

## Read:

- Baby Do Carmo, Differential Geometry of Curves and Surfaces: Sections 2-2, 2-3, 2-4 and Appendix (starting on page 118) on A Brief Review of Continuity and Differentiability
- Handouts 6 and 7
- Lecture Notes

## Do:

Remember, the problems marked with an asterisk have hints in the back of the book. Additionally, many of these problems ask that you re-prove something that do Carmo proves in the reading.

### A: Problems on Reviewing of Continuity and Differentiability

a) Prove the proposition 7 on page 127, Baby Do Carmo.

**DEFINITION 1.** Let  $F: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a differentiable map. To each  $p \in U$  we associate a linear map  $dF_p: \mathbb{R}^n \rightarrow \mathbb{R}^m$  which is called the differential of  $F$  at  $p$  and is defined as follows. Let  $w \in \mathbb{R}^n$  and let  $\alpha: (-\epsilon, \epsilon) \rightarrow U$  be a differentiable curve such that  $\alpha(0) = p$ ,  $\alpha'(0) = w$ . By the chain rule, the curve  $\beta = F \circ \alpha: (-\epsilon, \epsilon) \rightarrow \mathbb{R}^m$  is also differentiable. Then (Fig. A2-5)

$$dF_p(w) = \beta'(0).$$

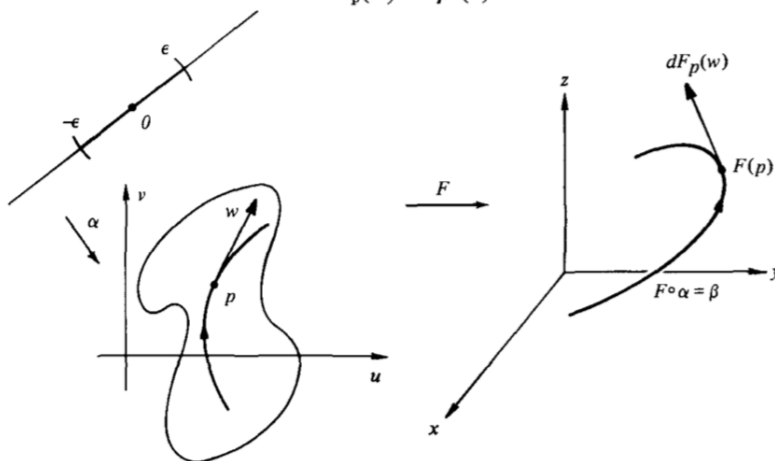


Figure A2-5

**PROPOSITION 7.** The above definition of  $dF_p$  does not depend on the choice of the curve which passes through  $p$  with tangent vector  $w$ , and  $dF_p$  is, in fact, a linear map.

b) Prove the proposition 8 on page 129, Baby Do Carmo.

**PROPOSITION 8 (The Chain Rule for Maps).** *Let  $F: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  and  $G: V \subset \mathbb{R}^m \rightarrow \mathbb{R}^k$  be differentiable maps, where  $U$  and  $V$  are open sets such that  $F(U) \subset V$ . Then  $G \circ F: U \rightarrow \mathbb{R}^k$  is a differentiable map, and*

$$d(G \circ F)_p = dG_{F(p)} \circ dF_p, \quad p \in U.$$

*Proof.* The fact that  $G \circ F$  is differentiable is a consequence of the chain rule for functions. Now, let  $w_1 \in \mathbb{R}^n$  be given and let us consider a curve  $\alpha: (-\epsilon_2, \epsilon_2) \rightarrow U$ , with  $\alpha(0) = p, \alpha'(0) = w_1$ . Set  $dF_p(w_1) = w_2$  and observe that  $dG_{F(p)}(w_2) = (d/dt)(G \circ F \circ \alpha)|_{t=0}$ . Then

$$d(G \circ F)_p(w_1) = \frac{d}{dt}(G \circ F \circ \alpha)_{t=0} = dG_{F(p)}(w_2) = dG_{F(p)} \circ dF_p(w_1).$$

**Q.E.D.**

■

c) Rewrite Example 11 on page 132 of Baby Do Carmo and explain clearly why the Inverse Function Theorem (page 131) is true only in a neighborhood of a point  $p$ .

**Example 11.** Let  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be given by

$$F(x, y) = (e^x \cos y, e^x \sin y), \quad (x, y) \in \mathbb{R}^2.$$

The component functions of  $F$ , namely,  $u(x, y) = e^x \cos y$ ,  $v(x, y) = e^x \sin y$ , have continuous partial derivatives of all orders. Thus,  $F$  is differentiable.

It is instructive to see, geometrically, how  $F$  transforms curves of the  $xy$  plane. For instance, the vertical line  $x = x_0$  is mapped into the circle  $u = e^{x_0} \cos y$ ,  $v = e^{x_0} \sin y$  of radius  $e^{x_0}$ , and the horizontal line  $y = y_0$  is mapped into the half-line  $u = e^x \cos y_0$ ,  $v = e^x \sin y_0$  with slope  $\tan y_0$ . It follows that (Fig. A2-7)

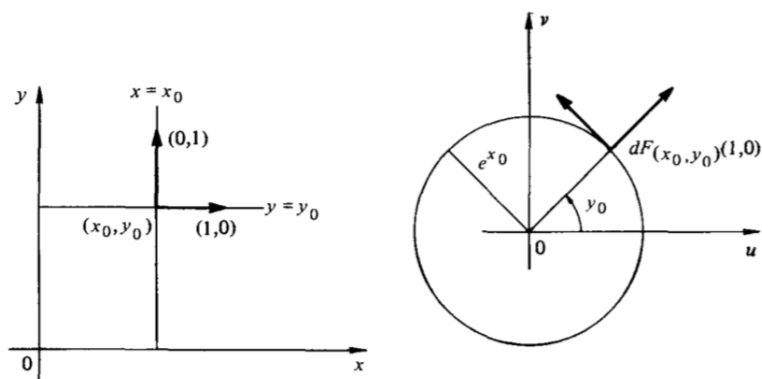


Figure A2-7

$$\begin{aligned} dF_{(x_0, y_0)}(1, 0) &= \frac{d}{dx}(e^x \cos y_0, e^x \sin y_0)|_{x=x_0} \\ &= (e^{x_0} \cos y_0, e^{x_0} \sin y_0), \\ dF_{(x_0, y_0)}(0, 1) &= \frac{d}{dy}(e^{x_0} \cos y, e^{x_0} \sin y)|_{y=y_0} \\ &= (-e^{x_0} \sin y_0, e^{x_0} \cos y_0). \end{aligned}$$

This can be most easily checked by computing the Jacobian matrix of  $F$ ,

$$dF_{(x, y)} = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix} = \begin{pmatrix} e^x \cos y & -e^x \sin y \\ e^x \sin y & e^x \cos y \end{pmatrix},$$

and applying it to the vectors  $(1, 0)$  and  $(0, 1)$  at  $(x_0, y_0)$ .

We notice that the Jacobian determinant  $\det(dF_{(x, y)}) = e^{2x} \neq 0$ , and thus  $dF_p$  is nonsingular for all  $p = (x, y) \in \mathbb{R}^2$  (this is also clear from the previous geometric considerations). Therefore, we can apply the inverse function theorem to conclude that  $F$  is locally a diffeomorphism.

Observe that  $F(x, y) = F(x, y + 2\pi)$ . Thus,  $F$  is not one-to-one and has no global inverse. For each  $p \in \mathbb{R}^2$ , the inverse function theorem gives neighborhoods  $V$  of  $p$  and  $W$  of  $F(p)$  so that the restriction  $F: V \rightarrow W$  is a diffeomorphism. In our case,  $V$  may be taken as the strip  $\{-\infty < x < \infty, 0 < y < 2\pi\}$  and  $W$  as  $\mathbb{R}^2 - \{(0, 0)\}$ . However, as the example shows, even if the conditions of the theorem are satisfied everywhere and the domain of definition of  $F$  is very simple, a global inverse of  $F$  may fail to exist.

**INVERSE FUNCTION THEOREM.** *Let  $F: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a differentiable mapping and suppose that at  $p \in U$  the differential  $dF_p: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is an isomorphism. Then there exists a neighborhood  $V$  of  $p$  in  $U$  and a neighborhood  $W$  of  $F(p)$  in  $\mathbb{R}^n$  such that  $F: V \rightarrow W$  has a differentiable inverse  $F^{-1}: W \rightarrow V$ .*

■

d) Show that an infinite cylinder after deleting a vertical line is diffeomorphic to a plane.

Let  $r$  be the radius of the cylinder and put the center of the cylinder at the origin.

Let the plane be  $\text{span}([1, 0, 0], [0, 1, 0])$ .

Let's use something like cylindrical coordinates. We are parameterizing the infinite cylinder with  $\alpha : (\theta, h) \rightarrow (r \cos \theta, r \sin \theta, h)$ . Proving that this is a parameterization is left as an exercise.

Let  $x : (a, b) \rightarrow (2\pi \frac{|a|}{|a|+1}, b)$ .

I want to show that  $\alpha \circ x$  is a diffeomorphism between the plane and the cylinder. To do this it is sufficient to show that  $x$  is diffeomorphic since is a parameterization. It is left as an exercise to show that  $x$  is a bijection. Now to show that it is differentiable and has differentiable inverse we show that the jacobian is invertible at all points in the plane. Let  $(a, b, c) \in \mathbb{R}^3$  be given.

The jacobian is,

$$\begin{bmatrix} \frac{\partial x_1}{\partial a} & \frac{\partial x_1}{\partial b} \\ \frac{\partial x_2}{\partial a} & \frac{\partial x_2}{\partial b} \end{bmatrix} = \begin{bmatrix} 2\pi \cdot \frac{1-|a|/(|a|+1)}{|a|+1} & 0 \\ 0 & 1 \end{bmatrix}$$

Now we just need to show this matrix is invertible for all  $a$ . The determinant is

$$2\pi \cdot \frac{1 - |a|/(|a| + 1)}{|a| + 1}$$

The determinant approaches zero but never actually reaches it so  $x$  is diffeomorphic. ■

**B: Problems from Lectures**

a) Use Inverse Function Theorem to give a proof of proposition 2, page 59, Baby Co Carmo.

**PROPOSITION 2.** *If  $f: U \subset \mathbb{R}^3 \rightarrow \mathbb{R}$  is a differentiable function and  $a \in f(U)$  is a regular value of  $f$ , then  $f^{-1}(a)$  is a regular surface in  $\mathbb{R}^3$ .*

■

b) Use Inverse Function Theorem to give a proof of proposition 4, page 64, Baby Co Carmo.

**PROPOSITION 4.** *Let  $p \in S$  be a point of a regular surface  $S$  and let  $\mathbf{x}: U \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be a map with  $p \in \mathbf{x}(U)$  such that conditions 1 and 3 of Def. 1 hold. Assume that  $\mathbf{x}$  is one-to-one. Then  $\mathbf{x}^{-1}$  is continuous.*

■

### C: Other Problems

a) Problem 7 on page 66, Section 2-2, Baby Do Carmo.

7. Let  $f(x, y, z) = (x + y + z - 1)^2$ .

a. Locate the critical points and critical values of  $f$ .

b. For what values of  $c$  is the set  $f(x, y, z) = c$  a regular surface?

c. Answer the questions of parts a and b for the function  $f(x, y, z) = xyz^2$ .

First we find the set of critical points  $C = \{(x, y, z) \in \mathbb{R}^3 : df(x, y, z) = 0\}$  So for each  $(x, y, z) \in C$ ,

$$\begin{aligned} df(x, y, z) &= 0 \\ \iff [2(x + y + z - 1) \quad 2(x + y + z - 1) \quad 2(x + y + z - 1)] &= 0 \\ \iff x + y + z - 1 &= 0 \end{aligned}$$

This is the equation of a plane. So  $C$  is the set of points in a plane. The critical values are the image  $f(C) = \{f(x, y, z) : (x, y, z) \in C\} = \{(x + y + z - 1)^2 : x + y + z - 1 = 0\} = \{0\}$  ■



b) Problem 11 on page 66, Section 2-2, Baby Do Carmo.

**11.** Show that the set  $S = \{(x, y, z) \in \mathbb{R}^3; z = x^2 - y^2\}$  is a regular surface and check that parts a and b are parametrizations for  $S$ :

**a.**  $\mathbf{x}(u, v) = (u + v, u - v, 4uv), (u, v) \in \mathbb{R}^2$ .

**\*b.**  $\mathbf{x}(u, v) = (u \cosh v, u \sinh v, u^2), (u, v) \in \mathbb{R}^2, u \neq 0$ .

Which parts of  $S$  do these parametrizations cover?

I'll do both part  $a$  and part  $b$  at once. To show that  $a, b$  are regular you can show that the differential for both functions is invertible. So for  $a$  the differential is,

$$da(u, v) = \begin{bmatrix} 1 & 1 \\ 1 & -1 \\ 4v & 4u \end{bmatrix}$$

This matrix is an invertible map everywhere because it has the minor  $\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$  which is not invertible.

Similarly, for  $b$ ,

$$db(u, v) = \begin{bmatrix} \cosh v & u \sinh v \\ \sinh v & u \cosh v \\ 2u & 0 \end{bmatrix}$$

It was given that  $u \neq 0$  for inputs to  $b$  so  $2u$  is not a multiple of 0. Therefore, the columns of  $db$  are always linearly independent. So the map is always invertible.

Now let's show that the images of both functions are contained in  $S$ . let's call the functions  $a, b$  rather than calling both of them  $x$ . For all  $p = (u + v, u - v, 4uv) \in x(\mathbb{R}^2)$ ,  $(u + v)^2 - (u - v)^2 = u^2 + 2uv + v^2 - (u^2 - 2uv + v^2) = 4uv$ . So  $z = x^2 - y^2$  is satisfied for  $a(p)$ . Therefore  $p \in S$ . Similarly for  $b$ :

$$\begin{aligned} \forall p &= (u \cosh v, u \sinh v, u^2) \in \text{image}(b), \\ (u \cosh v)^2 - (u \sinh v)^2 &= u^2(\cosh^2 v - \sinh^2 v) = u^2 \end{aligned}$$

To show that  $a, b$  are homeomorphic we have to show they are bijective. First I do it for  $a$ . Suppose that  $a(u_1, v_1) = a(u_2, v_2)$ . Then I will show that  $u_1 = u_2, v_1 = v_2$ . This gives us the matrix equation,

$$\begin{aligned} A \begin{bmatrix} u_1 \\ v_1 \end{bmatrix} &= A \begin{bmatrix} u_2 \\ v_2 \end{bmatrix} \\ \implies \begin{bmatrix} u_1 \\ v_1 \end{bmatrix} &= \begin{bmatrix} u_2 \\ v_2 \end{bmatrix} \text{ (because } A \text{ is invertible)} \\ \text{where } A &= \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \end{aligned}$$

Showing  $b$  is bijective is left as an exercise. Then to show that  $a, b$  are homeomorphic we observe that they are continuous and have continuous inverses. To Show that the  $x$  covers  $V \cap S$  for some neighborhood  $V \subset \mathbb{R}^3$  just . ■

c) Problem 1 on page 80, Section 2-3, Baby Do Carmo.

**\*1.** Let  $S^2 = \{(x, y, z) \in \mathbb{R}^3; x^2 + y^2 + z^2 = 1\}$  be the unit sphere and let  $A: S^2 \rightarrow S^2$  be the (*antipodal*) map  $A(x, y, z) = (-x, -y, -z)$ . Prove that  $A$  is a diffeomorphism.

First observe it is a bijection. Then observe that the jacobian is invertible everywhere. ■

d) Problem 8 on page 80, Section 2-3, Baby Do Carmo.

**\*8.** Let  $S^2 = \{(x, y, z) \in \mathbb{R}^3; x^2 + y^2 + z^2 = 1\}$  and  $H = \{(x, y, z) \in \mathbb{R}^3; x^2 + y^2 - z^2 = 1\}$ . Denote by  $N = (0, 0, 1)$  and  $S = (0, 0, -1)$  the north and south poles of  $S^2$ , respectively, and let  $F: S^2 - \{N\} \cup \{S\} \rightarrow H$  be defined as follows: For each  $p \in S^2 - \{N\} \cup \{S\}$  let the perpendicular from  $p$  to the  $z$  axis meet  $0z$  at  $q$ . Consider the half-line  $l$  starting at  $q$  and containing  $p$ . Then  $F(p) = l \cap H$  (Fig. 2-20). Prove that  $F$  is differentiable.

Given a point  $p = (x, y, z) \in S^2$  we find  $q$  by projection onto the  $z$ -axis, so  $q = (0, 0, z)$ . The half line joining  $q$  to  $p$  is parameterized by  $(tx, ty, z)$  where  $0 \leq t$ . This line intersects  $H$  when

$$t^2 x^2 + t^2 y^2 - z^2 = 1$$

solving for  $t$  we get

$$t = \frac{\sqrt{1+z^2}}{\sqrt{x^2+y^2}}$$

So

$$F(p) = \left( \frac{\sqrt{1+z^2}}{\sqrt{x^2+y^2}}x, \frac{\sqrt{1+z^2}}{\sqrt{x^2+y^2}}y, z \right)$$

Let  $V = \mathbb{R}^3 - \{(x, y, z) \mid x = y = 0\}$ , then  $V$  is an open subset of  $\mathbb{R}^3$  and  $F$  has continuous partial derivatives on  $V$ . Therefore,  $F$  is differentiable on  $V$

Since  $S^2 - (\{N\} \cup \{S\}) \subset V$  and  $S^2$  and  $H$  are regular surfaces, we have, by Example 3 of section 2-3, that  $F|_{S^2}: S^2 \rightarrow H$  is differentiable. ■

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e) Problem 10 on page 81, Section 2-3, Baby Do Carmo.

10. Let  $C$  be a plane regular curve which lies in one side of a straight line  $r$  of the plane and meets  $r$  at the points  $p, q$  (Fig. 2-21). What conditions should  $C$  satisfy to ensure that the rotation of  $C$  about  $r$  generates an extended (regular) surface of revolution?

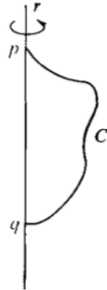
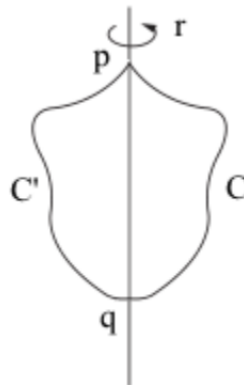


Figure 2-21

The surface generated needs have parametrizations at the points  $p$  and  $q$ . In addition the curve  $C$  should have no self intersections. These conditions will be met if the curve formed by joining  $C$  with its reflection over  $r$  is a simple closed regular curve (see image below).

More formally, let  $C'$  be the curve given by the reflection of  $C$  over the line  $r$ . We require that the curve  $C$  satisfy the condition that  $C \cup C'$  is a simple regular closed curve.



f) Problem 12 on page 81, Section 2-3, Baby Do Carmo.

- 12.** Parametrized surfaces are often useful to describe sets  $\Sigma$  which are regular surfaces except for a finite number of points and a finite number of lines. For instance, let  $C$  be the trace of a regular parametrized curve  $\alpha: (a, b) \rightarrow \mathbb{R}^3$  which does not pass through the origin  $O = (0, 0, 0)$ . Let  $\Sigma$  be the set generated by the

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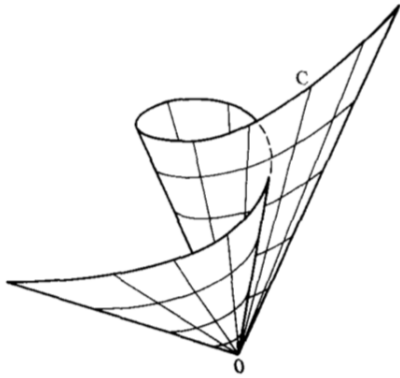


Figure 2-22

displacement of a straight line  $l$  passing through a moving point  $p \in C$  and the fixed point  $0$  (a cone with vertex  $0$ ; see Fig. 2-22).

- Find a parametrized surface  $\mathbf{x}$  whose trace is  $\Sigma$ .
- Find the points where  $\mathbf{x}$  is not regular.
- What should be removed from  $\Sigma$  so that the remaining set is a regular surface?

Since it is not clear by the description, I will assume this surface is a double sided "cone" and extends to infinity in both directions.

a)

**solution:**

We can achieve a two dimensional parametrization whose trace is  $\Sigma$ , by parametrizing  $C$  by  $u \in (a, b)$  and the lines through  $O$  and points on  $C$  by  $v \in (-\infty, \infty)$

The parametrized surface, whose trace is  $\Sigma$ , is defined as

$$\mathbf{x} : (a, b) \times \mathbb{R} \rightarrow \mathbb{R}^3 \quad \text{where} \quad \mathbf{x}(u, v) = (v\alpha_x(u), v\alpha_y(u), v\alpha_z(u))$$

■

b)

**solution:**

We have that

$$\frac{\partial x}{\partial u} = v\alpha'(u) \quad \text{and} \quad \frac{\partial x}{\partial v} = \alpha(u)$$

Which gives

$$\frac{\partial x}{\partial u} \wedge \frac{\partial x}{\partial v} = v(\alpha'(u) \wedge \alpha(u))$$

So  $\frac{\partial x}{\partial u} \wedge \frac{\partial x}{\partial v} = 0$  when  $v = 0$  or  $\alpha'(u) \wedge \alpha(u) = 0$

So the critical points occur on the lines  $\{(u, v) \in (a, b) \times \mathbb{R} \mid \alpha'(u) \wedge \alpha(u) = 0\}$  and on the u-axis.

■

c)

**solution:**

To make  $\Sigma$  a regular surface we should remove the image of the critical points. The image of the u-axis is the point  $O = (0, 0, 0)$

The image of a line  $\{(u, v) \in (a, b) \times \mathbb{R} \mid \alpha'(u) \wedge \alpha(u) = 0\}$  is a line through the origin and the point  $\alpha(u)$

■

■

g) Problem 15 on page 82, Section 2-3, Baby Do Carmo.

a) It was shown in the book that all parameterizations of a surface are diffeomorphic to one another and for any parameterizations  $\alpha, \beta$ ,  $\alpha^{-1} \circ \beta$  is diffeomorphic.

b)

$$\begin{aligned} \left| \int_{\tau_0}^{\tau} |\beta'(\tau)| d\tau \right| &= \left| \int_{\tau_0}^{\tau} |(\alpha \circ h)'(\tau)| d\tau \right| \\ &= \left| \int_{t_0}^t |(\alpha)'(t)| dt \right| \end{aligned}$$

■