

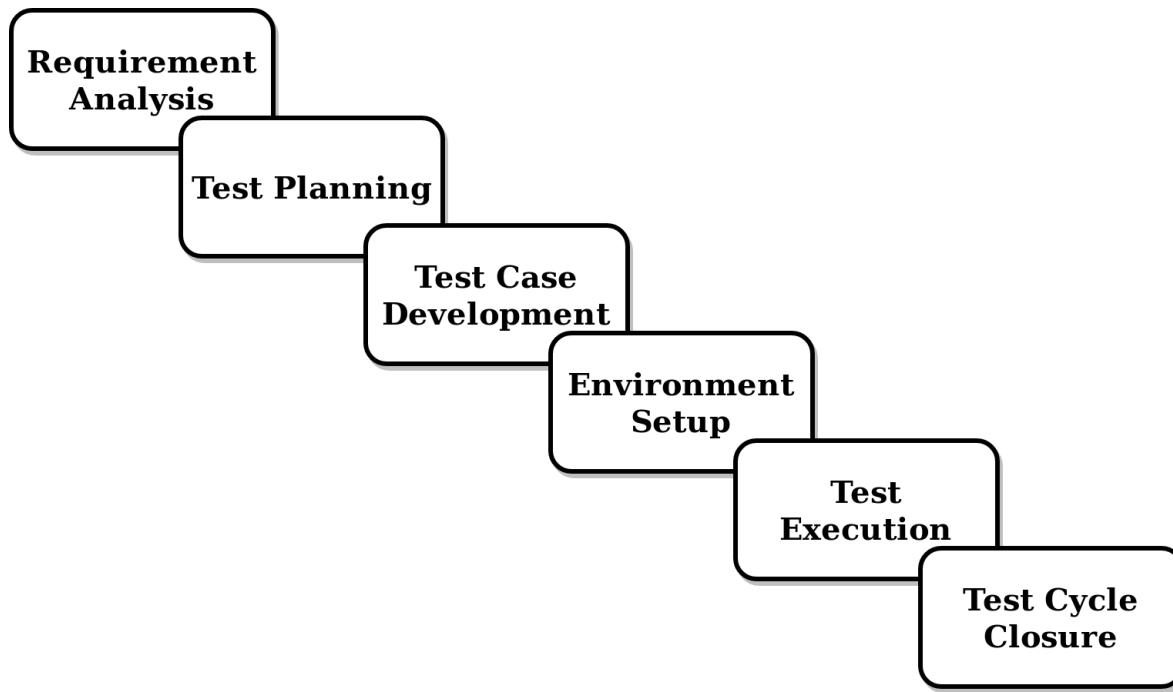
# **Systematic Analysis of testing-related publications concerning reproducibility and comparability**

**Bachelor's Thesis Defense by Artur Solomonik  
Referees: Prof. Dr. Norbert Siegmund, Prof. Dr. Martin Potthast**

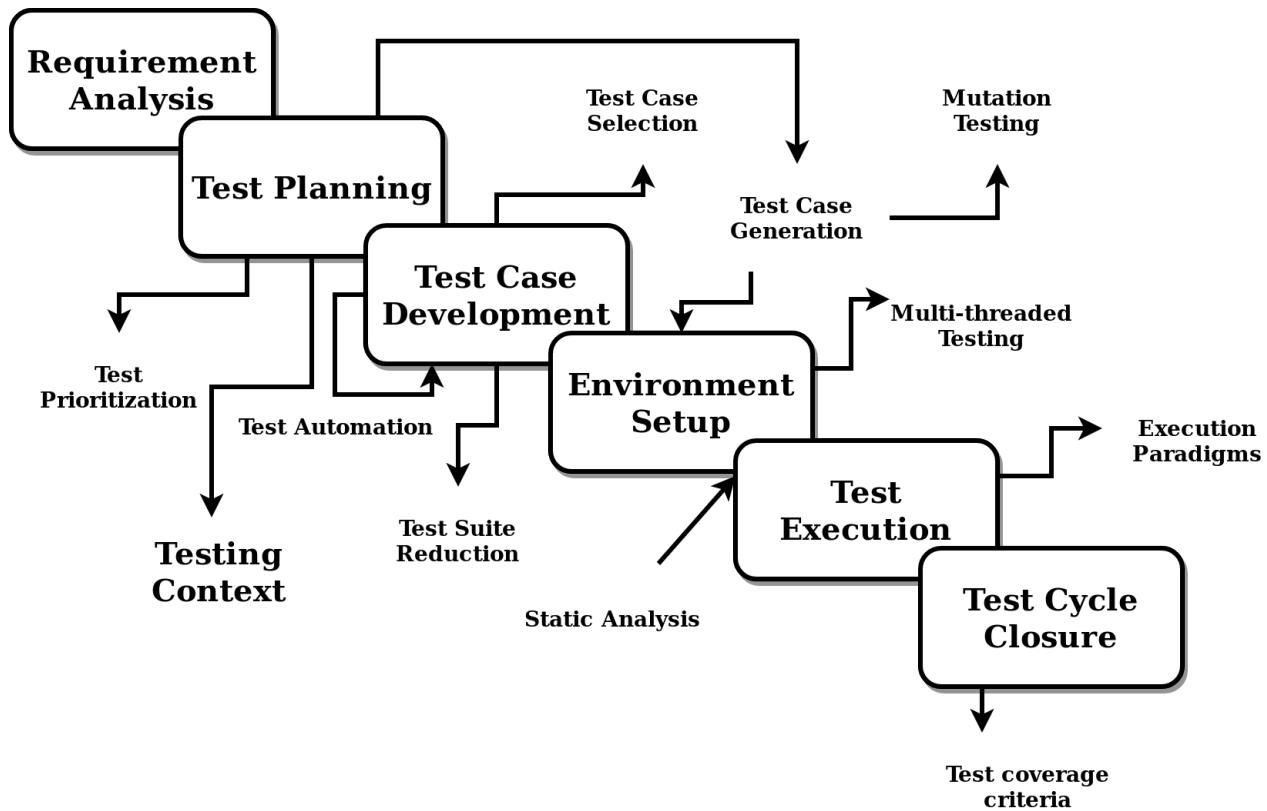
# **Software Testing**

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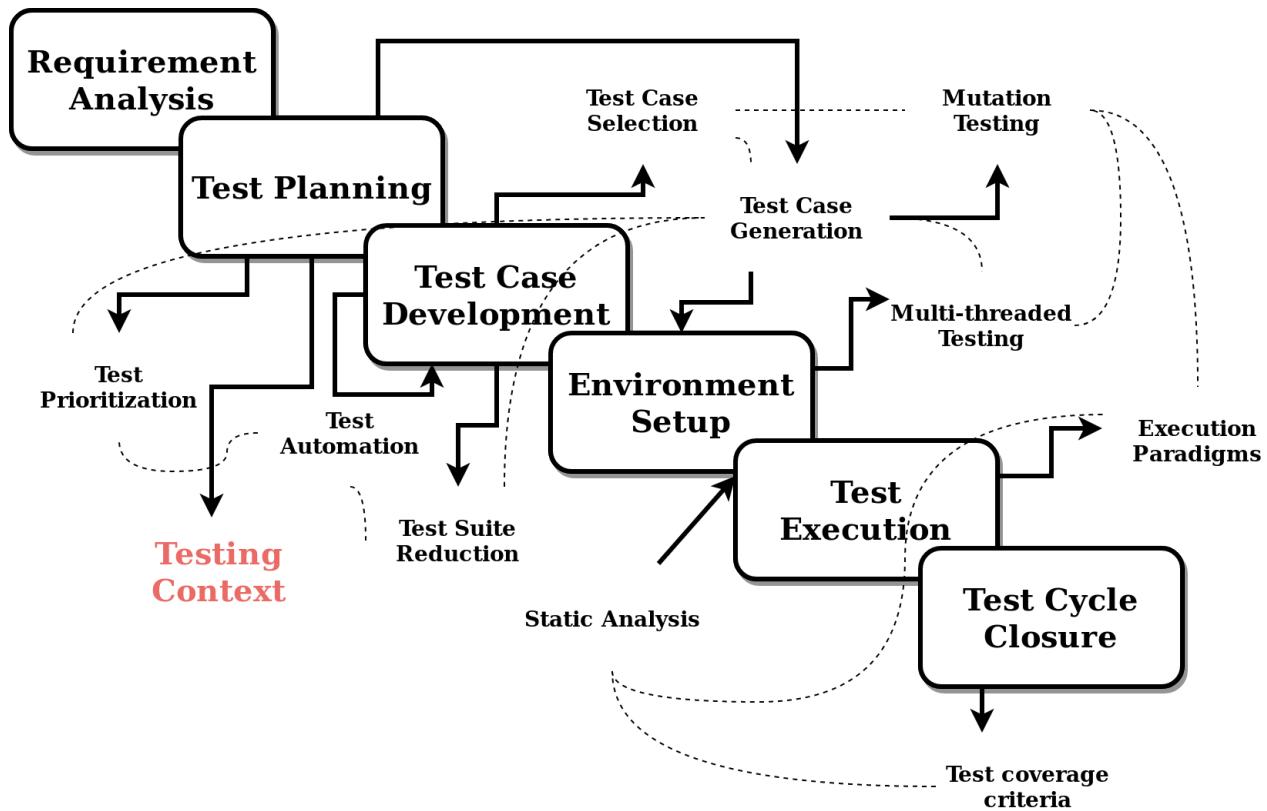
# Software Testing Life Cycle



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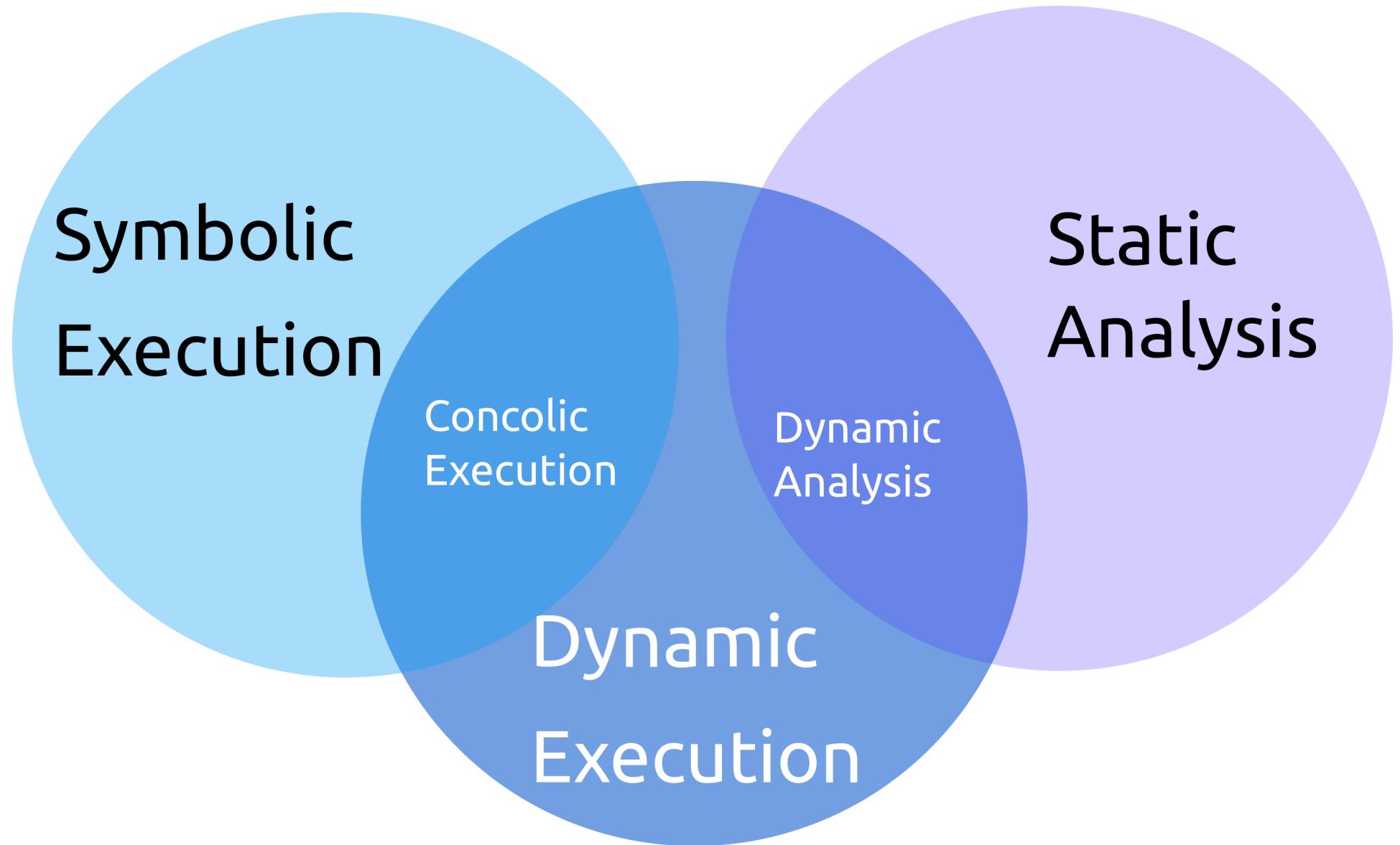
# Software Testing Research

- Generating test suites
  - Exploration pinciples
  - Mutation testing
  - Executing generated test suites
  - Prioritization and Reduction of Test Cases
- Automating test case creation, selection and execution
- Finding new approaches on organizing testing processes
  - Testing Workflow
  - Decision Making Process
  - *When and What to Automate?*

# Software Testing Research

- Testing Levels
  - Data-Flow Testing, Static Code Analysis | **Unit Testing**
  - Backbone-, Client-Server-, Bottom-Up | **Integration Testing**
  - GUI Testing, End-To-End Testing | **System Testing**
  - Reliability and Stability, Chaos Testing | **Acceptance Testing**
- Execution Paradigms

# Test Execution Paradigms



# **How do we know the testing system is working?**

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### 3. EXPERIMENTAL SETUP

In this study, we address four research questions.

- **RQ1:** What's the overall effectiveness (in terms of program coverage improvement and fault detection improvement) of *ISON*?
- **RQ2:** How does *ISON* perform in improving each test's effectiveness?
- **RQ3:** How does negation depth affect the effectiveness of *ISON*?
- **RQ4:** How does *ISON* perform comparing to traditional automated test generation approaches?

#### 3.1 Tools

***ISON* implementation tool.** *ISON* has been implemented as a prototype for Java programs with JUnit tests. In its implementation, we use *Soot Program analysis framework* for isomorphism identification, *ASM bytecode manipulation engine*<sup>10</sup> for branch negation and output analysis. The source code of *ISON* is available on our homepage<sup>11</sup>.

**Mutant generation tool.** We use *Major*<sup>12</sup> to generate mutants in the evaluation, because most mutation tools (e.g., *Javalanche*<sup>13</sup> and *Pitest*<sup>14</sup>) do not produce mutants with accessible source code whereas *Major* does. On the other side, *Major* is the only mutation tool whose mutants are proved to simulate real faults well [26].

**Test generation tool.** We compare our approach with state-of-the-art test generation tool *EvoSuite*<sup>15</sup> in our evaluation. *EvoSuite* is a search-based test generation tool which is capable to generate test oracles and deal with various cases that other test generation techniques/tools cannot handle [12]. Moreover, it has been reported to be one of the most practical and robust test generation tools [10]. We also tried to compare our technique with state-of-the-art symbolic execu-

mutants. These mutants were viewed as faults in this study.

For each subject, we constructed a common test set for its two versions to compare the outputs of the same test (following Section 2.3). In particular, the original tests of the new version were regarded as the base test set, from which we removed the tests that cannot run through on the old version. Then, we further removed the tests that produce non-deterministic outputs in two steps: (1) we manually removed the tests that will definitely produce non-deterministic outputs, e.g., tests that return the current time, and (2) we automatically checked the remaining tests by running each of them 5 times and comparing their outputs to ensure their determination, as previous work does [49].

Table 1 shows the basic information of the subjects (i.e., the versions under test). “LOC” shows the number of lines of executable source code calculated by *LocMetrics*<sup>17</sup>. “Tests” refers to the number of tests actually used in the study.  $B_{all}/B_{cov}$  refers to the total number of branches and number of branches that are covered by the tests.  $M_{all}/M_{kill}$  refers to the total number of mutants (or faults) and the number of mutants that are killed by the tests. From the table, we use subjects of various sizes, whose LOC ranges from 258 to 23,293. Also, these subjects have various proportion of covered branches as well as killed mutants.

Table 1: Subjects, faults, and tests

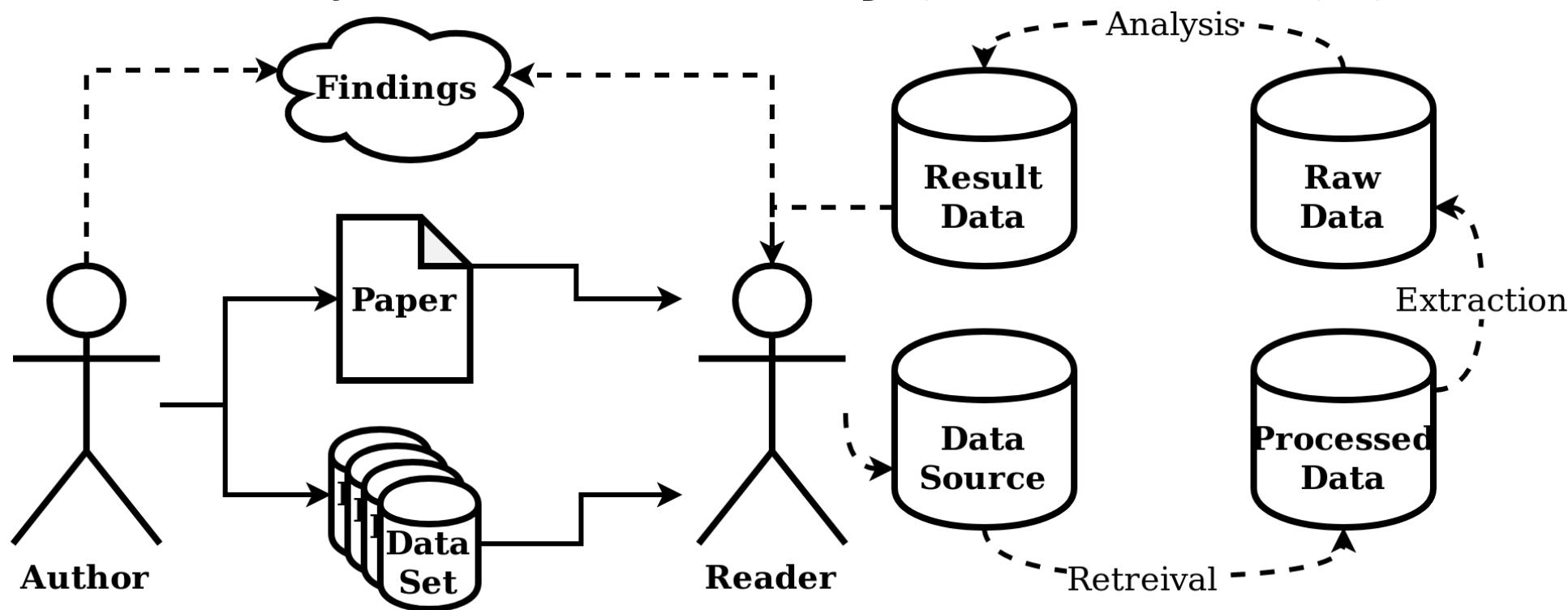
Subjects	LOC	Tests	$B_{all}/B_{cov}$	$M_{all}/M_{kill}$	Ver.
cors-filter	1,198	72	146/138	195/122	1.0.1
digester	23,293	184	1,432/885	200/84	3.2
evo-inflector	465	4	26/19	105/54	1.2.1
gelfj	1,416	27	216/107	200/41	1.1.1
json-fire	895	31	194/114	200/92	1.3.1
hashids	258	11	74/46	200/135	1.0.1
jackson	654	43	154/23	200/48	1.5.1
java-jwt	422	55	104/93	158/89	2.1.0
jopt-simple	4,292	640	378/368	200/144	4.4
scrypt	467	20	116/48	200/93	1.4.0

## Evaluating result data

- Present the result data set and identify significant values
- Connect hypotheses and results
- Compare related work and their findings
- Argue the improvement or benefits of the approach
- Apply suitable metrics

# Reproducibility

**Goal:** Provide the reader with every information and resource necessary to recreate the findings presented in the paper



# Reproducibility Attributes

- Reproduction score influenced by data set attributes
  - **Identification:** Explanation of where the data is and what it is called
  - **Description:** Level of the explanation regarding the element
  - **Availability:** Ease of accessing or obtaining the research elements
  - **Persistence:** Confidence in future state and availability of the elements
  - **Flexibility:** Adaptability of the elements to new environments

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  - **Persistence:** Confidence in future state and availability of the elements
  - **Flexibility:** Adaptability of the elements to new environments
- Varying data sources - Attributes not applicable to anything

# Comparability

**Goal:** Assess papers on whether empirical comparisons in the evaluation are appropriate or existent.

- Criteria for comprehensible evaluations
- Strategies of Comparison
- Connectivity to related work

**How can we understand the research strategies of software testing publications in terms of reproducibility and comparability?**

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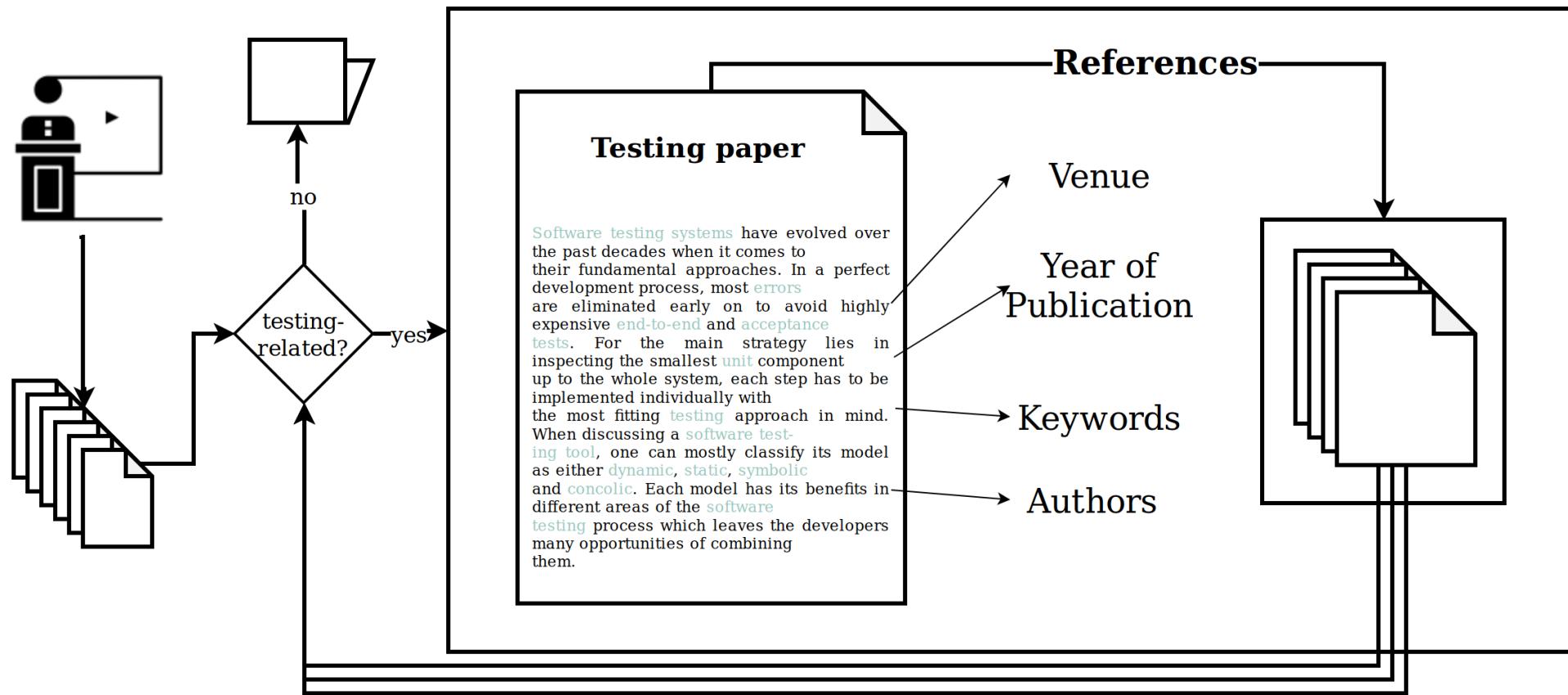
# Paper Classification

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## Data Source

- Papers from 10 popular software engineering conferences (ASE, ICSE, ISSTA, ...)
- Additional publications from two journals (ESE, TOSEM)
- Frequently mentioned publications
- Papers from modification / refinement phases

# Processed Data Set





fx year

	A	D	E	F	G	H	I	J	K	L
1	year	title	paper	id	contribution	classification	tested_version	availability	source_code	benchmarks
2	<a href="#">2016</a>	Battles with F	<a href="https://doi.org/10.1145/2958959.2959000">https://doi.org/10.1145/2958959.2959000</a>	3	static analysis	static	-	open	<a href="https://github.com/sukruaydin/BattleWithF">https://github.com/sukruaydin/BattleWithF</a>	30 Tizen Web App
3	<a href="#">2016</a>	FOREPOST: A	<a href="https://doi.org/10.1145/2958959.2959001">https://doi.org/10.1145/2958959.2959001</a>	4	performance testing	dynamic	-	open	<a href="http://www.cs.wm.edu/~jacobson/forepost/">http://www.cs.wm.edu/~jacobson/forepost/</a>	[155] [156] [157]
4	<a href="#">2016</a>	SimCoTest: A	<a href="https://doi.org/10.1145/2958959.2959002">https://doi.org/10.1145/2958959.2959002</a>	5	test generation	dynamic	-	open	<a href="https://github.com/marco-schmitz/SimCoTest">https://github.com/marco-schmitz/SimCoTest</a>	3 industrial SL/SF
5	<a href="#">2016</a>	Automated Te	<a href="https://doi.org/10.1145/2958959.2959003">https://doi.org/10.1145/2958959.2959003</a>	6	test generation	concolic	-	open	<a href="https://github.com/darrenwong/autotest">https://github.com/darrenwong/autotest</a>	-
6	<a href="#">2016</a>	HoliCoW: Aut	<a href="https://doi.org/10.1145/2958959.2959004">https://doi.org/10.1145/2958959.2959004</a>	7						
7	<a href="#">2015</a>	Ekstazi: Light	<a href="https://doi.org/10.1145/2958959.2959005">https://doi.org/10.1145/2958959.2959005</a>	8	test selection	dynamic	-	open	<a href="http://ekstazi.org/">http://ekstazi.org/</a>	32 Open-Source p
8	<a href="#">2015</a>	TesMa and C.	<a href="https://doi.org/10.1145/2958959.2959006">https://doi.org/10.1145/2958959.2959006</a>	9	test generation	concolic	-	open	<a href="https://github.com/kse/TesMa">https://github.com/kse/TesMa</a>	[5] [6]
9	<a href="#">2015</a>	Dynamic Data	<a href="https://doi.org/10.1145/2958959.2959007">https://doi.org/10.1145/2958959.2959007</a>	10	data flow testing	dynamic	-	closed	-	Table 3
10	<a href="#">2015</a>	ZoomIn: Disc	<a href="https://doi.org/10.1145/2958959.2959008">https://doi.org/10.1145/2958959.2959008</a>	11	test generation		-	closed	-	Table 1
11	<a href="#">2015</a>	An Informatio	<a href="https://doi.org/10.1145/2958959.2959009">https://doi.org/10.1145/2958959.2959009</a>	12	test prioritization	concrete	-	closed	-	[31] [32] [33] [34]
12	<a href="#">2015</a>	Automated M	<a href="https://doi.org/10.1145/2958959.2959010">https://doi.org/10.1145/2958959.2959010</a>	13	gui testing	concrete	-	closed	-	[38] [39] [40]
13	<a href="#">2015</a>	Regular Prop	<a href="https://doi.org/10.1145/2958959.2959011">https://doi.org/10.1145/2958959.2959011</a>	14	concolic execution	concolic	-	open	<a href="https://github.com/psylab/regular-property">https://github.com/psylab/regular-property</a>	Table 1
14	<a href="#">2015</a>	Metamorphic	<a href="https://doi.org/10.1145/2958959.2959012">https://doi.org/10.1145/2958959.2959012</a>	15	acceptance testing	concrete	-	closed	-	-
28	<a href="#">2014</a>	Enhancing Sy	<a href="https://doi.org/10.1145/2958959.2959013">https://doi.org/10.1145/2958959.2959013</a>	29	symbolic execution	symbolic	-	closed	-	[1], Debian binarie
29	<a href="#">2014</a>	Interpolated N	<a href="https://doi.org/10.1145/2958959.2959014">https://doi.org/10.1145/2958959.2959014</a>	30	test case derivation	static	-	open	<a href="http://se.fbk.eu/techno/">http://se.fbk.eu/techno/</a>	[41]
30	<a href="#">2014</a>	ConLock: A C	<a href="https://doi.org/10.1145/2958959.2959015">https://doi.org/10.1145/2958959.2959015</a>	31	multithreaded testing	dynamic	-	closed	-	[2] [3] [4]
31	<a href="#">2014</a>	Coverage Is	<a href="https://doi.org/10.1145/2958959.2959016">https://doi.org/10.1145/2958959.2959016</a>	32			-			
32	<a href="#">2013</a>	JST: An Autor	<a href="https://doi.org/10.1145/2958959.2959017">https://doi.org/10.1145/2958959.2959017</a>	33	test generation	symbolic	-	closed	-	unmentioned
33	<a href="#">2013</a>	Automatic De	<a href="https://doi.org/10.1145/2958959.2959018">https://doi.org/10.1145/2958959.2959018</a>	34	performance testing	dynamic	-	closed	-	one industrial syst
34	<a href="#">2013</a>	Memoise: A T	<a href="https://doi.org/10.1145/2958959.2959019">https://doi.org/10.1145/2958959.2959019</a>	35	symbolic execution	symbolic	-	open	<a href="https://github.com/quarkslab/memoise">https://github.com/quarkslab/memoise</a>	[42]
38	<a href="#">2016</a>	Python Predic	<a href="https://doi.org/10.1145/2958959.2959020">https://doi.org/10.1145/2958959.2959020</a>	39	symbolic execution	symbolic	-	open	<a href="https://sites.google.com/a/cse.iitb.ac.in/python-predictions/">https://sites.google.com/a/cse.iitb.ac.in/python-predictions/</a>	python GitHub pr
39	<a href="#">2016</a>	Extracting Ins	<a href="https://doi.org/10.1145/2958959.2959021">https://doi.org/10.1145/2958959.2959021</a>	40	symbolic execution	concolic	-	open	<a href="https://github.com/nirf/extracting-ins">https://github.com/nirf/extracting-ins</a>	-
40	<a href="#">2016</a>	DiagDroid: Ar	<a href="https://doi.org/10.1145/2958959.2959022">https://doi.org/10.1145/2958959.2959022</a>	41	performance testing	dynamic	-	open	<a href="http://www.cudroid.co">http://www.cudroid.co</a>	F-Droid Apps
41	<a href="#">2016</a>	Analyzing the	<a href="https://doi.org/10.1145/2958959.2959023">https://doi.org/10.1145/2958959.2959023</a>	42	mutation testing	static	-	closed	-	[12]

papers ▾

paper\_evaluation ▾

benchmark ▾

paper\_benchmark ▾





fx

year

	A	D	E	F	G	H	I	J	K	L
1	year	title	paper	id	contribution	classification	tested_version	availability	source_code	benchmarks
2	<a href="#">2016</a>	Battles with F	<a href="https://github.com/sukru/2016-battles-with-f">https://github.com/sukru/2016-battles-with-f</a>	3	static analysis	static	-	open	<a href="https://github.com/sukru/2016-battles-with-f">https://github.com/sukru/2016-battles-with-f</a>	30 Tizen Web App
3	<a href="#">2016</a>	FOREPOST: A	<a href="https://github.com/forepost-project/forepost">https://github.com/forepost-project/forepost</a>	4	performance testing	dynamic	-	open	<a href="http://www.cs.wm.edu/~jacobson/forepost/">http://www.cs.wm.edu/~jacobson/forepost/</a>	[155] [156] [157]
4	<a href="#">2016</a>	SimCoTest: A	<a href="https://github.com/maurizio-papuccini/SimCoTest">https://github.com/maurizio-papuccini/SimCoTest</a>	5	test generation	dynamic	-	open	<a href="https://github.com/maurizio-papuccini/SimCoTest">https://github.com/maurizio-papuccini/SimCoTest</a>	3 industrial SL/SF
5	<a href="#">2016</a>	Automated Te	<a href="https://github.com/autotest-project/autotest">https://github.com/autotest-project/autotest</a>	6	test generation	concolic	-	open	<a href="https://github.com/autotest-project/autotest">https://github.com/autotest-project/autotest</a>	-
6	<a href="#">2016</a>	HoliCoW: Aut	<a href="https://github.com/autotest-project/holicow">https://github.com/autotest-project/holicow</a>	7		dynamic	-	open		
7	<a href="#">2015</a>	Ekstazi: Light	<a href="https://github.com/ekstazi/ekstazi">https://github.com/ekstazi/ekstazi</a>	8	test selection	dynamic	-	open	<a href="http://ekstazi.org/">http://ekstazi.org/</a>	32 Open-Source p
8	<a href="#">2015</a>	TesMa and C.	<a href="https://github.com/tesma-project/tesma">https://github.com/tesma-project/tesma</a>	9	test generation	concrete	-	closed	<a href="https://github.com/tesma-project/tesma">https://github.com/tesma-project/tesma</a>	[5] [6]
9	<a href="#">2015</a>	Dynamic Data	<a href="https://github.com/maurizio-papuccini/dynamic-data">https://github.com/maurizio-papuccini/dynamic-data</a>	10	data flow testing	symbolic	-	-		Table 3
10	<a href="#">2015</a>	ZoomIn: Disc	<a href="https://github.com/maurizio-papuccini/zoomin">https://github.com/maurizio-papuccini/zoomin</a>	11	test generation	symbolic	-	closed		Table 1
11	<a href="#">2015</a>	An Informatio	<a href="https://github.com/maurizio-papuccini/information-flow">https://github.com/maurizio-papuccini/information-flow</a>	12	test prioritization	symbolic	-	closed		[31] [32] [33] [34]
12	<a href="#">2015</a>	Automated M	<a href="https://github.com/maurizio-papuccini/automated-mutation-testing">https://github.com/maurizio-papuccini/automated-mutation-testing</a>	13	gui testing	symbolic	-	closed		[38] [39] [40]
13	<a href="#">2015</a>	Regular Prop	<a href="https://github.com/maurizio-papuccini/regular-property-testing">https://github.com/maurizio-papuccini/regular-property-testing</a>	14	concolic execution	symbolic	-	closed	<a href="https://github.com/maurizio-papuccini/regular-property-testing">https://github.com/maurizio-papuccini/regular-property-testing</a>	Table 1
14	<a href="#">2015</a>	Metamorphic	<a href="https://github.com/maurizio-papuccini/metamorphic-testing">https://github.com/maurizio-papuccini/metamorphic-testing</a>	15	acceptance testing	symbolic	-	closed		-
28	<a href="#">2014</a>	Enhancing Sy	<a href="https://github.com/maurizio-papuccini/enhancing-systematic-testing">https://github.com/maurizio-papuccini/enhancing-systematic-testing</a>	29	symbolic execution	symbolic	-	closed		[1], Debian binarie
29	<a href="#">2014</a>	Interpolated N	<a href="https://github.com/maurizio-papuccini/interpolated-negligible">https://github.com/maurizio-papuccini/interpolated-negligible</a>	30	test case derivation	symbolic	-	open	<a href="http://se.fbk.eu/techniques">http://se.fbk.eu/techniques</a>	[41]
30	<a href="#">2014</a>	ConLock: A C	<a href="https://github.com/maurizio-papuccini/conlock-a-concurrent-locking-algorithm">https://github.com/maurizio-papuccini/conlock-a-concurrent-locking-algorithm</a>	31	multithreaded testing	dynamic	-	closed		[2] [3] [4]
31	<a href="#">2014</a>	Coverage Is N	<a href="https://github.com/maurizio-papuccini/coverage-is-not-enough">https://github.com/maurizio-papuccini/coverage-is-not-enough</a>	32		dynamic	-	-		
32	<a href="#">2013</a>	JST: An Autor	<a href="https://github.com/maurizio-papuccini/jst-an-automated-test-generation-tool">https://github.com/maurizio-papuccini/jst-an-automated-test-generation-tool</a>	33	test generation	symbolic	-	closed		unmentioned
33	<a href="#">2013</a>	Automatic De	<a href="https://github.com/maurizio-papuccini/automatic-dynamic-testing">https://github.com/maurizio-papuccini/automatic-dynamic-testing</a>	34	performance testing	dynamic	-	closed		one industrial syst
34	<a href="#">2013</a>	Memoise: A T	<a href="https://github.com/maurizio-papuccini/memoise-a-test-case-memoisation-tool">https://github.com/maurizio-papuccini/memoise-a-test-case-memoisation-tool</a>	35	symbolic execution	symbolic	-	open	<a href="https://github.com/maurizio-papuccini/memoise-a-test-case-memoisation-tool">https://github.com/maurizio-papuccini/memoise-a-test-case-memoisation-tool</a>	[42]
38	<a href="#">2016</a>	Python Predic	<a href="https://github.com/maurizio-papuccini/python-predictive-testing">https://github.com/maurizio-papuccini/python-predictive-testing</a>	39	symbolic execution	symbolic	-	open	<a href="https://sites.google.com/a/maurizio-papuccini.it/predictive-testing">https://sites.google.com/a/maurizio-papuccini.it/predictive-testing</a>	python GitHub pro
39	<a href="#">2016</a>	Extracting Ins	<a href="https://github.com/maurizio-papuccini/extracting-instrumentation">https://github.com/maurizio-papuccini/extracting-instrumentation</a>	40	symbolic execution	concolic	-	open	<a href="https://github.com/nirf/extracting-instrumentation">https://github.com/nirf/extracting-instrumentation</a>	-
40	<a href="#">2016</a>	DiagDroid: Ar	<a href="https://github.com/maurizio-papuccini/diagdroid">https://github.com/maurizio-papuccini/diagdroid</a>	41	performance testing	dynamic	-	open	<a href="http://www.cudroid.co">http://www.cudroid.co</a>	F-Droid Apps
41	<a href="#">2016</a>	Analyzing the	<a href="https://github.com/maurizio-papuccini/analyzing-the-structure-of">https://github.com/maurizio-papuccini/analyzing-the-structure-of</a>	42	mutation testing	static	-	closed		[12]

papers ▾

paper\_evaluation ▾

benchmark ▾

paper\_benchmark ▾



Classification	Parameters
Availability	[open/closed]
Data Set State	[vanilla/modified]
Selection Cause	[...]
Modification Cause	[...]
Sub-Check Systems	[single/multiple] [named/unnamed]

## **Classification**

## **Parameters**

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Contribution

[...]

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Choice of Metric

[functionality/performance/both]

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Metrics

[ ] Metrics

## **Classification Parameters**

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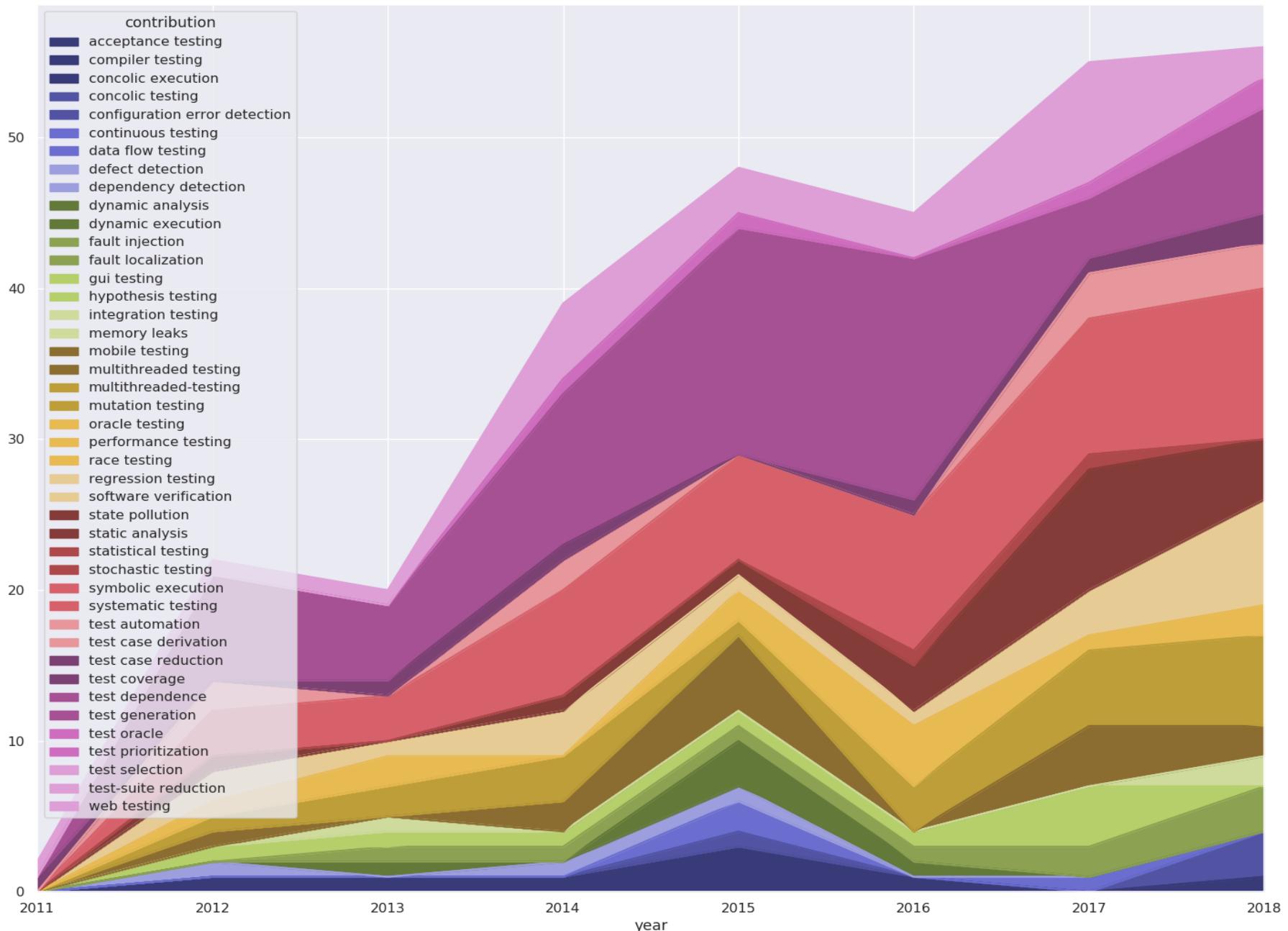
Error Creation [generation/real world/both]

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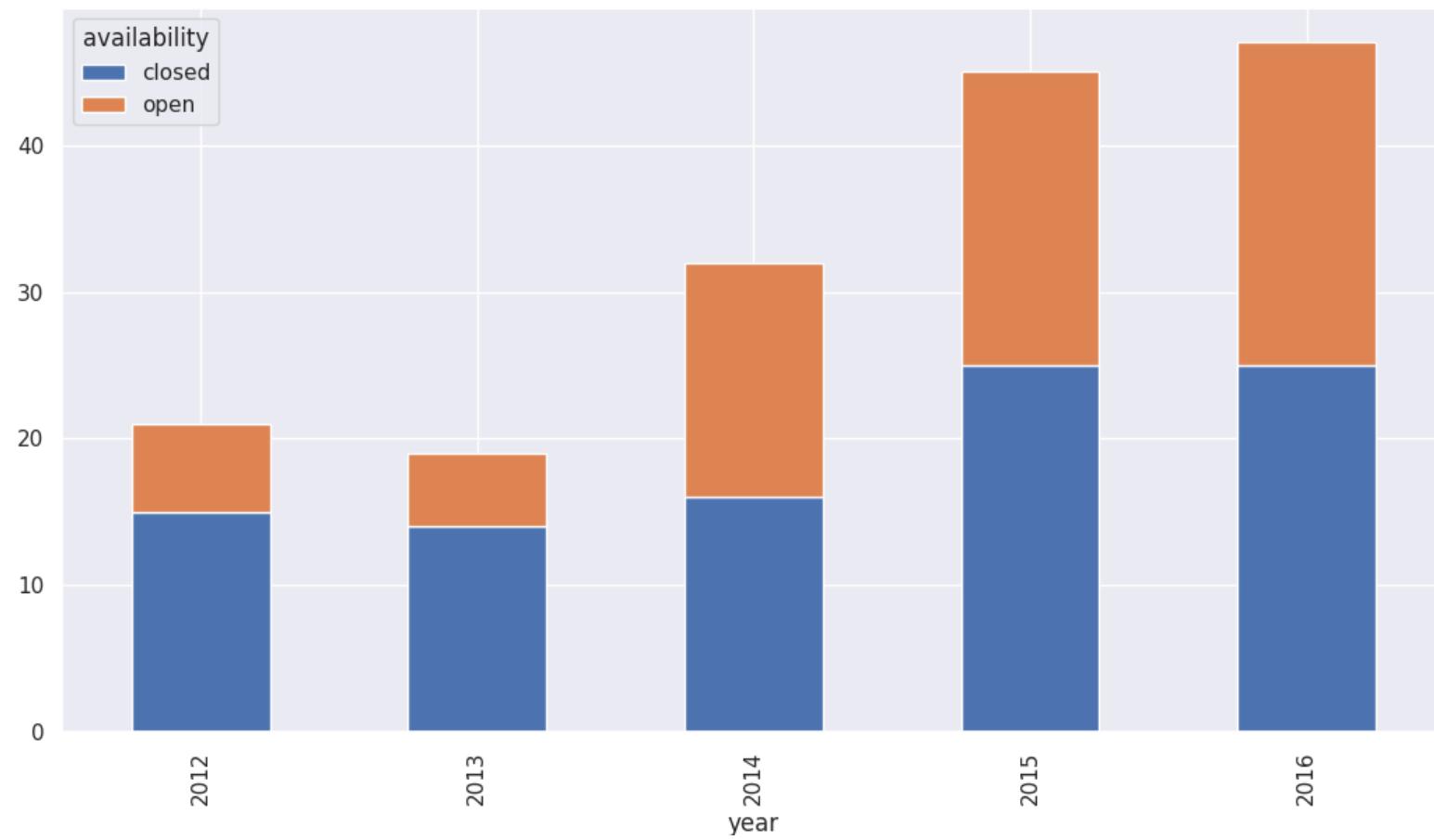
Error Annotation [TRUE/FALSE]

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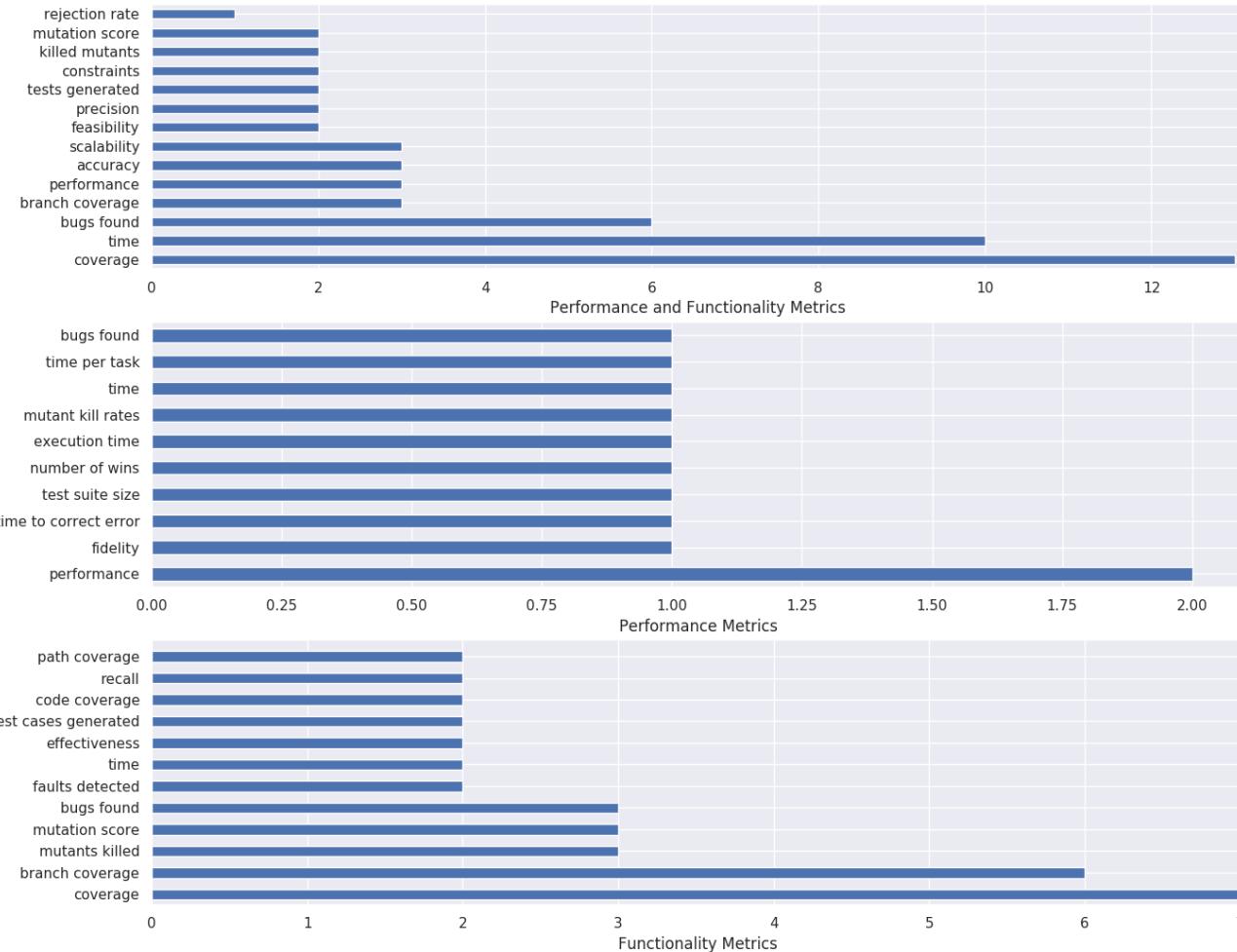
Comparison [TRUE/FALSE] [former/foreign/parallel]  
[exclusive/inclusive]



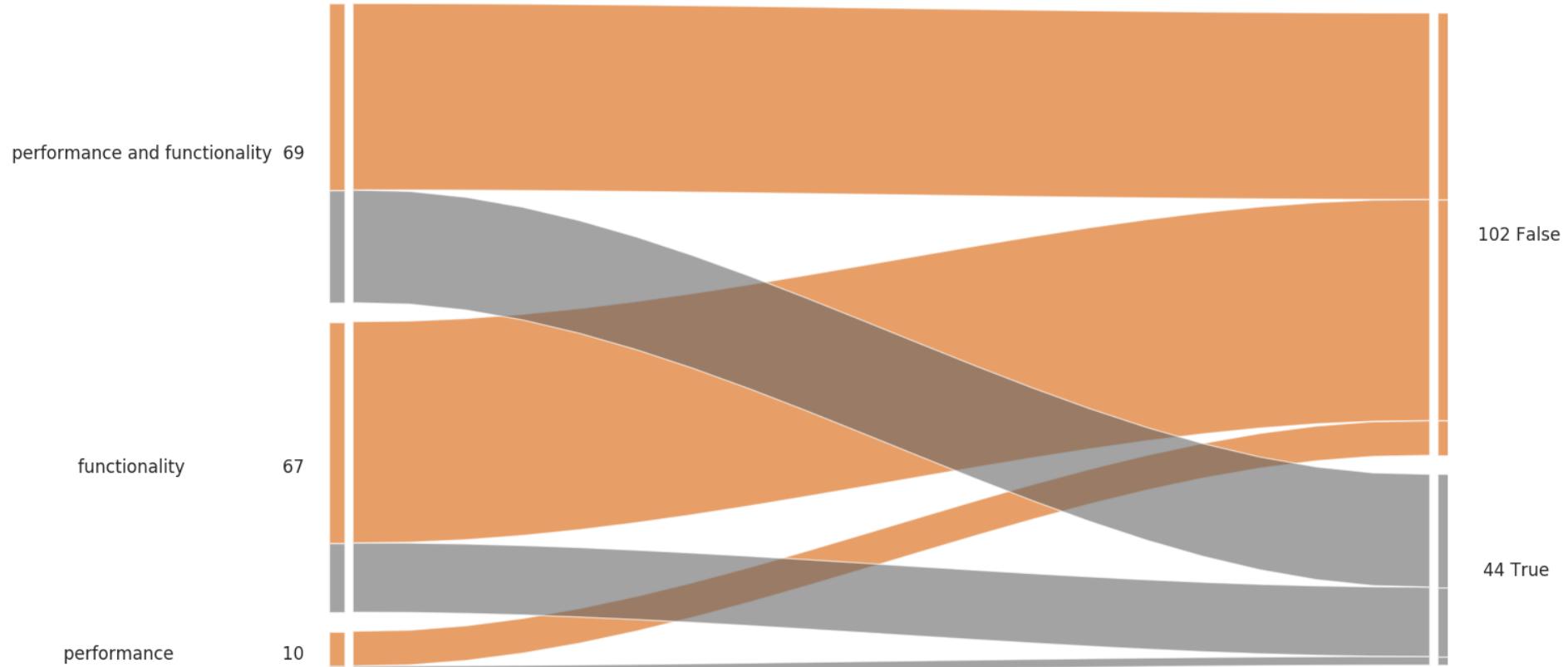
# Open Source vs. Closed Source



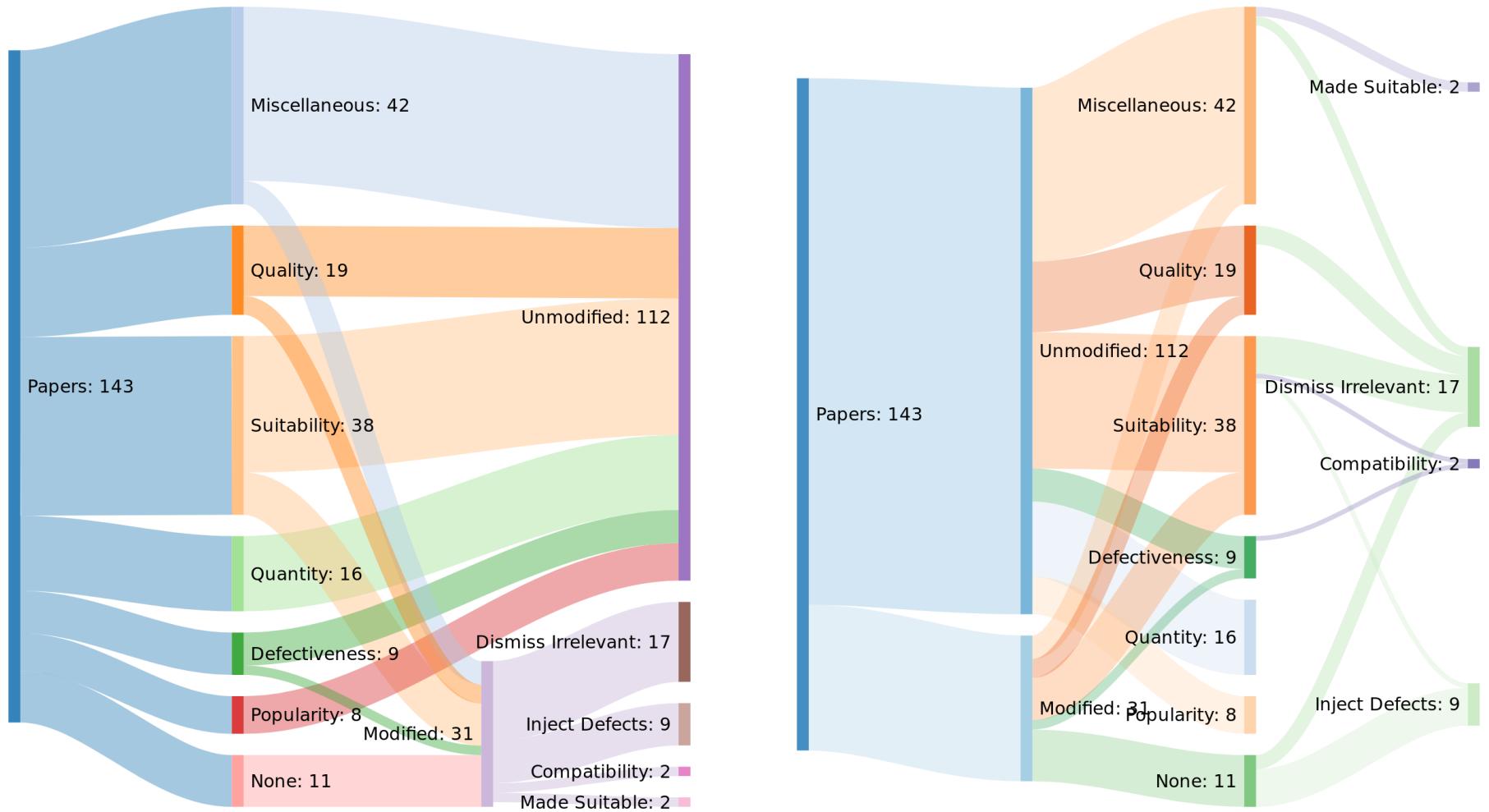
# Software Testing Evaluation Metrics



# Choice of Metric and Error Annotation

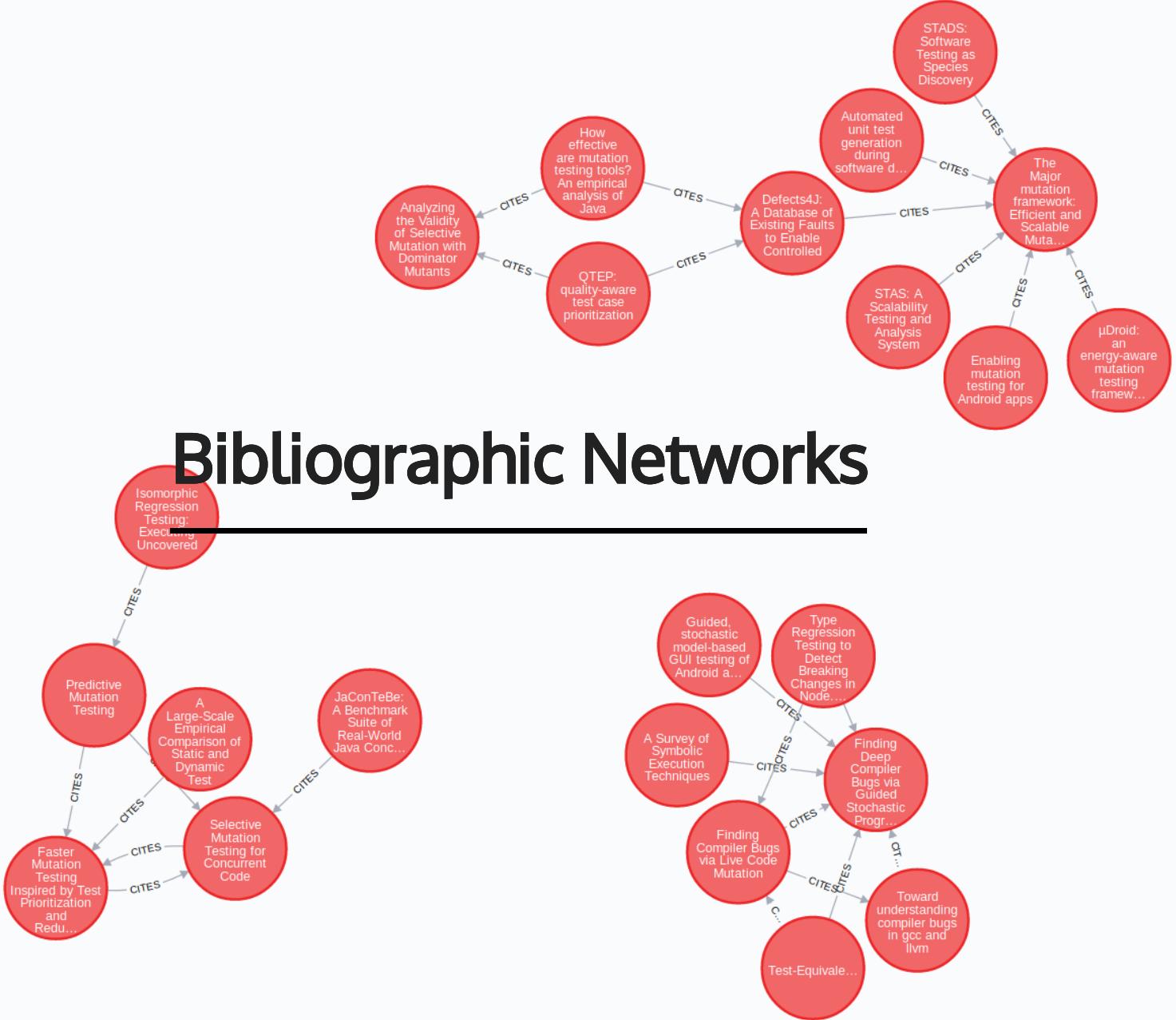


# Selection and modification causes of benchmarks



# Bibliographic Networks

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**Goal:** Visualizing great amounts of bibliographic data, increasing the interactivity with a set of publications and creating dynamic, time-based insight on the network evolution.

## Current implementations of paper networks

- Visualize the connection and influence between authors
- Giving insight rather than specific values
- Connected over citations, bibliographic coupling, co-citations or co-authorship relations
- Color- and size-coding node information
- Geographic hierarchies

## Additions and Improvements

- Benchmarks and software systems as their own entities in a network
- More insight on reproducibility
- Multidimensional graph data visualization without clutter
- Tailoring the visualization to a certain aspect of a publication (e.g. the evaluation)

# **Visualizing bibliographic networks**

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```
MATCH n = ({contribution: 'mutation testing'})-->() return n
```

SEND

SAVE QUERY

Use Cypher Query

Sort By Venue

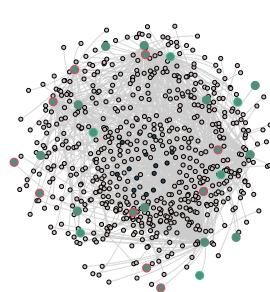
Temporary Highlighting

Permanent Highlighting

Color Nodes  
edges  
text  
race

# TESTING LITERATURE OVERVIEW SYSTEM

This





MATCH n = ({contribution: 'mutation testing'})-->() return n

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SAVE QUERY

Use Cypher Query

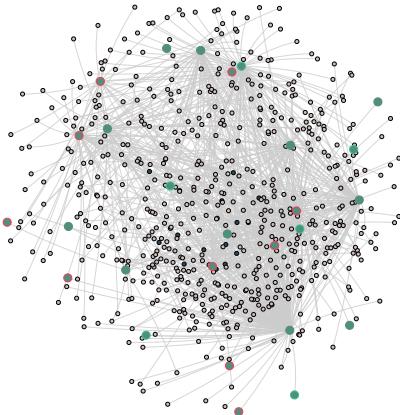
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D3 visualization of  
testing publications in  
a node-link force-  
directed graph

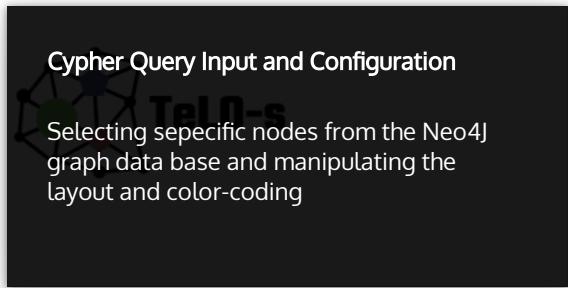
Color Nodes  
Edges  
Race Testing



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D3 visualization of testing publications in a node-link force-directed graph

Color Nodes  
Edges  
Nodes

race testing

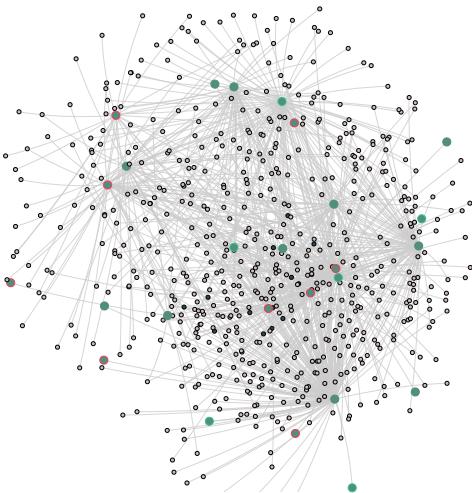
symbolic execution

stematic testing

test coverage

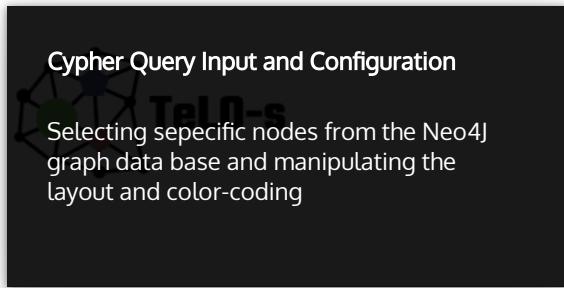
test generation

test - sfu



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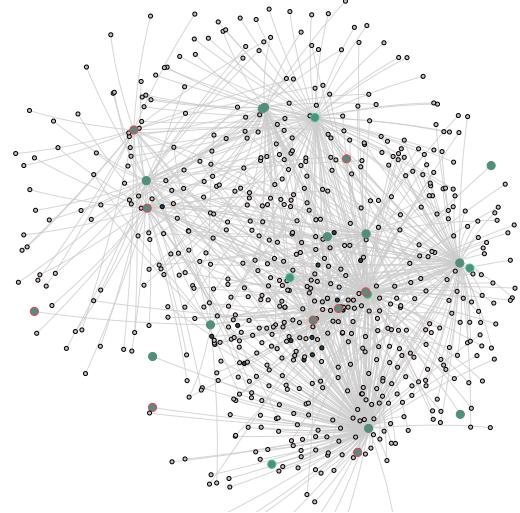
Use Cypher Query

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Temporary Highlighting

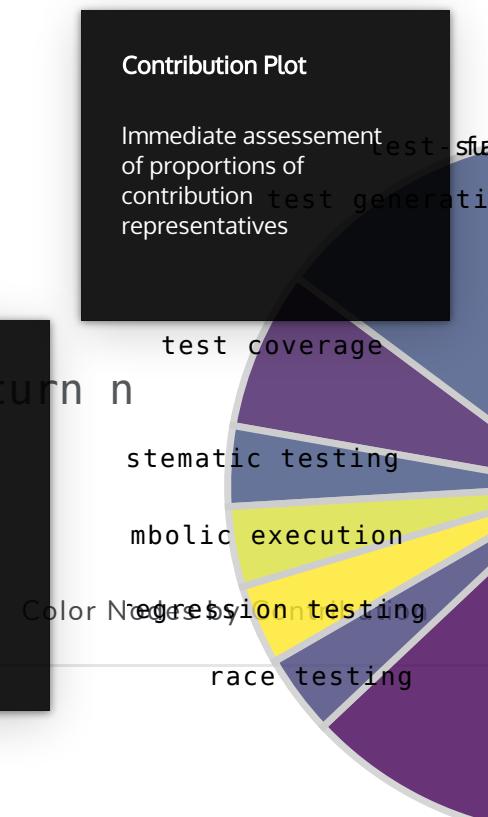
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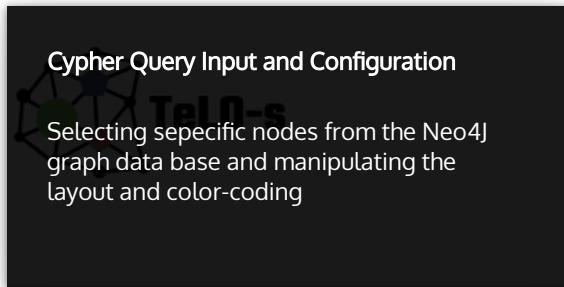
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TeLO-S

D3 visualization of testing publications in a node-link force-directed graph





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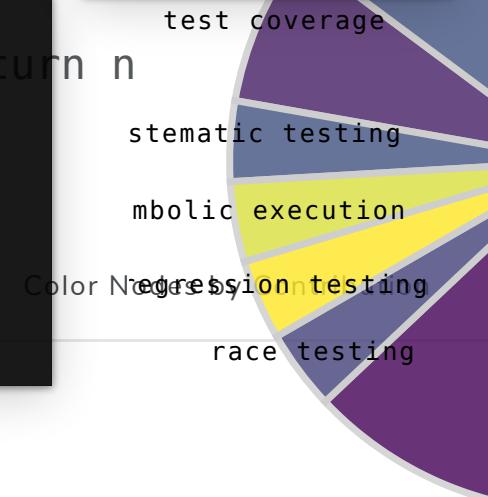
Use Cypher Query

Sort By Venue

Temporary Highlighting

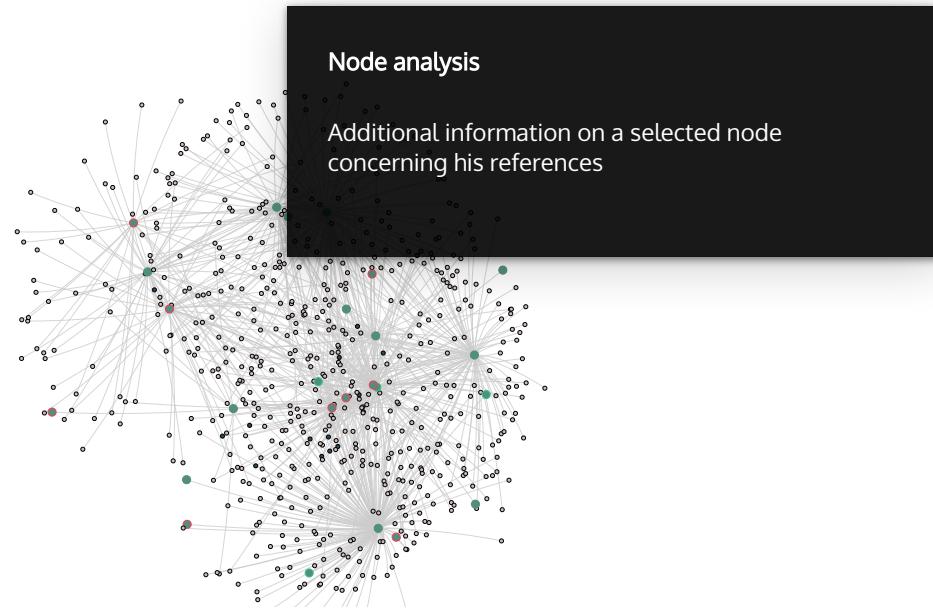
TeLO-S

D3 visualization of testing publications in a node-link force-directed graph



# TESTING LITERATURE OVERVIEW SYSTEM

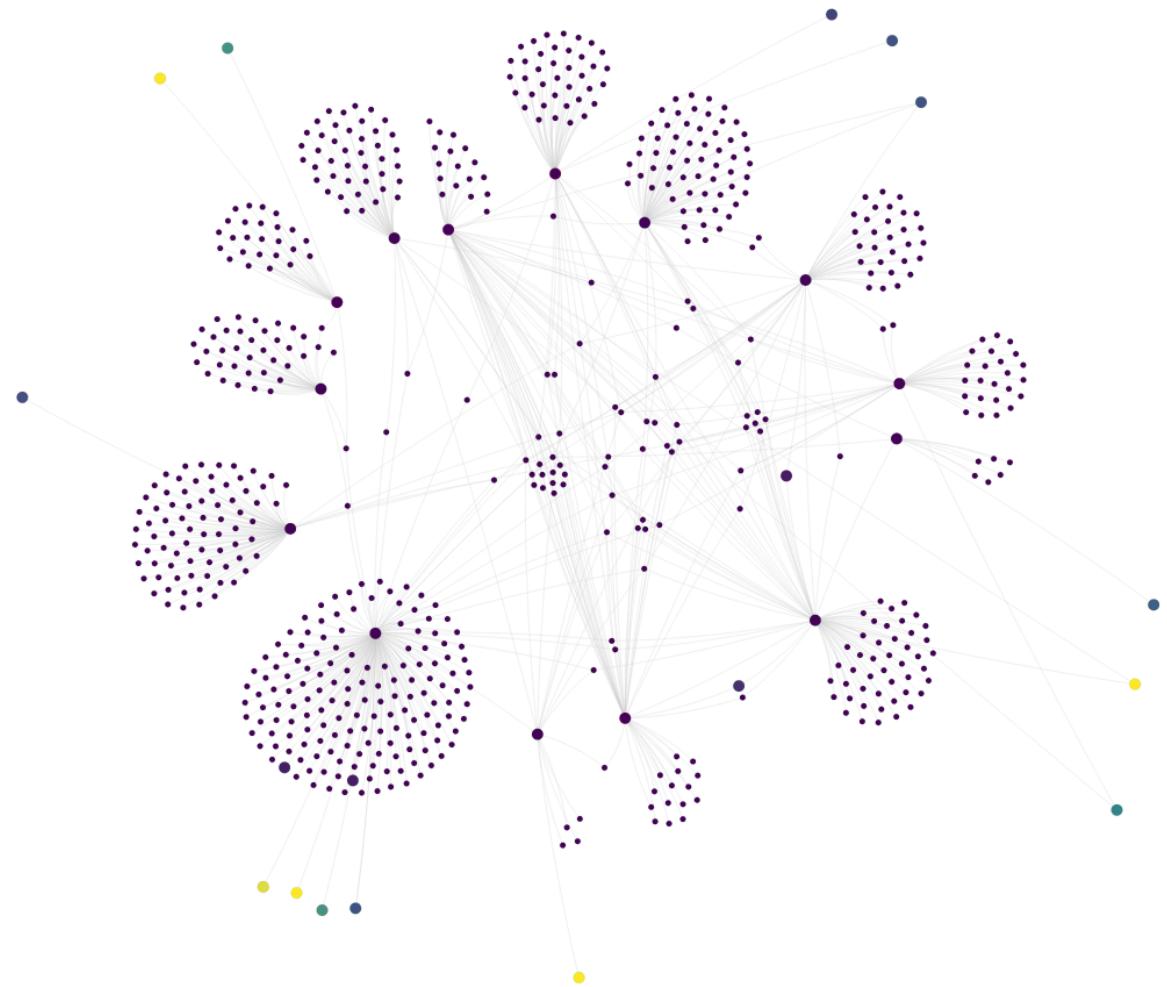
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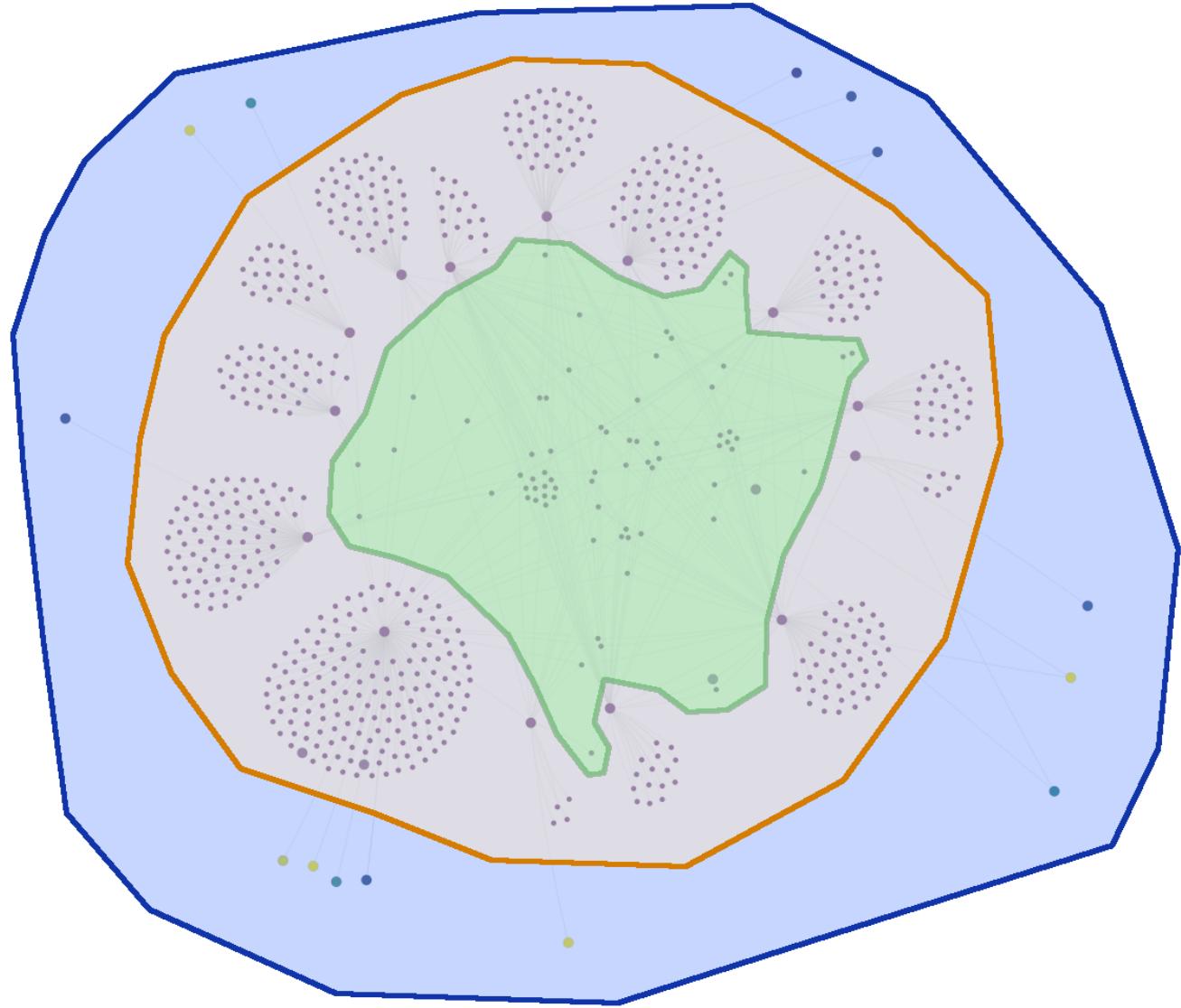


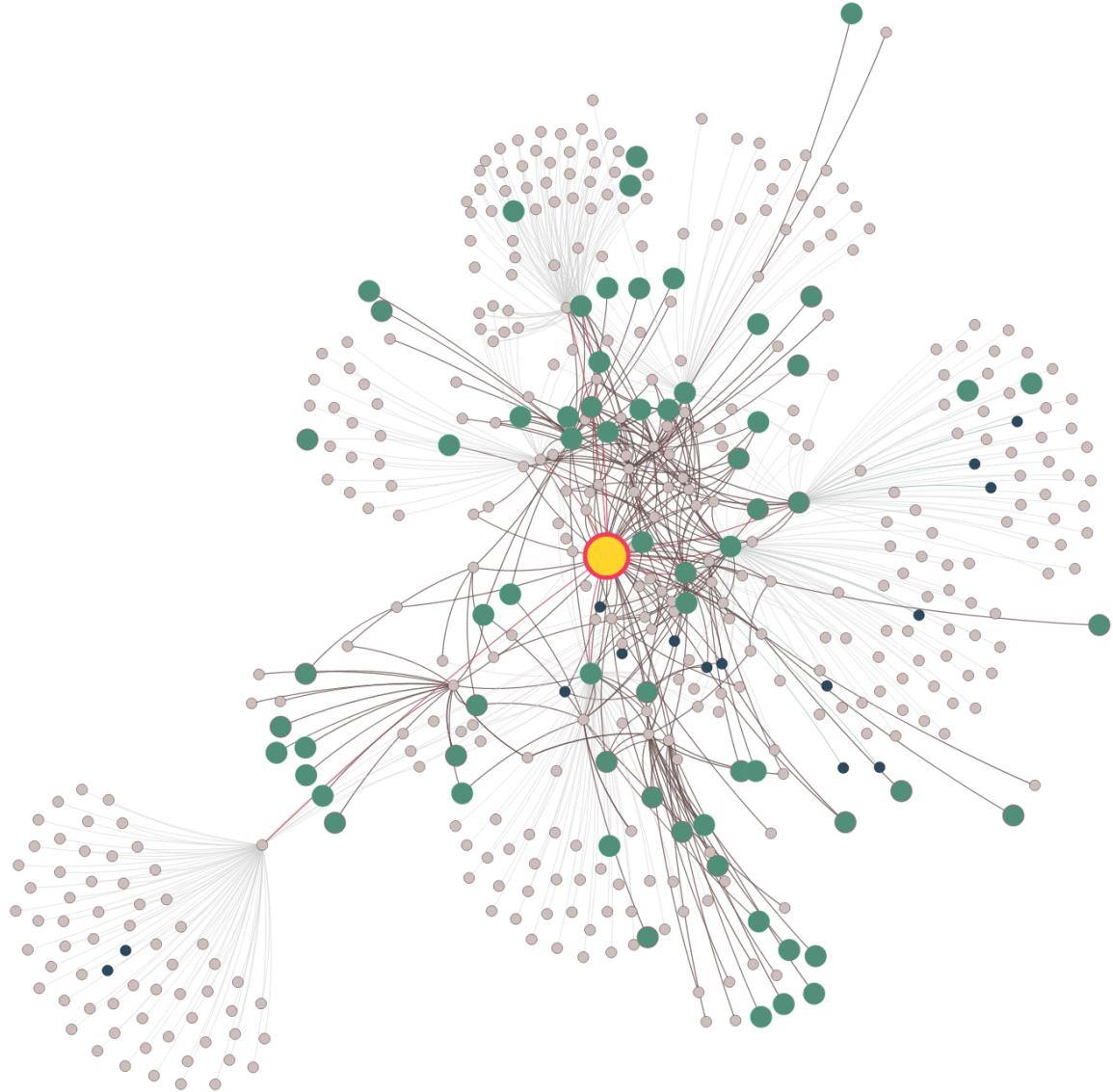
# **Findings**

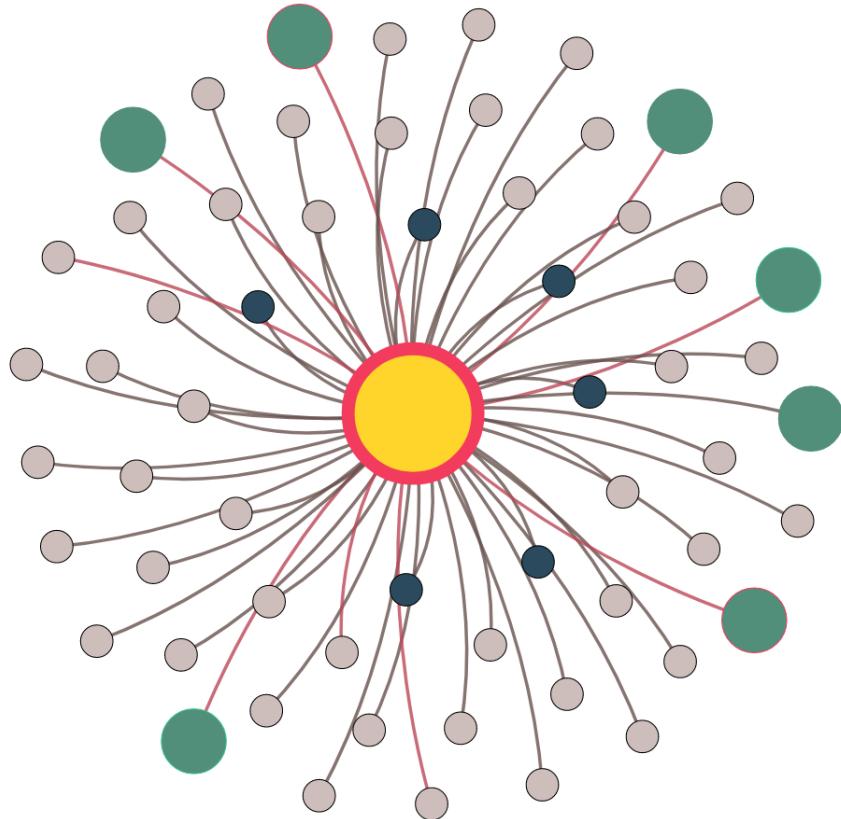
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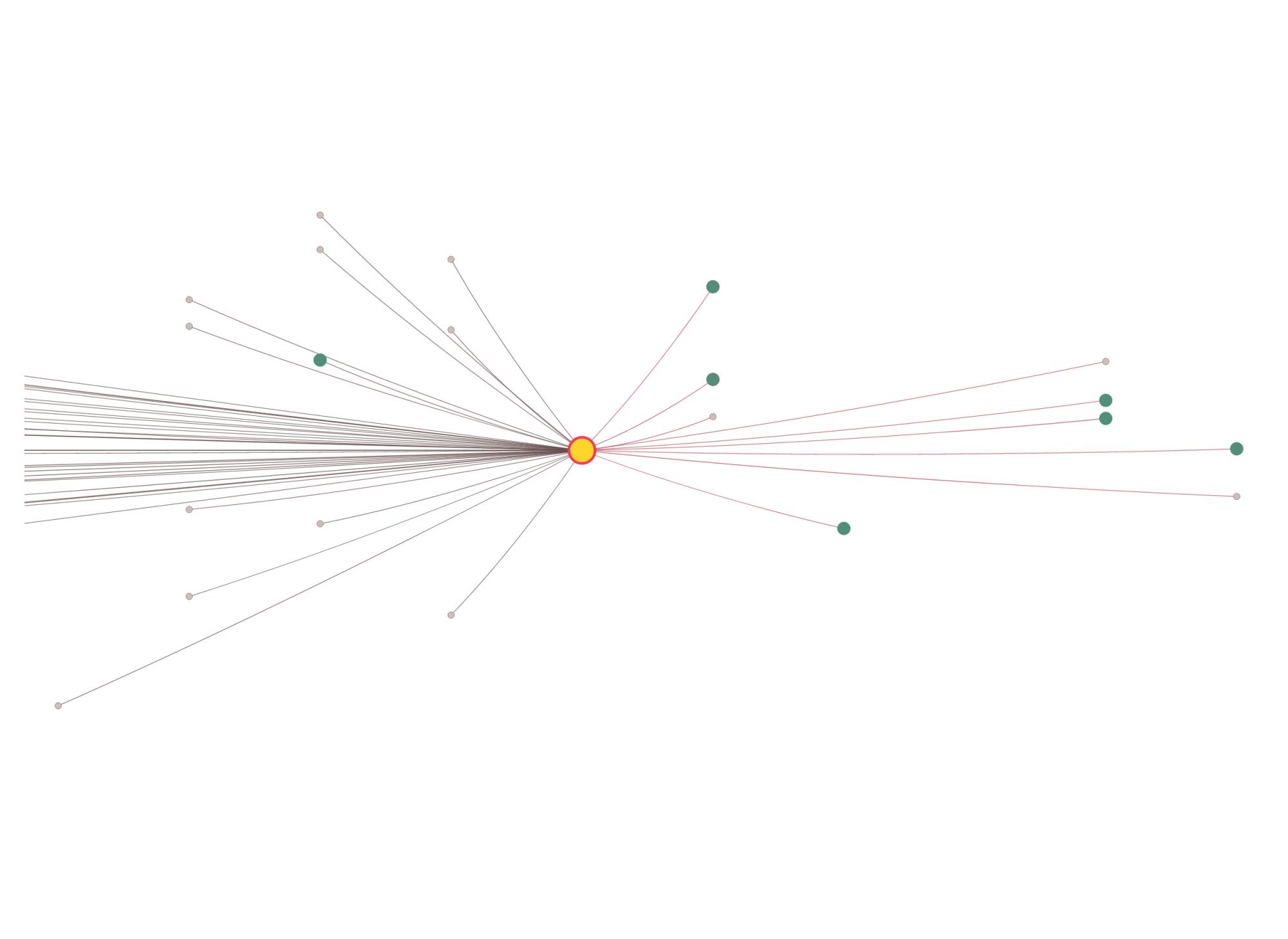


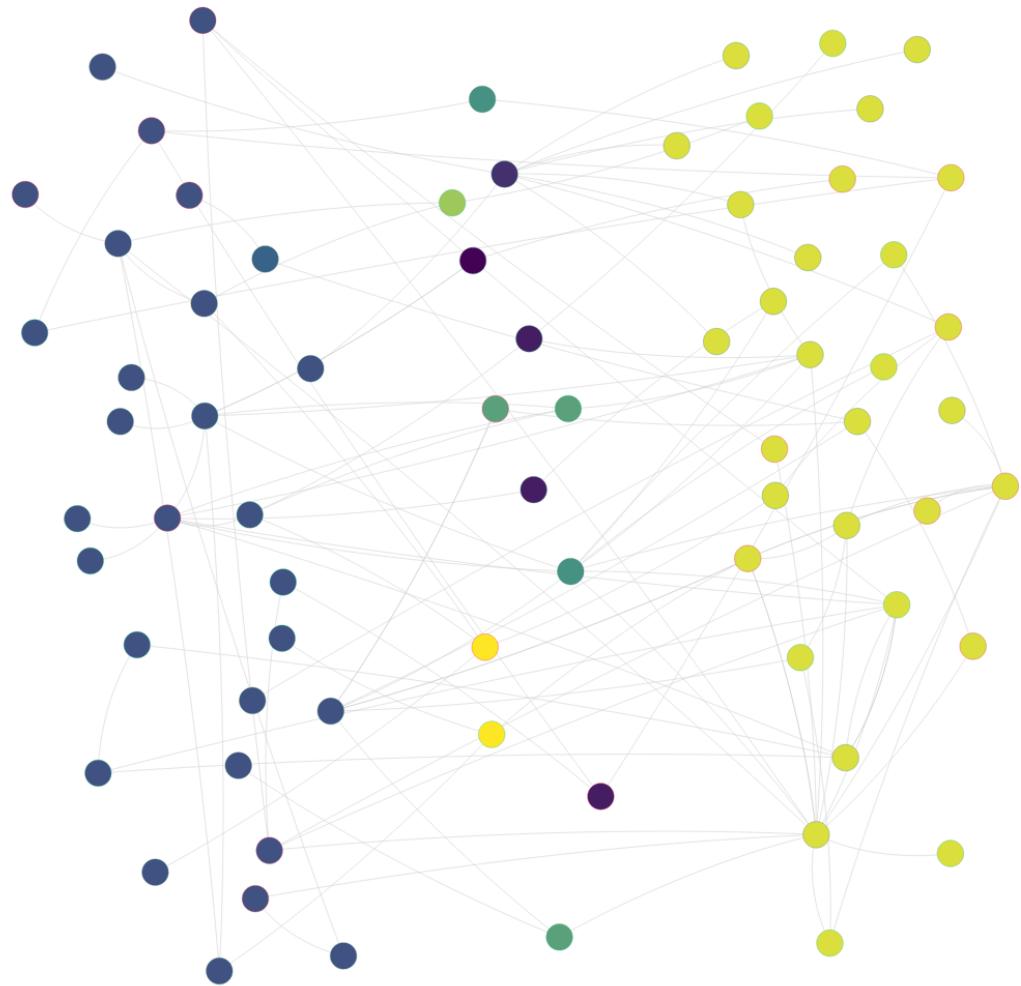


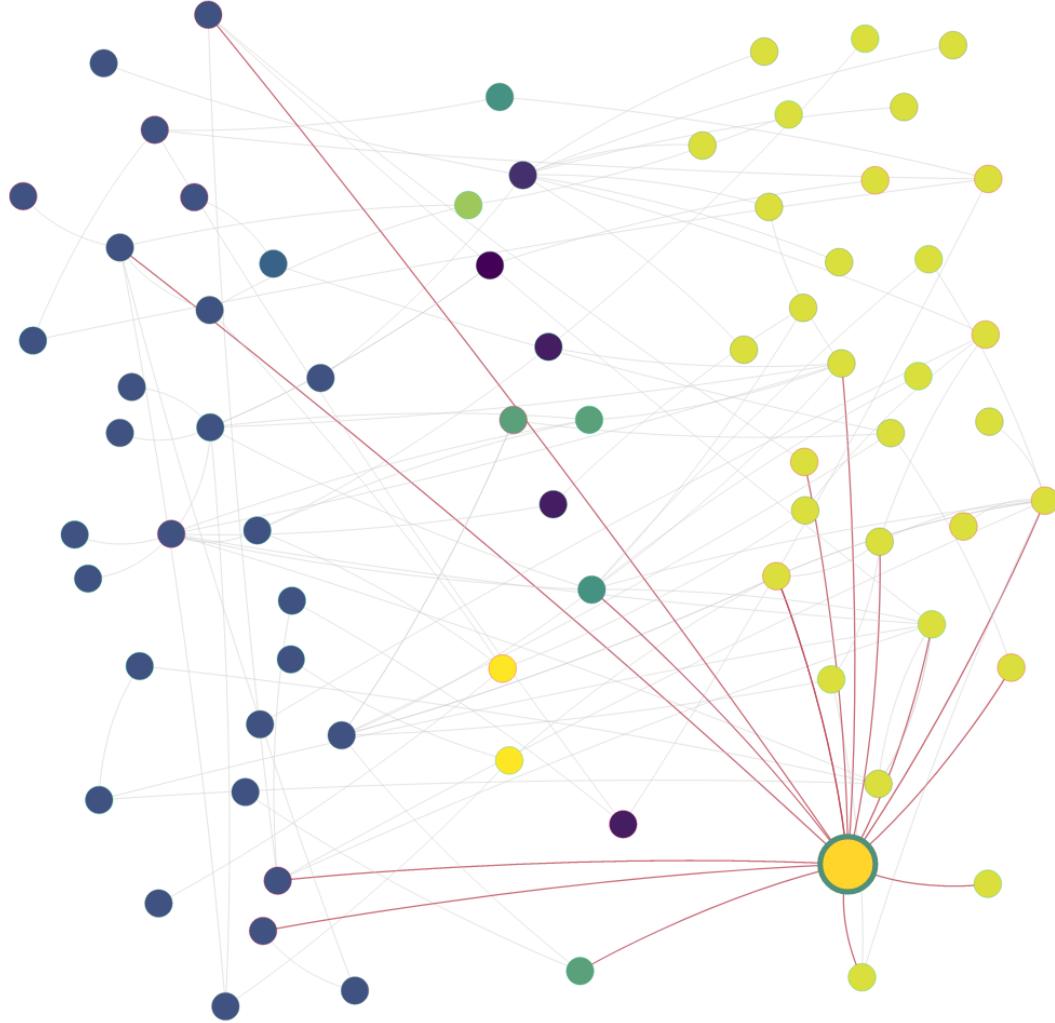








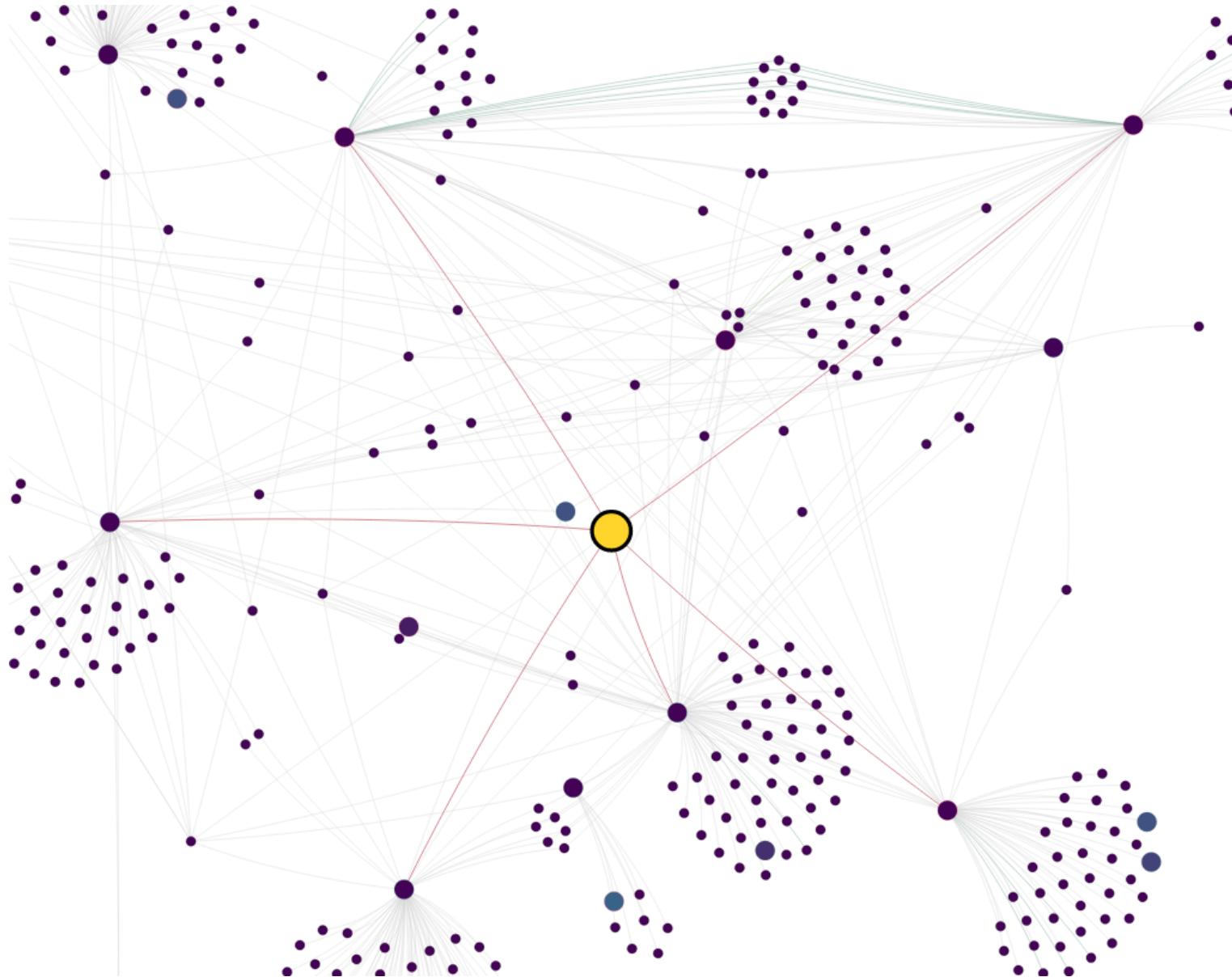




# Patterns

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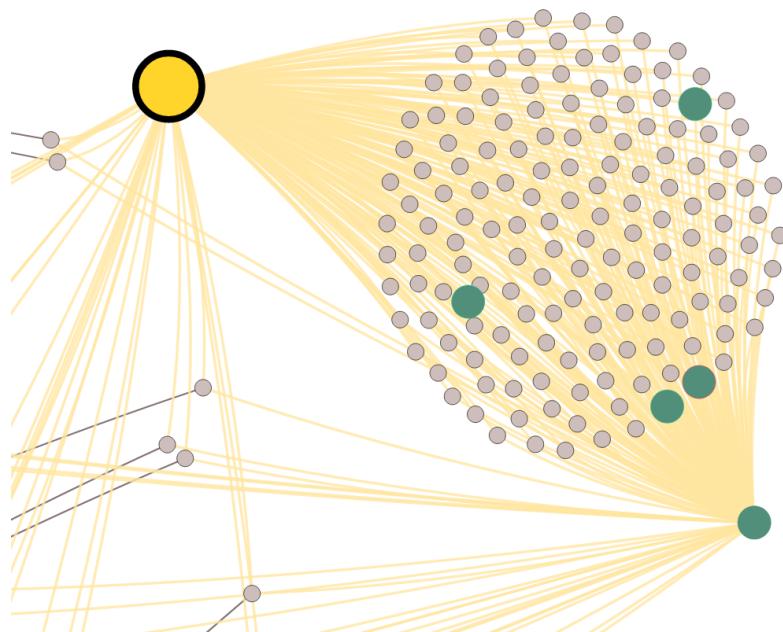
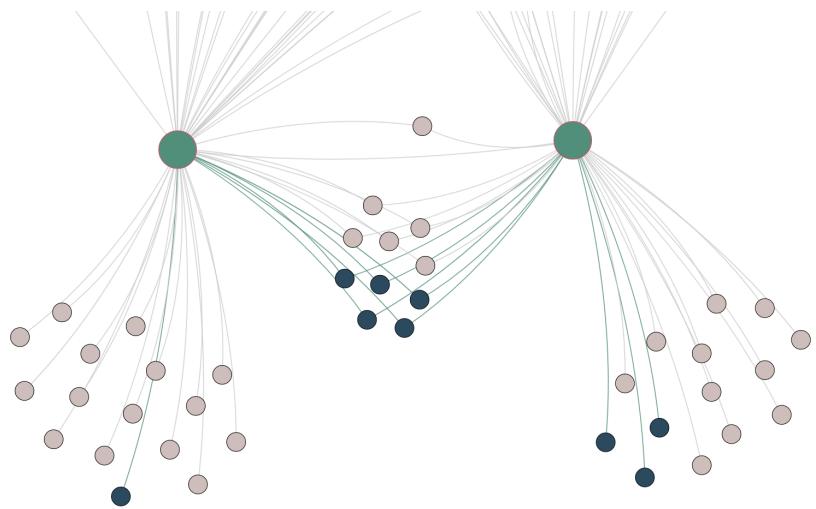
# Vanishing Point Pattern



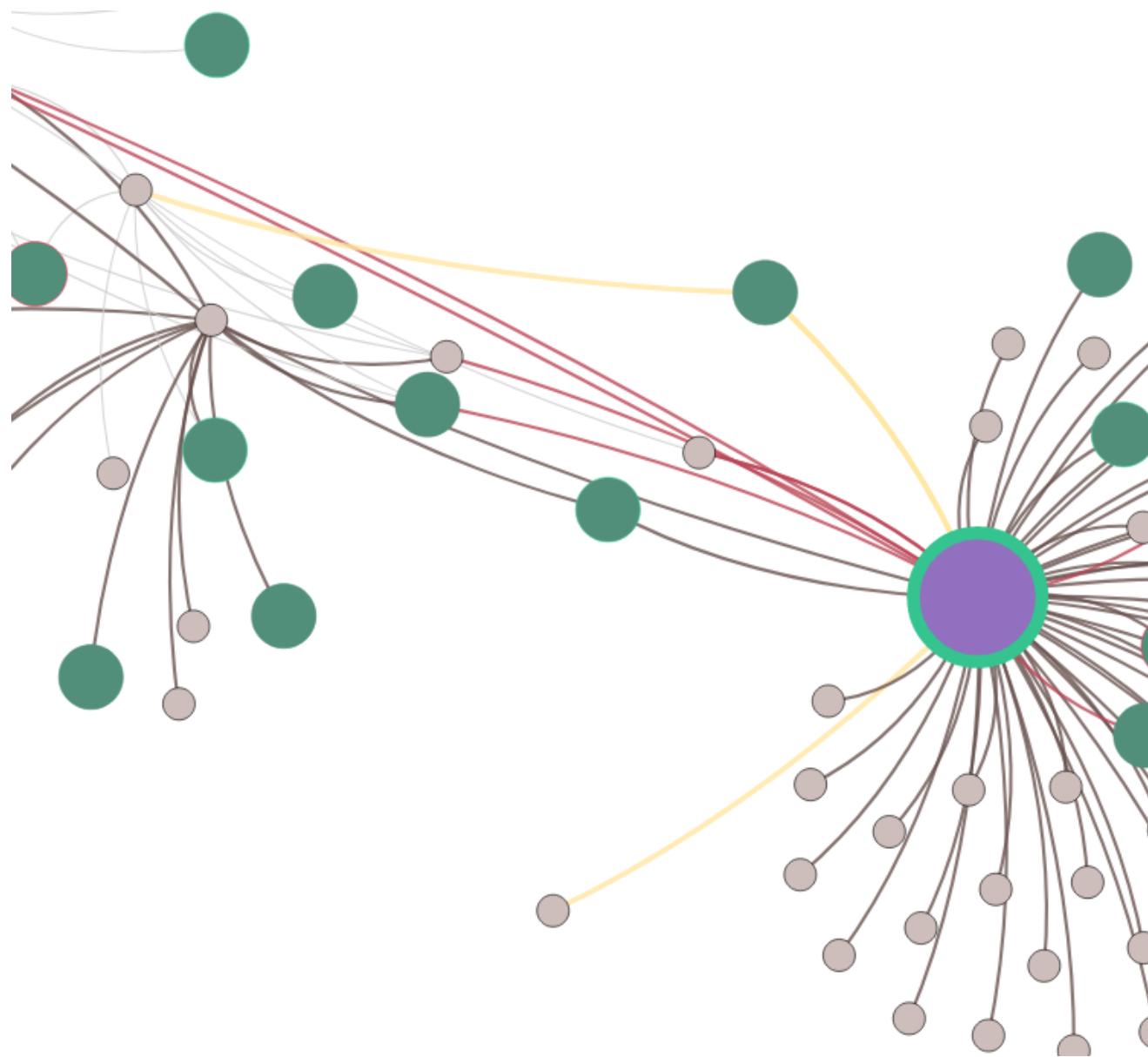
## Outsider Pattern

- Loose nodes in a subgraph without any connection to other queried nodes
- Nodes might imply a connection to other unqueried research fields
- Misclassifications or special cases

# Familiar Foreigner Pattern



# Chain Pattern



# Conclusion

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- Most evaluations conducted similarly
- Choice of benchmark varies significantly
- Availability as a major reproducibility issue
- Solution: Dedicated sub-check systems (possibly provided by conferences)
- Mutation scores and coverage metrics widely used
- Findings of closely related papers rarely mentioned
- Bibliographic networks benefit from sub-check system nodes and different relation types
- Comparability improves continuous improvement of research
- Comparing evaluations unfortunately very uncommon, yet beneficial

# **Future Work**

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- Adding referencing patterns to the visualization
- Classifiers for testing paper classification
- Multiple refinement cycles of the data set using relevant citations
- Implementation of author nodes, citation scores and bibliographic coupling
- Hierarchical edge bundling regarding relevancy, geography or popularity
- Generalization for other research topics aside from software testing

**Thank you for your attention.**