

---

# GAUGE GRAVITIES

## TWENTY-MONTH REPORT

---



---

PhD Candidate:

W E V Barker<sup>\*†1</sup>

Supervisors:

A N Lasenby<sup>\*†2</sup>, M P Hobson<sup>\*3</sup> and W J Handley<sup>\*†4</sup>

<sup>\*</sup>*Astrophysics Group, Cavendish Laboratory, JJ Thomson Avenue, Cambridge, CB3 0HE, UK*

<sup>†</sup>*Kavli Institute for Cosmology, Madingley Road, Cambridge, CB3 0HA, UK*

---

<sup>1</sup>[wb263@mrao.cam.ac.uk](mailto:wb263@mrao.cam.ac.uk)

<sup>2</sup>[a.n.lasenby@mrao.cam.ac.uk](mailto:a.n.lasenby@mrao.cam.ac.uk)

<sup>3</sup>[mph@mrao.cam.ac.uk](mailto:mph@mrao.cam.ac.uk)

<sup>4</sup>[wh260@mrao.cam.ac.uk](mailto:wh260@mrao.cam.ac.uk)

## 0.1. INTRODUCTION

This is the second of two progress reports, and follows on from *Linear gravity and energetics: nine-month report* [1]. The evolving title reflects the fact that while subject of this thesis is ostensibly *theoretical cosmology*, the only **emergent** commonality is a class of modified gravity theories known as *gauge gravities*.

What follows should satisfy the following:

“[The report] should be about 2,000 words in length, and in addition to covering progress, should provide an outline of their thesis contents (i.e. a thesis plan), indicating the progress that has been made on each topic, and a timetable for completion.”

Accordingly, following a very brief introduction to the field in Section 0.2, we detail the progress made thus far in Section 0.3, and a plan for completion in Section 0.4. Naturally, about half of 0.3 is already represented adequately in [1]: Sections 0.3.1 and 0.3.2 are consequently much shorter than Section 0.3.3 in order to avoid the beating of the **dead** horse. There follows an appendix detailing extra teaching commitments.

All references can be found at the following public repository: [https://github.com/wevbarker/second\\_year\\_report](https://github.com/wevbarker/second_year_report).

## 0.2. CONTEXT

Gauge gravities are popular, third-generation theories of gravity, and prime candidates<sup>1</sup> for the impending replacement of Einstein’s general relativity (GR). The need for such a replacement is manifest both empirically (the  $\Lambda$ CDM or *cosmic concordance* model suffers from cosmological tensions and requires a regressive dark sector and inflationary mechanism) and theoretically (classical GR contains essential singularities and the quantum theory is non-renormalisable).

The general idea of gauge gravity is to pick a symmetry group for spacetime itself, and then gauge it. Thus, the Poincaré group leads to *Poincaré gauge theory* (PGT), and the Weyl group to *Weyl gauge theory* (WGT). Both theories have been in development for several decades, but WGT was recently *extended* (eWGT) by Lasenby and Hobson [2]. Beyond the field-structure of the theory, gauge gravities enjoy enormous freedom in their Lagrangia. In this context, the minimal PGT extension to GR is known as *Einstein-Cartan theory* (ECT): both GR and ECT share the *Einstein-Hilbert* Lagrangian structure. More generally, it is common to include all possible quadratic invariants of the gravitational field strength tensors in the Lagrangian, by analogy with Yang-Mills theory. By further insisting

on parity-preservation and Ostrogradsky stability, we arrive at the nine-parameter Lagrangia of (q)PGT+ and (q)eWGT+.

All gauge gravities are readily interpreted as generalisations of the diffeomorphism gauge theory that is GR, to spacetimes with geometric qualities beyond *curvature*: PGT for example introduces *torsion*, while the *metric affine gauge theory* (MAG)<sup>2</sup> introduces *non-metricity*. It is however perfectly acceptable to recast these theories in Minkowski spacetime. Furthermore, the fundamental tensor formalism can itself be replaced in the Minkowski interpretation by the mathematical language of *geometric algebra* (GA) [3]. We term this the *active multivector formalism* (AMF), as supposed to the nearly ubiquitous *passive tensor formalism* (PTF). Applied to ECT, the AMF methodology results in *gauge theory gravity* (GTG) [4], for which our research group is famous **US**.

The task is thus to find a gauge gravity and action with the following properties:

1. Quantum feasibility (QF), such as UV-completion and renormalisability, exorcism of ghosts and tachyons, and initial value determinism.
  2. Classical correspondence with GR, and the redundancy of ‘extra physics’ to account for cosmological observations through *emergent gravitational phenomena* (EGP).
- Naturally, both conditions have been extensively studied by many authors. To our knowledge, there are broadly four approaches to QF:
3. The linearised spin projection operator (SPO) propagator test [5, 6].
  4. The *positive energy test* (PET) [7].
  5. Satisfaction of the Cauchy-Kovalevskaya and hyperbolicity conditions [7].
  6. Hamiltonian analysis [5, 8, 9, 10, 11, 12, 13].

Most recently Lin, Hobson and Lasenby revived 3 and conducted a systematic study of the particle content of linearised (q)PGT+ [14, 15]. Thirty-three classes of action were identified which could be made free of ghosts and tachyons, and which were power-counting renormalisable. This builds on earlier, limited work by Sezgin and Nieuwenhuizen [6].

One further dissatisfying aspect of GR, which gauge gravity is not necessarily expected to resolve, is the *energy problem*: the equivalence principle prohibits the localisation of gravitational energy. As a consequence, many famous relativists have a gravitational stress-energy tensoid<sup>3</sup> named after them. Again most recently,

<sup>1</sup>Along with string theory and supergravity.

<sup>2</sup>Which we do not consider at any point.

<sup>3</sup>Any object which is dressed to look like a covariant tensor of second rank, but isn’t.

Butcher, Hobson and Lasenby proposed such an object for linearised GR, in which context it is a tensor [16, 17, 18].

### 0.3. PROGRESS

#### 0.3.1. Project 0

A starter project from October 2017 involved determining the gravitational field in linearised GR, of compact distributions of (possibly spinning) null waves, which cleared up some gauge ambiguities dating from the 1930s. With some marketing, this could be publishable, but it is almost certainly un-cable. In February 2018 these results were presented [19] at a research group seminar. See [1] and the references therein.

#### 0.3.2. Project 1

Early 2018 was spent attempting to generalise the Butcher energy localisation scheme [16, 17, 18] to non-linear GR, with the following results:

7. By removing gauge constraints and imposing full GR, a natural generalisation of the Butcher tensor can be found to the pseudotensor of Einstein, the linearisation of which is itself equivalent to the Butcher tensor up to an identically conserved gauge current.
8. The variational scheme used to obtain the Einstein pseudotensor in GR, when applied to GTG, produces the pseudotensor of Møller.
9. The AMF suggests a new recipe for constructing conserved currents in GTG.
10. In GTG or the Minkowski interpretation of ECT, the pseudotensors of Einstein or Møller describe gravitational stress-energy of self-gravitating spherical distributions as if the gravitational potential were a scalar (i.e. Klein-Gordon) field. When comparing this ‘Klein Gordon’ picture with the formalism of Komar, a local virial theorem emerges.

In October 2018 the results 7, 8, 9 and 10 were submitted to the Journal of Mathematical Physics, and they were published [20] in May 2019 after typographical corrections. This completes the ‘short term plan’ detailed in [1].

#### 0.3.3. Project 2

As stipulated in the ‘long term plan’ in [1], the intention as of June 2018 was to devote the remainder of the thesis to the nascent eWGT. Three fronts were proposed:

11. Classical, spherically-symmetric eWGT field equations (stars, black holes etc.).
12. Spin-torsion interaction in eWGT.

13. Classical, homogeneous and isotropic eWGT field equations (cosmology).

Following exposure to [21], it was decided to pursue front 13 as a starting point – note that this falls firmly into the category of EGP. The following results were obtained:

14. Several Maple packages were developed to solve the following problems:
  - (a) Component calculations in the spacetime algebra of GA (STA package).
  - (b) Finding, manipulating and solving the cosmological equations of (q)PGT+ and (q)eWGT+ using the minisuperspace approximation (tools package).
15. A unique decomposition of quadratic invariants of gravitational field strengths ( $\{\tilde{\alpha}_i, \tilde{\beta}_j\}$  replacing  $\{\alpha_i, \beta_j\}$ ) was identified within the AMF, which compares in a useful way with the irreducible tensor decomposition.
16. The cosmological equations were used to prove that (q)PGT+ and (q)eWGT+ span the same cosmologies.
17. The nine parameters in the Lagrangia of (q)PGT+ and (q)eWGT+ were linearly combined into five parameters of unique cosmological interest,  $\{a, \sigma_1, \sigma_2, \sigma_3, v_1, v_2\}$ .

Naturally, 16 represented a significant course correction with respect to EGP. In the opening months of 2019, some members of our research group published [14] and developed the results in [15], which led to increased interest in finding EGP from QF-motivated theories.

18. Using the cosmic theory parameters of 17, the 33 critical cases identified in [14, 15] were categorised according to their cosmology. The most promising cosmologies with the strongest QF motivation were then as follows:
  - (a) Setting  $a = \sigma_3 = v_1 = 0$  decouples the curvature constant,  $k$ , from the dynamical evolution of the universe. We term this ‘ $k$ -screening’. Remarkably, whilst the resulting system is resistant to a full analytic solution, we can show analytically and numerically that such universes have a tendency to ‘freeze out’ into  $\Lambda$ CDM-like solutions whenever a particular cosmic fluid (e.g. dust, radiation or dark energy) becomes dominant, with implications for possible  $\Lambda$ -enhancement effects. We can show that the corresponding Lagrangia do *not* merely reduce to conformal gravity.
  - (b) Setting  $a = \sigma_3 = \sigma_2 = v_1 = 0$  produces another  $k$ -screened cosmology, but the cosmological equations are precisely the flat Fried-

mann equations of GR, while torsion effects generate an emergent effective  $k$ . This results in ‘dynamically open, geometrically arbitrary’ cosmologies.

- (c) Setting  $a = \sigma_3 = v_2 = v_1 = 0$  produces another  $k$ -screened cosmology which is a kind of conformal gravity, exhibiting perpetual power-law inflation.
- 19. With the apparatus of 13a and 13b, certain toy-model Lagrangia were considered with QF motivation:
- (d) We were also able to extend the work of [22], by showing that the same cosmological equations are produced by a much wider class of Lagrangia, which take a pleasing form in the AMF.
- (e) Some simple  $v_1 \neq 0$  theories were studied, which produce cyclic universes with periodic bangs and crunches.

In April 2019, the results 15 and 16, along with the cosmological solutions 17 and 19 were presented [23] at the *Strings, Gravity and Cosmology Student Conference* in Munich.

An extensive and time-consuming literature review in July 2019 identified a very significant corpus (most especially [24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36]) on (q)PGT+ cosmology, which was hitherto unknown to our research group, and left the following impression:

- 20. The field is active and dominated by about ten contemporary authors, at least some of whom are aware of each others’ work and variously collaborate (this, based on a cursory analysis of the citation chain and certain LinkedIn profiles).
- 21. The range of EGP in (q)PGT+ is well known, and whilst such results are quite publishable (e.g. recently, [37]), they are unlikely to be of lasting impact.
- 22. Consideration of QF, rather than EGP, has driven the field since the turn of the century.

These findings are not only of clear value, but also work in our favour, particularly 20 and 22 suggest that our findings may be well received. Furthermore, the particular QF considerations in 22 rely [38] on an application of the Hamiltonian formulation to the work of Sezgin, which has been antiquated precisely by our major references, [14, 15]. As a consequence, the family of (q)PGT+ cosmologies 18a, 18b and 18c, along with the  $k$ -screening phenomenon, appear roughly *orthogonal* to those models which are most popular in the literature.

The following questions remain open as of July 2019:

- 23. What precise EGP are reachable from the cosmology 18a, given the current Planck data?
- 24. How can we prove that the minisuperspace approximation in 13b is valid in our case?
- 25. Do the preferred theories satisfy classical GR correspondence in spherically symmetric or axisymmetric spacetimes?
- 26. What is the physical particle content of the various critical cases in [14, 15]?
- 27. Which critical cases in [14, 15] pass the nonlinear Hamiltonian test developed in [39, 7]?

We consider 23 and 24 to be answerable, and are currently under investigation. On the other hand, 25 is probably as complicated a task as the cosmological investigation so far performed: it comes with its own literature and suffers from the same drawback as 21. We are unsure how to answer 26. The question 27 is rather important, because both it and 25 could invalidate the theories of interest. Fortunately, whilst 27 would be time-consuming to answer, a clear algorithm is developed in [39, 7].

Running notes in the form of the *Friday meeting report* [40] were maintained for the work described in Section 0.3.3, though these are for internal use only and are not expected to read well. Much of the content has now been written up [41] in preparation for submission to Physical Review D.

### 0.3.4. Project 3

During the work described in Sections 0.3.2 and 0.3.3, the reluctance of the community to adopt the AMF and GA in general became a source of concern. The following tasks were necessary:

- 28. A notationally consistent translation between the PTF and AMF of eWGT and PGT.
- 29. An application of the Baker-Hausdorff formula to prove the equivalence of STA rotors and the  $SO(3)$  generators.

Both 28 and 29 are partially written up in [42], which we may or may not attempt to publish, but which will certainly be left on the Arxiv in the near future.

## 0.4. THESIS PLAN

### 0.4.1. The thesis itself

At the present time we anticipate the final thesis will have the following structure:

**Chapter 1** This should have all the usual qualities of an introduction, including a broad literature review adapted from the introductions of [20, 41]. Some other specific content:

1. We will need a clear translation between the PTF and AMF, adapted from [42].
2. The AMF interpretation of symplectic calculus developed in the appendix of [20].

**Chapter 2** This will contain the results of [20].

**Chapter 3** This will contain the results of [41].

**Chapter 4** This will be an attempt to further constrain the 33 critical cases of [14, 15], using 4, 5 or 6, but probably 6.

**Appendices** So far the following content belongs here:

1. Details of the proof that the Butcher tensor [16, 17, 18] has no generalisation in 2nd order GR or beyond, other than to the pseudotensor of Einstein. This content was omitted from [20], and perhaps should not have been.
2. Details of the *STA* and *tools* packages developed for Maple.

#### 0.4.2. Timeline

Clearly **Chapter 4** will require the greatest amount of work. We anticipate the following steps:

30. Ascertain from [39, 7, 13] the precise extent to which the linear and nonlinear Hamiltonian analysis have refined the theories in [6], and to what extent the results of [14, 15].
31. Better understand the choice of ‘simple’ over ‘complex’ constraints in defining the select theories tested in [39, 7].
32. Develop a package for Maple or Mathematica which can achieve the following for an arbitrary instance of (q)PGT+:
  - (a) Find and verify all the ‘if constraints’ and critical parameter combinations listed in [12, 7].
  - (b) Execute the Dirac algorithm.
  - (c) Construct the primary Poisson matrix and find its rank and determinant.
33. Use 32 to verify the theory validity/invalidity claims of [39, 7].
34. Come to an informed decision, based on progress in 30, 31, 32 and 33, along with time constraints, as to how to proceed – for example by picking one of:
  - (a) A targeted application of 32 to the new results preferred in [41, 14, 15], followed by a continuation of easy, classical EGP investigation to resolve 25 or 23.
  - (b) Something more ambitious, using automation to arrive at an ‘ultimate’ instance of (q)PGT+ based on QF, or to show that no such theory exists.

At this point we can propose a timeline for completion:

**15 August 2019** Hard cutoff for the submission of [41] for supervisor proof-reading.

**30 August 2019 :**

- (a) Hard cutoff for the submission of [41] to Physical Review D and the Arxiv.
- (b) Hard cutoff for the submission of [42], to the Arxiv.
- (c) Beginning of work on 30 and 31.

**15 September 2019 :**

- (a) Soft cutoff for 30 and 31.
- (b) Beginning of work on 32 and 33.

**25 December 2019 :**

- (a) Soft cutoff for work on 32 and 33.
- (b) Settle on 34a or 34b.

**01 October 2020 :**

- (a) Soft cutoff for work on **Chapter 4**.
- (b) Beginning of writeup.

**Spring-Summer 2021** Submission.

#### Appendix.1. Other time commitments

The following departmental and external teaching was undertaken:

1. 2017-2018 *Mathematics for the NST B* supervisions, 100 hours.
2. 2017-2019 *Relativity* supervisions, 30 hours.
3. 2018-2019 *Relativistic astrophysics and cosmology* supervisions, 15 hours.
4. 2019 *Reach summer school, Physics and Astronomy course*, 30 hours.

## Bibliography

- [1] W. E. V. Barker. Linear gravity and energetics – nine-month report. Cavendish internal report, 2018.
- [2] A. N. Lasenby and M. P. Hobson. Scale-invariant gauge theories of gravity: Theoretical foundations. *Journal of Mathematical Physics*, 57(9):092505, September 2016.
- [3] Chris Doran and Anthony Lasenby. *Geometric Algebra for Physicists*. 2007.
- [4] A. Lasenby, C. Doran, and S. Gull. Gravity, gauge theories and geometric algebra. *Philosophical Transactions of the Royal Society of London Series A*, 356(1737):487, Mar 1998.
- [5] Georgios K. Karananas. The particle spectrum of parity-violating Poincaré gravitational theory. *Classical and Quantum Gravity*, 32(5):055012, Mar 2015.
- [6] E. Sezgin and P. van Nieuwenhuizen. New ghost-free gravity Lagrangians with propagating torsion. , 21(12):3269–3280, Jun 1980.
- [7] Hwei-Jang Yo, James M. Nester, and W. T. Ni. Hamiltonian Analysis of Poincaré Gauge Theory. *International Journal of Modern Physics D*, 11(5):747–779, Jan 2002.
- [8] R. D. Hecht, J. Lemke, and R. P. Wallner. Tachyonic torsion shock waves in Poincaré gauge theory. *Physics Letters A*, 151(1-2):12–14, Nov 1990.
- [9] Ignjat A. Nikolić. Dirac Hamiltonian structure of  $R+R^2+T^2$  Poincaré gauge theory of gravity without gauge fixing. , 30(12):2508–2520, Dec 1984.
- [10] M. Blagojević and B. Cvetković. General Poincaré gauge theory: Hamiltonian structure and particle spectrum. , 98(2):024014, Jul 2018.
- [11] Hsin Chen, James M. Nester, and Hwei-Jang Yo. Acausal PGT Modes and the Nonlinear Constraint Effect. *Acta Physica Polonica B*, 29(4):961, Apr 1998.
- [12] M. Blagojević and I. A. Nikolić. Hamiltonian dynamics of Poincaré gauge theory: General structure in the time gauge. , 28(10):2455–2463, Nov 1983.
- [13] M. Blagojević and M. Vasilović. Extra gauge symmetries in a weak-field approximation of an  $R+T^2+R^2$  theory of gravity. , 35(12):3748–3759, Jun 1987.
- [14] Yun-Cherng Lin, Michael P. Hobson, and Anthony N. Lasenby. Ghost and tachyon free Poincaré gauge theories: A systematic approach. , 99(6):064001, Mar 2019.
- [15] Yun-Cherng Lin, Michael P. Hobson, and Anthony N. Lasenby. Ghost and tachyon free poincaré gauge theories: A systematic approach v2. Mar 2019. Manuscript in preparation.
- [16] L. M. Butcher. Localizing the energy and momentum of linear gravity. In *Journal of Physics Conference Series*, volume 484, page 012011, Mar 2014.
- [17] Luke M. Butcher, Anthony Lasenby, and Michael Hobson. Localizing the angular momentum of linear gravity. , 86(8):084012, Oct 2012.
- [18] Luke M. Butcher, Michael Hobson, and Anthony Lasenby. Localized energetics of linear gravity: Theoretical development. , 86(8):084013, Oct 2012.
- [19] W. E. V. Barker, A. N. Lasenby, M. P. Hobson, and W. J. Handley. Linear gravity from lightlike sources. Battcock seminar, 2018.
- [20] W. E. V. Barker, A. N. Lasenby, M. P. Hobson, and W. J. Handley. Static energetics in gravity. *Journal of Mathematical Physics*, 60(5):052504, May 2019.
- [21] A. N. Lasenby, C. J. L. Doran, and R. Heineke. Analytic solutions to Riemann-squared gravity with background isotropic torsion. *arXiv e-prints*, pages gr-qc/0509014, Sep 2005.
- [22] Anthony Lasenby, Chris J. L. Doran, and Reece Heineke. Analytic solutions to Riemann-squared gravity with background isotropic torsion. 2005.
- [23] W. E. V. Barker. Habitable torsion worlds. Strings Gravity and Cosmology Student Conference, 2019.
- [24] A. V. Minkevich. Generalised cosmological friedmann equations without gravitational singularity. *Physics Letters A*, 80(4):232–234, Dec 1980.
- [25] H. Goenner and F. Mueller-Hoissen. Spatially homogeneous and isotropic spaces in theories of gravitation with torsion. *Classical and Quantum Gravity*, 1(6):651–672, Nov 1984.
- [26] A. V. Minkevich and A. S. Garkun. Homogeneous isotropic models in the metric-affine gauge theory of gravity. *Classical and Quantum Gravity*, 17(15):3045–3054, Aug 2000.
- [27] A. V. Minkevich, A. S. Garkun, and Yu. G. Vasilevski. Scalar fields superdense gravitating systems. *arXiv e-prints*, pages gr-qc/0310060, Oct 2003.
- [28] A. V. Minkevich. On Gravitational Repulsion Effect at Extreme Conditions in Gauge Theories of

- Gravity. *Acta Physica Polonica B*, 38(1):61, Jan 2007.
- [29] A. V. Minkevich and A. S. Garkun. Analysis of inflationary cosmological models in gauge theories of gravitation. *Classical and Quantum Gravity*, 23(12):4237–4247, Jun 2006.
- [30] A. V. Minkevich, A. S. Garkun, and V. I. Kudin. Regular accelerating universe without dark energy in Poincaré gauge theory of gravity. *Classical and Quantum Gravity*, 24(23):5835–5847, Dec 2007.
- [31] A. V. Minkevich. Accelerating Universe with spacetime torsion but without dark matter and dark energy. *Physics Letters B*, 678(5):423–426, Aug 2009.
- [32] A. S. Garkun, V. I. Kudin, A. V. Minkevich, and Yu. G. Vasilevsky. Numerical analysis of cosmological models for accelerating Universe in Poincaré gauge theory of gravity. *arXiv e-prints*, page arXiv:1107.1566, Jul 2011.
- [33] A. V. Minkevich, A. S. Garkun, and V. I. Kudin. On some physical aspects of isotropic cosmology in Riemann–Cartan spacetime. *Journal of Cosmology and Astro-Particle Physics*, 2013(3):040, Mar 2013.
- [34] Dirk Puetzfeld. Status of non-Riemannian cosmology. *nar*, 49:59–64, May 2005.
- [35] Kun-Feng Shie, James M. Nester, and Hwei-Jang Yo. Torsion cosmology and the accelerating universe. , 78(2):023522, Jul 2008.
- [36] Hsin Chen, Fei-Hung Ho, James M. Nester, Chih-Hung Wang, and Hwei-Jang Yo. Cosmological dynamics with propagating Lorentz connection modes of spin zero. *jcap*, 2009(10):027, Oct 2009.
- [37] Hongchao Zhang and Lixin Xu. Inflation in the general Poincaré gauge cosmology. *arXiv e-prints*, page arXiv:1906.04340, Jun 2019.
- [38] Peter Baekler, Friedrich W. Hehl, and James M. Nester. Poincaré gauge theory of gravity: Friedman cosmology with even and odd parity modes: Analytic part. , 83(2):024001, Jan 2011.
- [39] Hwei-Jang Yo and James M. Nester. Hamiltonian Analysis of POINCARÉ Gauge Theory Scalar Modes. *International Journal of Modern Physics D*, 8(4):459–479, Jan 1999.
- [40] W. E. V. Barker. Friday meeting report. Kavli internal report, 2019.
- [41] W. E. V. Barker, A. N. Lasenby, M. P. Hobson, and W. J. Handley. Cosmologically valid gauge gravities: a systematic approach. In preparation, 2019.
- [42] W. E. V. Barker, A. N. Lasenby, M. P. Hobson, and W. J. Handley. Gauge theories of gravity: a dictionary. In preparation, 2019.