

Filtering Operation in Frequency Domain-1

Fundamentals

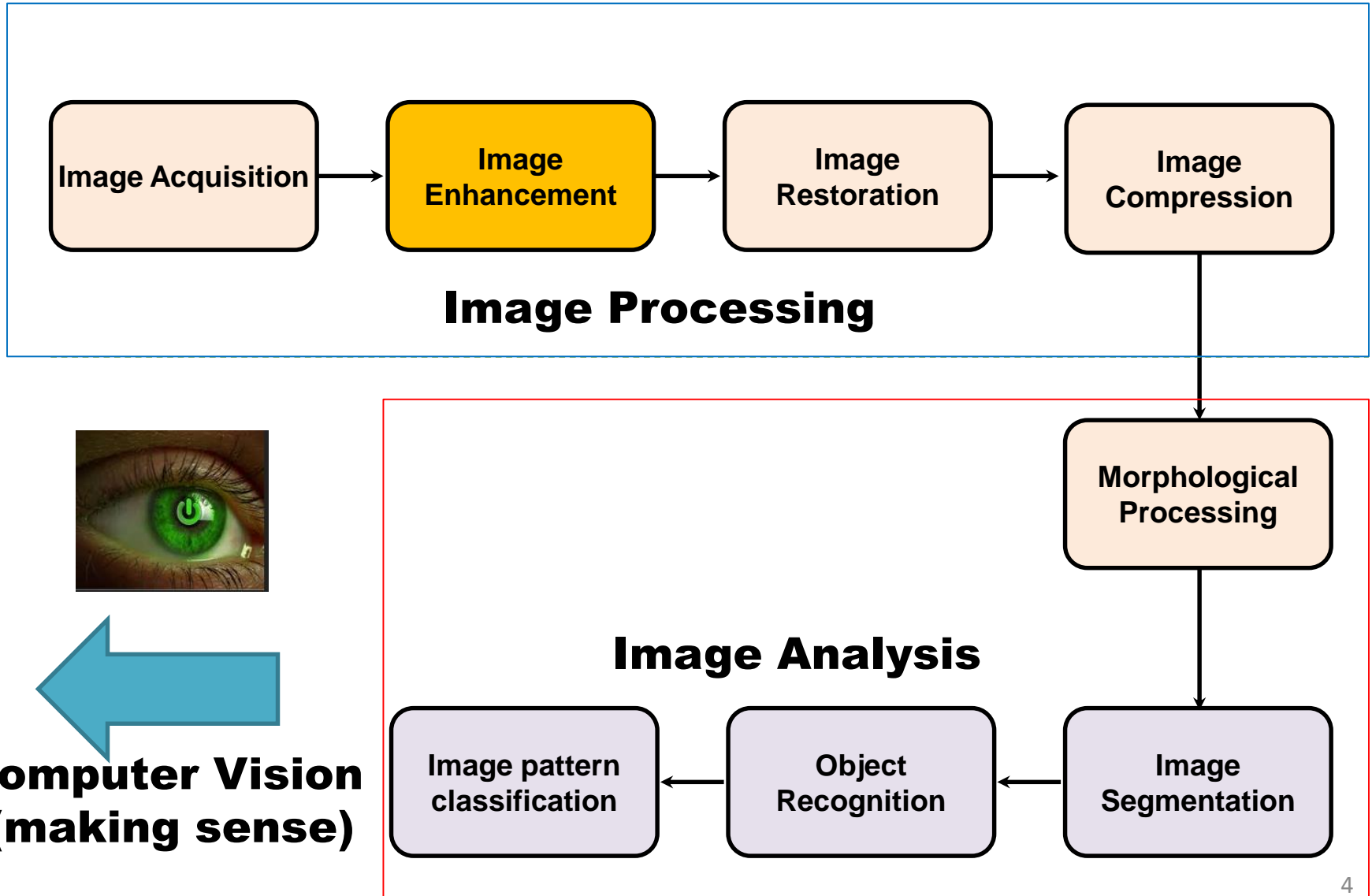
Recap

- DFT of one variable
- DFT of two variables
- How to overcome Wraparound Error?
- Properties of the 2-D DFT and IDFT

Lecture Objectives

- Filtering in Frequency Domain -Basic Observations
- Filtering in Frequency Domain – Requirements
- What About the Padding for Filters in Frequency Domain?
- Steps for Filtering in the Frequency Domain
- Correspondence Between Filtering in Spatial and Frequency Domain
- Constructing Spatial Filters from Frequency Domain Filters
- Constructing Frequency Domain Filters from Spatial Filters

Key Stages in DIP



Filtering in Frequency Domain

Basic Observations

Basic Observations

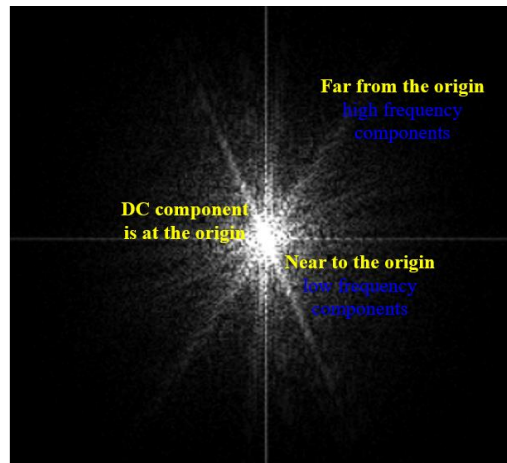
$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi(ux/M + vy/N)}$$

for $u = 0, 1, 2, \dots, M-1$ and $v = 0, 1, 2, \dots, N-1$

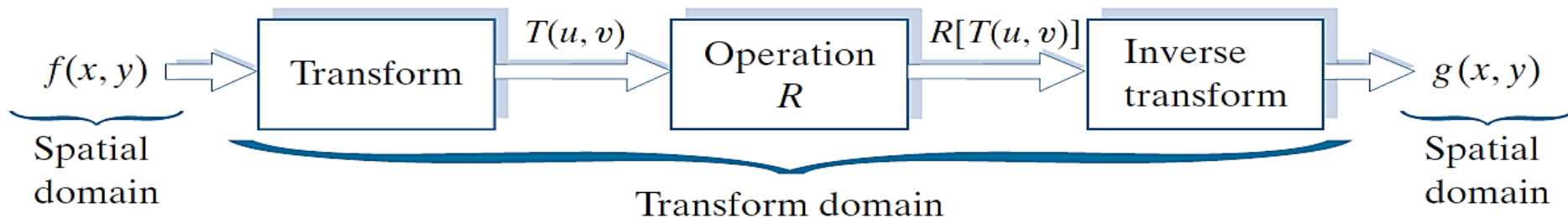
- Each term of $F(u, v)$ contains all values of $f(x, y)$, modified by multiplying the values of the exponential terms
 - Establishing a direct one-to-one correspondence between the components of image and its transform is not possible.
 - Only general relationships can be described like, the frequency range available in image.
- Frequency \approx spatial rates of change in the image
 - Frequencies in the Fourier transform are intuitively related with patterns of intensity variations in the image.

Frequency Values with (u,v) Coordinates

- $F(0,0)$ -> **slowest varying frequency** value (constant, 'dc' term)
 - Proportional to the average value of the entire image pixel intensities
- Values **near the origin** correspond to the **slowly varying intensity** components in image --> **smoother regions in the image**
 - **Walls, clear sky, plain diffuse surfaces**
- Values **far from the origin** correspond to the **faster varying intensity** components in image --> **higher intensity variation regions in the image**
 - **edges**



Recall: Image Domain Transforms



$$T(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) r(x, y, u, v) \quad \text{Linear Transform}$$

Forward transformation kernel

$$f(x, y) = \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} T(u, v) s(x, y, u, v) \quad \text{Inverse Linear Transform}$$

Inverse transformation kernel

Filtering in Frequency Domain

Requirements

How to Filter in the Frequency Domain?

- Basic tool to work with: **Fourier Transform**.
- **Spatial filtering** works on the **intensity values**.
- **Frequency domain filtering** works on the **Fourier transform values**.
 - 1) compute the Fourier transform of the image.
 - 2) modify the Fourier transform of an image (apply filters).
 - 3) then compute the inverse transform to obtain the spatial domain representation of the processed result.

- **What values do we have?**

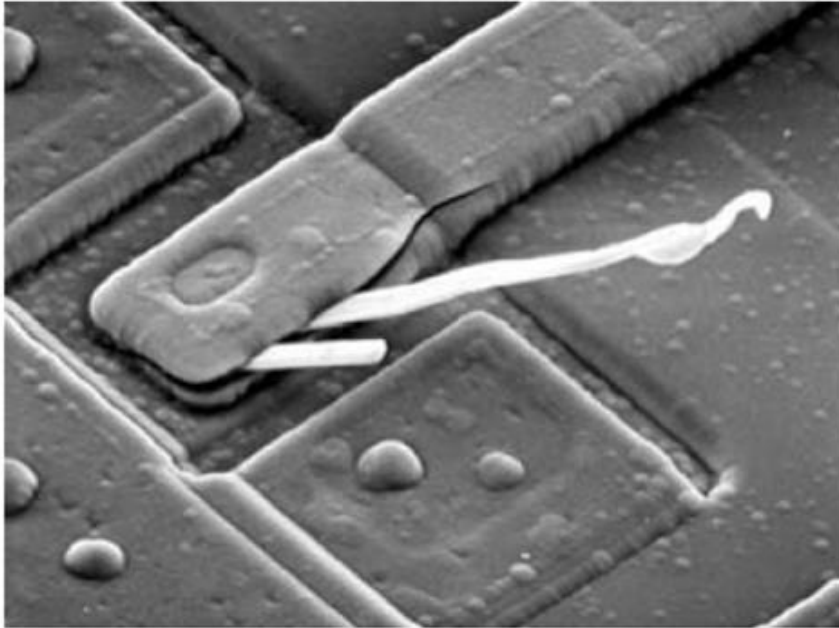
- Fourier spectrum (magnitude)
- Phase angle

$$F(u, v) = |F(u, v)|e^{j\phi(u, v)}$$

$$|F(u, v)| = \left[R^2(u, v) + I^2(u, v) \right]^{1/2}$$

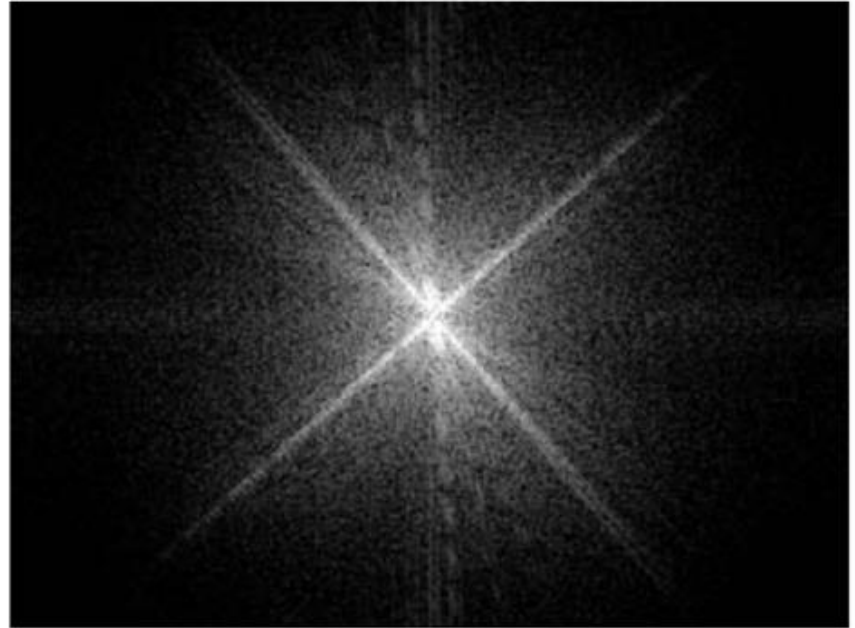
$$\phi(u, v) = \arctan \left[\frac{I(u, v)}{R(u, v)} \right]$$

Example



Scanning Electron Microscope image of
an damaged Integrated Circuit

- Strong edges in $\pm 45^\circ$ directions
- The “defect” has edges in other directions
 - White, oxide protrusions



it's Fourier spectrum

- Strong edges in $\pm 45^\circ$ directions
- The “defect” is “visible” as patterns near the vertical direction

Filtering Operation in Frequency Domain

- Given (a padded) digital image, $f(x, y)$, of size $P \times Q$ pixels, the basic filtering equation in which we are interested has the form:

$$g(x, y) = \text{Real} \left\{ \mathfrak{F}^{-1} [H(u, v)F(u, v)] \right\}$$

- \mathfrak{F}^{-1} is the IDFT
- $F(u, v)$ is the **DFT** of the input image $f(x, y)$ in which $F(0, 0)$ is **centered** at $F(u, v)$ by *multiplying the input image* by $(-1)^{x+y}$ **prior** to computing $F(u, v)$
- $H(u, v)$ is a *filter function* which is **symmetric about its center**
- $g(x, y)$ is the *filtered (output) image*
- Functions F , H , and g are **arrays of size $P \times Q$** , the same as the padded input image
- The *product $H(u, v)F(u, v)$* is formed using **elementwise multiplication**

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \odot \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} a_{11}b_{11} & a_{12}b_{12} \\ a_{21}b_{21} & a_{22}b_{22} \end{bmatrix}$$

Simple Frequency Domain Filter - example

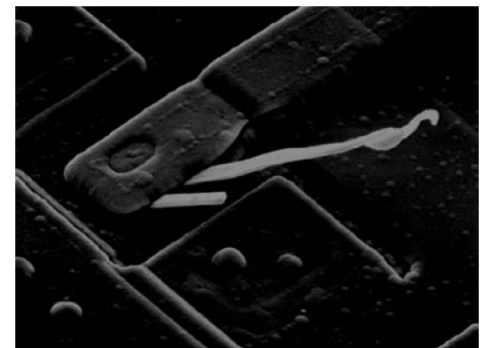
- To mask out the **DC term** (average intensity) - **$F(0,0)$**

$$H(u, v) = \begin{cases} 0 & \text{if } u = 0 \text{ and } v = 0 \\ 1 & \text{otherwise} \end{cases}$$

$$\mathcal{F}\left\{ \mathcal{F}^{-1}\left\{ \mathcal{F}\left\{ \begin{array}{c} \text{Image} \end{array} \right\} \times (-1)^{x+y} \right\} \right\} \times \begin{bmatrix} 0 & 1 & 1 & \dots & 1 \\ 1 & 1 & 1 & \dots & 1 \\ 1 & 1 & 1 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & 1 & 1 & \dots & 1 \end{bmatrix} \right\}$$

$$f(x,y) \times (-1)^{x+y}$$

=

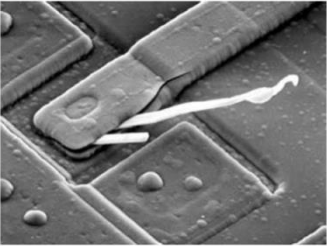


Frequency Domain Filter **Nomenclature**

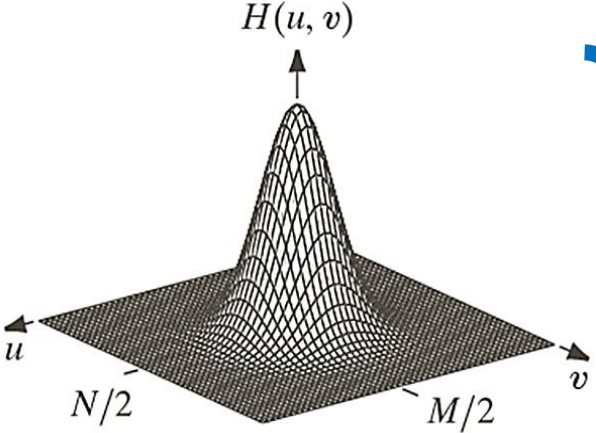
- **Low frequencies** : regions of smooth intensities
- **High frequencies** : abrupt transitions in the intensity values
- **Lowpass filter** : Pass the low frequencies, attenuate the high frequencies
 - Blur the image
 - Blur the edges
- **Highpass filter** : Pass the high frequencies, attenuate the low frequencies
 - Enhance edges, sharpen the image
 - Lower the contrast in the image

Lowpass Filter


$$\mathcal{F}^{-1} \left\{ \mathcal{F} \left\{ f(x,y) \otimes (-1)^{x+y} \right\} \times H(u,v) \right\}$$



 $f(x,y) \otimes (-1)^{x+y}$

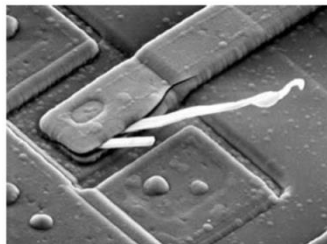
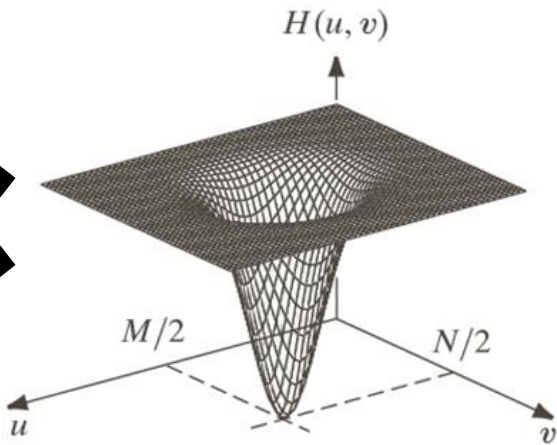


 $H(u,v)$

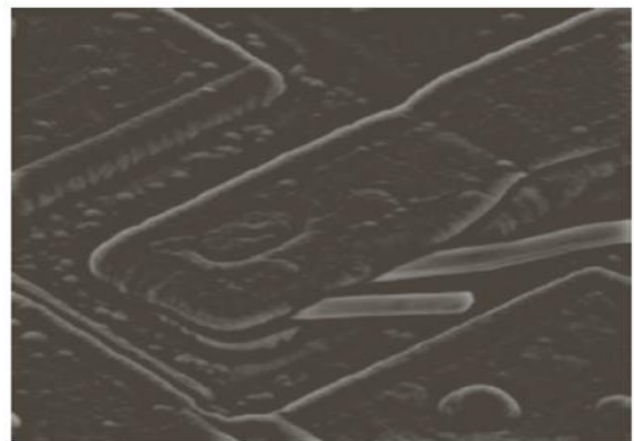


Highpass Filter

$$f(x,y) \xrightarrow{1} \left\{ f(x,y) \times (-1)^{x+y} \right\} \times \left\{ H(u,v) \right\}$$

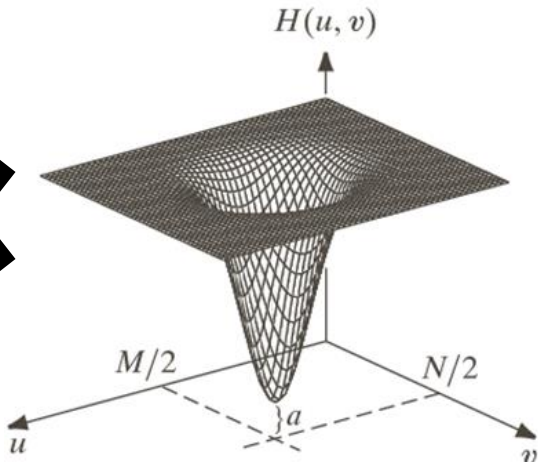
$f(x,y) \otimes (-1)^{x+y}$



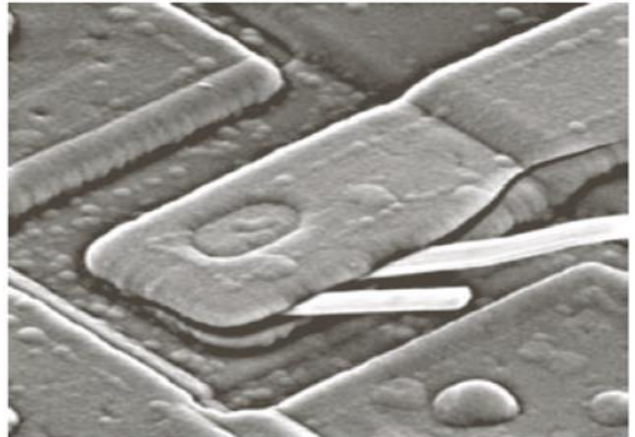
Offset Highpass Filter

$$f(x,y) - 1 \left\{ f(x,y) \otimes (-1)^{x+y} \right\} \times \left\{ H(u,v) \right\}$$

$f(x,y) \otimes (-1)^{x+y}$




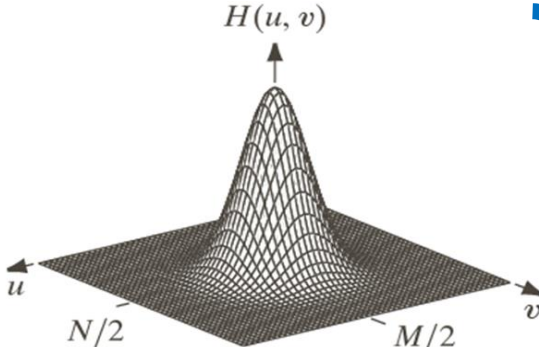
=



Adding a small constant to the highpass filter does not affect sharpening appreciably, but it does **prevent** elimination of the **dc term** and thus **preserves tonality**.

Filtering with **Padding** the input image

$$\mathcal{F}^{-1} \left\{ \mathcal{F} \left\{ f(x,y) \otimes (-1)^{x+y} \right\} \times H(u,v) \right\}$$

=

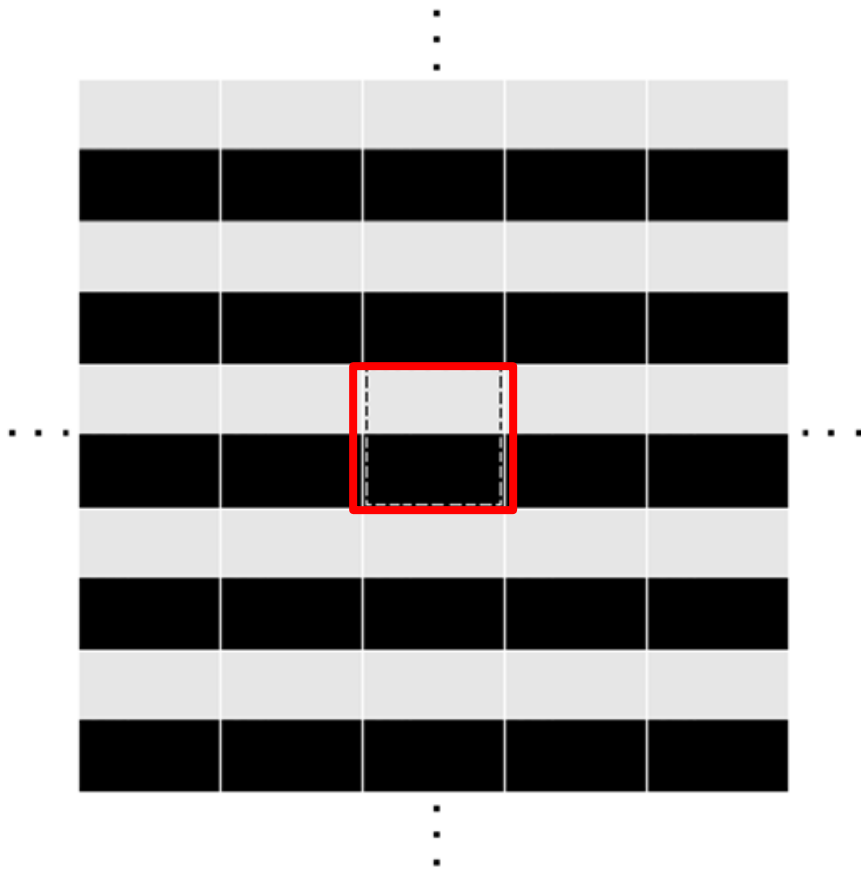


No Padding

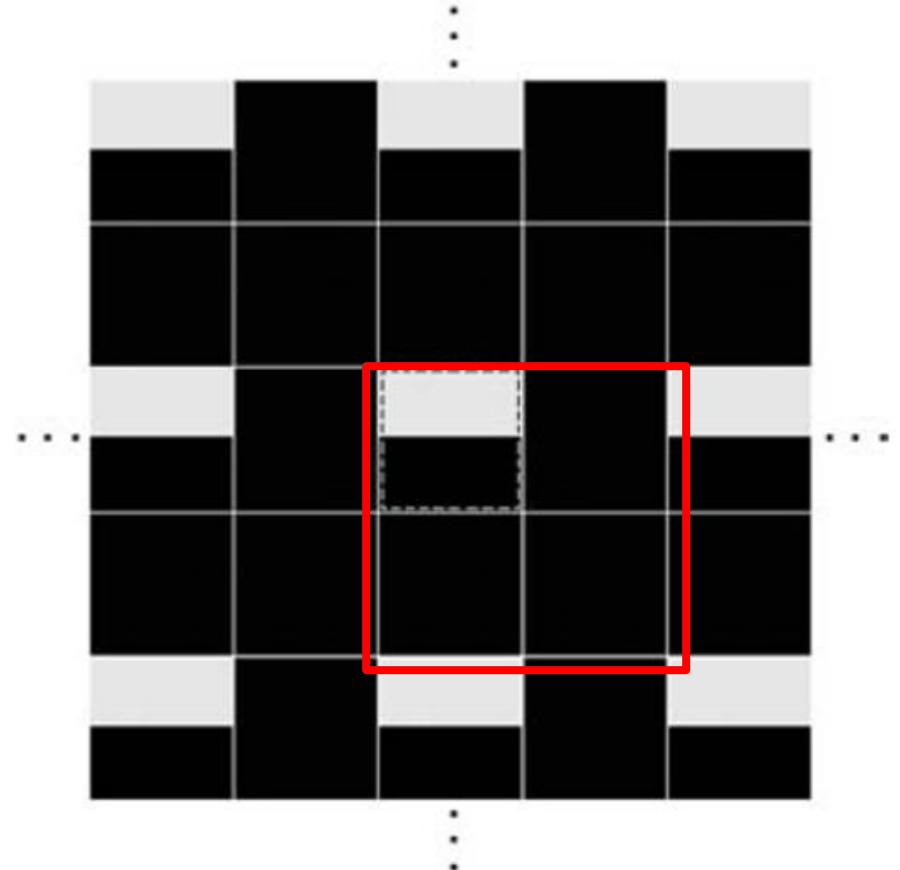


Padding

Effect of Padding on Input Image



No Padding



Padding

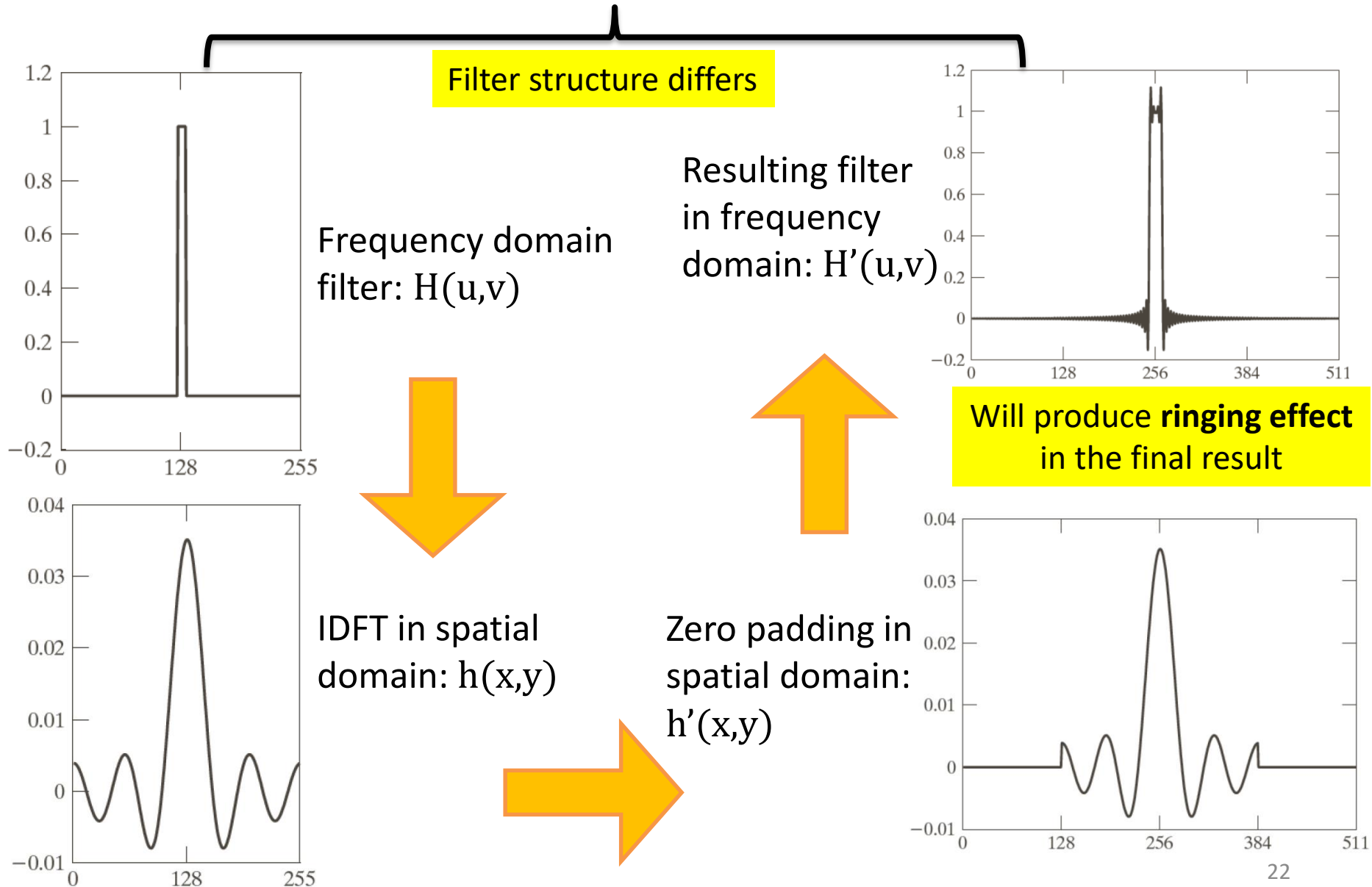
What About the Padding for Filters in Frequency Domain?

Simple Idea (**Not preferred**)

Steps :

1. Construct the filter in the **frequency domain** having **same size** as the unpadded input image
2. Perform IDFT of this filter.
 - Frequency domain -> spatial domain
3. Do the Zero padding in the spatial domain.
4. Perform DFT to obtain the frequency domain filter.
 - Spatial domain -> frequency domain

Simple Idea (**Not preferred**)



Simple Idea (**Not preferred**) – Solution ??

Steps :

1. Pad the input image (in **spatial domain of course...**).
 2. Construct the filter in frequency domain having **same size** as the padded input image.
- This approach will **result in wraparound error** because **no padding is used for the filter transfer function**.
 - this error is mitigated significantly by the separation provided by padding the input image
 - it is preferable to ringing
 - Results in wraparound error, but smoother images

Effect of Frequency Filtering on the Phase Angle

$$F(u,v) = R(u,v) + jI(u,v)$$

□ Substitute it in $g(x,y) = F^{-1}[H(u,v)F(u,v)]$
 $g(x,y) = F^{-1}[H(u,v)R(u,v) + jH(u,v)I(u,v)]$

□ Phase angle is given by:

$$\phi(u,v) = \arctan \left[\frac{I(u,v)}{R(u,v)} \right]$$

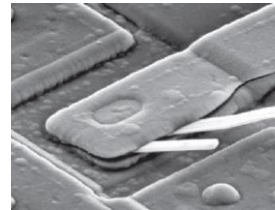
□ $\Phi_G(u,v) = \Phi_F(u,v)$ since $H(u,v)$ cancel out

- The filters that have **no effect on the phase angle**, are appropriately called as ***zero-phase-shift filters***

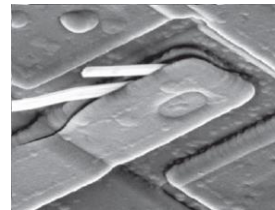
Effects of Phase Angle Change

- $F(u,v) = |F(u,v)|e^{j\phi(u,v)}$ - Original image
- $F_1(u,v) = |F(u,v)|e^{j[-1]\phi(u,v)}$ - Phase angle $\times (-1)$
- $F_2(u,v) = |F(u,v)|e^{j[0.25]\phi(u,v)}$ - Phase angle $\times 0.25$

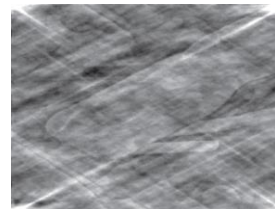
$$\mathcal{F}^{-1}\{F(u,v)\} =$$



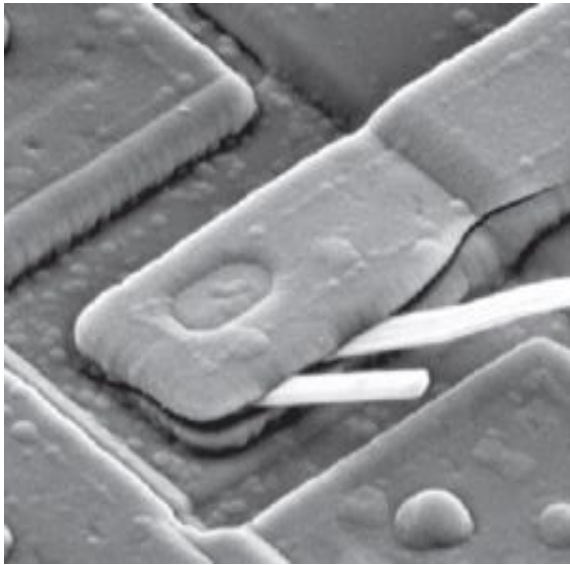
$$\mathcal{F}^{-1}\{F_1(u,v)\} =$$



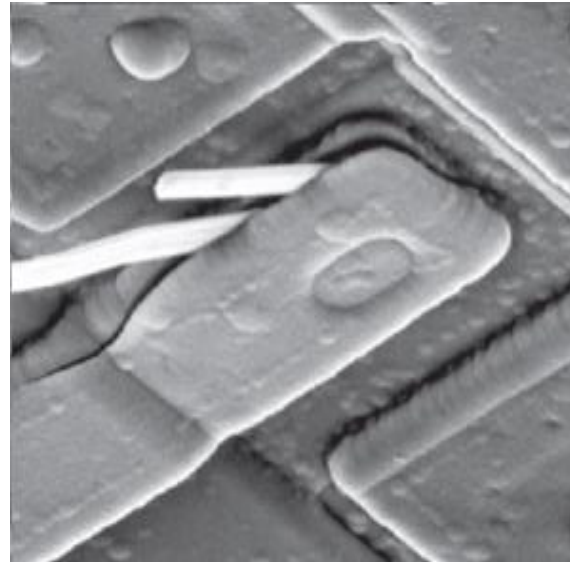
$$\mathcal{F}^{-1}\{F_2(u,v)\} =$$



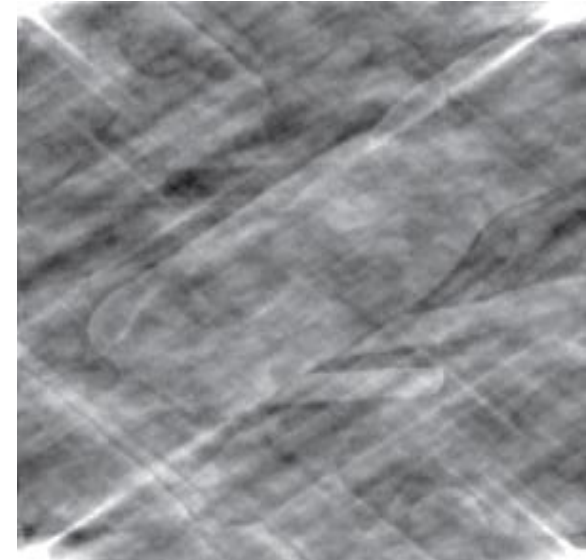
Effects of Phase Angle Change



Original Image



Phase angle $\times (-1)$



Phase angle $\times (0.25)$

These two results illustrate the advantage of using frequency-domain filters that do not alter the phase angle.

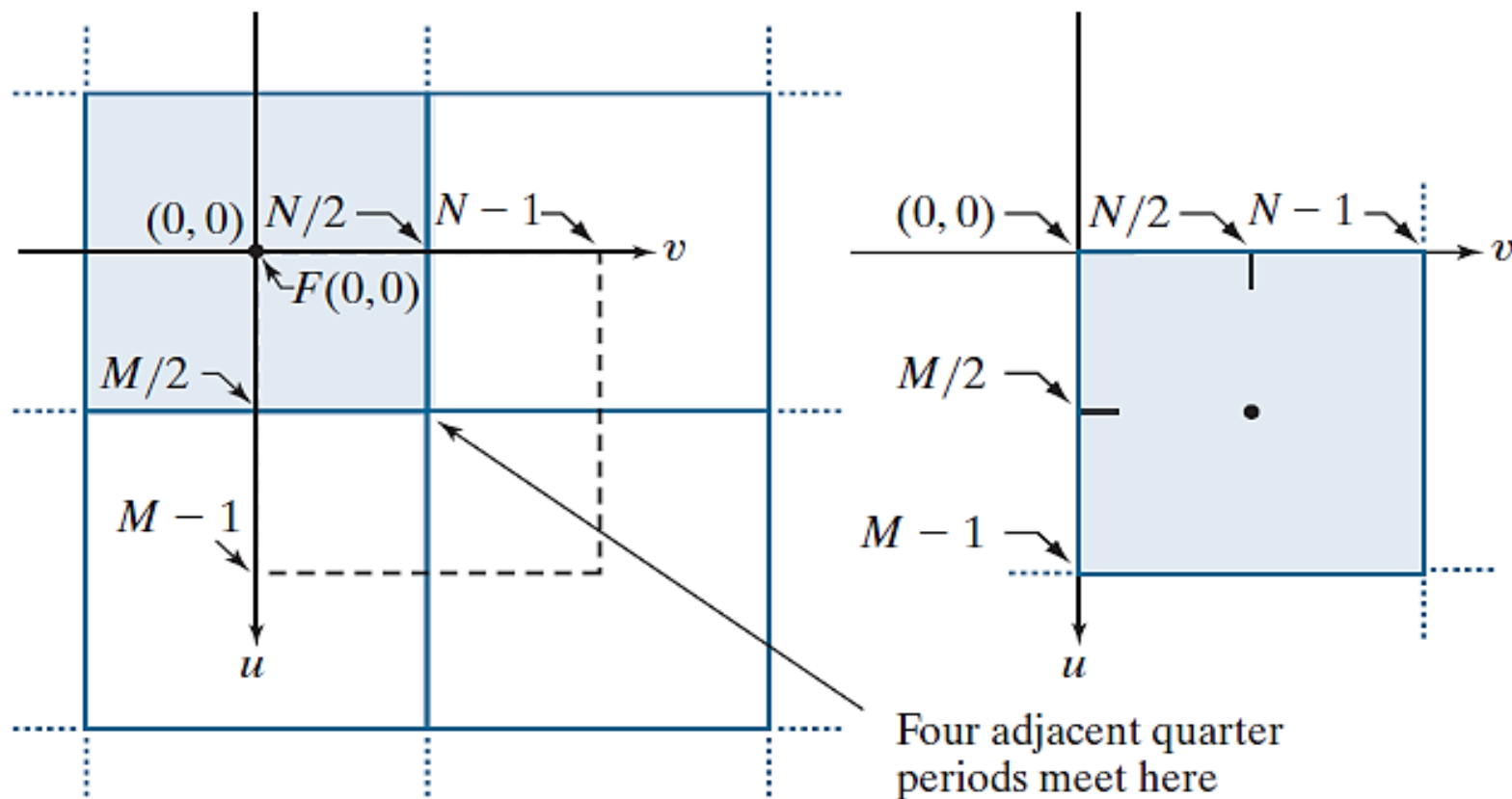
Steps for Filtering in the Frequency Domain

Important !!!

Steps for Filtering in the Frequency Domain

1. Given an input image $f(x,y)$ of size $M \times N$, obtain the padding parameters P and Q (typically, $P = 2M$ and $Q = 2N$).
2. Form a zero padded image $f_p(x,y)$ of size $P \times Q$ using zero-, mirror-, or replicate padding to the image $f(x,y)$.
3. Multiply $f_p(x,y)$ by $(-1)^{x+y}$ to center its transform.

3. Multiply $f_p(x,y)$ by $(-1)^{x+y}$ to center its transform



$= M \times N$ data array computed by the DFT with $f(x,y)$ as input



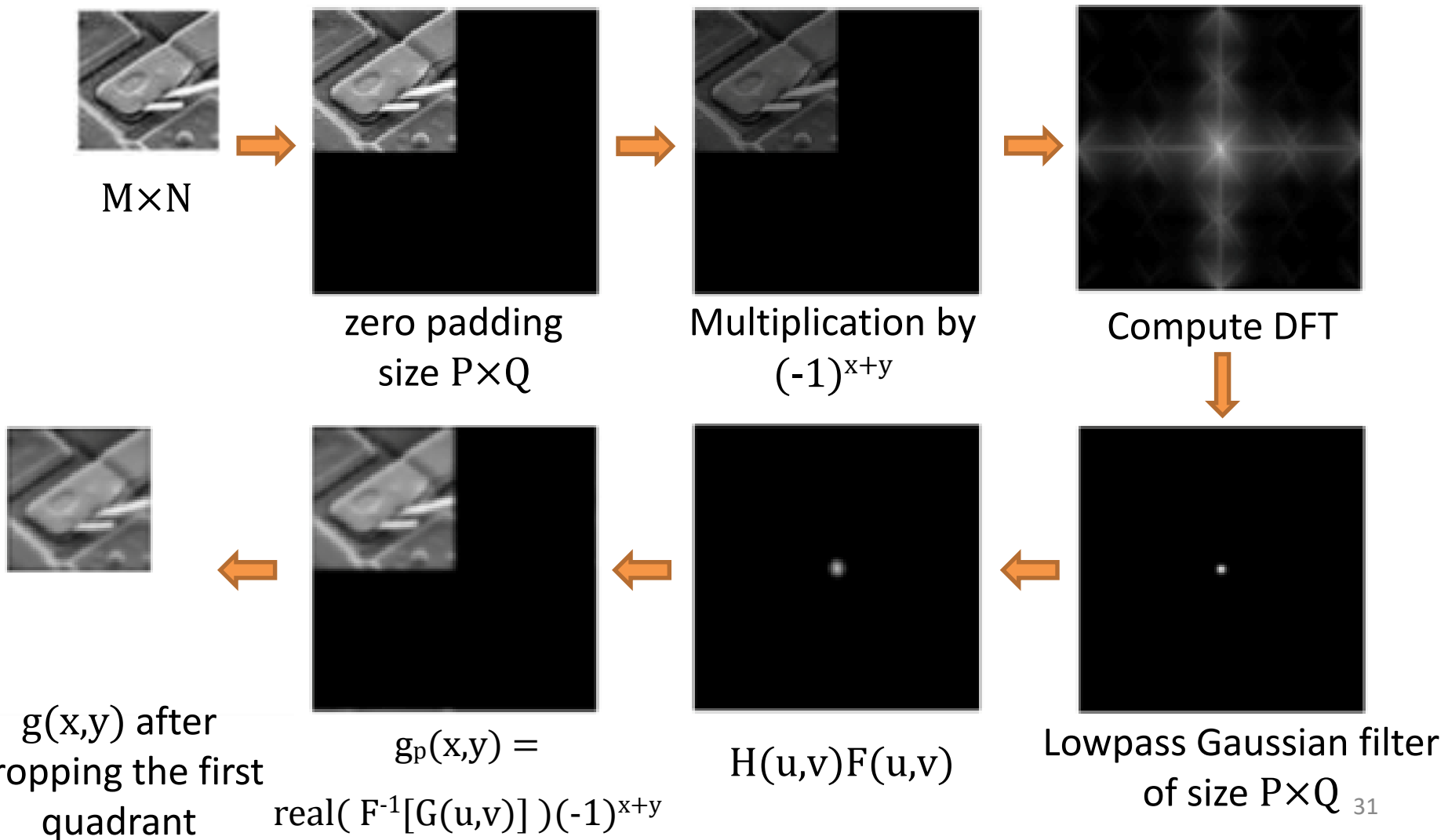
$= M \times N$ data array computed by the DFT with $f(x,y)(-1)^{x+y}$ as input

..... = Periods of the DFT

Steps for Filtering in the Frequency Domain

4. Compute the DFT, $F(u,v)$ of the image $f_p(x,y)$ from step-3.
5. Construct a real, symmetric filter $H(u,v)$ of size $P \times Q$ with center at the location $(P/2, Q/2)$.
6. Form the product $G(u,v) = H(u,v) \cdot F(u,v)$ using the elementwise multiplication operation for $u = 0, 1, 2, \dots, M-1$ and $v = 0, 1, 2, \dots, N-1$.
7. Obtain the filtered image (of size $P \times Q$) by computing the IDFT of $G(u,v)$:
$$g_p(x,y) = \left(\text{real} \left[\mathfrak{F}^{-1} \{ G(u,v) \} \right] \right) (-1)^{x+y}$$
8. Obtain the final image $g(x,y)$ of the same size as the input image by extracting the $M \times N$ region from the top, left quadrant of $g_p(x,y)$.

Filtering Explained with Example



Correspondence Between Filtering in Spatial and Frequency Domain

Correspondence between filtering in the Spatial and Frequency Domains

- The link between Spatial and Frequency Domains is the **Convolution Theorem**.

$$f \star h(x, y) \Leftrightarrow (F \bullet H)(u, v)$$

- Filtering in frequency domain is an **elementwise product** of $H(u, v)$ and $F(u, v)$.
- Given: $H(u, v)$, can we find corresponding $h(x, y)$ filter in spatial domain?**

Computing $h(x,y)$

- Let $f(x,y)=\delta(x,y)$, then $F(u,v)=1$
- Hence, $h(x,y) = \text{Real} \left\{ \mathfrak{F}^{-1} [H(u,v)F(u,v)] \right\} = \mathfrak{F}^{-1} \{H(u,v)\}$, and it is the inverse transform of the frequency domain filter.
- So, $h(x,y)$ is the corresponding filter in the spatial domain.
- The converse is also true: i.e., $H(u,v)=F\{h(x,y)\}$
- We conclude that: $h(x,y) \Leftrightarrow H(u,v)$ form a discrete Fourier transform pair for a filter.

Properties of $h(x,y)$

- $h(x,y)$ is obtained by inverse discrete Fourier transform(IDFT) of the frequency domain filter with Fourier transform of an impulse function.
 - Also known as the **impulse response** of $H(u,v)$
- All quantities in discrete representations of $H(u,v)$ and $h(x,y)$ are **finite**.
 - Such filters are known as **finite impulse response (FIR)** filters
- **Spatial convolution filtering** is well suited (speed) for small kernels using hardware and/or firmware implementation.
- When working with general purpose computers, **frequency-domain filtering** using a **fast Fourier transform (FFT)** algorithm can be hundreds of times faster than using spatial convolution.

Constructing Spatial Filters from Frequency Domain Filters

Constructing Spatial Filters from Frequency Domain Filters - **example**

- **Goal:** use full size ($P \times Q$) frequency domain filters as a guide to specify the spatial filters for a much smaller neighborhood.
- We shall illustrate the method with **Gaussian functions**.
 - **Recall:** Both the *forward* and *inverse* Fourier transform of a Gaussian function are *real* Gaussian functions.

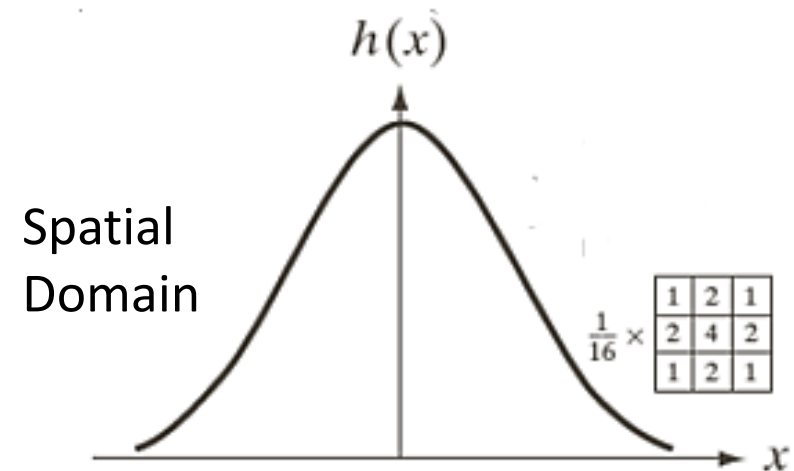
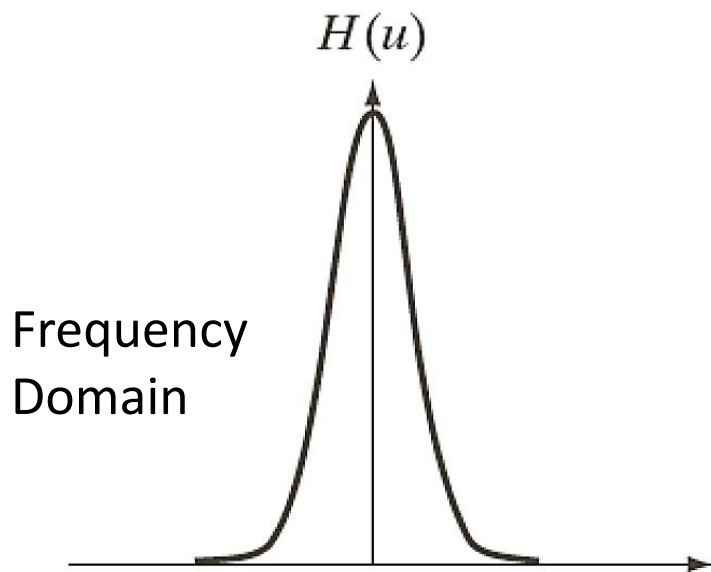
$$H(u) = Ae^{-u^2/2\sigma^2} \quad h(x) = \sqrt{2\pi}\sigma A e^{-2\pi^2\sigma^2x^2}$$

1-D Gaussian Fourier transform pair

- Both $H(u)$ and $h(x)$ are real, and Gaussian functions
 - No complex terms (simplifies computations)
- Functions behave reciprocally. (**sigma term**)
 - When $H(u)$ has $\sigma \rightarrow \infty \Rightarrow h(x)$ tends toward an **impulse**

Constructing Spatial Filters from Frequency Domain Filters - **example**

Lowpass Filter with a Gaussian Function:



- All coefficients are positive.
- Largest value in center.
- Values decrease towards “outer” samples.

Constructing Spatial Filters from Frequency Domain Filters - **example**

Lowpass Filter with a Gaussian Function:



**Test image of size
1024 x 1024**



**Filtering with Gaussian
kernel of size 21 x 21,
with $\sigma = 3.5$**



**Filtering with Gaussian
kernel of size 43 x 43,
with $\sigma = 7$**

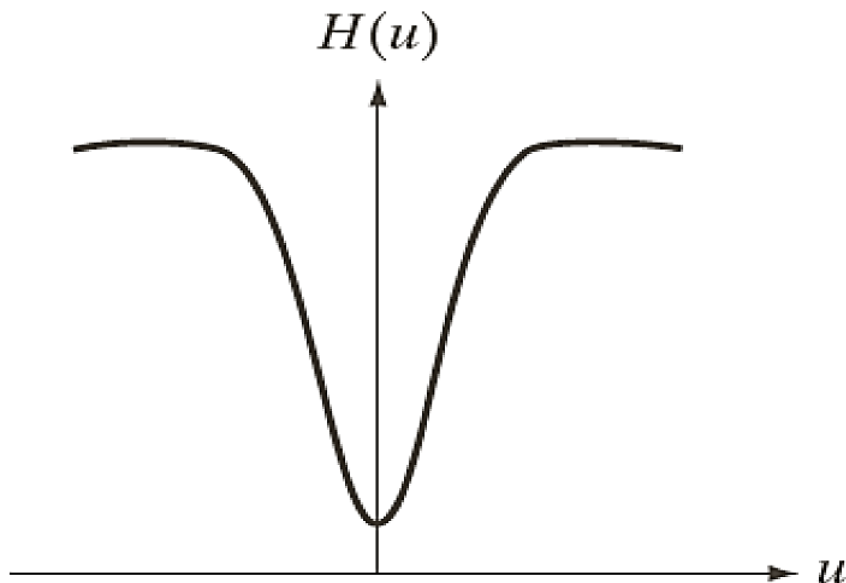
Constructing Spatial Filters from Frequency Domain Filters - **example**

Highpass Filter with a Gaussian Function:

$$H(u) = Ae^{-u^2/2\sigma_1^2} - Be^{-u^2/2\sigma_2^2}$$

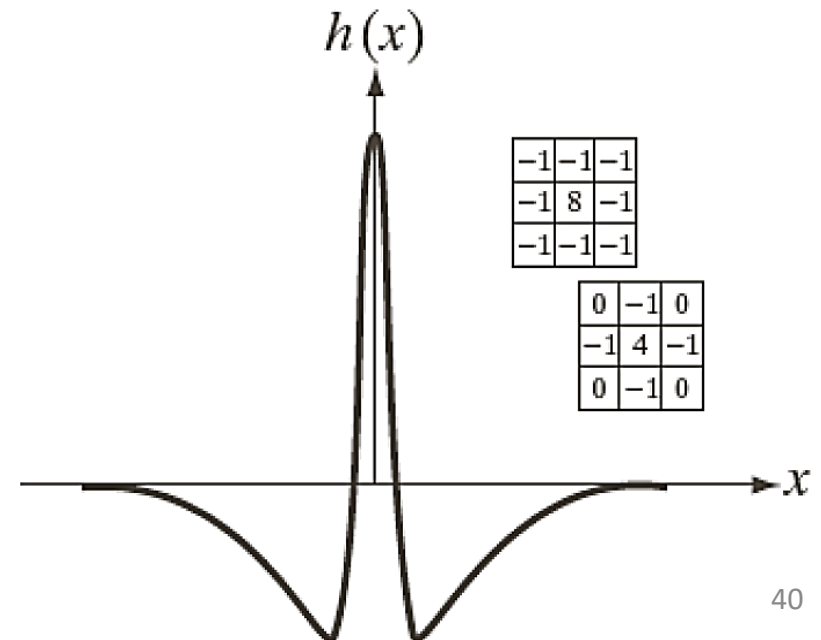
$$A \geq B \quad \text{and} \quad \sigma_1 \geq \sigma_2$$

Highpass Gaussian filter in **frequency domain** made of Two lowpass Gaussian functions



$$h(x) = \sqrt{2\pi}\sigma_1 A e^{-2\pi^2\sigma_1^2 x^2} - \sqrt{2\pi}\sigma_2 B e^{-2\pi^2\sigma_2^2 x^2}$$

Corresponding Highpass Gaussian filter in **spatial domain**

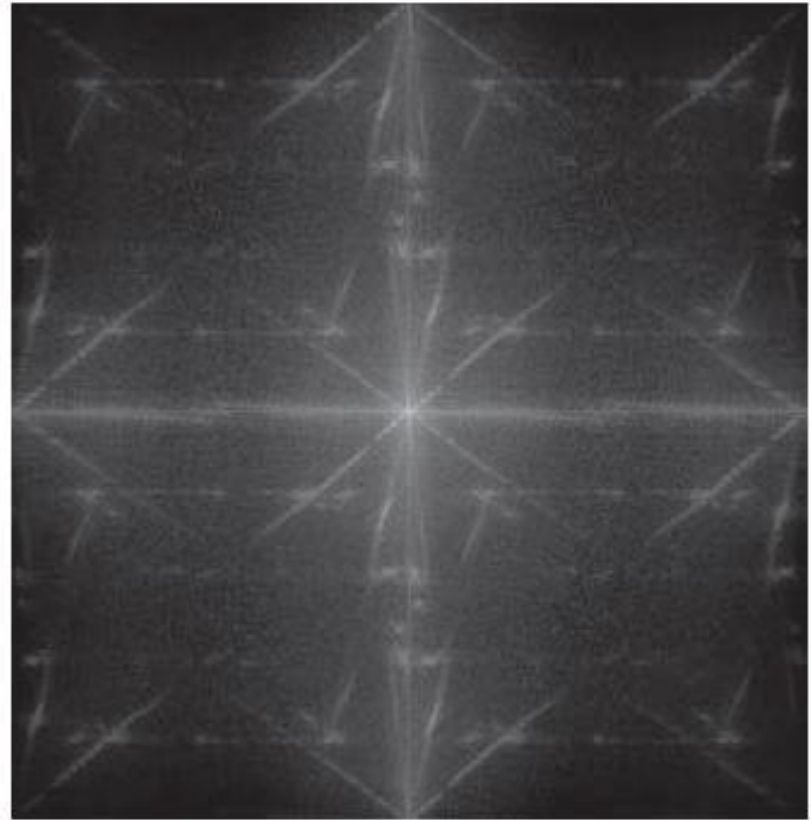


Constructing Frequency Domain Filters from Spatial Filters

Constructing Frequency Domain Filters from Spatial Filters - **example**



$f(x,y)$ of size 600×600



Its $F(u,v)$, centered

Constructing Frequency Domain Filters from Spatial Filters - **example**

- Sobel Filter $h(x,y)$
 - 3×3 kernel size
- Image Size $f(x,y)$
 - 600×600
- To avoid **wraparound error**, We need **zero padding** for both $f(x,y)$ and $h(x,y)$!!!
 - $P \geq A + B - 1$
 - $P \geq 600 + 3 - 1 = 602$

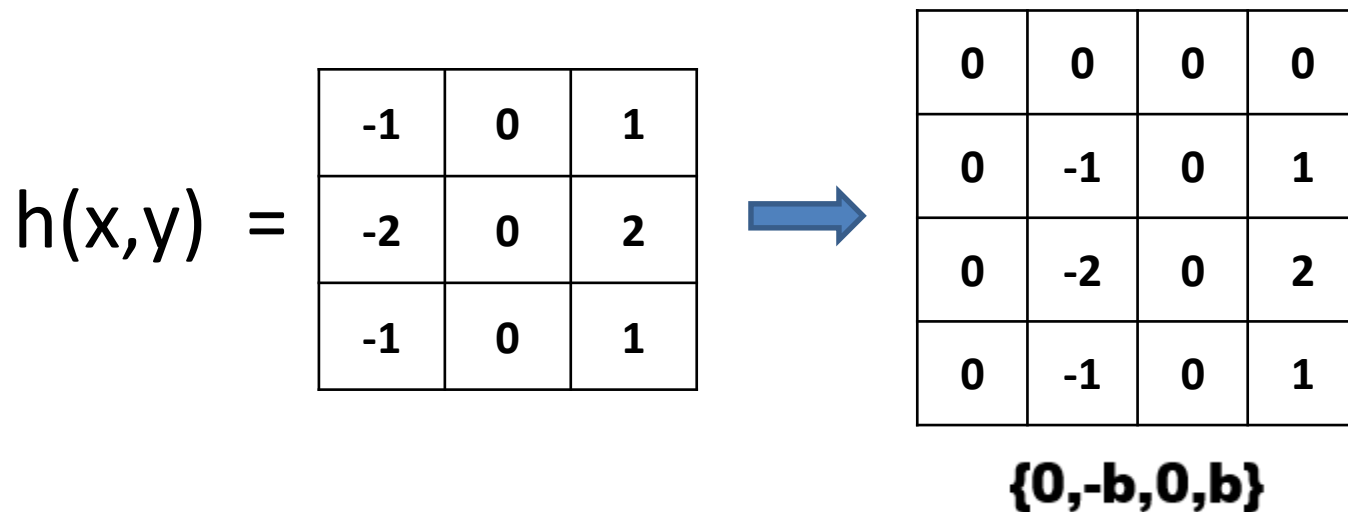
-1	0	1
-2	0	2
-1	0	1

Spatial Sobel filter $h(x,y)$

Constructing Frequency Domain Filters from Spatial Filters - **example**

Step-1: Sobel function exhibits **ODD symmetry**. However, its **1st element is not zero**.

Step-2: To make it an perfect **odd function**, we have to add to it a **leading row and column of 0's**.



For an **odd function**, when **M** is an **even number**, a **1-D odd sequence** has always **zero** values for the points at locations **0** and **$M/2$** .

Constructing Frequency Domain Filters from Spatial Filters - **example**

Step-3: Place the Sobel filter from previous step into an larger array of zeros of the size 602 x 602 with both of their **centers coincide**.

Centered at index
(301,301)

.
.
.	.	.	0	0	0	0
.	.	.	0	-1	0	1
.	.	.	0	-2	0	2
.	.	.	0	-1	0	1
.
.
.
.

$h(x,y) = 602 \times 602$ size array of zeros

Constructing Frequency Domain Filters from Spatial Filters - **example**

- **Very important point !!!** If odd (even) characteristic of a filter is not preserved, the filtering is not identical in spatial and frequency domains !!!.
- **Step-4:** Find the **forward DFT** of $h(x,y)$ resulting in the required $H(u,v)$ filter in the frequency domain.
 - * Since $h(x,y)$ is **ODD** and **REAL** function, $H(u, v)$ will be **purely imaginary**.

Recall:

$f(x,y)$ real and odd $\Leftrightarrow F(u,v)$ imaginary and odd

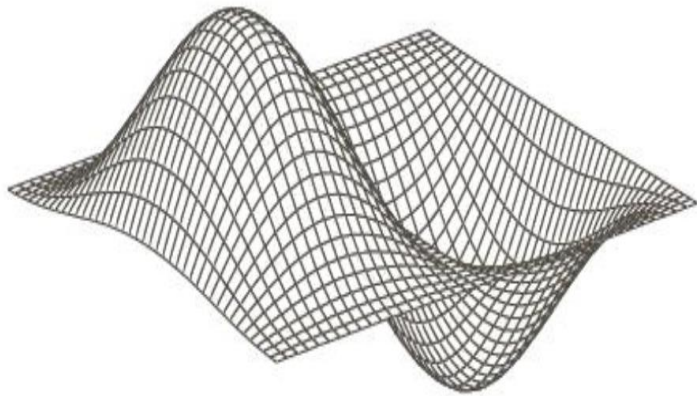
Constructing Frequency Domain Filters from Spatial Filters - **example**

The complete procedure to generate $H(u,v)$ from $h(x,y)$:

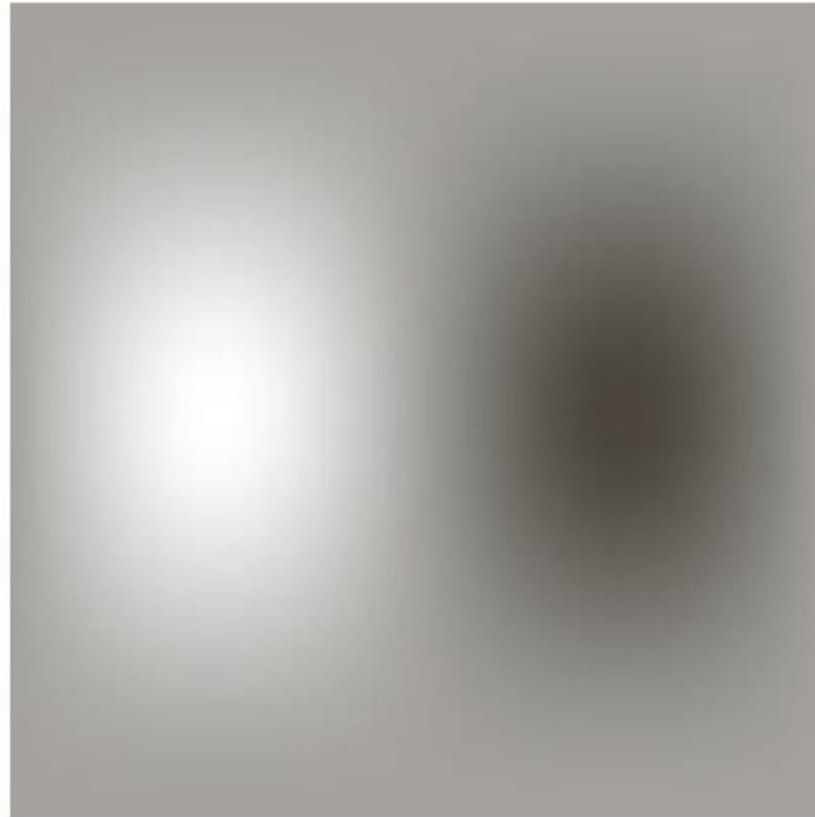
1. Pad $h(x,y)$ to form a 602×602 elements array: $h_p(x,y)$.
2. Multiply $h_p(x,y)$ with $(-1)^{x+y}$ to center the frequency domain filter.
3. Compute the forward DFT of the result in step (2): $H(u,v)$.
4. Set the real part of $H(u,v)$ to **0** to facilitate numerical precision or to eliminate outliers. H has to be purely imaginary.
5. Finally, multiply $H(u,v)$ with $(-1)^{u+v}$ to compensate for the shift to the center of the spatial filter in step (2).

Constructing Frequency Domain Filters from Spatial Filters - **example**

-1	0	1
-2	0	2
-1	0	1

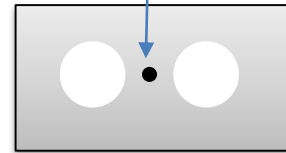


Perspective plot of the frequency
domain filter

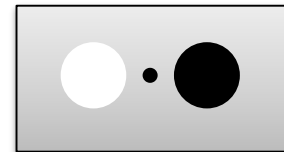


Fourier Spectrum of $H(u,v)$

Center of $H(u,v)$



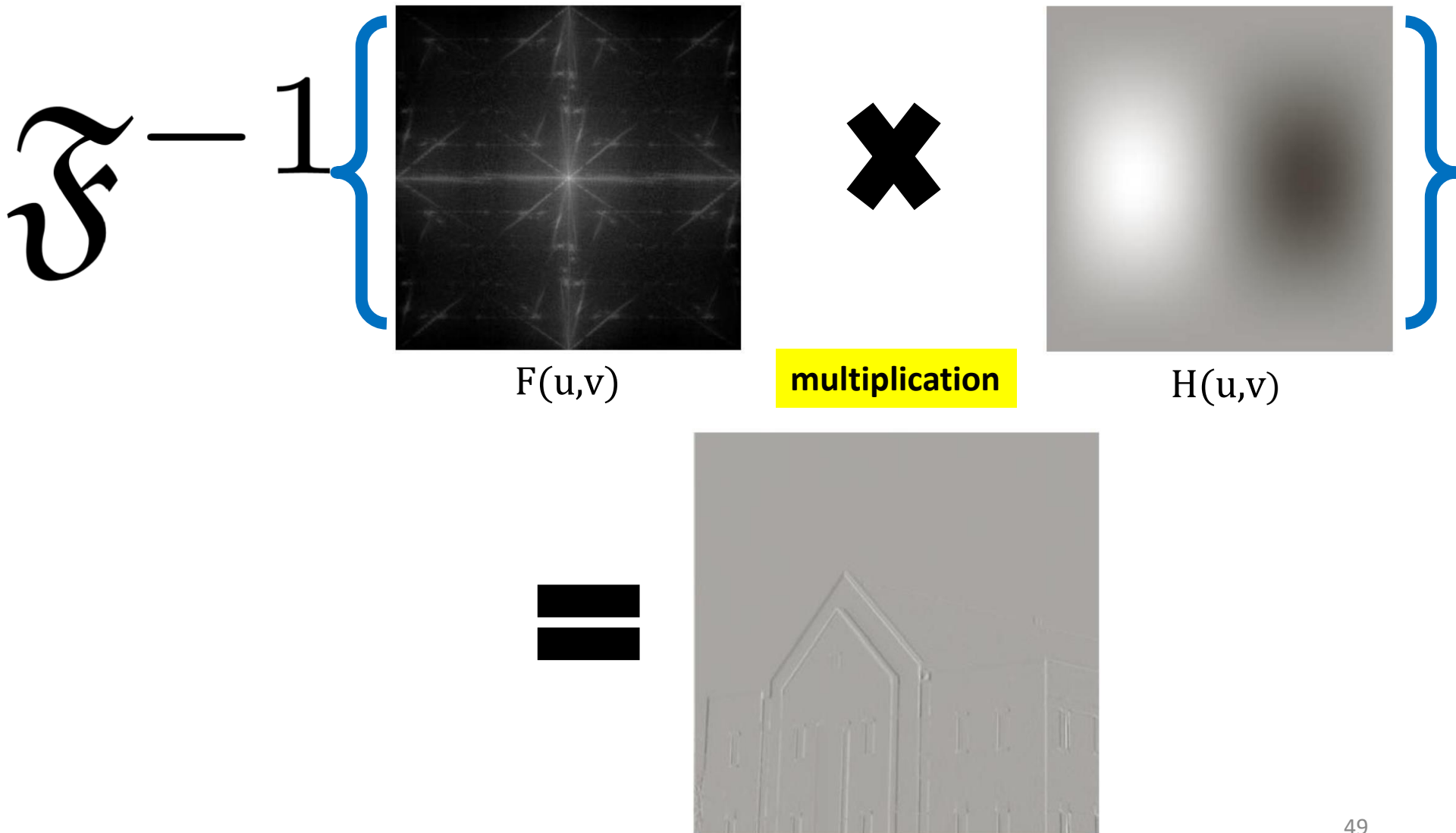
Symmetry



Antisymmetry

Note the **antisymmetry** in $H(u,v)$ image about its center, a result of $H(u,v)$ being odd.

Highpass filtering in Frequency Domain - example



Highpass filtering in Spatial Domain - example



$f(x,y)$



convolution

-1	0	1
-2	0	2
-1	0	1

$h(x,y)$



Highpass filtering in Spatial Domain **Vs.** Frequency domain



Frequency Domain Filter Result

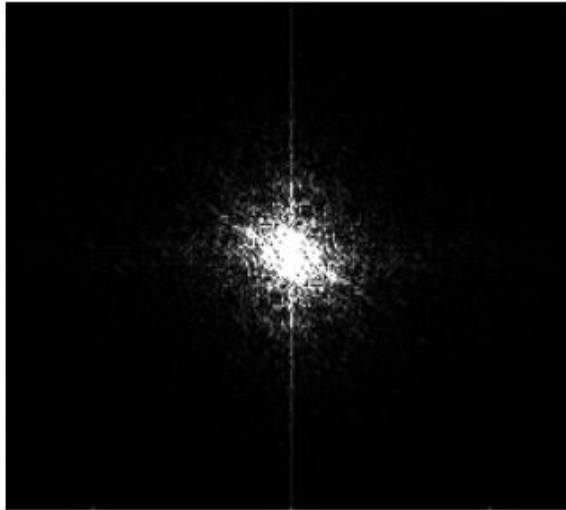


Spatial Domain Filter Result

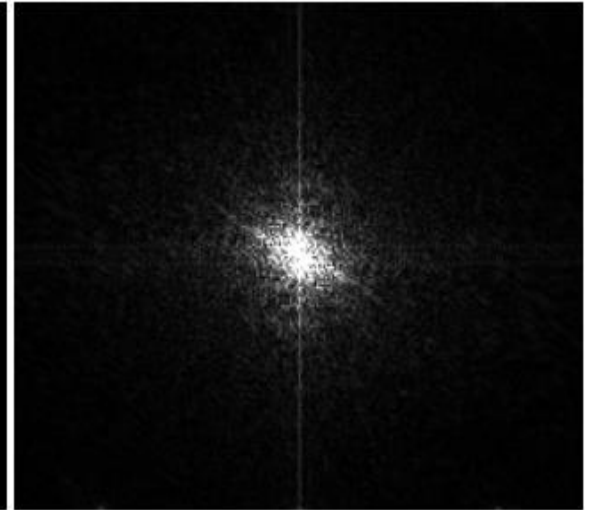
Filtering - example



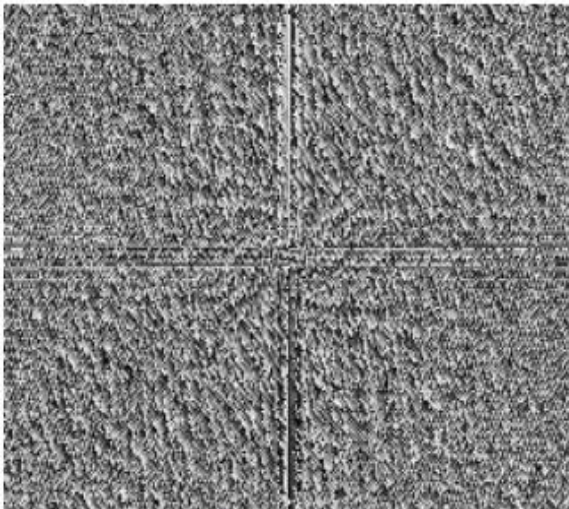
Original image



Power spectrum



Amplitude spectrum



Phase spectrum



High-pass filtering



Low-pass filtering

Next Lecture

- Image Smoothing Using Lowpass Frequency Domain Filters
- Image Sharpening Using Highpass Frequency Domain Filters
- Laplacian in the Frequency Domain
- Homomorphic Filtering
- Selective Filtering