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## Pigeonhole Principle and Extensions

The *Pigeonhole Principle* is one of almost obvious mathematical concepts which are both simple and *powerful*:

- (1) *If  $n > m$  pigeons are put into  $m$  pigeonholes, there's a hole with more than one pigeon.*

For the proof, assume that the statment is wrong: i.e., assume there are  $m$  holes each with at most 1 pigeon. If that's really the case, then summing up the birds across the holes, we would have at most  $1 + \dots + 1 = m$  pigeons. However, the given number of pigeons  $n > m$ . A contradiction that proves the statement.

The proof, as the principle itself, is very simple and embodies an idea that can be used to prove a generalized statement:

- (2) *If  $nk + 1$  pigeons, where  $k$  is a positive integer, have been put into  $n$  holes, then at least one of the holes is crowded with at least  $k+1$  pigeons.*

Indeed, let's again assume that the statement is wrong. Then each of the holes houses not more than  $k$  birds, which means that the total number of birds can't exceed  $nk$ . A contradiction.

In the same vein we can establish the following extension:

- (3) *If  $p_1 + p_2 + \dots + p_n - n + 1$  pigeons are placed into  $n$  holes, then, for some  $k$ , hole  $k$  has more than  $p_k$  pigeons.*

Once more, assume that the statement is wrong, i.e., assume that, for  $k = 1, \dots, n$ , hole  $k$  contains at most  $p_k - 1$  birds. Summing up over all  $n$  holes, we find that the total number of the pigeons can't

exceed

$$\sum (p_k - 1) = \sum p_k - n.$$

A contradiction.

Finally, (2) admits a reformulation:

- (2') *If  $m$  pigeons are found in  $n$  holes, then at least one of the holes contains at least  $p = [(m-1)/n] + 1$  pigeons,*

where  $[x]$  is the *floor function*. Indeed, assuming that every hole contains at most  $p$  pigeons, we arrive at the contradiction:

$$\begin{aligned} m &\leq np \\ &\leq n \cdot (m-1)/n \\ &= m-1. \\ &< m. \end{aligned}$$

A straightforward reformulation of (2') has been given by *E. W. Dijkstra*

*For a non-empty, finite bag of numbers, the maximum value is at least the average value.*

(To which we can add the obvious: the average and the maximum values coincide iff the bag only contains equal numbers. Also, the above is obviously equivalent to the assertion that, for a non-empty, finite bag of numbers, the minimum value is at most the average value.)

### Example

[[Sharygin](#), p. 12]. Assume in a class of students each of the number of committees contains more than half of all the students. Prove that there is a student who is a member in more than half of the committees.

Let's  $n$  be the number of students and  $m$  the number of committees in the class. The total committee membership  $T$  exceeds  $m \cdot n/2$ :  $T > nm/2$ . On average, a student is a member in  $T/n$

committees and we find that  $T/n > m/2$ . Since the maximum value is at least the average value, there is indeed a student who is a member in more than  $m/2$  committees.



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