

Coming soon: PS7



## Class 15: Proof of DFA = Reg-Fun

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Photo: [Haris Angelidakis](#)

# Recall: Negation of regular expression

- Given regular expression  $e$ .
- Is there a regular expression  $e'$  that matches string  $x$  if and only if  $e$  does not match  $x$ ?
- Yes! By **Reg-Fun = DFA-Comp**, and by negating DFA.

**How to convert?**

**Reg-Fun  $\subseteq$  DFA-Comp**

TCS, Section 6.4.2

**For each DFA  $M$ ,**  
**there is an equivalent regular expression  $e$**

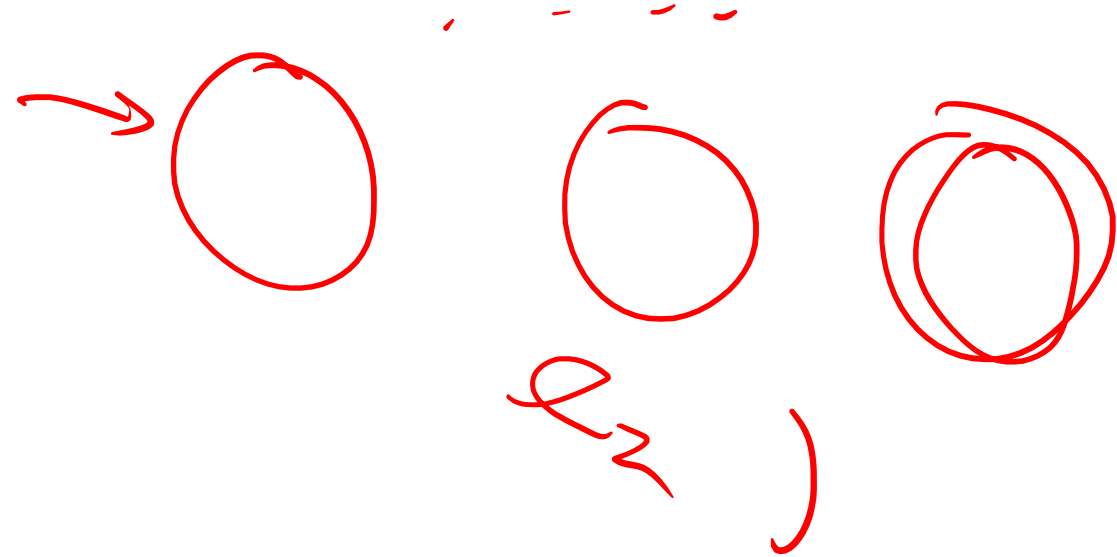
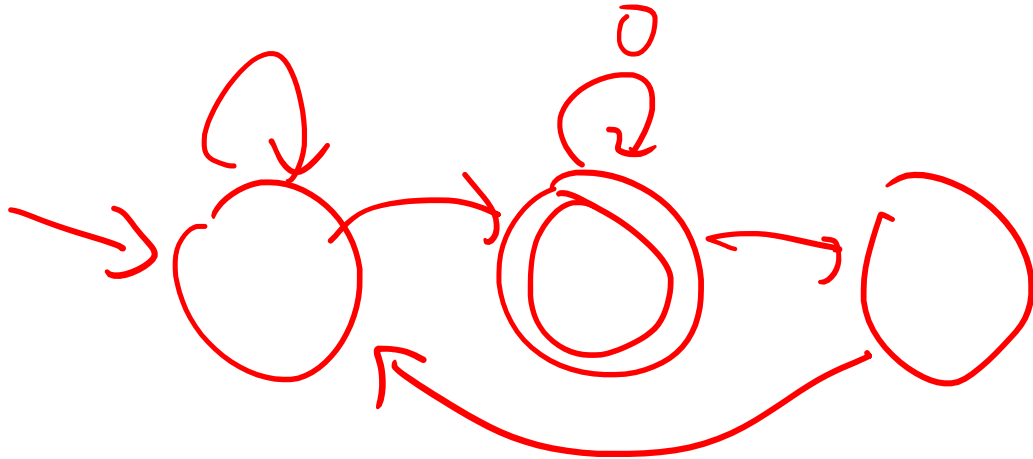
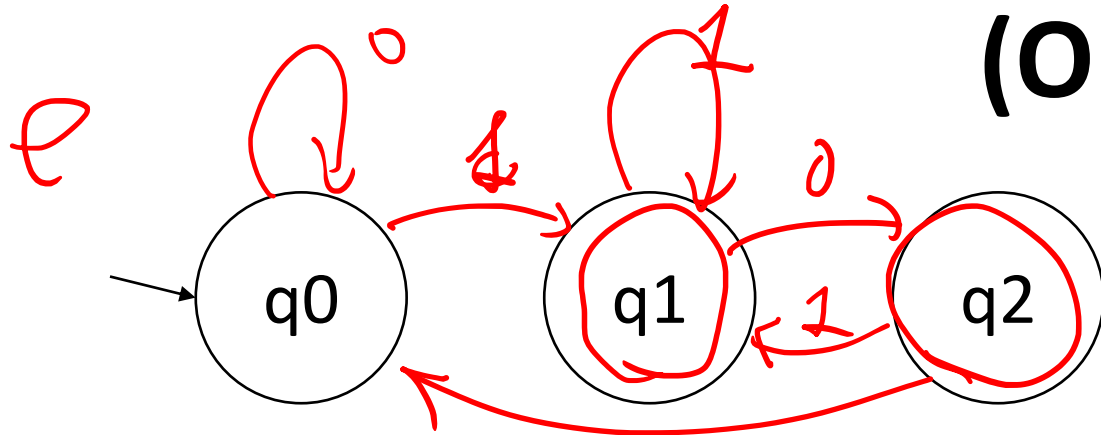
Proof? Generic way to construct such  $M$  for all  $e$ .

Algorithm: input  $e$ , output  $M$

Proof idea 1: Consider only one Accept state (OR later)

Proof idea 2: Induction on subsets of states (see next

# Proof idea 1: Consider only one Accept state (OR later)



$$p = (p_1) \mid ($$

## Proof idea 2: Induction on subsets of states

For any  $M$ , let  $\{0, 1, 2, \dots, C - 1\} = [C]$  be states in  $M$ , let  $v$  be the initial and  $w$  be the accept state.

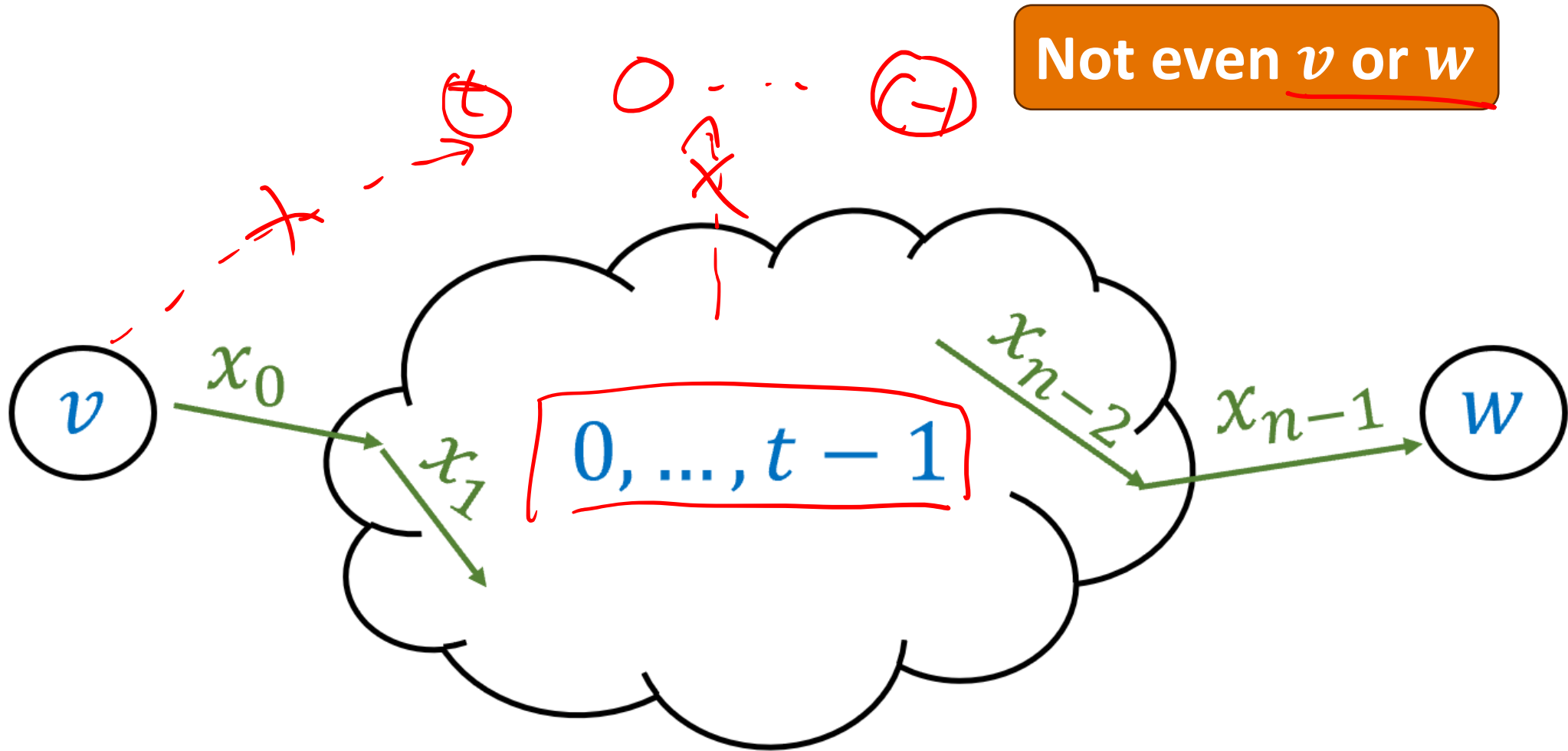
Let  $t$  be natural num.  $t < C$

Consider the subset  $[t] = \{0, 1, \dots, t - 1\}$ .

Let  $L_t$  be the strings go from  $v$  to  $w$  **only through**  $[t]$ .

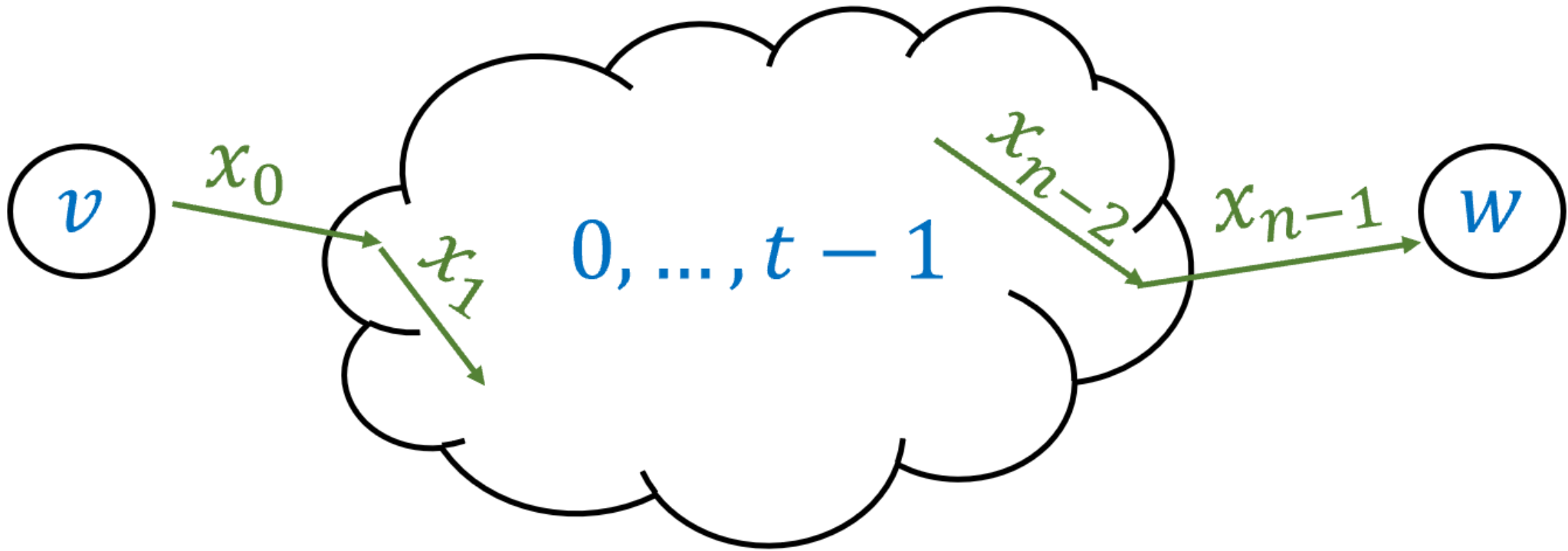
Consider the subset  $[t] = \{0, 1, \dots, t-1\}$ .

Let  $L_t(v, w)$  be the strings go from  $v$  to  $w$  **only through nodes in  $[t]$** .



Induction predicate,  $P(t)$ :

There exists a regular expression  $e_t$  matches  $L_t(v, w)$





Induction predicate,  $P(t)$ :

There exists a regular expression  $e_t$  such that matches  $L_t(v, w)$

Base case,  $P(0)$ .

If  $v = w$ :

- 0 edge

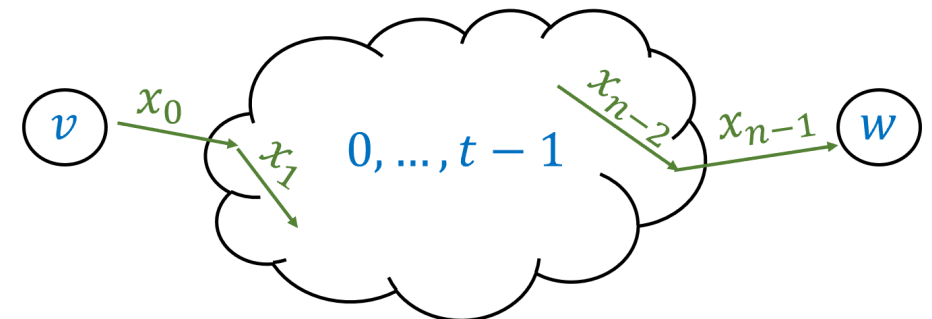
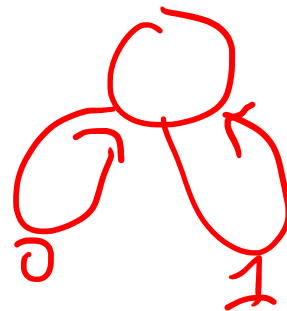
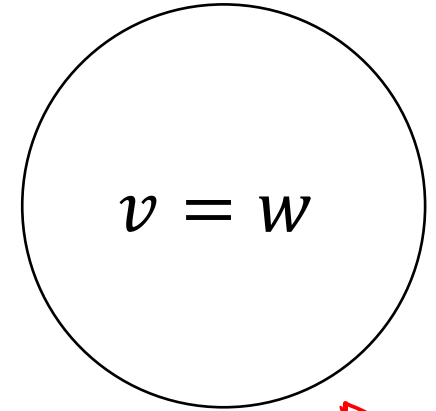
$e_0$  :  ~~$\epsilon$~~   $v = w$

- 1 edge

$e_0$  :  $b$

- 2 edges

$e_0$  :  $(0 | 1)$



Induction predicate,  $P(t)$ :

There exists a regular expression  $e_t$  such that matches  $L_t(v, w)$

Base case,  $P(0)$ .

If  $v \neq w$ :

- 0 edge

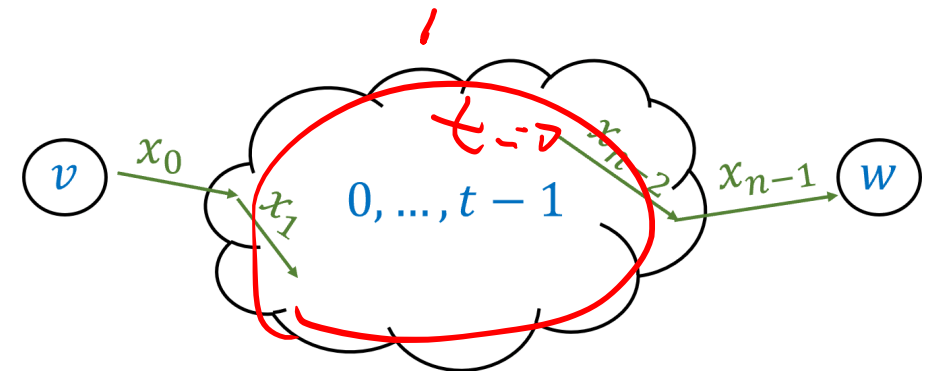
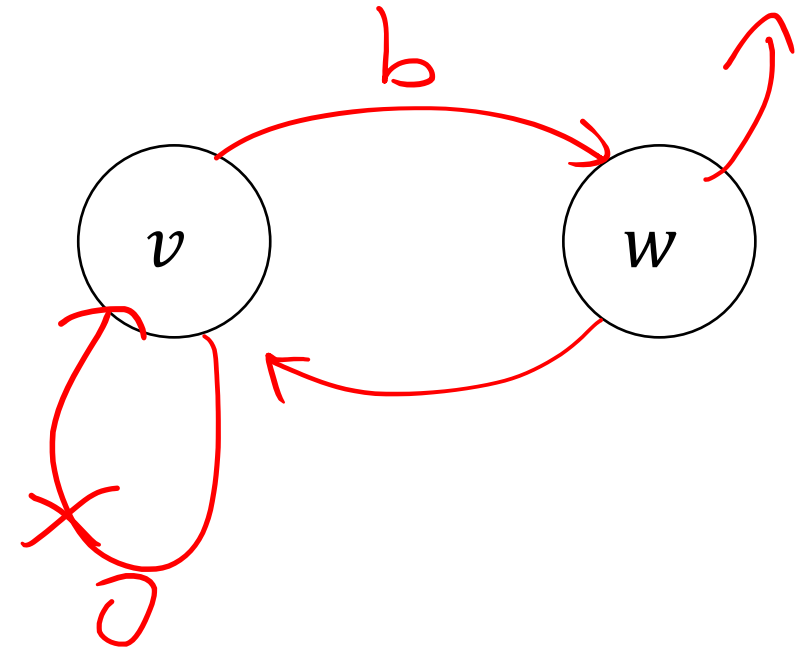
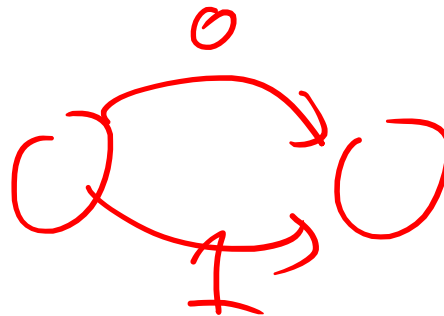
$e_0 :$   $\emptyset$

- 1 edge

$e_0 :$   $b$ ,  $b \in \{0, 1\}$

- 2 edges

$e_0 :$   $(0|1)$



Induction predicate,  $P(t)$ :

There exists a regular expression  $e_t$  such that matches  $L_t(v, w)$

Inductive case,  $P(t)$  holds.

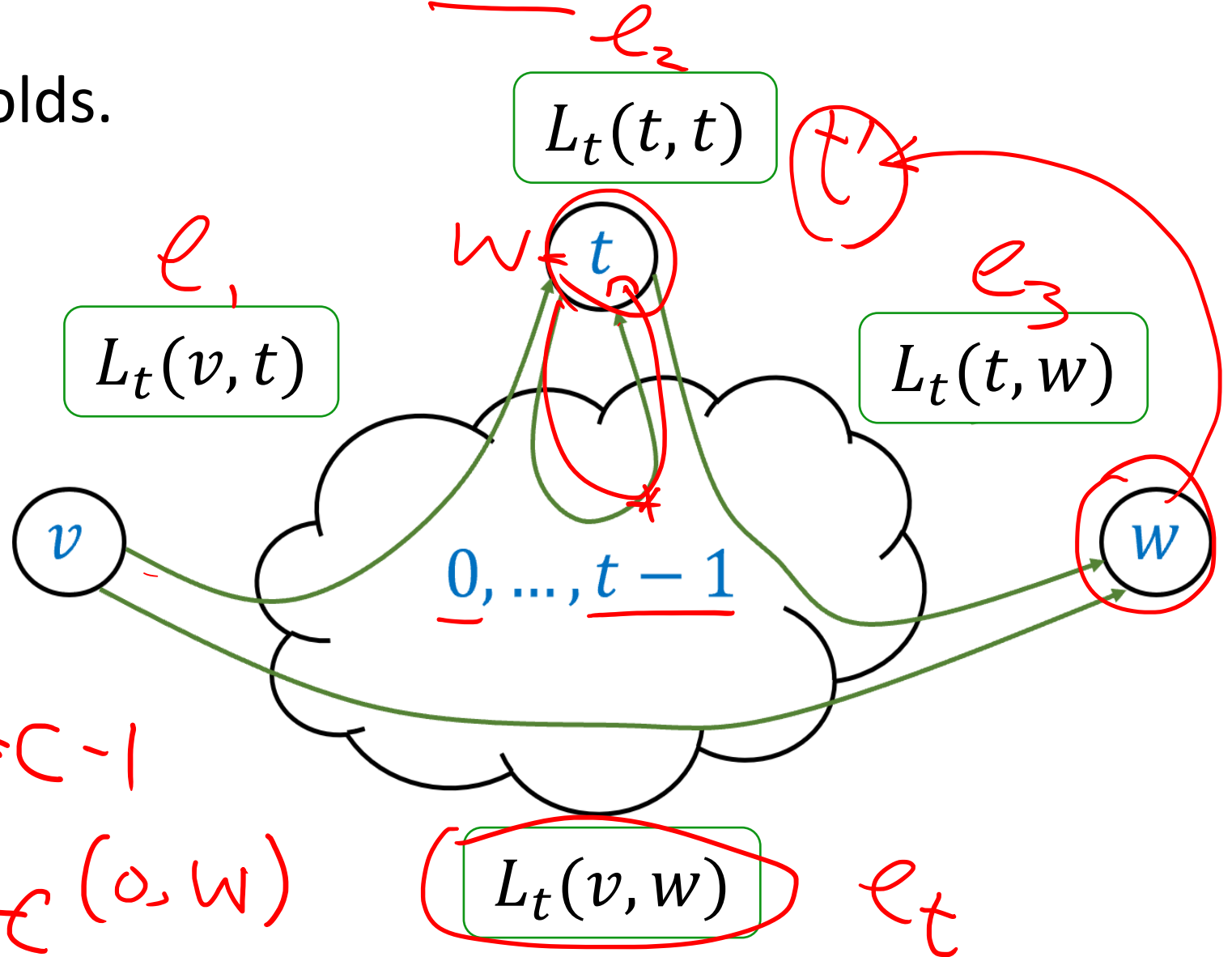
Want  $P(t+1)$ :

$e_{t+1} =$

$(e_1)(e_2)^*(e_3)$

$P(C) : v=0, w=C-1$

$L_C(w, w) - L_C(0, w)$





$$e = (e_{v,v})^* \left( e_{v,w} \left( e_{w,w} \left( e_{w,v} (e_{v,v})^* \right) (e_{v,w})^* \right) \right)$$

# DFA $\Rightarrow$ Regular Expression

Example?

Next? Regular Expression  $\Rightarrow$  DFA

**DFA-Comp  $\supseteq$  Reg-Fun**

Through Non-deterministic Finite Automata (NFA)

# Recall: Syntax of Regular Expressions

## Definition 6.6 (Regular expression)

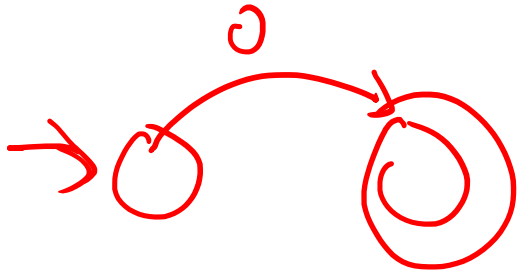
A *regular expression*  $e$  over an alphabet  $\Sigma$  is a string over  $\Sigma \cup \{ (, ), |, *, \emptyset, " " \}$  that has one of the following forms:

1.  $e = \sigma$  where  $\sigma \in \Sigma$
2.  $e = (e' | e'')$  where  $e', e''$  are regular expressions.
3.  $e = (e')(e'')$  where  $e', e''$  are regular expressions. (We often drop the parentheses when there is no danger of confusion and so write this as  $e' e''$ .)
4.  $e = (e')^*$  where  $e'$  is a regular expression.

Finally we also allow the following “edge cases”:  $e = \emptyset$  and  $e = " "$ . These are the regular expressions corresponding to accepting no strings, and accepting only the empty string respectively.

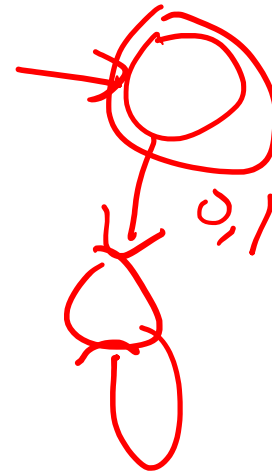
# Base Cases are Easy

$e = 0$

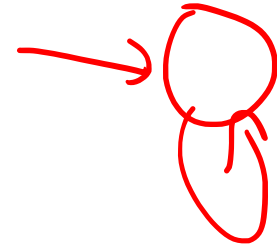


1

""



$\emptyset$

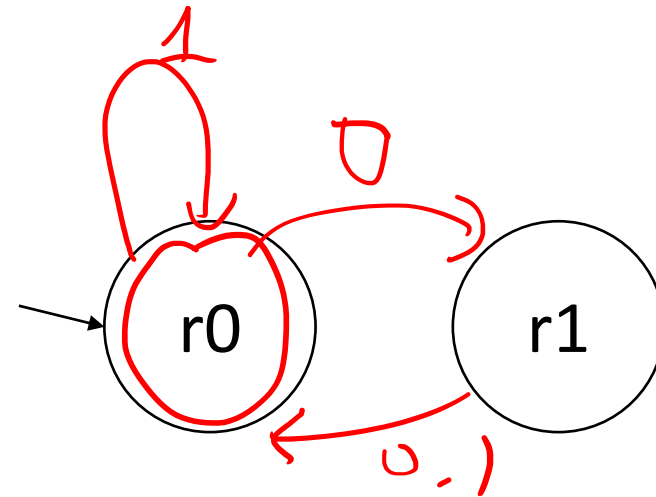
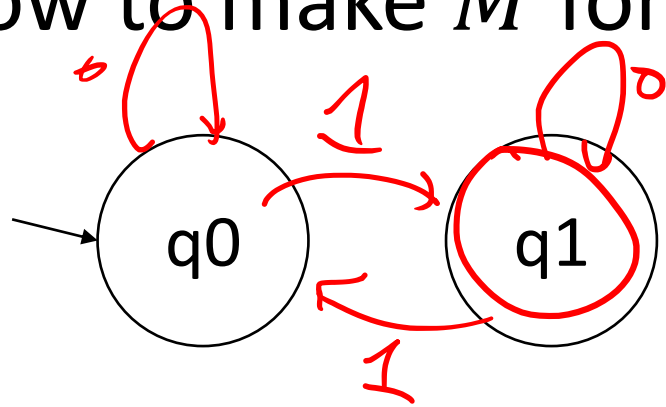




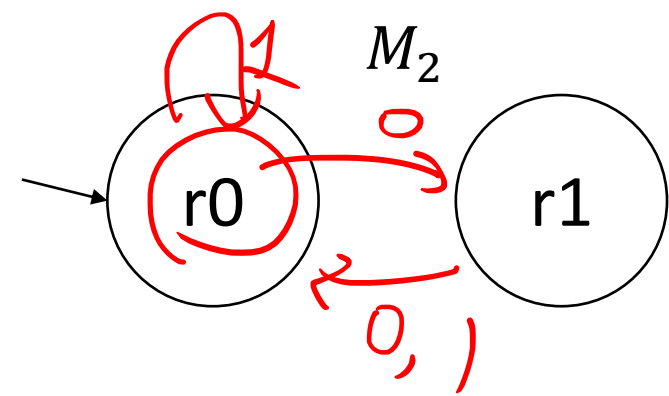
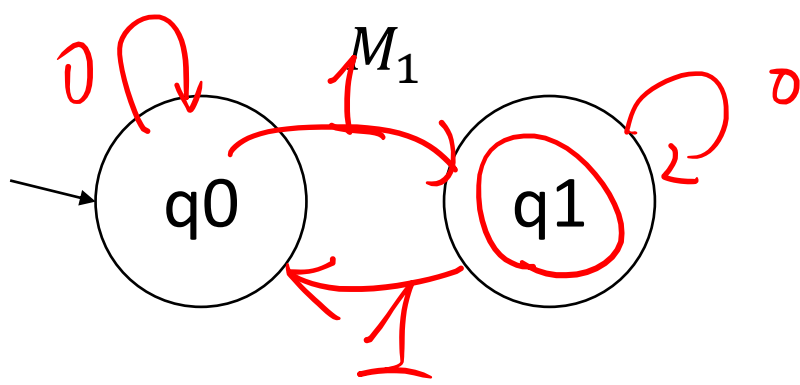
## Recursive Case: OR

$e = (e_1)|(e_2)$ . Suppose we have corresponding DFA  $M_1$  and  $M_2$  for  $e_1$  and  $e_2$ .

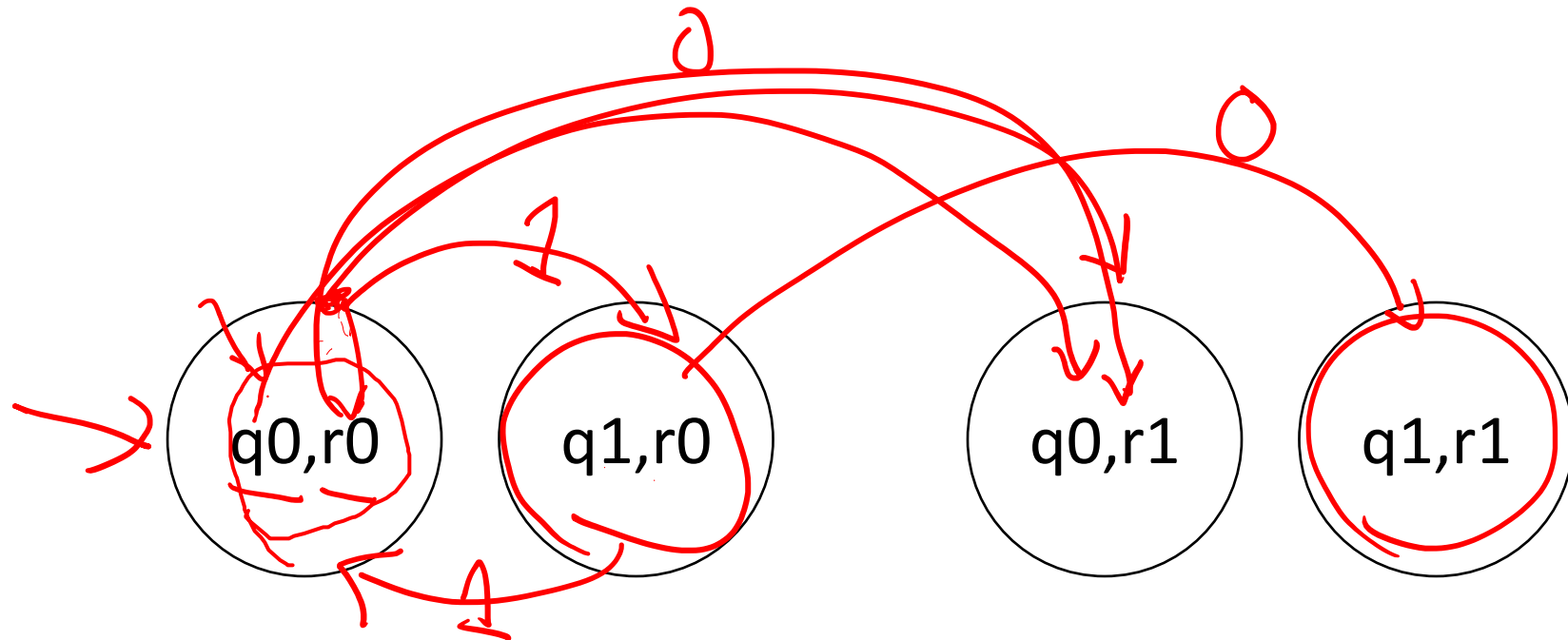
How to make  $M$  for  $e$ ?



Idea: the states of  $M$  is the product set of  $M_1$  and  $M_2$



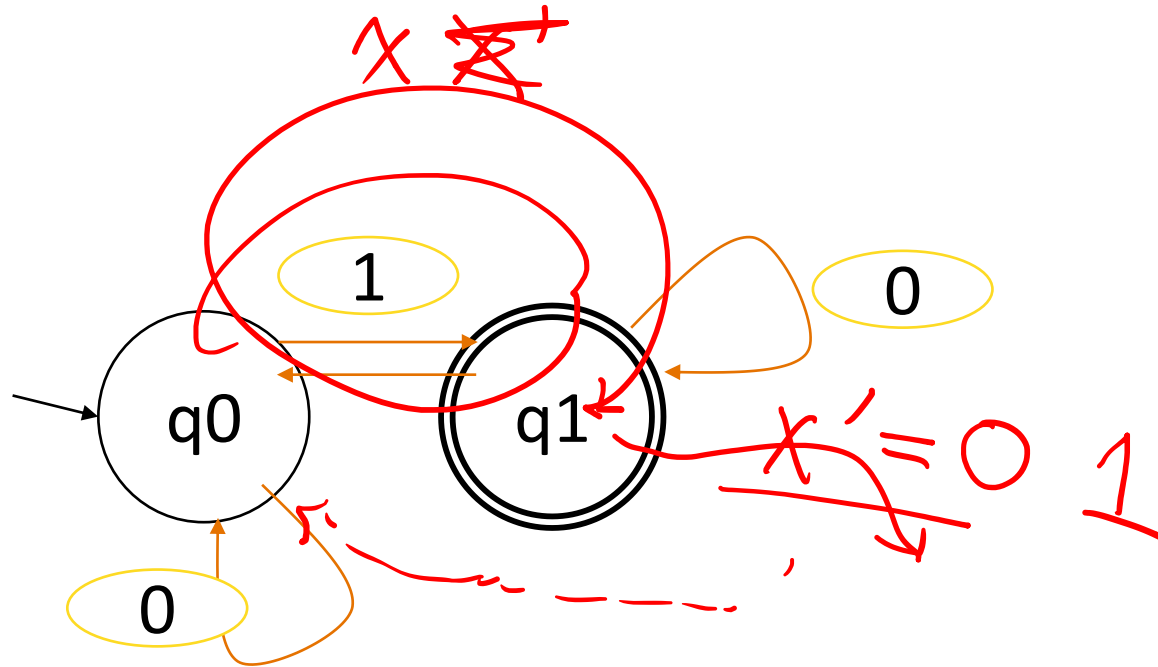
$M$



# Recursive Cases: Kleene Star

$$e = (e_1)^*$$

$M_1$



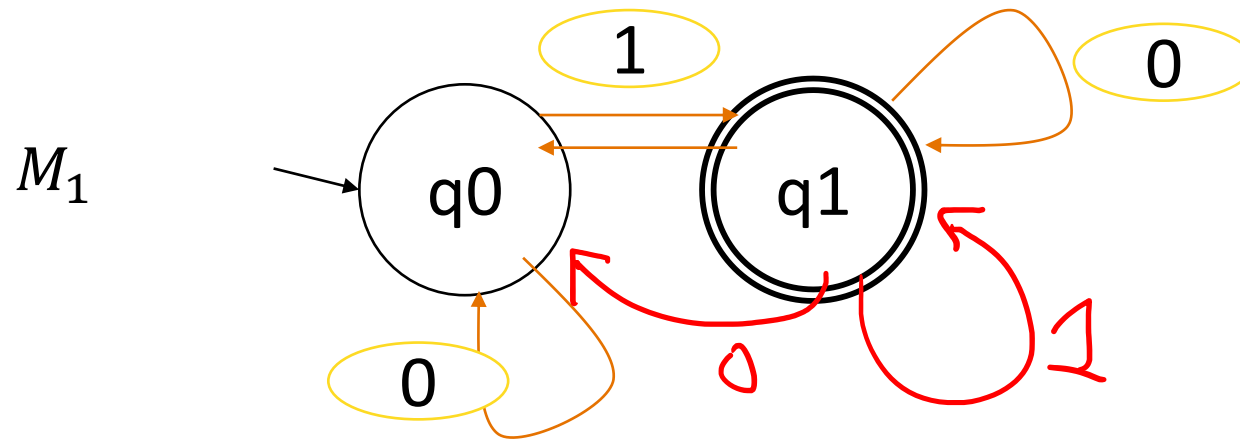
Hard: unclear how to split string  $x$  in concat (and  $*$ ).

What's the **next state** (when accepted) in  $M_1$ ?

Concat is same.

# Big Idea: Non-deterministic

$$e = (e_1)^*$$



Allow transition to **multiple states** (clearly, not DFA)  
Accept if exist a **path to accept**

# How should we change our DFA description to allow for *choices*?

A **(deterministic) finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where:

1.  $Q$  – a finite set (the *states*)
2.  $\Sigma$  – a finite set (the *alphabet*)
3.  $\delta: Q \times \Sigma \rightarrow Q$  – transition function
4.  $q_0 \in Q$  – the start state
5.  $F \subseteq Q$  – the set of accept states

A **nondeterministic finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where:

1.  $Q$  – a finite set (the *states*)
2.  $\Sigma$  – a finite set (the *alphabet*)
3.  $\delta: Q \times \Sigma \rightarrow \text{pow}(Q)$
4.  $q_0 \in Q$  – the start state
5.  $F \subseteq Q$  – the set of accept states