

# Compiler Principle

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# 2 Lexical Analysis

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# Introduction

- **Compiling Process**

To translate a program from **one language** into **another**, a compiler **first pull it apart** and **understand its structure and meaning**, then **put it together** in a different way.

- The **front end** : performs analysis
- The **back end** : performs synthesis

# Introduction

The analysis is usually broken up into

- **Lexical analysis**

Breaking the input into individual words or "tokens";

- **Syntax analysis**

Parsing the phrase structure of the program;

- **Semantic analysis**

Calculating the program's meaning.

## Task of the lexical analyzer

- Taking a stream of characters
- Produces a stream of tokens
  - ✓ *names, keywords, and punctuation marks*
- Discarding *white space and comments*

Why separating lexical analysis from parsing ?

- Would unduly complicate the parser

# Why discussing lexical analysis?

- Attacked with high-powered formalisms and tools
- Similar formalisms will be useful in the study of parsing
- Similar tools have many applications in areas other than compilation.

## 2.1 Lexical Token

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# A lexical token

- **A sequence** of characters
- **A unit in the grammar** of a programming language

# Token types

- Classification of lexical tokens: **A finite set** of token types
- Some of the **token types** of a typical programming language:

| Type   | Examples                    |
|--------|-----------------------------|
| ID     | foo n14 last                |
| NUM    | 73 0 00 515 082             |
| REAL   | 66.1 .5 10. 1e67<br>5.5e-10 |
| IF     | if                          |
| COMMA  | ,                           |
| NOTEQ  | !=                          |
| LPAREN | (                           |

**RPAREN**  
**Reserved words**, In most languages, **not be used as identifiers**

- Punctuation tokens such as IF, VOID, RETURN

# Non-Tokens

## Examples of **non-tokens**:

*comment*

*preprocessor directive*

*preprocessor directive*

*macro*

*blanks, tabs, and new-lines*

***/\* try again \*/***

***#include<stdio.h>***

***#define NUMS 5, 6***

***NUMS***

The **preprocessor** deletes the non-tokens

- Operates on the source character stream
- Producing another character stream to the lexical analyzer

# An example

**Given a program such as**

```
float match0(char *s) /* find a zero */
{ if (!strcmp(s, "0.0", 3))
    return 0.;
}
```

**The lexical analyzer** will return the stream:

```
FLOAT      ID(match0)    LPAREN CHAR    STAR  ID(s)
RPAREN     LBRACE        IF      LPAREN BANG ID(strcmp)
LPAREN      ID(s)        COMMA  STRING(0.0) COMMA  NUM(3)
          RPAREN  RPAREN    RETURN REAL(0.0)  SEMI
RBRACE  EOF
```

**The token-type of each token** is reported

**Some of the tokens** *attached semantic values*

Such as identifiers and literals, with *auxiliary information*

# Questions?

- **How should the lexical rules of a programming language be described?**
- **In what language should a lexical analyzer be written?**

## Describing the lexical tokens of a language **in English**; An example : *identifiers in C or Java*

1. An identifier is **a sequence of** letters and digits; the first character must be a letter
2. The underscore `_` counts as a letter
3. Upper- and lowercase letters are different
4. If the input stream has been parsed into tokens up to a given character, the next token is taken to include the **longest string** of characters that could possibly constitute a token
5. Blanks, tabs, newlines, and comments are **ignored except** as they serve to separate tokens
6. Some white space is required to separate otherwise adjacent identifiers, keywords, and constants

## An **ad hoc** lexer

- Any reasonable programming language can be used to implement it

## A **simpler and more readable** lexical analyzers

- ***Regular expressions*** :Specify lexical tokens
- ***Deterministic finite automata*** : Implementing lexers
- **Mathematics**: Connecting the above two



## 2.2 Regular Expression

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## Some Concepts

- A *language* is a set of *strings*
- A *string* is a finite sequence of *symbols*
- A *symbol* is taken from a finite *alphabet*

One Regular  
Expression

### Notices:

- Not assign any meaning to the strings;
- Classify each string as in the language or not.

# The notation of *regular expressions*

**Symbol:**  $a$

For each **symbol**  $a$  in the alphabet of the language

The **regular expression**  $a$ : denotes the language containing just **the string**  $a$ .

# The notation of *regular expressions*

**Alternation:** A vertical bar  $\parallel$

Given two regular expressions  $M$  and  $N$ , the alternation operator makes a new regular expression  $M \parallel N$ .

A string is in the language of  $M \parallel N$  if it is in the language of  $M$  or in the language of  $N$ .

Example:

The language of  $\mathbf{a} \parallel \mathbf{b}$  contains the two strings  $a$  and  $b$

# The notation of *regular expressions*

**Concatenation:** operator  $\cdot$

Given two regular expressions  $M$  and  $N$ , the concatenation makes a new regular expression  $M \cdot N$ .

A string is in the language of  $M \cdot N$  if it is the concatenation of any two strings  $\alpha$  and  $\beta$  such that  $\alpha$  is in the language of  $M$  and  $\beta$  is in the language of  $N$ .

Example:

The regular expression  $(a \parallel b) \cdot a$  defines the language containing the two strings  $aa$  and  $ba$ .

# The notation of *regular expressions*

## **Epsilon: $\epsilon$**

The regular expression  $\epsilon$  represents a **language** whose **only string is the empty string**.

Example:  $(a \cdot b) \parallel \epsilon$  represents the language  $\{\epsilon, "ab"\}$ .

## **Repetition:**

Given a regular expression  $M$ , its **Kleene closure is  $M^*$** .

A **string** is in  $M^*$  if it is the **concatenation of zero or more** strings, all of which are in  $M$ .

Example:  $((a \parallel b) \cdot a)^*$  represents the infinite set  $\{\epsilon, "aa", "ba", "aaaa", "baaa", "aaba", "baba", "aaaaaa", \dots\}$ .

# The notation of *regular expressions*

**Symbols, Alternation, Concatenation, Epsilon, and Kleene closure:**

- Specify the set of ASCII characters corresponding to the lexical tokens of a programming language

# The notation of *regular expressions*

- **Example 1**

$(0 \mid 1)^* \cdot 0$

Binary numbers that are multiples of two.



# The notation of *regular expressions*

- **Example 2**

$b^*(abb^*)^*(a|\epsilon)$

Strings of a's and b's **with no consecutive a's**.

# The notation of *regular expressions*

- **Example 3**

Strings of a's and b's **containing consecutive a's**.

$(a|b)^*aa(a|b)^*$

# The notation of *regular expressions*

In writing regular expressions, sometimes the **concatenation** symbol or **the epsilon** will be omitted

Assuming that

- Kleene closure "**binds tighter**" than concatenation
- Concatenation **binds tighter** than alternation;

so that

- **$ab \mid c$**  means  **$(a \cdot b) \mid c$** , and  **$(a \mid)$**  means  **$(a \mid \epsilon)$**

# The notation of *regular expressions*

Introducing some more **abbreviations**:

**[abcd]** means  $(a \mid b \mid c \mid d)$ ,

**[b-g]** means **[bcdefg]**,

**[b-gM-Qkr]** means **[bcdefgMNOPQkr]**,

$M?$  means  $(M \mid \epsilon)$ , and  $M^+$  means  $(M \cdot M^*)$ .

These extensions are **convenient**

**None extend the descriptive power** of regular expressions

Any set of strings that can be described with these abbreviations could also be described by just the basic set of operators.

|                     |   |
|---------------------|---|
| <b>a</b>            | An ordinary character stands for itself.                    |
| $\epsilon$          | The empty string.<br>Another way to write the empty string. |
| $M \mid N$          | Alternation, choosing from $M$ or $N$ .                     |
| $M \cdot N$         | Concatenation, an $M$ followed by an $N$ .                  |
| $MN$                | Another way to write concatenation.                         |
| $M^*$               | Repetition (zero or more times).                            |
| $M^+$               | Repetition, one or more times.                              |
| $M?$                | Optional, zero or one occurrence of $M$ .                   |
| <b>[a – zA – Z]</b> | Character set alternation.                                  |
| .                   | A period stands for any single character except newline.    |
| "a.+*"              | Quotation, a string in quotes stands for itself literally.  |

Figure 2.1: **Regular expression notation.**

## Regular expressions for some tokens

```
if                {return IF;}
[a-z][a-z0-9]*    {return ID;}
[0-9]+            {return NUM;}
([0-9]+"."[0-9]*)|([0-9]*"."[0-9]+)  {return
REAL;}
("--"[a-z]*"\n")|(" "|"\n"|"t")+    {/*do nothing*/}
{ error();}
```

Figure 2.2: Regular expressions for some tokens

**The fifth line** of the description recognizes comments or white space but does not report back to the parser.

**Instead:**

- The white space is discarded and the lexer resumed
- The **comments** begin with two dashes, contain only alphabetic characters, and end with new-line.

## Regular expressions for some tokens

|  |                  |
|--|------------------|
| if   | {return IF;}     |
| [a-z][a-z0-9]*   | {return ID;}     |
| [0-9]+   | {return NUM;}    |
| ([0-9]+"."[0-9]*) ([0-9]*"."[0-9]+)                      | {return REAL;}   |
| ("--"[a-z]*"\n") (" " "\n" "\\t")+<br><i>{ error();}</i> | {/*do nothing*/} |

Figure 2.2: Regular expressions for some tokens.

A lexical specification should be *complete*

- A rule that matches any single character (and in this case, prints an "illegal character" error message and continues).

# Two important disambiguation rules

These rules are **a bit ambiguous**.

For example,

- Does **if8** match as a **single identifier** or as the **two tokens** **if** and **8**?
- Does the string **if 89** begin with an identifier or a reserved word?

**Two important disambiguation rules** used by Lex, JavaCC, SableCC, and other similar lexical-analyzer generators:



# Two important disambiguation rules

## Longest match:

The longest initial substring of the input that can match any regular expression is taken as the next token.

## Rule priority:

- For a *particular* longest initial substring, **the first regular expression** that can match determines its token-type.
- This means that **the order** of writing down the regular-expression rules **has significance**.

Thus,

**if8** matches as an identifier by the longest-match rule  
**if** matches as a reserved word by rule-priority.

# The end of Chapter 2(1)

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