
编译原理

10. 活跃变量分析

rainoftime.github.io

**浙江大学
计算机科学与技术学院**

Content

1. Introduction
2. Lexical Analysis
3. Parsing
4. Abstract Syntax
5. Semantic Analysis
6. Activation Record
7. Translating into Intermediate Code
8. Basic Blocks and Traces
9. Instruction Selection
- 10. Liveness Analysis**
11. Register Allocation
13. Garbage Collection
14. Object-oriented Languages
18. Loop Optimizations

Outline

1

Compiler Optimizations (不考)

2

Dataflow Analysis

3

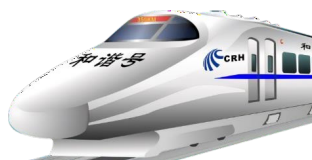
Liveness Analysis

4

More Discussions

1. Compiler Optimizations

Example: Optimization Levels in Clang



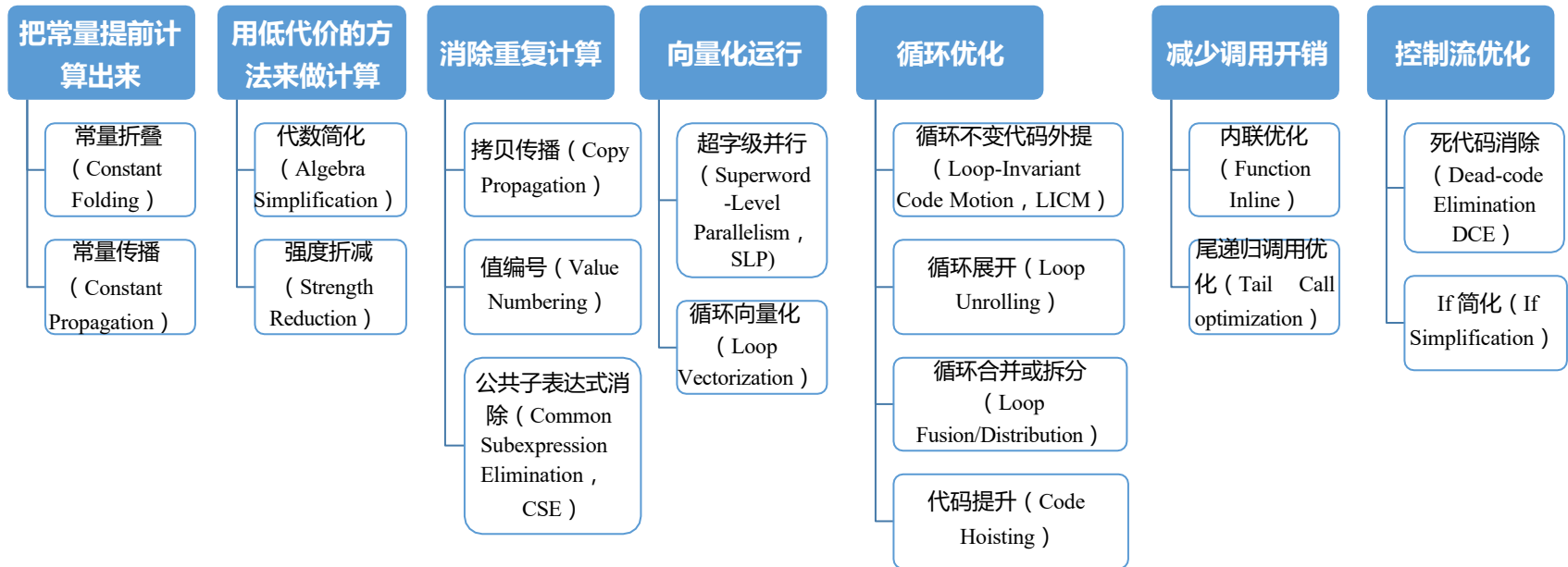
优化等级	简要说明
-Ofast	在-O3级别的基础上，开启更多激进优化项，该优化等级不会严格遵循语言标准
-O3	在-O2级别的基础上，开启了更多的高级优化项，以编译时间、代码大小、内存为代价获取更高的性能。
-Os	在-O2级别的基础上，开启降低生成代码体量的优化
-O2	开启了大多数中级优化，会改善编译时间开销和最终生成代码性能
-O/-O1	优化效果介于-O0和-O2之间
-O0	默认优化等级，即不开启编译优化，只尝试减少编译时间

Example: Compiler Optimizations

- Space optimization: reduce memory use
- Time optimization: reduce execution time
- Power optimization: reduce power usage

```
int bar(){  
    int a = 10*10; //这里在编译时可以直接计算出100这个值，这叫做“常数折叠”  
    int b = 20;    //这个变量没有用到，可以在代码中删除，这叫做“死代码删除”  
    if (a>0){      //因为a一定大于0，所以判断条件和else语句都可以去掉  
        return a+1; //这里可以在编译器就计算出是101  
    }  
    else {  
        return a-1; //死分支，编译器会将该分支删除掉  
    }  
}  
int a = bar();    //这里可以直接换成a=101
```

Example: Compiler Optimizations



Granularities/Scopes of Optimizations

- **Local**
 - Work on a single basic block
 - Consider multiple blocks, but less than whole procedure
- **Intraprocedural (or “global”)**
 - Create on an entire procedure
- **Interprocedural (or “whole-program”)**
 - Operate on > 1 procedure, up to whole program
 - Sometimes, at link time (LTO, link time optimization)

Regardless of Optimization Level

- **Analyze program to gather “facts”**
 - Perform “program analysis” on the program’s **IR**

- | | |
|---|--|
| <ul style="list-style-type: none">• Possible values of variables• v is a constant k• v points only to vars in set S• what are upper/lower bounds on the value of x at point p? | <ul style="list-style-type: none">• var v may/may not be used subsequently (live/dead)• value assigned at def-site d: $x = \dots$ may be used at use-site u: $\dots x \dots$ (d reaches u) |
|---|--|

- **Apply transformation (e.g., optimizations)**

Example: Analyses for Optimizations

- 为了实现上述优化，编译器会对代码做一些分析。常见的优化分析方法总结如下：

控制流分析 (Control-Flow Analysis)

- 哪些语句构成了一个基本块，基本块之间跳转关系，哪个结构是一个循环结构，等等。

数据流分析 (Data-Flow Analysis)

- 数据流分析可以帮忙梳理出数据的活跃情况，引用情况等。常被用于做常量优化、向量化优化等

别名分析 (Alias Analysis)

- 不同的指针可能指向同一地址。编译器需知道不同变量是否是别名关系，以便决定能否做某些优化

Example: IRs for Analyses and Optimizations

At each of these scopes, the compiler uses various intermediate representations (IRs) for performing the analyses and optimizations

- **Local**
 - E.g., dependence graph
- **Intraprocedural (or global)**
 - E.g., control-flow graph
- **Interprocedural (or who-program)**
 - E.g., Call graph

2. Dataflow Analysis

The Control Flow Graph (CFG)

- **CFG (Control Flow Graph):** A directed graph

- Each **node** represents a statement
- **Edges** represent control flow

- Statements may be
 - Assignments $x := y \text{ op } z$ or $x := \text{op } z$
 - Copy statements $x := y$
 - Branches $\text{goto } L$ or $\text{if } x \text{ relop } y \text{ goto } L$
 - etc.

Concept invented in 1970 by:

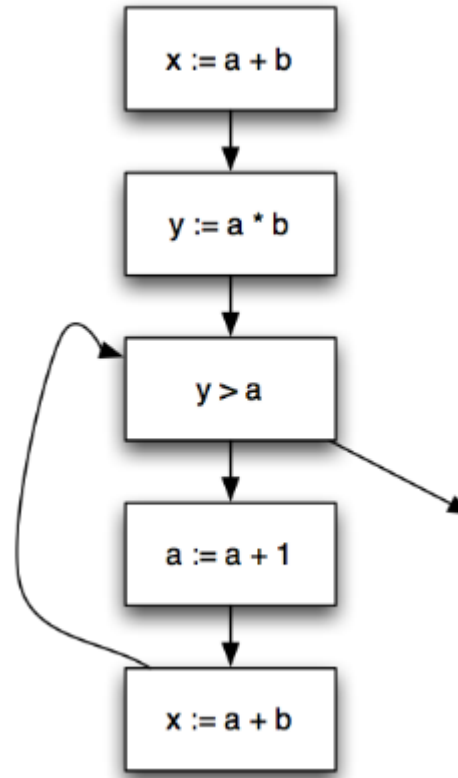


Frances Allen (1932–2020), IBM,
(1st woman to receive Turing Award in
2006!)

Example: Control Flow Graph (CFG)

- **node**: a statement.
- **edge**: control flow.

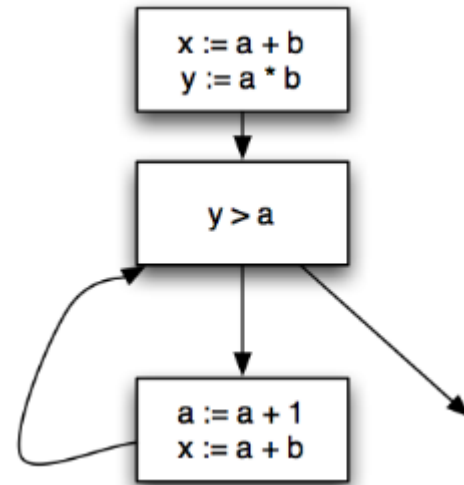
```
x := a + b;  
y := a * b;  
while (y > a) {  
    a := a + 1;  
    x := a + b;  
}
```



Variants on Control Flow Graph (CFG)

- May group statements into **basic blocks**
 - A basic block: a sequence of instructions with unique entry and exit

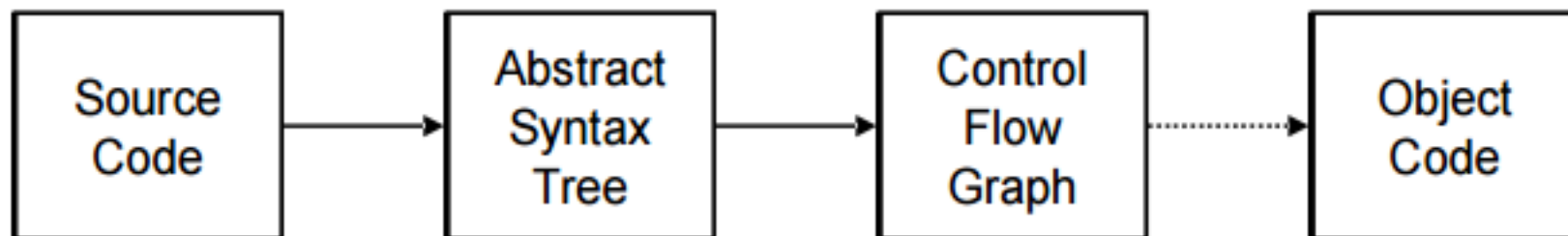
```
x := a + b;  
y := a * b;  
while (y > a + b) {  
    a := a + 1;  
    x := a + b;  
}
```



We'll use single-statement blocks in this lecture.

Dataflow Analysis

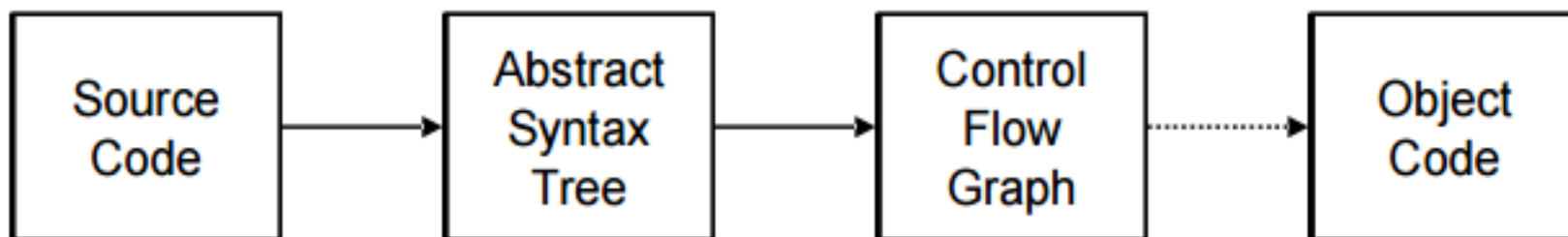
- A framework for **deriving information** about the dynamic behavior of a program **without running it**
 - E.g., liveness information of variables



Data-flow analysis operates on CFG
(and other intermediate representations)

Dataflow Analysis

- A framework for **deriving information** about the dynamic behavior of a program **without running it**
 - “**Dataflow facts**” : liveness, types, ...
 - Applications in optimization/verification/...



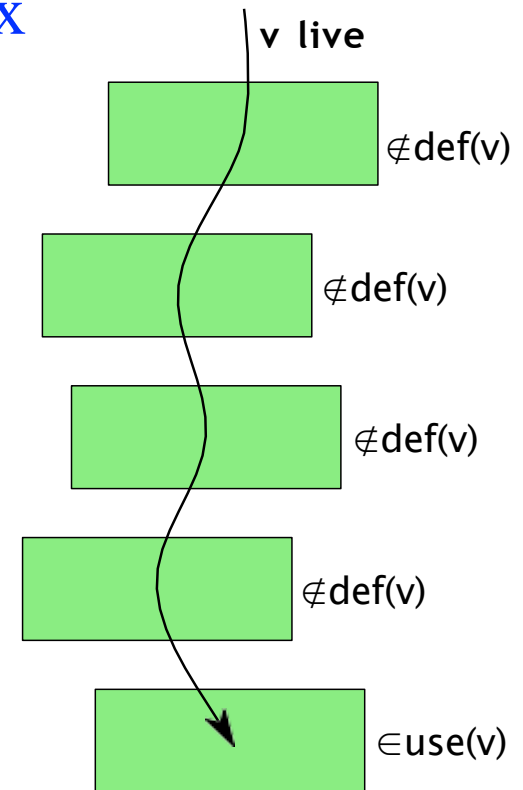
Data-flow analysis operates over CFG
(and other intermediate representations)

3. Liveness Analysis

- **Liveness Variables**
- **Dataflow Equations for Liveness**
- **Solving the Equations**

Live Variables

- A variable **x** is live at statement **s** if
 - There exists a statement **s'** that uses **x**
 - There is a path from **s** to **s'**
 - That path has no intervening assignment to **x**



Motivation Example: Register Allocation

- Low level IRs assume an infinite number of “abstract registers”
 - Good for code generations
 - But bad for execution on a real machine: machine has a finite number of registers
- The goal of **register allocation** is to put infinite variables into a finite machine registers
 - Many register allocation alg. need **liveness analysis**

Motivation Example: Register Allocation

Consider this three-address code

```
1: a = 1
```

```
2: b = a + 2
```

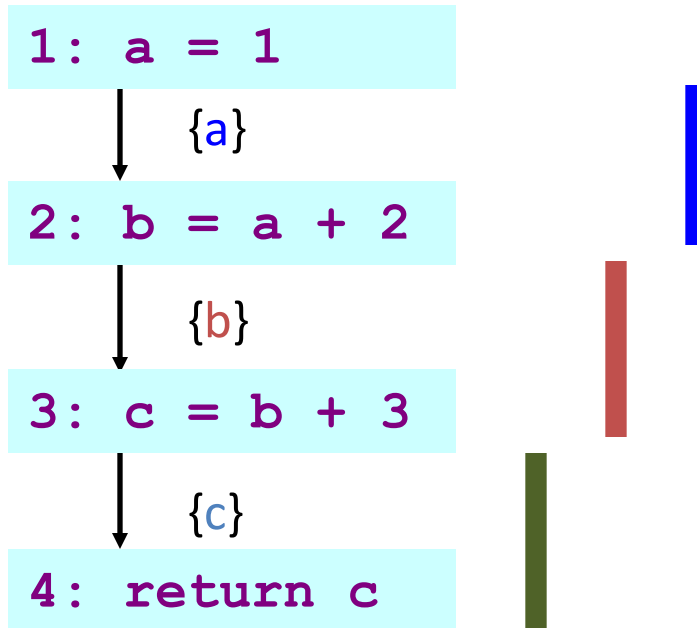
```
3: c = b + 3
```

```
4: return c
```

- Three variables: **a**, **b**, and **c**.
- And assume that the target machine has only one register: **r**.
- Is it possible to put all three variables “a”, “b” and “c” in register “**r**”?

Motivation Example: Register Allocation

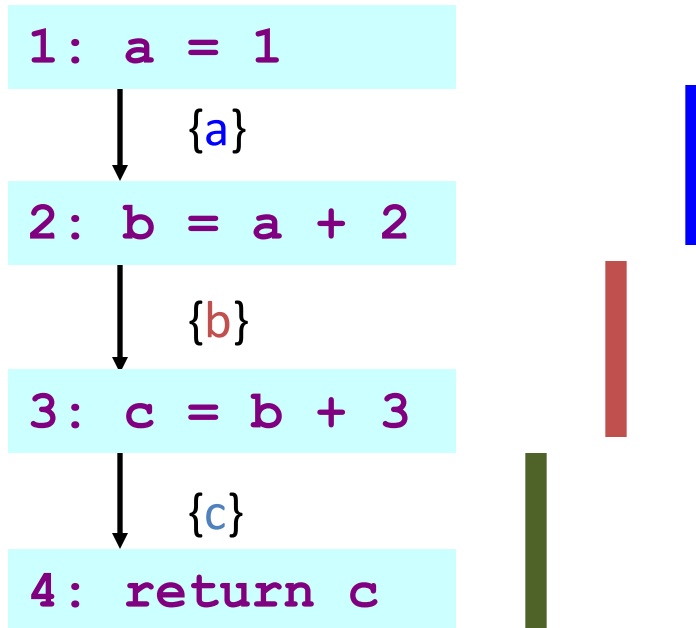
Consider this three-address code



- Calculate which variable is “live” at a given program point.
- The “liveness” info. gives **live ranges**.
- Live ranges of
 - `a`: 1 -> 2
 - `b`: 2 -> 3
 - `c`: 3 -> 4

Motivation Example: Register Allocation

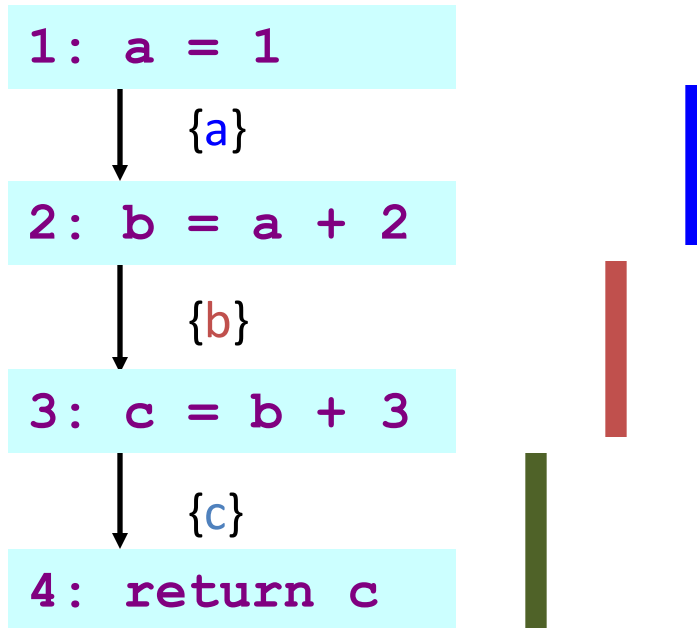
Consider this three-address code



- Calculate which variable is “live” at a given program point.
- The “liveness” info. gives **live ranges**.
- **Live ranges of `a`, `b`, `c`** don’t overlap, thus all three variables can be put into ONE register!

Motivation Example: Register Allocation

Consider this three-address code



- Register allocation:

a => **r**

b => **r**

c => **r**

- Code rewriting:

r = 1

r = **r** + 2

r = **r** + 3

return r

More Application of Liveness Information

- **Redundant instruction elimination**
 - Remove unused assignments
- **IR construction**
 - Optimize SSA construction
- **Security**
 - Detect the use of uninitialized variables
- ?

3. Liveness Analysis

- **Liveness Variables**
- **Dataflow Equations for Liveness**
- **Solving the Equations**

Undecidability of (Static) Program Analysis

- We cannot precisely compute live variables. To see why, consider the following code:

```
1: x = 10; // is x live here?  
2: f();  
3: return x;
```

Undecidability of (Static) Program Analysis

- We cannot precisely compute live variables. To see why, consider the following code:

```
1: x = 10; // is x live here?  
2: f();  
3: return x;
```

- It seems to be obvious that **x** is live after Line 1
- However, suppose that **f()** never returns!
 - In that case the value of **x** is not needed
 - In other words, **x** is live if **f()** halts

Undecidability of (Static) Program Analysis

- We cannot precisely compute live variables. To see why, consider the following code:

```
1: x = 10; // is x live here?  
2: f();  
3: return x;
```

- It seems to be obvious that **x** is live after Line 1
- However, suppose that **f()** never returns!
 - In that case the value of **x** is not needed
 - In other words, **x** is live if **f()** halts
- Since the **halting problem** is undecidable, so is the live variable problem (at least if we want precise results)!

Approximating the “Exact Solutions”

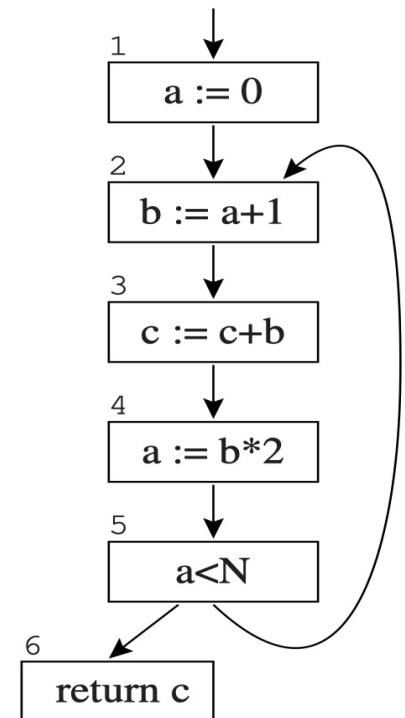
- When we do (static) program analysis, we are usually **approximating** facts about the programs
- For **liveness analysis**
 - **Overapproximates** the true set of live variables by finding all of the variables that *may* be needed later

Typically, formulated as a **dataflow analysis** problem

Dataflow analysis operates on **CFG**
(and other intermediate representations)

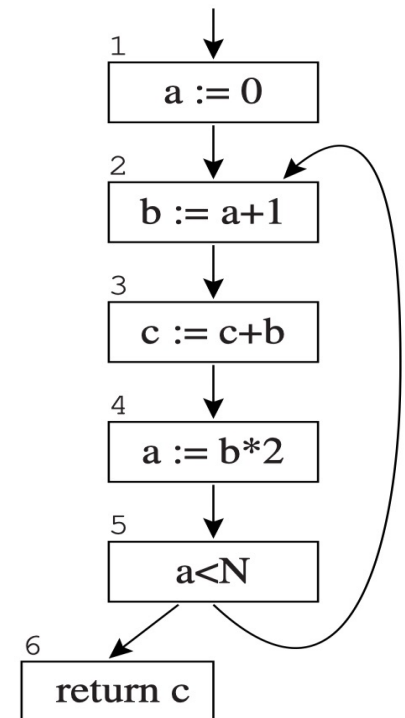
Control-Flow Graph (CFG) Terminology

- Liveness of variables “flows” around the edges of the control-flow graph (CFG)
- A CFG node has
 - **out-edges**: lead to successor nodes
 - **in-edges**: come from predecessor nodes
 - **pred[n]**: the predecessors of node **n**
 - **succ[n]**: the successors of node **n**
- Example
 - out-edges of node 5: ?
 - $\text{succ}[5] = ?$
 - in-edges of 2 are ?
 - $\text{pred}[2] = ?$



Control-Flow Graph (CFG) Terminology

- Liveness of variables “flows” around the edges of the control-flow graph (CFG)
- A CFG node has
 - **out-edges**: lead to successor nodes
 - **in-edges**: come from predecessor nodes
 - **pred[n]**: the predecessors of node **n**
 - **succ[n]**: the successors of node **n**
- Example
 - out-edges of node 5: 5->6, 5-2
 - $\text{succ}[5] = \{2, 6\}$
 - in-edges of 2 are 5->2, 1->2
 - $\text{pred}[2] = \{1, 5\}$



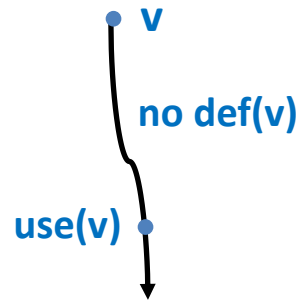
Dataflow Analysis Cont.

To compute the “dataflow facts” (e.g., liveness)

1. Set up **local equations** at each CFG node
 - For a CFG node **n**, we write
 - **in[n]**: facts that are true on all in-edges to the node
 - **out[n]**: facts true on all out-edges
 - Define **transfer functions** that transfer information from one node to another
2. **Solve the equations** to compute the desired information
 - Iteratively update **in[n]** and **out[n]**

Recap: Liveness Variables

- **Liveness variable:** a variable **x** is live at statement **s** if
 - There exists a statement **s'** that **uses x**
 - There is a path from **s** to **s'**
 - That path has no intervening **assignment/definitions** to **x**

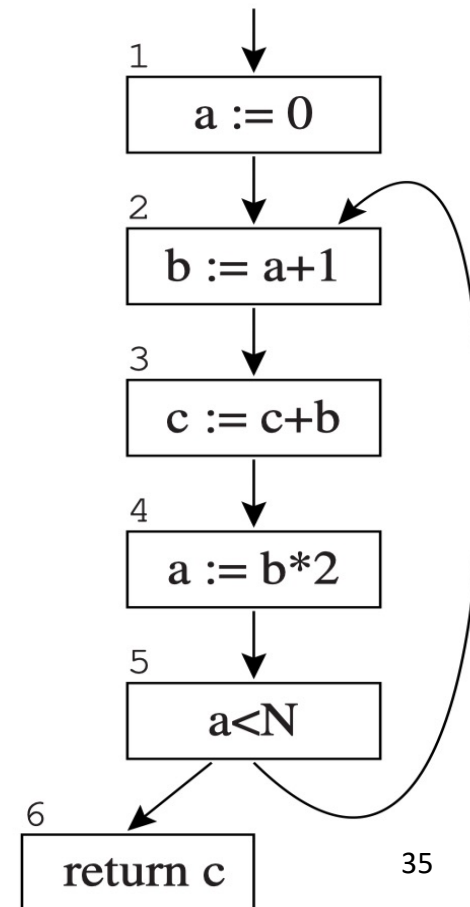


What are “uses” and “defs”, and how to define in and out

Uses and Defs

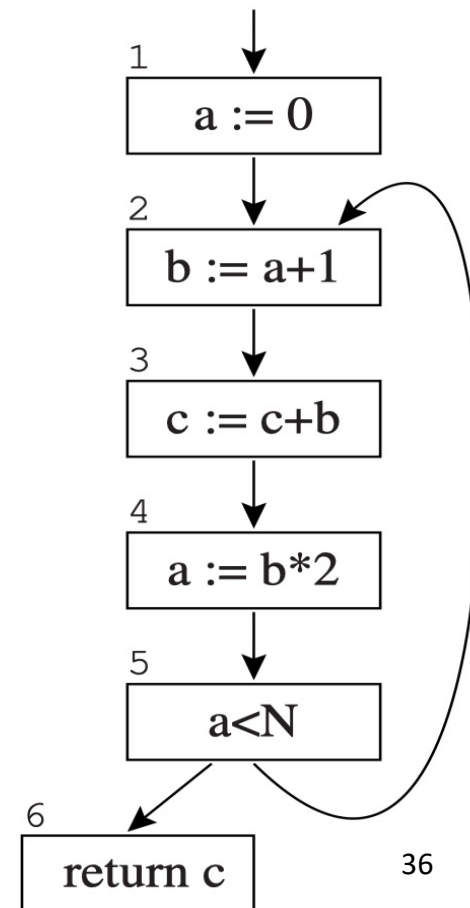
- An assignment to a variable or temporary **defines** that variable.
- An occurrence of a variable on the right-hand side of an assignment (or in other expressions) **uses** a variable.

- **def** of a variable
 - the set of graph nodes that define it
- **def** of a graph node
 - the set of variables that it defines
- **use** of a variable or graph node is similar.
- Example
 - $\text{def}(3) = ?$, $\text{def}(a) = ?$
 - $\text{use}(3) = ?$, $\text{use}(a) = ?$



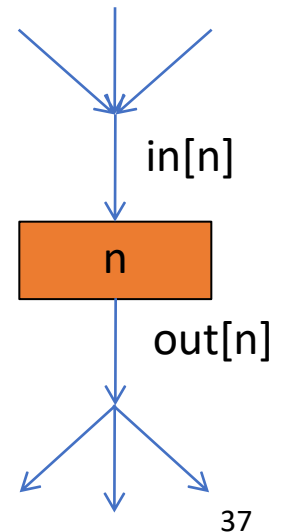
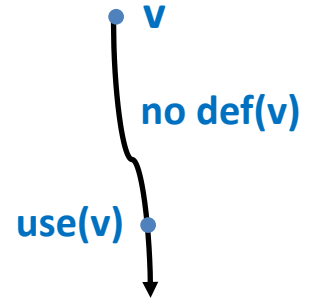
Uses and Defs

- An assignment to a variable or temporary **defines** that variable.
- An occurrence of a variable on the right-hand side of an assignment (or in other expressions) **uses** a variable.
- **def** of a variable
 - the set of graph nodes that define it
- **def** of a graph node
 - the set of variables that it defines
- **use** of a variable or graph node is similar.
- Example
 - $\text{def}(3) = \{c\}$, $\text{def}(a) = \{1, 4\}$
 - $\text{use}(3) = \{b, c\}$, $\text{use}(a) = \{2, 5\}$



Liveness Facts

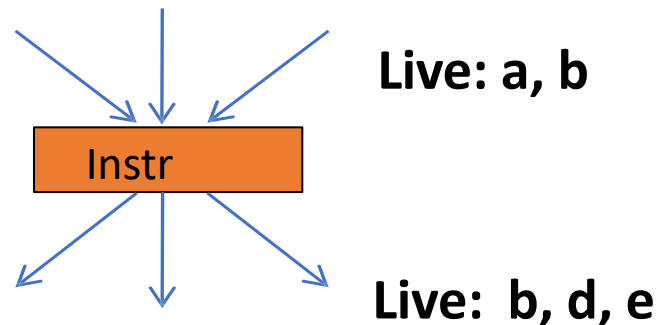
- A variable is **live on an edge** if there is a **directed path** from that **edge** to a **use** of the variable that **does not go through any def**.
 - This variable will be used later
 - This variable will not be re-defined before being used
- **Live-in**: a variable is **live-in** at a node if it is live on **any** of the **in-edges** of that node;
- **Live-out**: A variable is **live-out** at a node if it is live on **any** of the **out-edges** of the node.



Liveness Facts

- **Notations**

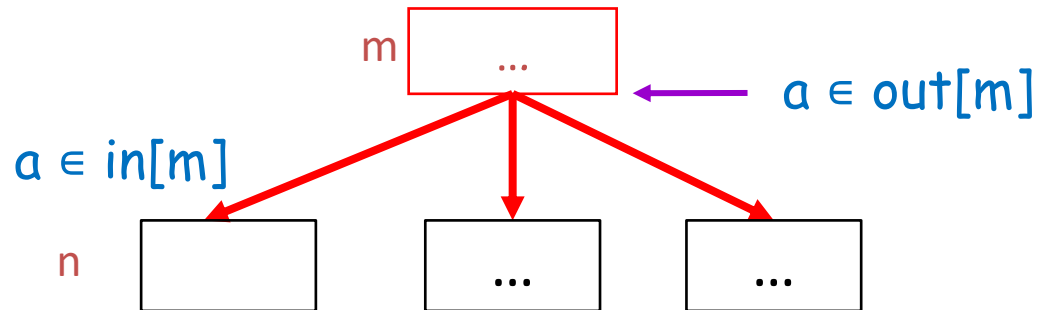
- $\text{in}[n]$: the **live-in** set of node n (the variables that are live-in at node n)
- $\text{out}[n]$: the **live-out** set of node n (the variables that are live-out at node n)



How to calculate $\text{in}[n]$ and $\text{out}[n]$
(i.e., define the dataflow equations)?

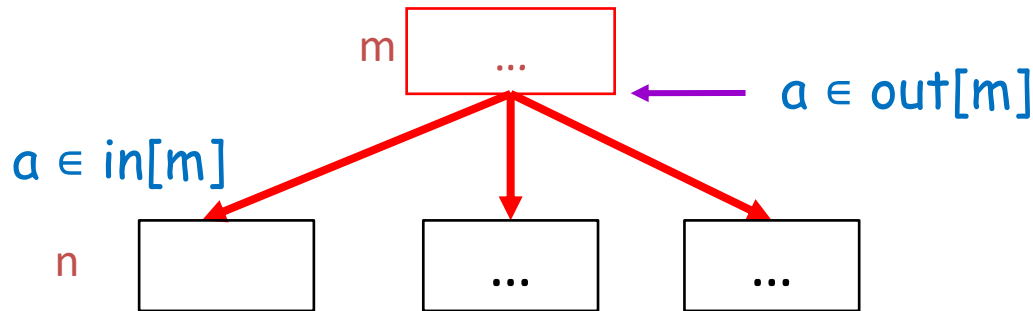
Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
 - If a variable is *live-in* at a node n , then it is *live-out* at all nodes m in $\text{pred}[n]$

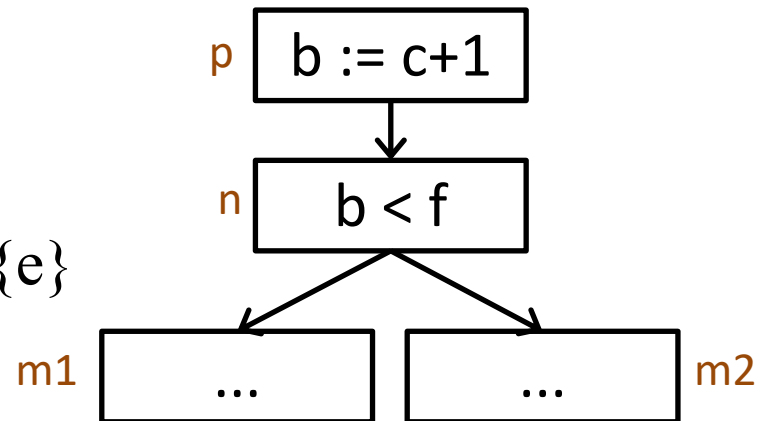


Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
 - If a variable is *live-in* at a node n , then it is *live-out* at all nodes m in $\text{pred}[n]$

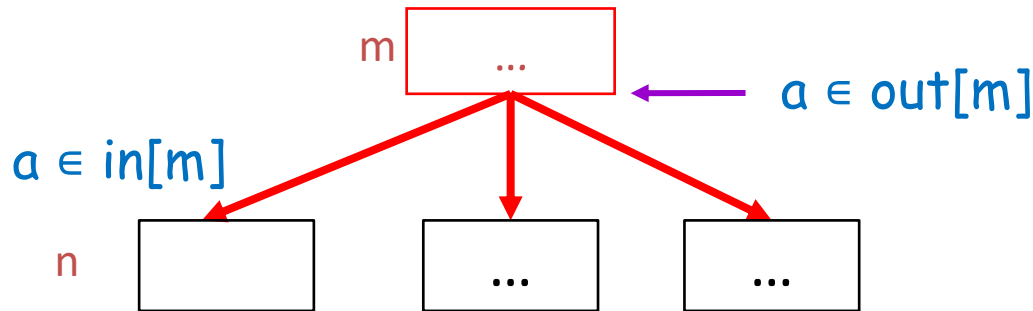


- Example
 - Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
 - $\text{out}[n] = ?$

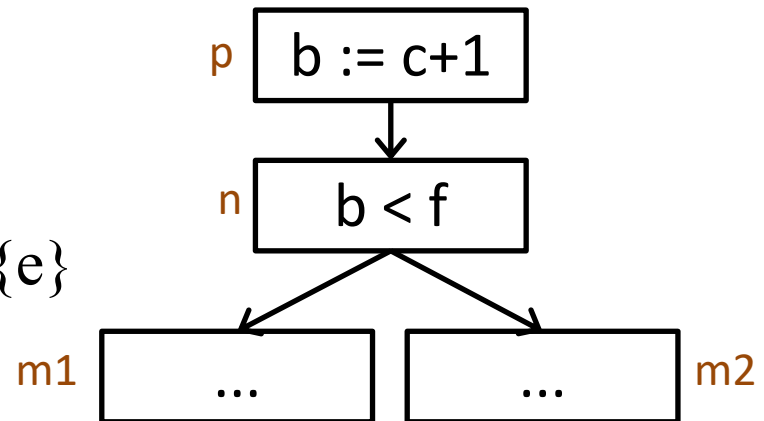


Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
 - If a variable is *live-in* at a node n , then it is *live-out* at all nodes m in $\text{pred}[n]$

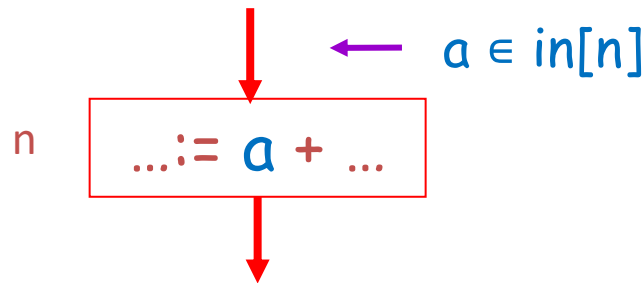


- Example
 - Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
 - $\text{out}[n] = \{d, e\}$



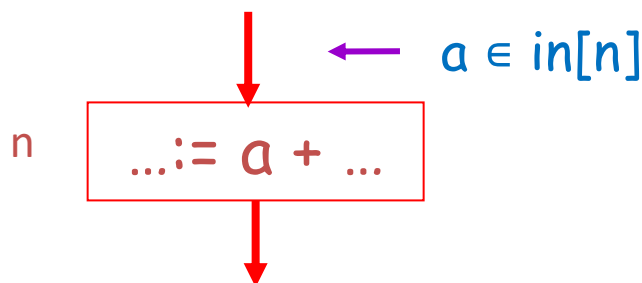
Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
 - If statement n uses variable a , then a is live on entry of n

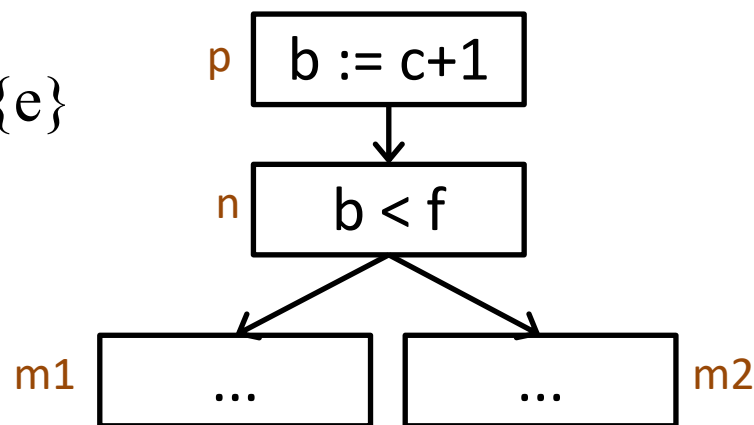


Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
 - If statement n uses variable a , then a is live on entry of n

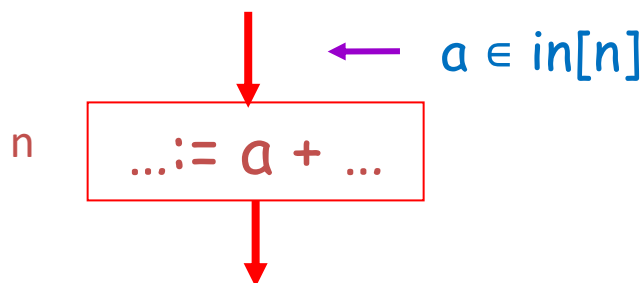


- Example
 - Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
 - $\text{out}[n] = \{d, e\}$
 - $\text{in}[n] = \{b, f, \dots\}$



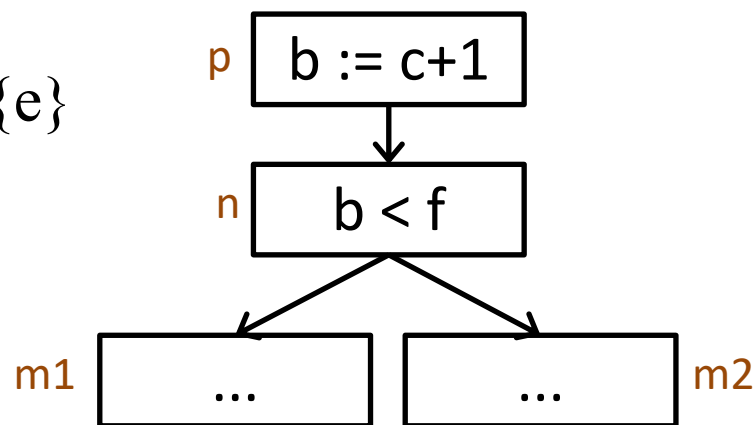
Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
 - If statement n uses variable a , then a is live on entry of n



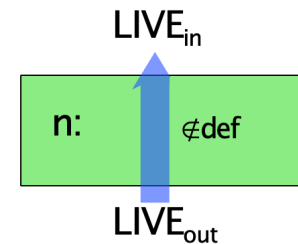
- Example
 - Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
 - $\text{out}[n] = \{d, e\}$
 - $\text{in}[n] = \{b, f, \dots\}$ (**other vars?**)

To update $\text{in}[n]$, can we only look at the uses in node n ?



Dataflow Equations for Liveness

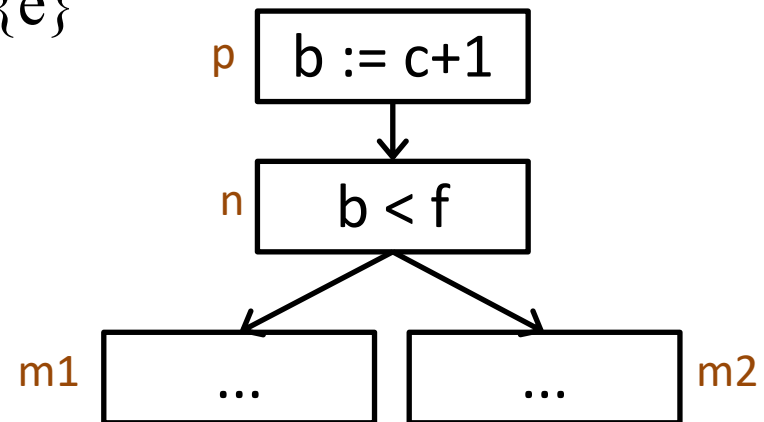
- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
- **Rule 3:** If $a \in \text{out}[n]$ and $a \notin \text{def}[n]$, then $a \in \text{in}[n]$
 - If a is live after n and not defined by n , then a is live on entry of n



- Example
 - Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
 - $\text{out}[n] = \{d, e\}$
 - $\text{in}[n] = \{b, f, d, e\}$
 - $\text{out}[p] = ?$
 - $\text{in}[p] = ?$



Rule 3

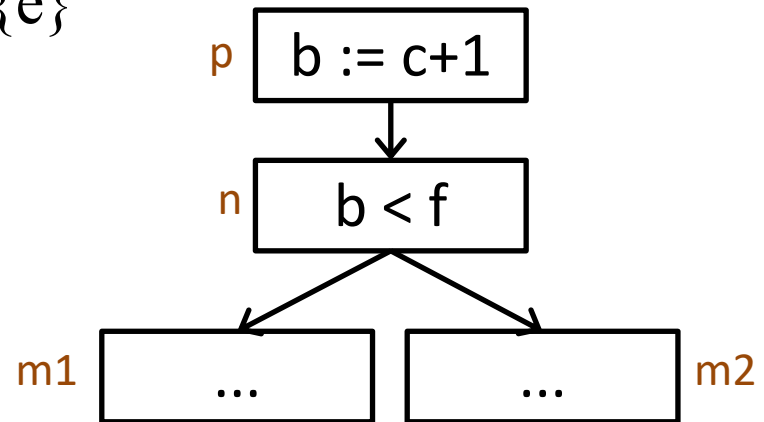


Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
- **Rule 3:** If $a \in \text{out}[n]$ and $a \notin \text{def}[n]$, then $a \in \text{in}[n]$

- Example

- Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
- $\text{out}[n] = \{d, e\}$
- $\text{in}[n] = \{b, f, d, e\}$
- **$\text{out}[p] = \{b, f, d, e\}$**
- $\text{in}[p] = ?$



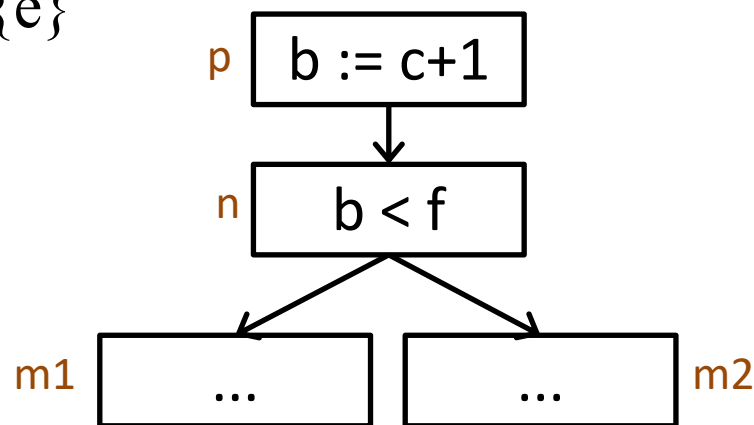
Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
- **Rule 3:** If $a \in \text{out}[n]$ and $a \notin \text{def}[n]$, then $a \in \text{in}[n]$

- Example

- Suppose: $\text{in}[m1] = \{d\}$, $\text{in}[m2] = \{e\}$
- $\text{out}[n] = \{d, e\}$
- $\text{in}[n] = \{b, f, d, e\}$
- $\text{out}[p] = \{b, f, d, e\}$
- $\text{in}[p] = \{c, f, d, e\}$

Rule 3



Dataflow Equations for Liveness

- **Rule 1:** If $a \in \text{in}[n]$, then for $\forall m \in \text{pred}[n]$, $a \in \text{out}[m]$
- **Rule 2:** If $a \in \text{use}[n]$, then $a \in \text{in}[n]$
- **Rule 3:** If $a \in \text{out}[n]$ and $a \notin \text{def}[n]$, then $a \in \text{in}[n]$

Based on the above rules, we can define the dataflow equations for liveness analysis

$$\begin{aligned}\text{in}[n] &= \text{use}[n] \cup (\text{out}[n] - \text{def}[n]) \\ \text{out}[n] &= \bigcup_{s \in \text{succ}[n]} \text{in}[s]\end{aligned}$$

3. Liveness Analysis

- **Liveness Variables**
- **Dataflow Equations for Liveness**
- **Solving the Equations**

Solving Dataflow Equations

Declarative
Specification

$$\begin{aligned} \text{in}[n] &= \text{use}[n] \cup (\text{out}[n] - \text{def}[n]) \\ \text{out}[n] &= \bigcup_{s \in \text{succ}[n]} \text{in}[s] \end{aligned}$$



Runnable
Algorithm

```
for each n
  in[n] ← {}; out[n] ← {}
repeat
  for each n
    in'[n] ← in[n]; out'[n] ← out[n]
    in[n] ← use[n] ∪ (out[n] - def[n])
    out[n] ← ∪s ∈ succ[n] in[s]
  until in'[n] = in[n] and out'[n] = out[n] for all n
```

use_B 和 def_B 的值可以直接从控制流图计算出来，因此在方程中作为**已知量**

Solving Dataflow Equations

```
for each n
  in[n]  $\leftarrow$  {}; out[n]  $\leftarrow$  {}
repeat
  for each n
    in'[n]  $\leftarrow$  in[n]; out'[n]  $\leftarrow$  out[n]
    in[n]  $\leftarrow$  use[n]  $\cup$  (out[n] - def[n])
    out[n]  $\leftarrow$   $\bigcup_{s \in \text{succ}[n]}$  in[s]
  until in'[n] = in[n] and out'[n] = out[n] for all n
```

- Start with a “rough” approximation to the answer

Solving Dataflow Equations

```
for each n
  in[n]  $\leftarrow$  {}; out[n]  $\leftarrow$  {}
repeat
  for each n
    in'[n]  $\leftarrow$  in[n]; out'[n]  $\leftarrow$  out[n]
    in[n]  $\leftarrow$  use[n]  $\cup$  (out[n] - def[n])
    out[n]  $\leftarrow$   $\bigcup_{s \in \text{succ}[n]}$  in[s]
  until in'[n] = in[n] and out'[n] = out[n] for all n
```

- Start with a “rough” approximation to the answer
- **Iteratively re-compute** in[n] and out[n]
 - Each iteration will add variables to in[n] and out[n]
 - i.e. the live variable sets will **increase monotonically**
 - The sizes of in[n] and out[n] are bounded

Solving Dataflow Equations

```
for each n
  in[n]  $\leftarrow$  {}; out[n]  $\leftarrow$  {}
repeat
  for each n
    in'[n]  $\leftarrow$  in[n]; out'[n]  $\leftarrow$  out[n]
    in[n]  $\leftarrow$  use[n]  $\cup$  (out[n] - def[n])
    out[n]  $\leftarrow$   $\bigcup_{s \in \text{succ}[n]} \text{in}[s]$ 
until in'[n] = in[n] and out'[n] = out[n] for all n
```

- Start with a “rough” approximation to the answer
- **Iteratively re-compute** in[n] and out[n]
 - Each iteration will add variables to in[n] and out[n]
 - i.e. the live variable sets will **increase monotonically**
 - The sizes of in[n] and out[n] are bounded
- Keep going until a **fixed point** has been reached

Solving Dataflow Equations

```
for each n
  in[n] ← {}; out[n] ← {}
repeat
  for each n
    in'[n] ← in[n]; out'[n] ← out[n]
    in[n] ← use[n] ∪ (out[n] - def[n])
    out[n] ←  $\bigcup_{s \in \text{succ}[n]} \text{in}[s]$ 
until in'[n] = in[n] and out'[n] = out[n] for all n
```

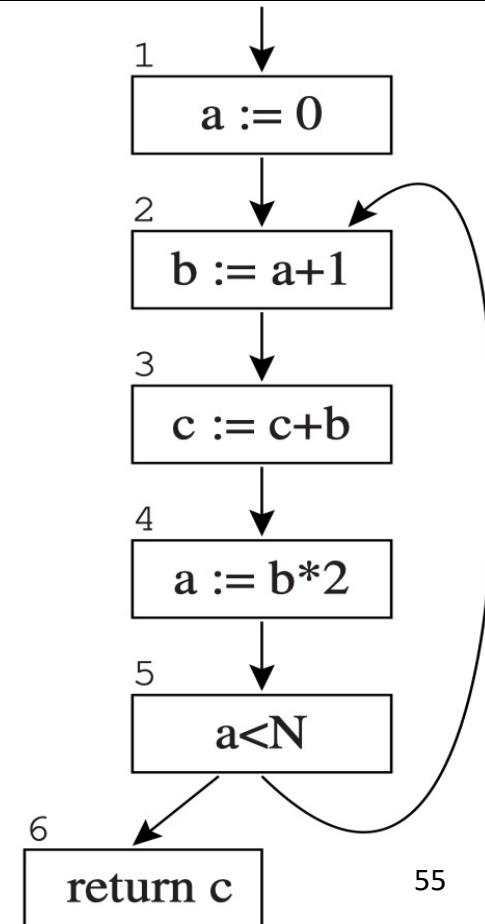
- Start with a “rough” approximation to the answer
- Iteratively re-compute in[n] and out[n]
 - Each iteration will add variables to in[n] and out[n]
 - i.e. the live variable sets will increase monotonically
 - The sizes of in[n] and out[n] are bounded
- Keep going until a *fixed point* has been reached

通过什么迭代策略可以加速算法收敛?

Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

		1 st		2 nd		3 rd		4 th		5 th		6 th		7 th	
	use def	in	out	in	out	in	out	in	out	in	out	in	out	in	out
1															
2	a b														
3	bc c														
4	b a														
5	a														
6	c														



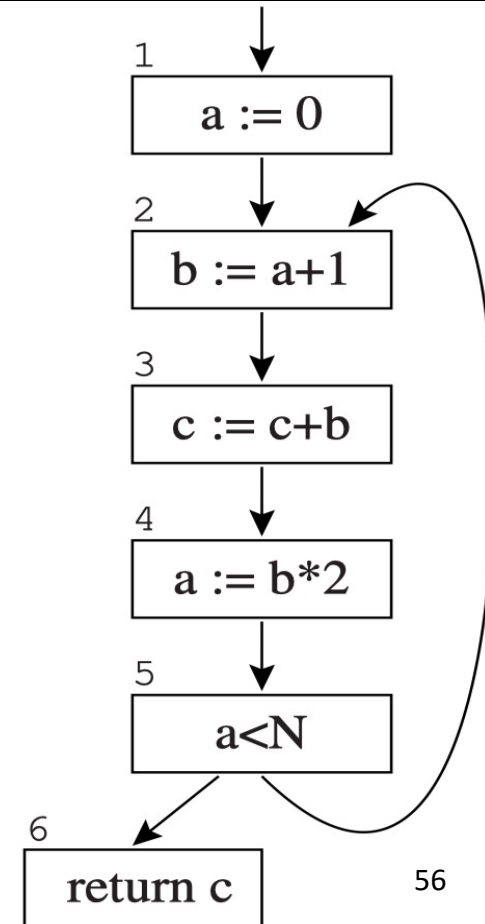
$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

	use	def	1 st in out	2 nd in out	3 rd in out	4 th in out	5 th in out	6 th in out	7 th in out
1		a							
2	a	b	a						
3	bc	c							
4	b	a							
5	a								
6	c								



$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

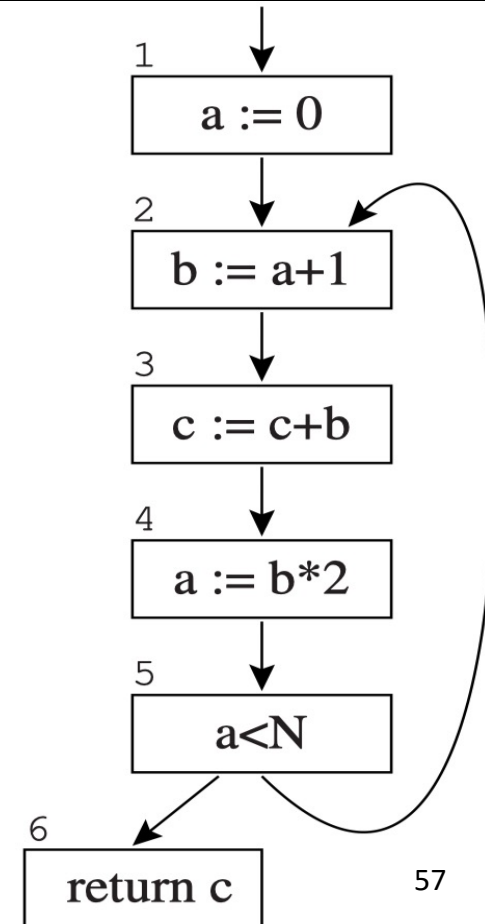
Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

	use	def	1 st in out	2 nd in out	3 rd in out	4 th in out	5 th in out	6 th in out	7 th in out
1		a							
2	a	b	a						
3	bc	c	bc						
4	b	a							
5	a								
6	c								

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

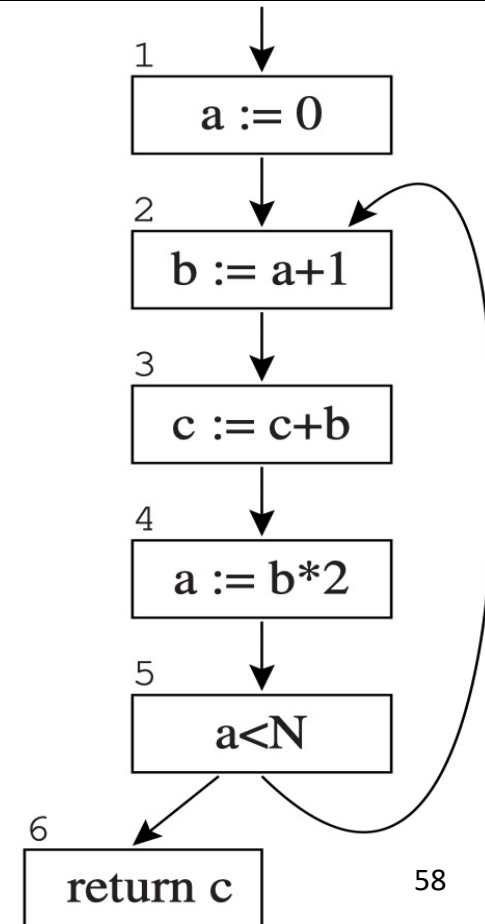
$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

	use	def	1 st in out	2 nd in out	3 rd in out	4 th in out	5 th in out	6 th in out	7 th in out
1		a							
2	a	b	a						
3	bc	c	bc						
4	b	a	b						
5	a								
6	c								



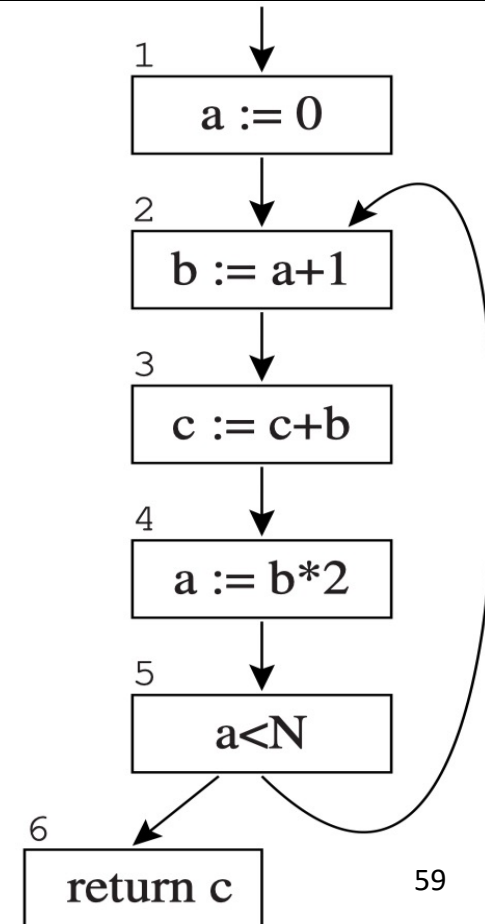
$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

	use	def	1 st		2 nd		3 rd		4 th		5 th		6 th		7 th	
			in	out	in	out	in	out	in	out	in	out	in	out	in	out
1		a														
2	a	b	a													
3	bc	c	bc													
4	b	a	b													
5	a		a													
6	c															



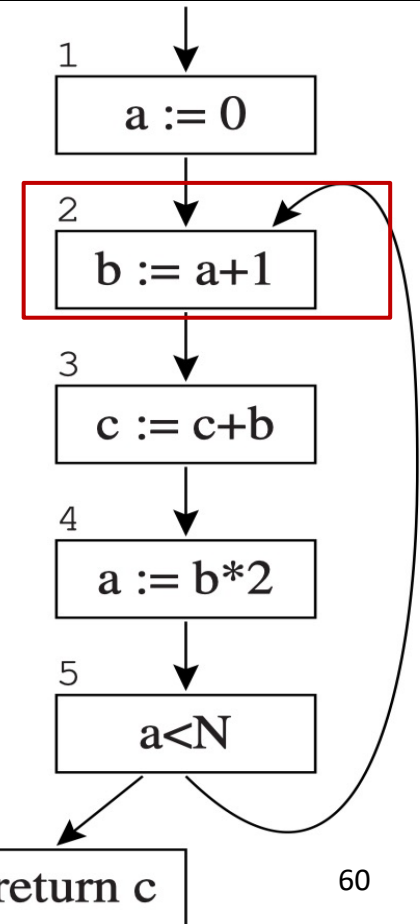
$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

	use	def	1 st		2 nd		3 rd		4 th		5 th		6 th		7 th	
			in	out	in	out	in	out	in	out	in	out	in	out	in	out
1		a														
2	a	b	a													
3	bc	c	bc													
4	b	a	b													
5	a		a	a												
6	c															



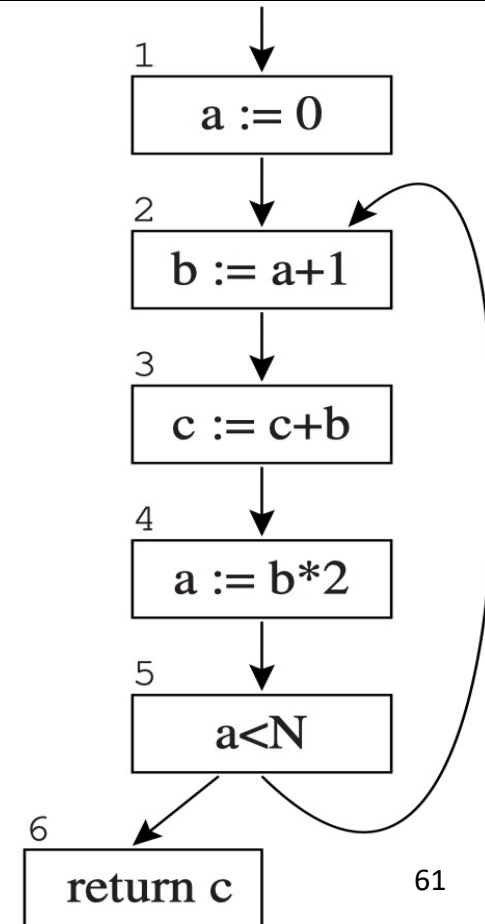
$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

Example: Calculation of Liveness

- Strategy: following **forward** control-flow edges

			1 st		2 nd		3 rd		4 th		5 th		6 th		7 th	
	use	def	in	out	in	out	in	out	in	out	in	out	in	out	in	out
1		a														
2	a	b	a													
3	bc	c	bc													
4	b	a	b													
5	a		a	a												
6	c		c													



$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

Example: Calculation of Liveness

- **Strategy:** following **forward** control-flow edges

			1 st		2 nd		3 rd		4 th		5 th		6 th		7 th	
	use	def	in	out	in	out	in	out	in	out	in	out	in	out	in	out
1		a				a		a		ac	c	ac	c	ac	c	ac
2	a	b	a		a	bc	ac	bc	ac	bc	ac	bc	ac	bc	ac	bc
3	bc	c	bc		bc	b	bc	b	bc	b	bc	b	bc	bc	bc	bc
4	b	a	b		b	a	b	a	b	ac	bc	ac	bc	ac	bc	ac
5	a		a	a	a	ac	ac	ac	ac	ac	ac	ac	ac	ac	ac	ac
6	c		c		c		c		c		c		c		c	

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$

How to Speed Up the Iteration?

- **Observation:** the only way information propagates from one node to another is using: $\text{out}[n] := \bigcup_{s \in \text{succ}[n]} \text{in}[s]$
 - This is the only rule that involves more than one node
 - Liveness analysis is a “backward analysis”

$$\begin{aligned} \text{in}[n] &= \text{use}[n] \cup (\text{out}[n] - \text{def}[n]) \\ \text{out}[n] &= \bigcup_{s \in \text{succ}[n]} \text{in}[s] \end{aligned}$$

- Idea for an improved version of the algorithm:
 - Keep track of which node's successors have changed
 - Compute in **the opposite order** of control flows

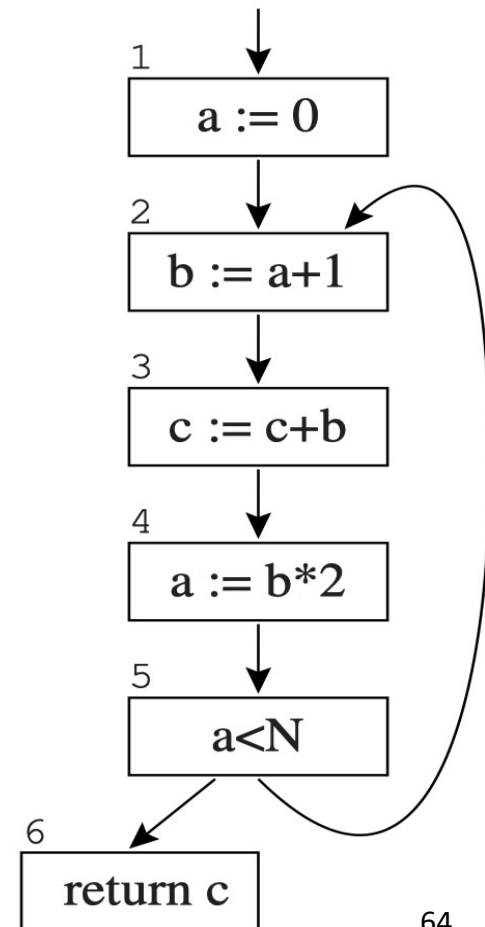
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c							
5	a							
4	b	a						
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



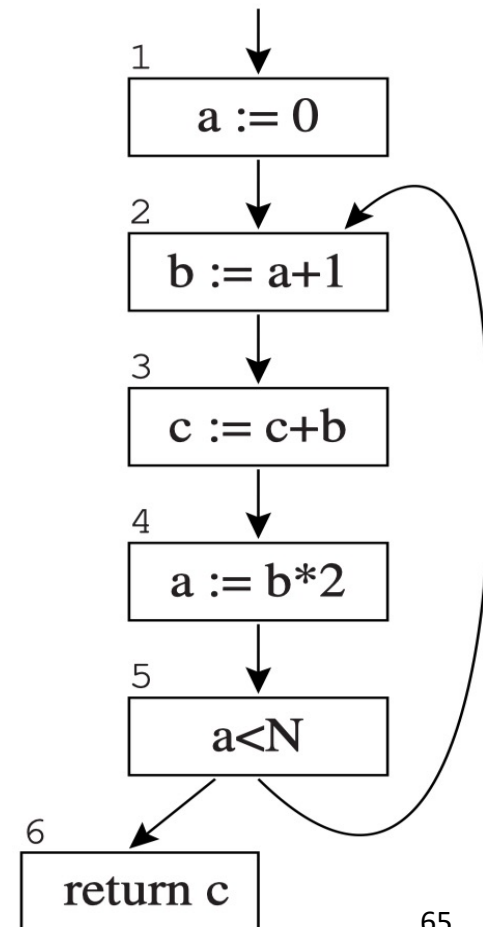
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

	use	def	1 st		2 nd		3 rd	
			out	in	out	in	out	in
6	c							
5	a							
4	b	a						
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



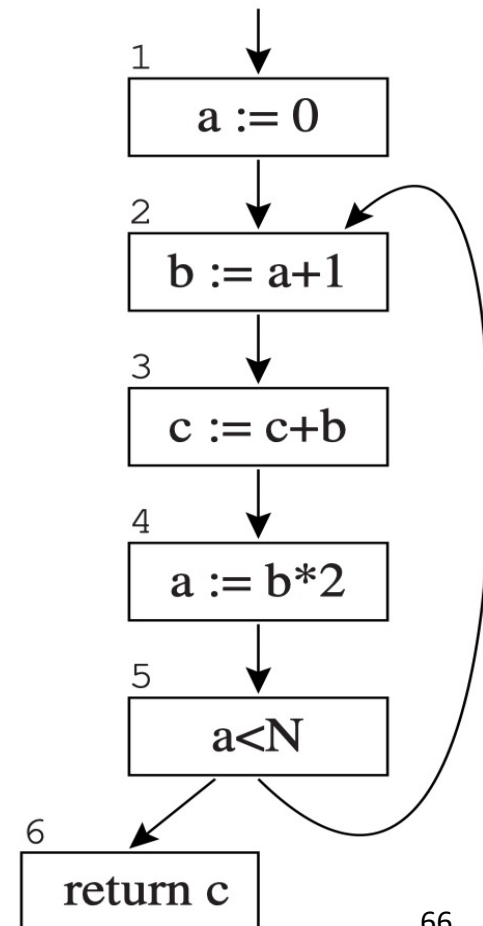
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

	use	def	1 st		2 nd		3 rd	
			out	in	out	in	out	in
6	c			c				
5	a							
4	b	a						
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



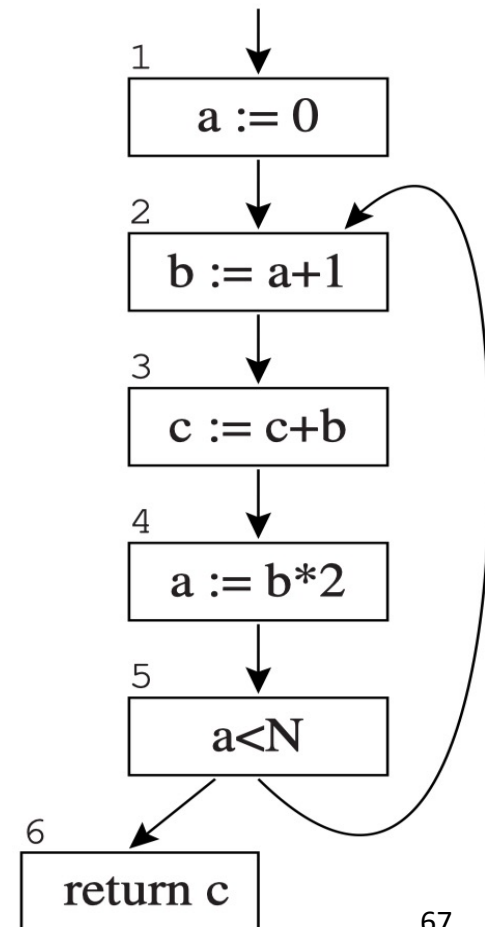
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c					
4	b	a						
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



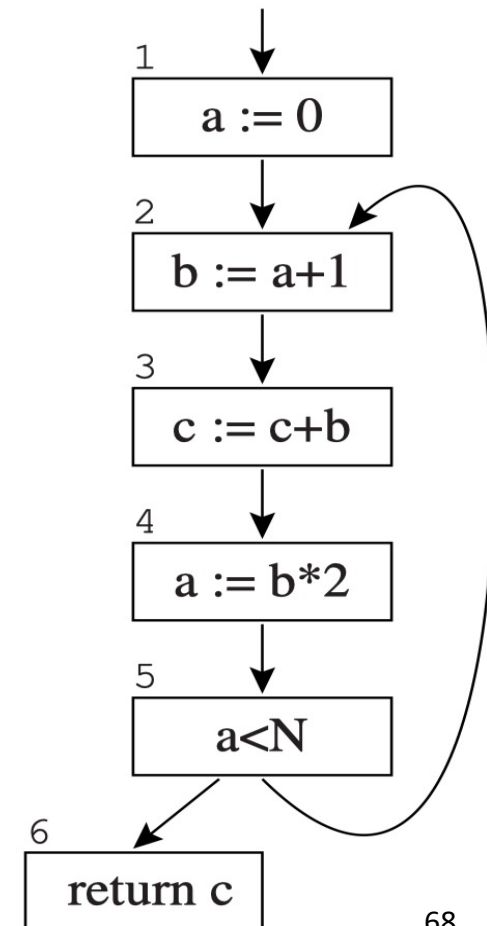
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a						
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



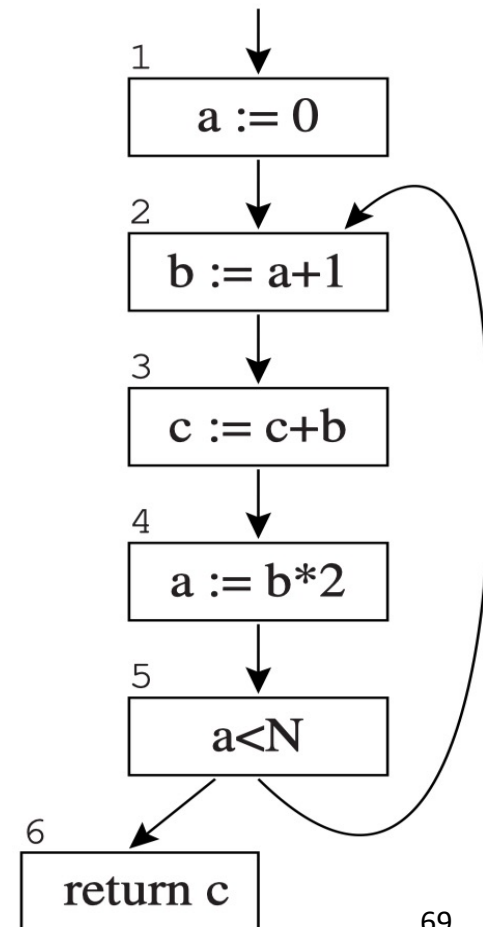
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

	use	def	1 st		2 nd		3 rd	
			out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac					
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



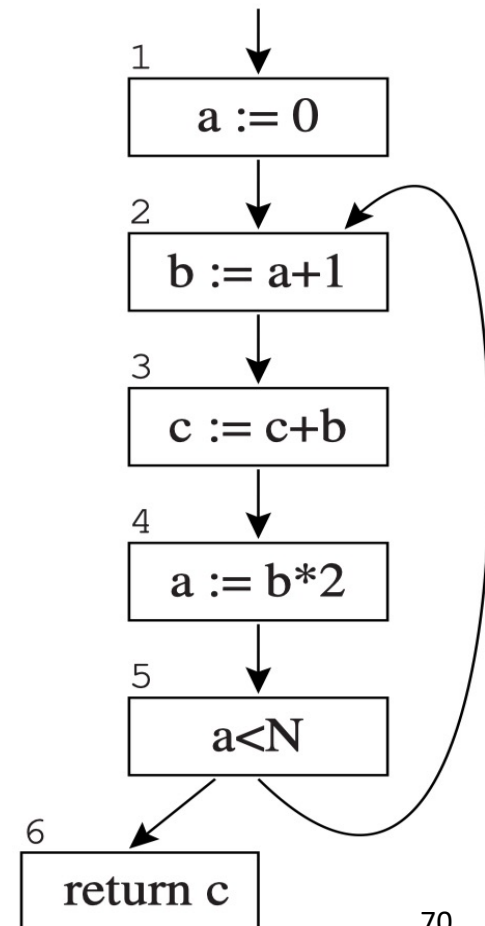
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c						
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



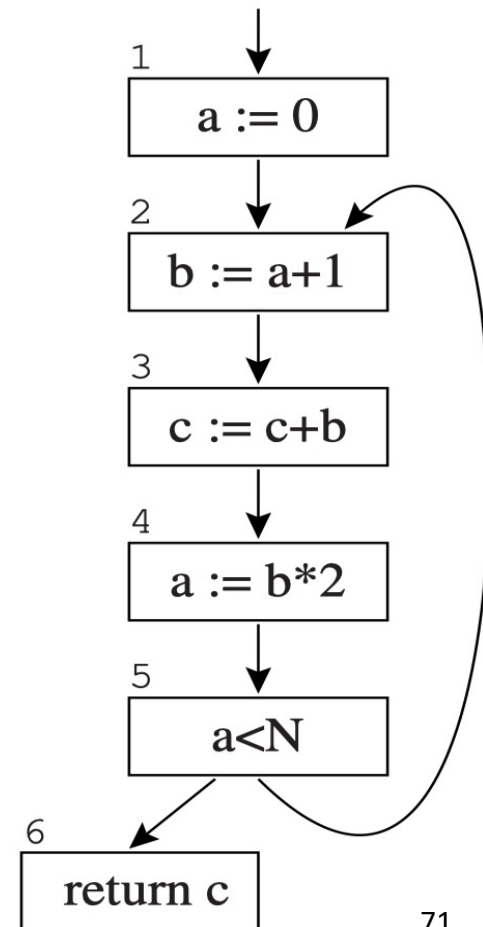
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc					
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



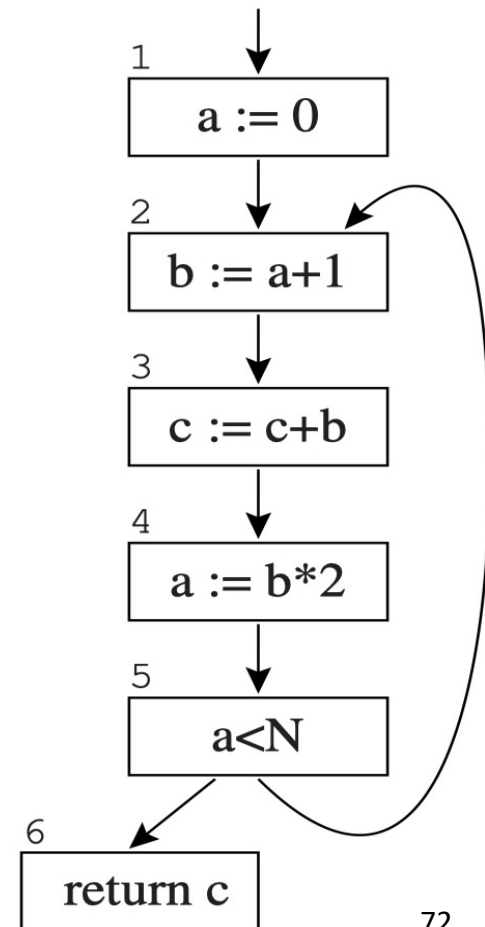
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc	bc				
2	a	b						
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



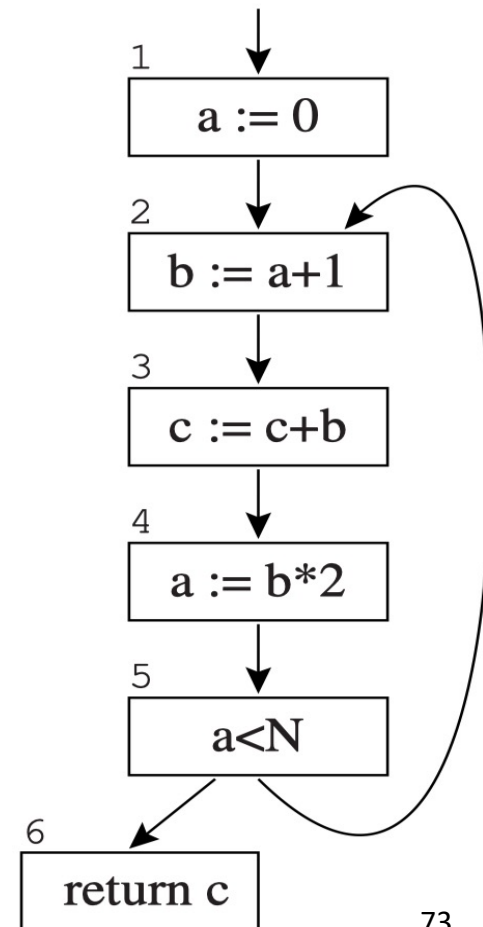
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc	bc				
2	a	b	bc					
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



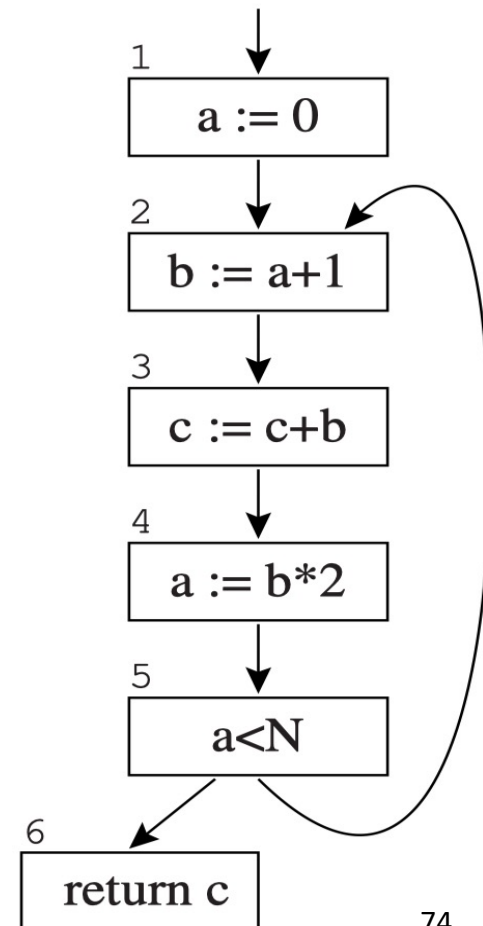
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc	bc				
2	a	b	bc	ac				
1		a						

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



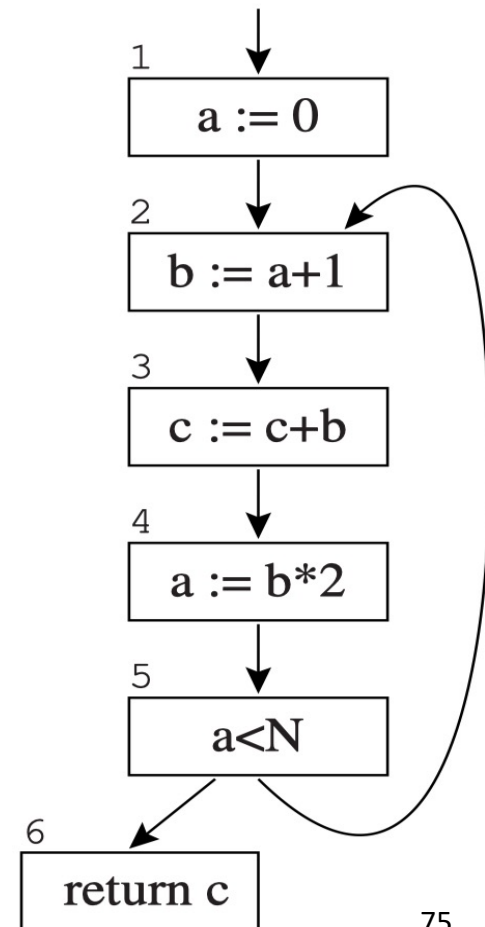
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc	bc				
2	a	b	bc	ac				
1		a	ac					

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



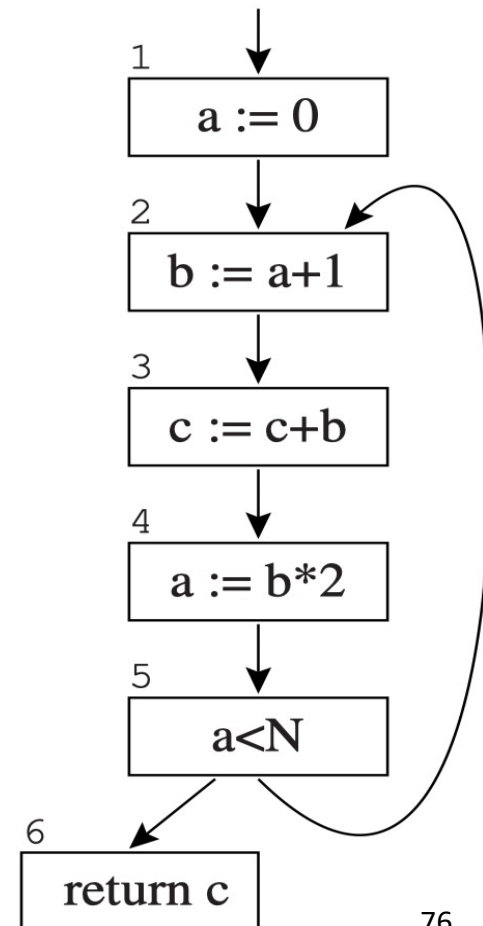
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

	use	def	1 st		2 nd		3 rd	
			out	in	out	in	out	in
6	c			c				
5	a		c	ac				
4	b	a	ac	bc				
3	bc	c	bc	bc				
2	a	b	bc	ac				
1		a	ac	c				

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



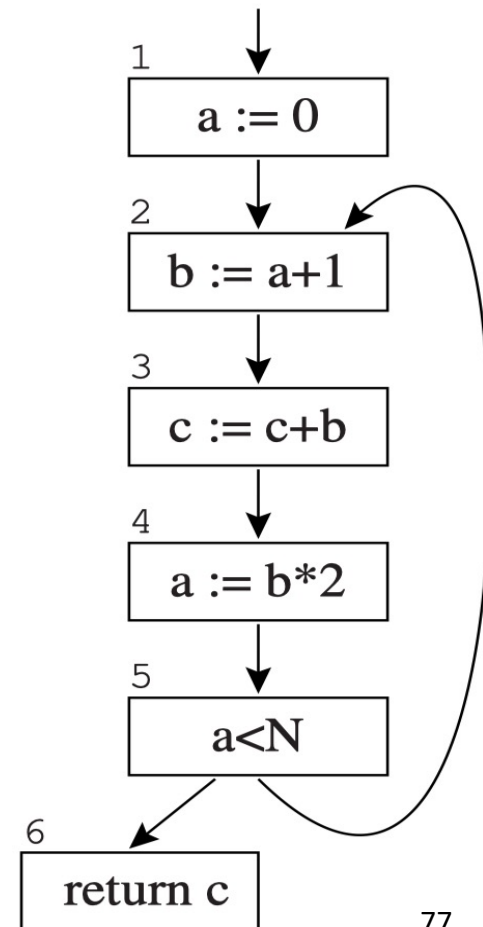
Example: Calculation of Liveness, Revisited

- $\text{out}[n]$ is computed from $\text{in}[s]$, $\text{in}[n]$ is computed from $\text{out}[n]$
- **Strategy:** speed the convergence by computing in **the opposite order** (from 6 to 1, from out to in)

			1 st		2 nd		3 rd	
	use	def	out	in	out	in	out	in
6	c			c		c		c
5	a		c	ac	ac	ac	ac	ac
4	b	a	ac	bc	ac	bc	ac	bc
3	bc	c	bc	bc	bc	bc	bc	bc
2	a	b	bc	ac	bc	ac	bc	ac
1		a	ac	c	ac	c	ac	c

$$\text{in}[n] = \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$$

$$\text{out}[n] = \bigcup_{s \in \text{succ}[n]} \text{in}[s]$$



Summary: Calculation of Liveness

- Following **forward** control-flow edges VS. Computing in **the opposite order**
- When solving dataflow equations by iteration, the order of computation should follow the “**flow**” of dataflow facts
- Liveness flows **backward** along control-flow arrows, and from “**out**” to “**in**”, so should the computation.

4. More Discussions

- **Improvements**
- **Theoretical Results**
- **Static vs. Dynamic Liveness**

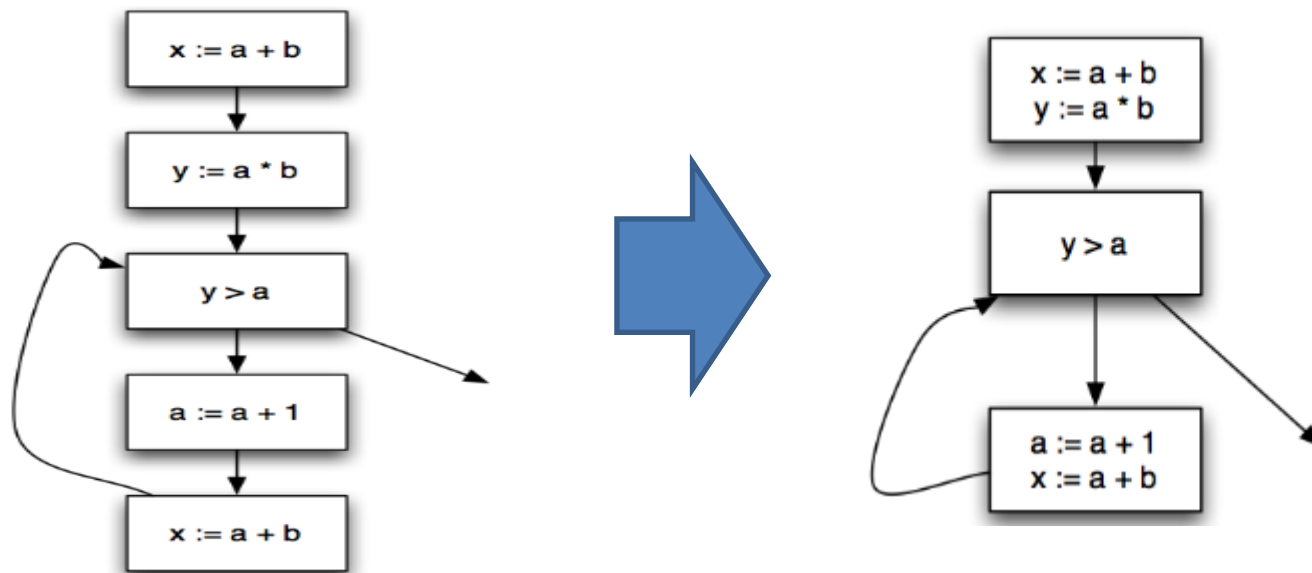
Optimizing the Iterative Solving Process

$$\begin{aligned} \text{in}[n] &= \text{use}[n] \cup (\text{out}[n] - \text{def}[n]) \\ \text{out}[n] &= \bigcup_{s \in \text{succ}[n]} \text{in}[s] \end{aligned}$$

- **Ordering the nodes**
- Use variants of Control-flow graph (CFG)
- Once a variable
- Careful selection of set representation
- Use other intermediate representation (IR)
-?

Improvements: Use different CFGs

- **Basic blocks:** Flow-graph nodes that have only one predecessor and one successor are not very interesting.
 - Merging them with their predecessors and successors
 - Obtaining a graph with fewer nodes, where each node represents a basic block



Improvements: Variants of the Calculation

- **One variable at a time:** compute dataflow for one variable at a time as information about that variable is needed.
- This is also practical, since many temporaries have very short live ranges.

Improvements: Representations of Sets

- **How to represent $\text{in}[n]$ and $\text{out}[n]$ for implementation?**
- Two methods: as arrays of bits or as sorted lists of variables
- **Bit Arrays** (for dense set)
 - Suppose: N variables in the program, K bits per word
 - N bits for each set
 - The union of two sets: **or**-ing the corresponding bits at each position.
 - One set-union operation takes N/K operations.
- **Sorted Lists** (for sparse set)
 - sorted by any totally ordered key (such as variable name)
 - The union operation: merging the lists
- When the sets are sparse (fewer than N/K elements, on the average), the sorted-list representation is faster.

4. More Discussions

- Improvements
- **Theoretical Results**
- Static vs. Dynamic Liveness

Theoretical Results: Decidability

- No compiler can ever fully understand how all the control flow in every program will work.
 - Prove through the halting problem
- **Theorem.** There is no program H that takes as input any program P and input X and (without infinite-looping) returns true if $P(X)$ halts and false if $P(X)$ infinite-loops.
- **Corollary.** No program $H'(P, L)$ can tell, for any program P and label L in P , whether the label L is ever reached on an execution of P .
 - prove by showing that if H' exists, then H exists.
 - let L be the end of the program, `halt` => `goto L`

Theoretical Results: Decidability

- This theorem does not mean that we can never tell if a given label is reached or not, just that there is not a **general** algorithm that can **always** tell (precisely)

```
x = y; // is x live here?  
f(); // does f halt??  
return x;
```

- We could improve our liveness analysis with some special-case algorithms.
- But, no compiler can really tell if a variable's value is truly needed – whether the variable is truly live.
- We have to make do with a **conservative approximation**.
 - Assume that any conditional branch goes both ways.

Theoretical Results: Time Complexity

- **How fast is the iterative dataflow analysis?**
- A program of size N : at most N nodes and at most N variables.
- Each **set-union operation** takes $O(N)$ time.
- **For loop**: computes a constant number of set operations per node; there are $O(N)$ nodes $\Rightarrow O(N^2)$ time
- **Repeat loop**: The sum of the sizes of all in and out sets is $2N^2$, which is the most that the repeat loop can iterate
 - **Why?** The in and out sets are monotonic, and cannot keep growing infinitely.
- Worst-case run time:
 - **$O(N^4)$**
- In practice :
 - **Between $O(N)$ and $O(N^2)$**
 - Proper computation order

```
for each n
  in[n] ← {}; out[n] ← {}
repeat
  for each n
    in'[n] ← in[n]; out'[n] ← out[n]
    in[n] ← use[n] ∪ (out[n] − def[n])
    out[n] ←  $\bigcup_{s \in \text{succ}[n]} \text{in}[s]$ 
  until in'[n] = in[n] and out'[n] = out[n] for all n
```

Theoretical Results: Least Fixed Points

	X		Y		Z	
	<i>use</i>	<i>def</i>	<i>in</i>	<i>out</i>	<i>in</i>	<i>out</i>
1		a	c	ac	cd	acd
2	a	b	ac	bc	acd	bcd
3	bc	c	bc	bc	bcd	bcd
4	b	a	bc	ac	bcd	acd
5	a		ac	ac	acd	acd
6	c		c		c	

$$in[n] = use[n] \cup (out[n] - def[n])$$

$$out[n] = \bigcup_{s \in succ[n]} in[s]$$

TABLE 10.7. X and Y are solutions to the liveness equations; Z is not a solution.

- Assume there is another program variable **d** not used in this fragment.
- Any solution to the dataflow equations is a conservation approximation.
 - If **a** is needed after node **n** in some execution, we can be assured that $a \in out[n]$ in any solution of the equations.
 - But the converse is not true. $a \in out[n]$ does not mean its value will really be used.

Acceptable?

Theoretical Results: Least Fixed Points

- **Theorem.** Equation 10.3 have more than one solutions
 - X and Y
- If X is the solution of Equation 10.3 and all solutions to Equation 10.3 contains Solution X , we say that X is the least solution (least fixed point) to Equation 10.3.
- Equation 10.3 have a least fixed point and the iteration algorithm described above always computes the least fixed point.

4. More Discussions

- Improvements
- Theoretical Results
- **Static vs. Dynamic Liveness**

Static and Dynamic Liveness

- **Static liveness (over-approximation)**
 - A variable a is statically live at node n if there is **some path of control-flow edges** from n to some use of a that does not go through a definition of a .
- **Dynamic liveness (under-approximation)**
 - A variable a is dynamically live at node n if **some execution** of the program goes from n to a use of a without going through any definition of a .

If a is dynamically live, it is also statically live.



Thank you all for your attention

Worklist Algorithm: Use a FIFO Queue of Nodes that Might Need to be Updated

for all n , $\text{in}[n] := \emptyset$, $\text{out}[n] := \emptyset$

w = new queue with all nodes

repeat until w is empty:

 let $n = w.\text{pop}()$

$\text{old_in} = \text{in}[n]$

$\text{out}[n] := \bigcup_{n' \in \text{succ}[n]} \text{in}[n']$

$\text{in}[n] := \text{use}[n] \cup (\text{out}[n] - \text{def}[n])$

 if ($\text{old_in} \neq \text{in}[n]$):

 for all m in $\text{pred}[n]$: $w.\text{push}(m)$

end

// pull a node off the queue

// remember old in[n]

// if in[n] has changed

// add pred to worklist