# CRP 4080: Introduction to Geographic Information Systems for planners

Lecture 6: Census Data Selection & preparation

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## **Outlines**

Understand Basic Census Geography

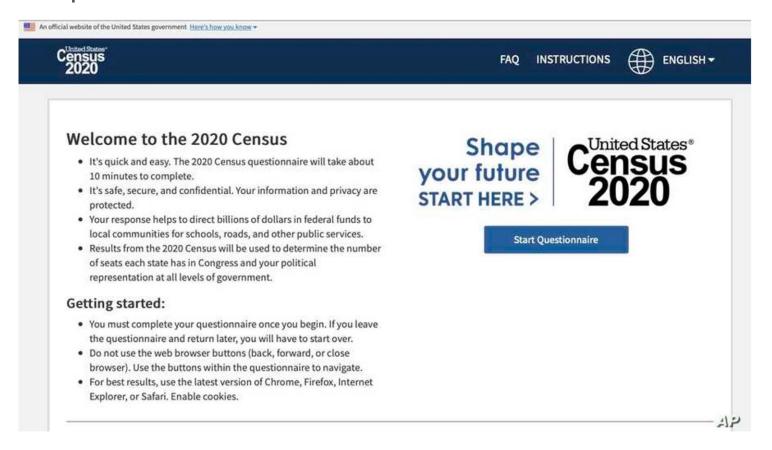
- Census tract, Block, Block Group
- Other local designations: Places, county subdivision

Data sources: CUGIR, Census Bureau, Social Explore

How to find GIS data: Join-table

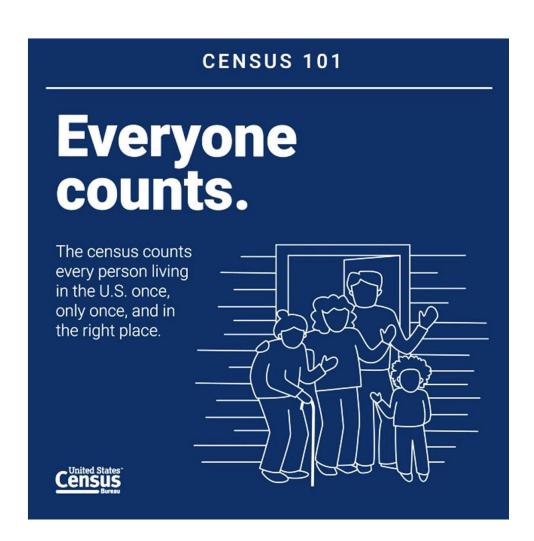
## The U.S Census

Beginning March 12, 2020, the public was invited to respond to the census at my2020census.gov. The 2020 Census was the first U.S. census to invite people to respond online.



## **Decennial Census**

- Takes place every 10 years
- 100% count of the population
- Written in US constitution, Article 1 section 2
- Since 1790, it determines the number of seats in the US house of representatives
- Data published down to census block level



### **Uses of Census Information**

- Determines the number of seats each state has in the U.S. House of Representatives
- Defines congressional and state legislative districts, school districts and voting precincts
- Determines how federal funding are spent on infrastructure, programs and services each year
- Provides government the information to offer public services, and provides business and nonprofit organization with critical information for planning decisions
- Provides population benchmarks for nearly every other United States survey

## **Understanding Census Geography**

- Nation
- Region
- Division
- State
- County
- County Subdivision
- Census Tract
- Block Group
- Place
- Alaska native regional corporation
- Native Area

- Tribal Subdivision
- American Indian
   Area/Alaska Native Area
   (Reservation or Statistical
   Entry Only)
- American Indian Area
   (Off-Reservation Trust
   Land Only/Hawaiian Home
   Land)
- Tribal Census Tract
- Core Based Statistical Area
- Metropolitan Division

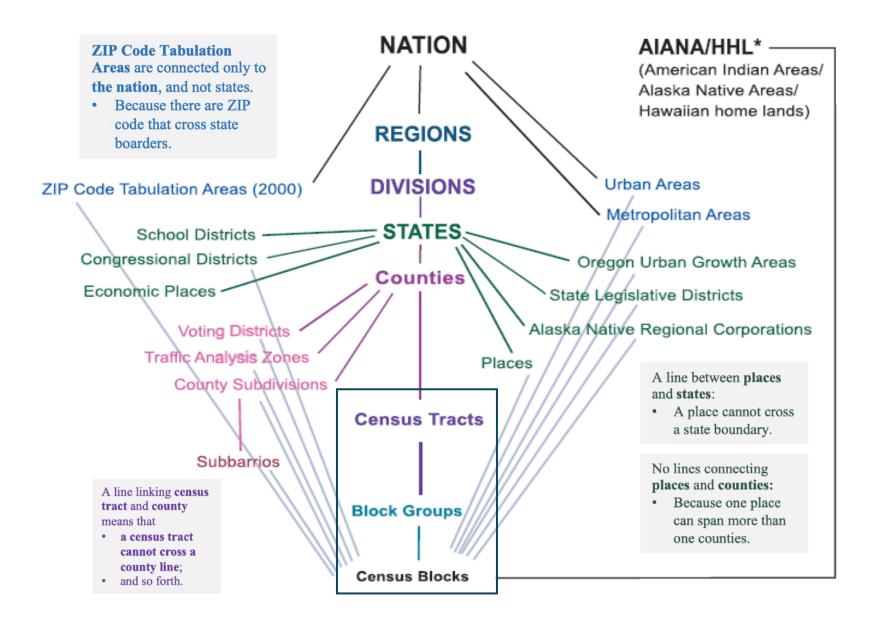
- Combined Statistical Area
- Combined NECTA
- NECTA
- Urban Area
- Congressional District
- State Senate District
- State House District
- PUMA
- ZIP Code Tabulation Area
- School District (Elementary)
- School District (Secondary)
- School District (Unified)

## **Geographic Entities**

The geographic entities are divided into two types: legal/administrative areas, and statistical areas.

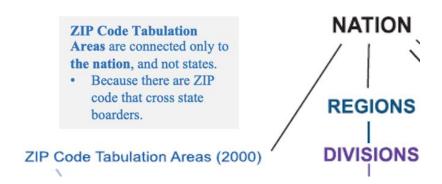
| Legal/Administrative Areas | Statistical Areas                               |
|----------------------------|---|
| Nation                     | Regions   |
| States                     | Divisions                                       |
| Counties                   | Census County Divisions                         |
| Minor Civil Divisions      | Census Designated Places                        |
| Incorporated Places        | Metropolitan and Micropolitan Statistical Areas |
| Congressional Districts    | Urban / Rural Areas                             |
| School Districts           | Census Tracts                                   |
| Voting Districts           | Block Groups                                    |
| ZIP Code Tabulation Areas  | Public Use Microdata Areas                      |

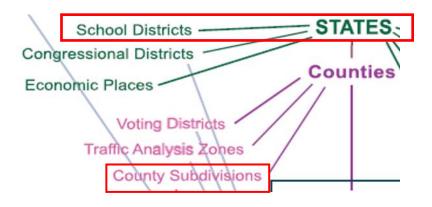
## **Census Geographic Hierarchy**



## Examples of the Relationships on the Hierarchy.

- ZIP Code Tabulation Areas (ZCTAs) are based on the U.S. Postal Services ZIP Codes and must fall within the national boundary only. In a few cases, ZCTAs can cross into bordering states.
- School districts must fall within each state.
   States are responsible for updating their boundaries, and districts may cross county and place boundaries.
- County subdivisions, as the name suggests, must fall within the county. Many county subdivision names repeat throughout the nation and throughout the same state, so it is important you know which county you are working in. For example, in 2010, Beaver was used as the name of 45 different county subdivisions.

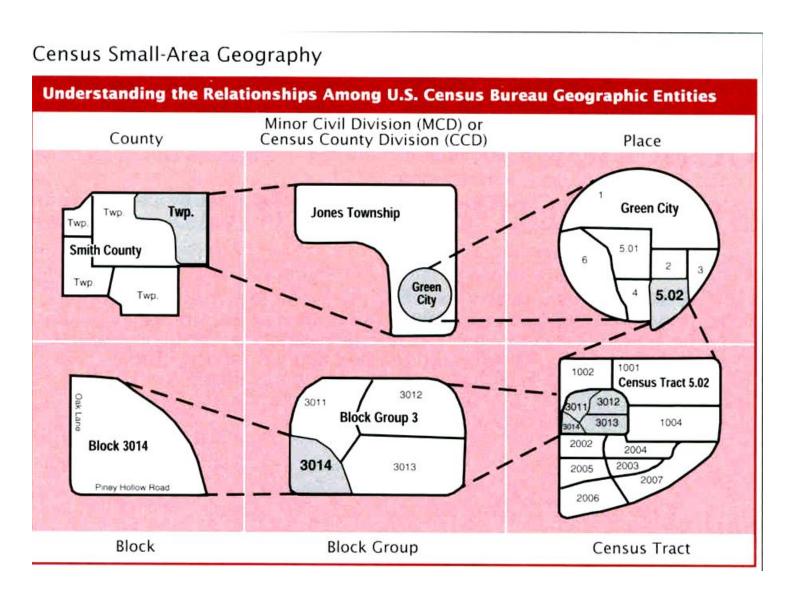




## **Examples of the Relationships on the Hierarchy.**

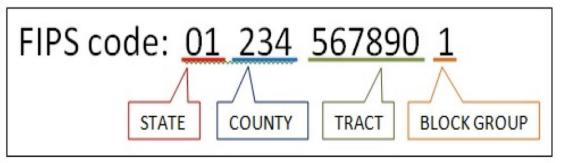
- Census tracts must stay within a county and therefore a state.
- Block groups must stay within each census tract, so they must also stay within a county and state.
- Blocks fall within everything! They are the building blocks for all other geographies and therefore nest within all other geographies.

## Small-Area Geography Overview



## FIPS Code: Federal Information Processing Standards (FIPS)

| STATE | COUNTY | TRACT  | BLOCK | STFID_1         |
|-------|--------|--------|-------|-----------------|
| 36    | 109    | 000100 | 1000  | 361090001001000 |
| 36    | 109    | 000100 | 1001  | 361090001001001 |
| 36    | 109    | 000100 | 1002  | 361090001001002 |
| 36    | 109    | 000100 | 1003  | 361090001001003 |
| 36    | 109    | 000100 | 1004  | 361090001001004 |
| 36    | 109    | 000100 | 1005  | 361090001001005 |
| 36    | 109    | 000100 | 1006  | 361090001001006 |
| 36    | 109    | 000100 | 1007  | 361090001001007 |
| 36    | 109    | 000100 | 1008  | 361090001001008 |
| 36    | 109    | 000100 | 1009  | 361090001001009 |
| 36    | 109    | 000100 | 1010  | 361090001001010 |
| 36    | 109    | 000100 | 1011  | 361090001001011 |
| 36    | 109    | 000100 | 1012  | 361090001001012 |
| 36    | 109    | 000100 | 1013  | 361090001001013 |
| 36    | 109    | 000100 | 1014  | 361090001001014 |
| 36    | 109    | 000100 | 1015  | 361090001001015 |
| 36    | 109    | 000100 | 1016  | 361090001001016 |
| 36    | 109    | 000100 | 1017  | 361090001001017 |
| 36    | 109    | 000100 | 1018  | 361090001001018 |
| 36    | 109    | 000100 | 1019  | 361090001001019 |
| 36    | 109    | 000100 | 1020  | 361090001001020 |
| 20    | 400    | 000400 | 4024  | 204000004004024 |



Q: what is the unique FIPS code for Tompkins County?

## Blocks, Block Groups and Census Tracts

#### **Blocks:**

the smallest geographic census unit

- Cover the entire nation
- Do not cross census tracts or counties
- Block numbers may differ from census to census
- Size: average about 100 people
- Generally bounded by visible features and legal boundaries

Sibley Hall: Census Block 1035 - 36109000300**1035** 

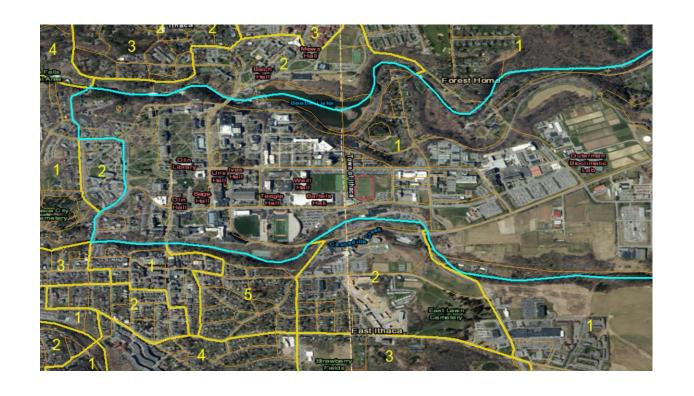


## Blocks, Block Groups and Census Tracts

#### Block groups:

Combination of Census Blocks and are also a subdivision of Census Tracts

- Made up of on average 40 Census Blocks.
- Smallest areas for which all sample data available (American Community Survey data)
- Size: 600 to 3000 people



## Blocks, Block Groups and Census Tracts

#### **Census Tract**

Small, relatively **permanent**, **consistent** statistical divisions of a county

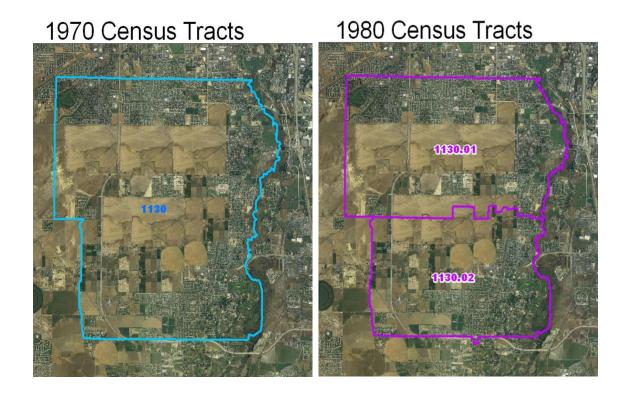
- Boundaries cannot across county line
- Size: Optimally contains 4,000 people; range between 1,200 and 8,000
- Approximately 65,000 census tracts in U.S.
- Tracts are designed to be fairly homogeneous with respect to demographic and economic conditions when they are first established.
- When a census tract experiences growth and the internal population grows beyond 8,000 persons, the tract is <u>split up</u>. This review and revision process is conducted every decade with collaboration from local planning agencies.

- an example of an area where population continues to grow and therefore the census tract is split over the decades.
- South Jordan City, Utah

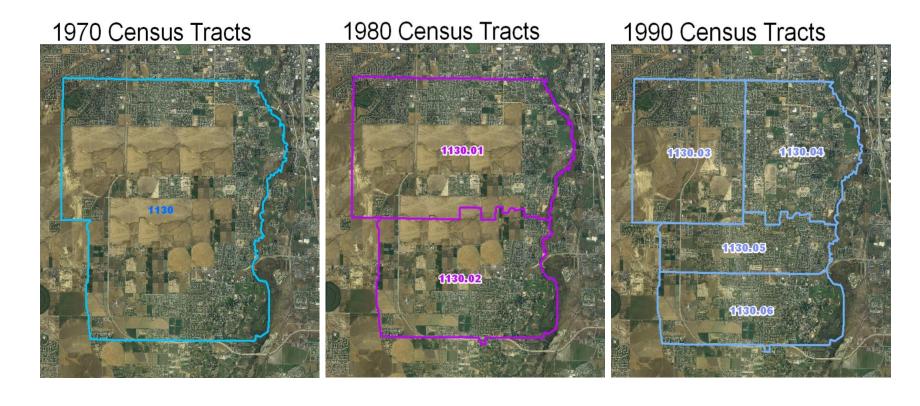
1970 Census Tracts



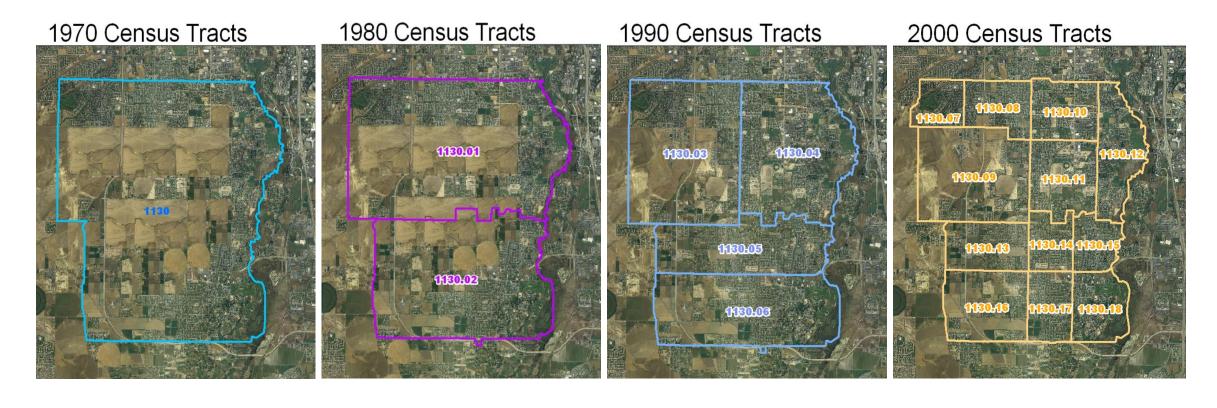
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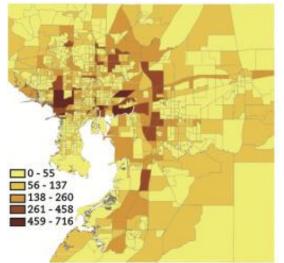


# The Modifiable Areal Unit Problem (MAUP)

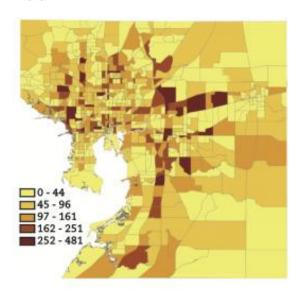
- (a) block group.
- (b) Traffic analysis zone
- (c) census tract.
- (d) zip code

when point-based measures of spatial phenomena are aggregated into districts, for example, population density or illness rates. The resulting summary values (e.g., totals, rates, proportions, densities) are influenced by both the shape and scale of the aggregation unit

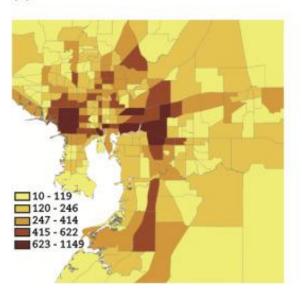




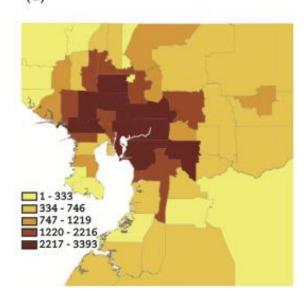


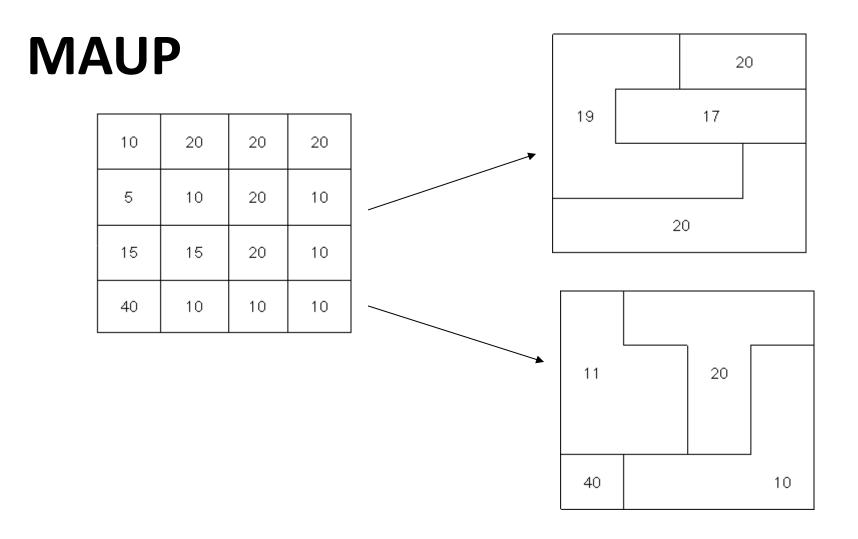


(c)

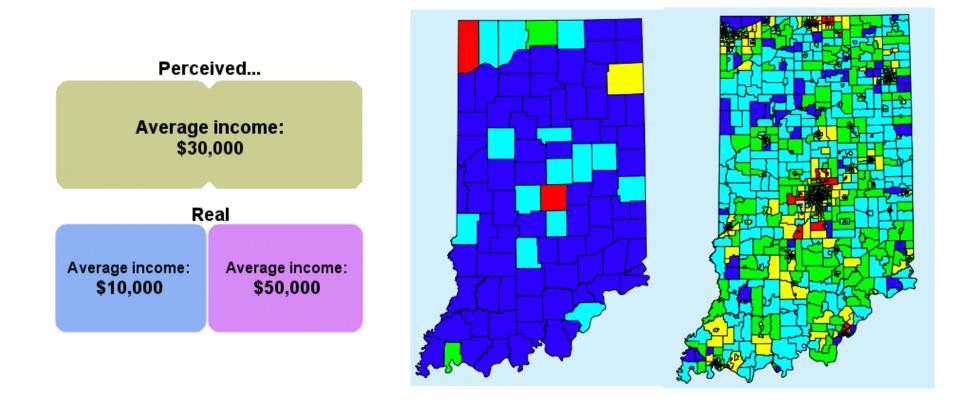


(d)





<u>Aggregation (zonation) effect:</u> differences which occur when we group zones of similar sizes in different spatial arrangements.



<u>Scale effect:</u> tendency for different results to be obtained from the same set of data when that data is grouped at different levels of spatial resolution

## **MAUP**

1. Are the results independent of the units being used, or do they depend entirely on the choice of spatial units used in the analyses?

2. How can we compare the results of studies from one region to another, when simply changing the spatial units used in both studies could dramatically alter the conclusions reached?

## Other local designations: Place

• <u>United States Census Bureau</u> defines a **place** as a concentration of population which has a name, is locally recognized, and is not part of any other place.

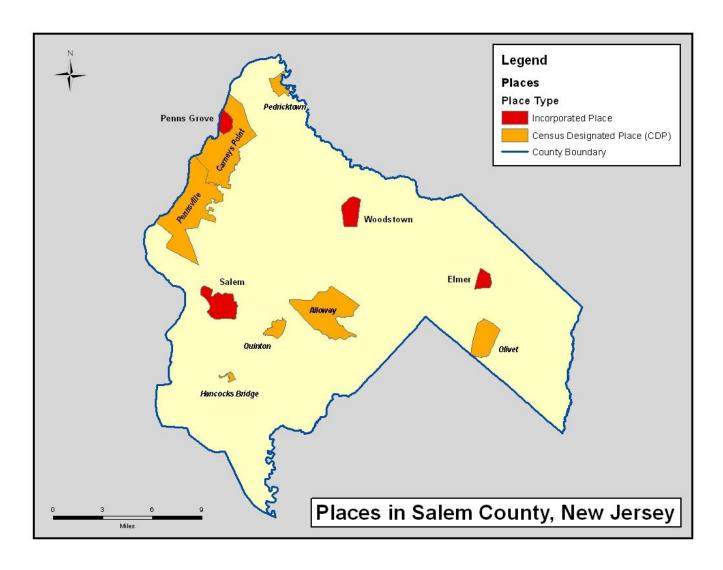
#### Incorporated Places:

- Legally bounded entity
- Referred to as cities, boroughs, or villages, depending on the state and having legally prescribed limits, powers, and functions.

#### Census Designated Places (CDPs):

- Statistical entity, Boundaries have no legal status
- Area with a concentration of population, housing, and commercial structures identifiable by name;
- Unincorporated counterparts

# Places - Salem County, New Jersey



## **County Subdivisions**

- Minor Civil Divisions (MCDs)
  - Legally bounded entity: townships, towns, etc.
  - Sub-county entities in 29 states, the District of Columbia, and Puerto Rico
  - May have a local government with elected officials
- Census County Divisions (CCDs)
  - Statistical entity
  - Sub-county units that have stable boundaries and recognizable names in 21 states
  - No minimum or maximum population guidelines

#### Minor Civil Divisions (MCDs):

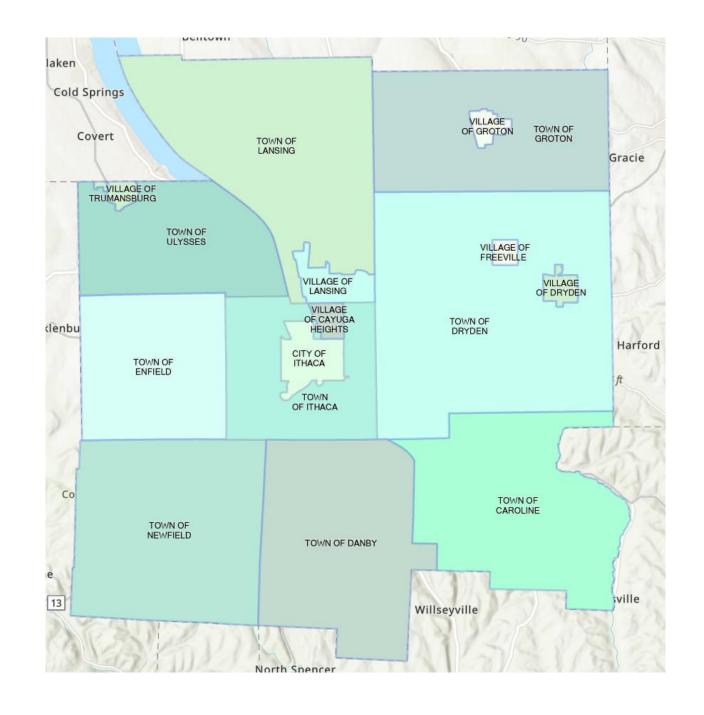
Do NOT have to follow minimum or maximum population guidelines (Townships, towns). May have a formal government with elected officials

#### **Area Municipal Governments**

#### **Local Municipal Governments**

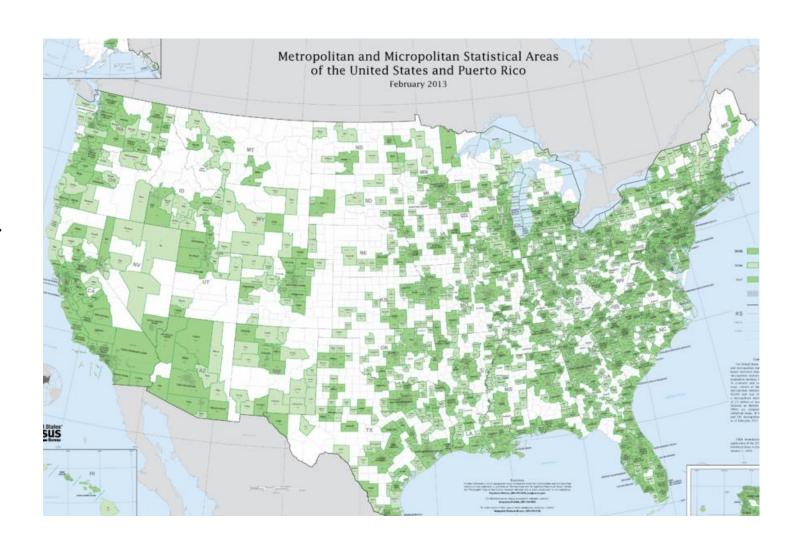
Located within Tompkins County are the City of Ithaca; the Towns of Ithaca, Caroline, Danby, Dryden, Enfield, Groton, Lansing, Newfield, and Ulysses; and the Villages of Cayuga Heights, Dryden, Freeville, Groton, Lansing, and Trumansburg.

- City of Ithaca
- Town of Ithaca
- Village of Cayuga Heights
- Town of Caroline
- Town of Danby
- Town of Dryden
- Village of Dryden
- Village of Freeville
- Town of Enfield
- Town of Groton
- Village of Groton
- Town of Lansing
- Village of Lansing
- Town of Newfield
- Town of Ulysses
- Village of Trumansburg



## **Core based Statistical Area (CBSA)**

- consists of one or more counties (or equivalents) anchored by an urban center plus adjacent counties that are socioeconomically tied to the urban center by <u>commuting</u>
- *Metropolitan Statistical Area (MSAs):* urban core population of 50,000+ (at least one county)
- *Micropolitan Statistical Area:* urban core population of 10,000-50,000. typically consists of one county.
  - 935 core-based statistical areas
  - 393 metropolitan statistical areas
  - 542 micropolitan statistical areas

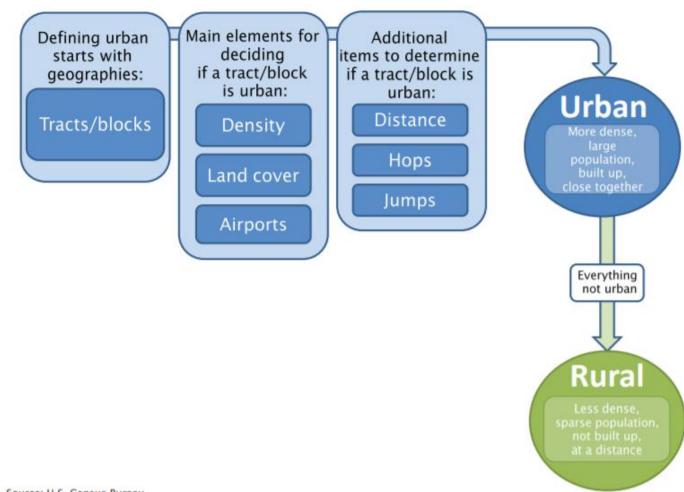


#### **Urbanized Areas**

Two types of urban areas: urbanized areas and urban clusters.

- Urbanized areas are areas with 50,000 or more people.
- Urban clusters are areas with at least 2,500 but fewer than 50,000

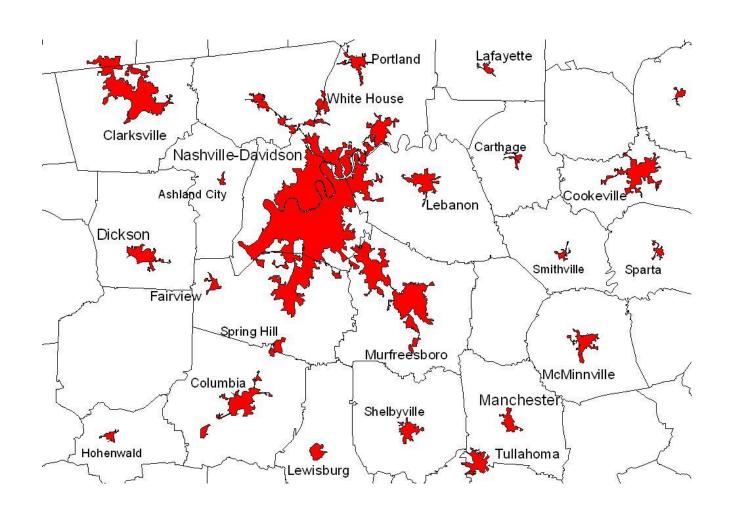
#### Graphic Depiction of Urban/Rural Classification



Source: U.S. Census Bureau.

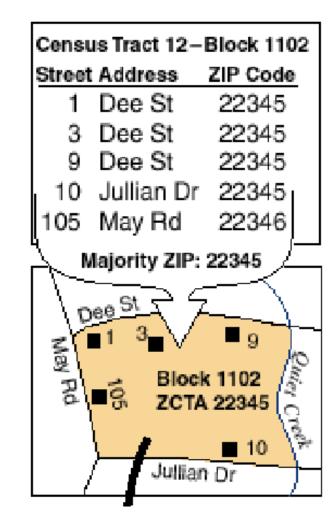
### **Urbanized Areas**

- defined at census block and block group levels, starting with a core area with a density of at least 1,000 people per square mile.
- Geographic entities (census tracts, counties, metropolitan areas) often "split" between urban and rural territory
- "rural" consists of everything else.



## **ZIP Code Tabulation Areas (ZCTAs)**

- Approximate area representations of USPS ZIP Code service areas based on Census blocks
- To address difficulties in mapping USPS ZIP Codes

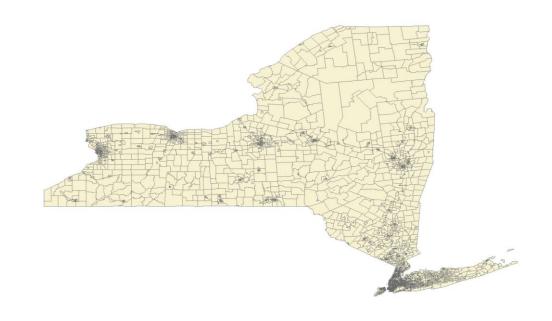


## **Finding Census Data**

To undertake census data analysis and management, we need to do three things:

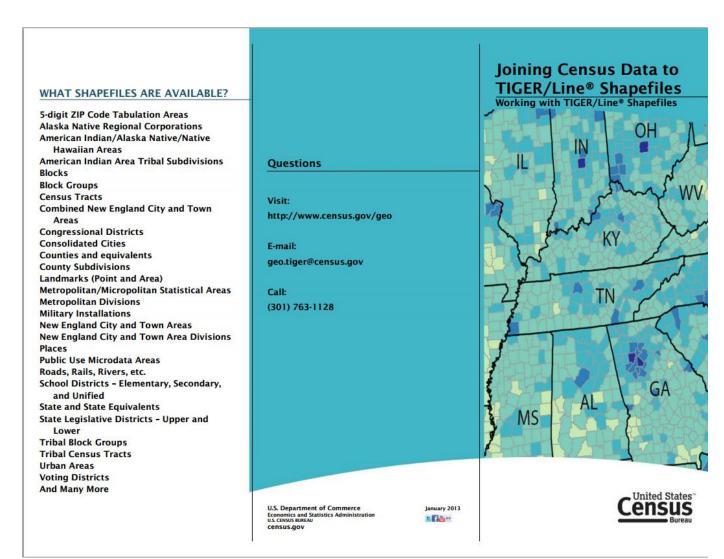
- A source for the boundary files (geographic data)
- A source for the census (attribute) data
- A unique identifier to join the non-spatial table with the spatial boundary

| T | FID | Shape   | AREA    | PERIMETER | TR36 D00         | TR36 D00 I | STATE | COUNTY | TRACT | NAME | LSAD | LSAD TRANS | Ī, |
|---|-----|---------|---------|-----------|------------------|------------|-------|--------|-------|------|------|------------|----|
| ł | O O | Polygon | 0.00802 | 0.45858   | 2                | 1 1        | 36    | 089    | 9901  | 9901 | TR   | L3AD_TRANS |    |
| ľ | 1   | Polygon | 0.00002 | 0.5601    | 3                | 2          | 36    | 019    | 1001  | 1001 | TR   |            | l  |
| ŀ | 2   | Polygon | 0.05585 | 1.01048   | 4                | 3          | 36    | 019    | 1003  | 1003 | TR   |            |    |
| ŀ | 3   | Polygon | 0.00617 | 0.42726   | 5                | 4          | 36    | 033    | 9913  | 9913 | TR   |            |    |
| ŀ | 4   | Polygon | 0.07086 | 1.22731   | 6                | 5          | 36    | 019    | 1004  | 1004 | TR   |            |    |
| ŀ | 5   | Polygon | 0.03171 | 0.95298   | 7                | 6          | 36    | 033    | 9502  | 9502 | TR   |            |    |
| ł | 6   | Polygon | 0.03749 | 0.90102   | 8                | 7          | 36    | 033    | 9501  | 9501 | TR   |            |    |
| ŀ | 7   | Polygon | 0.00749 | 0.45325   | 9                | 8          | 36    | 089    | 9903  | 9903 | TR   |            |    |
| l | 8   | Polygon | 0.01883 | 0.62928   | 10               | 9          | 36    | 089    | 9904  | 9904 | TR   |            |    |
| ľ | 9   | Polygon | 0.00099 | 0.174     | 11               | 10         | 36    | 089    | 9902  | 9902 | TR   |            |    |
| Ì | 10  | Polygon | 0.05491 | 1.25146   | 12               | 11         | 36    | 089    | 9907  | 9907 | TR   |            |    |
| Ì | 11  | Polygon | 0.01806 | 0.61533   | 13               | 12         | 36    | 019    | 1002  | 1002 | TR   |            |    |
| Ì | 12  | Polygon | 0.01701 | 0.59964   | 14               | 13         | 36    | 089    | 9906  | 9906 | TR   |            |    |
| Ì | 13  | Polygon | 0.03294 | 0.74008   | 15               | 14         | 36    | 089    | 9905  | 9905 | TR   |            |    |
| Ì | 14  | Polygon | 0.01669 | 0.67767   | 16               | 15         | 36    | 033    | 9504  | 9504 | TR   |            |    |
| Ì | 15  | Polygon | 0.01359 | 0.61199   | 17               | 16         | 36    | 033    | 9505  | 9505 | TR   |            |    |
| Ì | 16  | Polygon | 0.03818 | 0.91312   | 18               | 17         | 36    | 033    | 9503  | 9503 | TR   |            |    |
| Ì | 17  | Polygon | 0.07209 | 1.44425   | 19               | 18         | 36    | 033    | 9506  | 9506 | TR   |            |    |
| ĺ | 18  | Polygon | 0.02048 | 0.7715    | 20               | 19         | 36    | 019    | 1006  | 1006 | TR   |            |    |
| I | 19  | Polygon | 0.03336 | 0.7603    | 21               | 20         | 36    | 089    | 9913  | 9913 | TR   |            |    |
| l | 20  | Polygon | 0.06886 | 1.35987   | 22               | 21         | 36    | 089    | 9924  | 9924 | TR   |            |    |
| l | 21  | Polygon | 0.10628 | 1.61375   | 23               | 22         | 36    | 033    | 9507  | 9507 | TR   |            |    |
| l | 22  | Polygon | 0.01752 | 0.62327   | 24               | 23         | 36    | 089    | 9910  | 9910 | TR   |            |    |
| I | 23  | Polygon | 0.00868 | 0.58524   | 25               | 24         | 36    | 019    | 1008  | 1008 | TR   |            |    |
|   |     |         |         |           |                  | III        |       |        |       |      |      | +          |    |
| 4 | 4   |         | 1 → →1  |           | out of 4908 Sele | ected)     |       |        |       |      |      |            |    |



## **Geographic Products (boundary file): The TIGER Data Base**

- Large database of geographic features, such as:
  - School districts
  - Railroads
  - Rivers
  - Landmarks
  - Legal boundaries
  - Census statistical boundaries
- Cheap, widely used, updated by the Census



## We will explore 3 sources of census data:

- CUGIR: Cornell University Geospatial Information Repository; a state geospatial repository
- US Census: the Federal census site
- Social Explorer: a private vendor





Find data by location by using the map to zoom to an area, then click the "Search here" button.

Or browse by theme: Structure, Inland Waters, Boundaries, Property, Geology,
Agriculture, Environment, Land Cover, Society, Biology, Economy, Elevation,
Index Map, Transportation, Climate, Location, Oceans, Utilities, Basemaps, Health,
Military



## **American Community Survey (ACS)**

**1 year:** 12 months of collected data. *Example:* 2019 ACS 1-year estimates. *Date collected between:* January 1, 2019 and December 31, 2019

- provides annual estimates for all states, cities, counties, metropolitan areas, and population groups of 65,000 people or more.
- Most current, less reliable
- Annually released: 2005-present

**5 year:** 60 months of collected data. *Example:* 2015-2019 ACS 5-year estimates. *Date collected between:* January 1, 2015 and December 31, 2019

- Data for all areas; but no data at the block level
- Most reliable, least current
- Annually released 2009- present

ACS estimates are less precise than the comparable estimates from Census decennial census years.

## **Public Use Microdata Sample**

- ◆ Public Use Microdata Sample (PUMS) files enable data users to create custom estimates and tables, free of charge, that are not available through ACS pre-tabulated data products. The ACS PUMS files are a set of records from *individual people or housing units*, with disclosure protection enabled
- They are NOT Summary data (ie counts, averages and medians for specific geographic areas).
- Public Use Microdata Areas (PUMAs) are non-overlapping, statistical geographic areas that partition each state or equivalent entity into geographic areas containing no fewer than 100,000 people each.

## Joining attribute data and boundary files

- We join a shapefile and an attribute table through a unique identifier field that is common to both datasets
- GEOID: a concatenated field containing State, County, Tract, and Block numbers (block group and block).

For example; 361090001001001

#### 36 109 000100 1 001

- 36 = New York State
- 109 = Tompkins County
- 000100 = Tract Number
- 1001 = Block Number
  - 1 = Block group
  - 001 = Block

## Other sources of geographic boundary data:

#### Municipalities may have:

- Boroughs
- Police precincts
- City council districts
- Health Areas
- Historic districts

