

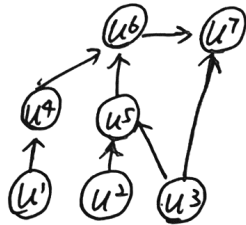
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homework 4.

part #1:

$a_2 = \text{SHIFT}$ ,  $a_3 = \text{Left-Arc}^{\text{nsbj}}$ ,  $a_4 = \text{SHIFT}$ ,  $a_5 = \text{Right-Arc}^{\text{obj}}$ ,  $a_6 = \text{Right-Arc}^{\text{root}}$

part #2:

Question 1:



Question 2:  $u^4 = 3 \times u^1 = 3$

$$u^5 = u^2 \times u^3 = 2 \times 3 = 6$$

$$u^6 = (u^4)^2 + (u^5)^2 = 3^2 + 6^2 = 9 + 36 = 45$$

$$u^7 = u^3 + 10 \cdot u^6 = 3 + 10 \times 45 = 453$$

Question 3:  $J^{3 \rightarrow 5}(u^2, u^3) = \frac{\partial f^5(u^2, u^3)}{\partial u^3} = u^2$

$$J^{4 \rightarrow 6}(u^4, u^5) = \frac{\partial f^6(u^4, u^5)}{\partial u^4} = 2u^4$$

$$J^{5 \rightarrow 6}(u^4, u^5) = \frac{\partial f^6(u^4, u^5)}{\partial u^5} = 2u^5$$

$$J^{3 \rightarrow 7}(u^3, u^6) = \frac{\partial f^7(u^3, u^6)}{\partial u^3} = 1$$

$$J^{6 \rightarrow 7}(u^3, u^6) = \frac{\partial f^7(u^3, u^6)}{\partial u^6} = 10$$

Question 4: There are 2 paths from  ~~$u^3$  to  $u^7$~~   $u^3$  to  $u^7$ , they are  $u^3 \rightarrow u^5 \rightarrow u^6 \rightarrow u^7$  and  $u^3 \rightarrow u^7$ .

$$\begin{aligned}
 \frac{\partial u^7}{\partial u^3} \Big|_{u^1, u^2, u^3}^{h^7} &= J^{3 \rightarrow 7}(u^3, u^6) + J^{6 \rightarrow 7}(u^3, u^6) \times J^{5 \rightarrow 6}(u^4, u^5) \times J^{3 \rightarrow 5}(u^2, u^3) \\
 &= 1 + 10 \times 2u^5 \times u^2 \\
 &= 1 + 10 \times 2 \times 6 \times 2 \\
 &= 241
 \end{aligned}$$

part 3

Question 5:

$$\therefore x = \begin{bmatrix} 10 \\ -20 \end{bmatrix}$$

$$h_1 = \text{RELU}(w_1 \cdot x + b_1) = \text{RELU}(\langle 1, 1 \rangle \cdot \begin{bmatrix} 10 \\ -20 \end{bmatrix} + 0) = 0$$

$$h_2 = \text{RELU}(w_2 \cdot x + b_2) = \text{RELU}(\langle 1, 0 \rangle \cdot \begin{bmatrix} 10 \\ -20 \end{bmatrix} + 0) = 10$$

$$h_3 = \text{RELU}(w_3 \cdot x + b_3) = \text{RELU}(\langle 1, -1 \rangle \cdot \begin{bmatrix} 10 \\ -20 \end{bmatrix} + 0) = 30$$

part #4

Question 6:

①  $x_1^i = \text{the}$ ,  ~~$x_2^i = a$ ,  $x_3^i = \text{this}$~~ ,  $x_2^i = a$ ,  $x_3^i = \text{this}$

$$\therefore x_1^i, x_2^i, x_3^i \in \{ \text{the}, a, \text{this} \}$$

$$\therefore u = x_1' + x_2' + x_3' = \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$$

②  $x_1^i = \text{the}$ ,  $x_2^i = a$ ,  $x_3^i = \text{mouse}$

$$x_1^i, x_2^i \in \{ \text{the}, a, \text{this} \} \quad x_3^i \in \{ \text{dog}, \text{cat}, \text{mouse} \}$$

$$\therefore u = x_1' + x_2' + x_3' = \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

③  $x_1^i = \text{the}$ ,  $x_2^i = \text{dog}$ ,  $x_3^i = \text{mouse}$

$$\therefore x_1^i \in \{ \text{the}, a, \text{this} \} \quad x_2^i, x_3^i \in \{ \text{dog}, \text{cat}, \text{mouse} \}$$

$$\therefore u = \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$$

④  $x_1^i = \text{cat}$ ,  $x_2^i = \text{dog}$ ,  $x_3^i = \text{mouse}$

$$x_1^i, x_2^i, x_3^i \in \{ \text{dog}, \text{cat}, \text{mouse} \}$$

$$\therefore u = x_1' + x_2' + x_3' = \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 3 \end{bmatrix}$$

### Question 7

We want to have  $h > 0$ ,  $\therefore h > 0 \Rightarrow W \cdot u + b > 0 \Rightarrow W \cdot u - 2 > 0 \Rightarrow \langle 1, 0 \rangle \cdot u > 2$

$\therefore$  we only need to check what value for  $u$  could make  $\langle 1, 0 \rangle \cdot u > 2$  to be true.

$u$  ~~have~~ has 4 possible values under these conditions:

- ① all  $x_1^i, x_2^i$  and  $x_3^i$  are from {the, a, this}, then  $u = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$
- ② 2 of  $x_1^i, x_2^i$  and  $x_3^i$  from {the, a, this} and 1 from {dog, cat, mouse}, then  $u = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$
- ③ 1 of  $x_1^i, x_2^i$  and  $x_3^i$  from {the, a, this} and 2 from {dog, cat, mouse}, then  $u = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$
- ④ all  $x_1^i, x_2^i$  and  $x_3^i$  from {dog, cat, mouse}, then  ~~$u = \begin{bmatrix} 0 \\ 3 \end{bmatrix}$~~   $u = \begin{bmatrix} 0 \\ 3 \end{bmatrix}$

so we check all possible  $u$  one by one:

- 1) if  $u = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$ ,  $\langle 1, 0 \rangle * \begin{bmatrix} 3 \\ 0 \end{bmatrix} = 3 > 2$  True
- 2) if  $u = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$ ,  $\langle 1, 0 \rangle * \begin{bmatrix} 2 \\ 1 \end{bmatrix} = 2 = 2$  false
- 3) if  $u = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$ ,  $\langle 1, 0 \rangle * \begin{bmatrix} 1 \\ 2 \end{bmatrix} = 1 < 2$  false
- 4) if  $u = \begin{bmatrix} 0 \\ 3 \end{bmatrix}$ ,  $\langle 1, 0 \rangle * \begin{bmatrix} 0 \\ 3 \end{bmatrix} = 0 < 2$  false

$\therefore u$  has to be  $\begin{bmatrix} 3 \\ 0 \end{bmatrix}$

$\therefore$  all  $x_1^i, x_2^i, x_3^i \in \{\text{the, a, this}\}$  and there would be 27 combination results for values of  $x_1^i, x_2^i, x_3^i$ .

Question 8:

using:  $P(y=1 | x^i; w, b, v, \sigma) = \frac{\exp(v_1 \cdot h + \sigma_1)}{\exp(v_1 \cdot h + \sigma_1) + \exp(v_2 \cdot h + \sigma_2)}$

$$P(y=2 | x^i; w, b, v, \sigma) = \frac{\exp(v_2 \cdot h + \sigma_2)}{\exp(v_1 \cdot h + \sigma_1) + \exp(v_2 \cdot h + \sigma_2)}$$

If  $x_1^i, x_2^i, x_3^i \in \{the, a, this\}$ :

According to Question 7, we have  $u = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$ ,  $\therefore z = \begin{bmatrix} 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} 3 \\ 0 \end{bmatrix} - 2 = 1$

$$\begin{aligned} \therefore h = g(1) &= 1 \\ \therefore P(y=1 | x^i; w, b, v, \sigma) &= 0.8 = \frac{\exp(v_1 + \sigma_1)}{\exp(v_1 + \sigma_1) + \exp(v_2 + \sigma_2)} \\ P(y=2 | x^i; w, b, v, \sigma) &= 0.2 = \frac{\exp(v_2 + \sigma_2)}{\exp(v_1 + \sigma_1) + \exp(v_2 + \sigma_2)} \end{aligned} \Rightarrow \frac{\exp(v_1 + \sigma_1)}{\exp(v_2 + \sigma_2)} = 4 \quad (1)$$

If  $x_1^i, x_2^i$  and  $x_3^i$  at least one not from  $\{the, a, this\}$ :

According to Question 7, we have  $u \neq \begin{bmatrix} 3 \\ 0 \end{bmatrix} \therefore w \cdot u + b \leq 0$

$$\therefore h = 0$$

$$\therefore \begin{cases} P(y=1 | x^i; w, b, v, \sigma) = 0.5 = \frac{\exp(\sigma_1)}{\exp(\sigma_1) + \exp(\sigma_2)} \\ P(y=2 | x^i; w, b, v, \sigma) = 0.5 = \frac{\exp(\sigma_2)}{\exp(\sigma_1) + \exp(\sigma_2)} \end{cases} \Rightarrow \frac{\exp(\sigma_1)}{\exp(\sigma_1) + \exp(\sigma_2)} = \frac{\exp(\sigma_2)}{\exp(\sigma_1) + \exp(\sigma_2)}$$

$$\Rightarrow \exp(\sigma_1) = \exp(\sigma_2)$$

$$\Rightarrow \sigma_1 = \sigma_2 \quad (2)$$

$$\begin{cases} (1) \\ (2) \end{cases} \Rightarrow 4e^{v_2 + \sigma_2} = e^{v_1 + \sigma_1} = e^{v_1 + \sigma_2}$$

$$4e^{v_2} e^{\sigma_2} = e^{v_1} e^{\sigma_2}$$

$$4 = e^{v_1 - v_2}$$

$$\ln 4 = v_1 - v_2$$

$\therefore v_1, v_2, \sigma_1, \sigma_2$  need to satisfy  $\sigma_1 = \sigma_2$  and  $v_1 - v_2 = \ln 4$ .