# MATH 417 Lec01-05

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# 1 Function and Set

## 1.1 Function

$$A \times B = \{(a, b) | a \in A, b \in B\}.$$

<u>Function</u> is a rule  $\sigma$  that assigns an element B to every element of A.

$$\sigma: A \to B$$

$$\forall a \in A, \sigma(a) \in B.$$

$$\sigma(a) = value \ of \ \sigma \ at \ a. \ (the \ \underline{image} \ of \ a)$$

A set  $C \subset B$ , we call  $\sigma^{-1}(C) = \{a \in A | \sigma(a) \in C\}$  as the <u>preimage</u> of a. An element  $b \in B$ , we call  $\sigma^{-1}(b) = \{a \in A | \sigma(a) = b\}$  as the <u>fiber</u> of b. A is the domain of  $\sigma$ , B is the range of  $\sigma$ .

# 1.1.1 Composition of functions

$$\sigma: A \to B, \tau: B \to C$$
. The function  $\tau \circ \sigma: A \to C$  is  $\forall a \in A, (\tau \circ \sigma)(a) = \tau(\sigma(a))$ 

# 1.1.2 Proposition 1.1.3: Associativity of Functions

**Proposition 1** (Proposition 1.1.3).  $\sigma: A \to B, \tau: B \to C, \rho: C \to D$  functions then,

$$\rho\circ(\tau\circ\sigma)=(\rho\circ\tau)\circ\sigma$$

# 1.1.3 Injective, surjective, bijective

A function  $\sigma: A \to B$  is called,

1. Injective (1 to 1)

$$\forall a_1, a_2 \in A, \sigma(a_1) = \sigma(a_2) \Rightarrow a_1 = a_2$$

2. Surjective (onto)

$$\forall b \in B, \exists a \in A, s.t. \sigma(a) = b$$

3. Bijective (if injective and surjective)

# 1.1.4 Lemma 1.1.7: 两个 injective/surjective/bijective 的方程的 composition 保留性质

**Lemma 1** (Lemma 1.1.7). Suppose  $\sigma: A \to B, \tau: B \to C$  are functions,

If  $\sigma, \tau$  are injective, then  $\tau \circ \sigma$  is injective.

If  $\sigma, \tau$  are surjective, then  $\tau \circ \sigma$  is surjective.

If  $\sigma, \tau$  are bijective, then  $\tau \circ \sigma$  is bijective.

# 1.1.5 Proposition 1.1.8: Inverse of Function

**Proposition 2** (Proposition 1.1.8). A function  $\sigma: A \to B$  is a bijection if  $\exists \ a \ function \ \tau: B \to A \ such \ that$ 

$$\sigma \circ \tau = id_B = identity \ on \ B(id_B(x) = x, \forall x \in B)$$
  
$$\tau \circ \sigma = id_A$$

Such  $\tau$  is unique, called inverse of  $\sigma$ ,  $\tau = \sigma^{-1}$ .

# 1.2 Set

#### 1.2.1 Well Defined Set

**Definition 1.** A set S is well defined if an object a is either  $a \in S$  or  $a \notin S$ .

#### 1.2.2 Power Set

**Definition 2.** For any set A, we denote by  $\mathcal{P}(A)$  the collection of all subsets of A.  $\mathcal{P}(A)$  is the power set of A.

# 1.2.3 Cardinalities of Sets, Pigeonhole Principle

**Definition 3.** If A is a set, |A| = cardinality of A = # of elements

 $n\in\mathbb{N}, |\{1,\dots n\}|=n;\, |\emptyset|=0 (\emptyset=\text{ empty set }).$ 

|A| = |B| if there is a bijection  $\sigma : A \to B$ .

If there is an injection  $\sigma: A \to B$ , we can write  $|A| \leq |B|$ ;

If there is a surjection  $\sigma: A \to B$ , we can write  $|A| \ge |B|$ .

**Theorem 1** (Pigeonhole Principle). If A and B are sets and |A| > |B|, then there is no injective function  $\sigma: A \to B$ .

## 1.2.4 $B^A$ : Sets of Function

If A, B are sets, then  $B^A = \{ \sigma : A \to B | \sigma \text{ a function} \}.$ 

**Example 1.**  $n \in \mathbb{Z}$ , we define a function  $f: B^{\{1,\dots,n\}} \to B^n (= B \times B \times B \times \dots \times B)$  by the equation  $f(\sigma) = \{\sigma(1), \dots, \sigma(n)\}$ , where  $\sigma: \{1, \dots, n\} \to B$ . The f is a bijection.

证明.

 $1. \ \textit{Injective} :$ 

$$f(\sigma_1) = f(\sigma_2) \Rightarrow \{\sigma_1(1), ..., \sigma_1(n)\} = \{\sigma_2(1), ..., \sigma_2(n)\}$$
  
Since  $\sigma : \{1, ..., n\} \rightarrow B$ , it is sufficient to prove  $\sigma_1 = \sigma_2$ .

# 2. Surjective:

$$\forall \{b_1,...,b_n\}, \text{ we have } \sigma^*(x) = b_x, x = 1,...,n. \text{ s.t. } f(\sigma^*) = \{b_1,...,b_n\}$$

# Example 2.

$$C(\mathbb{R}, \mathbb{R}) = \{continuous \ functions \ \sigma : \mathbb{R} \to \mathbb{R}\} \subset \mathbb{R}^{\mathbb{R}}$$

## 1.2.5 Binary operations on a Set, associative, commutative

A binary operation on a set A is a function  $*: A \times A \rightarrow A$ .

The operation is associative if  $a * (b * c) = (a * b) * c, \forall a, b, c \in A$ .

The operation is *commutative* if  $a * b = b * a, \forall a, b \in A$ .

**Example 3.**  $+, \circ$  are both associative and commutative operations on  $\mathbb{Z}, \mathbb{N}, \mathbb{Q}, \mathbb{R}$ ; - is a neither associative nor commutative operation on  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ , but not  $\mathbb{N}$ .

# 2 Equivalence relations and Partition

# 2.1 Equivalence relations (理性等价的定义)

理性的等价需要满足: (1)Reflexive, (2)Symmetric, (3)Transitive. Given a set X, a relation on X is a subset of  $R \subset X \times X$ . We write  $a \sim b$ .

A relation  $\sim$  is said to be

- 1. Reflexive if  $\forall x \in X$ , we have  $x \sim x$ .
- 2. Symmetric if  $\forall x, y \in X, x \sim y \Rightarrow y \sim x$ .
- 3. Transitive if  $\forall x, y, z \in X, x \sim y, y \sim z \Rightarrow x \sim z$ .

The sim is called **equivalence relation** if it is reflexive, Symmetric and Transitive.

**Example 4.** Set  $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$ , satisfies  $(a,b) \sim (c,d)$  if ad = bc.

- 1. Reflexive:  $(a,b) \sim (a,b), \forall (a,b) \in \mathbb{Z}^2$ .
- 2. Symmetric:  $\forall (a,b), (c,d) \in \mathbb{Z}^2, (a,b) \sim (c,d) \Rightarrow (c,d) \sim (a,b).$
- 3. Transitive:  $\forall (a,b), (c,d), (u,v) \in \mathbb{Z}^2, (a,b) \sim (c,d), (c,d) \sim (u,v) \Rightarrow ad = bc, cv = du \Rightarrow acv = adu = bcu \Rightarrow av = bu \Rightarrow (a,b) \sim (u,v).$

So this is an equivalence relation.

**Example 5.**  $f: X \to Y$  is a function, define  $\sim_f$  on X by  $a \sim_f b$  if f(a) = f(b).

- 1. Reflexive:  $a \sim a, \forall a \in X$ .
- 2. Symmetric:  $a, b \in X, a \sim b \Rightarrow b \sim a$ .
- 3. Transitive:  $\forall a, b, c \in X, a \sim b, b \sim c \Rightarrow f(a) = f(b) = f(c) \Rightarrow a \sim c$ .

So  $\sim_f$  is an equivalence relation.

# 2.2 Partition (满足不重叠, 无剩余的 set 拆分结果)

X a set, a partition of X is a collection  $\omega$  of subsets of X s.t.

- 1)  $\forall A, B \in \omega$  either A = B or  $A \cap B = \emptyset$ .
- $2) \cup_{A \in \omega} A = X.$

The subsets are the **cell**s of partition.

## 2.3 Equivalence class

## **2.3.1** [x]: equivalence class

Define the **equivalence class** of x to be the subset  $[x] \subset X$ :

$$[x] = \{ y \in X | y \sim x \}$$

Where  $\sim$  is an equivalence relation.

 $\sim$  is reflexive  $\Rightarrow x \in [x]$ . We say that any  $y \in [x]$  as a **representative** of the equivalence class.

# 2.3.2 $X/\sim$ : set of equivalence classes

Set of equivalence classes 是一个 **set** 被某种 *equivalence relation* 分类的结果 We write the set of equivalence classes

$$X/\sim=\{[x]|x\in X\}$$

# 2.4 Relationship of Equivalence relation, Set of equivalence classes and Partitions

给定 X, <u>Equivalence relation</u>  $\sim$  与<u>Set of equivalence classes</u>  $X/\sim$  具有相同的信息量;包含所有<u>Partitions</u> 的集合与包含所有<u>Set of equivalence classes</u> 的集合相同。

# 2.4.1 Theorem 1.2.7: Equivalence relation $\sim \Leftrightarrow$ Set of equivalence classes $X/\sim$ ; {all Sets of equivalence classes} = {all Partitions}

**Theorem 2** (Theorem 1.2.7).  $X/\sim$  is a partition of X. Conversely, given a partition  $\omega$  of X, there exists a unique equivalence relation  $\sim_{\omega}$  s.t.  $X/\sim_{\omega}=\omega$ .

(1) <u>Equivalence relation</u> ~ 生成一个对应的<u>Set of equivalence classes</u>  $X/\sim$ , 该  $X/\sim$  就是一个 Partition。(可以看作 1. 所有 Set of equivalence classes 都是 Partitions;  $2.\sim \Rightarrow X/\sim$  由方式推结果) (2) 反之,我们也可以根据已有的 Partition  $\omega$ ,将其作为一种分类方式  $\sim_{\omega}$  的 \_(i.e.  $X/\sim_{\omega}=\omega$ ) 这个对应的  $\sim_{\omega}$  存在且是唯一的。(可以看作 1. 所有 Partitions 都是 Set of equivalence classes;  $2.X/\sim \Rightarrow \sim$  由结果推方式)

证明.

 $(1)X/\sim$  is a partition of X:

$$\forall x, y \in X \text{ s.t. } [x] \cap [y] \neq \emptyset$$

$$Let \ z \in [x] \cap [y] \Rightarrow z \sim x, z \sim y$$

$$\forall w \in [x] \Rightarrow w \sim x \Rightarrow x \sim w \Rightarrow z \sim w \Rightarrow w \sim z \Rightarrow w \sim y \Rightarrow [x] \subset [y]$$

$$Similarly \ we \ can \ prove \ [y] \subset [x] \Rightarrow [x] = [y]$$

- (2) Given a partition  $\omega$  of X, there exists a unique equivalence relation  $\sim_{\omega}$  s.t.  $X/\sim_{\omega}=\omega$ :
- (2.1) Prove there exists an equivalence relation s.t.  $X/\sim_{\omega}=\omega$ :

We define a relation:  $x \sim_{\omega} y$  if there exists  $A \in \omega$  s.t.  $x, y \in A \Rightarrow \sim_{\omega}$  is symmetric and transitive. Since  $\bigcup_{A \in \omega} A = X$ , we know  $\forall x \in X, \exists A \in \omega$  s.t.  $x \in A \Rightarrow \sim_{\omega}$  is reflexive. So  $\sim_{\omega}$  is an equivalence relation.

We know  $A = [x], \forall A \in \omega, \forall x \in A \text{ (by } \sim_{\omega}), \text{ then } X/\sim_{\omega} = \{[x]|x \in \cup_{A \in \omega} A\} = \{\{A^*|x \in A^*\}|A^* \in \omega\} = \omega.$ 

(2.2) Prove the equivalence relation is unique:

Set  $\sim$  be any equivalence relation that make  $X/\sim=\omega$ , then we know  $\forall A\in\omega, \exists x\in X$  s.t. [x]=A. According to the definition of [x], if  $x\in A, y\sim x$  if and only if  $y\in [x]=A$ . Which is exactly the  $\sim_{\omega}$ .

**Example 6** (the same as example 5).  $f: X \to Y$  is a function, define  $\sim_f$  on X by  $a \sim_f b$  if f(a) = f(b). In this example the **equivalence classes** are precisely the fibers  $[x] = f^{-1}(f(x))$ .  $y \sim_f x \Rightarrow y \in f^{-1}(f(x))$ 

**Example 7** (the same as example 4). Set  $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$ , satisfies  $(a,b) \sim (c,d)$  if ad = bc. i.e. we write the equivalence of (a,b) as  $\frac{a}{b} = [(a,b)]$ . Then  $X/\sim = \mathbb{Q}$ .

**2.4.2** Proposition 1.2.12: 根据结果  $X/\sim=\{[x]|x\in X\}$  推断的  $\sim_{\pi}$  equals to  $\sim$ .

**Proposition 3** (Proposition 1.2.12). If  $\sim$  is an equivalence relation on X, define a surjective function  $\pi: X \to X/\sim by \ \pi(x) = [x]$ . Then  $\sim_{\pi} = \sim$  (the definition of  $\sim_f$  in example 6.)

证明.

(1)Surjective:

 $X/\sim=\{[x]|x\in X\}=\{\pi(x)|x\in X\}, \text{ so } \forall y\in X/\sim,\ y\in\{\pi(x)|x\in X\}, \text{ there exists } x\in X \text{ s.t. } \pi(x)=y.$ 

 $(2)\sim_{\pi}=\sim$ 

 $a \sim_{\pi} b$  if  $\pi(a) = \pi(b) \Leftrightarrow [a] = [b]$ , which is exactly the definition of  $\sim$ .

逻辑:

- 1. Given  $\sim$ ;
- 2. Get the corresponding  $X/\sim=\{[x]|x\in X\};$

- 3.  $\pi(x) = [x];$
- 4.  $\sim_{\pi}$ :  $a \sim_{\pi} b \text{ iff } \pi(a) = \pi(b)$
- 5.  $\sim_{\pi} = \sim$

根据结果  $X/\sim=\{[x]|x\in X\}$  推断的  $\sim_{\pi}$  equals to  $\sim$ .

# **2.4.3** Proposition 1.2.13: 给 X 标记 Y: f, 给 $X/\sim$ 标记 Y: $\widetilde{f}$ , ; 两函数之间一一对应

**Proposition 4** (Proposition 1.2.13). Given any function  $f: X \to Y$  there exists a unique function  $\tilde{f}: X/\sim Y$  such that  $\tilde{f}\circ \pi = f$ , where  $\pi: X \to X/\sim$  in proposition 3. Furthermore,  $\tilde{f}$  is a bijection onto the image f(X).

证明.

(1) Existence:

We define  $x_1 \sim_f x_2$  if  $f(x_1) = f(x_2)$ . Set  $\tilde{f}: X/\sim_f \to Y$ ,  $\tilde{f}([x]) = f(x)$ . Then  $\tilde{f}[\pi(x)] = \tilde{f}([x]) = f(x)$ . Exactly what we require.

(2) Uniqueness:

Set any  $\tilde{f}'$  s.t.  $\tilde{f}' \circ \pi = f$ , then  $\tilde{f}'[\pi(x)] = \tilde{f}'([x]) = f(x)$ , i.e. the  $\tilde{f}$  is unique.

(3) Bijection:

Surjective, which we proved before  $\forall f, \exists \tilde{f} \text{ s.t. } \tilde{f} \circ \pi = f;$ 

*Injective*, we also have proved the uniqueness  $f = \tilde{f} \circ \pi = \tilde{f}' \circ \pi \Rightarrow \tilde{f}' = \tilde{f}$ .

# 3 Permutations 改变位置

**Definition 4.** Let X be a finite set, a permutation is bijection  $\sigma: X \to X$ .

**Definition 5.** Let  $S_X(Sym(X))$  be the set of all bijection  $\sigma: X \to X$ .

If |X| = n,  $|S_X| = n!$ .

3.1  $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\}$ : permutation group of X; elements in Sym(X): permutations of X

We set  $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\} \subset X^X$ . We call it **symmetric group of** X or **permutation group of** X. We call the elements in Sym(X) the **permutations of** X or the **symmetries of** X.

### **3.1.1** Properties of $\circ$ on Sym(X)

**Proposition 5** (Proposition 1.3.1.). For any nonempty set X,  $\circ$  is an operation on Sym(X) with the following properties:

- $(i) \circ is associative.$
- (ii)  $id_X \in Sym(X)$ , and for all  $\sigma \in Sym(X)$ ,  $id_X \circ \sigma = \sigma \circ id_X = \sigma$ , and
- (iii) For all  $\sigma \in Sym(X)$ ,  $\sigma^{-1} \in Sym(X)$ .

Permutations 类似于 rearrangement, 交换 X 中元素的排序。

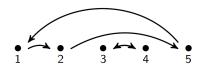
# **3.1.2** $S_n$ : Permutation group on n elements, $\sigma^i$

Note 1. When  $X = \{1, ..., n\}, n \in \mathbb{Z}$ , write  $S_n = Sym(X)$  symmetric/permutation group on n elements.

Note 2.  $\sigma \in Sym(X)$ , write  $\sigma^n = \sigma \circ \sigma \circ ... \circ \sigma$ ,  $\sigma^0 = id_X$ ,  $\sigma^{-1} = inverse$ , r > 0,  $\sigma^{-r} = (\sigma^{-1})^r$ . So,  $r, s \in \mathbb{Z}$ ,  $\sigma^{r+s} = \sigma^r \circ \sigma^s = \sigma^s \circ \sigma^r$ .

# 3.1.3 *k*-cycle, cyclically permute/fix

#### Example 8.



$$1 \stackrel{\sigma}{\mapsto} 2 \stackrel{\sigma}{\mapsto} 5 \stackrel{\sigma}{\mapsto} 1, \quad \tau_1$$

$$3 \stackrel{\sigma}{\mapsto} 4 \stackrel{\sigma}{\mapsto} 3$$
,  $\tau_2$ 

图 1: Example of Cycle

In the example of Figure 1,  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 4 & 3 & 2 \end{pmatrix}$ ,  $\sigma = \tau_1 \circ \tau_2$ , where  $\tau_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 3 & 4 & 2 \end{pmatrix}$ ,

 $\tau_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 4 & 3 & 5 \end{pmatrix}$ .  $\tau_1$  is 3-cycle,  $\tau_2$  is 2-cycle. We could represent  $\tau_1 = (1\ 2\ 5) = (2\ 5\ 1) = (5\ 1\ 2),$ 

i.e. 1 
$$5$$
 Similarly, we can represent  $\tau_2 = (3,4) = (4,3)$ , i.e.  $3 \longleftrightarrow 4$ 

We can find that  $\forall x \in \{1, 2, 3, 4, 5\}$ ,  $\tau_1^3(x) = x$ ,  $\tau_2^2(x) = x$ , so we write  $\tau_1$  as a **3-cycle** in  $S_5$ ,  $\tau_2$  as a **2-cycle** in  $S_5$ .

Given  $k \geq 2$ , a **k-cycle** in  $S_n$  is a permutation  $\sigma$  with the property that  $\{1, ..., n\}$  is the union of two disjoint subsets,  $\{1, ..., n\} = Y \cup Z$  and  $Y \cap Z = \emptyset$ , such that

1.  $\sigma(x) = x$  for every  $x \in \mathbb{Z}$ , and

2. 
$$|Y| = k$$
, and for any  $x \in Y, Y = {\sigma(x), \sigma^2(x), \sigma^3(x) ... \sigma^k(x) = x}$ .

We say that  $\sigma$  cyclically permutes the elements of Y and fixes the elements of Z.

 $\tau_1 = (1\ 2\ 5)$  cyclically permutes the elements of  $Y = \{1, 2, 5\}$  and fixes the elements of  $Z = \{3, 4\}$ .

 $\tau_2 = (3 \ 4)$  cyclically permutes the elements of  $Y = \{3,4\}$  and fixes the elements of  $Z = \{1,2,5\}$ .

# 3.2 Disjoint cycles

Since the sets are cyclically permuted by  $\tau_1, \tau_2$  (i.e. Y) are disjoint. We call the **disjoint cycle** notation  $\sigma = \tau_1 \circ \tau_2 = (1\ 2\ 5)(3\ 4)$ . (Commute the order is irrelevant)

# 3.2.1 Theorem: Every permutation is a union of disjoint cycles, uniquely.

Given  $\sigma \in S_n$ , there exists a unique (possibly empty) set of pairwise disjoint cycles.

**Theorem 3.** Let X be a finite set, the graph of permutation  $\sigma \in S_X$  is a union of disjoint cycle.

证明. Prove by induction:



If |X| = 1, the graph is circle:

For |X| > 1, let  $i_1 \in X$  and let  $\mathcal{O}(i_1) = \{\sigma^r(i_1), r \geq 0\} = \{i_1, \sigma(i_1), \sigma^2(i_1), ...\}$ .  $\mathcal{O}(i_1)$  is finite, and there is a smallest r s.t.  $\sigma^r(i_1) \in \{i_1, \sigma(i_1), \sigma^2(i_1), ..., \sigma^{r-1}(i_1)\}$ . Then  $\sigma^r(i_1) = i_1$  because other elements already have a pre-change under  $\sigma$ .

Then  $i_1 \to \sigma(i_1) \to \sigma^2(i_1) \to \cdots \to \sigma^{r-1}(i_1) \to i_1$  is a cycle of length r.

For  $j \notin \mathcal{O}(i_1)$ ,  $\sigma(j) \notin \mathcal{O}(i_1)$ ,  $\sigma^{-1}(j) \notin \mathcal{O}(i_1)$ . Let  $Y = X/\mathcal{O}(i_1)$  then  $\sigma: Y \to Y$  is a bijection. Then prove by induction.

**Example 9.**  $\sigma_1 = (1 \ 2 \ 6 \ 5)(3)(4)$ , can be written by  $\sigma_1 = (1 \ 2 \ 6 \ 5)$ ,  $\sigma_2 = (2 \ 3 \ 5 \ 4)$ 

$$\sigma_1 \circ \sigma_2 = (1\ 2\ 6\ 5) \circ (2\ 3\ 5\ 4)$$

$$1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2$$

$$2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3$$

$$3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1$$

$$4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6$$

$$5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4$$

$$6 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5$$

Then  $\sigma_1 \circ \sigma_2 = (1 \ 2 \ 3) \circ (4 \ 6 \ 5)$ 

$$\sigma_{2} \circ \sigma_{1} = (2 \ 3 \ 5 \ 4) \circ (1 \ 2 \ 6 \ 5)$$

$$1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3$$

$$2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6$$

$$3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5$$

$$4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 2$$

$$5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1$$

$$6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4$$

Then  $\sigma_2 \circ \sigma_1 = (1 \ 3 \ 5) \circ (2 \ 6 \ 4)$ 

Note:  $\sigma_1 \circ \sigma_2 \neq \sigma_2 \circ \sigma_1$ 

**Example 10** (Exercise 1.3.2.). Consider  $\sigma = (3\ 4\ 8)(5\ 7\ 6\ 9)$  and  $\tau = (1\ 9\ 3\ 5)(2\ 7\ 4)$  in  $S_9$  expressed in disjoint cycle notation. Compute  $\sigma \circ \tau$  and  $\tau \circ \sigma$  expressing both in disjoint cycle notation.

$$1 \to \sigma(\tau(1)) = \sigma(9) = 5; \ 2 \to \sigma(\tau(2)) = \sigma(7) = 6;$$

$$3 \to \sigma(\tau(3)) = \sigma(5) = 7; \ 4 \to \sigma(\tau(4)) = \sigma(2) = 2;$$

$$5 \to \sigma(\tau(5)) = \sigma(1) = 1; \ 6 \to \sigma(\tau(6)) = \sigma(6) = 9;$$

$$7 \to \sigma(\tau(7)) = \sigma(4) = 8; \ 8 \to \sigma(\tau(8)) = \sigma(8) = 3;$$

$$9 \to \sigma(\tau(9)) = \sigma(3) = 4;$$

$$\Rightarrow \sigma \circ \tau = (1 \ 5)(2 \ 6 \ 9 \ 4)(3 \ 7 \ 8)$$

$$1 \to \tau(\sigma(1)) = \tau(1) = 9; \ 2 \to \tau(\sigma(2)) = \tau(2) = 7;$$

$$3 \to \tau(\sigma(3)) = \tau(4) = 2; \ 4 \to \tau(\sigma(4)) = \tau(8) = 8;$$

$$5 \to \tau(\sigma(5)) = \tau(7) = 4; \ 6 \to \tau(\sigma(6)) = \tau(9) = 3;$$

$$7 \to \tau(\sigma(7)) = \tau(6) = 6; \ 8 \to \tau(\sigma(8)) = \tau(3) = 5;$$

$$9 \to \tau(\sigma(9)) = \tau(5) = 1;$$

$$\Rightarrow \tau \circ \sigma = (1 \ 9)(2 \ 7 \ 6 \ 3)(4 \ 8 \ 5)$$

**Example 11.** Let  $\sigma, \tau \in S_7$ , given in disjoint cycle, notation by  $\sigma = (1\ 5\ 4)(3\ 7), \tau = (1\ 3\ 2\ 6\ 4),$  Compute  $\sigma^2, \sigma^{-1}, \tau \circ \sigma$ 

$$\sigma^{2} = (1\ 4\ 5), \qquad \sigma^{-1} = (4,5,1)(3,7),$$

$$1 \to \tau(\sigma(1)) = \tau(5) = 5, \quad 2 \to \tau(\sigma(2)) = \tau(2) = 6,$$

$$3 \to \tau(\sigma(3)) = \tau(7) = 7, \quad 4 \to \tau(\sigma(4)) = \tau(1) = 3,$$

$$5 \to \tau(\sigma(5)) = \tau(4) = 1, \quad 6 \to \tau(\sigma(6)) = \tau(6) = 4,$$

$$7 \to \tau(\sigma(7)) = \tau(3) = 2,$$

$$\Rightarrow \tau \circ \sigma = (1,5)(2,6,4,3,7)$$

# 3.3 Transposition

**Definition 6.** A transposition is a cycle of length 2:  $\sigma = (i \ j)$ .

# 3.3.1 Theorem: 每个 permutation 可以由若干个 (可能不 disjoint 的) transposition 表示

**Theorem 4.** Every permutation  $\sigma$  of X is a product of transposition. (the product is not unique) **Equivalent:** Given  $n \geq 2$ , any  $\sigma \in S_n$  can be expressed as a composition of 2-cycles. (not require disjoint)

证明.

Version 1:

$$(x_1 \ x_k)(x_1 \ x_2, \dots x_{k-1} \ x_k) = (x_1 \ x_2 \ \dots x_{k-1})$$

$$(x_1 \ x_2 \ \dots x_{k-1} \ x_k) = (x_1 \ x_k)(x_1, x_2 \ \dots x_{k-1})$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_2} \ \dots \mathbf{x_{k-2}})$$

$$\dots$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_{k-2}}) \dots (\mathbf{x_1} \ \mathbf{x_2})$$

Version 2:

$$(x_1 \ x_2, \dots x_{k-1} \ x_k)(x_1 \ x_k) = (x_2 \ x_3 \ \dots x_k)$$
$$(x_1 \ x_2 \ \dots x_{k-1} \ x_k) = (x_2 \ x_3 \ \dots x_k)(x_1 \ x_k)$$
$$\dots$$
$$= (\mathbf{x_{k-1}} \ \mathbf{x_k})(\mathbf{x_{k-2}} \ \mathbf{x_k}) \dots (\mathbf{x_2} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_k})$$

# 3.3.2 Sign of Permutation

**Theorem 5.** Although the product of transposition of a permutation is not unique, the <u>parity (odd or even) of the residuents in a product is unique. We call it the **sign** of permutation.</u>

$$sign(\sigma) = (-1)^{(\# even-length \ cycles \ in \ \sigma)}$$
  
=  $(-1)^{(\# transpositions \ in \ \sigma)}$ 

What happens to a permutation  $\sigma$ 's cycles if  $\sigma \to (i \ j) \circ \sigma$ ?

- 1. i and j are not contained in  $\sigma$ .
- 2. i and j appear in the same cycle of  $\sigma$ .
- 3. i and j appear in disjoint cycles.

$$(i \ j) \circ (i - -j \sim \sim) = (i - -) \circ (j \sim \sim)$$
$$(i \ j) \circ (i - -) \circ (j \sim \sim) = (i - -j \sim \sim)$$

**Proposition 6.**  $sign((i \ j) \circ \sigma) = -1 \cdot sign(\sigma)$ 

证明.

Suppose 
$$\sigma = (a_1 \ a_2 \ \cdots a_k \ \sigma_1 \ \sigma_2 \ \cdots \sigma_l)$$
  
Then  $(a_1 \ b_1) \circ \sigma = (a_1 \ a_2 \ \cdots a_k)(\sigma_1 \ \sigma_2 \ \cdots \sigma_l)$   

$$sign(\sigma) = \begin{cases} +1 & \text{if } k+l \text{ is odd} \\ -1 & \text{if } k+l \text{ is even} \end{cases}$$

$$sign((a_1 \ b_1) \circ \sigma) = \begin{cases} -1 & \text{if } k+l \text{ is odd} \\ +1 & \text{if } k+l \text{ is even} \end{cases}$$

参考文献

[1] Christopher J Leininger Introduction to Abstract Algebra (Draft) 2017.