

# CS180 Experiment 3

March 13, 2017

## 1 A Motivating Example

Notes:

1. Read the file `test-add.c`. How many threads does this code create? What does each thread do?
2. Compile the code using the command `gcc test-add.c`.
3. Run the program using `a.exe 1000 2` and `a.exe 100000 2`. Are the results as expected? Why or why not?

## 2 Peterson's Solution

Notes:

1. Read textbook page 229 for explanation of the **Peterson's** solution.
2. Study `peterson.c` and `peterson.h`. What is the instruction `mfence` for? Recall the notes and explanation in lecture.
3. `test-add-petersons.c` is an implementation using Peterson's algorithm to ensure mutual exclusion for the motivating example. Compile the code using

```
gcc -o test-add-petersons.exe test-add-petersons.c peterson.c
```

Run the program. Recompile and run the program with the assembly code inlining `mfence` commented. What is the difference in the output?

## 3 Test and Set Lock

Notes:

1. Read textbook page 231 for explanation of the **TestAndSet** instruction.
2. Study `tsl.c` and `tsl.h`. How is the test and set implemented? You may need to research on the `xchgl` instruction. item `test-add-tsl.c` is an implementation using the algorithm to ensure mutual exclusion for the motivating example. Compile the code using

```
gcc -o test-add-tsl.exe test-add-tsl.c tsl.c
```

Run the program and verify that it works.

## 4 Semaphore

Notes:

1. Study `test-add-semaphores.c`. What does `sem_init` do? (Hint: use `man sem_init` to find out. Write down anything you don't understand on the man page for discussion with your friend.) Perform similar study for `sem_init` and `sem_post`.
2. Compile the code using

```
gcc -o test-add-semaphores.exe test-add-semaphores.c
```

Run the program and verify that it works.

## 5 Test-Add Experiment

In this section, we perform to compare the performance of these programs with reference to the iteration of the loop inside each thread.

1. Open the Task Manager window. Next, run the following commands inside a cygwin environment. We assume that the working directory is the directory containing all the executables compiled above. Observe how the CPU usage changes when you run the programs. Note the difference in the “real time” (which is elapsed time) reported by the `time` command. Could you account for the differences between these programs in terms of why they report differentiating timings and CPU usage patterns?

```
time ./test-add-semaphores.exe 10000000 2
time ./test-add-tsl.exe 10000000 2
time ./test-add-petersons.exe 10000000 2
```

## 6 Using TSL and semaphore to solve the Producer–Consumer Problem

1. Study the code to be found in `simple-producer-consumer-tsl.c` and `simple-producer-consumer-semaphores.c`. The comments within the code should be sufficient for illuminating the working of the code.
2. Compile using the following commands:

```
gcc simple-producer-consumer-tsl.c tsl.c -o \
    simple-producer-consumer-tsl.exe
gcc simple-producer-consumer-semaphores.c tsl.c -o \
    simple-producer-consumer-semaphores.exe
```

3. Run the program with the following arguments in a cygwin environment. Again, note the difference in the elapsed time and the CPU usage stats in the Task Manager window. Could you account for these differences?

```
time ./simple-producer-consumer-tsl.exe 10 40
time ./simple-producer-consumer-semaphores.exe 10 40
```

## 7 Code Listings

```
1 #ifndef PETERSON_H
2 #define PETERSON_H
3 void get_mutex(int);
4 void release_mutex(int);
5 #endif
```

peterson.h

```
1 #include <stdio.h>
2 static volatile int interested[2]={0};
3 static volatile int turn;
4 static int count=0;
5 void get_mutex(int pid)
6 {
7     interested[pid]=1;
8     int other = pid?0:1;
9     turn=pid;
10    //__asm__ ("mfence");
11    while(turn == pid && interested[other]);
12 }
13 void release_mutex(int pid)
14 {
15     interested[pid]=0;
16 }
17 }
```

peterson.c

```

1 #ifndef TSL_H
2 #define TSL_H
3 typedef struct Lock {
4     unsigned int locked;
5 } Lock;
6
7 void acquire(Lock *lck);
8 void release(Lock *lck);
9 #endif

```

tsl.h

```

1 #include "tsl.h"
2
3
4
5 static inline unsigned int TSL(volatile unsigned int* ptr)
6 {
7     /*
8      * xchgl result, *ptr
9      * xchgl exchanges the values of its two operands, while
10      * locking the memory bus to exclude other operations.
11      * You are not required to understand the assembly inlining.
12      * the xchgl assembly code performs the swap function
13      * in a single instruction. Swapping between the register
14      * containing result and the address "ptr" in
15      * one atomic and indivisible instruction.
16      */
17     int result;
18     asm volatile("lock;"
19                  : "=r"(result), "=m"(*ptr)
20                  : "0"(1), "m"(*ptr)
21                  : "memory");
22     return result;
23 }
24
25
26 void acquire(Lock *lck) {
27     while(TSL(&(lck->locked)) != 0)
28         ;
29 }
30
31 void release(Lock *lck) {
32     lck->locked = 0;
33 }

```

tsl.c

```

#define REENTRANT
2 #include <pthread.h>
#include <stdio.h>
4 #include <stdlib.h>
#include <unistd.h>
6 #include <time.h>

8 int count = 0;

10 void *add_many(void *arg)
{
12     int num_of_rounds = (int) arg;
    int i;
14     for(i=0;i<num_of_rounds; i++)
        count++;
16 }

18 int main(int argc, char**argv)
{
20     int num, flags;
    int seq_or_thd;
22     pthread_attr_t a;
    pthread_t tid[2]; //[0] - producer [1] - reader
24
    if(argc!=3)
26     {
        fprintf(stderr, "Usage: %s <num_of_times> <0: sequential OR 1: threads>\n",
            argv[0]);
28         exit(-1);
    }
30
    num = atoi(argv[1]);
32     seq_or_thd = atoi(argv[2]);

34     if(seq_or_thd)
    {
36         //Threads

38         flags = PTHREAD_SCOPE_SYSTEM;
        pthread_attr_init(&a);
40         pthread_attr_setscope(&a, flags);

42         pthread_create(&tid[0], &a, add_many, (void*)num);
        pthread_create(&tid[1], &a, add_many, (void*)num);
44         pthread_join(tid[0], NULL);
        pthread_join(tid[1], NULL);
46     }
    else
48     {
        //Sequential example
50         add_many((void*)num);
        add_many((void*)num);
52     }

54     printf("count is %d\n", count);
}

```

test-add.c

```

1 //Macro for POSIX threads
#define REENTRANT
3 //Including the pthread headers
#include <pthread.h>
5 //Standard stuff
#include <stdio.h>
7 #include <stdlib.h>
#include <unistd.h>
9 #include <time.h>

11 #include "tsl.h"

13 int count = 0;
Lock the_lock;

15 void *add_many(void *arg)
17 {
    int num = (int) arg;
19     int i;
    for (i=0; i<num; i++)
21     {
        acquire(&the_lock);
23         count++;
        release(&the_lock);
25     }
    }

27 int main(int argc, char**argv)
29 {
    int num, flags;
    int seq_or_thd;
    pthread_attr_t a;
33     pthread_t tid[2]; // [0] - producer [1] - reader

35     if (argc!=3)
    {
37         fprintf(stderr, "Usage: %s <num_of_times> <0: sequential OR 1: threads>\n",
            argv[0]);
            exit(-1);
39     }

41     num = atoi(argv[1]);
    seq_or_thd = atoi(argv[2]);

43     if (seq_or_thd)
45     {
        flags = PTHREAD_SCOPE_SYSTEM;
47         pthread_attr_init(&a);
        pthread_attr_setscope(&a, flags);
49         the_lock.locked = 0;
        pthread_create(&tid[0], &a, add_many, (void*)num);
51         pthread_create(&tid[1], &a, add_many, (void*)num);
        pthread_join(tid[0], NULL);
53         pthread_join(tid[1], NULL);
    }
55     else
    {
57         add_many((void*)num);
        add_many((void*)num);
59     }
    printf("count is %d\n", count);
61 }

```

test-add-tsl.c

```

1 #define REENTRANT
#include <pthread.h>
3 #include <stdio.h>
#include <stdlib.h>
5 #include <unistd.h>
#include <time.h>
7 #include "peterson.h"

9 int count = 0;

11 struct num_and_thread_id
{
13     int num;
    int id;
15 };

17 void *add_many(void *arg)
{
19     struct num_and_thread_id *s_pointer = (struct num_and_thread_id *) arg;
    int i;
21     for(i=0;i<s_pointer->num; i++)
    {
23         get_mutex(s_pointer->id);
        count++;
25         release_mutex(s_pointer->id);
    }
27 }

29 int main(int argc, char**argv)
{
31     int num, flags;
    int seq_or_thd;
33     pthread_attr_t a;
    pthread_t tid[2]; //[0] - producer [1] - reader
35
    if(argc!=3)
37     {
        fprintf(stderr, "Usage: %s <num_of_times> <0: sequential OR 1: threads>\n",
            argv[0]);
39         exit(-1);
    }
41
    num = atoi(argv[1]);
43     seq_or_thd = atoi(argv[2]);

45     if(seq_or_thd)
    {
47         //Threads
        struct num_and_thread_id param, param2;
49
        flags = PTHREAD_SCOPE_SYSTEM;
51         pthread_attr_init(&a);
        pthread_attr_setscope(&a, flags);
53
        param.num = num;
55         param.id = 0;

57         pthread_create(&tid[0], &a, add_many, (void*)&param);

59         param2.num = num;
        param2.id = 1;
61
        pthread_create(&tid[1], &a, add_many, (void*)&param2);
63         pthread_join(tid[0], NULL);
        pthread_join(tid[1], NULL);
65     }
    else

```

```
67 | {  
69 |     struct num_and_thread_id param;  
    param.num = num;  
    param.id = 0;  
71 |     //Sequential example  
    add_many((void*)&param);  
73 |     add_many((void*)&param);  
    }  
75 |  
    printf("count is %d\n", count);  
77 | }
```

test-add-petersons.c



```

1 #define REENTRANT
#include <pthread.h>
3 #include <stdio.h>
#include <stdlib.h>
5 #include <unistd.h>
#include <time.h>
7 #include <semaphore.h>

9
int count = 0;
11
sem_t common_sem;
13
void *add_many(void *arg)
15 {
    int num = (int) arg;
17     int i;
    for(i=0; i<num; i++)
19     {
        sem_wait(&common_sem);
21         count++;
        sem_post(&common_sem);
23     }
}
25
int main(int argc, char**argv)
27 {
    int num, flags;
29     int seq_or_thd;
    pthread_attr_t a;
31     pthread_t tid[2]; //[0] - producer [1] - reader

33     if(argc!=3)
    {
35         fprintf(stderr, "Usage: %s <num_of_times> <0: sequential OR 1: threads>\n",
            argv[0]);
        exit(-1);
37     }

39     num = atoi(argv[1]);
    seq_or_thd = atoi(argv[2]);
41

    sem_init(&common_sem, 0, 1);
43

    if(seq_or_thd)
45     {
        flags = PTHREAD_SCOPE_SYSTEM;
47         pthread_attr_init(&a);
        pthread_attr_setscope(&a, flags);
49

51         pthread_create(&tid[0], &a, add_many, (void*)num);
        pthread_create(&tid[1], &a, add_many, (void*)num);
53

        pthread_join(tid[0], NULL);
55         pthread_join(tid[1], NULL);
    }
57     else
    {
59         //Sequential example
        add_many((void*)num);
61         add_many((void*)num);
    }

63     printf("count is %d\n", count);
65 }

```

---

test-add-semaphores.c

```

1 #define REENTRANT
#include <pthread.h>
3 #include <stdio.h>
#include <stdlib.h>
5 #include <unistd.h>
#include <time.h>
7 #include <semaphore.h>
#include "tsl.h"
9 #define BUFFER_SIZE 256

11 /*
    Global Variables:
13     1. Of course not supposed to have global variables in any "real" code.
    2. For purposes of demo only.
15     3. produced_buffer is the "shared buffer" between all producers and consumers.
        Producers write into it, Consumers read from it.
17     4. Two locks:
        a. space_count_lock - ensure mutual exclusive access to space_count variable
19         b. produced_count_lock - ensure mutual exclusive access to produced_count
            variable.
        c. buffer_lock - ensure mutual exclusive access to produced_buffer.
21     5. consumed_buffer - a shared buffer among the consumers for storing whatever
        they obtained from the buffer.
23     6. spacecount is the variable keeping track of the number of produced items in
        the
        buffer not consumed.

25 */
int produced_count=0, space_count=BUFFER_SIZE;
27 int produced_buffer[BUFFER_SIZE];
int *consumed_buffer;
29 Lock space_count_lock, produced_count_lock;
Lock buffer_lock;
31
/*
33     Consumer thread function.
    1. out - the index in the produced_buffer that can be consumed.
35     2. consumed_in - the index in the consumed_buffer that can be written to.
    3. out and consumed_in are "shared" by all consumer threads.
37     Note the static declaration.
    4. consumed, num and i are local variables. num is the number of times
39     the loop will iterate.

*/
41
void *consumer(void *arg)
43 {
    static unsigned char out=0;
45     static unsigned consumed_in = 0;
    int consumed;
47     int num = (int) arg;
    int i;
49
    for(i=0; i< num ; i++)
51     {
        /*
53         Read the produced_count variable.
        Spin if produced_count is 0;
55         (i.e., buffer is empty).
        Otherwise, decrement produced_count and move into
57         consumption.

        */
59         while(1)
        {
61             int temp;
            acquire(&produced_count_lock);
63             temp=produced_count;
            if(temp>0)

```

```

65         produced_count--;
66         release(&produced_count_lock);
67         if (temp!=0)
68             break;
69     }
70     /*
71     Get mutual exclusive access to produced_buffer
72     */
73     acquire(&buffer_lock);
74     consumed = produced_buffer[out++];
75     consumed_buffer[consumed_in++] = consumed;
76     release(&buffer_lock);
77
78     /*
79     Get mutual exclusive access to space_count
80     and increment it because there's one more
81     space available now.
82     */
83     acquire(&space_count_lock);
84     space_count++;
85     release(&space_count_lock);
86 }
87 }
88
89 /*
90 Producer thread function.
91 1. in - the index in the produced_buffer that can be written to.
92 2. produced_num - the currently produced number.
93 3. in and produced_num are "shared" by all consumer threads.
94    Note the static declaration.
95 4. num and i are local variables. num is the number of times
96    the loop will iterate.
97 5. Note that because produced_num is put within a mutual exclusive
98    section, it will increase from 1 to (number of producers threads * num).
99 */
100
101 void *producer(void *arg)
102 {
103     static unsigned char in = 0;
104     static int produced_num = 0;
105     int num = (int) arg;
106     int i = 0;
107
108     for(i=0; i< num ; i++)
109     {
110         /*
111         Read the space_count variable.
112         Spin if space_count is 0;
113         (i.e., buffer is full).
114         Otherwise, increment space_count and
115         enter production.
116         */
117         while(1)
118         {
119             int temp;
120             acquire(&space_count_lock);
121             temp=space_count;
122             if (temp>0)
123                 space_count--;
124             release(&space_count_lock);
125             if (temp!=0)
126             {
127                 break;
128             }
129         }
130         /*
131         Get mutual exclusive access to produced_buffer
132         */

```

```

133     acquire(&buffer_lock);
134     produced_buffer[in++] = ++produced_num;
135     release(&buffer_lock);
136
137     /*
138      * Get mutual exclusive access to produced_count
139      * and increment it because there's one more
140      * produced item now.
141      */
142     acquire(&produced_count_lock);
143     produced_count++;
144     release(&produced_count_lock);
145 }
146 }
147
148 /*
149  * The main function.
150  */
151
152 int main(int argc, char**argv)
153 {
154     int num, flags;
155     int num_of_consumers, num_of_producers;
156     int i;
157     pthread_attr_t a;
158     pthread_t *producer_thds, *consumer_thds;
159
160     /*
161      * Error message indicating usage of the function.
162      */
163
164     if (argc != 3)
165     {
166         fprintf(stderr, "Usage: %s <num_of_times> <num of consumer-producer thds>\n",
167             argv[0]);
168         exit(-1);
169     }
170
171     /*
172      * Obtaining the arguments of the program.
173      */
174
175     num = atoi(argv[1]);
176     num_of_consumers = atoi(argv[2]);
177     num_of_producers = atoi(argv[2]);
178
179     /*
180      * POSIX thread initialization.
181      * setting flag to PTHREAD_SCOPE_SYSTEM ensure that the threads
182      * created are kernel-level threads i.e., visible to the
183      * kernel.
184      */
185
186     flags = PTHREAD_SCOPE_SYSTEM;
187     pthread_attr_init(&a);
188     pthread_attr_setscope(&a, flags);
189
190     /*
191      * Initializing the locks.
192      * 0 means not locked at the beginning.
193      * Read tsl.c for more information.
194      */
195
196     space_count_lock.locked=0;
197     produced_count_lock.locked=0;
198     buffer_lock.locked=0;

```

```

201  /*
202     Allocating the memory buffer for consumed_buffer.
203     Basically, the total produced buffer size would be
204     number of producers*num.
205  */
206  consumed_buffer = (int *) malloc(num_of_producers * num * sizeof(int));
207
208  /* Initializing the thread id array*/
209  producer_thds = (pthread_t *) malloc(num_of_producers * sizeof(pthread_t));
210  consumer_thds = (pthread_t *) malloc(num_of_consumers * sizeof(pthread_t));
211
212  /*Creating all the producer and consumer threads*/
213  for(i=0;i<num_of_producers;i++)
214      pthread_create(&producer_thds[i], &a, producer, (void*)num);
215  for(i=0; i<num_of_consumers; i++)
216      pthread_create(&consumer_thds[i], &a, consumer, (void*)num);
217
218  /*wait for all producer and consumer threads to complete*/
219  for(i=0;i<num_of_producers;i++)
220      pthread_join(producer_thds[i], NULL);
221  for(i=0;i<num_of_consumers; i++)
222      pthread_join(consumer_thds[i], NULL);
223
224  /*check consumed_buffer */
225  for(i=1; i<num_of_producers *num; i++)
226  {
227      if (consumed_buffer[i] != consumed_buffer[i-1]+1)
228      {
229          printf("Result is wrong at index consumed_buffer[%d].\n", i);
230          printf("consumed_buffer[%d] is %d.\n", i-1, consumed_buffer[i-1]);
231          printf("consumed_buffer[%d] is %d.\n", i, consumed_buffer[i]);
232          return -1;
233      }
234  }
235  printf("Result is correct!\n");
236  free(consumer_thds);
237  free(producer_thds);
238  free(consumed_buffer);
239  return 0;
240 }

```

simple-producer-consumer-tsl.c

```

1 #define REENTRANT
#include <pthread.h>
3 #include <stdio.h>
#include <stdlib.h>
5 #include <unistd.h>
#include <time.h>
7 #include <semaphore.h>
#define BUFFER_SIZE 256
9
11 /*
Global Variables:
1. Of course not supposed to have global variables in any "real" code.
13 2. For purposes of demo only.
3. produced_buffer is the "shared buffer" between all producers and consumers.
15 Producers write into it, Consumers read from it.
4. Three semaphores:
17 a. empty - 0 when buffer is empty, BUFFER_SIZE when buffer is full.
Initialized to 0. Because buffer is empty at the beginning.
19 b. buffer_mutex - ensure mutual exclusion in access to shared buffer.
c. full - 0 when buffer is full, BUFFER_SIZE when buffer is empty.
21 Initialized to BUFFER_SIZE. Because buffer is full at the
beginning
5. consumed_buffer - a shared buffer among the consumers for storing whatever
23 they obtained from the buffer.
*/
25 int produced_buffer[BUFFER_SIZE];
sem_t empty, buffer_mutex, full;
27 int *consumed_buffer;
29
31 /*
Consumer thread function.
1. out - the index in the produced_buffer that can be consumed.
33 2. consumed_in - the index in the consumed_buffer that can be written to.
3. out and consumed_in are "shared" by all consumer threads.
35 Note the static declaration.
4. consumed, num and i are local variables. num is the number of times
37 the loop will iterate.
39 Refer to slides for explanation of the consumer semaphore solution.
*/
41 void *consumer(void *arg)
43 {
static unsigned char out=0;
45 static unsigned int consumed_in = 0;
int consumed;
47 int num = (int) arg;
int i;
49
for(i=0; i< num ; i++)
51 {
sem_wait(&empty);
53
sem_wait(&buffer_mutex);
55 consumed = produced_buffer[out++];
consumed_buffer[consumed_in++] = consumed;
57 sem_post(&buffer_mutex);
sem_post(&full);
59 }
}
61
63 /*
Producer thread function.
1. in - the index in the produced_buffer that can be written to.
65 2. produced_num - the currently produced number.
3. in and produced_num are "shared" by all consumer threads.

```

```

67     Note the static declaration.
69     4. num and i are local variables. num is the number of times
71     the loop will iterate.
73     5. Note that because produced_num is put within a mutual exclusive
75     section, it will increase from 1 to (number of producers threads * num).
77     Refer to slides for explanation of the producer semaphore solution.
79 */
81
83 void *producer(void *arg)
85 {
87     static unsigned char in = 0;
89     static int produced_num = 0;
91     int num = (int) arg;
93     int i = 0;
95
97     for(i=0; i< num ; i++)
99     {
101         sem_wait(&full);
103         sem_wait(&buffer_mutex);
105         produced_buffer[in++] = ++produced_num;
107         sem_post(&buffer_mutex);
109         sem_post(&empty);
111     }
113 }
115
117 /*
119     The main function.
121 */
123
125 int main(int argc, char**argv)
127 {
129     int num, flags;
131     int num_of_consumers, num_of_producers;
133     int i;
134     pthread_attr_t a;
135     pthread_t *producer_thds, *consumer_thds;
136
137     /*
138      * Error message indicating usage of the function.
139      */
140
141     if(argc!=3)
142     {
143         fprintf(stderr, "Usage: %s <num_of_times> <num of consumer-producer-threads>\n", argv[0]);
144         exit(-1);
145     }
146
147     /*
148      * Obtaining the arguments of the program.
149      */
150
151     num = atoi(argv[1]);
152     num_of_consumers = atoi(argv[2]);
153     num_of_producers = atoi(argv[2]);
154
155     /*
156      * POSIX thread initialization.
157      * setting flag to PTHREAD_SCOPE_SYSTEM ensure that the threads
158      * created are kernel-level threads i.e., visible to the
159      * kernel.
160      */
161
162     flags = PTHREAD_SCOPE_SYSTEM;
163     pthread_attr_init(&a);
164     pthread_attr_setscope(&a, flags);

```



```

135  /*
136      Initializing the semaphores.
137      prototype of sem_init:
138          sem_init(address of semaphore variable, flag, initial_value);
139      The flag when 1 indicates that this semaphores can be
140      shared with other processes. For this demo, we only need it
141      to 0.
142  */
143  sem_init(&full, 0, BUFFER_SIZE);
144  sem_init(&empty, 0, 0);
145  sem_init(&buffer_mutex, 0, 1);
146
147  /*
148      Allocating the memory buffer for consumed_buffer.
149      Basically, the total produced buffer size would be
150      number of producers*num.
151  */
152  consumed_buffer = (int *) malloc(num_of_producers * num * sizeof(int));
153
154  /* Initializing the thread id array*/
155  producer_thds = (pthread_t *) malloc(num_of_producers * sizeof(pthread_t));
156  consumer_thds = (pthread_t *) malloc(num_of_consumers * sizeof(pthread_t));
157
158  /*Creating all the producer and consumer threads*/
159  for(i=0; i<num_of_producers; i++)
160      pthread_create(&producer_thds[i], &a, producer, (void*)num);
161  for(i=0; i<num_of_consumers; i++)
162      pthread_create(&consumer_thds[i], &a, consumer, (void*)num);
163
164  /*wait for all producer and consumer threads to complete*/
165  for(i=0; i<num_of_producers; i++)
166      pthread_join(producer_thds[i], NULL);
167  for(i=0; i<num_of_consumers; i++)
168      pthread_join(consumer_thds[i], NULL);
169
170  /*check consumed_buffer */
171  for(i=1; i<num_of_producers * num; i++)
172  {
173      if(consumed_buffer[i] != consumed_buffer[i-1]+1)
174      {
175          printf("Result is wrong at index consumed_buffer[%d].\n", i);
176          printf("consumed_buffer[%d] is %d.\n", i-1, consumed_buffer[i-1]);
177          printf("consumed_buffer[%d] is %d.\n", i, consumed_buffer[i]);
178          return -1;
179      }
180  }
181  printf("Result is correct!\n");
182  free(consumer_thds);
183  free(producer_thds);
184  free(consumed_buffer);
185
186  return 0;
187 }

```

simple-producer-consumer-semaphore.c