## MATH 417 Lec06-15

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#### 1 Integers

#### 1.1 Proposition 1.4.1: Properties of integers $\mathbb{Z}$

**Proposition 1** (Proposition 1.4.1.). The following hold in the integers  $\mathbb{Z}$ :

- (i) Addition and multiplication are commutative and associative operations in  $\mathbb{Z}$ .
- (ii)  $0 \in \mathbb{Z}$  is an identity element for addition; that is,  $\forall a \in \mathbb{Z}, 0+a=a$ .
- (iii) Every  $a \in \mathbb{Z}$  has an additive inverse, denoted -a and given by -a = (-1)a, satisfying a + (-a) = 0.
- (iv)  $1 \in \mathbb{Z}$  is an identity element for multiplication; that is, for all  $a \in \mathbb{Z}$ , 1a = a.
- (v) The distributive law holds:  $\forall a, b, c \in \mathbb{Z}, a(b+c) = ab + ac$ .
- (vi) Both  $\mathbb{N} = \{x \in \mathbb{Z} | x \geq 0\}$  and  $\mathbb{Z}_+ = \{x \in \mathbb{Z} | x > 0\}$  are closed under addition and multiplication. That is, if x and y are in one of these sets, then x + y and xy are also in that set.
- (vii) For any two nonzero integers  $a, b \in \mathbb{Z}$ ,  $|ab| \ge \max\{|a|, |b|\}$ . Strict inequality holds if |a| > 1 and |b| > 1.

From this we get cancellation.

$$ab = ac \Rightarrow b = c \text{ or } a = 0$$

#### 1.2 Definition: Divide

Suppose  $a, b \in \mathbb{Z}, b \neq 0$ , <u>b</u> divides <u>a</u> if  $\exists m \in \mathbb{Z}$ , so that a = bm, b|a. Otherwise, write  $b \nmid a$ .

#### 1.3 Proposition 1.4.2: properties of integer division

**Proposition 2** (Proposition 1.4.2).  $\forall a, b \in \mathbb{Z}$ 

- (i) if  $a \neq 0$ , then a|0
- (ii) if a|1, then  $a=\pm 1$
- (iii) if a|b & b|a, then  $a = \pm b$
- (iv) if a|b & b|c, then a|c
- (v) if a|b & a|c, then  $a|(mc+nb)\forall m, n \in \mathbb{Z}$

#### 1.4 Definitions: Prime, The Greatest common divisor gcd(a,b)

 $p > 1, p \in \mathbb{Z}$  is called *prime* if the only divisors are  $\pm 1, \pm p$ .

Given  $a, b \in \mathbb{Z}, a, b \neq 0$ , the greatest common divisor of a and b is  $c \in \mathbb{Z}, c > 0$  s.t.

(1) c|a and c|b; (2) if d|a, d|b, then d|c

The c is unique, we write it gcd(a, b).

#### 1.5 Euclidean Algorithm

**Proposition 3** (Proposition 1.4.7(Euclidean Algorithm)). Given  $a, b \in \mathbb{Z}, b \neq 0$ , then  $\exists q, r \in \mathbb{Z}$  s.t.  $a = qb + r, 0 \leq r \leq |b|$ .

**Example 1** (Exercise 1.4.3). For the pair (a,b) = (130,95), find gcd(a,b) using the Euclidean Algorithm and express it in the form gcd(a,b) = sa + tb for  $s,t \in \mathbb{Z}$ .

$$130 = 95 + 35; \quad 95 = 2 \times 35 + 25$$

$$35 = 25 + 10; \quad 25 = 2 \times 10 + 5$$

$$10 = 2 \times 5 + 0$$

$$5 = 25 - 2 \times 10 = 25 - 2 \times (35 - 25) = 3 \times 25 - 2 \times 35 = 3 \times (95 - 2 \times 35) - 2 \times 35$$

$$= 3 \times 95 - 8 \times 35 = 3 \times 95 - 8 \times (130 - 95) = 11 \times 95 - 8 \times 130$$

$$\gcd(130, 95) = \gcd(95, 35) = \gcd(35, 25) = \gcd(25, 10) = \gcd(10, 5) = \gcd(5, 0) = 5$$

We can also express it by matrix

	q	r	s	t
-1		130	1	0
0	1	95	0	1
1	2	35	1	-1
2	1	25	-2	3
3	2	10	3	-4
4	2	5	-8	11

Hence  $gcd(130, 95) = 5 = -8 \cdot 130 + 11 \cdot 95$ 

## 1.6 Proposition: gcd(a,b) exists and is the smallest positive integer in the set $M = \{ma + nb | m, n \in \mathbb{Z}\}$

**Theorem 1.** d = gcd(a, b) is of the form sa + tb

证明. We may assume  $0 \le a \le b$ 

For a = 0,  $d = b = 0 \cdot a + 1 \cdot b$ .

For a > 0, let  $b = q \cdot a + r$  with  $0 \le r < a \le b$ . Then

$$\{sa+tb: s,t \in \mathbb{Z}\} = \{sa+t(q \cdot a + r): s,t \in \mathbb{Z}\} = \{tr+ua: t,u \in \mathbb{Z}\}$$

$$= \dots \{x \cdot 0 + y \cdot d: x,y \in \mathbb{Z}\} = \{\dots, -2d, -d, 0, d, 2d, \dots\}$$

**Proposition 4** (第二种表示,第二种证明).  $\forall a,b \in \mathbb{Z}$ , not both 0, gcd(a,b) exists and is the smallest positive integer in the set  $M = \{ma + nb | m, n \in \mathbb{Z}\}$ . i.e.  $\exists m_0, n_0 \in \mathbb{Z}$  s.t.  $gcd(a,b) = m_0a + n_0b$ .

延明. Let c be the smallest positive integer in the set  $M = \{ma + nb | m, n \in \mathbb{Z}\}$ .  $c = m_0 a + n_0 b > 0$ . Let  $d = ma + nb \in M$ , d = qc + r where  $0 \le r < c$  (by Euclidean Algorithm).

$$r = d - qc = (m - qm_0)a + (n - qn_0)b \in M$$

Since c is the smallest integer in M and  $r \in [0, c)$ , so r = 0.  $\Rightarrow d = qc$ . So c|d.  $a = 1a + 0b \in M \Rightarrow c|a$ ,  $b = 0a + 1b \in M \Rightarrow c|b$ . If t|a, t|b then  $t|m_0a + n_0b$  i.e.  $t|c. \Rightarrow c = gcd(a, b)$ .

#### 1.7 Well-Ordering Principle (Least Integer Axiom)

There is a smallest integer in every nonempty subset S of the natural numbers  $\mathbb{N} = \{0, 1, 2, ...\}$ 

#### **1.8** Proposition 1.4.10: gcd(b,c), $b|ac \Rightarrow b|a$

**Proposition 5** (Proposition 1.4.10). Suppose  $a, b, c \in \mathbb{Z}$ . If b, c are relatively prime i.e. gcd(b, c) = 1 and b|ac, then b|a.

证明.  $gcd(b,c)=1\Rightarrow \exists m,n\in\mathbb{Z} \text{ s.t. } 1=mb+nc\Rightarrow a=amb+anc. \text{ Since } b|nac,b|amb\Rightarrow b|a.$ 

#### **1.8.1** Corollary: $p|ab \Rightarrow p|a$ or p|b

Corollary 1 (Corollary of Prop 1.4.10).  $a, b, p \in \mathbb{Z}, p > 1$  prime. If p|ab, then p|a or p|b.

证明. If p|b, done. Otherwise, gcd(p,b)=1. By Prop 1.4.10, p|a.

## 1.9 Fundamental Theorem of Arithmetic: Any integer $a \ge 2$ has a unique prime factorization

#### 1.9.1 Existence

**Lemma 1.** Any integer  $a \geq 2$  is either a prime or a product of primes.

证明. Set  $S \subset \mathbb{N}$  be the set of all n without the given property.

Assume that S in nonempty and m is the least element in S.

Since m is not a prime, it can be written as m = ab with 1 < a, b < m. Since m is the least element in  $S, a, b \notin S$ . Then m is a product of primes. Contradiction. Thus,  $S = \emptyset$ .

#### 1.9.2 Uniqueness

**Theorem 2** (Fundamental Theorem of Arithmetic).

Any integer a > 1 has a unique prime factorization:  $a = p_1^{k_1} \cdot p_2^{k_2} \cdot ... p_n^{k_n}$  where  $p_i > 1$  is prime,  $k_i \in \mathbb{Z}_+, \forall i = 1, ..., n, p_i \neq p_j, \forall i \neq j$ .

证明.

a) Existence: (Previous Lemma)

b) Uniqueness:

1) Method 1:

Suppose  $a = p_1^{n_1} \cdot p_2^{n_2} \cdot ... p_k^{n_k} = q_1^{r_1} \cdot q_2^{r_2} \cdot ... q_j^{r_j}$ . Where  $p_1 > p_2 > ... > p_k, q_1 > q_2 > ... > q_j, n_i, r_i \ge 1$ .

 $p_1|a \Rightarrow \exists q_i \text{ s.t. } p_1|q_i. \text{ Similarly, } \exists q_i \text{ s.t. } q_1|p_{i'}.$ 

$$q_1 \le p_{i'} \le p_1 \le q_i \Rightarrow q_1 = p_{i'} = p_1 = q_i$$

We can also know  $n_1 = r_1$ , otherwise we would have two prime factorization of the quotient where the largest primes are different by dividing  $p_1^{\min\{n_1,r_1\}}$ .

Then we can get  $b=p_2^{n_2}\cdot...p_k^{n_k}=q_2^{r_2}\cdot...q_j^{r_j}$ . Then prove it by induction.

2) Method 2:

Suppose  $a = p_1 \cdot p_2 \cdot ... p_k = q_1 \cdot q_2 \cdot ... q_t$ . For a  $p_i$ , there must exist a  $q_j$  s.t.  $p_i = q_j$ :

Assume that  $p_i \neq q_t$ ,  $gcd(p_i, q_t) = 1$ . Then  $\exists a, b$  such that  $1 = ap_i + bq_t$ . Multiplying both sides by  $q_1 \cdot q_2 \cdot ... \cdot q_{t-1}$ :

$$q_1 \cdot q_2 \cdot ... q_{t-1} = ap_i q_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t$$

Since  $p_i|q_1 \cdot q_2 \cdot ...q_t$ , we can conclude that  $p_i|(ap_iq_1 \cdot q_2 \cdot ...q_{t-1} + bq_1 \cdot q_2 \cdot ...q_t)$ 

i.e. 
$$p_i|q_1 \cdot q_2 \cdot ... q_{t-1}$$
 if  $p_i \neq q_t$ 

Then prove by induction.

2 Modular arithmetic

2.1 Congruences

**2.1.1** Congruent modulo m:  $a \equiv b \mod m$ 

Given  $m \in \mathbb{Z}_+$ , define a relation on  $\mathbb{Z}$ : congruence modulo m

$$a \equiv b \mod m$$
, if  $m | (a - b)$ 

Read as "a is congruent to b mod n"; Notation:  $a \equiv b \mod m$ .

Equivalent to: a, b have the same remainder after division by m.

## **2.1.2** Proposition: For fixed $m \geq 2$ , the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

**Proposition 6** (Proposition 1.5.1). For fixed  $m \geq 2$ , the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

证明.

- 1) Reflexive:  $\forall a \in \mathbb{Z}, m | 0 = (a a), \text{ so } a \equiv a \mod m \text{ i.e. } a \sim a.$
- 2) Symmetric:  $\forall a, b \in \mathbb{Z}, \ a \equiv b \mod m$ , then  $m|(a-b) \Rightarrow m|(b-a) \Rightarrow b \equiv a \mod m$ . i.e.  $a \sim b \Rightarrow b \sim a$ .
- 3) <u>Transitive</u>:  $\forall a, b, c \in \mathbb{Z}$ ,  $a \equiv b \mod m$ ,  $b \equiv c \mod m$ . Then  $m|(a-b), m|(b-c) \Rightarrow m|(a-b) + (b-c) = (a-c) \Rightarrow a \equiv c \mod m$ .

2.1.3 Theorem: the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets  $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$ 

**Theorem 3.** the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets  $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$ 

证明. Prove any  $a \in \mathbb{Z}$  belongs to a unique  $\Omega_i$ .

- a) Existence: Division Algorithm  $\Rightarrow a = qm + r, 0 \le r < m. \ a \in \Omega_r.$
- b) Uniqueness: Assume a in two sets,  $a \in \Omega_r \cap \Omega_{r^1}$ ,  $0 \le r^1 < r < m$ . Then m|a-r and  $m|a-r^1 \Rightarrow m|r-r^1$ , which is impossible because  $0 < r-r^1 < m$ . Contradiction.

#### 2.1.4 Proposition: Addition and Mutiplication of Congruences

**Proposition 7.** Fix integer  $m \geq 2$ . If  $a \equiv r \mod m$  and  $b \equiv s \mod m$ , then  $a + b \equiv r + s \mod m$  and  $ab \equiv rs \mod m$ 

证明.

- a) Addition:  $m|(a-r), m|(b-s) \Rightarrow m|(a-c) + (b-d) \Rightarrow m|(a+b) (c-d)$ .
- b) Mutiplication:  $m|(a-r)b+r(b-s) \Rightarrow m|ab-rs$ .

#### 2.2 Solving Linear Equations on Modular m

#### **2.2.1** Theorm: unique solution of $aX \equiv b \mod m$ if gcd(a, m) = 1

**Theorem 4.** If gcd(a, m) = 1, then  $\forall b \in \mathbb{Z}$  the congruence  $aX \equiv b \mod m$  has a unique solution. 证明.

1) Existence: Since  $gcd(a, m) = 1, \exists s, t \text{ such that}$ 

$$1 = sa + tm$$

$$(\text{Version 1})$$

$$(\text{Mutiplying } X)$$

$$X = saX + tmX$$

$$aX \equiv b \mod m \Leftrightarrow aX = km + b$$

$$\Leftrightarrow X \equiv sb \mod m$$

$$(\text{Version 2})$$

$$(\text{Mutiplying } s)$$

$$saX \equiv sb \mod m$$

$$(1 - tm)X \equiv sb \mod m$$

$$X \equiv sb \mod m$$

 $X \equiv sb \mod m$  is the solution to  $aX \equiv b \mod m$ .

2) Uniqueness: Assume x, y are two solutions,

$$ax \equiv b \mod$$
,  $ay \equiv b \mod m \Rightarrow a(x-y) \equiv 0 \mod m$ 

Since 
$$gcd(a, m) = 1$$
,  $m|(x - y) \Rightarrow x = y$ ,  $(x, y \in \{0, 1, ..., m - 1\})$ 

Example 2. Solve  $3X \equiv 5 \mod 11$ .

$$gcd(3,11) = 1, 1 = 4 * 3 - 1 * 11,$$

$$X \equiv 9$$

2.3 Congruence Classes:  $[a]_n = \{a + kn | k \in \mathbb{Z}\}$ 

将给定 n,相同余数的数分为一组

Fix  $n \in \mathbb{Z}_+$ , we call  $[a]_n = [a]$  the **congruence class** of a modulo n.

$$[a] = \{b \in \mathbb{Z} | b \equiv a \mod n\} = \{a + kn | k \in \mathbb{Z}\}\$$

### **2.3.1** Set of congruence classes of mod n: $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\} = \{[0], [1], ..., [n-1]\}$

The set of congruence classes of mod n is denoted  $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\}$ 

**Proposition 8** (Proposition 1.5.2.). For any  $n \ge 1$  there are exactly n congruences classes modulo n, which we may write as

$$\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$$

证明.

For any  $a \in \mathbb{Z}$ . By Euclidean algorithm, a = qn + r,  $q, r \in \mathbb{Z}$ ,  $0 \le r < n \Rightarrow a \in [r]$ . So,  $\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$ .

When  $0 \le a < b \le n-1$ ,  $n \nmid (b-a)$ , so  $[a] \ne [b]$  the *n* congruence classes listed are all distinct. Hence, there are exactly *n* congruence classes.

#### 2.3.2 Proposition 1.5.5: Addition and Multiplication on Congruence Classes

Fix  $n \in \mathbb{Z}$ , we define addition+ and multiplication on  $\mathbb{Z}_n$ :

$$[a] + [b] = [a+b] = \{a+b+(k+j)n|k, j \in \mathbb{Z}\}$$
$$[a] \cdot [b] = [ab] = \{ab+(aj+bk+kjn)n|k, j \in \mathbb{Z}\}$$

This is well defined, follows Lemma 1.5.3.

**Proposition 9** (Proposition 1.5.5.). Let  $a, b, c, d, n \in \mathbb{Z}, n \geq 1$ , then

- (i) Addition and multiplication are commutative and associative operations in  $\mathbb{Z}_n$ .
- (ii) [a] + [0] = [a].
- (iii) [-a] + [a] = [0].
- (iv) [1][a] = [a].
- (v) [a]([b] + [c]) = [a][b] + [a][c].

证明.

#### 2.3.3 Units(i.e. invertible) in Congruence Classes

将与 n 互质的数分为一组

Say  $[a] \in \mathbb{Z}_n$  is a **unit** or is **invertible** if  $\exists [b] \in \mathbb{Z}_n$  so that [a][b] = [1].

**2.3.4** Proposition 1.5.6: Set of units in congruence classes:  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ 

The set of **invertible** elements in  $\mathbb{Z}_n$  will be denoted  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\}.$ 

**Proposition 10** (Proposition 1.5.6.). For all  $n \ge 1$ , we have  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ .

证明.

By Proposition 1.4.8, we know there exists b, c s.t. ab + cn = 1. So,  $ab \equiv 1 \mod n$ , [1] = [ab] = [a][b]. So,  $\{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\} \subset \mathbb{Z}_n^{\times}$ 

[a] is a unit 
$$\Rightarrow \exists [b] \in \mathbb{Z}_n$$
 so that  $[a][b] = [ab] = [1] \Rightarrow ab = 1 + kn, k \in \mathbb{Z} \Rightarrow ab - kn = 1, k \in \mathbb{Z} \Rightarrow gcd(a, n) = 1$ . So,  $\mathbb{Z}_n^{\times} \subset \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ .

Note 1. Inverse of [a] is unique, i.e.  $[b] = [a]^{-1}$  is unique.

$$[a][b] = 1, [a][b'] = 1 \Rightarrow [b] = [b][1] = [b][a][b'] = [b']$$

### **2.3.5** Corollary 1.5.7: if p is prime, $\varphi(p) = \mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}$

**Corollary 2** (Corollary 1.5.7). *If*  $p \ge 2$  *is prime*,  $\mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}.$ 

### **2.4** Euler phi-function: $\varphi(n) = |\mathbb{Z}_n^{\times}|$

Euler phi-function:  $\varphi(n) = |\mathbb{Z}_n^{\times}|$ .

p prime,  $\varphi(p) = p - 1$ .

#### **2.4.1** $m|n, \pi_{m,n}([a]_n) = [a]_m$

**Example 3** (Exercise 1.5.4). If m|n, we can define  $\pi_{m,n}: \mathbb{Z}_n \to \mathbb{Z}_m$  by  $\pi_{m,n}([a]_n) = [a]_m$ . Prove it is well-defined.

证明.

We write  $[a]_n = [c]_n$ , verify that  $[a]_m = [c]_m$ .

Since m|n, there exists  $k \in \mathbb{Z}$  s.t. n = km.

$$[a]_n = [c]_n \Rightarrow \exists j \in \mathbb{Z} \text{ s.t. } c = a + jn.$$

$$[c]_m = [a+jn]_m = [a+jkm]_m = [a]_m$$

## **2.5** Theorem 1.5.8(Chinese Remainder Theorem): $n = mk, gcd(m, k) = 1, F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$

**Theorem 5** (Theorem 1.5.8(Chinese Remainder Theorem)). If m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F : \mathbb{Z}_n \to \mathbb{Z}_m \times \mathbb{Z}_k$  which is given by  $F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$ , then F is a bijection.

证明.

- (1)Injective:  $F([a]_n) = F([b]_n) \Rightarrow [a]_m = [b]_m, [a]_k = [b]_k$  i.e.  $a \equiv b \mod m, a \equiv b \mod n$ .  $\exists i, j \in \mathbb{Z}$  s.t.  $b = a + im = a + jk \Rightarrow k|im$ . Since gcd(m, k) = 1,  $k|i \Rightarrow n = mk|im$ . Then  $[b]_n = [a]_n + [im]_n = [a]_n$ .
- (2) Surjective: prove  $\forall u, v \in \mathbb{Z}, \exists a \mathbb{Z} \text{ s.t. } [a]_m = [u]_m, [a]_k = [v]_k.$

Since gcd(m, k) = 1,  $\exists s, t \in \mathbb{Z}$  so that 1 = sm + tk.

Let 
$$a = (1 - tk)u + (1 - sm)v$$
,  $[a]_m = [(u - v)sm + v]_m = [v]_m$ ,  $[a]_k = [(v - u)tk + u]_k = [u]_k$ .

Note 2. 
$$F([a]_n[b]_n) = F([ab]_n) = ([ab]_m, [ab]_k) = ([a]_m[b]_m, [a]_k[b]_k)$$

Since F is a bijection,  $[ab]_n = [1]_n$  iff  $([a]_m[b]_m, [a]_k[b]_k) = ([1]_m, [1]_k)$ .

**2.5.1** Proposition 1.5.9+Corollary 1.5.10: m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$ , then  $\varphi(n) = \varphi(m)\varphi(k)$ 

**Proposition 11** (Proposition 1.5.9+Corollary 1.5.10). If m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$ , then  $\varphi(n) = \varphi(m)\varphi(k)$ .

**2.6** prime factorization:  $n = p_1^{r_1}...p_k^{r_k}$ , then  $\varphi(n) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$ 

**Proposition 12.** If  $n \in \mathbb{Z}$  is positive integre with prime factorization  $n = p_1^{r_1}...p_k^{r_k}$ , then  $\varphi(n) = (p_1 - 1)p_1^{r_1-1}...(p_k - 1)p_k^{r_k-1}$ 

证明.

 $\mathbb{Z}_{p^r} = \{[0], [1], ..., [p^r - 1]\},$  the number of multiples of p is  $\frac{p^r}{p} = p^{r-1}$ . Then  $\varphi(p^r) = |\mathbb{Z}_{p^r}^{\times}| = p^r - p^{r-1} = (p-1)p^{r-1}$ . So,

$$\varphi(n) = \varphi(p_1^{r_1})...\varphi(p_k^{r_k}) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$$

## 3 Complex numbers

 $\mathbb{C} = \{a + bi | a, b \in \mathbb{R}\}, \ \mathbb{R} = \{a + 0i | a \in \mathbb{R}\} \subset \mathbb{C}$ 

Addition & multiplication

$$(a+bi) + (c+di) = (a+c) + (b+d)i$$
$$(a+bi)(c+di) = ac + bci + adi + bdi^{2}$$
$$= (ac - bd) + (bc + ad)i$$

Complex conjugation:  $z = a + bi, \bar{z} = a - bi, \overline{zw} = \bar{z}\bar{w}$ 

Absolute value:  $|z| = \sqrt{a^2 + b^2}$ ,  $|z|^2 = z\bar{z}$ 

Additive inverse: -z = -a - bi

<u>Multiplicative inverse</u>:  $z^{-1} = \frac{1}{z} = \frac{1}{a+bi} = \frac{a-bi}{a^2+b^2} = \frac{\bar{z}}{|z|^2}$ 

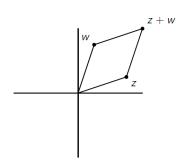
$$z \in \mathbb{C}, \overline{z + \overline{z}} = \overline{z} + \overline{\overline{z}} = z + \overline{z}$$

Real part: 
$$Re(z) = \frac{z + \bar{z}}{2}$$

Imaginary part:  $Im(z) = \frac{z - \bar{z}}{2i}$ 

### 3.1 Geometric Meaning of Addition and Multiplication

Addition: parallelogram law



#### Multiplication:

$$z = a + bi \neq 0$$

$$= r \cos \theta + r \sin \theta i$$

$$= r(\cos \theta + i \sin \theta)$$

$$|z|^2 = a^2 + b^2 = r^2$$

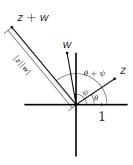
$$z = r(\cos \theta + i \sin \theta)$$

$$w = s(\cos \phi + i \sin \phi)$$

$$zw = rs[\cos \theta \cos \phi - \sin \theta \sin \phi + i(\cos \theta \sin \phi + \cos \phi \sin \theta)]$$

$$= rs[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$

$$= |z||w|[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$



We will write,

$$\cos \theta + i \sin \theta = e^{i\theta}$$
$$e^{i\theta}e^{i\phi} = e^{i(\theta + \phi)}$$
$$z = |z|e^{i\theta}$$

**3.2** Theorem **2.1.1:**  $f(x) = a_0 + a_1 x + ... + a_n x^n$  with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$ . Then f has a <u>root</u> in  $\mathbb{C}$ :  $\exists \alpha \in \mathbb{C}$  s.t.  $f(\alpha) = 0$ 

**Theorem 6** (Theorem 2.1.1). Supose a nonconstant polynomial  $f(x) = a_0 + a_1x + ... + a_nx^n$  with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$ . Then f has a <u>root</u> in  $\mathbb{C}$ :  $\exists \alpha \in \mathbb{C}$  s.t.  $f(\alpha) = 0$ .

**3.2.1** Corollary 2.1.2:  $f(x) = a_n \prod_{i=1}^n (x - k_i) = a_n (x - k_1)(x - k_2)...(x - k_n)$ , where  $k_1, k_2, ..., k_n$  are roots of f(x)

**Corollary 3** (Corollary 2.1.2). Every nonconstant polynomial with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$  can be factored as  $f(x) = a_n \prod_{i=1}^n (x - k_i) = a_n (x - k_1)(x - k_2)...(x - k_n)$ , where  $k_1, k_2, ..., k_n$  are roots of f(x).

3.2.2 Corollary 2.1.3:  $a_i \in \mathbb{R}$ , f can be expresses as a product of linear and quadratic polynomials

**Corollary 4** (Corollary 2.1.3). If  $f(x) = a_0 + a_1 x + ... + a_n x^n$  is a nonconstant polynomial  $a_0, a_1, ..., a_n \in \mathbb{R}$ ,  $a_n \neq 0$ . Then f can be expresses as a product of linear and quadratic polynomials.

这里  $a_0, a_1, ..., a_n$  是实数!

证明.

- (1)Obviously, the corollary holds at n = 1 and n = 2.
- (2) Suppose the corollary holds for all situations that n < k.

When n = k,  $f(x) = a_0 + a_1 x + ... + a_k x^k$ ,  $a_k \neq 0$ .

By F.T.A., f has a root  $\alpha$  in  $\mathbb{C}$ .

If  $\alpha \in \mathbb{R}$ , long division  $f(x) = q(x)(x - \alpha)$ . q has real coefficients, degree of q = k - 1. Since the corollary holds at n = k - 1, q(x) is a product of linear and quadratics. Then, the corollary also holds at n = k.

If  $\alpha \notin \mathbb{R}$ 

$$0 = f(\alpha) = a_0 + a_1 \alpha + \dots + a_k \alpha^k$$
$$0 = \overline{f(\alpha)} = a_0 + a_1 \overline{\alpha} + \dots + a_n \overline{\alpha}^n = f(\overline{\alpha})$$

Since  $\bar{\alpha} \neq \alpha$ ,  $(x - \alpha)(x - \bar{\alpha})|f$ .

 $(x - \alpha)(x - \bar{\alpha}) = x^2 - (\alpha + \bar{\alpha})x + |\alpha|^2$  is a polynomial with coefficients in  $\mathbb{R}$ . So  $f(x) = q(x)(x^2 - (\alpha + \bar{\alpha})x + |\alpha|^2)$ , q has real coefficients with degree k - 2. The corollary also holds at n = k - 2, q(x) is a product of linear and quadratics. Then, the corollary also holds at n = k.

# 4 Field $(\mathbb{F}, +, \cdot)$ (close, associative, commutative, distributive (M over A), identity & inverse (M,A))

**<u>Definition</u>**: A field is a nonempty set  $\mathbb{F}$  with two operations:

- 1. addition, written  $a + b, \forall a, b \in \mathbb{F}$ ;
- 2. multiplication, written  $a \cdot b = ab, \forall a, b \in \mathbb{F}$ .

such that:

- (i) addition and multiplication are associative and commutative
- (ii) multiplication distributes over addition:  $a(b+c) = ab + ac, \forall a, b, c \in \mathbb{F}$
- (iii)  $\exists$  an additive identity  $0 \in \mathbb{F}$  s.t.  $0 + a = a, \forall a \in \mathbb{F}$ .
- (iv) $\forall a \in \mathbb{F}, \exists$  an additive inverse -a s.t.  $a + (-a) = 0, \forall a \in \mathbb{F}.$
- (v)  $\exists$  a multiplicative identity:  $1 \in \mathbb{F}$  s.t.  $1a = a, \forall a \in \mathbb{F}, 1 \neq 0$ .
- (vi)  $\forall a \in \mathbb{F}, a \neq 0, a$  has a multiplicative inverse  $a^{-1} = \frac{1}{a} \in \mathbb{F} : a \cdot \frac{1}{a} = 1.$

**Proposition 13** (Proposition 2.2.2).  $\mathbb{F}$  a field,  $a, b \in \mathbb{F}$ , then

- (i) If a + b = b then a = 0
- (ii) If ab = b and  $b \neq 0$ , then a = 1
- (iii) 0a = 0
- (iv) If a + b = 0, then b = -a
- (v) If  $a \neq 0$  and ab = 1, then  $b = a^{-1}$

**Example 4.**  $\mathbb{Z}_4$  is not a field. Because  $[2]_4$  doesn't have multiplicative inverse in  $\mathbb{Z}_4$ .

**4.1** Subfield  $(\mathbb{K}, +, \cdot)$ :  $\mathbb{K} \subseteq \mathbb{F}$ , closed under  $+, \cdot$  and inverse

**Definition**:Suppose  $\mathbb{F}$  is a field and  $\mathbb{K} \subseteq \mathbb{F}$  s.t.

$$0.1 \in \mathbb{K}$$

$$\forall a, b \in \mathbb{K}, a+b, ab, -a, a^{-1} (if \ a \neq 0) \in \mathbb{K}$$

We call  $\mathbb{K}$  a <u>subfield</u> of  $\mathbb{F}$ .

Example 5.  $\mathbb{Q} \subseteq \mathbb{R}, \mathbb{R} \subseteq \mathbb{C}, \mathbb{Q} \subseteq \mathbb{C}$ 

**Example 6.**  $\mathbb{K} \subseteq \mathbb{Z}_p$  a subfield  $\Rightarrow \mathbb{K} = \mathbb{Z}_p$ . Prove by induction.

#### 4.1.1 Proposition 2.2.3: Subfield 继承 operations 自成一 field

**Proposition 14** (Proposition 2.2.3). Suppose  $\mathbb{K} \subset \mathbb{F}$  is a subfield of a field  $\mathbb{F}$  Then the operations of  $\mathbb{F}$  make  $\mathbb{K}$  into a field.

⇒We can prove a set is a field by proving it is a subfield of a known field.

### 5 Polynomials

Let  $\mathbb{F}$  be any field. A polynomial over  $\mathbb{F}$  in variable x is a formal sum:

$$a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = \sum_{i=0}^n a_i x^i$$

where  $n \geq 0$  is an integer,  $a_1, a_1, ..., a_n \in \mathbb{F}$ .

Polynomial is a squence  $\{a_k\}_{k=0}^{\infty}$  with  $a_m = 0, \forall m > n$ .

#### 5.1 $\mathbb{F}[x]$ : Polynomial ring 在一个 field 上形成的所有多项式 (方程) 的集合

Let  $\mathbb{F}[x]$  denote the set of all polynomials with coefficients in the field  $\mathbb{F}$ .

$$\mathbb{F}[x] = \{ \sum_{i=0}^{n} a_i x^i | n \ge 0, n \in \mathbb{Z}, a_0, ..., a_n \in \mathbb{F} \}$$

We call the  $\mathbb{F}[x]$  polynomial ring over the field  $\mathbb{F}$ .

$$f = \sum_{i=0}^{n} a_i x^i, g = \sum_{j=0}^{n} a_j x^j \in \mathbb{F}[x]$$

$$f + g = \sum_{i=0}^{n} (a_i + b_i)x^i \in \mathbb{F}[x]$$

$$fg(\sum_{i=0}^{n} a_i x^i)(\sum_{j=0}^{n} a_j x^j) = \sum_{i=0}^{2n} (\sum_{j=0}^{i} a_j b_{i-j}) x^i$$

## 5.1.1 Proposition 2.3.2: Polynomial ring (close, associative, commutative, distributive(M over A), identity(M,A), inverse(only A))

**Proposition 15** (Proposition 2.3.2). Suppose  $\mathbb{F}$  is any field. Then,

- (i) Addition and multiplication are commutative & associative operations on  $\mathbb{F}[x]$
- (ii) Multiplication distributes over addition
- (iii)  $0 \in \mathbb{F}$ , is additive identity in  $F[x] : \forall f \in \mathbb{F}[x], f + 0 = 0$
- (iv)  $\forall f \in \mathbb{F}[x], f = (-1)f$  is the additive inverse: f + (-1)f = 0.
- (v)  $1 \in \mathbb{F}$ , is the multiplicative identity in  $\mathbb{F}[x]$ : 1f = f,  $\forall f \in \mathbb{F}[x]$

#### **5.2** Degree of a Polynomial: deg(f)

 $f = \sum_{i=0}^{n} a_i x^i$ , deg(f) = degree of f is,

$$deg(f) = \begin{cases} 0 & \text{if } f \text{ is constant, } f \neq 0 \\ n & \text{if } a_n \neq 0 \text{ in above } (a_n = \text{leading coefficient}) \\ -\infty & \text{if } f = 0 \end{cases}$$

Define 
$$-\infty + a = a + (-\infty) = -\infty \ \forall a \in \mathbb{Z} \cup \{-\infty\}$$

#### **5.2.1** Lemma 2.3.3: $deg(fg) = deg(f) + deg(g), deg(f+g) \le \max\{deg(f), deg(g)\}\$

**Lemma 2** (Lemma 2.3.3). For any field  $\mathbb{F}$  and f,  $g \in \mathbb{F}[x]$ ,

$$deg(fg) = deg(f) + deg(g)$$
$$deg(f+g) \le \max\{deg(f), deg(g)\}$$

#### **5.3** Corollary 2.3.5: Unit(invertible) in $\mathbb{F}[x]$ : constant $\neq 0$ iff deg(f) = 0

**Corollary 5** (Corollary 2.3.5). For any field  $\mathbb{F}$  and  $f \in \mathbb{F}[x]$ , Then f is a <u>unit</u>(i.e. invertible) in  $\mathbb{F}[x]$  iff deg(f) = 0.

证明.

Obviously,  $deg(f) = 0 \Rightarrow f$  is a unit.

Suppose f is a unit, i.e.  $\exists g \in \mathbb{F}[x]$  s.t. fg = 1.

$$0 = deg(fg) = deg(f) + deg(g) \Rightarrow deg(f), deg(g) \ge 0 \Rightarrow deg(f) = 0, deg(g) = 0.$$

## 5.4 <u>Irreducible</u> Polynomials: "无法分解为两个 degree ≥ 1 的多项式积"的多项式: 至少一个是 constant (i.e. degree = 0)

A nonconstant polynomial f is <u>irreducible</u> if f = uv,  $u, v \in \mathbb{F}[x]$ , then either u or v is a unit(i.e., constant  $\neq 0$ )

#### 5.5 Theorem 2.3.6: nonconstant polynomials 可以被唯一地分解

**Theorem 7** (Theorem 2.3.6). Suppose  $\mathbb{F}$  is a field and  $f \in \mathbb{F}[x]$  is any nonconstant. Then  $f = ap_1p_2 \dots p_k$  where  $a \in \mathbb{F}$ ,  $p_1, \dots p_k \in \mathbb{F}[x]$  are irreducible monic polynomials (monic = i.e. leading coeff. 1). If  $f = bq_1q_2 \dots q_r$  with  $b \in \mathbb{F}$  and  $q_1, q_2, \dots, q_r \in \mathbb{F}[x]$  monic irreducible, then a = b, k = r, and after reindexing  $p_i = q_i$ ,  $\forall i$ 

**Lemma 3** (Lemma 2.3.7). Suppose  $\mathbb{F}$  is a field and  $f \in \mathbb{F}[x]$  is nonconstant monic polynomial. Then  $f = p_1 p_2 \dots p_k$  where each  $p_i$  is monic irreducible.

证明.

Prove it by induction. When deg(f) = 1, f = uv,  $u, v \in \mathbb{F}[x]$ ,  $deg(f) = deg(u) + deg(v) \Rightarrow$  one of these is 0.

Suppose the lemma holds for all degree < n. When deg(f) = n,

Either f is irreducible, done.

Suppose 
$$f = uv$$
 with  $deg(u), deg(v) \ge 1$ 

$$\Rightarrow deg(u), deg(v) < n \Rightarrow u = p_1 p_2 \dots p_k, v = q_1 q_2 \dots q_j \text{ So, } f = p_1 p_2 \dots p_k q_1 q_2 \dots q_j.$$

Example 7.  $x^2 - 1 \in \mathbb{Q}[x]$  reducible

$$x-1, x+1 \in \mathbb{Q}[x]$$
 irreducible

 $x^2 + 1 \in \mathbb{Q}[x]$  irreducible

$$x^2 + 1 \in \mathbb{C}[x]$$
 reducible 
$$x^2 - 1 = x^2 + 1 = [1]x^2 + [1] \in \mathbb{Z}_2[x]$$
 reducible

#### 5.6 Divisibility of Polynomials

 $f, g \in \mathbb{F}[x], f \neq 0, f \text{ divides } g, f | g \text{ means } \exists u \in \mathbb{F}[x] \text{ s.t. } g = fu.$ 

**Proposition 16** (Proposition 2.3.8).  $f, h, g \in \mathbb{F}[x]$ , then

- (i) If  $f \neq 0, f | 0$
- (ii) If f|1, f is nonzero constant
- (iii) If f|g and g|f, then f = cg for some  $c \in \mathbb{F}$
- (iv) If f|g and g|h, then f|h
- (v) If f|g and f|h, then f|(ug+vh) for all  $u,v \in \mathbb{F}[x]$ .

## 5.6.1 Greatest common divisor of f and g: is not unique, we denote monic Greatest common divisor as gcd(f,g)

If  $f, g \in \mathbb{F}[x]$  are nonzero polynomials, a greatest common divisor of f and g is a polynomial  $h \in \mathbb{F}[x]$  such that

- (i) h|f and h|g, and
- (ii) if  $k \in \mathbb{F}[x]$  and k|f and k|g, then k|h.

the gcd is not unique, but the monic gcd is unique. We call it **the monic greatest common divisor**, denote it gcd(f,g).

Example 8.

$$x^{2} - 1, x^{2} - 2x + 1 \in \mathbb{Q}[x]$$
$$(x - 1)(x + 1), (x - 1)^{2} \in \mathbb{Q}[x]$$
$$x - 1 = acd(x^{2} - 1, x^{2} - 2x + 1)$$

#### 5.6.2 Proposition 2.3.9: Euclidean Algorithm of polynomials

**Proposition 17** (Proposition 2.3.9). Given  $f, g \in \mathbb{F}[x]$ ,  $g \neq 0$ , then  $\exists q, r \in \mathbb{F}[x]$  s.t. deg(r) < deg(g) and f = qg + r

Example 9.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$
  
$$f = 3g + x^2 - 3x + 2$$

#### 5.6.3 Proposition 2.3.10: gcd(f,g) 是 degree 最小的 f,g 的线性组合

**Proposition 18** (Proposition 2.3.10). Any 2 nonzero polynomials  $f, g \in \mathbb{F}[x]$  have a gcd in  $\mathbb{F}[x]$ . In fact among all polynomials in the set  $M = \{uf + vg | u, v \in \mathbb{F}[x]\}$  any nonconstant of minimal degree are gcds.

证明.

 $h \in M$ , deg(h) = d minimal. Let k|f and  $k|g \Rightarrow k|uf + vg$ ,  $\forall u, v \Rightarrow k|h$ . Suppose  $h' \in M$  is any nonzero element.  $deg(h') \ge deg(h) \Rightarrow \exists q, r \in \mathbb{F}, deg(r) < deg(h) \ h' = qh + r$ .  $r = h' - qh \in M$ . Since deg(h) = d is nonconstant minimal degree,  $r = 0 \Rightarrow h' = qh$ . So

 $\exists q_1, q_2 \in \mathbb{F}[x], \ 1f + 0g = q_1h, 0f + 1g = q_2h \Rightarrow h|g, h|f.$ 

#### Example 10.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$

$$f = 3g + x^2 - 3x + 2$$

$$g = (x+1)(x^2 - 3x + 2) + x - 1$$

$$x^2 - 3x + 2 = (x-2)(x-1)$$

$$\Rightarrow gcd(f,g) = x - 1$$

$$x - 1 = g - (x+1)(x^2 - 3x + 2) = g - (x+1)(f - 3g) = (3x+4)g - (x+1)f$$

**Example 11.** Find a greatest common divisor of  $f = x^3 - x^2 - x + 1$  and  $g = x^2 - 3x + 2$  in  $\mathbb{Q}[x]$ , and express it in form uf + vg,  $u, v \in \mathbb{Q}[x]$ .

$$f = (x+2)g + 3x - 3$$
$$g = \frac{1}{3}(x-2)(3x-3)$$
$$gcd(f,g) = 3x - 3$$
$$3x - 3 = f - (x+2)g$$

### **5.6.4** Proposition 2.3.12: $gcd(f,g) = 1, f|gh \Rightarrow f|h$

**Proposition 19** (Proposition 2.3.12). If  $f, g, h \in \mathbb{F}[x]$ , gcd(f, g) = 1, and f|gh, then f|h.

#### **5.6.5** Corollary **2.3.13**: irreducible f, $f|gh \Rightarrow f|g$ or f|h

**Corollary 6** (Corollary 2.3.13). If  $f \in \mathbb{F}[x]$  is irreducible, and f|gh, then f|g or f|h.

Since f is irreducible, we have two possible situations:

- 1. gcd(f,g) = f, i.e. f|g done.
- 2. gcd(f,g) = 1, then according to Prop 2.3.12, we can know f|h.

#### 5.7 Roots

Root: $\alpha \in \mathbb{F}$  is a root of f if  $f(\alpha) = 0$ .

5.7.1 Corollary 2.3.16(of Euclidean Algorithm): f 可被分为  $(x - \alpha)q + f(\alpha)$ i.e. if  $\alpha$  is a root, then  $(x - \alpha)|f$ 

**Corollary 7** (Corollary 2.3.16(of Euclidean Algorithm)).  $\forall f \in \mathbb{F}[x]$  and  $\alpha \in \mathbb{F}$ , there exists a polynomial  $q \in \mathbb{F}[x]$  s.t.  $f = (x - \alpha)q + f(\alpha)$ . In particular, if  $\alpha$  is a root, then  $(x - \alpha)|f$ .

#### 5.8 Multiplicity

If  $\alpha$  is a root of f, say its multiplicity is m, if  $x - \alpha$  appears m times in irreducible factorization.

#### 5.8.1 Sum of multiplicity $\leq deg(f)$

**Proposition 20** (Proposition 2.3.17). Given a nonconstant polynomial  $f \in \mathbb{F}[x]$ , the number of roots of f, counted with multiplicity, is at most deg(f).

#### 5.9 Roots in a filed may not in its subfield

Note if  $\mathbb{F} \subset \mathbb{K}$ , then  $\mathbb{F}[x] \subset \mathbb{K}$ .  $f \in \mathbb{F}[x]$  may have no roots in  $\mathbb{F}$ , but could have roots in  $\mathbb{K}$ 

**Example 12.**  $x^n - 1 \in \mathbb{Q}[x]$  has a root in  $\mathbb{Q}$ : 1; has 2 roots if n even:  $\pm 1$  roots in  $\mathbb{C}$ :  $\zeta_n = e^{\frac{2\pi i}{n}}$ , then  $\zeta_n^n = e^{2\pi i} = 1$ ;  $(\zeta_n^k)^n = e^{2\pi ki} = 1$  So, the roots:  $\{e^{\frac{2\pi ki}{n}}|k=0,...,n-1\}$  The roots of  $x^n - d$ :  $\{e^{\frac{2\pi ki}{n}}\sqrt{d}|k=0,...,n-1\}$ 

### 6 Linear Algebra

#### 6.1 Vector Space $(V, +, \times)$ (over a field $\mathbb{F}$ )

A vector space over a field  $\mathbb{F}$  is a set V w/ an operation addition  $+: V \times V \to V$  and an operation scalar multiplication  $\mathbb{F} \times V \to V$ 

- (1) Addition is associative & commutative
- (2)  $\exists 0 \in V$ , additive identity:  $0 + v = v \forall v \in V$
- (3)  $1v = v \forall v \in V \text{ (where } 1 \in \mathbb{F} \text{ is multi. id. in } \mathbb{F} \text{ )}$
- (4)  $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ \alpha(\beta v) = (\alpha \beta)v$
- (5)  $\forall v \in V$ , (-1)v = -v we have v + (-v) = 0
- (6)  $\forall \alpha \in \mathbb{F}, \ v, u \in V, \ \alpha(v+u) = \alpha v + \alpha u$
- (7)  $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ (\alpha + \beta)v = \alpha v + \beta v$

#### 6.1.1 A field is a vector space over its subfield

**Example 13.**  $\mathbb{K} \subset \mathbb{F}$  is a subfield of a field  $\mathbb{F}$ . Then  $\mathbb{F}$  is a vector space over  $\mathbb{K}$ . (Since  $\mathbb{F} \subset \mathbb{F}[x]$ , then  $\mathbb{F}[x]$  is a vector space over  $\mathbb{F}$ .)

#### 6.1.2 Vector subspace

Suppose that V is a vector space over  $\mathbb{F}$ . A vector subspace or just subspace is a nonempty subset  $W \subset V$  closed under addition and scalar multiplication. i.e.  $v + w \in W$ ,  $av \in W$ ,  $\forall v, w \in W$ ,  $a \in \mathbb{F}$ .

**Example 14.**  $\mathbb{K} \subset \mathbb{L} \subset \mathbb{F}$ , then  $\mathbb{L}$  is a subspace of  $\mathbb{F}$  over  $\mathbb{K}$ .

#### 6.2 Linear independent, Linear combination

#### 6.3 span V, basis, dimension, Proposition 2.4.10

A set of elements  $v_1, ..., v_n \in V$  is said to **span** V if every vector  $v \in V$  can be expressed as a linear combination of  $v_1, ..., v_n$ . If  $v_1, ..., v_n$  spans and is linearly independent, then we call the set a **basis** for V.

**Proposition 21** (Proposition 2.4.10.). Suppose V is a vector space over a field  $\mathbb{F}$  having a basis  $\{v_1, ..., v_n\}$  with  $n \geq 1$ .

- (i) For all  $v \in V$ ,  $v = a_1v_1 + ... + a_nv_n$  for exactly one  $(a_1, ..., a_n) \in \mathbb{F}^n$ .
- (ii) If  $w_1, ..., w_n$  span V , then they are linearly independent.
- (iii) If  $w_1, ..., w_n$  are linearly independent, then they span V.

If a vector space V over  $\mathbb{F}$  has a basis with n vectors, then V is said to be n-dimensional (over  $\mathbb{F}$ ) or is said to have **dimension** n.

#### 6.3.1 Standard basis vectors

$$e_1 = (1, 0, ..., 0), e_2 = (0, 1, 0, ..., 0), ..., e_n = (0, 0, ..., 0, 1) \in \mathbb{F}^n$$

are a basis for  $\mathbb{F}^n$  called the **standard basis vectors**.

#### 6.4 Linear transformation

Given two vector spaces V and W over  $\mathbb{F}$  a linear transformation is a function  $T:V\to W$  such that for all  $a\in\mathbb{F}$  and  $v,w\in V$ , we have

$$T(av) = aT(v)$$
 and  $T(v + w) = T(v) + T(w)$ 

**Proposition 22** (Proposition 2.4.15.). If V and W are vector spaces and  $v_1, ..., v_n$  is a basis for V then any function from  $\{v_1, ..., v_n\} \to W$  extends uniquely to a linear transformation  $V \to W$ .

Any  $v \in V$ ,  $\exists (a_1, ..., a_n)$  s.t.  $v = a_1v_1 + ... + a_nv_n$ . Then  $T(v) = T(a_1v_1 + ... + a_nv_n) = a_1T(v_1) + ... + a_nT(v_n)$ 

#### 6.4.1 Corollary 2.4.16: 一个线性变换对应一个矩阵 bijection $\mathcal{L}(V,M) \to M_{m \times n}(\mathbb{F})$

**Corollary 8** (Corollary 2.4.16.). If  $v_1, ..., v_n$  is a basis for a vector space V and  $w_1, ..., w_n$  is a basis for a vector space W (both over  $\mathbb{F}$ ), then any linear transformation  $T: V \to W$  determines (and is determined by) the  $m \times n$  matrix:

$$A = A(T) = \begin{bmatrix} A_{11} & A_{12} & \dots & A_{1n} \\ A_{21} & A_{22} & \dots & A_{2n} \\ \vdots & \vdots & \dots & \vdots \\ A_{m1} & A_{m2} & \dots & A_{mn} \end{bmatrix}$$

$$\begin{bmatrix} w_1 & \cdots & w_m \end{bmatrix}^T = A \quad \begin{bmatrix} v_1 & \cdots & v_n \end{bmatrix}^T$$

 $\mathcal{L}(V, M)$  denotes the set of all linear transformations from V to W;  $M_{m \times n}(\mathbb{F})$  the set of  $m \times n$  matrix with entries in  $\mathbb{F}$ .  $T \to A(T)$  defines a bijection  $\mathcal{L}(V, M) \to M_{m \times n}(\mathbb{F})$ . A(T) represents the linear transformation T.

#### 6.4.2 Proposition 2.4.19: 线性变换矩阵相乘仍为线性变换矩阵

**Proposition 23** (Proposition 2.4.19). Suppose that V, W, and U are vector spaces over  $\mathbb{F}$ , with fixed chosen bases. If  $T: V \to W$  and  $S: W \to U$  are linear transformations represented by matrices A = A(T) and B = B(S), then  $ST = S \circ T: V \to U$  is a linear transformation represented by the matrix BA = B(S)A(T).

#### 6.5 GL(V): invertible(bijective) linear transformations $V \to V$

Given a vector space V over F, we let  $GL(V) \subset \mathcal{L}(V,V)$  denote the subset of **invertible linear** transformations.

$$GL(V) = \{T \in \mathcal{L}(V, V) | T \text{ is a bijection}\} = \mathcal{L}(V, V) \cap Sym(V)$$

#### 7 Euclidean geometry basics

#### 7.1 Euclidean distance, inner product

Euclidean distance on  $\mathbb{R}^n$ :

$$|x-y| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

Euclidean inner product:

$$x \cdot y = x_1 y_1 + \dots + x_n y_n = x^T y$$

#### 7.2 Isometry of $\mathbb{R}^n$ : a bijection $\mathbb{R}^n \to \mathbb{R}^n$ preserves distance

An **isometry** of  $\mathbb{R}^n$  is a bijection  $\Phi: \mathbb{R}^n \to \mathbb{R}^n$  that preserves distance, which means,

$$|\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n$$

#### 7.2.1 $Isom(\mathbb{R}^n)$ : set of all isometries of $\mathbb{R}^n$

We use  $Isom(\mathbb{R}^n)$  denotes the set of all isometries of  $\mathbb{R}^n$ ,

$$Isom(\mathbb{R}^n) = \{ \Phi : \mathbb{R}^n \to \mathbb{R}^n | |\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n \}$$

#### 7.2.2 $Isom(\mathbb{R}^n)$ is closed under $\circ$ and inverse

**Proposition 24.**  $\Phi, \Psi \in Isom(\mathbb{R}^n)$ , then  $\Phi \circ \Psi, \Phi^{-1} \in Isom(\mathbb{R}^n)$ 

证明.

Since  $\Phi, \Psi$  are bijections, so is  $\Phi \circ \Psi$ . Moreover,

$$|\varPhi\circ\varPsi(x)-\varPhi\circ\varPsi(y)|=|\varPhi(\varPsi(x))-\varPhi(\varPsi(y))|=|\varPsi(x)-\varPsi(y)|=|x-y|$$

Since  $id \in Isom(\mathbb{R}^n)$ ,

$$|x - y| = |id(x) - id(y)| = |\Phi \circ \Phi^{-1}(x) - \Phi \circ \Phi^{-1}(y)| = |\Phi^{-1}(x) - \Phi^{-1}(y)|$$

### 7.3 $A \in GL(n, \mathbb{R}), T_A(v) = Av: A^tA = I \Leftrightarrow T_A \in Isom(\mathbb{R}^n)$

There is a matrix  $A \in GL(n, \mathbb{R})$  i.e. a invertible linear transffrmations  $T_A : \mathbb{R}^n \to \mathbb{R}^n$  is given by  $T_A(v) = Av$ .

$$T_A(v) \cdot T_A(w) = (Av) \cdot (Aw) = (Av)^t (Aw) = v^t A^t A w$$
$$A^t A = I \Leftrightarrow T_A(v) \cdot T_A(w) = v \cdot w \Leftrightarrow_{(HW4)} T_A \in Isom(\mathbb{R}^n)$$

### 7.4 Linear isometries i.e. orthogonal group $O(n) = \{A \in GL(n, \mathbb{R}) | A^t A = I\}$

We define the all isometries in invertible linear transfrrmations  $\mathbb{R}^n \to \mathbb{R}^n$  as **orthogonal group** 

$$O(n) = \{ A \in GL(n, \mathbb{R}) | A^t A = I \} \subset GL(n, \mathbb{R})$$

## **7.4.1** Special orthogonal group $SO(n) = \{A \in O(n) | det(A) = 1\}$ : orthogonal group with det(A) = 1

O(n) are the matrices representing linear isometries of  $\mathbb{R}^n$ .  $1 = det(I) = det(A^tA) = det(A^t)det(A) = det(A)^2 \Rightarrow det(A) = 1$  or det(A) = -1. We use **special orthogonal group** represents A with det(A) = 1,

$$SO(n) = \{ A \in O(n) | det(A) = 1 \}$$

#### 7.5 translation: $\tau_v(x) = x + v$

Define a translation by  $v \in \mathbb{R}^n$ ,

$$\tau_v : \mathbb{R}^n \to \mathbb{R}^n, \ \tau_v(x) = x + v$$

#### 7.5.1 translation is an isometry

Note 3 (Exercise 2.5.3).  $\forall v \in \mathbb{R}^n, \tau_v \text{ is an isometry.}$ 

证明. 
$$|\tau_v(x) - \tau_v(y)| = |(x+v) - (y+v)| = |x-y|$$

## 7.6 The composition of a translation and an orthogonal transformation is an isometry $\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$

Since the composition of isometries is an isometry,  $\forall A \in O(n)$  and  $v \in \mathbb{R}^n$ , the composition

$$\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$$

is an isometry. which could account for all isometries.

7.6.1 Theorem 2.5.3: All isometries can be represented by a composition of a translation and an orthogonal transformation,  $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$ 

**Theorem 8** (Theorem 2.5.3).  $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$ 

# 8 Group (G,\*): a set with an operation (associative, identity, inverse)

A group is a nonempty set G with an operation  $*: G \times G \to G$  s.t. the following holds

- (1) \* is associative
- (2)  $\exists e \in G$  an identity element  $e * g = g * e = g \ \forall g \in G$
- (3)  $\forall g \in G, \exists g^{-1} \in G \text{ s.t. } g * g^{-1} = g^{-1} * g = e$

#### 8.1 $(Sym(X), \circ)$ symmetric/permutation group of X

**Example 15.** If X is any nonempty set, permutation group of  $X : \{\sigma : X \to X | \sigma \text{ is a bijection}\}$ , then

- 1.  $\circ$  is associative;
- 2.  $id: X \to X$ ,  $id(x) = x \ \forall x \in X$  is the idenity;
- 3.  $\sigma \in Sym(X), \sigma^{-1} \in Sym(X)$  is the inverse function.

 $(Sym(X), \circ)$  is a group called the symmetric group of X

#### 8.2 Order of a group (G, \*)

|G| = Order of a group (G, \*)

#### 8.3 Abelian group (group that \* satisfies commutative)

 $(\mathbb{Z},+)$  is a group and + is commutative, we call this kind of groups(statify commutative) *abelian* group.

**Example 16.** If  $\mathbb{F}$  is a field, then  $(\mathbb{F}, +)$  and  $(\mathbb{F}^{\times}, \cdot)$  are abelian group.

**Example 17.** If V is a vector space over  $\mathbb{F}$ , then (V, +) abelian group.

As we know a V is a vector space over  $\mathbb{F}$  means V is a field whose subfields include  $\mathbb{F}$ .

## 8.4 *H*: Subgroup of (G, \*) $(H \neq \emptyset \subset G \text{ closed under } *; \text{ inverse } g^{-1} \in H)$ , written as H < G

 $H \neq \emptyset \subset G$  is a subgroup of (G, \*) if,

1.  $\forall g, h \in H, g * h \in H$ .

2.  $\forall g \in H, g^{-1} \in H$ .

write H < G if H is a subgroup of (G, \*).

## 8.4.1 Proposition 2.6.8: H < G, (H,\*) is a group: A group's operation with its any subgroup is also a group

**Proposition 25** (Proposition 2.6.8). If (G,\*) is a group,  $H \subset G$  is a subgroup, then (H,\*) is a group.

**Example 18.** (G, \*) is a group, then e < G, G < G.

**Example 19.**  $\mathbb{K} \subset \mathbb{F}$  is a subfield, then  $\mathbb{K} < \mathbb{F}$ ,  $\mathbb{K}^{\times} < \mathbb{F}^{\times}$ .

**Example 20.**  $W \subset V$  is a vector subspace, W < V.

**Example 21.**  $1 \in S^1 \subset \mathbb{C}^{\times}$ ,  $S^1 = \{z \in \mathbb{C} | |z| = 1\}$ .  $S^1$  is a subgroup.

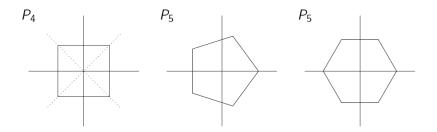
证明.

$$S^1 = \{e^{i\theta} | \theta \in \mathbb{R}\}.$$
 For any  $e^{i\theta}$ ,  $e^{i\psi} \in S^1$ ,  $e^{i\theta}e^{i\psi} = e^{i(\theta+\psi)} \in S^1$ ,  $e^{-i\theta} \in S^1$ .

Example 22.  $Isom(\mathbb{R}^n) < Sym(\mathbb{R}^n)$ 

**Example 23.** If  $\mathbb{F}$  is a field,  $Aut(\mathbb{F}) = \{ \sigma : \mathbb{F} \to \mathbb{F} \in Sym(\mathbb{F}) | \sigma(a+b) = \sigma(a) + \sigma(b), \sigma(ab) = \sigma(a)\sigma(b) \} < Sym(\mathbb{F})$ 

Example 24. Dihedral Groups:



保留多边形

Let  $P_n \subset \mathbb{R}^2$  be a regular n - gon

 $D_n < Isom(\mathbb{R}^2), D_n = \{\Phi \in Isom(\mathbb{R}^2) | \Phi(P_n) = P_n\}$ 

# 9 Ring $(R, +, \cdot)$ : + is associative, commutative, identity, inverse $\in R$ ; $\cdot$ is associative, distributes over +

**Definition 1.** A ring is a nonempty set with two operations, called addition and multiplication,  $(R, +, \cdot)$  such that

- (1): (R, +) is an ablian group: i.e. + is associative and commutative.  $0, -a \in R$
- (2):  $\cdot$  is associative.
- (3): distributes over +:  $\forall a, b, c \in R, a \cdot (b+c) = a \cdot b + a \cdot c \text{ and } (b+c) \cdot a = b \cdot a + c \cdot a$

#### 9.1 Commutative ring: ring's · is commutative

If " $\cdot$ " is commutative, we call  $(R, +, \cdot)$  a commutative ring.

#### 9.2 Ring with 1: exists multiplication identity $1 \in R$

If there exists an element  $1 \in R \setminus \{0\}$  such that a1 = 1a = a,  $\forall a \in R$ , then we say that R is a ring with 1.

### 9.3 Field $\mathbb{F}$ is a commutative ring with 1; $\mathbb{F}[x]$ is also a commutative ring with 1

Field  $(\mathbb{F}, +, \cdot)$  (close, associative, commutative, distributive(M over A), identity & inverse(M,A)) Proposition 2.3.2: Polynomial ring (close, associative, commutative, distributive(M over A), identity(M,A), inverse(only A))

#### 9.4 $S \subset R$ : Subring (closed under + and ·; addictive inverse $-a \in S$ )

#### **9.4.1** Proposition 2.6.27: $(S, +, \cdot)$ is a ring

**Proposition 26** (Proposition 2.6.27). If  $S \subset R$  is a subring, then  $+, \cdot$  make S into a ring.

#### 10 Group theory

#### 10.1 Properties of Group Operation

**10.1.1** Proposition 3.1.1: g \* h = h or h \* g = h, then g = e; g \* h = e then  $g = h^{-1}$  and  $h = g^{-1}$ 

**Proposition 27** (Proposition 3.1.1). Let (G,\*) be a group with identity  $e \in G$ , then

- (1) if  $g, h \in G$  and either g \* h = h or h \* g = h, then g = e
- (2) if  $g, h \in G$  and g \* h = e then  $g = h^{-1}$  and  $h = g^{-1}$

**10.1.2** Corollary 3.1.: 
$$e^{-1} = e$$
,  $(g^{-1})^{-1} = g$ ,  $(g * h)^{-1} = h^{-1} * g^{-1}$ 

Corollary 9 (Corollary 3.1.2).  $e^{-1} = e$ ,  $(g^{-1})^{-1} = g$ ,  $(g * h)^{-1} = h^{-1} * g^{-1}$ 

**10.1.3** Proposition 3.1.3: g \* h = k \* h or h \* g = h \* k, then g = k

**Proposition 28** (Proposition 3.1.3). If g \* h = k \* h or h \* g = h \* k, then g = k.

10.1.4 Proposition 3.1.4: g \* x = h and x \* g = h have unique solutions  $x \in G$ .

**Proposition 29** (Proposition 3.1.4). g \* x = h and x \* g = h have unique solutions  $x \in G$ .

#### 10.2 Power of an Element

We define  $g^n$  recursively for  $n \ge 0$  by setting  $g^0 = e$  and for  $n \ge 1$ , we set  $g^n = g^{n-1} * g$ . For  $n \le 0$ , we define  $g^n = (g^{-1})^{-n}$ .

**10.2.1** Proposition 3.1.5:  $g^n * g^m = g^{n+m}, (g^n)^m = g^{nm}$ 

**Proposition 30** (Proposition 3.1.5). (1)  $q^n * q^m = q^{n+m}$ ; (2)  $(q^n)^m = q^{nm}$ 

10.3  $(G \times H, \circledast)$ : Direct Product of G and H

(G,\*) a group (H,\*) a group. Define an operation on  $G \times H$ ,  $\circledast$ :

$$(h,k) \circledast (h',k') = (h*h',k*k')$$

#### 10.3.1 Proposition 3.1.7: $(G \times H, \circledast)$ is a group

**Proposition 31** (Proposition 3.1.7).  $(G \times H, \circledast)$  is a group. The identity is  $(e_G, e_H)$ , inverse is  $(g^{-1}, h^{-1})$ 

usually written as

$$(h,k)(h',k') = (hh',kk')$$

#### 10.4 Subgroups and cyclic groups

#### 10.4.1 Proposition 3.2.2: Intersection of a Collection of Subgroups is a group

**Proposition 32** (Proposition 3.2.2). Let G be a group and suppose  $\mathcal{H}$  is any collection of subgroups of G. Then  $K = \bigcap_{H \in \mathcal{H}} H < G$  is a subgroup of G.

#### **10.4.2** Subgroup Generated by $A: \langle A \rangle = \cap_{H < G; A \subset H} H$

We define **Subgroup Generated by** A:

$$\langle A \rangle = \cap_{H \in \mathcal{H}(A)} H$$

where  $\mathcal{H}(A)$  is the set of all subgroups of G containing the set A:

$$\mathcal{H}(A) = \{ H < G | A \subset H \text{ and } H \text{ is a subgroup of } G \}$$

#### 10.4.3 Cyclic Subgroup generated by $a: \langle a \rangle = \cap_{H < G; a \in H} H$ (G is cyclic if exists $g, \langle g \rangle = G$ )

If  $A = \{a\}$ , then  $\langle a \rangle (= \langle \{a\} \rangle) =$  the <u>cyclic subgroup</u> generated by aSay G is cyclic if  $\exists g \in G$ , s.t.  $G = \langle g \rangle$ ; g is called a generator for G in this case.

#### **10.4.4** Proposition 3.2.3: $\langle g \rangle = \{g^n | n \in \mathbb{Z}\}$

**Proposition 33** (Proposition 3.2.3). Let G be a group,  $g \in G$ . Then

$$\langle g \rangle = \{ g^n | n \in \mathbb{Z} \}$$

#### 10.4.5 Corollary 3.2.4: G is a cyclic group $\Rightarrow$ G is abelian

**Corollary 10** (Corollary 3.2.4). If G is a cyclic group (i.e. exits  $g \in G$  s.t.  $\langle g \rangle = G$ ), then G is abelian (i.e. commutative).

#### 10.4.6 Equivalent properties of order of g: $|g| = |\langle g \rangle| < \infty$

**Proposition 34** (Proposition 3.2.6). Let G be a group for  $g \in G$ , the following are equivalent:

- (i)  $|g| < \infty$
- (ii)  $\exists n \neq m \text{ in } \mathbb{Z} \text{ so that } g^n = g^m$
- (iii)  $\exists n \in \mathbb{Z}, \ n \neq 0 \text{ so that } g^n = e$
- (iv)  $\exists n \in \mathbb{Z}_+$ so that  $g^n = e$

If  $|g| < \infty$ , then  $|g| = \text{smallest } n \in \mathbb{Z}_+$  so that  $g^n = e$ , and  $\langle g \rangle = \{e, g, g^2, \dots, g^{n-1}\} = \{g^n \mid n = 0, \dots, n-1\}$ 

10.4.7 ( $\mathbb{Z}$ , +) Theorem 3.2.9:  $H < \mathbb{Z}$  is a subgroup  $\Rightarrow H = \{0\}$  or  $H = \langle d \rangle$ ;  $\langle a \rangle < \langle b \rangle$  if and only if b|a

**Theorem 9** (Theorem 3.2.9). If  $H < \mathbb{Z}$  is a subgroup, then either  $H = \{0\}$ , or else  $H = \langle d \rangle$ , where

$$d = \min\{h \in H | h > 0\}$$

Consequently,  $a \to \langle a \rangle$  defines a **bijection** from  $N = \{0, 1, 2, ...\}$  to the set of subgroups of  $\mathbb{Z}$ . Furthermore, for  $a, b \in \mathbb{Z}_+$ , we have  $\langle a \rangle < \langle b \rangle$  if and only if b | a.

10.4.8  $(\mathbb{Z}_n, +)$  Theorem 3.2.10:  $H < \mathbb{Z}_n$  is a subgroup  $\Rightarrow H = \langle [d] \rangle$ ;  $\langle [d] \rangle < \langle [d'] \rangle$  if and only if d'|d

**Theorem 10** (Theorem 3.2.10). For any  $n \geq 2$ , if  $H < \mathbb{Z}_n$  is a subgroup, then there is a positive divisor d of n so that

$$H = \langle [d] \rangle$$

Furthermore, this defines a bijection between divisors of H and subgroups of  $\mathbb{Z}_n$ . Furthermore, if d, d' > 0 are two divisors of n, then  $\langle [d] \rangle < \langle [d'] \rangle$  if and only if d'|d.

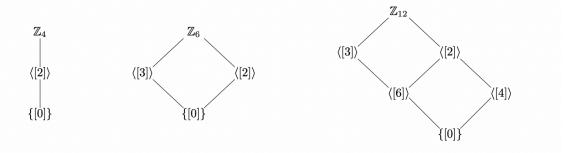
If  $H = \langle [d] \rangle$  is a subgroup of H, then  $[n] \in H$ , so d|n. And  $|H| = |\langle [d] \rangle| = \frac{n}{d}$ , so |H||d

#### 10.4.9 Subgroup Lattice

The set of all subgroups of a group of G, together with the data of which subgroups contain which others is called the **subgroup lattice**. We often picture the subgroup lattice in a diagram with the entire group at the top, the trivial subgroup  $\{e\}$  at the bottom, and the intermediate subgroups in the middle, with lines drawn from subgroups up to larger groups.

## 参考文献

[1] Christopher J Leininger Introduction to Abstract Algebra (Draft) 2017.



Writing down the subgroup lattice is as easy as writing down the divisibility lattice in which n is placed at the bottom, 1 at the top, and all intermediate divisors in between, connected by edges when there is divisibility. The congruence class of the divisor generates the corresponding subgroup in the subgroup lattice.

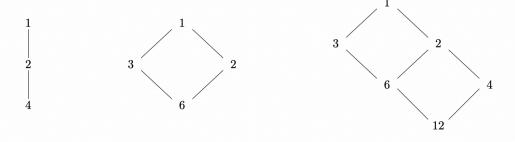


图 1: