

Wenlin Zhang

Centre of Mechatronics & Hybrid Technologies

Oct 27, 2022



Table of Contents

- Background
- Battery Testing Methods
- Parameter identification
 - Equivalent-circuit model
 - Optimization procedure
 - Example
- Accompanying Data and Scripts
- Conclusion



Background

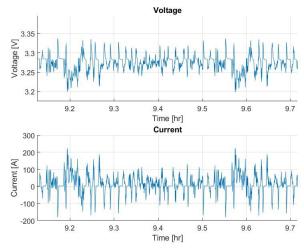
Battery characterization can be divided into:

o Time domain:

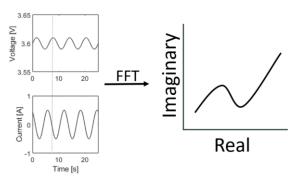
- Model battery terminal voltage as a function of input current
- Constant current charge/discharge, pulse, drive cycle tests
- Model used with model-based adaptive filters for state estimation

Frequency domain:

- Electrochemical impedance spectroscopy (EIS)
- Small amplitude sinusoidal current/voltage applied to cell
- Use Fourier transform to transform to frequency domain
- Can be fitted to impedance models to obtain cell information
- Described in detail in [1]



Example of a time-domain current and voltage profile.

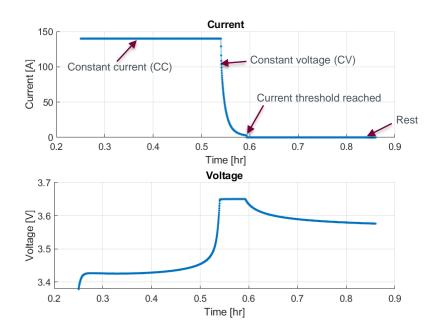


Example of a frequency-domain current and voltage profile [1].



Charge

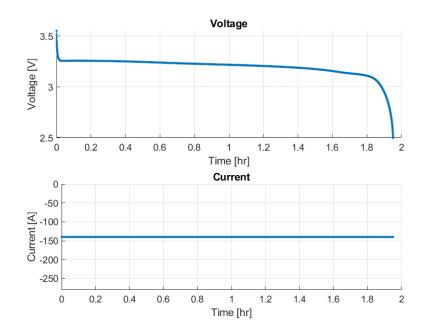
- CC/CV protocol:
 - Charge with constant current until max voltage
 - Maintain voltage and lower current until threshold
- Voltage drops slightly after load is removed
- Current rate and threshold given by manufacturer
- Running analogy





Capacity Test

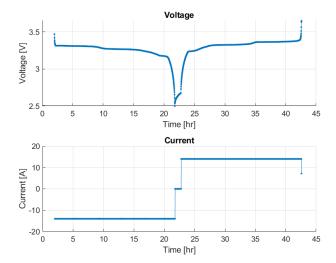
- Cell capacity differs from the nominal value due to manufacturing inconsistencies, calendar aging, cycle aging, etc.
- Constant current discharge until cell reaches minimum voltage
- Capacity can be determined based on current
- Current rate and ambient temperature specified by manufacturer

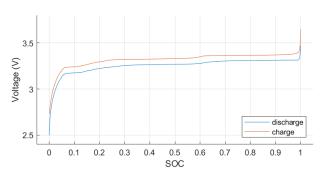




OCV-SOC Test

- Open-circuit voltage (OCV): Cell voltage when no load is applied
- Has one-to-one relationship with SOC
- Low current (C/20 or less) applied to cell
- Measured terminal voltage is regarded as OCV
- Hysteresis effect: OCV-SOC relationship is slightly different during charge and discharge.
- Hysteresis effect is compensated for in some models [2]





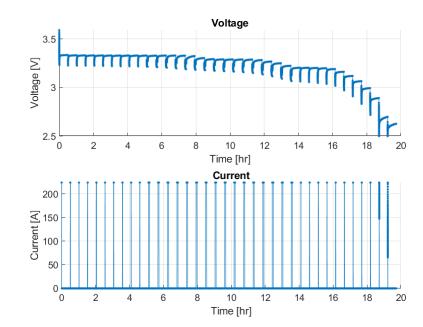


Pulse Discharge Test

- A series of constant current pulses applied to cell with rests in between
- Duration of the pulses can be calculated by:

$$\Delta t = \frac{(Q * 3600) * \Delta SOC}{I}$$

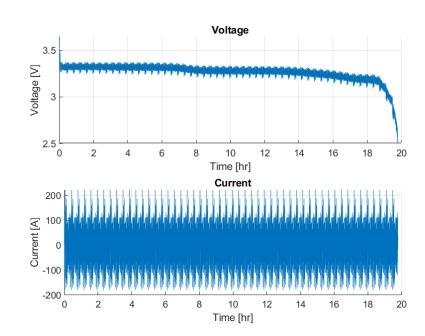
- Current magnitude depends on application
- Rest duration usually between 30 minutes to 2 hours although other lengths have been used [3]
- Used to obtain cell characteristics at each SOC





Drive Cycle Test

- Dynamic tests with realistic current demands
- Provided in speed vs. time format at [4]
- Converted to current demand using a vehicle model, scaled down to cell-level based on pack configuration
- Cycle repeated until cell reaches minimum voltage
- Can be used for parameter identification and validation
- Common drive cycles:
 - UDDS: normal city driving
 - US06: aggressive driving
 - HWFET: highway driving





Parameter Identification

Equivalent-Circuit Model

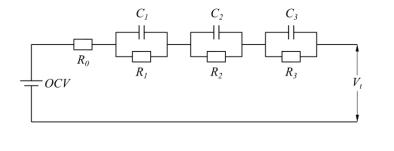
- Represent internal processes with circuit elements
 - Ideal voltage source: OCV
 - Series resistance: ohmic resistance
 - o RC branches: different internal processes with different time constants
- Provide good compromise between accuracy and complexity [5]
- Terminal voltage:

$$v_{[k]} = OCV_{(z_k)} - R_0 i_{[k]} - R_1 i_{1[k]} - R_2 i_{2[k]} - R_3 i_{3[k]}$$

Current through each RC branch:

$$i_{i[k+1]} = exp(-\frac{\Delta t}{R_i C_i}) * i_{i[k]} + (1 - exp(-\frac{\Delta t}{R_i C_i})) * i_{[k]}$$

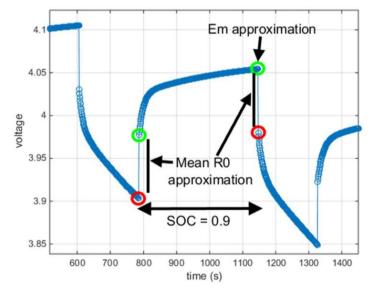
• Time constants, $\tau_i = R_i C_i$, should be sufficiently apart



Parameter Identification

Optimization Procedure

- Optimization algorithms are used to fit the model to data
- Objective function: mean squared error (MSE) between simulated and measured terminal voltage
- Divide data by SOC to obtain a parameter look-up table
- Initial conditions and upper/lower bounds usually found through trial and error
- [6] proposed a method to set the initial values based on knowledge about the circuit



Approximating ohmic resistance and OCV [6]



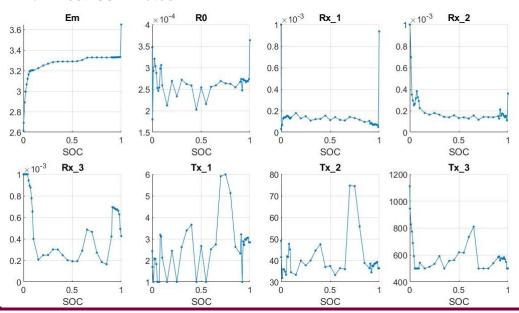
Parameter Identification

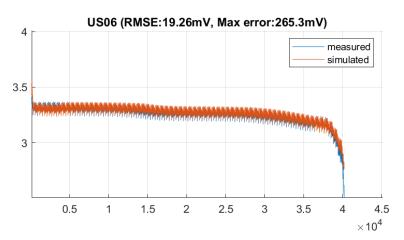
Example

Parameters obtained from pulse discharge test using method proposed in [6]

Current: 0.8 C

Rest: 30 minutes





Accompanying Data and Scripts

Data

- Battery: EVE 280 LFP (data sheet attached)
- Temperature: 25 °C
- Test data:
 - Standard charge
 - OCV-SOC test
 - Pulse discharge tests @ 0.8C (1, 5, 15, 30, 60 minutes rest)
 - Pulse discharge test @ 0.1C with 60 minutes rest
 - Drive cycle tests
 - UDDS, US06, HWFET, NEDC, MIX
 - Maximum current of 0.8C



Accompanying Data and Scripts

Scripts

- Scripts to parameterize a 1st, 2nd or 3rd order ECM using two methods:
 - Direct method
 - Analytical method [6]
- Script to run an nth order ECM to validate parameters identified

Filename	Description
parameterization_direct.m	Parameterizes the ECM using the direct method.
parameterization_analytical.m	Parameterizes the ECM using the semi-analytical method.
batterymodel_run.m	Runs the battery model to validate the input parameters.
ecm.m	Function that calculates the battery terminal voltage with a given set of parameters and current input.
format_opt_param.m	Function that manipulates the format of the model parameters for use with different scripts.
parameter_initialization.m	Function that sets the initial conditions and the bounds for optimization.
parameterization_Objective.m	Function that calculates the value of the objective functions.
parameterization_Optimize.m	Function that sets the optimization method and optimization parameters.

University

Conclusion

- Battery characterization divided into **time-domain** and frequency domain techniques
- Battery testing methods:
 - Charge, capacity, OCV-SOC, pulse discharge, drive cycle
- Parameter identification
 - Equivalent-circuit model: Use RC branches to represent different internal processes
 - Optimization algorithm to fit model to parameter
 - Divide data into segments by SOC to obtain parameter look-up table
- Accompanying Data and Scripts



References

- [1] M. Messing, "Case Study: EIS Model Fitting and Analysis HEVPD&D-CREATE," Aug. 2019. http://hevpdd.ca/publications-and-case-studies/eis-model-fitting-and-analysis/ (accessed Oct. 24, 2022).
- [2] G. Plett, Battery Management Systems, Volume I: Battery Modeling. Norwood, UNITED STATES: Artech House, 2015. Accessed: Oct. 24, 2022. [Online]. Available: http://ebookcentral.proquest.com/lib/mcmu/detail.action?docID=4821261
- [3] W. Zhang, R. Ahmed, and S. Habibi, "The Effects of Test Profile on Lithium-ion Battery Equivalent-Circuit Model Parameterization Accuracy," in 2022 IEEE Transportation Electrification Conference & Expo (ITEC), Jun. 2022, pp. 119–124. doi: 10.1109/ITEC53557.2022.9814019.
- [4] US Environmental Protection Agency, "Dynamometer Drive Schedules," Sep. 16, 2015. https://www.epa.gov/vehicle-and-fuel-emissions-testing/dynamometer-drive-schedules (accessed Dec. 10, 2021).
- [5] X. Hu, S. Li, and H. Peng, "A comparative study of equivalent circuit models for Li-ion batteries," Journal of Power Sources, vol. 198, pp. 359–367, Jan. 2012, doi: 10.1016/j.jpowsour.2011.10.013.
- [6] R. Ahmed et al., "Model-Based Parameter Identification of Healthy and Aged Li-ion Batteries for Electric Vehicle Applications," SAE International Journal of Alternative Powertrains, vol. 4, no. 2, pp. 233–247, 2015.



