# MATH~417

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## 1 Function and Set

#### 1.1 Function

$$A \times B = \{(a, b) | a \in A, b \in B\}.$$

<u>Function</u> is a rule  $\sigma$  that assigns an element B to every element of A.

$$\sigma: A \to B$$

$$\forall a \in A, \sigma(a) \in B.$$

$$\sigma(a) = value \ of \ \sigma \ at \ a. \ (the image \ of \ a)$$

A set  $C \subset B$ , we call  $\sigma^{-1}(C) = \{a \in A | \sigma(a) \in C\}$  as the <u>preimage</u> of a.

An element  $b \in B$ , we call  $\sigma^{-1}(b) = \{a \in A | \sigma(a) = b\}$  as the fiber of b.

A is the domain of  $\sigma$ , B is the range of  $\sigma$ .

### 1.1.1 Composition of functions

$$\sigma: A \to B, \tau: B \to C$$
. The function  $\tau \circ \sigma: A \to C$  is  $\forall a \in A, (\tau \circ \sigma)(a) = \tau(\sigma(a))$ 

## 1.1.2 Proposition 1.1.3: Associativity of Functions

**Proposition 1** (Proposition 1.1.3).  $\sigma: A \to B, \tau: B \to C, \rho: C \to D$  functions then,

$$\rho \circ (\tau \circ \sigma) = (\rho \circ \tau) \circ \sigma$$

## 1.1.3 Injective, surjective, bijective

A function  $\sigma: A \to B$  is called,

1. Injective (1 to 1)

$$\forall a_1, a_2 \in A, \sigma(a_1) = \sigma(a_2) \Rightarrow a_1 = a_2$$

2. Surjective (onto)

$$\forall b \in B, \exists a \in A, s.t. \sigma(a) = b$$

3. Bijective (if injective and surjective)

## 1.1.4 Lemma 1.1.7: 两个 injective/surjective/bijective 的方程的 composition 保留性质

**Lemma 1** (Lemma 1.1.7). Suppose  $\sigma: A \to B, \tau: B \to C$  are functions,

If  $\sigma, \tau$  are injective, then  $\tau \circ \sigma$  is injective.

If  $\sigma, \tau$  are surjective, then  $\tau \circ \sigma$  is surjective.

If  $\sigma, \tau$  are bijective, then  $\tau \circ \sigma$  is bijective.

### 1.1.5 Proposition 1.1.8: Inverse of Function

**Proposition 2** (Proposition 1.1.8). A function  $\sigma: A \to B$  is a bijection if  $\exists a \text{ function } \tau: B \to A \text{ such that }$ 

$$\sigma \circ \tau = id_B = identity \ on \ B(id_B(x) = x, \forall x \in B)$$
  
$$\tau \circ \sigma = id_A$$

Such  $\tau$  is unique, called inverse of  $\sigma$ ,  $\tau = \sigma^{-1}$ .

### 1.2 Set

#### 1.2.1 Well Defined Set

**Definition 1.** A set S is well defined if an object a is either  $a \in S$  or  $a \notin S$ .

#### 1.2.2 Power Set

**Definition 2.** For any set A, we denote by  $\mathcal{P}(A)$  the collection of all subsets of A.  $\mathcal{P}(A)$  is the **power set** of A.

#### 1.2.3 Cardinalities of Sets, Pigeonhole Principle

**Definition 3.** If A is a set, |A| = cardinality of A = # of elements

 $n\in\mathbb{N}, |\{1,\dots n\}|=n;\, |\emptyset|=0 (\emptyset=\text{ empty set }).$ 

|A| = |B| if there is a bijection  $\sigma : A \to B$ .

If there is an injection  $\sigma: A \to B$ , we can write  $|A| \leq |B|$ ;

If there is a surjection  $\sigma: A \to B$ , we can write  $|A| \ge |B|$ .

**Theorem 1** (Pigeonhole Principle). If A and B are sets and |A| > |B|, then there is no injective function  $\sigma: A \to B$ .

#### 1.2.4 $B^A$ : Sets of Function

If A, B are sets, then  $B^A = \{ \sigma : A \to B | \sigma \text{ a function} \}.$ 

**Example 1.**  $n \in \mathbb{Z}$ , we define a function  $f: B^{\{1,\dots,n\}} \to B^n (= B \times B \times B \times \dots \times B)$  by the equation  $f(\sigma) = \{\sigma(1), \dots, \sigma(n)\}$ , where  $\sigma: \{1, \dots, n\} \to B$ . The f is a bijection.

证明.

 $1. \ \textit{Injective} :$ 

$$f(\sigma_1) = f(\sigma_2) \Rightarrow \{\sigma_1(1), ..., \sigma_1(n)\} = \{\sigma_2(1), ..., \sigma_2(n)\}$$
  
Since  $\sigma : \{1, ..., n\} \rightarrow B$ , it is sufficient to prove  $\sigma_1 = \sigma_2$ .

#### 2. Surjective:

$$\forall \{b_1,...,b_n\}, \text{ we have } \sigma^*(x) = b_x, x = 1,...,n. \text{ s.t. } f(\sigma^*) = \{b_1,...,b_n\}$$

## Example 2.

$$C(\mathbb{R}, \mathbb{R}) = \{continuous functions \ \sigma : \mathbb{R} \to \mathbb{R}\} \subset \mathbb{R}^{\mathbb{R}}$$

## 1.2.5 Operation definitions

**Definition 4.** A binary operation on a set A is a function  $*: A \times A \rightarrow A$ .

The operation is associative if  $a * (b * c) = (a * b) * c, \forall a, b, c \in A$ .

The operation is commutative if  $a * b = b * a, \forall a, b \in A$ .

**Example 3.**  $+, \circ$  are both associative and commutative operations on  $\mathbb{Z}, \mathbb{N}, \mathbb{Q}, \mathbb{R}$ ; - is a neither associative nor commutative operation on  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ , but not  $\mathbb{N}$ .

**Definition 5.** A subset  $H \subset S$  is <u>closed under \*</u> if  $a * b \in H$  for all  $a, b \in H$ .

**Definition 6.** \* has identity element  $e \in S$  if a \* e = e \* a = a for all  $s \in S$ .

## 2 Equivalence relations and Partition

### 2.1 Equivalence relations (理性等价的定义)

**理性的等价**需要满足: (1)Reflexive, (2)Symmetric, (3)Transitive. Given a set X, a relation on X is a subset of  $R \subset X \times X$ . We write  $a \sim b$ .

A relation  $\sim$  is said to be

- 1. Reflexive if  $\forall x \in X$ , we have  $x \sim x$ .
- 2. Symmetric if  $\forall x, y \in X, x \sim y \Rightarrow y \sim x$ .
- 3. Transitive if  $\forall x, y, z \in X, x \sim y, y \sim z \Rightarrow x \sim z$ .

The sim is called **equivalence relation** if it is reflexive, Symmetric and Transitive.

**Example 4.** Set  $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$ , satisfies  $(a,b) \sim (c,d)$  if ad = bc.

- 1. Reflexive:  $(a,b) \sim (a,b), \forall (a,b) \in \mathbb{Z}^2$ .
- 2. Symmetric:  $\forall (a,b), (c,d) \in \mathbb{Z}^2, (a,b) \sim (c,d) \Rightarrow (c,d) \sim (a,b).$
- 3. Transitive:  $\forall (a,b), (c,d), (u,v) \in \mathbb{Z}^2, (a,b) \sim (c,d), (c,d) \sim (u,v) \Rightarrow ad = bc, cv = du \Rightarrow acv = adu = bcu \Rightarrow av = bu \Rightarrow (a,b) \sim (u,v).$

So this is an equivalence relation.

**Example 5.**  $f: X \to Y$  is a function, define  $\sim_f$  on X by  $a \sim_f b$  if f(a) = f(b).

- 1. Reflexive:  $a \sim a, \forall a \in X$ .
- 2. Symmetric:  $a, b \in X, a \sim b \Rightarrow b \sim a$ .
- 3. Transitive:  $\forall a, b, c \in X, a \sim b, b \sim c \Rightarrow f(a) = f(b) = f(c) \Rightarrow a \sim c$ .

So  $\sim_f$  is an equivalence relation.

## 2.2 Partition (满足不重叠, 无剩余的 set 拆分结果)

X a set, a partition of X is a collection  $\omega$  of subsets of X s.t.

- 1)  $\forall A, B \in \omega$  either A = B or  $A \cap B = \emptyset$ .
- $2) \cup_{A \in \omega} A = X.$

The subsets are the **cells** of partition.

## 2.3 Equivalence class

## **2.3.1** [x]: equivalence class

Define the **equivalence class** of x to be the subset  $[x] \subset X$ :

$$[x] = \{ y \in X | y \sim x \}$$

Where  $\sim$  is an equivalence relation.

 $\sim$  is reflexive  $\Rightarrow x \in [x]$ . We say that any  $y \in [x]$  as a **representative** of the equivalence class.

## 2.3.2 $X/\sim$ : set of equivalence classes

Set of equivalence classes 是一个 **set** 被某种 *equivalence relation* 分类的结果 We write the set of equivalence classes

$$X/\sim = \{[x]|x \in X\}$$

## 2.4 Relationship of Equivalence relation, Set of equivalence classes and <u>Partitions</u>

给定 X, <u>Equivalence relation</u>  $\sim$  与<u>Set of equivalence classes</u>  $X/\sim$  具有相同的信息量;包含所有<u>Partitions</u> 的集合与包含所有<u>Set of equivalence classes</u> 的集合相同。

# 2.4.1 Theorem 1.2.7: Equivalence relation $\sim \Leftrightarrow$ Set of equivalence classes $X/\sim$ ; {all Sets of equivalence classes} = {all Partitions}

**Theorem 2** (Theorem 1.2.7).  $X/\sim$  is a partition of X. Conversely, given a partition  $\omega$  of X, there exists a unique equivalence relation  $\sim_{\omega}$  s.t.  $X/\sim_{\omega}=\omega$ .

(1) <u>Equivalence relation</u> ~ 生成一个对应的<u>Set of equivalence classes</u>  $X/\sim$ , 该  $X/\sim$  就是一个 Partition。(可以看作 1. 所有 Set of equivalence classes 都是 Partitions;  $2.\sim \Rightarrow X/\sim$  由方式推结果) (2) 反之,我们也可以根据已有的 Partition  $\omega$ ,将其作为一种分类方式  $\sim_{\omega}$  的 \_(i.e.  $X/\sim_{\omega}=\omega$ ) 这个对应的  $\sim_{\omega}$  存在且是唯一的。(可以看作 1. 所有 Partitions 都是 Set of equivalence classes;  $2.X/\sim \Rightarrow \sim$  由结果推方式)

证明.

 $(1)X/\sim$  is a partition of X:

$$\forall x, y \in X \text{ s.t. } [x] \cap [y] \neq \emptyset$$

$$Let \ z \in [x] \cap [y] \Rightarrow z \sim x, z \sim y$$

$$\forall w \in [x] \Rightarrow w \sim x \Rightarrow x \sim w \Rightarrow z \sim w \Rightarrow w \sim z \Rightarrow w \sim y \Rightarrow [x] \subset [y]$$

$$Similarly \ we \ can \ prove \ [y] \subset [x] \Rightarrow [x] = [y]$$

- (2) Given a partition  $\omega$  of X, there exists a unique equivalence relation  $\sim_{\omega}$  s.t.  $X/\sim_{\omega}=\omega$ :
- (2.1) Prove there exists an equivalence relation s.t.  $X/\sim_{\omega}=\omega$ :

We define a relation:  $x \sim_{\omega} y$  if there exists  $A \in \omega$  s.t.  $x, y \in A \Rightarrow \sim_{\omega}$  is symmetric and transitive. Since  $\bigcup_{A \in \omega} A = X$ , we know  $\forall x \in X, \exists A \in \omega$  s.t.  $x \in A \Rightarrow \sim_{\omega}$  is reflexive. So  $\sim_{\omega}$  is an equivalence relation

We know  $A = [x], \forall A \in \omega, \forall x \in A \text{ (by } \sim_{\omega}), \text{ then } X/\sim_{\omega} = \{[x]|x \in \cup_{A \in \omega} A\} = \{\{A^*|x \in A^*\}|A^* \in \omega\} = \omega.$ 

(2.2) Prove the equivalence relation is unique:

Set  $\sim$  be any equivalence relation that make  $X/\sim=\omega$ , then we know  $\forall A\in\omega, \exists x\in X$  s.t. [x]=A. According to the definition of [x], if  $x\in A, y\sim x$  if and only if  $y\in [x]=A$ . Which is exactly the  $\sim_{\omega}$ .

**Example 6** (the same as example 5).  $f: X \to Y$  is a function, define  $\sim_f$  on X by a  $\sim_f$  b if f(a) = f(b). In this example the **equivalence classes** are precisely the fibers  $[x] = f^{-1}(f(x))$ .  $y \sim_f x \Rightarrow y \in f^{-1}(f(x))$ 

**Example 7** (the same as example 4). Set  $X = \{(a,b) \in \mathbb{Z}^2 | b \neq 0\}$ , satisfies  $(a,b) \sim (c,d)$  if ad = bc. i.e. we write the equivalence of (a,b) as  $\frac{a}{b} = [(a,b)]$ . Then  $X/\sim = \mathbb{Q}$ .

2.4.2 Proposition 1.2.12: 根据结果  $X/\sim=\{[x]|x\in X\}$  推断的  $\sim_{\pi}$  equals to  $\sim$ .

**Proposition 3** (Proposition 1.2.12). If  $\sim$  is an equivalence relation on X, define a surjective function  $\pi: X \to X/\sim by \ \pi(x) = [x]$ . Then  $\sim_{\pi} = \sim$  (the definition of  $\sim_f$  in example 6.)

证明.

(1)Surjective:

 $X/\sim=\{[x]|x\in X\}=\{\pi(x)|x\in X\}, \text{ so } \forall y\in X/\sim,\ y\in\{\pi(x)|x\in X\}, \text{ there exists } x\in X \text{ s.t. } \pi(x)=y.$ 

 $(2)\sim_{\pi}=\sim$ 

 $a \sim_{\pi} b$  if  $\pi(a) = \pi(b) \Leftrightarrow [a] = [b]$ , which is exactly the definition of  $\sim$ .

逻辑:

- 1. Given  $\sim$ ;
- 2. Get the corresponding  $X/\sim = \{[x]|x\in X\};$
- 3.  $\pi(x) = [x];$
- 4.  $\sim_{\pi}$ :  $a \sim_{\pi} b$  iff  $\pi(a) = \pi(b)$
- 5.  $\sim_{\pi} = \sim$

根据结果  $X/\sim=\{[x]|x\in X\}$  推断的  $\sim_{\pi}$  equals to  $\sim$ .

# 2.4.3 Proposition 1.2.13: 给 X 标记 Y: f, 给 $X/\sim$ 标记 Y: $\tilde{f}$ ,; 两函数之间一一对应

**Proposition 4** (Proposition 1.2.13). Given any function  $f: X \to Y$  there exists a unique function  $\tilde{f}: X/\sim Y$  such that  $\tilde{f}\circ \pi = f$ , where  $\pi: X \to X/\sim$  in proposition 3. Furthermore,  $\tilde{f}$  is a bijection onto the image f(X).

证明.

(1) Existence:

We define  $x_1 \sim_f x_2$  if  $f(x_1) = f(x_2)$ . Set  $\tilde{f}: X/\sim_f \to Y$ ,  $\tilde{f}([x]) = f(x)$ . Then  $\tilde{f}[\pi(x)] = \tilde{f}([x]) = f(x)$ . Exactly what we require.

(2) Uniqueness:

Set any  $\tilde{f}'$  s.t.  $\tilde{f}' \circ \pi = f$ , then  $\tilde{f}'[\pi(x)] = \tilde{f}'([x]) = f(x)$ , i.e. the  $\tilde{f}$  is unique.

(3) Bijection:

Surjective, which we proved before  $\forall f, \exists \tilde{f} \text{ s.t.} \tilde{f} \circ \pi = f;$ 

Injective, we also have proved the uniqueness  $f = \tilde{f} \circ \pi = \tilde{f}' \circ \pi \Rightarrow \tilde{f}' = \tilde{f}$ .

# 3 Permutations 改变位置

**Definition 7.** Let X be a finite set, a permutation is bijection  $\sigma: X \to X$ .

**Definition 8.** Let  $S_X(Sym(X))$  be the set of all bijection  $\sigma: X \to X$ .

If |X| = n,  $|S_X| = n!$ .

3.1  $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\}$ : permutation group of X; elements in Sym(X): permutations of X

We set  $Sym(X) = \{\sigma : X \to X | \sigma \text{ is a bijection}\} \subset X^X$ . We call it **symmetric group of** X or **permutation group of** X. We call the elements in Sym(X) the **permutations of** X or the **symmetries of** X.

### **3.1.1** Properties of $\circ$ on Sym(X)

**Proposition 5** (Proposition 1.3.1.). For any nonempty set X,  $\circ$  is an operation on Sym(X) with the following properties:

- (i)  $\circ$  is associative.
- (ii)  $id_X \in Sym(X)$ , and for all  $\sigma \in Sym(X)$ ,  $id_X \circ \sigma = \sigma \circ id_X = \sigma$ , and
- (iii) For all  $\sigma \in Sym(X)$ ,  $\sigma^{-1} \in Sym(X)$ .

Permutations 类似于 rearrangement, 交换 X 中元素的排序。

## **3.1.2** $S_n$ : Permutation group on n elements, $\sigma^i$

Note 1. When  $X = \{1, ..., n\}, n \in \mathbb{Z}$ , write  $S_n = Sym(X)$  symmetric/permutation group on n elements.

Note 2.  $\sigma \in Sym(X)$ , write  $\sigma^n = \sigma \circ \sigma \circ ... \circ \sigma$ ,  $\sigma^0 = id_X$ ,  $\sigma^{-1} = inverse$ , r > 0,  $\sigma^{-r} = (\sigma^{-1})^r$ . So,  $r, s \in \mathbb{Z}$ ,  $\sigma^{r+s} = \sigma^r \circ \sigma^s = \sigma^s \circ \sigma^r$ .

#### 3.1.3 k-cycle, cyclically permute/fix

#### Example 8.



$$1 \stackrel{\sigma}{\mapsto} 2 \stackrel{\sigma}{\mapsto} 5 \stackrel{\sigma}{\mapsto} 1, \quad \tau_1$$

$$3 \stackrel{\sigma}{\mapsto} 4 \stackrel{\sigma}{\mapsto} 3, \quad \tau_2$$

图 1: Example of Cycle

In the example of Figure 1,  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 4 & 3 & 2 \end{pmatrix}$ ,  $\sigma = \tau_1 \circ \tau_2$ , where  $\tau_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 3 & 4 & 2 \end{pmatrix}$ ,

 $\tau_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 4 & 3 & 5 \end{pmatrix}$ .  $\tau_1$  is 3-cycle,  $\tau_2$  is 2-cycle. We could represent  $\tau_1 = (152) = (521) = (215)$ ,

We can find that  $\forall x \in \{1, 2, 3, 4, 5\}, \tau_1^3(x) = x, \tau_2^2(x) = x$ , so we write  $\tau_1$  as a **3-cycle** in  $S_5$ ,  $\tau_2$  as a

**2-cycle** in  $S_5$ .

Given  $k \geq 2$ , a **k-cycle** in  $S_n$  is a permutation  $\sigma$  with the property that  $\{1, ..., n\}$  is the union of two disjoint subsets,  $\{1, ..., n\} = Y \cup Z$  and  $Y \cap Z = \emptyset$ , such that

- 1.  $\sigma(x) = x$  for every  $x \in \mathbb{Z}$ , and
- 2. |Y| = k, and for any  $x \in Y, Y = {\sigma(x), \sigma^2(x), \sigma^3(x) ... \sigma^k(x) = x}$ .

We say that  $\sigma$  cyclically permutes the elements of Y and fixes the elements of Z.

 $\tau_1 = (1\ 2\ 5)$  cyclically permutes the elements of  $Y = \{1, 2, 5\}$  and fixes the elements of  $Z = \{3, 4\}$ .

 $\tau_2 = (3 \ 4)$  cyclically permutes the elements of  $Y = \{3,4\}$  and fixes the elements of  $Z = \{1,2,5\}$ .

## 3.2 Disjoint cycles

Since the sets are cyclically permuted by  $\tau_1, \tau_2$  (i.e. Y) are disjoint. We call the **disjoint cycle** notation  $\sigma = \tau_1 \circ \tau_2 = (1\ 2\ 5)(3\ 4)$ . (Commute the order is irrelevant)

### 3.2.1 Theorem: Every permutation is a union of disjoint cycles, uniquely.

Given  $\sigma \in S_n$ , there exists a unique (possibly empty) set of pairwise disjoint cycles.

**Theorem 3.** Let X be a finite set, the graph of permutation  $\sigma \in S_X$  is a union of disjoint cycle.

证明. Prove by induction:

If |X| = 1, the graph is circle:

For |X| > 1, let  $i_1 \in X$  and let  $\mathcal{O}(i_1) = \{\sigma^r(i_1), r \geq 0\} = \{i_1, \sigma(i_1), \sigma^2(i_1), ...\}$ .  $\mathcal{O}(i_1)$  is finite, and there is a smallest r s.t.  $\sigma^r(i_1) \in \{i_1, \sigma(i_1), \sigma^2(i_1), ..., \sigma^{r-1}(i_1)\}$ . Then  $\sigma^r(i_1) = i_1$  because other elements already have a pre-change under  $\sigma$ .

Then  $i_1 \to \sigma(i_1) \to \sigma^2(i_1) \to \cdots \to \sigma^{r-1}(i_1) \to i_1$  is a cycle of length r.

For  $j \notin \mathcal{O}(i_1)$ ,  $\sigma(j) \notin \mathcal{O}(i_1)$ ,  $\sigma^{-1}(j) \notin \mathcal{O}(i_1)$ . Let  $Y = X/\mathcal{O}(i_1)$  then  $\sigma: Y \to Y$  is a bijection. Then prove by induction.

**Example 9.**  $\sigma_1 = (1 \ 2 \ 6 \ 5)(3)(4)$ , can be written by  $\sigma_1 = (1 \ 2 \ 6 \ 5)$ ,  $\sigma_2 = (2 \ 3 \ 5 \ 4)$ 

$$\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 6 \ 5) \circ (2 \ 3 \ 5 \ 4)$$

$$1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2$$

$$2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3$$

$$3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1$$

$$4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4$$

$$5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5$$
Then  $\sigma_{1} \circ \sigma_{2} = (1 \ 2 \ 3) \circ (4 \ 6 \ 5)$ 

$$\sigma_{2} \circ \sigma_{1} = (2 \ 3 \ 5 \ 4) \circ (1 \ 2 \ 6 \ 5)$$

$$1 \xrightarrow{(1 \ 2 \ 6 \ 5)} 2 \xrightarrow{(2 \ 3 \ 5 \ 4)} 3$$

$$2 \xrightarrow{(1 \ 2 \ 6 \ 5)} 6 \xrightarrow{(2 \ 3 \ 5 \ 4)} 6$$

$$3 \xrightarrow{(1 \ 2 \ 6 \ 5)} 3 \xrightarrow{(2 \ 3 \ 5 \ 4)} 5$$

$$4 \xrightarrow{(1 \ 2 \ 6 \ 5)} 4 \xrightarrow{(2 \ 3 \ 5 \ 4)} 2$$

$$5 \xrightarrow{(1 \ 2 \ 6 \ 5)} 1 \xrightarrow{(2 \ 3 \ 5 \ 4)} 1$$

$$6 \xrightarrow{(1 \ 2 \ 6 \ 5)} 5 \xrightarrow{(2 \ 3 \ 5 \ 4)} 4$$

Then  $\sigma_2 \circ \sigma_1 = (1 \ 3 \ 5) \circ (2 \ 6 \ 4)$ 

Note:  $\sigma_1 \circ \sigma_2 \neq \sigma_2 \circ \sigma_1$ 

**Example 10** (Exercise 1.3.2.). Consider  $\sigma = (3\ 4\ 8)(5\ 7\ 6\ 9)$  and  $\tau = (1\ 9\ 3\ 5)(2\ 7\ 4)$  in  $S_9$  expressed in disjoint cycle notation. Compute  $\sigma \circ \tau$  and  $\tau \circ \sigma$  expressing both in disjoint cycle notation.

$$1 \to \sigma(\tau(1)) = \sigma(9) = 5; \ 2 \to \sigma(\tau(2)) = \sigma(7) = 6;$$

$$3 \to \sigma(\tau(3)) = \sigma(5) = 7; \ 4 \to \sigma(\tau(4)) = \sigma(2) = 2;$$

$$5 \to \sigma(\tau(5)) = \sigma(1) = 1; \ 6 \to \sigma(\tau(6)) = \sigma(6) = 9;$$

$$7 \to \sigma(\tau(7)) = \sigma(4) = 8; \ 8 \to \sigma(\tau(8)) = \sigma(8) = 3;$$

$$9 \to \sigma(\tau(9)) = \sigma(3) = 4;$$

$$\Rightarrow \sigma \circ \tau = (1\ 5)(2\ 6\ 9\ 4)(3\ 7\ 8)$$

$$1 \to \tau(\sigma(1)) = \tau(1) = 9; \ 2 \to \tau(\sigma(2)) = \tau(2) = 7;$$

$$3 \to \tau(\sigma(3)) = \tau(4) = 2; \ 4 \to \tau(\sigma(4)) = \tau(8) = 8;$$

$$5 \to \tau(\sigma(5)) = \tau(7) = 4; \ 6 \to \tau(\sigma(6)) = \tau(9) = 3;$$

$$7 \to \tau(\sigma(7)) = \tau(6) = 6; \ 8 \to \tau(\sigma(8)) = \tau(3) = 5;$$

$$9 \to \tau(\sigma(9)) = \tau(5) = 1;$$

$$\Rightarrow \tau \circ \sigma = (1\ 9)(2\ 7\ 6\ 3)(4\ 8\ 5)$$

**Example 11.** Let  $\sigma, \tau \in S_7$ , given in disjoint cycle, notation by  $\sigma = (1\ 5\ 4)(3\ 7), \tau = (1\ 3\ 2\ 6\ 4),$  Compute  $\sigma^2, \sigma^{-1}, \tau \circ \sigma$ 

$$\sigma^{2} = (1\ 4\ 5), \qquad \sigma^{-1} = (4,5,1)(3,7),$$

$$1 \to \tau(\sigma(1)) = \tau(5) = 5, \quad 2 \to \tau(\sigma(2)) = \tau(2) = 6,$$

$$3 \to \tau(\sigma(3)) = \tau(7) = 7, \quad 4 \to \tau(\sigma(4)) = \tau(1) = 3,$$

$$5 \to \tau(\sigma(5)) = \tau(4) = 1, \quad 6 \to \tau(\sigma(6)) = \tau(6) = 4,$$

$$7 \to \tau(\sigma(7)) = \tau(3) = 2,$$

$$\Rightarrow \tau \circ \sigma = (1,5)(2,6,4,3,7)$$

## 3.2.2 Cycle Structure

• How many permutation  $\sigma \in S_{12}$  has cycle structure  $(1\ 2\ 3)(4\ 5\ 6)(7\ 8)(9\ 10)(11\ 12)$ ?

$$\frac{12!}{3^2 2^3 (2!)(3!)}$$

12!: 每个位置的排列

 $3^2$ : 每个长度 3 的 cycle 的每种情况会被重复计算 3 次

23: 每个长度 2 的 cycle 的每种情况会被重复计算 2 次

(2!): 2 个长度 3 的 cycle 具有不同位置的排列

(3!): 3 个长度 2 的 cycle 具有不同位置的排列

•  $(1\ 2\ 3)(4\ 5)(6) \in S_6$ ?

$$\frac{6!}{3 \times 2} = 120$$

• General situation:  $\sigma \in S_n$ ,  $r_i$  category of length i, i = 1, 2...

$$\frac{n!}{[1^{r_1}2^{r_2}3^{r_3}\cdots][(r_1!)(r_2!)(r_3!)\cdots]}$$

#### 3.3 Transposition

**Definition 9.** A transposition is a cycle of length 2:  $\sigma = (i \ j)$ .

## 3.3.1 Theorem: 每个 permutation 可以由若干个 (可能不 disjoint 的) transposition 表示

**Theorem 4.** Every permutation  $\sigma$  of X is a product of transposition. (the product is not unique) **Equivalent:** Given  $n \geq 2$ , any  $\sigma \in S_n$  can be expressed as a composition of 2-cycles.(not require disjoint)

证明.

Version 1:

$$(x_1 \ x_k)(x_1 \ x_2, \dots x_{k-1} \ x_k) = (x_1 \ x_2 \ \dots x_{k-1})$$

$$(x_1 \ x_2 \ \dots x_{k-1} \ x_k) = (x_1 \ x_k)(x_1, x_2 \ \dots x_{k-1})$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_2} \ \dots \mathbf{x_{k-2}})$$

$$\dots$$

$$= (\mathbf{x_1} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_{k-1}})(\mathbf{x_1} \ \mathbf{x_{k-2}}) \dots (\mathbf{x_1} \ \mathbf{x_2})$$

Version 2:

$$(x_1 \ x_2, \dots \ x_{k-1} \ x_k)(x_1 \ x_k) = (x_2 \ x_3 \ \dots \ x_k)$$
$$(x_1 \ x_2 \ \dots \ x_{k-1} \ x_k) = (x_2 \ x_3 \ \dots \ x_k)(x_1 \ x_k)$$
$$\dots$$
$$= (\mathbf{x_{k-1}} \ \mathbf{x_k})(\mathbf{x_{k-2}} \ \mathbf{x_k}) \dots (\mathbf{x_2} \ \mathbf{x_k})(\mathbf{x_1} \ \mathbf{x_k})$$

**Claim 1.** Cycle of length k can be written as a product of k-1 transpositions.

### 3.3.2 Sign of Permutation

**Theorem 5.** Although the product of transposition of a permutation is not unique, the <u>parity</u> (odd or even) of the r in a product is unique. We call it the **sign** of permutation.

$$sign(\sigma) = (-1)^{(\# even-length \ cycles \ in \ \sigma)}$$
  
=  $(-1)^{(\# transpositions \ in \ \sigma)}$ 

Example 12.

$$\sigma_1 = (1 \ 4 \ 7 \ 9)(2 \ 8)(6 \ 10): N = 3 + 1 + 1 = 5$$
 is odd.  
 $\sigma_2 = (1 \ 2 \ 3 \ 4 \ 5)(6 \ 7 \ 8 \ 9 \ 10): N = 4 + 4 = 8$  is even

What happens to a permutation  $\sigma$ 's cycles if  $\sigma \to (i \ j) \circ \sigma$ ?

- 1. i and j are not contained in  $\sigma$ .
- 2. i and j appear in the same cycle of  $\sigma$ .
- 3. i and j appear in disjoint cycles.

$$(i \ j) \circ (i - -j \sim \sim) = (i - -) \circ (j \sim \sim)$$
$$(i \ j) \circ (i - -) \circ (j \sim \sim) = (i - -j \sim \sim)$$

**Proposition 6.**  $sign((i \ j) \circ \sigma) = -1 \cdot sign(\sigma)$ 

证明.

Suppose  $\sigma = (a_1 \ a_2 \ \cdots a_k \ b_1 \ b_2 \ \cdots b_l)$ 

Then  $(a_1 \ b_1) \circ \sigma = (a_1 \ a_2 \ \cdots a_k)(b_1 \ b_2 \ \cdots b_l)$ 

$$sign(\sigma) = \begin{cases} +1 & \text{if } k+l \text{ is odd} \\ -1 & \text{if } k+l \text{ is even} \end{cases}$$

$$sign((a_1 \ b_1) \circ \sigma) = \begin{cases} -1 & \text{if } k+l \text{ is odd} \\ +1 & \text{if } k+l \text{ is even} \end{cases}$$

## 4 Integers

## 4.1 Proposition 1.4.1: Properties of integers $\mathbb{Z}$

**Proposition 7** (Proposition 1.4.1.). The following hold in the integers  $\mathbb{Z}$ :

- (i) Addition and multiplication are commutative and associative operations in  $\mathbb{Z}$ .
- (ii)  $0 \in \mathbb{Z}$  is an identity element for addition; that is,  $\forall a \in \mathbb{Z}, 0 + a = a$ .
- (iii) Every  $a \in \mathbb{Z}$  has an additive inverse, denoted -a and given by -a = (-1)a, satisfying a + (-a) = 0.
- (iv)  $1 \in \mathbb{Z}$  is an identity element for multiplication; that is, for all  $a \in \mathbb{Z}$ , 1a = a.
- (v) The distributive law holds:  $\forall a, b, c \in \mathbb{Z}, a(b+c) = ab + ac$ .
- (vi) Both  $\mathbb{N} = \{x \in \mathbb{Z} | x \ge 0\}$  and  $\mathbb{Z}_+ = \{x \in \mathbb{Z} | x > 0\}$  are closed under addition and multiplication.

That is, if x and y are in one of these sets, then x + y and xy are also in that set.

(vii) For any two nonzero integers  $a, b \in \mathbb{Z}, |ab| \ge \max\{|a|, |b|\}$ . Strict inequality holds if |a| > 1 and |b| > 1.

From this we get cancellation.

$$ab = ac \Rightarrow b = c \text{ or } a = 0$$

#### 4.2 Definition: Divide

Suppose  $a, b \in \mathbb{Z}, b \neq 0$ , <u>b</u> divides <u>a</u> if  $\exists m \in \mathbb{Z}$ , so that a = bm, b|a. Otherwise, write  $b \nmid a$ .

### 4.3 Proposition 1.4.2: properties of integer division

**Proposition 8** (Proposition 1.4.2).  $\forall a, b \in \mathbb{Z}$ 

- (i) if  $a \neq 0$ , then a|0
- (ii) if a|1, then  $a = \pm 1$
- (iii) if a|b & b|a, then  $a = \pm b$
- (iv) if a|b & b|c, then a|c

(v) if a|b & a|c, then  $a|(mc+nb)\forall m, n \in \mathbb{Z}$ 

## 4.4 Definitions: Prime, The Greatest common divisor gcd(a, b)

 $p > 1, p \in \mathbb{Z}$  is called *prime* if the only divisors are  $\pm 1, \pm p$ .

Given  $a, b \in \mathbb{Z}$ ,  $a, b \neq 0$ , the greatest common divisor of a and b is  $c \in \mathbb{Z}$ , c > 0 s.t.

(1) c|a and c|b; (2) if d|a, d|b, then d|c

The c is unique, we write it gcd(a, b).

## 4.5 Euclidean Algorithm

**Proposition 9** (Proposition 1.4.7(Euclidean Algorithm)). Given  $a, b \in \mathbb{Z}, b \neq 0$ , then  $\exists q, r \in \mathbb{Z}$  s.t.  $a = qb + r, 0 \leq r \leq |b|$ .

**Example 13** (Exercise 1.4.3). For the pair (a,b) = (130,95), find gcd(a,b) using the Euclidean Algorithm and express it in the form gcd(a,b) = sa + tb for  $s,t \in Z$ .

$$130 = 95 + 35;$$
  $95 = 2 \times 35 + 25$   
 $35 = 25 + 10;$   $25 = 2 \times 10 + 5$   
 $10 = 2 \times 5 + 0$ 

$$5 = 25 - 2 \times 10 = 25 - 2 \times (35 - 25) = 3 \times 25 - 2 \times 35 = 3 \times (95 - 2 \times 35) - 2 \times 35$$
$$= 3 \times 95 - 8 \times 35 = 3 \times 95 - 8 \times (130 - 95) = 11 \times 95 - 8 \times 130$$
$$gcd(130, 95) = gcd(95, 35) = gcd(35, 25) = gcd(25, 10) = gcd(10, 5) = gcd(5, 0) = 5$$

We can also express it by matrix

	q	r	s	t
-1		130	1	0
0	1	95	0	1
1	2	35	1	-1
2	1	25	-2	3
3	2	10	3	-4
4	2	5	-8	11

Hence  $gcd(130, 95) = 5 = -8 \cdot 130 + 11 \cdot 95$ 

**4.6** Proposition: gcd(a,b) exists and is the smallest positive integer in the set  $M = \{ma + nb | m, n \in \mathbb{Z}\}$ 

**Theorem 6.** d = gcd(a, b) is of the form sa + tb

证明. We may assume  $0 \le a \le b$ 

For a = 0,  $d = b = 0 \cdot a + 1 \cdot b$ .

For a > 0, let  $b = q \cdot a + r$  with  $0 \le r < a \le b$ . Then

$$\{sa+tb: s,t \in \mathbb{Z}\} = \{sa+t(q \cdot a + r): s,t \in \mathbb{Z}\} = \{tr+ua: t,u \in \mathbb{Z}\}$$

$$= \dots \{x \cdot 0 + y \cdot d: x,y \in \mathbb{Z}\} = \{\dots, -2d, -d, 0, d, 2d, \dots\}$$

**Proposition 10** (第二种表示, 第二种证明).  $\forall a, b \in \mathbb{Z}$ , not both 0, gcd(a, b) exists and is the smallest positive integer in the set  $M = \{ma + nb | m, n \in \mathbb{Z}\}$ . i.e.  $\exists m_0, n_0 \in \mathbb{Z}$  s.t.  $gcd(a, b) = m_0a + n_0b$ .

延明. Let c be the smallest positive integer in the set  $M = \{ma + nb | m, n \in \mathbb{Z}\}$ .  $c = m_0 a + n_0 b > 0$ . Let  $d = ma + nb \in M$ , d = qc + r where  $0 \le r < c$  (by Euclidean Algorithm).

$$r = d - qc = (m - qm_0)a + (n - qn_0)b \in M$$

Since c is the smallest integer in M and  $r \in [0, c)$ , so r = 0.  $\Rightarrow d = qc$ . So c|d.

$$a = 1a + 0b \in M \Rightarrow c|a, b = 0a + 1b \in M \Rightarrow c|b.$$

If 
$$t|a,t|b$$
 then  $t|m_0a+n_0b$  i.e.  $t|c. \Rightarrow c=gcd(a,b)$ .

## 4.7 Well-Ordering Principle (Least Integer Axiom)

There is a smallest integer in every nonempty subset S of the natural numbers  $\mathbb{N} = \{0, 1, 2, ...\}$ 

## **4.8** Proposition 1.4.10: gcd(b,c), $b|ac \Rightarrow b|a$

**Proposition 11** (Proposition 1.4.10). Suppose  $a, b, c \in \mathbb{Z}$ . If b, c are relatively prime i.e. gcd(b, c) = 1 and b|ac, then b|a.

证明. 
$$gcd(b,c)=1 \Rightarrow \exists m,n \in \mathbb{Z} \text{ s.t. } 1=mb+nc \Rightarrow a=amb+anc. \text{ Since } b|nac,b|amb \Rightarrow b|a.$$

#### **4.8.1** Corollary: $p|ab \Rightarrow p|a$ or p|b

Corollary 1 (Corollary of Prop 1.4.10).  $a, b, p \in \mathbb{Z}, p > 1$  prime. If p|ab, then p|a or p|b.

证明. If 
$$p|b$$
, done. Otherwise,  $gcd(p,b)=1$ . By Prop 1.4.10,  $p|a$ .

# 4.9 Fundamental Theorem of Arithmetic: Any integer $a \ge 2$ has a unique prime factorization

### 4.9.1 Existence

**Lemma 2.** Any integer  $a \geq 2$  is either a prime or a product of primes.

证明. Set  $S \subset \mathbb{N}$  be the set of all n without the given property.

Assume that S in nonempty and m is the least element in S.

Since m is not a prime, it can be written as m = ab with 1 < a, b < m. Since m is the least element in  $S, a, b \notin S$ . Then m is a product of primes. Contradiction. Thus,  $S = \emptyset$ .

## 4.9.2 Uniqueness

**Theorem 7** (Fundamental Theorem of Arithmetic).

Any integer a > 1 has a unique prime factorization:  $a = p_1^{k_1} \cdot p_2^{k_2} \cdot ... p_n^{k_n}$  where  $p_i > 1$  is prime,  $k_i \in \mathbb{Z}_+, \forall i = 1, ..., n, p_i \neq p_j, \forall i \neq j$ .

证明.

- a) Existence: (Previous Lemma)
- b) Uniqueness:
  - 1) Method 1:

Suppose  $a = p_1^{n_1} \cdot p_2^{n_2} \cdot ... p_k^{n_k} = q_1^{r_1} \cdot q_2^{r_2} \cdot ... q_j^{r_j}$ . Where  $p_1 > p_2 > ... > p_k, q_1 > q_2 > ... > q_j, n_i, r_i \ge 1$ .

 $p_1|a \Rightarrow \exists q_i \text{ s.t. } p_1|q_i. \text{ Similarly, } \exists q_i \text{ s.t. } q_1|p_{i'}.$ 

$$q_1 \le p_{i'} \le p_1 \le q_i \Rightarrow q_1 = p_{i'} = p_1 = q_i$$

We can also know  $n_1 = r_1$ , otherwise we would have two prime factorization of the quotient where the largest primes are different by dividing  $p_1^{\min\{n_1,r_1\}}$ .

Then we can get  $b=p_2^{n_2}\cdot...p_k^{n_k}=q_2^{r_2}\cdot...q_j^{r_j}.$  Then prove it by induction.

2) Method 2:

Suppose  $a = p_1 \cdot p_2 \cdot ... p_k = q_1 \cdot q_2 \cdot ... q_t$ . For a  $p_i$ , there must exist a  $q_j$  s.t.  $p_i = q_j$ :

Assume that  $p_i \neq q_t$ ,  $gcd(p_i, q_t) = 1$ . Then  $\exists a, b$  such that  $1 = ap_i + bq_t$ . Multiplying both sides by  $q_1 \cdot q_2 \cdot ... \cdot q_{t-1}$ :

$$q_1 \cdot q_2 \cdot ... q_{t-1} = ap_i q_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t$$

Since  $p_i|q_1 \cdot q_2 \cdot ... q_t$ , we can conclude that  $p_i|(ap_iq_1 \cdot q_2 \cdot ... q_{t-1} + bq_1 \cdot q_2 \cdot ... q_t)$ 

i.e. 
$$p_i|q_1 \cdot q_2 \cdot ... q_{t-1}$$
 if  $p_i \neq q_t$ 

Then prove by induction.

## 5 Modular arithmetic

## 5.1 Congruences

#### **5.1.1** Congruent modulo m: $a \equiv b \mod m$

Given  $m \in \mathbb{Z}_+$ , define a relation on  $\mathbb{Z}$ : congruence modulo m

$$a \equiv b \mod m$$
, if  $m | (a - b)$ 

Read as "a is congruent to b mod n"; Notation:  $a \equiv b \mod m$ .

Equivalent to: a, b have the same remainder after division by m.

# **5.1.2** Proposition: For fixed $m \geq 2$ , the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

**Proposition 12** (Proposition 1.5.1). For fixed  $m \ge 2$ , the relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " is an equivalence relation

证明.

- 1) Reflexive:  $\forall a \in \mathbb{Z}, m | 0 = (a a), \text{ so } a \equiv a \mod m \text{ i.e. } a \sim a.$
- 2) Symmetric:  $\forall a, b \in \mathbb{Z}, \ a \equiv b \mod m$ , then  $m|(a-b) \Rightarrow m|(b-a) \Rightarrow b \equiv a \mod m$ . i.e.  $a \sim b \Rightarrow b \sim a$ .
- 3) Transitive:  $\forall a, b, c \in \mathbb{Z}$ ,  $a \equiv b \mod m$ ,  $b \equiv c \mod m$ . Then  $m|(a-b), m|(b-c) \Rightarrow m|(a-b) + (b-c) = (a-c) \Rightarrow a \equiv c \mod m$ .

5.1.3 Theorem: the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets  $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$ 

**Theorem 8.** the equivalence relation " $a \sim b \Leftrightarrow a \equiv b \mod m$ " partitions the integers into m disjoint sets  $\Omega_i = \{a | a \sim i\}, i = 0, 1, ..., m-1$ 

证明. Prove any  $a \in \mathbb{Z}$  belongs to a unique  $\Omega_i$ .

- a) Existence: Division Algorithm  $\Rightarrow a = qm + r, 0 \le r < m. \ a \in \Omega_r.$
- b) Uniqueness: Assume a in two sets,  $a \in \Omega_r \cap \Omega_{r^1}$ ,  $0 \le r^1 < r < m$ . Then m|a-r and  $m|a-r^1 \Rightarrow m|r-r^1$ , which is impossible because  $0 < r-r^1 < m$ . Contradiction.

### 5.1.4 Proposition: Addition and Mutiplication of Congruences

**Proposition 13.** Fix integer  $m \geq 2$ . If  $a \equiv r \mod m$  and  $b \equiv s \mod m$ , then  $a + b \equiv r + s \mod m$  and  $ab \equiv rs \mod m$ 

证明.

- a) Addition:  $m|(a-r), m|(b-s) \Rightarrow m|(a-c) + (b-d) \Rightarrow m|(a+b) (c-d)$ .
- b) Mutiplication:  $m|(a-r)b+r(b-s) \Rightarrow m|ab-rs$ .

## 5.2 Solving Linear Equations on Modular m

**5.2.1** Theorm: unique solution of  $aX \equiv b \mod m$  if gcd(a, m) = 1

**Theorem 9.** If gcd(a, m) = 1, then  $\forall b \in \mathbb{Z}$  the congruence  $aX \equiv b \mod m$  has a unique solution. 证明.

1) Existence: Since  $gcd(a, m) = 1, \exists s, t \text{ such that}$ 

$$1 = sa + tm$$

$$(\text{Version 1})$$

$$(\text{Mutiplying } X)$$

$$X = saX + tmX$$

$$aX \equiv b \mod m \Leftrightarrow aX = km + b$$

$$\Leftrightarrow X = s(km + b) + b$$

$$\Leftrightarrow X \equiv sb \mod m$$

$$(\text{Version 2})$$

$$(\text{Mutiplying } s)$$

$$saX \equiv sb \mod m$$

$$(1 - tm)X \equiv sb \mod m$$

$$X \equiv sb \mod m$$

 $X \equiv sb \mod m$  is the solution to  $aX \equiv b \mod m$ .

2) Uniqueness: Assume x, y are two solutions,

$$ax \equiv b \mod$$
,  $ay \equiv b \mod m \Rightarrow a(x - y) \equiv 0 \mod m$ 

Since 
$$gcd(a, m) = 1$$
,  $m|(x - y) \Rightarrow x = y$ ,  $(x, y \in \{0, 1, ..., m - 1\})$ 

Example 14. Solve  $3X \equiv 5 \mod 11$ .

$$qcd(3,11) = 1, 1 = 4 * 3 - 1 * 11,$$

$$X \equiv 4 * 5$$

$$X \equiv 9$$

5.3 Chinese Remaindar Theorem (CRT): unique solution for x modulo mn

Theorem 10 (Chinese Remaindar Theorem (CRT)).

If 
$$gcd(m, n) = 1$$
. Then 
$$\begin{cases} x \equiv r \mod m & (1) \\ x \equiv s \mod n & (2) \end{cases}$$
 have a unique solution for  $x$  modulo  $mn$ .

证明.

 $(1) \Rightarrow x = km + r \text{ for some } k \in \mathbb{Z}.$ 

substitute (2) 
$$\Rightarrow km + r \equiv s \mod n$$
  
 $\Leftrightarrow mk \equiv s - r \mod n$  (3)

According to previous theorem, gcd(m, n) = 1, (3) has a **unique** solution.

We say  $k \equiv t \mod n$ , k = ln + t for some  $l \in \mathbb{Z}$ 

 $\Rightarrow x = (ln + t)m + r = lnm + tm + r$ , where tm + r is the unique solution to x modulo mn.

**Example 15.** (Similar to CRT) Find the smallest integer x such that

$$x \equiv 1 \mod 11 \text{ and } x \equiv 9 \mod 13$$

$$gcd(11, 13) = 1$$
 and  $1 = 6 * 11 - 5 * 13$ 

Write x = 11k + 1. Substitute in  $x \equiv 9 \mod 13$ :

$$11k \equiv 8 \mod 13$$
$$6*11k \equiv 6*8 \equiv 9 \mod 13$$
$$(1+5*13)k \equiv 9 \mod 13$$
$$k \equiv 9 \mod 13$$

Then x = 11k + 1 = 100.

5.4 Congruence Classes:  $[a]_n = \{a + kn | k \in \mathbb{Z}\}$ 

将给定 n,相同余数的数分为一组

Fix  $n \in \mathbb{Z}_+$ , we call  $[a]_n = [a]$  the **congruence class** of a modulo n.

$$[a] = \{b \in \mathbb{Z} | b \equiv a \mod n\} = \{a + kn | k \in \mathbb{Z}\}\$$

## **5.4.1** Set of congruence classes of mod n: $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\} = \{[0], [1], ..., [n-1]\}$

The set of congruence classes of mod n is denoted  $\mathbb{Z}_n = \{[a]_n | a \in \mathbb{Z}\}$ 

**Proposition 14** (Proposition 1.5.2.). For any  $n \ge 1$  there are exactly n congruences classes modulo n, which we may write as

$$\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$$

证明.

For any  $a \in \mathbb{Z}$ . By Euclidean algorithm, a = qn + r,  $q, r \in \mathbb{Z}$ ,  $0 \le r < n \Rightarrow a \in [r]$ . So,  $\mathbb{Z}_n = \{[0], [1], ..., [n-1]\}$ .

When  $0 \le a < b \le n-1$ ,  $n \nmid (b-a)$ , so  $[a] \ne [b]$  the *n* congruence classes listed are all distinct. Hence, there are exactly *n* congruence classes.

#### 5.4.2 Proposition 1.5.5: Addition and Multiplication on Congruence Classes

Fix  $n \in \mathbb{Z}$ , we define addition+ and multiplication on  $\mathbb{Z}_n$ :

$$[a] + [b] = [a+b] = \{a+b+(k+j)n|k, j \in \mathbb{Z}\}$$
$$[a] \cdot [b] = [ab] = \{ab+(aj+bk+kjn)n|k, j \in \mathbb{Z}\}$$

This is well defined, follows Lemma 1.5.3.

**Proposition 15** (Proposition 1.5.5.). Let  $a, b, c, d, n \in \mathbb{Z}, n \geq 1$ , then

- (i) Addition and multiplication are commutative and associative operations in  $\mathbb{Z}_n$ .
- (ii) [a] + [0] = [a].
- (iii) [-a] + [a] = [0].
- (iv) [1][a] = [a].
- (v) [a]([b] + [c]) = [a][b] + [a][c].

证明.

#### 5.4.3 Units(i.e. invertible) in Congruence Classes

将与 n 互质的数分为一组

Say  $[a] \in \mathbb{Z}_n$  is a **unit** or is **invertible** if  $\exists [b] \in \mathbb{Z}_n$  so that [a][b] = [1].

5.4.4 Proposition 1.5.6: Set of units in congruence classes:  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ 

The set of **invertible** elements in  $\mathbb{Z}_n$  will be denoted  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | [a] \text{ is a unit}\}.$ 

**Proposition 16** (Proposition 1.5.6.). For all  $n \ge 1$ , we have  $\mathbb{Z}_n^{\times} = \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ .

证明.

By Proposition 1.4.8, we know there exists b, c s.t. ab + cn = 1. So,  $ab \equiv 1 \mod n$ , [1] = [ab] = [a][b]. So,  $\{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\} \subset \mathbb{Z}_n^{\times}$ 

[a] is a unit 
$$\Rightarrow \exists [b] \in \mathbb{Z}_n$$
 so that  $[a][b] = [ab] = [1] \Rightarrow ab = 1 + kn, k \in \mathbb{Z} \Rightarrow ab - kn = 1, k \in \mathbb{Z} \Rightarrow gcd(a, n) = 1$ . So,  $\mathbb{Z}_n^{\times} \subset \{[a] \in \mathbb{Z}_n | gcd(a, n) = 1\}$ .

Note 3. Inverse of [a] is unique, i.e.  $[b] = [a]^{-1}$  is unique.

$$[a][b] = 1, [a][b'] = 1 \Rightarrow [b] = [b][1] = [b][a][b'] = [b']$$

**5.4.5** Corollary 1.5.7: if p is prime,  $\varphi(p) = \mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}$ 

**Corollary 2** (Corollary 1.5.7). If  $p \ge 2$  is prime,  $\mathbb{Z}_p^{\times} = \{[1], [2], ..., [p-1]\}.$ 

5.5 Euler phi-function:  $\varphi(n) = |\mathbb{Z}_n^{\times}|$ 

Euler phi-function:  $\varphi(n) = |\mathbb{Z}_n^{\times}|$ .

p prime,  $\varphi(p) = p - 1$ .

## **5.5.1** $m|n, \pi_{m,n}([a]_n) = [a]_m$

**Example 16** (Exercise 1.5.4). If m|n, we can define  $\pi_{m,n}: \mathbb{Z}_n \to \mathbb{Z}_m$  by  $\pi_{m,n}([a]_n) = [a]_m$ . Prove it is well-defined.

证明.

We write  $[a]_n = [c]_n$ , verify that  $[a]_m = [c]_m$ .

Since m|n, there exists  $k \in \mathbb{Z}$  s.t. n = km.

$$[a]_n = [c]_n \Rightarrow \exists j \in \mathbb{Z} \text{ s.t. } c = a + jn.$$

$$[c]_m = [a+jn]_m = [a+jkm]_m = [a]_m$$

5.6 Theorem 1.5.8(Chinese Remainder Theorem):  $n = mk, gcd(m, k) = 1, F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$ 

**Theorem 11** (Theorem 1.5.8(Chinese Remainder Theorem)). If m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F : \mathbb{Z}_n \to \mathbb{Z}_m \times \mathbb{Z}_k$  which is given by  $F([a]_n) = (\pi_{m,n}([a]_n), \pi_{k,n}([a]_n)) = ([a]_m, [a]_k)$ , then F is a bijection.

证明.

- (1)Injective:  $F([a]_n) = F([b]_n) \Rightarrow [a]_m = [b]_m, [a]_k = [b]_k$  i.e.  $a \equiv b \mod m, a \equiv b \mod n$ .  $\exists i, j \in \mathbb{Z}$  s.t.  $b = a + im = a + jk \Rightarrow k|im$ . Since gcd(m, k) = 1,  $k|i \Rightarrow n = mk|im$ . Then  $[b]_n = [a]_n + [im]_n = [a]_n$ .
- (2) Surjective: prove  $\forall u, v \in \mathbb{Z}, \exists a \mathbb{Z} \text{ s.t. } [a]_m = [u]_m, [a]_k = [v]_k.$

Since gcd(m, k) = 1,  $\exists s, t \in \mathbb{Z}$  so that 1 = sm + tk.

Let 
$$a = (1 - tk)u + (1 - sm)v$$
,  $[a]_m = [(u - v)sm + v]_m = [v]_m$ ,  $[a]_k = [(v - u)tk + u]_k = [u]_k$ .

Note 4. 
$$F([a]_n[b]_n) = F([ab]_n) = ([ab]_m, [ab]_k) = ([a]_m[b]_m, [a]_k[b]_k)$$

Since F is a bijection,  $[ab]_n = [1]_n$  iff  $([a]_m[b]_m, [a]_k[b]_k) = ([1]_m, [1]_k)$ .

**5.6.1** Proposition 1.5.9+Corollary 1.5.10: m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$ , then  $\varphi(n) = \varphi(m)\varphi(k)$ 

**Proposition 17** (Proposition 1.5.9+Corollary 1.5.10). If m, n, k > 0, n = mk, gcd(m, k) = 1, then  $F(\mathbb{Z}_n^{\times}) = \mathbb{Z}_m^{\times} \times \mathbb{Z}_k^{\times}$ , then  $\varphi(n) = \varphi(m)\varphi(k)$ .

**5.7** prime factorization:  $n = p_1^{r_1}...p_k^{r_k}$ , then  $\varphi(n) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$ 

**Proposition 18.** If  $n \in \mathbb{Z}$  is positive integre with prime factorization  $n = p_1^{r_1}...p_k^{r_k}$ , then  $\varphi(n) = (p_1 - 1)p_1^{r_1-1}...(p_k - 1)p_k^{r_k-1}$ 

证明.

 $\mathbb{Z}_{p^r} = \{[0], [1], ..., [p^r - 1]\}, \text{ the number of multiples of } p \text{ is } \frac{p^r}{p} = p^{r-1}. \text{ Then } \varphi(p^r) = |\mathbb{Z}_{p^r}^{\times}| = p^r - p^{r-1} = (p-1)p^{r-1}. \text{ So,}$ 

$$\varphi(n) = \varphi(p_1^{r_1})...\varphi(p_k^{r_k}) = (p_1 - 1)p_1^{r_1 - 1}...(p_k - 1)p_k^{r_k - 1}$$

#### 

## 6 Group

6.1 Group (G,\*): a set with a binary operation(associative, identity, inverse)

#### 6.1.1 Definition

A group is a nonempty set G with a binary operation  $*: G \times G \to G$  s.t.

- (1) Binary operation on  $G, *: G \times G \rightarrow G$
- (2) \* is associative
- (3) G contains an **identity** element e for  $*: \exists e \in G$  s.t.  $e*g = g*e = g \forall g \in G$
- (4) Each element  $a \in G$  has an **inverse**  $b \in G$  s.t. a \* b = b \* a = e.

A Group is **abelian** if moreover

(5) \* is **commutative**.

|G| = Order of a group (G, \*)

 $(\mathbb{Z},+)$  is a group and + is commutative, we call this kind of groups(statify commutative) abelian group.

**Example 17.** If  $\mathbb{F}$  is a field, then  $(\mathbb{F},+)$  and  $(\mathbb{F}^{\times},\cdot)$  are abelian group.

**Example 18.** If V is a vector space over  $\mathbb{F}$ , then (V, +) abelian group.

As we know a V is a vector space over  $\mathbb{F}$  means V is a field whose subfields include  $\mathbb{F}$ .

## 6.1.2 Uniqueness of identity and inverse

**Lemma 3.** 1. Identity of a group is unique. 2. Inverse of any element in a group is also unique. 证明.

- 1. Let e, e' be two identities in G, then e \* e' = e = e'.
- 2. Suppose b, c are both inverse of a, then

$$b = b * e = b * (a * c) = (b * a) * c = e * c = c$$

6.1.3 Examples: Permutation group Sym(X), Klein 4-group, alternating group  $A_n$ , Dihedral group

**Example 19.** If X is any nonempty set, permutation group of  $X : {\sigma : X \to X | \sigma \text{ is a bijection}}, then$ 

- 1.  $\circ$  is associative;
- 2.  $id: X \to X$ ,  $id(x) = x \ \forall x \in X$  is the idenity;
- 3.  $\sigma \in Sym(X), \sigma^{-1} \in Sym(X)$  is the inverse function.

 $(Sym(X), \circ)$  is a group called the symmetric group of X

**Example 20.** The Klein four-group is a group with four elements, in which each element is self-inverse (composing it with itself produces the identity) and in which composing any two of the three non-identity elements produces the third one. For example,  $K \leq S_4$ 

$$K = \{(1), (12)(34), (13)(24), (14)(23)\}$$

**Example 21.** An alternating group is the group of even permutations of a finite set. An alternating group of degree n,  $A_n$ .

The cycle structure of  $A_5$ ,

- (1) (abcde) even
- (3) (abc) even
- (4) (ab)(cd) even (odd permutation  $\times$  odd permutation)
- (6) e even

#### Example 22 (Dihedral group).

The dihedral group of order 2n, denoted  $D_{2n}$ , is the group of symmetries of a regular n-gon  $A_1 A_2 \dots A_n$ , which includes rotations and reflections. It consists of the 2n elements

$$\{1, \rho, \rho^2, \dots, \rho^{n-1}, \sigma, \sigma\rho, \sigma\rho^2, \dots, \sigma\rho^{n-1}\}$$
.

The element  $\rho$  corresponds to rotating the n-gon by  $\frac{2\pi}{n}$ , while  $\sigma$  corresponds to reflecting it across the line  $OA_1$  (here O is the center of the polygon). So  $\rho\sigma$  mean "reflect then rotate" (like with function composition, we read from right to left). In particular,  $\rho^n = \sigma^2 = 1$ . You can also see that  $\rho^k \sigma = \sigma \rho^{-k} = \sigma \rho^{n-k}$ .

#### 6.1.4 Cancelation Laws

**Theorem 12.** Let G be a group. The left and right cancelation laws hold in G:

1. 
$$a * x = a * y \Rightarrow x = y$$

2. 
$$x * a = y * a \Rightarrow x = y$$

证明.

Let a\*x = a\*y.  $\exists a'$  s.t. a'\*a = e.  $a'*(a*x) = a'*(a*y) \Rightarrow (a'*a)*x = (a'*a)*y \Rightarrow e*x = e*y \Rightarrow x = y$ Similar for the right cancel law.

## 6.1.5 Unique Solution of Linear Equation

**Theorem 13.** The linear equation a \* x = b and y \* a = b has unique solution.

证明.

- 1. Existence: Multiply by a':  $a' * (a * x) = a' * b \Rightarrow x = a' * b$  is a solution.
- 2. Uniqueness: if x' is another,  $a * x = a * x' = b \Rightarrow x = x'$

## **6.2** Subgroup: $H \leq G$

**Definition 10.** A subset  $H \subseteq G$  is a subgroup of G if H is itself a group.

write  $H \leq G$ , H < G if H is a subgroup of (G, \*). (If H = G, H is an improper subgroup. If  $H \subsetneq G$ , H is an proper subgroup.)

If  $H = \{e\}$ , then H is a trivial subgroup.

If  $H \neq \{e\}$ , then H is a nontrivial subgroup.

**Theorem 14.** A subset  $H \subseteq G$  is a subgroup of G if and only if

- 1. H is closed under \*.  $(\forall g, h \in H, g * h \in H)$
- 2.  $identity e \in H$ .
- 3. Each  $a \in H$ , the inverse  $a' \in H$

证明.

" $\Rightarrow$ ": if  $H \leq G$  be a subgroup.

- 1. H is a group  $\Rightarrow *$  is a binary operation on  $H, *: H \times H \to H$  i.e. H is closed under \*.
- 2. Identity of H,  $e_H$  is also a identity of G, due to the uniqueness of identity,  $e_H = e_G$ .
- 3.  $a \in H$ , a's inverse  $a'_H \in H$  is also an inverse in G, due to the uniqueness of identity,  $a'_H = a'_G$ .

  " $\Leftarrow$ ":
  - 1. H is closed under  $* \Rightarrow *$  is a binary operation on H.
  - 2. 2,3 fufill the requirement of identity and inverse.
  - 3. \* is operation of group  $G \Rightarrow$  \* is associative. Hence H is itself a group.
  - 4. H is a subsect of G, then H is s subgroup of G.

6.2.1 Proposition 2.6.8: H < G, (H, \*) is a group: A group's operation with its any subgroup is also a group

不同的 definition.

**Proposition 19** (Proposition 2.6.8). If (G,\*) is a group,  $H \subset G$  is a subgroup, then (H,\*) is a group.

**Example 23.** (G,\*) is a group, then e < G, G < G.

**Example 24.**  $\mathbb{K} \subset \mathbb{F}$  is a subfield, then  $\mathbb{K} < \mathbb{F}$ ,  $\mathbb{K}^{\times} < \mathbb{F}^{\times}$ .

**Example 25.**  $W \subset V$  is a vector subspace, W < V.

**Example 26.**  $1 \in S^1 \subset \mathbb{C}^{\times}$ ,  $S^1 = \{z \in \mathbb{C} | |z| = 1\}$ .  $S^1$  is a subgroup.

证明.

 $S^1 = \{e^{i\theta} | \theta \in \mathbb{R}\}.$  For any  $e^{i\theta}$ ,  $e^{i\psi} \in S^1$ ,  $e^{i\theta}e^{i\psi} = e^{i(\theta+\psi)} \in S^1$ ,  $e^{-i\theta} \in S^1$ .

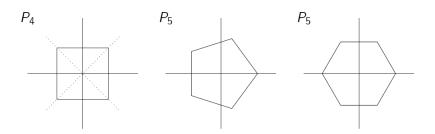
Example 27.  $Isom(\mathbb{R}^n) < Sym(\mathbb{R}^n)$ 

**Example 28.** If  $\mathbb{F}$  is a field,  $Aut(\mathbb{F}) = \{ \sigma : \mathbb{F} \to \mathbb{F} \in Sym(\mathbb{F}) | \sigma(a+b) = \sigma(a) + \sigma(b), \sigma(ab) = \sigma(a)\sigma(b) \} < Sym(\mathbb{F})$ 

## Example 29. Dihedral Groups:

保留多边形

Let  $P_n \subset \mathbb{R}^2$  be a regular n - gon



 $D_n < Isom(\mathbb{R}^2), D_n = \{ \Phi \in Isom(\mathbb{R}^2) | \Phi(P_n) = P_n \}$ 

## 6.3 Some Properties of Group Operation

**Proposition 20** (Proposition 3.1.1). Let (G,\*) be a group with identity  $e \in G$ , then

- (1) if  $g, h \in G$  and either g \* h = h or h \* g = h, then g = e
- (2) if  $g, h \in G$  and g \* h = e then  $g = h^{-1}$  and  $h = g^{-1}$

Corollary 3.1.2).  $e^{-1} = e$ ,  $(g^{-1})^{-1} = g$ ,  $(g * h)^{-1} = h^{-1} * g^{-1}$ 

## 6.4 Power of an Element

We define  $g^n$  recursively for  $n \ge 0$  by setting  $g^0 = e$  and for  $n \ge 1$ , we set  $g^n = g^{n-1} * g$ . For  $n \le 0$ , we define  $g^n = (g^{-1})^{-n}$ .

**Proposition 21** (Proposition 3.1.5). (1)  $g^n * g^m = g^{n+m}$ ; (2)  $(g^n)^m = g^{nm}$ 

## **6.5** $(G \times H, \circledast)$ : Direct Product of G and H

(G,\*) a group (H,\*) a group. Define an operation on  $G \times H$ ,  $\circledast$ :

$$(h,k) \circledast (h',k') = (h*h',k*k')$$

## **6.5.1** Proposition 3.1.7: $(G \times H, \circledast)$ is a group

**Proposition 22** (Proposition 3.1.7).  $(G \times H, \circledast)$  is a group. The identity is  $(e_G, e_H)$ , inverse is  $(g^{-1}, h^{-1})$ 

usually written as

$$(h,k)(h',k') = (hh',kk')$$

## 6.6 Subgroups and Cyclic Groups

## 6.6.1 Intersection of Subgroups is a Subgroup

**Proposition 23** (Proposition 3.2.2). Let G be a group and suppose  $\mathcal{H}$  is any collection of subgroups of G. Then  $K = \bigcap_{H \in \mathcal{H}} H < G$  is a subgroup of G.

#### **6.6.2** Subgroup Generated by $A: \langle A \rangle$

We define **Subgroup Generated by** A:

$$\langle A \rangle = \cap_{H \in \mathcal{H}(A)} H$$

where  $\mathcal{H}(A)$  is the set of all subgroups of G containing the set A:

$$\mathcal{H}(A) = \{ H < G | A \subset H \text{ and } H \text{ is a subgroup of } G \}$$

#### 6.6.3 Cyclic Group: group generated by an element

A group G is <u>cyclic</u> if exists g (an element),  $\langle g \rangle = G$ . g is called a generator for G in this case.

Easy to prove

$$G = \langle g \rangle = \{...g^{-2}, g^{-1}, e, g^1, g^2...\}$$

#### 6.6.4 Cyclic Subgroup

If A is a subgroup of G, and  $A = \langle \{a\} \rangle = \langle a \rangle$ . Then A is the <u>cyclic subgroup</u> generated by a:  $A = \langle a \rangle \leq G$ 

$$\langle a \rangle = \{...a^{-2}, a^{-1}, e, a^1, a^2...\}$$

#### 6.6.5 Subgroups of a Cyclic Group must be Cyclic

**Theorem 15.** A subgroup of a cyclic group is cyclic.

证明.

Let  $G = \{a^n : n \in \mathbb{Z}\}$  be a cyclic group. Let  $H \leq G$  be a subgroup.

- 1. If  $H = \{e\}$ , then H is cyclic.
- 2. If  $H \neq \{e\}$ , then  $a^n \in H$  for some n > 0. Check m be the minimal among all n.

Claim: 
$$H = \langle a^m \rangle$$

<u>Proof</u>: Clearly  $\langle a^m \rangle \subset H$ .  $\forall a^n \in H$ ,  $n = qm + r, 0 \le r < m$ . Then  $a^r = a^n (a^m)^{-q}$ . Since m is the minimal positive integer s.t.  $a^m \in H$ , r = 0.  $\Rightarrow n = qm \Rightarrow a^n \in \langle a^m \rangle$ . Hence  $H = \langle a^m \rangle$  which is cyclic.

**Example 30** (Subgroups of  $(\mathbb{Z}, +)$ ).

 $\mathbb{Z}$  is a cyclic group  $\langle 1 \rangle$ . Its subgroups are  $\langle n \rangle \leq \mathbb{Z}$  for some  $n \geq 0$ . (which is a multiplier of n.  $(n\mathbb{Z})$ )  $n = 0, H = \{0\}; n = 1, H = \mathbb{Z}; n = 2, H = 2\mathbb{Z}$ 

**6.6.6 Theorem:**  $\langle a^v \rangle < \langle a^n \rangle \Rightarrow \langle a^v \rangle = \langle a^d \rangle, d = \gcd(v, n), |\langle a^v \rangle| = \frac{n}{d}$ 

**Theorem 16.** Let G be a cyclic group of order n.  $(G = \{1, a, a^2, ..., a^{n-1}\}, where <math>a^n = 1.)$ . Let  $H = \langle a^v \rangle$  be a subgroup of G. Then H is generated by  $a^d$  (i.e.  $H = \langle a^d \rangle$ ),  $d = \gcd(v, n)$  and  $|H| = \frac{n}{d}$ .

证明.

Let  $H' = \langle a^d \rangle$ , we need to show that H = H'.  $d = \gcd(v, n) = d | v \Rightarrow a^v \in \langle a^d \rangle \Rightarrow H \subset H'$ . While d = sv + tn for some  $s, t. \Rightarrow a^d = (a^v)^s (a^n)^t$ . Since  $a^n = 1$ ,  $a^d = (a^v)^s \Rightarrow H' \subset H$ . Hence,  $H = H' = \langle a^v \rangle$ .  $H = \{1, a^d, a^{2d}, ..., a^{n-d}\}, |H| = \frac{n}{d}$ 

#### **6.6.7** Corollary 3.2.4: G is a cyclic group $\Rightarrow$ G is abelian

**Corollary 4** (Corollary 3.2.4). If G is a cyclic group (i.e. exits  $g \in G$  s.t.  $\langle g \rangle = G$ ), then G is abelian (i.e. commutative).

## **6.6.8** Equivalent properties of order of g: $|g| = |\langle g \rangle| < \infty$

**Proposition 24** (Proposition 3.2.6). Let G be a group for  $g \in G$ , the following are equivalent:

- (i)  $|g| < \infty$
- (ii)  $\exists n \neq m \text{ in } \mathbb{Z} \text{ so that } g^n = g^m$
- (iii)  $\exists n \in \mathbb{Z}, \ n \neq 0 \text{ so that } g^n = e$
- (iv)  $\exists n \in \mathbb{Z}_+$  so that  $g^n = e$

If  $|g| < \infty$ , then  $|g| = \text{smallest } n \in \mathbb{Z}_+$  so that  $g^n = e$ , and  $\langle g \rangle = \left\{ e, g, g^2, \dots, g^{n-1} \right\} = \left\{ g^n \mid n = 0, \dots, n-1 \right\}$ 

## **6.6.9** $(\mathbb{Z},+)$ Theorem **3.2.9:** $\langle a \rangle < \langle b \rangle$ if and only if b|a

**Theorem 17** (Theorem 3.2.9). If  $H < \mathbb{Z}$  is a subgroup, then either  $H = \{0\}$ , or else  $H = \langle d \rangle$ , where

$$d = \min\{h \in H | h > 0\}$$

Consequently,  $a \to \langle a \rangle$  defines a **bijection** from  $N = \{0, 1, 2, ...\}$  to the set of subgroups of  $\mathbb{Z}$ . Furthermore, for  $a, b \in \mathbb{Z}_+$ , we have  $\langle a \rangle < \langle b \rangle$  if and only if b | a.

## **6.6.10** $(\mathbb{Z}_n,+)$ Theorem **3.2.10**: $\langle [d] \rangle < \langle [d'] \rangle$ if and only if d'|d

**Theorem 18** (Theorem 3.2.10). For any  $n \geq 2$ , if  $H < \mathbb{Z}_n$  is a subgroup, then there is a positive divisor d of n so that

$$H = \langle [d] \rangle$$

Furthermore, this defines a bijection between divisors of H and subgroups of  $\mathbb{Z}_n$ . Furthermore, if d, d' > 0 are two divisors of n, then  $\langle [d] \rangle < \langle [d'] \rangle$  if and only if d'|d.

If  $H = \langle [d] \rangle$  is a subgroup of H, then  $[n] \in H$ , so d|n. And  $|H| = |\langle [d] \rangle| = \frac{n}{d}$ , so |H||d

#### 6.6.11 Subgroup Lattice

The set of all subgroups of a group of G, together with the data of which subgroups contain which others is called the **subgroup lattice**. We often picture the subgroup lattice in a diagram with the entire group at the top, the trivial subgroup  $\{e\}$  at the bottom, and the intermediate subgroups in the middle, with lines drawn from subgroups up to larger groups.

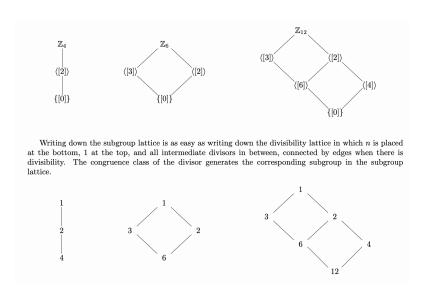


图 2:

## 6.7 Homomorphism

## 6.7.1 Def: Homomorphism, Image

**Definition 11.** If (G, \*) and  $(H, \circ)$  are groups, then a function  $f: G \to H$  is a **homomorphism** if

$$f(x * y) = f(x) \circ f(y), \ \forall x, y \in G$$

If f is also a bijection, then f is called an **isomorphism**.

**Example 31.** Let  $S_n$  be the symmetric group on n letters, and let  $\phi: S_n \to \mathbb{Z}_2$  be defined by

$$\phi(\sigma) = \begin{cases} 0 & \text{if } \sigma \text{ is an even permutation,} \\ 1 & \text{if } \sigma \text{ is an odd permutation.} \end{cases}$$

Show that  $\phi$  is a homomorphism.

**Example 32.** Let  $GL(n, \mathbb{R})$  be the multiplicative group of all invertible  $n \times n$  matrices. Recall that a matrix A is invertible if and only if its determinant, det(A), is nonzero. Recall also that for matrices  $A, B \in GL(n, \mathbb{R})$  we have

$$\det(AB) = \det(A)$$

## Example 33.

1.  $\phi: (\mathbb{R}, +) \to (\mathbb{R}^*, x)$   $\phi(x) = 2^x$ . Then

$$\phi(x+y) = 2^{x+y} = 2^x 2^y = \phi(x)\phi(y)$$

 $\phi$  is a homonorphism.

2.  $\phi: G \to G$   $\phi(q) = q^{-1}$ . Then

$$\phi(qh) = (qh)^{-1} = h^{-1}q^{-1} = \phi(h)\phi(q)$$

 $\phi$  is not a homomorphism in general; but it is homomorphism if it is abelian.

**Definition 12.** Let  $\phi$  be a mapping of a set X into a set Y, and let  $A \subseteq X$  and  $B \subseteq Y$ . The  $\underline{image \ \phi[A] \ of \ A \ in \ Y \ under \ \phi}$  is  $\{\phi(a) \mid a \in A\}$ . The set  $\phi[X]$  is the  $\underline{range \ of \ \phi}$ . The inverse  $\underline{image \ \phi^{-1}[B] \ of \ B \ in \ X \ is}$   $\{x \in X \mid \phi(x) \in B\}$ 

#### 6.7.2 Properties of Homomorphism

**Theorem 19.** Let  $\phi$  be a homomorphism of a group G into a group G', then

- 1. if  $e \in G$  is an identity in G, then  $\phi(e) \in G'$  is the identity in G'.
- 2. if  $a \in G$  has inverse  $a' \in G$ , then  $\phi(a) \in G'$  has inverse  $\phi(a') \in G'$ .
- 3. if  $H \leq G$  is a subgroup of G, then the image  $\phi(H) = \{\phi(h) : h \in G\} \leq G'$  is a subgroup of G'.
- 4. if  $K' \leq G'$  then the inverse image  $\phi^{-1}(K') = \{x \in G : \phi(x) \in K'\} \leq G$ .

## 6.7.3 Kernel of Homomorphism

**Definition 13.** Let  $\phi: G \to G'$  be a homomorphism of groups. The subgroup  $\phi^{-1}(e') = \{x \in G : \phi(x) = e'\}$  is the kernel of  $\phi$ , denoted by  $Ker(\phi)$ .

$$Ker(\phi) \stackrel{def}{=} \phi^{-1}(e') = \{x \in G : \phi(x) = e'\}$$

**Theorem 20** (Ker $\phi$  is normal). Let  $\phi: G \to G'$  be a homomorphism.  $H = Ker\phi$ , then for all  $a \in G$ ,  $\phi^{-1}[\phi(a)] = \{x \in G : \phi(x) = \phi(a)\}$  is the left coset aH of H, and is also the right coset Ha of H.

$$aH = Ha = \{x \in G : \phi(x) = \phi(a)\}\$$

证明.

$$\phi(x) = \phi(a)$$

$$\Leftrightarrow \phi(x)\phi(a)^{-1} = e'$$

$$\Leftrightarrow \phi(x)\phi(a^{-1}) = e'$$

$$\Leftrightarrow \phi(xa^{-1}) = e'$$

$$\Leftrightarrow xa^{-1} \in H$$

$$\Leftrightarrow x \in Ha$$

Similarity, we can prove  $x \in aH$ .

**Theorem 21.** A homomorphism is injective if and only if  $Ker(\phi) = \{e\}$ .

证明.

$$\phi(x) = \phi(y) \Leftrightarrow \phi(x)\phi^{-1}(y) = e'$$
$$\phi(x)\phi(y^{-1}) = e'$$
$$\phi(xy^{-1}) = e'$$
$$\Leftrightarrow xy^{-1} \in Ker(\phi)$$

Hence, we can also prove that

$$xy^{-1} \in Ker(\phi) \Leftrightarrow x = y \text{ if and only if } Ker(\phi) = \{e\}$$

## 6.8 Isomorphism

## 6.8.1 Definition: Isomorphism

**Definition 14.** We say that G and H are **isomorphic** if exists an **isomorphism** f, denoted by  $G \cong H$  or  $G \simeq H$ . (since f is bijection,  $G \cong H \Leftrightarrow H \cong G$ )

Isomophic means these two pathes are the same.

$$G \times G \xrightarrow{*} \qquad G \xrightarrow{f} \quad H$$
 $G \times G \xrightarrow{(f,f)} \quad H \times H \xrightarrow{\circ} \quad H$ 

**Example 34.**  $(\mathbb{Z}_2, +)$ ,  $(\{-1, 1\}, \times)$  and  $\phi : 0 \to 1$ ;  $1 \to -1$ .

$$\phi(0+0) = 1 = \phi(0) \times \phi(0)$$

$$\phi(0+1) = -1 = \phi(0) \times \phi(1)$$

$$\phi(1+1) = 1 = \phi(1) \times \phi(1)$$

 $\textbf{6.8.2} \quad \textbf{Theorem: } \begin{cases} \sigma: G \to G' \text{ injective} \\ \sigma(xy) = \sigma(x)\sigma(y) \ \forall x,y \in G \end{cases} \Rightarrow \sigma(G) \leq G', \ G \text{ is isomorphic to } \sigma(G)$ 

**Theorem 22.** Let  $\sigma: G \to G'$  be an injective map s.t.

$$\sigma(xy) = \sigma(x)\sigma(y), \ \forall x, y \in G$$

Then the image  $\sigma(G) = \{\sigma(x) : x \in G\}$  is a subgroup of G' that is isomorphic to G. 证明.

- 1. Closed:  $\forall a = \sigma(x), b = \sigma(y) \in \sigma(G)$ , then  $ab = \sigma(x)\sigma(y) = \sigma(xy) \in \sigma(G)$ .
- 2. Identity:  $\sigma(e) \in \sigma(G)$  is an identity for  $\sigma(G)$ :  $\sigma(e)\sigma(x) = \sigma(ex) = \sigma(x) = \sigma(x) = \sigma(x)$
- 3. Inverse:  $\sigma(x^{-1})$  is an inverse in  $\sigma(G)$  for  $\sigma(x)$ :  $\sigma(x^{-1})\sigma(x) = \sigma(e) = \sigma(x)\sigma(x^{-1})$

#### 6.8.3 Cayley Theorem: G is isomorphic to a subgroup of $S_G$

**Theorem 23** (Cayley Theorem). Let G be a group and  $S_G$  is the symmetric group of G (the group of all permutation of G:  $S_G = \{Bijection \ \sigma : G \rightarrow G\}$ ) Then G is isomorphic to a subgroup of  $S_G$ .

证明.

Set a bijection  $\phi: G \to S_G$  such that  $\phi(g) = \lambda_g, \forall g \in G$ , where  $\lambda_g$  is a permutation  $\lambda_g: x \to gx$ . Claim:  $\lambda_g \in S_G$  (i.e.  $\lambda_g$  is a permutation of G, a bijection  $G \to G$ ).

1.  $\lambda_q: G \to G$  is injective

$$\lambda_g(x) = \lambda_g(y)$$

$$\Leftrightarrow gx = gy$$

$$\Leftrightarrow x = y$$

2.  $\lambda_g:G\to G$  is surjective. Let  $y\in G$ 

$$\lambda_g(x) = y$$

$$\Leftrightarrow gx = y$$

$$\Leftrightarrow x = g^{-1}y$$

Claim:  $\phi(x)\phi(y) = \phi(xy)$ 

$$\phi(x)\phi(y) = \lambda_x \circ \lambda_y$$
$$(\lambda_x \circ \lambda_y)(z) = \lambda_x(yz) = xyz = \lambda_{xy}(z), \ \forall z \in G$$
$$\Rightarrow \phi(x)\phi(y) = \phi(xy)$$

According to previous theorem,  $\phi(G) \leq G$  and G is isomorphic to  $\phi(G)$ .

6.9 Coset and Order

**Definition 15.** If H is a subgroup of a group G and  $a \in G$ , then  $aH = \{ah | h \in H\} \leq G$  is called left coset of H.

Theorem 24. Let  $H \leq G$ ,  $a, b \in G$ ,

- 1. aH = bH if and only if  $a^{-1}b \in H$
- 2.  $aH \cap bH = \emptyset$  or aH = bH
- 3.  $|aH| = |H| \ \forall a \in G$

证明.

1. Assume that  $aH\cap bH\neq\emptyset$  and let  $ah=bk\in aH\cap bH$  with  $h,k\in H.$ 

$$ah = bk \Leftrightarrow h = a^{-1}bk \Leftrightarrow a^{-1}b = hk^{-1} \in H$$
, thus  $a^{-1}b \in H$ .

- 2. When  $aH \cap bH \neq \emptyset \ \exists k_1, h \in H \text{ such that } ak_1 = bh \in bH$ . Then  $\forall k_2 \in H \ a = bhk_1^{-1} \Rightarrow ak_2 = bhk_1^{-1}k_2$  wheere  $hk_1^{-1}k_2 \in H \text{ so } ak_2 \in bH$ ,  $\forall k_2 \in H$ .
- 3.  $x \to ax$  is bijection  $\Rightarrow |aH| = |H|$ .

Claim 2. Coset can generate a partition of group:

$$G = a_1 H \cup a_2 H \cup \cdots \cup a_r H$$

#### 6.9.1 index of a subgroup

**Definition 16.** Let H be a subgroup of a group G. The number of left cosets of H in G is the **index**.

**Note:** Since  $|aH| = |H| \ \forall a \in G$ , the index of a subgroup is the number of subgroups which have order |H|.

## 6.9.2 Lagrange Theorem: Order of subgroup divides the order of group

**Theorem 25** (Lagrange Theorem). Let  $H \leq G$  be a subgroup of finite group G. Then the order |H| divides the order |G|.

证明.

Give a partition

$$G = a_1 H \cup a_2 H \cup \dots \cup a_r H$$
$$|G| = |a_1 H| + |a_2 H| + \dots + |a_r H|$$
$$= r|H| \to |H| |G|$$

**6.9.3** Theoerm: Order of element  $a \in G = |\langle a \rangle|$  divides |G|

**Theorem 26** (Order of element/cyclic subgroup). For  $a \in G$ , the order of a (the smallest m such that  $a^m = e$ ) divides |G|. The order of a is the order of cyclic subgroup  $\langle a \rangle$  with generator a.

证明.

For 
$$a \in G$$
,  $H = \{a^n, n \in \mathbb{Z}\} \leq G$ .  $H$  is the size of  $m$ . With lagrange theorm,  $|H| = m |G|$ 

Corollary 5. Every group of prime order is cyclic.

## **6.9.4** Theorem: Order n cyclic group is isomorphic to $(\mathbb{Z}_n, +_n)$

**Theorem 27.** Let G be a cyclic group with generator a. If the order of G is infinite, then G is isomorphic to  $(\mathbb{Z}, +)$ . If G has finite order n, then G is isomorphic to  $(\mathbb{Z}_n, +_n)$ .

#### 6.10 Direct Products

## 6.10.1 Cartesian product

Let  $G_1, G_2, ..., G_n$  be n groups. Let  $G = G_1 \times G_2 \times \cdots \times G_n$  be the Cartesian product. For  $g \in G$ ,  $g = (g_1, ..., g_n)$ ,  $g_i \in G_i$ .

**Theorem 28.** Then (G,\*) becomes a group with operation \* defined as

$$a * b = (a_1, ..., a_n) * (b_1, ..., b_n) = (a_1b_2, ..., a_nb_n)$$
  $a, b \in G$ 

证明.

- (1) Binary operation  $*: G \times G \to G$ .
- (2) \* is associative:

$$(a*b)*c = a*(b*c) = (a_1b_1c_1, ..., a_nb_nc_n)$$

(3) Identity:  $e = (e_1, ..., e_n) \in G$ 

$$e * a = a = a * e$$

(4) Inverse:  $a^{-1} = (a_1^{-1}, ..., a_n^{-1}) \in G$ 

$$a * a^{-1} = a^{-1} * a = e$$

**6.10.2** Theorem:  $\mathbb{Z}_m \times \mathbb{Z}_n$  is cyclic and is isomorphic to  $\mathbb{Z}_{mn} \Leftrightarrow gcd(m,n) = 1$ 

**Theorem 29.** The group  $\mathbb{Z}_m \times \mathbb{Z}_n$  is cyclic and is isomorphic to  $\mathbb{Z}_{mn}$  if and only if gcd(m,n) = 1. 证明.

Claim: (1,1) generate  $\mathbb{Z}_m \times \mathbb{Z}_n$ 

k(1,1)=(k,k)=(0,0) if and only if m|k and n|k. The smallest such k is k=lcm(m,n)=mn. Hence,  $\mathbb{Z}_m \times \mathbb{Z}_n$  is a cyclic group with order mn. Then  $\mathbb{Z}_m \times \mathbb{Z}_n$  is isomorphic to  $\mathbb{Z}_{mn}$ .

We can define an isomorphism

$$\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$$

and its inverse

$$\psi: \mathbb{Z}_{mn} \to \mathbb{Z}_m \times \mathbb{Z}_n$$

Since  $\mathbb{Z}_{mn}\langle 1 \rangle$ ,  $\mathbb{Z}_m \times \mathbb{Z}_n = \langle (1,1) \rangle$ , we can write

$$\psi(x \bmod mn) = (x \bmod m, x \bmod n)$$

 $\psi$  is well-defined.

To describe  $\phi: \mathbb{Z}_m \times \mathbb{Z}_n \to \mathbb{Z}_{mn}$  at 1 = sm + tn and let

$$\phi(a \mod m, b \mod n) = (atn + bsm \mod mn)$$

$$\psi(atn + bsm \mod mn) = (atn + bsm \mod m, atn + bsm \mod n)$$

$$= (atn \mod m, bsm \mod n)$$

$$= (a(1 - sm) \mod m, b(1 - tn) \mod n)$$

$$= (a \mod m, b \mod n)$$

Hence  $\psi$  is the inverse of  $\phi$ .

**Corollary 6.** The group  $\prod_{i=1}^n \mathbb{Z}_{m_i}$  is cyclic and is isomorphic to  $\mathbb{Z}_{m_1m_2\cdots m_n}$  if and only if the numbers  $m_i$  for i=1,...,n are such that the gcd of any two of them is 1.

**Example 35.** If n is written as a product of powers of distinct prime numbers, as it

$$n = (p_1)^{n_1} (p_2)^{n_2} \cdots (p_r)^{n_r}$$

then  $\mathbb{Z}_n$  is isomorphic to

$$\mathbb{Z}_{(p_1)^{n_1}} \times \mathbb{Z}_{(p_2)^{n_2}} \times \cdots \times \mathbb{Z}_{(p_r)^{n_r}}$$

## 6.10.3 Finitely Generated Abelian Groups

**Theorem 30** (Primary Factor Version of the Fundamental Theorem of Finitely Generated Abelian Groups). Every finitely generated abelian group G is isomorphic to a direct product of cyclic groups in the form

$$\mathbb{Z}_{(p_1)^{r_1}} \times \mathbb{Z}_{(p_2)^{r_2}} \times \cdots \times \mathbb{Z}_{(p_n)^{r_n}} \times \mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}$$

where the  $p_i$  are primes, not necessarily distinct, and the  $r_i$  are positive integers. The number of factors of  $\mathbb{Z}$  and the prime powers  $(p_i)^{r_i}$  are unique.

- $\mathbb{Z}_{mn} \simeq \mathbb{Z}_m \times \mathbb{Z}_n$  if gcd(m,n) = 1.
- Abelian  $\Leftrightarrow \mathbb{Z}_m \times \mathbb{Z}_n = \mathbb{Z}_n \times \mathbb{Z}_m$

Example 36. Find all abelian group of order 16

5 nonisomorphic abelian group.

$$\begin{cases}
\mathbb{Z}_{16} \\
\mathbb{Z}_8 & \times \mathbb{Z}_2 \\
\mathbb{Z}_4 & \times \mathbb{Z}_4 \\
\mathbb{Z}_4 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 \\
\mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2 & \times \mathbb{Z}_2
\end{cases}$$

Example 37.

$$\mathbb{Z}_{6} \times \mathbb{Z}_{40} \times \mathbb{Z}_{49} \simeq \mathbb{Z}_{2} \times \mathbb{Z}_{3} \times \mathbb{Z}_{5} \times \mathbb{Z}_{8} \times \mathbb{Z}_{49}$$
$$\mathbb{Z}_{210} \times \mathbb{Z}_{56} \simeq \mathbb{Z}_{3} \times \mathbb{Z}_{7} \times \mathbb{Z}_{2} \times \mathbb{Z}_{5} \times \mathbb{Z}_{7} \times \mathbb{Z}_{8}$$

## **6.11** Def: Normal Subgroup $H \triangleleft G : aH = Ha, \forall a \in G$

**Definition 17.** A subgroup  $H \leq G$  is **normal** if its left and right cosets coincide, that is, if

$$aH = Ha, \quad \forall a \in G$$

*Notation:*  $H \triangleleft G$ 

**Note** that all subgroups of abelian groups are normal.

## 6.11.1 Thm: Three ways to check if H is normal

**Theorem 31.** "H < G is a normal subgroup of G ( $H \triangleleft G$ )" is equivalent to

- (1)  $ghg^{-1} \in H$  for all  $g \in G$  and  $h \in H$
- (2)  $gHg^{-1} = H$  for all  $g \in G$
- (3) gH = Hg for all  $g \in G$

## 6.11.2 Thm: A subgroup is "Well-defined Left Cosets Multiplication" $\Leftrightarrow$ "Normal"

**Theorem 32.** Let H be a subgroup of a group G. Then left coset multiplication is well defined by the equation

$$(aH)(bH) = (ab)H$$

if and only if  $H \triangleleft G$  (H is a normal subgroup of G). i.e.  $`x \in aH$  and  $y \in bH \Rightarrow xy \in abH$ ' if and only if `aH = Ha,  $\forall a \in G$ ' 证明.

- " $\Rightarrow$ ":  $\forall x \in aH, a^{-1} \in a^{-1}H \Rightarrow xa^{-1} \in H \Leftrightarrow x \in Ha \Rightarrow aH \subset Ha$ ; Similarly  $a^{-1}H \subset Ha^{-1} \Leftrightarrow Ha \subset aH \Rightarrow aH = Ha$
- "\(\infty\)": Let  $x \in aH$ ,  $y \in bH$ . Say  $x = ah_1, y = bh_2$

$$xy = (ah_1)(bh_2)$$

$$= a(h_1b)h_2$$

$$= a(bh_3)h_2 \quad \text{(Since } bH = Hb\text{)}$$

$$= (ab)(h_3h_2) \in abH$$

## **6.12** Factor Group $G/H = \{aH : a \in G\}$

**Definition 18.** The group  $G/H = \{aH : a \in G\}$  with (aH)(bH) = abH is the factor group (or quotient group) of G by H.

#### **6.12.1** Def: kernel H forms a factor group G/H

**Definition 19.** Let  $\phi: G \to G'$  be a homomorphism of groups with <u>kernel H</u>. Then the cosets of H form a **factor group**,  $G/H = \{aH : a \in G\}$ . where (aH)(bH) = (ab)H.

Also, the map  $\mu: G/H \to \phi[G]$  defined by  $\mu(aH) = \phi(a)$  is an isomorphism. Both coset multiplication and  $\mu$  are well defined, independent of the choices a and b from the cosets.

## **6.12.2** Cor: $ker\phi$ is a normal subgroup

Corollary 7.  $ker\phi$  is a normal subgroup:  $ker\phi \triangleleft G$  for all homonorphisms.

## **6.12.3** Corollary: normal subgroup H forms a group G/H

By the Thm: A subgroup is "Well-defined Left Cosets Multiplication"  $\Leftrightarrow$  "Normal".

Corollary 8. Let  $H \triangleleft G$  be a **normal subgroup** of G. Then the cosets of H form a group  $G/H = \{aH : a \in G\}$  under the binary operation (aH)(bH) = (ab)H. 证明.

- (1) \* is associative.
- (2) G/H has an identity H.

$$H * aH = aH * H = aH$$

(3)  $aH \in G/H$  has inverse  $a^{-1}H$ 

**Note:** This corollary contains the defintion because  $\underline{\text{kernel is normal subgroup}}(\text{kernel} \Rightarrow \text{normal subgroup})$ . (We can then prove they are exactly the same in the next theorem (kernel  $\Leftarrow$  normal subgroup))

**6.12.4** Thm: normal subgroup is a kernel of a surjective homomorphism  $\gamma: G \to G/H$ 

For any normal subgroup  $H \triangleleft G$ , we can define  $\gamma(x) = xH$  which is surjective with  $ker\gamma = H$ 

**Theorem 33.** Let  $H \triangleleft G$  be a normal subgroup of G. Define  $\gamma : G \rightarrow G/H$ ,  $\gamma(x) = xH$ . Then  $\gamma$  is a surjective homomorphism with  $ker \gamma = H$ .

证明.

- 1.  $\gamma$  is surjective homomorphism:  $\gamma(ab) = abH = (aH)(bH) = \gamma(a)\gamma(b)$
- 2.  $ker\gamma = H$ : The identity in G/H is the coset H.

$$ker\gamma = \gamma^{-1}(H) = \{a \in G : \gamma(a) = aH = H\}$$
$$= \{a \in G : a \in H\} = H$$

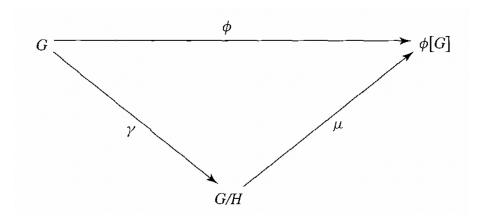


图 3: The Fundamental Homomorphism Theorem

## 6.12.5 The Fundamental Homomorphism Theorem: Every homomorphism $\phi$ can be factored to a homomorphism $\gamma:G\to G/H$ and isomorphism $\mu:G/H\to\phi[G]$

**Theorem 34** (The Fundamental Homomorphism Theorem).

<u>Homomorphism</u>  $\phi: G \to G'$  with kernel H can be **factored** 

$$\phi = \mu \gamma$$

where  $\gamma:G\to G/H$  is a <u>homomorphism,</u>  $\mu:G/H\to \phi[G]$  is an <u>isomorphism</u> where  $\gamma(g)=gH,\ \mu(gH)=\phi(g)$ 

Let  $\phi: G \to G'$  be a group homomorphism with kernel H.

Then  $\phi[G]$  is a group isomorphic to G/H, and  $\mu: G/H \to \phi[G]$  given by  $\mu(gH) = \phi(g)$  is an  $\underline{isomorphism}$ . (If  $\gamma: G \to G/H$  is the homomorphism given by  $\gamma(g) = gH$ , then  $\phi(g) = \mu\gamma(g)$  for  $\underline{each}\ g \in G$ .)

证明. i.e. prove  $\mu$  is (1) well-deifined, (2) isomorphism.

(1) well-defined: if aH = bH, then  $a^{-1}b \in H$ ,

$$\mu(bH) = \mu((a(a^{-1}b))H) = \phi(a(a^{-1}b)) = \phi(a)\phi(a^{-1}b) = \phi(a) = \mu(aH)$$

(2) homomorphism:

$$\mu(aHbH) = \mu(abH) = \phi(ab) = \phi(a)\phi(b) = \mu(aH)\mu(bH)$$

(3) isomorphism i.e. prove  $ker(\mu)$  is exactly the identity in G/H:

$$\mu(aH) = e' = \phi(a) \Leftrightarrow a \in ker(\mu), a \in ker(\phi) = H$$
  
  $\Leftrightarrow aH = H, \quad aH \text{ is the identity in } G/H$ 

Corollary 9. Let  $\phi: G \to G'$  be a homomorphism for finite group G, G'. Then  $(1).|\phi(G)| \Big| |G|$ ;  $(2).|\phi(G)| \Big| |G'|$  证明.

- (1) According to the Fundamental Homomorphism theorem,  $\phi(G)$  is one-to-one corresponse to G/H (H is the kernel of G), then  $|\phi(G)| = |G/H| = |\{aH : a \in G\}| \Rightarrow |\phi(G)| = |G|/|H|$
- (2) Proved by Lagrange theorem.

**6.12.6** Thm:  $(H \times K)/(H \times e) \simeq K$  and  $(H \times K)/(e \times K) \simeq H$ 

**Theorem 35.** Let  $G = H \times K$  be the direct product of groups H and K. Then  $\bar{H} = \{(h, e) \mid h \in H\}$  is a normal subgroup of G. Also  $G/\bar{H}$  is isomorphic to K in a natural way. Similarly,  $G/\bar{K} \simeq H$  in a natural way.

证明.  $\pi: H \times K \to K$  where  $\pi(h,k) = k$  has kernal  $\bar{H} = \{(h,e) \mid h \in H\}$ , then  $H \times K/\bar{H}$  is isomorphic to K. Prove  $G/\bar{K} \simeq H$  in the same way.

6.12.7 Thm: factor group of a cyclic group is cyclic [a]/N=[aN]

**Theorem 36.** A factor group of a cyclic group is cyclic. [a]/N = [aN]

- **6.12.8** Ex: 15.11 example  $\mathbb{Z}_4 \times \mathbb{Z}_6/(\langle (2,3) \rangle) \simeq \mathbb{Z}_4 \times \mathbb{Z}_3$  or  $\mathbb{Z}_{12}$
- **6.12.9** Thm: Homomorphism  $\phi: G \to G'$  preserves normal subgroups between G and  $\phi[G]$ .

**Theorem 37.** Let  $\phi: G \to G'$  be a group homomorphism. If N is a normal subgroup of G, then  $\phi[N]$  is a normal subgroup of  $\phi[G]$ . Also, if N' is a normal subgroup of  $\phi[G]$ , then  $\phi^{-1}[N']$  is a normal subgroup of G.

Note:  $\phi[N]$  is a normal subgroup of  $\phi[G]$  not G'. Counterexample:  $\phi: \mathbb{Z}_2 \to S_3$ , where  $\phi(0) = \rho_0$  and  $\phi(1) = \mu_1$  is a homomorphism, and  $\mathbb{Z}_2$  is a normal subgroup of itself, but  $\{\rho_0, \mu_1\}$  is not a normal subgroup of  $S_3$ .

## 6.13 Def: automorphism, inner automorphism

#### Definition 20.

An isomorphism  $\phi: G \to G$  of a group G with itself is an <u>automorphism</u> of G.

The automorphism  $\phi_g: G \to G$ , where  $\phi_g(x) = gxg^{-1}$  for all  $x \in G$ , is the <u>inner automorphism</u> of G by g. Performing  $\phi_g$  on x is called conjugation of x by g.

## 6.14 Simple Groups

**Definition 21.** A group G is  $\underline{simple}$  if it is nontrivial  $(G \neq \{e\})$  and has no proper nontrivial normal subgroups.  $(\nexists H \neq \{e\} \triangleleft G)$ 

**Theorem 38.** The alternating group  $A_n$  is simple for  $n \geq 5$  (alternating group is a group of even permutations on a set of length n)

## 6.15 The Center and Commutator Subgroups

## 6.15.1 Def: center and commutator subgroup

**Theorem 39.** All finite subgroup G have two normal subgroups,

- (1) The center of G,  $Z(G) = \{z \in G : za = az, \forall a \in G\} \triangleleft G$
- (2) The commutator subgroup of G,  $C(G) = [G, G] = \{[a, b] : a, b \in G\}$ .

**Definition 22.**  $[a,b] = aba^{-1}b^{-1}$  is the <u>commutator</u> of a and b.  $[a,b] \in G$  is the unique element such that ab = [a,b]ba.

## 6.15.2 Thm: commutator subgroup is normal

Theorem 40.  $[G,G] \triangleleft G$ 

证明. Consider  $[a,b] \in [G,G]$ , prove that  $\forall g \in G, g[a,b]g^{-1} \in [G,G]$ 

$$\begin{split} g[a,b]g^{-1} &= g(aba^{-1}b^{-1})g^{-1} = (gag^{-1})(gbg^{-1})(ga^{-1}g^{-1})(gb^{-1}g^{-1}) \\ &= (gag^{-1})(gbg^{-1})(gag^{-1})^{-1}(gbg^{-1})^{-1} = [gag^{-1},gbg^{-1}] \in [G,G] \end{split}$$

Example 38.

(1) For abelian group, Z(G) = G,  $C(G) = \{e\}$ 

(2) 
$$G = S_6, Z(G) = \{e\}, C(G) = \{1, \rho, \rho^2\}$$

(3) 
$$G = D_8 = \{1, \rho, \rho^2, \rho^3, \sigma, \sigma\rho, \sigma\rho^2, \sigma\rho^3\}, Z(G) = \{1, \rho^2\}, C(G) = \{1, \rho^2\}$$

(4) 
$$G = D_1 2$$
,  $Z(G) = \{1, \rho^3\}$ ,  $C(G) = \{1, \rho^2, \rho^4\}$ 

(5) 
$$G = A_4, Z(G) = \{(1)\}, C(G) = \{(1), (12)(34), (13)(24), (14)(23)\}$$

(6) 
$$G = S_4$$
,  $Z(G) = \{(1)\}$ ,  $C(G) = A_4$ 

**6.15.3** Thm: if  $N \triangleleft G$ , "G/N is abelian"  $\Leftrightarrow$  "[G,G] < N"

**Theorem 41.** If N is a normal subgroup of G, then G/N is abelian if and only if [G,G] < N.

证明.

If N is a normal subgroup of G and G/N is abelian, then  $(a^{-1}N)(b^{-1}N) = (b^{-1}N)(a^{-1}N)$ ; that is,  $aba^{-1}b^{-1}N = N$ , so  $aba^{-1}b^{-1} \in N$ , and  $C \leq N$ . Finally, if  $C \leq N$ , then

$$(aN)(bN) = abN = ab \left(b^{-1}a^{-1}ba\right)N$$
$$= \left(abb^{-1}a^{-1}\right)baN = baN = (bN)(aN)$$

## 6.16 Group Action on a Set

#### 6.16.1 Def:

**Definition 23.** Let X be a set and G a group. An **action of** G **on** X is a map  $*: G \times X \to X$  such that

- (1) ex = x for all  $x \in X$ .
- (2)  $(g_1g_2)(x) = g_1(g_2x)$  for all  $x \in X$  and all  $g_1, g_2 \in G$ .

Under these conditions, X is a G-set.

## 7 Ring and Field

7.1 Ring  $(R, +, \cdot)$ : + is associative, commutative, identity, inverse  $\in R$ ; · is associative, distributes over +

## 7.1.1 Def, Prop

**Definition 24.** A ring is a nonempty set with two operations, called addition and multiplication,  $(R, +, \cdot)$  such that

- (1): (R, +) is an ablian group: i.e. + is associative and commutative.  $0, -a \in R$
- (2): · is associative.
- (3): distributes over +:  $\forall a, b, c \in R, a \cdot (b+c) = a \cdot b + a \cdot c \text{ and } (b+c) \cdot a = b \cdot a + c \cdot a$

**Theorem 42.** If R is a ring with additive identity 0, then for any  $a, b \in R$  we have

- 1. 0a = a0 = 0,
- 2. a(-b) = (-a)b = -(ab),
- 3. (-a)(-b) = ab.

## 7.1.2 $S \subset R$ : Subring (closed under + and ·; addictive inverse $-a \in S$ )

**Proposition 25** (Proposition 2.6.27). If  $S \subset R$  is a subring, then  $+, \cdot$  make S into a ring.

## 7.1.3 Def: Commutative ring: ring's · is commutative

If "·" is commutative, we call  $(R, +, \cdot)$  a commutative ring.

## 7.1.4 Def: A ring with 1: the ring exists multiplication identity $1 \in R$

If there exists an element  $1 \in R \setminus \{0\}$  such that a1 = 1a = a,  $\forall a \in R$ , then we say that R is a ring with 1 (a ring with unity).

Note: We usually discuss  $1 \neq 0$ . If 1 = 0,  $a = 1a = 0 \Rightarrow R = \{0\}$ .

## 7.1.5 Def: In a ring R with 1, u is a <u>unit</u> if $\exists v \in R \text{ s.t. } uv = vu = 1$

**Definition 25.** In a ring R with 1, u is a <u>unit</u> if it has a <u>multiplicative inverse</u> in R i.e.  $\exists v \in R$  s.t. uv = vu = 1

**Example 39.** units in  $\mathbb{Z}$  are  $\{-1,+1\}$ ; in  $\mathbb{Z}_n$  are  $\{a \in \mathbb{Z}_n : gcd(a,n)=1\}$ 

## 7.1.6 Def: A ring with 1, R is a division ring if every nonzero element of R is a unit

**Definition 26.** A ring with 1, R is a <u>division ring</u> if every nonzero element of R is a unit. This is equalivalent to R has identity and <u>inverse</u> in multiplication.

#### 7.1.7 Def: Ring Homomorphism: $\phi(a+b) = \phi(a) + \phi(b), \ \phi(ab) = \phi(a)\phi(b)$

**Definition 27.** Let R, R' be rings. A map  $\phi: R \to R'$  is a ring homomorphism if

$$\phi(a+b) = \phi(a) + \phi(b)$$

$$\phi(ab) = \phi(a)\phi(b)$$

## 7.1.8 Def: <u>zero divisor</u>: a $a \neq 0 \in R$ if $\exists b \neq 0 \in R$ s.t. ba = 0 or ab = 0

**Definition 28.** A <u>nonzero element</u>  $a \in R$  is called a <u>zero divisor</u> if there exists a nonzero  $b \in R$  s.t. ba = 0 or ab = 0

Note: Mutiplication cancellation law holds when no zero divisors.

## Remark: In $\mathbb{Z}_n$ , an element is either 0 or unit or zero divisor

Remark: In  $\mathbb{Z}_n$ , an element is either (1) 0, (2) a unit, (3) a zero divisor.

The Hark. If 
$$\mathbb{Z}_n$$
, an element is either (1) 0, (2)
$$0 \neq a \in \mathbb{Z}_n \text{ is a } \begin{cases} \text{unit} & \text{if } gcd(a,n) = 1\\ \text{zero divisor} & \text{if } gcd(a,n) \neq 1 \end{cases}$$
In  $M_n(R)$ 

$$\begin{cases} \text{unit} & \text{if } rank(A) = n\\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$$
In  $R = \mathbb{Z}_n$  and  $\{0, \pm 1, \pm 1\}$  is neither unit parts.

In 
$$M_n(R)$$
  $\begin{cases} \text{unit} & \text{if } rank(A) = n \\ \text{zero divisor} & \text{if } rank(A) < n \end{cases}$ 

## 7.1.10 Thm: $a \in \mathbb{Z}_n$ is a zero divisor $\Leftrightarrow gcd(a, n) \neq 1$ .

**Theorem 43.** In the ring  $\mathbb{Z}_n$ , the zero divisors are precisely those nonzero elements that are not relatively prime to n.

## 7.1.11 Cor: $\mathbb{Z}_p$ has no zero divisors if p is prime.

## Def: An integral domain is a commutative ring with $1 \neq 0$ that has no zero divisors

**Definition 29.** An integral domain is a commutative ring with  $1 \neq 0$  that has no zero divisors.

 $\mathbb{Z}$  and  $\mathbb{Z}_p$  for any prime p are integral domains, but  $\mathbb{Z}_p$  is not an integral domain if n is not prime.

#### 7.2Field $\mathbb{F}$

#### 7.2.1 Def: A field is a commutative division ring.

**Definition 30.** A field is a commutative division ring.

Which is equal to a ring satisfies identity, inverse and commutative in multiplication. Field  $(\mathbb{F}, +, \cdot)$ (close, associative, commutative, distributive(M over A), identity & inverse(M,A))

Note: nonzero elements of a <u>finite field</u> can form a cyclic (sufficient for abelian) mutiplication group.

#### Differences between "Field" and "Integral Domain" 7.2.2

Def: An integral domain is a commutative ring with  $1 \neq 0$  that has no zero divisors

Def: A <u>field</u> is a commutative ring with  $1 \neq 0$  that every nonzero element of R is a unit.

#### 7.2.3 Lemma: A unit is not zero divisor

证明.  $a \in R$  is a unit and  $\frac{1}{a}$  is its inverse.

Assume there exists  $b \neq 0$  s.t. ab = 0, then

$$\frac{1}{a}(ab) = \frac{1}{a}0 = 0$$
$$= (\frac{1}{a}a)b = b$$

Contradiction!

Assume there exists  $b \neq 0$  s.t. ba = 0, then

$$(ba)\frac{1}{a} = 0\frac{1}{a} = 0$$
$$= b(a\frac{1}{a}) = b$$

Contradiction!

## 7.2.4 Lemma: A field doesn't has zero divisors

Since a field is a division ring, its nonzero elements are unit which is not zero divisor.

#### 7.2.5 Thm: Every field is an integral domain

Theorem 44. Every field is an integral domain.

prove by previous lemma.

## 7.2.6 Thm: Every finite integral domain is a field

**Theorem 45.** Every finite integral domain is a field.

证明. The only thing we need to show is that a typical element  $a \neq 0$  has a multiplicative inverse. Consider  $a, a^2, a^3, ...$  Since there are only finitely many elements we must have  $a^m = a^n$  for some m < n.

Then  $0 = a^m - a^n = a^m (1 - a^{n-m})$ . Since there are no zero-divisors we must have  $a^m \neq 0$  and hence  $1 - a^{n-m} = 0$  and so  $1 = aa^{n-m-1}$  and we have found a multiplicative inverse for a.

#### 7.2.7 Note: Finite Integral Domain $\subset$ Field $\subset$ Integral Domain

 $\mathbb{Z}_p$  is a field.

 $\mathbb{Z}$  is an integral domain but not a field.

## 7.3 The Characteristic of a Ring

## 7.3.1 Def: characteristic n is the least positive integer s.t. $n \cdot a = 0, \forall a \in R$

**Definition 31.** If for a ring R a positive integer n exists such that  $n \cdot a = 0$  for all  $a \in R$ , then the least such positive integer is the characteristic of the ring R. If no such positive integer exists, then R is of characteristic 0.

**Example 40.** The ring  $\mathbb{Z}_n$  is of characteristic n, while  $\mathbb{Z}, \mathbb{Q}, \mathbb{M}$ , and  $\mathbb{C}$  all have characteristic 0.

**7.3.2** Thm: In a ring with 1, characteristic  $n \in \mathbb{Z}^+$  s.t.  $n \cdot 1 = 0$ 

**Theorem 46.** Let R be a ring with 1. If  $n \cdot 1 \neq 0$  for all  $n \in \mathbb{Z}^+$ , then R has characteristic 0. If  $n \cdot 1 = 0$  for some  $n \in \mathbb{Z}^+$ , then the smallest such integer n is the characteristic of R.

- 8 The Ring  $\mathbb{Z}_n$  (Fermat's and Euler's Theorems)
- 8.1 Fermat's Theorem
- 8.1.1 Thm: nonzero elements in  $\mathbb{Z}_p$  (p is prime) form a group under multiplication

**Theorem 47.** The nonzero elements in  $\mathbb{Z}_p$  (p is prime) form a group under multiplication.

证明.  $\mathbb{Z}_p$  is a finite field.

8.1.2 Cor: (Little Theorem of Fermat)  $a \in \mathbb{Z}$  and p is prime not dividing a, then  $a^{p-1} \equiv 1 \mod p$  (p divides  $a^{p-1} - 1$ )

Corollary 10 (Little Theorem of Fermat).  $a \in \mathbb{Z}$  and p is prime not dividing a, then  $a^{p-1} \equiv 1 \mod p$  (p divides  $a^{p-1} - 1$ )

延明. Let  $G_p = \{a \in \mathbb{Z}_p : a \neq 0\}$ , by previous theorem, we know the  $G_p$  is a group under multiplication of size  $|G_p| = p - 1$ .

Then the order of a should divde  $|G_p| = p - 1$ , then

$$a^{p-1} = 1 \in G_p \Rightarrow a^{p-1} \equiv 1 \mod p$$

**8.1.3** Cor: (Little Theorem of Fermat) If  $a \in \mathbb{Z}$ , then  $a^p \equiv a \mod p$  for any prime p

## 8.2 Euler's Theorem

Euler's Theorem is more general form of Fermat's Theorem.

8.2.1 Thm:  $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$  forms a group under multiplication

**Theorem 48.** The set  $G_n$  of nonzero elements of  $\mathbb{Z}_n$  that are not zero divisors  $(G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\})$  forms a group under multiplication modulo n.

**8.2.2** Def: Euler phi function  $\phi(n) = |G_n|$ , where  $G_n = \{a \in \mathbb{Z}_n : gcd(a, n) = 1\}$ 

More generally, any  $n \in \mathbb{Z}^+$ ,  $a^{p-1} \equiv 1 \mod p$ . Then  $G_n$  is a group under mutiplication of size  $|G_n| = \phi(n)$ , we set  $\phi(n)$  be the Euler phi function. E.g.

$$\phi(8) = \#\{a \in \mathbb{Z}_8 : gcd(a,8) = 1\} = 4$$

$$\phi(15) = \#\{1, 2, 4, 7, 8, 11, 13, 14\} = 8$$

**8.2.3** Thm: (Euler's Theorem) If  $a \in \mathbb{Z}$ ,  $n \ge 2$  s.t. gcd(a,n) = 1 then  $a^{\phi(n)} \equiv 1 \mod n$ 

**Theorem 49.** If a is an integer relatively prime to n, then  $a^{\phi(n)}$ —1 is divisible by n, that is  $a^{\phi(n)} \equiv 1 \mod n$ .

证明. order of a should divide  $|G_n| = \phi(n)$  then  $a^{\phi(n)} = 1 \in G_n \Rightarrow a^{\phi(n)} \equiv 1 \mod n$ 

- 8.3 Application to  $ax \equiv b \pmod{m}$
- **8.3.1** Thm: find solution of  $ax \equiv b \pmod{m}$ , gcd(a, m) = 1

**Theorem 50.**  $a, b \in \mathbb{Z}_m, gcd(a, m) = 1$ , then ax = b has a unique solution in  $\mathbb{Z}_m$ 

证明. By Euler's Theorem,  $a^{\phi(m)} \equiv 1 \mod m$ , which means a is a unit of  $\mathbb{Z}_m$ , there exists a unique  $a^{-1} \in \mathbb{Z}_m$ .

Mutiply  $a^{-1} \in \mathbb{Z}_m$  on both side, we can get  $x = a^{-1}b$  is the solution.

8.3.2 Thm:  $ax \equiv b \pmod{m}$ , d = gcd(a, m) has solutions if d|b, the number of solutions is d

**Theorem 51.** Let m be a positive integer and let  $a, b \in \mathbb{Z}_m$ . Let d = gcd(a, m). The equation ax = b has a solution in  $\mathbb{Z}_m$  if and only if d divides b. When d divides b, the equation has exactly d solutions in  $\mathbb{Z}_m$ .

**8.3.3** Cor:  $ax \equiv b \pmod{m}$ , d = gcd(a, m), d|b, then solutions are  $\left(\left(\frac{a}{d}\right)^{\phi\left(\frac{m}{d}\right)-1}\frac{b}{d} + k\frac{m}{d}\right) + (m\mathbb{Z})$ , k = 0, 1, ..., d-1

**Corollary 11.** Let d = gcd(a, m). The congruence  $ax \equiv b \pmod{m}$  has a solution if and only if d divides b. When this is the case, the solutions are the integers in exactly d distinct residue classes modulo m.

Steps:

(1) let  $a_1 = a/d$ ,  $b_1 = b/d$ ,  $m_1 = m/d$ , solve

$$a_1 s \equiv b_1 \mod m_1 \Rightarrow s = a_1^{-1} b_1$$

where  $a_1^{-1} = a_1^{\phi(m_1)-1}$ 

(2) Solutions are

$$(s+km_1)+(m\mathbb{Z}), \quad k=0,1,...,d-1$$

**Example 41.** Find all solutions of  $12x \equiv 27 \mod 18$ 

 $d=\gcd(12,18)=6$ ,  $d \nmid 27 \Rightarrow$  no solutions.

**Example 42.** Find all solutions of  $15x \equiv 27 \mod 18$ 

d=gcd(15,18)=3,  $a_1 = 5, b_1 = 9, m_1 = 6$ . Then  $s = a_1^{-1}b_1 = 5 \cdot 9 = 3$ , then solutions are  $3 + 18\mathbb{Z}$ ,  $9 + 18\mathbb{Z}$ ,  $15 + 18\mathbb{Z}$ 

## 9 The Field of Quotients of an Integral Domain

Let D be an integral domain (a ring with 1 has no zero divisors) that we desire to enlarge to a field of quotients F. A coarse outline of the steps we take is as follows:

## 9.1 Step 1. Define what the elements of F are to be. (Define $S/\sim$ )

D is the given integral domain,  $S = \{(a,b)|a,b \in D, b \neq 0\} < D \times D$ 

## **9.1.1** Def: equivalent relation $(a,b) \sim (c,d) \Leftrightarrow ad = bc$

**Definition 32.** Two elements (a,b) and (c,d) in S are equivalent, denoted by  $(a,b) \sim (c,d)$ , if and only if ad = bc.

Note: we can image it as  $\frac{a}{b} = \frac{c}{d}$ , but don't use this form.

**Lemma 4.**  $\sim$  defines an equivalence relation on S.

证明. easy to prove (1) reflexive, (2) symmetric, (3) transitive.

## 9.2 Step 2. Define the binary operations of addition and multiplication on $S/\sim$ .

The relation  $\sim$  can define a set of all equivalence classes on  $[(a,b)], (a,b) \in S, S/\sim = \{[(a,b)]|(a,b) \in S\}$ 

## 9.2.1 lemma: well-defined operations $+, \times$

**Lemma 5.** For [(a,b)] and [(c,d)] in  $S/\sim$ , the equations

$$[(a,b)] + [(c,d)] = [(ad + bc,bd)]$$

and

$$[(a,b)][(c,d)] = [(ac,bd)]$$

give well-defined operations of addition and multiplication on  $S/\sim$ .

证明. Assume  $(a_1, b_1) \sim (a, b), (c_1, d_1) \sim (c, d)$ .

Verify  $+: (ad + bc, bd) \sim (a_1d_1 + b_1c_1, b_1d_1)$ 

- 9.3 Step 3. Check all the field axioms to show that F is a field under these operations.
- **9.3.1** Thm:  $S/\sim$  is a field with  $+,\times$

**Theorem 52.** With operation  $+, \times$ .  $S/\sim$  is a field.

证明. Check all field axioms:

Associative :+: 
$$\checkmark \times : \checkmark$$

$$Identity:+: \ \ [(0,1)] \qquad \times : [(1,1)]$$

$$[(a,b)] + [(0,1)] = [(a,b)], [(a,b)][(1,1)] = [(a,b)]$$

Inverse:+: 
$$[(-a,b)]$$
  $\times$ :  $[(b,a)]$ ,  $\forall a \neq 0$ 

$$[(a,b)] + [(-a,b)] = [(0,b^2)] = [(0,1)], \text{ where } (0,b^2) \sim (0,1) \Leftrightarrow 0 * 1 = b^2 * 0;$$
  
 $[(a,b)][(b,a)] = [(ab,ab)] = [(1,1)]$ 

$$Commucative :+ : \checkmark \times :\checkmark$$

Distributive laws:  $\checkmark$ 

9.4 Step 4. Show that F can be viewed as containing D as an integral subdomain.

**9.4.1** Lem:  $\phi(a) = [(a,1)]$  is an isomorphism between D and  $\{[(a,1)]|a \in D\}$ 

**Lemma 6.** The map  $\phi: D \to F = S/\sim \text{given by } \phi(a) = [(a,1)] \text{ is an } \underline{\text{isomorphism}} \text{ of } D \text{ with a subring of } F(=S/\sim).$ 

证明.

$$\phi(a+b) = [(a+b,1)] = [(a,1)] + [(b,1)]$$
$$\phi(ab) = [(ab,1)] = [(a,1)][(b,1)]$$

Injective: assume  $\phi(a) = \phi(b)$ , then

$$[(a,1)] = [(b,1)] \Leftrightarrow (a,1) \sim (b,1) \Leftrightarrow a = b$$

Surjective:  $\forall [(a,1)]$  is mapped from a

We prove that  $\phi$  is an isomorphism between D and  $\{[(a,1)]|a \in D\}$ .

9.4.2 Thm: every element of F can be expressed as a quotient of two elements of D:  $[(a,b)] = \frac{\phi(a)}{\phi(b)}$ 

 $\forall [(a,b)] \in F,$ 

$$[(a,b)] = [(a,1)][(1,b)] = \frac{[(a,1)]}{[(1,b)]^{-1}} = \frac{[(a,1)]}{[(b,1)]} = \frac{\phi(a)}{\phi(b)}$$

**Theorem 53.** Any integral domain D can be enlarged to (or embedded in) a field  $F = S/\sim$  such that every element of F can be expressed as a quotient of two elements of D. (Such a field F is a field of quotients of D.)

## 10 Polynomials

## 10.1 Def: Polynomials

Let R be any field. A polynomial over R in variable x is a formal sum:

$$a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = \sum_{i=0}^{n} a_i x^i$$

where  $n \geq 0$  is an integer,  $a_1, a_1, ..., a_n \in \mathbb{F}$ .

Polynomial is a squence  $\{a_k\}_{k=0}^{\infty}$  with  $a_m = 0, \forall m > n$ .

**Remark:**  $f(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_d x^d$  If  $a_d \neq 0$  and  $a_i = 0, \forall i > d, d$  is the <u>degree</u> of f(x).

## 10.2 Rings of Polynomials

## 10.2.1 Thm: R[x] is a ring under addition and multiplication

**Theorem 54.** The set R[x] of all polynomials in an indeterminate x with coefficients in a ring R is a ring under polynomial addition and multiplication.

Note: If R is commutative, then so is R[x], and if R has unity  $1 \neq 0$ , then 1 is also unity for R[x].

Let R[x] denote the set of all polynomials with coefficients in the ring R.

$$R[x] = \{ \sum_{i=0}^{n} a_i x^i | n \ge 0, n \in \mathbb{Z}, a_0, ..., a_n \in R \}$$

We call the R[x] polynomial ring over the ring R.

$$f = \sum_{i=0}^{n} a_i x^i, g = \sum_{j=0}^{n} a_j x^j \in R[x]$$

$$f + g = \sum_{i=0}^{n} (a_i + b_i) x^i \in R[x]$$

$$fg(\sum_{i=0}^{n} a_i x^i) (\sum_{j=0}^{n} a_j x^j) = \sum_{i=0}^{2n} (\sum_{j=0}^{i} a_j b_{i-j}) x^i$$

#### 10.2.2 Def: evaluation homomorphism

**Definition 33.** Let F be a field, and let  $\alpha \in F$ . Define an evaluation map.  $EV_{x=\alpha} : F[x] \to F$ ,  $\phi_{\alpha}(\sum_{i=0}^{\infty} a_i x^i) = \sum_{i=0}^{\infty} a_i \alpha^i$ . Then,

$$\phi_{\alpha}(f(x) + g(x)) = \phi_{\alpha}(f(x)) + \phi_{\alpha}(g(x))$$
$$\phi_{\alpha}(f(x)g(x)) = \phi_{\alpha}(f(x))\phi_{\alpha}(g(x))$$

 $\phi_{\alpha}$  is a ring homomorphism. We call it evaluation homomorphism.

**Example 43.** Consider  $EV_{x=2}: \mathbb{Q}[x] \to \mathbb{Q}$ .  $EV_{x=2}$  is a ring homomorphism. In particular it is a group homomorphism for addition.

$$\phi_2 (a_0 + a_1 x + \dots + a_n x^n) = a_0 + a_1 2 + \dots + a_n 2^n$$

Note that

$$\phi_2(x^2 + x - 6) = 2^2 + 2 - 6 = 0.$$

Thus  $x^2 + x - 6$  is in the kernel N of  $\phi_2$ . Of course,

$$x^{2} + x - 6 = (x - 2)(x + 3),$$

and the reason that  $\phi_2(x^2 + x - 6) = 0$  is that  $\phi_2(x - 2) = 2 - 2 = 0$ .

Example 44. Compute  $EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) \in \mathbb{Z}_7[x]$ 

$$EV_{x=4}(3x^{106} + 5x^{99} + 2x^{53}) =$$

According to the little Theorem of Fermat,  $x^6 \equiv 1 \mod 7$ .

$$=3x^4 + 5x^3 + 2x^5 = 0 \in \mathbb{Z}_7$$

**10.2.3 Def:**  $\alpha$  **is zero if**  $EV_{x=\alpha}(f(x)) = 0$ 

**Definition 34.** We say that  $\alpha$  is a zero of f(x) if  $EV_{x=\alpha}(f(x)) = 0$ .

**Example 45.** Find all zeros of  $f(x) = x^3 + 2x + 2$  in  $\mathbb{Z}_7$ .

Solve by checking all value  $f(x), x = 0, 1, ..., 6 \Rightarrow zeros \ are \ x = 2, \ x = 3.$ 

## 10.3 Degree of a Polynomial: deg(f)

 $f = \sum_{i=0}^{n} a_i x^i$ , deg(f) = degree of f is,

$$deg(f) = \begin{cases} 0 & \text{if } f \text{ is constant, } f \neq 0 \\ n & \text{if } a_n \neq 0 \text{ in above } (a_n = \text{leading coefficient}) \\ -\infty & \text{if } f = 0 \end{cases}$$

Define  $-\infty + a = a + (-\infty) = -\infty \ \forall a \in \mathbb{Z} \cup \{-\infty\}$ 

**10.3.1** Lemma 2.3.3:  $deg(fg) = deg(f) + deg(g), deg(f+g) \le \max\{deg(f), deg(g)\}$ 

**Lemma 7** (Lemma 2.3.3). For any field  $\mathbb{F}$  and f,  $g \in \mathbb{F}[x]$ ,

$$deg(fg) = deg(f) + deg(g)$$
 
$$deg(f+g) \le \max\{deg(f), deg(g)\}$$

## 10.4 Corollary 2.3.5: Unit(invertible) in $\mathbb{F}[x]$ : constant $\neq 0$ iff deg(f) = 0

Corollary 12 (Corollary 2.3.5). For any field  $\mathbb{F}$  and  $f \in \mathbb{F}[x]$ , Then f is a <u>unit</u>(i.e. invertible) in  $\mathbb{F}[x]$  iff deg(f) = 0.

证明.

Obviously,  $deg(f) = 0 \Rightarrow f$  is a unit.

Suppose f is a unit, i.e.  $\exists g \in \mathbb{F}[x]$  s.t. fg = 1.

$$0 = deg(fg) = deg(f) + deg(g) \Rightarrow deg(f), deg(g) \ge 0 \Rightarrow deg(f) = 0, deg(g) = 0.$$

# **10.5** <u>Irreducible</u> Polynomials: "无法分解为两个 degree ≥ 1 的多项式积"的多项式: 至 少一个是 constant (i.e. degree = 0)

A nonconstant polynomial f is <u>irreducible</u> if f = uv,  $u, v \in \mathbb{F}[x]$ , then either u or v is a unit(i.e., constant  $\neq 0$ )

## 10.6 Theorem 2.3.6: nonconstant polynomials 可以被唯一地分解

**Theorem 55** (Theorem 2.3.6). Suppose  $\mathbb{F}$  is a field and  $f \in \mathbb{F}[x]$  is any nonconstant. Then  $f = ap_1p_2 \dots p_k$  where  $a \in \mathbb{F}$ ,  $p_1, \dots p_k \in \mathbb{F}[x]$  are irreducible monic polynomials (monic = i.e. leading coeff. 1). If  $f = bq_1q_2 \dots q_r$  with  $b \in \mathbb{F}$  and  $q_1, q_2, \dots, q_r \in \mathbb{F}[x]$  monic irreducible, then a = b, k = r, and after reindexing  $p_i = q_i$ ,  $\forall i$ 

**Lemma 8** (Lemma 2.3.7). Suppose  $\mathbb{F}$  is a field and  $f \in \mathbb{F}[x]$  is nonconstant monic polynomial. Then  $f = p_1 p_2 \dots p_k$  where each  $p_i$  is monic irreducible.

证明.

Prove it by induction. When deg(f) = 1, f = uv,  $u, v \in \mathbb{F}[x]$ ,  $deg(f) = deg(u) + deg(v) \Rightarrow$  one of these is 0.

Suppose the lemma holds for all degree < n. When deg(f) = n,

Either f is irreducible, done.

Suppose 
$$f = uv$$
 with  $deg(u), deg(v) \ge 1$ 

$$\Rightarrow deg(u), deg(v) < n \Rightarrow u = p_1 p_2 \dots p_k, v = q_1 q_2 \dots q_j \text{ So, } f = p_1 p_2 \dots p_k q_1 q_2 \dots q_j.$$

**Example 46.**  $x^2 - 1 \in \mathbb{Q}[x]$  reducible

$$x-1, x+1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{Q}[x]$$
 irreducible

$$x^2 + 1 \in \mathbb{C}[x]$$
 reducible

$$x^{2}-1=x^{2}+1=[1]x^{2}+[1]\in\mathbb{Z}_{2}[x]$$
 reducible

## 10.7 Divisibility of Polynomials

 $f, g \in \mathbb{F}[x], f \neq 0, f \text{ divides } g, f|g \text{ means } \exists u \in \mathbb{F}[x] \text{ s.t. } g = fu.$ 

**Proposition 26** (Proposition 2.3.8).  $f, h, g \in \mathbb{F}[x]$ , then

- (i) If  $f \neq 0, f | 0$
- (ii) If f|1, f is nonzero constant
- (iii) If f|g and g|f, then f = cg for some  $c \in \mathbb{F}$
- (iv) If f|g and g|h, then f|h
- (v) If f|g and f|h, then f|(ug+vh) for all  $u,v \in \mathbb{F}[x]$ .

## 10.7.1 Greatest common divisor of f and g: is not unique, we denote monic Greatest common divisor as gcd(f,g)

If  $f, g \in \mathbb{F}[x]$  are nonzero polynomials, a greatest common divisor of f and g is a polynomial  $h \in \mathbb{F}[x]$  such that

- (i) h|f and h|g, and
- (ii) if  $k \in \mathbb{F}[x]$  and k|f and k|g, then k|h.

the gcd is not unique, but the monic gcd is unique. We call it **the monic greatest common divisor**, denote it gcd(f,g).

Example 47.

$$x^{2} - 1, x^{2} - 2x + 1 \in \mathbb{Q}[x]$$
$$(x - 1)(x + 1), (x - 1)^{2} \in \mathbb{Q}[x]$$
$$x - 1 = \gcd(x^{2} - 1, x^{2} - 2x + 1)$$

## 10.7.2 Proposition 2.3.9: Euclidean Algorithm of polynomials

**Proposition 27** (Proposition 2.3.9). Given  $f, g \in \mathbb{F}[x]$ ,  $g \neq 0$ , then  $\exists q, r \in \mathbb{F}[x]$  s.t. deg(r) < deg(g) and f = qg + r

Example 48.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$
  
$$f = 3g + x^2 - 3x + 2$$

## 10.7.3 Proposition 2.3.10: gcd(f,g) 是 degree 最小的 f,g 的线性组合

**Proposition 28** (Proposition 2.3.10). Any 2 nonzero polynomials  $f, g \in \mathbb{F}[x]$  have a gcd in  $\mathbb{F}[x]$ . In fact among all polynomials in the set  $M = \{uf + vg | u, v \in \mathbb{F}[x]\}$  any nonconstant of minimal degree are gcds.

证明.

 $h \in M$ , deg(h) = d minimal. Let k|f and  $k|g \Rightarrow k|uf + vg$ ,  $\forall u, v \Rightarrow k|h$ .

Suppose  $h' \in M$  is any nonzero element.  $deg(h') \ge deg(h) \Rightarrow \exists q, r \in \mathbb{F}, deg(r) < deg(h) \ h' = qh + r$ .  $r = h' - qh \in M$ . Since deg(h) = d is nonconstant minimal degree,  $r = 0 \Rightarrow h' = qh$ . So  $\exists q_1, q_2 \in \mathbb{F}[x], \ 1f + 0g = q_1h, 0f + 1g = q_2h \Rightarrow h|g, h|f$ .

### Example 49.

$$f = 3x^3 - 5x^2 - 3x + 5, g = x^3 - 2x^2 + 1 \in \mathbb{Q}[x]$$

$$f = 3g + x^2 - 3x + 2$$

$$g = (x+1)(x^2 - 3x + 2) + x - 1$$

$$x^2 - 3x + 2 = (x-2)(x-1)$$

$$\Rightarrow gcd(f,g) = x - 1$$

$$x - 1 = g - (x+1)(x^2 - 3x + 2) = g - (x+1)(f - 3g) = (3x+4)g - (x+1)f$$

**Example 50.** Find a greatest common divisor of  $f = x^3 - x^2 - x + 1$  and  $g = x^2 - 3x + 2$  in  $\mathbb{Q}[x]$ , and express it in form uf + vg,  $u, v \in \mathbb{Q}[x]$ .

$$f = (x+2)g + 3x - 3$$
$$g = \frac{1}{3}(x-2)(3x-3)$$
$$gcd(f,g) = 3x - 3$$
$$3x - 3 = f - (x+2)g$$

## **10.7.4** Proposition 2.3.12: $gcd(f,g) = 1, f|gh \Rightarrow f|h$

**Proposition 29** (Proposition 2.3.12). If  $f, g, h \in \mathbb{F}[x]$ , gcd(f, g) = 1, and f|gh, then f|h.

## 10.7.5 Corollary 2.3.13: irreducible $f, f|gh \Rightarrow f|g$ or f|h

**Corollary 13** (Corollary 2.3.13). If  $f \in \mathbb{F}[x]$  is irreducible, and f|gh, then f|g or f|h.

Since f is irreducible, we have two possible situations:

- 1. gcd(f,g) = f, i.e. f|g done.
- 2. gcd(f,g) = 1, then according to Prop 2.3.12, we can know f|h.

#### 10.8 Roots

Root: $\alpha \in \mathbb{F}$  is a root of f if  $f(\alpha) = 0$ .

## 10.8.1 Corollary 2.3.16(of Euclidean Algorithm): f 可被分为 $(x-\alpha)q+f(\alpha)$ i.e. if $\alpha$ is a root, then $(x-\alpha)|f$

**Corollary 14** (Corollary 2.3.16(of Euclidean Algorithm)).  $\forall f \in \mathbb{F}[x]$  and  $\alpha \in \mathbb{F}$ , there exists a polynomial  $q \in \mathbb{F}[x]$  s.t.  $f = (x - \alpha)q + f(\alpha)$ . In particular, if  $\alpha$  is a root, then  $(x - \alpha)|f$ .

## 10.9 Multiplicity

If  $\alpha$  is a root of f, say its multiplicity is m, if  $x - \alpha$  appears m times in irreducible factorization.

## 10.9.1 Sum of multiplicity $\leq deg(f)$

**Proposition 30** (Proposition 2.3.17). Given a nonconstant polynomial  $f \in \mathbb{F}[x]$ , the number of roots of f, counted with multiplicity, is at most deg(f).

## 10.10 Roots in a filed may not in its subfield

Note if  $\mathbb{F} \subset \mathbb{K}$ , then  $\mathbb{F}[x] \subset \mathbb{K}$ .  $f \in \mathbb{F}[x]$  may have no roots in  $\mathbb{F}$ , but could have roots in  $\mathbb{K}$ 

**Example 51.** 
$$x^n - 1 \in \mathbb{Q}[x]$$
 has a root in  $\mathbb{Q}$ : 1; has 2 roots if  $n$  even:  $\pm 1$  roots in  $\mathbb{C}$ :  $\zeta_n = e^{\frac{2\pi i}{n}}$ , then  $\zeta_n^n = e^{2\pi i} = 1$ ;  $(\zeta_n^k)^n = e^{2\pi ki} = 1$  So, the roots:  $\{e^{\frac{2\pi ki}{n}}|k=0,...,n-1\}$  The roots of  $x^n - d$ :  $\{e^{\frac{2\pi ki}{n}}\sqrt{d}|k=0,...,n-1\}$ 

## 11 Linear Algebra

## 11.1 Vector Space $(V, +, \times)$ (over a field $\mathbb{F}$ )

A <u>vector space</u> over a field  $\mathbb F$  is a set V w/ an operation <u>addition</u>  $+: V \times V \to V$  and an operation scalar multiplication  $\mathbb F \times V \to V$ 

- (1) Addition is associative & commutative
- (2)  $\exists 0 \in V$ , additive identity:  $0 + v = v \forall v \in V$
- (3)  $1v = v \forall v \in V \text{ (where } 1 \in \mathbb{F} \text{ is multi. id. in } \mathbb{F} \text{ )}$
- (4)  $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ \alpha(\beta v) = (\alpha \beta)v$
- (5)  $\forall v \in V$ , (-1)v = -v we have v + (-v) = 0
- (6)  $\forall \alpha \in \mathbb{F}, \ v, u \in V, \ \alpha(v+u) = \alpha v + \alpha u$
- (7)  $\forall \alpha, \beta \in \mathbb{F}, \ v \in V, \ (\alpha + \beta)v = \alpha v + \beta v$

## 11.1.1 A field is a vector space over its subfield

**Example 52.**  $\mathbb{K} \subset \mathbb{F}$  is a subfield of a field  $\mathbb{F}$ . Then  $\mathbb{F}$  is a vector space over  $\mathbb{K}$ . (Since  $\mathbb{F} \subset \mathbb{F}[x]$ , then  $\mathbb{F}[x]$  is a vector space over  $\mathbb{F}$ .)

#### 11.1.2 Vector subspace

Suppose that V is a vector space over  $\mathbb{F}$ . A <u>vector subspace</u> or just <u>subspace</u> is a nonempty subset  $W \subset V$  closed under addition and scalar multiplication. i.e.  $v + w \in W$ ,  $av \in W$ ,  $\forall v, w \in W$ ,  $a \in \mathbb{F}$ .

**Example 53.**  $\mathbb{K} \subset \mathbb{L} \subset \mathbb{F}$ , then  $\mathbb{L}$  is a subspace of  $\mathbb{F}$  over  $\mathbb{K}$ .

### 11.2 Linear independent, Linear combination

## 11.3 span V, basis, dimension, Proposition 2.4.10

A set of elements  $v_1, ..., v_n \in V$  is said to **span** V if every vector  $v \in V$  can be expressed as a linear combination of  $v_1, ..., v_n$ . If  $v_1, ..., v_n$  spans and is linearly independent, then we call the set a **basis** for V.

**Proposition 31** (Proposition 2.4.10.). Suppose V is a vector space over a field  $\mathbb{F}$  having a basis  $\{v_1, ..., v_n\}$  with  $n \geq 1$ .

- (i) For all  $v \in V$ ,  $v = a_1v_1 + ... + a_nv_n$  for exactly one  $(a_1, ..., a_n) \in \mathbb{F}^n$ .
- (ii) If  $w_1, ..., w_n$  span V, then they are linearly independent.
- (iii) If  $w_1, ..., w_n$  are linearly independent, then they span V.

If a vector space V over  $\mathbb{F}$  has a basis with n vectors, then V is said to be n-dimensional (over  $\mathbb{F}$ ) or is said to have **dimension** n.

#### 11.3.1 Standard basis vectors

$$e_1 = (1, 0, ..., 0), e_2 = (0, 1, 0, ..., 0), ..., e_n = (0, 0, ..., 0, 1) \in \mathbb{F}^n$$

are a basis for  $\mathbb{F}^n$  called the **standard basis vectors**.

#### 11.4 Linear transformation

Given two vector spaces V and W over  $\mathbb{F}$  a linear transformation is a function  $T:V\to W$  such that for all  $a\in\mathbb{F}$  and  $v,w\in V$ , we have

$$T(av) = aT(v)$$
 and  $T(v + w) = T(v) + T(w)$ 

**Proposition 32** (Proposition 2.4.15.). If V and W are vector spaces and  $v_1, ..., v_n$  is a basis for V then any function from  $\{v_1, ..., v_n\} \to W$  extends uniquely to a linear transformation  $V \to W$ .

Any 
$$v \in V$$
,  $\exists (a_1, ..., a_n)$  s.t.  $v = a_1v_1 + ... + a_nv_n$ . Then  $T(v) = T(a_1v_1 + ... + a_nv_n) = a_1T(v_1) + ... + a_nT(v_n)$ 

## 11.4.1 Corollary 2.4.16: 一个线性变换对应一个矩阵 bijection $\mathcal{L}(V,M) \to M_{m \times n}(\mathbb{F})$

**Corollary 15** (Corollary 2.4.16.). If  $v_1, ..., v_n$  is a basis for a vector space V and  $w_1, ..., w_n$  is a basis for a vector space W (both over  $\mathbb{F}$ ), then any linear transformation  $T: V \to W$  determines (and is determined by) the  $m \times n$  matrix:

$$A = A(T) = \begin{bmatrix} A_{11} & A_{12} & \dots & A_{1n} \\ A_{21} & A_{22} & \dots & A_{2n} \\ \vdots & \vdots & \dots & \vdots \\ A_{m1} & A_{m2} & \dots & A_{mn} \end{bmatrix}$$

$$\begin{bmatrix} w_1 & \cdots & w_m \end{bmatrix}^T = A \quad \begin{bmatrix} v_1 & \cdots & v_n \end{bmatrix}^T$$

 $\mathcal{L}(V, M)$  denotes the set of all linear transformations from V to W;  $M_{m \times n}(\mathbb{F})$  the set of  $m \times n$  matrix with entries in  $\mathbb{F}$ .  $T \to A(T)$  defines a bijection  $\mathcal{L}(V, M) \to M_{m \times n}(\mathbb{F})$ . A(T) represents the linear transformation T.

## 11.4.2 Proposition 2.4.19: 线性变换矩阵相乘仍为线性变换矩阵

**Proposition 33** (Proposition 2.4.19). Suppose that V, W, and U are vector spaces over  $\mathbb{F}$ , with fixed chosen bases. If  $T: V \to W$  and  $S: W \to U$  are linear transformations represented by matrices A = A(T) and B = B(S), then  $ST = S \circ T: V \to U$  is a linear transformation represented by the matrix BA = B(S)A(T).

## 11.5 GL(V): invertible(bijective) linear transformations $V \to V$

Given a vector space V over F, we let  $GL(V) \subset \mathcal{L}(V,V)$  denote the subset of **invertible linear** transformations.

$$GL(V) = \{T \in \mathcal{L}(V, V) | T \text{ is a bijection}\} = \mathcal{L}(V, V) \cap Sym(V)$$

## 12 Euclidean geometry basics

#### 12.1 Euclidean distance, inner product

Euclidean distance on  $\mathbb{R}^n$ :

$$|x - y| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$$

Euclidean inner product:

$$x \cdot y = x_1 y_1 + \dots + x_n y_n = x^T y$$

## 12.2 Isometry of $\mathbb{R}^n$ : a bijection $\mathbb{R}^n \to \mathbb{R}^n$ preserves distance

An **isometry** of  $\mathbb{R}^n$  is a bijection  $\Phi: \mathbb{R}^n \to \mathbb{R}^n$  that preserves distance, which means,

$$|\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n$$

## 12.2.1 $Isom(\mathbb{R}^n)$ : set of all isometries of $\mathbb{R}^n$

We use  $Isom(\mathbb{R}^n)$  denotes the set of all isometries of  $\mathbb{R}^n$ ,

$$Isom(\mathbb{R}^n) = \{ \Phi : \mathbb{R}^n \to \mathbb{R}^n | |\Phi(x) - \Phi(y)| = |x - y|, \ \forall x, y \in \mathbb{R}^n \}$$

## 12.2.2 $Isom(\mathbb{R}^n)$ is closed under $\circ$ and inverse

**Proposition 34.**  $\Phi, \Psi \in Isom(\mathbb{R}^n)$ , then  $\Phi \circ \Psi, \Phi^{-1} \in Isom(\mathbb{R}^n)$ 

证明.

Since  $\Phi, \Psi$  are bijections, so is  $\Phi \circ \Psi$ . Moreover,

$$|\varPhi\circ\varPsi(x)-\varPhi\circ\varPsi(y)|=|\varPhi(\varPsi(x))-\varPhi(\varPsi(y))|=|\varPsi(x)-\varPsi(y)|=|x-y|$$

Since  $id \in Isom(\mathbb{R}^n)$ ,

$$|x - y| = |id(x) - id(y)| = |\Phi \circ \Phi^{-1}(x) - \Phi \circ \Phi^{-1}(y)| = |\Phi^{-1}(x) - \Phi^{-1}(y)|$$

**12.3**  $A \in GL(n, \mathbb{R}), T_A(v) = Av: A^tA = I \Leftrightarrow T_A \in Isom(\mathbb{R}^n)$ 

There is a matrix  $A \in GL(n, \mathbb{R})$  i.e. a invertible linear transffrmations  $T_A : \mathbb{R}^n \to \mathbb{R}^n$  is given by  $T_A(v) = Av$ .

$$T_A(v) \cdot T_A(w) = (Av) \cdot (Aw) = (Av)^t (Aw) = v^t A^t A w$$
$$A^t A = I \Leftrightarrow T_A(v) \cdot T_A(w) = v \cdot w \Leftrightarrow_{(HW4)} T_A \in Isom(\mathbb{R}^n)$$

## 12.4 Linear isometries i.e. orthogonal group $O(n) = \{A \in GL(n, \mathbb{R}) | A^tA = I\}$

We define the all isometries in invertible linear transformations  $\mathbb{R}^n \to \mathbb{R}^n$  as **orthogonal group** 

$$O(n) = \{ A \in GL(n, \mathbb{R}) | A^t A = I \} \subset GL(n, \mathbb{R})$$

# 12.4.1 Special orthogonal group $SO(n) = \{A \in O(n) | det(A) = 1\}$ : orthogonal group with det(A) = 1

O(n) are the matrices representing linear isometries of  $\mathbb{R}^n$ .  $1 = det(I) = det(A^tA) = det(A^t)det(A) = det(A)^2 \Rightarrow det(A) = 1$  or det(A) = -1. We use **special orthogonal group** represents A with det(A) = 1,

$$SO(n) = \{ A \in O(n) | det(A) = 1 \}$$

## 12.5 translation: $\tau_v(x) = x + v$

Define a translation by  $v \in \mathbb{R}^n$ ,

$$\tau_v : \mathbb{R}^n \to \mathbb{R}^n, \ \tau_v(x) = x + v$$

## 12.5.1 translation is an isometry

Note 5 (Exercise 2.5.3).  $\forall v \in \mathbb{R}^n, \tau_v \text{ is an isometry.}$ 

证明. 
$$|\tau_v(x) - \tau_v(y)| = |(x+v) - (y+v)| = |x-y|$$

## 12.6 The composition of a translation and an orthogonal transformation is an isometry $\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$

Since the composition of isometries is an isometry,  $\forall A \in O(n)$  and  $v \in \mathbb{R}^n$ , the composition

$$\Phi_{A,v}(x) = \tau_v(T_A(x)) = Ax + v$$

is an isometry. which could account for all isometries.

## 12.6.1 Theorem 2.5.3: All isometries can be represented by a composition of a translation and an orthogonal transformation, $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$

**Theorem 56** (Theorem 2.5.3).  $Isom(\mathbb{R}^n) = \{\Phi_{A,v} | A \in O(n), v \in \mathbb{R}^n\}$ 

## 13 Complex numbers

$$\mathbb{C} = \{a + bi | a, b \in \mathbb{R}\}, \ \mathbb{R} = \{a + 0i | a \in \mathbb{R}\} \subset \mathbb{C}$$

Addition & multiplication

$$(a+bi) + (c+di) = (a+c) + (b+d)i$$
$$(a+bi)(c+di) = ac + bci + adi + bdi^{2}$$
$$= (ac - bd) + (bc + ad)i$$

Complex conjugation:  $z = a + bi, \bar{z} = a - bi, \overline{zw} = \bar{z}\bar{w}$ 

**Absolute value**: 
$$|z| = \sqrt{a^2 + b^2}$$
,  $|z|^2 = z\bar{z}$ 

Additive inverse: -z = -a - bi

<u>Multiplicative inverse</u>:  $z^{-1} = \frac{1}{z} = \frac{1}{a+bi} = \frac{a-bi}{a^2+b^2} = \frac{\bar{z}}{|z|^2}$ 

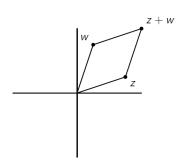
$$z \in \mathbb{C}, \overline{z+\bar{z}} = \bar{z} + \bar{\bar{z}} = z + \bar{z}$$

Real part: 
$$Re(z) = \frac{z + \bar{z}}{2}$$

Imaginary part:  $Im(z) = \frac{z - \bar{z}}{2i}$ 

## 13.1 Geometric Meaning of Addition and Multiplication

Addition: parallelogram law



Multiplication:

$$z = a + bi \neq 0$$

$$= r \cos \theta + r \sin \theta i$$

$$= r(\cos \theta + i \sin \theta)$$

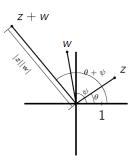
$$|z|^2 = a^2 + b^2 = r^2$$

$$z = r(\cos \theta + i \sin \theta)$$

$$w = s(\cos \phi + i \sin \phi)$$

$$zw = rs[\cos \theta \cos \phi - \sin \theta \sin \phi + i(\cos \theta \sin \phi + \cos \phi \sin \theta)]$$

$$= rs[\cos(\theta + \phi) + i \sin(\theta + \phi)]$$



 $=|z||w|[cos(\theta+\phi)+i\sin(\theta+\phi)]$ 

We will write,

$$\cos \theta + i \sin \theta = e^{i\theta}$$
$$e^{i\theta}e^{i\phi} = e^{i(\theta + \phi)}$$
$$z = |z|e^{i\theta}$$

13.2 Theorem 2.1.1:  $f(x) = a_0 + a_1 x + ... + a_n x^n$  with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$ . Then f has a <u>root</u> in  $\mathbb{C}$ :  $\exists \alpha \in \mathbb{C}$  s.t.  $f(\alpha) = 0$ 

**Theorem 57** (Theorem 2.1.1). Supose a nonconstant polynomial  $f(x) = a_0 + a_1x + ... + a_nx^n$  with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$ . Then f has a <u>root</u> in  $\mathbb{C}$ :  $\exists \alpha \in \mathbb{C}$  s.t.  $f(\alpha) = 0$ .

13.2.1 Corollary 2.1.2:  $f(x) = a_n \prod_{i=1}^n (x-k_i) = a_n(x-k_1)(x-k_2)...(x-k_n)$ , where  $k_1, k_2, ..., k_n$  are roots of f(x)

**Corollary 16** (Corollary 2.1.2). Every nonconstant polynomial with coefficients  $a_0, a_1, ..., a_n \in \mathbb{C}$  can be factored as  $f(x) = a_n \prod_{i=1}^n (x - k_i) = a_n (x - k_1)(x - k_2)...(x - k_n)$ , where  $k_1, k_2, ..., k_n$  are roots of f(x).

13.2.2 Corollary 2.1.3:  $a_i \in \mathbb{R}$ , f can be expresses as a product of linear and quadratic polynomials

**Corollary 17** (Corollary 2.1.3). If  $f(x) = a_0 + a_1x + ... + a_nx^n$  is a nonconstant polynomial  $a_0, a_1, ..., a_n \in \mathbb{R}, a_n \neq 0$ . Then f can be expresses as a product of linear and quadratic polynomials.

这里  $a_0, a_1, ..., a_n$  是实数!

证明.

- (1) Obviously, the corollary holds at n = 1 and n = 2.
- (2) Suppose the corollary holds for all situations that n < k.

When n = k,  $f(x) = a_0 + a_1 x + ... + a_k x^k$ ,  $a_k \neq 0$ .

By F.T.A., f has a root  $\alpha$  in  $\mathbb{C}$ .

If  $\alpha \in \mathbb{R}$ , long division  $f(x) = q(x)(x - \alpha)$ . q has real coefficients, degree of q = k - 1. Since the corollary holds at n = k - 1, q(x) is a product of linear and quadratics. Then, the corollary also holds at n = k.

If  $\alpha \notin \mathbb{R}$ 

$$0 = f(\alpha) = a_0 + a_1 \alpha + \dots + a_k \alpha^k$$
$$0 = \overline{f(\alpha)} = a_0 + a_1 \overline{\alpha} + \dots + a_n \overline{\alpha}^n = f(\overline{\alpha})$$

Since  $\bar{\alpha} \neq \alpha$ ,  $(x - \alpha)(x - \bar{\alpha})|f$ .

 $(x-\alpha)(x-\bar{\alpha})=x^2-(\alpha+\bar{\alpha})x+|\alpha|^2$  is a polynomial with coefficients in  $\mathbb{R}$ . So  $f(x)=q(x)(x^2-(\alpha+\bar{\alpha})x+|\alpha|^2)$ , q has real coefficients with degree k-2. The corollary also holds at n=k-2, q(x) is a product of linear and quadratics. Then, the corollary also holds at n=k.

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