Chapter S:III

III. Informed Search

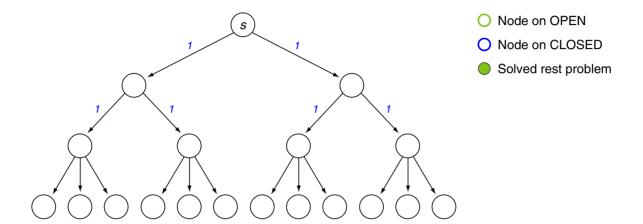
- □ Best-First Search
- □ Best-First Search for State-Space Graphs
- □ Cost Functions for State-Space Graphs
- □ Evaluation of State-Space Graphs
- □ Algorithm A*
- □ BF* Variants
- Hybrid Strategies

S:III-113 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Breadth-first search is a special case of A*, where h=0 and c(n,n')=1 for all successors n' of n.

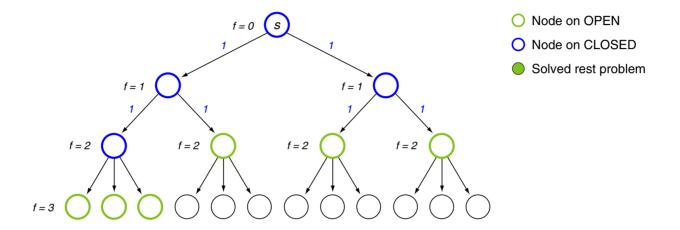
S:III-114 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Breadth-first search is a special case of A*, where h=0 and c(n,n')=1 for all successors n' of n.



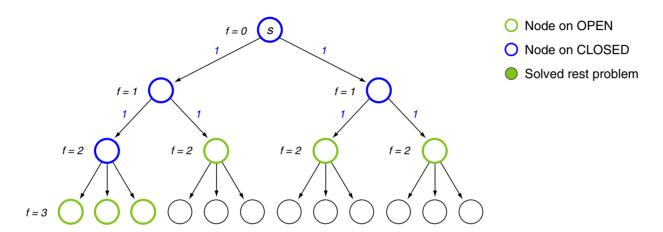
S:III-115 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Breadth-first search is a special case of A*, where h=0 and c(n,n')=1 for all successors n' of n.



S:III-116 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Breadth-first search is a special case of A^* , where h=0 and c(n,n')=1 for all successors n' of n.



Proof (sketch)

- 1. g(n) defines the depth of n (consider path from n to s).
- **2.** f(n) = g(n).
- 3. Breadth-first search \equiv the depth difference of nodes on OPEN is ≤ 1 .
- 4. Assumption: Let n_1 , n_2 be on OPEN, having a larger depth difference: $f(n_2) f(n_1) > 1$.
- 5. \Rightarrow For the direct predecessor n_0 of n_2 holds: $f(n_0) = f(n_2) 1 > f(n_1)$.
- 6. $\Rightarrow n_1$ must have been expanded before n_0 (consider minimization of f under A*).
- 7. $\Rightarrow n_1$ must have been deleted from OPEN. Contradiction to 4.

For trees G: Uniform-cost search is a special case of A^* , where h=0.

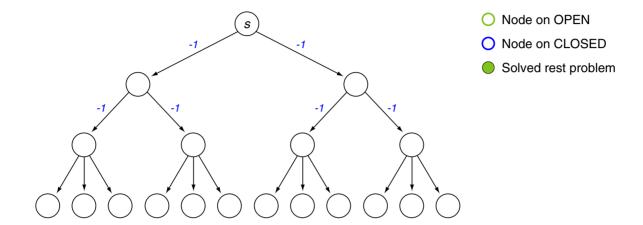
Proof (sketch)

See lab class.

For trees G: Depth-first search is a special case of Z^* , where f(n') = f(n) - 1, f(s) = 0, for all successors n' of n.

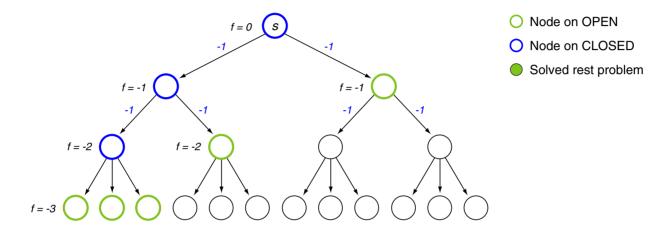
S:III-119 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Depth-first search is a special case of \mathbb{Z}^* , where f(n') = f(n) - 1, f(s) = 0, for all successors n' of n.



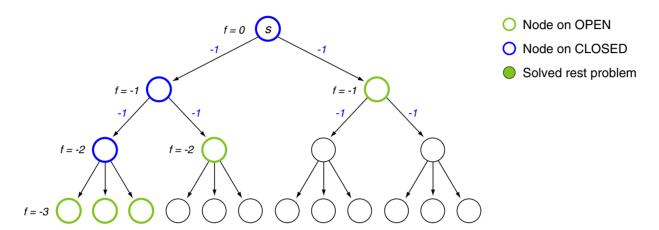
S:III-120 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Depth-first search is a special case of \mathbb{Z}^* , where f(n') = f(n) - 1, f(s) = 0, for all successors n' of n.



S:III-121 Informed Search © STEIN/LETTMANN 1998-2018

For trees G: Depth-first search is a special case of \mathbb{Z}^* , where f(n') = f(n) - 1, f(s) = 0, for all successors n' of n.



Proof (sketch)

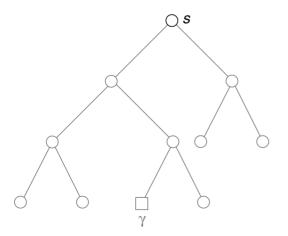
- 1. $f(n') < f(n) \Rightarrow n'$ was inserted on OPEN after n. $f(n') \leq f(n) \Leftrightarrow n'$ was inserted on OPEN after n.
- 2. Depth-first search \equiv the most recently inserted node on OPEN is expanded.
- 3. Let n_2 be the most recently inserted node on OPEN.
- 4. Assumption: Let n_1 have been expanded before $n_2 \land f(n_1) \neq f(n_2)$.
- 5. $\Rightarrow f(n_1) < f(n_2)$ (consider minimization of f under Z^*).
- 6. $\Rightarrow n_1$ was inserted on OPEN after n_2 .
- 7. $\Rightarrow n_2$ is not the most recently inserted node on OPEN. Contradiction to 3.

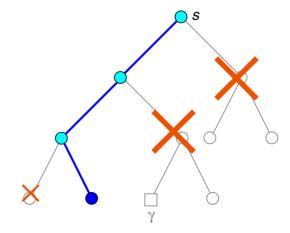
OPEN List Restriction: Hill-Climbing (HC)

Hill-climbing is an informed, irrevocable search strategy.

HC characteristics:

- local or greedy optimization:
 take the direction of steepest ascend (sometimes: descend)
- □ "never look back": alternatives are not remembered → no OPEN/CLOSED lists
- usually low computational effort
- a strategy that is often applied by humans





S:III-123 Informed Search © STEIN/LETTMANN 1998-2018

Algorithm: HC (Hill-Climbing)

Input: s. Start node representing the initial problem.

successors(n). Returns the successors of node n.

 $\star(n)$. Predicate that is *True* if n is a goal node.

f(n). Evaluation function for a node n.

Output: A goal node or the symbol *Fail*.

S:III-124 Informed Search © STEIN/LETTMANN 1998-2018

BF* Variants [DFS] [BT]

```
Algorithm: HC (Hill-Climbing)
Input:
            s. Start node representing the initial problem.
            successors(n). Returns the successors of node n.
            \star(n). Predicate that is True if n is a goal node.
            f(n). Evaluation function for a node n.
Output:
           A goal node or the symbol Fail.
HC(s, successors, \star, f)
  1. n = s;
  2. n_{\text{opt}} = s;
  3. LOOP
     IF \star(n) THEN RETURN(n);
  4.
  5.
        FOREACH n' IN successors(n) DO // Expand n.
           add_backpointer(n', n);
           IF (f(n') > f(n_{opt})) THEN n_{opt} = n'; // Remember optimum successor.
        ENDDO
        IF (n_{\mathsf{opt}} = n)
  6.
        THEN RETURN(Fail); // We could not improve.
        ELSE n = n_{\text{opt}}; // Continue with the best successor.
  7.
      ENDLOOP
```

S:III-125 Informed Search © STEIN/LETTMANN 1998-2018

HC Discussion

HC issue:

The first property of a systematic control strategy, "Consider all objects in S.", is violated by hill-climbing if no provisions are made.

- The forecast of the evaluation function (cost function, merit function) may be—at least sometimes—wrong and misguiding the search.
- Search will probably terminate at a local optimum.
- Alternative paths are not considered since each step is irrevocable.



S:III-126 Informed Search © STEIN/LETTMANN 1998-2018

HC Discussion

HC issue:

The first property of a systematic control strategy, "Consider all objects in S.", is violated by hill-climbing if no provisions are made.

- The forecast of the evaluation function (cost function, merit function) may be—at least sometimes—wrong and misguiding the search.
- Search will probably terminate at a local optimum.
- Alternative paths are not considered since each step is irrevocable.



Workaround: Perform multiple restarts (e.g. random-restart hill climbing).

Workaround issue: The second property of a systematic control strategy, "Consider each object in S only once.", is violated if no provisions are made.

HC Discussion (continued)

Hill-climbing can be the favorite strategy in certain situations:

- (a) We are given a highly informative evaluation function to control search.
- (b) The operators are commutative. Commutativity is given, if all operators are independent of each other.
- The application of an operator will
 - 1. neither prohibit the applicability of any other operator,
 - 2. nor modify the outcome of its application.

Example: Expansion of the nodes in a complete graph.

- ☐ Given commutativity, an irrevocable search strategy can be applied without hesitation: finding the optimum may be postponed but is never prohibited. Keywords: *greedy algorithm, greedy strategy, matroid*
- Given commutativity, hill-climbing can be considered a systematic strategy.
- □ Typically, hill-climbing is operationalized as an *informed strategy*, i.e., information about the goal (or about a concept to reach the goal) is exploited. If such external or look-ahead information is not exploited, hill-climbing must be considered an uninformed strategy.
- Q. What could be a provision to avoid a violation of the second property of a systematic control strategy?

OPEN List Restriction: Best-First Beam Search [Rich & Knight 1991]

Characteristics:

- \square Best-first search is used with an OPEN list of limited size k.
- \Box If OPEN exceeds its size limit, nodes with worst f-values are discarded until size limit is adhered to.

Operationalization:

 A cleanup_closed function is needed to prevent CLOSED from growing uncontrollably.

- \Box For k=1 this is identical to an hill-climbing search.
- \Box In breadth-first beam search [Lowerre 1976] all (at most) k nodes of the current level are expanded and only the best k of all these successors are kept and used for the next level.

S:III-131 Informed Search © STEIN/LETTMANN 1998-2018

Spectrum of Search Strategies

The search strategies

- Hill-climbing
- Informed backtracking
- Best-first search

form the extremal points within the spectrum of search strategies, based on the following dimensions:

R Recovery.

How many previously suspended alternatives (nodes) are reconsidered after finding a dead end?

S Scope.

How many alternatives (nodes) are considered for each expansion?

Spectrum of Search Strategies

The search strategies

- Hill-climbing irrevocable decisions, consideration of newest alternatives
- Informed backtracking tentative decisions, consideration of newest alternatives
- Best-first search tentative decisions, consideration of all alternatives

form the extremal points within the spectrum of search strategies, based on the following dimensions:

R Recovery.

How many previously suspended alternatives (nodes) are reconsidered after finding a dead end?

S Scope.

How many alternatives (nodes) are considered for each expansion?

S:III-133 Informed Search © STEIN/LETTMANN 1998-2018

Spectrum of Search Strategies



Consideration of only newest alternatives

Irrevocable decisions

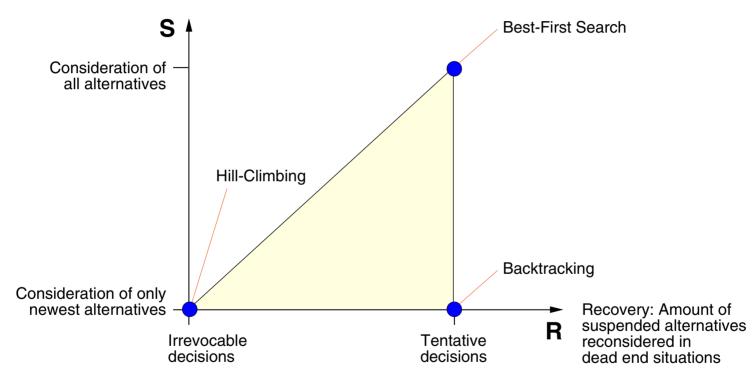
Tentative decisions

Recovery: Amount of suspended alternatives reconsidered in dead end situations

S:III-134 Informed Search © STEIN/LETTMANN 1998-2018

Spectrum of Search Strategies

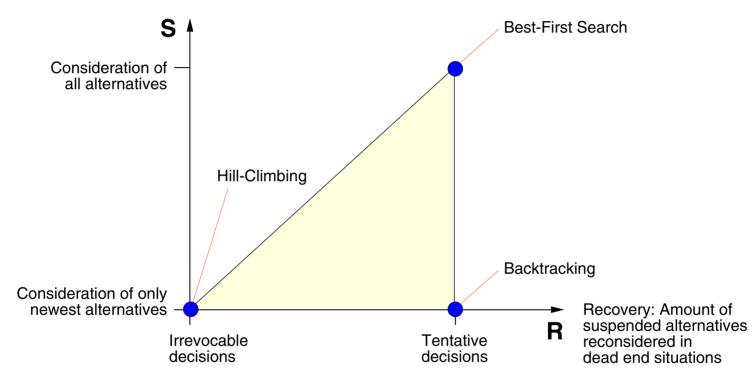
Scope: Amount of alternatives considered for each expansion



S:III-135 Informed Search © STEIN/LETTMANN 1998-2018

Spectrum of Search Strategies

Scope: Amount of alternatives considered for each expansion

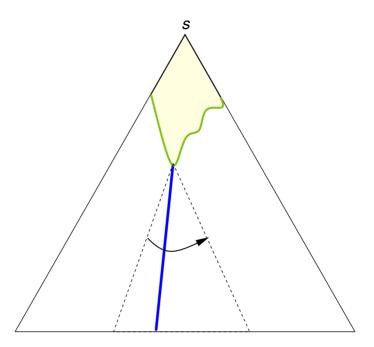


- The large scope of best-first search requires a high memory load.
- This load can be reduced by mixing it with backtracking.

- □ Recall that the memory consumption of best-first search is an (asymptotically) exponential function of the search depth.
- □ Hill-climbing is the most efficient strategy, but its effectiveness (solution quality) can only be guaranteed for problems that can be solved with a greedy approach.
- Informed backtracking requires not as much memory as best-first search, but usually needs more time as its scope is limited.
- \Box Without a highly informed heuristic h, the degeneration of best-first strategies down to a uniform-cost search is typical and should be expected as the normal case.

S:III-137 Informed Search © STEIN/LETTMANN 1998-2018

Strategy 1: BF at Top



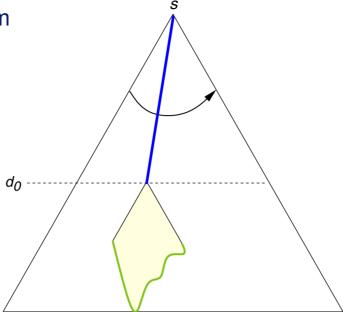
Characteristics:

- Best-first search is applied at the top of the search space graph.
- □ Backtracking is applied at the bottom of the search space graph.

Operationalization:

- 1. Best-first search is applied until a memory allotment of size M_0 is exhausted.
- 2. Then backtracking starts with a most promising node n' on OPEN.
- 3. If backtracking fails, it restarts with the next most promising OPEN node.

Strategy 2: BF at Bottom



Characteristics:

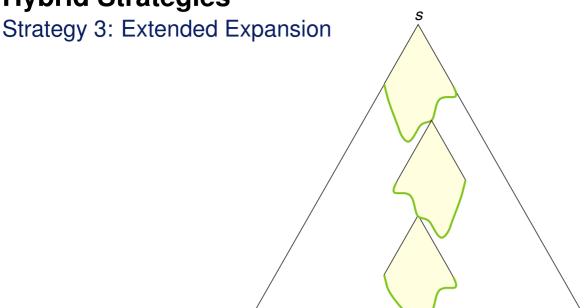
- Backtracking is applied at the top of the search space graph.
- □ Best-first search is applied at the bottom of the search space graph.

Operationalization:

- 1. Backtracking is applied until the search depth bound d_0 is reached.
- 2. Then best-first search starts with the node at depth d_0 .
- 3. If best-first search fails, it restarts with the next node at depth d_0 found by backtracking.

- The depth bound d_0 in Strategy 2 must be chosen carefully in order to avoid that the best-first search does not run out of memory. Hence, this strategy is more involved than Strategy 1 where the switch between best-first search and backtracking is triggered by the exhausted memory.
- If a sound depth bound d_0 is available, Strategy 2 (best-first search at bottom) is usually superior to Strategy 1 (best-first search at top). Q. Why?

S:III-140 Informed Search © STEIN/LETTMANN 1998-2018

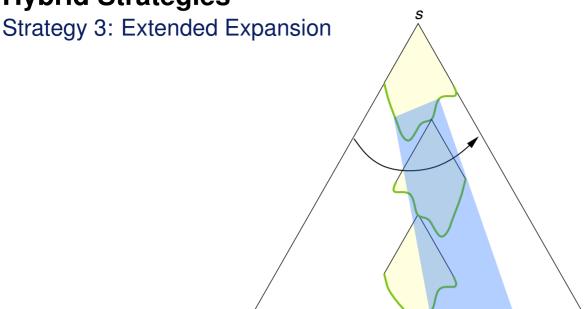


Characteristics:

- □ Best-first search acts locally to generate a restricted number of promising nodes.
- Informed depth-first search acts globally, using best-first as an "extended node expansion".

Operationalization:

- 1. An informed depth-first search selects the nodes n for expansion.
- 2. But a best-first search with a memory allotment of size M_0 is used to "expand" n.
- 3. The nodes on OPEN are returned to the depth-first search as "direct successors" of n.



Characteristics:

- Best-first search acts locally to generate a restricted number of promising nodes.
- □ Informed depth-first search acts globally, using best-first as an "extended node expansion".

Operationalization:

- 1. An informed depth-first search selects the nodes n for expansion.
- 2. But a best-first search with a memory allotment of size M_0 is used to "expand" n.
- 3. The nodes on OPEN are returned to the depth-first search as "direct successors" of n.

Strategy 3 is an informed depth-first search whose node expansion is operationalized via a
memory-restricted best-first search.

Q. What is the asymptotic memory consumption of Strategy 3 in relation to the search depth?

S:III-143 Informed Search © STEIN/LETTMANN 1998-2018

Strategy 4: IDA* [Korf 1985]

Characteristics:

- \Box Depth-first search is used in combination with an iterative deepening approach for f-values.
- \Box Nodes are considered only if their f-values do not exceed a given threshold.

Operationalization:

- 1. *limit* is initialized with f(s).
- 2. In depth-first search, only nodes are considered with $f(n) \leq limit$.
- 3. If depth-first search fails, *limit* is increased to the minimum cost of all f-values that exceeded the current threshold and depth-first search is rerun.

IDA* always finds a cheapest solution path if the heuristic is admissible, or in other words
never overestimates the actual cost to a goal node.

- ☐ IDA* uses space linear in the length of a cheapest solution.
- □ IDA* expands the same number of nodes, asymptotically, as A* in an exponential tree search.

S:III-145 Informed Search © STEIN/LETTMANN 1998-2018

Strategy 5: Focal Search [Ibaraki 1978]

Characteristics:

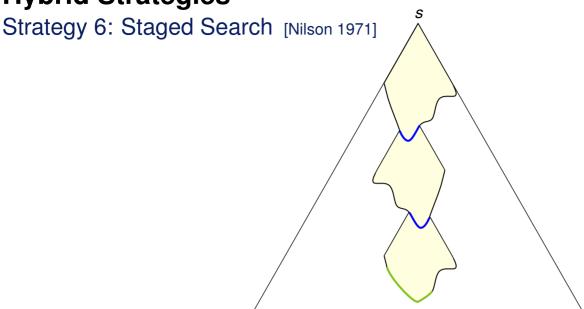
- An informed depth-first search is used as basic strategy.
- Nodes are selected from newly generated nodes and the best nodes encountered so far.

Operationalization:

- \Box The informed depth-first search expands the cheapest node n from its list of alternatives.
- \Box For the next expansion, it chooses from the newly generated nodes and the k best nodes (without n) from the previous alternatives.

- \Box For k=0 this is identical to an informed depth-first search.
- \Box For $k=\infty$ this is identical to a best-first search.
- \Box Memory consumption (without proof): $O(b \cdot d^{k+1})$, where b denotes the branching degree and d the search depth.
- \Box An advantage of Strategy 5 is that its memory consumption can be controlled via the single parameter k.
- Differences to beam search:
 - In focal search no nodes are discarded. Therefore, focal search will never miss a solution.
 - In best-first beam search the OPEN list is of limited size.

S:III-147 Informed Search © STEIN/LETTMANN 1998-2018



Characteristics:

- Best-first search acts locally to generate a restricted number of promising nodes.
- ☐ Hill-climbing acts globally, but by retaining a set of nodes.

Operationalization:

- 1. Best-first search is applied until a memory allotment of size M_0 is exhausted.
- 2. Then only the cheapest OPEN nodes (and their pointer-paths) are retained.
- 3. Best-first search continues until Step 1. is reached again.

- Staged search can be considered as a combination of best-first search and hill-climbing. While a pure hill-climbing discards all nodes except one, staged search discards all nodes except a small subset.
- Staged search addresses the needs of extreme memory restrictions and tight runtime bounds.
- □ Recall that the Strategies 1-5 are complete with regard to recovery, but that Strategy 6, Hill Climbing, and Best-First Beam Search are not.

S:III-149 Informed Search © STEIN/LETTMANN 1998-2018