



# A new efficient indexing algorithm for one-dimensional real scaled patterns ☆

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## ARTICLE INFO

### Article history:

Received 15 July 2007

Received in revised form 7 December 2010

Accepted 5 May 2011

Available online 12 May 2011

### Keywords:

Algorithm

String matching

Preprocessing

Real-scale

## ABSTRACT

Given a pattern string  $P$  and a text string  $T$ , the one-dimensional real-scale pattern matching problem is to ask for all matched positions in  $T$  at which  $P$  occurs for some real scales  $\geq 1$ . The real-scale indexing problem, which is derived from the real-scale matching problem, aims to preprocess  $T$ , so that all positions of scaled  $P$  in  $T$  can be answered efficiently. In this paper, we propose an improved algorithm for the real-scale indexing problem. For constant-sized alphabets, our preprocessing takes  $O(|T|^2)$  time and space, achieving the answering time  $O(|P| + w)$ , where  $U_r$  denotes the number of matched positions and  $w \leq U_r$ . For the case of large-sized alphabets, our preprocessing can still be implemented with  $O(|T|^2)$  time and space, while the answering time is slightly increased to  $O(|P| + w + \log |T|)$ . Compared to Wang's preprocessing algorithm with  $O(|T|^3)$  time, our new indexing algorithm is a significant improvement.

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## 1. Introduction

Pattern matching is a classical problem which asks for all positions of a pattern  $P$  in a text  $T$ . According to various perspectives on  $T$ , algorithms for string matching can be classified into two main types. In the first perspective, both  $T$  and  $P$  are input strings, which means any algorithm requires  $\Omega(|T| + |P|)$  time, where  $|T|$  and  $|P|$  denote the lengths of  $T$  and  $P$ , respectively. Many linear time algorithms have been proposed to solve this problem [9,13]. In the second perspective, which is called the *string indexing problem*,  $T$  is treated as a database while  $P$  is treated as the input target string. That is, every indexing algorithm has two phases, which are the preprocessing phase with  $T$  and the searching phase with  $P$ . In the preprocessing phase, any algorithm should take  $\Omega(|T|)$  time. Besides, the searching phase needs  $\Omega(|P| + U)$  time to report all positions, where  $U$  denotes the number of reported positions. For the second perspective, suffix trees [21] and suffix arrays [1,15] are well-known approaches with optimal preprocessing and searching time, if the alphabet is fixed.

In addition to the original definition, other extended versions of string matching, such as string matching with don't care characters [10], mismatches [7], or scaling [2,3] are considered not only interesting, but also more realistic. Among them, related problems that involve matching [2–6] or indexing [18,20] scaled patterns have drawn much attention. In this paper, we focus on the one-dimensional *real-scale pattern* [2,20], which means the pattern  $P$  can be scaled with real numbers. For example, suppose  $T = c^4a^5b^7a^4c^3b^4$  and  $P = a^2b^3a^2c^1$ , one can easily verify that with the scale  $\frac{7}{3}$ , the scaled pattern  $a^{\lfloor \frac{7}{3} \times 2 \rfloor} b^{\lfloor \frac{7}{3} \times 3 \rfloor} a^{\lfloor \frac{7}{3} \times 2 \rfloor} c^{\lfloor \frac{7}{3} \times 1 \rfloor} = a^4b^7a^4c^2$  is a substring of  $T$ .

The real-scale matching (*r-matching*) problem is first defined by Amir et al. [2], who also give an  $O(|T| + |P|)$ -time solution. In their conclusion [2], they ask for a proper preprocessing on  $T$  such that all real-scale matched (*r-matched*) positions of  $P$  in  $T$  can be determined efficiently. Afterwards, Wang et al. [20] answer this open question with the first

☆ This research work was partially supported by the National Science Council of Taiwan under contract NSC-95-2221-E-110-102.

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known indexing algorithm. For fixed alphabets, Wang's preprocessing takes  $O(|T|^3)$  time and  $O(|T|^3)$  space [20], achieving the answering time  $O(|P| + U_r)$ , where  $U_r$  denotes the number of  $r$ -matched positions. Besides, they give an  $O(|T|^2)$ -time and  $O(|T|^2)$ -space preprocessing on  $T$ , so that the decision problem whether  $P$  can be  $r$ -matched in  $T$  can be determined in  $O(|P|)$  time [20]. For large alphabets, Wang's preprocessing for the  $r$ -matching problem can be implemented with the same cost by using a suffix array [14,15], which achieves the answering time  $O(|P| + U_r + \log |T|)$  [20].

In this paper, we propose an improved indexing algorithm that takes  $O(|T|^2)$  time and  $O(|T|^2)$  space in its preprocessing phase. With our indexing algorithm, for fixed alphabets, one can determine whether a pattern  $P$  is  $r$ -matched in  $T$  in  $O(|P|)$  time, and find all  $r$ -matched positions in  $O(|P| + w)$  time, where  $w \leq U_r$  and  $w$  denotes the number of *dominant positions*, which will be further explained in Section 3. With a little modification, we also show how to deal with large alphabets in Section 3.

The rest of this paper is organized as follows. Section 2 provides the review for required techniques. Next, we propose our main algorithm in Section 3, and apply it to other scaling functions [20] in Section 4. Finally, we give our conclusions along with some future work in Section 5.

## 2. Preliminaries

In this section, we begin with notations used in this paper. After that, we briefly introduce all required techniques.

### 2.1. Notations

Let  $T$  be a string over the alphabet  $\Sigma$ , and  $|\Sigma|$  denote the number of distinct symbols in  $\Sigma$ . Also, let  $T[i]$  denote the  $i$ th character in  $T$ , and  $T[i, j]$  denote the substring ranging from  $T[i]$  to  $T[j]$ , for  $1 \leq i \leq j \leq |T|$ . Let  $T'$  be the *run-length encoding* (RLE) of  $T$ , so that  $T' = t_1^{r_1} t_2^{r_2} \dots t_m^{r_m}$  with  $|T'| = m$ , where  $t_i \in \Sigma$ , for  $1 \leq i \leq m$ ,  $t_j \neq t_{j+1}$ ,  $1 \leq j \leq m-1$  and  $r_i$  denotes the run length of  $t_i$ . Therefore, one can easily map each character  $T'[i]$  in  $T'$  to the run  $T[\sum_{j=1}^{i-1} r_j + 1, \sum_{j=1}^i r_j]$  in  $T$ . Let  $P' = p_1^{s_1} p_2^{s_2} \dots p_u^{s_u}$  be the RLE of the pattern string  $P$ , where  $|P'| = u$  and  $|P| = \sum_{j=1}^u s_j$ . The  $\alpha$ -scaling of  $P$ , denoted by  $\delta_\alpha(P)$ , for any real number  $\alpha \geq 1$ , represents the string  $p_1^{[\alpha s_1]} p_2^{[\alpha s_2]} \dots p_u^{[\alpha s_u]}$ .

Given a text  $T$  and a pattern  $P$ , the *real-scale matching problem* [2] is to determine every matched position  $i$  in  $T$  where  $\delta_\alpha(P)$  occurs, for some real number  $\alpha \geq 1$ . Considering the previous example for  $T = c^4 a^5 b^7 a^4 c^3 b^4$  and  $P = a^2 b^3 a^2 c^1$ , one can see that  $\delta_{\frac{7}{3}}(P) = a^4 b^7 a^4 c^2$  matches the substring  $T[6, 22]$  at position 6 in  $T$ .

### 2.2. Suffix trees and suffix arrays

In the field of string matching, *suffix trees* and *suffix arrays* have been widely studied. Given a text  $T$  over the alphabet  $\Sigma$ , the suffix tree  $T_S$  of  $T$  is an  $O(|T|)$ -space compacted trie of all suffixes in  $T$  [16,19,21]. Given a pattern  $P$  along with the suffix tree  $T_S$ , one can determine all positions of  $P$  in  $T$  with  $O(|P| \log |\Sigma| + U)$  time, where  $U$  denotes the number of reported positions. Different from a suffix tree, a suffix array [15] lexically stores each index (suffix) of  $T$ . Since one index is sufficient to represent the suffix starting at  $T[i]$ , the suffix array  $T_A$  of  $T$  occupies only  $O(|T|)$  space, which is independent of  $\Sigma$ . By keeping the information of the longest common prefix of suffixes, to search a given pattern  $P$  in  $T$ , one can perform a binary search on  $T_A$ , which requires  $O(|P| + U + \log |T|)$  time in the searching phase [15].

The details for suffix trees and suffix arrays are omitted here, since they are beyond the scope of this paper. Readers can easily find these material in other papers [1,11,12,14] or some text books for string matching.

### 2.3. The range minimum query

Given an array  $A$  of  $n$  numbers, the *range minimum (maximum) query* (RMQ) asks for the minimum (maximum) element in the subarray  $A[i_1, i_2]$ ,  $1 \leq i_1 \leq i_2 \leq n$ , where the interval  $[i_1, i_2]$  is specified by the query. Let  $MIN_A(i_1, i_2)$  and  $MAX_A(i_1, i_2)$  be the index of the minimum and maximum element in the subarray  $A[i_1, i_2]$ , respectively. Bender and Farach-Colton [8] propose the following result.

**Theorem 1.** (See [8].) *Given an array  $A$  of  $n$  numbers, one can preprocess  $A$  in  $O(n)$  time such that for any given interval  $[i_1, i_2]$ , one can determine both  $MIN_A(i_1, i_2)$  and  $MAX_A(i_1, i_2)$  in  $O(1)$  time.*

With RMQ, Muthukrishnan [17] further obtains the following theorem, which can be used to solve the document listing problem [17].

**Theorem 2.** (See [17].) *Given an array  $A$  of  $n$  integers whose absolute values are bounded by  $O(n)$ , one can preprocess  $A$  in  $O(n)$  time such that for any given interval  $[i_1, i_2]$ , one can determine all distinct integers in the subarray  $A[i_1, i_2]$  in  $O(U_x)$  time, where  $U_x$  is the number of reported indices containing distinct integers.*

In Section 3, we will apply Theorems 1 and 2 to achieve our improvement.

## 2.4. Wang's preprocessing for the $r$ -matching decision problem

In this subsection, we give a brief introduction to Wang's [20]  $O(|T|^2)$ -time preprocessing for the  $r$ -matching decision problem. Though this preprocessing is not feasible for answering general  $r$ -matching queries, it is an inspiration of our algorithm. The main idea of Wang's  $O(|T|^2)$ -time preprocessing is to generate the finite set  $\Gamma(T)$  of real scales for the text  $T$ , then use these scales to generate all valid patterns, which are then stored in a suffix tree. One can refer to Wang's result [20] for the detailed proofs of the following lemmas.

**Lemma 1.** (See [20].) Given  $T = t_1^{r_1} t_2^{r_2} \dots t_m^{r_m}$ ,  $\Gamma(T) = \bigcup_{i=1}^m \bigcup_{j=1}^{r_i} \frac{r_i}{j}$  is a set of scales such that any given pattern  $P$  can be real-scale matched in  $T$  if and only if there exists any  $\alpha \in \Gamma(T)$  such that  $\delta_\alpha(P)$  occurs in  $T$ .

Based on Lemma 1, Wang et al. constructed  $|\Gamma(T)|$  strings  $T'_{\alpha_1}, T'_{\alpha_2}, \dots, T'_{\alpha_{|\Gamma(T)|}}$ , where each  $T'_{\alpha_k}$  corresponds to scale  $\alpha_k$  in  $\Gamma(T)$ , for  $1 \leq k \leq |\Gamma(T)|$ . Given  $T' = t_1^{r_1} t_2^{r_2} \dots t_m^{r_m}$ , each  $T'_{\alpha_k}$  can be generated from  $T'$  by doing the replacement as follows. Let  $f(r_j, \alpha_k) = \beta$  be the largest integer such that  $\lfloor \beta \alpha_k \rfloor \leq r_j$ . For each  $t_j^{r_j}$  in  $T'$ ,  $1 \leq j \leq m$ , it is replaced by  $t_j^{f(r_j, \alpha_k)}$  if  $\lfloor f(r_j, \alpha_k) \alpha_k \rfloor = r_j$ . Otherwise,  $t_j^{r_j}$  is replaced by  $t_j^{f(r_j, \alpha_k)} \$ t_j^{f(r_j, \alpha_k)}$ , where  $\$$  denotes the terminate symbol which does not appear in  $T$ . Because  $r_j \in \mathbb{Z}^+$  and  $\alpha_k \geq 1$ ,  $f(r_j, \alpha_k)$  can be computed in constant time by checking two integers  $\lceil \frac{r_j}{\alpha_k} \rceil$  and  $\lfloor \frac{r_j}{\alpha_k} \rfloor$ . Therefore, each  $T'_{\alpha_k}$  can be constructed in  $O(m)$  time.

**Lemma 2.** (See [20].) For any given pattern  $P$ ,  $\delta_{\alpha_k}(P)$  occurs in  $T$  if and only if  $P$  occurs in  $T_{\alpha_k}$ .

By Lemmas 1 and 2, Wang et al. store the string  $T_R = T_{\alpha_1} \$ T_{\alpha_2} \$ \dots \$ T_{\alpha_{|\Gamma(T)|}}$  with a suffix tree, where each  $T_{\alpha_k}$  denotes the uncompressed format of  $T'_{\alpha_k}$ . Since  $|\Gamma(T)|$  is bounded by  $|T|$  and the construction of each  $T_{\alpha_k}$  takes  $O(|T|)$  time in the worst case, Wang's result can be described as follows.

**Theorem 3.** (See [20].) Given a pattern  $P$  and a text  $T$ , one can preprocess  $T$  with  $O(|T|^2)$  time and space, so that whether  $\delta_\alpha(P)$  occurs in  $T$  for some real scale  $\alpha \geq 1$  can be determined in  $O(|P|)$  time for fixed alphabets.

However, one should note that this preprocessing cannot be applied to report all matched positions in  $T$ . This is resulted from two main flaws. The first flaw happens in the replacement rule, which only enables one to locate matched positions in  $T'$ , rather than  $T$ . The second flaw is the redundancy of reported positions. One can see that there are  $O(|T|^2)$  leaf nodes in the suffix tree of  $T_R$ , but there are only  $m$  mapping positions, where  $m \leq |T|$ . To report all  $r$ -matched positions in time  $O(|P| + U_r)$ , Wang et al. [20] devise another  $O(|T|^3)$ -time preprocessing.

In the next section, we will propose an efficient  $O(|T|^2)$ -time preprocessing, which can be used to report all matched positions in  $O(|P| + w)$  time, where  $w \leq U_r$ .

## 3. An improved indexing algorithm

In this section, we first explain some useful properties for indexing real scaled patterns. Then, we show how to derive a better indexing algorithm by using these properties.

### 3.1. Properties for indexing

We begin with the concept of *dominant positions*, which enables us to report all matched positions efficiently. The definition of a dominant position is given as follows.

**Definition 1.** In the  $r$ -matching problem, a position  $i$  in  $T$  is called a dominant position if  $i$  is a matched position and  $i + 1$  is not a matched position.

For a given pattern  $P$ , let  $DP = \{dp_1, dp_2, \dots, dp_w\}$  denote the set of dominant positions in  $T$ . Since each position in  $T$  has its own corresponding position in  $T'$ , we have  $DP' = \{dp'_1, dp'_2, \dots, dp'_w\}$ , which denotes the corresponding set of dominant positions in  $T'$ . It is clear that the mapping between  $DP$  and  $DP'$  is one-to-one.

**Observation 1.** Given two dominant positions  $dp_i$  and  $dp_j$  in  $T$ , whose corresponding positions in  $T'$  are  $dp'_i$  and  $dp'_j$ , respectively. We have  $dp_i = dp_j$  if and only if  $dp'_i = dp'_j$ .

Suppose  $P = p_1^{s_1} p_2^{s_2} \dots p_u^{s_u}$  is  $r$ -matched at position  $dp'_i$  in  $T'$ , then the valid scale of  $P$  can be written as  $\bigcap_{j=2}^{u-1} (\frac{r_{dp'_i+j-1}}{s_j}, \frac{r_{dp'_i+j-1}+1}{s_j}) \cap [1, \frac{r_{dp'_i}+1}{s_1}) \cap [1, \frac{r_{dp'_i+u-1}+1}{s_u}) = [\alpha_{low}, \alpha_{up})$ , where  $\alpha_{low}$  and  $\alpha_{up}$  denote the lower and upper bounds

of the valid scale, respectively. That is, the matched positions in  $T$  derived from  $T'[dp'_i]$  are successive indices ranging from  $(\sum_{j=1}^{dp'_i} r_j - \lceil \alpha_{up s_1} \rceil + 2)$  to  $(\sum_{j=1}^{dp'_i} r_j - \lfloor \alpha_{low s_1} \rfloor + 1)$ . With an  $O(|T|)$ -time preprocessing on  $T$ ,  $\sum_{j=1}^{dp'_i} r_j$  can be determined in  $O(1)$  time for any given  $dp'_i$ . Therefore, one can see the correctness of the following lemma, which is a key point in our indexing algorithm.

**Lemma 3.** Given the set of dominant positions  $DP' = \{dp'_1, dp'_2, \dots, dp'_w\}$  and the set of ranges  $SOR = \{[low_1, up_1], [low_2, up_2], \dots, [low_w, up_w]\}$ , where  $[low_i, up_i]$  denotes the range for the valid scale of  $dp'_i$ , all matched positions in  $T$  can be determined in  $O(w)$  time.

### 3.2. New efficient indexing with RMQ

Let  $W$  be the set of matched (reported) positions of  $\delta_\alpha(P)$  in  $T$ . Briefly, the main steps of our indexing algorithm can be described as follows.

- Step 1: Determine if there exists any  $\delta_\alpha(P)$  in  $T$ . If there exists none, set  $W = \phi$  and go to Step 4.
- Step 2: Obtain  $DP'$  and  $SOR$ .
- Step 3: Construct  $W$  with  $DP'$  and  $SOR$ .
- Step 4: Report  $W$ .

With Wang's  $O(|T|^2)$ -time preprocessing, it is clear that Step 1 can be done in  $O(|P|)$  time. In addition, based on Lemma 3,  $W$  can be constructed in Step 3 and reported in Step 4 with  $O(w)$  time once  $DP'$  and  $SOR$  are given. In the following, we explain how to obtain  $DP'$  and  $SOR$  in Step 2 with  $O(w)$  time by using RMQ queries. One will see that for these RMQ queries, the additional preprocessing takes only  $O(|T|^2)$  time, by which we complete our indexing algorithm.

First, we describe how to obtain  $DP'$  in  $O(w)$  time. Let  $T_{SR}$  be the suffix tree of the concatenated string  $T_R = T_{\alpha_1} \$ T_{\alpha_2} \$ \dots \$ T_{\alpha_{|\Gamma(T)|}}$ . Let  $L$  be an array of size  $|T_R|$  that lexically stores the indices of  $T_R$  (lexically stores the leaf nodes of  $T_{SR}$ ). For each index  $L[i]$  in  $L$ , we store its corresponding position in  $T'$  with another array  $LAB$  of size  $|T_R|$ . Recall that  $T_R$  is generated from  $T'$ , which means for each  $T_R[L[i]] \neq \$$ ,  $T_R[L[i]]$  maps to a unique  $T'[j]$  in  $T'$ , for  $1 \leq j \leq m$ . Therefore,  $LAB$  can be constructed in  $O(|T|^2)$  time, since  $|T_R| = O(|T|^2)$ . For  $T_R[L[i]] = \$$ , we set  $LAB[i] = 0$  and let  $T'[0]$  be an empty character. If  $\delta_\alpha(P)$  exists in  $T$ , then the searching of  $P$  in  $T_{SR}$  (Step 1) would report some successive leaf nodes  $L[i, j]$ , whose corresponding positions in  $T'$  are stored in  $LAB[i, j]$ .

**Observation 2.** For each  $k \in DP'$ , in  $LAB[i, j]$  there exist some  $LAB[k'] = k$ .

However, recall that  $LAB[i, j]$  remains different from  $DP'$ , because the size of  $LAB[i, j]$  is  $O(|T|^2)$  and there may be some duplications in  $LAB[i, j]$ . By Theorem 2, all redundant mappings in  $LAB[i, j]$  can be removed by RMQ queries, thus we obtain the following lemma.

**Lemma 4.** With an  $O(|T|^2)$ -time preprocessing on  $LAB$ , for any given pattern  $P$  that  $\delta_\alpha(P)$  exists in  $T$ , one can obtain  $DP'$  in  $O(w)$  time.

Next, we explain how to obtain  $SOR$  in  $O(w)$  time. Let  $T'_R = T'_{\alpha_1} \$ T'_{\alpha_2} \$ \dots \$ T'_{\alpha_{|\Gamma(T)|}} = q_1^{z_1} q_2^{z_2} \dots q_{|T'_R|}^{z_{|T'_R|}}$  be the RLE format of  $T_R = T_{\alpha_1} \$ T_{\alpha_2} \$ \dots \$ T_{\alpha_{|\Gamma(T)|}}$ . By Wang's preprocessing, one can map each  $T'_R[i']$  to  $T'[i'']$  in  $O(1)$  time. We associate  $T'_R$  with two arrays  $LOW$  and  $UP$ , both of size  $|T'_R|$ . We assign the elements in  $LOW$  and  $UP$  by using  $T'_R = q_1^{z_1} q_2^{z_2} \dots q_{|T'_R|}^{z_{|T'_R|}}$  and  $T' = t_1^{r_1} t_2^{r_2} \dots t_m^{r_m}$  as follows. For  $q_{i'} \neq \$$ , we assign  $LOW[i'] = \frac{r_{i''}}{z_{i'}}$  and  $UP[i'] = \frac{r_{i''}+1}{z_{i'}}$ , for  $1 \leq i' \leq |T'_R|$ . Otherwise, both  $LOW[i']$  and  $UP[i']$  are set to  $\infty$ . We preprocess  $LOW$  and  $UP$  for the range maximum query and the range minimum query, respectively. It is clear that the construction and preprocessing for  $LOW$  and  $UP$  can be accomplished in  $O(|T|^2)$  time. In the following, we show that  $SOR$  can be determined in  $O(w)$  time by using RMQ queries on  $LOW$  and  $UP$ .

**Lemma 5.** With the searching result of  $P$  in  $T_{SR}$ , one can determine  $SOR$  in  $O(w)$  time.

**Proof.** According to Wang's preprocessing, one can map any  $T_R[i]$  to  $T'_R[i']$  and  $T'[i'']$  in  $O(1)$  time. For  $P = p_1^{s_1} p_2^{s_2} \dots p_u^{s_u}$  and  $i'' \in DP'$ ,  $T'[i'', i'' + u - 1] = t_{i''}^{r_{i''}} t_{i''+1}^{r_{i''+1}} \dots t_{i''+u-1}^{r_{i''+u-1}}$  is a substring in  $T'$  that (1)  $t_{i''+j-1} = p_j$ , for  $1 \leq j \leq u$ , and (2)  $r_{i''} \geq \lfloor s_1 \alpha \rfloor$ ,  $r_{i''+u-1} \geq \lfloor s_u \alpha \rfloor$  and  $r_{i''+j-1} = \lfloor s_j \alpha \rfloor$ , for  $2 \leq j \leq u - 1$  and some real scale  $\alpha \in \Gamma(T)$ . Therefore, the range of valid scale at position  $i''$  in  $T'$  is  $\bigcap_{j=2}^{u-1} [\frac{r_{i''+j-1}}{s_j}, \frac{r_{i''+j-1}+1}{s_j}] \cap [1, \frac{r_{i''}+1}{s_1}] \cap [1, \frac{r_{i''+u-1}+1}{s_u}] = [\max_{j=2}^{u-1} \frac{r_{i''+j-1}}{s_j}, \min_{j=2}^{u-1} \frac{r_{i''+j-1}+1}{s_j}] \cap [1, \frac{r_{i''}+1}{s_1}] \cap [1, \frac{r_{i''+u-1}+1}{s_u}]$ .

**Table 1**

Algorithms for finding one-dimensional real scaled patterns.

Algorithm	Complexity	Fixed alphabets	Large alphabets
Amir et al. [2]	Time	$O( T  +  P )$	$O( T  +  P )$
	Space	$O( T  +  P )$	$O( T  +  P )$
	Decision	$O( T  +  P )$	$O( T  +  P )$
	Position	$O( T  +  P )$	$O( T  +  P )$
Wang's method 1 [20]	Time	$O( T ^3)$	$O( T ^3)$
	Space	$O( T ^3)$	$O( T ^3)$
	Decision	$O( P )$	$O( P  + \log  T )$
	Position	$O( P  + U_r)$	$O( P  + U_r + \log  T )$
Wang's method 2 [20]	Time	$O( T ^2)$	$O( T ^2)$
	Space	$O( T ^2)$	$O( T ^2)$
	Decision	$O( P )$	$O( P  + \log  T )$
	Position	Disabled	Disabled
This paper	Time	$O( T ^2)$	$O( T ^2)$
	Space	$O( T ^2)$	$O( T ^2)$
	Decision	$O( P )$	$O( P  + \log  T )$
	Position	$O( P  + w)$	$O( P  + w + \log  T )$

For each  $T_R[i]$  that maps to  $T'[i'']$  with  $i'' \in DP'$  (each  $T_R[L[k]]$  with  $LAB[k] \in DP'$ ), we have  $T_R[i, i + |P| - 1] = P[1, |P|]$ , which indicates  $T'_R[i' + 1, i' + u - 2] = P'[2, u - 1] = p_2^{s_2} p_3^{s_3} \cdots p_{u-1}^{s_{u-1}}$ . Therefore, the range  $[\max_{j=2}^{u-1} \frac{r_{i'+j-1}}{s_j}, \min_{j=2}^{u-1} \frac{r_{i'+j-1}+1}{s_j}]$  can be obtained in  $O(1)$  time by using a range maximum query and a range minimum query on  $LOW[i' + 1, i' + u - 2]$  and  $UP[i' + 1, i' + u - 2]$ , respectively. For any given  $i''$ , both  $[1, \frac{r_{i''+1}}{s_1}]$  and  $[1, \frac{r_{i''+u-1}+1}{s_u}]$  can be determined in  $O(1)$  time. Therefore, for each  $i'' \in DP'$ , its valid scale  $[\max_{j=2}^{u-1} \frac{r_{i''+j-1}}{s_j}, \min_{j=2}^{u-1} \frac{r_{i''+j-1}+1}{s_j}] \cap [1, \frac{r_{i''+1}}{s_1}] \cap [1, \frac{r_{i''+u-1}+1}{s_u}]$  can be determined in  $O(1)$  time, which means  $SOR$  can be constructed in  $O(w)$  time.  $\square$

By Lemmas 3, 4 and 5, our result is given as follows.

**Theorem 4.** Given a pattern  $P$  and a text  $T$ , one can preprocess  $T$  with  $O(|T|^2)$  time and  $O(|T|^2)$  space, so that for  $\alpha \geq 1$ , all matched positions of  $\delta_\alpha(P)$  in  $T$  can be determined in  $O(|P| + w)$  time, where  $w \leq U_r$  denotes the number of dominant positions and  $U_r$  denotes the number of matched positions.

For large alphabets, which means that  $|\Sigma|$  is not a constant, our algorithm can be implemented by replacing  $T_{SR}$  with  $T_{AR}$ , where  $T_{AR}$  denotes the suffix array of  $T_R$ . After an  $O(|T| \log |T|)$ -time conversion of  $T$  into a string over the integer alphabet  $\{1, 2, \dots, |T|\}$ , based on related techniques of suffix array [12,14], one can construct  $T_{AR}$  in  $O(|T|^2)$  time. As a result, for large alphabets, our indexing algorithm takes  $O(|T|^2)$  time and space in its preprocessing phase, and reports all matched positions in  $O(|P| + w + \log |T|)$  time.

#### 4. Implementation of other scaling functions

In this section, we shall consider two other important scaling functions, which are  $\delta_\alpha(P) = p_1^{[\alpha s_1]} p_2^{[\alpha s_2]} \cdots p_u^{[\alpha s_u]}$  and  $\delta_\alpha(P) = p_1^{[\alpha s_1 + 0.5]} p_2^{[\alpha s_2 + 0.5]} \cdots p_u^{[\alpha s_u + 0.5]}$ . One can easily verify that with a minor adaptation, our indexing algorithm is still applicable. Therefore, in the following, we only give our adaptation, but omit detailed proofs.

For  $\delta_\alpha(P) = p_1^{[\alpha s_1]} p_2^{[\alpha s_2]} \cdots p_u^{[\alpha s_u]}$ , the valid scale for  $P$  at the  $i$ th position in  $T'$  is  $\bigcap_{j=2}^{u-1} (\frac{r_{i+j-1}-1}{s_j}, \frac{r_{i+j-1}}{s_j}] \cap [1, \frac{r_i}{s_1}] \cap [1, \frac{r_{i+u-1}}{s_u}] = (\max_{j=2}^{u-1} \frac{r_{i+j-1}-1}{s_j}, \min_{j=2}^{u-1} \frac{r_{i+j-1}}{s_j}] \cap [1, \frac{r_i}{s_1}] \cap [1, \frac{r_{i+u-1}}{s_u}]$ . Based on this change, the function  $f(r_j, \alpha_k) = \beta$  should be redefined as the largest integer such that  $\lceil \beta \alpha_k \rceil \leq r_j$ .

In the case  $\delta_\alpha(P) = p_1^{[\alpha s_1 + 0.5]} p_2^{[\alpha s_2 + 0.5]} \cdots p_u^{[\alpha s_u + 0.5]}$ , for  $P$  at the  $i$ th position in  $T'$ , one can obtain the valid scale  $\bigcap_{j=2}^{u-1} [\frac{r_{i+j-1}-0.5}{s_j}, \frac{r_{i+j-1}+0.5}{s_j}] \cap [1, \frac{r_i+0.5}{s_1}] \cap [1, \frac{r_{i+u-1}+0.5}{s_u}] = [\max_{j=2}^{u-1} \frac{r_{i+j-1}-0.5}{s_j}, \min_{j=2}^{u-1} \frac{r_{i+j-1}+0.5}{s_j}] \cap [1, \frac{r_i+0.5}{s_1}] \cap [1, \frac{r_{i+u-1}+0.5}{s_u}]$ . Therefore, the function  $f(r_j, \alpha_k) = \beta$  should be redefined as the largest integer such that  $\lfloor \beta \alpha_k + 0.5 \rfloor \leq r_j$ . In addition, we have  $\Gamma(T) = (\bigcup_{i=1}^m \bigcup_{j=1}^{r_i} \frac{r_i-0.5}{j}) \cap [1, \infty]$ .

#### 5. Conclusions and future work

To summarize, in Table 1 we list various algorithms for finding one-dimensional real scaled patterns, including previous and our results. In this table, the terms “Time” and “Space” denote the required time and space for preprocessing, respec-

tively. Also, the term “Decision” denotes the required time for the decision problem whether  $P$  can be  $r$ -matched in  $T$ . Finally, we use the term “Position” to represent the time spent on finding all positions where  $P$  can be  $r$ -matched in  $T$ . One should note that the algorithm proposed by Amir et al. [2] is not an indexing algorithm. In Table 1, one can see that our preprocessing is more efficient than the previous results proposed by Wang et al. We also improve the searching time of all matched positions from  $O(|P| + U_r)$  to  $O(|P| + w)$ , where  $w \leq U_r$ . For other scaling functions, such as rounding to the nearest integer [20], our indexing algorithm is still applicable.

Recently, Amir et al. propose a new scaling function [4,5], which is considered more precise and realistic in the field of computer vision. For this new scaling function, Amir et al. give the best known matching algorithm [5], which takes  $O(|T| \log |P| + \sqrt{|T| \log |P|} |P|^{\frac{3}{2}})$  time. Then, they extend their result to two-dimensional real-scale matching [5], deriving an  $O(|T||P|^3 + |T|^2|P| \log |P|)$ -time matching algorithm, where the text and the pattern are represented by a  $|T| \times |T|$  matrix and a  $|P| \times |P|$  matrix, respectively. Hence, for this new scaling function, to improve the matching algorithms and to propose efficient indexing algorithms are both important future studies.

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