FELIX STÜRMER

$\begin{array}{c} \mathtt{SKETCH\text{-}BASED\ IMAGE\ RETRIEVAL\ USING} \\ \mathtt{CURVELETS} \end{array}$



Felix Stürmer: Sketch-Based Image Retrieval using Curvelets, An Evaluation of Curvlet-Based Cross-Domain Descriptors for Sketch-Based Image Retrieval, © January 2012 [August 27, 2012 at 13:37 - classicthesis version 0.1]

ABSTRACT

Short summary of the contents. . .

ACKNOWLEDGMENTS

 ${\it acknowledgments}\ {\it go}\ {\it here}...$

CONTENTS

| 1 | INTRODUCTION | | | | |
|----|---------------------------|---|----|--|--|
| | 1.1 | Motivation | 1 | | |
| | 1.2 | Outline | 2 | | |
| 2 | BACKGROUND & RELATED WORK | | | | |
| | 2.1 | General Challenges of Computer Vision | 3 | | |
| | | 2.1.1 The Semantic Gap | 3 | | |
| | | 2.1.2 The Sensory Gap | 3 | | |
| | 2.2 | Anatomy of a CBIR System | 4 | | |
| | | 2.2.1 Image Acquisition | 5 | | |
| | | 2.2.2 Signature Extraction | 5 | | |
| | | 2.2.3 Comparison and Ranking | 7 | | |
| | 2.3 | Image Transformations for Feature Extraction | 7 | | |
| | | 2.3.1 The Fourier Transform | 7 | | |
| | | 2.3.2 The Gabor Filter | 7 | | |
| | | 2.3.3 The Scale-Invariant Image Transform (SIFT) $$ | 7 | | |
| | | 2.3.4 Speeded-up Robust Features (SURF) | 7 | | |
| | | 2.3.5 Histograms of Oriented Gradients (HOG) | 8 | | |
| | | 2.3.6 The Continuous Curvelet Transform | 8 | | |
| | | 2.3.7 The Fast Discrete Curvelet Transform | 11 | | |
| 3 | PROPOSED SOLUTION | | | | |
| | 3.1 | Image Acquisition | 15 | | |
| | 3.2 | Signature Extraction | 16 | | |
| | | 3.2.1 Global Features | 18 | | |
| | | 3.2.2 Local Features | 18 | | |
| | 3.3 | Ranking | 18 | | |
| 4 | EXPERIMENTAL RESULTS | | | | |
| 5 | ANALYSIS | | | | |
| 6 | CONCLUSION | | | | |
| | | | | | |
| ВΙ | BLIO | GRAPHY | 25 | | |

LIST OF FIGURES

| Figure 1 | Coarse structure of a CBIR system | 4 |
|----------|--|----|
| (a) | Local features | 4 |
| (b) | Global features | 4 |
| Figure 2 | Signature extraction in CBIR systems | 6 |
| Figure 3 | Tiling of Gabor wavelets | 8 |
| Figure 4 | Curvelet frequency windows | 10 |
| (a) | Radial window | 10 |
| (b) | Angular window | 10 |
| (c) | Combined window | 10 |
| (d) | Complete coronisation | 10 |
| Figure 5 | Discrete frequency tiling using concentric squares | 11 |
| Figure 6 | Frequency tilings for USFFT and wrapping | 13 |
| (a) | Sheared USFFT tiling | 13 |
| (b) | Sheared tiling for wrapping | 13 |
| Figure 7 | Image aquisition variants | 17 |
| (a) | Original image | 17 |
| (b) | Image after luma conversion | 17 |
| (c) | Image after Sobel operator | 17 |
| (d) | Image after Canny operator | 17 |
| | | |
| | | |
| | | |
| | | |
| LIST OF | TABLES | |
| - | | |
| | | |
| | | |
| | | |
| | | |
| LIGHING | 0 | |
| LISTING | S | |
| | | |
| | | |
| | | |
| | | |

ACRONYMS

INTRODUCTION

1.1 MOTIVATION

Paragraph about increase in visual data, mobile cameras, medicine, etc...

At the core of the research into content-based image retrieval (CBIR) lies the need to be able to access the growing repositories of visual data in a convenient and efficient manner. In this context "convenient" describes the ability for the user to express the query without a complex reformulation of the intent to make it accessible to the query processor. At the same time the computational efficiency becomes more important as the amount of data to search grows. This issue becomes even more critical as the use of mobile, power-limited devices increases across many areas of application, such as autonomous vehicles or handheld augmented reality devices.

Research into text-based information retrieval has brought into existence many statistical methods to query a potentially large body of text using text as the query input. This preserves the close mapping of the intent of the user to the expression of the query and thereby makes the process accessible to users without knowledge about the internal workings of the retrieval system. Providing the means to access a large amount of visual data using a system with similar properties has turned out not to be an easy problem to solve. Using text-based querying for that purpose depends on the ability to reliably label visual data, which would require solving the general object recognition problem first [22]. To avoid that obstacle and to free the retrieval system from the requirement of translating between textual and visual information, many methods to search an image database using visual similarity have been developed.

While the goals of those systems are very similar, they differ considerably in many aspects of the processing pipeline. The query input ranges from example images over drawings to predicate describing color and shape distribution. Similarly, the structure and content of the databases and the means by which the systems query and rank the results vary significantly. This thesis focuses on evaluating a system that uses hand-drawn sketches as inputs to query databases of either full-color images or contour images. The fast discrete curvelet transform [7] is used to analyse image segments.

1.2 OUTLINE

Chapter 2 presents the structure of the problem and prior solutions. The following Chapter 3 proposes several variations of a particular solution using the Fast Discrete Curvelet Transform [7]. The experimental setup and its results are documented in Chapter 4 and analysed in Chapter 5. In Chapter 6 several possible conclusions are drawn and pointers towards future research are given.

2.1 GENERAL CHALLENGES OF COMPUTER VISION

2.1.1 The Semantic Gap

One of the core insights of computer vision in general and content based image retrieval in specific probably is that human perception is inseparably linked to interpretation by the brain. As a human individual there is no way to directly access visual information without them having been filtered and weighted by one's personal experiences and cultural context. Therefore, when people talk about visual similarity of images, it usually includes a large degree of semantic similarity unconciously added to the perception. The difference between that mode of perception and the current algorithmic ways to analyse visual data has been eloquently coined the semantic gap by [22]:

The semantic gap is the lack of coincidence between the information that one can extract from the visual data and the interpretation that the same data have for a user in a given situation.

Having had that realisation can guide the decision of a researcher or designer of such systems.

2.1.2 The Sensory Gap

In addition to the semantic ambiguity described above, another major obstacle of computer vision impacts a CBIR system: the sensory gap. This term has also been coined by [22], where it's defined as follows:

The sensory gap is the gap between the object in the world and the information in a (computational) description derived from a recording of that scene.

That terse definition includes a multitude of conditions, that can affect an image, which a CBIR system operates on:

ILLUMINATION The brightness or direction of the illumination can hide or accent edges and texture properties in the scene. Similarly, the color of the illumination influences the recorded color information in the image.

- RESOLUTION The imaging resolution sets a lower limit on the size of features that can be correctly recognised by any algorithm. As in all signal processing applications, aliasing of high frequency components of the image can introduce further ambiguities. [20]
- OCCLUSION Depending on the viewpoint of the recording and the composition of the scene, distinguishing parts of depicted objects may be occluded by other objects or objects may be only partially inside the recorded image.
- PERSPECTIVE An object's proportions can be distorted by the imaging perspective.

An ideal CBIR system would use feature extraction and comparison methods that can account and correct for such conditions.

2.2 ANATOMY OF A CBIR SYSTEM

The inner workings of most CBIR systems can best be examined by looking at the processing pipeline each query has to go through. The coarse sequence of computational steps is almost the same in all such systems (Figure 1):

- 1. Acquire the image.
- 2. Extract the signature using a feature extraction algorithm.
- 3. Compare the signature to a database containing the signatures of the images to search within.
- 4. Rank the images by similarity using the comparison results.

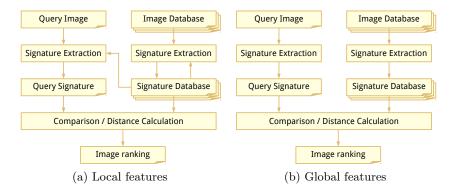


Figure 1: The processing pipeline for CBIR using both local and global features is very similar. The main difference is in the signature extraction step, in which local features are selected, weighted and/or compressed depending on the results of the signature extraction of the other images in the database.

2.2.1 Image Acquisition

The format in which the images are available to the system determines the maximum amount of information available to subsequent analysis steps.

A significant part of the preprocessing usually done after acquisition depends on the broadness of the image domain. The concept of the domain encompasses and describes the variability of many possible image parameters like illumination or composition and is therefore closely related to the sensory gap described above. The narrower the image domain is, the more assumptions the system can make about image from that domain. By their very nature, the domain of sketch based image retrieval systems is usually very broad. It contains the sketches create by the user to query the database as well as the images in the database itself, which can be of a completely different nature, e.g. photos or paintings.

Another factor usually is the accepted input format of the feature extraction algorithm. Many algorithms like SIFT [14] or SURF [3] are defined for single-channel data, but some have been specifically developed to operate on multi-channel images, like cSIFT [1] and [25].

2.2.2 Signature Extraction

The signature of an image is its representation in the following comparison step. Therefore it should describe the image using its most discriminatory features compared to all other images in the database. Due to the effects of the sensory gap discussed above, there is, at the moment, no definitive way to determine the discriminatory power of features in general, even though knowledge about the image domain can guide the descisions. The signature composition depends on both, the kind of features extracted from the image and the way these features are encoded.

Over the last two decades, a wide variety of feature descriptors have been published, which mostly focus on specific types of features. Some techniques use color histograms [24], while others [23] [10] [13] include spatial relations between colors in a region. Many descriptors attempt to capture texture characteristics, such as [19] and [15]. Rubner and Thomasi [18] combine Gabor filters and the earth mover's distance and thereby bypass segmentation. Another class of descriptors focuses on representing shapes using detection of edges and salient points. Lowe [14] developed the now widely adopted SIFT descriptor, that employs clustering of salient points. More recently, the SURF descriptor [3] uses Haar wavelets to deliver comparable performance. Several publications combine feature types to arrive at a more comprehensive descriptor. Oliva and Torralba [16] capture various scene properties like "roughness" and "openness".

2.2.2.1 Global Features

Aside from the nature of the features captured by a descriptor, there are also differences in the geometrical scope the features are derived from. Global feature descriptors attempt to capture the structure of the whole scene or describe the distribution of properties across the image like the binary Haar color descriptor published in [24]. Some global algorithms subdivide the image into regular segments and derive the localized distribution of features for each division. [12] improves upon this concept by creating feature pyramids using iterative subdivision for multiscale analysis. While the computational complexity of those global approaches is usually quite low, they are especially susceptible to problems like partial occlusion or reflections within the scene. The spatial envelope descriptor [16] combines a global spectrogram with locally derived spectral information to produce an overall image descriptor.

2.2.2.2 Local Features

In contrast to the global approach, many CBIR systems employ "bagof-features" descriptors, that represent the image as an unsorted collection of local features extracted from small patches of the image. The
unsorted nature of the feature collection leads to loss of large-scale geometric structures that can be counteracted by a suitable choice of the
patch sizes. When the local feature descriptors are invariant to rotation,
scale or similar deformations, the sensitivity to viewpoint variations or
occlusions decreases. The prominent SIFT descriptor [14] achieves this
by selecting the feature locations such that they can be normalized with
respect to scale, orientation and limited 3D projections. The SURF descriptor by Bay et al [3] gives similar results, but has reduces computational requirements. The HOG descriptor [9] calculates histograms of
gradient directions in a certain neighborhood.

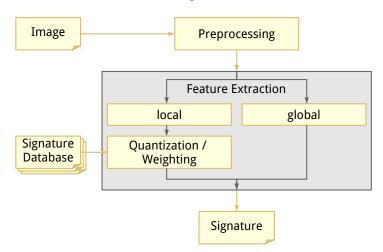


Figure 2: Signature extraction in CBIR systems

2.2.3 Comparison and Ranking

TBD

2.3 IMAGE TRANSFORMATIONS FOR FEATURE EXTRACTION

Gabor Filters, HOG, SIFT/GIST

2.3.1 The Fourier Transform

TBD

2.3.2 The Gabor Filter

In content based image retrieval, the inability of the Fourier transform to localize the frequency components in time disqualifies it as a suitable analysis method. Instead, wavelets are often used to obtain a time-frequency representation of the signal. A particularly successful application to CBIR was the use of gabor wavelets as proposed by Manjunath and Ma [15]. The mother Gabor wavelet g(x, y) with the sinus frequency W and gaussian scaling parameters σ_x , σ_y is given as

$$g(x,y) = \left(\frac{1}{2\pi\sigma_x\sigma_y}\right) \exp\left(-\frac{1}{2}\left(\frac{x^2}{\sigma_x^2} + \frac{y^2}{\sigma_y^2}\right) + i \cdot 2\pi Wx\right)$$

and thus its Fourier transform as

$$\hat{g}(\mathfrak{u},\nu) = \exp\left(-\frac{1}{2}\left(\frac{(\mathfrak{u}-W)^2}{\sigma_\mathfrak{u}^2} + \frac{\nu^2}{\sigma_\nu^2}\right)\right), \ \sigma_\mathfrak{u} = \frac{1}{2}\pi\sigma_\mathfrak{x} \ \text{and} \ \sigma_\nu = \frac{1}{2}\pi\sigma_\mathfrak{y}$$

The individual wavelets $g_{m,n}(x,y)$ are generated from g(x,y) by scaling and rotation by $\theta = \frac{n\pi}{K}$ for all 0 < n < K orientations:

$$g_{m,n}(x,y) = a^{-m}g\left(a^{-m}(x\cos\theta + y\sin\theta), a^{-m}(-x\sin\theta + y\cos\theta)\right).$$

To avoid redundancy due to overlap, the scaling parameters σ_u and σ_v are chosen in a way that the frequency spectra can be tiled as in figure 3. A normalization to zero mean can be added to remove the influence of the input's intensity value scale.

The individual coefficients can be obtained by convolving each filter of the filter bank with the signal.

2.3.3 The Scale-Invariant Image Transform (SIFT)

TBD

2.3.4 Speeded-up Robust Features (SURF)

TBD

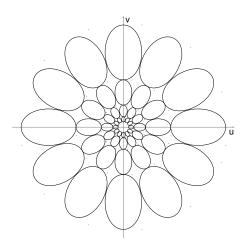


Figure 3: Tiling of Gabor wavelets. Note that due to the elliptical shape of the wavelets, there are some parts of the spectrum left uncovered.

2.3.5 Histograms of Oriented Gradients (HOG)

TBD

2.3.6 The Continuous Curvelet Transform

The formulation of the continuous curvelet transform (CCT) by Candes and Donoho in [5] was based on Candes' previous definition and expansion of the ridgelet transform [4]. In that publication they looked at the state of research into efficient representations of edge discontinuities. They based their research on two realisations:

- 1. A nonadaptive approach of signal approximation can compete with many of the adaptive schemes prevalent in previous research. At the same time the non-adaptivity comes with a greatly reduced computational overhead and reduced requirements for a priori knowledge. Obtaining that knowledge in the presence of blurred or noisy data can sometimes be unfeasable.
- 2. Wavelet transforms can represent point singularities in a signal of up to two dimensions in a near-ideal manner, but fail to perform equally well on edges: Given a two-dimensional object in signal f, that is smooth except for discontinuities along a curve, a wavelet approximation $\tilde{f}_{\mathfrak{m}}^{W}$ from the \mathfrak{m} largest coefficients exhibits an error of

$$\|f-\tilde{f}_{\mathfrak{m}}^{W}\|^{2} \propto \mathfrak{m}^{-1}, \ \mathrm{for} \ \mathfrak{m} \rightarrow \infty$$

since up to $O(2^j)$ localized wavelets are needed to represent the signal along the edge. That falls short of what an approximation \tilde{f}_m^T using a series of m adapted triangles could achieve:

$$\|f-\tilde{f}_m^T\|^2 \propto m^{-2}, \ \mathrm{for} \ m \to \infty$$

They showed that a similarly precise approximation can be achieved by combining Candes' ridgelet analysis [4] with smart windowing functions and bandpass filters. The steps of the transformation were as follows:

- 1. Decomposition of the signal into subbands of scale-dependent size
- 2. Partitioning of each subband into squares
- 3. Normalisation of each square to unit scale
- 4. Analysis of each square in an orthonormal ridgelet system

The result was a formulation of a decomposition that matched the parabolic scaling law width \propto length² often observed in curves.

The above formulation became known as the curvelet 99 transform when Candes and Donoho revised it soon after in [6]. The new version is not dependent on ridgelets and aims to remove some shortcomings of the curvelet 99 transform, namely a simpler mathematical analysis, fewer parameters and improved efficiency regarding digital implementations, which will be described later.

The curvelet transform in \mathbb{R}^2 works by localising the curvelet waveforms in the time domain. The "mother" curvelet waveform $\varphi_j(x)$ is defined using two frequency domain windows W(r), the "radial window" (Figure 4a), and V(t), the "angular window" (Figure 4b). A combined frequency window U_i (Figure 4c) can then be defined as

$$U_j(r,\theta)=2^{\frac{-3j}{4}}W(2^{-j}r)V(\frac{2^{\left\lfloor\frac{j}{2}\right\rfloor}\theta}{2\pi}).$$

The waveform ϕ_j can then be expressed as being the inverse Fourier transform of $\hat{\phi}_j = U_j$ and all curvelets of a scale 2^{-j} can be derived by

ROTATING ϕ_j by a sequence of equispaced rotation angles $\theta_l=2\pi\cdot 2^{-\lfloor\frac{j}{2}\rfloor}\cdot l$ with $l=0,1,\ldots$ such that $0<\theta_l<2\pi$ and

TRANSLATING ϕ_j by a sequence of offsets $k=(k_1,k_2)\in\mathbb{Z}^2$:

$$\phi_{j,k,l}(x) = \phi_j(R_{\theta_l}(x - x_k^{(j,l)})), \tag{1} \label{eq:posterior}$$

where $x=(x_1,x_2),\ R_\theta$ the rotation matrix for angle θ and $x_k^{(j,1)}=R_{\theta_1}^{-1}(k_1\cdot 2^{-j},k_2\cdot 2^{-\frac{j}{2}}).$

Each curvelet coefficient c(j,l,k) can then be calculated as the inner product of $f \in L^2(\mathbb{R}^2)$ and curvelet $\phi_{j,l,k}$:

$$c(j,l,k) := \langle f, \varphi_{j,l,k} \rangle = \int_{\mathbb{R}^2} f(x) \overline{\varphi_{j,l,k}(x)} dx$$
 (2)

As visible in figure 4d curvelets also have non-directional components at the coarsest scale, similar to those found in the wavelet transform. Those curvelets will be defined using a special low-pass filter window

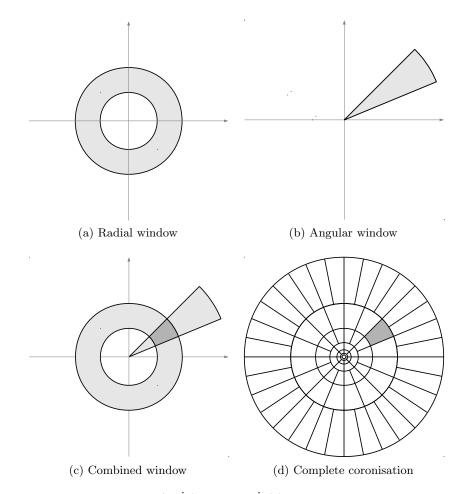


Figure 4: The window $W(2^{-j}r)$ at scale 2^j (a) is combined with the window V(t) (b) to form a support wedge for the curvelet (c). The wedge roughly obeys a width $\propto length^2$ relation. (d) shows the wedge within a schema of the complete tiling in frequency domain.

 W_0 , which is characterized as being the remainder of the tiling not covered by the previously described radial windows:

$$|W_0(\mathbf{r})^2| + \sum_{j \geqslant 0} |W(2^{-j}\mathbf{r})|^2 = 1$$

Using the window defining the coarse scale curvelet $\phi_{j_0,k}$ via its Fourier transform is straightforward:

$$\phi_{j_0,k}(x) = \phi_{j_0}(x-2^{-j_0}k), \\ \phi_{j_0}^{\circ}(\omega) = 2^{-j_0}W_0(2^{-j_0}|\omega|), \tag{3}$$

where $k = (k_1, k_2) \in \mathbb{Z}^2$.

Note that, in contrast to the Gabor wavelets (Figure 3), there is no gap in between the curvelets, so no information is lost.

2.3.7 The Fast Discrete Curvelet Transform

Based on the above definition of the continuous curvelet transform, a team around the authors of the original curvelet publication presented two digital, discrete implementations of the transform: the fast discrete curvelet transform (FDCT) [7]. The implementations have been described in 2D and 3D, but since this paper deals exclusively with 2D images, the explanation below will also be restricted to two dimensions.

The digital versions of the transforms operate on arrays $f[t_1, t_2]$ with $0 \le t_1, t_2 < n$ to produce coefficients $c^D(j, l, k)$ in a way consistent with the continuous version (Equation 2):

$$c^{D}(j,l,k) := \sum_{0 \leqslant t_1,t_2 < n} f[t_1,t_2] \overline{\phi^{D}_{j,l,k}[t_1,t_2]}. \tag{4}$$

Since the windows used in the continuous form are based on rotations and dyadic coronae, they are not well suited for use with cartesian arrays. The discrete formulation substitutes them with appropriate concepts. Instead of concentric annuli, the window function W_j^D generates concentric squares "rings" using the square windows $\Phi_j(\omega_1,\omega_2) = \Phi(2^{-j}\omega_1)\Phi(2^{-j}\omega_2)$, with Φ being a low-pass 1D window:

$$W_j^D(\omega) = \sqrt{\Phi_{j+1}^2(\omega) - \Phi_j^2(\omega)}, \quad j \geqslant 0.$$

The rotation matrix R_{θ} is replaced by the shear matrix S_{θ} to create the combined window function

$$U_{j,l}^D:=W_j^D(\omega)V_j(S_{\theta_l}\omega).$$

The sequence θ_l is defined as a sequence of equispaced slopes $tan(\theta_l) := l \cdot 2^{-\lfloor \frac{j}{2} \rfloor}$ with $l = -2^{\lfloor \frac{j}{2} \rfloor}, \ldots, 2^{\lfloor \frac{j}{2} \rfloor} - 1$. The construction of the corner windows are Figure 5 shows a tiling of all $U_{i,l}^D$.

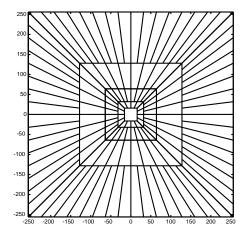


Figure 5: Discrete frequency tiling using concentric squares

2.3.7.1 FDCT using unequispaced FFTs

The first implementation of the discrete curvelet transform transfers the input array $f[t_1,t_2]$, $0 \le t_1,t_2 < n$ into the Fourier domain to obtain $\hat{f}[n_1,n_2]$:

$$\hat{f}[n_1, n_2] = \sum_{t_1, t_2 = 0}^{n-1} f[t_1, t_2] e^{-\frac{i2\pi(n_1t_1 + n_2t_2)}{n}}, \quad -\frac{n}{2} \leqslant n_1, n_2 < \frac{n}{2}$$

The obtained Fourier samples need to be interpolated for each pair of scale j and angle l to match the grid of the sheared support window $U_j^D[n_1,n_2]$. The authors achieve this by resampling \hat{f} on the grid implied by the sheared window for each angle via a series of 1D fast Fourier transforms. These transforms represent a polynomial interpolation of each "column" of the parallelogram P_j containing the sheared window (Figure 6a), that can be computed with a $O(n^2 \log n)$ complexity in a sufficiently exact approximation.

This yields an object $\hat{f}[n_1, n_2 - n_1 \tan \theta_1]$ for $(n_1, n_2) \in P_j$, that can be multiplied with the window U_j^D described above in order to create a localized "wedge" with the orientation θ_1 :

$$f_{i,l}^{D}[n_1, n_2] = \hat{f}[n_1, n_2 - n_1 \tan \theta_l] U_i^{D}[n_1, n_2]$$

The discrete curvelet coefficients $c^{D}(j, l, k)$ can then be calculated by applying the inverse 2d Fourier transform:

$$c^D(j,k,l) = \sum_{n_1,n_2 \in P_j} \hat{f}[n_1,n_2 - n_1 \tan \theta_l] U_j^D[n_1,n_2] e^{i2\pi(\frac{k_1n_1}{L_{1,j}} + \frac{k_2n_2}{L_{2,j}})},$$

in which $L_{1,j}$ and $L_{2,j}$ are the length and width of the rectangle supporting $U_i^{\rm D}$.

2.3.7.2 FDCT using wrapping

As before, FDCT using wrapping first calculates $\hat{f}[n_1, n_2]$ with $-\frac{n}{2} \le n_1, n_2 < \frac{n}{2}$ as the Fourier transform of the input f. The sample is then localized by multiplying it with a window $U_{j,l}^D$ for each angle j and scale l:

$$d_{j,l}[n_1,n_2] = U_{j,l}^D \hat{f}[n_1,n_2]$$

To avoid the computationally costly interpolation step required in the USFFT approach, this method keeps the rectangular grid of the input signal. Because an axis-aligned bounding box of the window U_j^D in Fourier domain cannot maintain the width \propto length² proportions of the window, applying an inverse Fourier transform on such a bounding box in general would lead to significant oversampling of the coefficients and thereby increase the memory requirements for fine scale curvelets beyond that of the the USFFT approach. In order to circumvent that,

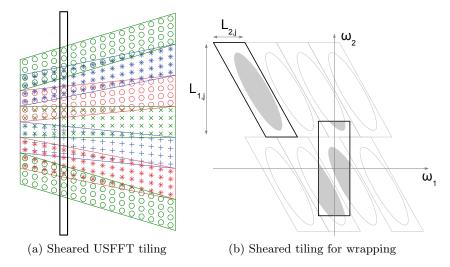


Figure 6: (a) illustrates the respective grid for each parallelogram containing the sheared support windows of the "east quadrant". The box highlights one of the columns, that represent one of the 1D polynomial interpolation problems solved for resampling. In (b) the parallelogram P_{j,l} is shown on top of the tilted tiling of a curvelet in frequency domain. Due to the periodicity the rectangle in the center contains the same curvelet, but hat a much smaller axis aligned bounding box for the FFT to operate on.

the authors utilize the periodic nature of the Fourier transform and propose generating a periodically wrapped version of the fourier samples. For $P_{j,l}$ as the bounding parallelogram of $U_{j,l}^D$, $L_{1,j}$ and $L_{2,j}$ are the period lengths by which to translate $P_{j,l}$ in the horizontal and vertical direction to produce a suitable tiling for each orientation θ_l (Figure 6). Thus, the wrapped, localized data are

$$f_{j,l}^D[n_1,n_2] = Wd_{j,l}[n_1,n_2] = \sum_{m_1 \in \mathbb{Z}} \sum_{m_2 \in \mathbb{Z}} d_{j,l}[n_1 + m_1L_{1,j},n_2 + m_2L_{2,j}]$$

with $0 \le n_1 < L_{1,j}$ and $0 \le n_2 < L_{2,j}$, which gives a rectangle of size $L_{1,j}$ times $L_{2,j}$.

Again, the discrete curvelet coefficients $c^D(j,l,k)$ can then be collected using the inverse 2d Fourier transform:

$$c^{D}(j,k,l) = \sum_{n_1,n_2 \in P_j} f^{D}_{j,l}[n_1,n_2] e^{i2\pi(\frac{k_1n_1}{L_{1,j}} + \frac{k_2n_2}{L_{2,j}})}.$$

The image processing pipeline described in this thesis aims to be suitable for content based image retrieval using hand-drawn sketches for querying. The main interest was to evaluate how well the fast discrete curvelet transform (FDCT) [7] is able to represent the lines in hand-drawn sketches as well as salient edges in photos or paintings. To explore the effects of preprocessing and signature extraction, several variations of the pipeline have been implemented. The used preprocessing steps include applying the sobel operator, extracting a canny edge map or determining segment borders using the gPb algorithm published in [2]. Signatures are constructed using both, global curvelet features, and a bag-of-features approach similar to what was described in [21] and [11].

The following sections will describe the variations of the processing stages *image acquisition*, *signature extraction*, and *ranking*. To reference the individual variations unambigiously, labels like LUMA will be introduced for each component.

3.1 IMAGE ACQUISITION

Since one premise of the system is that hand-drawn sketches are compared with a large body of images from various sources, a division into two input domains seems obvious. The first domain, the domain of query sketches, is quite narrow, because we can characterize its members as binary images with large, smooth areas separated by discontinuities along curves. The database images, that make up the second domain, are not subject to such assumptions. They may be color photographs (Figure 7a), paintings, computer renderings or black-and-white sketches.

LUMA Because the fast discrete curvelet transform used in every variant of the signature extraction step takes a single 2D matrix as input, images with more than one color channel need to be reduced to one channel. The RGB values from the benchmark dataset have therefore been converted to greyscale images using the definition of luma according to ITU standards [17] (Figure 7b). Each pixel with red, green and blue values (R, G, B) is mapped to a luminance value Y using

$$Y = \frac{299}{1000}R + \frac{587}{1000}G + \frac{114}{1000}B.$$

SOBEL In order to make comparing the query sketch to the database images more effective, an edge extraction algorithm can be applied to

each database image. The Sobel operator calculates horizontal and vertical gradients by convolving the image with the 3×3 kernels

$$K_{x} = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix} \text{ and } K_{y} = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$

to obtain the directional gradients G_x and G_y . The overall response is the gradient magnitude G at each pixel location (Figure 7c):

$$G = \sqrt{G_x^2 + G_y^2}$$

CANNY A slightly more complex way to extract edges is the Canny edge detector [8]. Intially, the image is smoothed via a convolution with a small gaussian kernel to reduce the susceptibility to noise, even though this increases the localization error of the edge detection. On the smoothed image the gradient magnitude is calculated using the Sobel operator described above. The angle of the gradient can be calculated from the directional gradients G_x and G_y using

$$\Theta = \arctan \frac{G_x}{G_y}$$

and quantized into bins for 0° , 45° , 90° and 135° . Thin edges can be obtained from the gradient magnitudes by performing non-maximum suppression along the direction perpendicular to the gradient direction, e.g. a pixel is marked as being on a 90° edge if its magnitude is larger than the magnitudes north and south of its location. To avoid lines being broken up by noisy fluctiations, the edges are traced along their direction and gaps are filled in if the signal within the gap is above a certain threshold. The result is a binary edge map of the whole image (Figure 7d).

3.2 SIGNATURE EXTRACTION

Each method of signature extraction described in this section has the fast discrete curvelet transform at its heart. The curvelet transform has two main parameters, that influence the result: The number of angles N_{θ} used at the coarest scale and the number of scales N_{j} , which corresponds to the number of concentric squares shown in 6. Experiments conducted to determine the optimal values of these parameters have shown that using more scales than 4 does not provide benefits that would justify the increased amount of processing time. Furthermore, since the coarsest scale is non-directional, as explained in section 2.3.6, it is ignored in further computations.

The response image generated by the FDCT for each pair of scale and angle is too large to be considered for the signature directly. Therefore

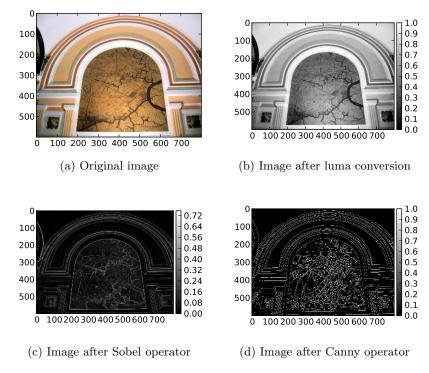


Figure 7

the response image $C_{s,\theta}$ for scale s and angle θ is subdivided into n^2 equally sized grid cells $G_{s,\theta,x,y}$ with $x,y \in 1,2,...,n$:

$$C_{s,\theta} = \begin{bmatrix} G_{s,\theta,1,1} & G_{s,\theta,1,2} & \cdots & G_{s,\theta,1,n} \\ G_{s,\theta,2,1} & G_{s,\theta,2,2} & \cdots & G_{s,\theta,2,n} \\ \vdots & \vdots & \ddots & \vdots \\ G_{s,\theta,n,1} & G_{s,\theta,n,2} & \cdots & G_{s,\theta,n,n} \end{bmatrix}$$

For each of these grid cells, the mean $\bar{C}_{s,\theta}$ is calculated:

$$\begin{split} \bar{C}_{s,\theta} &= \begin{bmatrix} \text{mean}(G_{s,\theta,1,1}) & \text{mean}(G_{s,\theta,1,2}) & \cdots & \text{mean}(G_{s,\theta,1,n}) \\ \text{mean}(G_{s,\theta,2,1}) & \text{mean}(G_{s,\theta,2,2}) & \cdots & \text{mean}(G_{s,\theta,2,n}) \\ & \vdots & & \vdots & \ddots & \vdots \\ \text{mean}(G_{s,\theta,n,1}) & \text{mean}(G_{s,\theta,n,2}) & \cdots & \text{mean}(G_{s,\theta,n,n}) \end{bmatrix} \\ &= \begin{bmatrix} \bar{c}_{s,\theta,1,1} & \bar{c}_{s,\theta,1,2} & \cdots & \bar{c}_{s,\theta,1,n} \\ \bar{c}_{s,\theta,2,1} & \bar{c}_{s,\theta,2,2} & \cdots & \bar{c}_{s,\theta,2,n} \\ \vdots & \vdots & \ddots & \vdots \\ \bar{c}_{s,\theta,n,1} & \bar{c}_{s,\theta,n,2} & \cdots & \bar{c}_{s,\theta,n,n} \end{bmatrix} \end{split}$$

3.2.1 Global Features

MEAN The global approach to signature extraction simply takes the family of matrices $\bar{C}_{s,\theta}$ and concatenates them as the image signature.

3.2.2 Local Features

The local feature extraction methods used here follow the bag-of-features approach, that aims to represent an image using a set of local feature descriptions or "visual words" similar to what was described in [21]. The exact way to extract the words will be detailed towards the end of this section.

The set of visual words extracted from the images are diverse and hard to compare. In order to create meaningful image signatures from these words, the whole set is condensed into a dictionary using k-means clustering. As already discussed in 2.2.2, the goal thereof is to derive a dictionary of predefined size that contains the visual words corresponding to the most discriminating features of the images in the image database.

PMEAN Continuing from the set of matrices $\bar{C}_{s,\theta}$, this algorithm densely samples

PMEAN2 TBD

3.3 RANKING

TBD

4

EXPERIMENTAL RESULTS

Experimental results go here...

5

ANALYSIS

Analysis goes here...

6

CONCLUSION

Conclusion goes here...

- [1] A.E. Abdel-Hakim and A.A. Farag. "CSIFT: A SIFT Descriptor with Color Invariant Characteristics." In: 2006 IEEE Computer Society Conference on Computer Vision and Pattern Recognition. Vol. 2. 2006, pp. 1978 –1983. DOI: 10.1109/CVPR.2006.95.
- [2] P. Arbelaez et al. "Contour detection and hierarchical image segmentation." In: Pattern Analysis and Machine Intelligence, IEEE Transactions on 99 (2011), 1–1.
- [3] H. Bay et al. "Speeded-up robust features (SURF)." In: Computer Vision and Image Understanding 110.3 (2008), 346-359. URL: http://www.sciencedirect.com/science/article/pii/S1077314207001555.
- [4] E. J. Candes. "Ridgelets: theory and applications." PhD thesis. Stanford University, 1998. URL: http://www-stat.stanford.edu/~candes/papers/thesis.ps.
- [5] E. J. Candes and D. L. Donoho. Curvelets: A surprisingly effective nonadaptive representation for objects with edges. Tech. rep. DTIC Document, 2000. URL: http://oai.dtic.mil/oai/oai?verb=getRecord&metadataPrefix=html&identifier=ADP011978.
- [6] E. J. Candes and D. L. Donoho. "New tight frames of curvelets and optimal representations of objects with piecewise C2 singularities." In: *Communications on pure and applied mathematics* 57.2 (2004), 219–266. URL: http://onlinelibrary.wiley.com/doi/10.1002/cpa.10116/abstract.
- [7] E. Candes et al. "Fast discrete curvelet transforms." In: Multiscale modeling and simulation 5.3 (2006), 861–899.
- [8] J. Canny. "A computational approach to edge detection." In: *Pattern Analysis and Machine Intelligence*, *IEEE Transactions on* 6 (1986), 679–698. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=4767851.
- [9] N. Dalal and B. Triggs. "Histograms of oriented gradients for human detection." In: Computer Vision and Pattern Recognition, 2005. CVPR 2005. IEEE Computer Society Conference on. Vol. 1. 2005, 886–893.
- [10] Y. Deng et al. "An efficient color representation for image retrieval." In: Image Processing, IEEE Transactions on 10.1 (2001), 140-147. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=892450.

- [11] M. Eitz et al. "Sketch-based image retrieval: benchmark and bagof-features descriptors." In: *Visualization and Computer Graphics*, *IEEE Transactions on* 99 (2010), 1–1. URL: http://ieeexplore. ieee.org/xpls/abs_all.jsp?arnumber=5674030.
- [12] S. Lazebnik, C. Schmid, and J. Ponce. "Beyond bags of features: Spatial pyramid matching for recognizing natural scene categories." In: Computer Vision and Pattern Recognition, 2006 IEEE Computer Society Conference on. Vol. 2. 2006, 2169–2178. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=1641019.
- [13] H. Y. Lee, H. K. Lee, and Y. H. Ha. "Spatial color descriptor for image retrieval and video segmentation." In: Multimedia, IEEE Transactions on 5.3 (2003), 358–367. URL: http://ieeexplore. ieee.org/xpls/abs_all.jsp?arnumber=1223563.
- [14] D. G. Lowe. "Object recognition from local scale-invariant features." In: Computer Vision, 1999. The Proceedings of the Seventh IEEE International Conference on. Vol. 2. 1999, 1150—1157. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=790410.
- [15] B. S. Manjunath and W. Y. Ma. "Texture features for browsing and retrieval of image data." In: *Pattern Analysis and Machine Intelligence*, *IEEE Transactions on* 18.8 (1996), 837–842. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=531803.
- [16] A. Oliva and A. Torralba. "Modeling the shape of the scene: A holistic representation of the spatial envelope." In: *International Journal of Computer Vision* 42.3 (2001), 145–175. URL: http://www.springerlink.com/index/K62TG81W8352G71H.pdf.
- [17] Parameter values for the HDTV standards for production and international programme exchange. 2002. URL: http://www.itu.int/dms_pubrec/itu-r/rec/bt/R-REC-BT.709-5-200204-I!!PDF-E.pdf.
- [18] Y. Rubner and C. Tomasi. "Texture-based image retrieval without segmentation." In: Computer Vision, 1999. The Proceedings of the Seventh IEEE International Conference on. Vol. 2. 1999, 1018–1024.
- [19] F. Schaffalitzky and A. Zisserman. "Viewpoint invariant texture matching and wide baseline stereo." In: Computer Vision, 2001. ICCV 2001. Proceedings. Eighth IEEE International Conference on. Vol. 2. 2001, 636–643. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=937686.
- [20] C. E. Shannon. "Communication in the presence of noise." In: Proceedings of the IEEE 86.2 (1998), 447-457. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=659497.

- [21] J. Sivic and A. Zisserman. "Video Google: A text retrieval approach to object matching in videos." In: Computer Vision, 2003.

 Proceedings. Ninth IEEE International Conference on. 2003, 1470–1477.
- [22] A. W.M Smeulders et al. "Content-based image retrieval at the end of the early years." In: *Pattern Analysis and Machine Intelligence*, *IEEE Transactions on* 22.12 (2000), 1349–1380.
- [23] M. Stricker and A. Dimai. "Color indexing with weak spatial constraints." In: Storage and Retrieval for Image and Video Databases IV 2670 (1996), 29–40. URL: http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.20.3010&rep=rep1&type=pdf.
- [24] A. Utenpattanant, O. Chitsobhuk, and A. Khawne. "Color descriptor for image retrieval in wavelet domain." In: *Advanced Communication Technology*, 2006. ICACT 2006. The 8th International Conference. Vol. 1. 2006, 4-pp. URL: http://ieeexplore.ieee.org/xpls/abs_all.jsp?arnumber=1625694.
- [25] Guang Yang and Yingyuan Xiao. "A Robust Similarity Measure Method in CBIR System." In: IEEE, 2008, pp. 662–666. ISBN: 978-0-7695-3119-9. DOI: 10.1109/CISP.2008.185. URL: http://ieeexplore.ieee.org/lpdocs/epic03/wrapper.htm?arnumber= 4566386.

COLOPHON

This document was typeset using the typographical look-and-feel classicthesis developed by André Miede. The style was inspired by Robert Bringhurst's seminal book on typography "The Elements of Typographic Style". classicthesis is available for both LATEX and LYX:

http://code.google.com/p/classicthesis/

Happy users of classicthesis usually send a real postcard to the author, a collection of postcards received so far is featured here:

http://postcards.miede.de/

| DECLARATION | |
|----------------------------|---------------|
| Put your declaration here. | |
| Berlin, January 2012 | |
| | Felix Stürmer |