MATH~417

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2021

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1 Function and Set

1.1 Function

 $A \times B = \{(a, b) | a \in A, b \in B\}.$

<u>Function</u> is a rule σ that assigns an element B to every element of A.

$$\sigma: A \to B$$

$$\forall a \in A, \sigma(a) \in B.$$

$$\sigma(a) = value \ of \ \sigma \ at \ a. \ (the \ \underline{image} \ of \ a)$$

A set $C \subset B$, we call $\sigma^{-1}(C) = \{a \in A | \sigma(a) \in C\}$ as the <u>preimage</u> of a. An element $b \in B$, we call $\sigma^{-1}(b) = \{a \in A | \sigma(a) = b\}$ as the <u>fiber</u> of b. A is the domain of σ , B is the range of σ .

1.1.1 Composition of functions

$$\sigma: A \to B, \tau: B \to C$$
. The function $\tau \circ \sigma: A \to C$ is $\forall a \in A, (\tau \circ \sigma)(a) = \tau(\sigma(a))$

1.1.2 Proposition 1.1.3: Associativity of Functions

Proposition 1 (Proposition 1.1.3). $\sigma: A \to B, \tau: B \to C, \rho: C \to D$ functions then,

$$\rho\circ(\tau\circ\sigma)=(\rho\circ\tau)\circ\sigma$$

1.1.3 Injective, surjective, bijective

A function $\sigma: A \to B$ is called,

1. Injective (1 to 1)

$$\forall a_1, a_2 \in A, \sigma(a_1) = \sigma(a_2) \Rightarrow a_1 = a_2$$

2. Surjective (onto)

$$\forall b \in B, \exists a \in A, s.t. \sigma(a) = b$$

3. Bijective (if injective and surjective)

1.1.4 Lemma 1.1.7: 两个 injective/surjective/bijective 的方程的 composition 保留性质

Lemma 1 (Lemma 1.1.7). Suppose $\sigma: A \to B, \tau: B \to C$ are functions,

If σ, τ are injective, then $\tau \circ \sigma$ is injective.

If σ, τ are surjective, then $\tau \circ \sigma$ is surjective.

If σ, τ are bijective, then $\tau \circ \sigma$ is bijective.

1.1.5 Proposition 1.1.8: Inverse of Function

Proposition 2 (Proposition 1.1.8). A function $\sigma: A \to B$ is a bijection if $\exists a \text{ function } \tau: B \to A \text{ such that }$

$$\sigma \circ \tau = id_B = identity \ on \ B(id_B(x) = x, \forall x \in B)$$

$$\tau \circ \sigma = id_A$$

Such τ is unique, called inverse of σ , $\tau = \sigma^{-1}$.

1.2 Set

1.2.1 Well Defined Set

Definition 1. A set S is well defined if an object a is either $a \in S$ or $a \notin S$.

1.2.2 Power Set

Definition 2. For any set A, we denote by $\mathcal{P}(A)$ the collection of all subsets of A. $\mathcal{P}(A)$ is the power set of A.

1.2.3 Cardinalities of Sets, Pigeonhole Principle

Definition 3. If A is a set, |A| = cardinality of A = # of elements

 $n\in\mathbb{N}, |\{1,\dots n\}|=n;\, |\emptyset|=0 (\emptyset=\text{ empty set }).$

|A| = |B| if there is a bijection $\sigma : A \to B$.

If there is an injection $\sigma: A \to B$, we can write $|A| \leq |B|$;

If there is a surjection $\sigma: A \to B$, we can write $|A| \ge |B|$.

Theorem 1 (Pigeonhole Principle). If A and B are sets and |A| > |B|, then there is no injective function $\sigma: A \to B$.

1.2.4 B^A : Sets of Function

If A, B are sets, then $B^A = \{\sigma : A \to B | \sigma \text{ a function} \}.$

Example 1. $n \in \mathbb{Z}$, we define a function $f: B^{\{1,\dots,n\}} \to B^n (= B \times B \times B \times \dots \times B)$ by the equation $f(\sigma) = \{\sigma(1), \dots, \sigma(n)\}$, where $\sigma: \{1, \dots, n\} \to B$. The f is a bijection.

证明.

 $1. \ \textit{Injective} :$

$$f(\sigma_1) = f(\sigma_2) \Rightarrow \{\sigma_1(1), ..., \sigma_1(n)\} = \{\sigma_2(1), ..., \sigma_2(n)\}$$

Since $\sigma : \{1, ..., n\} \rightarrow B$, it is sufficient to prove $\sigma_1 = \sigma_2$.

2. Surjective:

$$\forall \{b_1,...,b_n\}, \text{ we have } \sigma^*(x) = b_x, x = 1,...,n. \text{ s.t. } f(\sigma^*) = \{b_1,...,b_n\}$$

Example 2.

$$C(\mathbb{R}, \mathbb{R}) = \{continuous \ functions \ \sigma : \mathbb{R} \to \mathbb{R}\} \subset \mathbb{R}^{\mathbb{R}}$$

1.2.5 Operation definitions

Definition 4. A binary operation on a set A is a function $*: A \times A \rightarrow A$.

The operation is associative if $a * (b * c) = (a * b) * c, \forall a, b, c \in A$.

The operation is commutative if $a * b = b * a, \forall a, b \in A$.

Example 3. +, \circ are both associative and commutative operations on $\mathbb{Z}, \mathbb{N}, \mathbb{Q}, \mathbb{R}$; - is a neither associative nor commutative operation on $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$, but not \mathbb{N} .

Definition 5. A subset $H \subset S$ is <u>closed under *</u> if $a * b \in H$ for all $a, b \in H$.

Definition 6. * has identity element $e \in S$ if a * e = e * a = a for all $s \in S$.

2 Equivalence relations and Partition

2.1 Equivalence relations (理性等价的定义)

理性的等价需要满足: (1)Reflexive, (2)Symmetric, (3)Transitive. Given a set X, a relation on X is a subset of $R \subset X \times X$. We write $a \sim b$.

A relation \sim is said to be

- 1. Reflexive if $\forall x \in X$, we have $x \sim x$.
- 2. Symmetric if $\forall x, y \in X, x \sim y \Rightarrow y \sim x$.
- 3. Transitive if $\forall x, y, z \in X, x \sim y, y \sim z \Rightarrow x \sim z$.

The sim is called **equivalence relation** if it is reflexive, Symmetric and Transitive.

Example 4. Set $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$, satisfies $(a,b) \sim (c,d)$ if ad = bc.

- 1. Reflexive: $(a,b) \sim (a,b), \forall (a,b) \in \mathbb{Z}^2$.
- 2. Symmetric: $\forall (a,b), (c,d) \in \mathbb{Z}^2, (a,b) \sim (c,d) \Rightarrow (c,d) \sim (a,b).$
- 3. Transitive: $\forall (a,b), (c,d), (u,v) \in \mathbb{Z}^2, (a,b) \sim (c,d), (c,d) \sim (u,v) \Rightarrow ad = bc, cv = du \Rightarrow acv = adu = bcu \Rightarrow av = bu \Rightarrow (a,b) \sim (u,v).$

So this is an equivalence relation.

Example 5. $f: X \to Y$ is a function, define \sim_f on X by $a \sim_f b$ if f(a) = f(b).

- 1. Reflexive: $a \sim a, \forall a \in X$.
- 2. Symmetric: $a, b \in X, a \sim b \Rightarrow b \sim a$.
- 3. Transitive: $\forall a, b, c \in X, a \sim b, b \sim c \Rightarrow f(a) = f(b) = f(c) \Rightarrow a \sim c$.

So \sim_f is an equivalence relation.

2.2 Partition (满足不重叠, 无剩余的 set 拆分结果)

X a set, a partition of X is a collection ω of subsets of X s.t.

- 1) $\forall A, B \in \omega$ either A = B or $A \cap B = \emptyset$.
- $2) \cup_{A \in \omega} A = X.$

The subsets are the **cells** of partition.

2.3 Equivalence class

[x]: equivalence class

Define the **equivalence class** of x to be the subset $[x] \subset X$:

$$[x] = \{ y \in X | y \sim x \}$$

Where \sim is an equivalence relation.

 \sim is reflexive $\Rightarrow x \in [x]$. We say that any $y \in [x]$ as a **representative** of the equivalence class.

2.3.2 X/\sim : set of equivalence classes

Set of equivalence classes 是一个 **set** 被某种 *equivalence relation* 分类的结果 We write the set of equivalence classes

$$X/\sim = \{[x]|x \in X\}$$

2.4 Relationship of Equivalence relation, Set of equivalence classes and <u>Partitions</u>

给定 X, <u>Equivalence relation</u> \sim 与<u>Set of equivalence classes</u> X/\sim 具有相同的信息量;包含所有<u>Partitions</u> 的集合与包含所有<u>Set of equivalence classes</u> 的集合相同。

2.4.1 Theorem 1.2.7: Equivalence relation $\sim \Leftrightarrow$ Set of equivalence classes X/\sim ; {all Sets of equivalence classes} = {all Partitions}

Theorem 2 (Theorem 1.2.7). X/\sim is a partition of X. Conversely, given a partition ω of X, there exists a unique equivalence relation \sim_{ω} s.t. $X/\sim_{\omega}=\omega$.

(1) <u>Equivalence relation</u> ~ 生成一个对应的<u>Set of equivalence classes</u> X/\sim , 该 X/\sim 就是一个 Partition。(可以看作 1. 所有 Set of equivalence classes 都是 Partitions; $2.\sim \Rightarrow X/\sim$ 由方式推结果) (2) 反之,我们也可以根据已有的 Partition ω ,将其作为一种分类方式 \sim_{ω} 的 _(i.e. $X/\sim_{\omega}=\omega$) 这个对应的 \sim_{ω} 存在且是唯一的。(可以看作 1. 所有 Partitions 都是 Set of equivalence classes; $2.X/\sim \Rightarrow \sim$ 由结果推方式)

证明.

 $(1)X/\sim$ is a partition of X:

$$\forall x, y \in X \text{ s.t. } [x] \cap [y] \neq \emptyset$$

$$Let \ z \in [x] \cap [y] \Rightarrow z \sim x, z \sim y$$

$$\forall w \in [x] \Rightarrow w \sim x \Rightarrow x \sim w \Rightarrow z \sim w \Rightarrow w \sim z \Rightarrow w \sim y \Rightarrow [x] \subset [y]$$

$$Similarly \ we \ can \ prove \ [y] \subset [x] \Rightarrow [x] = [y]$$

- (2) Given a partition ω of X, there exists a unique equivalence relation \sim_{ω} s.t. $X/\sim_{\omega}=\omega$:
- (2.1) Prove there exists an equivalence relation s.t. $X/\sim_{\omega}=\omega$:

We define a relation: $x \sim_{\omega} y$ if there exists $A \in \omega$ s.t. $x, y \in A \Rightarrow \sim_{\omega}$ is symmetric and transitive. Since $\bigcup_{A \in \omega} A = X$, we know $\forall x \in X, \exists A \in \omega$ s.t. $x \in A \Rightarrow \sim_{\omega}$ is reflexive. So \sim_{ω} is an equivalence relation.

We know $A = [x], \forall A \in \omega, \forall x \in A \text{ (by } \sim_{\omega}), \text{ then } X/\sim_{\omega} = \{[x]|x \in \cup_{A \in \omega} A\} = \{\{A^*|x \in A^*\}|A^* \in \omega\} = \omega.$

(2.2) Prove the equivalence relation is unique:

Set \sim be any equivalence relation that make $X/\sim=\omega$, then we know $\forall A\in\omega, \exists x\in X$ s.t. [x]=A. According to the definition of [x], if $x\in A,\ y\sim x$ if and only if $y\in [x]=A$. Which is exactly the \sim_{ω} .

Example 6 (the same as example 5). $f: X \to Y$ is a function, define \sim_f on X by a \sim_f b if f(a) = f(b). In this example the **equivalence classes** are precisely the fibers $[x] = f^{-1}(f(x))$. $y \sim_f x \Rightarrow y \in f^{-1}(f(x))$

Example 7 (the same as example 4). Set $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$, satisfies $(a,b) \sim (c,d)$ if ad = bc. i.e. we write the equivalence of (a,b) as $\frac{a}{b} = [(a,b)]$. Then $X/\sim = \mathbb{Q}$.

2.4.2 Proposition 1.2.12: 根据结果 $X/\sim=\{[x]|x\in X\}$ 推断的 \sim_{π} equals to \sim .

Proposition 3 (Proposition 1.2.12). If \sim is an equivalence relation on X, define a surjective function $\pi: X \to X/\sim by \ \pi(x) = [x]$. Then $\sim_{\pi} = \sim$ (the definition of \sim_f in example 6.)

证明.

(1)Surjective:

 $X/\sim=\{[x]|x\in X\}=\{\pi(x)|x\in X\}, \text{ so } \forall y\in X/\sim,\ y\in\{\pi(x)|x\in X\}, \text{ there exists } x\in X \text{ s.t. } \pi(x)=y.$

 $(2)\sim_{\pi}=\sim$

 $a \sim_{\pi} b$ if $\pi(a) = \pi(b) \Leftrightarrow [a] = [b]$, which is exactly the definition of \sim .

逻辑:

- 1. Given \sim ;
- 2. Get the corresponding $X/\sim = \{[x]|x\in X\};$
- 3. $\pi(x) = [x];$
- 4. \sim_{π} : $a \sim_{\pi} b \text{ iff } \pi(a) = \pi(b)$
- 5. $\sim_{\pi} = \sim$

根据结果 $X/\sim=\{[x]|x\in X\}$ 推断的 \sim_{π} equals to \sim .

2.4.3 Proposition 1.2.13: 给 X 标记 Y: f, 给 X/\sim 标记 Y: \tilde{f} ,; 两函数之间一一对应

Proposition 4 (Proposition 1.2.13). Given any function $f: X \to Y$ there exists a unique function $\tilde{f}: X/\sim Y$ such that $\tilde{f}\circ \pi = f$, where $\pi: X\to X/\sim$ in proposition 3. Furthermore, \tilde{f} is a bijection onto the image f(X).

证明.

(1) Existence:

We define $x_1 \sim_f x_2$ if $f(x_1) = f(x_2)$. Set $\tilde{f}: X/\sim_f \to Y$, $\tilde{f}([x]) = f(x)$. Then $\tilde{f}[\pi(x)] = \tilde{f}([x]) = f(x)$. Exactly what we require.

(2) Uniqueness:

Set any \tilde{f}' s.t. $\tilde{f}' \circ \pi = f$, then $\tilde{f}'[\pi(x)] = \tilde{f}'([x]) = f(x)$, i.e. the \tilde{f} is unique.

(3) Bijection:

Surjective, which we proved before $\forall f, \exists \tilde{f} \text{ s.t.} \tilde{f} \circ \pi = f;$

Injective, we also have proved the uniqueness $f = \tilde{f} \circ \pi = \tilde{f}' \circ \pi \Rightarrow \tilde{f}' = \tilde{f}$.

3 Permutations 改变位置

Definition 7. Let X be a finite set, a permutation is bijection $\sigma: X \to X$.

Definition 8. Let $S_X(Sym(X))$ be the set of all bijection $\sigma: X \to X$.

If |X| = n, $|S_X| = n!$.

3.1 $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\}$: permutation group of X; elements in Sym(X): permutations of X

We set $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\} \subset X^X$. We call it **symmetric group of** X or **permutation group of** X. We call the elements in Sym(X) the **permutations of** X or the **symmetries of** X.

3.1.1 Properties of \circ on Sym(X)

Proposition 5 (Proposition 1.3.1.). For any nonempty set X, \circ is an operation on Sym(X) with the following properties:

- (i) \circ is associative.
- (ii) $id_X \in Sym(X)$, and for all $\sigma \in Sym(X)$, $id_X \circ \sigma = \sigma \circ id_X = \sigma$, and
- (iii) For all $\sigma \in Sym(X)$, $\sigma^{-1} \in Sym(X)$.

Permutations 类似于 rearrangement, 交换 X 中元素的排序。

3.1.2 S_n : Permutation group on n elements, σ^i

Note 1. When $X = \{1, ..., n\}, n \in \mathbb{Z}$, write $S_n = Sym(X)$ symmetric/permutation group on n elements.

Note 2. $\sigma \in Sym(X)$, write $\sigma^n = \sigma \circ \sigma \circ ... \circ \sigma$, $\sigma^0 = id_X$, $\sigma^{-1} = inverse$, r > 0, $\sigma^{-r} = (\sigma^{-1})^r$. So, $r, s \in \mathbb{Z}$, $\sigma^{r+s} = \sigma^r \circ \sigma^s = \sigma^s \circ \sigma^r$.

3.1.3 k-cycle, cyclically permute/fix

Example 8.



$$1 \stackrel{\sigma}{\mapsto} 2 \stackrel{\sigma}{\mapsto} 5 \stackrel{\sigma}{\mapsto} 1, \quad \tau_1$$

$$3 \stackrel{\sigma}{\mapsto} 4 \stackrel{\sigma}{\mapsto} 3, \quad \tau_2$$

图 1: Example of Cycle

In the example of Figure 1, $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 4 & 3 & 2 \end{pmatrix}$, $\sigma = \tau_1 \circ \tau_2$, where $\tau_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 3 & 4 & 2 \end{pmatrix}$,

 $\tau_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 4 & 3 & 5 \end{pmatrix}$. τ_1 is 3-cycle, τ_2 is 2-cycle. We could represent $\tau_1 = (152) = (521) = (215)$,

We can find that $\forall x \in \{1, 2, 3, 4, 5\}, \tau_1^3(x) = x, \tau_2^2(x) = x$, so we write τ_1 as a **3-cycle** in S_5 , τ_2 as a

2-cycle in S_5 .

Given $k \geq 2$, a **k-cycle** in S_n is a permutation σ with the property that $\{1, ..., n\}$ is the union of two disjoint subsets, $\{1, ..., n\} = Y \cup Z$ and $Y \cap Z = \emptyset$, such that

- 1. $\sigma(x) = x$ for every $x \in \mathbb{Z}$, and
- 2. |Y| = k, and for any $x \in Y, Y = {\sigma(x), \sigma^2(x), \sigma^3(x) ... \sigma^k(x) = x}$.

We say that σ cyclically permutes the elements of Y and fixes the elements of Z.

 $\tau_1 = (1\ 2\ 5)$ cyclically permutes the elements of $Y = \{1, 2, 5\}$ and fixes the elements of $Z = \{3, 4\}$.

 $\tau_2 = (3 \ 4)$ cyclically permutes the elements of $Y = \{3,4\}$ and fixes the elements of $Z = \{1,2,5\}$.

3.2 Disjoint cycles

Since the sets are cyclically permuted by τ_1, τ_2 (i.e. Y) are disjoint. We call the **disjoint cycle** notation $\sigma = \tau_1 \circ \tau_2 = (1\ 2\ 5)(3\ 4)$. (Commute the order is irrelevant)

3.2.1 Theorem: Every permutation is a union of disjoint cycles, uniquely.

Given $\sigma \in S_n$, there exists a unique (possibly empty) set of pairwise disjoint cycles.

Theorem 3. Let X be a finite set, the graph of permutation $\sigma \in S_X$ is a union of disjoint cycle.

证明. Prove by induction:

If |X| = 1, the graph is circle:

For |X| > 1, let $i_1 \in X$ and let $\mathcal{O}(i_1) = \{\sigma^r(i_1), r \geq 0\} = \{i_1, \sigma(i_1), \sigma^2(i_1), ...\}$. $\mathcal{O}(i_1)$ is finite, and there is a smallest r s.t. $\sigma^r(i_1) \in \{i_1, \sigma(i_1), \sigma^2(i_1), ..., \sigma^{r-1}(i_1)\}$. Then $\sigma^r(i_1) = i_1$ because other elements already have a pre-change under σ .

Then $i_1 \to \sigma(i_1) \to \sigma^2(i_1) \to \cdots \to \sigma^{r-1}(i_1) \to i_1$ is a cycle of length r.

For $j \notin \mathcal{O}(i_1)$, $\sigma(j) \notin \mathcal{O}(i_1)$, $\sigma^{-1}(j) \notin \mathcal{O}(i_1)$. Let $Y = X/\mathcal{O}(i_1)$ then $\sigma: Y \to Y$ is a bijection. Then prove by induction.

Example 9. $\sigma_1 = (1 \ 2 \ 6 \ 5)(3)(4)$, can be written by $\sigma_1 = (1 \ 2 \ 6 \ 5)$, $\sigma_2 = (2 \ 3 \ 5 \ 4)$

$$\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 6 \ 5) \circ (2 \ 3 \ 5 \ 4)$$

$$1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2$$

$$2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3$$

$$3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1$$

$$4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6$$

$$5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5$$
Then $\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 3) \circ (4 \ 6 \ 5)$

$$\sigma_{2} \circ \sigma_{1} = (2 \ 3 \ 5 \ 4) \circ (1 \ 2 \ 6 \ 5)$$

$$1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3$$

$$2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5$$

$$4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5$$

$$4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1$$

$$6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4$$

Then $\sigma_2 \circ \sigma_1 = (1 \ 3 \ 5) \circ (2 \ 6 \ 4)$

Note: $\sigma_1 \circ \sigma_2 \neq \sigma_2 \circ \sigma_1$

Example 10 (Exercise 1.3.2.). Consider $\sigma = (3\ 4\ 8)(5\ 7\ 6\ 9)$ and $\tau = (1\ 9\ 3\ 5)(2\ 7\ 4)$ in S_9 expressed in disjoint cycle notation. Compute $\sigma \circ \tau$ and $\tau \circ \sigma$ expressing both in disjoint cycle notation.

$$1 \to \sigma(\tau(1)) = \sigma(9) = 5; \ 2 \to \sigma(\tau(2)) = \sigma(7) = 6;$$

$$3 \to \sigma(\tau(3)) = \sigma(5) = 7; \ 4 \to \sigma(\tau(4)) = \sigma(2) = 2;$$

$$5 \to \sigma(\tau(5)) = \sigma(1) = 1; \ 6 \to \sigma(\tau(6)) = \sigma(6) = 9;$$

$$7 \to \sigma(\tau(7)) = \sigma(4) = 8; \ 8 \to \sigma(\tau(8)) = \sigma(8) = 3;$$

$$9 \to \sigma(\tau(9)) = \sigma(3) = 4;$$

$$\Rightarrow \sigma \circ \tau = (1\ 5)(2\ 6\ 9\ 4)(3\ 7\ 8)$$

$$1 \to \tau(\sigma(1)) = \tau(1) = 9; \ 2 \to \tau(\sigma(2)) = \tau(2) = 7;$$

$$3 \to \tau(\sigma(3)) = \tau(4) = 2; \ 4 \to \tau(\sigma(4)) = \tau(8) = 8;$$

$$5 \to \tau(\sigma(5)) = \tau(7) = 4; \ 6 \to \tau(\sigma(6)) = \tau(9) = 3;$$

$$7 \to \tau(\sigma(7)) = \tau(6) = 6; \ 8 \to \tau(\sigma(8)) = \tau(3) = 5;$$

$$9 \to \tau(\sigma(9)) = \tau(5) = 1;$$

$$\Rightarrow \tau \circ \sigma = (1\ 9)(2\ 7\ 6\ 3)(4\ 8\ 5)$$

Example 11. Let $\sigma, \tau \in S_7$, given in disjoint cycle, notation by $\sigma = (1\ 5\ 4)(3\ 7), \tau = (1\ 3\ 2\ 6\ 4),$ Compute $\sigma^2, \sigma^{-1}, \tau \circ \sigma$

$$\sigma^{2} = (1\ 4\ 5), \qquad \sigma^{-1} = (4,5,1)(3,7),$$

$$1 \to \tau(\sigma(1)) = \tau(5) = 5, \quad 2 \to \tau(\sigma(2)) = \tau(2) = 6,$$

$$3 \to \tau(\sigma(3)) = \tau(7) = 7, \quad 4 \to \tau(\sigma(4)) = \tau(1) = 3,$$

$$5 \to \tau(\sigma(5)) = \tau(4) = 1, \quad 6 \to \tau(\sigma(6)) = \tau(6) = 4,$$

$$7 \to \tau(\sigma(7)) = \tau(3) = 2,$$

$$\Rightarrow \tau \circ \sigma = (1,5)(2,6,4,3,7)$$

3.2.2 Cycle Structure

• How many permutation $\sigma \in S_{12}$ has cycle structure $(1\ 2\ 3)(4\ 5\ 6)(7\ 8)(9\ 10)(11\ 12)$?

$$\frac{12!}{3^2 2^3 (2!)(3!)}$$

12!: 每个位置的排列

 3^2 : 每个长度 3 的 cycle 的每种情况会被重复计算 3 次

23: 每个长度 2 的 cycle 的每种情况会被重复计算 2 次

(2!): 2 个长度 3 的 cycle 具有不同位置的排列

(3!): 3 个长度 2 的 cycle 具有不同位置的排列

• $(1\ 2\ 3)(4\ 5)(6) \in S_6$?

$$\frac{6!}{3 \times 2} = 120$$

• General situation: $\sigma \in S_n$, r_i category of length i, i = 1, 2...

$$\frac{n!}{[1^{r_1}2^{r_2}3^{r_3}\cdots][(r_1!)(r_2!)(r_3!)\cdots]}$$

3.3 Transposition

Definition 9. A transposition is a cycle of length 2: $\sigma = (i \ j)$.

3.3.1 Theorem: 每个 permutation 可以由若干个 (可能不 disjoint 的) transposition 表示

Theorem 4. Every permutation σ of X is a product of transposition. (the product is not unique) **Equivalent:** Given $n \geq 2$, any $\sigma \in S_n$ can be expressed as a composition of 2-cycles. (not require disjoint)

证明.

Version 1:

$$(x_1 \ x_k)(x_1 \ x_2, \dots x_{k-1} \ x_k) = (x_1 \ x_2 \ \dots x_{k-1})$$

$$(x_1 \ x_2 \ \dots x_{k-1} \ x_k) = (x_1 \ x_k)(x_1, x_2 \ \dots x_{k-1})$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_2} \ \dots \mathbf{x_{k-2}})$$

$$\dots$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_{k-2}}) \dots (\mathbf{x_1} \ \mathbf{x_2})$$

Version 2:

$$(x_1 \ x_2, \dots \ x_{k-1} \ x_k)(x_1 \ x_k) = (x_2 \ x_3 \ \dots \ x_k)$$
$$(x_1 \ x_2 \ \dots \ x_{k-1} \ x_k) = (x_2 \ x_3 \ \dots \ x_k)(x_1 \ x_k)$$
$$\dots$$
$$= (\mathbf{x_{k-1}} \ \mathbf{x_k})(\mathbf{x_{k-2}} \ \mathbf{x_k}) \dots (\mathbf{x_2} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_k})$$

Claim 1. Cycle of length k can be written as a product of k-1 transpositions.

3.3.2 Sign of Permutation

Theorem 5. Although the product of transposition of a permutation is not unique, the <u>parity</u> (odd or even) of the r in a product is unique. We call it the **sign** of permutation.

$$sign(\sigma) = (-1)^{(\# even-length \ cycles \ in \ \sigma)}$$

= $(-1)^{(\# transpositions \ in \ \sigma)}$

Example 12.

$$\sigma_1 = (1 \ 4 \ 7 \ 9)(2 \ 8)(6 \ 10)$$
: $N = 3 + 1 + 1 = 5$ is odd.
 $\sigma_2 = (1 \ 2 \ 3 \ 4 \ 5)(6 \ 7 \ 8 \ 9 \ 10)$: $N = 4 + 4 = 8$ is even

What happens to a permutation σ 's cycles if $\sigma \to (i \ j) \circ \sigma$?

- 1. i and j are not contained in σ .
- 2. i and j appear in the same cycle of σ .
- 3. i and j appear in disjoint cycles.

$$(i \ j) \circ (i - -j \sim \sim) = (i - -) \circ (j \sim \sim)$$
$$(i \ j) \circ (i - -) \circ (j \sim \sim) = (i - -j \sim \sim)$$

Proposition 6. $sign((i \ j) \circ \sigma) = -1 \cdot sign(\sigma)$

证明.

Suppose $\sigma = (a_1 \ a_2 \ \cdots a_k \ b_1 \ b_2 \ \cdots b_l)$

Then $(a_1 \ b_1) \circ \sigma = (a_1 \ a_2 \ \cdots a_k)(b_1 \ b_2 \ \cdots b_l)$

$$sign(\sigma) = \begin{cases} +1 & \text{if } k+l \text{ is odd} \\ -1 & \text{if } k+l \text{ is even} \end{cases}$$

$$sign((a_1 \ b_1) \circ \sigma) = \begin{cases} -1 & \text{if } k+l \text{ is odd} \\ +1 & \text{if } k+l \text{ is even} \end{cases}$$

4 Integers

4.1 Proposition 1.4.1: Properties of integers \mathbb{Z}

Proposition 7 (Proposition 1.4.1.). The following hold in the integers \mathbb{Z} :

- (i) Addition and multiplication are commutative and associative operations in \mathbb{Z} .
- (ii) $0 \in \mathbb{Z}$ is an identity element for addition; that is, $\forall a \in \mathbb{Z}, 0 + a = a$.
- (iii) Every $a \in \mathbb{Z}$ has an additive inverse, denoted -a and given by -a = (-1)a, satisfying a + (-a) = 0.
- (iv) $1 \in \mathbb{Z}$ is an identity element for multiplication; that is, for all $a \in \mathbb{Z}$, 1a = a.
- (v) The distributive law holds: $\forall a, b, c \in \mathbb{Z}, a(b+c) = ab + ac$.
- (vi) Both $\mathbb{N} = \{x \in \mathbb{Z} | x \ge 0\}$ and $\mathbb{Z}_+ = \{x \in \mathbb{Z} | x > 0\}$ are closed under addition and multiplication.

That is, if x and y are in one of these sets, then x + y and xy are also in that set.

(vii) For any two nonzero integers $a, b \in \mathbb{Z}, |ab| \ge \max\{|a|, |b|\}$. Strict inequality holds if |a| > 1 and |b| > 1.

From this we get cancellation.

$$ab = ac \Rightarrow b = c \text{ or } a = 0$$

4.2 Definition: Divide

Suppose $a, b \in \mathbb{Z}, b \neq 0$, <u>b</u> divides <u>a</u> if $\exists m \in \mathbb{Z}$, so that a = bm, b|a. Otherwise, write $b \nmid a$.

4.3 Proposition 1.4.2: properties of integer division

Proposition 8 (Proposition 1.4.2). $\forall a, b \in \mathbb{Z}$

- (i) if $a \neq 0$, then a|0
- (ii) if a|1, then $a = \pm 1$
- (iii) if a|b & b|a, then $a = \pm b$
- (iv) if a|b & b|c, then a|c

(v) if a|b & a|c, then $a|(mc+nb)\forall m, n \in \mathbb{Z}$

4.4 Definitions: Prime, The Greatest common divisor gcd(a, b)

 $p > 1, p \in \mathbb{Z}$ is called *prime* if the only divisors are $\pm 1, \pm p$.

Given $a, b \in \mathbb{Z}$, $a, b \neq 0$, the greatest common divisor of a and b is $c \in \mathbb{Z}$, c > 0 s.t.

(1) c|a and c|b; (2) if d|a, d|b, then d|c

The c is unique, we write it gcd(a, b).

4.5 Euclidean Algorithm

Proposition 9 (Proposition 1.4.7(Euclidean Algorithm)). Given $a, b \in \mathbb{Z}, b \neq 0$, then $\exists q, r \in \mathbb{Z}$ s.t. $a = qb + r, 0 \leq r \leq |b|$.

Example 13 (Exercise 1.4.3). For the pair (a,b) = (130,95), find gcd(a,b) using the Euclidean Algorithm and express it in the form gcd(a,b) = sa + tb for $s,t \in \mathbb{Z}$.

$$130 = 95 + 35;$$
 $95 = 2 \times 35 + 25$
 $35 = 25 + 10;$ $25 = 2 \times 10 + 5$
 $10 = 2 \times 5 + 0$

$$5 = 25 - 2 \times 10 = 25 - 2 \times (35 - 25) = 3 \times 25 - 2 \times 35 = 3 \times (95 - 2 \times 35) - 2 \times 35$$
$$= 3 \times 95 - 8 \times 35 = 3 \times 95 - 8 \times (130 - 95) = 11 \times 95 - 8 \times 130$$
$$gcd(130, 95) = gcd(95, 35) = gcd(35, 25) = gcd(25, 10) = gcd(10, 5) = gcd(5, 0) = 5$$

We can also express it by matrix

	q	r	s	t
-1		130	1	0
0	1	95	0	1
1	2	35	1	-1
2	1	25	-2	3
3	2	10	3	-4
4	2	5	-8	11

Hence $qcd(130, 95) = 5 = -8 \cdot 130 + 11 \cdot 95$

4.6 Proposition: gcd(a,b) exists and is the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$

Theorem 6. d = gcd(a, b) is of the form sa + tb

证明. We may assume $0 \le a \le b$

For a = 0, $d = b = 0 \cdot a + 1 \cdot b$.

For a > 0, let $b = q \cdot a + r$ with $0 \le r < a \le b$. Then

$$\{sa+tb: s,t \in \mathbb{Z}\} = \{sa+t(q \cdot a + r): s,t \in \mathbb{Z}\} = \{tr+ua: t,u \in \mathbb{Z}\}$$

$$= \dots \{x \cdot 0 + y \cdot d: x,y \in \mathbb{Z}\} = \{\dots, -2d, -d, 0, d, 2d, \dots\}$$

Proposition 10 (第二种表示, 第二种证明). $\forall a, b \in \mathbb{Z}$, not both 0, gcd(a, b) exists and is the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$. i.e. $\exists m_0, n_0 \in \mathbb{Z}$ s.t. $gcd(a, b) = m_0a + n_0b$.

证明. Let c be the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$. $c = m_0 a + n_0 b > 0$. Let $d = ma + nb \in M$, d = qc + r where $0 \le r < c$ (by Euclidean Algorithm).

$$r = d - qc = (m - qm_0)a + (n - qn_0)b \in M$$

Since c is the smallest integer in M and $r \in [0, c)$, so r = 0. $\Rightarrow d = qc$. So c|d.

$$a = 1a + 0b \in M \Rightarrow c|a, b = 0a + 1b \in M \Rightarrow c|b.$$

If
$$t|a,t|b$$
 then $t|m_0a+n_0b$ i.e. $t|c. \Rightarrow c=gcd(a,b)$.

4.7 Well-Ordering Principle (Least Integer Axiom)

There is a smallest integer in every nonempty subset S of the natural numbers $\mathbb{N} = \{0, 1, 2, ...\}$

4.8 Proposition 1.4.10: gcd(b,c), $b|ac \Rightarrow b|a$

Proposition 11 (Proposition 1.4.10). Suppose $a, b, c \in \mathbb{Z}$. If b, c are relatively prime i.e. gcd(b, c) = 1 and b|ac, then b|a.

证明. $gcd(b,c)=1 \Rightarrow \exists m,n \in \mathbb{Z} \text{ s.t. } 1=mb+nc \Rightarrow a=amb+anc. \text{ Since } b|nac,b|amb \Rightarrow b|a.$

4.8.1 Corollary: $p|ab \Rightarrow p|a$ or p|b

Corollary 1 (Corollary of Prop 1.4.10). $a, b, p \in \mathbb{Z}, p > 1$ prime. If p|ab, then p|a or p|b.

证明. If p|b, done. Otherwise, gcd(p,b) = 1. By Prop 1.4.10, p|a.

4.9 Fundamental Theorem of Arithmetic: Any integer $a \ge 2$ has a unique prime factorization

4.9.1 Existence

Lemma 2. Any integer $a \geq 2$ is either a prime or a product of primes.

证明. Set $S \subset \mathbb{N}$ be the set of all n without the given property.

Assume that S in nonempty and m is the least element in S.

Since m is not a prime, it can be written as m = ab with 1 < a, b < m. Since m is the least element in $S, a, b \notin S$. Then m is a product of primes. Contradiction. Thus, $S = \emptyset$.

4.9.2 Uniqueness

Theorem 7 (Fundamental Theorem of Arithmetic).

Any integer a > 1 has a unique prime factorization: $a = p_1^{k_1} \cdot p_2^{k_2} \cdot ... p_n^{k_n}$ where $p_i > 1$ is prime, $k_i \in \mathbb{Z}_+, \forall i = 1, ..., n, p_i \neq p_j, \forall i \neq j$.

证明.

- a) Existence: (Previous Lemma)
- b) Uniqueness:
 - 1) Method 1:

Suppose $a = p_1^{n_1} \cdot p_2^{n_2} \cdot ... p_k^{n_k} = q_1^{r_1} \cdot q_2^{r_2} \cdot ... q_j^{r_j}$. Where $p_1 > p_2 > ... > p_k, q_1 > q_2 > ... > q_j, n_i, r_i \ge 1$.

 $p_1|a \Rightarrow \exists q_i \text{ s.t. } p_1|q_i. \text{ Similarly, } \exists q_i \text{ s.t. } q_1|p_{i'}.$

$$q_1 \le p_{i'} \le p_1 \le q_i \Rightarrow q_1 = p_{i'} = p_1 = q_i$$

We can also know $n_1 = r_1$, otherwise we would have two prime factorization of the quotient where the largest primes are different by dividing $p_1^{\min\{n_1,r_1\}}$.

Then we can get $b=p_2^{n_2}\cdot...p_k^{n_k}=q_2^{r_2}\cdot...q_j^{r_j}.$ Then prove it by induction.

2) Method 2:

Suppose $a = p_1 \cdot p_2 \cdot ... p_k = q_1 \cdot q_2 \cdot ... q_t$. For a p_i , there must exist a q_j s.t. $p_i = q_j$:

Assume that $p_i \neq q_t$, $gcd(p_i, q_t) = 1$. Then $\exists a, b$ such that $1 = ap_i + bq_t$. Multiplying both sides by $q_1 \cdot q_2 \cdot ... \cdot q_{t-1}$:

$$q_1 \cdot q_2 \cdot ... q_{t-1} = ap_i q_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t$$

Since $p_i|q_1 \cdot q_2 \cdot ...q_t$, we can conclude that $p_i|(ap_iq_1 \cdot q_2 \cdot ...q_{t-1} + bq_1 \cdot q_2 \cdot ...q_t)$

i.e.
$$p_i|q_1 \cdot q_2 \cdot ... q_{t-1}$$
 if $p_i \neq q_t$

Then prove by induction.

5 Modular arithmetic

5.1 Congruences

5.1.1 Congruent modulo m: $a \equiv b \mod m$

Given $m \in \mathbb{Z}_+$, define a relation on \mathbb{Z} : congruence modulo m

$$a \equiv b \mod m$$
, if $m | (a - b)$

Read as "a is congruent to b mod n"; Notation: $a \equiv b \mod m$.

Equivalent to: a, b have the same remainder after division by m.

5.1.2 Proposition: For fixed $m \geq 2$, the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

Proposition 12 (Proposition 1.5.1). For fixed $m \ge 2$, the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

证明.

- 1) Reflexive: $\forall a \in \mathbb{Z}, m | 0 = (a a), \text{ so } a \equiv a \mod m \text{ i.e. } a \sim a.$
- 2) Symmetric: $\forall a, b \in \mathbb{Z}, \ a \equiv b \mod m$, then $m|(a-b) \Rightarrow m|(b-a) \Rightarrow b \equiv a \mod m$. i.e. $a \sim b \Rightarrow b \sim a$.
- 3) Transitive: $\forall a, b, c \in \mathbb{Z}$, $a \equiv b \mod m$, $b \equiv c \mod m$. Then $m|(a-b), m|(b-c) \Rightarrow m|(a-b) + (b-c) = (a-c) \Rightarrow a \equiv c \mod m$.

5.1.3 Theorem: the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$

Theorem 8. the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$

证明. Prove any $a \in \mathbb{Z}$ belongs to a unique Ω_i .

- a) Existence: Division Algorithm $\Rightarrow a = qm + r, 0 \le r < m. \ a \in \Omega_r.$
- b) Uniqueness: Assume a in two sets, $a \in \Omega_r \cap \Omega_{r^1}$, $0 \le r^1 < r < m$. Then m|a-r and $m|a-r^1 \Rightarrow m|r-r^1$, which is impossible because $0 < r-r^1 < m$. Contradiction.

5.1.4 Proposition: Addition and Mutiplication of Congruences

Proposition 13. Fix integer $m \ge 2$. If $a \equiv r \mod m$ and $b \equiv s \mod m$, then $a + b \equiv r + s \mod m$ and $ab \equiv rs \mod m$

证明.

- a) Addition: $m|(a-r), m|(b-s) \Rightarrow m|(a-c) + (b-d) \Rightarrow m|(a+b) (c-d)$.
- b) Mutiplication: $m|(a-r)b+r(b-s) \Rightarrow m|ab-rs$.

5.2 Solving Linear Equations on Modular m

5.2.1 Theorm: unique solution of $aX \equiv b \mod m$ if gcd(a, m) = 1

Theorem 9. If gcd(a, m) = 1, then $\forall b \in \mathbb{Z}$ the congruence $aX \equiv b \mod m$ has a unique solution. 证明.

1) Existence: Since $gcd(a, m) = 1, \exists s, t \text{ such that}$

$$1 = sa + tm$$

$$(\text{Version 1})$$

$$(\text{Mutiplying } X)$$

$$X = saX + tmX$$

$$aX \equiv b \mod m \Leftrightarrow aX = km + b$$

$$\Leftrightarrow X = s(km + b) + b$$

$$\Leftrightarrow X \equiv sb \mod m$$

$$(\text{Version 2})$$

$$(\text{Mutiplying } s)$$

$$saX \equiv sb \mod m$$

$$(1 - tm)X \equiv sb \mod m$$

$$X \equiv sb \mod m$$

 $X \equiv sb \mod m$ is the solution to $aX \equiv b \mod m$.

2) Uniqueness: Assume x, y are two solutions,

$$ax \equiv b \mod$$
, $ay \equiv b \mod m \Rightarrow a(x - y) \equiv 0 \mod m$

Since
$$gcd(a, m) = 1$$
, $m|(x - y) \Rightarrow x = y$, $(x, y \in \{0, 1, ..., m - 1\})$

Example 14. Solve $3X \equiv 5 \mod 11$.

$$qcd(3,11) = 1, 1 = 4 * 3 - 1 * 11,$$

$$X \equiv 4 * 5$$

$$X \equiv 9$$

5.3 Chinese Remaindar Theorem (CRT): unique solution for x modulo mn

Theorem 10 (Chinese Remaindar Theorem (CRT)).

If
$$gcd(m, n) = 1$$
. Then
$$\begin{cases} x \equiv r \mod m & (1) \\ x \equiv s \mod n & (2) \end{cases}$$
 have a unique solution for x modulo mn .

证明.

 $(1) \Rightarrow x = km + r \text{ for some } k \in \mathbb{Z}.$

substitute (2)
$$\Rightarrow km + r \equiv s \mod n$$

 $\Leftrightarrow mk \equiv s - r \mod n$ (3)

According to previous theorem, gcd(m, n) = 1, (3) has a **unique** solution.

We say $k \equiv t \mod n$, k = ln + t for some $l \in \mathbb{Z}$

 $\Rightarrow x = (ln + t)m + r = lnm + tm + r$, where tm + r is the unique solution to x modulo mn.

Example 15. (Similar to CRT) Find the smallest integer x such that

$$x \equiv 1 \mod 11 \text{ and } x \equiv 9 \mod 13$$

$$gcd(11, 13) = 1$$
 and $1 = 6 * 11 - 5 * 13$

Write x = 11k + 1. Substitute in $x \equiv 9 \mod 13$:

$$11k \equiv 8 \mod 13$$
$$6*11k \equiv 6*8 \equiv 9 \mod 13$$
$$(1+5*13)k \equiv 9 \mod 13$$
$$k \equiv 9 \mod 13$$

Then x = 11k + 1 = 100.

5.4 Congruence Classes: $[a]_n = \{a + kn | k \in \mathbb{Z}\}$

将给定 n,相同余数的数分为一组

Fix $n \in \mathbb{Z}_+$, we call $[a]_n = [a]$ the **congruence class** of a modulo n.

$$[a] = \{b \in \mathbb{Z} | b \equiv a \mod n\} = \{a + kn | k \in \mathbb{Z}\}\$$

5.4.1 Set of congruence classes of mod n: $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\} = \{[0], [1], ..., [n-1]\}$

The set of congruence classes of mod n is denoted $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\}$

Proposition 14 (Proposition 1.5.2.). For any $n \ge 1$ there are exactly n congruences classes modulo n, which we may write as

$$\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$$

证明.

For any $a \in \mathbb{Z}$. By Euclidean algorithm, a = qn + r, $q, r \in \mathbb{Z}$, $0 \le r < n \Rightarrow a \in [r]$. So, $\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$.

When $0 \le a < b \le n-1$, $n \nmid (b-a)$, so $[a] \ne [b]$ the *n* congruence classes listed are all distinct. Hence, there are exactly *n* congruence classes.

5.4.2 Proposition 1.5.5: Addition and Multiplication on Congruence Classes

Fix $n \in \mathbb{Z}$, we define addition+ and multiplication on \mathbb{Z}_n :

$$[a] + [b] = [a+b] = \{a+b+(k+j)n|k, j \in \mathbb{Z}\}$$
$$[a] \cdot [b] = [ab] = \{ab+(aj+bk+kjn)n|k, j \in \mathbb{Z}\}$$

This is well defined, follows Lemma 1.5.3.

Proposition 15 (Proposition 1.5.5.). Let $a, b, c, d, n \in \mathbb{Z}, n \geq 1$, then

- (i) Addition and multiplication are commutative and associative operations in \mathbb{Z}_n .
- (ii) [a] + [0] = [a].
- (iii) [-a] + [a] = [0].
- (iv) [1][a] = [a].
- (v) [a]([b] + [c]) = [a][b] + [a][c].

证明.

5.4.3 Units(i.e. invertible) in Congruence Classes

将与 n 互质的数分为一组

Say $[a] \in \mathbb{Z}_n$ is a **unit** or is **invertible** if $\exists [b] \in \mathbb{Z}_n$ so that [a][b] = [1].

5.4.4 Proposition 1.5.6: Set of units in congruence classes: $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$

The set of **invertible** elements in \mathbb{Z}_n will be denoted $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\}.$

Proposition 16 (Proposition 1.5.6.). For all $n \ge 1$, we have $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$.

证明.

By Proposition 1.4.8, we know there exists b, c s.t. ab + cn = 1. So, $ab \equiv 1 \mod n$, [1] = [ab] = [a][b]. So, $\{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\} \subset \mathbb{Z}_n^{\times}$

[a] is a unit
$$\Rightarrow \exists [b] \in \mathbb{Z}_n$$
 so that $[a][b] = [ab] = [1] \Rightarrow ab = 1 + kn, k \in \mathbb{Z} \Rightarrow ab - kn = 1, k \in \mathbb{Z} \Rightarrow gcd(a, n) = 1$. So, $\mathbb{Z}_n^{\times} \subset \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$.

Note 3. Inverse of [a] is unique, i.e. $[b] = [a]^{-1}$ is unique.

$$[a][b] = 1, [a][b'] = 1 \Rightarrow [b] = [b][1] = [b][a][b'] = [b']$$

5.4.5 Corollary 1.5.7: if p is prime, $\varphi(p) = \mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}$

Corollary 2 (Corollary 1.5.7). If $p \ge 2$ is prime, $\mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}.$

5.5 Euler phi-function: $\varphi(n) = |\mathbb{Z}_n^{\times}|$

Euler phi-function: $\varphi(n) = |\mathbb{Z}_n^{\times}|$.

p prime, $\varphi(p) = p - 1$.

5.5.1 $m|n, \pi_{m,n}([a]_n) = [a]_m$

Example 16 (Exercise 1.5.4). If m|n, we can define $\pi_{m,n}: \mathbb{Z}_n \to \mathbb{Z}_m$ by $\pi_{m,n}([a]_n) = [a]_m$. Prove it is well-defined.

证明.

We write $[a]_n = [c]_n$, verify that $[a]_m = [c]_m$.

Since m|n, there exists $k \in \mathbb{Z}$ s.t. n = km.

$$[a]_n = [c]_n \Rightarrow \exists j \in \mathbb{Z} \text{ s.t. } c = a + jn.$$

$$[c]_m = [a+jn]_m = [a+jkm]_m = [a]_m$$

5.6 Theorem 1.5.8(Chinese Remainder Theorem): $n = mk, gcd(m, k) = 1, F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$

Theorem 11 (Theorem 1.5.8(Chinese Remainder Theorem)). If m, n, k > 0, n = mk, gcd(m, k) = 1, then $F : \mathbb{Z}_n \to \mathbb{Z}_m \times \mathbb{Z}_k$ which is given by $F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$, then F is a bijection.

证明.

- (1)Injective: $F([a]_n) = F([b]_n) \Rightarrow [a]_m = [b]_m, [a]_k = [b]_k$ i.e. $a \equiv b \mod m, a \equiv b \mod n$. $\exists i, j \in \mathbb{Z}$ s.t. $b = a + im = a + jk \Rightarrow k|im$. Since gcd(m, k) = 1, $k|i \Rightarrow n = mk|im$. Then $[b]_n = [a]_n + [im]_n = [a]_n$.
- (2) Surjective: prove $\forall u, v \in \mathbb{Z}, \exists a \mathbb{Z} \text{ s.t. } [a]_m = [u]_m, [a]_k = [v]_k.$

Since gcd(m, k) = 1, $\exists s, t \in \mathbb{Z}$ so that 1 = sm + tk.

Let
$$a = (1 - tk)u + (1 - sm)v$$
, $[a]_m = [(u - v)sm + v]_m = [v]_m$, $[a]_k = [(v - u)tk + u]_k = [u]_k$.

Note 4.
$$F([a]_n[b]_n) = F([ab]_n) = ([ab]_m, [ab]_k) = ([a]_m[b]_m, [a]_k[b]_k)$$

Since F is a bijection, $[ab]_n = [1]_n$ iff $([a]_m[b]_m, [a]_k[b]_k) = ([1]_m, [1]_k)$.

5.6.1 Proposition 1.5.9+Corollary 1.5.10: m, n, k > 0, n = mk, gcd(m, k) = 1, then $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$, then $\varphi(n) = \varphi(m)\varphi(k)$

Proposition 17 (Proposition 1.5.9+Corollary 1.5.10). If m, n, k > 0, n = mk, gcd(m, k) = 1, then $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$, then $\varphi(n) = \varphi(m)\varphi(k)$.

5.7 prime factorization: $n = p_1^{r_1}...p_k^{r_k}$, then $\varphi(n) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$

Proposition 18. If $n \in \mathbb{Z}$ is positive integre with prime factorization $n = p_1^{r_1}...p_k^{r_k}$, then $\varphi(n) = (p_1 - 1)p_1^{r_1-1}...(p_k - 1)p_k^{r_k-1}$

证明.

 $\mathbb{Z}_{p^r} = \{[0], [1], ..., [p^r - 1]\}, \text{ the number of multiples of } p \text{ is } \frac{p^r}{p} = p^{r-1}. \text{ Then } \varphi(p^r) = |\mathbb{Z}_{p^r}^{\times}| = p^r - p^{r-1} = (p-1)p^{r-1}. \text{ So,}$

$$\varphi(n) = \varphi(p_1^{r_1})...\varphi(p_k^{r_k}) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$$

6 Group

6.1 Group (G,*): a set with a binary operation(associative, identity, inverse)

6.1.1 Definition

A group is a nonempty set G with a binary operation $*: G \times G \to G$ s.t.

- (1) Binary operation on $G, *: G \times G \rightarrow G$
- (2) * is associative
- (3) G contains an **identity** element e for $*: \exists e \in G$ s.t. $e*g = g*e = g \ \forall g \in G$
- (4) Each element $a \in G$ has an **inverse** $b \in G$ s.t. a * b = b * a = e.

A Group is **abelian** if moreover

(5) * is commutative.

|G| = Order of a group (G, *)

 $(\mathbb{Z},+)$ is a group and + is commutative, we call this kind of groups(statify commutative) abelian group.

Example 17. If \mathbb{F} is a field, then $(\mathbb{F},+)$ and $(\mathbb{F}^{\times},\cdot)$ are abelian group.

Example 18. If V is a vector space over \mathbb{F} , then (V, +) abelian group.

As we know a V is a vector space over \mathbb{F} means V is a field whose subfields include \mathbb{F} .

6.1.2 Uniqueness of identity and inverse

Lemma 3. 1. Identity of a group is unique. 2. Inverse of any element in a group is also unique. 证明.

- 1. Let e, e' be two identities in G, then e * e' = e = e'.
- 2. Suppose b, c are both inverse of a, then

$$b = b * e = b * (a * c) = (b * a) * c = e * c = c$$

6.1.3 Examples: Permutation group Sym(X), Klein 4-group, alternating group A_n , Dihedral group

Example 19. If X is any nonempty set, permutation group of $X : \{\sigma : X \to X | \sigma \text{ is a bijection}\}$, then

- 1. \circ is associative;
- 2. $id: X \to X$, $id(x) = x \ \forall x \in X$ is the idenity;
- 3. $\sigma \in Sym(X), \sigma^{-1} \in Sym(X)$ is the inverse function.

 $(Sym(X), \circ)$ is a group called the symmetric group of X

Example 20. The Klein four-group is a group with four elements, in which each element is self-inverse (composing it with itself produces the identity) and in which composing any two of the three non-identity elements produces the third one. For example, $K < S_4$

$$K = \{(1), (12)(34), (13)(24), (14)(23)\}$$

Example 21. An alternating group is the group of even permutations of a finite set. An alternating group of degree n, A_n .

The cycle structure of A_5 ,

- (1) (abcde) even
- (3) (abc) even
- (4) (ab)(cd) even (odd permutation \times odd permutation)
- (6) e even

Example 22 (Dihedral group).

The dihedral group of order 2n, denoted D_{2n} , is the group of symmetries of a regular n-gon $A_1 A_2 ... A_n$, which includes rotations and reflections. It consists of the 2n elements

$$\{1, \rho, \rho^2, \dots, \rho^{n-1}, \sigma, \sigma\rho, \sigma\rho^2, \dots, \sigma\rho^{n-1}\}$$
.

The element ρ corresponds to rotating the n-gon by $\frac{2\pi}{n}$, while σ corresponds to reflecting it across the line OA_1 (here O is the center of the polygon). So $\rho\sigma$ mean "reflect then rotate" (like with function composition, we read from right to left). In particular, $\rho^n = \sigma^2 = 1$. You can also see that $\rho^k \sigma = \sigma \rho^{-k} = \sigma \rho^{n-k}$.

6.1.4 Cancelation Laws

Theorem 12. Let G be a group. The left and right cancelation laws hold in G:

1.
$$a * x = a * y \Rightarrow x = y$$

2.
$$x * a = y * a \Rightarrow x = y$$

证明.

Let a*x = a*y. $\exists a'$ s.t. a'*a = e. $a'*(a*x) = a'*(a*y) \Rightarrow (a'*a)*x = (a'*a)*y \Rightarrow e*x = e*y \Rightarrow x = y$ Similar for the right cancel law.

6.1.5 Unique Solution of Linear Equation

Theorem 13. The linear equation a * x = b and y * a = b has unique solution.

证明.

- 1. Existence: Multiply by a': $a' * (a * x) = a' * b \Rightarrow x = a' * b$ is a solution.
- 2. Uniqueness: if x' is another, $a * x = a * x' = b \Rightarrow x = x'$

6.2 Subgroup: $H \leq G$

Definition 10. A subset $H \subseteq G$ is a subgroup of G if H is itself a group.

write $H \leq G$, H < G if H is a subgroup of (G, *). (If H = G, H is an improper subgroup. If $H \subsetneq G$, H is an proper subgroup.)

If $H = \{e\}$, then H is a trivial subgroup.

If $H \neq \{e\}$, then H is a nontrivial subgroup.

Theorem 14. A subset $H \subseteq G$ is a subgroup of G if and only if

- 1. H is closed under *. $(\forall g, h \in H, g * h \in H)$
- 2. $identity e \in H$.
- 3. Each $a \in H$, the inverse $a' \in H$

证明.

" \Rightarrow ": if $H \leq G$ be a subgroup.

- 1. H is a group $\Rightarrow *$ is a binary operation on $H, *: H \times H \to H$ i.e. H is closed under *.
- 2. Identity of H, e_H is also a identity of G, due to the uniqueness of identity, $e_H = e_G$.
- 3. $a \in H$, a's inverse $a'_H \in H$ is also an inverse in G, due to the uniqueness of identity, $a'_H = a'_G$.

 " \Leftarrow ":
 - 1. H is closed under $* \Rightarrow *$ is a binary operation on H.
 - 2. 2,3 fufill the requirement of identity and inverse.
 - 3. * is operation of group $G \Rightarrow$ * is associative. Hence H is itself a group.
 - 4. H is a subsect of G, then H is s subgroup of G.

6.2.1 Proposition 2.6.8: H < G, (H, *) is a group: A group's operation with its any subgroup is also a group

不同的 definition.

Proposition 19 (Proposition 2.6.8). If (G,*) is a group, $H \subset G$ is a subgroup, then (H,*) is a group.

Example 23. (G,*) is a group, then e < G, G < G.

Example 24. $\mathbb{K} \subset \mathbb{F}$ is a subfield, then $\mathbb{K} < \mathbb{F}$, $\mathbb{K}^{\times} < \mathbb{F}^{\times}$.

Example 25. $W \subset V$ is a vector subspace, W < V.

Example 26. $1 \in S^1 \subset \mathbb{C}^{\times}$, $S^1 = \{z \in \mathbb{C} | |z| = 1\}$. S^1 is a subgroup.

证明.

 $S^1 = \{e^{i\theta} | \theta \in \mathbb{R}\}.$ For any $e^{i\theta}, e^{i\psi} \in S^1, e^{i\theta}e^{i\psi} = e^{i(\theta+\psi)} \in S^1, e^{-i\theta} \in S^1.$

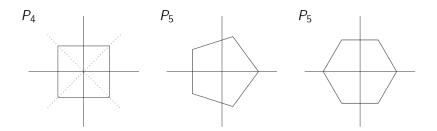
Example 27. $Isom(\mathbb{R}^n) < Sym(\mathbb{R}^n)$

Example 28. If \mathbb{F} is a field, $Aut(\mathbb{F}) = \{ \sigma : \mathbb{F} \to \mathbb{F} \in Sym(\mathbb{F}) | \sigma(a+b) = \sigma(a) + \sigma(b), \sigma(ab) = \sigma(a)\sigma(b) \} < Sym(\mathbb{F})$

Example 29. Dihedral Groups:

保留多边形

Let $P_n \subset \mathbb{R}^2$ be a regular n - gon



 $D_n < Isom(\mathbb{R}^2), D_n = \{ \Phi \in Isom(\mathbb{R}^2) | \Phi(P_n) = P_n \}$

6.3 Some Properties of Group Operation

Proposition 20 (Proposition 3.1.1). Let (G,*) be a group with identity $e \in G$, then

- (1) if $g, h \in G$ and either g * h = h or h * g = h, then g = e
- (2) if $g, h \in G$ and g * h = e then $g = h^{-1}$ and $h = g^{-1}$

Corollary 3.1.2). $e^{-1} = e$, $(g^{-1})^{-1} = g$, $(g * h)^{-1} = h^{-1} * g^{-1}$

6.4 Power of an Element

We define g^n recursively for $n \ge 0$ by setting $g^0 = e$ and for $n \ge 1$, we set $g^n = g^{n-1} * g$. For $n \le 0$, we define $g^n = (g^{-1})^{-n}$.

Proposition 21 (Proposition 3.1.5). (1) $g^n * g^m = g^{n+m}$; (2) $(g^n)^m = g^{nm}$

6.5 $(G \times H, \circledast)$: <u>Direct Product</u> of G and H

(G,*) a group (H,*) a group. Define an operation on $G \times H$, \circledast :

$$(h,k) \circledast (h',k') = (h*h',k*k')$$

6.5.1 Proposition 3.1.7: $(G \times H, \circledast)$ is a group

Proposition 22 (Proposition 3.1.7). $(G \times H, \circledast)$ is a group. The identity is (e_G, e_H) , inverse is (g^{-1}, h^{-1})

usually written as

$$(h,k)(h',k') = (hh',kk')$$

6.6 Subgroups and Cyclic Groups

6.6.1 Intersection of Subgroups is a Subgroup

Proposition 23 (Proposition 3.2.2). Let G be a group and suppose \mathcal{H} is any collection of subgroups of G. Then $K = \bigcap_{H \in \mathcal{H}} H < G$ is a subgroup of G.

6.6.2 Subgroup Generated by $A: \langle A \rangle$

We define **Subgroup Generated by** A:

$$\langle A \rangle = \cap_{H \in \mathcal{H}(A)} H$$

where $\mathcal{H}(A)$ is the set of all subgroups of G containing the set A:

$$\mathcal{H}(A) = \{ H < G | A \subset H \text{ and } H \text{ is a subgroup of } G \}$$

6.6.3 Cyclic Group: group generated by an element

A group G is <u>cyclic</u> if exists g (an element), $\langle g \rangle = G$. g is called a generator for G in this case.

Easy to prove

$$G = \langle g \rangle = \{...g^{-2}, g^{-1}, e, g^1, g^2...\}$$

6.6.4 Cyclic Subgroup

If A is a subgroup of G, and $A = \langle \{a\} \rangle = \langle a \rangle$. Then A is the <u>cyclic subgroup</u> generated by a: $A = \langle a \rangle \leq G$

$$\langle a \rangle = \{...a^{-2}, a^{-1}, e, a^1, a^2...\}$$

6.6.5 Subgroups of a Cyclic Group must be Cyclic

Theorem 15. A subgroup of a cyclic group is cyclic.

证明.

Let $G = \{a^n : n \in \mathbb{Z}\}$ be a cyclic group. Let $H \leq G$ be a subgroup.

- 1. If $H = \{e\}$, then H is cyclic.
- 2. If $H \neq \{e\}$, then $a^n \in H$ for some n > 0. Check m be the minimal among all n.

$$\underline{\text{Claim}}: H = \langle a^m \rangle$$

<u>Proof</u>: Clearly $\langle a^m \rangle \subset H$. $\forall a^n \in H$, $n = qm + r, 0 \le r < m$. Then $a^r = a^n (a^m)^{-q}$. Since m is the minimal positive integer s.t. $a^m \in H$, r = 0. $\Rightarrow n = qm \Rightarrow a^n \in \langle a^m \rangle$. Hence $H = \langle a^m \rangle$ which is cyclic.

Example 30 (Subgroups of $(\mathbb{Z}, +)$).

 \mathbb{Z} is a cyclic group $\langle 1 \rangle$. Its subgroups are $\langle n \rangle \leq \mathbb{Z}$ for some $n \geq 0$. (which is a multiplier of n. $(n\mathbb{Z})$) $n = 0, H = \{0\}; n = 1, H = \mathbb{Z}; n = 2, H = 2\mathbb{Z}$

6.6.6 Theorem: $\langle a^v \rangle < \langle a^n \rangle \Rightarrow \langle a^v \rangle = \langle a^d \rangle, d = \gcd(v, n), |\langle a^v \rangle| = \frac{n}{d}$

Theorem 16. Let G be a cyclic group of order n. $(G = \{1, a, a^2, ..., a^{n-1}\}, where <math>a^n = 1$.). Let $H = \langle a^v \rangle$ be a subgroup of G. Then H is generated by a^d (i.e. $H = \langle a^d \rangle$), $d = \gcd(v, n)$ and $|H| = \frac{n}{d}$.

证明.

Let $H' = \langle a^d \rangle$, we need to show that H = H'. $d = gcd(v, n) = d|v \Rightarrow a^v \in \langle a^d \rangle \Rightarrow H \subset H'$. While d = sv + tn for some $s, t. \Rightarrow a^d = (a^v)^s(a^n)^t$. Since $a^n = 1$, $a^d = (a^v)^s \Rightarrow H' \subset H$. Hence, $H = H' = \langle a^v \rangle$. $H = \{1, a^d, a^{2d}, ..., a^{n-d}\}, |H| = \frac{n}{d}$

6.6.7 Corollary 3.2.4: G is a cyclic group $\Rightarrow G$ is abelian

Corollary 4 (Corollary 3.2.4). If G is a cyclic group (i.e. exits $g \in G$ s.t. $\langle g \rangle = G$), then G is abelian (i.e. commutative).

6.6.8 Equivalent properties of order of $g: |g| = |\langle g \rangle| < \infty$

Proposition 24 (Proposition 3.2.6). Let G be a group for $g \in G$, the following are equivalent:

- (i) $|g| < \infty$
- (ii) $\exists n \neq m \text{ in } \mathbb{Z} \text{ so that } g^n = g^m$
- (iii) $\exists n \in \mathbb{Z}, \ n \neq 0 \text{ so that } g^n = e$
- (iv) $\exists n \in \mathbb{Z}_+$ so that $g^n = e$

If $|g| < \infty$, then $|g| = \text{smallest } n \in \mathbb{Z}_+$ so that $g^n = e$, and $\langle g \rangle = \{e, g, g^2, \dots, g^{n-1}\} = \{g^n \mid n = 0, \dots, n-1\}$

6.6.9 $(\mathbb{Z},+)$ Theorem **3.2.9:** $\langle a \rangle < \langle b \rangle$ if and only if b|a

Theorem 17 (Theorem 3.2.9). If $H < \mathbb{Z}$ is a subgroup, then either $H = \{0\}$, or else $H = \langle d \rangle$, where

$$d = \min\{h \in H | h > 0\}$$

Consequently, $a \to \langle a \rangle$ defines a **bijection** from $N = \{0, 1, 2, ...\}$ to the set of subgroups of \mathbb{Z} . Furthermore, for $a, b \in \mathbb{Z}_+$, we have $\langle a \rangle < \langle b \rangle$ if and only if b | a.

6.6.10 $(\mathbb{Z}_n,+)$ Theorem **3.2.10**: $\langle [d] \rangle < \langle [d'] \rangle$ if and only if d'|d

Theorem 18 (Theorem 3.2.10). For any $n \geq 2$, if $H < \mathbb{Z}_n$ is a subgroup, then there is a positive divisor d of n so that

$$H = \langle [d] \rangle$$

Furthermore, this defines a bijection between divisors of H and subgroups of \mathbb{Z}_n . Furthermore, if d, d' > 0 are two divisors of n, then $\langle [d] \rangle < \langle [d'] \rangle$ if and only if d'|d.

If $H = \langle [d] \rangle$ is a subgroup of H, then $[n] \in H$, so d|n. And $|H| = |\langle [d] \rangle| = \frac{n}{d}$, so |H||d

6.6.11 Subgroup Lattice

The set of all subgroups of a group of G, together with the data of which subgroups contain which others is called the **subgroup lattice**. We often picture the subgroup lattice in a diagram with the entire group at the top, the trivial subgroup $\{e\}$ at the bottom, and the intermediate subgroups in the middle, with lines drawn from subgroups up to larger groups.

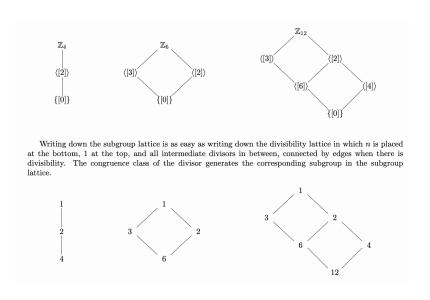


图 2:

6.7 Homomorphism

6.7.1 Definition: Homomorphism

Definition 11. If (G, *) and (H, \circ) are groups, then a function $f: G \to H$ is a **homomorphism** if

$$f(x * y) = f(x) \circ f(y), \ \forall x, y \in G$$

If f is also a bijection, then f is called an **isomorphism**.

Example 31.

1. $\phi: (\mathbb{R}, +) \to (\mathbb{R}^*, x)$ $\phi(x) = 2^x$. Then

$$\phi(x+y) = 2^{x+y} = 2^x 2^y = \phi(x)\phi(y)$$

 ϕ is a homonorphism.

2. $\phi: G \to G$ $\phi(g) = g^{-1}$. Then

$$\phi(gh) = (gh)^{-1} = h^{-1}g^{-1} = \phi(h)\phi(g)$$

 ϕ is not a homomorphism in general; but it is homomorphism if it is abelian.

6.7.2 Properties of Homomorphism

Theorem 19. Let ϕ be a homomorphism of a group G into a group G', then

- 1. if $e \in G$ is an identity in G, then $\phi(e) \in G'$ is the identity in G'.
- 2. if $a \in G$ has inverse $a' \in G$, then $\phi(a) \in G'$ has inverse $\phi(a') \in G'$.
- 3. if $H \leq G$ is a subgroup of G, then the image $\phi(H) = \{\phi(h) : h \in G\} \leq G'$ is a subgroup of G'.
- 4. if $K' \leq G'$ then the inverse image $\phi^{-1}(K') = \{x \in G : \phi(x) \in K'\} \leq G$.

6.7.3 Kernel of Homomorphism

Definition 12. Let $\phi: G \to G'$ be a homomorphism of groups. The subgroup $\phi^{-1}(e') = \{x \in G : \phi(x) = e'\}$ is the kernel of ϕ , denoted by $Ker(\phi)$.

$$Ker(\phi) \stackrel{def}{=} \phi^{-1}(e') = \{ x \in G : \phi(x) = e' \}$$

Theorem 20 (Ker ϕ is normal). Let $\phi: G \to G'$ be a homomorphism. $H = Ker\phi$, then for all $a \in G$, $\phi^{-1}[\phi(a)] = \{x \in G : \phi(x) = \phi(a)\}$ is the left coset aH of H, and is also the right coset Ha of H.

$$aH = Ha = \{x \in G : \phi(x) = \phi(a)\}\$$

证明.

$$\phi(x) = \phi(a)$$

$$\Leftrightarrow \phi(x)\phi(a)^{-1} = e'$$

$$\Leftrightarrow \phi(x)\phi(a^{-1}) = e'$$

$$\Leftrightarrow \phi(xa^{-1}) = e'$$

$$\Leftrightarrow xa^{-1} \in H$$

$$\Leftrightarrow x \in Ha$$

Similarity, we can prove $x \in aH$.

Theorem 21. A homomorphism is injective if and only if $Ker(\phi) = \{e\}$.

证明.

$$\phi(x) = \phi(y) \Leftrightarrow \phi(x)\phi^{-1}(y) = e'$$
$$\phi(x)\phi(y^{-1}) = e'$$
$$\phi(xy^{-1}) = e'$$
$$\Leftrightarrow xy^{-1} \in Ker(\phi)$$

Hence, we can also prove that

$$xy^{-1} \in Ker(\phi) \Leftrightarrow x = y \text{ if and only if } Ker(\phi) = \{e\}$$

6.8 Isomorphism

6.8.1 Definition: Isomorphism

Definition 13. We say that G and H are **isomorphic** if exists an **isomorphism** f, denoted by $G \cong H$ or $G \simeq H$. (since f is bijection, $G \cong H \Leftrightarrow H \cong G$)

Isomophic means these two pathes are the same.

$$\begin{array}{cccc} G\times G \stackrel{*}{\longrightarrow} & G \stackrel{f}{\longrightarrow} & H \\ G\times G \stackrel{(f,f)}{\longrightarrow} & H\times H \stackrel{\circ}{\longrightarrow} & H \end{array}$$

Example 32. $(\mathbb{Z}_2, +)$, $(\{-1, 1\}, \times)$ and $\phi : 0 \to 1; 1 \to -1$.

$$\phi(0+0) = 1 = \phi(0) \times \phi(0)$$

$$\phi(0+1) = -1 = \phi(0) \times \phi(1)$$

$$\phi(1+1) = 1 = \phi(1) \times \phi(1)$$

$$\textbf{6.8.2} \quad \textbf{Theorem: } \begin{cases} \sigma: G \to G' \text{ injective} \\ \sigma(xy) = \sigma(x)\sigma(y) \ \forall x,y \in G \end{cases} \Rightarrow \sigma(G) \leq G', \ G \text{ is isomorphic to } \sigma(G)$$

Theorem 22. Let $\sigma: G \to G'$ be an injective map s.t.

$$\sigma(xy) = \sigma(x)\sigma(y), \ \forall x, y \in G$$

Then the image $\sigma(G) = \{\sigma(x) : x \in G\}$ is a subgroup of G' that is isomorphic to G. 证明.

- 1. Closed: $\forall a = \sigma(x), b = \sigma(y) \in \sigma(G)$, then $ab = \sigma(x)\sigma(y) = \sigma(xy) \in \sigma(G)$.
- 2. Identity: $\sigma(e) \in \sigma(G)$ is an identity for $\sigma(G)$: $\sigma(e)\sigma(x) = \sigma(ex) = \sigma(x) = \sigma(x) = \sigma(x)$
- 3. Inverse: $\sigma(x^{-1})$ is an inverse in $\sigma(G)$ for $\sigma(x)$: $\sigma(x^{-1})\sigma(x) = \sigma(e) = \sigma(x)\sigma(x^{-1})$

6.8.3 Cayley Theorem: G is isomorphic to a subgroup of S_G

Theorem 23 (Cayley Theorem). Let G be a group and S_G is the symmetric group of G (the group of all permutation of G: $S_G = \{Bijection \ \sigma : G \to G\}$) Then G is isomorphic to a subgroup of S_G . 证明.

Set a bijection $\phi: G \to S_G$ such that $\phi(g) = \lambda_g, \forall g \in G$, where λ_g is a permutation $\lambda_g: x \to gx$. Claim: $\lambda_g \in S_G$ (i.e. λ_g is a permutation of G, a bijection $G \to G$).

1. $\lambda_q: G \to G$ is injective

$$\lambda_g(x) = \lambda_g(y)$$

$$\Leftrightarrow gx = gy$$

$$\Leftrightarrow x = y$$

2. $\lambda_g: G \to G$ is surjective. Let $y \in G$

$$\lambda_g(x) = y$$

$$\Leftrightarrow gx = y$$

$$\Leftrightarrow x = g^{-1}y$$

Claim: $\phi(x)\phi(y) = \phi(xy)$

$$\phi(x)\phi(y) = \lambda_x \circ \lambda_y$$
$$(\lambda_x \circ \lambda_y)(z) = \lambda_x(yz) = xyz = \lambda_{xy}(z), \ \forall z \in G$$
$$\Rightarrow \phi(x)\phi(y) = \phi(xy)$$

According to previous theorem, $\phi(G) \leq G$ and G is isomorphic to $\phi(G)$.

6.9 Coset and Order

Definition 14. If H is a subgroup of a group G and $a \in G$, then $aH = \{ah | h \in H\} \leq G$ is called left coset of H.

Theorem 24. Let $H \leq G$, $a, b \in G$,

- 1. aH = bH if and only if $a^{-1}b \in H$
- 2. $aH \cap bH = \emptyset$ or aH = bH
- 3. $|aH| = |H| \ \forall a \in G$

证明.

- 1. Assume that $aH \cap bH \neq \emptyset$ and let $ah = bk \in aH \cap bH$ with $h, k \in H$. $ah = bk \Leftrightarrow h = a^{-1}bk \Leftrightarrow a^{-1}b = hk^{-1} \in H$, thus $a^{-1}b \in H$.
- 2. When $aH \cap bH \neq \emptyset \ \exists k_1, h \in H \ \text{such that} \ ak_1 = bh \in bH$. Then $\forall k_2 \in H \ a = bhk_1^{-1} \Rightarrow ak_2 = bhk_1^{-1}k_2$ where $hk_1^{-1}k_2 \in H \ \text{so} \ ak_2 \in bH$, $\forall k_2 \in H$.
- 3. $x \to ax$ is bijection $\Rightarrow |aH| = |H|$.

Claim 2. Coset can generate a partition of group:

$$G = a_1 H \cup a_2 H \cup \cdots \cup a_r H$$

6.9.1 index of a subgroup

Definition 15. Let H be a subgroup of a group G. The number of left cosets of H in G is the **index**.

Note: Since $|aH| = |H| \ \forall a \in G$, the index of a subgroup is the number of subgroups which have order |H|.

6.9.2 Lagrange Theorem: Order of subgroup divides the order of group

Theorem 25 (Lagrange Theorem). Let $H \leq G$ be a subgroup of finite group G. Then the order |H| divides the order |G|.

证明.

Give a partition

$$G = a_1 H \cup a_2 H \cup \dots \cup a_r H$$
$$|G| = |a_1 H| + |a_2 H| + \dots + |a_r H|$$
$$= r|H| \to |H| \Big| |G|$$

6.9.3 Theoerm: Order of element $a \in G = |\langle a \rangle|$ divides |G|

Theorem 26 (Order of element/cyclic subgroup). For $a \in G$, the order of a (the smallest m such that $a^m = e$) divides |G|. The order of a is the order of cyclic subgroup $\langle a \rangle$ with generator a.

证明.

For
$$a \in G$$
, $H = \{a^n, n \in \mathbb{Z}\} \leq G$. H is the size of m . With lagrange theorm, $|H| = m |G|$

Corollary 5. Every group of prime order is cyclic.

6.9.4 Theorem: Order *n* cyclic group is isomorphic to $(\mathbb{Z}_n, +_n)$

Theorem 27. Let G be a cyclic group with generator a. If the order of G is infinite, then G is isomorphic to $(\mathbb{Z}, +)$. If G has finite order n, then G is isomorphic to $(\mathbb{Z}_n, +_n)$.

6.10 Direct Products

6.10.1 Cartesian product

Let $G_1, G_2, ..., G_n$ be n groups. Let $G = G_1 \times G_2 \times \cdots \times G_n$ be the Cartesian product. For $g \in G$, $g = (g_1, ..., g_n)$, $g_i \in G_i$.

Theorem 28. Then (G,*) becomes a group with operation * defined as

$$a * b = (a_1, ..., a_n) * (b_1, ..., b_n) = (a_1b_2, ..., a_nb_n)$$
 $a, b \in G$

证明.

- (1) Binary operation $*: G \times G \to G$.
- (2) * is associative:

$$(a*b)*c = a*(b*c) = (a_1b_1c_1, ..., a_nb_nc_n)$$

(3) Identity: $e = (e_1, ..., e_n) \in G$

$$e*a=a=a*e$$

(4) Inverse: $a^{-1} = (a_1^{-1}, ..., a_n^{-1}) \in G$

$$a * a^{-1} = a^{-1} * a = e$$

6.10.2 Theorem: $\mathbb{Z}_m \times \mathbb{Z}_n$ is cyclic and is isomorphic to $\mathbb{Z}_{mn} \Leftrightarrow gcd(m,n) = 1$

Theorem 29. The group $\mathbb{Z}_m \times \mathbb{Z}_n$ is cyclic and is isomorphic to \mathbb{Z}_{mn} if and only if gcd(m,n) = 1. 证明.

Claim: (1,1) generate $\mathbb{Z}_m \times \mathbb{Z}_n$

k(1,1)=(k,k)=(0,0) if and only if m|k and n|k. The smallest such k is k=lcm(m,n)=mn. Hence, $\mathbb{Z}_m \times \mathbb{Z}_n$ is a cyclic group with order mn. Then $\mathbb{Z}_m \times \mathbb{Z}_n$ is isomorphic to \mathbb{Z}_{mn} .

We can define an isomorphism

$$\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$$

and its inverse

$$\psi: \mathbb{Z}_{mn} \to \mathbb{Z}_m \times \mathbb{Z}_n$$

Since $\mathbb{Z}_{mn}\langle 1 \rangle$, $\mathbb{Z}_m \times \mathbb{Z}_n = \langle (1,1) \rangle$, we can write

$$\psi(x \bmod mn) = (x \bmod m, x \bmod n)$$

 ψ is well-defined.

To describe $\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$ at 1 = sm + tn and let

$$\phi(a \bmod m, b \bmod n) = (atn + bsm \bmod mn)$$

$$\psi(atn + bsm \bmod mn) = (atn + bsm \bmod m, atn + bsm \bmod n)$$

$$= (atn \bmod m, bsm \bmod n)$$

$$= (a(1 - sm) \bmod m, b(1 - tn) \bmod n)$$

$$= (a \bmod m, b \bmod n)$$

Hence ψ is the inverse of ϕ .

Corollary 6. The group $\prod_{i=1}^n \mathbb{Z}_{m_i}$ is cyclic and is isomorphic to $\mathbb{Z}_{m_1m_2\cdots m_n}$ if and only if the numbers m_i for i=1,...,n are such that the gcd of any two of them is 1.

Example 33. If n is written as a product of powers of distinct prime numbers, as it

$$n = (p_1)^{n_1} (p_2)^{n_2} \cdots (p_r)^{n_r}$$

then \mathbb{Z}_n is isomorphic to

$$\mathbb{Z}_{(p_1)^{n_1}} \times \mathbb{Z}_{(p_2)^{n_2}} \times \cdots \times \mathbb{Z}_{(p_r)^{n_r}}$$

6.10.3 Finitely Generated Abelian Groups

Theorem 30 (Primary Factor Version of the Fundamental Theorem of Finitely Generated Abelian Groups). Every finitely generated abelian group G is isomorphic to a direct product of cyclic groups in the form

$$\mathbb{Z}_{(p_1)^{r_1}} \times \mathbb{Z}_{(p_2)^{r_2}} \times \cdots \times \mathbb{Z}_{(p_n)^{r_n}} \times \mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}$$

where the p_i are primes, not necessarily distinct, and the r_i are positive integers. The number of factors of \mathbb{Z} and the prime powers $(p_i)^{r_i}$ are unique.

- $\mathbb{Z}_{mn} \simeq \mathbb{Z}_m \times \mathbb{Z}_n$ if gcd(m,n) = 1.
- Abelian $\Leftrightarrow \mathbb{Z}_m \times \mathbb{Z}_n = \mathbb{Z}_n \times \mathbb{Z}_m$

Example 34. Find all abelian group of order 16

5 nonisomorphic abelian group.

$$\begin{cases}
\mathbb{Z}_{16} \\
\mathbb{Z}_8 & \times \mathbb{Z}_2 \\
\mathbb{Z}_4 & \times \mathbb{Z}_4 \\
\mathbb{Z}_4 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 \\
\mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2
\end{cases}$$

Example 35.

$$\mathbb{Z}_6 \times \mathbb{Z}_{40} \times \mathbb{Z}_{49} \simeq \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_5 \times \mathbb{Z}_8 \times \mathbb{Z}_{49}$$
$$\mathbb{Z}_{210} \times \mathbb{Z}_{56} \simeq \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_2 \times \mathbb{Z}_5 \times \mathbb{Z}_7 \times \mathbb{Z}_8$$

6.11 Def: Normal Subgroup $H \triangleleft G : aH = Ha, \forall a \in G$

Definition 16. A subgroup $H \leq G$ is **normal** if its left and right cosets coincide, that is, if

$$aH = Ha, \quad \forall a \in G$$

Notation: $H \triangleleft G$

Note that all subgroups of abelian groups are normal.

6.11.1 Thm: Three ways to check if H is normal

Theorem 31. "H < G is a normal subgroup of G ($H \triangleleft G$)" is equivalent to

- (1) $ghg^{-1} \in H$ for all $g \in G$ and $h \in H$
- (2) $gHg^{-1} = H$ for all $g \in G$
- (3) gH = Hg for all $g \in G$

6.11.2 Thm: A subgroup is "Well-defined Left Cosets Multiplication" \Leftrightarrow "Normal"

Theorem 32. Let H be a subgroup of a group G. Then left coset multiplication is well defined by the equation

$$(aH)(bH) = (ab)H$$

if and only if $H \triangleleft G$ (H is a normal subgroup of G). i.e. $`x \in aH$ and $y \in bH \Rightarrow xy \in abH$ ' if and only if `aH = Ha, $\forall a \in G$ ' 证明.

- " \Rightarrow ": $\forall x \in aH, a^{-1} \in a^{-1}H \Rightarrow xa^{-1} \in H \Leftrightarrow x \in Ha \Rightarrow aH \subset Ha$; Similarly $a^{-1}H \subset Ha^{-1} \Leftrightarrow Ha \subset aH \Rightarrow aH = Ha$
- "\(\infty\)": Let $x \in aH$, $y \in bH$. Say $x = ah_1, y = bh_2$

$$xy = (ah_1)(bh_2)$$

$$= a(h_1b)h_2$$

$$= a(bh_3)h_2 \quad \text{(Since } bH = Hb\text{)}$$

$$= (ab)(h_3h_2) \in abH$$

6.12 Factor Group $G/H = \{aH : a \in G\}$

Definition 17. The group $G/H = \{aH : a \in G\}$ with (aH)(bH) = abH is the factor group (or quotient group) of G by H.

6.12.1 Def: kernel H forms a factor group G/H

Definition 18. Let $\phi: G \to G'$ be a homomorphism of groups with <u>kernel H</u>. Then the cosets of H form a **factor group**, $G/H = \{aH : a \in G\}$. where (aH)(bH) = (ab)H.

Also, the map $\mu: G/H \to \phi[G]$ defined by $\mu(aH) = \phi(a)$ is an isomorphism. Both coset multiplication and μ are well defined, independent of the choices a and b from the cosets.

6.12.2 Cor: $ker\phi$ is a normal subgroup

Corollary 7. $ker\phi$ is a normal subgroup: $ker\phi \triangleleft G$ for all homonorphisms.

6.12.3 Corollary: normal subgroup H forms a group G/H

By the Thm: A subgroup is "Well-defined Left Cosets Multiplication" \(\Delta \) "Normal".

Corollary 8. Let $H \triangleleft G$ be a **normal subgroup** of G. Then the cosets of H form a group $G/H = \{aH : a \in G\}$ under the binary operation (aH)(bH) = (ab)H.

证明.

- (1) * is associative.
- (2) G/H has an identity H.

$$H * aH = aH * H = aH$$

(3) $aH \in G/H$ has inverse $a^{-1}H$

Note: This corollary contains the defintion because $\underline{\text{kernel is normal subgroup}}(\text{kernel} \Rightarrow \text{normal subgroup})$. (We can then prove they are exactly the same in the next theorem (kernel \Leftarrow normal subgroup))

6.12.4 Thm: normal subgroup is a kernel of a surjective homomorphism $\gamma:G\to G/H$

For any normal subgroup $H \triangleleft G$, we can define $\gamma(x) = xH$ which is surjective with $ker\gamma = H$

Theorem 33. Let $H \triangleleft G$ be a normal subgroup of G. Define $\gamma : G \rightarrow G/H$, $\gamma(x) = xH$. Then γ is a surjective homomorphism with $ker \gamma = H$.

证明.

- 1. γ is surjective homomorphism: $\gamma(ab) = abH = (aH)(bH) = \gamma(a)\gamma(b)$
- 2. $ker\gamma = H$: The identity in G/H is the coset H.

$$ker\gamma = \gamma^{-1}(H) = \{a \in G : \gamma(a) = aH = H\}$$

= $\{a \in G : a \in H\} = H$

6.12.5 The Fundamental Homomorphism Theorem: Every homomorphism ϕ can be factored to a homomorphism $\gamma:G\to G/H$ and isomorphism $\mu:G/H\to\phi[G]$

Theorem 34 (The Fundamental Homomorphism Theorem).

Homomorphism $\phi: G \to G'$ with kernel H can be **factored**

$$\phi = \mu \gamma$$

where $\gamma: G \to G/H$ is a <u>homomorphism</u>, $\mu: G/H \to \phi[G]$ is an <u>isomorphism</u> where $\gamma(g) = gH$, $\mu(gH) = \phi(g)$

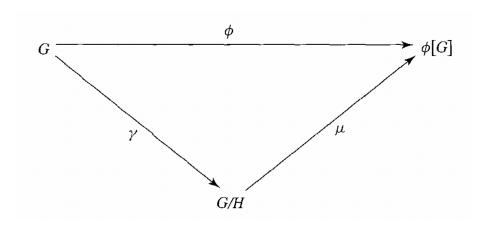


图 3: The Fundamental Homomorphism Theorem

Let $\phi: G \to G'$ be a group homomorphism with kernel H.

Then $\phi[G]$ is a group isomorphic to G/H, and $\mu: G/H \to \phi[G]$ given by $\mu(gH) = \phi(g)$ is an isomorphism. (If $\gamma: G \to G/H$ is the homomorphism given by $\gamma(g) = gH$, then $\phi(g) = \mu\gamma(g)$ for each $g \in G$.)

证明. i.e. prove μ is (1) well-deifined, (2) isomorphism.

(1) well-defined: if aH = bH, then $a^{-1}b \in H$,

$$\mu(bH) = \mu((a(a^{-1}b))H) = \phi(a(a^{-1}b)) = \phi(a)\phi(a^{-1}b) = \phi(a) = \mu(aH)$$

(2) homomorphism:

$$\mu(aHbH) = \mu(abH) = \phi(ab) = \phi(a)\phi(b) = \mu(aH)\mu(bH)$$

(3) isomorphism i.e. prove $ker(\mu)$ is exactly the identity in G/H:

$$\mu(aH) = e' = \phi(a) \Leftrightarrow a \in ker(\mu), a \in ker(\phi) = H$$

 $\Leftrightarrow aH = H, \quad aH \text{ is the identity in } G/H$

Corollary 9. Let $\phi: G \to G'$ be a homomorphism for finite group G, G'.

Then
$$(1).|\phi(G)| |G|; (2).|\phi(G)| |G'|$$

证明.

- (1) According to the Fundamental Homomorphism theorem, $\phi(G)$ is one-to-one corresponse to G/H (H is the kernel of G), then $|\phi(G)| = |G/H| = |\{aH : a \in G\}| \Rightarrow |\phi(G)| = |G|/|H|$
- (2) Proved by Lagrange theorem.

6.12.6 Thm: $(H \times K)/(H \times e) \simeq K$ and $(H \times K)/(e \times K) \simeq H$

Theorem 35. Let $G = H \times K$ be the direct product of groups H and K. Then $\bar{H} = \{(h, e) \mid h \in H\}$ is a normal subgroup of G. Also G/\bar{H} is isomorphic to K in a natural way. Similarly, $G/\bar{K} \simeq H$ in a natural way.

证明. $\pi: H \times K \to K$ where $\pi(h, k) = k$ has kernal $\bar{H} = \{(h, e) \mid h \in H\}$, then $H \times K/\bar{H}$ is isomorphic to K. Prove $G/\bar{K} \simeq H$ in the same way.

6.12.7 Thm: factor group of a cyclic group is cyclic [a]/N=[aN]

Theorem 36. A factor group of a cyclic group is cyclic. [a]/N = [aN]

- **6.12.8** Ex: 15.11 example $\mathbb{Z}_4 \times \mathbb{Z}_6/(\langle (2,3) \rangle) \simeq \mathbb{Z}_4 \times \mathbb{Z}_3$ or \mathbb{Z}_{12}
- **6.12.9** Thm: Homomorphism $\phi: G \to G'$ preserves normal subgroups between G and $\phi[G]$.

Theorem 37. Let $\phi: G \to G'$ be a group homomorphism. If N is a normal subgroup of G, then $\phi[N]$ is a normal subgroup of $\phi[G]$. Also, if N' is a normal subgroup of $\phi[G]$, then $\phi^{-1}[N']$ is a normal subgroup of G.

Note: $\phi[N]$ is a normal subgroup of $\phi[G]$ not G'. Counterexample: $\phi: \mathbb{Z}_2 \to S_3$, where $\phi(0) = \rho_0$ and $\phi(1) = \mu_1$ is a homomorphism, and \mathbb{Z}_2 is a normal subgroup of itself, but $\{\rho_0, \mu_1\}$ is not a normal subgroup of S_3 .

6.13 Def: automorphism, inner automorphism

Definition 19.

An isomorphism $\phi: G \to G$ of a group G with itself is an <u>automorphism</u> of G. The automorphism $\phi_g: G \to G$, where $\phi_g(x) = gxg^{-1}$ for all $x \in G$, is the <u>inner automorphism</u> of G by g. Performing ϕ_g on x is called conjugation of x by g.

6.14 Simple Groups

Definition 20. A group G is \underline{simple} if it is nontrivial $(G \neq \{e\})$ and has no proper nontrivial normal subgroups. $(\nexists H \neq \{e\} \triangleleft G)$

Theorem 38. The alternating group A_n is simple for $n \geq 5$ (alternating group is a group of even permutations on a set of length n)

6.15 The Center and Commutator Subgroups

6.15.1 Def: center and commutator subgroup

Theorem 39. All finite subgroup G have two normal subgroups,

- (1) The center of G, $Z(G) = \{z \in G : za = az, \forall a \in G\} \triangleleft G$
- (2) The commutator subgroup of G, $C(G) = [G, G] = \{[a, b] : a, b \in G\}$.

Definition 21. $[a,b] = aba^{-1}b^{-1}$ is the <u>commutator</u> of a and b. $[a,b] \in G$ is the unique element such that ab = [a,b]ba.

6.15.2 Thm: commutator subgroup is normal

Theorem 40. $[G,G] \triangleleft G$

证明. Consider $[a,b] \in [G,G]$, prove that $\forall g \in G, g[a,b]g^{-1} \in [G,G]$

$$\begin{split} g[a,b]g^{-1} &= g(aba^{-1}b^{-1})g^{-1} = (gag^{-1})(gbg^{-1})(ga^{-1}g^{-1})(gb^{-1}g^{-1}) \\ &= (gag^{-1})(gbg^{-1})(gag^{-1})^{-1}(gbg^{-1})^{-1} = [gag^{-1},gbg^{-1}] \in [G,G] \end{split}$$

Example 36.

(1) For abelian group, Z(G) = G, $C(G) = \{e\}$

(2)
$$G = S_6$$
, $Z(G) = \{e\}$, $C(G) = \{1, \rho, \rho^2\}$

(3)
$$G = D_8 = \{1, \rho, \rho^2, \rho^3, \sigma, \sigma\rho, \sigma\rho^2, \sigma\rho^3\}, Z(G) = \{1, \rho^2\}, C(G) = \{1, \rho^2\}$$

(4)
$$G = D_1 2$$
, $Z(G) = \{1, \rho^3\}$, $C(G) = \{1, \rho^2, \rho^4\}$

(5)
$$G = A_4, Z(G) = \{(1)\}, C(G) = \{(1), (12)(34), (13)(24), (14)(23)\}$$

(6)
$$G = S_4$$
, $Z(G) = \{(1)\}$, $C(G) = A_4$

6.15.3 Thm: if $N \triangleleft G$, "G/N is abelian" \Leftrightarrow "[G,G] < N"

Theorem 41. If N is a normal subgroup of G, then G/N is abelian if and only if [G,G] < N.

证明.

If N is a normal subgroup of G and G/N is abelian, then $(a^{-1}N)(b^{-1}N) = (b^{-1}N)(a^{-1}N)$; that is, $aba^{-1}b^{-1}N = N$, so $aba^{-1}b^{-1} \in N$, and $C \leq N$. Finally, if $C \leq N$, then

$$(aN)(bN) = abN = ab \left(b^{-1}a^{-1}ba\right)N$$
$$= \left(abb^{-1}a^{-1}\right)baN = baN = (bN)(aN)$$

7 Ring and Field

7.1 Ring $(R, +, \cdot)$: + is associative, commutative, identity, inverse $\in R$; · is associative, distributes over +

7.1.1 Def, Prop

Definition 22. A ring is a nonempty set with two operations, called addition and multiplication, $(R, +, \cdot)$ such that

- (1): (R, +) is an ablian group: i.e. + is associatve and commutative. $0, -a \in R$
- (2): · is associative.
- (3): distributes over +: $\forall a, b, c \in R$, $a \cdot (b+c) = a \cdot b + a \cdot c$ and $(b+c) \cdot a = b \cdot a + c \cdot a$

Theorem 42. If R is a ring with additive identity 0, then for any $a, b \in R$ we have

- 1. 0a = a0 = 0,
- 2. a(-b) = (-a)b = -(ab),
- 3. (-a)(-b) = ab.

7.1.2 $S \subset R$: Subring (closed under + and ·; addictive inverse $-a \in S$)

Proposition 25 (Proposition 2.6.27). If $S \subset R$ is a subring, then $+, \cdot$ make S into a ring.

7.1.3 Def: Commutative ring: ring's · is commutative

If " \cdot " is commutative, we call $(R, +, \cdot)$ a commutative ring.

7.1.4 Def: A ring with 1: the ring exists multiplication identity $1 \in R$

If there exists an element $1 \in R \setminus \{0\}$ such that a1 = 1a = a, $\forall a \in R$, then we say that R is a ring with 1 (a ring with unity).

Note: We usually discuss $1 \neq 0$. If 1 = 0, $a = 1a = 0 \Rightarrow R = \{0\}$.

7.1.5 Def: In a ring R with 1, u is a <u>unit</u> if $\exists v \in R \text{ s.t. } uv = vu = 1$

Definition 23. In a ring R with 1, u is a <u>unit</u> if it has a <u>multiplicative inverse</u> in R i.e. $\exists v \in R$ s.t. uv = vu = 1

Example 37. units in \mathbb{Z} are $\{-1,+1\}$; in \mathbb{Z}_n are $\{a \in \mathbb{Z}_n : gcd(a,n)=1\}$

7.1.6 Def: A ring with 1, R is a division ring if every nonzero element of R is a unit

Definition 24. A ring with 1, R is a <u>division ring</u> if every nonzero element of R is a unit. This is equalivalent to R has identity and <u>inverse</u> in multiplication.

7.1.7 Def: Ring Homomorphism: $\phi(a+b) = \phi(a) + \phi(b), \ \phi(ab) = \phi(a)\phi(b)$

Definition 25. Let R, R' be rings. A map $\phi: R \to R'$ is a ring homomorphism if

$$\phi(a+b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a)\phi(b)$$

Def: <u>zero divisor</u>: a $a \neq 0 \in R$ if $\exists b \neq 0 \in R$ s.t. ba = 0 or ab = 0

Definition 26. A nonzero element $a \in R$ is called a zero divisor if there exists a nonzero $b \in R$ s.t. ba = 0 or ab = 0

7.1.9 Remark: In \mathbb{Z}_n , an element is either 0 or unit or zero divisor

Remark: In \mathbb{Z}_n , an element is either (1) 0, (2) a unit, (3) a zero divisor.

$$0 \neq a \in \mathbb{Z}_n$$
 is a
$$\begin{cases} \text{unit} & \text{if } gcd(a,n) = 1\\ \text{zero divisor} & \text{if } gcd(a,n) \neq 1 \end{cases}$$
In $M_n(R)$
$$\begin{cases} \text{unit} & \text{if } rank(A) = n\\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$$

In
$$M_n(R)$$

$$\begin{cases} \text{unit} & \text{if } rank(A) = n \\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$$

Thm: $a \in \mathbb{Z}_n$ is a zero divisor $\Leftrightarrow gcd(a, n) \neq 1$.

Theorem 43. In the ring \mathbb{Z}_n , the zero divisors are precisely those nonzero elements that are not relatively prime to n.

- Cor: \mathbb{Z}_p has no zero divisors if p is prime.
- Def: An integral domain is a commutative ring with $1 \neq 0$ that has no zero 7.1.12divisors

Definition 27. An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors.

 \mathbb{Z} and \mathbb{Z}_p for any prime p are integral domains, but \mathbb{Z}_p is not an integral domain if n is not prime.

Field \mathbb{F} 7.2

7.2.1 Def: A field is a commutative division ring.

Definition 28. A field is a commutative division ring.

Which is equal to a ring satisfies identity, inverse and commutative in multiplication. Field $(\mathbb{F}, +, \cdot)$ (close, associative, commutative, distributive(M over A), identity & inverse(M,A))

Note: nonzero elements of a finite field can form a cyclic (sufficient for abelian) mutiplication group.

7.2.2 Differences between "Field" and "Integral Domain"

Def: An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors

Def: A <u>field</u> is a commutative ring with $1 \neq 0$ that every nonzero element of R is a unit.

7.2.3 Lemma: A unit is not zero divisor

证明. $a \in R$ is a unit and $\frac{1}{a}$ is its inverse.

Assume there exists $b \neq 0$ s.t. ab = 0, then

$$\frac{1}{a}(ab) = \frac{1}{a}0 = 0$$
$$= (\frac{1}{a}a)b = b$$

Contradiction!

Assume there exists $b \neq 0$ s.t. ba = 0, then

$$(ba)\frac{1}{a} = 0\frac{1}{a} = 0$$

= $b(a\frac{1}{a}) = b$

Contradiction!

7.2.4 Lemma: A field doesn't has zero divisors

Since a field is a division ring, its nonzero elements are unit which is not zero divisor.

7.2.5 Thm: Every field is an integral domain

Theorem 44. Every field is an integral domain.

prove by previous lemma.

7.2.6 Thm: Every finite integral domain is a field

Theorem 45. Every finite integral domain is a field.

证明. The only thing we need to show is that a typical element $a \neq 0$ has a multiplicative inverse.

Consider $a, a^2, a^3, ...$ Since there are only finitely many elements we must have $a^m = a^n$ for some m < n.

Then $0 = a^m - a^n = a^m (1 - a^{n-m})$. Since there are no zero-divisors we must have $a^m \neq 0$ and hence $1 - a^{n-m} = 0$ and so $1 = aa^{n-m-1}$ and we have found a multiplicative inverse for a.

7.2.7 Note: Finite Integral Domain \subset Field \subset Integral Domain

 \mathbb{Z}_p is a field.

 \mathbb{Z} is an integral domain but not a field.

7.3 The Characteristic of a Ring

7.3.1 Def: characteristic n is the least positive integer s.t. $n \cdot a = 0, \forall a \in R$

Definition 29. If for a ring R a positive integer n exists such that $n \cdot a = 0$ for all $a \in R$, then the least such positive integer is the characteristic of the ring R. If no such positive integer exists, then R is of characteristic 0.

Example 38. The ring \mathbb{Z}_n is of characteristic n, while $\mathbb{Z}, \mathbb{Q}, \mathbb{M}$, and \mathbb{C} all have characteristic 0.

7.3.2 Thm: In a ring with 1, characteristic $n \in \mathbb{Z}^+$ s.t. $n \cdot 1 = 0$

Theorem 46. Let R be a ring with 1. If $n \cdot 1 \neq 0$ for all $n \in \mathbb{Z}^+$, then R has characteristic 0. If $n \cdot 1 = 0$ for some $n \in \mathbb{Z}^+$, then the smallest such integer n is the characteristic of R.

8 The Ring \mathbb{Z}_n (Fermat's and Euler's Theorems)

8.1 Fermat's Theorem

8.1.1 Thm: nonzero elements in \mathbb{Z}_p (p is prime) form a group under multiplication

Theorem 47. The nonzero elements in \mathbb{Z}_p (p is prime) form a group under multiplication.

证明.
$$\mathbb{Z}_p$$
 is a finite field.

8.1.2 Cor: (Little Theorem of Fermat) $a \in \mathbb{Z}$ and p is prime not dividing a, then $a^{p-1} \equiv 1 \mod p$ (p divides $a^{p-1} - 1$)

Corollary 10 (Little Theorem of Fermat). $a \in \mathbb{Z}$ and p is prime not dividing a, then $a^{p-1} \equiv 1 \mod p$ (p divides $a^{p-1} - 1$)

证明. Let $G_p = \{a \in \mathbb{Z}_p : a \neq 0\}$, by previous theorem, we know the G_p is a group under multiplication of size $|G_p| = p - 1$.

Then the order of a should divde $|G_p| = p - 1$, then

$$a^{p-1} = 1 \in G_p \Rightarrow a^{p-1} \equiv 1 \mod p$$

8.1.3 Cor: (Little Theorem of Fermat) If $a \in \mathbb{Z}$, then $a^p \equiv a \mod p$ for any prime p

8.2 Euler's Theorem

Euler's Theorem is more general form of Fermat's Theorem.

8.2.1 Thm: $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$ forms a group under multiplication

Theorem 48. The set G_n of nonzero elements of \mathbb{Z}_n that are not zero divisors $(G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\})$ forms a group under multiplication modulo n.

8.2.2 Def: Euler phi function $\phi(n) = |G_n|$, where $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$

More generally, any $n \in \mathbb{Z}^+$, $a^{p-1} \equiv 1 \mod p$. Then G_n is a group under mutiplication of size $|G_n| = \phi(n)$, we set $\phi(n)$ be the Euler phi function. E.g.

$$\phi(8) = \#\{a \in \mathbb{Z}_8 : gcd(a, 8) = 1\} = 4$$
$$\phi(15) = \#\{1, 2, 4, 7, 8, 11, 13, 14\} = 8$$

8.2.3 Thm: (Euler's Theorem) If $a \in \mathbb{Z}$, $n \ge 2$ s.t. gcd(a, n) = 1 then $a^{\phi(n)} \equiv 1 \mod n$

Theorem 49. If a is an integer relatively prime to n, then $a^{\phi(n)}$ —1 is divisible by n, that is $a^{\phi(n)} \equiv 1 \mod n$.

证明. order of a should divide $|G_n| = \phi(n)$ then $a^{\phi(n)} = 1 \in G_n \Rightarrow a^{\phi(n)} \equiv 1 \mod n$

- 8.3 Application to $ax \equiv b \pmod{m}$
- **8.3.1** Thm: find solution of $ax \equiv b \pmod{m}$, gcd(a, m) = 1

Theorem 50. $a, b \in \mathbb{Z}_m, gcd(a, m) = 1$, then ax = b has a unique solution in \mathbb{Z}_m

证明. By Euler's Theorem, $a^{\phi(m)} \equiv 1 \mod m$, which means a is a unit of \mathbb{Z}_m , there exists a unique $a^{-1} \in \mathbb{Z}_m$.

Mutiply $a^{-1} \in \mathbb{Z}_m$ on both side, we can get $x = a^{-1}b$ is the solution.

8.3.2 Thm: $ax \equiv b \pmod{m}$, d = gcd(a, m) has solutions if d|b, the number of solutions is d

Theorem 51. Let m be a positive integer and let $a, b \in \mathbb{Z}_m$. Let d = gcd(a, m). The equation ax = b has a solution in \mathbb{Z}_m if and only if d divides b. When d divides b, the equation has exactly d solutions in \mathbb{Z}_m .

8.3.3 Cor: $ax \equiv b \pmod{m}$, d = gcd(a, m), d|b, then solutions are $\left(\left(\frac{a}{d}\right)^{\phi\left(\frac{m}{d}\right)-1}\frac{b}{d} + k\frac{m}{d}\right) + (m\mathbb{Z})$, k = 0, 1, ..., d-1

Corollary 11. Let d = gcd(a, m). The congruence $ax \equiv b \pmod{m}$ has a solution if and only if d divides b. When this is the case, the solutions are the integers in exactly d distinct residue classes modulo m.

Steps:

(1) let $a_1 = a/d$, $b_1 = b/d$, $m_1 = m/d$, solve

$$a_1 s \equiv b_1 \mod m_1 \Rightarrow s = a_1^{-1} b_1$$

where $a_1^{-1} = a_1^{\phi(m_1)-1}$

(2) Solutions are

$$(s+km_1)+(m\mathbb{Z}), \quad k=0,1,...,d-1$$

Example 39. Find all solutions of $12x \equiv 27 \mod 18$

 $d=\gcd(12,18)=6$, $d \nmid 27 \Rightarrow$ no solutions.

Example 40. Find all solutions of $15x \equiv 27 \mod 18$

d=gcd(15,18)=3, $a_1 = 5, b_1 = 9, m_1 = 6$. Then $s = a_1^{-1}b_1 = 5 \cdot 9 = 3$, then solutions are $3 + 18\mathbb{Z}$, $9 + 18\mathbb{Z}$, $15 + 18\mathbb{Z}$

9 Polynomials

9.1 Def: Polynomials

Let R be any field. A polynomial over R in variable x is a formal sum:

$$a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = \sum_{i=0}^{n} a_i x^i$$

where $n \geq 0$ is an integer, $a_1, a_1, ..., a_n \in \mathbb{F}$.

Polynomial is a squence $\{a_k\}_{k=0}^{\infty}$ with $a_m = 0, \forall m > n$.

Remark: $f(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_d x^d$ If $a_d \neq 0$ and $a_i = 0, \forall i > d, d$ is the degree of f(x).

9.2 Rings of Polynomials

9.2.1 Thm: R[x] is a ring under addition and multiplication

Theorem 52. The set R[x] of all polynomials in an indeterminate x with coefficients in a ring R is a ring under polynomial addition and multiplication.

Note: If R is commutative, then so is R[x], and if R has unity $1 \neq 0$, then 1 is also unity for R[x].

Let R[x] denote the set of all polynomials with coefficients in the ring R.

$$R[x] = \{ \sum_{i=0}^{n} a_i x^i | n \ge 0, n \in \mathbb{Z}, a_0, ..., a_n \in R \}$$

We call the R[x] polynomial ring over the ring R.

$$f = \sum_{i=0}^{n} a_i x^i, g = \sum_{j=0}^{n} a_j x^j \in R[x]$$

$$f + g = \sum_{i=0}^{n} (a_i + b_i) x^i \in R[x]$$

$$fg(\sum_{i=0}^{n} a_i x^i) (\sum_{j=0}^{n} a_j x^j) = \sum_{i=0}^{2n} (\sum_{j=0}^{i} a_j b_{i-j}) x^i$$

9.2.2 Def: evaluation homomorphism

Definition 30. Let F be a field, and let $\alpha \in F$. Define an evaluation map. $EV_{x=\alpha} : F[x] \to F$, $\phi_{\alpha}(\sum_{i=0}^{\infty} a_i x^i) = \sum_{i=0}^{\infty} a_i \alpha^i$. Then,

$$\phi_{\alpha}(f(x) + g(x)) = \phi_{\alpha}(f(x)) + \phi_{\alpha}(g(x))$$
$$\phi_{\alpha}(f(x)g(x)) = \phi_{\alpha}(f(x))\phi_{\alpha}(g(x))$$

 ϕ_{α} is a ring homomorphism. We call it evaluation homomorphism.

Example 41. Consider $EV_{x=2}: \mathbb{Q}[x] \to \mathbb{Q}$. $EV_{x=2}$ is a ring homomorphism. In particular it is a group homomorphism for <u>addition</u>.

$$\phi_2 (a_0 + a_1 x + \dots + a_n x^n) = a_0 + a_1 2 + \dots + a_n 2^n$$

Note that

$$\phi_2(x^2 + x - 6) = 2^2 + 2 - 6 = 0.$$

Thus $x^2 + x - 6$ is in the kernel N of ϕ_2 . Of course,

$$x^{2} + x - 6 = (x - 2)(x + 3),$$

and the reason that $\phi_2(x^2 + x - 6) = 0$ is that $\phi_2(x - 2) = 2 - 2 = 0$.

Example 42. Compute $EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) \in \mathbb{Z}_7[x]$

$$EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) =$$

According to the little Theorem of Fermat, $x^6 \equiv 1 \mod 7$.

$$=3x^4+5x^3+2x^5=0\in\mathbb{Z}_7$$

9.2.3 Def: α is zero if $EV_{x=\alpha}(f(x)) = 0$

Definition 31. We say that α is a zero of f(x) if $EV_{x=\alpha}(f(x)) = 0$.

Example 43. Find all zeros of $f(x) = x^3 + 2x + 2$ in \mathbb{Z}_7 .

Solve by checking all value $f(x), x = 0, 1, ..., 6 \Rightarrow zeros \ are \ x = 2, \ x = 3.$

9.3 Degree of a Polynomial: deg(f)

 $f = \sum_{i=0}^{n} a_i x^i$, deg(f) = degree of f is,

$$deg(f) = \begin{cases} 0 & \text{if } f \text{ is constant, } f \neq 0 \\ n & \text{if } a_n \neq 0 \text{ in above } (a_n = \text{leading coefficient}) \\ -\infty & \text{if } f = 0 \end{cases}$$

Define $-\infty + a = a + (-\infty) = -\infty \ \forall a \in \mathbb{Z} \cup \{-\infty\}$

9.3.1 Lemma 2.3.3: $deg(fg) = deg(f) + deg(g), deg(f+g) \le \max\{deg(f), deg(g)\}\$

Lemma 4 (Lemma 2.3.3). For any field \mathbb{F} and f, $g \in \mathbb{F}[x]$,

$$deg(fg) = deg(f) + deg(g)$$
$$deg(f+g) \le \max\{deg(f), deg(g)\}\$$

9.4 Corollary 2.3.5: Unit(invertible) in $\mathbb{F}[x]$: constant $\neq 0$ iff deg(f) = 0

Corollary 12 (Corollary 2.3.5). For any field \mathbb{F} and $f \in \mathbb{F}[x]$, Then f is a <u>unit</u>(i.e. invertible) in $\mathbb{F}[x]$ iff deg(f) = 0.

证明.

Obviously, $deg(f) = 0 \Rightarrow f$ is a unit.

Suppose f is a unit, i.e. $\exists g \in \mathbb{F}[x] \text{ s.t. } fg = 1.$

$$0 = deg(fg) = deg(f) + deg(g) \Rightarrow deg(f), deg(g) \ge 0 \Rightarrow deg(f) = 0, deg(g) = 0.$$

9.5 <u>Irreducible</u> Polynomials: "无法分解为两个 degree ≥ 1 的多项式积"的多项式: 至少一个是 constant (i.e. degree = 0)

A nonconstant polynomial f is <u>irreducible</u> if f = uv, $u, v \in \mathbb{F}[x]$, then either u or v is a unit(i.e., constant $\neq 0$)

9.6 Theorem 2.3.6: nonconstant polynomials 可以被唯一地分解

Theorem 53 (Theorem 2.3.6). Suppose \mathbb{F} is a field and $f \in \mathbb{F}[x]$ is any nonconstant. Then $f = ap_1p_2 \dots p_k$ where $a \in \mathbb{F}$, $p_1, \dots p_k \in \mathbb{F}[x]$ are irreducible monic polynomials (monic = i.e. leading coeff. 1). If $f = bq_1q_2 \dots q_r$ with $b \in \mathbb{F}$ and $q_1, q_2, \dots, q_r \in \mathbb{F}[x]$ monic irreducible, then a = b, k = r, and after reindexing $p_i = q_i$, $\forall i$

Lemma 5 (Lemma 2.3.7). Suppose \mathbb{F} is a field and $f \in \mathbb{F}[x]$ is nonconstant monic polynomial. Then $f = p_1 p_2 \dots p_k$ where each p_i is monic irreducible.

证明.

Prove it by induction. When deg(f) = 1, f = uv, $u, v \in \mathbb{F}[x]$, $deg(f) = deg(u) + deg(v) \Rightarrow$ one of

these is 0.

Suppose the lemma holds for all degree < n. When deg(f) = n,

Either f is irreducible, done.

Suppose f = uv with/ $deg(u), deg(v) \ge 1$

$$\Rightarrow deg(u), deg(v) < n \Rightarrow u = p_1 p_2 \dots p_k, v = q_1 q_2 \dots q_j \text{ So, } f = p_1 p_2 \dots p_k q_1 q_2 \dots q_j.$$

Example 44. $x^2 - 1 \in \mathbb{Q}[x]$ reducible

$$x-1, x+1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{C}[x]$$
 reducible

$$x^{2}-1=x^{2}+1=[1]x^{2}+[1]\in\mathbb{Z}_{2}[x] \ reducible$$

9.7 Divisibility of Polynomials

 $f, g \in \mathbb{F}[x], f \neq 0, f \text{ divides } g, f|g \text{ means } \exists u \in \mathbb{F}[x] \text{ s.t. } g = fu.$

Proposition 26 (Proposition 2.3.8). $f, h, g \in \mathbb{F}[x]$, then

- (i) If $f \neq 0, f | 0$
- (ii) If f|1, f is nonzero constant
- (iii) If f|g and g|f , then f=cg for some $c\in\mathbb{F}$
- (iv) If f|g and g|h, then f|h
- (v) If f|g and f|h, then f|(ug+vh) for all $u,v \in \mathbb{F}[x]$.

9.7.1 Greatest common divisor of f and g: is not unique, we denote monic Greatest common divisor as gcd(f,g)

If $f, g \in \mathbb{F}[x]$ are nonzero polynomials, a greatest common divisor of f and g is a polynomial $h \in \mathbb{F}[x]$ such that

- (i) h|f and h|g, and
- (ii) if $k \in \mathbb{F}[x]$ and k|f and k|g, then k|h.

the gcd is not unique, but the monic gcd is unique. We call it **the monic greatest common divisor**, denote it gcd(f,g).

Example 45.

$$x^{2} - 1, x^{2} - 2x + 1 \in \mathbb{Q}[x]$$
$$(x - 1)(x + 1), (x - 1)^{2} \in \mathbb{Q}[x]$$
$$x - 1 = \gcd(x^{2} - 1, x^{2} - 2x + 1)$$

9.7.2 Proposition 2.3.9: Euclidean Algorithm of polynomials

Proposition 27 (Proposition 2.3.9). Given $f, g \in \mathbb{F}[x]$, $g \neq 0$, then $\exists q, r \in \mathbb{F}[x]$ s.t. deg(r) < deg(g) and f = qg + r

Example 46.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$
$$f = 3g + x^2 - 3x + 2$$

9.7.3 Proposition 2.3.10: gcd(f,g) 是 degree 最小的 f,g 的线性组合

Proposition 28 (Proposition 2.3.10). Any 2 nonzero polynomials $f, g \in \mathbb{F}[x]$ have a gcd in $\mathbb{F}[x]$. In fact among all polynomials in the set $M = \{uf + vg | u, v \in \mathbb{F}[x]\}$ any nonconstant of minimal degree are gcds.

证明.

 $h \in M$, deg(h) = d minimal. Let k|f and $k|g \Rightarrow k|uf + vg$, $\forall u, v \Rightarrow k|h$.

Suppose $h' \in M$ is any nonzero element. $deg(h') \ge deg(h) \Rightarrow \exists q, r \in \mathbb{F}, deg(r) < deg(h) \ h' = qh + r$. $r = h' - qh \in M$. Since deg(h) = d is nonconstant minimal degree, $r = 0 \Rightarrow h' = qh$. So $\exists q_1, q_2 \in \mathbb{F}[x], \ 1f + 0g = q_1h, 0f + 1g = q_2h \Rightarrow h|g, h|f$.

Example 47.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$

$$f = 3g + x^2 - 3x + 2$$

$$g = (x+1)(x^2 - 3x + 2) + x - 1$$

$$x^2 - 3x + 2 = (x-2)(x-1)$$

$$\Rightarrow \gcd(f,g) = x - 1$$

$$x - 1 = g - (x+1)(x^2 - 3x + 2) = g - (x+1)(f - 3g) = (3x+4)g - (x+1)f$$

Example 48. Find a greatest common divisor of $f = x^3 - x^2 - x + 1$ and $g = x^2 - 3x + 2$ in $\mathbb{Q}[x]$, and express it in form uf + vg, $u, v \in \mathbb{Q}[x]$.

$$f = (x+2)g + 3x - 3$$
$$g = \frac{1}{3}(x-2)(3x-3)$$
$$gcd(f,g) = 3x - 3$$
$$3x - 3 = f - (x+2)g$$

9.7.4 Proposition 2.3.12: $gcd(f,g) = 1, f|gh \Rightarrow f|h$

Proposition 29 (Proposition 2.3.12). If $f, g, h \in \mathbb{F}[x]$, gcd(f, g) = 1, and f|gh, then f|h.

9.7.5 Corollary 2.3.13: irreducible $f, f|gh \Rightarrow f|g$ or f|h

Corollary 13 (Corollary 2.3.13). If $f \in \mathbb{F}[x]$ is irreducible, and f|gh, then f|g or f|h.

Since f is irreducible, we have two possible situations:

- 1. gcd(f,g) = f, i.e. f|g done.
- 2. gcd(f,g) = 1, then according to Prop 2.3.12, we can know f|h.

9.8 Roots

Root: $\alpha \in \mathbb{F}$ is a root of f if $f(\alpha) = 0$.

9.8.1 Corollary 2.3.16(of Euclidean Algorithm): f 可被分为 $(x-\alpha)q+f(\alpha)$ i.e. if α is a root, then $(x-\alpha)|f$

Corollary 14 (Corollary 2.3.16(of Euclidean Algorithm)). $\forall f \in \mathbb{F}[x]$ and $\alpha \in \mathbb{F}$, there exists a polynomial $q \in \mathbb{F}[x]$ s.t. $f = (x - \alpha)q + f(\alpha)$. In particular, if α is a root, then $(x - \alpha)|f$.

9.9 Multiplicity

If α is a root of f, say its multiplicity is m, if $x - \alpha$ appears m times in irreducible factorization.

9.9.1 Sum of multiplicity $\leq deg(f)$

Proposition 30 (Proposition 2.3.17). Given a nonconstant polynomial $f \in \mathbb{F}[x]$, the number of roots of f, counted with multiplicity, is at most deg(f).

9.10 Roots in a filed may not in its subfield

Note if $\mathbb{F} \subset \mathbb{K}$, then $\mathbb{F}[x] \subset \mathbb{K}$. $f \in \mathbb{F}[x]$ may have no roots in \mathbb{F} , but could have roots in \mathbb{K}

Example 49.
$$x^n - 1 \in \mathbb{Q}[x]$$
 has a root in \mathbb{Q} : 1; has 2 roots if n even: ± 1 roots in \mathbb{C} : $\zeta_n = e^{\frac{2\pi i}{n}}$, then $\zeta_n^n = e^{2\pi i} = 1$; $(\zeta_n^k)^n = e^{2\pi ki} = 1$ So, the roots: $\{e^{\frac{2\pi ki}{n}}|k=0,...,n-1\}$ The roots of $x^n - d$: $\{e^{\frac{2\pi ki}{n}}\sqrt{d}|k=0,...,n-1\}$

10 Linear Algebra

10.1 Vector Space $(V, +, \times)$ (over a field \mathbb{F})

A vector space over a field \mathbb{F} is a set V w/ an operation addition $+: V \times V \to V$ and an operation scalar multiplication $\mathbb{F} \times V \to V$

(1) Addition is associative & commutative

- (2) $\exists 0 \in V$, additive identity: $0 + v = v \forall v \in V$
- (3) $1v = v \forall v \in V \text{ (where } 1 \in \mathbb{F} \text{ is multi. id. in } \mathbb{F} \text{)}$
- (4) $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ \alpha(\beta v) = (\alpha \beta)v$
- (5) $\forall v \in V$, (-1)v = -v we have v + (-v) = 0
- (6) $\forall \alpha \in \mathbb{F}, \ v, u \in V, \ \alpha(v+u) = \alpha v + \alpha u$
- (7) $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ (\alpha + \beta)v = \alpha v + \beta v$

10.1.1 A field is a vector space over its subfield

Example 50. $\mathbb{K} \subset \mathbb{F}$ is a subfield of a field \mathbb{F} . Then \mathbb{F} is a vector space over \mathbb{K} . (Since $\mathbb{F} \subset \mathbb{F}[x]$, then $\mathbb{F}[x]$ is a vector space over \mathbb{F} .)

10.1.2 Vector subspace

Suppose that V is a vector space over \mathbb{F} . A <u>vector subspace</u> or just <u>subspace</u> is a nonempty subset $W \subset V$ closed under addition and scalar multiplication. i.e. $v + w \in W$, $av \in W$, $\forall v, w \in W$, $a \in \mathbb{F}$.

Example 51. $\mathbb{K} \subset \mathbb{L} \subset \mathbb{F}$, then \mathbb{L} is a subspace of \mathbb{F} over \mathbb{K} .

10.2 Linear independent, Linear combination

10.3 span V, basis, dimension, Proposition 2.4.10

A set of elements $v_1, ..., v_n \in V$ is said to **span** V if every vector $v \in V$ can be expressed as a linear combination of $v_1, ..., v_n$. If $v_1, ..., v_n$ spans and is linearly independent, then we call the set a **basis** for V.

Proposition 31 (Proposition 2.4.10.). Suppose V is a vector space over a field \mathbb{F} having a basis $\{v_1, ..., v_n\}$ with $n \geq 1$.

- (i) For all $v \in V$, $v = a_1v_1 + ... + a_nv_n$ for exactly one $(a_1, ..., a_n) \in \mathbb{F}^n$.
- (ii) If $w_1, ..., w_n$ span V, then they are linearly independent.
- (iii) If $w_1, ..., w_n$ are linearly independent, then they span V.

If a vector space V over \mathbb{F} has a basis with n vectors, then V is said to be n-dimensional (over \mathbb{F}) or is said to have **dimension** n.

10.3.1 Standard basis vectors

$$e_1 = (1, 0, ..., 0), e_2 = (0, 1, 0, ..., 0), ..., e_n = (0, 0, ..., 0, 1) \in \mathbb{F}^n$$

are a basis for \mathbb{F}^n called the **standard basis vectors**.

10.4 Linear transformation

Given two vector spaces V and W over \mathbb{F} a linear transformation is a function $T:V\to W$ such that for all $a\in\mathbb{F}$ and $v,w\in V$, we have

$$T(av) = aT(v)$$
 and $T(v + w) = T(v) + T(w)$

Proposition 32 (Proposition 2.4.15.). If V and W are vector spaces and $v_1, ..., v_n$ is a basis for V then any function from $\{v_1, ..., v_n\} \to W$ extends uniquely to a linear transformation $V \to W$.

Any
$$v \in V$$
, $\exists (a_1, ..., a_n)$ s.t. $v = a_1v_1 + ... + a_nv_n$. Then $T(v) = T(a_1v_1 + ... + a_nv_n) = a_1T(v_1) + ... + a_nT(v_n)$

10.4.1 Corollary 2.4.16: 一个线性变换对应一个矩阵 bijection $\mathcal{L}(V,M) \to M_{m \times n}(\mathbb{F})$

Corollary 15 (Corollary 2.4.16.). If $v_1, ..., v_n$ is a basis for a vector space V and $w_1, ..., w_n$ is a basis for a vector space W (both over \mathbb{F}), then any linear transformation $T: V \to W$ determines (and is determined by) the $m \times n$ matrix:

$$A = A(T) = \begin{bmatrix} A_{11} & A_{12} & \dots & A_{1n} \\ A_{21} & A_{22} & \dots & A_{2n} \\ \vdots & \vdots & \dots & \vdots \\ A_{m1} & A_{m2} & \dots & A_{mn} \end{bmatrix}$$

$$\begin{bmatrix} w_1 & \cdots & w_m \end{bmatrix}^T = A \quad \begin{bmatrix} v_1 & \cdots & v_n \end{bmatrix}^T$$

 $\mathcal{L}(V, M)$ denotes the set of all linear transformations from V to W; $M_{m \times n}(\mathbb{F})$ the set of $m \times n$ matrix with entries in \mathbb{F} . $T \to A(T)$ defines a bijection $\mathcal{L}(V, M) \to M_{m \times n}(\mathbb{F})$. A(T) represents the linear transformation T.

10.4.2 Proposition 2.4.19: 线性变换矩阵相乘仍为线性变换矩阵

Proposition 33 (Proposition 2.4.19). Suppose that V, W, and U are vector spaces over \mathbb{F} , with fixed chosen bases. If $T:V\to W$ and $S:W\to U$ are linear transformations represented by matrices A=A(T) and B=B(S), then $ST=S\circ T:V\to U$ is a linear transformation represented by the matrix BA=B(S)A(T).

10.5 GL(V): invertible(bijective) linear transformations $V \to V$

Given a vector space V over F, we let $GL(V) \subset \mathcal{L}(V,V)$ denote the subset of **invertible linear** transformations.

$$GL(V) = \{T \in \mathcal{L}(V, V) | T \text{ is a bijection}\} = \mathcal{L}(V, V) \cap Sym(V)$$

11 Euclidean geometry basics

11.1 Euclidean distance, inner product

Euclidean distance on \mathbb{R}^n :

$$|x-y| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

Euclidean inner product:

$$x \cdot y = x_1 y_1 + \dots + x_n y_n = x^T y$$

11.2 Isometry of \mathbb{R}^n : a bijection $\mathbb{R}^n \to \mathbb{R}^n$ preserves distance

An **isometry** of \mathbb{R}^n is a bijection $\Phi: \mathbb{R}^n \to \mathbb{R}^n$ that preserves distance, which means,

$$|\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n$$

11.2.1 $Isom(\mathbb{R}^n)$: set of all isometries of \mathbb{R}^n

We use $Isom(\mathbb{R}^n)$ denotes the set of all isometries of \mathbb{R}^n ,

$$Isom(\mathbb{R}^n) = \{ \Phi : \mathbb{R}^n \to \mathbb{R}^n | |\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n \}$$

11.2.2 $Isom(\mathbb{R}^n)$ is closed under \circ and inverse

Proposition 34. $\Phi, \Psi \in Isom(\mathbb{R}^n)$, then $\Phi \circ \Psi, \Phi^{-1} \in Isom(\mathbb{R}^n)$

证明.

Since Φ, Ψ are bijections, so is $\Phi \circ \Psi$. Moreover,

$$|\Phi \circ \Psi(x) - \Phi \circ \Psi(y)| = |\Phi(\Psi(x)) - \Phi(\Psi(y))| = |\Psi(x) - \Psi(y)| = |x - y|$$

Since $id \in Isom(\mathbb{R}^n)$,

$$|x - y| = |id(x) - id(y)| = |\Phi \circ \Phi^{-1}(x) - \Phi \circ \Phi^{-1}(y)| = |\Phi^{-1}(x) - \Phi^{-1}(y)|$$

11.3 $A \in GL(n,\mathbb{R}), T_A(v) = Av$: $A^tA = I \Leftrightarrow T_A \in Isom(\mathbb{R}^n)$

There is a matrix $A \in GL(n, \mathbb{R})$ i.e. a invertible linear transffrmations $T_A : \mathbb{R}^n \to \mathbb{R}^n$ is given by $T_A(v) = Av$.

$$T_A(v) \cdot T_A(w) = (Av) \cdot (Aw) = (Av)^t (Aw) = v^t A^t Aw$$
$$A^t A = I \Leftrightarrow T_A(v) \cdot T_A(w) = v \cdot w \Leftrightarrow_{(HW4)} T_A \in Isom(\mathbb{R}^n)$$

11.4 Linear isometries i.e. orthogonal group $O(n) = \{A \in GL(n, \mathbb{R}) | A^t A = I\}$

We define the all isometries in invertible linear transfrrmations $\mathbb{R}^n \to \mathbb{R}^n$ as **orthogonal group**

$$O(n) = \{A \in GL(n, \mathbb{R}) | A^t A = I\} \subset GL(n, \mathbb{R})$$

11.4.1 Special orthogonal group $SO(n) = \{A \in O(n) | det(A) = 1\}$: orthogonal group with det(A) = 1

O(n) are the matrices representing linear isometries of \mathbb{R}^n . $1 = det(I) = det(A^tA) = det(A^t)det(A) = det(A)^2 \Rightarrow det(A) = 1$ or det(A) = -1. We use **special orthogonal group** represents A with det(A) = 1,

$$SO(n) = \{ A \in O(n) | det(A) = 1 \}$$

11.5 translation: $\tau_v(x) = x + v$

Define a translation by $v \in \mathbb{R}^n$,

$$\tau_v: \mathbb{R}^n \to \mathbb{R}^n, \ \tau_v(x) = x + v$$

11.5.1 translation is an isometry

Note 5 (Exercise 2.5.3). $\forall v \in \mathbb{R}^n, \tau_v \text{ is an isometry.}$

证明.
$$|\tau_v(x) - \tau_v(y)| = |(x+v) - (y+v)| = |x-y|$$

11.6 The composition of a translation and an orthogonal transformation is an isometry $\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$

Since the composition of isometries is an isometry, $\forall A \in O(n)$ and $v \in \mathbb{R}^n$, the composition

$$\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$$

is an isometry. which could account for all isometries.

11.6.1 Theorem 2.5.3: All isometries can be represented by a composition of a translation and an orthogonal transformation, $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$

Theorem 54 (Theorem 2.5.3). $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$

Complex numbers 12

$$\mathbb{C} = \{a + bi | a, b \in \mathbb{R}\}, \ \mathbb{R} = \{a + 0i | a \in \mathbb{R}\} \subset \mathbb{C}$$

Addition & multiplication

$$(a + bi) + (c + di) = (a + c) + (b + d)i$$

 $(a + bi)(c + di) = ac + bci + adi + bdi^{2}$
 $= (ac - bd) + (bc + ad)i$

Complex conjugation: $z = a + bi, \bar{z} = a - bi, \overline{zw} = \bar{z}\bar{w}$

Absolute value:
$$|z| = \sqrt{a^2 + b^2}$$
, $|z|^2 = z\bar{z}$

Additive inverse: -z = -a - bi

Multiplicative inverse:
$$z^{-1} = \frac{1}{z} = \frac{1}{a+bi} = \frac{a-bi}{a^2+b^2} = \frac{\bar{z}}{|z|^2}$$

$$z \in \mathbb{C}, \overline{z + \overline{z}} = \overline{z} + \overline{\overline{z}} = z + \overline{z}$$

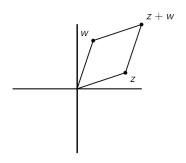
Real part:
$$Re(z) = \frac{z+z}{2}$$

Real part:
$$Re(z) = \frac{z + \bar{z}}{2}$$

Imaginary part: $Im(z) = \frac{z - \bar{z}}{2i}$

Geometric Meaning of Addition and Multiplication

Addition: parallelogram law



Multiplication:

$$z = a + bi \neq 0$$

$$= r \cos \theta + r \sin \theta i$$

$$= r(\cos \theta + i \sin \theta)$$

$$|z|^2 = a^2 + b^2 = r^2$$

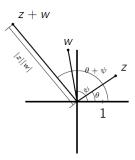
$$z = r(\cos \theta + i \sin \theta)$$

$$w = s(\cos \phi + i \sin \phi)$$

$$zw = rs[\cos \theta \cos \phi - \sin \theta \sin \phi + i(\cos \theta \sin \phi + \cos \phi \sin \theta)]$$

$$= rs[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$

$$= |z||w|[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$



We will write,

$$\cos \theta + i \sin \theta = e^{i\theta}$$
$$e^{i\theta}e^{i\phi} = e^{i(\theta + \phi)}$$
$$z = |z|e^{i\theta}$$

12.2 Theorem 2.1.1: $f(x) = a_0 + a_1 x + ... + a_n x^n$ with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$. Then f has a <u>root</u> in \mathbb{C} : $\exists \alpha \in \mathbb{C}$ s.t. $f(\alpha) = 0$

Theorem 55 (Theorem 2.1.1). Supose a nonconstant polynomial $f(x) = a_0 + a_1 x + ... + a_n x^n$ with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$. Then f has a <u>root</u> in \mathbb{C} : $\exists \alpha \in \mathbb{C}$ s.t. $f(\alpha) = 0$.

12.2.1 Corollary 2.1.2: $f(x) = a_n \prod_{i=1}^n (x-k_i) = a_n(x-k_1)(x-k_2)...(x-k_n)$, where $k_1, k_2, ..., k_n$ are roots of f(x)

Corollary 16 (Corollary 2.1.2). Every nonconstant polynomial with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$ can be factored as $f(x) = a_n \prod_{i=1}^n (x - k_i) = a_n (x - k_1)(x - k_2)...(x - k_n)$, where $k_1, k_2, ..., k_n$ are roots of f(x).

12.2.2 Corollary 2.1.3: $a_i \in \mathbb{R}$, f can be expresses as a product of linear and quadratic polynomials

Corollary 17 (Corollary 2.1.3). If $f(x) = a_0 + a_1x + ... + a_nx^n$ is a nonconstant polynomial $a_0, a_1, ..., a_n \in \mathbb{R}, a_n \neq 0$. Then f can be expresses as a product of linear and quadratic polynomials.

这里 $a_0, a_1, ..., a_n$ 是实数!

证明.

- (1)Obviously, the corollary holds at n = 1 and n = 2.
- (2) Suppose the corollary holds for all situations that n < k.

When
$$n = k$$
, $f(x) = a_0 + a_1 x + ... + a_k x^k$, $a_k \neq 0$.

By F.T.A., f has a root α in \mathbb{C} .

If $\alpha \in \mathbb{R}$, long division $f(x) = q(x)(x - \alpha)$. q has real coefficients, degree of q = k - 1. Since the corollary holds at n = k - 1, q(x) is a product of linear and quadratics. Then, the corollary also holds at n = k.

If $\alpha \notin \mathbb{R}$

$$0 = f(\alpha) = a_0 + a_1 \alpha + \dots + a_k \alpha^k$$
$$0 = \overline{f(\alpha)} = a_0 + a_1 \overline{\alpha} + \dots + a_n \overline{\alpha}^n = f(\overline{\alpha})$$

Since $\bar{\alpha} \neq \alpha$, $(x - \alpha)(x - \bar{\alpha})|f$.

 $(x-\alpha)(x-\bar{\alpha})=x^2-(\alpha+\bar{\alpha})x+|\alpha|^2$ is a polynomial with coefficients in \mathbb{R} . So $f(x)=q(x)(x^2-(\alpha+\bar{\alpha})x+|\alpha|^2)$, q has real coefficients with degree k-2. The corollary also holds at n=k-2, q(x) is a product of linear and quadratics. Then, the corollary also holds at n=k.

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