

# Relevant Literature

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## 1 Relevant Literature

### 1.1 Learning Regular Sets from Queries and Counterexamples

*Learning Regular Sets from Queries and Counterexamples* by Dana Angluin forms the basis of many modern state machine inference algorithms. Her research introduces the polynomial complex  $L^*$  algorithm for learning a regular set, a task which before was computationally intractable because it was proven to be NP-hard [1]. The basic idea of  $L^*$  is a learner whose goal is to create a valid conjecture by utilization of an expert system, the Minimally Adequate Teacher (MAT). This is achieved by posing two types of queries to the MAT: membership queries and conjectures. A membership query inquires a given string  $t$  to the MAT, who answers *yes* or *no* depending on whether  $t$  is a member of the to be hypothesized set  $U$ . The learner can also verify a conjecture to the MAT, which answers *yes* if the conjecture is equal to the unknown language. If this is not the case, the MAT provides a counterexample, which is a string  $t$  in the symmetric difference of the conjecture and the unknown language.

The learner keeps track of the queried strings, classified by either a member or non-member of the unknown regular set  $U$ . This information is organized in an observation table that consists of three fields: a nonempty finite prefix-closed set  $S$  of strings, a nonempty finite suffix-closed set  $E$  of strings and a finite function  $T$  that maps  $((S \cup S \cdot A) \cdot E)$  to  $\{0, 1\}$ . A word  $u$  can be typically of the form  $((S \cup S \cdot A) \cdot E)$  and if  $u \in U$  then  $T(u)$  will result to 1 and 0 otherwise. Thus the observation table can be denoted as  $(S, E, T)$ .

The learner queries for the right amount of data from the MAT, by establishing an observation table that is closed and consistent. The table is closed if for every  $t$  in  $S \cdot A$ , there exists an  $s$  in  $S$  such that  $row(t) = row(s)$ . If the table is not closed, there exists a  $t \in S \cdot A$  where no prefix will lead to. The learner identifies for which suffix distinguishes the rows and adds the word to the set  $S$ . When  $s_1, s_2 \in S$  such that  $row(s_1) = row(s_2)$ , then if for all  $a$  in the alphabet holds  $row(s_1 \cdot a) = row(s_2 \cdot a)$ , the table is consistent. If the table is not closed, the language would not be regular as it shows non-deterministic behavior. The learner identifies for which  $s_1, s_2 \in S$  distinguishes the result of output  $T$  and adds the word to the set  $E$ .

If  $(S, E, T)$  is closed and consistent, a acceptor  $M(S, E, T)$  can be defined which is consistent with  $T$  and has the smallest set of states. This can be proven as follows. Let  $q_0$  be the starting state ( $row(\lambda)$ ) and  $\delta$  be the transition function from one state to another in the acceptor, then because  $\delta(row(s), a) = row(s \cdot a)$

follows  $\forall s \in (S \cup S \cdot A) : \delta(q_0, s) = \text{row}(s)$ , thus the closed property ensures that any row in the observation table corresponds with a valid path in the acceptor.  $\forall s \in (S \cup S \cdot A) \forall e \in E \rightarrow \delta(q_0, s \cdot e)$  is an accepting state if and only if  $T(s \cdot e) = 1$ , thus due to the consistency with finite function  $T$ , a word will be accepted by the acceptor if it is in the regular set. To see that  $M(S, E, T)$  is the acceptor with the least states, one must note that any other acceptor  $M'$  consistent with  $T$  is either isomorphic or equivalent to  $M(S, E, T)$  or contains more states. The algorithm  $L^*$  is listed in Listing 1.

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1  $S = E = \{\lambda\}$ 
2  $(S, E, T) \leftarrow MQ(\lambda) \cup \forall a \in A : MQ(a)$  #  $(S, E, T)$  is the observation table
3
4 While  $M$  is incorrect: #  $M$  is the conjecture
5   While  $(S, E, T)$  is not consistent or not closed
6     if  $(S, E, T)$  is not consistent:
7        $\exists (s_1, s_2) \in S, a \in A, e \in E : \text{row}(s_1) = \text{row}(s_2) \text{ and } T(s_1 \cdot a \cdot e) \neq T(s_2 \cdot a \cdot e)$ 
8        $E \leftarrow a \cdot e$ 
9       extend  $T$  to  $(S \cup S \cdot A) \cdot E$  using  $MQ$ 
10    if  $(S, E, T)$  is not closed:
11       $\exists s_1 \in S, a \in A : \forall \text{row}(s_1 \cdot a) \neq \text{row}(s)$ 
12       $S \leftarrow s_1 \cdot a$ 
13      extend  $T$  to  $(S \cup S \cdot A) \cdot E$  using  $MQ$ 
14     $M = M(S, E, T)$  #  $(S, E, T)$  is closed and consistent
15    if Teacher replies with a counter-example  $t$ :
16      add  $t$  and all its prefixes to  $S$ 
17      extend  $T$  to  $(S \cup S \cdot A) \cdot E$  using  $MQ$ 
18 Halt and output  $M$ 

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Listing 1: The  $L^*$  Algorithm

## References

- [1] E Mark Gold. Complexity of automaton identification from given data. *Information and control*, 37(3):302–320, 1978.