type for the `Deref` trait to use. Associated types are a slightly different way of declaring a generic parameter, but you don't need to worry about them for now; we'll cover them in more detail in Chapter 19.

IMP

We fill in the body of the `deref` method with `&self.0` so `deref` returns a reference to the value we want to access with the `*` operator; recall from the “Using Tuple Structs without Named Fields to Create Different Types” section of Chapter 5 that `.0` accesses the first value in a tuple struct. The `main` function in Listing 15-9 that calls `*` on the `MyBox<T>` value now compiles, and the assertions pass!

Without the `Deref` trait, the compiler can only dereference `&` references. The `deref` method gives the compiler the ability to take a value of any type that implements `Deref` and call the `deref` method to get a `&` reference that it knows how to dereference.

When we entered `*y` in Listing 15-9, behind the scenes Rust actually ran this code:

```
*(&y.deref())
```

Rust substitutes the `*` operator with a call to the `deref` method and then a plain dereference so we don't have to think about whether or not we need to call the `deref` method. This Rust feature lets us write code that functions identically whether we have a regular reference or a type that implements `Deref`.

VV IMP

The reason the `deref` method returns a reference to a value, and that the plain dereference outside the parentheses in `*(&y.deref())` is still necessary, is to do with the ownership system. If the `deref` method returned the value directly instead of a reference to the value, the value would be moved out of `self`. We don't want to take ownership of the inner value inside `MyBox<T>` in this case or in most cases where we use the dereference operator.

Note that the `*` operator is replaced with a call to the `deref` method and then a call to the `*` operator just once, each time we use a `*` in our code. Because the substitution of the `*` operator does not recurse infinitely, we end up with data of type `i32`, which matches the `5` in `assert_eq!` in Listing 15-9.

## Implicit Deref Coercions with Functions and Methods

*Deref coercion* converts a reference to a type that implements the `Deref` trait into a reference to another type. For example, deref coercion can convert `&String` to `&str` because `String` implements the `Deref` trait such that it returns `&str`. Deref coercion is a convenience Rust performs on arguments to functions and methods, and works only on types that implement the

`Deref` trait. It happens automatically when we pass a reference to a particular type's value as an argument to a function or method that doesn't match the parameter type in the function or method definition. A sequence of calls to the `deref` method converts the type we provided into the type the parameter needs.

Deref coercion was added to Rust so that programmers writing function and method calls don't need to add as many explicit references and dereferences with `&` and `*`. The deref coercion feature also lets us write more code that can work for either references or smart pointers.

To see deref coercion in action, let's use the `MyBox<T>` type we defined in Listing 15-8 as well as the implementation of `Deref` that we added in Listing 15-10. Listing 15-11 shows the definition of a function that has a string slice parameter:

Filename: src/main.rs

```
fn hello(name: &str) {
    println!("Hello, {name}!");
}
```

Listing 15-11: A `hello` function that has the parameter `name` of type `&str`

We can call the `hello` function with a string slice as an argument, such as `hello("Rust");` for example. Deref coercion makes it possible to call `hello` with a reference to a value of type `MyBox<String>`, as shown in Listing 15-12:

Filename: src/main.rs

```
fn main() {
    let m = MyBox::new(String::from("Rust"));
    hello(&m);
}
```

Listing 15-12: Calling `hello` with a reference to a `MyBox<String>` value, which works because of deref coercion

Here we're calling the `hello` function with the argument `&m`, which is a reference to a `MyBox<String>` value. Because we implemented the `Deref` trait on `MyBox<T>` in Listing 15-10, Rust can turn `&MyBox<String>` into `&String` by calling `deref`. The standard library provides an implementation of `Deref` on `String` that returns a string slice, and this is in the API documentation for `Deref`. Rust calls `deref` again to turn the `&String` into `&str`, which matches the `hello` function's definition.

If Rust didn't implement deref coercion, we would have to write the code in Listing 15-13 instead of the code in Listing 15-12 to call `hello` with a value of type `&MyBox<String>`.

Filename: src/main.rs

```
fn main() {
    let m = MyBox::new(String::from("Rust"));
    hello(&(*m)[..]);
}
```

Listing 15-13: The code we would have to write if Rust didn't have deref coercion

The `(*m)` dereferences the `MyBox<String>` into a `String`. Then the `&` and `[..]` take a string slice of the `String` that is equal to the whole string to match the signature of `hello`. This code without deref coercions is harder to read, write, and understand with all of these symbols involved. Deref coercion allows Rust to handle these conversions for us automatically.

When the `Deref` trait is defined for the types involved, Rust will analyze the types and use `Deref::deref` as many times as necessary to get a reference to match the parameter's type. The number of times that `Deref::deref` needs to be inserted is resolved at compile time, so there is no runtime penalty for taking advantage of deref coercion!

## How Deref Coercion Interacts with Mutability

Similar to how you use the `Deref` trait to override the `*` operator on immutable references, you can use the `DerefMut` trait to override the `*` operator on mutable references.

Rust does deref coercion when it finds types and trait implementations in three cases:

- From `&T` to `&U` when `T: Deref<Target=U>`
- From `&mut T` to `&mut U` when `T: DerefMut<Target=U>`
- From `&mut T` to `&U` when `T: Deref<Target=U>`

IMP

The first two cases are the same as each other except that the second implements mutability. The first case states that if you have a `&T`, and `T` implements `Deref` to some type `U`, you can get a `&U` transparently. The second case states that the same deref coercion happens for mutable references.

VV IMP

The third case is trickier: Rust will also coerce a mutable reference to an immutable one. But the reverse is *not* possible: immutable references will never coerce to mutable references. Because of the borrowing rules, if you have a mutable reference, that mutable reference must be the only reference to that data (otherwise, the program wouldn't compile). Converting one mutable reference to one immutable reference will never break the borrowing rules.

Converting an immutable reference to a mutable reference would require that the initial immutable reference is the only immutable reference to that data, but the borrowing rules don't guarantee that. Therefore, Rust can't make the assumption that converting an immutable reference to a mutable reference is possible.



## Running Code on Cleanup with the Drop Trait

The second trait important to the smart pointer pattern is `Drop`, which lets you customize what happens when a value is about to go out of scope. You can provide an implementation for the `Drop` trait on any type, and that code can be used to release resources like files or network connections.

We're introducing `Drop` in the context of smart pointers because the functionality of the `Drop` trait is almost always used when implementing a smart pointer. For example, when a `Box<T>` is dropped it will deallocate the space on the heap that the box points to.

In some languages, for some types, the programmer must call code to free memory or resources every time they finish using an instance of those types. Examples include file handles, sockets, or locks. If they forget, the system might become overloaded and crash. In Rust, you can specify that a particular bit of code be run whenever a value goes out of scope, and the compiler will insert this code automatically. As a result, you don't need to be careful about placing cleanup code everywhere in a program that an instance of a particular type is finished with—you still won't leak resources!

You specify the code to run when a value goes out of scope by implementing the `Drop` trait. The `Drop` trait requires you to implement one method named `drop` that takes a mutable reference to `self`. To see when Rust calls `drop`, let's implement `drop` with `println!` statements for now.

Listing 15-14 shows a `CustomSmartPointer` struct whose only custom functionality is that it will print `Dropping CustomSmartPointer!` when the instance goes out of scope, to show when Rust runs the `drop` function.

Filename: `src/main.rs`

```

struct CustomSmartPointer {
    data: String,
}

impl Drop for CustomSmartPointer {
    fn drop(&mut self) {
        println!("Dropping CustomSmartPointer with data `{}`!", self.data);
    }
}

fn main() {
    let c = CustomSmartPointer {
        data: String::from("my stuff"),
    };
    let d = CustomSmartPointer {
        data: String::from("other stuff"),
    };
    println!("CustomSmartPointers created.");
}

```

Listing 15-14: A `CustomSmartPointer` struct that implements the `Drop` trait where we would put our cleanup code

The `Drop` trait is included in the prelude, so we don't need to bring it into scope. We implement the `Drop` trait on `CustomSmartPointer` and provide an implementation for the `drop` method that calls `println!`. The body of the `drop` function is where you would place any logic that you wanted to run when an instance of your type goes out of scope. We're printing some text here to demonstrate visually when Rust will call `drop`.

In `main`, we create two instances of `CustomSmartPointer` and then print `CustomSmartPointers created`. At the end of `main`, our instances of `CustomSmartPointer` will go out of scope, and Rust will call the code we put in the `drop` method, printing our final message. Note that we didn't need to call the `drop` method explicitly.

When we run this program, we'll see the following output:

```

$ cargo run
  Compiling drop-example v0.1.0 (file:///projects/drop-example)
  Finished `dev` profile [unoptimized + debuginfo] target(s) in 0.60s
  Running `target/debug/drop-example`
CustomSmartPointers created.
Dropping CustomSmartPointer with data `other stuff`!
Dropping CustomSmartPointer with data `my stuff`!

```

Rust automatically called `drop` for us when our instances went out of scope, calling the code we specified. Variables are dropped in the reverse order of their creation, so `d` was dropped before `c`. This example's purpose is to give you a visual guide to how the `drop` method works;

usually you would specify the cleanup code that your type needs to run rather than a print message.

## Dropping a Value Early with `std::mem::drop`

Unfortunately, it's not straightforward to disable the automatic `drop` functionality. Disabling `drop` isn't usually necessary; the whole point of the `Drop` trait is that it's taken care of automatically. Occasionally, however, you might want to clean up a value early. One example is when using smart pointers that manage locks: you might want to force the `drop` method that releases the lock so that other code in the same scope can acquire the lock. Rust doesn't let you call the `Drop` trait's `drop` method manually; instead you have to call the `std::mem::drop` function provided by the standard library if you want to force a value to be dropped before the end of its scope. **IMP**

If we try to call the `Drop` trait's `drop` method manually by modifying the `main` function from Listing 15-14, as shown in Listing 15-15, we'll get a compiler error:

Filename: src/main.rs

```
fn main() {
    let c = CustomSmartPointer {
        data: String::from("some data"),
    };
    println!("CustomSmartPointer created.");
    c.drop();
    println!("CustomSmartPointer dropped before the end of main.");
}
```



Listing 15-15: Attempting to call the `drop` method from the `Drop` trait manually to clean up early

When we try to compile this code, we'll get this error:

```
$ cargo run
Compiling drop-example v0.1.0 (file:///projects/drop-example)
error[E0040]: explicit use of destructor method
--> src/main.rs:16:7
|
16 |     c.drop();
|       ^^^^^ explicit destructor calls not allowed
|
help: consider using `drop` function
16 |     drop(c);
|       +++++ ~
```

For more information about this error, try ``rustc --explain E0040``.  
error: could not compile ``drop-example`` (bin `"drop-example"`) due to 1 previous error

This error message states that we're not allowed to explicitly call `drop`. The error message uses the term *destructor*, which is the general programming term for a function that cleans up an instance. A *destructor* is analogous to a *constructor*, which creates an instance. The `drop` function in Rust is one particular destructor.

Rust doesn't let us call `drop` explicitly because Rust would still automatically call `drop` on the value at the end of `main`. This would cause a *double free* error because Rust would be trying to clean up the same value twice. **IMP**

We can't disable the automatic insertion of `drop` when a value goes out of scope, and we can't call the `drop` method explicitly. So, if we need to force a value to be cleaned up early, we use the `std::mem::drop` function.

The `std::mem::drop` function is different from the `drop` method in the `Drop` trait. We call it by passing as an argument the value we want to force drop. The function is in the prelude, so we can modify `main` in Listing 15-15 to call the `drop` function, as shown in Listing 15-16:

Filename: `src/main.rs`

```
fn main() {
    let c = CustomSmartPointer {
        data: String::from("some data"),
    };
    println!("CustomSmartPointer created.");
    drop(c);
    println!("CustomSmartPointer dropped before the end of main.");
}
```

Listing 15-16: Calling `std::mem::drop` to explicitly drop a value before it goes out of scope

Running this code will print the following:

```
$ cargo run
  Compiling drop-example v0.1.0 (file:///projects/drop-example)
    Finished `dev` profile [unoptimized + debuginfo] target(s) in 0.73s
    Running `target/debug/drop-example`
CustomSmartPointer created.
Dropping CustomSmartPointer with data `some data`!
CustomSmartPointer dropped before the end of main.
```

The text `Dropping CustomSmartPointer with data `some data`!` is printed between the `CustomSmartPointer created.` and `CustomSmartPointer dropped before the end of main.` text, showing that the `drop` method code is called to drop `c` at that point.

You can use code specified in a `Drop` trait implementation in many ways to make cleanup convenient and safe: for instance, you could use it to create your own memory allocator! With the `Drop` trait and Rust's ownership system, you don't have to remember to clean up because Rust does it automatically.

You also don't have to worry about problems resulting from accidentally cleaning up values still in use: the ownership system that makes sure references are always valid also ensures that `drop` gets called only once when the value is no longer being used.

Now that we've examined `Box<T>` and some of the characteristics of smart pointers, let's look at a few other smart pointers defined in the standard library.

## Rc<T>, the Reference Counted Smart Pointer

In the majority of cases, ownership is clear: you know exactly which variable owns a given value. However, there are cases when a single value might have multiple owners. For example, in graph data structures, multiple edges might point to the same node, and that node is conceptually owned by all of the edges that point to it. A node shouldn't be cleaned up unless it doesn't have any edges pointing to it and so has no owners.

You have to enable multiple ownership explicitly by using the Rust type `Rc<T>`, which is an abbreviation for *reference counting*. The `Rc<T>` type keeps track of the number of references to a value to determine whether or not the value is still in use. If there are zero references to a value, the value can be cleaned up without any references becoming invalid.

Imagine `Rc<T>` as a TV in a family room. When one person enters to watch TV, they turn it on. Others can come into the room and watch the TV. When the last person leaves the room, they turn off the TV because it's no longer being used. If someone turns off the TV while others are still watching it, there would be uproar from the remaining TV watchers!

We use the `Rc<T>` type when we want to allocate some data on the heap for multiple parts of our program to read and we can't determine at compile time which part will finish using the data last. If we knew which part would finish last, we could just make that part the data's owner, and the normal ownership rules enforced at compile time would take effect.

Note that `Rc<T>` is only for use in single-threaded scenarios. When we discuss concurrency in Chapter 16, we'll cover how to do reference counting in multithreaded programs.

### Using Rc<T> to Share Data

Let's return to our cons list example in Listing 15-5. Recall that we defined it using `Box<T>`. This time, we'll create two lists that both share ownership of a third list. Conceptually, this looks similar to Figure 15-3:

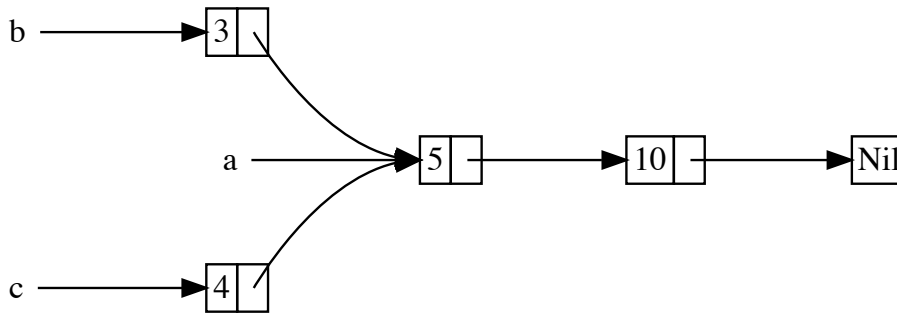


Figure 15-3: Two lists, *b* and *c*, sharing ownership of a third list, *a*

We'll create list *a* that contains 5 and then 10. Then we'll make two more lists: *b* that starts with 3 and *c* that starts with 4. Both *b* and *c* lists will then continue on to the first *a* list containing 5 and 10. In other words, both lists will share the first list containing 5 and 10.

Trying to implement this scenario using our definition of `List` with `Box<T>` won't work, as shown in Listing 15-17:

Filename: `src/main.rs`

```

enum List {
    Cons(i32, Box<List>),
    Nil,
}

use crate::List::{Cons, Nil};

fn main() {
    let a = Cons(5, Box::new(Cons(10, Box::new(Nil))));
    let b = Cons(3, Box::new(a));
    let c = Cons(4, Box::new(a));
}
  
```



Listing 15-17: Demonstrating we're not allowed to have two lists using `Box<T>` that try to share ownership of a third list

When we compile this code, we get this error:

```

$ cargo run
   Compiling cons-list v0.1.0 (file:///projects/cons-list)
error[E0382]: use of moved value: `a`
  --> src/main.rs:11:30
   |
9  |         let a = Cons(5, Box::new(Cons(10, Box::new(Nil))));
   |         - move occurs because `a` has type `List`, which does not implement
the `Copy` trait
10 |         let b = Cons(3, Box::new(a));
   |                                   - value moved here
11 |         let c = Cons(4, Box::new(a));
   |                                   ^ value used here after move

```

For more information about this error, try ``rustc --explain E0382``.  
error: could not compile `cons-list` (bin "cons-list") due to 1 previous error

The `Cons` variants own the data they hold, so when we create the `b` list, `a` is moved into `b` and `b` owns `a`. Then, when we try to use `a` again when creating `c`, we're not allowed to because `a` has been moved.

We could change the definition of `Cons` to hold references instead, but then we would have to specify lifetime parameters. By specifying lifetime parameters, we would be specifying that every element in the list will live at least as long as the entire list. This is the case for the elements and lists in Listing 15-17, but not in every scenario.

Instead, we'll change our definition of `List` to use `Rc<T>` in place of `Box<T>`, as shown in Listing 15-18. Each `Cons` variant will now hold a value and an `Rc<T>` pointing to a `List`. When we create `b`, instead of taking ownership of `a`, we'll clone the `Rc<List>` that `a` is holding, thereby increasing the number of references from one to two and letting `a` and `b` share ownership of the data in that `Rc<List>`. We'll also clone `a` when creating `c`, increasing the number of references from two to three. Every time we call `Rc::clone`, the reference count to the data within the `Rc<List>` will increase, and the data won't be cleaned up unless there are zero references to it.

Filename: src/main.rs



```

enum List {
    Cons(i32, Rc<List>),
    Nil,
}

use crate::List::{Cons, Nil};
use std::rc::Rc;

fn main() {
    let a = Rc::new(Cons(5, Rc::new(Cons(10, Rc::new(Nil)))));
    let b = Cons(3, Rc::clone(&a));
    let c = Cons(4, Rc::clone(&a));
}

```

Listing 15-18: A definition of `List` that uses `Rc<T>`

We need to add a `use` statement to bring `Rc<T>` into scope because it's not in the prelude. In `main`, we create the list holding 5 and 10 and store it in a new `Rc<List>` in `a`. Then when we create `b` and `c`, we call the `Rc::clone` function and pass a reference to the `Rc<List>` in `a` as an argument.

We could have called `a.clone()` rather than `Rc::clone(&a)`, but Rust's convention is to use `Rc::clone` in this case. The implementation of `Rc::clone` doesn't make a deep copy of all the data like most types' implementations of `clone` do. The call to `Rc::clone` only increments the reference count, which doesn't take much time. Deep copies of data can take a lot of time. By using `Rc::clone` for reference counting, we can visually distinguish between the deep-copy kinds of clones and the kinds of clones that increase the reference count. When looking for performance problems in the code, we only need to consider the deep-copy clones and can disregard calls to `Rc::clone`.

## Cloning an `Rc<T>` Increases the Reference Count

Let's change our working example in Listing 15-18 so we can see the reference counts changing as we create and drop references to the `Rc<List>` in `a`.

In Listing 15-19, we'll change `main` so it has an inner scope around list `c`; then we can see how the reference count changes when `c` goes out of scope.

Filename: `src/main.rs`



useful! In the next section, we'll discuss the interior mutability pattern and the `RefCell<T>` type that you can use in conjunction with an `Rc<T>` to work with this immutability restriction.

## RefCell<T> and the Interior Mutability Pattern

*Interior mutability* is a design pattern in Rust that allows you to mutate data even when there are immutable references to that data; normally, this action is disallowed by the borrowing rules. To mutate data, the pattern uses `unsafe` code inside a data structure to bend Rust's usual rules that govern mutation and borrowing. Unsafe code indicates to the compiler that we're checking the rules manually instead of relying on the compiler to check them for us; we will discuss unsafe code more in Chapter 19.

We can use types that use the interior mutability pattern only when we can ensure that the borrowing rules will be followed at runtime, even though the compiler can't guarantee that. The `unsafe` code involved is then wrapped in a safe API, and the outer type is still immutable.

Let's explore this concept by looking at the `RefCell<T>` type that follows the interior mutability pattern.

### Enforcing Borrowing Rules at Runtime with RefCell<T>

Unlike `Rc<T>`, the `RefCell<T>` type represents single ownership over the data it holds. So, what makes `RefCell<T>` different from a type like `Box<T>`? Recall the borrowing rules you learned in Chapter 4:

- At any given time, you can have *either* (but not both) one mutable reference or any number of immutable references.
- References must always be valid.

With references and `Box<T>`, the borrowing rules' invariants are enforced at compile time. With `RefCell<T>`, these invariants are enforced *at runtime*. With references, if you break these rules, you'll get a compiler error. With `RefCell<T>`, if you break these rules, your program will panic and exit.

The advantages of checking the borrowing rules at compile time are that errors will be caught sooner in the development process, and there is no impact on runtime performance because all the analysis is completed beforehand. For those reasons, checking the borrowing rules at compile time is the best choice in the majority of cases, which is why this is Rust's default.

The advantage of checking the borrowing rules at runtime instead is that certain memory-safe scenarios are then allowed, where they would've been disallowed by the compile-time checks. Static analysis, like the Rust compiler, is inherently conservative. Some properties of code are

impossible to detect by analyzing the code: the most famous example is the Halting Problem, which is beyond the scope of this book but is an interesting topic to research.

Because some analysis is impossible, if the Rust compiler can't be sure the code complies with the ownership rules, it might reject a correct program; in this way, it's conservative. If Rust accepted an incorrect program, users wouldn't be able to trust in the guarantees Rust makes. However, if Rust rejects a correct program, the programmer will be inconvenienced, but nothing catastrophic can occur. The `RefCell<T>` type is useful when you're sure your code follows the borrowing rules but the compiler is unable to understand and guarantee that.

Similar to `Rc<T>`, `RefCell<T>` is only for use in single-threaded scenarios and will give you a compile-time error if you try using it in a multithreaded context. We'll talk about how to get the functionality of `RefCell<T>` in a multithreaded program in Chapter 16.

Here is a recap of the reasons to choose `Box<T>`, `Rc<T>`, or `RefCell<T>`:

- `Rc<T>` enables multiple owners of the same data; `Box<T>` and `RefCell<T>` have single owners.
- `Box<T>` allows immutable or mutable borrows checked at compile time; `Rc<T>` allows only immutable borrows checked at compile time; `RefCell<T>` allows immutable or mutable borrows checked at runtime.
- Because `RefCell<T>` allows mutable borrows checked at runtime, you can mutate the value inside the `RefCell<T>` even when the `RefCell<T>` is immutable.

Mutating the value inside an immutable value is the *interior mutability* pattern. Let's look at a situation in which interior mutability is useful and examine how it's possible.

## Interior Mutability: A Mutable Borrow to an Immutable Value

A consequence of the borrowing rules is that when you have an immutable value, you can't borrow it mutably. For example, this code won't compile:

```
fn main() {  
    let x = 5;  
    let y = &mut x;  
}
```



If you tried to compile this code, you'd get the following error:

```
$ cargo run
  Compiling borrowing v0.1.0 (file:///projects/borrowing)
error[E0596]: cannot borrow `x` as mutable, as it is not declared as mutable
--> src/main.rs:3:13
   |
3  |     let y = &mut x;
   |             ^^^^^^ cannot borrow as mutable
help: consider changing this to be mutable
   |
2  |     let mut x = 5;
   |         +++
```

For more information about this error, try ``rustc --explain E0596``.  
 error: could not compile `borrowing` (bin "borrowing") due to 1 previous error

However, there are situations in which it would be useful for a value to mutate itself in its methods but appear immutable to other code. Code outside the value's methods would not be able to mutate the value. Using `RefCell<T>` is one way to get the ability to have interior mutability, but `RefCell<T>` doesn't get around the borrowing rules completely: the borrow checker in the compiler allows this interior mutability, and the borrowing rules are checked at runtime instead. If you violate the rules, you'll get a `panic!` instead of a compiler error.

Let's work through a practical example where we can use `RefCell<T>` to mutate an immutable value and see why that is useful.

## A Use Case for Interior Mutability: Mock Objects

Sometimes during testing a programmer will use a type in place of another type, in order to observe particular behavior and assert it's implemented correctly. This placeholder type is called a *test double*. Think of it in the sense of a "stunt double" in filmmaking, where a person steps in and substitutes for an actor to do a particular tricky scene. Test doubles stand in for other types when we're running tests. *Mock objects* are specific types of test doubles that record what happens during a test so you can assert that the correct actions took place.

Rust doesn't have objects in the same sense as other languages have objects, and Rust doesn't have mock object functionality built into the standard library as some other languages do. However, you can definitely create a struct that will serve the same purposes as a mock object.

Here's the scenario we'll test: we'll create a library that tracks a value against a maximum value and sends messages based on how close to the maximum value the current value is. This library could be used to keep track of a user's quota for the number of API calls they're allowed to make, for example.

Our library will only provide the functionality of tracking how close to the maximum a value is and what the messages should be at what times. Applications that use our library will be expected to provide the mechanism for sending the messages: the application could put a message in the application, send an email, send a text message, or something else. The library doesn't need to know that detail. All it needs is something that implements a trait we'll provide called `Messenger`. Listing 15-20 shows the library code:

Filename: `src/lib.rs`

```
pub trait Messenger {
    fn send(&self, msg: &str);
}

pub struct LimitTracker<'a, T: Messenger> {
    messenger: &'a T,
    value: usize,
    max: usize,
}

impl<'a, T> LimitTracker<'a, T>
where
    T: Messenger,
{
    pub fn new(messenger: &'a T, max: usize) -> LimitTracker<'a, T> {
        LimitTracker {
            messenger,
            value: 0,
            max,
        }
    }

    pub fn set_value(&mut self, value: usize) {
        self.value = value;

        let percentage_of_max = self.value as f64 / self.max as f64;

        if percentage_of_max >= 1.0 {
            self.messenger.send("Error: You are over your quota!");
        } else if percentage_of_max >= 0.9 {
            self.messenger
                .send("Urgent warning: You've used up over 90% of your quota!");
        } else if percentage_of_max >= 0.75 {
            self.messenger
                .send("Warning: You've used up over 75% of your quota!");
        }
    }
}
```

Listing 15-20: A library to keep track of how close a value is to a maximum value and warn when the value is at certain levels

One important part of this code is that the `Messenger` trait has one method called `send` that takes an immutable reference to `self` and the text of the message. This trait is the interface our mock object needs to implement so that the mock can be used in the same way a real object is. The other important part is that we want to test the behavior of the `set_value` method on the `LimitTracker`. We can change what we pass in for the `value` parameter, but `set_value` doesn't return anything for us to make assertions on. We want to be able to say that if we create a `LimitTracker` with something that implements the `Messenger` trait and a particular value for `max`, when we pass different numbers for `value`, the messenger is told to send the appropriate messages.

We need a mock object that, instead of sending an email or text message when we call `send`, will only keep track of the messages it's told to send. We can create a new instance of the mock object, create a `LimitTracker` that uses the mock object, call the `set_value` method on `LimitTracker`, and then check that the mock object has the messages we expect. Listing 15-21 shows an attempt to implement a mock object to do just that, but the borrow checker won't allow it:

Filename: `src/lib.rs`





```
#[cfg(test)]
mod tests {
    use super::*;

    struct MockMessenger {
        sent_messages: Vec<String>,
    }

    impl MockMessenger {
        fn new() -> MockMessenger {
            MockMessenger {
                sent_messages: vec![],
            }
        }
    }

    impl Messenger for MockMessenger {
        fn send(&self, message: &str) {
            self.sent_messages.push(String::from(message));
        }
    }

    #[test]
    fn it_sends_an_over_75_percent_warning_message() {
        let mock_messenger = MockMessenger::new();
        let mut limit_tracker = LimitTracker::new(&mock_messenger, 100);

        limit_tracker.set_value(80);

        assert_eq!(mock_messenger.sent_messages.len(), 1);
    }
}
```

Listing 15-21: An attempt to implement a `MockMessenger` that isn't allowed by the borrow checker

This test code defines a `MockMessenger` struct that has a `sent_messages` field with a `Vec` of `String` values to keep track of the messages it's told to send. We also define an associated function `new` to make it convenient to create new `MockMessenger` values that start with an empty list of messages. We then implement the `Messenger` trait for `MockMessenger` so we can give a `MockMessenger` to a `LimitTracker`. In the definition of the `send` method, we take the message passed in as a parameter and store it in the `MockMessenger` list of `sent_messages`.

In the test, we're testing what happens when the `LimitTracker` is told to set `value` to something that is more than 75 percent of the `max` value. First, we create a new `MockMessenger`, which will start with an empty list of messages. Then we create a new `LimitTracker` and give it a reference to the new `MockMessenger` and a `max` value of 100. We call the `set_value` method on the `LimitTracker` with a value of 80, which is more than 75

percent of 100. Then we assert that the list of messages that the `MockMessenger` is keeping track of should now have one message in it.

However, there's one problem with this test, as shown here:

```
$ cargo test
Compiling limit-tracker v0.1.0 (file:///projects/limit-tracker)
error[E0596]: cannot borrow `self.sent_messages` as mutable, as it is behind a `&`
reference
--> src/lib.rs:58:13
58 |         self.sent_messages.push(String::from(message));
   |         ^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^^ `self` is a `&` reference, so the data it
   |         refers to cannot be borrowed as mutable
   |
   | help: consider changing this to be a mutable reference
2  |         fn send(&mut self, msg: &str);
   |                 ~~~~~
```

For more information about this error, try ``rustc --explain E0596``.  
error: could not compile `limit-tracker` (lib test) due to 1 previous error

We can't modify the `MockMessenger` to keep track of the messages, because the `send` method takes an immutable reference to `self`. We also can't take the suggestion from the error text to use `&mut self` instead, because then the signature of `send` wouldn't match the signature in the `Messenger` trait definition (feel free to try and see what error message you get).

This is a situation in which interior mutability can help! We'll store the `sent_messages` within a `RefCell<T>`, and then the `send` method will be able to modify `sent_messages` to store the messages we've seen. Listing 15-22 shows what that looks like:

Filename: `src/lib.rs`

```

#[cfg(test)]
mod tests {
    use super::*;
    use std::cell::RefCell;

    struct MockMessenger {
        sent_messages: RefCell<Vec<String>>,
    }

    impl MockMessenger {
        fn new() -> MockMessenger {
            MockMessenger {
                sent_messages: RefCell::new(vec![]),
            }
        }
    }

    impl Messenger for MockMessenger {
        fn send(&self, message: &str) {
            self.sent_messages.borrow_mut().push(String::from(message));
        }
    }

    #[test]
    fn it_sends_an_over_75_percent_warning_message() {
        // --snip--

        assert_eq!(mock_messenger.sent_messages.borrow().len(), 1);
    }
}

```

Listing 15-22: Using `RefCell<T>` to mutate an inner value while the outer value is considered immutable

The `sent_messages` field is now of type `RefCell<Vec<String>>` instead of `Vec<String>`. In the `new` function, we create a new `RefCell<Vec<String>>` instance around the empty vector.

For the implementation of the `send` method, the first parameter is still an immutable borrow of `self`, which matches the trait definition. We call `borrow_mut` on the `RefCell<Vec<String>>` in `self.sent_messages` to get a mutable reference to the value inside the `RefCell<Vec<String>>`, which is the vector. Then we can call `push` on the mutable reference to the vector to keep track of the messages sent during the test.

The last change we have to make is in the assertion: to see how many items are in the inner vector, we call `borrow` on the `RefCell<Vec<String>>` to get an immutable reference to the vector.

Now that you've seen how to use `RefCell<T>`, let's dig into how it works!

## Keeping Track of Borrows at Runtime with `RefCell<T>`

When creating immutable and mutable references, we use the `&` and `&mut` syntax, respectively. With `RefCell<T>`, we use the `borrow` and `borrow_mut` methods, which are part of the safe API that belongs to `RefCell<T>`. The `borrow` method returns the smart pointer type `Ref<T>`, and `borrow_mut` returns the smart pointer type `RefMut<T>`. Both types implement `Deref`, so we can treat them like regular references.

The `RefCell<T>` keeps track of how many `Ref<T>` and `RefMut<T>` smart pointers are currently active. Every time we call `borrow`, the `RefCell<T>` increases its count of how many immutable borrows are active. When a `Ref<T>` value goes out of scope, the count of immutable borrows goes down by one. Just like the compile-time borrowing rules, `RefCell<T>` lets us have many immutable borrows or one mutable borrow at any point in time.

If we try to violate these rules, rather than getting a compiler error as we would with references, the implementation of `RefCell<T>` will panic at runtime. Listing 15-23 shows a modification of the implementation of `send` in Listing 15-22. We're deliberately trying to create two mutable borrows active for the same scope to illustrate that `RefCell<T>` prevents us from doing this at runtime.

Filename: `src/lib.rs`

```
impl Messenger for MockMessenger {
    fn send(&self, message: &str) {
        let mut one_borrow = self.sent_messages.borrow_mut();
        let mut two_borrow = self.sent_messages.borrow_mut();

        one_borrow.push(String::from(message));
        two_borrow.push(String::from(message));
    }
}
```



Listing 15-23: Creating two mutable references in the same scope to see that `RefCell<T>` will panic

We create a variable `one_borrow` for the `RefMut<T>` smart pointer returned from `borrow_mut`. Then we create another mutable borrow in the same way in the variable `two_borrow`. This makes two mutable references in the same scope, which isn't allowed. When we run the tests for our library, the code in Listing 15-23 will compile without any errors, but the test will fail:

```
$ cargo test
  Compiling limit-tracker v0.1.0 (file:///projects/limit-tracker)
  Finished `test` profile [unoptimized + debuginfo] target(s) in 0.91s
  Running unittests src/lib.rs (target/debug/deps/limit_tracker-
e599811fa246dbde)

running 1 test
test tests::it_sends_an_over_75_percent_warning_message ... FAILED

failures:

---- tests::it_sends_an_over_75_percent_warning_message stdout ----
thread 'tests::it_sends_an_over_75_percent_warning_message' panicked at
src/lib.rs:60:53:
already borrowed: BorrowMutError
note: run with `RUST_BACKTRACE=1` environment variable to display a backtrace

failures:
  tests::it_sends_an_over_75_percent_warning_message

test result: FAILED. 0 passed; 1 failed; 0 ignored; 0 measured; 0 filtered out;
finished in 0.00s

error: test failed, to rerun pass `--lib`
```

Notice that the code panicked with the message `already borrowed: BorrowMutError`. This is how `RefCell<T>` handles violations of the borrowing rules at runtime.

Choosing to catch borrowing errors at runtime rather than compile time, as we've done here, means you'd potentially be finding mistakes in your code later in the development process: possibly not until your code was deployed to production. Also, your code would incur a small runtime performance penalty as a result of keeping track of the borrows at runtime rather than compile time. However, using `RefCell<T>` makes it possible to write a mock object that can modify itself to keep track of the messages it has seen while you're using it in a context where only immutable values are allowed. You can use `RefCell<T>` despite its trade-offs to get more functionality than regular references provide.

## Having Multiple Owners of Mutable Data by Combining `Rc<T>` and `RefCell<T>`

A common way to use `RefCell<T>` is in combination with `Rc<T>`. Recall that `Rc<T>` lets you have multiple owners of some data, but it only gives immutable access to that data. If you have an `Rc<T>` that holds a `RefCell<T>`, you can get a value that can have multiple owners *and* that you can mutate!

For example, recall the cons list example in Listing 15-18 where we used `Rc<T>` to allow multiple lists to share ownership of another list. Because `Rc<T>` holds only immutable values, we can't change any of the values in the list once we've created them. Let's add in `RefCell<T>` to gain the ability to change the values in the lists. Listing 15-24 shows that by using a `RefCell<T>` in the `Cons` definition, we can modify the value stored in all the lists:

Filename: src/main.rs

```
#[derive(Debug)]
enum List {
    Cons(Rc<RefCell<i32>>, Rc<List>),
    Nil,
}

use crate::List::{Cons, Nil};
use std::cell::RefCell;
use std::rc::Rc;

fn main() {
    let value = Rc::new(RefCell::new(5));

    let a = Rc::new(Cons(Rc::clone(&value), Rc::new(Nil)));

    let b = Cons(Rc::new(RefCell::new(3)), Rc::clone(&a));
    let c = Cons(Rc::new(RefCell::new(4)), Rc::clone(&a));

    *value.borrow_mut() += 10;

    println!("a after = {a:?}");
    println!("b after = {b:?}");
    println!("c after = {c:?}");
}
```

Listing 15-24: Using `Rc<RefCell<i32>>` to create a `List` that we can mutate

We create a value that is an instance of `Rc<RefCell<i32>>` and store it in a variable named `value` so we can access it directly later. Then we create a `List` in `a` with a `Cons` variant that holds `value`. We need to clone `value` so both `a` and `value` have ownership of the inner `5` value rather than transferring ownership from `value` to `a` or having `a` borrow from `value`.

We wrap the list `a` in an `Rc<T>` so when we create lists `b` and `c`, they can both refer to `a`, which is what we did in Listing 15-18.

After we've created the lists in `a`, `b`, and `c`, we want to add 10 to the value in `value`. We do this by calling `borrow_mut` on `value`, which uses the automatic dereferencing feature we discussed in Chapter 5 (see the section [“Where's the `->` Operator?”](#)) to dereference the `Rc<T>` to the inner `RefCell<T>` value. The `borrow_mut` method returns a `RefMut<T>` smart pointer, and we use the dereference operator on it and change the inner value.

When we print `a`, `b`, and `c`, we can see that they all have the modified value of 15 rather than 5:

```
$ cargo run
  Compiling cons-list v0.1.0 (file:///projects/cons-list)
    Finished `dev` profile [unoptimized + debuginfo] target(s) in 0.63s
    Running `target/debug/cons-list`
a after = Cons(RefCell { value: 15 }, Nil)
b after = Cons(RefCell { value: 3 }, Cons(RefCell { value: 15 }, Nil))
c after = Cons(RefCell { value: 4 }, Cons(RefCell { value: 15 }, Nil))
```

This technique is pretty neat! By using `RefCell<T>`, we have an outwardly immutable `List` value. But we can use the methods on `RefCell<T>` that provide access to its interior mutability so we can modify our data when we need to. The runtime checks of the borrowing rules protect us from data races, and it's sometimes worth trading a bit of speed for this flexibility in our data structures. Note that `RefCell<T>` does not work for multithreaded code! `Mutex<T>` is the thread-safe version of `RefCell<T>` and we'll discuss `Mutex<T>` in Chapter 16.

## Reference Cycles Can Leak Memory

Rust's memory safety guarantees make it difficult, but not impossible, to accidentally create memory that is never cleaned up (known as a *memory leak*). Preventing memory leaks entirely is not one of Rust's guarantees, meaning memory leaks are memory safe in Rust. We can see that Rust allows memory leaks by using `Rc<T>` and `RefCell<T>`: it's possible to create references where items refer to each other in a cycle. This creates memory leaks because the reference count of each item in the cycle will never reach 0, and the values will never be dropped.

### Creating a Reference Cycle

Let's look at how a reference cycle might happen and how to prevent it, starting with the definition of the `List` enum and a `tail` method in Listing 15-25:

Filename: src/main.rs

```
use crate::List::{Cons, Nil};
use std::cell::RefCell;
use std::rc::Rc;

#[derive(Debug)]
enum List {
    Cons(i32, RefCell<Rc<List>>),
    Nil,
}

impl List {
    fn tail(&self) -> Option<&RefCell<Rc<List>>> {
        match self {
            Cons(_, item) => Some(item),
            Nil => None,
        }
    }
}

fn main() {}
```

Listing 15-25: A cons list definition that holds a `RefCell<T>` so we can modify what a `Cons` variant is referring to

We're using another variation of the `List` definition from Listing 15-5. The second element in the `Cons` variant is now `RefCell<Rc<List>>`, meaning that instead of having the ability to modify the `i32` value as we did in Listing 15-24, we want to modify the `List` value a `Cons`



variant is pointing to. We're also adding a `tail` method to make it convenient for us to access the second item if we have a `Cons` variant.

In Listing 15-26, we're adding a `main` function that uses the definitions in Listing 15-25. This code creates a list in `a` and a list in `b` that points to the list in `a`. Then it modifies the list in `a` to point to `b`, creating a reference cycle. There are `println!` statements along the way to show what the reference counts are at various points in this process.

Filename: `src/main.rs`

```
fn main() {
    let a = Rc::new(Cons(5, RefCell::new(Rc::new(Nil))));

    println!("a initial rc count = {}", Rc::strong_count(&a));
    println!("a next item = {:?}", a.tail());

    let b = Rc::new(Cons(10, RefCell::new(Rc::clone(&a))));

    println!("a rc count after b creation = {}", Rc::strong_count(&a));
    println!("b initial rc count = {}", Rc::strong_count(&b));
    println!("b next item = {:?}", b.tail());

    if let Some(link) = a.tail() {
        *link.borrow_mut() = Rc::clone(&b);
    }

    println!("b rc count after changing a = {}", Rc::strong_count(&b));
    println!("a rc count after changing a = {}", Rc::strong_count(&a));

    // Uncomment the next line to see that we have a cycle;
    // it will overflow the stack
    // println!("a next item = {:?}", a.tail());
}
```

Listing 15-26: Creating a reference cycle of two `List` values pointing to each other

We create an `Rc<List>` instance holding a `List` value in the variable `a` with an initial list of `5`, `Nil`. We then create an `Rc<List>` instance holding another `List` value in the variable `b` that contains the value `10` and points to the list in `a`.

We modify `a` so it points to `b` instead of `Nil`, creating a cycle. We do that by using the `tail` method to get a reference to the `RefCell<Rc<List>>` in `a`, which we put in the variable `link`. Then we use the `borrow_mut` method on the `RefCell<Rc<List>>` to change the value inside from an `Rc<List>` that holds a `Nil` value to the `Rc<List>` in `b`.

When we run this code, keeping the last `println!` commented out for the moment, we'll get this output:

```

$ cargo run
  Compiling cons-list v0.1.0 (file:///projects/cons-list)
    Finished `dev` profile [unoptimized + debuginfo] target(s) in 0.53s
    Running `target/debug/cons-list`
a initial rc count = 1
a next item = Some(RefCell { value: Nil })
a rc count after b creation = 2
b initial rc count = 1
b next item = Some(RefCell { value: Cons(5, RefCell { value: Nil }) })
b rc count after changing a = 2
a rc count after changing a = 2

```

The reference count of the `Rc<List>` instances in both `a` and `b` are 2 after we change the list in `a` to point to `b`. At the end of `main`, Rust drops the variable `b`, which decreases the reference count of the `b Rc<List>` instance from 2 to 1. The memory that `Rc<List>` has on the heap won't be dropped at this point, because its reference count is 1, not 0. Then Rust drops `a`, which decreases the reference count of the `a Rc<List>` instance from 2 to 1 as well. This instance's memory can't be dropped either, because the other `Rc<List>` instance still refers to it. The memory allocated to the list will remain uncollected forever. To visualize this reference cycle, we've created a diagram in Figure 15-4.

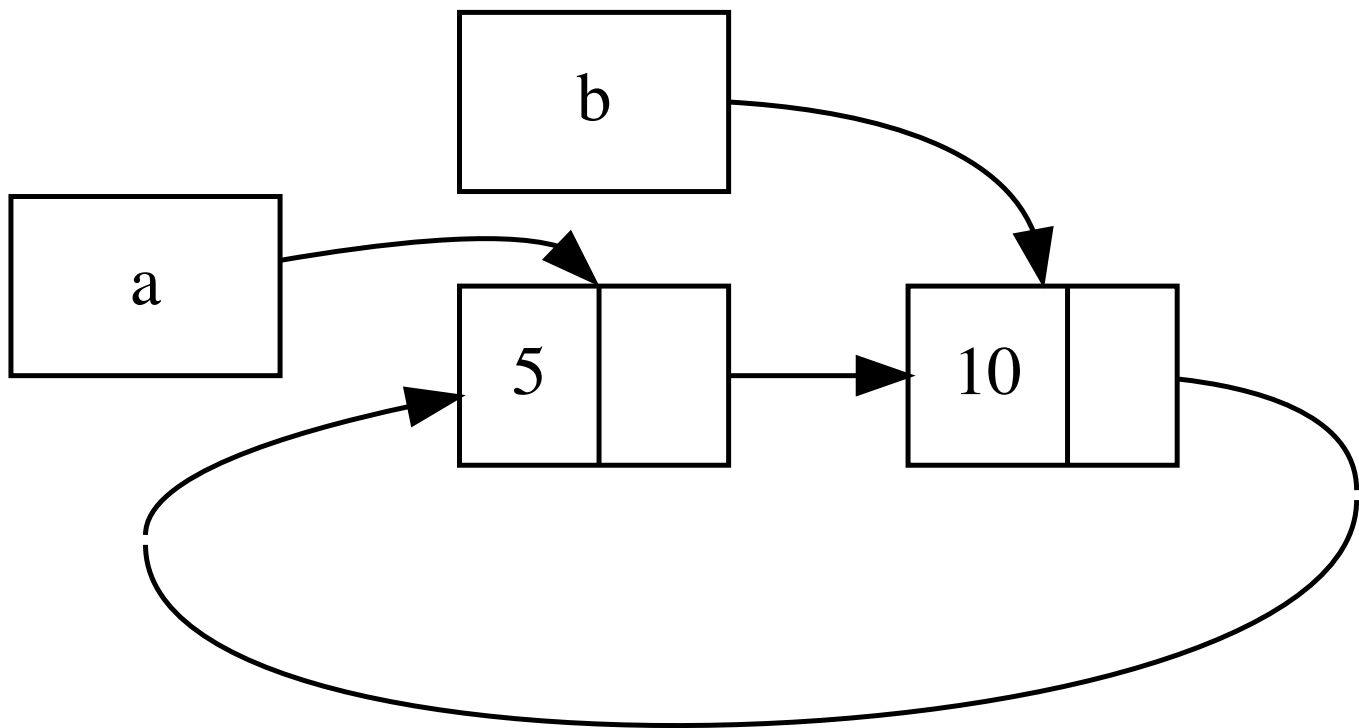


Figure 15-4: A reference cycle of lists `a` and `b` pointing to each other

If you uncomment the last `println!` and run the program, Rust will try to print this cycle with `a` pointing to `b` pointing to `a` and so forth until it overflows the stack.

Compared to a real-world program, the consequences of creating a reference cycle in this example aren't very dire: right after we create the reference cycle, the program ends. However, if a more complex program allocated lots of memory in a cycle and held onto it for a long time, the program would use more memory than it needed and might overwhelm the system, causing it to run out of available memory.

Creating reference cycles is not easily done, but it's not impossible either. If you have `RefCell<T>` values that contain `Rc<T>` values or similar nested combinations of types with interior mutability and reference counting, you must ensure that you don't create cycles; you can't rely on Rust to catch them. Creating a reference cycle would be a logic bug in your program that you should use automated tests, code reviews, and other software development practices to minimize.

Another solution for avoiding reference cycles is reorganizing your data structures so that some references express ownership and some references don't. As a result, you can have cycles made up of some ownership relationships and some non-ownership relationships, and only the ownership relationships affect whether or not a value can be dropped. In Listing 15-25, we always want `Cons` variants to own their list, so reorganizing the data structure isn't possible. Let's look at an example using graphs made up of parent nodes and child nodes to see when non-ownership relationships are an appropriate way to prevent reference cycles.

## Preventing Reference Cycles: Turning an `Rc<T>` into a `Weak<T>`

So far, we've demonstrated that calling `Rc::clone` increases the `strong_count` of an `Rc<T>` instance, and an `Rc<T>` instance is only cleaned up if its `strong_count` is 0. You can also create a *weak reference* to the value within an `Rc<T>` instance by calling `Rc::downgrade` and passing a reference to the `Rc<T>`. Strong references are how you can share ownership of an `Rc<T>` instance. Weak references don't express an ownership relationship, and their count doesn't affect when an `Rc<T>` instance is cleaned up. They won't cause a reference cycle because any cycle involving some weak references will be broken once the strong reference count of values involved is 0.

When you call `Rc::downgrade`, you get a smart pointer of type `Weak<T>`. Instead of increasing the `strong_count` in the `Rc<T>` instance by 1, calling `Rc::downgrade` increases the `weak_count` by 1. The `Rc<T>` type uses `weak_count` to keep track of how many `Weak<T>` references exist, similar to `strong_count`. The difference is the `weak_count` doesn't need to be 0 for the `Rc<T>` instance to be cleaned up.

Because the value that `Weak<T>` references might have been dropped, to do anything with the value that a `Weak<T>` is pointing to, you must make sure the value still exists. Do this by calling the `upgrade` method on a `Weak<T>` instance, which will return an `Option<Rc<T>>`. You'll get a

result of `Some` if the `Rc<T>` value has not been dropped yet and a result of `None` if the `Rc<T>` value has been dropped. Because `upgrade` returns an `Option<Rc<T>>`, Rust will ensure that the `Some` case and the `None` case are handled, and there won't be an invalid pointer.

As an example, rather than using a list whose items know only about the next item, we'll create a tree whose items know about their children items *and* their parent items.

## Creating a Tree Data Structure: a Node with Child Nodes

To start, we'll build a tree with nodes that know about their child nodes. We'll create a struct named `Node` that holds its own `i32` value as well as references to its children `Node` values:

Filename: src/main.rs

```
use std::cell::RefCell;
use std::rc::Rc;

#[derive(Debug)]
struct Node {
    value: i32,
    children: RefCell<Vec<Rc<Node>>>,
}
```

We want a `Node` to own its children, and we want to share that ownership with variables so we can access each `Node` in the tree directly. To do this, we define the `Vec<T>` items to be values of type `Rc<Node>`. We also want to modify which nodes are children of another node, so we have a `RefCell<T>` in `children` around the `Vec<Rc<Node>>`.

Next, we'll use our struct definition and create one `Node` instance named `leaf` with the value 3 and no children, and another instance named `branch` with the value 5 and `leaf` as one of its children, as shown in Listing 15-27:

Filename: src/main.rs

```
fn main() {
    let leaf = Rc::new(Node {
        value: 3,
        children: RefCell::new(vec![]),
    });

    let branch = Rc::new(Node {
        value: 5,
        children: RefCell::new(vec![Rc::clone(&leaf)]),
    });
}
```

**Listing 15-27: Creating a `leaf` node with no children and a `branch` node with `leaf` as one of its children**

We clone the `Rc<Node>` in `leaf` and store that in `branch`, meaning the `Node` in `leaf` now has two owners: `leaf` and `branch`. We can get from `branch` to `leaf` through `branch.children`, but there's no way to get from `leaf` to `branch`. The reason is that `leaf` has no reference to `branch` and doesn't know they're related. We want `leaf` to know that `branch` is its parent. We'll do that next.

**Adding a Reference from a Child to Its Parent**

To make the child node aware of its parent, we need to add a `parent` field to our `Node` struct definition. The trouble is in deciding what the type of `parent` should be. We know it can't contain an `Rc<T>`, because that would create a reference cycle with `leaf.parent` pointing to `branch` and `branch.children` pointing to `leaf`, which would cause their `strong_count` values to never be 0.

Thinking about the relationships another way, a parent node should own its children: if a parent node is dropped, its child nodes should be dropped as well. However, a child should not own its parent: if we drop a child node, the parent should still exist. This is a case for weak references!

So instead of `Rc<T>`, we'll make the type of `parent` use `Weak<T>`, specifically a `RefCell<Weak<Node>>`. Now our `Node` struct definition looks like this:

Filename: `src/main.rs`

```
use std::cell::RefCell;
use std::rc::{Rc, Weak};

#[derive(Debug)]
struct Node {
    value: i32,
    parent: RefCell<Weak<Node>>,
    children: RefCell<Vec<Rc<Node>>>,
}
```

A node will be able to refer to its parent node but doesn't own its parent. In Listing 15-28, we update `main` to use this new definition so the `leaf` node will have a way to refer to its parent, `branch`:

Filename: `src/main.rs`

```

fn main() {
    let leaf = Rc::new(Node {
        value: 3,
        parent: RefCell::new(Weak::new()),
        children: RefCell::new(vec![]),
    });

    println!("leaf parent = {:?}", leaf.parent.borrow().upgrade());

    let branch = Rc::new(Node {
        value: 5,
        parent: RefCell::new(Weak::new()),
        children: RefCell::new(vec![Rc::clone(&leaf)]),
    });

    *leaf.parent.borrow_mut() = Rc::downgrade(&branch);

    println!("leaf parent = {:?}", leaf.parent.borrow().upgrade());
}

```

Listing 15-28: A `leaf` node with a weak reference to its parent node `branch`

Creating the `leaf` node looks similar to Listing 15-27 with the exception of the `parent` field: `leaf` starts out without a parent, so we create a new, empty `Weak<Node>` reference instance.

At this point, when we try to get a reference to the parent of `leaf` by using the `upgrade` method, we get a `None` value. We see this in the output from the first `println!` statement:

```
leaf parent = None
```

When we create the `branch` node, it will also have a new `Weak<Node>` reference in the `parent` field, because `branch` doesn't have a parent node. We still have `leaf` as one of the children of `branch`. Once we have the `Node` instance in `branch`, we can modify `leaf` to give it a `Weak<Node>` reference to its parent. We use the `borrow_mut` method on the `RefCell<Weak<Node>>` in the `parent` field of `leaf`, and then we use the `Rc::downgrade` function to create a `Weak<Node>` reference to `branch` from the `Rc<Node>` in `branch`.

When we print the parent of `leaf` again, this time we'll get a `Some` variant holding `branch`: now `leaf` can access its parent! When we print `leaf`, we also avoid the cycle that eventually ended in a stack overflow like we had in Listing 15-26; the `Weak<Node>` references are printed as `(Weak)`:

```

leaf parent = Some(Node { value: 5, parent: RefCell { value: (Weak) },
children: RefCell { value: [Node { value: 3, parent: RefCell { value: (Weak) },
children: RefCell { value: [] } } ] } })

```

The lack of infinite output indicates that this code didn't create a reference cycle. We can also tell this by looking at the values we get from calling `Rc::strong_count` and `Rc::weak_count`.

## Visualizing Changes to `strong_count` and `weak_count`

Let's look at how the `strong_count` and `weak_count` values of the `Rc<Node>` instances change by creating a new inner scope and moving the creation of `branch` into that scope. By doing so, we can see what happens when `branch` is created and then dropped when it goes out of scope. The modifications are shown in Listing 15-29:

Filename: `src/main.rs`

```

fn main() {
    let leaf = Rc::new(Node {
        value: 3,
        parent: RefCell::new(Weak::new()),
        children: RefCell::new(vec![]),
    });

    println!(
        "leaf strong = {}, weak = {}",
        Rc::strong_count(&leaf),
        Rc::weak_count(&leaf),
    );

    {
        let branch = Rc::new(Node {
            value: 5,
            parent: RefCell::new(Weak::new()),
            children: RefCell::new(vec![Rc::clone(&leaf)]),
        });

        *leaf.parent.borrow_mut() = Rc::downgrade(&branch);

        println!(
            "branch strong = {}, weak = {}",
            Rc::strong_count(&branch),
            Rc::weak_count(&branch),
        );

        println!(
            "leaf strong = {}, weak = {}",
            Rc::strong_count(&leaf),
            Rc::weak_count(&leaf),
        );
    }

    println!("leaf parent = {:?}", leaf.parent.borrow().upgrade());
    println!(
        "leaf strong = {}, weak = {}",
        Rc::strong_count(&leaf),
        Rc::weak_count(&leaf),
    );
}

```

Listing 15-29: Creating `branch` in an inner scope and examining strong and weak reference counts

After `leaf` is created, its `Rc<Node>` has a strong count of 1 and a weak count of 0. In the inner scope, we create `branch` and associate it with `leaf`, at which point when we print the counts, the `Rc<Node>` in `branch` will have a strong count of 1 and a weak count of 1 (for `leaf.parent` pointing to `branch` with a `Weak<Node>`). When we print the counts in `leaf`, we'll see it will have a strong count of 2, because `branch` now has a clone of the `Rc<Node>` of `leaf` stored in `branch.children`, but will still have a weak count of 0.



When the inner scope ends, `branch` goes out of scope and the strong count of the `Rc<Node>` decreases to 0, so its `Node` is dropped. The weak count of 1 from `leaf.parent` has no bearing on whether or not `Node` is dropped, so we don't get any memory leaks!

If we try to access the parent of `leaf` after the end of the scope, we'll get `None` again. At the end of the program, the `Rc<Node>` in `leaf` has a strong count of 1 and a weak count of 0, because the variable `leaf` is now the only reference to the `Rc<Node>` again.

All of the logic that manages the counts and value dropping is built into `Rc<T>` and `Weak<T>` and their implementations of the `Drop` trait. By specifying that the relationship from a child to its parent should be a `Weak<T>` reference in the definition of `Node`, you're able to have parent nodes point to child nodes and vice versa without creating a reference cycle and memory leaks.

## Summary

This chapter covered how to use smart pointers to make different guarantees and trade-offs from those Rust makes by default with regular references. The `Box<T>` type has a known size and points to data allocated on the heap. The `Rc<T>` type keeps track of the number of references to data on the heap so that data can have multiple owners. The `RefCell<T>` type with its interior mutability gives us a type that we can use when we need an immutable type but need to change an inner value of that type; it also enforces the borrowing rules at runtime instead of at compile time.

Also discussed were the `Deref` and `Drop` traits, which enable a lot of the functionality of smart pointers. We explored reference cycles that can cause memory leaks and how to prevent them using `Weak<T>`.

If this chapter has piqued your interest and you want to implement your own smart pointers, check out [“The Rustonomicon”](#) for more useful information.

Next, we'll talk about concurrency in Rust. You'll even learn about a few new smart pointers.