
Continuous-Time Generative Models for Sampling Unnormalized Densities

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Abstract

This research proposal is submitted as part of the application for the Adaptive and Neural Computation PhD program at the University of Edinburgh. It centers on using and improving continuous-time generative models to address the challenge of sampling problem in statistical physics. This proposal incorporates recent advancements in this domain, the author’s relevant experience, and outlines a preliminary plan for the PhD study.

1 Introduction

In statistical mechanics, computing the average behavior of microscopic states is crucial. For instance, in molecular systems, the computation of statistics [1], known as observables, for equilibrium states is essential. The distribution of equilibrium states follows the Boltzmann distribution, which can be utilized, for example, to calculate the probability of protein folding under certain conditions.

The challenge lies in the difficulty of sampling, as the unnormalized density is known but hard to sample from. Typically, sampling is performed using molecular dynamics [2] (MD), which faces challenges in obtaining independent and identically distributed (i.i.d) samples due to rare events. This makes MD computationally expensive and impractical for large systems with real-world applications. Extensive research has been conducted to address this issue, known as the enhanced sampling methods [3] within the MD community.

Machine learning offers new perspectives for tackling this problem. One notable approach is the Boltzmann generator [4], which employs generative models to generate independent samples in one-shot. Additionally, the Boltzmann generator can be further applied together with reweighting methods, where a rich set of tools is provided.

The rapidly growing field of deep learning, particularly deep generative models, presents new opportunities to design efficient sampling methods for many-body systems [5]. This provides a powerful tool for advancing molecular simulation, thereby benefiting various applications such as drug discovery, material science, catalysis, and green chemistry.

2 Background and Preliminaries

Molecular dynamics and observables MD stands as a widely used computational technique in chemistry and physics. It involves modeling the time evolution of N-particle systems, where states are denoted by $\mathbf{x} \in \Omega \subset \mathbb{R}^d$ with dimensionality $d \in \mathbb{N}$. These systems follow stochastic differential equations [6] (SDE), wherein the drift term is determined by the potential energy function $E(\mathbf{x}) : \Omega \rightarrow \mathbb{R}$. The Boltzmann distribution associated with the energy function E is expressed as follows:

$$\rho(\mathbf{x}) = \frac{1}{Z} \exp(-\beta E(\mathbf{x})), \quad Z = \int_{\Omega} \exp(-\beta E(\mathbf{x})) d\mathbf{x}, \quad (1)$$

where $\beta^{-1} = kT$, with k representing the Boltzmann constant and T indicating the system's temperature. The constant Z is commonly known as the *partition function* and is computed by integrating over all states \mathbf{x} of dimensionality.

After obtaining MD results, insights into the properties of a molecular system can be gained through the calculation of observables [7]. These observables can be categorized into two types:

1. **Stationary observables:** $O_f = \mathbb{E}_\mu [f(\mathbf{x})] = \int_\Omega f(\mathbf{x})\rho(\mathbf{x})d\mathbf{x}$

Here, μ denotes the normalized Gibbs measure, $f : \Omega \rightarrow \mathbb{R}$ represents a function describing a microscopic observation process corresponding to a specific quantity of interest, e.g. distance or angle, and ρ is defined through Equation 1.

2. **Dynamic observables:** $O_{f(t)h(t+\Delta t)} = \mathbb{E}_{\mathbf{x}_t \sim \mu} [\mathbb{E}_{\mathbf{x}_{t+\Delta t} \sim \rho_\tau(\mathbf{x}_{t+\Delta t}|\mathbf{x}_t)} [f(\mathbf{x}_t)h(\mathbf{x}_{t+\Delta t})]]$

$f, h : \Omega \rightarrow \mathbb{R}$ are functions that describe processes corresponding to the dynamic observable of interest, such as binding affinities and binding rates of a drug. $\rho_\tau(\mathbf{x}_{t+\Delta t}|\mathbf{x}_t)$ represents the conditional probability density function for the time-discrete evolution of the state vector \mathbf{x} at $\Delta t = N\tau$ steps into the future, given an initial state \mathbf{x}_t . Here, $N \in \mathbb{N}$ and $\tau, t \in \mathbb{R}$.

Conventionally, these observables are estimated from simulation trajectories using simple Monte Carlo estimators [8]. Typical method involves iteratively solving the SDE to obtain a trajectory of the states the system has visited over time. However, these samples will not be i.i.d because each new sample will be correlated with the previous one. Additionally, many molecules have complex energy landscapes with barriers [9] that have very low probabilities of transition between modes of their corresponding probability distributions, known as *rare events*. These factors collectively make MD simulations extremely challenging and costly.

Continuous-time generative models Generative models are being widely discussed today because of applications such as autoregressive models like LLM [10], image-generation GAN [11] models, and latent variables models like VAE [12]. Each model has its own advantages and drawbacks across various application domains. In this proposal, we will specifically focus on continuous-time generative models. These models can be defined using SDEs or, equivalently, corresponding Probability Flow Ordinary Differential Equations (PF-ODEs).

A possible way of modelling continuous density transformations is to exploit NeuralODE [13]. By defining an ODE that describes the flow of a time-dependent probability density, such that it corresponds to the prior distribution at t_0 and to the data distribution at t_1 , samples can be transformed in a continuous manner, called *continuous normalizing flows* (CNFs) [13]. Assume initial states $\mathbf{x}_0 \in \Omega \subset \mathbb{R}^d$, $d \in \mathbb{N}$, which follow an initial distribution ρ_0 and time-dependent states $\mathbf{x}_t \in \Omega$, which follow as time-dependent distribution ρ_t . Further, assume that each \mathbf{x}_t obeys the ordinary differential equation

$$\frac{d}{dt}\mathbf{x}_t = \mathbf{b}(\mathbf{x}_t), \quad \mathbf{x}_{t=0} = \mathbf{x}_0, \quad (2)$$

where the vector field $\mathbf{b} : [0, 1] \times \Omega' \rightarrow \mathbb{R}^d$, $d \in \mathbb{R}$, describes the velocity of \mathbf{x}_t . Then, the continuity equation describes the evolution of the time-dependent particle density $\rho_t(\mathbf{x})$ where

$$\frac{\partial}{\partial t}\rho_t + \nabla \cdot (\rho_t \mathbf{b}) = 0. \quad (3)$$

By replacing \mathbf{b} with a learned velocity field \mathbf{b}_θ , samples can be generated in a continuous manner by integrating Equation (2) from initial conditions $\mathbf{x}_0 \sim \rho_{t=0}$ using a ODE solver. Figure 1 below shows an example of a CNF transformation of an initial density ρ_0 into an endpoint density ρ_1 .

Inspired by non-equilibrium statistical mechanics, the *denoising diffusion probabilistic models* [14] (DDPM) learns to invert a deterministic noise process. The transformation of data into a simple noise distribution can be achieved using a continuous-time SDE. This SDE can be reversed when the score of the distribution at each intermediate time step is known, denoted by $\nabla_x \log \rho_t(x)$, which is learned through neural networks.

Most importantly, the diffusion model, coupled with score matching [15] objectives, presents an important idea: the mapping of a simple distribution ρ_0 to another distribution ρ_1 via a flexible pathway. This stands in contrast to maximum likelihood training for CNFs, which requires continuous simulation of ODE during training. Diffusion specifies the pathway as a diffusion process, thereby

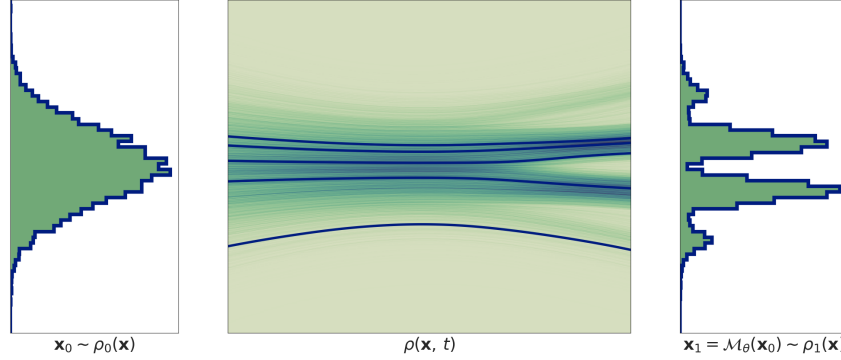


Figure 1: Illustration of how an initial density ρ_0 continuously transforms into an endpoint density ρ_1 such that $\mathbf{x}_1 = \mathcal{M}(\mathbf{x}_0) \sim \rho_1(\mathbf{x})$.

offering a simulation-free training paradigm. This notion is highly inspiring. With this simulation-free training approach, several related works, such as *flow matching* [16] and *rectified flow* [17], have emerged. A unified framework bridging diffusion and flow models is proposed and named *stochastic interpolants* [18].

Enhanced sampling methods Various methods have been proposed to optimize sampling processes. Generally, these methods fall into three main branches: biasing methods, path sampling methods, and generalized ensemble methods.

Biasing methods seek to identify and learn lower-dimensional manifolds that capture the slow or dominant patterns of system dynamics. Typical approaches include VAE [19] and the Variational Approach to Conformational Dynamics [20], which are integrated into a general framework for dimension reduction in dynamical systems.

Path sampling methods strategically start multiple short parallel simulations in regions that will lead to a more accurate description of the ensemble. They generally take advantage of analysis methods such as Markov State Models (MSM) [21] to combine the statistics and kinetics of these simulations. There are different ways to choose adaptive seeding strategies, and one possible way is to use reinforcement learning by setting up a reward function.

Generalized ensemble methods sample the system across multiple thermodynamic ensembles simultaneously or sequentially. Each ensemble has its own set of thermodynamic parameters. One intuitive idea is to transition to a higher temperature ensemble, which can accelerate barrier crossing, improving the exploration of energy landscapes and the sampling of rare events.

Many methods combine multiple of these classes at the same time [22]. Continuous-time generative models cannot solve the sampling problem independently for now; they need to be coupled with existing methods to enhance the sampling [3].

3 Research Questions

Extensions of Flow Matching While the flow matching framework is relatively recent [16], it has seen significant development through various proposed extensions. A noteworthy contribution to this field is the Stochastic Interpolant framework [18], which offers a comprehensive foundation for future investigations, both theoretically and in practical applications.

One central topic is optimal transport [23] and Schrödinger bridges [24]. By solving corresponding problems for the flow field or score field, sampling from joint distribution (ρ_0, ρ_1) can be optimized, leading to more stable models for training and faster inference. Iterative algorithms [25] have been proposed to obtain the exact Schrödinger bridge, while with the drawback of possibly accumulating errors. Direct approximation of the continuous-time Schrödinger bridge without simulation [26] can be achieved by computing the entropic optimal transport plan via efficient algorithms.

Another important topic is generalizing to other spaces and data types. In many scientific domains, the distributions of interest are supported on *non-Euclidean* spaces. Riemannian Flow Matching [27], a relative framework, was proposed for training flow matching on manifolds, where further applications can be explored. Also, in practice, we often deal with *discrete data* like molecules and proteins. Discrete Flow Models [28] were proposed, which use Continuous Time Markov Chains to achieve the discrete equivalent of continuous space flow matching. Dirichlet flow matching [29] uses mixtures of Dirichlet distributions as probability paths and applies them for generative modeling of discrete categorical data and sequences, e.g., DNA sequences. Further research can be conducted based on the above work on biological sequence generation and discrete data applications.

Problem of Amortized Sampling To train continuous-time generative models for sampling unnormalized density, typically, we require a sufficient number of initial samples that cover most of the modes, which can be quite restrictive. Moreover, while flow matching supports mapping between arbitrary densities [18], both the initial and target distributions necessitate high-quality data, with initial samples often relying on Markov Chain Monte Carlo and MD methods. Therefore, there is a need, and practical benefit, in learning in the absence of extensive data.

The Boltzmann generator [4] needs sufficient data for training, while learning-based neural samplers [30] like the Path Integral Sampler [31] and Denoising Diffusion Sampler [32] attempt to learn in the absence or scarcity of data. However, these samplers require simulation during learning, which makes scaling challenging. Flow AIS Bootstrap (FAB) [33] is another approach that combines Annealed Importance Sampling samples with (equivariant) normalizing flow training. FAB involves computing the model likelihood in its loss function, which also needs simulation during training. Recently proposed, the Iterated Denoising Energy Matching (iDEM) [34] uses a stochastic score matching objective leveraging solely the energy function and its gradient—requiring no data samples—to train a diffusion-based sampler, representing the current state-of-the-art and showing promise for potential applications. Further exploration of iDEM represents a promising direction for future research.

Applications and side interests Flow matching has been effectively applied in image generation applications such as Stable Diffusion 3 [35]. Other applications focusing on latent spaces, such as Scalable Interpolant Transformers [36] and Neural Network Diffusion [37], exhibit promising potential for further study.

Many studies have used flow [38] or diffusion [39] models for molecular applications. It is natural to extend and explore potential applications using recent stochastic interpolant frameworks. Recent related works, such as equivariant flow matching [40], FoldFlow-based approaches [41], and FrameFlow [42], serve as successful examples. During my master’s thesis, we successfully extended flow matching to the Implicit Transfer Operator (ITO) [39] framework to approximate $\rho_\tau(\mathbf{x}_{t+\Delta t}|\mathbf{x}_t)$, focusing on molecular dynamics rather than molecular design. Simultaneously, we attempt to generalize ITO across thermodynamic variables. Many potential research topics can be explored by combining these with enhanced sampling methods [43].

Regarding side interests, the development of structured, uncertainty-aware generative models as inference machines is particularly appealing. One related work is GFlowNets [44], which also shares connections with iDEM [34]. I would be excited to witness new generative models surpass autoregressive in LLMs and Multi-modal models, potentially paving the way towards AGI.

4 Research Plans

In contrast to traditional engineering fields, the AI research community operates within a rapidly evolving, challenging, and competitive environment. Often, significant shifts can occur within a one-year timeframe. Therefore, it’s crucial to stay open to new ideas and strategically choose research directions. Unlike purely application-driven research, which typically follows a clear path of trial and optimization goals utilizing existing frameworks, my proposed area will inevitably involve theoretical exploration and iterative experimentation. Hence, it is wise to establish a general goal and a one-year plan, recognizing that questions arise organically during daily research and ideas emerge through accumulation and discussions.

The *overall goal* of this PhD is to improve and apply continuous-time generative models to address the challenge of sampling unnormalized densities. Although this topic has gained increased attention,

particularly over the past two years, it originally has a rich research history within the biophysics community. Consequently, domain-specific knowledge should be addressed during the research process.

Over the upcoming year, spanning from the *pre-admission* phase to the first few months of my PhD journey, I have outlined several key objectives in Table 1. Initially, my focus will be on concluding my thesis work, with a specific emphasis on applying kinetic states transformation method to molecular systems. With optimism for successful outcomes, our intention is to formalize and release our code as open-source, with the goal of publishing a paper in either JCTC or JCP. Furthermore, I aspire to deepen my understanding of biophysics, motivated by the domain knowledge requirement for this program. To this end, I will learn more about SDE, statistical physics, and the JAX tool. Moreover, I will make experiments with iDEM and FAB (codes are available). While iDEM exhibits promise, its original success might be due to the smooth nature of the Lennard-Jones potential. I would be curious to see its applicability to small molecular systems. I aim to conduct a thorough investigation into its limitations to gain a comprehensive understanding. Concurrently, I will actively seek out collaboration opportunities with prospective supervisors to ensure alignment with our research objectives.

Table 1: Key Objectives

Topics	Description	Period
Master Thesis	Generalize ITO to kinetic states, Publish	– Aug., 2024
Domain Knowledge	SDE, Statistical Physics, JAX, Biophysics	~
iDEM and FAB	Applicability for small molecular systems	– Nov., 2024
Collaboration	Align research objectives with Supervisors	~ Admission

5 Discussions

Research on both continuous-time generative models and sampling unnormalized densities is crucial. Integrating structural and causal information into generative models is important for AI safety, ensuring the development of an explainable and trustworthy framework toward AGI. Furthermore, generative models are powerful tools for scientific discovery [45] and productivity enhancement.

Sampling unnormalized densities has been a longstanding challenge [46]. Utilizing AI methods holds promise to either replace or enhance current traditional frameworks. One direct outcome is the potential reduction in computational power required for molecular simulations, aligning with sustainability goals. Additionally, this advancement could accelerate the process of designing new drugs and materials, ultimately benefiting humanity.

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