

HW 3 due this Friday, Feb 13 (10:00pm)

Quiz 4 coming soon

Class 8: Reg Exp \Rightarrow DFA (Non-deterministic)

University of Virginia
CS3120: DMT2

<https://weikailin.github.io/cs3120-toc>

Wei-Kai Lin

Photo:
[Movie](#)
[Poster](#)



Plan

Reg-Fun \subseteq DFA-Comp

Non-deterministic FA

NFA \subseteq DFA-Comp

Today: [Sipser] Section 1.2

- Formal definition of a nondeterministic finite automaton
- Equivalence of NFAs and DFAs

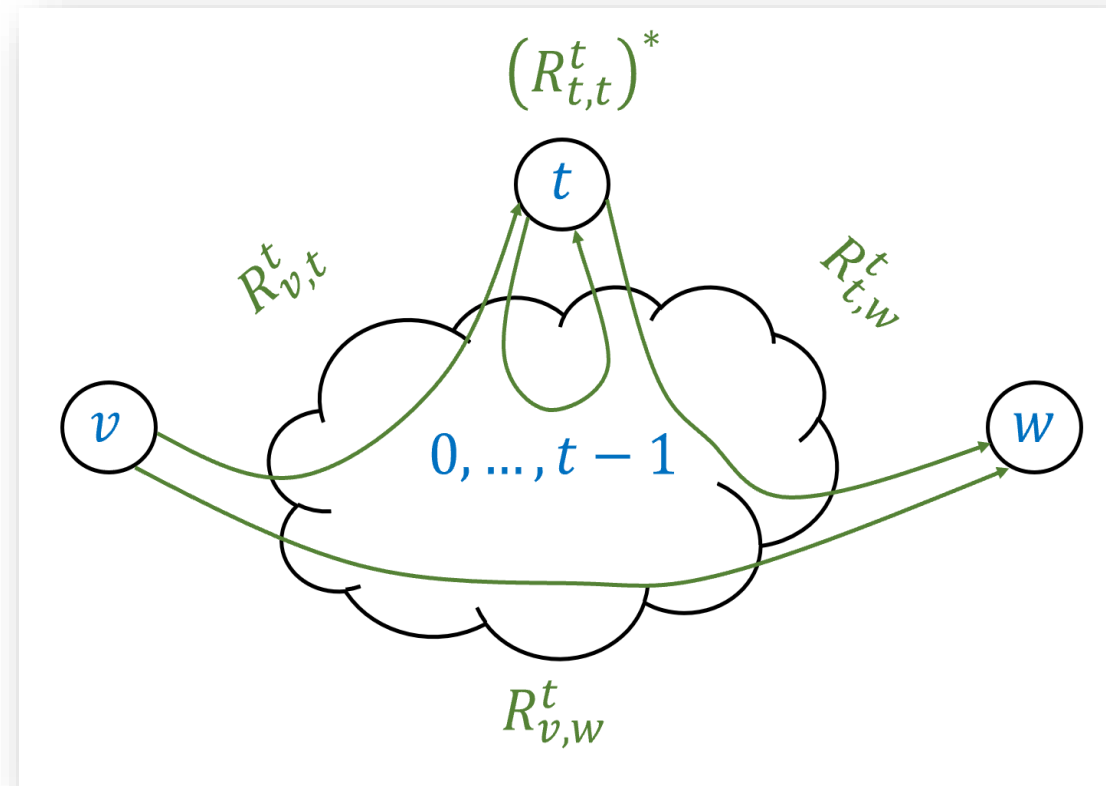
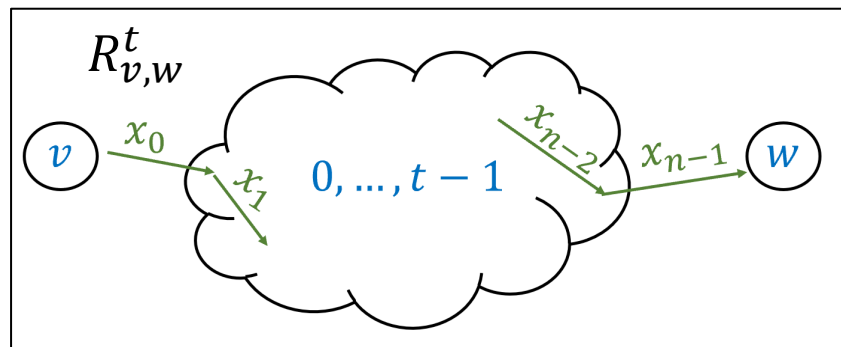
Recap: Reg-Fun \supseteq DFA-Comp

For each DFA M , there is an equivalent regular expression e .

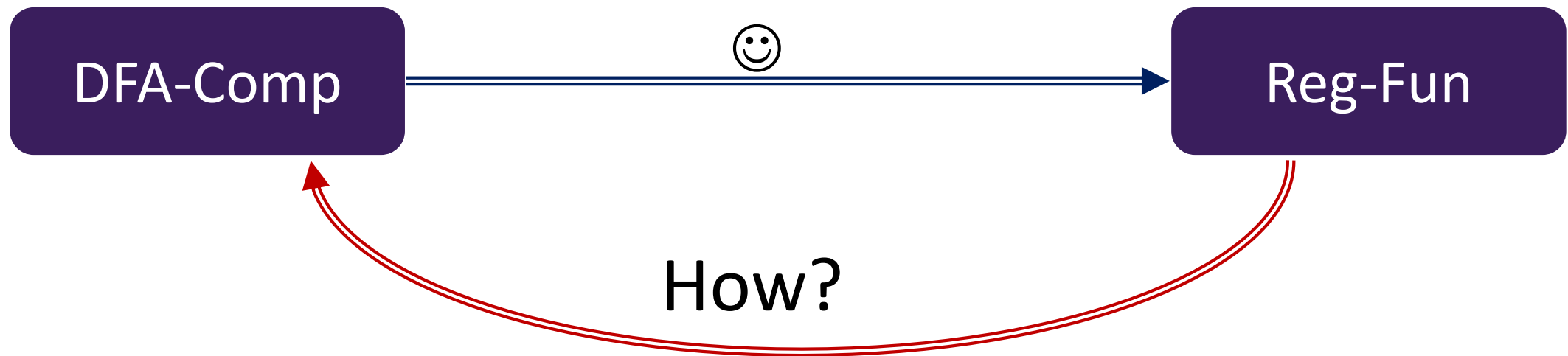
Proof by induction:

Consider the subset $[t] = \{0, 1, \dots, t-1\}$.

Let $R_{v,w}^t$ be the strings go from v to w **only through nodes in $[t]$** .



High-Level Proof Plan



$A \Rightarrow B$ We can convert every $M_A \in A$ to a $M_B \in B$
Such that for all x , M_A accepts x iff M_B accepts x

Reg-Fun \subseteq DFA-Comp

Introduction to the Theory of Computation
Section 1.2. Michael Sipser.

Recall: Syntax of Regular Expressions

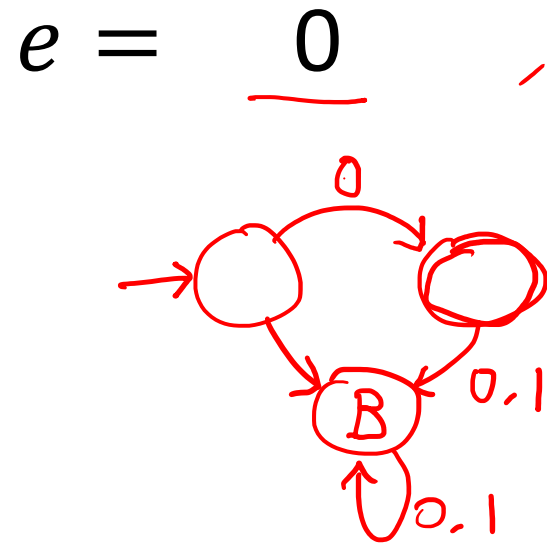
Definition 6.6 (Regular expression)

A *regular expression* e over an alphabet Σ is a string over $\Sigma \cup \{ (,), |, *, \emptyset, " " \}$ that has one of the following forms:

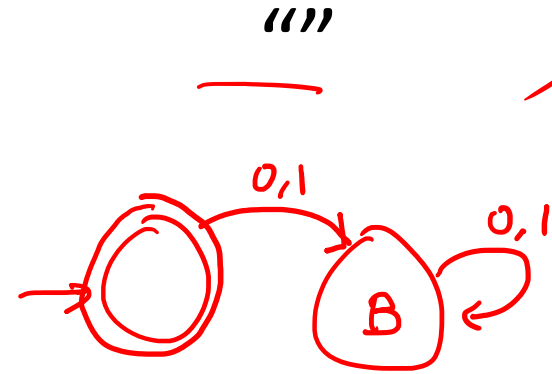
1. $e = \sigma$ where $\sigma \in \Sigma$
2. $e = (e' | e'')$ where e', e'' are regular expressions.
3. $e = (e')(e'')$ where e', e'' are regular expressions. (We often drop the parentheses when there is no danger of confusion and so write this as $e' e''$.)
4. $e = (e')^*$ where e' is a regular expression.

Finally we also allow the following “edge cases”: $e = \emptyset$ and $e = " "$. These are the regular expressions corresponding to accepting no strings, and accepting only the empty string respectively.

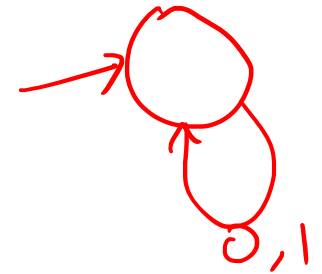
Base Cases are Easy



1 /



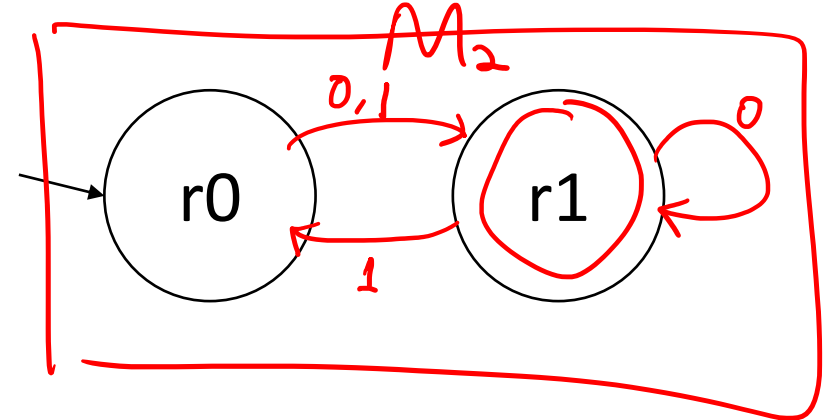
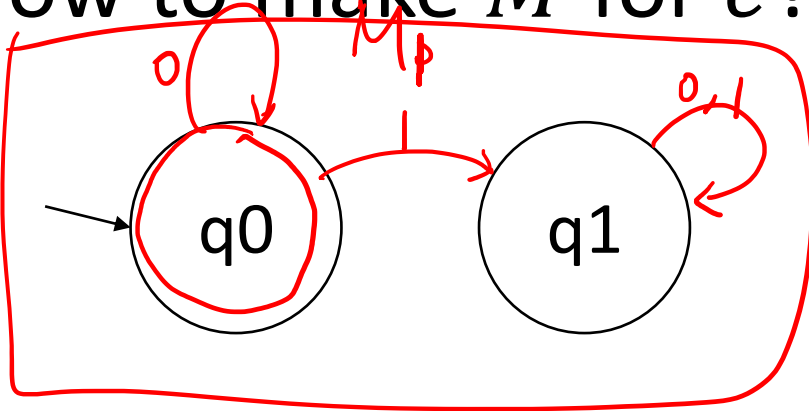
\emptyset



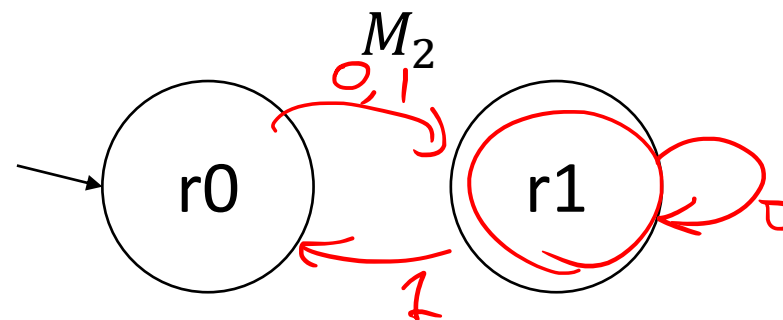
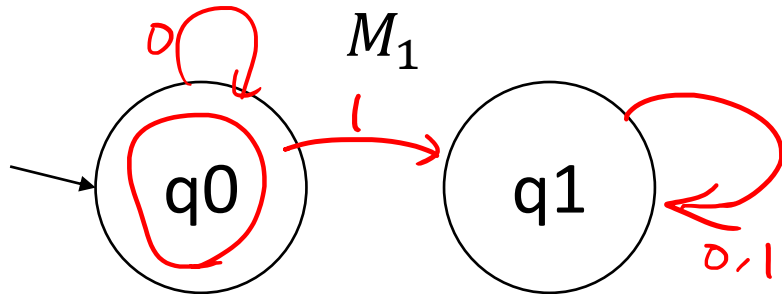
Recursive Case: OR

$e = (e_1)|(e_2)$. Suppose we have corresponding DFA M_1 and M_2 for e_1 and e_2 .

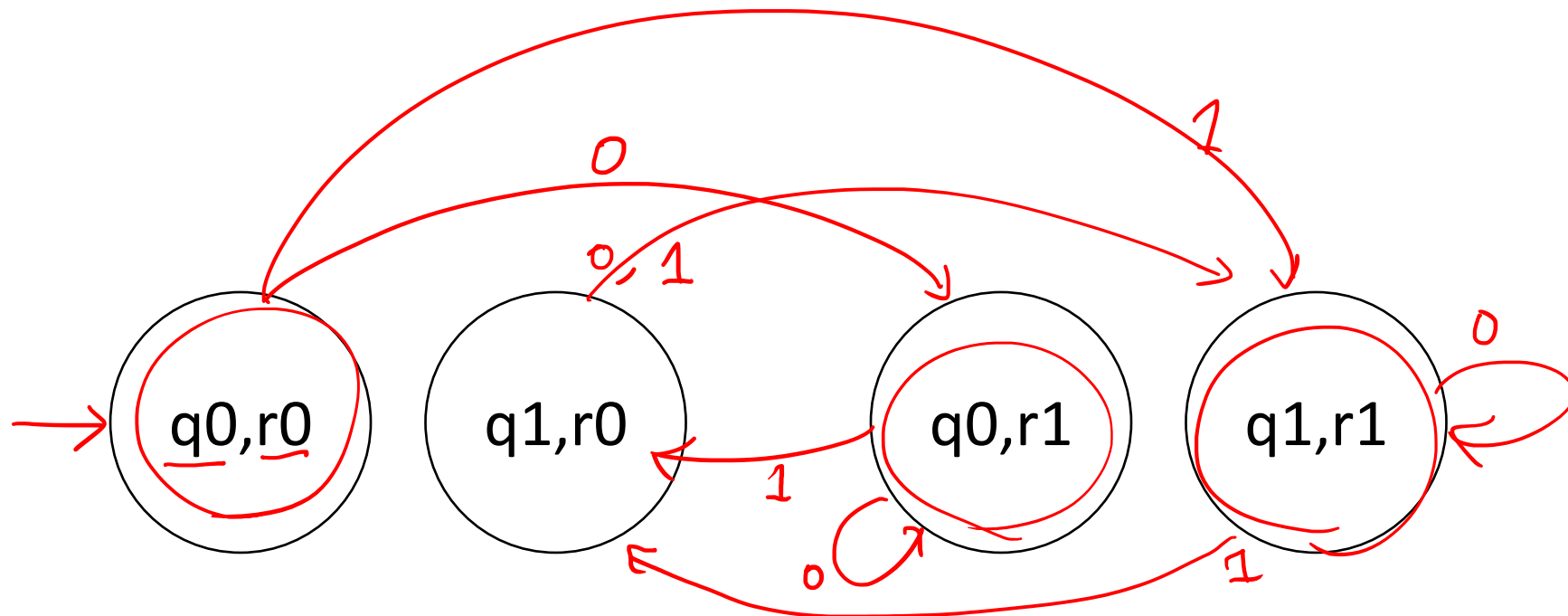
How to make M for e ?



Idea: the states of M is the product set of M_1 and M_2



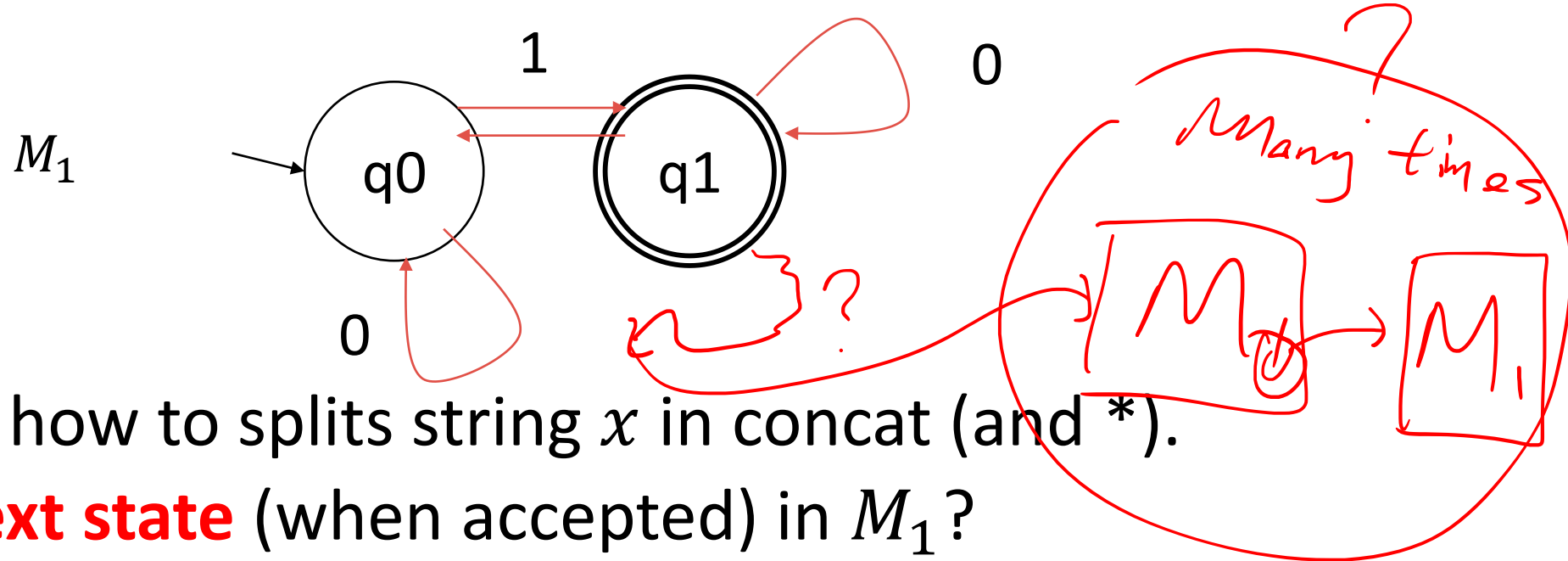
M



Recursive Cases: Kleene Star

Suppose M_1 is equivalent to e_1

$$e = (e_1)^*$$



Hard: unclear how to split string x in concat (and $*$).

What's the **next state** (when accepted) in M_1 ?

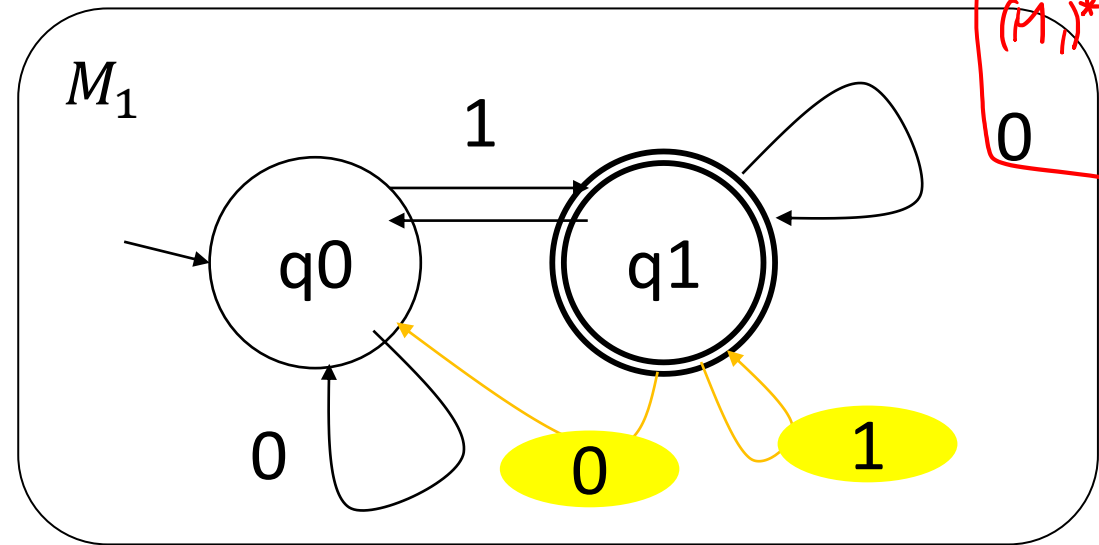
Concat is same.

Big Idea: Non-deterministic

$$e = (e_1)^*$$

Match $x = 1\ 0\ 0\ 1\ 0$

Suppose M_1 is equivalent to e_1



~~$M_1 \equiv \text{xor}$~~
 ~~$(M_1)^* \equiv (\text{xor})^*$~~
 ~~$\equiv \{01\}^*$~~

Allow transition to **multiple states** (clearly, not DFA)
Accept if exist a **path to accept**

How should we change our DFA description to allow for *choices*? $f: D \rightarrow R$

A **(deterministic) finite automaton** over alphabet $\{0,1\}$ is a tuple (C, T, S) where:

1. C --- the number of *states*
2. $T: [C] \times \{0,1\} \rightarrow [C]$
a transition function
3. $S \subseteq [C]$ --- the set of accept states

A **Nondeterministic Finite Automaton** over alphabet $\{0,1\}$ is a tuple (C, T, S) where:

1. C --- the number of *states*
2. $T: [C] \times \{0,1\} \rightarrow \text{pow}([C])$
a transition function
3. $S \subseteq [C]$ --- the set of accept states

How to evaluate an NFA?
Try all possible “choices”?!

How can we try all possible “executions”?

$$e = (e_1)^*,$$

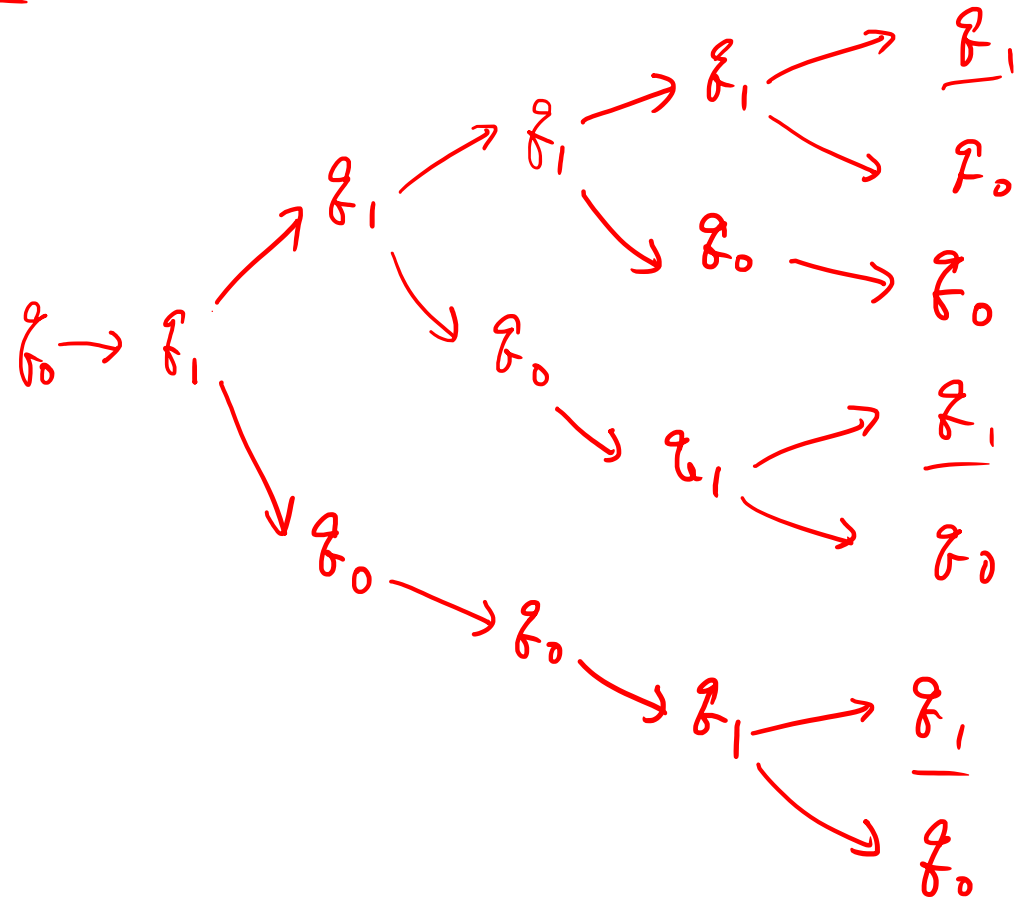
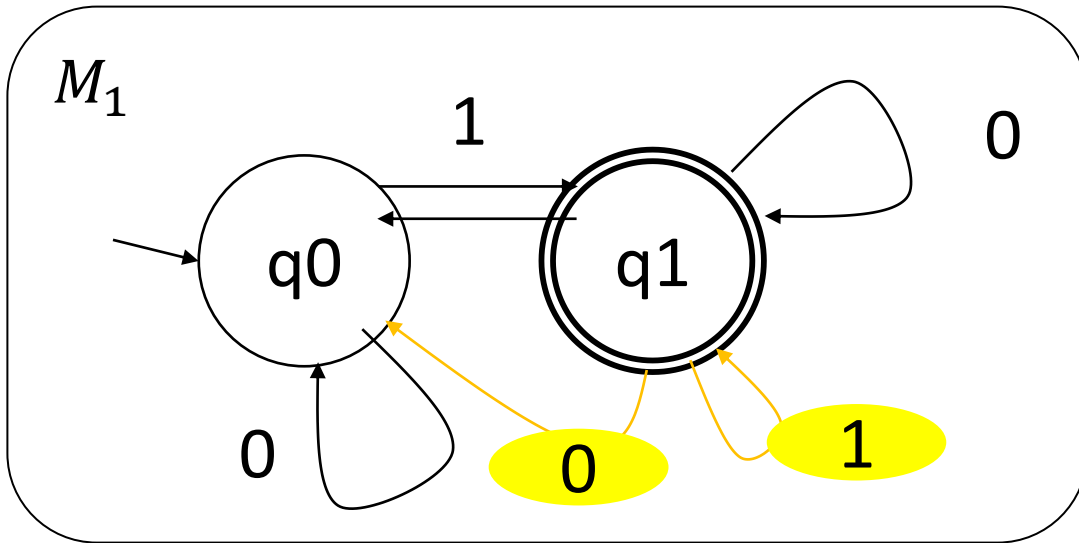
Match $x = 1$

0

0

1

0



Defining the NFA Model

A **Nondeterministic Finite Automaton** over alphabet $\{0,1\}$ is a tuple (C, T, S) where:

1. C --- the number of *states*
2. $T: [C] \times \{0,1\} \rightarrow \text{pow}([C])$
a transition function
3. $S \subseteq [C]$ --- the set of accept states

Recall our
DFA model:

The string $x = b_0 b_1 \dots b_n$ is matched by the DFA $M = (C, T, S)$ iff there are states $s_0, s_1, s_2, \dots, s_n \in [C]$ such that
 $s_{i+1} = T(s_i, b_i)$ for all $i = 0, \dots, n - 1$ and $s_0 = 0$ and $s_n \in S$.

Defining the NFA Model

A **Nondeterministic Finite Automaton** over alphabet $\{0,1\}$ is a tuple (C, T, S) where:

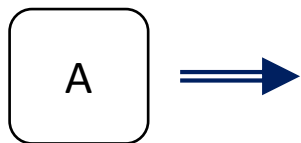
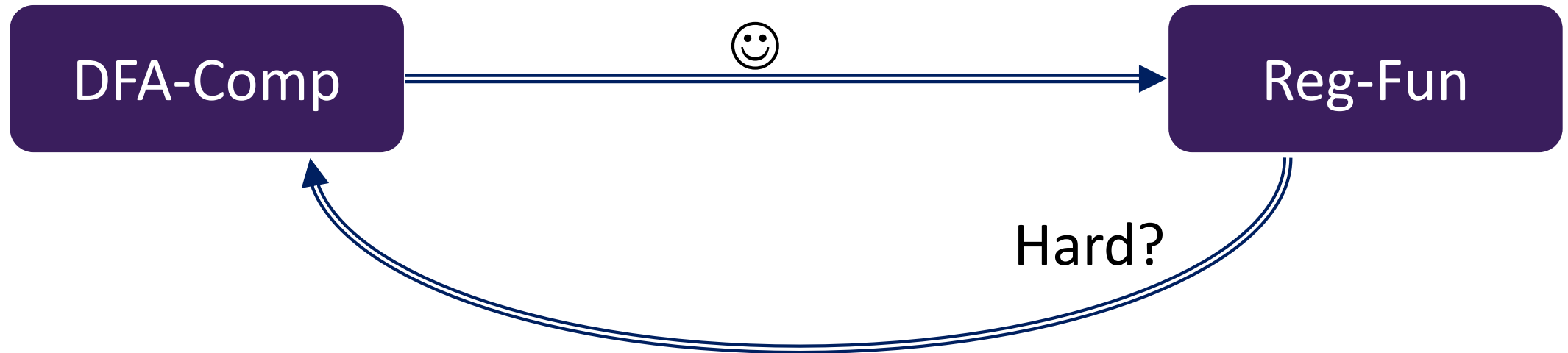
1. C --- the number of states
2. $T: [C] \times \{0,1\} \rightarrow \text{pow}([C])$
a transition function
3. $S \subseteq [C]$ --- the set of accept states

The string $x = b_0b_1 \dots b_n$ is matched by the NFA $M = (C, T, S)$ iff there are states $s_0, s_1, s_2, \dots, s_n \in Q$ such that $s_{i+1} \in T(s_i, b_i)$ for all $i = 0, \dots, n - 1$ and $s_0 = 0$ and $s_n \in S$.

Recall our
DFA model:

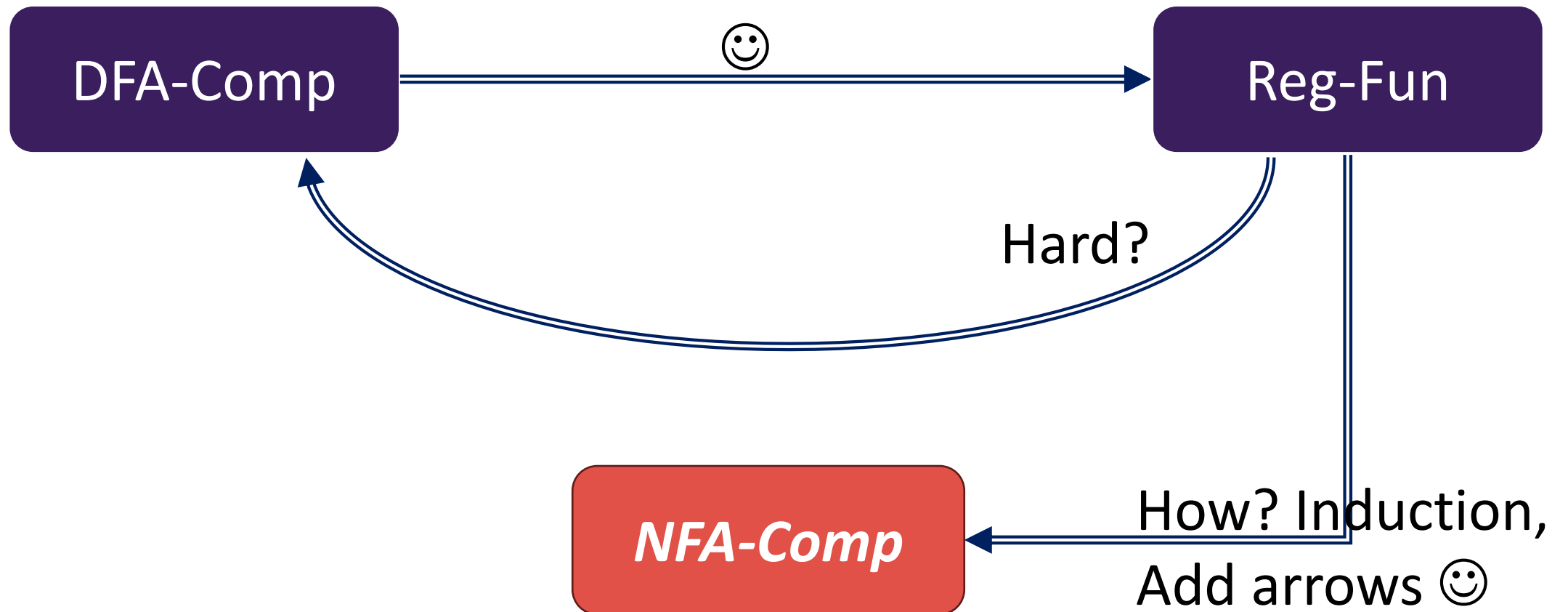
The string $x = b_0b_1 \dots b_n$ is matched by the DFA $M = (C, T, S)$ iff there are states $s_0, s_1, s_2, \dots, s_n \in [C]$ such that $s_{i+1} = T(s_i, b_i)$ for all $i = 0, \dots, n - 1$ and $s_0 = 0$ and $s_n \in S$.

Recalling the High-Level Proof Plan



We can convert every $M_A \in A$ to a $M_B \in B$
Such that for all x , M_A accepts x iff M_B accepts x

High-Level Proof Plan

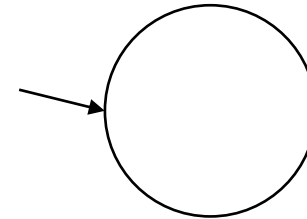
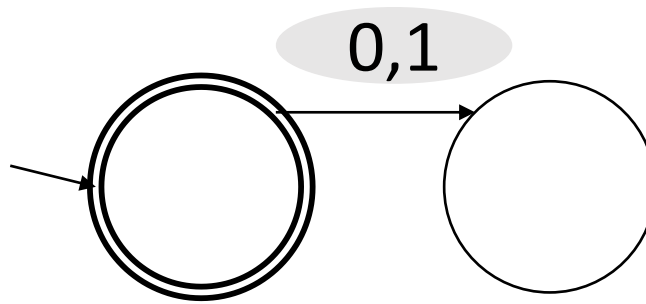
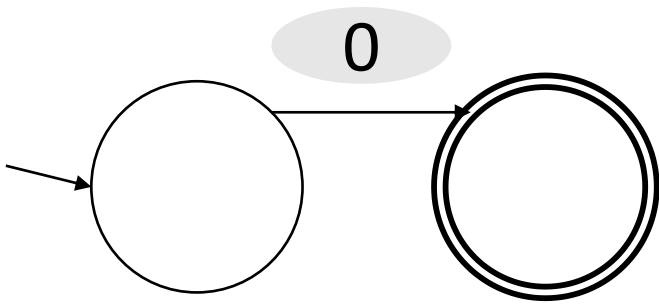


Base Cases are Similar to DFA

$e = 0 \quad 1$

""

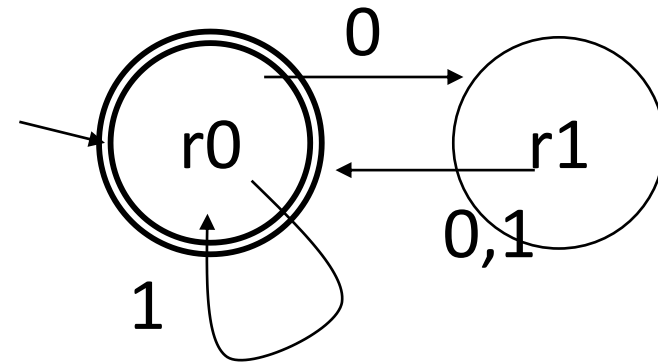
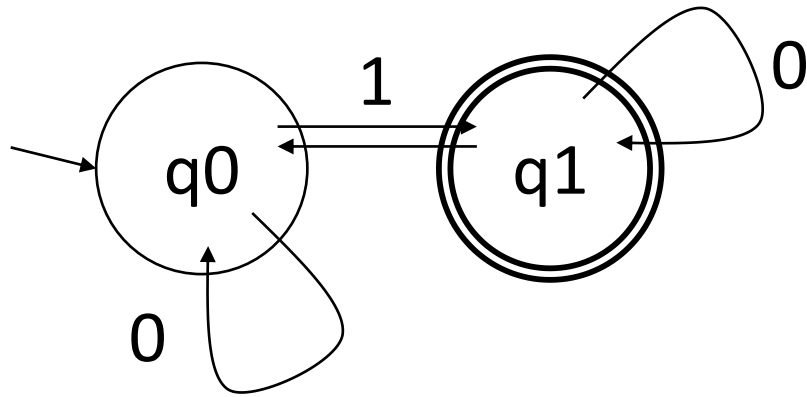
\emptyset



Recursive Case: OR

$e = (e_1)|(e_2)$. Suppose we have corresponding NFA M_1 and M_2 for e_1 and e_2 .

How to make M for e ?

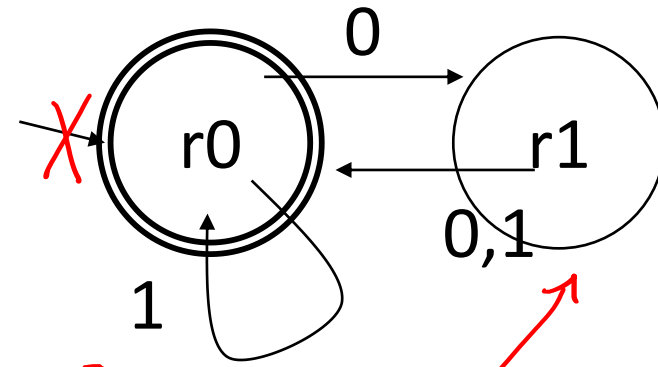
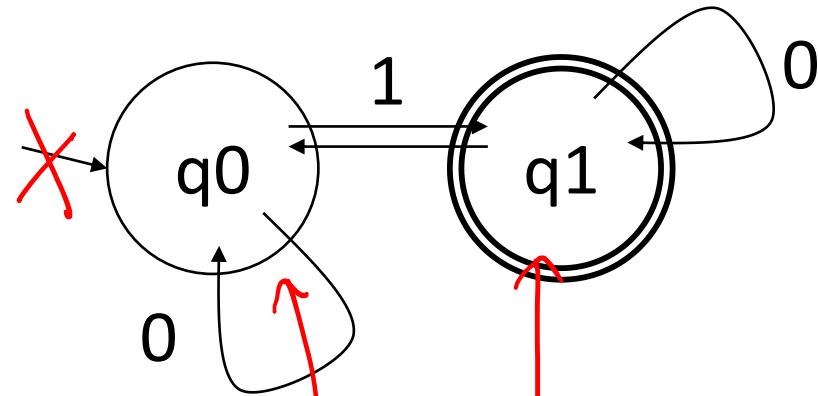


Idea: Add a new init state and new edges

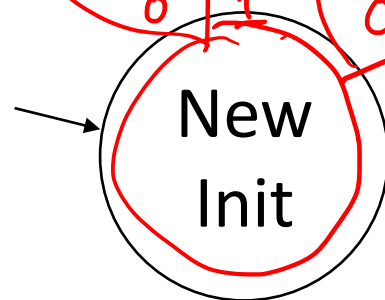
Want: M_1

OR

M_2



M

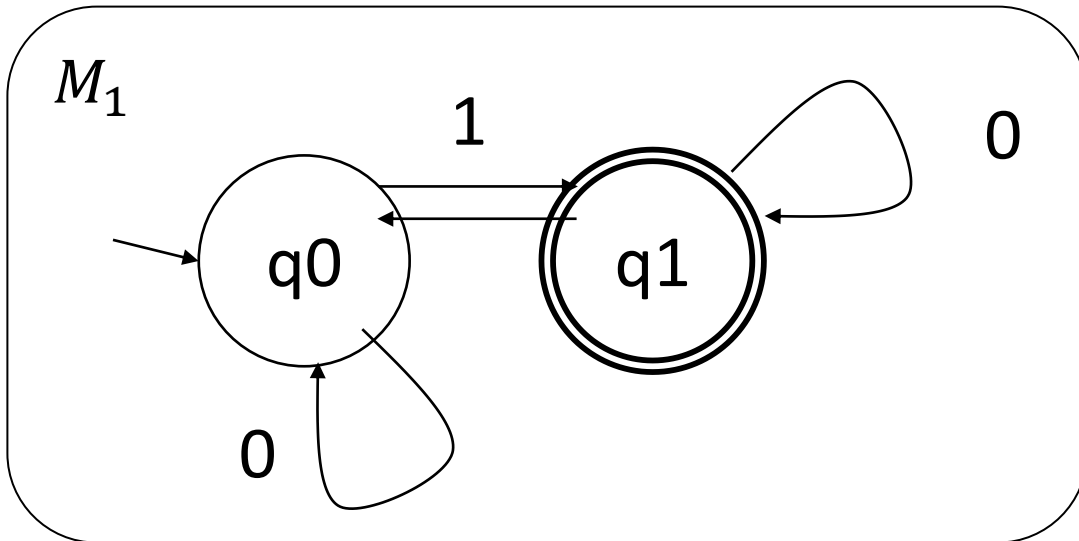


Need to prove, omitted.
 $OR(M_1, M_2) \equiv M$

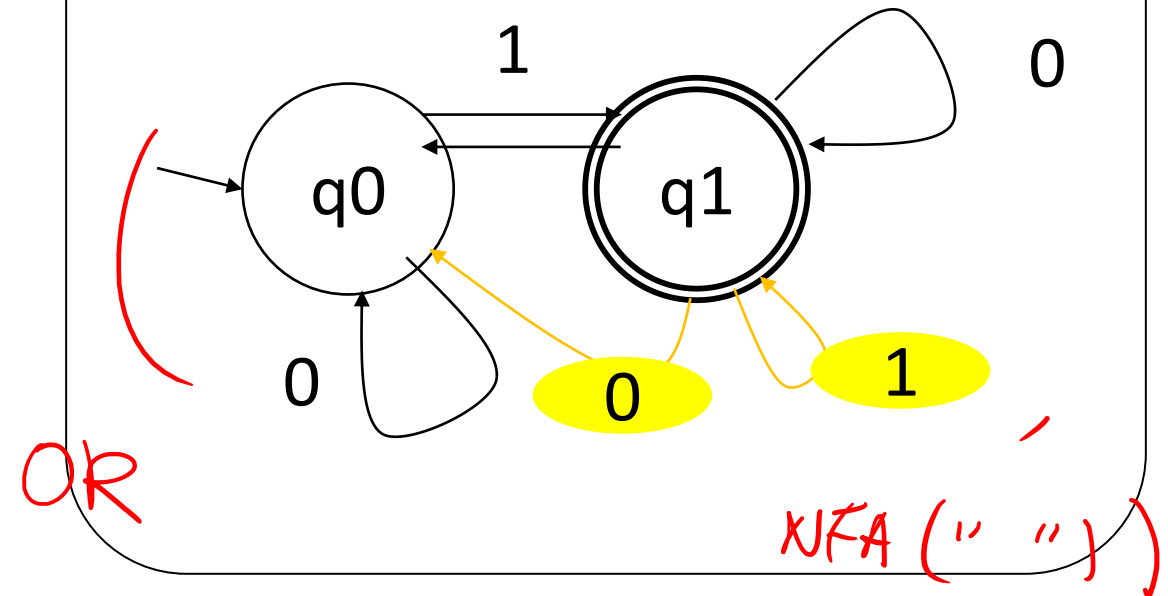
Recursive Case: Kleene Star

$X = 10010$

M_1 is equivalent to e_1

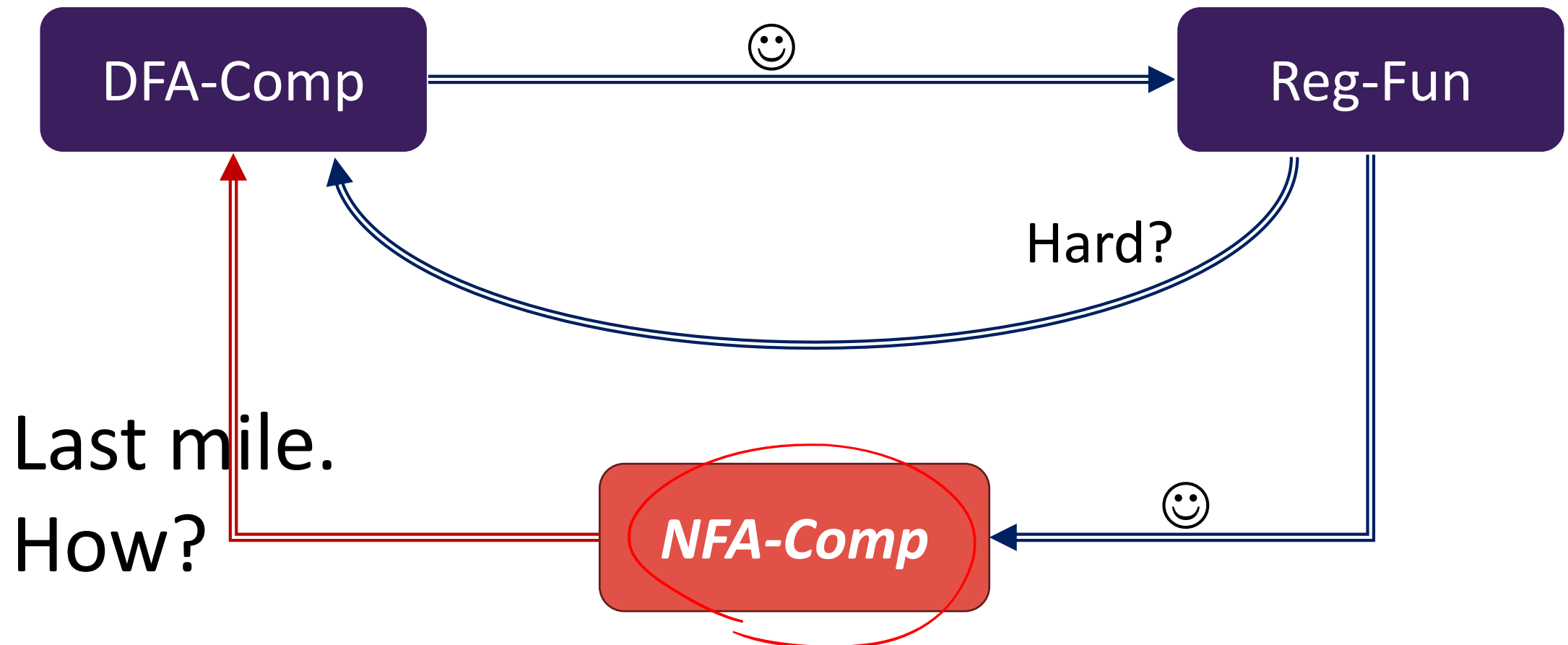


M is equivalent to $e = (e_1)^*$



Idea: In each accept state, “emulate” as the initial state

High-Level Proof Plan



Logistics

- HW 2: Check test cases, resubmit before Feb 11
- Only a few response to “Office Hours” and “Mead Coffee”

HW 3 due this Friday, Feb 13 (10:00pm)

Quiz 4 Coming soon