MATH~417

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1 Function and Set

1.1 Function

$$A \times B = \{(a, b) | a \in A, b \in B\}.$$

<u>Function</u> is a rule σ that assigns an element B to every element of A.

$$\sigma: A \to B$$

$$\forall a \in A, \sigma(a) \in B.$$

$$\sigma(a) = value \ of \ \sigma \ at \ a. \ (the image \ of \ a)$$

A set $C \subset B$, we call $\sigma^{-1}(C) = \{a \in A | \sigma(a) \in C\}$ as the <u>preimage</u> of a.

An element $b \in B$, we call $\sigma^{-1}(b) = \{a \in A | \sigma(a) = b\}$ as the fiber of b.

A is the domain of σ , B is the range of σ .

1.1.1 Composition of functions

$$\sigma: A \to B, \tau: B \to C$$
. The function $\tau \circ \sigma: A \to C$ is $\forall a \in A, (\tau \circ \sigma)(a) = \tau(\sigma(a))$

1.1.2 Proposition 1.1.3: Associativity of Functions

Proposition 1 (Proposition 1.1.3). $\sigma: A \to B, \tau: B \to C, \rho: C \to D$ functions then,

$$\rho \circ (\tau \circ \sigma) = (\rho \circ \tau) \circ \sigma$$

1.1.3 Injective, surjective, bijective

A function $\sigma: A \to B$ is called,

1. Injective (1 to 1)

$$\forall a_1, a_2 \in A, \sigma(a_1) = \sigma(a_2) \Rightarrow a_1 = a_2$$

2. Surjective (onto)

$$\forall b \in B, \exists a \in A, s.t. \sigma(a) = b$$

3. Bijective (if injective and surjective)

1.1.4 Lemma 1.1.7: 两个 injective/surjective/bijective 的方程的 composition 保留性质

Lemma 1 (Lemma 1.1.7). Suppose $\sigma: A \to B, \tau: B \to C$ are functions,

If σ, τ are injective, then $\tau \circ \sigma$ is injective.

If σ, τ are surjective, then $\tau \circ \sigma$ is surjective.

If σ, τ are bijective, then $\tau \circ \sigma$ is bijective.

1.1.5 Proposition 1.1.8: Inverse of Function

Proposition 2 (Proposition 1.1.8). A function $\sigma: A \to B$ is a bijection if $\exists a \text{ function } \tau: B \to A \text{ such that }$

$$\sigma \circ \tau = id_B = identity \ on \ B(id_B(x) = x, \forall x \in B)$$

$$\tau \circ \sigma = id_A$$

Such τ is unique, called inverse of σ , $\tau = \sigma^{-1}$.

1.2 Set

1.2.1 Well Defined Set

Definition 1. A set S is well defined if an object a is either $a \in S$ or $a \notin S$.

1.2.2 Power Set

Definition 2. For any set A, we denote by $\mathcal{P}(A)$ the collection of all subsets of A. $\mathcal{P}(A)$ is the **power set** of A.

1.2.3 Cardinalities of Sets, Pigeonhole Principle

Definition 3. If A is a set, |A| = cardinality of A = # of elements

 $n\in\mathbb{N}, |\{1,\dots n\}|=n;\, |\emptyset|=0 (\emptyset=\text{ empty set }).$

|A| = |B| if there is a bijection $\sigma : A \to B$.

If there is an injection $\sigma: A \to B$, we can write $|A| \leq |B|$;

If there is a surjection $\sigma: A \to B$, we can write $|A| \ge |B|$.

Theorem 1 (Pigeonhole Principle). If A and B are sets and |A| > |B|, then there is no injective function $\sigma: A \to B$.

1.2.4 B^A : Sets of Function

If A, B are sets, then $B^A = \{ \sigma : A \to B | \sigma \text{ a function} \}.$

Example 1. $n \in \mathbb{Z}$, we define a function $f: B^{\{1,\dots,n\}} \to B^n (= B \times B \times B \times \dots \times B)$ by the equation $f(\sigma) = \{\sigma(1), \dots, \sigma(n)\}$, where $\sigma: \{1, \dots, n\} \to B$. The f is a bijection.

证明.

 $1. \ \textit{Injective} :$

$$f(\sigma_1) = f(\sigma_2) \Rightarrow \{\sigma_1(1), ..., \sigma_1(n)\} = \{\sigma_2(1), ..., \sigma_2(n)\}$$

Since $\sigma : \{1, ..., n\} \rightarrow B$, it is sufficient to prove $\sigma_1 = \sigma_2$.

2. Surjective:

$$\forall \{b_1,...,b_n\}, \text{ we have } \sigma^*(x) = b_x, x = 1,...,n. \text{ s.t. } f(\sigma^*) = \{b_1,...,b_n\}$$

Example 2.

$$C(\mathbb{R}, \mathbb{R}) = \{continuous functions \ \sigma : \mathbb{R} \to \mathbb{R}\} \subset \mathbb{R}^{\mathbb{R}}$$

1.2.5 Operation definitions

Definition 4. A binary operation on a set A is a function $*: A \times A \rightarrow A$.

The operation is associative if $a * (b * c) = (a * b) * c, \forall a, b, c \in A$.

The operation is commutative if $a * b = b * a, \forall a, b \in A$.

Example 3. $+, \circ$ are both associative and commutative operations on $\mathbb{Z}, \mathbb{N}, \mathbb{Q}, \mathbb{R}$; - is a neither associative nor commutative operation on $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$, but not \mathbb{N} .

Definition 5. A subset $H \subset S$ is <u>closed under *</u> if $a * b \in H$ for all $a, b \in H$.

Definition 6. * has identity element $e \in S$ if a * e = e * a = a for all $s \in S$.

2 Equivalence relations and Partition

2.1 Equivalence relations (理性等价的定义)

理性的等价需要满足: (1)Reflexive, (2)Symmetric, (3)Transitive. Given a set X, a relation on X is a subset of $R \subset X \times X$. We write $a \sim b$.

A relation \sim is said to be

- 1. Reflexive if $\forall x \in X$, we have $x \sim x$.
- 2. Symmetric if $\forall x, y \in X, x \sim y \Rightarrow y \sim x$.
- 3. Transitive if $\forall x, y, z \in X, x \sim y, y \sim z \Rightarrow x \sim z$.

The sim is called **equivalence relation** if it is reflexive, Symmetric and Transitive.

Example 4. Set $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$, satisfies $(a,b) \sim (c,d)$ if ad = bc.

- 1. Reflexive: $(a,b) \sim (a,b), \forall (a,b) \in \mathbb{Z}^2$.
- 2. Symmetric: $\forall (a,b), (c,d) \in \mathbb{Z}^2, (a,b) \sim (c,d) \Rightarrow (c,d) \sim (a,b).$
- 3. Transitive: $\forall (a,b), (c,d), (u,v) \in \mathbb{Z}^2, (a,b) \sim (c,d), (c,d) \sim (u,v) \Rightarrow ad = bc, cv = du \Rightarrow acv = adu = bcu \Rightarrow av = bu \Rightarrow (a,b) \sim (u,v).$

So this is an equivalence relation.

Example 5. $f: X \to Y$ is a function, define \sim_f on X by $a \sim_f b$ if f(a) = f(b).

- 1. Reflexive: $a \sim a, \forall a \in X$.
- 2. Symmetric: $a, b \in X, a \sim b \Rightarrow b \sim a$.
- 3. Transitive: $\forall a, b, c \in X, a \sim b, b \sim c \Rightarrow f(a) = f(b) = f(c) \Rightarrow a \sim c$.

So \sim_f is an equivalence relation.

2.2 Partition (满足不重叠, 无剩余的 set 拆分结果)

X a set, a partition of X is a collection ω of subsets of X s.t.

- 1) $\forall A, B \in \omega$ either A = B or $A \cap B = \emptyset$.
- $2) \cup_{A \in \omega} A = X.$

The subsets are the **cells** of partition.

2.3 Equivalence class

2.3.1 [x]: equivalence class

Define the **equivalence class** of x to be the subset $[x] \subset X$:

$$[x] = \{ y \in X | y \sim x \}$$

Where \sim is an equivalence relation.

 \sim is reflexive $\Rightarrow x \in [x]$. We say that any $y \in [x]$ as a **representative** of the equivalence class.

2.3.2 X/\sim : set of equivalence classes

Set of equivalence classes 是一个 **set** 被某种 *equivalence relation* 分类的结果 We write the set of equivalence classes

$$X/\sim = \{[x]|x \in X\}$$

2.4 Relationship of Equivalence relation, Set of equivalence classes and <u>Partitions</u>

给定 X, <u>Equivalence relation</u> \sim 与<u>Set of equivalence classes</u> X/\sim 具有相同的信息量;包含所有<u>Partitions</u> 的集合与包含所有<u>Set of equivalence classes</u> 的集合相同。

2.4.1 Theorem 1.2.7: Equivalence relation $\sim \Leftrightarrow$ Set of equivalence classes X/\sim ; {all Sets of equivalence classes} = {all Partitions}

Theorem 2 (Theorem 1.2.7). X/\sim is a partition of X. Conversely, given a partition ω of X, there exists a unique equivalence relation \sim_{ω} s.t. $X/\sim_{\omega}=\omega$.

(1) <u>Equivalence relation</u> ~ 生成一个对应的<u>Set of equivalence classes</u> X/\sim , 该 X/\sim 就是一个 Partition。(可以看作 1. 所有 Set of equivalence classes 都是 Partitions; $2.\sim \Rightarrow X/\sim$ 由方式推结果) (2) 反之,我们也可以根据已有的 Partition ω ,将其作为一种分类方式 \sim_{ω} 的 _(i.e. $X/\sim_{\omega}=\omega$) 这个对应的 \sim_{ω} 存在且是唯一的。(可以看作 1. 所有 Partitions 都是 Set of equivalence classes; $2.X/\sim \Rightarrow \sim$ 由结果推方式)

证明.

 $(1)X/\sim$ is a partition of X:

$$\forall x, y \in X \text{ s.t. } [x] \cap [y] \neq \emptyset$$

$$Let \ z \in [x] \cap [y] \Rightarrow z \sim x, z \sim y$$

$$\forall w \in [x] \Rightarrow w \sim x \Rightarrow x \sim w \Rightarrow z \sim w \Rightarrow w \sim z \Rightarrow w \sim y \Rightarrow [x] \subset [y]$$

$$Similarly \ we \ can \ prove \ [y] \subset [x] \Rightarrow [x] = [y]$$

- (2) Given a partition ω of X, there exists a unique equivalence relation \sim_{ω} s.t. $X/\sim_{\omega}=\omega$:
- (2.1) Prove there exists an equivalence relation s.t. $X/\sim_{\omega}=\omega$:

We define a relation: $x \sim_{\omega} y$ if there exists $A \in \omega$ s.t. $x, y \in A \Rightarrow \sim_{\omega}$ is symmetric and transitive. Since $\bigcup_{A \in \omega} A = X$, we know $\forall x \in X, \exists A \in \omega$ s.t. $x \in A \Rightarrow \sim_{\omega}$ is reflexive. So \sim_{ω} is an equivalence relation

We know $A = [x], \forall A \in \omega, \forall x \in A \text{ (by } \sim_{\omega}), \text{ then } X/\sim_{\omega} = \{[x]|x \in \cup_{A \in \omega} A\} = \{\{A^*|x \in A^*\}|A^* \in \omega\} = \omega.$

(2.2) Prove the equivalence relation is unique:

Set \sim be any equivalence relation that make $X/\sim=\omega$, then we know $\forall A\in\omega, \exists x\in X$ s.t. [x]=A. According to the definition of [x], if $x\in A, y\sim x$ if and only if $y\in [x]=A$. Which is exactly the \sim_{ω} .

Example 6 (the same as example 5). $f: X \to Y$ is a function, define \sim_f on X by a \sim_f b if f(a) = f(b). In this example the **equivalence classes** are precisely the fibers $[x] = f^{-1}(f(x))$. $y \sim_f x \Rightarrow y \in f^{-1}(f(x))$

Example 7 (the same as example 4). Set $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$, satisfies $(a,b) \sim (c,d)$ if ad = bc. i.e. we write the equivalence of (a,b) as $\frac{a}{b} = [(a,b)]$. Then $X/\sim = \mathbb{Q}$.

2.4.2 Proposition 1.2.12: 根据结果 $X/\sim=\{[x]|x\in X\}$ 推断的 \sim_{π} equals to \sim .

Proposition 3 (Proposition 1.2.12). If \sim is an equivalence relation on X, define a surjective function $\pi: X \to X/\sim by \ \pi(x) = [x]$. Then $\sim_{\pi} = \sim$ (the definition of \sim_f in example 6.)

证明.

(1)Surjective:

 $X/\sim=\{[x]|x\in X\}=\{\pi(x)|x\in X\}, \text{ so } \forall y\in X/\sim,\ y\in\{\pi(x)|x\in X\}, \text{ there exists } x\in X \text{ s.t. } \pi(x)=y.$

 $(2)\sim_{\pi}=\sim$

 $a \sim_{\pi} b$ if $\pi(a) = \pi(b) \Leftrightarrow [a] = [b]$, which is exactly the definition of \sim .

逻辑:

- 1. Given \sim ;
- 2. Get the corresponding $X/\sim = \{[x]|x\in X\};$
- 3. $\pi(x) = [x];$
- 4. \sim_{π} : $a \sim_{\pi} b$ iff $\pi(a) = \pi(b)$
- 5. $\sim_{\pi} = \sim$

根据结果 $X/\sim=\{[x]|x\in X\}$ 推断的 \sim_{π} equals to \sim .

2.4.3 Proposition 1.2.13: 给 X 标记 Y: f, 给 X/\sim 标记 Y: \tilde{f} ,; 两函数之间一一对应

Proposition 4 (Proposition 1.2.13). Given any function $f: X \to Y$ there exists a unique function $\tilde{f}: X/\sim Y$ such that $\tilde{f}\circ \pi = f$, where $\pi: X \to X/\sim$ in proposition 3. Furthermore, \tilde{f} is a bijection onto the image f(X).

证明.

(1) Existence:

We define $x_1 \sim_f x_2$ if $f(x_1) = f(x_2)$. Set $\tilde{f}: X/\sim_f \to Y$, $\tilde{f}([x]) = f(x)$. Then $\tilde{f}[\pi(x)] = \tilde{f}([x]) = f(x)$. Exactly what we require.

(2) Uniqueness:

Set any \tilde{f}' s.t. $\tilde{f}' \circ \pi = f$, then $\tilde{f}'[\pi(x)] = \tilde{f}'([x]) = f(x)$, i.e. the \tilde{f} is unique.

(3) Bijection:

Surjective, which we proved before $\forall f, \exists \tilde{f} \text{ s.t.} \tilde{f} \circ \pi = f;$

Injective, we also have proved the uniqueness $f = \tilde{f} \circ \pi = \tilde{f}' \circ \pi \Rightarrow \tilde{f}' = \tilde{f}$.

3 Permutations 改变位置

Definition 7. Let X be a finite set, a permutation is bijection $\sigma: X \to X$.

Definition 8. Let $S_X(Sym(X))$ be the set of all bijection $\sigma: X \to X$.

If |X| = n, $|S_X| = n!$.

3.1 $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\}$: permutation group of X; elements in Sym(X): permutations of X

We set $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\} \subset X^X$. We call it **symmetric group of** X or **permutation group of** X. We call the elements in Sym(X) the **permutations of** X or the **symmetries of** X.

3.1.1 Properties of \circ on Sym(X)

Proposition 5 (Proposition 1.3.1.). For any nonempty set X, \circ is an operation on Sym(X) with the following properties:

- (i) \circ is associative.
- (ii) $id_X \in Sym(X)$, and for all $\sigma \in Sym(X)$, $id_X \circ \sigma = \sigma \circ id_X = \sigma$, and
- (iii) For all $\sigma \in Sym(X)$, $\sigma^{-1} \in Sym(X)$.

Permutations 类似于 rearrangement, 交换 X 中元素的排序。

3.1.2 S_n : Permutation group on n elements, σ^i

Note 1. When $X = \{1, ..., n\}, n \in \mathbb{Z}$, write $S_n = Sym(X)$ symmetric/permutation group on n elements.

Note 2. $\sigma \in Sym(X)$, write $\sigma^n = \sigma \circ \sigma \circ ... \circ \sigma$, $\sigma^0 = id_X$, $\sigma^{-1} = inverse$, r > 0, $\sigma^{-r} = (\sigma^{-1})^r$. So, $r, s \in \mathbb{Z}$, $\sigma^{r+s} = \sigma^r \circ \sigma^s = \sigma^s \circ \sigma^r$.

3.1.3 k-cycle, cyclically permute/fix

Example 8.



$$1 \stackrel{\sigma}{\mapsto} 2 \stackrel{\sigma}{\mapsto} 5 \stackrel{\sigma}{\mapsto} 1, \quad \tau_1$$

$$3 \stackrel{\sigma}{\mapsto} 4 \stackrel{\sigma}{\mapsto} 3, \quad \tau_2$$

图 1: Example of Cycle

In the example of Figure 1, $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 4 & 3 & 2 \end{pmatrix}$, $\sigma = \tau_1 \circ \tau_2$, where $\tau_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 3 & 4 & 2 \end{pmatrix}$,

 $\tau_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 4 & 3 & 5 \end{pmatrix}$. τ_1 is 3-cycle, τ_2 is 2-cycle. We could represent $\tau_1 = (152) = (521) = (215)$,

We can find that $\forall x \in \{1, 2, 3, 4, 5\}, \tau_1^3(x) = x, \tau_2^2(x) = x$, so we write τ_1 as a **3-cycle** in S_5 , τ_2 as a

2-cycle in S_5 .

Given $k \geq 2$, a **k-cycle** in S_n is a permutation σ with the property that $\{1, ..., n\}$ is the union of two disjoint subsets, $\{1, ..., n\} = Y \cup Z$ and $Y \cap Z = \emptyset$, such that

- 1. $\sigma(x) = x$ for every $x \in \mathbb{Z}$, and
- 2. |Y| = k, and for any $x \in Y, Y = {\sigma(x), \sigma^2(x), \sigma^3(x) ... \sigma^k(x) = x}$.

We say that σ cyclically permutes the elements of Y and fixes the elements of Z.

 $\tau_1 = (1\ 2\ 5)$ cyclically permutes the elements of $Y = \{1, 2, 5\}$ and fixes the elements of $Z = \{3, 4\}$.

 $\tau_2 = (3 \ 4)$ cyclically permutes the elements of $Y = \{3,4\}$ and fixes the elements of $Z = \{1,2,5\}$.

3.2 Disjoint cycles

Since the sets are cyclically permuted by τ_1, τ_2 (i.e. Y) are disjoint. We call the **disjoint cycle** notation $\sigma = \tau_1 \circ \tau_2 = (1\ 2\ 5)(3\ 4)$. (Commute the order is irrelevant)

3.2.1 Theorem: Every permutation is a union of disjoint cycles, uniquely.

Given $\sigma \in S_n$, there exists a unique (possibly empty) set of pairwise disjoint cycles.

Theorem 3. Let X be a finite set, the graph of permutation $\sigma \in S_X$ is a union of disjoint cycle.

证明. Prove by induction:

If |X| = 1, the graph is circle:

For |X| > 1, let $i_1 \in X$ and let $\mathcal{O}(i_1) = \{\sigma^r(i_1), r \geq 0\} = \{i_1, \sigma(i_1), \sigma^2(i_1), ...\}$. $\mathcal{O}(i_1)$ is finite, and there is a smallest r s.t. $\sigma^r(i_1) \in \{i_1, \sigma(i_1), \sigma^2(i_1), ..., \sigma^{r-1}(i_1)\}$. Then $\sigma^r(i_1) = i_1$ because other elements already have a pre-change under σ .

Then $i_1 \to \sigma(i_1) \to \sigma^2(i_1) \to \cdots \to \sigma^{r-1}(i_1) \to i_1$ is a cycle of length r.

For $j \notin \mathcal{O}(i_1)$, $\sigma(j) \notin \mathcal{O}(i_1)$, $\sigma^{-1}(j) \notin \mathcal{O}(i_1)$. Let $Y = X/\mathcal{O}(i_1)$ then $\sigma: Y \to Y$ is a bijection. Then prove by induction.

Example 9. $\sigma_1 = (1 \ 2 \ 6 \ 5)(3)(4)$, can be written by $\sigma_1 = (1 \ 2 \ 6 \ 5)$, $\sigma_2 = (2 \ 3 \ 5 \ 4)$

$$\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 6 \ 5) \circ (2 \ 3 \ 5 \ 4)$$

$$1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2$$

$$2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3$$

$$3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1$$

$$4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4$$

$$5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5$$
Then $\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 3) \circ (4 \ 6 \ 5)$

$$\sigma_{2} \circ \sigma_{1} = (2 \ 3 \ 5 \ 4) \circ (1 \ 2 \ 6 \ 5)$$

$$1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3$$

$$2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6$$

$$3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5$$

$$4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 2$$

$$5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1$$

$$6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4$$

Then $\sigma_2 \circ \sigma_1 = (1 \ 3 \ 5) \circ (2 \ 6 \ 4)$

Note: $\sigma_1 \circ \sigma_2 \neq \sigma_2 \circ \sigma_1$

Example 10 (Exercise 1.3.2.). Consider $\sigma = (3\ 4\ 8)(5\ 7\ 6\ 9)$ and $\tau = (1\ 9\ 3\ 5)(2\ 7\ 4)$ in S_9 expressed in disjoint cycle notation. Compute $\sigma \circ \tau$ and $\tau \circ \sigma$ expressing both in disjoint cycle notation.

$$1 \to \sigma(\tau(1)) = \sigma(9) = 5; \ 2 \to \sigma(\tau(2)) = \sigma(7) = 6;$$

$$3 \to \sigma(\tau(3)) = \sigma(5) = 7; \ 4 \to \sigma(\tau(4)) = \sigma(2) = 2;$$

$$5 \to \sigma(\tau(5)) = \sigma(1) = 1; \ 6 \to \sigma(\tau(6)) = \sigma(6) = 9;$$

$$7 \to \sigma(\tau(7)) = \sigma(4) = 8; \ 8 \to \sigma(\tau(8)) = \sigma(8) = 3;$$

$$9 \to \sigma(\tau(9)) = \sigma(3) = 4;$$

$$\Rightarrow \sigma \circ \tau = (1\ 5)(2\ 6\ 9\ 4)(3\ 7\ 8)$$

$$1 \to \tau(\sigma(1)) = \tau(1) = 9; \ 2 \to \tau(\sigma(2)) = \tau(2) = 7;$$

$$3 \to \tau(\sigma(3)) = \tau(4) = 2; \ 4 \to \tau(\sigma(4)) = \tau(8) = 8;$$

$$5 \to \tau(\sigma(5)) = \tau(7) = 4; \ 6 \to \tau(\sigma(6)) = \tau(9) = 3;$$

$$7 \to \tau(\sigma(7)) = \tau(6) = 6; \ 8 \to \tau(\sigma(8)) = \tau(3) = 5;$$

$$9 \to \tau(\sigma(9)) = \tau(5) = 1;$$

$$\Rightarrow \tau \circ \sigma = (1\ 9)(2\ 7\ 6\ 3)(4\ 8\ 5)$$

Example 11. Let $\sigma, \tau \in S_7$, given in disjoint cycle, notation by $\sigma = (1\ 5\ 4)(3\ 7), \tau = (1\ 3\ 2\ 6\ 4),$ Compute $\sigma^2, \sigma^{-1}, \tau \circ \sigma$

$$\sigma^{2} = (1\ 4\ 5), \qquad \sigma^{-1} = (4,5,1)(3,7),$$

$$1 \to \tau(\sigma(1)) = \tau(5) = 5, \quad 2 \to \tau(\sigma(2)) = \tau(2) = 6,$$

$$3 \to \tau(\sigma(3)) = \tau(7) = 7, \quad 4 \to \tau(\sigma(4)) = \tau(1) = 3,$$

$$5 \to \tau(\sigma(5)) = \tau(4) = 1, \quad 6 \to \tau(\sigma(6)) = \tau(6) = 4,$$

$$7 \to \tau(\sigma(7)) = \tau(3) = 2,$$

$$\Rightarrow \tau \circ \sigma = (1,5)(2,6,4,3,7)$$

3.2.2 Cycle Structure

• How many permutation $\sigma \in S_{12}$ has cycle structure $(1\ 2\ 3)(4\ 5\ 6)(7\ 8)(9\ 10)(11\ 12)$?

$$\frac{12!}{3^2 2^3 (2!)(3!)}$$

12!: 每个位置的排列

 3^2 : 每个长度 3 的 cycle 的每种情况会被重复计算 3 次

23: 每个长度 2 的 cycle 的每种情况会被重复计算 2 次

(2!): 2 个长度 3 的 cycle 具有不同位置的排列

(3!): 3 个长度 2 的 cycle 具有不同位置的排列

• $(1\ 2\ 3)(4\ 5)(6) \in S_6$?

$$\frac{6!}{3 \times 2} = 120$$

• General situation: $\sigma \in S_n$, r_i category of length i, i = 1, 2...

$$\frac{n!}{[1^{r_1}2^{r_2}3^{r_3}\cdots][(r_1!)(r_2!)(r_3!)\cdots]}$$

3.3 Transposition

Definition 9. A transposition is a cycle of length 2: $\sigma = (i \ j)$.

3.3.1 Theorem: 每个 permutation 可以由若干个 (可能不 disjoint 的) transposition 表示

Theorem 4. Every permutation σ of X is a product of transposition. (the product is not unique) **Equivalent:** Given $n \geq 2$, any $\sigma \in S_n$ can be expressed as a composition of 2-cycles.(not require disjoint)

证明.

Version 1:

$$(x_1 \ x_k)(x_1 \ x_2, \dots x_{k-1} \ x_k) = (x_1 \ x_2 \ \dots x_{k-1})$$

$$(x_1 \ x_2 \ \dots x_{k-1} \ x_k) = (x_1 \ x_k)(x_1, x_2 \ \dots x_{k-1})$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_2} \ \dots \mathbf{x_{k-2}})$$

$$\dots$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_{k-2}}) \dots (\mathbf{x_1} \ \mathbf{x_2})$$

Version 2:

$$(x_1 \ x_2, \dots \ x_{k-1} \ x_k)(x_1 \ x_k) = (x_2 \ x_3 \ \dots \ x_k)$$
$$(x_1 \ x_2 \ \dots \ x_{k-1} \ x_k) = (x_2 \ x_3 \ \dots \ x_k)(x_1 \ x_k)$$
$$\dots$$
$$= (\mathbf{x_{k-1}} \ \mathbf{x_k})(\mathbf{x_{k-2}} \ \mathbf{x_k}) \dots (\mathbf{x_2} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_k})$$

Claim 1. Cycle of length k can be written as a product of k-1 transpositions.

3.3.2 Sign of Permutation

Theorem 5. Although the product of transposition of a permutation is not unique, the <u>parity</u> (odd or even) of the r in a product is unique. We call it the **sign** of permutation.

$$sign(\sigma) = (-1)^{(\# even-length \ cycles \ in \ \sigma)}$$

= $(-1)^{(\# transpositions \ in \ \sigma)}$

Example 12.

$$\sigma_1 = (1 \ 4 \ 7 \ 9)(2 \ 8)(6 \ 10): N = 3 + 1 + 1 = 5$$
 is odd.
 $\sigma_2 = (1 \ 2 \ 3 \ 4 \ 5)(6 \ 7 \ 8 \ 9 \ 10): N = 4 + 4 = 8$ is even

What happens to a permutation σ 's cycles if $\sigma \to (i \ j) \circ \sigma$?

- 1. i and j are not contained in σ .
- 2. i and j appear in the same cycle of σ .
- 3. i and j appear in disjoint cycles.

$$(i \ j) \circ (i - -j \sim \sim) = (i - -) \circ (j \sim \sim)$$
$$(i \ j) \circ (i - -) \circ (j \sim \sim) = (i - -j \sim \sim)$$

Proposition 6. $sign((i \ j) \circ \sigma) = -1 \cdot sign(\sigma)$

证明.

Suppose $\sigma = (a_1 \ a_2 \ \cdots a_k \ b_1 \ b_2 \ \cdots b_l)$

Then $(a_1 \ b_1) \circ \sigma = (a_1 \ a_2 \ \cdots a_k)(b_1 \ b_2 \ \cdots b_l)$

$$sign(\sigma) = \begin{cases} +1 & \text{if } k+l \text{ is odd} \\ -1 & \text{if } k+l \text{ is even} \end{cases}$$

$$sign((a_1 \ b_1) \circ \sigma) = \begin{cases} -1 & \text{if } k+l \text{ is odd} \\ +1 & \text{if } k+l \text{ is even} \end{cases}$$

4 Integers

4.1 Proposition 1.4.1: Properties of integers \mathbb{Z}

Proposition 7 (Proposition 1.4.1.). The following hold in the integers \mathbb{Z} :

- (i) Addition and multiplication are commutative and associative operations in \mathbb{Z} .
- (ii) $0 \in \mathbb{Z}$ is an identity element for addition; that is, $\forall a \in \mathbb{Z}, 0 + a = a$.
- (iii) Every $a \in \mathbb{Z}$ has an additive inverse, denoted -a and given by -a = (-1)a, satisfying a + (-a) = 0.
- (iv) $1 \in \mathbb{Z}$ is an identity element for multiplication; that is, for all $a \in \mathbb{Z}$, 1a = a.
- (v) The distributive law holds: $\forall a, b, c \in \mathbb{Z}, a(b+c) = ab + ac$.
- (vi) Both $\mathbb{N} = \{x \in \mathbb{Z} | x \ge 0\}$ and $\mathbb{Z}_+ = \{x \in \mathbb{Z} | x > 0\}$ are closed under addition and multiplication.

That is, if x and y are in one of these sets, then x + y and xy are also in that set.

(vii) For any two nonzero integers $a, b \in \mathbb{Z}, |ab| \ge \max\{|a|, |b|\}$. Strict inequality holds if |a| > 1 and |b| > 1.

From this we get cancellation.

$$ab = ac \Rightarrow b = c \text{ or } a = 0$$

4.2 Definition: Divide

Suppose $a, b \in \mathbb{Z}, b \neq 0$, <u>b</u> divides <u>a</u> if $\exists m \in \mathbb{Z}$, so that a = bm, b|a. Otherwise, write $b \nmid a$.

4.3 Proposition 1.4.2: properties of integer division

Proposition 8 (Proposition 1.4.2). $\forall a, b \in \mathbb{Z}$

- (i) if $a \neq 0$, then a|0
- (ii) if a|1, then $a = \pm 1$
- (iii) if a|b & b|a, then $a = \pm b$
- (iv) if a|b & b|c, then a|c

(v) if a|b & a|c, then $a|(mc+nb)\forall m, n \in \mathbb{Z}$

4.4 Definitions: Prime, The Greatest common divisor gcd(a, b)

 $p > 1, p \in \mathbb{Z}$ is called *prime* if the only divisors are $\pm 1, \pm p$.

Given $a, b \in \mathbb{Z}$, $a, b \neq 0$, the greatest common divisor of a and b is $c \in \mathbb{Z}$, c > 0 s.t.

(1) c|a and c|b; (2) if d|a, d|b, then d|c

The c is unique, we write it gcd(a, b).

4.5 Euclidean Algorithm

Proposition 9 (Proposition 1.4.7(Euclidean Algorithm)). Given $a, b \in \mathbb{Z}, b \neq 0$, then $\exists q, r \in \mathbb{Z}$ s.t. $a = qb + r, 0 \leq r \leq |b|$.

Example 13 (Exercise 1.4.3). For the pair (a,b) = (130,95), find gcd(a,b) using the Euclidean Algorithm and express it in the form gcd(a,b) = sa + tb for $s,t \in Z$.

$$130 = 95 + 35;$$
 $95 = 2 \times 35 + 25$
 $35 = 25 + 10;$ $25 = 2 \times 10 + 5$
 $10 = 2 \times 5 + 0$

$$5 = 25 - 2 \times 10 = 25 - 2 \times (35 - 25) = 3 \times 25 - 2 \times 35 = 3 \times (95 - 2 \times 35) - 2 \times 35$$
$$= 3 \times 95 - 8 \times 35 = 3 \times 95 - 8 \times (130 - 95) = 11 \times 95 - 8 \times 130$$
$$gcd(130, 95) = gcd(95, 35) = gcd(35, 25) = gcd(25, 10) = gcd(10, 5) = gcd(5, 0) = 5$$

We can also express it by matrix

	q	r	s	t
-1		130	1	0
0	1	95	0	1
1	2	35	1	-1
2	1	25	-2	3
3	2	10	3	-4
4	2	5	-8	11

Hence $gcd(130, 95) = 5 = -8 \cdot 130 + 11 \cdot 95$

4.6 Proposition: gcd(a,b) exists and is the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$

Theorem 6. d = gcd(a, b) is of the form sa + tb

证明. We may assume $0 \le a \le b$

For a = 0, $d = b = 0 \cdot a + 1 \cdot b$.

For a > 0, let $b = q \cdot a + r$ with $0 \le r < a \le b$. Then

$$\{sa+tb: s,t \in \mathbb{Z}\} = \{sa+t(q \cdot a + r): s,t \in \mathbb{Z}\} = \{tr+ua: t,u \in \mathbb{Z}\}$$

$$= \dots \{x \cdot 0 + y \cdot d: x,y \in \mathbb{Z}\} = \{\dots, -2d, -d, 0, d, 2d, \dots\}$$

Proposition 10 (第二种表示, 第二种证明). $\forall a, b \in \mathbb{Z}$, not both 0, gcd(a, b) exists and is the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$. i.e. $\exists m_0, n_0 \in \mathbb{Z}$ s.t. $gcd(a, b) = m_0a + n_0b$.

延明. Let c be the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$. $c = m_0 a + n_0 b > 0$. Let $d = ma + nb \in M$, d = qc + r where $0 \le r < c$ (by Euclidean Algorithm).

$$r = d - qc = (m - qm_0)a + (n - qn_0)b \in M$$

Since c is the smallest integer in M and $r \in [0, c)$, so r = 0. $\Rightarrow d = qc$. So c|d.

$$a = 1a + 0b \in M \Rightarrow c|a, b = 0a + 1b \in M \Rightarrow c|b.$$

If
$$t|a,t|b$$
 then $t|m_0a+n_0b$ i.e. $t|c. \Rightarrow c=gcd(a,b)$.

4.7 Well-Ordering Principle (Least Integer Axiom)

There is a smallest integer in every nonempty subset S of the natural numbers $\mathbb{N} = \{0, 1, 2, ...\}$

4.8 Proposition 1.4.10: gcd(b,c), $b|ac \Rightarrow b|a$

Proposition 11 (Proposition 1.4.10). Suppose $a, b, c \in \mathbb{Z}$. If b, c are relatively prime i.e. gcd(b, c) = 1 and b|ac, then b|a.

证明.
$$gcd(b,c)=1 \Rightarrow \exists m,n \in \mathbb{Z} \text{ s.t. } 1=mb+nc \Rightarrow a=amb+anc. \text{ Since } b|nac,b|amb \Rightarrow b|a.$$

4.8.1 Corollary: $p|ab \Rightarrow p|a$ or p|b

Corollary 1 (Corollary of Prop 1.4.10). $a, b, p \in \mathbb{Z}, p > 1$ prime. If p|ab, then p|a or p|b.

证明. If
$$p|b$$
, done. Otherwise, $gcd(p,b)=1$. By Prop 1.4.10, $p|a$.

4.9 Fundamental Theorem of Arithmetic: Any integer $a \ge 2$ has a unique prime factorization

4.9.1 Existence

Lemma 2. Any integer $a \geq 2$ is either a prime or a product of primes.

证明. Set $S \subset \mathbb{N}$ be the set of all n without the given property.

Assume that S in nonempty and m is the least element in S.

Since m is not a prime, it can be written as m = ab with 1 < a, b < m. Since m is the least element in $S, a, b \notin S$. Then m is a product of primes. Contradiction. Thus, $S = \emptyset$.

4.9.2 Uniqueness

Theorem 7 (Fundamental Theorem of Arithmetic).

Any integer a > 1 has a unique prime factorization: $a = p_1^{k_1} \cdot p_2^{k_2} \cdot ... p_n^{k_n}$ where $p_i > 1$ is prime, $k_i \in \mathbb{Z}_+, \forall i = 1, ..., n, p_i \neq p_j, \forall i \neq j$.

证明.

- a) Existence: (Previous Lemma)
- b) Uniqueness:
 - 1) Method 1:

Suppose $a = p_1^{n_1} \cdot p_2^{n_2} \cdot ... p_k^{n_k} = q_1^{r_1} \cdot q_2^{r_2} \cdot ... q_j^{r_j}$. Where $p_1 > p_2 > ... > p_k, q_1 > q_2 > ... > q_j, n_i, r_i \ge 1$.

 $p_1|a \Rightarrow \exists q_i \text{ s.t. } p_1|q_i. \text{ Similarly, } \exists q_i \text{ s.t. } q_1|p_{i'}.$

$$q_1 \le p_{i'} \le p_1 \le q_i \Rightarrow q_1 = p_{i'} = p_1 = q_i$$

We can also know $n_1 = r_1$, otherwise we would have two prime factorization of the quotient where the largest primes are different by dividing $p_1^{\min\{n_1,r_1\}}$.

Then we can get $b=p_2^{n_2}\cdot...p_k^{n_k}=q_2^{r_2}\cdot...q_j^{r_j}.$ Then prove it by induction.

2) Method 2:

Suppose $a = p_1 \cdot p_2 \cdot ... p_k = q_1 \cdot q_2 \cdot ... q_t$. For a p_i , there must exist a q_j s.t. $p_i = q_j$:

Assume that $p_i \neq q_t$, $gcd(p_i, q_t) = 1$. Then $\exists a, b$ such that $1 = ap_i + bq_t$. Multiplying both sides by $q_1 \cdot q_2 \cdot ... \cdot q_{t-1}$:

$$q_1 \cdot q_2 \cdot ... q_{t-1} = ap_i q_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t$$

Since $p_i|q_1 \cdot q_2 \cdot ... q_t$, we can conclude that $p_i|(ap_iq_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t)$

i.e.
$$p_i|q_1 \cdot q_2 \cdot ... q_{t-1}$$
 if $p_i \neq q_t$

Then prove by induction.

5 Modular arithmetic

5.1 Congruences

5.1.1 Congruent modulo m: $a \equiv b \mod m$

Given $m \in \mathbb{Z}_+$, define a relation on \mathbb{Z} : congruence modulo m

$$a \equiv b \mod m$$
, if $m | (a - b)$

Read as "a is congruent to b mod n"; Notation: $a \equiv b \mod m$.

Equivalent to: a, b have the same remainder after division by m.

5.1.2 Proposition: For fixed $m \geq 2$, the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

Proposition 12 (Proposition 1.5.1). For fixed $m \ge 2$, the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

证明.

- 1) Reflexive: $\forall a \in \mathbb{Z}, m | 0 = (a a), \text{ so } a \equiv a \mod m \text{ i.e. } a \sim a.$
- 2) Symmetric: $\forall a, b \in \mathbb{Z}, \ a \equiv b \mod m$, then $m|(a-b) \Rightarrow m|(b-a) \Rightarrow b \equiv a \mod m$. i.e. $a \sim b \Rightarrow b \sim a$.
- 3) Transitive: $\forall a, b, c \in \mathbb{Z}$, $a \equiv b \mod m$, $b \equiv c \mod m$. Then $m|(a-b), m|(b-c) \Rightarrow m|(a-b) + (b-c) = (a-c) \Rightarrow a \equiv c \mod m$.

5.1.3 Theorem: the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$

Theorem 8. the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$

证明. Prove any $a \in \mathbb{Z}$ belongs to a unique Ω_i .

- a) Existence: Division Algorithm $\Rightarrow a = qm + r, 0 \le r < m. \ a \in \Omega_r.$
- b) Uniqueness: Assume a in two sets, $a \in \Omega_r \cap \Omega_{r^1}$, $0 \le r^1 < r < m$. Then m|a-r and $m|a-r^1 \Rightarrow m|r-r^1$, which is impossible because $0 < r-r^1 < m$. Contradiction.

5.1.4 Proposition: Addition and Mutiplication of Congruences

Proposition 13. Fix integer $m \geq 2$. If $a \equiv r \mod m$ and $b \equiv s \mod m$, then $a + b \equiv r + s \mod m$ and $ab \equiv rs \mod m$

证明.

- a) Addition: $m|(a-r), m|(b-s) \Rightarrow m|(a-c) + (b-d) \Rightarrow m|(a+b) (c-d)$.
- b) Mutiplication: $m|(a-r)b+r(b-s) \Rightarrow m|ab-rs$.

5.2 Solving Linear Equations on Modular m

5.2.1 Theorm: unique solution of $aX \equiv b \mod m$ if gcd(a, m) = 1

Theorem 9. If gcd(a, m) = 1, then $\forall b \in \mathbb{Z}$ the congruence $aX \equiv b \mod m$ has a unique solution. 证明.

1) Existence: Since $gcd(a, m) = 1, \exists s, t \text{ such that}$

$$1 = sa + tm$$

$$(\text{Version 1})$$

$$(\text{Mutiplying } X)$$

$$X = saX + tmX$$

$$aX \equiv b \mod m \Leftrightarrow aX = km + b$$

$$\Leftrightarrow X = s(km + b) + b$$

$$\Leftrightarrow X \equiv sb \mod m$$

$$(\text{Version 2})$$

$$(\text{Mutiplying } s)$$

$$saX \equiv sb \mod m$$

$$(1 - tm)X \equiv sb \mod m$$

$$X \equiv sb \mod m$$

 $X \equiv sb \mod m$ is the solution to $aX \equiv b \mod m$.

2) Uniqueness: Assume x, y are two solutions,

$$ax \equiv b \mod$$
, $ay \equiv b \mod m \Rightarrow a(x - y) \equiv 0 \mod m$

Since
$$gcd(a, m) = 1$$
, $m|(x - y) \Rightarrow x = y$, $(x, y \in \{0, 1, ..., m - 1\})$

Example 14. Solve $3X \equiv 5 \mod 11$.

$$qcd(3,11) = 1, 1 = 4 * 3 - 1 * 11,$$

$$X \equiv 4 * 5$$

$$X \equiv 9$$

5.3 Chinese Remaindar Theorem (CRT): unique solution for x modulo mn

Theorem 10 (Chinese Remaindar Theorem (CRT)).

If
$$gcd(m, n) = 1$$
. Then
$$\begin{cases} x \equiv r \mod m & (1) \\ x \equiv s \mod n & (2) \end{cases}$$
 have a unique solution for x modulo mn .

证明.

 $(1) \Rightarrow x = km + r \text{ for some } k \in \mathbb{Z}.$

substitute (2)
$$\Rightarrow km + r \equiv s \mod n$$

 $\Leftrightarrow mk \equiv s - r \mod n$ (3)

According to previous theorem, gcd(m, n) = 1, (3) has a **unique** solution.

We say $k \equiv t \mod n$, k = ln + t for some $l \in \mathbb{Z}$

 $\Rightarrow x = (ln + t)m + r = lnm + tm + r$, where tm + r is the unique solution to x modulo mn.

Example 15. (Similar to CRT) Find the smallest integer x such that

$$x \equiv 1 \mod 11 \text{ and } x \equiv 9 \mod 13$$

$$gcd(11, 13) = 1$$
 and $1 = 6 * 11 - 5 * 13$

Write x = 11k + 1. Substitute in $x \equiv 9 \mod 13$:

$$11k \equiv 8 \mod 13$$
$$6*11k \equiv 6*8 \equiv 9 \mod 13$$
$$(1+5*13)k \equiv 9 \mod 13$$
$$k \equiv 9 \mod 13$$

Then x = 11k + 1 = 100.

5.4 Congruence Classes: $[a]_n = \{a + kn | k \in \mathbb{Z}\}$

将给定 n,相同余数的数分为一组

Fix $n \in \mathbb{Z}_+$, we call $[a]_n = [a]$ the **congruence class** of a modulo n.

$$[a] = \{b \in \mathbb{Z} | b \equiv a \mod n\} = \{a + kn | k \in \mathbb{Z}\}\$$

5.4.1 Set of congruence classes of mod n: $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\} = \{[0], [1], ..., [n-1]\}$

The set of congruence classes of mod n is denoted $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\}$

Proposition 14 (Proposition 1.5.2.). For any $n \ge 1$ there are exactly n congruences classes modulo n, which we may write as

$$\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$$

证明.

For any $a \in \mathbb{Z}$. By Euclidean algorithm, a = qn + r, $q, r \in \mathbb{Z}$, $0 \le r < n \Rightarrow a \in [r]$. So, $\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$.

When $0 \le a < b \le n-1$, $n \nmid (b-a)$, so $[a] \ne [b]$ the *n* congruence classes listed are all distinct. Hence, there are exactly *n* congruence classes.

5.4.2 Proposition 1.5.5: Addition and Multiplication on Congruence Classes

Fix $n \in \mathbb{Z}$, we define addition+ and multiplication on \mathbb{Z}_n :

$$[a] + [b] = [a+b] = \{a+b+(k+j)n|k, j \in \mathbb{Z}\}$$
$$[a] \cdot [b] = [ab] = \{ab+(aj+bk+kjn)n|k, j \in \mathbb{Z}\}$$

This is well defined, follows Lemma 1.5.3.

Proposition 15 (Proposition 1.5.5.). Let $a, b, c, d, n \in \mathbb{Z}, n \geq 1$, then

- (i) Addition and multiplication are commutative and associative operations in \mathbb{Z}_n .
- (ii) [a] + [0] = [a].
- (iii) [-a] + [a] = [0].
- (iv) [1][a] = [a].
- (v) [a]([b] + [c]) = [a][b] + [a][c].

证明.

5.4.3 Units(i.e. invertible) in Congruence Classes

将与 n 互质的数分为一组

Say $[a] \in \mathbb{Z}_n$ is a **unit** or is **invertible** if $\exists [b] \in \mathbb{Z}_n$ so that [a][b] = [1].

5.4.4 Proposition 1.5.6: Set of units in congruence classes: $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$

The set of **invertible** elements in \mathbb{Z}_n will be denoted $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\}.$

Proposition 16 (Proposition 1.5.6.). For all $n \ge 1$, we have $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$.

证明.

By Proposition 1.4.8, we know there exists b, c s.t. ab + cn = 1. So, $ab \equiv 1 \mod n$, [1] = [ab] = [a][b]. So, $\{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\} \subset \mathbb{Z}_n^{\times}$

[a] is a unit
$$\Rightarrow \exists [b] \in \mathbb{Z}_n$$
 so that $[a][b] = [ab] = [1] \Rightarrow ab = 1 + kn, k \in \mathbb{Z} \Rightarrow ab - kn = 1, k \in \mathbb{Z} \Rightarrow gcd(a, n) = 1$. So, $\mathbb{Z}_n^{\times} \subset \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$.

Note 3. Inverse of [a] is unique, i.e. $[b] = [a]^{-1}$ is unique.

$$[a][b] = 1, [a][b'] = 1 \Rightarrow [b] = [b][1] = [b][a][b'] = [b']$$

5.4.5 Corollary 1.5.7: if p is prime, $\varphi(p) = \mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}$

Corollary 2 (Corollary 1.5.7). If $p \ge 2$ is prime, $\mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}.$

5.5 Euler phi-function: $\varphi(n) = |\mathbb{Z}_n^{\times}|$

Euler phi-function: $\varphi(n) = |\mathbb{Z}_n^{\times}|$.

p prime, $\varphi(p) = p - 1$.

5.5.1 $m|n, \pi_{m,n}([a]_n) = [a]_m$

Example 16 (Exercise 1.5.4). If m|n, we can define $\pi_{m,n}: \mathbb{Z}_n \to \mathbb{Z}_m$ by $\pi_{m,n}([a]_n) = [a]_m$. Prove it is well-defined.

证明.

We write $[a]_n = [c]_n$, verify that $[a]_m = [c]_m$.

Since m|n, there exists $k \in \mathbb{Z}$ s.t. n = km.

$$[a]_n = [c]_n \Rightarrow \exists j \in \mathbb{Z} \text{ s.t. } c = a + jn.$$

$$[c]_m = [a+jn]_m = [a+jkm]_m = [a]_m$$

5.6 Theorem 1.5.8(Chinese Remainder Theorem): $n = mk, gcd(m, k) = 1, F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$

Theorem 11 (Theorem 1.5.8(Chinese Remainder Theorem)). If m, n, k > 0, n = mk, gcd(m, k) = 1, then $F : \mathbb{Z}_n \to \mathbb{Z}_m \times \mathbb{Z}_k$ which is given by $F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$, then F is a bijection.

证明.

- (1)Injective: $F([a]_n) = F([b]_n) \Rightarrow [a]_m = [b]_m, [a]_k = [b]_k$ i.e. $a \equiv b \mod m, a \equiv b \mod n$. $\exists i, j \in \mathbb{Z}$ s.t. $b = a + im = a + jk \Rightarrow k|im$. Since gcd(m, k) = 1, $k|i \Rightarrow n = mk|im$. Then $[b]_n = [a]_n + [im]_n = [a]_n$.
- (2) Surjective: prove $\forall u, v \in \mathbb{Z}, \exists a \mathbb{Z} \text{ s.t. } [a]_m = [u]_m, [a]_k = [v]_k.$

Since gcd(m, k) = 1, $\exists s, t \in \mathbb{Z}$ so that 1 = sm + tk.

Let
$$a = (1 - tk)u + (1 - sm)v$$
, $[a]_m = [(u - v)sm + v]_m = [v]_m$, $[a]_k = [(v - u)tk + u]_k = [u]_k$.

Note 4.
$$F([a]_n[b]_n) = F([ab]_n) = ([ab]_m, [ab]_k) = ([a]_m[b]_m, [a]_k[b]_k)$$

Since F is a bijection, $[ab]_n = [1]_n$ iff $([a]_m[b]_m, [a]_k[b]_k) = ([1]_m, [1]_k)$.

5.6.1 Proposition 1.5.9+Corollary 1.5.10: m, n, k > 0, n = mk, gcd(m, k) = 1, then $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$, then $\varphi(n) = \varphi(m)\varphi(k)$

Proposition 17 (Proposition 1.5.9+Corollary 1.5.10). If m, n, k > 0, n = mk, gcd(m, k) = 1, then $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$, then $\varphi(n) = \varphi(m)\varphi(k)$.

5.7 prime factorization: $n = p_1^{r_1}...p_k^{r_k}$, then $\varphi(n) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$

Proposition 18. If $n \in \mathbb{Z}$ is positive integre with prime factorization $n = p_1^{r_1}...p_k^{r_k}$, then $\varphi(n) = (p_1 - 1)p_1^{r_1-1}...(p_k - 1)p_k^{r_k-1}$

证明.

 $\mathbb{Z}_{p^r} = \{[0], [1], ..., [p^r - 1]\}, \text{ the number of multiples of } p \text{ is } \frac{p^r}{p} = p^{r-1}. \text{ Then } \varphi(p^r) = |\mathbb{Z}_{p^r}^{\times}| = p^r - p^{r-1} = (p-1)p^{r-1}. \text{ So,}$

$$\varphi(n) = \varphi(p_1^{r_1})...\varphi(p_k^{r_k}) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$$

6 Group

6.1 Group (G,*): a set with a binary operation(associative, identity, inverse)

6.1.1 Definition

A group is a nonempty set G with a binary operation $*: G \times G \to G$ s.t.

- (1) Binary operation on $G, *: G \times G \rightarrow G$
- (2) * is associative
- (3) G contains an **identity** element e for $*: \exists e \in G$ s.t. $e*g = g*e = g \forall g \in G$
- (4) Each element $a \in G$ has an **inverse** $b \in G$ s.t. a * b = b * a = e.

A Group is **abelian** if moreover

(5) * is **commutative**.

|G| = Order of a group (G, *)

 $(\mathbb{Z},+)$ is a group and + is commutative, we call this kind of groups(statify commutative) abelian group.

Example 17. If \mathbb{F} is a field, then $(\mathbb{F},+)$ and $(\mathbb{F}^{\times},\cdot)$ are abelian group.

Example 18. If V is a vector space over \mathbb{F} , then (V, +) abelian group.

As we know a V is a vector space over \mathbb{F} means V is a field whose subfields include \mathbb{F} .

6.1.2 Uniqueness of identity and inverse

Lemma 3. 1. Identity of a group is unique. 2. Inverse of any element in a group is also unique. 证明.

- 1. Let e, e' be two identities in G, then e * e' = e = e'.
- 2. Suppose b, c are both inverse of a, then

$$b = b * e = b * (a * c) = (b * a) * c = e * c = c$$

6.1.3 Examples: Permutation group Sym(X), Klein 4-group, alternating group A_n , Dihedral group

Example 19. If X is any nonempty set, permutation group of $X : {\sigma : X \to X | \sigma \text{ is a bijection}}, then$

- 1. \circ is associative;
- 2. $id: X \to X$, $id(x) = x \ \forall x \in X$ is the idenity;
- 3. $\sigma \in Sym(X), \sigma^{-1} \in Sym(X)$ is the inverse function.

 $(Sym(X), \circ)$ is a group called the symmetric group of X

Example 20. The Klein four-group is a group with four elements, in which each element is self-inverse (composing it with itself produces the identity) and in which composing any two of the three non-identity elements produces the third one. For example, $K \leq S_4$

$$K = \{(1), (12)(34), (13)(24), (14)(23)\}$$

Example 21. An alternating group is the group of even permutations of a finite set. An alternating group of degree n, A_n .

The cycle structure of A_5 ,

- (1) (abcde) even
- (3) (abc) even
- (4) (ab)(cd) even (odd permutation \times odd permutation)
- (6) e even

Example 22 (Dihedral group).

The dihedral group of order 2n, denoted D_{2n} , is the group of symmetries of a regular n-gon $A_1 A_2 \dots A_n$, which includes rotations and reflections. It consists of the 2n elements

$$\{1, \rho, \rho^2, \dots, \rho^{n-1}, \sigma, \sigma\rho, \sigma\rho^2, \dots, \sigma\rho^{n-1}\}$$
.

The element ρ corresponds to rotating the n-gon by $\frac{2\pi}{n}$, while σ corresponds to reflecting it across the line OA_1 (here O is the center of the polygon). So $\rho\sigma$ mean "reflect then rotate" (like with function composition, we read from right to left). In particular, $\rho^n = \sigma^2 = 1$. You can also see that $\rho^k \sigma = \sigma \rho^{-k} = \sigma \rho^{n-k}$.

6.1.4 Cancelation Laws

Theorem 12. Let G be a group. The left and right cancelation laws hold in G:

1.
$$a * x = a * y \Rightarrow x = y$$

2.
$$x * a = y * a \Rightarrow x = y$$

证明.

Let a*x = a*y. $\exists a'$ s.t. a'*a = e. $a'*(a*x) = a'*(a*y) \Rightarrow (a'*a)*x = (a'*a)*y \Rightarrow e*x = e*y \Rightarrow x = y$ Similar for the right cancel law.

6.1.5 Unique Solution of Linear Equation

Theorem 13. The linear equation a * x = b and y * a = b has unique solution.

证明.

- 1. Existence: Multiply by a': $a' * (a * x) = a' * b \Rightarrow x = a' * b$ is a solution.
- 2. Uniqueness: if x' is another, $a * x = a * x' = b \Rightarrow x = x'$

6.2 Subgroup: $H \leq G$

Definition 10. A subset $H \subseteq G$ is a subgroup of G if H is itself a group.

write $H \leq G$, H < G if H is a subgroup of (G, *). (If H = G, H is an improper subgroup. If $H \subsetneq G$, H is an proper subgroup.)

If $H = \{e\}$, then H is a trivial subgroup.

If $H \neq \{e\}$, then H is a nontrivial subgroup.

Theorem 14. A subset $H \subseteq G$ is a subgroup of G if and only if

- 1. H is closed under *. $(\forall g, h \in H, g * h \in H)$
- 2. $identity e \in H$.
- 3. Each $a \in H$, the inverse $a' \in H$

证明.

" \Rightarrow ": if $H \leq G$ be a subgroup.

- 1. H is a group $\Rightarrow *$ is a binary operation on $H, *: H \times H \to H$ i.e. H is closed under *.
- 2. Identity of H, e_H is also a identity of G, due to the uniqueness of identity, $e_H = e_G$.
- 3. $a \in H$, a's inverse $a'_H \in H$ is also an inverse in G, due to the uniqueness of identity, $a'_H = a'_G$.

 " \Leftarrow ":
 - 1. H is closed under $* \Rightarrow *$ is a binary operation on H.
 - 2. 2,3 fufill the requirement of identity and inverse.
 - 3. * is operation of group $G \Rightarrow$ * is associative. Hence H is itself a group.
 - 4. H is a subsect of G, then H is s subgroup of G.

6.2.1 Proposition 2.6.8: H < G, (H, *) is a group: A group's operation with its any subgroup is also a group

不同的 definition.

Proposition 19 (Proposition 2.6.8). If (G,*) is a group, $H \subset G$ is a subgroup, then (H,*) is a group.

Example 23. (G,*) is a group, then e < G, G < G.

Example 24. $\mathbb{K} \subset \mathbb{F}$ is a subfield, then $\mathbb{K} < \mathbb{F}$, $\mathbb{K}^{\times} < \mathbb{F}^{\times}$.

Example 25. $W \subset V$ is a vector subspace, W < V.

Example 26. $1 \in S^1 \subset \mathbb{C}^{\times}$, $S^1 = \{z \in \mathbb{C} | |z| = 1\}$. S^1 is a subgroup.

证明.

 $S^1 = \{e^{i\theta} | \theta \in \mathbb{R}\}.$ For any $e^{i\theta}$, $e^{i\psi} \in S^1$, $e^{i\theta}e^{i\psi} = e^{i(\theta+\psi)} \in S^1$, $e^{-i\theta} \in S^1$.

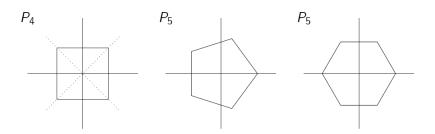
Example 27. $Isom(\mathbb{R}^n) < Sym(\mathbb{R}^n)$

Example 28. If \mathbb{F} is a field, $Aut(\mathbb{F}) = \{ \sigma : \mathbb{F} \to \mathbb{F} \in Sym(\mathbb{F}) | \sigma(a+b) = \sigma(a) + \sigma(b), \sigma(ab) = \sigma(a)\sigma(b) \} < Sym(\mathbb{F})$

Example 29. Dihedral Groups:

保留多边形

Let $P_n \subset \mathbb{R}^2$ be a regular n - gon



 $D_n < Isom(\mathbb{R}^2), D_n = \{ \Phi \in Isom(\mathbb{R}^2) | \Phi(P_n) = P_n \}$

6.3 Some Properties of Group Operation

Proposition 20 (Proposition 3.1.1). Let (G,*) be a group with identity $e \in G$, then

- (1) if $g, h \in G$ and either g * h = h or h * g = h, then g = e
- (2) if $g, h \in G$ and g * h = e then $g = h^{-1}$ and $h = g^{-1}$

Corollary 3.1.2). $e^{-1} = e$, $(g^{-1})^{-1} = g$, $(g * h)^{-1} = h^{-1} * g^{-1}$

6.4 Power of an Element

We define g^n recursively for $n \ge 0$ by setting $g^0 = e$ and for $n \ge 1$, we set $g^n = g^{n-1} * g$. For $n \le 0$, we define $g^n = (g^{-1})^{-n}$.

Proposition 21 (Proposition 3.1.5). (1) $g^n * g^m = g^{n+m}$; (2) $(g^n)^m = g^{nm}$

6.5 $(G \times H, \circledast)$: Direct Product of G and H

(G,*) a group (H,*) a group. Define an operation on $G \times H$, \circledast :

$$(h,k) \circledast (h',k') = (h*h',k*k')$$

6.5.1 Proposition 3.1.7: $(G \times H, \circledast)$ is a group

Proposition 22 (Proposition 3.1.7). $(G \times H, \circledast)$ is a group. The identity is (e_G, e_H) , inverse is (g^{-1}, h^{-1})

usually written as

$$(h,k)(h',k') = (hh',kk')$$

6.6 Subgroups and Cyclic Groups

6.6.1 Intersection of Subgroups is a Subgroup

Proposition 23 (Proposition 3.2.2). Let G be a group and suppose \mathcal{H} is any collection of subgroups of G. Then $K = \bigcap_{H \in \mathcal{H}} H < G$ is a subgroup of G.

6.6.2 Subgroup Generated by $A: \langle A \rangle$

We define **Subgroup Generated by** A:

$$\langle A \rangle = \cap_{H \in \mathcal{H}(A)} H$$

where $\mathcal{H}(A)$ is the set of all subgroups of G containing the set A:

$$\mathcal{H}(A) = \{ H < G | A \subset H \text{ and } H \text{ is a subgroup of } G \}$$

6.6.3 Cyclic Group: group generated by an element

A group G is <u>cyclic</u> if exists g (an element), $\langle g \rangle = G$. g is called a generator for G in this case.

Easy to prove

$$G = \langle g \rangle = \{...g^{-2}, g^{-1}, e, g^1, g^2...\}$$

6.6.4 Cyclic Subgroup

If A is a subgroup of G, and $A = \langle \{a\} \rangle = \langle a \rangle$. Then A is the <u>cyclic subgroup</u> generated by a: $A = \langle a \rangle \leq G$

$$\langle a \rangle = \{...a^{-2}, a^{-1}, e, a^1, a^2...\}$$

6.6.5 Subgroups of a Cyclic Group must be Cyclic

Theorem 15. A subgroup of a cyclic group is cyclic.

证明.

Let $G = \{a^n : n \in \mathbb{Z}\}$ be a cyclic group. Let $H \leq G$ be a subgroup.

- 1. If $H = \{e\}$, then H is cyclic.
- 2. If $H \neq \{e\}$, then $a^n \in H$ for some n > 0. Check m be the minimal among all n.

Claim:
$$H = \langle a^m \rangle$$

<u>Proof</u>: Clearly $\langle a^m \rangle \subset H$. $\forall a^n \in H$, $n = qm + r, 0 \le r < m$. Then $a^r = a^n (a^m)^{-q}$. Since m is the minimal positive integer s.t. $a^m \in H$, r = 0. $\Rightarrow n = qm \Rightarrow a^n \in \langle a^m \rangle$. Hence $H = \langle a^m \rangle$ which is cyclic.

Example 30 (Subgroups of $(\mathbb{Z}, +)$).

 \mathbb{Z} is a cyclic group $\langle 1 \rangle$. Its subgroups are $\langle n \rangle \leq \mathbb{Z}$ for some $n \geq 0$. (which is a multiplier of n. $(n\mathbb{Z})$) $n = 0, H = \{0\}; n = 1, H = \mathbb{Z}; n = 2, H = 2\mathbb{Z}$

6.6.6 Theorem: $\langle a^v \rangle < \langle a^n \rangle \Rightarrow \langle a^v \rangle = \langle a^d \rangle, d = \gcd(v, n), |\langle a^v \rangle| = \frac{n}{d}$

Theorem 16. Let G be a cyclic group of order n. $(G = \{1, a, a^2, ..., a^{n-1}\}, where <math>a^n = 1.)$. Let $H = \langle a^v \rangle$ be a subgroup of G. Then H is generated by a^d (i.e. $H = \langle a^d \rangle$), $d = \gcd(v, n)$ and $|H| = \frac{n}{d}$.

证明.

Let $H' = \langle a^d \rangle$, we need to show that H = H'. $d = \gcd(v, n) = d | v \Rightarrow a^v \in \langle a^d \rangle \Rightarrow H \subset H'$. While d = sv + tn for some $s, t. \Rightarrow a^d = (a^v)^s (a^n)^t$. Since $a^n = 1$, $a^d = (a^v)^s \Rightarrow H' \subset H$. Hence, $H = H' = \langle a^v \rangle$. $H = \{1, a^d, a^{2d}, ..., a^{n-d}\}, |H| = \frac{n}{d}$

6.6.7 Corollary 3.2.4: G is a cyclic group \Rightarrow G is abelian

Corollary 4 (Corollary 3.2.4). If G is a cyclic group (i.e. exits $g \in G$ s.t. $\langle g \rangle = G$), then G is abelian (i.e. commutative).

6.6.8 Equivalent properties of order of g: $|g| = |\langle g \rangle| < \infty$

Proposition 24 (Proposition 3.2.6). Let G be a group for $g \in G$, the following are equivalent:

- (i) $|g| < \infty$
- (ii) $\exists n \neq m \text{ in } \mathbb{Z} \text{ so that } g^n = g^m$
- (iii) $\exists n \in \mathbb{Z}, \ n \neq 0 \text{ so that } g^n = e$
- (iv) $\exists n \in \mathbb{Z}_+$ so that $g^n = e$

If $|g| < \infty$, then $|g| = \text{smallest } n \in \mathbb{Z}_+$ so that $g^n = e$, and $\langle g \rangle = \left\{ e, g, g^2, \dots, g^{n-1} \right\} = \left\{ g^n \mid n = 0, \dots, n-1 \right\}$

6.6.9 $(\mathbb{Z},+)$ Theorem **3.2.9:** $\langle a \rangle < \langle b \rangle$ if and only if b|a

Theorem 17 (Theorem 3.2.9). If $H < \mathbb{Z}$ is a subgroup, then either $H = \{0\}$, or else $H = \langle d \rangle$, where

$$d = \min\{h \in H | h > 0\}$$

Consequently, $a \to \langle a \rangle$ defines a **bijection** from $N = \{0, 1, 2, ...\}$ to the set of subgroups of \mathbb{Z} . Furthermore, for $a, b \in \mathbb{Z}_+$, we have $\langle a \rangle < \langle b \rangle$ if and only if b | a.

6.6.10 $(\mathbb{Z}_n,+)$ Theorem **3.2.10**: $\langle [d] \rangle < \langle [d'] \rangle$ if and only if d'|d

Theorem 18 (Theorem 3.2.10). For any $n \geq 2$, if $H < \mathbb{Z}_n$ is a subgroup, then there is a positive divisor d of n so that

$$H = \langle [d] \rangle$$

Furthermore, this defines a bijection between divisors of H and subgroups of \mathbb{Z}_n . Furthermore, if d, d' > 0 are two divisors of n, then $\langle [d] \rangle < \langle [d'] \rangle$ if and only if d'|d.

If $H = \langle [d] \rangle$ is a subgroup of H, then $[n] \in H$, so d|n. And $|H| = |\langle [d] \rangle| = \frac{n}{d}$, so |H||d

6.6.11 Subgroup Lattice

The set of all subgroups of a group of G, together with the data of which subgroups contain which others is called the **subgroup lattice**. We often picture the subgroup lattice in a diagram with the entire group at the top, the trivial subgroup $\{e\}$ at the bottom, and the intermediate subgroups in the middle, with lines drawn from subgroups up to larger groups.

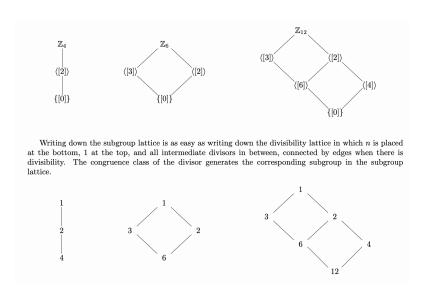


图 2:

6.7 Homomorphism

6.7.1 Def: Homomorphism, Image

Definition 11. If (G, *) and (H, \circ) are groups, then a function $f : G \to H$ is a **homomorphism** if

$$f(x * y) = f(x) \circ f(y), \ \forall x, y \in G$$

If f is also a bijection, then f is called an **isomorphism**.

Example 31. Let S_n be the symmetric group on n letters, and let $\phi: S_n \to \mathbb{Z}_2$ be defined by

$$\phi(\sigma) = \begin{cases} 0 & \text{if } \sigma \text{ is an even permutation,} \\ 1 & \text{if } \sigma \text{ is an odd permutation.} \end{cases}$$

Show that ϕ is a homomorphism.

Example 32. Let $GL(n, \mathbb{R})$ be the multiplicative group of all invertible $n \times n$ matrices. Recall that a matrix A is invertible if and only if its determinant, det(A), is nonzero. Recall also that for matrices $A, B \in GL(n, \mathbb{R})$ we have

$$det(AB) = det(A)$$

Example 33.

1. $\phi: (\mathbb{R}, +) \to (\mathbb{R}^*, x)$ $\phi(x) = 2^x$. Then

$$\phi(x+y) = 2^{x+y} = 2^x 2^y = \phi(x)\phi(y)$$

 ϕ is a homonorphism.

2. $\phi: G \to G$ $\phi(q) = q^{-1}$. Then

$$\phi(qh) = (qh)^{-1} = h^{-1}q^{-1} = \phi(h)\phi(q)$$

 ϕ is not a homomorphism in general; but it is homomorphism if it is abelian.

Definition 12. Let ϕ be a mapping of a set X into a set Y, and let $A \subseteq X$ and $B \subseteq Y$. The $\underline{image \ \phi[A] \ of \ A \ in \ Y \ under \ \phi}$ is $\{\phi(a) \mid a \in A\}$. The set $\phi[X]$ is the $\underline{range \ of \ \phi}$. The inverse $\underline{image \ \phi^{-1}[B] \ of \ B \ in \ X \ is}$ $\{x \in X \mid \phi(x) \in B\}$

6.7.2 Properties of Homomorphism

Theorem 19. Let ϕ be a homomorphism of a group G into a group G', then

- 1. if $e \in G$ is an identity in G, then $\phi(e) \in G'$ is the identity in G'.
- 2. if $a \in G$ has inverse $a' \in G$, then $\phi(a) \in G'$ has inverse $\phi(a') \in G'$.
- 3. if $H \leq G$ is a subgroup of G, then the image $\phi(H) = \{\phi(h) : h \in G\} \leq G'$ is a subgroup of G'.
- 4. if $K' \leq G'$ then the inverse image $\phi^{-1}(K') = \{x \in G : \phi(x) \in K'\} \leq G$.

6.7.3 Kernel of Homomorphism

Definition 13. Let $\phi: G \to G'$ be a homomorphism of groups. The subgroup $\phi^{-1}(e') = \{x \in G : \phi(x) = e'\}$ is the kernel of ϕ , denoted by $Ker(\phi)$.

$$Ker(\phi) \stackrel{def}{=} \phi^{-1}(e') = \{x \in G : \phi(x) = e'\}$$

Theorem 20 (Ker ϕ is normal). Let $\phi: G \to G'$ be a homomorphism. $H = Ker\phi$, then for all $a \in G$, $\phi^{-1}[\phi(a)] = \{x \in G : \phi(x) = \phi(a)\}$ is the left coset aH of H, and is also the right coset Ha of H.

$$aH = Ha = \{x \in G : \phi(x) = \phi(a)\}\$$

证明.

$$\phi(x) = \phi(a)$$

$$\Leftrightarrow \phi(x)\phi(a)^{-1} = e'$$

$$\Leftrightarrow \phi(x)\phi(a^{-1}) = e'$$

$$\Leftrightarrow \phi(xa^{-1}) = e'$$

$$\Leftrightarrow xa^{-1} \in H$$

$$\Leftrightarrow x \in Ha$$

Similarity, we can prove $x \in aH$.

Theorem 21. A homomorphism is injective if and only if $Ker(\phi) = \{e\}$.

证明.

$$\phi(x) = \phi(y) \Leftrightarrow \phi(x)\phi^{-1}(y) = e'$$
$$\phi(x)\phi(y^{-1}) = e'$$
$$\phi(xy^{-1}) = e'$$
$$\Leftrightarrow xy^{-1} \in Ker(\phi)$$

Hence, we can also prove that

$$xy^{-1} \in Ker(\phi) \Leftrightarrow x = y \text{ if and only if } Ker(\phi) = \{e\}$$

6.8 Isomorphism

6.8.1 Definition: Isomorphism

Definition 14. We say that G and H are **isomorphic** if exists an **isomorphism** f, denoted by $G \cong H$ or $G \simeq H$. (since f is bijection, $G \cong H \Leftrightarrow H \cong G$)

Isomophic means these two pathes are the same.

$$G \times G \xrightarrow{*} \qquad G \xrightarrow{f} \quad H$$
 $G \times G \xrightarrow{(f,f)} \quad H \times H \xrightarrow{\circ} \quad H$

Example 34. $(\mathbb{Z}_2, +)$, $(\{-1, 1\}, \times)$ and $\phi : 0 \to 1$; $1 \to -1$.

$$\phi(0+0) = 1 = \phi(0) \times \phi(0)$$

$$\phi(0+1) = -1 = \phi(0) \times \phi(1)$$

$$\phi(1+1) = 1 = \phi(1) \times \phi(1)$$

 $\textbf{6.8.2} \quad \textbf{Theorem: } \begin{cases} \sigma: G \to G' \text{ injective} \\ \sigma(xy) = \sigma(x)\sigma(y) \ \forall x,y \in G \end{cases} \Rightarrow \sigma(G) \leq G', \ G \text{ is isomorphic to } \sigma(G)$

Theorem 22. Let $\sigma: G \to G'$ be an injective map s.t.

$$\sigma(xy) = \sigma(x)\sigma(y), \ \forall x, y \in G$$

Then the image $\sigma(G) = \{\sigma(x) : x \in G\}$ is a subgroup of G' that is isomorphic to G. 证明.

- 1. Closed: $\forall a = \sigma(x), b = \sigma(y) \in \sigma(G)$, then $ab = \sigma(x)\sigma(y) = \sigma(xy) \in \sigma(G)$.
- 2. Identity: $\sigma(e) \in \sigma(G)$ is an identity for $\sigma(G)$: $\sigma(e)\sigma(x) = \sigma(ex) = \sigma(x) = \sigma(x) = \sigma(x)$
- 3. Inverse: $\sigma(x^{-1})$ is an inverse in $\sigma(G)$ for $\sigma(x)$: $\sigma(x^{-1})\sigma(x) = \sigma(e) = \sigma(x)\sigma(x^{-1})$

6.8.3 Cayley Theorem: G is isomorphic to a subgroup of S_G

Theorem 23 (Cayley Theorem). Let G be a group and S_G is the symmetric group of G (the group of all permutation of G: $S_G = \{Bijection \ \sigma : G \rightarrow G\}$) Then G is isomorphic to a subgroup of S_G .

证明.

Set a bijection $\phi: G \to S_G$ such that $\phi(g) = \lambda_g, \forall g \in G$, where λ_g is a permutation $\lambda_g: x \to gx$. Claim: $\lambda_g \in S_G$ (i.e. λ_g is a permutation of G, a bijection $G \to G$).

1. $\lambda_q: G \to G$ is injective

$$\lambda_g(x) = \lambda_g(y)$$

$$\Leftrightarrow gx = gy$$

$$\Leftrightarrow x = y$$

2. $\lambda_g: G \to G$ is surjective. Let $y \in G$

$$\lambda_g(x) = y$$

$$\Leftrightarrow gx = y$$

$$\Leftrightarrow x = g^{-1}y$$

Claim: $\phi(x)\phi(y) = \phi(xy)$

$$\phi(x)\phi(y) = \lambda_x \circ \lambda_y$$
$$(\lambda_x \circ \lambda_y)(z) = \lambda_x(yz) = xyz = \lambda_{xy}(z), \ \forall z \in G$$
$$\Rightarrow \phi(x)\phi(y) = \phi(xy)$$

According to previous theorem, $\phi(G) \leq G$ and G is isomorphic to $\phi(G)$.

6.9 Coset and Order

Definition 15. If H is a subgroup of a group G and $a \in G$, then $aH = \{ah | h \in H\} \leq G$ is called left coset of H.

Theorem 24. Let $H \leq G$, $a, b \in G$,

- 1. aH = bH if and only if $a^{-1}b \in H$
- 2. $aH \cap bH = \emptyset$ or aH = bH
- 3. $|aH| = |H| \ \forall a \in G$

证明.

1. Assume that $aH\cap bH\neq\emptyset$ and let $ah=bk\in aH\cap bH$ with $h,k\in H.$

$$ah = bk \Leftrightarrow h = a^{-1}bk \Leftrightarrow a^{-1}b = hk^{-1} \in H$$
, thus $a^{-1}b \in H$.

- 2. When $aH \cap bH \neq \emptyset \ \exists k_1, h \in H \text{ such that } ak_1 = bh \in bH$. Then $\forall k_2 \in H \ a = bhk_1^{-1} \Rightarrow ak_2 = bhk_1^{-1}k_2$ wheere $hk_1^{-1}k_2 \in H \text{ so } ak_2 \in bH$, $\forall k_2 \in H$.
- 3. $x \to ax$ is bijection $\Rightarrow |aH| = |H|$.

Claim 2. Coset can generate a partition of group:

$$G = a_1 H \cup a_2 H \cup \cdots \cup a_r H$$

6.9.1 index of a subgroup

Definition 16. Let H be a subgroup of a group G. The number of left cosets of H in G is the **index**.

Note: Since $|aH| = |H| \ \forall a \in G$, the index of a subgroup is the number of subgroups which have order |H|.

6.9.2 Lagrange Theorem: Order of subgroup divides the order of group

Theorem 25 (Lagrange Theorem). Let $H \leq G$ be a subgroup of finite group G. Then the order |H| divides the order |G|.

证明.

Give a partition

$$G = a_1 H \cup a_2 H \cup \dots \cup a_r H$$
$$|G| = |a_1 H| + |a_2 H| + \dots + |a_r H|$$
$$= r|H| \to |H| |G|$$

6.9.3 Theoerm: Order of element $a \in G = |\langle a \rangle|$ divides |G|

Theorem 26 (Order of element/cyclic subgroup). For $a \in G$, the order of a (the smallest m such that $a^m = e$) divides |G|. The order of a is the order of cyclic subgroup $\langle a \rangle$ with generator a.

证明.

For
$$a \in G$$
, $H = \{a^n, n \in \mathbb{Z}\} \leq G$. H is the size of m . With lagrange theorm, $|H| = m |G|$

Corollary 5. Every group of prime order is cyclic.

6.9.4 Theorem: Order n cyclic group is isomorphic to $(\mathbb{Z}_n, +_n)$

Theorem 27. Let G be a cyclic group with generator a. If the order of G is infinite, then G is isomorphic to $(\mathbb{Z}, +)$. If G has finite order n, then G is isomorphic to $(\mathbb{Z}_n, +_n)$.

6.10 Direct Products

6.10.1 Cartesian product

Let $G_1, G_2, ..., G_n$ be n groups. Let $G = G_1 \times G_2 \times \cdots \times G_n$ be the Cartesian product. For $g \in G$, $g = (g_1, ..., g_n)$, $g_i \in G_i$.

Theorem 28. Then (G,*) becomes a group with operation * defined as

$$a * b = (a_1, ..., a_n) * (b_1, ..., b_n) = (a_1b_2, ..., a_nb_n)$$
 $a, b \in G$

证明.

- (1) Binary operation $*: G \times G \to G$.
- (2) * is associative:

$$(a*b)*c = a*(b*c) = (a_1b_1c_1, ..., a_nb_nc_n)$$

(3) Identity: $e = (e_1, ..., e_n) \in G$

$$e * a = a = a * e$$

(4) Inverse: $a^{-1} = (a_1^{-1}, ..., a_n^{-1}) \in G$

$$a * a^{-1} = a^{-1} * a = e$$

6.10.2 Theorem: $\mathbb{Z}_m \times \mathbb{Z}_n$ is cyclic and is isomorphic to $\mathbb{Z}_{mn} \Leftrightarrow gcd(m,n) = 1$

Theorem 29. The group $\mathbb{Z}_m \times \mathbb{Z}_n$ is cyclic and is isomorphic to \mathbb{Z}_{mn} if and only if gcd(m,n) = 1. 证明.

Claim: (1,1) generate $\mathbb{Z}_m \times \mathbb{Z}_n$

k(1,1)=(k,k)=(0,0) if and only if m|k and n|k. The smallest such k is k=lcm(m,n)=mn. Hence, $\mathbb{Z}_m \times \mathbb{Z}_n$ is a cyclic group with order mn. Then $\mathbb{Z}_m \times \mathbb{Z}_n$ is isomorphic to \mathbb{Z}_{mn} .

We can define an isomorphism

$$\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$$

and its inverse

$$\psi: \mathbb{Z}_{mn} \to \mathbb{Z}_m \times \mathbb{Z}_n$$

Since $\mathbb{Z}_{mn}\langle 1 \rangle$, $\mathbb{Z}_m \times \mathbb{Z}_n = \langle (1,1) \rangle$, we can write

$$\psi(x \bmod mn) = (x \bmod m, x \bmod n)$$

 ψ is well-defined.

To describe $\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$ at 1 = sm + tn and let

$$\phi(a \mod m, b \mod n) = (atn + bsm \mod mn)$$

$$\psi(atn + bsm \mod mn) = (atn + bsm \mod m, atn + bsm \mod n)$$

$$= (atn \mod m, bsm \mod n)$$

$$= (a(1 - sm) \mod m, b(1 - tn) \mod n)$$

$$= (a \mod m, b \mod n)$$

Hence ψ is the inverse of ϕ .

Corollary 6. The group $\prod_{i=1}^n \mathbb{Z}_{m_i}$ is cyclic and is isomorphic to $\mathbb{Z}_{m_1m_2\cdots m_n}$ if and only if the numbers m_i for i=1,...,n are such that the gcd of any two of them is 1.

Example 35. If n is written as a product of powers of distinct prime numbers, as it

$$n = (p_1)^{n_1} (p_2)^{n_2} \cdots (p_r)^{n_r}$$

then \mathbb{Z}_n is isomorphic to

$$\mathbb{Z}_{(p_1)^{n_1}} \times \mathbb{Z}_{(p_2)^{n_2}} \times \cdots \times \mathbb{Z}_{(p_r)^{n_r}}$$

6.10.3 Finitely Generated Abelian Groups

Theorem 30 (Primary Factor Version of the Fundamental Theorem of Finitely Generated Abelian Groups). Every finitely generated abelian group G is isomorphic to a direct product of cyclic groups in the form

$$\mathbb{Z}_{(p_1)^{r_1}} \times \mathbb{Z}_{(p_2)^{r_2}} \times \cdots \times \mathbb{Z}_{(p_n)^{r_n}} \times \mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}$$

where the p_i are primes, not necessarily distinct, and the r_i are positive integers. The number of factors of \mathbb{Z} and the prime powers $(p_i)^{r_i}$ are unique.

- $\mathbb{Z}_{mn} \simeq \mathbb{Z}_m \times \mathbb{Z}_n$ if gcd(m,n) = 1.
- Abelian $\Leftrightarrow \mathbb{Z}_m \times \mathbb{Z}_n = \mathbb{Z}_n \times \mathbb{Z}_m$

Example 36. Find all abelian group of order 16

5 nonisomorphic abelian group.

$$\begin{cases}
\mathbb{Z}_{16} \\
\mathbb{Z}_8 & \times \mathbb{Z}_2 \\
\mathbb{Z}_4 & \times \mathbb{Z}_4 \\
\mathbb{Z}_4 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 \\
\mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2
\end{cases}$$

Example 37.

$$\mathbb{Z}_{6} \times \mathbb{Z}_{40} \times \mathbb{Z}_{49} \simeq \mathbb{Z}_{2} \times \mathbb{Z}_{3} \times \mathbb{Z}_{5} \times \mathbb{Z}_{8} \times \mathbb{Z}_{49}$$
$$\mathbb{Z}_{210} \times \mathbb{Z}_{56} \simeq \mathbb{Z}_{3} \times \mathbb{Z}_{7} \times \mathbb{Z}_{2} \times \mathbb{Z}_{5} \times \mathbb{Z}_{7} \times \mathbb{Z}_{8}$$

6.11 Def: Normal Subgroup $H \triangleleft G : aH = Ha, \forall a \in G$

Definition 17. A subgroup $H \leq G$ is **normal** if its left and right cosets coincide, that is, if

$$aH = Ha, \quad \forall a \in G$$

Notation: $H \triangleleft G$

Note that all subgroups of abelian groups are normal.

6.11.1 Thm: Three ways to check if H is normal

Theorem 31. "H < G is a normal subgroup of G ($H \triangleleft G$)" is equivalent to

- (1) $ghg^{-1} \in H$ for all $g \in G$ and $h \in H$
- (2) $gHg^{-1} = H$ for all $g \in G$
- (3) gH = Hg for all $g \in G$

6.11.2 Thm: A subgroup is "Well-defined Left Cosets Multiplication" \Leftrightarrow "Normal"

Theorem 32. Let H be a subgroup of a group G. Then left coset multiplication is well defined by the equation

$$(aH)(bH) = (ab)H$$

if and only if $H \triangleleft G$ (H is a normal subgroup of G). i.e. $`x \in aH$ and $y \in bH \Rightarrow xy \in abH$ ' if and only if `aH = Ha, $\forall a \in G$ ' 证明.

- " \Rightarrow ": $\forall x \in aH, a^{-1} \in a^{-1}H \Rightarrow xa^{-1} \in H \Leftrightarrow x \in Ha \Rightarrow aH \subset Ha$; Similarly $a^{-1}H \subset Ha^{-1} \Leftrightarrow Ha \subset aH \Rightarrow aH = Ha$
- "\(\infty\)": Let $x \in aH$, $y \in bH$. Say $x = ah_1, y = bh_2$

$$xy = (ah_1)(bh_2)$$

$$= a(h_1b)h_2$$

$$= a(bh_3)h_2 \quad \text{(Since } bH = Hb\text{)}$$

$$= (ab)(h_3h_2) \in abH$$

6.12 Factor Group $G/H = \{aH : a \in G\}$

Definition 18. The group $G/H = \{aH : a \in G\}$ with (aH)(bH) = abH is the factor group (or quotient group) of G by H.

6.12.1 Def: kernel H forms a factor group G/H

Definition 19. Let $\phi: G \to G'$ be a homomorphism of groups with <u>kernel H</u>. Then the cosets of H form a **factor group**, $G/H = \{aH : a \in G\}$. where (aH)(bH) = (ab)H.

Also, the map $\mu: G/H \to \phi[G]$ defined by $\mu(aH) = \phi(a)$ is an isomorphism. Both coset multiplication and μ are well defined, independent of the choices a and b from the cosets.

6.12.2 Cor: $ker\phi$ is a normal subgroup

Corollary 7. $ker\phi$ is a normal subgroup: $ker\phi \triangleleft G$ for all homonorphisms.

6.12.3 Corollary: normal subgroup H forms a group G/H

By the Thm: A subgroup is "Well-defined Left Cosets Multiplication" \Leftrightarrow "Normal".

Corollary 8. Let $H \triangleleft G$ be a **normal subgroup** of G. Then the cosets of H form a group $G/H = \{aH : a \in G\}$ under the binary operation (aH)(bH) = (ab)H. 证明.

- (1) * is associative.
- (2) G/H has an identity H.

$$H * aH = aH * H = aH$$

(3) $aH \in G/H$ has inverse $a^{-1}H$

Note: This corollary contains the defintion because $\underline{\text{kernel is normal subgroup}}(\text{kernel} \Rightarrow \text{normal subgroup})$. (We can then prove they are exactly the same in the next theorem (kernel \Leftarrow normal subgroup))

6.12.4 Thm: normal subgroup is a kernel of a surjective homomorphism $\gamma: G \to G/H$

For any normal subgroup $H \triangleleft G$, we can define $\gamma(x) = xH$ which is surjective with $ker\gamma = H$

Theorem 33. Let $H \triangleleft G$ be a normal subgroup of G. Define $\gamma : G \rightarrow G/H$, $\gamma(x) = xH$. Then γ is a surjective homomorphism with $ker \gamma = H$.

证明.

- 1. γ is surjective homomorphism: $\gamma(ab) = abH = (aH)(bH) = \gamma(a)\gamma(b)$
- 2. $ker\gamma = H$: The identity in G/H is the coset H.

$$ker\gamma = \gamma^{-1}(H) = \{a \in G : \gamma(a) = aH = H\}$$
$$= \{a \in G : a \in H\} = H$$

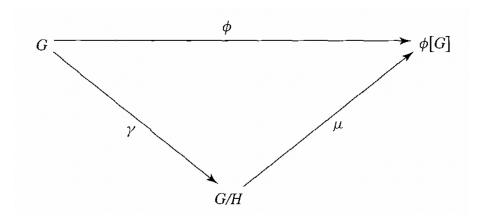


图 3: The Fundamental Homomorphism Theorem

6.12.5 The Fundamental Homomorphism Theorem: Every homomorphism ϕ can be factored to a homomorphism $\gamma:G\to G/H$ and isomorphism $\mu:G/H\to\phi[G]$

Theorem 34 (The Fundamental Homomorphism Theorem).

<u>Homomorphism</u> $\phi: G \to G'$ with kernel H can be **factored**

$$\phi = \mu \gamma$$

where $\gamma:G\to G/H$ is a <u>homomorphism,</u> $\mu:G/H\to \phi[G]$ is an <u>isomorphism</u> where $\gamma(g)=gH,\ \mu(gH)=\phi(g)$

Let $\phi: G \to G'$ be a group homomorphism with kernel H.

Then $\phi[G]$ is a group isomorphic to G/H, and $\mu: G/H \to \phi[G]$ given by $\mu(gH) = \phi(g)$ is an $\underline{isomorphism}$. (If $\gamma: G \to G/H$ is the homomorphism given by $\gamma(g) = gH$, then $\phi(g) = \mu\gamma(g)$ for $\underline{each}\ g \in G$.)

证明. i.e. prove μ is (1) well-deifined, (2) isomorphism.

(1) well-defined: if aH = bH, then $a^{-1}b \in H$,

$$\mu(bH) = \mu((a(a^{-1}b))H) = \phi(a(a^{-1}b)) = \phi(a)\phi(a^{-1}b) = \phi(a) = \mu(aH)$$

(2) homomorphism:

$$\mu(aHbH) = \mu(abH) = \phi(ab) = \phi(a)\phi(b) = \mu(aH)\mu(bH)$$

(3) isomorphism i.e. prove $ker(\mu)$ is exactly the identity in G/H:

$$\mu(aH) = e' = \phi(a) \Leftrightarrow a \in ker(\mu), a \in ker(\phi) = H$$

 $\Leftrightarrow aH = H, \quad aH \text{ is the identity in } G/H$

Corollary 9. Let $\phi: G \to G'$ be a homomorphism for finite group G, G'. Then $(1).|\phi(G)| \Big| |G|$; $(2).|\phi(G)| \Big| |G'|$ 证明.

- (1) According to the Fundamental Homomorphism theorem, $\phi(G)$ is one-to-one corresponse to G/H (H is the kernel of G), then $|\phi(G)| = |G/H| = |\{aH : a \in G\}| \Rightarrow |\phi(G)| = |G|/|H|$
- (2) Proved by Lagrange theorem.

6.12.6 Thm: $(H \times K)/(H \times e) \simeq K$ and $(H \times K)/(e \times K) \simeq H$

Theorem 35. Let $G = H \times K$ be the direct product of groups H and K. Then $\bar{H} = \{(h, e) \mid h \in H\}$ is a normal subgroup of G. Also G/\bar{H} is isomorphic to K in a natural way. Similarly, $G/\bar{K} \simeq H$ in a natural way.

证明. $\pi: H \times K \to K$ where $\pi(h,k) = k$ has kernal $\bar{H} = \{(h,e) \mid h \in H\}$, then $H \times K/\bar{H}$ is isomorphic to K. Prove $G/\bar{K} \simeq H$ in the same way.

6.12.7 Thm: factor group of a cyclic group is cyclic [a]/N=[aN]

Theorem 36. A factor group of a cyclic group is cyclic. [a]/N = [aN]

- **6.12.8** Ex: 15.11 example $\mathbb{Z}_4 \times \mathbb{Z}_6/(\langle (2,3) \rangle) \simeq \mathbb{Z}_4 \times \mathbb{Z}_3$ or \mathbb{Z}_{12}
- **6.12.9** Thm: Homomorphism $\phi: G \to G'$ preserves normal subgroups between G and $\phi[G]$.

Theorem 37. Let $\phi: G \to G'$ be a group homomorphism. If N is a normal subgroup of G, then $\phi[N]$ is a normal subgroup of $\phi[G]$. Also, if N' is a normal subgroup of $\phi[G]$, then $\phi^{-1}[N']$ is a normal subgroup of G.

Note: $\phi[N]$ is a normal subgroup of $\phi[G]$ not G'. Counterexample: $\phi: \mathbb{Z}_2 \to S_3$, where $\phi(0) = \rho_0$ and $\phi(1) = \mu_1$ is a homomorphism, and \mathbb{Z}_2 is a normal subgroup of itself, but $\{\rho_0, \mu_1\}$ is not a normal subgroup of S_3 .

6.13 Def: automorphism, inner automorphism

Definition 20.

An isomorphism $\phi: G \to G$ of a group G with itself is an <u>automorphism</u> of G.

The automorphism $\phi_g: G \to G$, where $\phi_g(x) = gxg^{-1}$ for all $x \in G$, is the <u>inner automorphism</u> of G by g. Performing ϕ_g on x is called conjugation of x by g.

6.14 Simple Groups

Definition 21. A group G is <u>simple</u> if it is nontrivial $(G \neq \{e\})$ and has no proper nontrivial normal subgroups. $(\nexists H \neq \{e\} \triangleleft G)$

Theorem 38. The alternating group A_n is simple for $n \geq 5$ (alternating group is a group of even permutations on a set of length n)

6.15 The Center and Commutator Subgroups

6.15.1 Def: center and commutator subgroup

Theorem 39. All finite subgroup G have two normal subgroups,

- (1) The center of G, $Z(G) = \{z \in G : za = az, \forall a \in G\} \triangleleft G$
- (2) The commutator subgroup of G, $C(G) = [G, G] = \{[a, b] : a, b \in G\}$.

Definition 22. $[a,b] = aba^{-1}b^{-1}$ is the <u>commutator</u> of a and b. $[a,b] \in G$ is the unique element such that ab = [a,b]ba.

6.15.2 Thm: commutator subgroup is normal

Theorem 40. $[G,G] \triangleleft G$

证明. Consider $[a,b] \in [G,G]$, prove that $\forall g \in G, g[a,b]g^{-1} \in [G,G]$

$$\begin{split} g[a,b]g^{-1} &= g(aba^{-1}b^{-1})g^{-1} = (gag^{-1})(gbg^{-1})(ga^{-1}g^{-1})(gb^{-1}g^{-1}) \\ &= (gag^{-1})(gbg^{-1})(gag^{-1})^{-1}(gbg^{-1})^{-1} = [gag^{-1},gbg^{-1}] \in [G,G] \end{split}$$

Example 38.

(1) For abelian group, Z(G) = G, $C(G) = \{e\}$

(2)
$$G = S_6, Z(G) = \{e\}, C(G) = \{1, \rho, \rho^2\}$$

(3)
$$G = D_8 = \{1, \rho, \rho^2, \rho^3, \sigma, \sigma\rho, \sigma\rho^2, \sigma\rho^3\}, Z(G) = \{1, \rho^2\}, C(G) = \{1, \rho^2\}$$

(4)
$$G = D_{12}, Z(G) = \{1, \rho^3\}, C(G) = \{1, \rho^2, \rho^4\}$$

(5)
$$G = A_4, Z(G) = \{(1)\}, C(G) = \{(1), (12)(34), (13)(24), (14)(23)\}$$

(6)
$$G = S_4$$
, $Z(G) = \{(1)\}$, $C(G) = A_4$

Commutator subgroup of S_n is A_n .

Commutator subrequip of D_{2n} is $\{1, \rho^2, ..., \rho^{n-2}\}$

 $\sigma \rho^a = \rho^{n-a} \sigma = \rho^{n-2a}(\rho^a \sigma) \Rightarrow \rho^{n-2a}$ is a commutator $\forall a \in \mathbb{Z} \Rightarrow C(D_{2n}) = \{1, \rho^2, ... \rho^{n-2}\}$ if n is even.

6.15.3 Thm: if $N \triangleleft G$, "G/N is abelian" \Leftrightarrow "[G,G] < N"

Theorem 41. If N is a normal subgroup of G, then G/N is abelian if and only if [G,G] < N.

证明.

If N is a normal subgroup of G and G/N is abelian, then $(a^{-1}N)(b^{-1}N) = (b^{-1}N)(a^{-1}N)$; that is, $aba^{-1}b^{-1}N = N$, so $aba^{-1}b^{-1} \in N$, and $C \leq N$. Finally, if $C \leq N$, then

$$(aN)(bN) = abN = ab \left(b^{-1}a^{-1}ba\right)N$$
$$= \left(abb^{-1}a^{-1}\right)baN = baN = (bN)(aN)$$

6.16 Group Action on a Set

6.16.1 Def:

Definition 23. Let X be a set and G a group. An **action of** G **on** X is a map $*: G \times X \to X$ such that

- (1) ex = x for all $x \in X$.
- (2) $(g_1g_2)(x) = g_1(g_2x)$ for all $x \in X$ and all $g_1, g_2 \in G$.

Under these conditions, X is a G-set.

7 Ring and Field

7.1 Ring $(R, +, \cdot)$: + is associative, commutative, identity, inverse $\in R$; · is associative, distributes over +

7.1.1 Def, Prop

Definition 24. A ring is a nonempty set with two operations, called addition and multiplication, $(R, +, \cdot)$ such that

- (1): (R, +) is an abelian group: i.e. + is associative and commutative. $0, -a \in R$
- (2): · is associative.
- (3): distributes over +: $\forall a, b, c \in R, a \cdot (b+c) = a \cdot b + a \cdot c \text{ and } (b+c) \cdot a = b \cdot a + c \cdot a$

Theorem 42. If R is a ring with additive identity 0, then for any $a, b \in R$ we have

- 1. 0a = a0 = 0,
- 2. a(-b) = (-a)b = -(ab),
- 3. (-a)(-b) = ab.

7.1.2 $S \subset R$: Subring (closed under + and ·; addictive inverse $-a \in S$)

Proposition 25 (Proposition 2.6.27). If $S \subset R$ is a subring, then $+, \cdot$ make S into a ring.

7.1.3 Def: Commutative ring: ring's · is commutative

If "·" is commutative, we call $(R, +, \cdot)$ a commutative ring.

7.1.4 Def: A ring with 1: the ring exists multiplication identity $1 \in R$

If there exists an element $1 \in R \setminus \{0\}$ such that a1 = 1a = a, $\forall a \in R$, then we say that R is a ring with 1 (a ring with unity).

Note: We usually discuss $1 \neq 0$. If 1 = 0, $a = 1a = 0 \Rightarrow R = \{0\}$.

7.1.5 Def: In a ring R with 1, u is a <u>unit</u> if $\exists v \in R \text{ s.t. } uv = vu = 1$

Definition 25. In a ring R with 1, u is a <u>unit</u> if it has a <u>multiplicative inverse</u> in R i.e. $\exists v \in R$ s.t. uv = vu = 1

Example 39. units in \mathbb{Z} are $\{-1,+1\}$; in \mathbb{Z}_n are $\{a \in \mathbb{Z}_n : gcd(a,n)=1\}$

7.1.6 Def: A ring with 1, R is a division ring if every nonzero element of R is a unit

Definition 26. A ring with 1, R is a <u>division ring</u> if every nonzero element of R is a unit. This is equalivalent to R has identity and <u>inverse</u> in multiplication.

7.1.7 Def: Ring Homomorphism: $\phi(a+b) = \phi(a) + \phi(b)$, $\phi(ab) = \phi(a)\phi(b)$

Definition 27. Let R, R' be rings. A map $\phi: R \to R'$ is a ring homomorphism if

$$\phi(a+b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a)\phi(b)$$

7.1.8 Def: <u>zero divisor</u>: a $a \neq 0 \in R$ if $\exists b \neq 0 \in R$ s.t. ba = 0 or ab = 0

Definition 28. A <u>nonzero element</u> $a \in R$ is called a <u>zero divisor</u> if there exists a nonzero $b \in R$ s.t. ba = 0 or ab = 0

Note: Mutiplication cancellation law holds when no zero divisors.

7.1.9 Remark: In \mathbb{Z}_n , an element is either 0 or unit or zero divisor

Remark: In \mathbb{Z}_n , an element is either (1) 0, (2) a unit, (3) a zero divisor.

$$0 \neq a \in \mathbb{Z}_n \text{ is a } \begin{cases} \text{unit} & \text{if } gcd(a,n) = 1\\ \text{zero divisor} & \text{if } gcd(a,n) \neq 1 \end{cases}$$

In $M_n(R)$
$$\begin{cases} \text{unit} & \text{if } rank(A) = n\\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$$

In
$$M_n(R)$$

$$\begin{cases} \text{unit} & \text{if } rank(A) = n \\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$$

7.1.10 Thm: $a \in \mathbb{Z}_n$ is a zero divisor $\Leftrightarrow gcd(a, n) \neq 1$.

Theorem 43. In the ring \mathbb{Z}_n , the zero divisors are precisely those nonzero elements that are not relatively prime to n.

7.1.11 Cor: \mathbb{Z}_p has no zero divisors if p is prime.

Def: An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors

Definition 29. An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors.

 \mathbb{Z} and \mathbb{Z}_p for any prime p are integral domains, but \mathbb{Z}_p is not an integral domain if n is not prime.

7.2Field \mathbb{F}

7.2.1 Def: A field is a commutative division ring.

Definition 30. A field is a commutative division ring.

Which is equal to a ring satisfies identity, inverse and commutative in multiplication. Field $(\mathbb{F}, +, \cdot)$ (close, associative, commutative, distributive(M over A), identity & inverse(M,A))

Note: nonzero elements of a <u>finite field</u> can form a cyclic (sufficient for abelian) mutiplication group.

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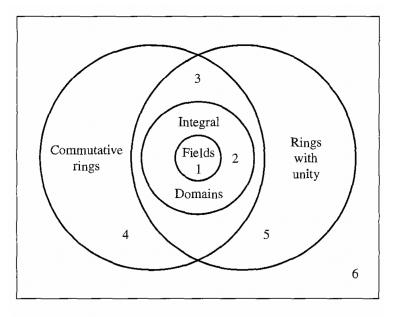
Differences between "Field" and "Integral Domain"

Def: An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors

Def: A <u>field</u> is a commutative ring with $1 \neq 0$ that every nonzero element of R is a unit.

7.2.3 Lemma: A unit is not zero divisor

证明. $a \in R$ is a unit and $\frac{1}{a}$ is its inverse.



19.10 Figure A collection of rings.

 \mathbb{Z} 4: example: $1.\mathbb{Z}_2, \mathbb{Q}, 2.\mathbb{Z}, 3.\mathbb{Z}_4, 4.2\mathbb{Z}$ $5.M_2(\mathbb{Z}), M_2(\mathbb{R}), 6.$ upper-triangular matrices with integer entries and all zeros on the main diagonal

Assume there exists $b \neq 0$ s.t. ab = 0, then

$$\frac{1}{a}(ab) = \frac{1}{a}0 = 0$$
$$= (\frac{1}{a}a)b = b$$

Contradiction!

Assume there exists $b \neq 0$ s.t. ba = 0, then

$$(ba)\frac{1}{a} = 0\frac{1}{a} = 0$$
$$= b(a\frac{1}{a}) = b$$

Contradiction!

7.2.4 Lemma: A field doesn't has zero divisors

Since a field is a division ring, its nonzero elements are unit which is not zero divisor.

7.2.5 Thm: Every field is an integral domain

Theorem 44. Every field is an integral domain.

prove by previous lemma.

7.2.6 Thm: Every finite integral domain is a field

Theorem 45. Every finite integral domain is a field.

证明. The only thing we need to show is that a typical element $a \neq 0$ has a multiplicative inverse. Consider a, a^2, a^3, \dots Since there are only finitely many elements we must have $a^m = a^n$ for some m < n.

Then $0 = a^m - a^n = a^m (1 - a^{n-m})$. Since there are no zero-divisors we must have $a^m \neq 0$ and hence $1 - a^{n-m} = 0$ and so $1 = aa^{n-m-1}$ and we have found a multiplicative inverse for a.

7.2.7 Note: Finite Integral Domain \subset Field \subset Integral Domain

 \mathbb{Z}_p is a field.

 \mathbb{Z} is an integral domain but not a field.

7.3 The Characteristic of a Ring

7.3.1 Def: characteristic n is the least positive integer s.t. $n \cdot a = 0, \forall a \in R$

Definition 31. If for a ring R a positive integer n exists such that $n \cdot a = 0$ for all $a \in R$, then the least such positive integer is the characteristic of the ring R. If no such positive integer exists, then R is of characteristic 0.

Example 40. The ring \mathbb{Z}_n is of characteristic n, while $\mathbb{Z}, \mathbb{Q}, \mathbb{M}$, and \mathbb{C} all have characteristic 0.

7.3.2 Thm: In a ring with 1, characteristic $n \in \mathbb{Z}^+$ s.t. $n \cdot 1 = 0$

Theorem 46. Let R be a ring with 1. If $n \cdot 1 \neq 0$ for all $n \in \mathbb{Z}^+$, then R has characteristic 0. If $n \cdot 1 = 0$ for some $n \in \mathbb{Z}^+$, then the smallest such integer n is the characteristic of R.

8 The Ring \mathbb{Z}_n (Fermat's and Euler's Theorems)

8.1 Fermat's Theorem

8.1.1 Thm: nonzero elements in \mathbb{Z}_p (p is prime) form a group under multiplication

Theorem 47. The nonzero elements in \mathbb{Z}_p (p is prime) form a group under multiplication.

证明. \mathbb{Z}_p is a finite field.

8.1.2 Cor: (Little Theorem of Fermat) $a \in \mathbb{Z}$ and p is prime not dividing a, then $a^{p-1} \equiv 1 \mod p$ (p divides $a^{p-1} - 1$)

Corollary 10 (Little Theorem of Fermat). $a \in \mathbb{Z}$ and p is prime not dividing a, then $a^{p-1} \equiv 1 \mod p$ (p divides $a^{p-1} - 1$)

延明. Let $G_p = \{a \in \mathbb{Z}_p : a \neq 0\}$, by previous theorem, we know the G_p is a group under multiplication of size $|G_p| = p - 1$.

Then the order of a should divde $|G_p| = p - 1$, then

$$a^{p-1} = 1 \in G_p \Rightarrow a^{p-1} \equiv 1 \mod p$$

8.1.3 Cor: (Little Theorem of Fermat) If $a \in \mathbb{Z}$, then $a^p \equiv a \mod p$ for any prime p

8.2 Euler's Theorem

Euler's Theorem is more general form of Fermat's Theorem.

8.2.1 Thm: $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$ forms a group under multiplication

Theorem 48. The set G_n of nonzero elements of \mathbb{Z}_n that are not zero divisors $(G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\})$ forms a group under multiplication modulo n.

8.2.2 Def: Euler phi function $\phi(n) = |G_n|$, where $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$

More generally, any $n \in \mathbb{Z}^+$, $a^{p-1} \equiv 1 \mod p$. Then G_n is a group under mutiplication of size $|G_n| = \phi(n)$, we set $\phi(n)$ be the Euler phi function. E.g.

$$\phi(8) = \#\{a \in \mathbb{Z}_8 : gcd(a,8) = 1\} = 4$$

$$\phi(15) = \#\{1, 2, 4, 7, 8, 11, 13, 14\} = 8$$

8.2.3 Thm: (Euler's Theorem) If $a \in \mathbb{Z}$, $n \ge 2$ s.t. gcd(a, n) = 1 then $a^{\phi(n)} \equiv 1 \mod n$

Theorem 49. If a is an integer relatively prime to n, then $a^{\phi(n)}$ —1 is divisible by n, that is $a^{\phi(n)} \equiv 1 \mod n$.

证明. order of a should divide
$$|G_n| = \phi(n)$$
 then $a^{\phi(n)} = 1 \in G_n \Rightarrow a^{\phi(n)} \equiv 1 \mod n$

8.3 Application to $ax \equiv b \pmod{m}$

8.3.1 Thm: find solution of $ax \equiv b \pmod{m}$, gcd(a, m) = 1

Theorem 50. $a, b \in \mathbb{Z}_m, gcd(a, m) = 1$, then ax = b has a unique solution in \mathbb{Z}_m

证明. By Euler's Theorem, $a^{\phi(m)} \equiv 1 \mod m$, which means a is a unit of \mathbb{Z}_m , there exists a unique $a^{-1} \in \mathbb{Z}_m$.

Mutiply
$$a^{-1} \in \mathbb{Z}_m$$
 on both side, we can get $x = a^{-1}b$ is the solution.

8.3.2 Thm: $ax \equiv b \pmod{m}$, d = gcd(a, m) has solutions if d|b, the number of solutions is d

Theorem 51. Let m be a positive integer and let $a, b \in \mathbb{Z}_m$. Let d = gcd(a, m). The equation ax = b has a solution in \mathbb{Z}_m if and only if d divides b. When d divides b, the equation has exactly d solutions in \mathbb{Z}_m .

8.3.3 Cor: $ax \equiv b \pmod{m}$, d = gcd(a, m), d|b, then solutions are $\left(\left(\frac{a}{d}\right)^{\phi\left(\frac{m}{d}\right)-1}\frac{b}{d} + k\frac{m}{d}\right) + (m\mathbb{Z})$, k = 0, 1, ..., d-1

Corollary 11. Let d = gcd(a, m). The congruence $ax \equiv b \pmod{m}$ has a solution if and only if d divides b. When this is the case, the solutions are the integers in exactly d distinct residue classes modulo m.

Steps:

(1) let $a_1 = a/d$, $b_1 = b/d$, $m_1 = m/d$, solve

$$a_1 s \equiv b_1 \mod m_1 \Rightarrow s = a_1^{-1} b_1$$

where $a_1^{-1} = a_1^{\phi(m_1)-1}$

(2) Solutions are

$$(s+km_1)+(m\mathbb{Z}), \quad k=0,1,...,d-1$$

Example 41. Find all solutions of $12x \equiv 27 \mod 18$

 $d=\gcd(12,18)=6$, $d \nmid 27 \Rightarrow$ no solutions.

Example 42. Find all solutions of $15x \equiv 27 \mod 18$

d=gcd(15,18)=3, $a_1 = 5, b_1 = 9, m_1 = 6$. Then $s = a_1^{-1}b_1 = 5 \cdot 9 = 3$, then solutions are $3 + 18\mathbb{Z}$, $9 + 18\mathbb{Z}$, $15 + 18\mathbb{Z}$

9 The Field of Quotients of an Integral Domain

Let D be an integral domain (a ring with 1 has no zero divisors) that we desire to enlarge to a field of quotients F. A coarse outline of the steps we take is as follows:

9.1 Step 1. Define what the elements of F are to be. (Define S/\sim)

D is the given integral domain, $S = \{(a,b)|a,b \in D, b \neq 0\} < D \times D$

9.1.1 Def: equivalent relation $(a,b) \sim (c,d) \Leftrightarrow ad = bc$

Definition 32. Two elements (a,b) and (c,d) in S are equivalent, denoted by $(a,b) \sim (c,d)$, if and only if ad = bc.

Note: we can image it as $\frac{a}{b} = \frac{c}{d}$, but don't use this form.

Lemma 4. \sim defines an equivalence relation on S.

证明. easy to prove (1) reflexive, (2) symmetric, (3) transitive.

9.2 Step 2. Define the binary operations of addition and multiplication on S/\sim .

The relation \sim can define a set of all equivalence classes on $[(a,b)], (a,b) \in S, S/\sim = \{[(a,b)]|(a,b) \in S\}$

9.2.1 lemma: well-defined operations $+, \times$

Lemma 5. For [(a,b)] and [(c,d)] in S/\sim , the equations

$$[(a,b)] + [(c,d)] = [(ad + bc,bd)]$$

and

$$[(a,b)][(c,d)] = [(ac,bd)]$$

give well-defined operations of addition and multiplication on S/\sim .

证明. Assume
$$(a_1, b_1) \sim (a, b), (c_1, d_1) \sim (c, d)$$
.

Verify $+: (ad + bc, bd) \sim (a_1d_1 + b_1c_1, b_1d_1)$

- 9.3 Step 3. Check all the field axioms to show that F is a field under these operations.
- 9.3.1 Thm: S/\sim is a field with $+,\times$

Theorem 52. With operation $+, \times$. S/\sim is a field.

证明. Check all field axioms:

Associative :+:
$$\checkmark \times : \checkmark$$

$$Identity:+: [(0,1)] \times :[(1,1)]$$

$$[(a,b)] + [(0,1)] = [(a,b)], [(a,b)][(1,1)] = [(a,b)]$$

Inverse:+:
$$[(-a,b)]$$
 \times : $[(b,a)]$, $\forall a \neq 0$

$$[(a,b)] + [(-a,b)] = [(0,b^2)] = [(0,1)], \text{ where } (0,b^2) \sim (0,1) \Leftrightarrow 0 * 1 = b^2 * 0;$$

$$[(a,b)][(b,a)] = [(ab,ab)] = [(1,1)]$$

 $Commucative :+ : \checkmark \times :\checkmark$

Distributive laws: ✓

9.4 Step 4. Show that F can be viewed as containing D as an integral subdomain.

9.4.1 Lem: $\phi(a) = [(a,1)]$ is an isomorphism between D and $\{[(a,1)]|a \in D\}$

Lemma 6. The map $\phi: D \to F = S/\sim \text{given by } \phi(a) = [(a,1)] \text{ is an } \underline{\text{isomorphism}} \text{ of } D \text{ with a subring of } F(=S/\sim).$

证明.

$$\phi(a+b) = [(a+b,1)] = [(a,1)] + [(b,1)]$$
$$\phi(ab) = [(ab,1)] = [(a,1)][(b,1)]$$

Injective: assume $\phi(a) = \phi(b)$, then

$$[(a,1)] = [(b,1)] \Leftrightarrow (a,1) \sim (b,1) \Leftrightarrow a = b$$

Surjective: $\forall [(a,1)]$ is mapped from a

We prove that ϕ is an isomorphism between D and $\{[(a,1)]|a \in D\}$.

9.4.2 Thm: every element of F can be expressed as a quotient of two elements of D: $[(a,b)] = \frac{\phi(a)}{\phi(b)}$

 $\forall [(a,b)] \in F,$

$$[(a,b)] = [(a,1)][(1,b)] = \frac{[(a,1)]}{[(1,b)]^{-1}} = \frac{[(a,1)]}{[(b,1)]} = \frac{\phi(a)}{\phi(b)}$$

Theorem 53. Any integral domain D can be enlarged to (or embedded in) a field $F = S/\sim$ such that every element of F can be expressed as a quotient of two elements of D. (Such a field F is a field of quotients of D.)

10 Polynomials

10.1 Def: Polynomials

Let R be any field. A polynomial over R in variable x is a formal sum:

$$a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = \sum_{i=0}^n a_i x^i$$

where $n \geq 0$ is an integer, $a_1, a_1, ..., a_n \in \mathbb{F}$.

Polynomial is a squence $\{a_k\}_{k=0}^{\infty}$ with $a_m = 0, \forall m > n$.

Remark: $f(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_d x^d$ If $a_d \neq 0$ and $a_i = 0, \forall i > d, d$ is the degree of f(x).

10.2 Rings of Polynomials

10.2.1 Thm: R[x] is a ring under addition and multiplication

Theorem 54. The set R[x] of all polynomials in an indeterminate x with coefficients in a ring R is a ring under polynomial addition and multiplication.

Note: If R is commutative, then so is R[x], and if R has unity $1 \neq 0$, then 1 is also unity for R[x].

Let R[x] denote the set of all polynomials with coefficients in the ring R.

$$R[x] = \{ \sum_{i=0}^{n} a_i x^i | n \ge 0, n \in \mathbb{Z}, a_0, ..., a_n \in R \}$$

We call the R[x] polynomial ring over the ring R.

$$f = \sum_{i=0}^{n} a_i x^i, g = \sum_{j=0}^{n} a_j x^j \in R[x]$$

$$f + g = \sum_{i=0}^{n} (a_i + b_i) x^i \in R[x]$$

$$fg(\sum_{i=0}^{n} a_i x^i) (\sum_{j=0}^{n} a_j x^j) = \sum_{i=0}^{2n} (\sum_{j=0}^{i} a_j b_{i-j}) x^i$$

10.2.2 Def: evaluation homomorphism

Definition 33. Let F be a field, and let $\alpha \in F$. Define an evaluation map. $EV_{x=\alpha} : F[x] \to F$, $\phi_{\alpha}(\sum_{i=0}^{\infty} a_i x^i) = \sum_{i=0}^{\infty} a_i \alpha^i$. Then,

$$\phi_{\alpha}(f(x) + g(x)) = \phi_{\alpha}(f(x)) + \phi_{\alpha}(g(x))$$
$$\phi_{\alpha}(f(x)g(x)) = \phi_{\alpha}(f(x))\phi_{\alpha}(g(x))$$

 ϕ_{α} is a ring homomorphism. We call it evaluation homomorphism.

Example 43. Consider $EV_{x=2}: \mathbb{Q}[x] \to \mathbb{Q}$. $EV_{x=2}$ is a ring homomorphism. In particular it is a group homomorphism for <u>addition</u>.

$$\phi_2(a_0 + a_1x + \dots + a_nx^n) = a_0 + a_12 + \dots + a_n2^n$$

Note that

$$\phi_2(x^2 + x - 6) = 2^2 + 2 - 6 = 0.$$

Thus $x^2 + x - 6$ is in the kernel N of ϕ_2 . Of course,

$$x^{2} + x - 6 = (x - 2)(x + 3),$$

and the reason that $\phi_2(x^2 + x - 6) = 0$ is that $\phi_2(x - 2) = 2 - 2 = 0$.

Example 44. Compute $EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) \in \mathbb{Z}_7[x]$

$$EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) =$$

According to the little Theorem of Fermat, $x^6 \equiv 1 \mod 7$.

$$=3x^4 + 5x^3 + 2x^5 = 0 \in \mathbb{Z}_7$$

10.2.3 Def: α **is zero if** $EV_{x=\alpha}(f(x)) = 0$

Definition 34. We say that α is a zero of f(x) if $EV_{x=\alpha}(f(x)) = 0$.

Example 45. Find all zeros of $f(x) = x^3 + 2x + 2$ in \mathbb{Z}_7 .

Solve by checking all value $f(x), x = 0, 1, ..., 6 \Rightarrow zeros \ are \ x = 2, \ x = 3.$

10.3 Degree of a Polynomial: deg(f)

 $f = \sum_{i=0}^{n} a_i x^i$, deg(f) = degree of f is,

$$deg(f) = \begin{cases} 0 & \text{if } f \text{ is constant, } f \neq 0 \\ n & \text{if } a_n \neq 0 \text{ in above } (a_n = \text{leading coefficient}) \\ -\infty & \text{if } f = 0 \end{cases}$$

Define $-\infty + a = a + (-\infty) = -\infty \ \forall a \in \mathbb{Z} \cup \{-\infty\}$

10.3.1 Lemma 2.3.3: $deg(fg) = deg(f) + deg(g), deg(f+g) \le max\{deg(f), deg(g)\}$

Lemma 7 (Lemma 2.3.3). For any field \mathbb{F} and f, $g \in \mathbb{F}[x]$,

$$deg(fg) = deg(f) + deg(g)$$
$$deg(f+g) \le \max\{deg(f), deg(g)\}\$$

10.4 Corollary 2.3.5: Unit(invertible) in $\mathbb{F}[x]$: constant $\neq 0$ iff deg(f) = 0

Corollary 12 (Corollary 2.3.5). For any field \mathbb{F} and $f \in \mathbb{F}[x]$, Then f is a <u>unit</u>(i.e. invertible) in $\mathbb{F}[x]$ iff deg(f) = 0.

证明.

Obviously, $deg(f) = 0 \Rightarrow f$ is a unit.

Suppose f is a unit, i.e. $\exists g \in \mathbb{F}[x]$ s.t. fg = 1.

$$0 = deg(fg) = deg(f) + deg(g) \Rightarrow deg(f), deg(g) \ge 0 \Rightarrow deg(f) = 0, deg(g) = 0.$$

10.5 <u>Irreducible</u> Polynomials: "无法分解为两个 degree ≥ 1 的多项式积"的多项式: 至 少一个是 constant (i.e. degree = 0)

A nonconstant polynomial f is <u>irreducible</u> if f = uv, $u, v \in \mathbb{F}[x]$, then either u or v is a unit(i.e., constant $\neq 0$)

10.6 Theorem 2.3.6: nonconstant polynomials 可以被唯一地分解

Theorem 55 (Theorem 2.3.6). Suppose \mathbb{F} is a field and $f \in \mathbb{F}[x]$ is any nonconstant. Then $f = ap_1p_2 \dots p_k$ where $a \in \mathbb{F}$, $p_1, \dots p_k \in \mathbb{F}[x]$ are irreducible monic polynomials (monic = i.e. leading coeff. 1). If $f = bq_1q_2 \dots q_r$ with $b \in \mathbb{F}$ and $q_1, q_2, \dots, q_r \in \mathbb{F}[x]$ monic irreducible, then a = b, k = r, and after reindexing $p_i = q_i$, $\forall i$

Lemma 8 (Lemma 2.3.7). Suppose \mathbb{F} is a field and $f \in \mathbb{F}[x]$ is nonconstant monic polynomial. Then $f = p_1 p_2 \dots p_k$ where each p_i is monic irreducible.

证明.

Prove it by induction. When deg(f) = 1, f = uv, $u, v \in \mathbb{F}[x]$, $deg(f) = deg(u) + deg(v) \Rightarrow$ one of these is 0.

Suppose the lemma holds for all degree < n. When deg(f) = n,

Either f is irreducible, done.

Suppose
$$f = uv$$
 with $/ deg(u), deg(v) \ge 1$
 $\Rightarrow deg(u), deg(v) < n \Rightarrow u = p_1 p_2 \dots p_k, v = q_1 q_2 \dots q_j$ So, $f = p_1 p_2 \dots p_k q_1 q_2 \dots q_j$.

Example 46. $x^2 - 1 \in \mathbb{Q}[x]$ reducible

$$x-1, x+1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{C}[x]$$
 reducible

$$x^{2}-1=x^{2}+1=[1]x^{2}+[1]\in\mathbb{Z}_{2}[x]$$
 reducible

10.7 Divisibility of Polynomials

 $f,g \in \mathbb{F}[x], f \neq 0, f \text{ divides } g, f|g \text{ means } \exists u \in \mathbb{F}[x] \text{ s.t. } g = fu.$

Proposition 26 (Proposition 2.3.8). $f, h, g \in \mathbb{F}[x]$, then

- (i) If $f \neq 0, f | 0$
- (ii) If f|1, f is nonzero constant
- (iii) If f|g and g|f, then f=cg for some $c \in \mathbb{F}$
- (iv) If f|g and g|h, then f|h
- (v) If f|g and f|h, then f|(ug+vh) for all $u,v \in \mathbb{F}[x]$.

10.7.1 Greatest common divisor of f and g: is not unique, we denote monic Greatest common divisor as gcd(f,g)

If $f, g \in \mathbb{F}[x]$ are nonzero polynomials, a greatest common divisor of f and g is a polynomial $h \in \mathbb{F}[x]$ such that

- (i) h|f and h|g, and
- (ii) if $k \in \mathbb{F}[x]$ and k|f and k|g, then k|h.

the gcd is not unique, but the monic gcd is unique. We call it **the monic greatest common divisor**, denote it gcd(f,g).

Example 47.

$$x^{2} - 1, x^{2} - 2x + 1 \in \mathbb{Q}[x]$$
$$(x - 1)(x + 1), (x - 1)^{2} \in \mathbb{Q}[x]$$
$$x - 1 = \gcd(x^{2} - 1, x^{2} - 2x + 1)$$

10.7.2 Proposition 2.3.9: Euclidean Algorithm of polynomials

Proposition 27 (Proposition 2.3.9). Given $f, g \in \mathbb{F}[x]$, $g \neq 0$, then $\exists q, r \in \mathbb{F}[x]$ s.t. deg(r) < deg(g) and f = qg + r

Example 48.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$
$$f = 3g + x^2 - 3x + 2$$

10.7.3 Proposition 2.3.10: gcd(f,g) 是 degree 最小的 f,g 的线性组合

Proposition 28 (Proposition 2.3.10). Any 2 nonzero polynomials $f, g \in \mathbb{F}[x]$ have a gcd in $\mathbb{F}[x]$. In fact among all polynomials in the set $M = \{uf + vg | u, v \in \mathbb{F}[x]\}$ any nonconstant of minimal degree are gcds.

证明.

 $h \in M$, deg(h) = d minimal. Let k|f and $k|g \Rightarrow k|uf + vg$, $\forall u, v \Rightarrow k|h$.

Suppose $h' \in M$ is any nonzero element. $deg(h') \ge deg(h) \Rightarrow \exists q, r \in \mathbb{F}, deg(r) < deg(h) \ h' = qh + r$. $r = h' - qh \in M$. Since deg(h) = d is nonconstant minimal degree, $r = 0 \Rightarrow h' = qh$. So $\exists q_1, q_2 \in \mathbb{F}[x], \ 1f + 0g = q_1h, 0f + 1g = q_2h \Rightarrow h|g, h|f$.

Example 49.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$

$$f = 3g + x^2 - 3x + 2$$

$$g = (x+1)(x^2 - 3x + 2) + x - 1$$

$$x^2 - 3x + 2 = (x-2)(x-1)$$

$$\Rightarrow gcd(f,g) = x - 1$$

$$x - 1 = g - (x+1)(x^2 - 3x + 2) = g - (x+1)(f - 3g) = (3x+4)g - (x+1)f$$

Example 50. Find a greatest common divisor of $f = x^3 - x^2 - x + 1$ and $g = x^2 - 3x + 2$ in $\mathbb{Q}[x]$, and express it in form uf + vg, $u, v \in \mathbb{Q}[x]$.

$$f = (x+2)g + 3x - 3$$

$$g = \frac{1}{3}(x-2)(3x-3)$$

$$gcd(f,g) = 3x - 3$$

$$3x - 3 = f - (x+2)g$$

10.7.4 Proposition 2.3.12: $gcd(f,g) = 1, f|gh \Rightarrow f|h$

Proposition 29 (Proposition 2.3.12). If $f, g, h \in \mathbb{F}[x]$, gcd(f, g) = 1, and f|gh, then f|h.

10.7.5 Corollary 2.3.13: irreducible f, $f|gh \Rightarrow f|g$ or f|h

Corollary 13 (Corollary 2.3.13). If $f \in \mathbb{F}[x]$ is irreducible, and f|gh, then f|g or f|h.

Since f is irreducible, we have two possible situations:

- 1. gcd(f,g) = f, i.e. f|g done.
- 2. gcd(f,g) = 1, then according to Prop 2.3.12, we can know f|h.

10.8 Roots

Root: $\alpha \in \mathbb{F}$ is a root of f if $f(\alpha) = 0$.

10.8.1 Corollary 2.3.16(of Euclidean Algorithm): f 可被分为 $(x-\alpha)q+f(\alpha)$ i.e. if α is a root, then $(x-\alpha)|f$

Corollary 14 (Corollary 2.3.16(of Euclidean Algorithm)). $\forall f \in \mathbb{F}[x]$ and $\alpha \in \mathbb{F}$, there exists a polynomial $q \in \mathbb{F}[x]$ s.t. $f = (x - \alpha)q + f(\alpha)$. In particular, if α is a root, then $(x - \alpha)|f$.

10.9 Multiplicity

If α is a root of f, say its multiplicity is m, if $x - \alpha$ appears m times in irreducible factorization.

10.9.1 Sum of multiplicity $\leq deg(f)$

Proposition 30 (Proposition 2.3.17). Given a nonconstant polynomial $f \in \mathbb{F}[x]$, the number of roots of f, counted with multiplicity, is at most deg(f).

10.10 Roots in a filed may not in its subfield

Note if $\mathbb{F} \subset \mathbb{K}$, then $\mathbb{F}[x] \subset \mathbb{K}$. $f \in \mathbb{F}[x]$ may have no roots in \mathbb{F} , but could have roots in \mathbb{K}

Example 51. $x^n - 1 \in \mathbb{Q}[x]$ has a root in \mathbb{Q} : 1; has 2 roots if n even: ± 1 roots in \mathbb{C} : $\zeta_n = e^{\frac{2\pi i}{n}}$, then $\zeta_n^n = e^{2\pi i} = 1$; $(\zeta_n^k)^n = e^{2\pi ki} = 1$ So, the roots: $\{e^{\frac{2\pi ki}{n}}|k=0,...,n-1\}$ The roots of $x^n - d$: $\{e^{\frac{2\pi ki}{n}}\sqrt{d}|k=0,...,n-1\}$

11 Linear Algebra

11.1 Vector Space $(V, +, \times)$ (over a field \mathbb{F})

A vector space over a field \mathbb{F} is a set V w/ an operation addition $+: V \times V \to V$ and an operation scalar multiplication $\mathbb{F} \times V \to V$

- (1) Addition is associative & commutative
- (2) $\exists 0 \in V$, additive identity: $0 + v = v \forall v \in V$
- (3) $1v = v \forall v \in V \text{ (where } 1 \in \mathbb{F} \text{ is multi. id. in } \mathbb{F} \text{)}$
- (4) $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ \alpha(\beta v) = (\alpha \beta)v$
- (5) $\forall v \in V$, (-1)v = -v we have v + (-v) = 0
- (6) $\forall \alpha \in \mathbb{F}, \ v, u \in V, \ \alpha(v+u) = \alpha v + \alpha u$
- (7) $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ (\alpha + \beta)v = \alpha v + \beta v$

11.1.1 A field is a vector space over its subfield

Example 52. $\mathbb{K} \subset \mathbb{F}$ is a subfield of a field \mathbb{F} . Then \mathbb{F} is a vector space over \mathbb{K} . (Since $\mathbb{F} \subset \mathbb{F}[x]$, then $\mathbb{F}[x]$ is a vector space over \mathbb{F} .)

11.1.2 Vector subspace

Suppose that V is a vector space over \mathbb{F} . A <u>vector subspace</u> or just <u>subspace</u> is a nonempty subset $W \subset V$ closed under addition and scalar multiplication. i.e. $v + w \in W$, $av \in W$, $\forall v, w \in W$, $a \in \mathbb{F}$.

Example 53. $\mathbb{K} \subset \mathbb{L} \subset \mathbb{F}$, then \mathbb{L} is a subspace of \mathbb{F} over \mathbb{K} .

11.2 Linear independent, Linear combination

11.3 span V, basis, dimension, Proposition 2.4.10

A set of elements $v_1, ..., v_n \in V$ is said to **span** V if every vector $v \in V$ can be expressed as a linear combination of $v_1, ..., v_n$. If $v_1, ..., v_n$ spans and is linearly independent, then we call the set a **basis** for V.

Proposition 31 (Proposition 2.4.10.). Suppose V is a vector space over a field \mathbb{F} having a basis $\{v_1, ..., v_n\}$ with $n \geq 1$.

- (i) For all $v \in V$, $v = a_1v_1 + ... + a_nv_n$ for exactly one $(a_1, ..., a_n) \in \mathbb{F}^n$.
- (ii) If $w_1, ..., w_n$ span V, then they are linearly independent.
- (iii) If $w_1, ..., w_n$ are linearly independent, then they span V.

If a vector space V over \mathbb{F} has a basis with n vectors, then V is said to be n-dimensional (over \mathbb{F}) or is said to have **dimension** n.

11.3.1 Standard basis vectors

$$e_1 = (1, 0, ..., 0), e_2 = (0, 1, 0, ..., 0), ..., e_n = (0, 0, ..., 0, 1) \in \mathbb{F}^n$$

are a basis for \mathbb{F}^n called the **standard basis vectors**.

11.4 Linear transformation

Given two vector spaces V and W over \mathbb{F} a linear transformation is a function $T:V\to W$ such that for all $a\in\mathbb{F}$ and $v,w\in V$, we have

$$T(av) = aT(v)$$
 and $T(v + w) = T(v) + T(w)$

Proposition 32 (Proposition 2.4.15.). If V and W are vector spaces and $v_1, ..., v_n$ is a basis for V then any function from $\{v_1, ..., v_n\} \to W$ extends uniquely to a linear transformation $V \to W$.

Any
$$v \in V$$
, $\exists (a_1, ..., a_n)$ s.t. $v = a_1v_1 + ... + a_nv_n$. Then $T(v) = T(a_1v_1 + ... + a_nv_n) = a_1T(v_1) + ... + a_nT(v_n)$

11.4.1 Corollary 2.4.16: 一个线性变换对应一个矩阵 bijection $\mathcal{L}(V,M) \to M_{m \times n}(\mathbb{F})$

Corollary 15 (Corollary 2.4.16.). If $v_1, ..., v_n$ is a basis for a vector space V and $w_1, ..., w_n$ is a basis for a vector space W (both over \mathbb{F}), then any linear transformation $T: V \to W$ determines (and is determined by) the $m \times n$ matrix:

$$A = A(T) = \begin{bmatrix} A_{11} & A_{12} & \dots & A_{1n} \\ A_{21} & A_{22} & \dots & A_{2n} \\ \vdots & \vdots & \dots & \vdots \\ A_{m1} & A_{m2} & \dots & A_{mn} \end{bmatrix}$$

$$\begin{bmatrix} w_1 & \cdots & w_m \end{bmatrix}^T = A \quad \begin{bmatrix} v_1 & \cdots & v_n \end{bmatrix}^T$$

 $\mathcal{L}(V, M)$ denotes the set of all linear transformations from V to W; $M_{m \times n}(\mathbb{F})$ the set of $m \times n$ matrix with entries in \mathbb{F} . $T \to A(T)$ defines a bijection $\mathcal{L}(V, M) \to M_{m \times n}(\mathbb{F})$. A(T) represents the linear transformation T.

11.4.2 Proposition 2.4.19: 线性变换矩阵相乘仍为线性变换矩阵

Proposition 33 (Proposition 2.4.19). Suppose that V, W, and U are vector spaces over \mathbb{F} , with fixed chosen bases. If $T:V\to W$ and $S:W\to U$ are linear transformations represented by matrices A=A(T) and B=B(S), then $ST=S\circ T:V\to U$ is a linear transformation represented by the matrix BA=B(S)A(T).

11.5 GL(V): invertible(bijective) linear transformations $V \to V$

Given a vector space V over F, we let $GL(V) \subset \mathcal{L}(V,V)$ denote the subset of **invertible linear** transformations.

$$GL(V) = \{T \in \mathcal{L}(V, V) | T \text{ is a bijection}\} = \mathcal{L}(V, V) \cap Sym(V)$$

12 Euclidean geometry basics

12.1 Euclidean distance, inner product

Euclidean distance on \mathbb{R}^n :

$$|x-y| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

Euclidean inner product:

$$x \cdot y = x_1 y_1 + \dots + x_n y_n = x^T y$$

12.2 Isometry of \mathbb{R}^n : a bijection $\mathbb{R}^n \to \mathbb{R}^n$ preserves distance

An **isometry** of \mathbb{R}^n is a bijection $\Phi: \mathbb{R}^n \to \mathbb{R}^n$ that preserves distance, which means,

$$|\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n$$

12.2.1 $Isom(\mathbb{R}^n)$: set of all isometries of \mathbb{R}^n

We use $Isom(\mathbb{R}^n)$ denotes the set of all isometries of \mathbb{R}^n ,

$$Isom(\mathbb{R}^n) = \{ \Phi : \mathbb{R}^n \to \mathbb{R}^n | |\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n \}$$

12.2.2 $Isom(\mathbb{R}^n)$ is closed under \circ and inverse

Proposition 34. $\Phi, \Psi \in Isom(\mathbb{R}^n)$, then $\Phi \circ \Psi, \Phi^{-1} \in Isom(\mathbb{R}^n)$

证明.

Since Φ, Ψ are bijections, so is $\Phi \circ \Psi$. Moreover,

$$|\varPhi \circ \varPsi(x) - \varPhi \circ \varPsi(y)| = |\varPhi(\varPsi(x)) - \varPhi(\varPsi(y))| = |\varPsi(x) - \varPsi(y)| = |x - y|$$

Since $id \in Isom(\mathbb{R}^n)$,

$$|x - y| = |id(x) - id(y)| = |\Phi \circ \Phi^{-1}(x) - \Phi \circ \Phi^{-1}(y)| = |\Phi^{-1}(x) - \Phi^{-1}(y)|$$

12.3 $A \in GL(n, \mathbb{R}), T_A(v) = Av: A^tA = I \Leftrightarrow T_A \in Isom(\mathbb{R}^n)$

There is a matrix $A \in GL(n, \mathbb{R})$ i.e. a invertible linear transffrmations $T_A : \mathbb{R}^n \to \mathbb{R}^n$ is given by $T_A(v) = Av$.

$$T_A(v) \cdot T_A(w) = (Av) \cdot (Aw) = (Av)^t (Aw) = v^t A^t A w$$
$$A^t A = I \Leftrightarrow T_A(v) \cdot T_A(w) = v \cdot w \Leftrightarrow_{(HW4)} T_A \in Isom(\mathbb{R}^n)$$

12.4 Linear isometries i.e. orthogonal group $O(n) = \{A \in GL(n, \mathbb{R}) | A^tA = I\}$

We define the all isometries in invertible linear transfrrmations $\mathbb{R}^n \to \mathbb{R}^n$ as **orthogonal group**

$$O(n) = \{A \in GL(n, \mathbb{R}) | A^t A = I\} \subset GL(n, \mathbb{R})$$

12.4.1 Special orthogonal group $SO(n) = \{A \in O(n) | det(A) = 1\}$: orthogonal group with det(A) = 1

O(n) are the matrices representing linear isometries of \mathbb{R}^n . $1 = det(I) = det(A^tA) = det(A^t)det(A) = det(A)^2 \Rightarrow det(A) = 1$ or det(A) = -1. We use **special orthogonal group** represents A with det(A) = 1,

$$SO(n) = \{ A \in O(n) | det(A) = 1 \}$$

12.5 translation: $\tau_v(x) = x + v$

Define a translation by $v \in \mathbb{R}^n$,

$$\tau_v: \mathbb{R}^n \to \mathbb{R}^n, \ \tau_v(x) = x + v$$

12.5.1 translation is an isometry

Note 5 (Exercise 2.5.3). $\forall v \in \mathbb{R}^n, \tau_v \text{ is an isometry.}$

证明.
$$|\tau_v(x) - \tau_v(y)| = |(x+v) - (y+v)| = |x-y|$$

12.6 The composition of a translation and an orthogonal transformation is an isometry $\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$

Since the composition of isometries is an isometry, $\forall A \in O(n)$ and $v \in \mathbb{R}^n$, the composition

$$\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$$

is an isometry. which could account for all isometries.

12.6.1 Theorem 2.5.3: All isometries can be represented by a composition of a translation and an orthogonal transformation, $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$

Theorem 56 (Theorem 2.5.3). $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$

Complex numbers 13

$$\mathbb{C} = \{a + bi | a, b \in \mathbb{R}\}, \ \mathbb{R} = \{a + 0i | a \in \mathbb{R}\} \subset \mathbb{C}$$

Addition & multiplication

$$(a+bi) + (c+di) = (a+c) + (b+d)i$$
$$(a+bi)(c+di) = ac + bci + adi + bdi^{2}$$
$$= (ac - bd) + (bc + ad)i$$

Complex conjugation: $z = a + bi, \bar{z} = a - bi, \overline{zw} = \bar{z}\bar{w}$

Absolute value: $|z| = \sqrt{a^2 + b^2}$, $|z|^2 = z\bar{z}$

Additive inverse: -z = -a - bi

<u>Multiplicative inverse</u>: $z^{-1} = \frac{1}{z} = \frac{1}{a+bi} = \frac{a-bi}{a^2+b^2} = \frac{\bar{z}}{|z|^2}$

$$z \in \mathbb{C}, \overline{z + \overline{z}} = \overline{z} + \overline{\overline{z}} = z + \overline{z}$$

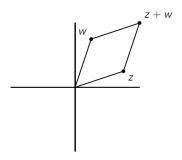
Real part:
$$Re(z) = \frac{z + \bar{z}}{2}$$

Real part:
$$Re(z) = \frac{z + \bar{z}}{2}$$

Imaginary part: $Im(z) = \frac{z - \bar{z}}{2i}$

Geometric Meaning of Addition and Multiplication

Addition: parallelogram law



Multiplication:

$$z = a + bi \neq 0$$

$$= r \cos \theta + r \sin \theta i$$

$$= r(\cos \theta + i \sin \theta)$$

$$|z|^2 = a^2 + b^2 = r^2$$

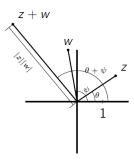
$$z = r(\cos \theta + i \sin \theta)$$

$$w = s(\cos \phi + i \sin \phi)$$

$$zw = rs[\cos \theta \cos \phi - \sin \theta \sin \phi + i(\cos \theta \sin \phi + \cos \phi \sin \theta)]$$

$$= rs[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$

$$= |z||w|[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$



We will write,

$$\cos \theta + i \sin \theta = e^{i\theta}$$
$$e^{i\theta}e^{i\phi} = e^{i(\theta + \phi)}$$
$$z = |z|e^{i\theta}$$

13.2 Theorem 2.1.1: $f(x) = a_0 + a_1 x + ... + a_n x^n$ with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$. Then f has a <u>root</u> in \mathbb{C} : $\exists \alpha \in \mathbb{C}$ s.t. $f(\alpha) = 0$

Theorem 57 (Theorem 2.1.1). Supose a nonconstant polynomial $f(x) = a_0 + a_1 x + ... + a_n x^n$ with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$. Then f has a <u>root</u> in \mathbb{C} : $\exists \alpha \in \mathbb{C}$ s.t. $f(\alpha) = 0$.

13.2.1 Corollary 2.1.2: $f(x) = a_n \prod_{i=1}^n (x-k_i) = a_n(x-k_1)(x-k_2)...(x-k_n)$, where $k_1, k_2, ..., k_n$ are roots of f(x)

Corollary 16 (Corollary 2.1.2). Every nonconstant polynomial with coefficients $a_0, a_1, ..., a_n \in \mathbb{C}$ can be factored as $f(x) = a_n \prod_{i=1}^n (x - k_i) = a_n (x - k_1)(x - k_2)...(x - k_n)$, where $k_1, k_2, ..., k_n$ are roots of f(x).

13.2.2 Corollary 2.1.3: $a_i \in \mathbb{R}$, f can be expresses as a product of linear and quadratic polynomials

Corollary 17 (Corollary 2.1.3). If $f(x) = a_0 + a_1x + ... + a_nx^n$ is a nonconstant polynomial $a_0, a_1, ..., a_n \in \mathbb{R}, a_n \neq 0$. Then f can be expresses as a product of linear and quadratic polynomials.

这里 $a_0, a_1, ..., a_n$ 是实数!

证明.

- (1)Obviously, the corollary holds at n = 1 and n = 2.
- (2) Suppose the corollary holds for all situations that n < k.

When
$$n = k$$
, $f(x) = a_0 + a_1 x + ... + a_k x^k$, $a_k \neq 0$.

By F.T.A., f has a root α in \mathbb{C} .

If $\alpha \in \mathbb{R}$, long division $f(x) = q(x)(x - \alpha)$. q has real coefficients, degree of q = k - 1. Since the corollary holds at n = k - 1, q(x) is a product of linear and quadratics. Then, the corollary also holds at n = k.

If $\alpha \notin \mathbb{R}$

$$0 = f(\alpha) = a_0 + a_1 \alpha + \dots + a_k \alpha^k$$
$$0 = \overline{f(\alpha)} = a_0 + a_1 \overline{\alpha} + \dots + a_n \overline{\alpha}^n = f(\overline{\alpha})$$

Since $\bar{\alpha} \neq \alpha$, $(x - \alpha)(x - \bar{\alpha})|f$.

 $(x-\alpha)(x-\bar{\alpha})=x^2-(\alpha+\bar{\alpha})x+|\alpha|^2$ is a polynomial with coefficients in \mathbb{R} . So $f(x)=q(x)(x^2-(\alpha+\bar{\alpha})x+|\alpha|^2)$, q has real coefficients with degree k-2. The corollary also holds at n=k-2, q(x) is a product of linear and quadratics. Then, the corollary also holds at n=k.

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