

# Chapter S:III

## III. Informed Search

- ❑ Best-First Search
- ❑ Best-First Search for State-Space Graphs
- ❑ Cost Functions for State-Space Graphs
- ❑ Evaluation of State-Space Graphs
- ❑ Algorithm A\*
- ❑ BF\* Variants
- ❑ Hybrid Strategies
- ❑ Best-First Search for AND-OR Graphs
- ❑ Relation between GBF and BF
- ❑ Cost Functions for AND-OR Graphs
- ❑ Evaluation of AND-OR Graphs
- ❑ Relation to Dynamic Programming

# Cost Functions for AND-OR Graphs

## Overview

Similar to BF, also GBF defines a schema for the design of search strategies. Up to this point, the evaluation functions  $f_1$  remained unspecified.

### Questions:

- ❑ How to compute  $f_1$ ?
- ❑ How to evaluate a solution graph?
- ❑ How to evaluate a search space graph?
- ❑ How to identify a most promising solution base?

These question are answered analogously to the BF case.

Notice the differences: solution graphs are considered instead of solution paths.

## Remarks:

- ❑ In fact, implicitly, we have already given answers during the Illustration of GBF before. What will be added in the following are the foundations for these answers, along with a definite semantics.

# Cost Functions for AND-OR Graphs

Overview [\[Overview for BF\]](#) (continued)

The answers are developed in several steps by the following concepts:

- 1. Recursive cost functions (for graphs)
- 2. Solution cost (for a given solution graph)
- 3. Optimum solution cost (for a complete search space graph)
- 4. Estimated solution cost (for a given solution base)
- 5. Estimated optimum solution cost (for a partial search space graph)

Names of the respective cost functions:

		Solution	
		given	optimum searched
Exploration	complete	$C_H$	$C^*$
	partial	$\hat{C}_H$	$\hat{C}$

# Cost Functions for AND-OR Graphs

Overview [\[Overview for BF\]](#) (continued)

The answers are developed in several steps by the following concepts:

- 1. Recursive cost functions (for graphs)
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Names of the respective cost functions:

		Solution	
		given	optimum searched
Exploration	complete	$C_H(s)$	$C^*(s)$
	partial	$\hat{C}_H(s)$	$\hat{C}(s) \rightsquigarrow H$

$H$  = most promising solution base.

# Cost Functions for AND-OR Graphs

If solution graphs are known, the **solution cost for a solution graph** can be determined.

## Definition 32 (Cost Function $C_H$ )

Let  $G$  be an acyclic AND-OR graph and let  $M$  be an ordered set. A function  $C_H$ , which assigns to each solution graph  $H$  and each node  $n$  in  $G$  a cost value  $C_H(n)$  in  $M$ , is called a cost function (for  $G$ ).

Usage and notation of  $C_H$  :

- No provisions are made how to compute  $C_H(n)$  for a solution graph  $H$ .  
 $C_H(s)$  specifies the cost of a solution graph  $H$  for  $s$  :

$$f_1(H) = C_H(s).$$

## Remarks:

- ❑ Again, as ordered set  $M$  usually  $\mathbf{R} \cup \{\infty\}$  is chosen.
- ❑  $C_H(n)$  should be seen as binary function with arguments  $H$  and  $n$ .
- ❑ The cost value  $C_H(n)$  is meaningful only if  $n$  is a node in  $H$ .
- ❑ Solution cost does not measure efforts for finding a solution. Solution cost aggregate properties of operations and decompositions in a solution graph to form a cost value.
- ❑ Instead of cost functions we may employ merit functions or, even more general, weight functions. The respective notations are  $Q_H$  for merits, and  $W_H$  for weights.

# Cost Functions for AND-OR Graphs

If the entire search space graph rooted at a node  $s$  is known, the optimum solution cost for the root node  $s$  can be determined.

## Definition 33 (Optimum Solution Cost $C^*$ , Optimum Solution)

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ .

The optimum solution cost for a node  $n$  in  $G$ ,  $C^*(n)$ , is defined as

$$C^*(n) = \inf\{C_H(n) \mid H \text{ is solution graph for } n \text{ in } G\}$$

A solution graph with solution cost  $C^*(n)$  is called optimum solution graph for  $n$ . The optimum solution cost for  $s$ ,  $C^*(s)$ , is abbreviated as  $C^*$ .



# Cost Functions for AND-OR Graphs

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A solution graph with solution cost  $C^*(n)$  is called optimum solution graph for  $n$ . The optimum solution cost for  $s$ ,  $C^*(s)$ , is abbreviated as  $C^*$ .

## Remarks:

- If  $G$  contains no solution graph for  $n$ , let  $C^*(n) = \infty$ .

# Cost Functions for AND-OR Graphs

If the entire search space graph rooted at a node  $s$  is known, the optimum solution cost extending a solution base for  $s$  can be determined.

## Definition 34 (Optimum Solution Cost $C_H^*$ for a Solution Base)

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ .

The optimum solution cost,  $C_H^*(n)$ , for a node  $n$  in a solution base  $H$  in  $G$  is defined as

$$C_H^*(n) = \inf\{C_{H'}(n) \mid H' \text{ is solution graph in } G \text{ extending } H\}$$

## Remarks:

- In the setting of Definition 34 we assume:

$$C^*(s) = \min\{C_H^*(s) \mid H \text{ is solution base maintained by an algorithm}\}$$

The solution bases maintained by algorithm GBF are the maximal solution bases for  $s$  in the explored part  $G_e$  of the search space graph  $G$ .

Therefore, it is essential for search algorithms to keep available solution bases that are important for this result. Optimistically estimating  $C_H^*(n)$  in GBF will direct the search into promising directions.

# Cost Functions for AND-OR Graphs

If the search space graph rooted at a node  $s$  is **known partially**, the optimum solution cost extending a solution base for  $s$  can be **estimated**.

## Definition 35 (Estimated Solution Cost $\hat{C}_H$ for a Solution Base)

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ .

The estimated solution cost,  $\hat{C}_H(n)$ , for a node  $n$  in a solution base  $H$  in  $G$  returns an estimate of  $C_H^*(n)$ .

$\hat{C}_H(n)$  is optimistic, if and only if  $\hat{C}_H(n) \leq C_H^*(n)$ .

Usage of  $\hat{C}_H$ :

- In GBF we use  $f_1(H) = \hat{C}_H(s)$  with solution bases  $H$  for  $s$  in the explored part of the search space graph  $G$ .

# Cost Functions for AND-OR Graphs

If the search space graph rooted at a node  $s$  is **known partially**, the optimum solution cost extending a solution base for  $s$  can be **estimated**.

## Definition 35 (Estimated Solution Cost $\hat{C}_H$ for a Solution Base)

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$\hat{C}_H(n)$  is optimistic, if and only if  $\hat{C}_H(n) \leq C_H^*(n)$ .

Usage of  $\hat{C}_H$ :

- In GBF we use  $f_1(H) = \hat{C}_H(s)$  with solution bases  $H$  for  $s$  in the explored part of the search space graph  $G$ .

# Cost Functions for AND-OR Graphs

If the search space graph rooted at a node  $s$  is **known partially**, the optimum solution cost for  $s$  can be **estimated**.

## Definition 36 (Estimated Optimum Solution Cost $\hat{C}$ [\[Overview\]](#))

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ . Further, let  $G_e$  be a finite (explored) subgraph of  $G$  with root node  $s$ .

The estimated optimum solution cost for a node  $n$  in  $G$ ,  $\hat{C}(n)$ , is defined as follows:

$$\hat{C}(n) = \min\{\hat{C}_H(n) \mid H \text{ is maximal solution base in } G_e\}$$

A solution base  $H$  for  $s$  with  $\hat{C}_H(s) = \hat{C}(s)$  is called most promising solution base (for  $s$ ).

# Cost Functions for AND-OR Graphs

If the search space graph rooted at a node  $s$  is **known partially**, the optimum solution cost for  $s$  can be **estimated**.

## Definition 36 (Estimated Optimum Solution Cost $\hat{C}$ [\[Overview\]](#))

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ . Further, let  $G_e$  be a finite (explored) subgraph of  $G$  with root node  $s$ .

The estimated optimum solution cost for a node  $n$  in  $G$ ,  $\hat{C}(n)$ , is defined as follows:

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A solution base  $H$  for  $s$  with  $\hat{C}_H(s) = \hat{C}(s)$  is called most promising solution base (for  $s$ ).

For an algorithm searching an acyclic AND-OR-graph  $G$ , the finite explored part  $G_e$  of  $G$  defines the solution bases considered: the tip nodes of a solution base have to be tip nodes in  $G_e$ .



# Cost Functions for AND-OR Graphs

## Recursive Cost Functions

The computation of the evaluation function  $f_1$  would be infeasible

1. if each possible solution base had to be analyzed in isolation, or
  2. if the cost of a solution base had to be computed from scratch for each additionally explored node.
- Utilization of **recursive cost functions** to implement the evaluation function  $f_1$ .

Efficiency benefits of recursive functions:

1. Shared computation.  
Already computed evaluation results for solution bases  $H$  are exploited for other solution bases that contain  $H$ .
2. Selective updating.  
Only the predecessors of a newly explored node need to be updated.

# Cost Functions for AND-OR Graphs

## Recursive Cost Functions (continued)

### Definition 37 (Recursive Cost Function, Cost Measure)

A cost function  $C_H$  for a solution graph  $H$  is called recursive, if for each node  $n$  in  $H$  it holds:

$$C_H(n) = \begin{cases} F[E(n)] & n \text{ is goal node and leaf in } H \\ F[E(n), C_H(n')] & n \text{ is inner OR node in } H, \\ & n' \text{ direct successor of } n \text{ in } H \\ F[E(n), C_H(n_1), \dots, C_H(n_k)] & n \text{ is inner AND node in } H, \\ & n_1, \dots, n_k \text{ direct successors of } n \text{ in } H \end{cases}$$

- $n_1, n_2, \dots, n_k$  denote the direct successors of  $n$  in  $H$ ,
- $E(n) \in \mathbf{E}$  denotes a set of *local* properties of  $n$  with respect to  $H$ ,
- $F$  is a function that prescribes how local properties of  $n$  are accounted (better: combined) with properties of the direct successors of  $n$ :

$$F : \mathbf{E} \times M^k \rightarrow M, \quad \text{where } M \text{ is an ordered set.}$$

*F* is called cost measure.

## Remarks:

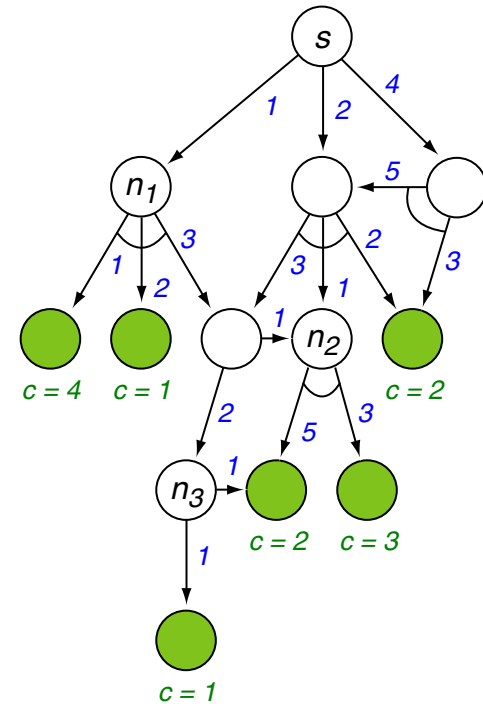
- ❑ Observe that for each node  $n$  in a solution graph  $H$  the complete subgraph of  $H$  that is rooted at  $n$  forms a solution graph for  $n$  (neglecting additional solution constraints).
- ❑ As a shorthand we often use  $c(n) := F[E(n)]$ .
- ❑ The computation of  $C_H(n)$  is called cost propagation. If  $C_H(n)$  fulfills the conditions of a recursive cost function, it can be computed bottom-up, similar to the solved-labeling procedure.
- ❑ The function  $C_H(n)$  employs the the same  $E(n)$  and the same cost measure  $F$  for all possible solution graphs of a search space graph.

As a consequence, cost value computation is context insensitive: If a solution graph for node  $n$  is contained in two solution graphs  $H$  and  $H'$ , then  $C_H(n) = C_{H'}(n)$  holds.

# Cost Functions for AND-OR Graphs

Illustration of  $C_H$  [ [Overview](#), [Illustration of  \$C^\*\$](#)  ]

Underlying problem-reduction graph:



$E(n) = c(n, n') =$  cost for edge  $(n, n')$

$$C_H(n) = \begin{cases} c(n) \\ c(n, n') + C_H(n') \\ \max_i \{c(n, n_i) + C_H(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $H$

$n$  is inner OR node in  $H$ ,  
 $n'$  direct successor of  $n$  in  $H$

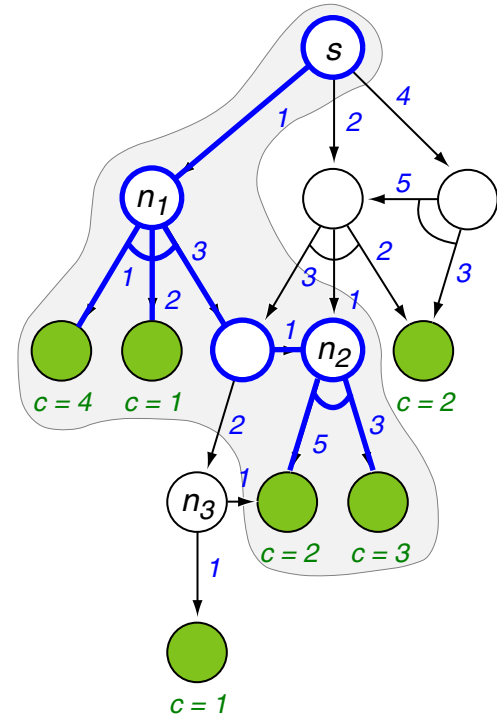
$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

# Cost Functions for AND-OR Graphs

Illustration of  $C_H$  [ [Overview](#), [Illustration of  \$C^\*\$](#)  ]

$H \equiv$  solution graph for  $s$  :

$$C_H(s) = 12$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C_H(n) = \begin{cases} c(n) \\ c(n, n') + C_H(n') \\ \max_i \{c(n, n_i) + C_H(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $H$

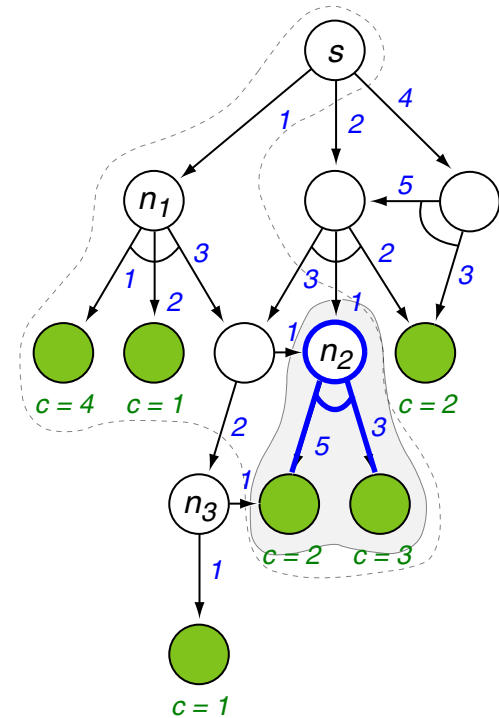
$n$  is inner OR node in  $H$ ,  
 $n'$  direct successor of  $n$  in  $H$

$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

## Illustration of $C_H$ [ [Overview](#), [Illustration of \$C^\*\$](#) ]

Solution graph for  $n_2$  :

$$C_H(n_2) = 7$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C_H(n) = \begin{cases} c(n) \\ c(n, n') + C_H(n') \\ \max_i \{c(n, n_i) + C_H(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $H$

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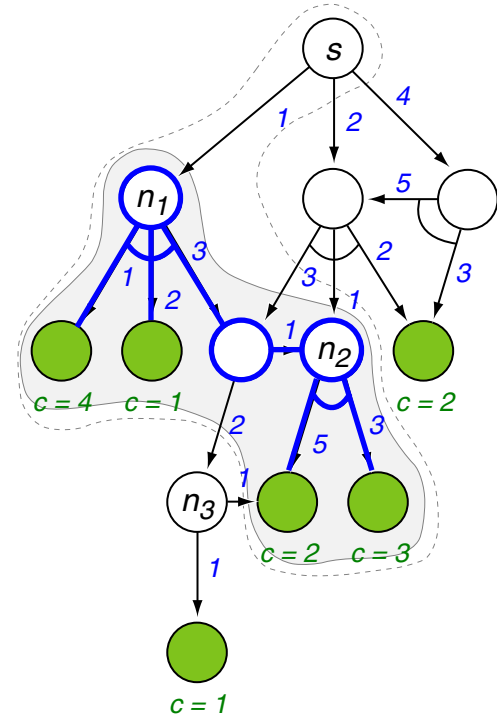
$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

# Cost Functions for AND-OR Graphs

Illustration of  $C_H$  [ [Overview](#), [Illustration of  \$C^\*\$](#)  ]

Solution graph for  $n_1$  :

$$C_H(n_1) = 11$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C_H(n) = \begin{cases} c(n) \\ c(n, n') + C_H(n') \\ \max_i \{c(n, n_i) + C_H(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $H$

$n$  is inner OR node in  $H$ ,  
 $n'$  direct successor of  $n$  in  $H$

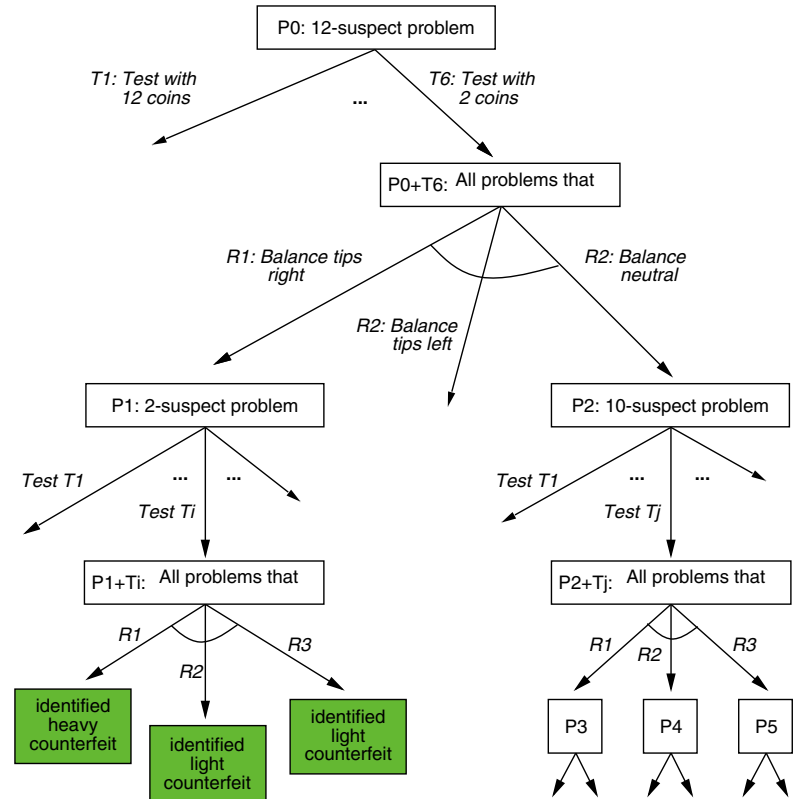
$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

# Cost Functions for AND-OR Graphs

Illustration of  $C_H$  [Overview, Illustration of  $C^*$ ,  $\hat{C}$ ]

Solution graph for the counterfeit problem:

- ❑ Leaf nodes correspond to identified coin.
- ❑ OR nodes (action, strategy) specify the chosen test.
- ❑ AND nodes (reaction) model the weighing outcomes.



$$C_H(n) = \begin{cases} 0 \\ 1 + C_H(n') \\ \max\{C_H(n_i) \mid i = 1, 2, 3\} \end{cases}$$

$n$  is goal node and leaf in  $H$

$n$  is inner OR node in  $H$ ,  
 $n'$  direct successor of  $n$  in  $H$

$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

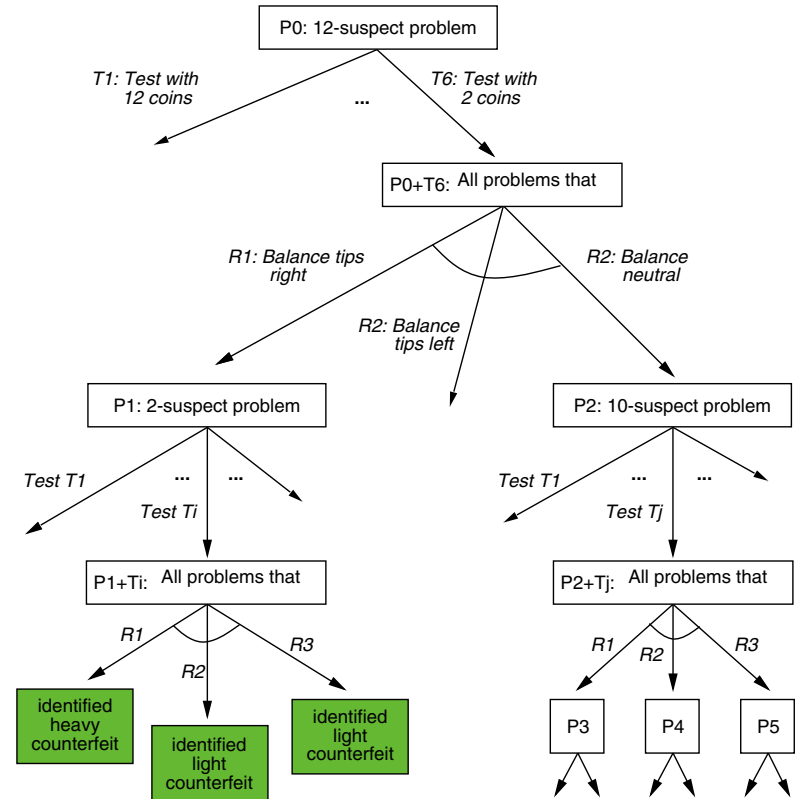


# Cost Functions for AND-OR Graphs

Illustration of  $C_H$  [Overview, Illustration of  $C^*$ ,  $\hat{C}$ ]

Solution graph for the counterfeit problem:

- ❑ Leaf nodes correspond to identified coin.
- ❑ OR nodes (action, strategy) specify the chosen test.
- ❑ AND nodes (reaction) model the weighing outcomes.



$$C_H(n) = \begin{cases} 0 \\ 1 + C_H(n') \\ \sum_{i=1,2,3} p_i(n) \cdot C_H(n_i) \end{cases}$$

$n$  is goal node and leaf in  $H$

$n$  is inner OR node in  $H$ ,  
 $n'$  direct successor of  $n$  in  $H$

$n$  is inner AND node in  $H$ ,  
 $n_i$  direct successors of  $n$  in  $H$

## Remarks:

- ❑ The recursive cost function  $C_H(n)$  with  $\max\{C_H(n_i) \mid i = 1, 2, 3\}$  in the AND-node branch models the maximum required number of tests (worst case costs) using the weighing operations in the solution graph  $H$ .
- ❑ The recursive cost function  $C_H(n)$  with  $\sum_{i=1,2,3} p_i(n) \cdot C_H(n_i)$  in the AND-node branch models the expected test effort. The  $p_i, i = 1, 2, 3$  are the probabilities for the test outcomes and could be quantified as follows:

$$p_i(n) = \begin{cases} \frac{1}{2} \cdot \frac{k(n)}{12-u(n)} & i \in \{1, 3\} \\ 1 - \frac{k(n)}{12-u(n)} & i = 2 \end{cases}$$

where  $k(n), k(n) \leq 12$ , is number of suspicious coins that are weighed in subproblem  $n$ , and  $u(n), u(n) < 12$ , is the number of coins classified as “not-suspect” in subproblem  $n$ .

# Cost Functions for AND-OR Graphs

## Recursive Cost Functions (continued)

If the search space graph rooted at a node  $s$  is **known partially** and a recursive cost function is used, cost estimates for a solution base

1. can be built upon estimates for optimum solution cost of non-goal leaf nodes in this solution base and,
2. can be computed by taking the estimations of  $h$  for granted and propagating the cost values bottom-up. Keyword: *Face-Value Principle*

### Definition 38 (Heuristic Function $h$ )

Let  $G$  be an acyclic AND-OR graph. A function  $h$ , which assigns each node  $n$  in  $G$  an estimate  $h(n)$  of the optimum solution cost value  $C^*(n)$ , the optimum cost of a solution graph for  $n$ , is called heuristic function (for  $G$ ).

# Cost Functions for AND-OR Graphs

## Recursive Cost Functions (continued)

### Corollary 39 (Estimated Solution Cost $\hat{C}_H$ for a Solution Base)

Let  $G$  be an acyclic AND-OR graph with root node  $s$  and let  $C_H(n)$  denote a cost function for  $G$ .

Further, let the cost function be recursive based on  $F$  and  $E$ , and let  $h$  be a heuristic function.

Using the face-value principle, the estimated solution cost for solution base  $H$  in  $G$  is computed as follows:

$$\hat{C}_H(n) = \begin{cases} c(n) & n \text{ is goal node and leaf in } H \\ h(n) & n \text{ is leaf in } H \text{ but no goal node} \\ F[E(n), \hat{C}_H(n')] & n \text{ is inner OR node in } H, \\ & n' \text{ direct successor of } n \text{ in } H \\ F[E(n), \hat{C}_H(n_1), \dots, \hat{C}_H(n_k)] & n \text{ is inner AND node in } H, \\ & n_i \text{ direct successors of } n \text{ in } H \end{cases}$$

# Evaluation of AND-OR Graphs

## Recursive Cost Functions and Efficiency

If the search space graph is an acyclic AND-OR graph rooted at a node  $s$  and is **known partially** and a recursive cost function is used that is defined via a

1. **monotone cost measure**  $F$ , i.e., for  $e, c, c'$  with  $c_1 \leq c'_1, \dots, c_k \leq c'_k$  we have

$$F[e, c_1, \dots, c_k] \leq F[e, c'_1, \dots, c'_k]$$

the **(estimated) optimum solution cost** can be computed bottom-up.

A solution base can be determined which has the estimated optimum solution cost as its estimated solution cost.

If additionally the recursive cost function is based on an

2. underestimating heuristic function  $h$ , i.e.,  $h(n) \leq C^*(n)$

then the estimated solution cost  $\hat{C}_H(s)$  is underestimating optimum solution cost  $C_H^*(s)$  for a solution base  $H$ .

# Evaluation of AND-OR Graphs

## Recursive Cost Functions and Efficiency (continued)

### Corollary 40 (Optimum Solution Cost $C^*$ [BF, Overview])

Let  $G$  be an acyclic AND-OR graph rooted at  $s$ . Let  $C_H(n)$  be a recursive cost function for  $G$  based on  $E$  and a monotone cost measure  $F$ .

The optimum solution cost  $C^*(n)$  for a node  $n$  in  $G$  can be computed as follows:

$$C^*(n) = \begin{cases} c(n) & n \text{ is goal node and leaf in } G \\ \infty & n \text{ is unsolvable leaf node in } G \\ \min_i \{F[E(n), C^*(n_i)]\} & n \text{ is inner OR node in } G, \\ & n_i \text{ direct successors of } n \text{ in } G \\ F[E(n), C^*(n_1), \dots, C^*(n_k)] & n \text{ is inner AND node in } G, \\ & n_i \text{ direct successors of } n \text{ in } G \end{cases}$$

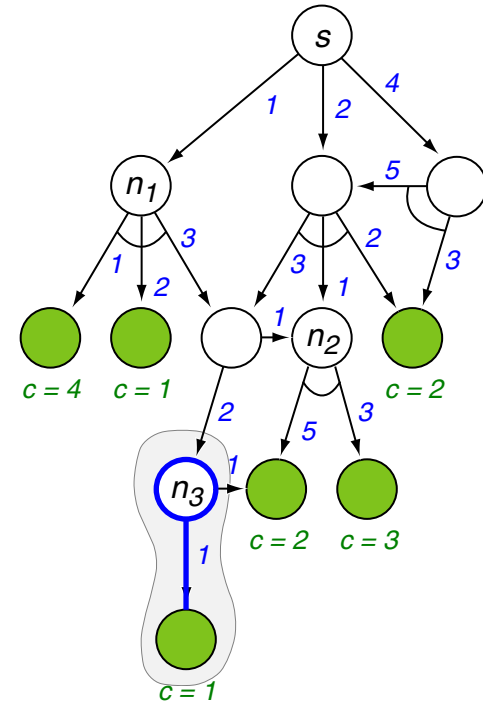
Compare to Bellman's equations.

# Evaluation of AND-OR Graphs

Illustration of  $C^*$  [ [Overview](#), Illustration of  $C_H$  ]

Optimum solution graph for  $s$ :

$$C^*(s) \equiv C^* = 8$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C^*(n) = \begin{cases} c(n) \\ \min_i \{c(n, n_i) + C^*(n_i)\} \\ \max_i \{c(n, n_i) + C^*(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $G$

$n$  is inner OR node in  $G$

$n_i$  direct successors of  $n$  in  $G$

$n$  is inner AND node in  $G$ ,

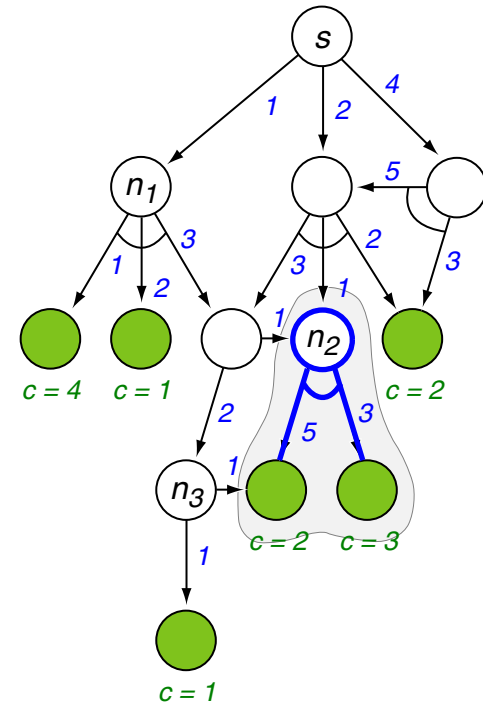
$n_i$  direct successors of  $n$  in  $G$

# Evaluation of AND-OR Graphs

Illustration of  $C^*$  [ [Overview](#), Illustration of  $C_H$  ]

Optimum solution graph for  $n_3$ :

$$C^*(n_3) = 2$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C^*(n) = \begin{cases} c(n) \\ \min_i \{c(n, n_i) + C^*(n_i)\} \\ \max_i \{c(n, n_i) + C^*(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $G$

$n$  is inner OR node in  $G$

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$n$  is inner AND node in  $G$ ,

$n_i$  direct successors of  $n$  in  $G$

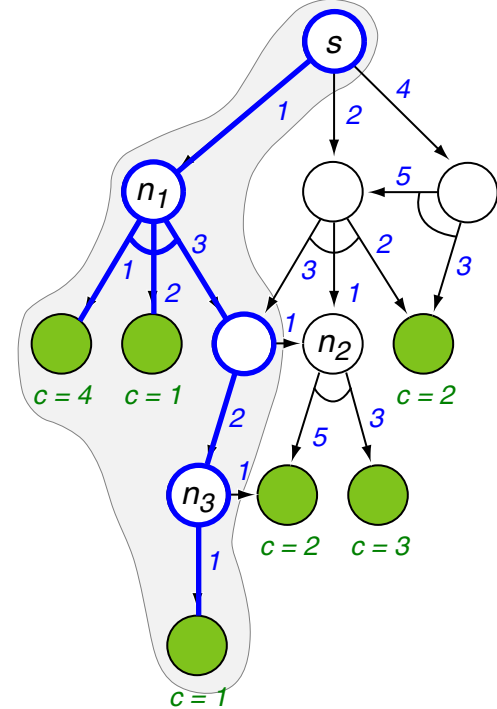


# Evaluation of AND-OR Graphs

Illustration of  $C^*$  [ [Overview](#), Illustration of  $C_H$  ]

Optimum solution graph for  $n_2$ :

$$C^*(n_2) = 7$$



$$E(n) = c(n, n') = \text{cost for edge } (n, n')$$

$$C^*(n) = \begin{cases} c(n) \\ \min_i \{c(n, n_i) + C^*(n_i)\} \\ \max_i \{c(n, n_i) + C^*(n_i)\} \end{cases}$$

$n$  is goal node and leaf in  $G$

$n$  is inner OR node in  $G$

$n_i$  direct successors of  $n$  in  $G$

$n$  is inner AND node in  $G$ ,

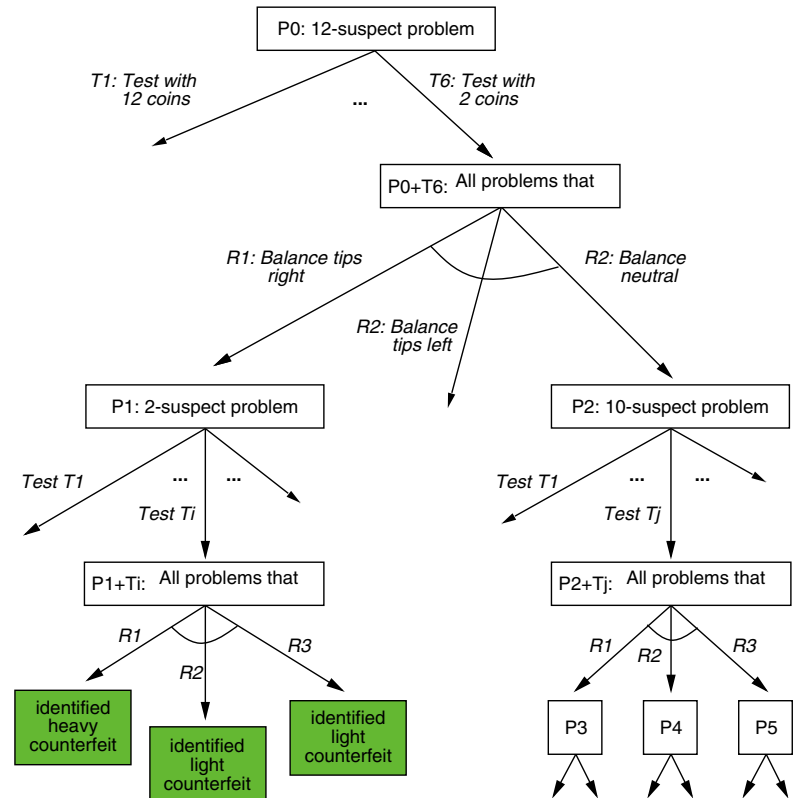
$n_i$  direct successors of  $n$  in  $G$

# Evaluation of AND-OR Graphs

Illustration of  $C^*$  [Overview, Illustration of  $C_H \hat{C}$ ]

Search space graph for the counterfeit problem:

- ❑ Leaf nodes correspond to identified coin.
- ❑ OR nodes (action, strategy) specify the possible tests.
- ❑ AND nodes (reaction) model the weighing outcomes.



$$C^*(n) = \begin{cases} 0 \\ \min_i \{1 + C^*(n_i)\} \\ \sum_{i=1,2,3} p_i \cdot C^*(n_i) \end{cases}$$

$n$  is goal node and leaf in  $G$

$n$  is inner OR node in  $G$

$n_i$  direct successors of  $n$  in  $G$

$n$  is inner AND node in  $G$ ,

$n_i$  direct successors of  $n$  in  $G$

## Remarks:

- ❑ If the underlying search space graph is infinite, it may be impossible to compute  $C^*(n)$ . Exploiting properties of  $E$  and  $F$  for a search space graph can make the computation feasible.

# Evaluation of AND-OR Graphs

## Recursive Cost Functions and Efficiency (continued)

### Corollary 41 (Estimated Optimum Solution Cost $\hat{C}$ [BF, Overview])

Let  $G$  be an acyclic AND-OR graph with root node  $s$ . Let  $h$  be a heuristic function and let  $C_H(n)$  be a recursive cost function for  $G$  based on  $E$  and a monotone cost measure  $F$ . Further, let  $G_e$  be a finite (explored) subgraph of  $G$  with root node  $s$ .

Using the face-value principle, the estimated optimum solution cost  $\hat{C}(n)$  for a node  $n$  in  $G$  can be computed as follows:

$$\hat{C}(n) = \begin{cases} c(n) & n \text{ is goal node and leaf in } G_e \\ h(n) & n \text{ is leaf in } G_e \text{ but no goal node} \\ \min_i \{F[E(n), \hat{C}(n_i)]\} & n \text{ is inner OR node in } G_e, \\ & n_i \text{ direct successors of } n \text{ in } G \\ F[E(n), \hat{C}(n_1), \dots, \hat{C}(n_k)] & n \text{ is inner AND node in } G_e, \\ & n_i \text{ direct successors of } n \text{ in } G \end{cases}$$

## Remarks:

- ❑ The above calculation rules for  $\hat{C}$  requires a useful handling regarding the value  $\infty$  (assuming  $h(n) = \infty$  for unsolvable nodes  $n$ ). In particular, the cost measure  $F$  should return  $\infty$  if one of its arguments is  $\infty$ .
- ❑ The above calculation rules for  $\hat{C}$  presume the—already computed—estimated optimum solution cost values for the direct successors of  $n$ . Their existence is guaranteed here (also for infinitely large search space graphs) since the search (= the computation of  $\hat{C}$ ) uses a finite portions of the search space graph, the explored subgraphs of the search space graph.
- ❑  $\hat{C}(n)$  computes for a node  $n$  the minimum of the estimated costs among all maximal solution bases rooted at  $n$  in  $G_e$ . In particular,  $\hat{C}(s)$  computes the estimated optimum solution cost for the entire problem, and it hence defines a most promising solution base  $H$  for  $s$  in  $G_e$ .
- ❑ Finally, cost propagation is reasonable (also in the sense of “is efficient”) only for problems whose solution obey Bellman's principle of optimality.

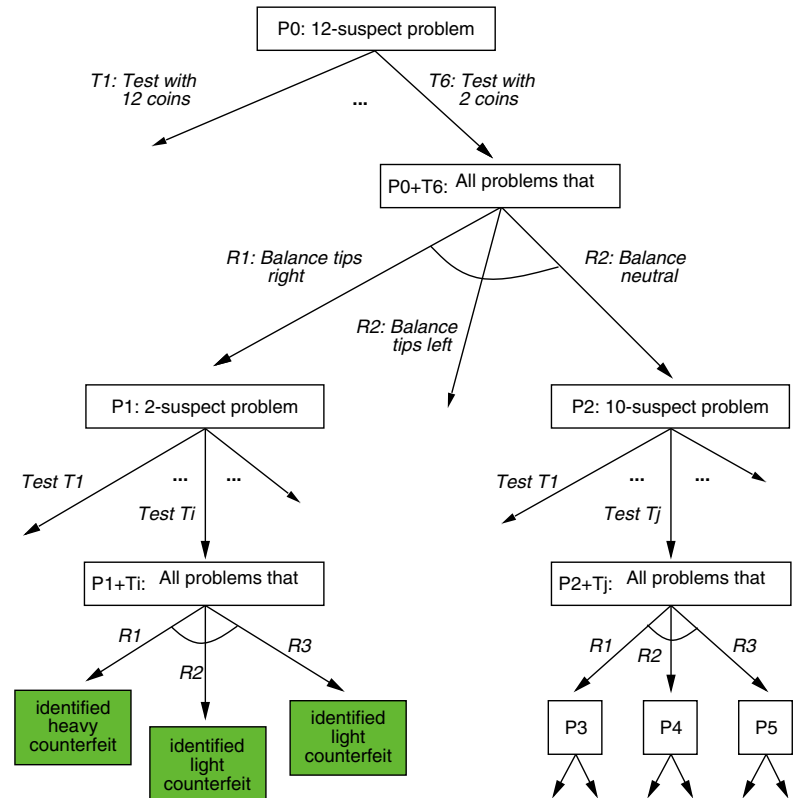
# Evaluation of AND-OR Graphs

Illustration of  $\hat{C}(n)$  [[Overview](#), Illustration of  $C_H$ ,  $C^*$ ]

Search space graph  $G$  for the counterfeit problem:

- ❑ Leaf nodes correspond to identified coin.
- ❑ OR nodes (action, strategy) specify the possible tests.
- ❑ AND nodes (reaction) model the weighing outcomes.

$G_e$  is explored subgraph of  $G$  generated so far.



$$\hat{C}(n) = \begin{cases} 0 & n \text{ is goal node and leaf in } G_e \\ h(n) & n \text{ is leaf in } G_e \text{ but no goal node} \\ \min_i \{1 + \hat{C}(n_i)\} & n \text{ is inner OR node in } G_e, \\ & n_i \text{ direct successors of } n \text{ in } G \\ \max \{\hat{C}(n_i) \mid i = 1, 2, 3\} & n \text{ is inner AND node in } G_e, \\ & n_i \text{ direct successors of } n \text{ in } G \end{cases}$$

$n$  is goal node and leaf in  $G_e$   
 $n$  is leaf in  $G_e$  but no goal node

$n$  is inner OR node in  $G_e$ ,  
 $n_i$  direct successors of  $n$  in  $G$

$n$  is inner AND node in  $G_e$ ,  
 $n_i$  direct successors of  $n$  in  $G$

# Evaluation of AND-OR Graphs

## Relation to the Algorithm GBF

$\text{GBF}^*(s, \text{successors}, \perp, \star, f_1, f_2)$

...

2. **LOOP**

3. IF ( $\text{OPEN} = \emptyset$ ) THEN RETURN(*Fail*);

4.a  *$H = \text{min\_solution\_base}(s, G_e, f_1)$* ; // Find most prom. sol. base in  $G_e$ .

...

# Evaluation of AND-OR Graphs

## Relation to the Algorithm GBF

$\text{GBF}^*(s, \text{successors}, \perp, \star, f_1, f_2)$

```
...  
2.  LOOP  
3.  IF (OPEN =  $\emptyset$ ) THEN RETURN(Fail);  
4. a  $H = \text{min\_solution\_base}(s, G_e, f_1);$  // Find most prom. sol. base in  $G_e$ .  
...
```

## Corollary 42 (Most Promising Solution Base $H$ [\[Overview\]](#))

Let  $G$  be an acyclic AND-OR graph with root node  $s$ . Let  $h$  be a heuristic function and let  $C_H(n)$  be a recursive cost function for  $G$  based on  $E$  and a monotone cost measure  $F$ . Further, let  $G_e$  be a finite (explored) subgraph of  $G$  with root node  $s$ .

Using the face-value principle, a most promising solution base  $H$  for  $s$  can be characterized by the following conditions:

1. If  $H$  contains an inner OR node  $n$ , then  $H$  contains exactly one link to a direct successor  $n'$  in  $H$ , where

$$F[E(n), \hat{C}(n')] = \min_i \{F[E(n), \hat{C}(n_i)]\} \quad n_i \text{ direct successor of } n$$

2. If  $H$  contains an inner AND node, then  $H$  contains all links to its direct successors in  $H$ .



# Evaluation of AND-OR Graphs

## Relation to the Algorithm GBF [BF]

$\text{GBF}^*(s, \text{successors}, \perp, \star, f_1, f_2)$

```
...
2.  LOOP
3.  IF (OPEN =  $\emptyset$ ) THEN RETURN(Fail);
4.  a   $H = \text{min\_solution\_base}(s, f_1)$ ;
      solved_labeling( $H$ );    // Check if  $H$  is a solution graph.
      IF  $\star(s)$  THEN RETURN( $H$ );    // Delayed termination.
      ...
```

Delayed termination:

→ Algorithm GBF becomes Algorithm  $\text{GBF}^*$ .

Define  $f_1(H)$  as  $\hat{C}_H(s)$  for a solution base  $H$  in  $G_e$ :

→  $f_1$  is a recursive evaluation function.

→ Using an additive cost measure, algorithm  $\text{GBF}^*$  becomes Algorithm  $\text{AO}^*$ .

## Remarks:

- ❑ If  $\hat{C}$  is based on a recursive cost function involving a monotone cost measure, the determination of a most promising solution base  $H$  can happen bottom-up along with the computation of  $\hat{C}(n)$  for  $n$  in  $G$ , simply by propagating the cheapest cost of the nodes and storing the source of this cheapest cost.
- ❑ The bottom-up propagation yields a minimal solution graph (or solution base) for each node in  $G_e$ .
- ❑ Leaf nodes in  $G_e$  are nodes on OPEN that are either solved rest problems or nodes that are currently not labeled “unsolvable”.
- ❑ Recall that  $\hat{C}_H(s)$  computes the estimated solution cost for a given solution base  $H$ . If  $h$  is optimistic and if, in addition,  $F$  is monotone, then  $f_1(H)$  (defined as  $\hat{C}_H(s)$ ) is optimistic for all solution bases  $H$ .

A proof of this claim is given in the lab class.

# Evaluation of AND-OR Graphs

## Taxonomy of Best-First Algorithms

