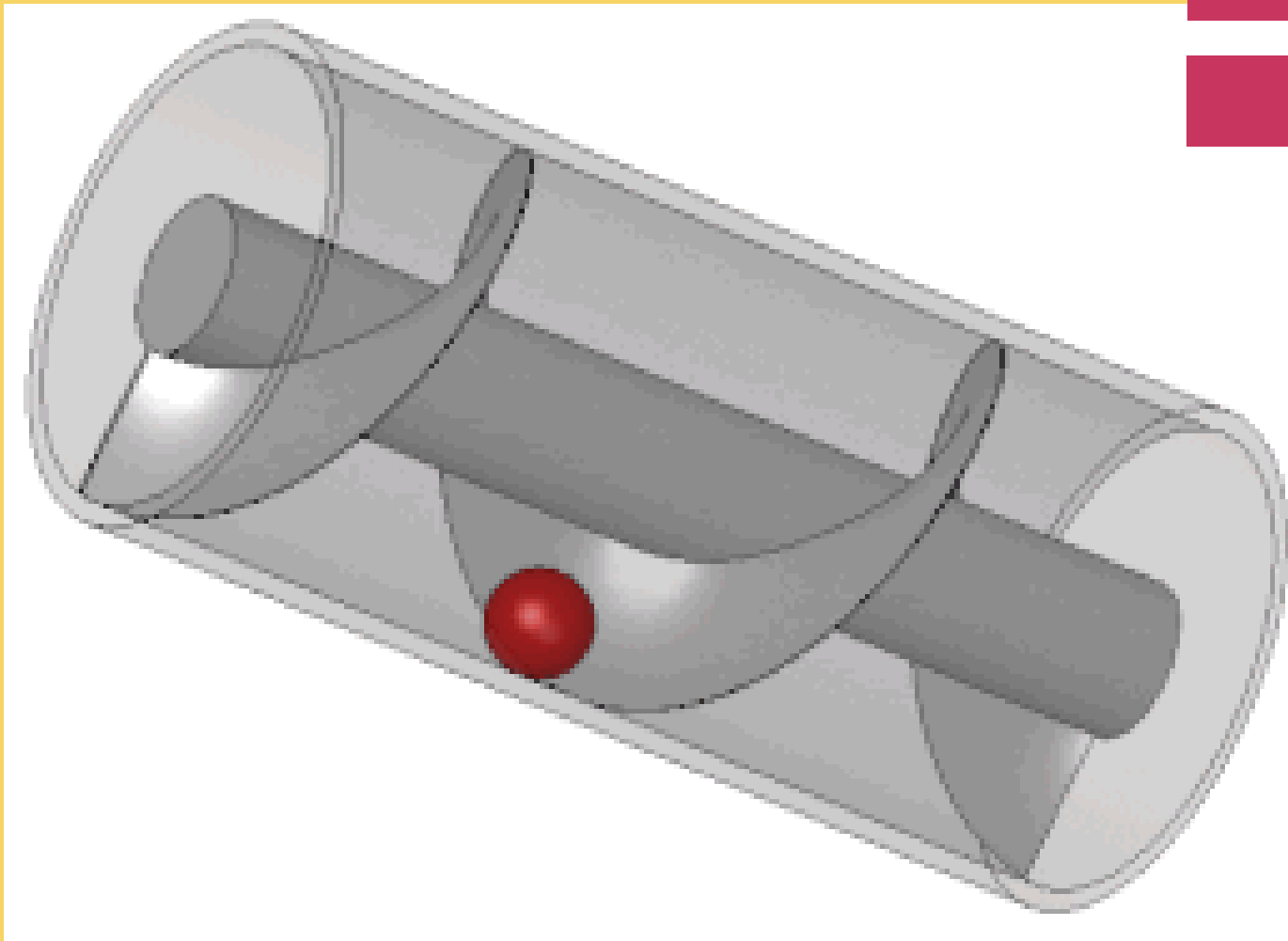


HW 2 due this Saturday, Feb 7 (10:00pm)

Quiz 3 due next Monday, Feb 9



Class 6: Limitations of Regular Expressn

University of Virginia
CS3120: DMT2

<https://weikailin.github.io/cs3120-toc>

Wei-Kai Lin

Plan

Regular Language vs DFA

Limitations

Pumping Lemma

Today: Chapter 6 in the TCS book

https://introtcs.org/public/lec_05_infinite.html#regexpsec

Recap: Theorem: Reg-Fun = DFA-Comp

Definition 6.6 (Regular expression)

A regular expression e over an alphabet Σ is a string over $\Sigma \cup \{ (,), |, *, \emptyset, "" \}$ that has one of the following forms:

1. $e = \sigma$ where $\sigma \in \Sigma$
2. $e = (e' | e'')$ where e', e'' are regular expressions.
3. $e = (e')(e'')$ where e', e'' are regular expressions. (We often drop the parentheses when there is no danger of confusion and so write this as $e' e''$.)
4. $e = (e')^*$ where e' is a regular expression.

Finally we also allow the following "edge cases": $e = \emptyset$ and $e = ""$. These are the regular expressions corresponding to accepting no strings, and accepting only the empty string respectively.

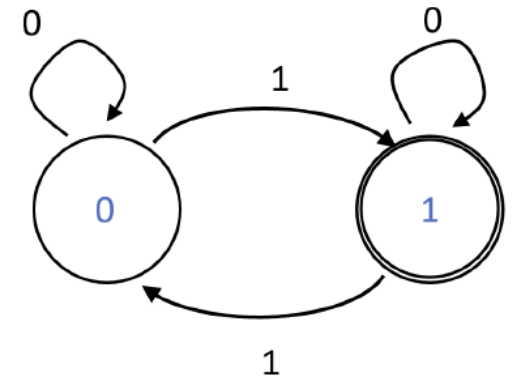
Definition 6.2 (Deterministic Finite Automaton)

A deterministic finite automaton (DFA) with C states over $\{0, 1\}$ is a pair (T, \mathcal{S}) with $T : [C] \times \{0, 1\} \rightarrow [C]$ and $\mathcal{S} \subseteq [C]$. The finite function T is known as the **transition function** of the DFA. The set \mathcal{S} is known as the set of **accepting states**.

Let $F : \{0, 1\}^* \rightarrow \{0, 1\}$ be a Boolean function with the infinite domain $\{0, 1\}^*$. We say that (T, \mathcal{S}) computes a function $F : \{0, 1\}^* \rightarrow \{0, 1\}$ if for every $n \in \mathbb{N}$ and $x \in \{0, 1\}^n$, if we define $s_0 = 0$ and $s_{i+1} = T(s_i, x_i)$ for every $i \in [n]$, then

$$s_n \in \mathcal{S} \Leftrightarrow F(x) = 1$$

1101(0|1)*1000
0*1(0*10*10*)*



Recap: Reg-Fun = DFA-Comp

- $F \in \text{Reg-Fun}$ iff exists e s.t. $\Phi_e(x) = F(x)$ iff
 $F \in \text{DFA-Comp}$ iff exists DFA M s.t. $M(x) = F(x)$
- Every reg. exp. e , matching $\Phi_e(x)$ is computable in time $O(|x|)$ for all $x \in \{0,1\}^*$
- Write DFA gives regular expression, and vice versa

More Implication of $\text{Reg-Fun} = \text{DFA-Comp}$

Complement of regular expression?

No substring

- For any reg exp e , is there a “negate” reg exp e' ?
- I.e., to find e' such that for all string x ,
$$\Phi_{e'}(x) = NOT(\Phi_e(x))$$
- Highly asked question!



Stack Overflow

<https://stackoverflow.com> › questions › how-to-negate-...

How to negate specific word in regex? [duplicate]

A great way to do this is to use negative lookahead: `^(?!.*bar).*$` The negative lookahead construct is the pair of parentheses, with the opening parenthesis ...

[How to **negate** the whole **regex**? - Stack Overflow](#) 6 answers Apr 14, 2010

[Regular expression to match a line that doesn't ...](#) 34 answers Jan 2, 2009

[how to **negate** any **regular expression** in Java ...](#) 3 answers Dec 22, 2011

[Regular Expressions and **negating** a whole character ...](#) 9 answers Jun 10, 2009

[More results from stackoverflow.com](#)

12 Answers



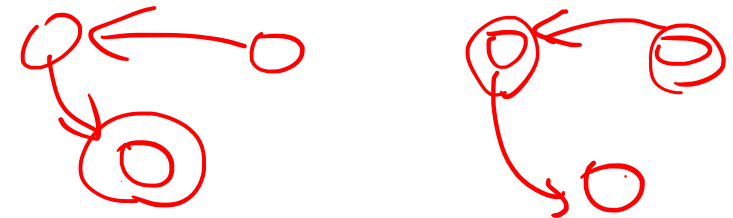
A great way to do this is to use [negative lookahead](#):

1044

```
^(?!.*bar).*$
```

Complement of regular expression

- Suppose F is regular ($F = \Phi_e$ for some e)
- Does $\bar{F} = NOT(F(x))$ also have a regular expression?
- Yes!
- Suppose M is a DFA for F
- Let \bar{M} be DFA that switches the accept/reject states of M
- \bar{M} computes $\bar{F} \Rightarrow \bar{e}$ s.t. $\Phi_{\bar{e}}(x) = NOT(\Phi_e(x))$
- Then $\bar{F} \in \text{DFA-Comp} = \text{Reg-Langs}$



OR of DFA-comp functions are DFA-comp?

- Suppose $F_1, F_2 \in \text{DFA-Comp}$.
- Does it hold $F(x) = \text{OR}(F_1(x), F_2(x)) \in \text{DFA-Comp}$?
- Yes!
- Suppose e_b is a reg expression for F_b
- Let $e_3 = (e_1)|(e_2)$
- F is $\Phi_{e_3} \Rightarrow M_3$
- Therefore $F \in \text{Reg-Fun} = \text{DFA-Comp}$



AND of DFA-comp functions are DFA-comp?

- Suppose $F_1, F_2 \in \text{DFA-Comp}$. M_1 M_2 M_3
- Does it hold $F(x) = \text{AND}(F_1(x), F_2(x)) \in \text{DFA-Comp}$?
- Yes!
- Suppose M_b is a DFA for F_b for $b = 1, 2$
- Want to construct M s.t. $M(x) = F(x)$ using M_1, M_2
- ?
-
-

How about more complicated transformations?

- Suppose functions f_1, f_2, \dots, f_k are all regular functions.
- Let $T: \{0,1\}^k \rightarrow \{0,1\}$ be an arbitrary Boolean function. *for sm- $k \in \mathbb{N}$*
- Is the function $f(x) = T(f_1(x), f_2(x), \dots, f_k(x))$ also regular?
DFA exp
- Yes!
- Proof sketch: *$AND(a, b) \equiv NOT(OR(NOT(a), NOT(b)))$*
 - Union of two languages is the same as OR of their Boolean functions
 - Complement of a language is the same as NOT of its Boolean function
 - {OR, NOT} is universal set of gates.

How to construct DFA / Reg Exp?

Write a DFA or a regular expression that matches all binary strings has no substring XXX. (HW 2)

In general, how to get DFA from any regular expression (and vice versa)?

This is sufficient to prove “Reg-Fun = DFA-Comp”
Stay tuned.

Limits of finite state computation

There is at least one non-regular function

- Proof:

There is at least one non-regular function

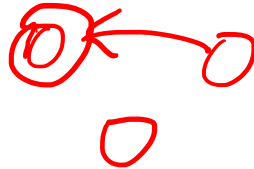
- Proof:
- Reg-Fun is a countable set (why?) $|e| = k$ for some $k \in \mathbb{N}$
- Set of all Boolean functions (Bool-Fun) is uncountable (why?) $\text{proven mod } 0 \quad \{0, 1\}^* \rightarrow \{0, 1\}$
- $| \text{Bool Fun} | > | \text{Reg Fun} |$
- If $\text{Bool-Fun} \subseteq \text{Reg-Fun}$, then Bool-Fun would have been countable

Any “natural” languages that is not regular?

Boolean Functions

- By Reg-Fun = DFA-Comp,
Any regular function must be computable by a DFA
- Any DFA has constant “memory”, ie, num of states
- Find a function needs more than const mem

(Thm
Reg = DFA)




(((...)))

Example $A = \{0^k 1^k : k \in \mathbb{N}\}$

Theorem: A is not regular

Proof: by contra, \exists DFA M w/ n states

consider $\underbrace{0^{n+1}}_{\text{first } 0s} 1^{n+1} \in A$



first 0s must visit a state twice

$\Rightarrow \exists m \geq 1 \quad 0^{n+1-m} 1^{n+1}$ accepted

Example $A = \{0^k 1^k : k \in \mathbb{N}\}$

Theorem: A is not regular

Proof:

Give it $00 \dots 0 \dots$ as inputs till a state q is repeated.

Let $x = 0^i, y = 0^j$ such that $j > 0$ and both x and $x \parallel y$ on q .

Consider $0^i 1^i$ and $0^{i+j} 1^i$.

Either both will be accepted,

Or both will be rejected.

Pumping Lemma

Pumping Lemma

Let e be a regular expression over the alphabet of bits, $\{0,1\}$.

There is some number n_0 such that

for every $w \in \{0,1\}^*$ with $|w| > n_0$ and $\Phi_e(w) = 1$,
we can write $w = xyz$ for strings $x, y, z \in \{0,1\}^*$
satisfying the following conditions:

- $|y| \geq 1$
- $|xy| \leq n_0$
- $\Phi_e(x y^k z) = 1$ for every natural number k

Proof

Proof

Reg-Fun = DFA-Comp \implies exists DFA $M(w) = \Phi_e(w)$

Let n_0 be the number of states in M

Any t -bit string must visit $t + 1$ states.

For any $|w| > n_0$ that $M(w) = 1$, w must visited the same state s twice in the first n_0 symbols.

Let i_0, i_1 be first and second time visited s .

$i_1 - i_0 \geq 1. i_1 \leq n_0.$

Let $x = w[: i_0]$, $y = w[i_0 : i_1]$ and z the remaining.

Repeating y or not give the same output

$L =$
Ex: 01110 is regular

n_0 : 5

w st $|w| > n_0$: No such w that $\Phi_e(w) = 1$

Theorem 6.21 (Pumping Lemma)

Let e be a regular expression over some alphabet Σ . Then there is some number n_0 such that for every w $\in \Sigma^*$ with $|w| > n_0$ and $\Phi_e(w) = 1$, we can write $w = xyz$ for strings $x, y, z \in \Sigma^*$ satisfying the following conditions:

1. $|y| \geq 1$.
2. $|xy| \leq n_0$.
3. $\Phi_e(xy^kz) = 1$ for every $k \in \mathbb{N}$.

$\{1, 11, 111, \dots\}$
Ex: all-1 strings is regular

Yes, 1^*

$n_0: 5$

w st $|w| > n_0$:
 $= 11111, 111111, \dots$

Not helpful
We already showed a reg. exp.

Theorem 6.21 (Pumping Lemma)

If Φ_e is a regular expression over some alphabet Σ . Then there is some number n_0 such that for \exists with $|w| > n_0$ and $\Phi_e(w) = 1$, we can write $w = xyz$ for strings $x, y, z \in \Sigma^*$ satisfying the following conditions:

1. $|y| \geq 1$.
2. $|xy| \leq n_0$.
3. $\Phi_e(xy^kz) = 1$ for every $k \in \mathbb{N}$.

Ex: Palindroms is not regular

Lemma: e is regular expression, then
There is some number n_0 such that
for every $w \in \{0,1\}^*$ with $|w| > n_0$ and $\Phi_e(w) = 1$,
we can write $w = xyz$ for strings $x, y, z \in \{0,1\}^*$
satisfying the following conditions:

- $|y| \geq 1$
- $|xy| \leq n_0$
- $\Phi_e(x y^k z) = 1$ for every natural number k

A string x is palindrome iff x is identical to $\text{reverse}(x)$.

Proof.

For any n_0 , let $w =$

Where $w = xyz$ for $x =$

$y =$

$z =$