

# CS 7643: Deep Learning

Topics:

- Toeplitz matrices and convolutions = matrix-mult
- Dilated/a-trous convolutions
- Backprop in conv layers
- Transposed convolutions

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Georgia Tech

# Administrivia

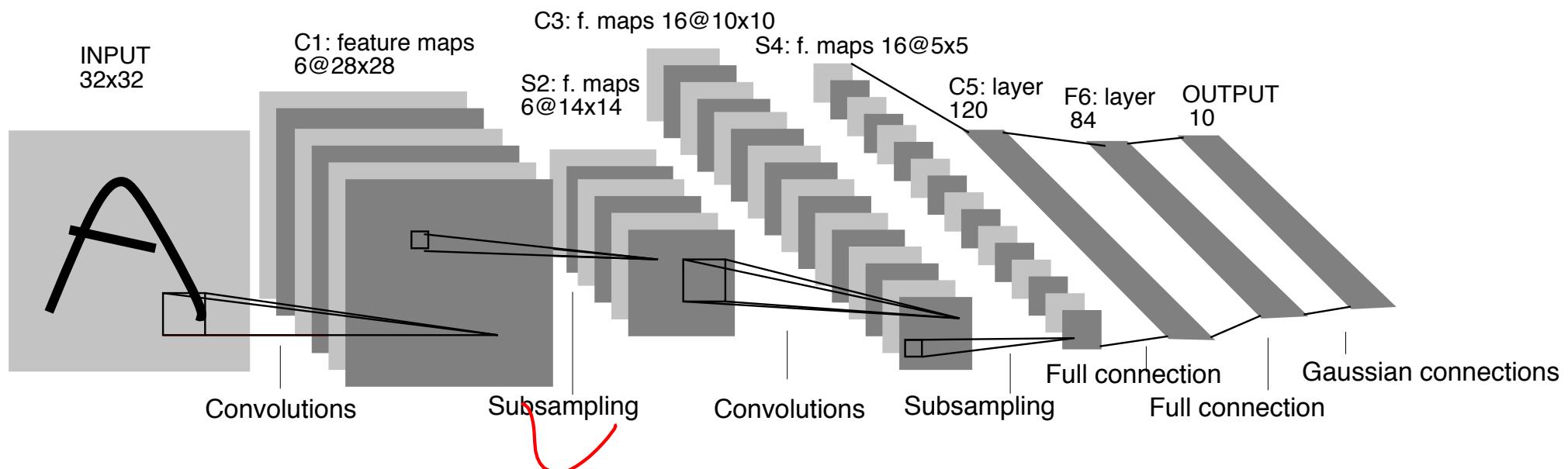
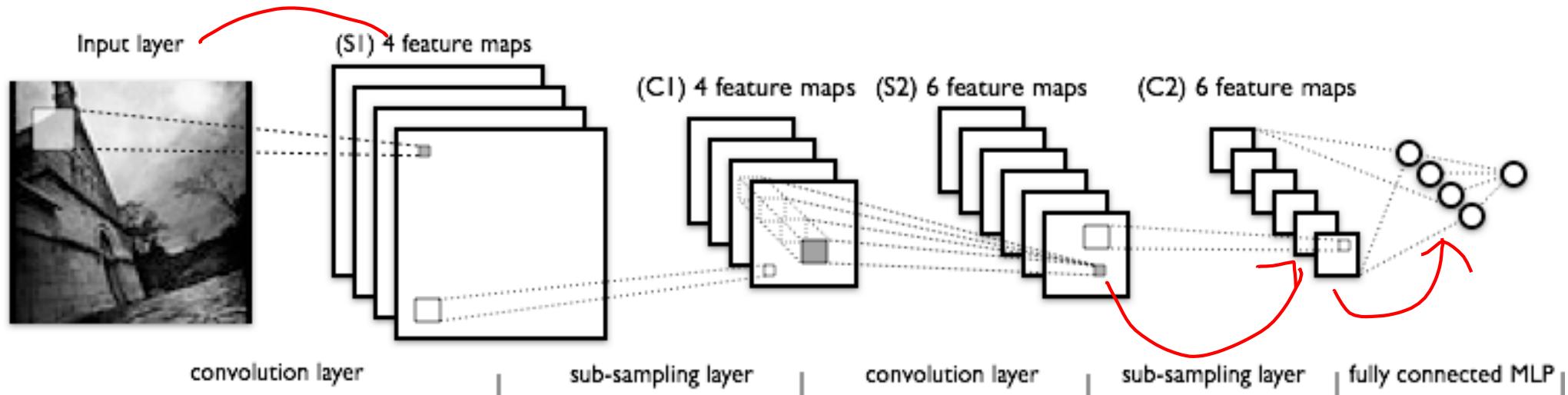
- HW1 extension
  - 09/22 09/25
- HW2 + PS2 both coming out on 09/22 09/25
- Note on class schedule coming up
  - Switching to paper reading starting next week.
  - <https://docs.google.com/spreadsheets/d/1uN31YcWAG6nhjvYPUVKMy3vHwW-h9MZCe8yKCqw0RsU/edit#gid=0>
- First review due: ~~Tue~~ <sup>Mon</sup> 09/26
- First student presentation due: Thr 09/28

# Recap of last time

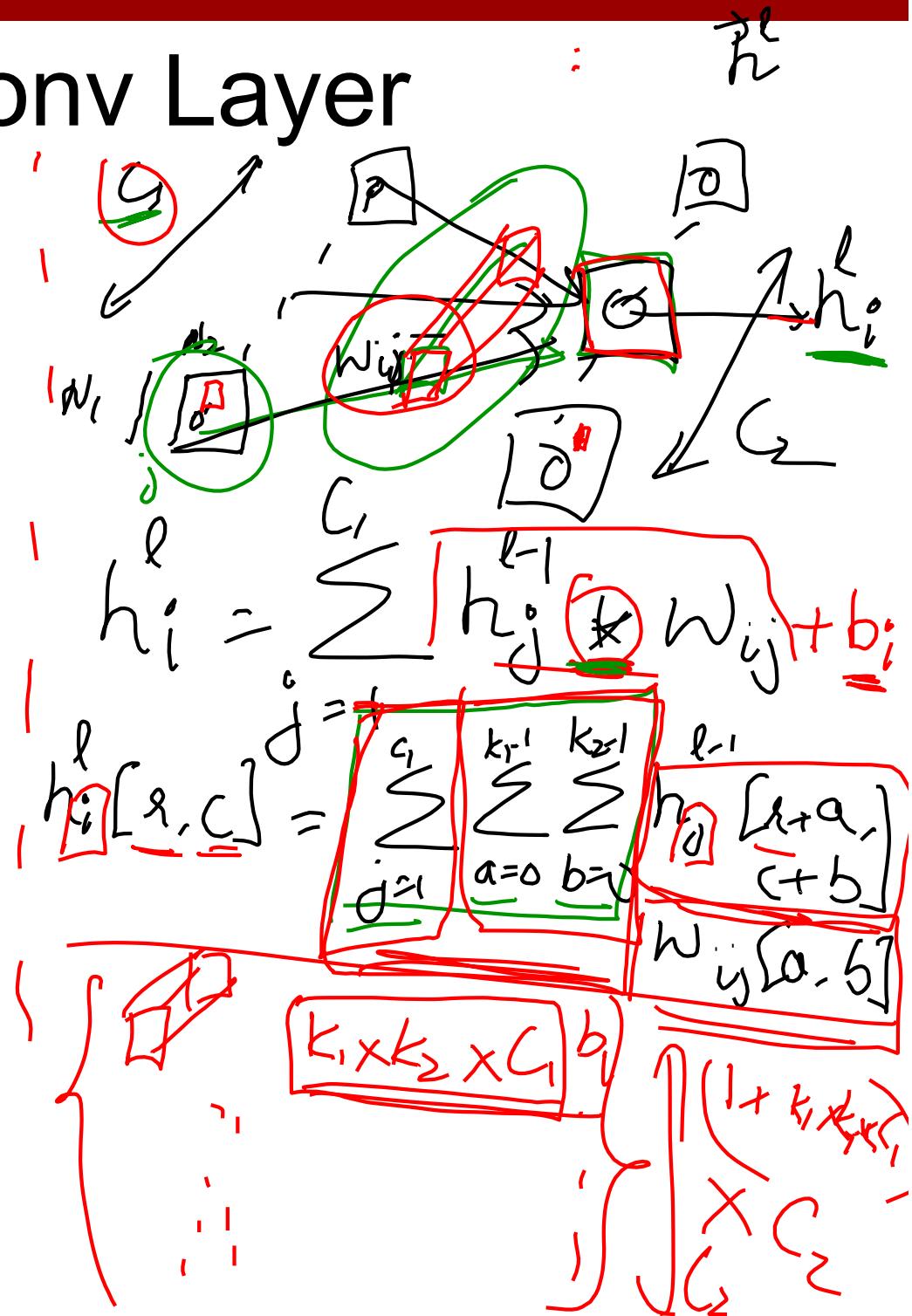
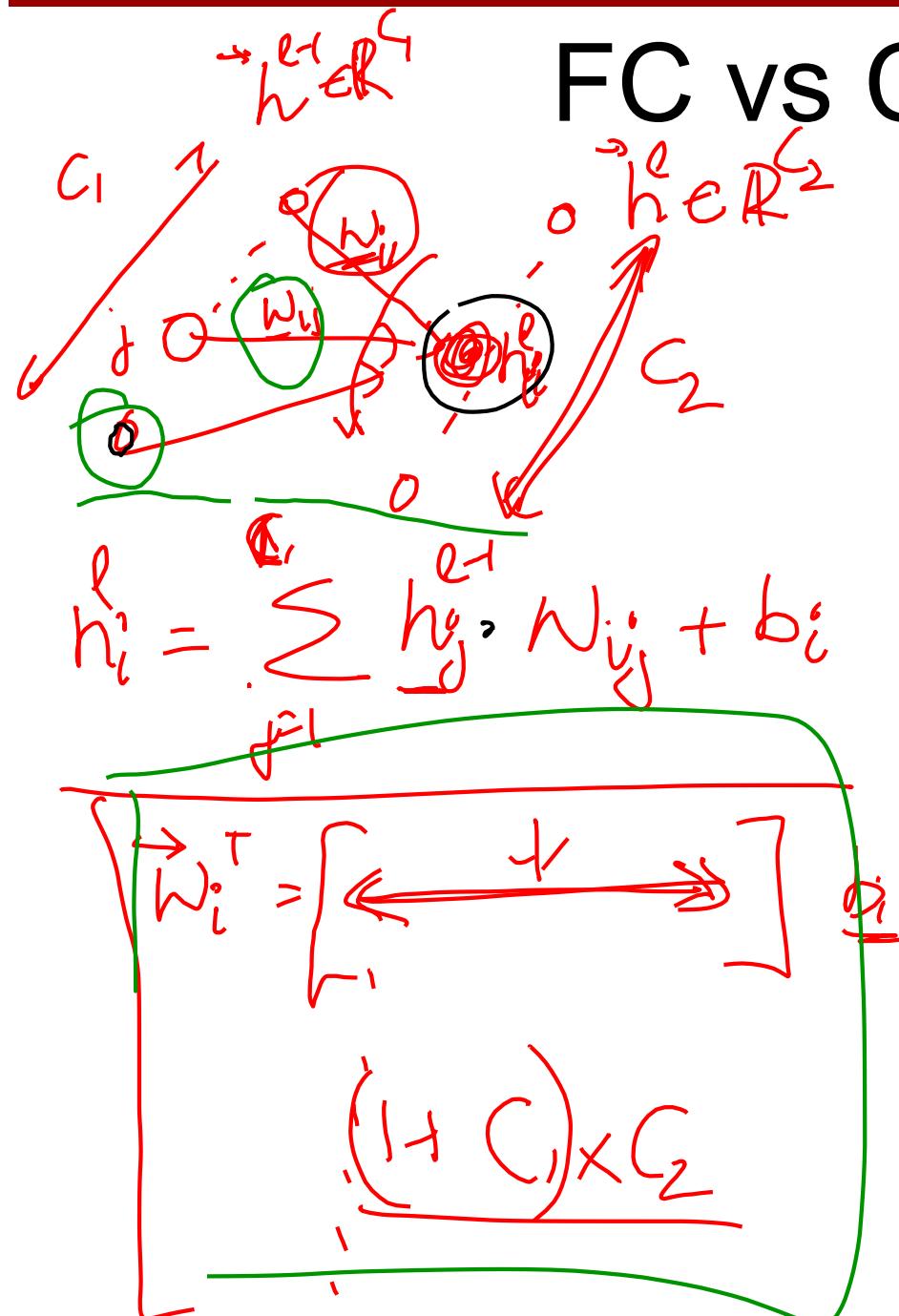
# Convolutional Neural Networks

(without the brain stuff)

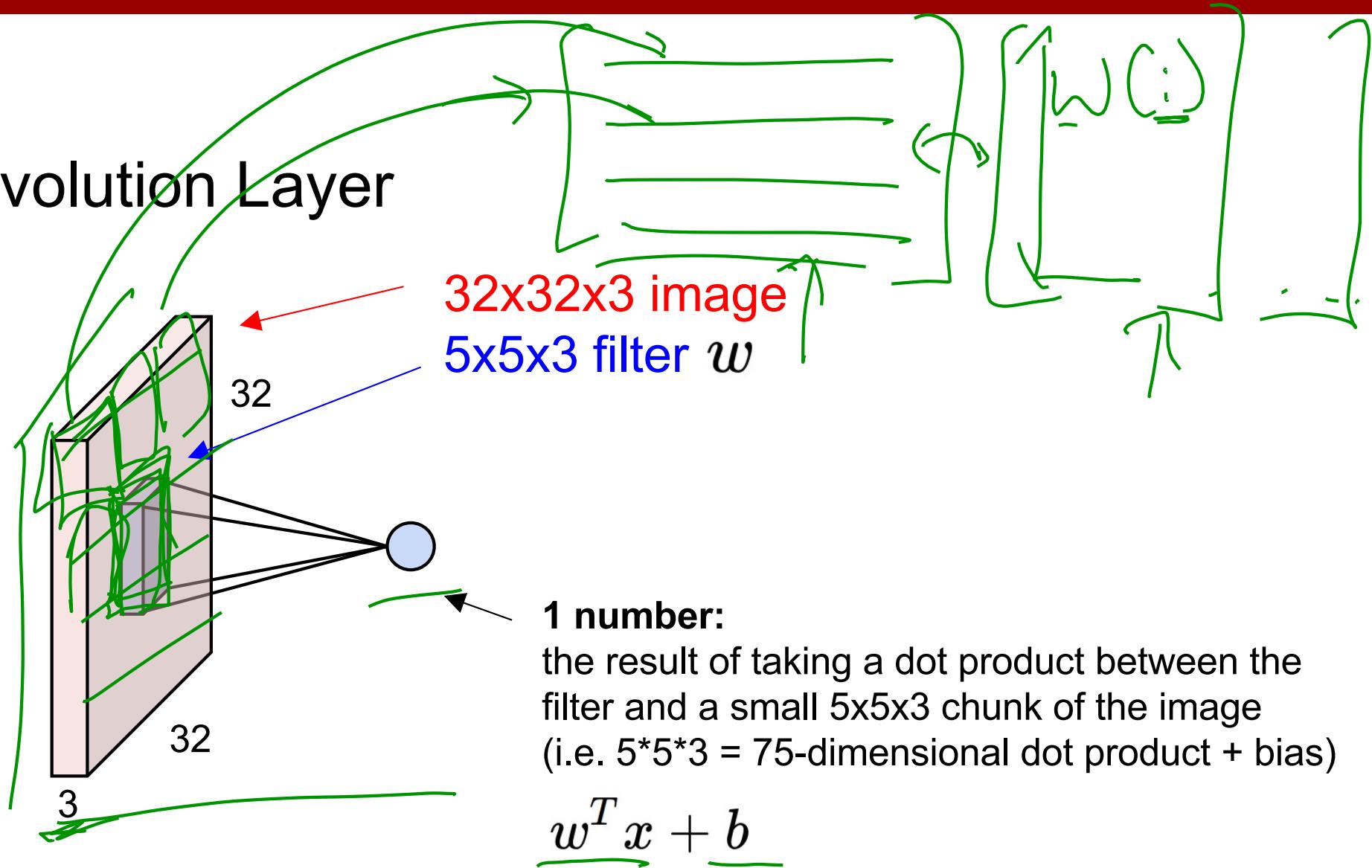
# Convolutional Neural Networks



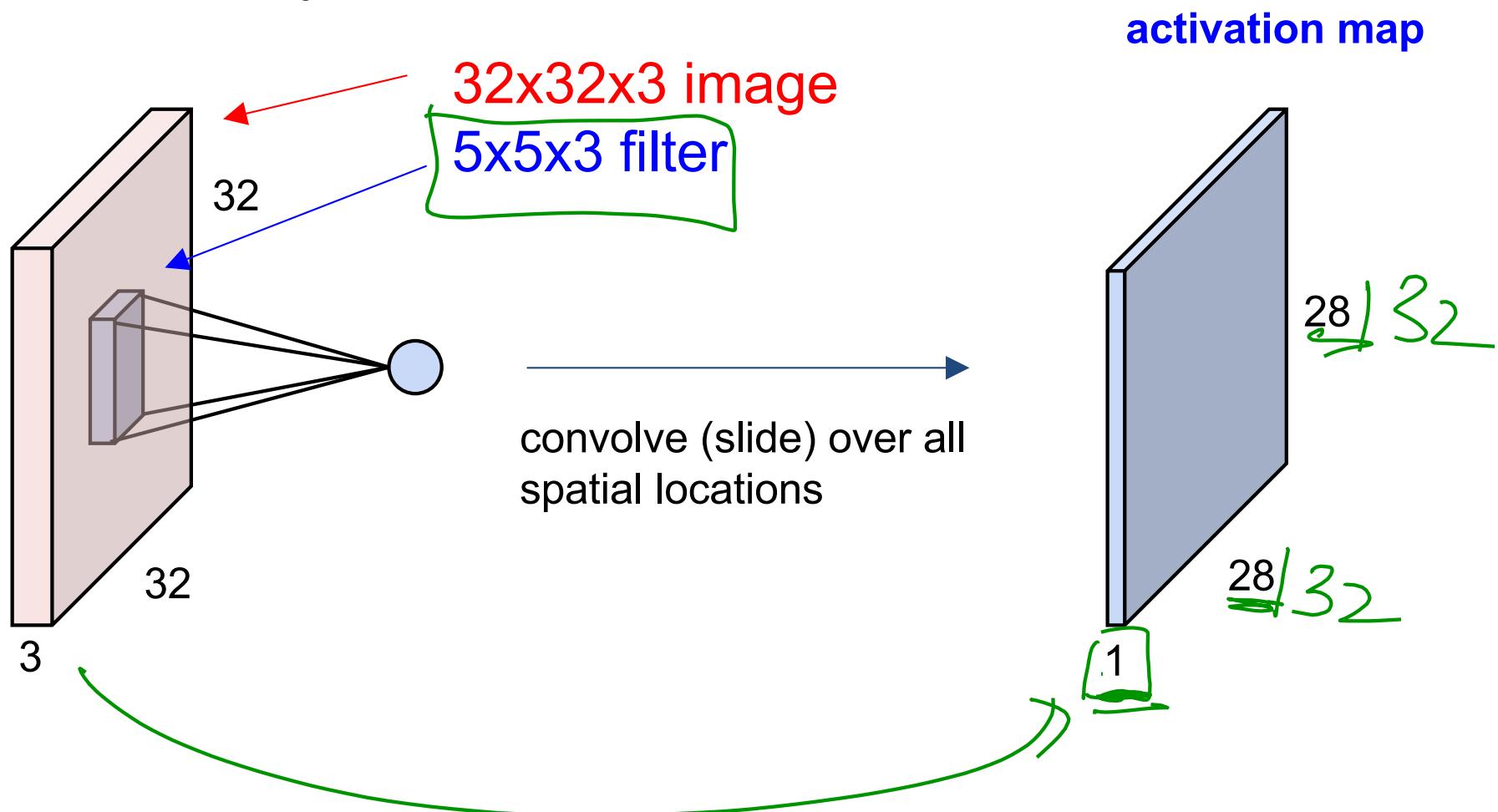
# FC vs Conv Layer



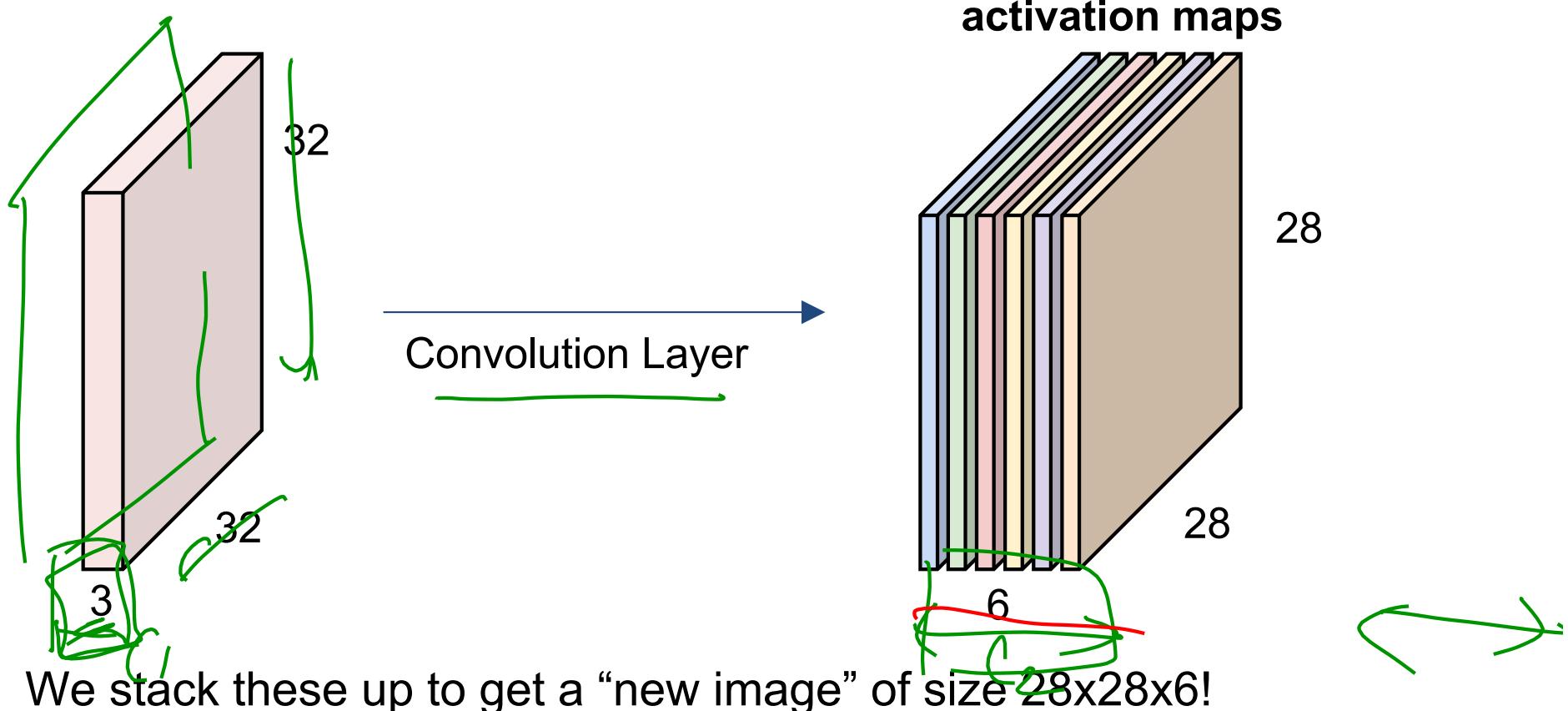
## Convolution Layer



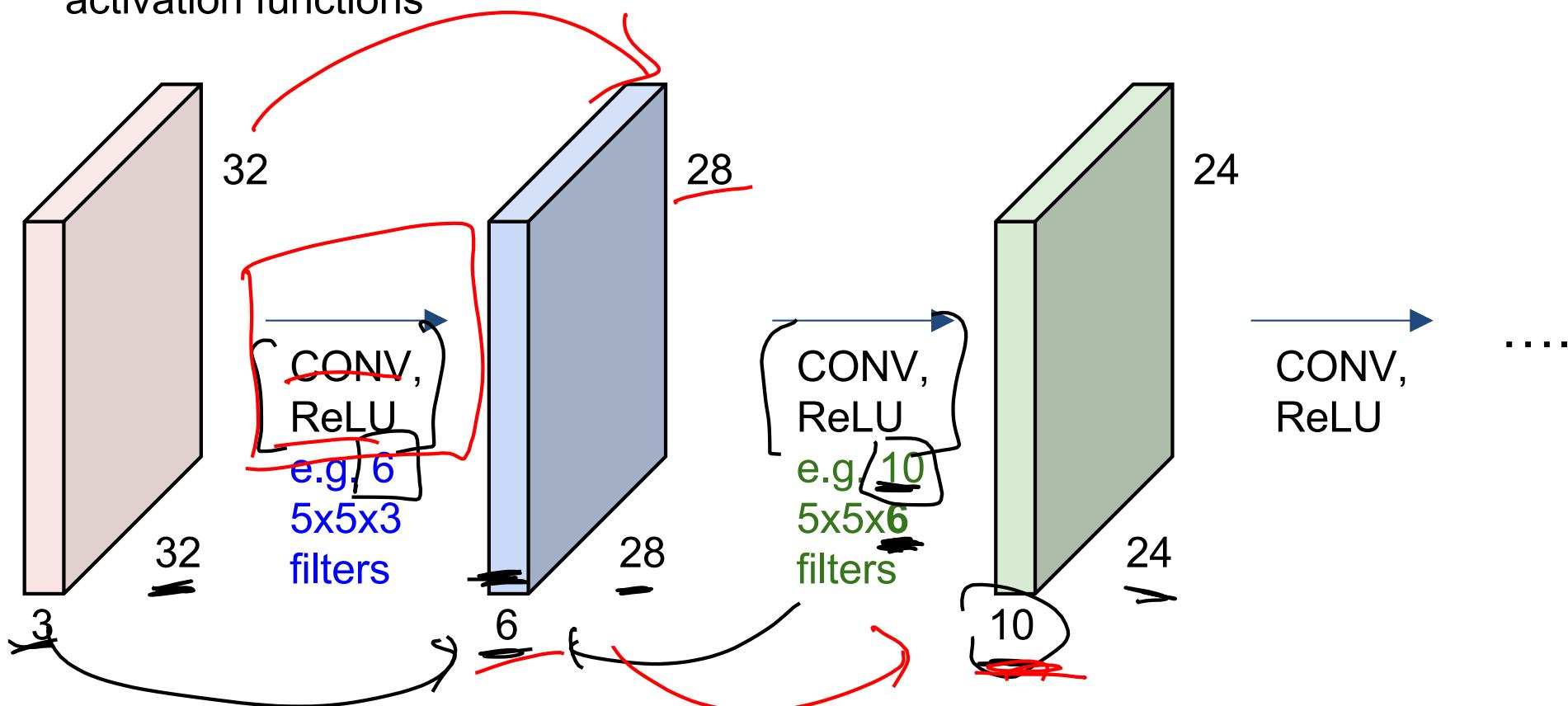
# Convolution Layer

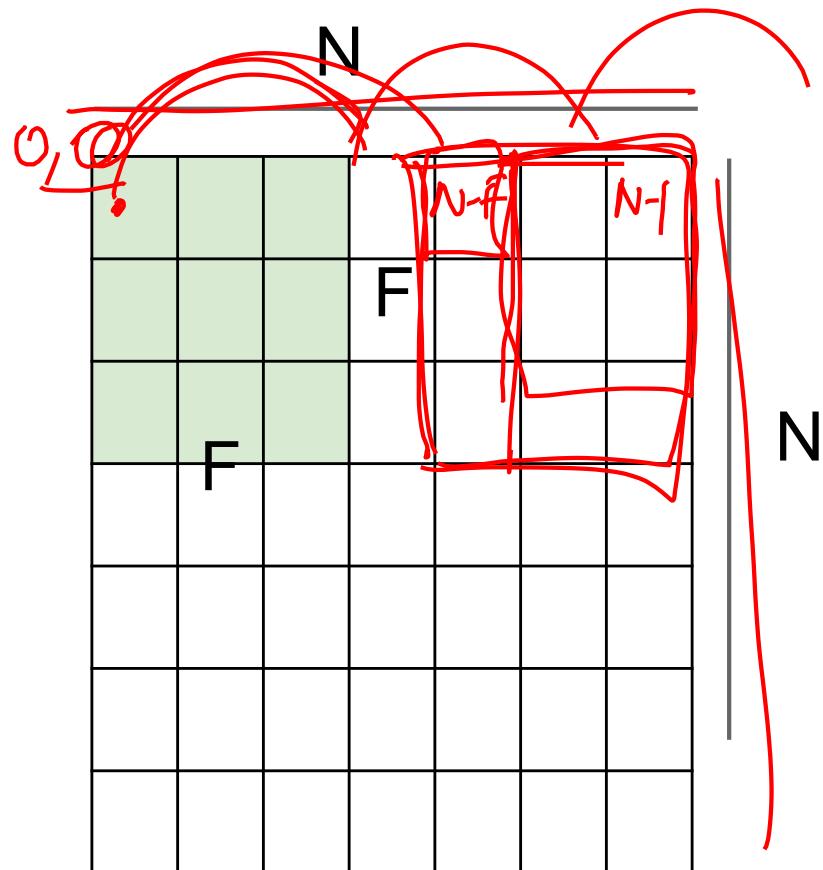


For example, if we had 6  $5 \times 5$  filters, we'll get 6 separate activation maps:



**Preview:** ConvNet is a sequence of Convolutional Layers, interspersed with activation functions





$$(N - F) \propto \text{stride}$$

~~Output size:~~

$$\boxed{(N - F) / \text{stride} + 1}$$

~~+ 2P~~

e.g.  $N = 7$ ,  $F = 3$ :

$$\text{stride } 1 \Rightarrow (7 - 3)/1 + 1 = 5$$

$$\text{stride } 2 \Rightarrow (7 - 3)/2 + 1 = 3$$

$$\text{stride } 3 \Rightarrow (7 - 3)/3 + 1 = 2.33 \therefore$$

# In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with **stride 1**

**pad with 1 pixel border => what is the output?**

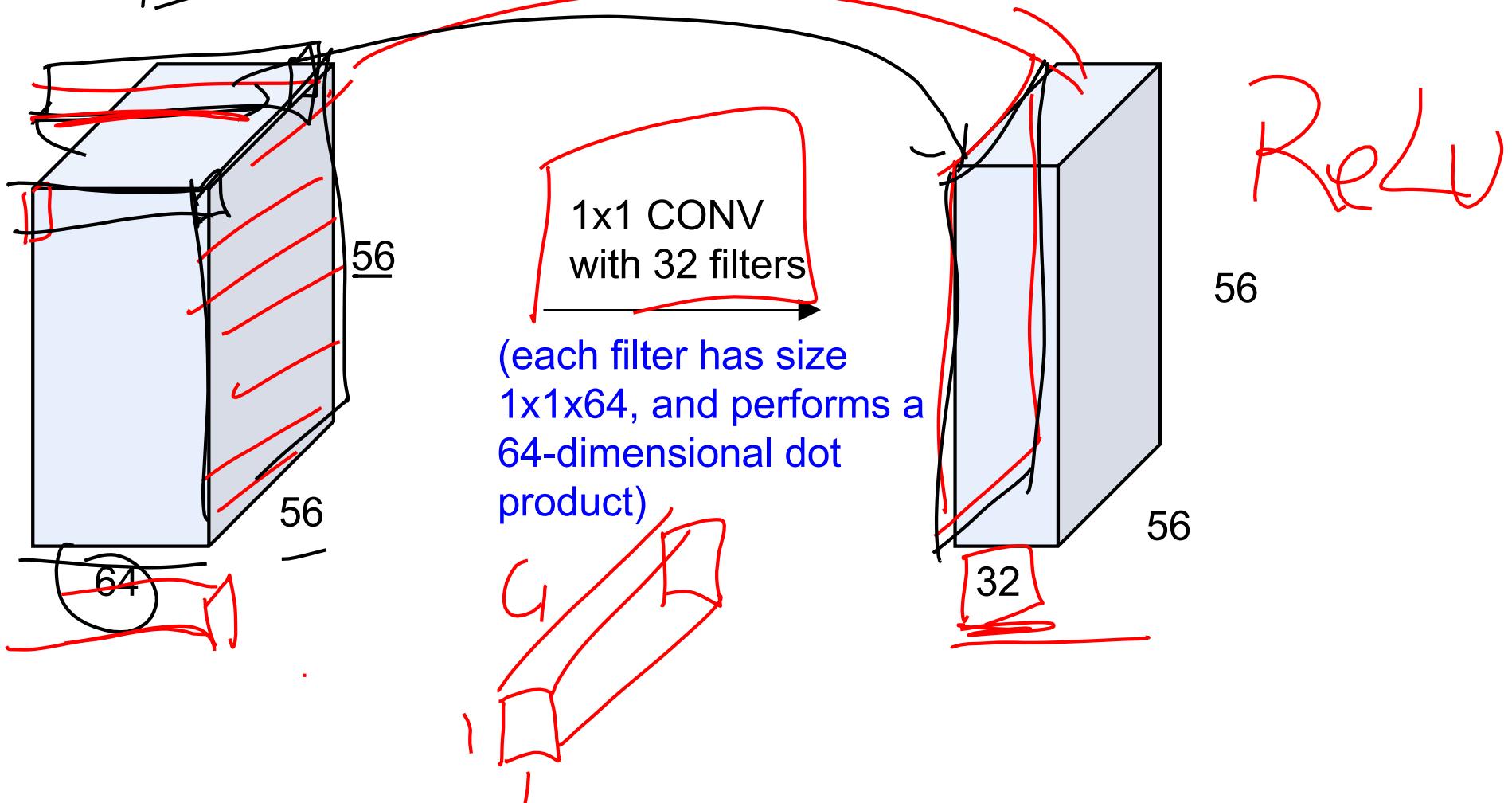
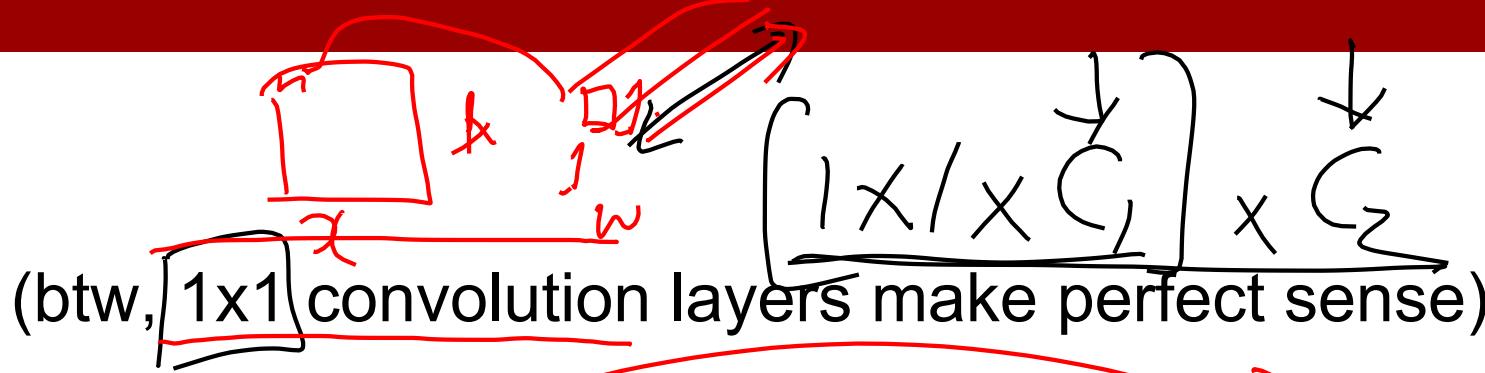
**7x7 output!**

in general, common to see CONV layers with  
stride 1, filters of size FxF, and zero-padding with  
 $\lceil \frac{F-1}{2} \rceil$  (will preserve size spatially)

e.g.  $F = 3 \Rightarrow$  zero pad with 1

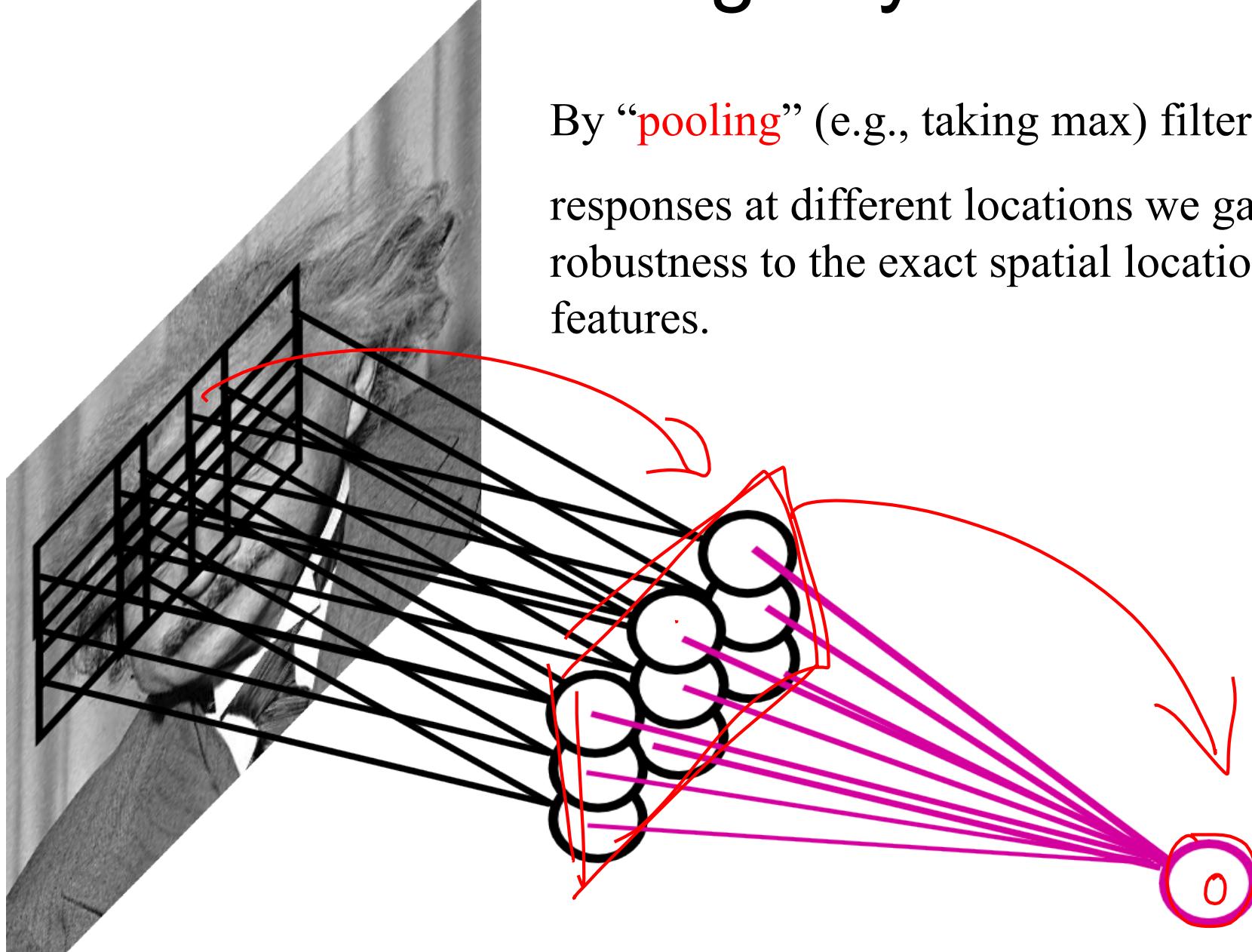
$F = 5 \Rightarrow$  zero pad with 2

$F = 7 \Rightarrow$  zero pad with 3

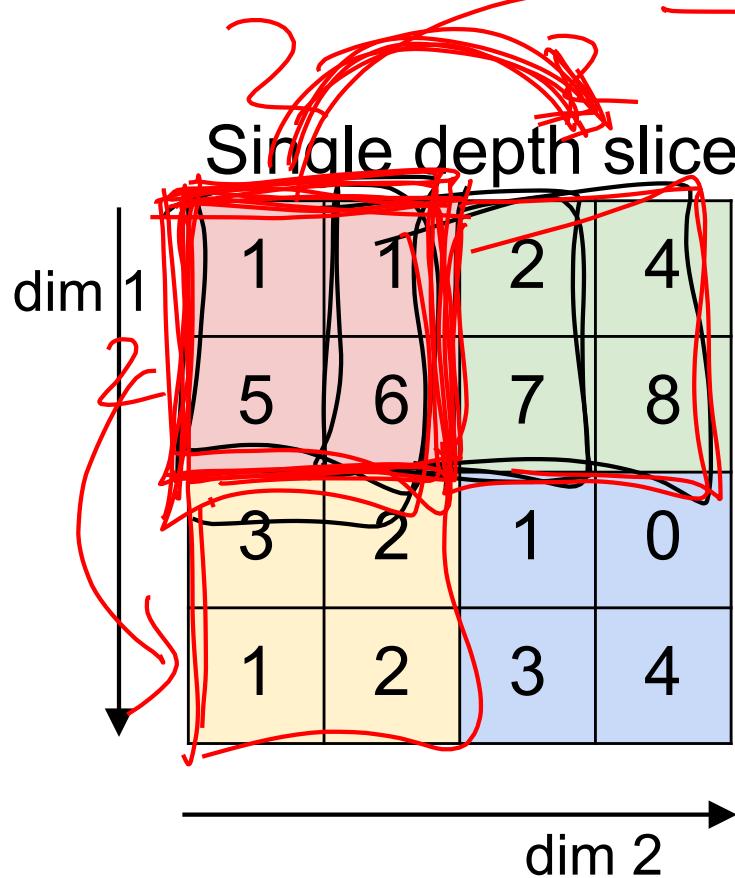


# Pooling Layer

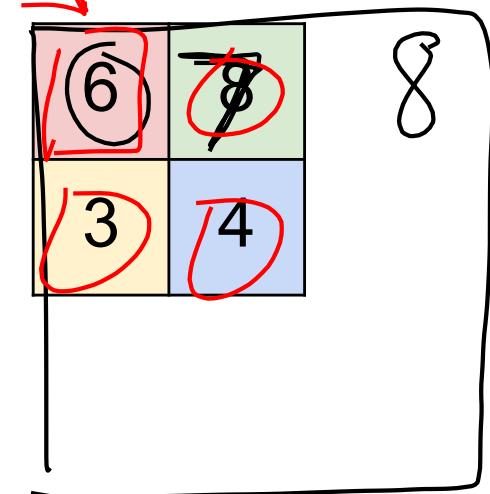
By “pooling” (e.g., taking max) filter responses at different locations we gain robustness to the exact spatial location of features.



# MAX POOLING



max pool with 2x2 filters  
and stride 2



# Pooling Layer: Examples

Max-pooling:

$$h_i^n(r, c) = \max_{\bar{r} \in N(r), \bar{c} \in N(c)} h_i^{n-1}(\bar{r}, \bar{c})$$

Average-pooling:

$$h_i^n(r, c) = \text{mean}_{\bar{r} \in N(r), \bar{c} \in N(c)} h_i^{n-1}(\bar{r}, \bar{c})$$

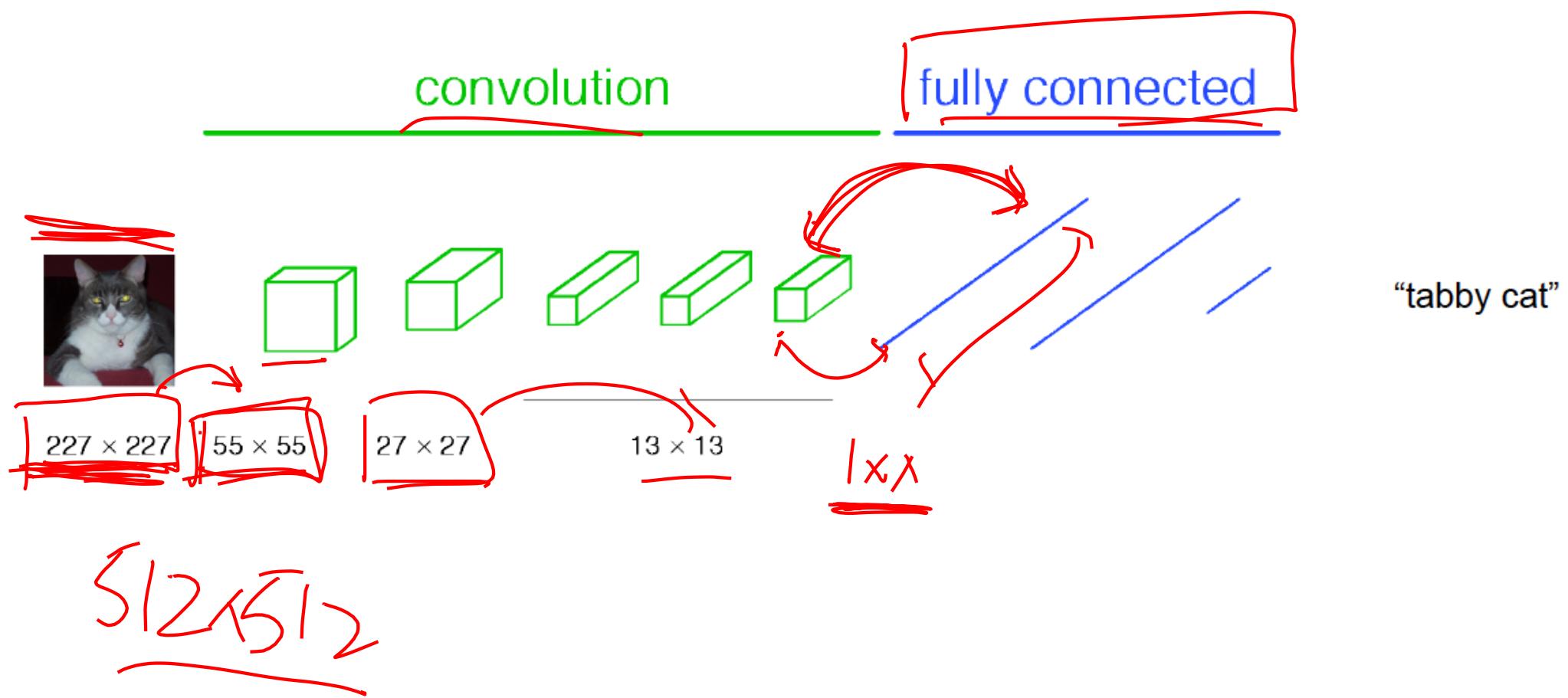
L2-pooling:

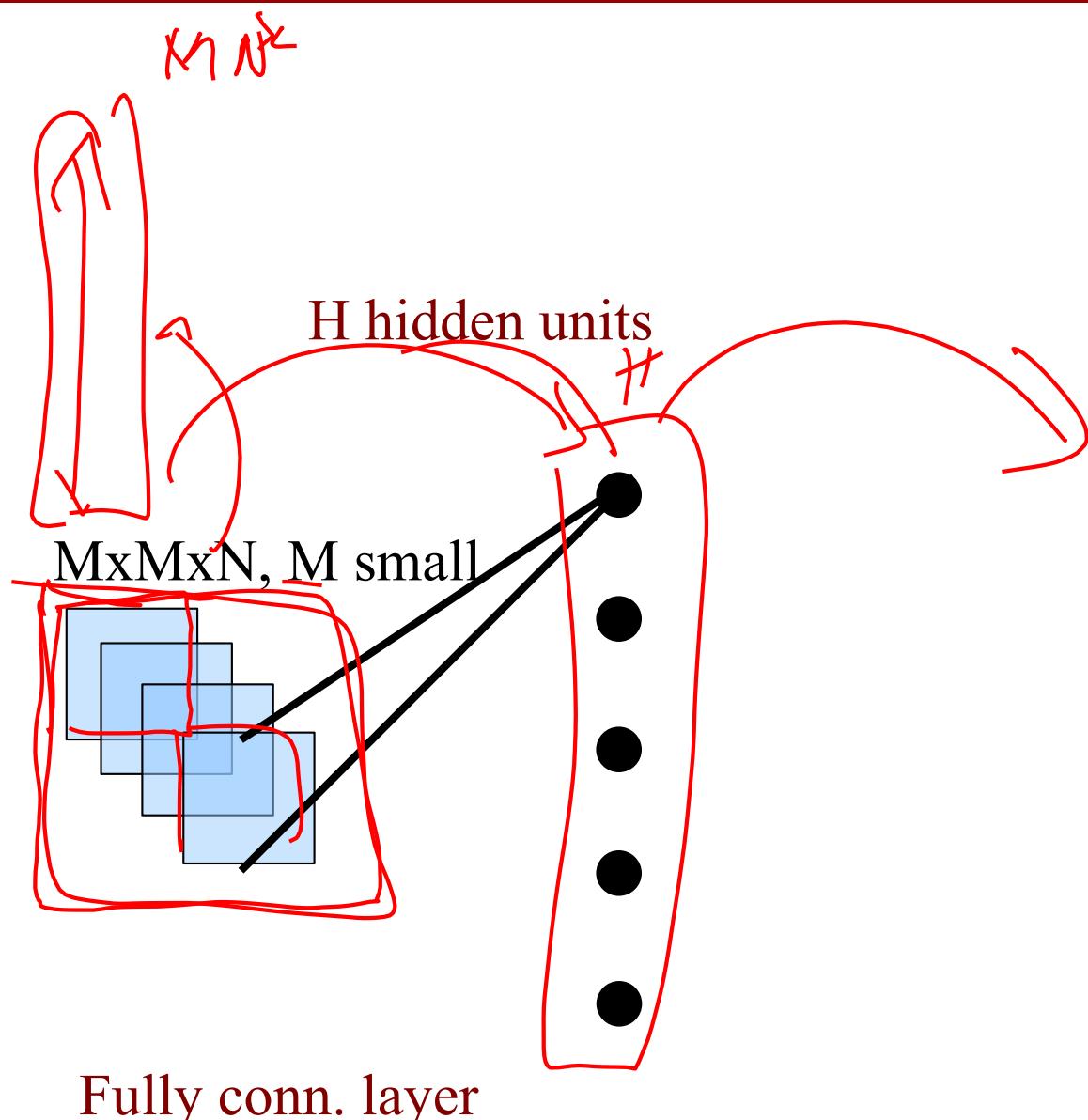
$$h_i^n(r, c) = \sqrt{\sum_{\bar{r} \in N(r), \bar{c} \in N(c)} h_i^{n-1}(\bar{r}, \bar{c})^2}$$

L2-pooling over features:

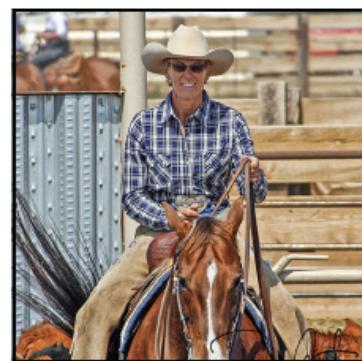
$$h_i^n(r, c) = \sqrt{\sum_{j \in N(i)} h_i^{n-1}(r, c)^2}$$

# Classical View





# Classical View = Inefficient

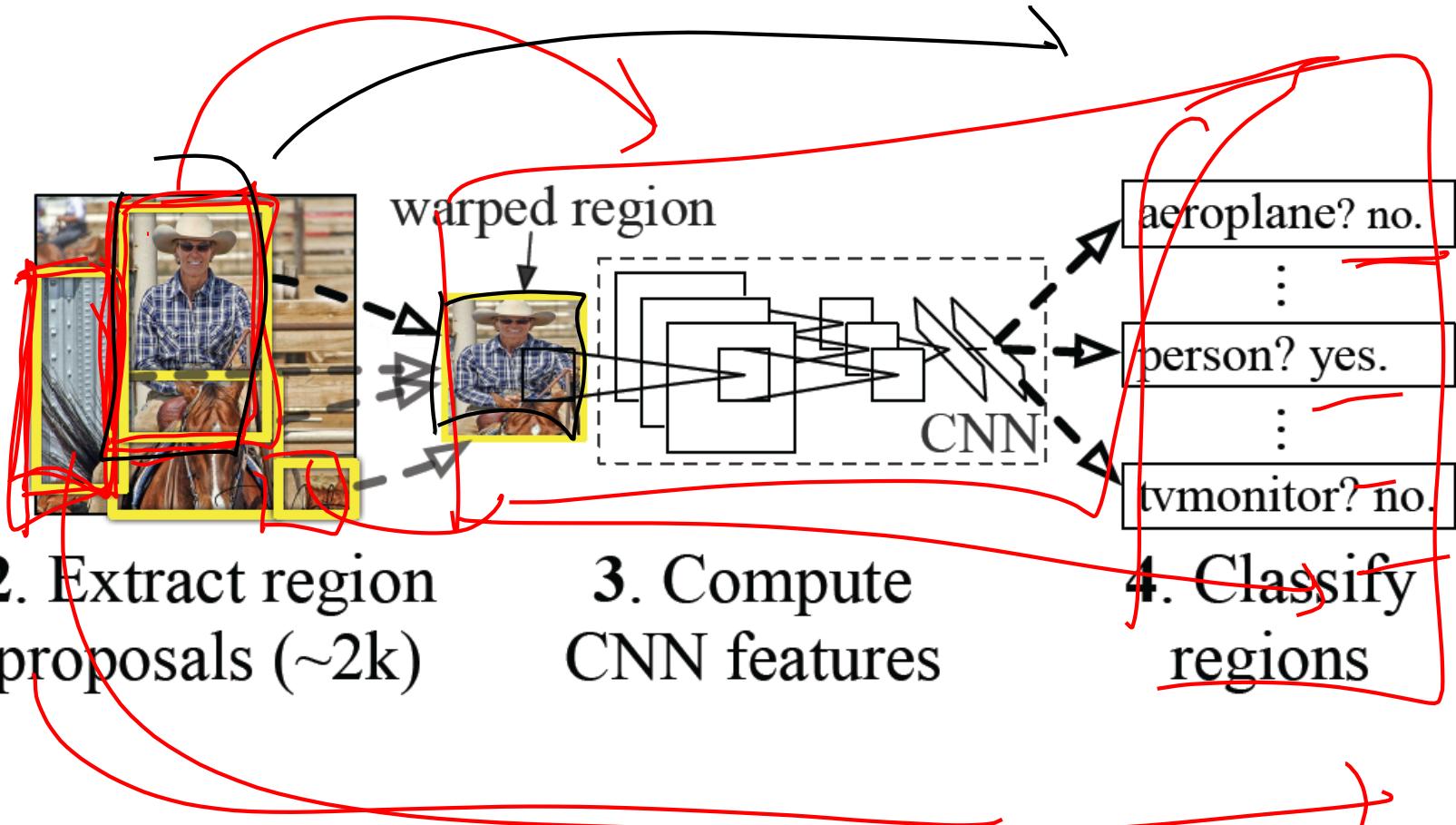


1. Input image

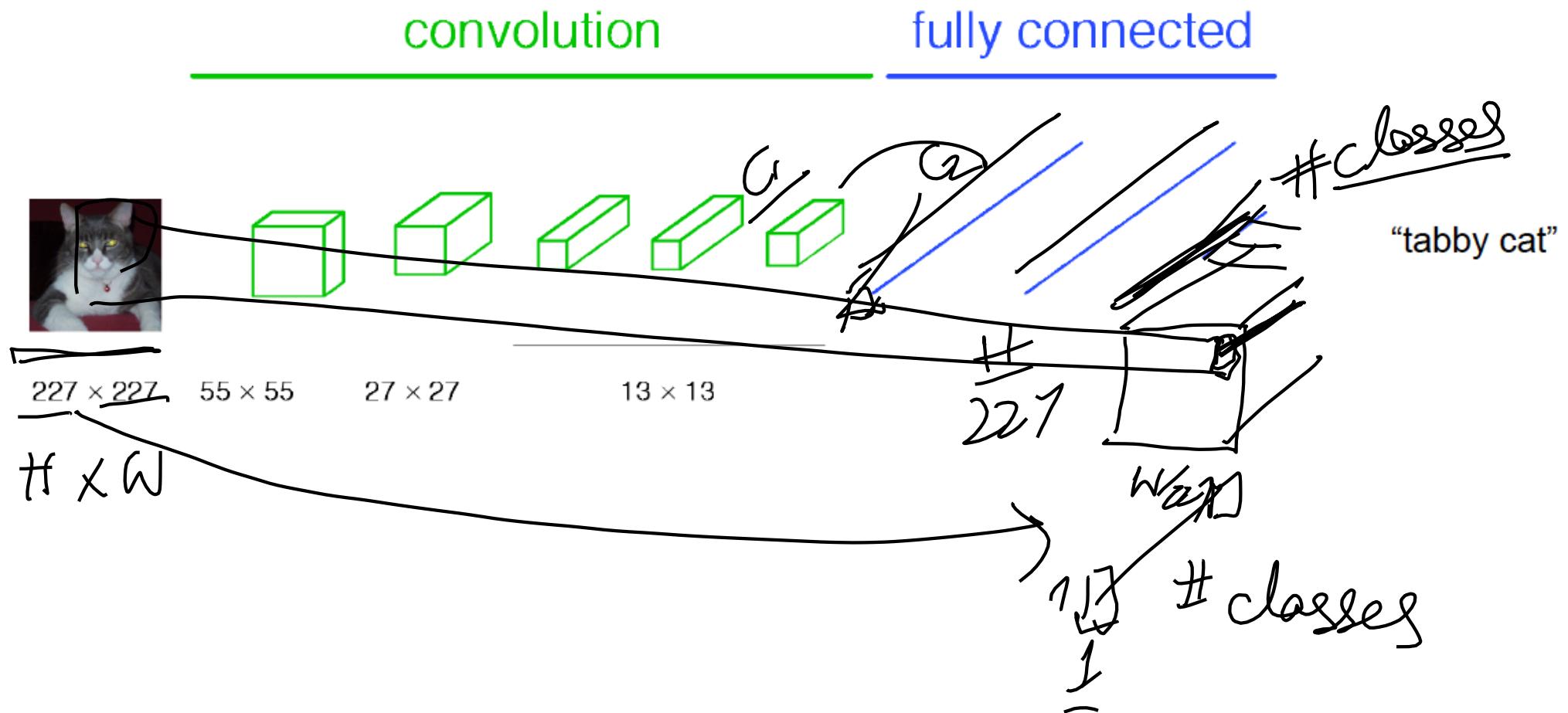
2. Extract region proposals (~2k)

3. Compute CNN features

4. Classify regions

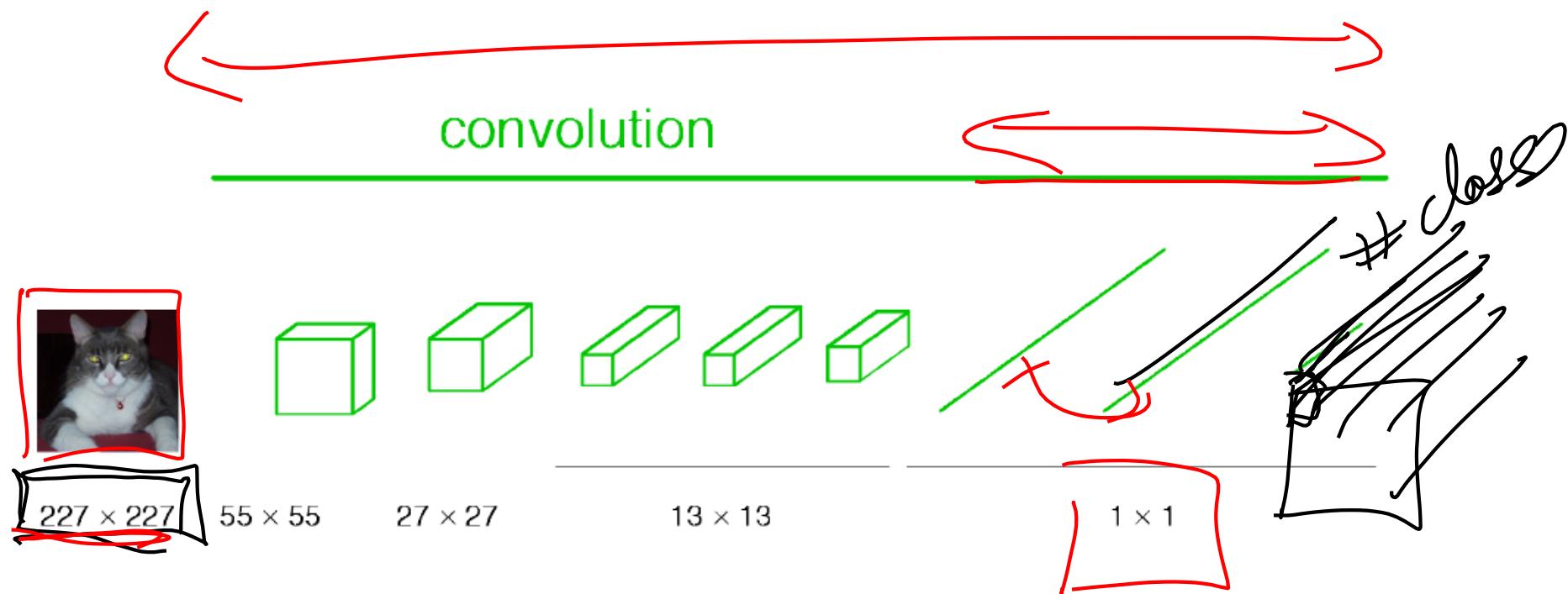


# Classical View



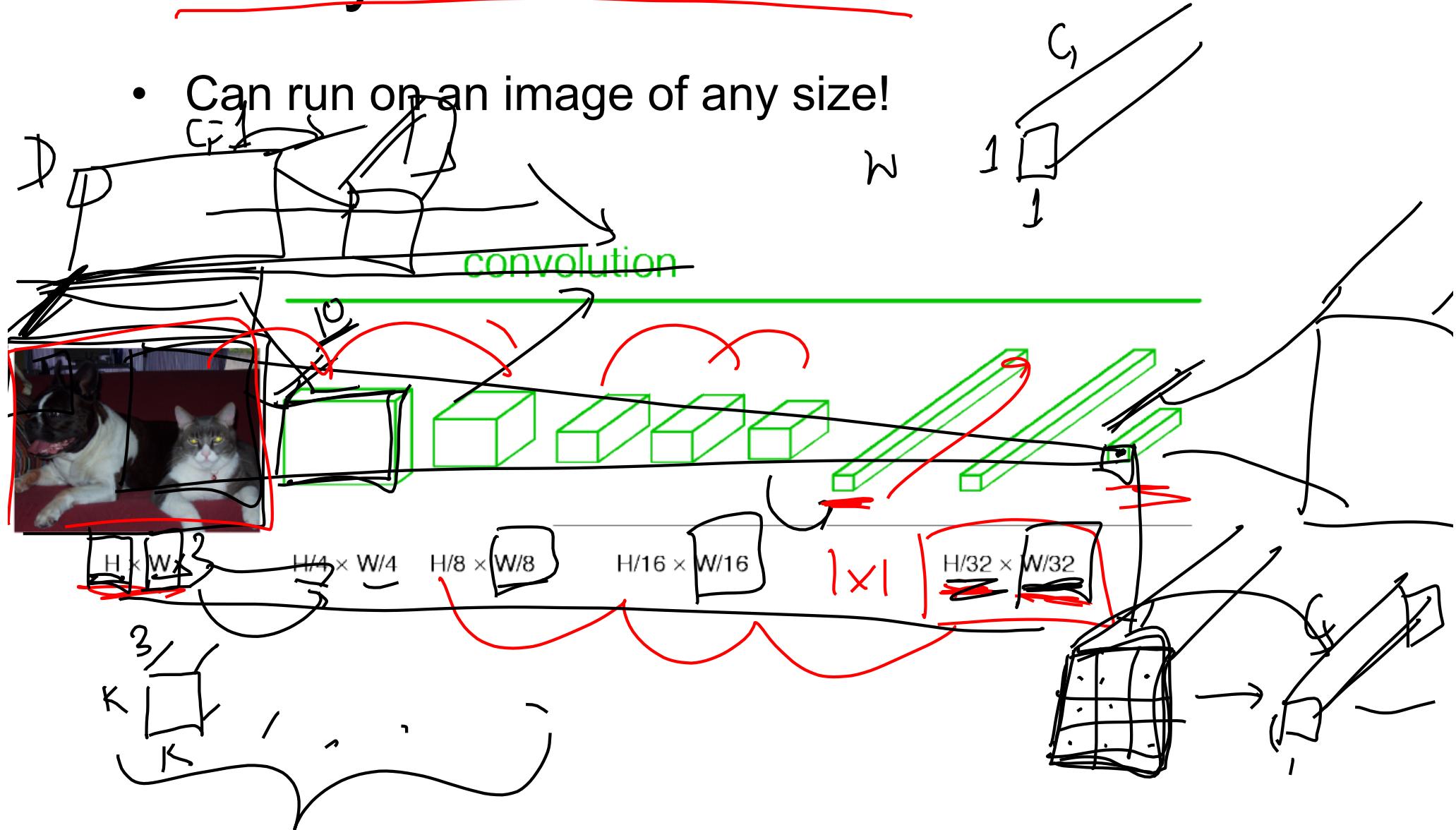
# Re-interpretation

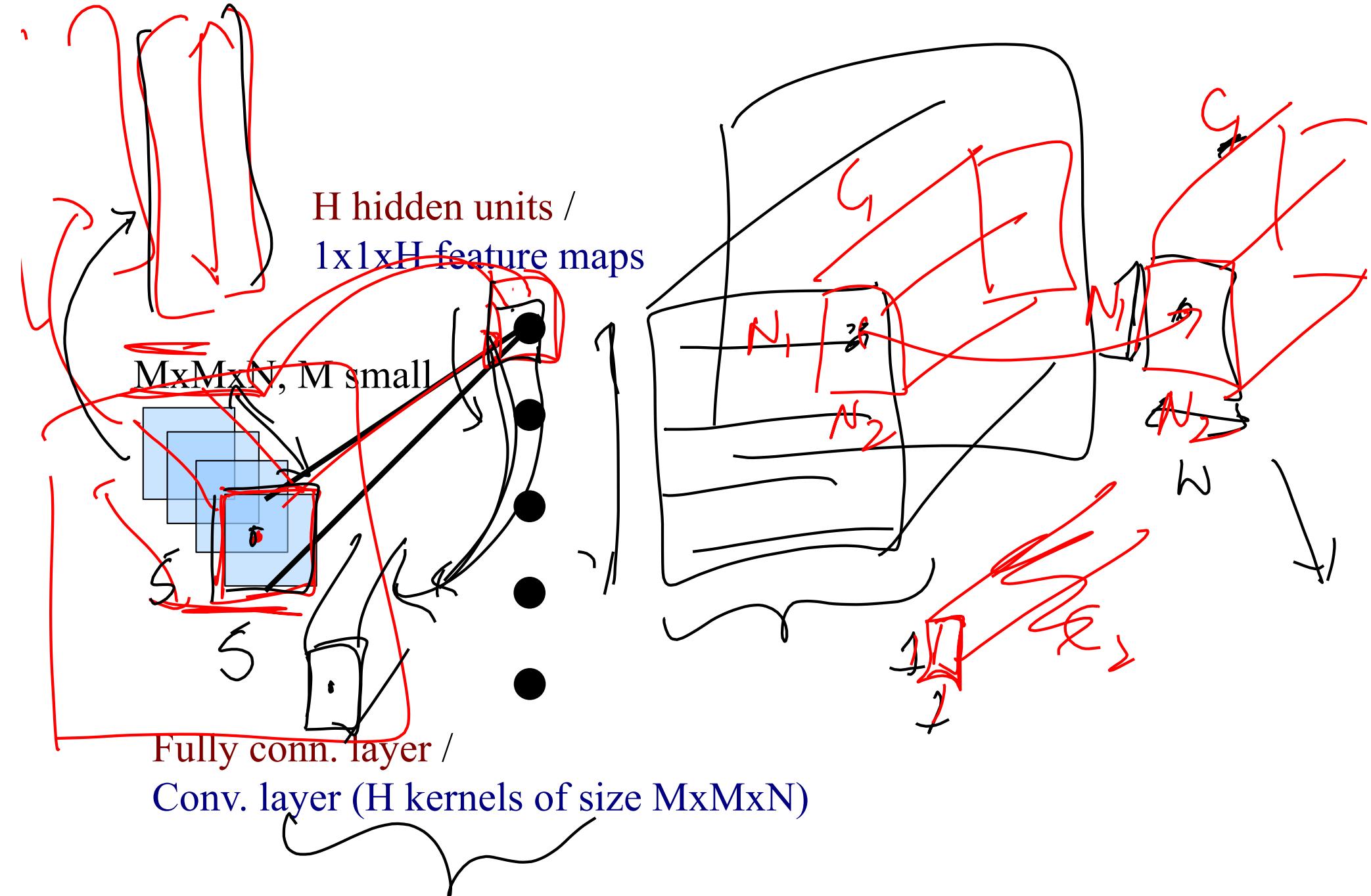
- Just squint a little!



# “Fully Convolutional” Networks

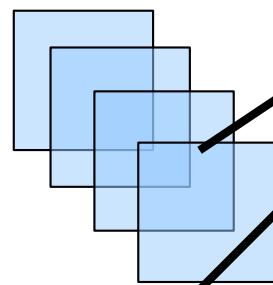
- Can run on an image of any size!





H hidden units /  
1x1xH feature maps

MxMxN, M small

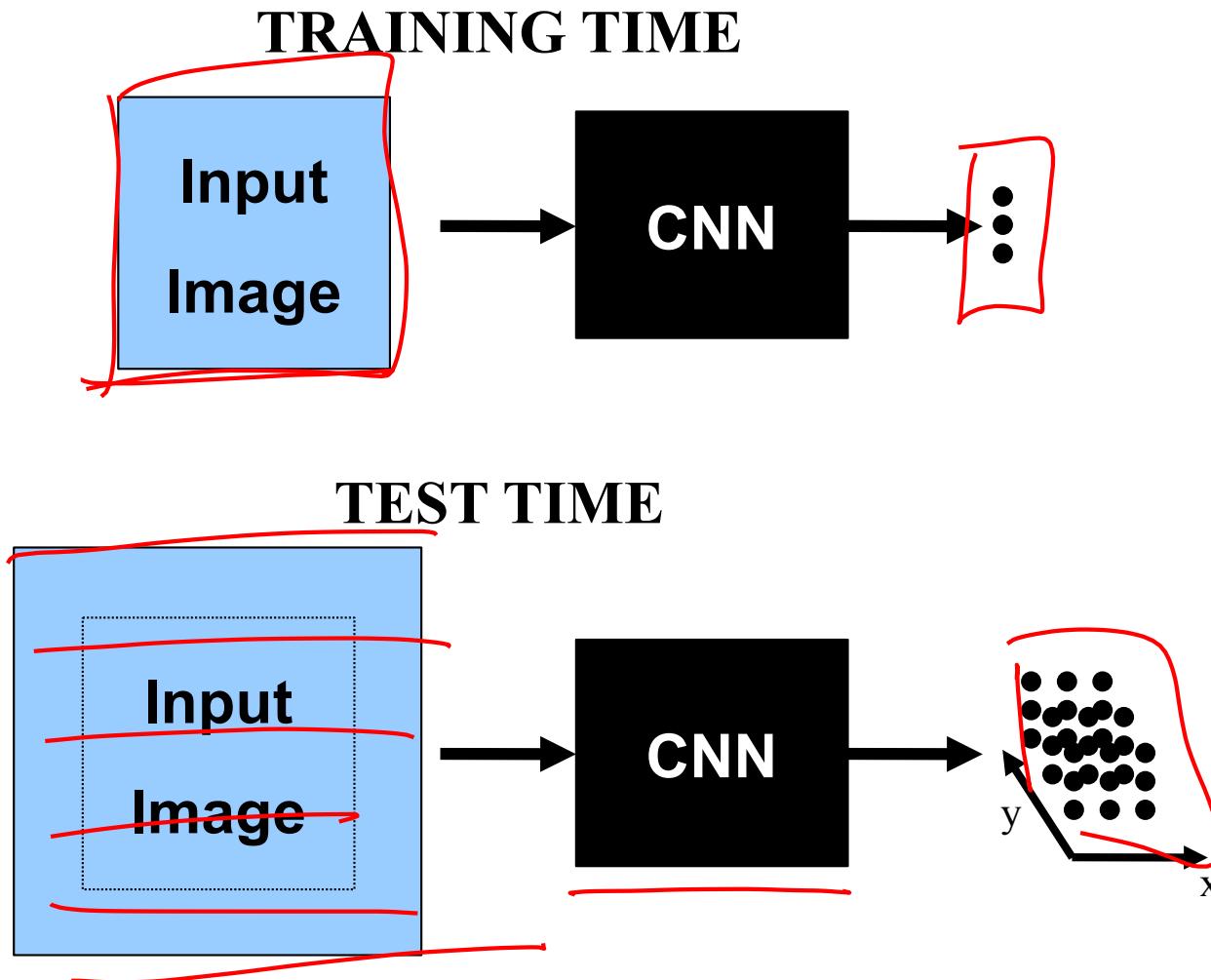


Fully conn. layer /  
Conv. layer (H kernels of size MxMxN)

K hidden units /  
1x1xK feature maps

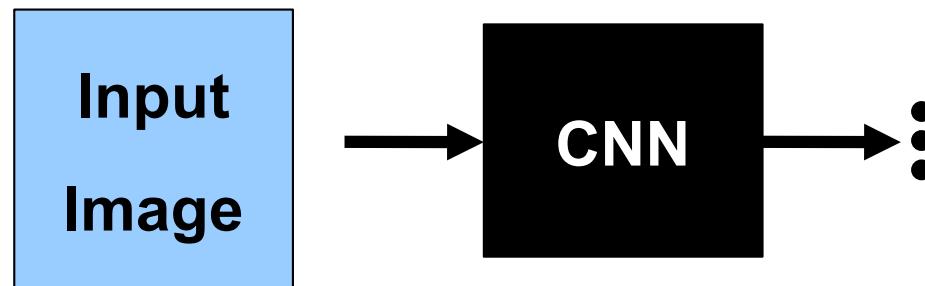
Fully conn. layer /  
Conv. layer (K kernels of size 1x1xH)

Viewing fully connected layers as convolutional layers enables efficient use of convnets on bigger images (no need to slide windows but unroll network over space as needed to re-use computation).

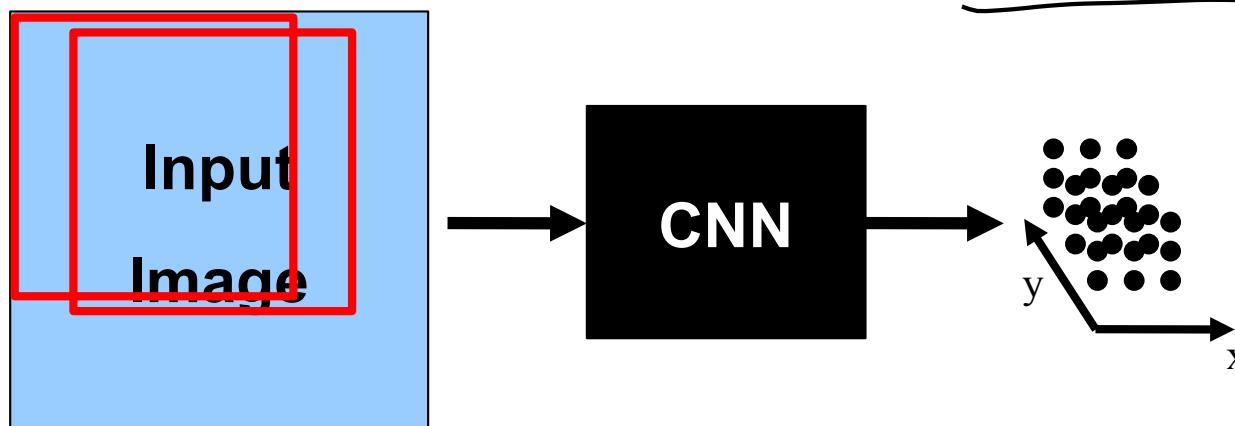


Viewing fully connected layers as convolutional layers enables efficient use of convnets on bigger images (no need to slide windows but unroll network over space as needed to re-use computation).

## TRAINING TIME



## TEST TIME



CNNs work on any image size!

Unrolling is order of magnitudes more efficient than sliding windows!

# Benefit of this thinking

- Mathematically elegant
- Efficiency
  - Can run network on arbitrary image
  - Without multiple crops

# Summary

- ConvNets stack CONV,POOL,FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Typical architectures look like

$I \times (CONV-RELU)^* N \times POOL ? \times M \times (FC-RELU)^* K \times SOFTMAX$

where  $N$  is usually up to  $\sim 5$ ,  $M$  is large,  $0 \leq K \leq 2$ .

- but recent advances such as ResNet/GoogLeNet challenge this paradigm

# Plan for Today

- Convolutional Neural Networks
  - Toeplitz matrices and convolutions = matrix-mult
  - Dilated/a-trous convolutions
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# Toeplitz Matrix

- Diagonals are constants

$$\begin{bmatrix} a & b & c & d & e \\ f & a & b & c & d \\ g & f & a & b & c \\ h & g & f & a & b \\ i & h & g & f & a \end{bmatrix}.$$

- $A_{ij} = a_{i-j}$

$$A = \begin{bmatrix} a_0 & a_{-1} & a_{-2} & \dots & \dots & a_{-n+1} \\ a_1 & a_0 & a_{-1} & \ddots & & \vdots \\ a_2 & a_1 & \ddots & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & a_{-1} & a_{-2} \\ \vdots & & \ddots & a_1 & a_0 & a_{-1} \\ a_{n-1} & \dots & \dots & a_2 & a_1 & a_0 \end{bmatrix}$$

# Why do we care?

- (Discrete) Convolution = Matrix Multiplication
  - with Toeplitz Matrices

$$y = w * x$$

Diagram illustrating the convolution operation  $y = w * x$  using a Toeplitz matrix representation of the kernel  $w$ .

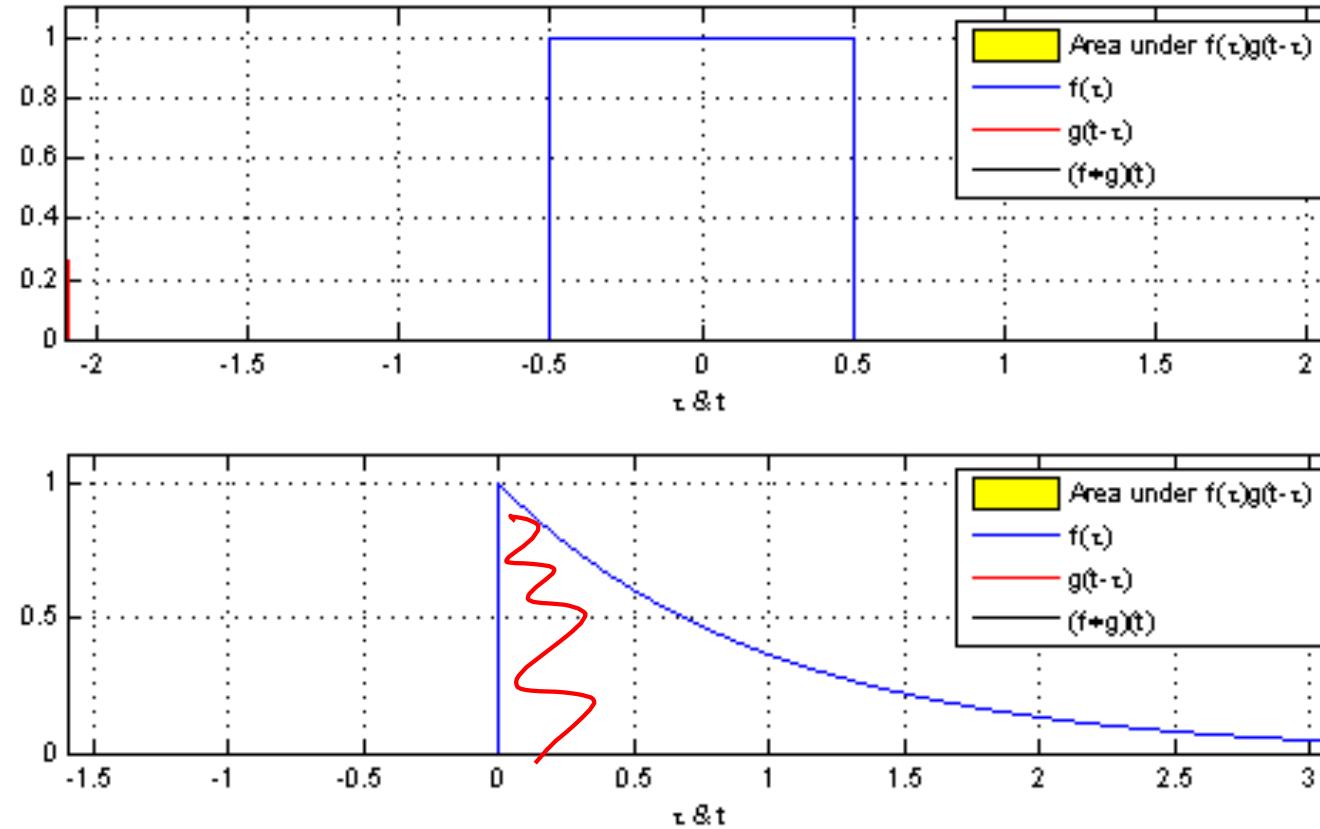
The kernel  $w$  is represented as a Toeplitz matrix:

$$\begin{bmatrix} w_k & 0 & \cdots & 0 & 0 \\ w_{k-1} & w_k & \cdots & 0 & 0 \\ w_{k-2} & w_{k-1} & w_k & \ddots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ w_1 & w_{k-2} & \cdots & w_k & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & w_1 & \cdots & w_{k-1} & w_k \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \vdots & w_1 & w_2 \\ 0 & 0 & \vdots & 0 & w_1 \end{bmatrix}$$

The input  $x$  is represented as a vector:

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_n \end{bmatrix}$$

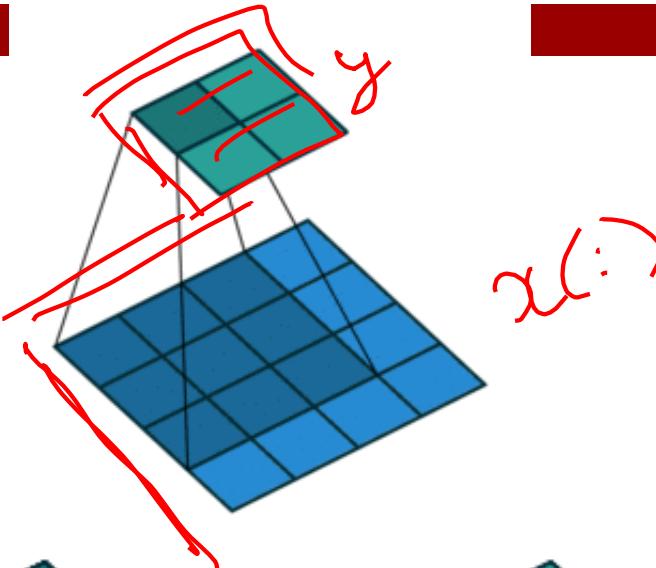
Red annotations highlight specific elements of the kernel and input vectors, showing how they are multiplied to produce the output  $y$ . The output  $y$  is shown as a jagged red line.



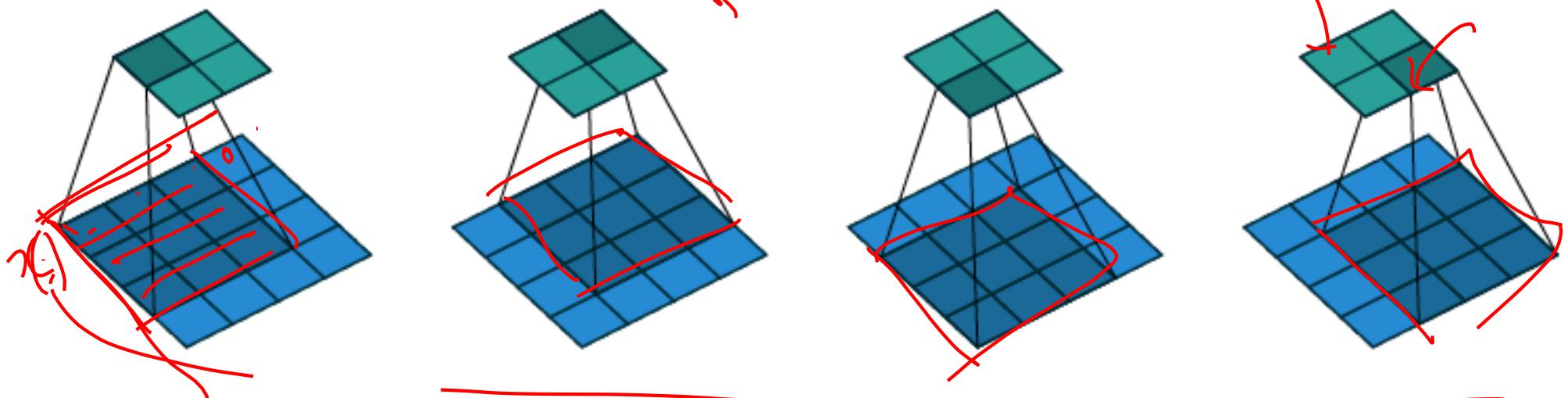
"Convolution of box signal with itself2" by Convolution\_of\_box\_signal\_with\_itself.gif: Brian Ambergderivative work: Tinos (talk)  
 - Convolution\_of\_box\_signal\_with\_itself.gif. Licensed under CC BY-SA 3.0 via Commons -

[https://commons.wikimedia.org/wiki/File:Convolution\\_of\\_box\\_signal\\_with\\_itself2.gif#/media/File:Convolution\\_of\\_box\\_signal\\_with\\_itself2.gif](https://commons.wikimedia.org/wiki/File:Convolution_of_box_signal_with_itself2.gif#/media/File:Convolution_of_box_signal_with_itself2.gif)

$$y[h,c] := \sum_{j=0}^3 x[j] w[j,c]$$



$w^T$



$A_k$

$w_{0,0}$	$w_{0,1}$	$w_{0,2}$	0	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$	0	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$	0	0	0	0	0
0	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$	0	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$	0	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$	0	0	0	0
0	0	0	0	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$	0	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$	0	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$	0
0	0	0	0	0	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$	0	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$	0	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$

$$y = Wx$$

(C) Dhruv Batra

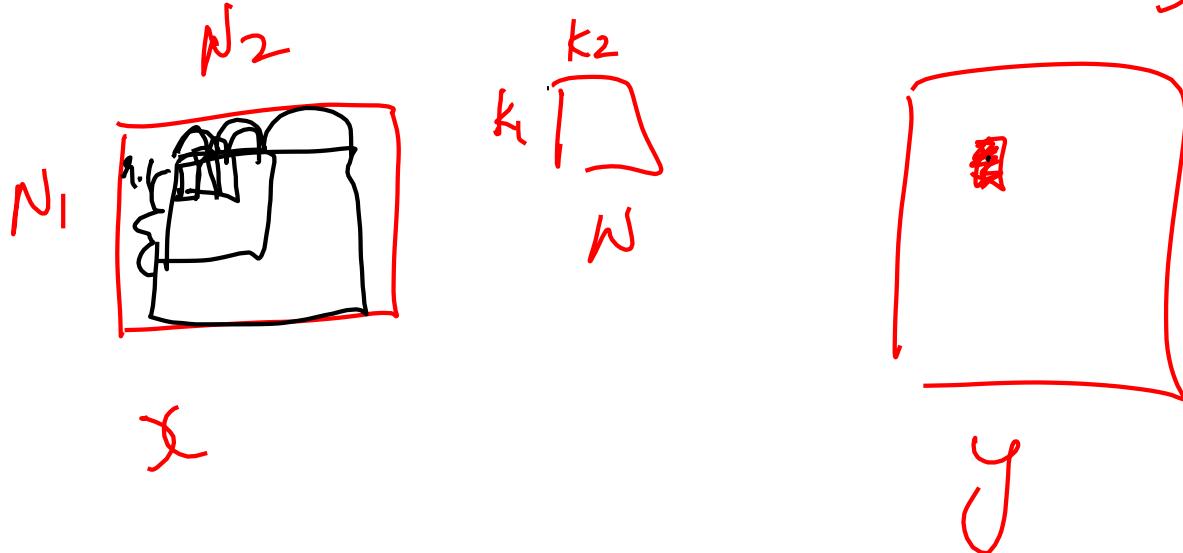
$4 \times 6$   
33

# Plan for Today

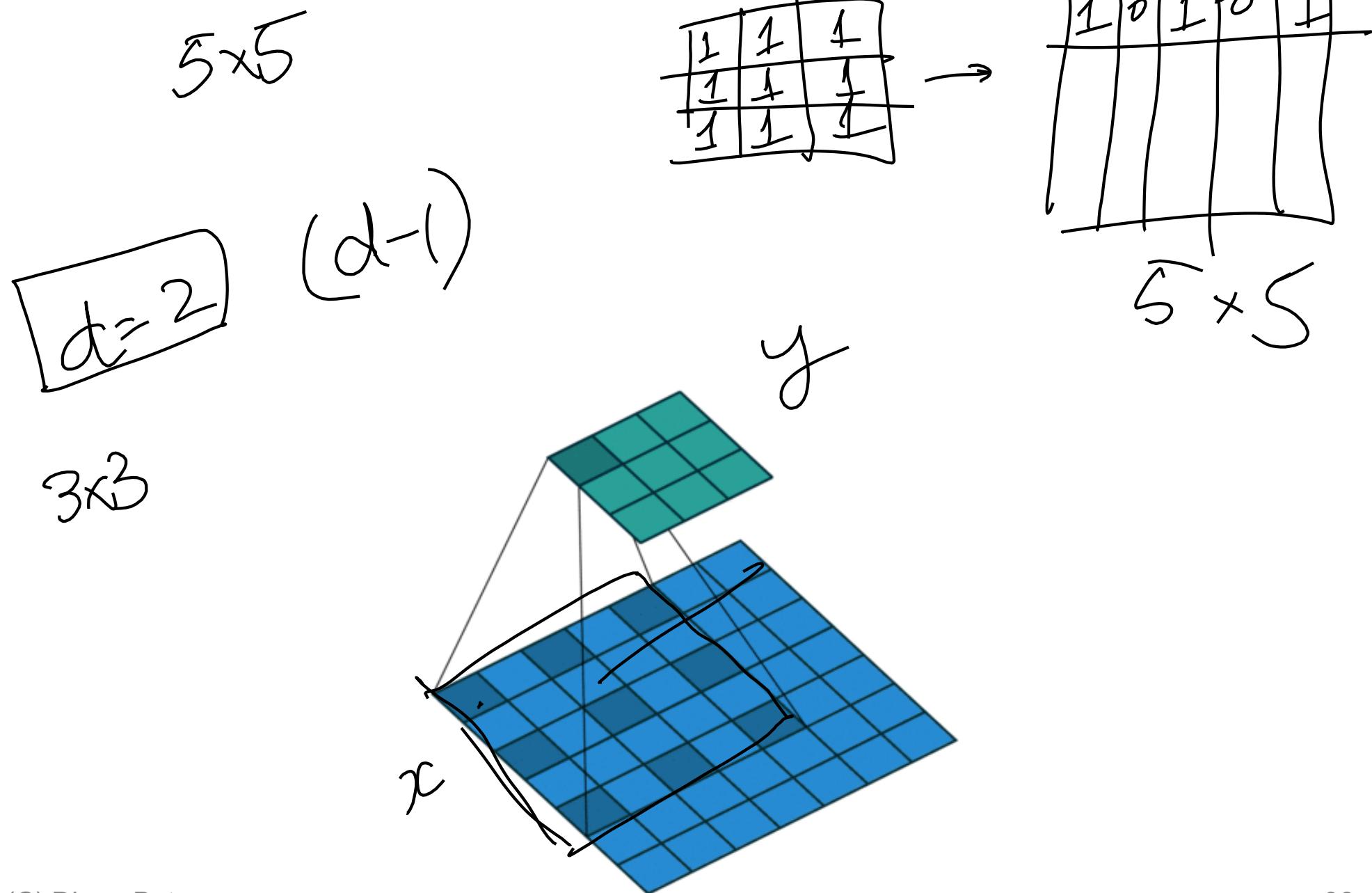
- Convolutional Neural Networks
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# Dilated Convolutions

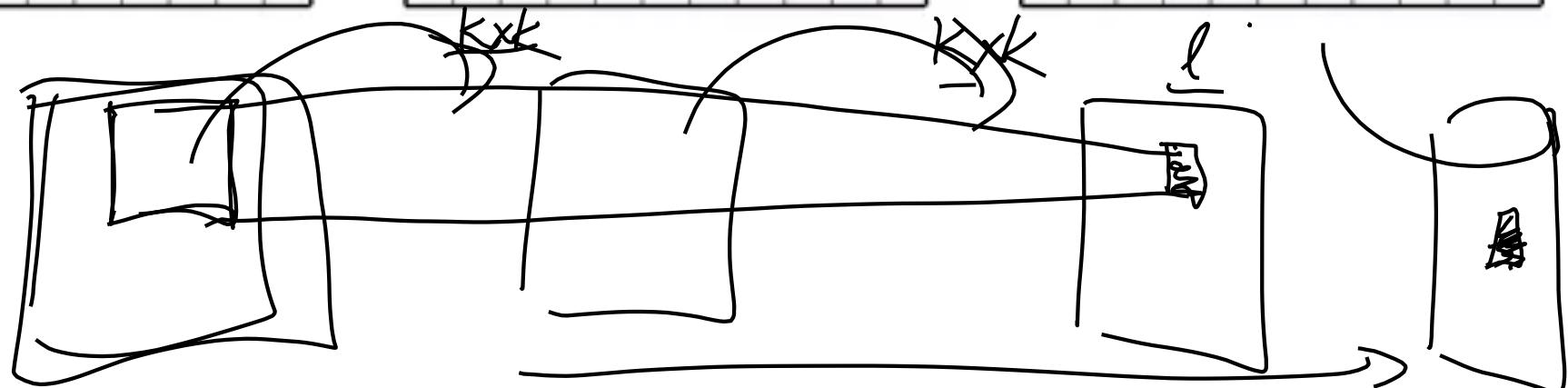
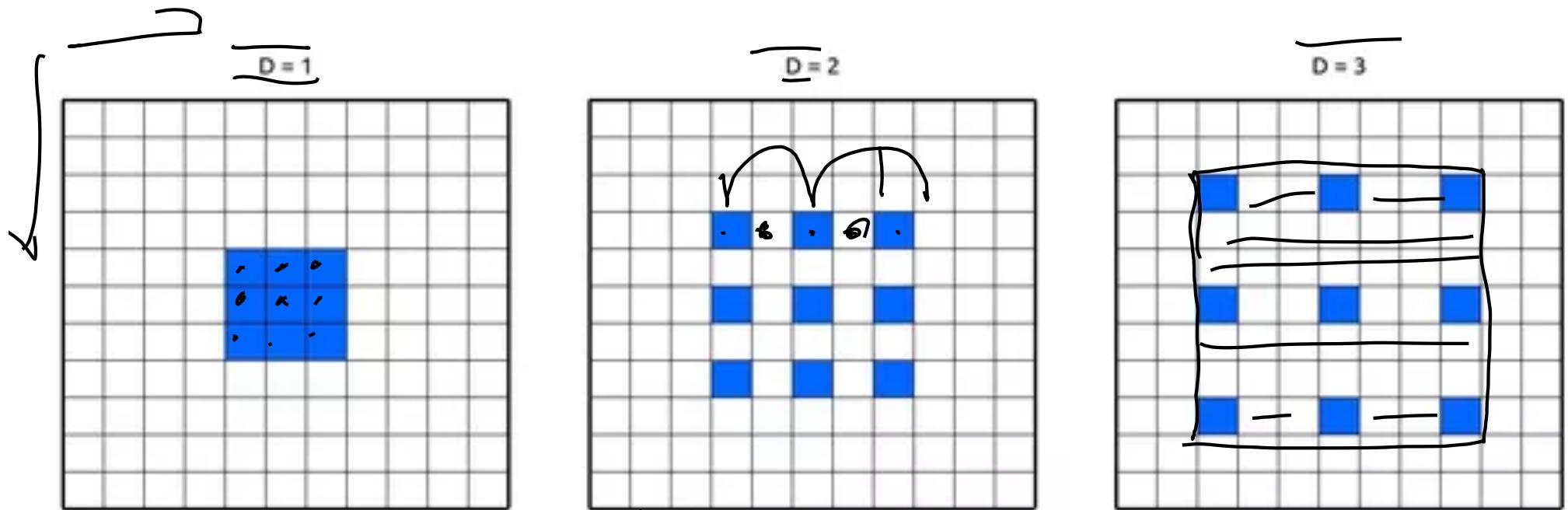
$$y[r, c] = \sum_{a=0}^{k_1} \sum_{b=0}^{k_2} x[r - \frac{a}{s_a}, c - \frac{b}{s_b}] w[a, b]$$



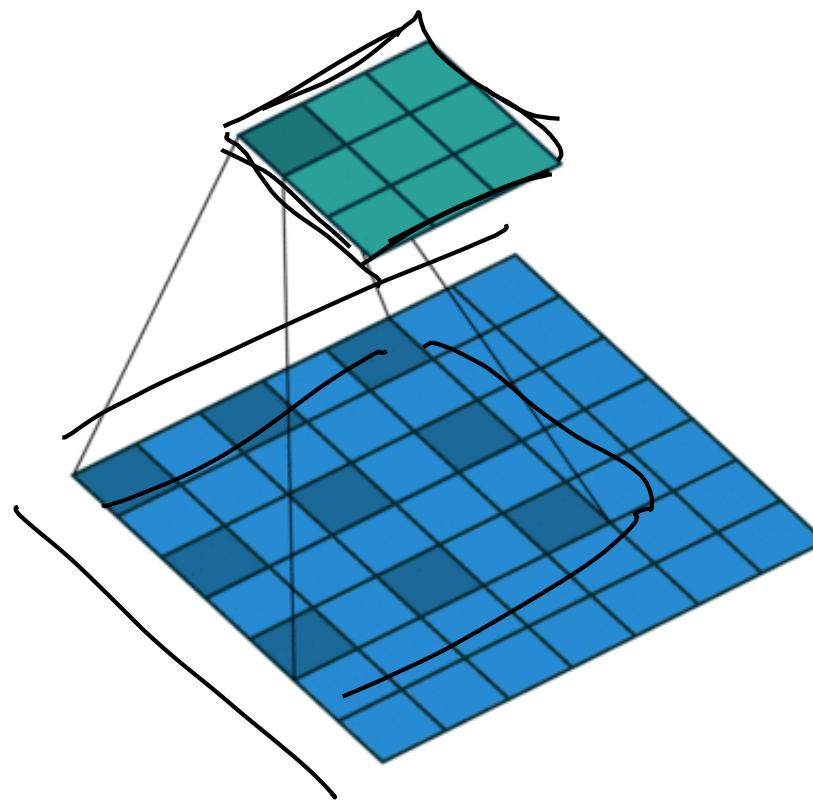
# Dilated Convolutions



$$K \rightarrow \left[ K + \underbrace{(K - (d-1))}_{\text{cancel}} \right] \times \left[ \frac{\text{---}}{3 \times 3} \right]$$



(recall:)  
$$(N - k) / \text{stride} + 1$$



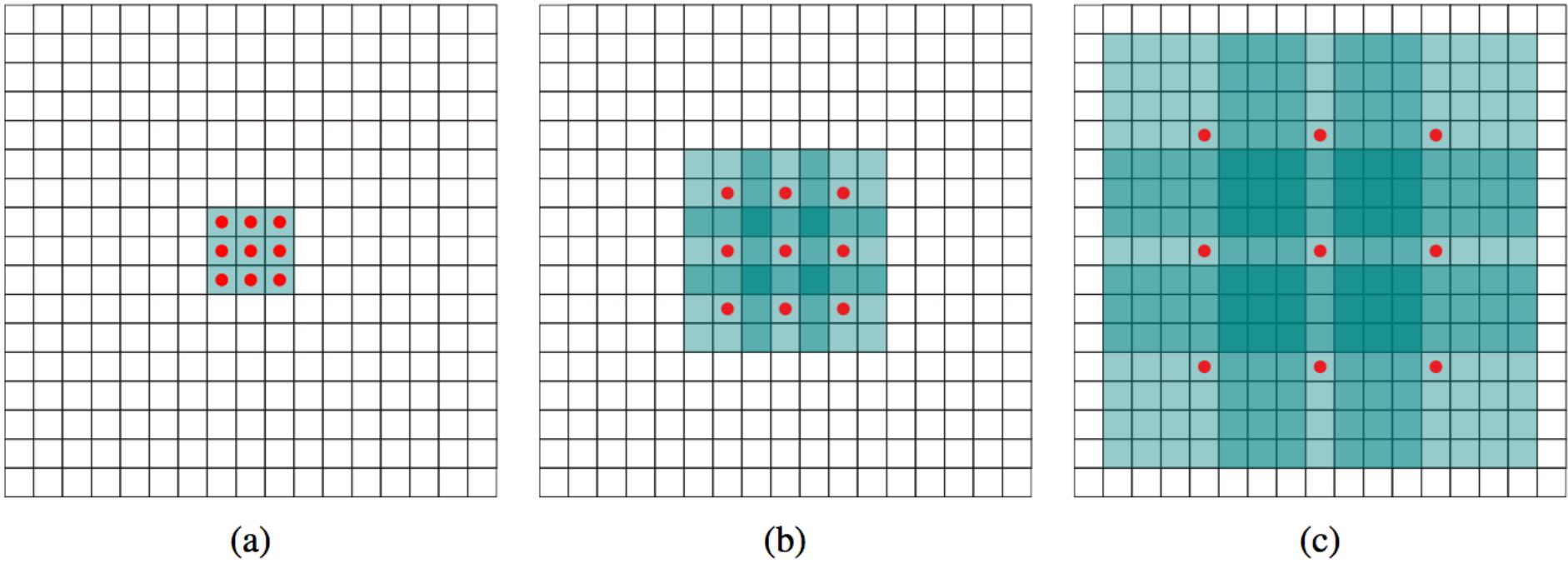
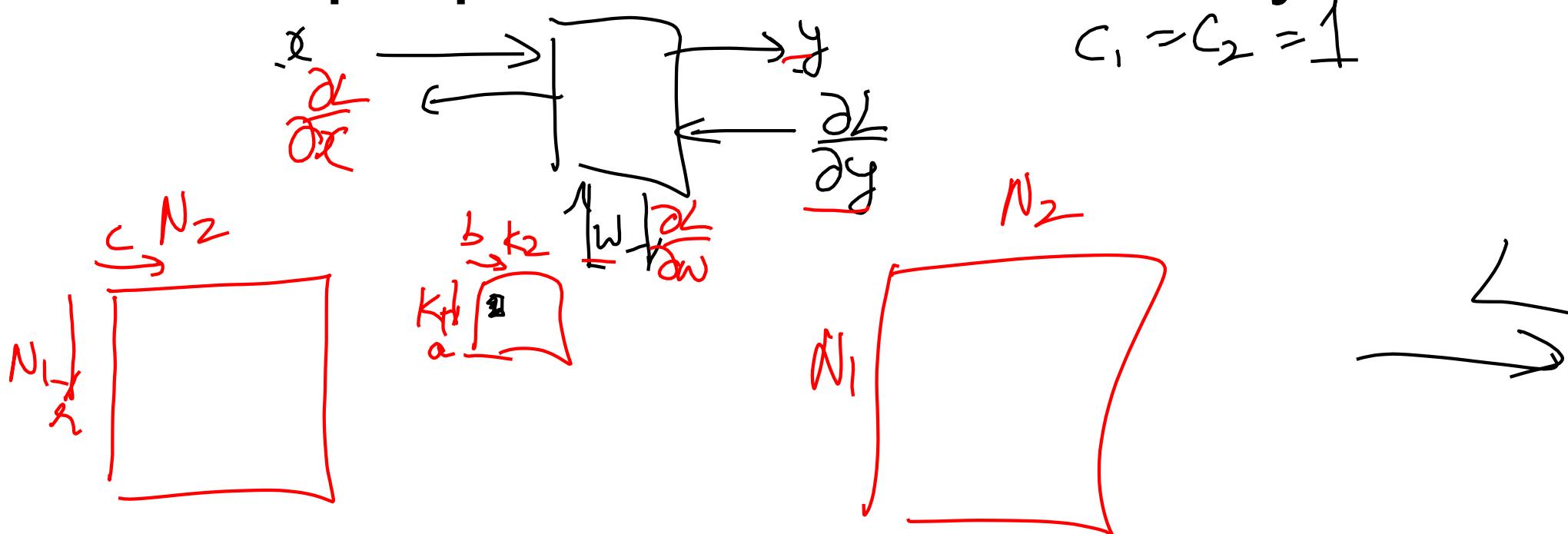


Figure 1: Systematic dilation supports exponential expansion of the receptive field without loss of resolution or coverage. (a)  $F_1$  is produced from  $F_0$  by a 1-dilated convolution; each element in  $F_1$  has a receptive field of  $3 \times 3$ . (b)  $F_2$  is produced from  $F_1$  by a 2-dilated convolution; each element in  $F_2$  has a receptive field of  $7 \times 7$ . (c)  $F_3$  is produced from  $F_2$  by a 4-dilated convolution; each element in  $F_3$  has a receptive field of  $15 \times 15$ . The number of parameters associated with each layer is identical. The receptive field grows exponentially while the number of parameters grows linearly.

# Plan for Today

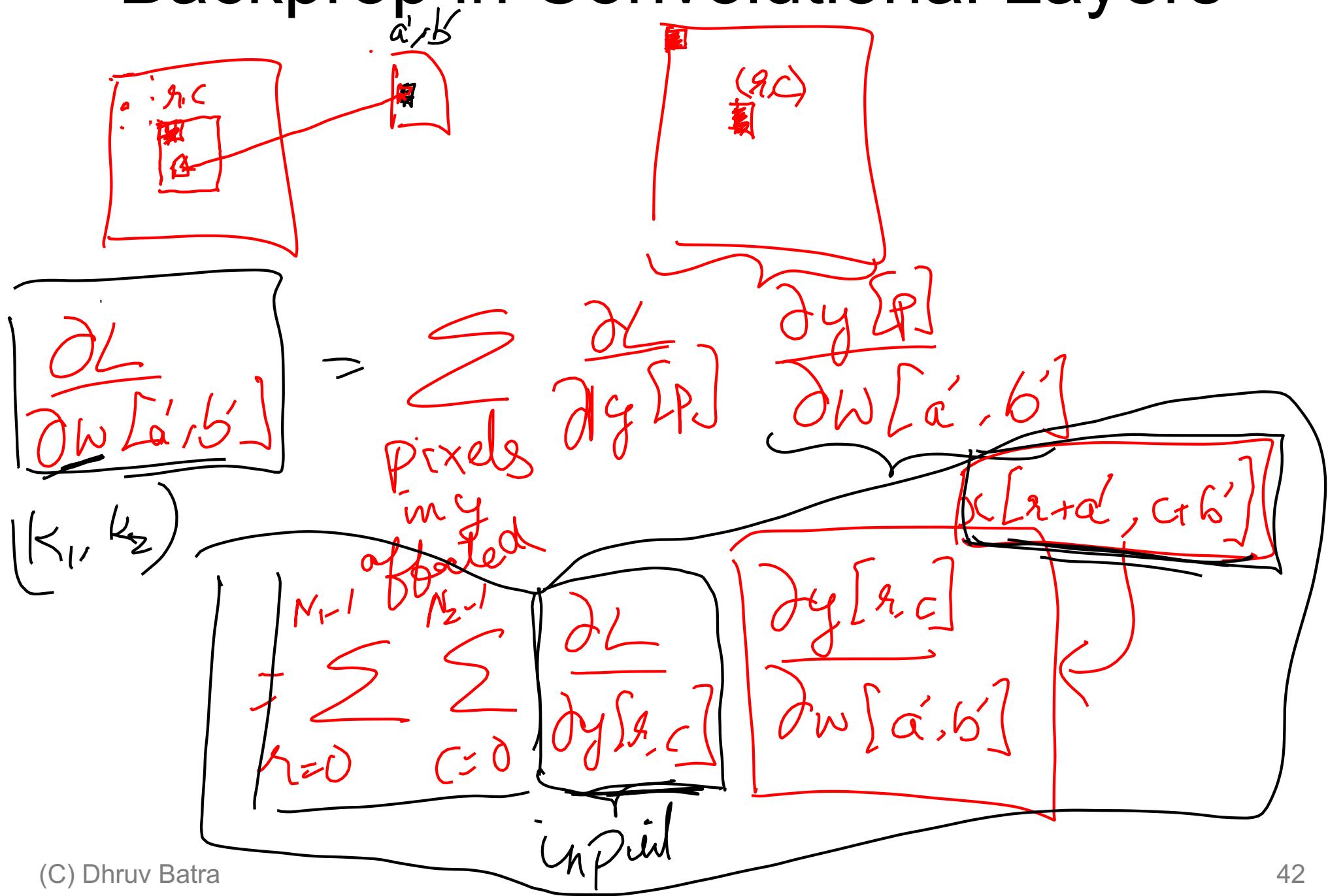
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# Backprop in Convolutional Layers

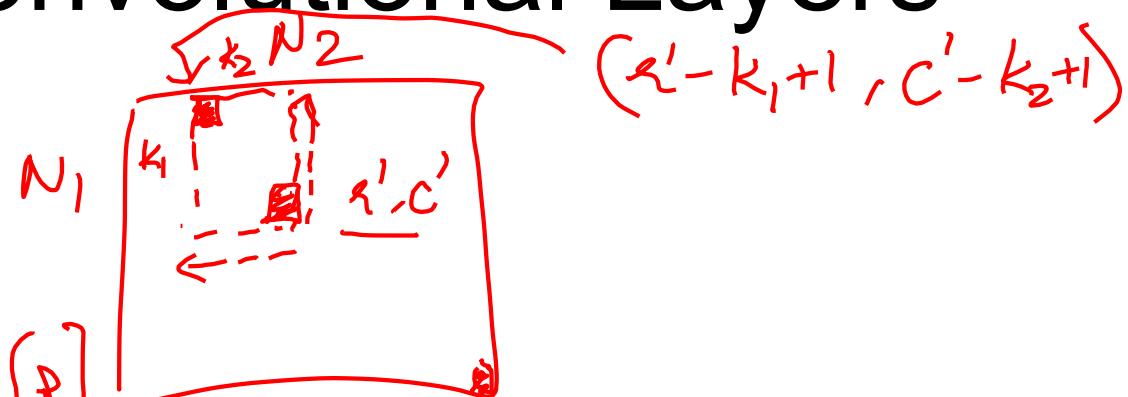
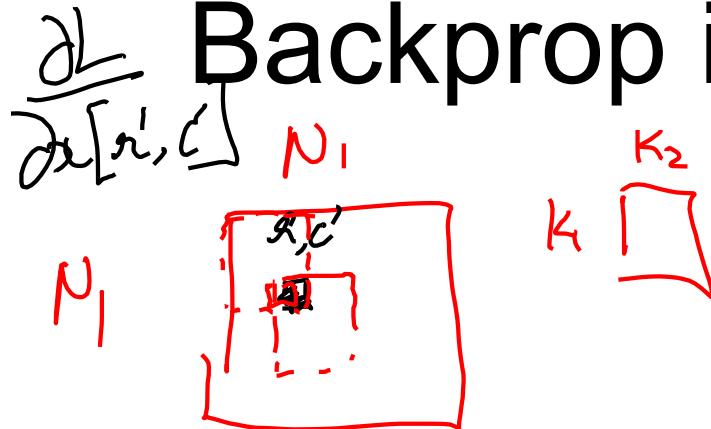


$$\frac{\partial L}{\partial w[a', b']}$$
  
$$\frac{\partial L}{\partial x[i' - c]}$$

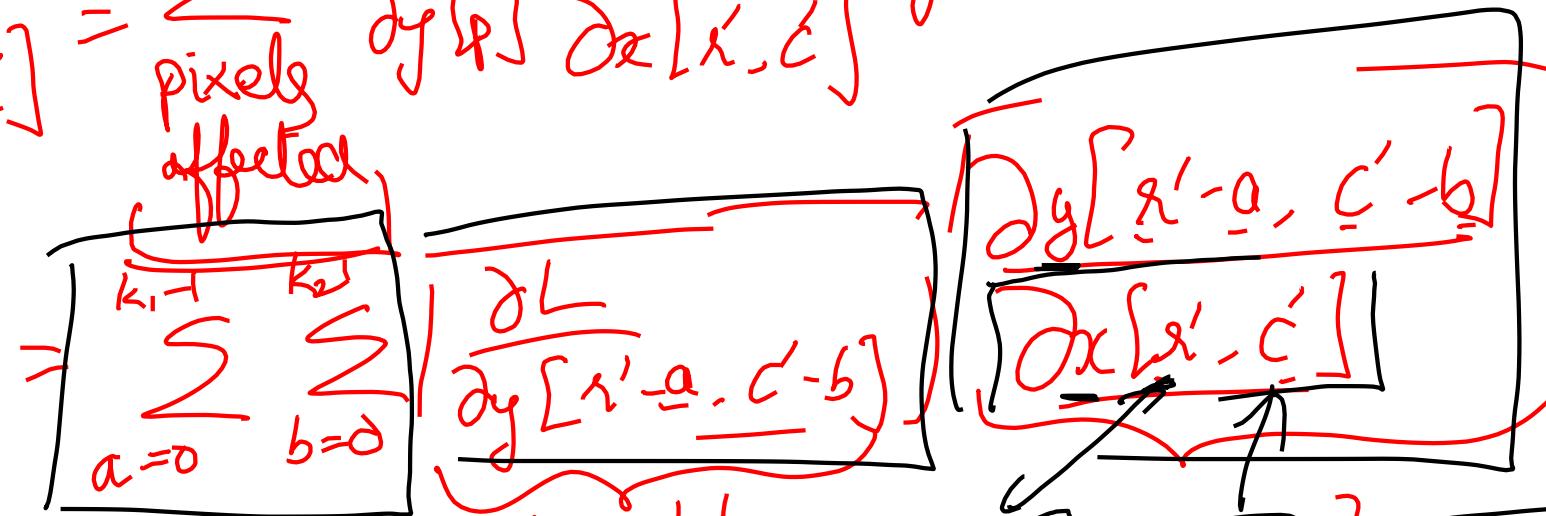
# Backprop in Convolutional Layers



# Backprop in Convolutional Layers



$$\frac{\partial L}{\partial x[r', c']} = \sum_{\text{pixels affected}} \frac{\partial L}{\partial g[f]} \frac{\partial g[f]}{\partial x[r', c']} \delta$$



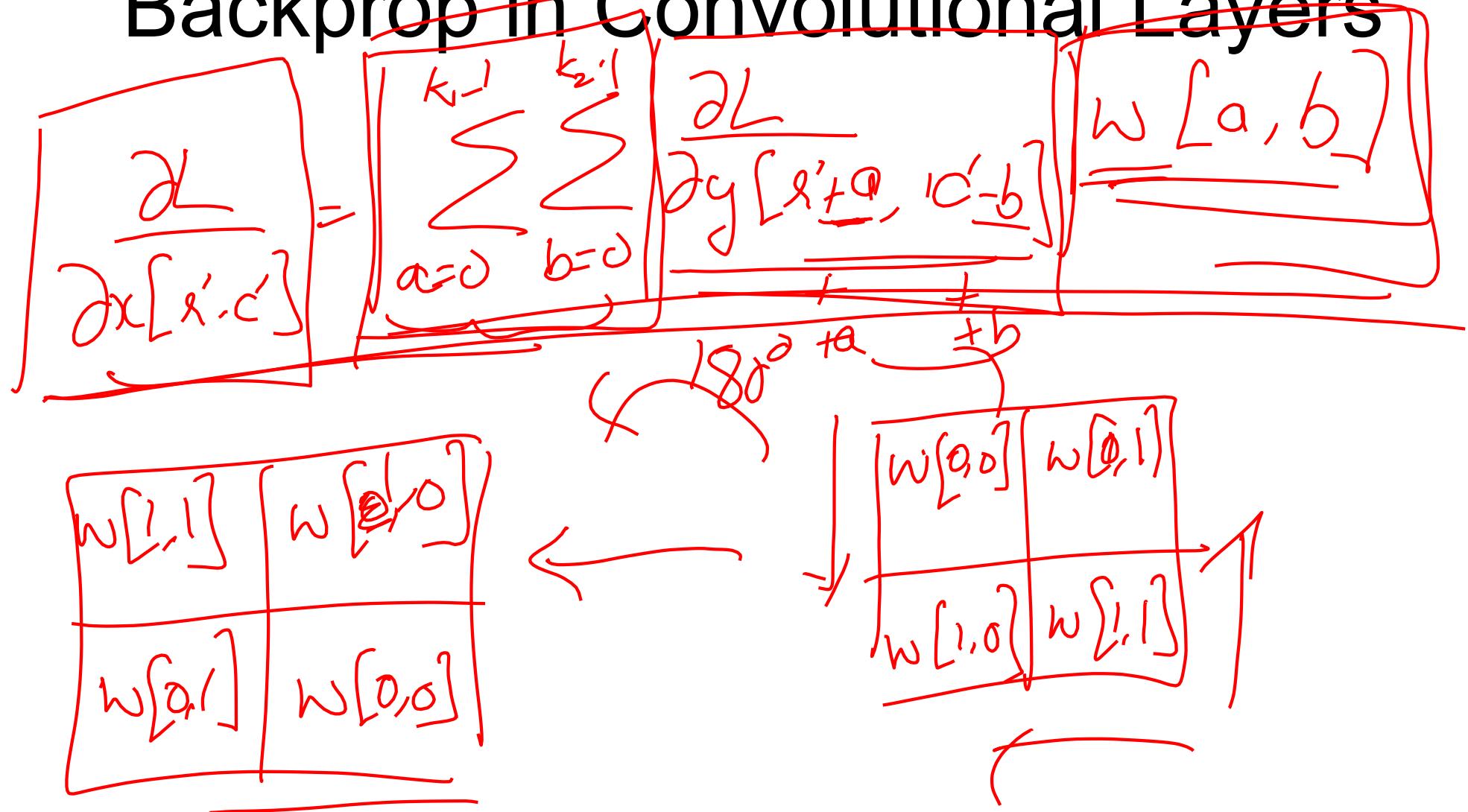
$$y[r'-a, c'-b] = \sum_{a'=0}^{K_1} \sum_{b'=0}^{K_2} x[r' + a', c' + b'] w[a', b']$$

$y[r'-a, c'-b]$

$x[r' + a', c' + b']$

$w[a', b']$

# Backprop in Convolutional Layers



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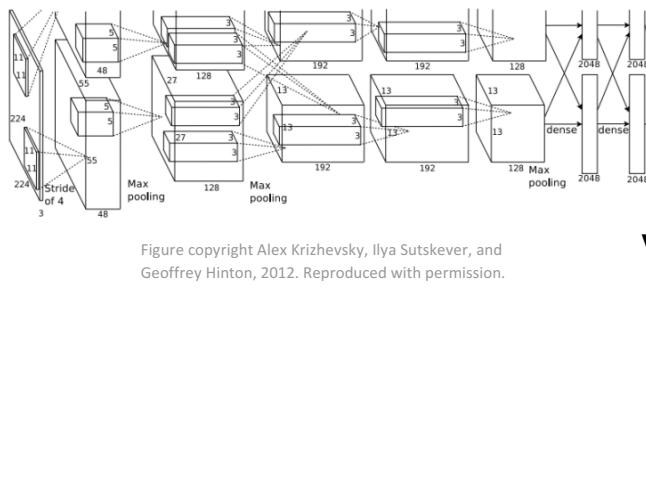
# Transposed Convolutions

- Deconvolution (bad)
- Upconvolution
- Fractionally strided convolution
- Backward strided convolution

# So far: Image Classification



This image is CC0 public domain



**Vector:**  
4096

**Fully-Connected:**  
4096 to 1000

**Class Scores**  
Cat: 0.9  
Dog: 0.05  
Car: 0.01  
...

# Other Computer Vision Tasks

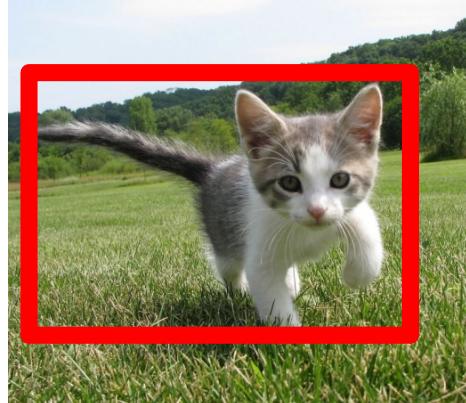
## Semantic Segmentation



GRASS, CAT,  
TREE, SKY

No objects, just pixels

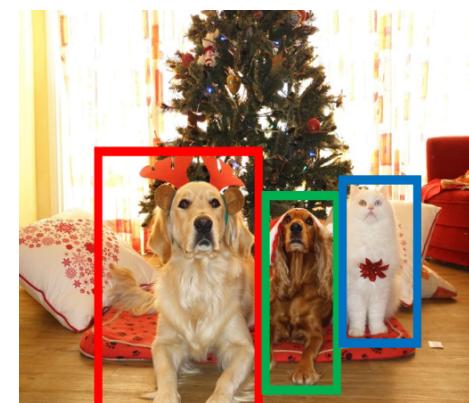
## Classification + Localization



CAT

Single Object

## Object Detection



DOG, DOG, CAT

Multiple Object

## Instance Segmentation



DOG, DOG, CAT

[This image](#) is CC0 public domain

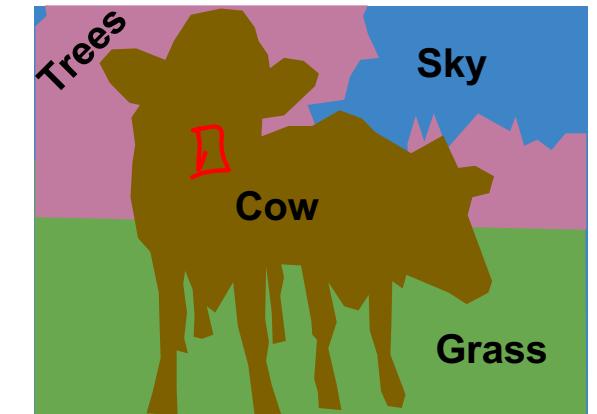
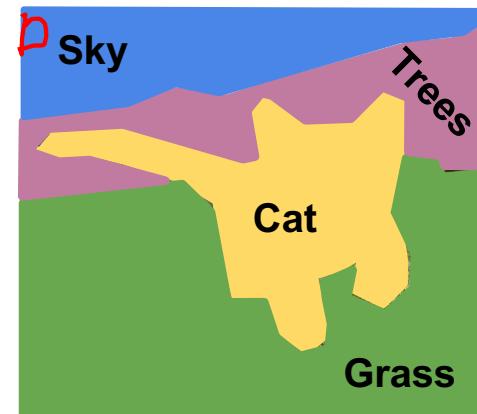
# Semantic Segmentation

Label each pixel in the image with a category label

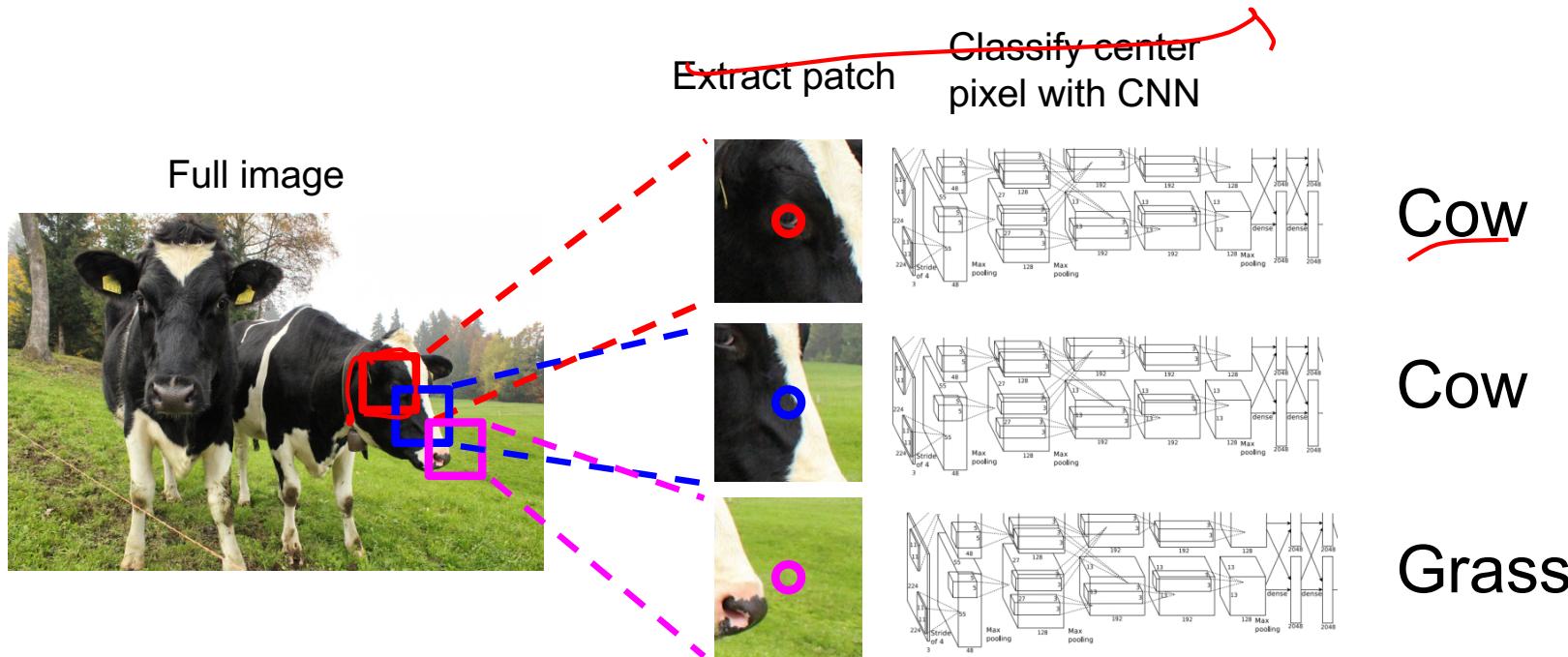
Don't differentiate instances, only care about pixels



[This image is CC0 public domain](#)



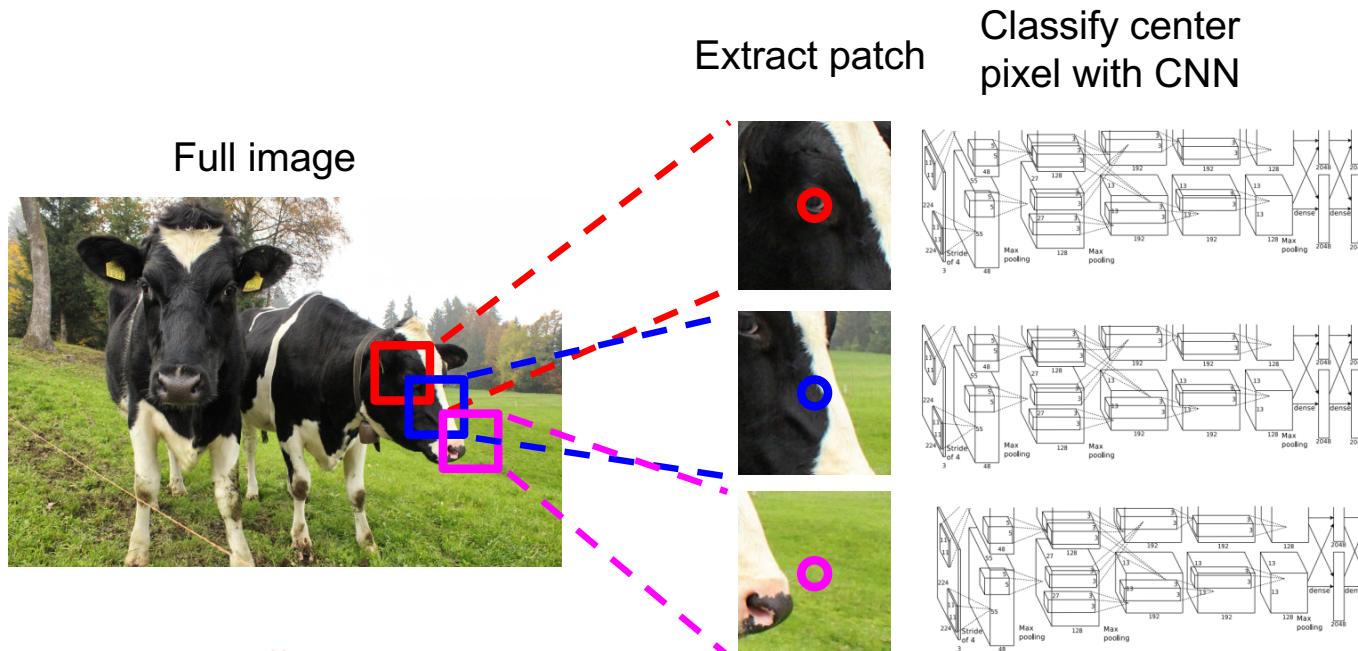
# Semantic Segmentation Idea: Sliding Window



Farabet et al, "Learning Hierarchical Features for Scene Labeling," TPAMI 2013

Pinheiro and Collobert, "Recurrent Convolutional Neural Networks for Scene Labeling", ICML 2014

# Semantic Segmentation Idea: Sliding Window

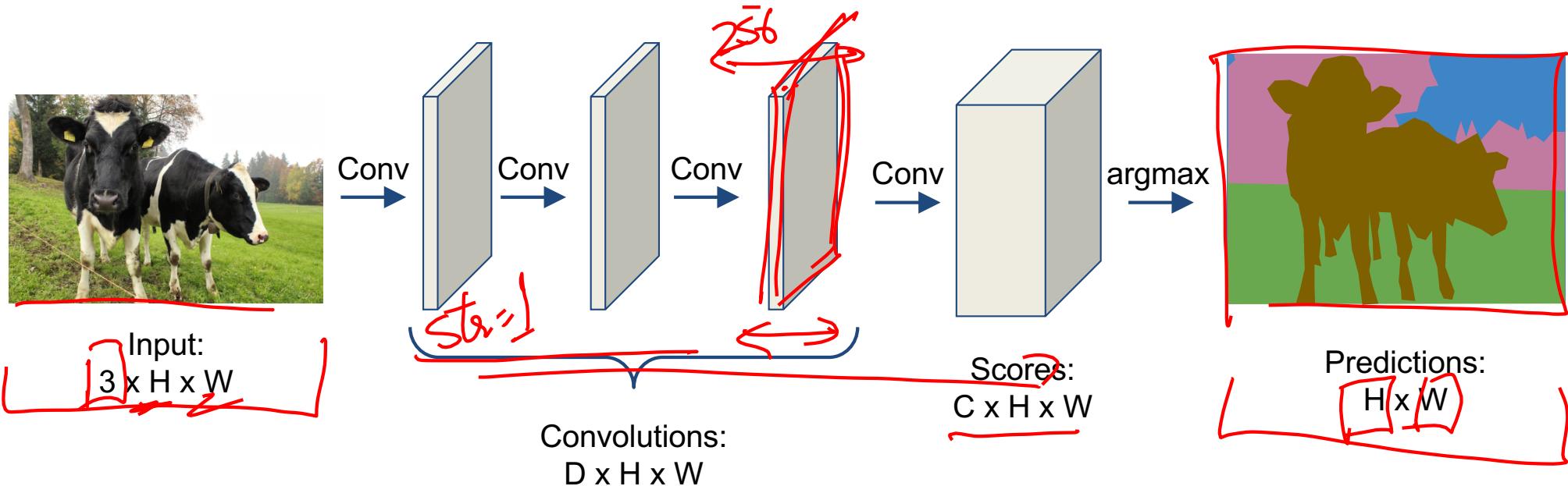


Problem: Very inefficient! Not reusing shared features between overlapping patches

Farabet et al, "Learning Hierarchical Features for Scene Labeling," TPAMI 2013  
Pinheiro and Collobert, "Recurrent Convolutional Neural Networks for Scene Labeling", ICML 2014

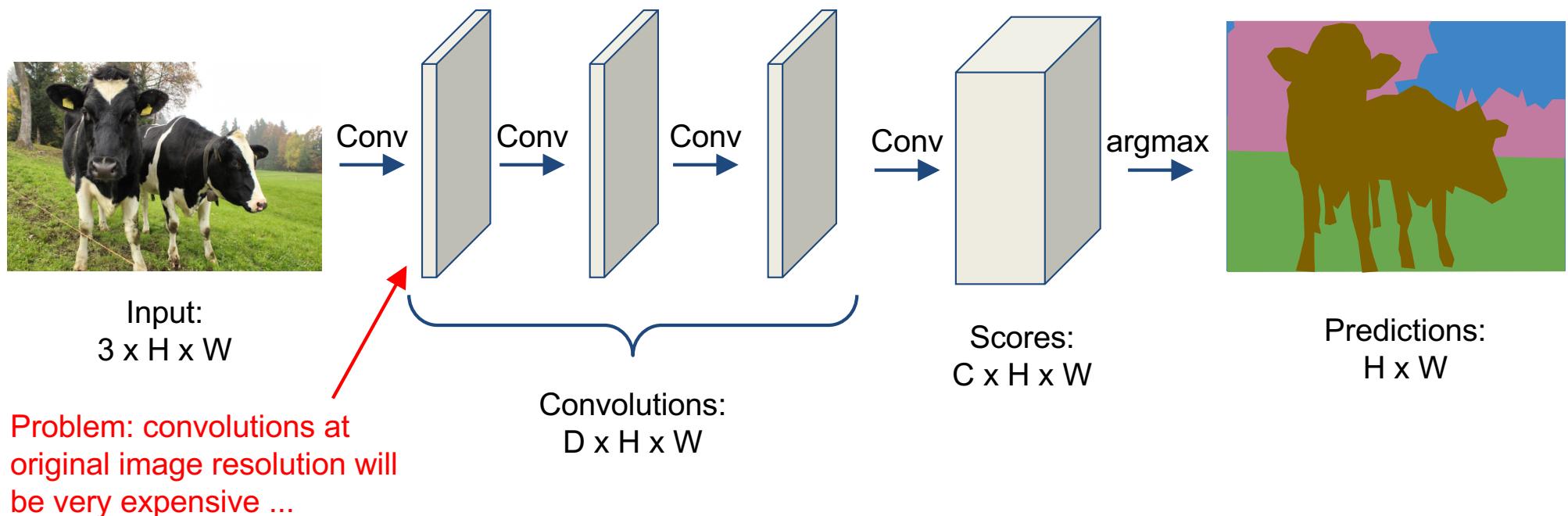
# Semantic Segmentation Idea: Fully Convolutional

Design a network as a bunch of convolutional layers  
to make predictions for pixels all at once!



# Semantic Segmentation Idea: Fully Convolutional

Design a network as a bunch of convolutional layers  
to make predictions for pixels all at once!

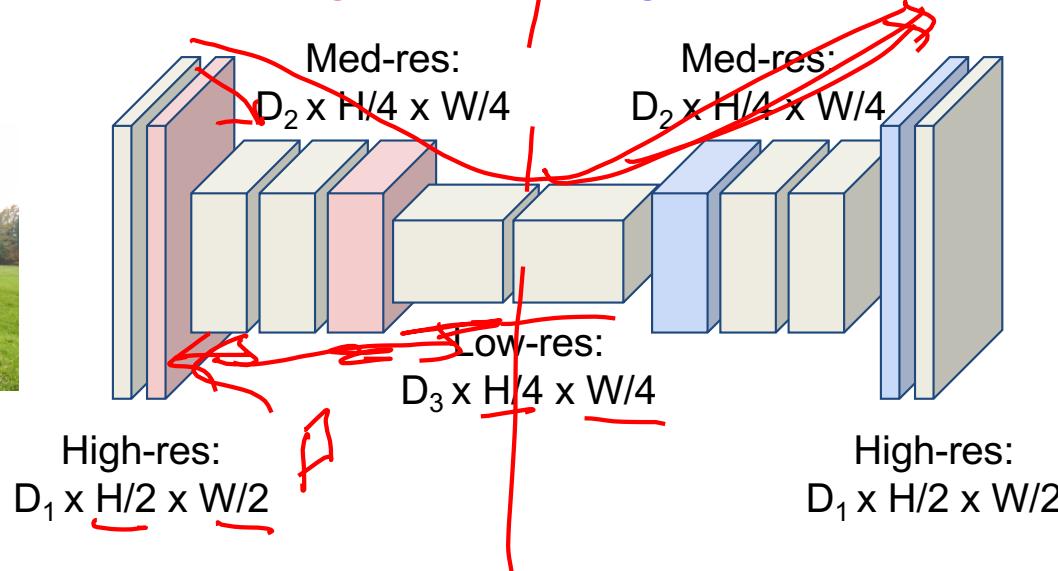


# Semantic Segmentation Idea: Fully Convolutional

Design network as a bunch of convolutional layers, with **downsampling** and **upsampling** inside the network!



Input:  
 $3 \times \underline{H} \times W$



Predictions:  
 $H \times W$

Long, Shelhamer, and Darrell, "Fully Convolutional Networks for Semantic Segmentation", CVPR 2015

Noh et al, "Learning Deconvolution Network for Semantic Segmentation", ICCV 2015

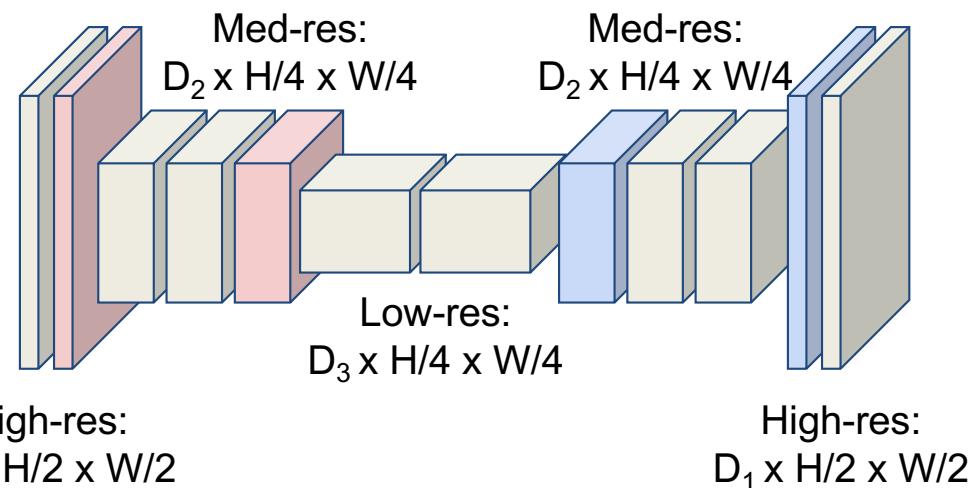
# Semantic Segmentation Idea: Fully Convolutional

**Downsampling:**  
Pooling, strided convolution



Input:  
 $3 \times H \times W$

Design network as a bunch of convolutional layers, with  
**downsampling** and **upsampling** inside the network!



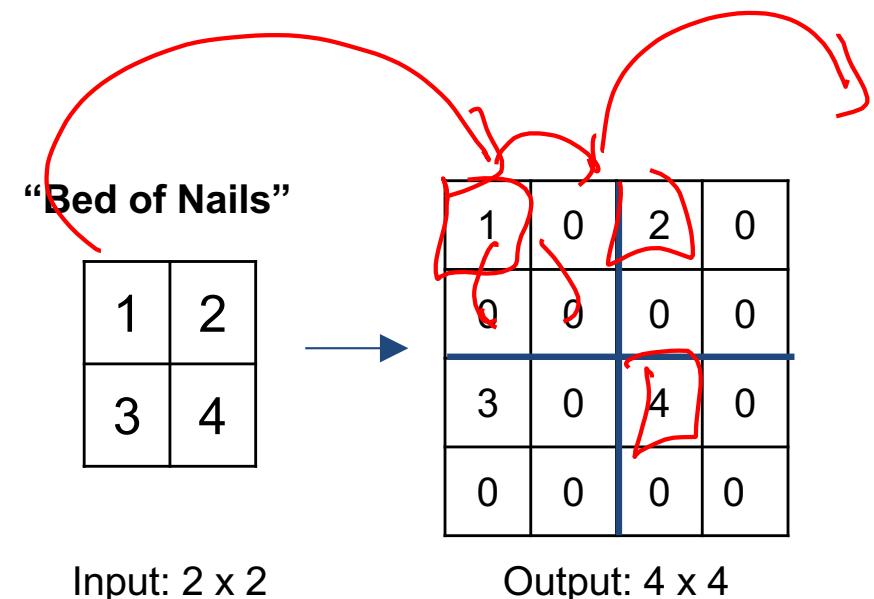
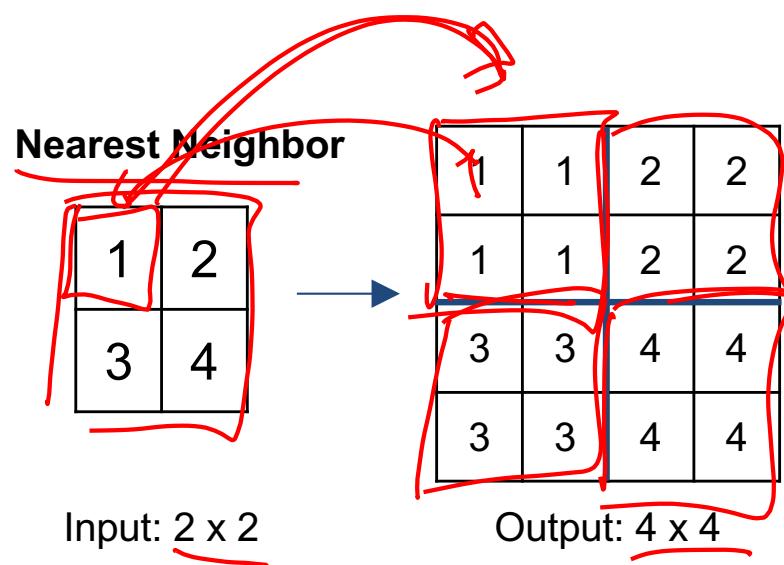
**Upsampling:**  
???



Predictions:  
 $H \times W$

Long, Shelhamer, and Darrell, "Fully Convolutional Networks for Semantic Segmentation", CVPR 2015  
Noh et al, "Learning Deconvolution Network for Semantic Segmentation", ICCV 2015

# In-Network upsampling: “Unpooling”



# In-Network upsampling: “Max Unpooling”

## Max Pooling

Remember which element was max!

A 4x4 input grid with values: Row 1: 1, 2, 6, 3; Row 2: 3, 5, 2, 1; Row 3: 1, 2, 2, 1; Row 4: 7, 3, 4, 8. A red box highlights the maximum value '5' in the second row, second column. A blue arrow points from this box to a 2x2 output grid where '5' is highlighted. Handwritten annotations include 'argmax' above the output grid and 'Max Pooling' above the input grid.

1	2	6	3
3	5	2	1
1	2	2	1
7	3	4	8

Input: 4 x 4

A 2x2 output grid with values: Top-left: 5, Top-right: 6; Bottom-left: 7, Bottom-right: 8. Handwritten annotations include 'argmax' above the input grid and 'Max Pooling' above the output grid.

5	6
7	8

Output: 2 x 2

## Max Unpooling

Use positions from pooling layer

A 2x2 input grid with values: Top-left: 1, Top-right: 2; Bottom-left: 3, Bottom-right: 4. Handwritten annotations include 'argmax' above the input grid and 'Max Unpooling' above the output grid.

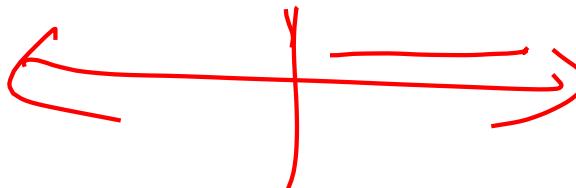
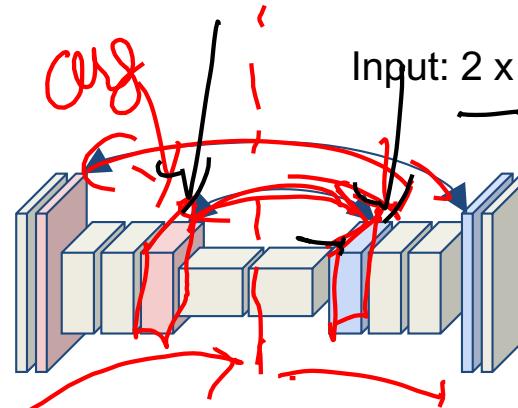
1	2
3	4

A 4x4 output grid with values: Row 1: 0, 0, 2, 0; Row 2: 0, 1, 0, 0; Row 3: 0, 0, 0, 0; Row 4: 3, 0, 0, 4. Handwritten annotations include 'argmax' above the input grid and 'Max Unpooling' above the output grid.

0	0	2	0
0	1	0	0
0	0	0	0
3	0	0	4

Output: 4 x 4

Corresponding pairs of  
downsampling and  
upsampling layers



# Learnable Upsampling: Transpose Convolution

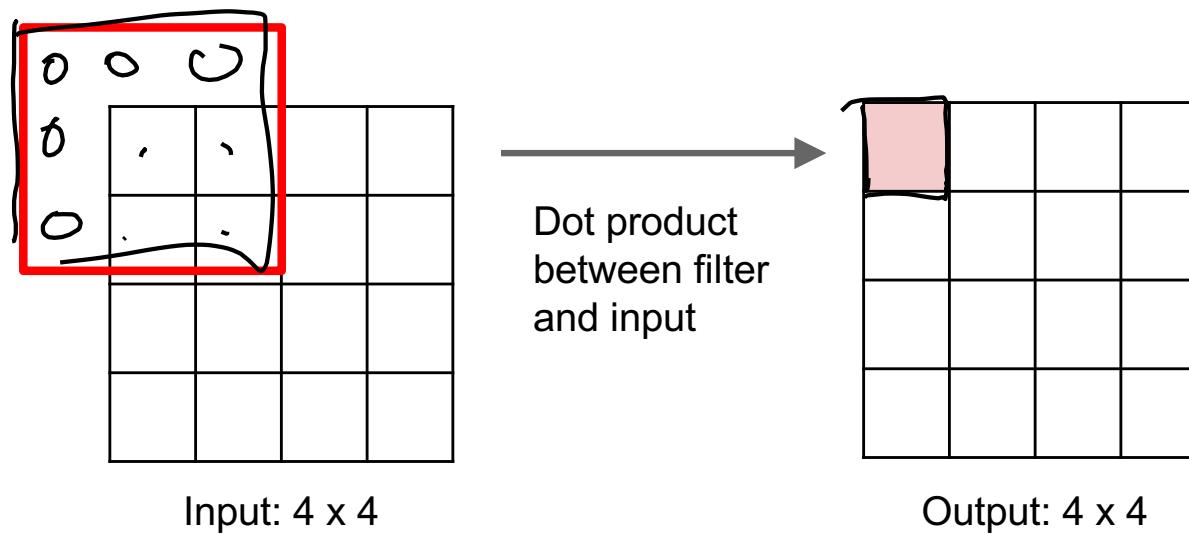
**Recall:** Typical  $3 \times 3$  convolution, stride 1 pad 1


Input:  $4 \times 4$


Output:  $4 \times 4$

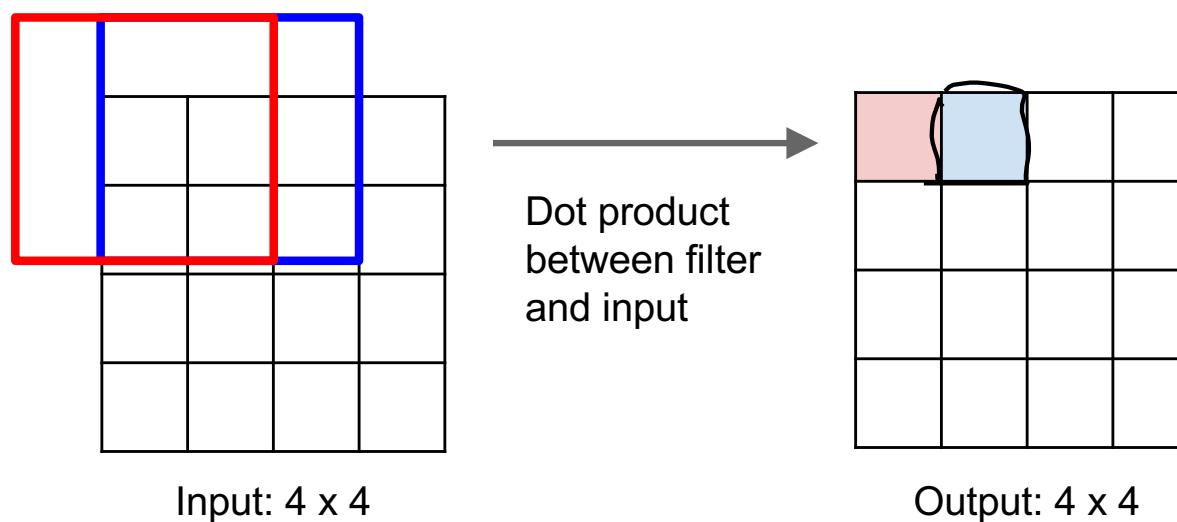
# Learnable Upsampling: Transpose Convolution

**Recall:** Normal  $3 \times 3$  convolution, stride 1 pad 1



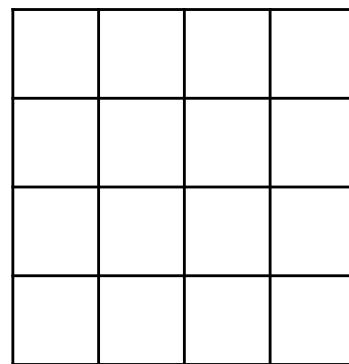
# Learnable Upsampling: Transpose Convolution

**Recall:** Normal 3 x 3 convolution, stride 1 pad 1

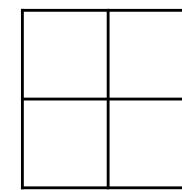


# Learnable Upsampling: Transpose Convolution

**Recall:** Normal  $3 \times 3$  convolution, stride 2 pad 1



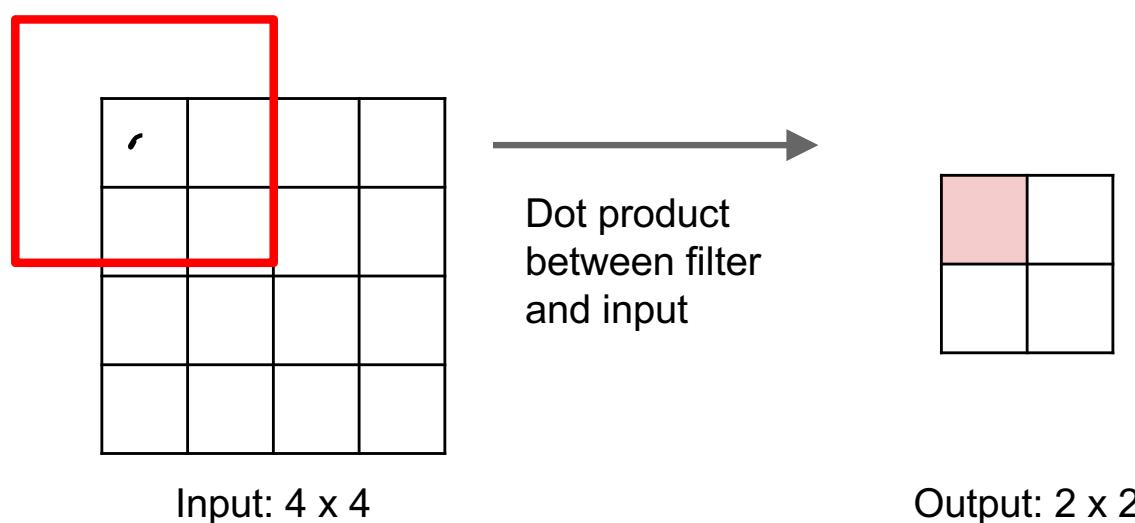
Input:  $4 \times 4$



Output:  $2 \times 2$

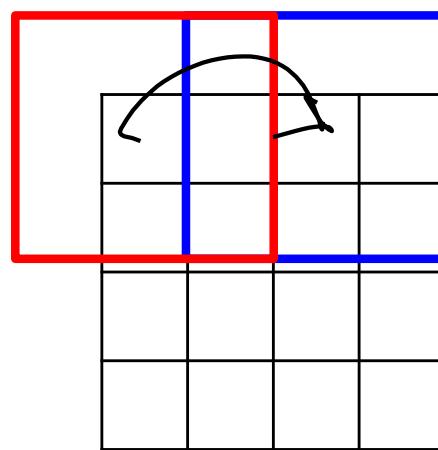
# Learnable Upsampling: Transpose Convolution

**Recall:** Normal  $3 \times 3$  convolution, stride 2 pad 1

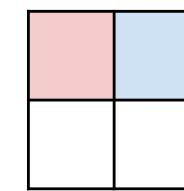


# Learnable Upsampling: Transpose Convolution

**Recall:** Normal  $3 \times 3$  convolution, stride 2 pad 1



Dot product  
between filter  
and input



Filter moves 2 pixels in  
the input for every one  
pixel in the output

Stride gives ratio between  
movement in input and  
output

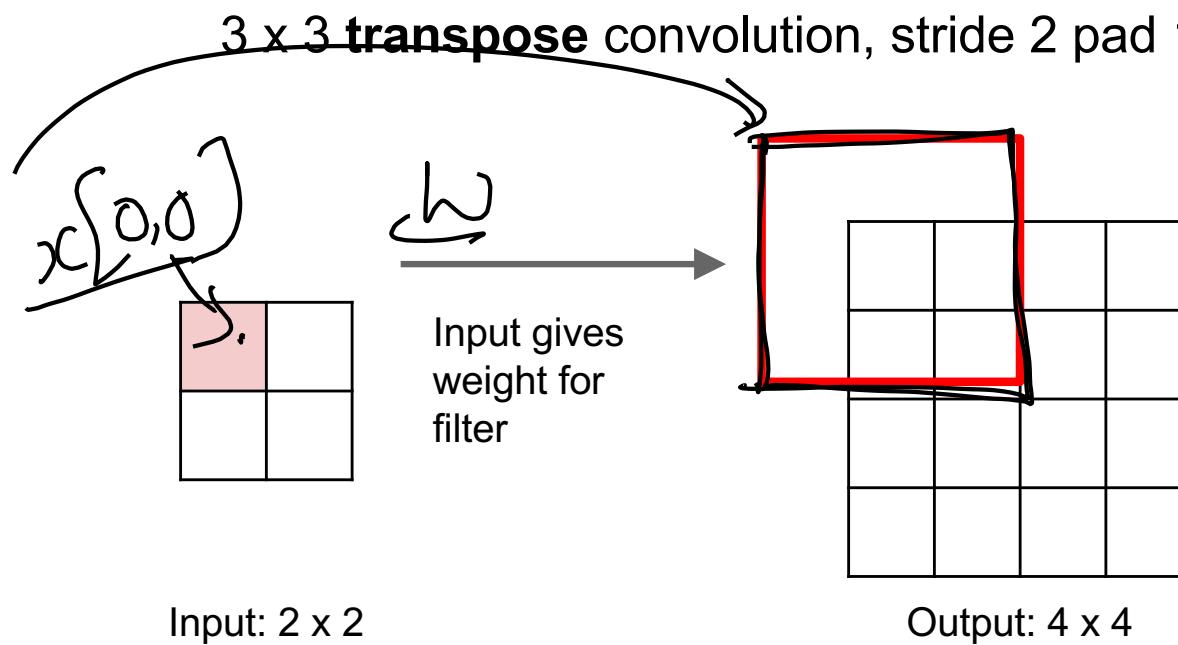
# Learnable Upsampling: Transpose Convolution

$3 \times 3$  **transpose** convolution, stride 2 pad 1

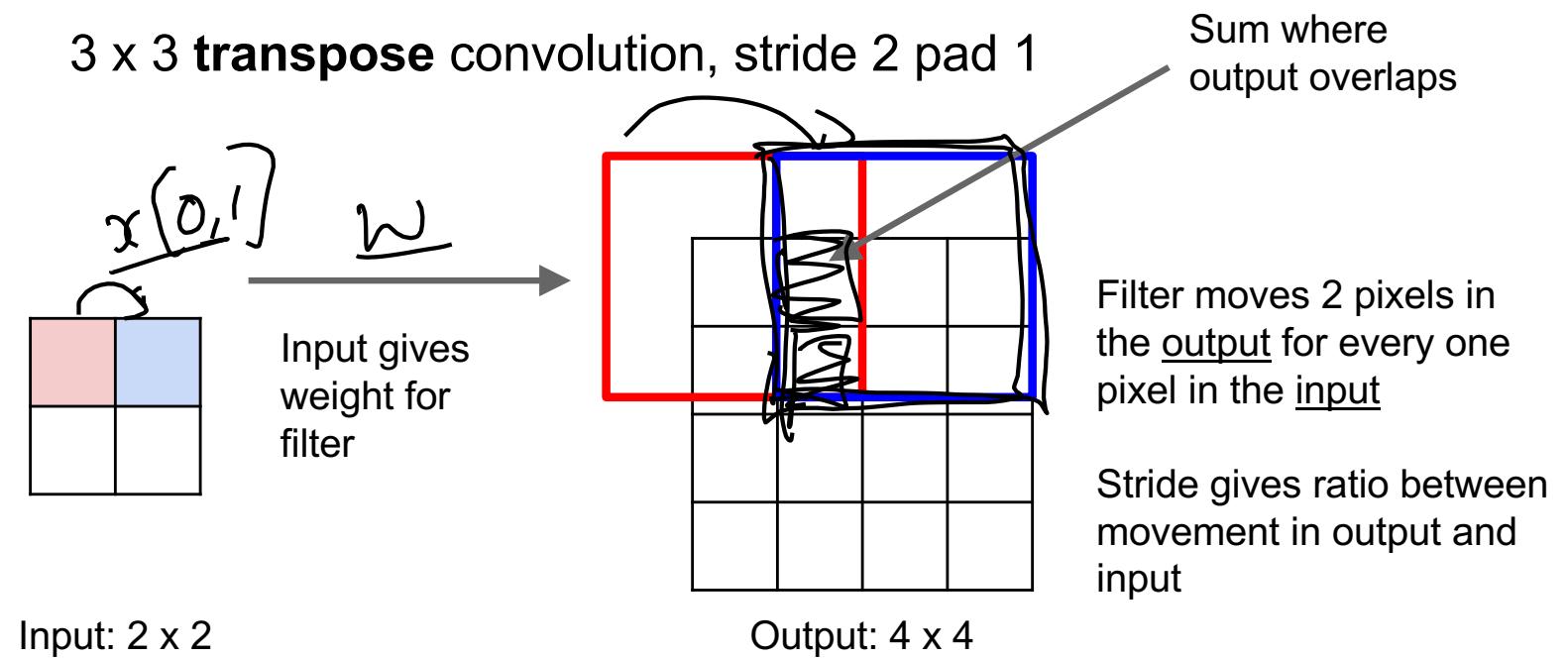

Input:  $2 \times 2$


Output:  $4 \times 4$

# Learnable Upsampling: Transpose Convolution



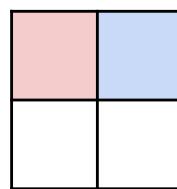
# Learnable Upsampling: Transpose Convolution



# Learnable Upsampling: Transpose Convolution

Other names:

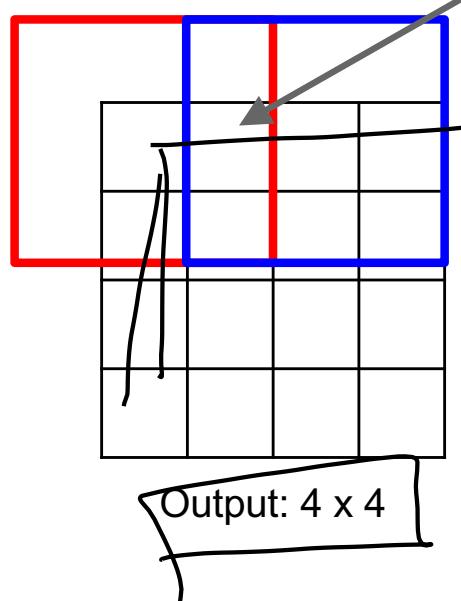
- Deconvolution (bad)
- Upconvolution
- Fractionally strided convolution
- Backward strided convolution



Input: 2 x 2

3 x 3 transpose convolution, stride 2 pad 1

Input gives weight for filter

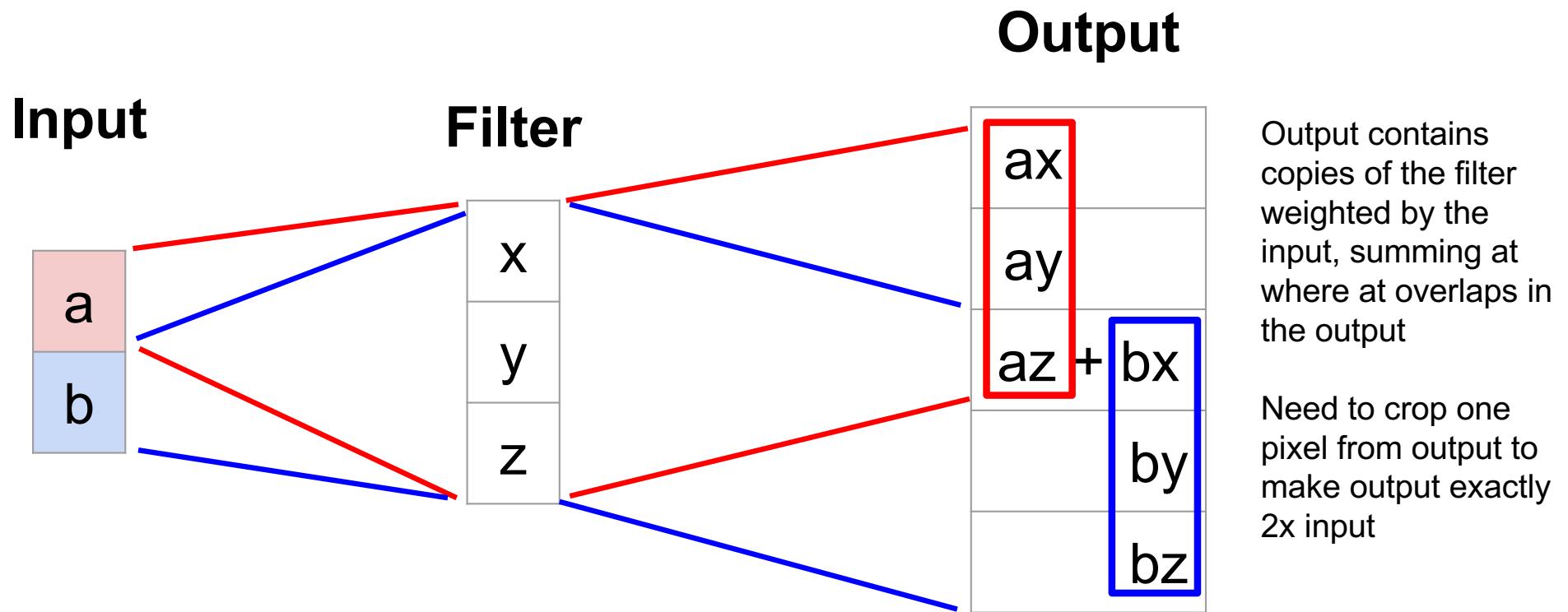


Sum where output overlaps

Filter moves 2 pixels in the output for every one pixel in the input

Stride gives ratio between movement in output and input

# Transpose Convolution: 1D Example



# Transposed Convolution

- <https://distill.pub/2016/deconv-checkerboard/>