

Algebraic Geometry

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December 20, 2024

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Chapter 1

Theory of Scheme

1.1 Sheaf Theory

Definition 1.1.1 (presheaf). Let (Ouv_X) be the category whose objects are the open sets of X and, for two open sets $U, V \subseteq X$, $\text{Hom}(U, V)$ is empty if $U \not\subseteq V$, and consists of the inclusion map $U \rightarrow V$ if $U \subseteq V$ (composition of morphisms being the composition of the inclusion maps). A presheaf is a contravariant functor \mathcal{F} from the category (Ouv_X) to the category of category \mathcal{C} (such as the category of abelian groups, the category of rings, the category of R -modules, or the category of R -algebras)

Definition 1.1.2. Let \mathcal{F} be a presheaf on a topological space X , let U be an open set in X and let $\mathcal{U} = (U_i)_{i \in I}$ be an open covering of U . We define maps (depending on \mathcal{U})

$$\begin{aligned} \rho : \mathcal{F}(U) &\rightarrow \prod_{i \in I} \mathcal{F}(U_i), \quad s \mapsto (s|_{U_i})_i \\ \sigma : \prod_{i \in I} \mathcal{F}(U_i) &\rightarrow \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j), \quad (s_i)_i \mapsto (s_i|_{U_i \cap U_j})_{(i,j)}, \\ \sigma' : \prod_{i \in I} \mathcal{F}(U_i) &\rightarrow \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j), \quad (s_i)_i \mapsto (s_j|_{U_i \cap U_j})_{(i,j)}. \end{aligned}$$

The presheaf \mathcal{F} is called a sheaf, if it satisfies for all U and all coverings (U_i) as above the following condition:

$$\mathcal{F}(U) \xrightarrow{\rho} \prod_{i \in I} \mathcal{F}(U_i) \xrightleftharpoons[\sigma']{\sigma} \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j)$$

is exact. This means that the map ρ is injective and that its image is the set of elements $(s_i)_{i \in I} \in \prod_{i \in I} \mathcal{F}(U_i)$ such that $\sigma((s_i)_i) = \sigma'((s_i)_i)$.

In other words, a presheaf \mathcal{F} is a sheaf if and only if for all open sets U in X and every open covering $U = \bigcup_i U_i$ the following two conditions hold:

- (1) (Sh1) Let $s, s' \in \mathcal{F}(U)$ with $s|_{U_i} = s'|_{U_i}$ for all i . Then $s = s'$.
- (2) (Sh2) Given $s_i \in \mathcal{F}(U_i)$ for all i such that $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ for all i, j . Then there exists an $s \in \mathcal{F}(U)$ such that $s|_{U_i} = s_i$ (note that s is unique by (Sh1)).

Definition 1.1.3 (restriction of sheaf). If \mathcal{F} is a presheaf on a topological space X and U is an open subspace of X , we obtain a presheaf $\mathcal{F}|_U$ on U by setting $\mathcal{F}|_U(V) = \mathcal{F}(V)$ for every open subset V in U . If \mathcal{F} is a sheaf, $\mathcal{F}|_U$ is a sheaf on U . We call $\mathcal{F}|_U$ the restriction of \mathcal{F} to U .

Definition 1.1.4. The inductive limit

$$\mathcal{F}_x := \varinjlim_{U \ni x} \mathcal{F}(U)$$

is called the stalk of \mathcal{F} in x . In other words, \mathcal{F}_x is the set of equivalence classes of pairs (U, s) , where U is an open neighborhood of x and $s \in \mathcal{F}(U)$. Here two such pairs (U_1, s_1) and (U_2, s_2) are equivalent, if there exists an open neighborhood V of x with $V \subseteq U_1 \cap U_2$ such that $s_1|_V = s_2|_V$. For each open neighborhood U of x we have a canonical map

$$\mathcal{F}(U) \rightarrow \mathcal{F}_x, \quad s \mapsto s_x$$

which sends $s \in \mathcal{F}(U)$ to the class of (U, s) in \mathcal{F}_x . We call s_x the germ of s in x . If $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ is a morphism of presheaves on X , we have an induced map

$$\mathcal{F}_x \rightarrow \mathcal{G}_x$$

of the stalks in x by Proposition 3.1.30. We obtain a functor $\mathcal{F} \mapsto \mathcal{F}_x$ from the category of presheaves on X to the category of sets.

If \mathcal{F} is a presheaf with values in \mathcal{C} , where \mathcal{C} is the category of abelian groups, of rings, or any category in which filtered inductive limits exist, then the stalk \mathcal{F}_x is an object in \mathcal{C} and we obtain a functor $\mathcal{F} \mapsto \mathcal{F}_x$ from the category of presheaves on X with values in \mathcal{C} to the category \mathcal{C} .

Proposition 1.1.5. Let X be a topological space, \mathcal{F} and \mathcal{G} presheaves on X , and let $\varphi, \psi : \mathcal{F} \rightarrow \mathcal{G}$ be two morphisms of presheaves.

- (1) The induced maps on stalks $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$ are injective for all $x \in X$ if $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is injective for all open subsets $U \subseteq X$.
- (2) Assume that \mathcal{F} is a sheaf. Then the induced maps on stalks $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$ are injective for all $x \in X$ **if and only if** $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is injective for all open subsets $U \subseteq X$.
- (3) If \mathcal{F} and \mathcal{G} are both sheaves, the maps φ_x are bijective for all $x \in X$ if and only if φ_U is bijective for all open subsets $U \subseteq X$.
- (4) If \mathcal{F} and \mathcal{G} are both sheaves, the morphisms φ and ψ are equal if and only if $\varphi_x = \psi_x$ for all $x \in X$.

Proof: For $U \subseteq X$ open consider the map

$$\mathcal{F}(U) \rightarrow \prod_{x \in U} \mathcal{F}_x, \quad s \mapsto (s_x)_{x \in U}$$

We claim that this map is injective if \mathcal{F} is a sheaf. Indeed let $s, t \in \mathcal{F}(U)$ such that $s_x = t_x$ for all $x \in U$. Then for all $x \in U$ there exists an open neighborhood $V_x \subseteq U$ of x such that $s|_{V_x} = t|_{V_x}$. Clearly, $U = \bigcup_{x \in U} V_x$ and therefore $s = t$ by sheaf condition (Sh1). Using the commutative diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \prod \mathcal{F}_x \\ \downarrow \varphi_U & & \downarrow \prod \varphi_x \\ \mathcal{G}(U) & \longrightarrow & \prod \mathcal{G}_x \end{array}$$

and Proposition 3.1.31, (1) and (3) hold.

(2): By proposition 3.1.31, it suffice to show the bijectivity of φ_x for all $x \in U$ implies the surjectivity of φ_U . Let $t \in \mathcal{G}(U)$. For all $x \in U$ we choose an open neighborhood U^x of x in U and $s^x \in \mathcal{F}(U^x)$ such that $(\varphi_{U^x}(s^x))_x = t_x$. Then there exists an open neighborhood $V^x \subseteq U^x$ of x with $\varphi_{V^x}(s^x|_{V^x}) = t|_{V^x}$. Then $(V^x)_{x \in U}$ is an open covering of U and for $x, y \in U$

$$\varphi_{V^x \cap V^y}(s^x|_{V^x \cap V^y}) = t|_{V^x \cap V^y} = \varphi_{V^x \cap V^y}(s^y|_{V^x \cap V^y}).$$

As we already know that $\varphi_{V^x \cap V^y}$ is injective, this shows $s^x|_{V^x \cap V^y} = s^y|_{V^x \cap V^y}$ and the sheaf condition (Sh2) ensures that we find $s \in \mathcal{F}(U)$ such that $s|_{V^x} = s^x|_{V^x}$ for all $x \in U$. Clearly, we have $\varphi_U(s)_x = t_x$ for all $x \in U$ and hence $\varphi_U(s) = t$.

Definition 1.1.6. A morphism $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ of sheaves injective (resp. surjective, resp. bijective) if $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$ is injective (resp. surjective, resp. bijective) for all $x \in X$.

Remark 1.1.7. If $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ is a morphism of sheaves, φ is surjective if and only if for all open subsets $U \subseteq X$ and every $t \in \mathcal{G}(U)$ there exist an open covering $U = \bigcup_i U_i$ (depending on t) and sections $s_i \in \mathcal{F}(U_i)$ such that $\varphi_{U_i}(s_i) = t|_{U_i}$, i.e., locally we can find a preimage of t . But the surjectivity of φ does not imply that $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is surjective for all open sets U of X .

Definition 1.1.8. If \mathcal{F}, \mathcal{G} are (pre-)sheaves on X such that $\mathcal{F}(U) \subseteq \mathcal{G}(U)$ for all $U \subseteq X$ open, and such that the following diagram commute

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\subseteq} & \mathcal{G}(U) \\ \text{res}_U^V \uparrow & & \uparrow \text{res}_U^V \\ \mathcal{F}(V) & \xrightarrow{\subseteq} & \mathcal{G}(V) \end{array}$$

we call \mathcal{F} sub(pre-)sheaf of \mathcal{G} .

Definition 1.1.9 (sheafification). Let \mathcal{F} be a presheaf on a topological space X . Then there exists a pair $(\tilde{\mathcal{F}}, \iota_{\mathcal{F}})$, where $\tilde{\mathcal{F}}$ is a sheaf on X and $\iota_{\mathcal{F}} : \mathcal{F} \rightarrow \tilde{\mathcal{F}}$ is a morphism of presheaves, such that the following holds: If \mathcal{G} is a sheaf on X and $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ is a morphism of presheaves, then there exists a unique morphism of sheaves $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \mathcal{G}$ with $\tilde{\varphi} \circ \iota_{\mathcal{F}} = \varphi$. And the following properties hold:

(1) For all $x \in X$ the map on stalks $\iota_{\mathcal{F},x} : \mathcal{F}_x \rightarrow \tilde{\mathcal{F}}_x$ is bijective.

- (2) For every presheaf \mathcal{F}, \mathcal{G} on X and every morphism of presheaves $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ there exists a unique morphism $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$ making the diagram

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\iota_{\mathcal{F}}} & \tilde{\mathcal{F}} \\ \varphi \downarrow & & \downarrow \tilde{\varphi} \\ \mathcal{G} & \xrightarrow{\iota_{\mathcal{G}}} & \tilde{\mathcal{G}} \end{array}$$

commutative.

In particular, $\mathcal{F} \mapsto \tilde{\mathcal{F}}$ is a functor from the category of presheaves on X to the category of sheaves on X .

Proof: For $U \subseteq X$ open, elements of $\tilde{\mathcal{F}}(U)$ are by definition families of elements in the stalks of \mathcal{F} which locally give rise to sections of \mathcal{F} . More precisely, we define

$$\tilde{\mathcal{F}}(U) := \left\{ (s_x) \in \prod_{x \in U} \mathcal{F}_x : \forall x \in U, \exists \text{ an open neighborhood } W \subseteq U \text{ of } x, \right. \\ \left. \text{and } t \in \mathcal{F}(W) \text{ s.t. } \forall w \in W : s_w = t_w \right\}.$$

For $U \subseteq V$ the restriction map $\tilde{\mathcal{F}}(V) \rightarrow \tilde{\mathcal{F}}(U)$ is induced by the natural projection $\prod_{x \in V} \mathcal{F}_x \rightarrow \prod_{x \in U} \mathcal{F}_x$. Then it is easy to check that $\tilde{\mathcal{F}}$ is a sheaf.

For $U \subseteq X$ open, we define $\iota_{\mathcal{F}, U} : \mathcal{F}(U) \rightarrow \tilde{\mathcal{F}}(U)$ by $s \mapsto (s_x)_{x \in U}$. The definition of $\tilde{\mathcal{F}}$ shows that $\iota_{\mathcal{F}, x} : \mathcal{F}_x \rightarrow \tilde{\mathcal{F}}_x$ is bijective.

Now let \mathcal{G} be a presheaf on X and let $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ be a morphism. Sending $(s_x)_x \in \tilde{\mathcal{F}}(U)$ to $(\varphi_x(s_x))_x \in \tilde{\mathcal{G}}(U)$ defines a morphism $\tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$. By Proposition 1.1.5, this is the unique morphism making the diagram commutative.

If we assume in addition that \mathcal{G} is a sheaf, then the morphism of sheaves $\iota_{\mathcal{G}} : \mathcal{G} \rightarrow \tilde{\mathcal{G}}$, which is bijective on stalks, is an isomorphism by Proposition 1.1.5(3). Composing the morphism $\tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$ with $\iota_{\mathcal{G}}^{-1}$, we obtain the morphism $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \mathcal{G}$. Finally, the uniqueness of $(\tilde{\mathcal{F}}, \iota_{\mathcal{F}})$ is a formal consequence.

Remark 1.1.10. For every presheaf \mathcal{F}, \mathcal{G} on X and every morphism of presheaves $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ there exists a unique morphism $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$ making the diagram

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\iota_{\mathcal{F}}} & \tilde{\mathcal{F}} \\ \varphi \downarrow & & \downarrow \tilde{\varphi} \\ \mathcal{G} & \xrightarrow{\iota_{\mathcal{G}}} & \tilde{\mathcal{G}} \end{array}$$

commutative. If in addition \mathcal{G} is a sheaf and φ_U is injective for all U open in X , we have $\iota_{\mathcal{F}, U}$ is injective for all U open in X .

Definition 1.1.11 (direct image). Let $f : X \rightarrow Y$ be a continuous map of topological spaces. Let \mathcal{F} be a presheaf on X . We define a presheaf $f_*\mathcal{F}$ on Y by

$$(f_*\mathcal{F})(V) = \mathcal{F}(f^{-1}(V))$$

the restriction maps given by the restriction maps for \mathcal{F} . We call $f_*\mathcal{F}$ the direct image of \mathcal{F} under f .

Whenever $\varphi : \mathcal{F}_1 \rightarrow \mathcal{F}_2$ is a morphism of presheaves, the family of maps $f_*(\varphi)_V := \varphi_{f^{-1}(V)}$ for $V \subseteq Y$ open is a morphism $f_*(\varphi) : f_*\mathcal{F}_1 \rightarrow f_*\mathcal{F}_2$. Therefore f_* is a functor from the category of presheaves on X to the category of presheaves on Y .

Proposition 1.1.12. (1) If \mathcal{F} is a sheaf on X , $f_*\mathcal{F}$ is a sheaf on Y . Therefore f_* also defines a functor $f_* : (\text{Sh}(X)) \rightarrow (\text{Sh}(Y))$.

(2) If $g : Y \rightarrow Z$ is a second continuous map, there exists an identity $g_*(f_*\mathcal{F}) = (g \circ f)_*\mathcal{F}$ which is functorial in \mathcal{F} .

Definition 1.1.13 (inverse image). Let $f : X \rightarrow Y$ be a continuous map and let \mathcal{G} be a presheaf on Y . Define a presheaf on X by

$$U \mapsto \varinjlim_{V \supseteq f(U)} \mathcal{G}(V),$$

the restriction maps being induced by the restriction maps of \mathcal{G} and the universal property of direct limit:

$$\begin{array}{ccc} \varinjlim_{V \supseteq f(U)} \mathcal{G}(V) & \xrightarrow{\quad \text{res}_W^U \quad} & \varinjlim_{V \supseteq f(W)} \mathcal{G}(V) \\ & \nwarrow \quad \nearrow & \\ & \mathcal{G}(V_1) & \\ & \downarrow & \\ & \mathcal{G}(V_2) & \end{array}$$

We denote this presheaf by $f^+\mathcal{G}$. Let $f^{-1}\mathcal{G}$ be the sheafification of $f^+\mathcal{G}$. We call $f^{-1}\mathcal{G}$ the inverse image of \mathcal{G} under f .

Proposition 1.1.14. f^{-1} is a functor from category of presheaf on Y to category of sheaf on X .

Proof: If $\varphi : \mathcal{G}_1 \rightarrow \mathcal{G}_2$ is a morphism of presheaf on Y , then $f^{-1}\varphi : f^{-1}\mathcal{G}_1 \rightarrow f^{-1}\mathcal{G}_2$ is induced by universal property of direct limit and Proposition 1.1.9.

Proposition 1.1.15 (stalks of inverse image). Notice that

$$(f^{-1}\mathcal{G})_x \cong (f^+\mathcal{G})_x = \varinjlim_{x \in U} (f^+\mathcal{G})(U)$$

Since f is continous, by uniqueness of direct limit,

$$\varinjlim_{x \in U} \varinjlim_{f(U) \subset V} \mathcal{G}(V) \cong \varinjlim_{f(x) \in V} \mathcal{G}(V)$$

Proof:

$$\varinjlim_{x \in U} \varinjlim_{f(U) \subset V} \mathcal{G}(V) \cong \varinjlim_{f(x) \in V} \mathcal{G}(V)$$

is given by $[[s]], s \in \mathcal{G}(V) \rightarrow [s], s \in \mathcal{G}(V)$ since f is continous.

Proposition 1.1.16. Now let $g : Y \rightarrow Z$ be a second continuous map and let \mathcal{H} be a presheaf on Z . By the definition of f^+ and g^+ , $f^+(g^+\mathcal{H}) \cong (g \circ f)^+\mathcal{H}$. By taking sheafification,

$$f^{-1}(g^+\mathcal{H}) \cong (g \circ f)^{-1}\mathcal{H}$$

Since there's natural morphism of sheaves $f^{-1}g^+\mathcal{H} \rightarrow f^{-1}(g^{-1})\mathcal{H}$ and the morphism at stalks are isomorphism, we have

$$f^{-1}(g^{-1}\mathcal{H}) \cong f^{-1}(g^+\mathcal{H}) \cong (g \circ f)^{-1}\mathcal{H},$$

Theorem 1.1.17 (adjoint pair (f^{-1}, f_*)). Let $f : X \rightarrow Y$ be a continuous map, let \mathcal{F} be a sheaf on X and let \mathcal{G} be a presheaf on Y . Then there is a bijection

$$\begin{aligned} \mathrm{Hom}_{(\mathrm{Sh}(X))}(f^{-1}\mathcal{G}, \mathcal{F}) &\leftrightarrow \mathrm{Hom}_{(\mathrm{PreSh}(Y))}(\mathcal{G}, f_*\mathcal{F}), \\ \varphi &\rightarrow \varphi^b, \\ \psi^\sharp &\leftarrow \psi \end{aligned}$$

and (f^{-1}, f_*) is an adjoint pair between $\mathrm{PreSh}(Y)$ and $\mathrm{Sh}(X)$.

Proof: Let $\varphi : f^{-1}\mathcal{G} \rightarrow \mathcal{F}$ be a morphism of sheaves on X , and let $V \subseteq Y$ be open. Since $f(f^{-1}(V)) \subseteq V$, we have a map $\mathcal{G}(V) \rightarrow f^+\mathcal{G}(f^{-1}(V))$, and we define φ_V^b as the composition

$$\mathcal{G}(V) \rightarrow f^+\mathcal{G}(f^{-1}(V)) \longrightarrow f^{-1}\mathcal{G}(f^{-1}(V)) \xrightarrow{\varphi_{f^{-1}(V)}} \mathcal{F}(f^{-1}(V)) = f_*\mathcal{F}(V).$$

Conversely, let $\psi : \mathcal{G} \rightarrow f_*\mathcal{F}$ be a morphism of presheaves on Y . To define the morphism ψ^\sharp it suffices to define a morphism of presheaves $f^{-1}\mathcal{G} \rightarrow \mathcal{F}$, which we call again ψ^\sharp . Let U be open in X , and $s \in f^{-1}\mathcal{G}(U)$. If V is some open neighborhood of $f(U)$, U is contained in $f^{-1}(V)$. Let V be such a neighborhood such that there exists $s_V \in \mathcal{G}(V)$ representing s . Then $\psi_V(s_V) \in f_*\mathcal{F}(V) = \mathcal{F}(f^{-1}(V))$. Let $\psi_U^\sharp(s) \in \mathcal{F}(U)$ be the restriction of the section $\psi_V(s_V)$ to U .

Proposition 1.1.18. Let $f : X \rightarrow Y$ be a continuous map, let \mathcal{F} be a sheaf on X and let \mathcal{G} be a presheaf on Y , and a morphism of presheaves $\psi : \mathcal{G} \rightarrow f_*\mathcal{F}$. Then for each $x \in X$, the map

$$\psi_x^\sharp : \mathcal{G}_{f(x)} \cong (f^{-1}\mathcal{G})_x \longrightarrow \mathcal{F}_x$$

induced by $\psi^\sharp : f^{-1}\mathcal{G} \rightarrow \mathcal{F}$ on stalks can be described in terms of ψ as follows: For every open neighborhood $V \subseteq Y$ of $f(x)$, we have maps

$$\mathcal{G}(V) \xrightarrow{\psi_V} \mathcal{F}(f^{-1}(V)) \longrightarrow \mathcal{F}_x,$$

and taking the inductive limit over all V we obtain the map $\psi_x^\sharp : \mathcal{G}_{f(x)} \rightarrow \mathcal{F}_x$.

Proposition 1.1.19. X be a topological space, then category of sheaves on X is an abelian category.

Proof: Cokernel exists: If \mathcal{F}, \mathcal{G} are sheaves and $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ is a sheaf map, then $\text{coker } \varphi$ exists.

$$\begin{array}{ccccc}
 \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & \xrightarrow{\iota \circ \pi} & (\mathcal{G}/\text{im}\mathcal{F})^+ \\
 & \searrow & \downarrow \pi & \nearrow \iota & \\
 & & \mathcal{G}/\text{im}\mathcal{F} & & \\
 & \searrow 0 & \downarrow u & \nearrow \theta & \\
 & & X & &
 \end{array}$$

Here $+$ denotes the sheafification and θ is induced by universal property of sheafification.

Ab2:

$$\begin{array}{ccccccc}
 & & & \mathcal{G}/\text{im}\mathcal{F} & & & \\
 & & & \uparrow \pi & \searrow & & \\
 \text{Ker}\varphi & \xrightarrow{u} & \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & \xrightarrow{v} & (\mathcal{G}/\text{im}\mathcal{F})^+ = \text{coker}\varphi \\
 & & \downarrow & & \uparrow & \nearrow & \\
 & & \mathcal{F}/\text{Ker}\varphi & \xrightarrow{\simeq} & \text{im}\mathcal{F} & & \\
 & & \downarrow & & \downarrow & \nearrow l & \\
 & & (\mathcal{F}/\text{Ker}\varphi)^+ & \xrightarrow{\simeq} & (\text{im}\mathcal{F})^+ & &
 \end{array}$$

By the construction of cokernel, $(\mathcal{F}/\text{Ker}\varphi)^+$ is the cokernel of u . Since kernel of v contains $\text{im}\mathcal{F}$, by universal property of sheafification, l_U is injective for all U open in X and the image of l lie in the kernel of v . Now it suffice to show $l : (\text{im}\mathcal{F})^+ \rightarrow \ker(v)$ is isomorphism on stalk. Notice that morphisms on stalk is clearly injective and for some $[g] \in \ker(v)_x$, where $g \in \mathcal{G}(U)$, since $\pi_x([g]) = 0$ (By Proposition 1.1.9), there's $V \subset U$ such that $\pi_V(g|_V) = 0$. Hence, $g|_V \in \text{im}(\mathcal{F})(V)$ which implies l_x is surjective. Hence, $(\text{im}\mathcal{F})^+$ is the kernel of v .

Proposition 1.1.20. $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ be a morphism of sheaves, then $\text{coker } \varphi = 0$ if and only if φ_x be surjective for all $x \in X$.

Proof: $\text{coker} = 0$ implies φ_x is surjective for all x : By above diagram, if $\text{coker } \varphi = 0$, we have $l : (\text{im}\mathcal{F})^+ \rightarrow \mathcal{G}$ is an isomorphism of sheaves. Hence, the map $\text{im}\mathcal{F} \rightarrow \mathcal{G}$ is surjective on stalks. Hence, it suffice to check $\mathcal{F} \rightarrow \mathcal{F}/\text{Ker}\varphi$ is surjective on stalk, which is obvious.

φ_x is surjective for all x implies $\text{coker} = 0$: it suffice to show $(\text{coker}\varphi)_x = 0$ for all $x \in X$. Since φ_x is surjective, $l : (\text{im}\mathcal{F})^+ \rightarrow \mathcal{G}$ is an isomorphism of sheaves. Hence, the kernel of v is \mathcal{G} . Then v_x is surjective and $= 0$ for all $x \in X$.

Proposition 1.1.21. Let X be a topological space and $i : Z \rightarrow X$ the inclusion of a subspace Z . Let \mathcal{F} be a sheaf on Z . Show the following properties for the stalks $i_*(\mathcal{F})_x$.

- (1) For all $x \notin \bar{Z}$, $i_*(\mathcal{F})_x$ is a singleton (i.e., a set consisting of one element).
- (2) For all $x \in Z$, $i_*(\mathcal{F})_x = \mathcal{F}_x$.
- (3) If $Z = \{x\}$ and \mathcal{F} is a constant sheaf on Z with value E , then $i_*(\mathcal{F})$ is called skyscraper sheaf in x with value E .

Theorem 1.1.22. X be a topological space and Z be a closed subset of X with $i : Z \rightarrow X$ be the embedding, \mathcal{G} is a sheaf on X supported on Z (That is, $\text{Supp}\mathcal{G} \subset Z$), then $i^{-1}\mathcal{G}$ is a sheaf on Z . On the other hand, if \mathcal{F} is a sheaf on Z , by Proposition 1.1.15, $i_*\mathcal{F}$ is a sheaf supported on Z .

$$\begin{array}{ccc} & \xrightarrow{i^{-1}} & \\ \{\text{sheaf on } X \text{ supported on } Z\} & & \{\text{sheaf on } Z\} \\ & \xleftarrow{i_*} & \\ \mathcal{F} & \xrightarrow{\quad\quad\quad} & i^{-1}\mathcal{F} \\ & & \\ i_*\mathcal{G} & \xleftarrow{\quad\quad\quad} & \mathcal{G} \end{array}$$

Moreover, for a sheaf \mathcal{F} supported on Z , the identify map $i^{-1}\mathcal{F} \rightarrow i^{-1}\mathcal{F}$ induces φ a natural isomorphism of sheaves

$$\mathcal{F} \rightarrow i_*i^{-1}\mathcal{F}$$

And, for a sheaf \mathcal{G} on Z , the identify map $i_*\mathcal{G} \rightarrow i_*\mathcal{G}$ induces φ a natural isomorphism of sheaves

$$i^{-1}i_*\mathcal{G} \rightarrow \mathcal{G}$$

Proof: Since for all $x \in Z$

$$\varphi_x : \mathcal{F}_x \rightarrow (i_*i^{-1}\mathcal{F})_x \simeq (i^{-1}\mathcal{F})_x \simeq \mathcal{F}_x$$

is an identity map and for all $x \notin Z$, $\mathcal{F}_x = 0 = (i_*i^{-1}\mathcal{F})_x = 0$ by Proposition 1.1.15, we have $\mathcal{F} \simeq i_*i^{-1}\mathcal{F}$

1.2 Ringed Space

Definition 1.2.1. A ringed space is a pair (X, \mathcal{O}_X) , where X is a topological space and where \mathcal{O}_X is a sheaf of (commutative) rings on X .

If (X, \mathcal{O}_X) and (Y, \mathcal{O}_Y) are ringed spaces, we define a morphism of ringed spaces $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ as a pair (f, f^b) , where $f : X \rightarrow Y$ is a continuous map and where $f^b : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ is a homomorphism of sheaves of rings on Y .

Definition 1.2.2. If A is a local ring, we denote by \mathfrak{m}_A its maximal ideal and by $\kappa(A) = A/\mathfrak{m}_A$ its residue field. A homomorphism of local rings $\varphi : A \rightarrow B$ is called local, if $\varphi(\mathfrak{m}_A) \subseteq \mathfrak{m}_B$.

A morphism $(f, f^b) : X \rightarrow Y$ of ringed spaces induces morphisms on the stalks as follows. Let $x \in X$. Let $f^\sharp : f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$ be the morphism corresponding to f^b by adjointness. Using the identification $(f^{-1}\mathcal{O}_Y)_x = \mathcal{O}_{Y, f(x)}$, we get

$$f_x^\sharp : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$$

Definition 1.2.3. A locally ringed space is a ringed space (X, \mathcal{O}_X) such that for all $x \in X$ the stalk $\mathcal{O}_{X,x}$ is a local ring.

A morphism of locally ringed spaces $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ is a morphism of ringed spaces $(f, f^\#)$ such that for all $x \in X$ the induced homomorphism on stalks

$$f_x^\# : (f^{-1}\mathcal{O}_Y)_x = \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$$

is a local ring homomorphism.

Definition 1.2.4. Let (X, \mathcal{O}_X) be a locally ringed space and $x \in X$. We call the stalk $\mathcal{O}_{X,x}$ the local ring of X in x , denote by \mathfrak{m}_x the maximal ideal of $\mathcal{O}_{X,x}$, and by $\kappa(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x$ the residue field. If U is an open neighborhood of x and $f \in \mathcal{O}_X(U)$, we denote by $f(x) \in \kappa(x)$ the image of f under the canonical homomorphisms $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,x} \rightarrow \kappa(x)$.

Definition 1.2.5 (sheaf of ring on $\text{Spec}(A)$). For each prime ideal $\mathfrak{p} \subseteq A$, let $A_{\mathfrak{p}}$ be the localization of A at \mathfrak{p} . For an open set $U \subseteq \text{Spec } A$, we define $\mathcal{O}(U)$ to be the set of functions

$$s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$$

, such that $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ for each \mathfrak{p} , and such that s is locally a quotient of elements of A : to be precise, we require that for each $\mathfrak{p} \in U$, there is a neighborhood V of \mathfrak{p} , contained in U , and elements $a, f \in A$, such that for each $\mathfrak{q} \in V$, $f \notin \mathfrak{q}$, and $s(\mathfrak{q}) = a/f$ in $A_{\mathfrak{q}}$.

Proposition 1.2.6. Let A be a ring, and $(\text{Spec } A, \mathcal{O})$ its spectrum.

- (1) For any $\mathfrak{p} \in \text{Spec } A$, the stalk $\mathcal{O}_{\mathfrak{p}}$ of the sheaf \mathcal{O} is isomorphic to the local ring $A_{\mathfrak{p}}$.
- (2) For any element $f \in A$, the ring $\mathcal{O}(D(f))$ is isomorphic to the localized ring A_f .
- (3) In particular, $\Gamma(\text{Spec } A, \mathcal{O}) \cong A$.

Proof: (1): First we define a homomorphism from $\mathcal{O}_{\mathfrak{p}}$ to $A_{\mathfrak{p}}$ by sending any local section s in a neighborhood of \mathfrak{p} to its value $s(\mathfrak{p}) \in A_{\mathfrak{p}}$. This gives a well-defined homomorphism φ from $\mathcal{O}_{\mathfrak{p}}$ to $A_{\mathfrak{p}}$. The map φ is surjective, because any element of $A_{\mathfrak{p}}$ can be represented as a quotient a/f , with $a, f \in A$, $f \notin \mathfrak{p}$. Then $D(f)$ will be an open neighborhood of \mathfrak{p} , and a/f defines a section of \mathcal{O} over $D(f)$ whose value at \mathfrak{p} is the given element. To show that φ is injective, let U be a neighborhood of \mathfrak{p} , and let $s, t \in \mathcal{O}(U)$ be elements having the same value $s(\mathfrak{p}) = t(\mathfrak{p})$ at \mathfrak{p} . By shrinking U if necessary, we may assume that $s = a/f$, and $t = b/g$ on U , where $a, b, f, g \in A$, and $f, g \notin \mathfrak{p}$. Since a/f and b/g have the same image in $A_{\mathfrak{p}}$, it follows from the definition of localization that there is an $h \notin \mathfrak{p}$ such that $h(ga - fb) = 0$ in A . Therefore $a/f = b/g$ in every local ring $A_{\mathfrak{q}}$ such that $f, g, h \notin \mathfrak{q}$. But the set of such \mathfrak{q} is the open set $D(f) \cap D(g) \cap D(h)$, which contains \mathfrak{p} .

(2): We define a homomorphism $\psi : A_f \rightarrow \mathcal{O}(D(f))$ by sending a/f^n to the section $s \in \mathcal{O}(D(f))$ which assigns to each \mathfrak{p} the image of a/f^n in $A_{\mathfrak{p}}$.

Corollary 1.2.7. $(\text{Spec } A, \mathcal{O}_{\text{Spec}(A)})$ is a locally ringed space.

Proposition 1.2.8. A, B are commutative rings,

- (1) If $\varphi : A \rightarrow B$ is a homomorphism of rings, then φ induces a natural morphism of locally ringed spaces

$$(f, f^b) : (\text{Spec } B, \mathcal{O}_{\text{Spec } B}) \rightarrow (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$$

where

$$\begin{aligned} f_U^b : \mathcal{O}_{\text{Spec}(A)}(U) &\rightarrow f_* \mathcal{O}_{\text{Spec}(A)}(U) \\ (s : U \rightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}}) &\mapsto (s' : f^{-1}(U) \rightarrow U \rightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \rightarrow \coprod_{\mathfrak{q} \in f^{-1}(U)} B_{\mathfrak{q}}) \end{aligned}$$

- (2) If A and B are rings, then any morphism of locally ringed spaces from $\text{Spec } B$ to $\text{Spec } A$ is induced by a homomorphism of rings $\varphi : A \rightarrow B$ as in (1).

Proof: (1): Assume $\mathfrak{p} \in \text{Spec}(B)$ and $\varphi^{-1}(\mathfrak{p}) = \mathfrak{q}$. Then the ring homomorphism

$$\varphi_{\mathfrak{p}} : A_{\mathfrak{q}} \rightarrow B_{\mathfrak{p}}$$

induced by universal property of localization is a local ring homomorphism.

(2): Conversely, suppose given a morphism of locally ringed spaces $(f, f^{\#})$ from $\text{Spec } B$ to $\text{Spec } A$. Taking global sections, $f^{\#}$ induces a homomorphism of rings $\varphi : \Gamma(\text{Spec } A, \mathcal{O}_{\text{Spec } A}) \rightarrow \Gamma(\text{Spec } B, \mathcal{O}_{\text{Spec } B})$. These rings are A and B , respectively, so we have a homomorphism $\varphi : A \rightarrow B$. For any $\mathfrak{p} \in \text{Spec } B$, we have an induced local homomorphism on the stalks (universal property of direct limit), $\mathcal{O}_{\text{Spec } A, f(\mathfrak{p})} \rightarrow \mathcal{O}_{\text{Spec } B, \mathfrak{p}}$ or $A_{f(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$, which must be compatible with the map φ on global sections. In other words, we have a commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \downarrow & & \downarrow \\ A_{f(\mathfrak{p})} & \xrightarrow{f_{\mathfrak{p}}^{\#}} & B_{\mathfrak{p}} \end{array}$$

Since $f^{\#}$ is a local homomorphism, it follows that $\varphi^{-1}(\mathfrak{p}) = f(\mathfrak{p})$, which shows that f coincides with the map $\text{Spec } B \rightarrow \text{Spec } A$ induced by φ .

By universal property of localization, $\varphi_{\mathfrak{p}} = f_{\mathfrak{p}}^{\#}$. Then by Theorem 1.1.5(3), $(f, f^{\#})$ is induced by φ .

Corollary 1.2.9.

Definition 1.2.10. A locally ringed space (X, \mathcal{O}_X) is called affine scheme, if there exists a ring A such that (X, \mathcal{O}_X) is isomorphic to $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$.

Definition 1.2.11. A scheme is a locally ringed space (X, \mathcal{O}_X) which admits an open covering $X = \bigcup_{i \in I} U_i$ such that all locally ringed spaces $(U_i, \mathcal{O}_X|_{U_i})$ are affine schemes. A morphism of schemes is a morphism of locally ringed spaces.

Definition 1.2.12 (principal open subschemes of an affine scheme). Let $X = \operatorname{Spec} A$ be an affine scheme. For $f \in A$ let $j : \operatorname{Spec} A_f \rightarrow \operatorname{Spec} A$ be the morphism of affine schemes that corresponds to the canonical homomorphism $A \rightarrow A_f$. Then j induces a homeomorphism of $\operatorname{Spec} A_f$ onto $D(f)$. Moreover, for all $x \in D(f)$, $j_x^\#$ is the canonical isomorphism $A_{\mathfrak{p}_x} \xrightarrow{\sim} (A_f)_{\mathfrak{p}_x}$ by Algebra Theorem 2.4.26. Hence we see that $(j, j^\#)$ induces an isomorphism of the affine scheme $\operatorname{Spec} A_f$ with the locally ringed space $(D(f), \mathcal{O}_{X|D(f)})$.

Definition 1.2.13 (closed subschemes of affine schemes). Let $X = \operatorname{Spec} A$ be an affine scheme. For an ideal \mathfrak{a} of A let $i : \operatorname{Spec} A/\mathfrak{a} \rightarrow \operatorname{Spec} A$ be the morphism of affine schemes that corresponds to the canonical homomorphism $A \rightarrow A/\mathfrak{a}$. Then i induces a homeomorphism of $\operatorname{Spec} A/\mathfrak{a}$ onto the closed subset $V(\mathfrak{a})$ of $\operatorname{Spec} A$. Moreover, for all $x \in V(\mathfrak{a})$ the morphism i_x^\flat is the canonical surjective homomorphism $A_{\mathfrak{p}_x} \rightarrow (A/\mathfrak{a})_{\bar{\mathfrak{p}}_x}$ where $\bar{\mathfrak{p}}_x$ is the image of \mathfrak{p}_x in A/\mathfrak{a} .

1.3 Basic Propositions

Definition 1.3.1. Let S be a fixed scheme. The category (Sch/S) of schemes over S (or of S -schemes) is the category whose objects are the morphisms $X \rightarrow S$ of schemes, and whose morphisms $\operatorname{Hom}(X \rightarrow S, Y \rightarrow S)$ are the morphisms $X \rightarrow Y$ of schemes with the property that

$$\begin{array}{ccc} X & \xrightarrow{\quad} & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

commutes.

Proposition 1.3.2 (open subscheme). (1) Let X be a scheme, and $U \subseteq X$ an open subset. Then the locally ringed space $(U, \mathcal{O}_{X|U})$ is a scheme. We call U an open subscheme of X . If U is an affine scheme, then U is called an affine open subscheme.

(2) Let X be a scheme. The affine open subschemes are a basis of the topology.

(3) There's a canonical morphism between scheme $(U, \mathcal{O}_{X|U})$ and (X, \mathcal{O}_X) .

(4): $(f, f^\flat) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ is a morphism of scheme and $f(X) \subset U$ for some open subset of Y , then there's a natural morphism $(X, \mathcal{O}_X) \rightarrow (U, \mathcal{O}_{Y|U})$ making the following diagram commute

$$\begin{array}{ccc} (X, \mathcal{O}_X) & \xrightarrow{\quad} & (Y, \mathcal{O}_Y) \\ & \searrow & \uparrow \\ & & (U, \mathcal{O}_{Y|U}) \end{array}$$

Proof: (3): For all the V open in X , the restriction maps

$$\Gamma(V, \mathcal{O}_X) \rightarrow \Gamma(V \cap U, \mathcal{O}_{X|U})$$

induce a morphism $j^\flat : \mathcal{O}_X \rightarrow j_*(\mathcal{O}_{X|U})$ of sheaves.

Hence, there's a canonical morphism $(U, \mathcal{O}_{X|U}) \rightarrow (X, \mathcal{O}_X)$ of scheme.

Lemma 1.3.3 (Nike's Trick). Let X be a scheme, and let U, V be affine open subschemes of X . Then there exists for all $x \in U \cap V$ an open subscheme $W \subseteq U \cap V$ with $W \ni x$ such that W is principal open in U as well as in V .

Proof: We may assume $x \in V \subset U$ and U, V are all open affine, hence

$$(j, j^b) : (V, \mathcal{O}_X|_V) \rightarrow (U, \mathcal{O}_X|_U)$$

is a morphism of scheme.

$$\begin{array}{ccc} (V, \mathcal{O}_X|_V) & \xrightarrow{\cong} & (\text{Spec } A, \mathcal{O}_{\text{Spec } A}) \\ \downarrow j & & \downarrow \varphi \\ (U, \mathcal{O}_X|_U) & \xrightarrow{\cong} & (\text{Spec } B, \mathcal{O}_{\text{Spec } B}) \end{array} \quad \begin{array}{c} B \\ \uparrow \phi \\ A \end{array}$$

Take $f \in B$ such that the principal open subset $D(f)$ satisfies $x \in D(f) \subset V \subset U$, then

$$D(f) = j^{-1}(D(f)) = \varphi^{-1}(D(f)) = D(\phi(f))$$

Lemma 1.3.4 (Gluing of morphisms). Let X, Y be schemes. If $X = \bigcup_i U_i$ is an open covering, then a family of morphisms $\varphi_i : (U_i, \mathcal{O}_X|_{U_i}) \rightarrow (Y, \mathcal{O}_Y)$ glues to a morphism $(f, f^b) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ if and only if the morphisms coincide on intersections $U_i \cap U_j$, and the resulting morphism $X \rightarrow Y$ is uniquely determined.

Proof: Firstly, define

$$f : X \rightarrow Y, x \mapsto \varphi_i(x) \text{ if } x \in U_i$$

For some V open in Y , we can obtain φ_V by the following diagram:

$$\begin{array}{ccc} \mathcal{O}_Y(V) & \xrightarrow{\varphi_V} & f_* \mathcal{O}_X(V) = \mathcal{O}_X(f^{-1}(V)) \\ \downarrow \text{id} & & \uparrow \text{glue} \\ \mathcal{O}_Y(V) & \xrightarrow{(\varphi_i)_V} & (\varphi_i)_* \mathcal{O}_X|_{U_i}(V) = \mathcal{O}_X(U_i \cap f^{-1}(V)) \end{array}$$

Example 1.3.5 (zero section). Consider $\mathbb{A}_R^{n+1} = \text{Spec}(R[T_0, \dots, T_n])$, define

$$\mathbb{A}_R^{n+1} - \{0\} = \bigcup_{i=0}^n D(T_i)$$

be an open subscheme of \mathbb{A}_R^{n+1} . Since there's natural morphism p_i given by

$$p_i : D(T_i) = \text{Spec } R[T_0, \dots, T_n, T_i^{-1}] \rightarrow D_+(X_i) = \text{Spec } R\left[\frac{X_0}{X_i}, \dots, \frac{\widehat{X_i}}{X_i}, \dots, \frac{X_n}{X_i}\right]$$

, by gluing of morphisms of scheme, there's a natural morphism

$$p : \mathbb{A}_R^{n+1} - \{0\} \rightarrow \mathbb{P}_R^n$$

Example 1.3.6. Consider $X = \text{Spec}(\mathbb{R}[x, y]) - \{0\}$ and $p : X \rightarrow \mathbb{P}_{\mathbb{R}}^1$. For $(\alpha, \beta) \neq (0, 0)$,

$$p((x - \alpha, y - \beta)) = (\alpha y - \beta x)$$

Example 1.3.7. Let A be an R -algebra, let $f : \operatorname{Spec} A \rightarrow \mathbb{A}_R^n$ be an R -morphism, and denote the corresponding R -algebra homomorphism by $\varphi : R[T_1, \dots, T_n] \rightarrow A$. Set $a_i = \varphi(T_i) \in A$. Then f factors through $\mathbb{A}_R^n - \{0\}$ if and only if for all $\mathfrak{p} \in \operatorname{Spec}(A)$,

$$f(\mathfrak{p}) \in \bigcup_{i=0}^n D(T_i)$$

Equivalently, there's no such prime ideal $\mathfrak{p} \subset A$ such that $\varphi^{-1}(\mathfrak{p}) \supset (T_1, \dots, T_n)$. Since $\varphi^{-1}(\mathfrak{p}) \supset (T_1, \dots, T_n)$ if and only if $\mathfrak{p} \supset (\varphi(T_1), \dots, \varphi(T_n))$, we have

$$\operatorname{Hom}_R(\operatorname{Spec}(A), \mathbb{A}_R^n - \{0\}) = \{\varphi \in \operatorname{Hom}_{(R\text{-Alg})}(R[x_1, \dots, x_n], A) : (\varphi(x_1), \dots, \varphi(x_n)) = (1)\}$$

Example 1.3.8 (\mathbb{G}_m). Set $X = \operatorname{Spec} R[U, U^{-1}] = R[U, T]/(UT - 1)$. Then we obtain for every R -scheme T

$$\operatorname{Hom}_R(T, X) = \operatorname{Hom}_{(R\text{-Alg})}(R[U, U^{-1}], \Gamma(T, \mathcal{O}_T)) = \Gamma(T, \mathcal{O}_T)^\times.$$

Proposition 1.3.9. Let (X, \mathcal{O}_X) be a scheme, $Y = \operatorname{Spec} A$ an affine scheme. Then the natural map

$$\operatorname{Hom}(X, Y) \longrightarrow \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X)), \quad (f, f^b) \mapsto f_Y^b,$$

is a functorial bijection. Here the set on the left side denotes the set of morphisms $X \rightarrow Y$ of scheme, and the set on the right side denotes the set of ring homomorphisms $A \rightarrow \Gamma(X, \mathcal{O}_X)$.

Proof:

$$\begin{array}{ccc} \operatorname{Hom}(X, Y) & \dashrightarrow & \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X)) \\ \text{glue} \uparrow \downarrow \text{res} & & \text{glue} \uparrow \downarrow \text{res} \\ \operatorname{Hom}(U_i, Y) & \xrightarrow{\simeq} & \operatorname{Hom}(A, \Gamma(U_i, \mathcal{O}_X)) \end{array}$$

Injective: For $f : X \rightarrow Y$, define $f_i : U_i \rightarrow X \rightarrow Y$ a morphism of scheme. It's easy to check the follow diagram commutes

$$\begin{array}{ccc} A & \xrightarrow{f_Y^b} & \Gamma(X, \mathcal{O}_X) \\ & \searrow (f_i)_Y^b & \downarrow j_X^b \\ & & \Gamma(U_i, \mathcal{O}_X) \end{array}$$

Hence, $(f, f^b) = (g, g^b)$ iff $(f_i, f_i^b) = (g_i, g_i^b)$ iff $(f_i)_Y^b = (g_i)_Y^b$ iff $f_Y^b = g_Y^b$

Surjective:

$$\begin{array}{ccc} U_i & \xrightarrow{f_i} & Y \\ l_i \uparrow & & \uparrow f_j \\ V & \xrightarrow{l_j} & U_j \end{array} \quad \begin{array}{ccc} \Gamma(U_i, \mathcal{O}_X) & \xleftarrow{\tilde{f}_i} & A \\ \downarrow & & \downarrow \tilde{f}_j \\ \Gamma(V, \mathcal{O}_X) & \xleftarrow{\quad} & \Gamma(U_j, \mathcal{O}_X) \end{array}$$

Take $\tilde{f} \in \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X))$, and define $\tilde{f}_i : \tilde{f} \circ \operatorname{Res}_{U_i}^X$ and f_i be the corresponding morphisms with respect to category equivalence(commutative rings and affine schemes). Consider above diagram, V is an affine open subset of $U_i \cap U_j$. Since opposite category of commutative rings is equivalent to category of affine scheme, the fact that the right diagram commutes implies the left diagram commute.

Proposition 1.3.10. Let (X, \mathcal{O}_X) be a k -scheme, A be a k -algebra and $Y = \operatorname{Spec} A$ an affine scheme over k . Then the natural map

$$\operatorname{Hom}_{\operatorname{Spec}(k)}(X, Y) \longrightarrow \operatorname{Hom}_k(A, \Gamma(X, \mathcal{O}_X)), \quad (f, f^b) \mapsto f_Y^b,$$

is a functorial bijection. Here the set on the left side denotes the set of morphisms $X \rightarrow Y$ of k -scheme, and the set on the right side denotes the set of k -algebra homomorphisms $A \rightarrow \Gamma(X, \mathcal{O}_X)$.

Proposition 1.3.11. Let X be a scheme. Let $x \in X$, and let $U \subseteq X$ be an affine open neighborhood of x , say $U = \operatorname{Spec} A$. Denote by $\mathfrak{p} \subset A$ the prime ideal of A corresponding to x . Then $\mathcal{O}_{X,x} = \mathcal{O}_{U,x} = A_{\mathfrak{p}}$, and the natural homomorphism $A \rightarrow A_{\mathfrak{p}}$ gives us a morphism

$$j_x : \operatorname{Spec} \mathcal{O}_{X,x} = \operatorname{Spec} A_{\mathfrak{p}} \rightarrow \operatorname{Spec} A = U \subseteq X$$

of schemes. This morphism is independent of the choice of U .

Proof: Assume V is an open affine subset of U with $x \in V$, $V = \operatorname{Spec}(B)$ and $x = \mathfrak{q}$. Then, it suffices to show j_x induced by V and j_x induced by U identifies. Consider the following commutative diagram

$$\begin{array}{ccccccc} & & & & & & X \\ & & & & & \nearrow & \\ \operatorname{Spec} \mathcal{O}_{X,x} & \xrightarrow{\cong} & \operatorname{Spec} A_{\mathfrak{p}} & \longrightarrow & \operatorname{Spec} A & \xrightarrow{\cong} & U \\ \uparrow \operatorname{id} & & \uparrow & & \uparrow \varphi & & \uparrow \\ \operatorname{Spec} \mathcal{O}_{X,x} & \xrightarrow{\cong} & \operatorname{Spec} B_{\mathfrak{q}} & \longrightarrow & \operatorname{Spec} B & \xrightarrow{\cong} & V \\ & & & & & \nwarrow & \end{array}$$

where the morphism $\operatorname{Spec}(B_{\mathfrak{q}}) \rightarrow \operatorname{Spec}(A_{\mathfrak{p}})$ is induced both by universal property of localization and the morphism of sheaves $\mathcal{O}_{\operatorname{Spec}(A)} \rightarrow \varphi_* \mathcal{O}_{\operatorname{Spec}(B)}$.

Proposition 1.3.12. The image of the canonical map $j_x : \operatorname{Spec} \mathcal{O}_{X,x} \rightarrow X$ is

$$Z = \left\{ y \in X : x \in \overline{\{y\}} \right\} = \bigcap_{x \in W, W \text{ open in } X} W$$

Proof: Trivial.

Proposition 1.3.13. Let $\kappa(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x$ be the residue class field of x in X . We obtain a morphism of schemes

$$i_x : \operatorname{Spec} \kappa(x) \longrightarrow \operatorname{Spec} \mathcal{O}_{X,x} \longrightarrow X$$

called canonical. The image point of the unique point in $\operatorname{Spec} \kappa(x)$ is x . Notice that the map $\mathcal{O}_{X,x} \rightarrow \kappa(x)$ induced by considering the stalk of i_x is exactly the projective map.

Now let K be any field, let $f : \operatorname{Spec} K \rightarrow X$ be a morphism, and let $x \in X$ be the image point of the unique point p of $\operatorname{Spec} K$. Since f is a morphism of locally ringed spaces, f induces a local homomorphism $\mathcal{O}_{X,x} \rightarrow K = \mathcal{O}_{\operatorname{Spec} K, p}$, and hence a homomorphism $\iota : \kappa(x) \rightarrow K$ between the residue class fields.

Then, the morphism f factors as $f = i_x \circ (\text{Spec } \iota) : \text{Spec } K \rightarrow \text{Spec } \kappa(x) \rightarrow X$ since we have a commutative diagram in stalks of those sheaves:

$$\begin{array}{ccc} K & \longleftarrow & \mathcal{O}_{X,x} \\ \uparrow & \swarrow & \\ \kappa(x) & & \end{array}$$

The above construction gives rise to a bijection

$$\text{Hom}(\text{Spec } K, X) \longrightarrow \{(x, \iota); x \in X, \iota : \kappa(x) \rightarrow K\}$$

This is because, we can map an element $(x, \iota : \kappa(x) \rightarrow K)$ of the right hand side to the morphism

$$\text{Spec } K \xrightarrow{\text{Spec } \iota} \text{Spec } \kappa(x) \xrightarrow{i_x} X,$$

and these two maps are inverse to each other.

Proposition 1.3.14. Assume $(X, \mathcal{O}_X) \rightarrow \text{Spec}(k)$ be a k -scheme, then this map induces a local ring homomorphism

$$k \rightarrow \mathcal{O}_{X,x}$$

which induces a field extension

$$k \rightarrow \kappa(x)$$

Hence there's natural k -scheme structure on $\text{Spec}(\kappa(x))$. Moreover, above natural morphism i_x becomes a k -scheme morphism:

$$\begin{array}{ccc} (X, \mathcal{O}_X) & & \\ \uparrow i_x & \searrow & \\ \text{Spec } \kappa(x) & \longrightarrow & \text{Spec } k \\ \uparrow & \nearrow & \\ \text{Spec } K & & \end{array}$$

Hence, if $k \rightarrow K$ be a field extension, there's a bijection

$$\text{Hom}_k(\text{Spec } K, X) \longrightarrow \{(x, \iota) : x \in X, \iota : \kappa(x) \rightarrow K \text{ } k\text{-algebra homomorphism}\}$$

And for an arbitrary k -scheme, define $X(K) = \text{Hom}_k(\text{Spec } K, X)$ to be its K -points.

Definition 1.3.15 (Structure sheaf on $\text{Proj } S$). Let S be a graded ring, we will define a sheaf of rings \mathcal{O} on $\text{Proj } S$. For each $\mathfrak{p} \in \text{Proj } S$, we consider the ring $S_{(\mathfrak{p})}$ of elements of degree zero in the localized ring $T^{-1}S$, where T is the multiplicative system consisting of all homogeneous elements of S which are not in \mathfrak{p} . For any open subset $U \subseteq \text{Proj } S$, we define $\mathcal{O}(U)$ to be the set of functions $s : U \rightarrow \coprod S_{(\mathfrak{p})}$ such that for each $\mathfrak{p} \in U$, $s(\mathfrak{p}) \in S_{(\mathfrak{p})}$, and such that s is locally a quotient of elements of S : for each $\mathfrak{p} \in U$, there exists a neighborhood V of \mathfrak{p} in U , and homogeneous elements a, f in S , of the same degree, such that for all $\mathfrak{q} \in V$, $f \notin \mathfrak{q}$, and $s(\mathfrak{q}) = a/f$ in $S_{(\mathfrak{q})}$. Now it is clear that \mathcal{O} is a presheaf of rings, with the natural restrictions, and it is also clear from the local nature of the definition that \mathcal{O} is a sheaf.

Proposition 1.3.16. Let S be a graded ring.

- (1) For any $\mathfrak{p} \in \text{Proj } S$, the stalk $\mathcal{O}_{\mathfrak{p}}$ is isomorphic to the local ring $S_{(\mathfrak{p})}$.
- (2) For any homogeneous element $f \in S_+$, let $D_+(f) = \{\mathfrak{p} \in \text{Proj } S \mid f \notin \mathfrak{p}\}$. Then $D_+(f)$ is open in $\text{Proj } S$. Furthermore, these open sets cover $\text{Proj } S$, and for each such open set, we have an isomorphism of locally ringed spaces

$$(D_+(f), \mathcal{O}|_{D_+(f)}) \cong \text{Spec } S_{(f)}$$

where

$$S_{(f)} = \{a/f^n \in S_f : a \text{ homogeneous and } \deg(a) = n \deg(f), n \geq 0\}$$

In particular, the global section of S is S_0 .

Proof: (1): $S_{(\mathfrak{p})}$ is a local ring: The unique maximal ideal of $S_{(\mathfrak{p})}$ is of the form

$$\{a/f : a \in \mathfrak{p}, f \notin \mathfrak{p}, \deg a = \deg f\}$$

(2): Define

$$\varphi : D_+(f) \rightarrow \text{Spec}(S_{(f)}), \mathfrak{a} \mapsto \mathfrak{a}S_f \cap S_{(f)}$$

φ is injective: If $\mathfrak{a}S_f \cap S_{(f)} = \mathfrak{b}S_f \cap S_{(f)}$, for some homogeneous element $s \in \mathfrak{a}$, there's $b \in \mathfrak{b}$ such that

$$\frac{s^n}{f^m} = \frac{b}{f^t}$$

for some integer n, m, t . Hence, $s^n \in \mathfrak{b}$ which implies $s \in \mathfrak{b}$.

φ is surjective: P be a prime ideal of $S_{(f)}$, define

$$\mathfrak{p} = \{s \in S : s/f^n \in P \text{ for some } n \geq 0\}$$

Then $\varphi(\mathfrak{p}) = P$.

Isomorphism on stalk: For $\mathfrak{p} \in D_+(f)$, there's a natural ring homomorphism

$$S_{(f)} \rightarrow S_{(\mathfrak{p})}, a/f^n \mapsto a/f^n$$

and by universal property of localization, it induces a ring homomorphism

$$\varphi_{\mathfrak{p}} : (S_{(f)})_{\varphi(\mathfrak{p})} \rightarrow S_{(\mathfrak{p})}$$

Actually, $\varphi_{\mathfrak{p}}$ is an isomorphism: injective is easy to check, and for some $a/g \in S_{\mathfrak{p}}$, notice that

$$\frac{a}{g} = \frac{ag^{\deg f - 1} f^{\deg g}}{f^{\deg g} g^{\deg f}}$$

Hence, $\varphi_{\mathfrak{p}}$ is surjective.

Isomorphism $\varphi_{\mathfrak{p}}$ induces a isomorphism of sheaves

$$\varphi^b : \mathcal{O}_{\text{Spec } S_{(f)}} \simeq \varphi_*(\mathcal{O}_{\text{Proj } S}|_{D_+(f)})$$

Proposition 1.3.17 (morphisms between projective spectrum). Let S be a graded ring.

- (1) Let $\varphi : S \rightarrow T$ be a graded homomorphism of graded rings (preserving degrees). Let $U = \{\mathfrak{p} \in \text{Proj } T \mid \mathfrak{p} \not\supseteq \varphi(S_+)\}$. Show that U is an open subset of $\text{Proj } T$, and show that φ determines a natural morphism $f : U \rightarrow \text{Proj } S$.
- (2) The morphism f can be an isomorphism even when φ is not. For example, suppose that $\varphi_d : S_d \rightarrow T_d$ is an isomorphism for all $d \geq d_0$, where d_0 is an integer. Then show that $U = \text{Proj } T$ and the morphism $f : \text{Proj } T \rightarrow \text{Proj } S$ is an isomorphism.
- (3) Let $\varphi : S \rightarrow T$ be a surjective homomorphism of graded rings, preserving degrees. Then, the open set U of is equal to $\text{Proj } T$, and the morphism $f : \text{Proj } T \rightarrow \text{Proj } S$ is a closed immersion.
- (4) If $I \subseteq S$ is a homogeneous ideal, take $T = S/I$ and let Y be the closed subscheme of $X = \text{Proj } S$ defined by the closed immersion $\text{Proj } S/I \rightarrow X$. Show that different homogeneous ideals can give rise to the same closed subscheme. For example, let d_0 be an integer, and let $I' = \bigoplus_{d \geq d_0} I_d$. Show that I and I' determine the same closed subscheme.

Proof: (1): Since graded homomorphism preserves order, $\mathfrak{p} \in U \mapsto \varphi^{-1}(\mathfrak{p})$ is a well-define map from U to $\text{Proj } S$. Notice that

$$U = \bigcup_{g \in \varphi(S_+)} D_+(g),$$

U is a open subset of $\text{Proj } T$. And the morphism of presheaves f^b is induced by the natural local ring homomorphism

$$\varphi_{\mathfrak{p}} : S_{(f(\mathfrak{p}))} \rightarrow T_{(\mathfrak{p})}$$

And it's easy to check f together with f^b forms a morphism of scheme $(f, f^b) : U \rightarrow \text{Proj } S$.

(2): For $U = \text{Proj } T$, assume $\mathfrak{p} \supset \varphi(S_+)$ and $\mathfrak{p} \not\supseteq T_+$, there's $a \in T_r$ with $r \geq 1$ such that $a \notin \mathfrak{p}$. Consider the element a^k for k sufficiently large. Next step, we are going to show $f : \text{Proj } T \rightarrow \text{Proj } S$ is an isomorphism.

Since, φ_d are isomorphic for all $d \geq d_0$,

$$\{\{D_+(t_i)\} : t_i \in T_+, \deg t_i \geq d_0\}$$

be a open covering of $\text{Proj } T$. Put $s_i = \varphi^{-1}(t_i)$, we also have

$$\{\{D_+(s_i)\} : s_i \in S_+, \deg s_i \geq d_0\}$$

be a open covering of $\text{Proj } S$

$f_i = f|_{D_+(t_i)} \rightarrow D_+(s_i)$ is a morphism of affine schemes (as $D_+(t_i) \simeq \text{Spec } T_{(t_i)}$ and $D_+(s_i) \simeq \text{Spec } S_{(s_i)}$) corresponding to the ring homomorphism $\varphi_i : S_{(s_i)} \rightarrow T_{(t_i)}$ induced by φ . But φ_i is an isomorphism since s_i has degree at least d_0 , and φ_d is an isomorphism for all $d \geq d_0$. Hence, f is surjective.

To show f is injective, take $\mathfrak{p}_1, \mathfrak{p}_2 \in \text{Proj } T$ with $f(\mathfrak{p}_1) = f(\mathfrak{p}_2)$. We have $\mathfrak{p}_1 \cap T_d = \mathfrak{p}_2 \cap T_d$ for all $d \geq d_0$. If $t_r \in \mathfrak{p}_1 \cap T_r$, take $s \notin \mathfrak{p}_2$, we have $s^k t_r \in \mathfrak{p}_2$. It implies $t_r \in \mathfrak{p}_2 \cap T_r$.

(3): Since $\varphi : S \rightarrow T$ is surjective, f is injective and

$$\varphi_{\mathfrak{p}} : S_{(f(\mathfrak{p}))} \rightarrow T_{(\mathfrak{p})}$$

is surjective.

Then, it suffice to check $f(\text{Proj} T)$ is a closed subset. Notice that $\text{Ker} \varphi$ be a homogenous ideal and for all $\mathfrak{p} \in \text{Proj}(T)$, we have

$$f(\mathfrak{p}) \subset \text{Ker} \varphi$$

Hence, $f(\text{Proj}(T)) \subset V(\text{Ker} \varphi)$. On the other hand, Since φ is surjective, $T \simeq S/\text{Ker} \varphi$ as graded ring. Hence, it's easy to show $V(\text{Ker} \varphi) \subset f(\text{Proj}(T))$.

(4): By (2).

Example 1.3.18. Let $f_1, \dots, f_r \in k[X_0, \dots, X_n]$ be homogeneous polynomials and let $X = \text{Proj } k[X_0, \dots, X_n]/(f_1, \dots, f_r)$. For every field extension $k \hookrightarrow K$ we have

$$X(K) = \{x = (x_0 : \dots : x_n) \in \mathbb{P}^n(K) : f_1(x) = \dots = f_r(x) = 0\}$$

Definition 1.3.19 (Galois Actions). Assume K/k be a Galois extension and $G = \text{Gal}(K/k)$. Let X be a k -scheme, we obtain an action of G on $X(K)$ by composition of the morphism $x : \text{Spec } K \rightarrow X$ with ${}^a\sigma : \text{Spec } K \rightarrow \text{Spec } K$ for $\sigma \in G$. Hence, by Proposition 1.3.14, the Galois group action on $X(K)$ by $\sigma \in G$ is actually a transform of k -algebra homeomorphism through composition

$$l \in \text{Hom}_k(\kappa(x), K) \mapsto \sigma \circ l \in \text{Hom}_k(\kappa(x), K).$$

Denote the K -points which is stable under a subgroup H of G by $X(K)^H$, we have

$$X(K)^H = X(K^H).$$

Proposition 1.3.20. k be a perfect field. Then \bar{k}/k is a Galois extension. Denote $G = \text{Gal}(\bar{k}/k)$. Let X be a k -scheme locally of finite type. There's a one-to-one correspondence between G -orbits of $X(\bar{k})$ and closed point of X .

Proof: Since the point in $X(\bar{k})$ is the pair (x, l) , where $x \in X$ and l be a k -algebra homeomorphism from $\kappa(x)$ to \bar{k} . By Proposition 1.3.42, for all $(x, l) \in X(\bar{k})$, x is a closed point. Moreover, G -action doesn't change x , so $(x, l) \mapsto x$ be a map from G -orbits of $X(\bar{k})$ to closed point of X . By Algebra 1.4.15, $(x, l) \mapsto x$ is surjective. By Numebr Theory Theorem 2.2.3, $(x, l) \rightarrow x$ is injective.

Proposition 1.3.21. A gluing datum of schemes consists of the following data:

- (1) an index set I ,
- (2) for all $i \in I$ a scheme U_i ,
- (3) for all $i, j \in I$ an open subset $U_{ij} \subseteq U_i$ (we consider U_{ij} as open subscheme of U_i),

- (4) for all $i, j \in I$ an isomorphism $\varphi_{ji} : U_{ij} \rightarrow U_{ji}$ of schemes, such that $U_{ii} = U_i$ for all $i \in I$ and the cocycle condition holds: $\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}$ on $U_{ij} \cap U_{ik}$, $i, j, k \in I$.

Remark 1.3.22. In the cocycle condition we implicitly assume that in particular $\varphi_{ji}(U_{ij} \cap U_{ik}) \subseteq U_{jk}$, such that the composition is meaningful.

For $i = j = k$, the cocycle condition implies that $\varphi_{ii} = \text{id}_{U_i}$ and for $i = k$ that $\varphi_{ij}^{-1} = \varphi_{ji}$.

Moreover, φ_{ji} is an isomorphism $U_{ij} \cap U_{ik} \rightarrow U_{ji} \cap U_{jk}$. This is because, consider the cocycle conditions $\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}$ and $\varphi_{ki} \circ \varphi_{ij} = \varphi_{kj}$. We obtain two natural morphisms $\varphi_{ji} : U_{ij} \cap U_{ik} \rightarrow U_{ji} \cap U_{jk}$ and $\varphi_{ij} : U_{ji} \cap U_{jk} \rightarrow U_{ij} \cap U_{ik}$. Then, the claim follows from the fact $\varphi_{ji}^{-1} = \varphi_{ij}$.

Proposition 1.3.23. Let $((U_i)_{i \in I}, (U_{ij})_{i,j \in I}, (\varphi_{ij})_{i,j \in I})$ be a gluing datum of schemes. Then there exists a scheme X together with morphisms $\psi_i : U_i \rightarrow X$, such that

- (1) for all i the map ψ_i is a isomorphism of U_i onto the open subscheme $\psi_i(U_i)$ of X .

$$\begin{array}{ccc} & & X \\ & \nearrow & \uparrow \iota \\ U_i & \xrightarrow{\psi_i} & \psi_i(U_i) \end{array}$$

- (2) $\psi_j \circ \varphi_{ji} = \psi_i$ on U_{ij} for all i, j ,
- (3) $X = \bigcup_i \psi_i(U_i)$,
- (4) $\psi_i(U_i) \cap \psi_j(U_j) = \psi_i(U_{ij}) = \psi_j(U_{ji})$ for all $i, j \in I$.

Furthermore, X together with the ψ_i is uniquely determined up to unique isomorphism.

Proof: Underlying topological space: To define the underlying topological space of X , we start with the disjoint union $\coprod_{i \in I} U_i$ of the (underlying topological spaces of the) U_i and define an equivalence relation \sim on it as follows: points $x_i \in U_i, x_j \in U_j, i, j \in I$, are equivalent, if and only if $x_i \in U_{ij}$, $x_j \in U_{ji}$ and $x_j = \varphi_{ji}(x_i)$. The cocycle condition implies that \sim is in fact an equivalence relation. As a set, define X to be the set of equivalence classes,

$$X := \coprod_{i \in I} U_i / \sim.$$

The natural maps $\psi_i : U_i \rightarrow X$ are injective and we have $\psi_i(U_{ij}) = \psi_i(U_i) \cap \psi_j(U_j)$ for all $i, j \in I$. We equip X with the quotient topology, i. e. with the finest topology such that all ψ_i are continuous. That means that a subset $U \subseteq X$ is open if and only if for all i the preimage $\psi_i^{-1}(U)$ is open in U_i . In particular, the $\psi_i(U_i)$ and the $\psi_i(U_{ij}) = \psi_i(U_i) \cap \psi_j(U_j)$ are open in X .

Structure sheaf: Define for W open in X ,

$$\mathcal{O}_X(W) = \left\{ (s_i)_{i \in I} : s_i \in \mathcal{O}_{U_i}(W \cap U_i), \varphi_{ji}(s_i|_{W \cap U_{ij}}) = s_j|_{W \cap U_{ji}} \right\}$$

where $W \cap U_i$ is actually $\psi_i^{-1}(W)$.

morphism of sheaves ψ_i^b :

$$\psi_i^b : (\psi_i)_* \mathcal{O}_X \rightarrow \mathcal{O}_{U_i}, (s_i)_{i \in I} \mapsto s_i$$

Example 1.3.24 (line with double origin). We denote the line with double origin by X . It is obtained by gluing $\text{Spec} k[u]$ and $\text{Spec} k[t]$ along the isomorphism $D(u) \simeq \text{Spec}(k[u, 1/u]) \simeq \text{Spec}(k[t, 1/t]) = D(t)$. Notice that (X, \mathcal{O}_X) is affine if the morphism $(f, f^b) : (X, \mathcal{O}_X) \rightarrow \text{Spec}(\Gamma(X, \mathcal{O}_X))$ induced by $\text{id} : \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(X, \mathcal{O}_X)$ is an isomorphism.

An element of $\Gamma(X, \mathcal{O}_X)$ is the same as giving two polynomials $\sum_n f_n u^n$ and $\sum_m g_m t^m$ such that $\sum_n f_n u^n = \sum_m g_m u^m$ in $k[u, 1/u]$. Note that this just means that $f_n = g_n$ for all n . Hence $\Gamma(X, \mathcal{O}_X)$ is isomorphic to $k[u]$. If X is affine, then we have isomorphisms

$$(X, \mathcal{O}_X) \xrightarrow{(f, f^b)} \text{Spec } \Gamma(X, \mathcal{O}_X) \rightarrow \text{Spec } k[u]$$

Now consider the vanishing set $V(u)$ of X where $V(f)$ for some f in global section consists of all those points $x \in X$ such that $f_x = 0$ modulo \mathfrak{m}_x . and u denotes the global section $u = v$ of $\Gamma(X, \mathcal{O}_X)$.

Note that $V(u)$ contains at least two points, the two origins of X . But $V(u)$ in $\text{Spec } k[u]$ consists of only one point. Hence line with double origin is not affine.

Example 1.3.25 (projective space). R is a ring and $S = R[X_0, \dots, X_n]$ be a graded ring. Consider the scheme $\mathbb{P}_R^n = \text{Proj } S$. For $f = x_i, i = 1, \dots, n$, we have

$$S_{(f)} = \{a/X_i^n \in R[X_0, \dots, X_n]_{X_i} : a \in R[X_0, \dots, X_n]_n\} = R \left[\frac{X_0}{X_i}, \dots, \frac{X_{i-1}}{X_i}, \frac{X_{i+1}}{X_i}, \dots, \frac{X_n}{X_i} \right]$$

and for $U_i = D_+(f)$,

$$(U_i, \mathcal{O}_{\mathbb{P}_R^n}|_{U_i}) = (D_+(f), \mathcal{O}|_{D_+(f)}) \simeq \text{Spec} R \left[\frac{X_0}{X_i}, \dots, \frac{X_{i-1}}{X_i}, \frac{X_{i+1}}{X_i}, \dots, \frac{X_n}{X_i} \right]$$

We define a gluing datum with index set $\{0, \dots, n\}$ as follows: For $0 \leq i, j \leq n$ let $U_{ij} = D_{U_i} \left(\frac{X_j}{X_i} \right) \subseteq U_i$ if $i \neq j$, and $U_{ii} = U_i$. Further, let $\varphi_{ii} = \text{id}_{U_i}$ and for $i \neq j$ let

$$\varphi_{ji} : U_{ij} \rightarrow U_{ji}$$

be the isomorphism defined by the equality

$$R \left[\frac{X_0}{X_i}, \dots, \frac{\widehat{X_i}}{X_i}, \dots, \frac{X_n}{X_i} \right]_{\frac{x_j}{x_i}} \longleftarrow R \left[\frac{X_0}{X_j}, \dots, \frac{\widehat{X_j}}{X_j}, \dots, \frac{X_n}{X_j} \right]_{\frac{x_i}{x_j}},$$

(as subrings of $R[X_0, \dots, X_n, X_0^{-1}, \dots, X_n^{-1}]$) of the affine schemes U_{ij} and U_{ji} .

Corollary 1.3.26. If $R = k$ be a ring, the global section of \mathbb{P}_k^n is k . Hence, \mathbb{P}_k^n is not affine.

Example 1.3.27 (structure of $\mathbb{P}_{\mathbb{R}}^1$). For U_x, U_y , there are \mathbb{R} -scheme isomorphisms

$$(U_x, \mathcal{O}_{\mathbb{P}_{\mathbb{R}}^1}|_{U_x}) \simeq \operatorname{Spec} \mathbb{R}[y]$$

and

$$(U_y, \mathcal{O}_{\mathbb{P}_{\mathbb{R}}^1}|_{U_y}) \simeq \operatorname{Spec} \mathbb{R}[x]$$

Hence,

$$\mathbb{P}_{\mathbb{R}}^1 = \{(x - ay) : a \in \mathbb{R}\} \bigcup \{(y - ax) : a \in \mathbb{R}\} \bigcup \{(ax^2 + bxy + cy^2) : b^2 - 4ac < 0\}$$

Definition 1.3.28. (1) A scheme is called connected, if the underlying topological space is connected.

(2) A scheme is called quasi-compact, if the underlying topological space is quasi-compact, i. e., if every open covering admits a finite subcovering.

(3) A scheme is called irreducible, if the underlying topological space is irreducible, i. e., if it is non-empty and not equal to the union of two proper closed subsets.

(4) A morphism $f : X \rightarrow Y$ of schemes is called injective, surjective or bijective, respectively, if the continuous map $X \rightarrow Y$ of the underlying topological spaces has this property.

(5) f is called open, closed, or a homeomorphism, respectively, if the underlying continuous map has this property.

(6) f is called dominant if $f(X)$ is a dense subspace of Y .

(7) A scheme X is called locally noetherian, if X admits an affine open cover $X = \bigcup U_i$, such that all the affine coordinate rings $\Gamma(U_i, \mathcal{O}_X)$ are noetherian. If in addition X is quasi-compact, X is called noetherian.

(8) A scheme X is called reduced, if all local rings $\mathcal{O}_{X,x}, x \in X$, are reduced rings.

(9) An integral scheme is a scheme which is reduced and irreducible.

Proposition 1.3.29. Let $X = \text{Spec } A$ be an affine scheme. Then X is noetherian if and only if A is a noetherian ring.

Proof: By Nike's Trick, $\text{Spec } A$ can be covered by affine open subschemes of the form $D(f_i), f_i \in A, i = 1, \dots, n$, such that all A_{f_i} are noetherian rings.

If I is an ideal of A , $I_{f_i} = IA_{f_i}$ is finitely generated ideal in A_{f_i} . By Algebra 2.4.29, I is finitely generated ideal in A .

Proposition 1.3.30. X is any noetherian scheme, the underlying topological space of X is noetherian

Proof: Since spectrum of a noetherian ring is a noetherian topological space. Then this proposition follows from the fact that a topological space covered by finite many noetherian subspace is noetherian.

Proposition 1.3.31. Let X be a (locally) noetherian scheme and $U \subseteq X$ an open subscheme. Then U is (locally) noetherian.

Proof: In a noetherian topological space, every open subset is quasi-compact.

Proposition 1.3.32. Let X be a scheme. The mapping

$$\begin{aligned} X &\longrightarrow \{Z \subseteq X; Z \text{ closed, irreducible}\} \\ x &\longmapsto \overline{\{x\}} \end{aligned}$$

is a bijection, i. e. every irreducible closed subset contains a unique generic point.

Proof: Step 1: If Z is a closed irreducible subset of X and U is an affine open subset of X , $Z \cap U$ is irreducible. This is because, for W_1, W_2 be open subsets of X and $Y_j = W_j \cap Z \cap U_i \neq \emptyset, j = 1, 2$, since Z is irreducible, the intersection of Y_1 and Y_2 is non-empty. Hence, $Z \cap U_i$ is irreducible.

Step 2: Since Z is closed, $\overline{Z \cap U} \subset Z$. Since Z is irreducible, $Z \cap U$ is a dense subset of Z . Then $\overline{Z \cap U} \cap Z = Z$.

Step 3: Since $Z \cap U$ is a irreducible closed subset of U , there's $x \in Z \cap U$ such that $\overline{\{x\}} \supset \overline{\{x\}} \cap U = Z \cap U$. Hence, $\{x\} \supset \overline{Z \cap U} = Z$.

Step 4: To show the uniqueness of x , consider $x, y \in X$ such that $\overline{\{x\}} = \overline{\{y\}} = Z$ and U be an open affine subset of X with $U \cap Z \neq \emptyset$. Since there's $z \in \overline{\{x\}} \cap U$, we have $x \in U$. Similarly, $y \in U$. Then, $\overline{\{x\}} \cap U = \overline{\{y\}} \cap U = Z \cap U$, by the uniqueness of affine case, $x = y$.

Corollary 1.3.33. X be a scheme, Z be a irreducible closed subset with generic point η , then there's a one-to-one order preserving correspondence between prime ideal of $\mathcal{O}_{X,\eta}$ and irreducible closed subset of X contains Z .

Proof: If $\eta \in U = \text{Spec}(A)$ be a affine open neighborhood of η . Then prime ideal of $\mathcal{O}_{X,\eta}$ corresponds to prime ideal of A which is contained in \mathfrak{p}_η .

Let $Z_1 = \overline{\{\theta_1\}}, Z_2 = \overline{\{\theta_2\}}$ be two irreducible closed subset of X containing Z . Then θ_1, θ_2 lie in U . Hence, $Z_1 \cap U = Z_2 \cap U$ implies $Z_1 = Z_2$.

Notice that for a irreducible closed subset V contains Z , $V \cap U$ correspondes to a prime ideal of A contained in \mathfrak{p}_η , and by above proposition, this map is injective. Next step we show the map is surjective.

If W be a irreducible closed subset of U with $\eta \in W$, then \overline{W} is a irreducible closed subset of X containing Z and $W = \overline{W} \cap U$.

Proposition 1.3.34. Let X be a scheme, let $f \in \Gamma(X, \mathcal{O}_X)$, and define X_f to be the subset of points $x \in X$ such that the stalk f_x of f at x is not contained in the maximal ideal \mathfrak{m}_x of the local ring \mathcal{O}_x .

If $U = \text{Spec } B$ is an open affine subscheme of X , and if $\bar{f} \in B = \Gamma(U, \mathcal{O}_X|_U)$ is the restriction of f , show that $U \cap X_f = D(\bar{f})$. Conclude that X_f is an open subset of X .

Proof: For $\mathfrak{p} \in \text{Spec}(B)$, $\mathfrak{p} \in D(\bar{f})$ iff $\bar{f} \notin \mathfrak{p}$ iff \bar{f} viewed as an element in $A_\mathfrak{p}$ does not lie in $\mathfrak{p}A_\mathfrak{p}$.

Proposition 1.3.35. (1) A scheme X is reduced if and only if for every open subset $U \subseteq X$ the ring $\Gamma(U, \mathcal{O}_X)$ is reduced.

(2) A non-empty scheme X is integral if and only if for every open subset $\emptyset \neq U \subseteq X$ the ring $\Gamma(U, \mathcal{O}_X)$ is an integral domain.

(3) If X is an integral scheme, then for all $x \in X$ the local ring $\mathcal{O}_{X,x}$ is an integral domain.

(4) An affine scheme $X = \text{Spec } A$ is integral if and only if A is a domain.

- (5) Let X be an integral scheme, and let $\eta \in X$ be its generic point. Then the local ring $\mathcal{O}_{X,\eta}$ is a field.

Proof: (1): Trivial.

(2): Let X be integral. Because all open subschemes of X are integral, too, it is enough to show that $\Gamma(X, \mathcal{O}_X)$ is a domain. Take $f, g \in \Gamma(X, \mathcal{O}_X)$ such that $fg = 0$. Then $\emptyset = X_f \cap X_g$ since $f_x g_x \in \mathfrak{m}_x$ for all $x \in X$. By the irreducibility we get $X_f = \emptyset$ or $X_g = \emptyset$. Assume $X_f = \emptyset$. We want to show that f must then be 0. We can check this locally on X , so we may assume that X is affine. Then f lies in the intersection of all prime ideals, i. e. in the nil-radical of the affine coordinate ring of X . Since X is reduced, by (1) the nil-radical is the zero ideal.

If conversely all $\Gamma(U, \mathcal{O}_X)$ are integral domains, then by (1) X is reduced. If there existed non-empty affine open subsets $U_1, U_2 \subseteq X$ with empty intersection, then the sheaf axioms imply that

$$\Gamma(U_1 \cup U_2, \mathcal{O}_X) = \Gamma(U_1, \mathcal{O}_X) \times \Gamma(U_2, \mathcal{O}_X)$$

But the product on the right hand side obviously contains zero divisors.

(3): Trivial.

(4): A is integral domain, then it has a unique minimal prime ideal. Hence, $\text{Spec}(A)$ is irreducible. Since $A_{\mathfrak{p}}$ is a subring of $\text{Frac}(A)$, $\text{Spec}(A)$ is reduced scheme. Hence, $\text{Spec}(A)$ is an integral scheme. By (2), if $\text{Spec}(A)$ is an integer scheme, A is an integral domain.

(5): If η is a generic point of X , for all affine open subscheme U such that $\eta \in U$, η is a generic point of $U = \text{Spec}(A)$. That is, η corresponds to (0) in A . Then, $\mathcal{O}_{X,\eta} \simeq A_{(0)} = \text{Frac}(A)$ is a field.

Definition 1.3.36. Let X be an integral scheme, and let $\eta \in X$ be its generic point. Then the local ring $\mathcal{O}_{X,\eta}$ is a field, which is called the function field of X and denoted by $K(X)$.

Proposition 1.3.37. X be an integral scheme with generic point η .

- (1) Let $U \subseteq V \subseteq X$ be non-empty open subsets. Then the maps

$$\Gamma(V, \mathcal{O}_X) \xrightarrow{\text{res}_U^V} \Gamma(U, \mathcal{O}_X) \xrightarrow{f \mapsto f_\eta} K(X)$$

- (2) For all $x \in X$, there's a canonical injective map $\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{X,\eta}$ given by $[s] \mapsto [s]$ and under this map, $\text{Frac}(\mathcal{O}_{X,x}) = \mathcal{O}_{X,\eta}$.
- (3) For every non-empty open subset $U \subseteq X$ and for every covering $U = \bigcup_i U_i$ by non-empty open subsets U_i we have

$$\Gamma(U, \mathcal{O}_X) = \bigcap_i \Gamma(U_i, \mathcal{O}_X) = \bigcap_{x \in U} \mathcal{O}_{X,x},$$

where the intersection takes place in $K(X)$.

Proof: (1): It suffice to show the map $f \mapsto f_\eta$ is injective. Since $f_\eta = 0$ is equivalent to $f|_W = 0$ for all W open affine subscheme of U , we may assume U is an affine open subscheme. Consider the following commutative diagram

$$\begin{array}{ccccc} \mathcal{O}_{X,\eta} & \xrightarrow{\simeq} & \mathcal{O}_{\text{Spec } A, (0)} & \xrightarrow{\simeq} & \text{Frac}(A) \\ \uparrow & & \uparrow & & \uparrow \\ \mathcal{O}_X(U) & \xrightarrow{\simeq} & \mathcal{O}_{\text{Spec } A}(\text{Spec } A) & \xrightarrow{\simeq} & A \end{array}$$

Since $A \rightarrow \text{Frac}(A)$ is injective, we have $f = 0$.

(2): By (1) and the following diagram

$$\begin{array}{ccccc} \mathcal{O}_{X,\eta} & \xrightarrow{\simeq} & \mathcal{O}_{\text{Spec } A, (0)} & \xrightarrow{\simeq} & \text{Frac}(A) \\ \uparrow & & \uparrow & & \uparrow \\ \mathcal{O}_{X,x} & \xrightarrow{\simeq} & \mathcal{O}_{\text{Spec } A, \mathfrak{p}} & \xrightarrow{\simeq} & A_{\mathfrak{p}} \end{array}$$

(3): Consider the following commutative diagram

$$\begin{array}{ccccc} \Gamma(U, \mathcal{O}_X) & \longrightarrow & \Gamma(U_i, \mathcal{O}_X) & \longrightarrow & \mathcal{O}_{X,\eta} \\ & & \searrow & & \uparrow \\ & & & & \Gamma(U_i \cap U_j, \mathcal{O}_X) \end{array}$$

and notice that \mathcal{O}_X is a sheaf.

Notice we define locally finite type and finite type k -scheme. The morphisms below are all in the category (Sch/k) .

Definition 1.3.38. Let k be a field, and let $X \rightarrow \text{Spec } k$ be a k -scheme. We call X a k -scheme locally of finite type or say that X is locally of finite type over k , if there is an affine open cover $X = \bigcup_{i \in I} U_i$ such that for all i , there's a k -algebra A_i such that

$$(U_i, \mathcal{O}_X|_{U_i}) \simeq (\text{Spec}(A_i), \mathcal{O}_{\text{Spec}(A_i)})$$

as k -scheme. We say that X is of finite type over k if X is locally of finite type and quasi-compact.

Proposition 1.3.39. Every (locally) finite type k -scheme is (locally) noetherian.

Proposition 1.3.40. Let X be a locally noetherian scheme. Prove that the set of irreducible components of X is locally finite (every point of X has an open neighborhood which meets only finitely many irreducible components of X).

Proof: Take $x \in U = \text{Spec}(A)$ with A noetherian. Assume $Z_i, i = 1, \dots, n$ be irreducible components of U and $\overline{Z_i}$ is contained in an irreducible component V_i of X . Then $Z_i = \overline{Z_i} \cap U \subset V_i \cap U$. Since $V_i = \overline{\{\theta_i\}}$, $V_i \cap U$ is the closure of θ_i in U hence irreducible closed in U . Since Z_i is maximal, $Z_i = V_i \cap U$.

Take $Z = \overline{\{y\}}$ be irreducible component of X such that $x \in Z$, then $y \in U$. Hence, $\overline{\{y\}} \cap U \subset \overline{\{\theta_i\}} \cap U$ for some i , hence $\{y\} \subset \overline{\{y\}} \cap U \subset \overline{\{\theta_i\}}$. Therefore, we have $Z = V_i$.

Proposition 1.3.41. Let X be a k -scheme locally of finite type and let $U \subseteq X$ be an open affine subset. Then the k -algebra $\Gamma(U, \mathcal{O}_X)$ is a finitely generated k -algebra.

Proof: Let $B = \Gamma(U, \mathcal{O}_X)$. Since the localization of a finitely generated k -algebra with respect to a single element is again finitely generated, we see, by Nike's Trick, that we can cover U by finitely many (since spectrum of a ring is compact) principal open subsets $D(f_i)$, $f_1, \dots, f_n \in B$, such that all localizations B_{f_i} are finitely generated k -algebras. The claim now follows from Algebra Proposition 2.4.30

Proposition 1.3.42. Let k be a field, let X be a k -scheme locally of finite type, and let $x \in X$. Then the following assertions are equivalent.

- (1) The point $x \in X$ is closed.
- (2) The field extension $k \hookrightarrow \kappa(x)$ is finite.
- (3) The field extension $k \hookrightarrow \kappa(x)$ is algebraic.

Proof: (1) implies (2): Take U with $x \in U$ and there's k -scheme

$$(U, \mathcal{O}_X|_U) \simeq (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$$

where A be a finitely generated k -algebra and x corresponds to a maximal ideal \mathfrak{m} of A . Consider the follow commutative diagram

$$\begin{array}{ccc} \kappa(x) & \xrightarrow{\simeq} & A/\mathfrak{m} \\ \uparrow & & \uparrow \\ \mathcal{O}_{X,x} & \xrightarrow{\simeq} & A_{\mathfrak{m}} \\ \uparrow & & \uparrow \\ \Gamma(U, \mathcal{O}_X) & \xrightarrow{\simeq} & A \\ & \nwarrow \quad \nearrow & \\ & k & \end{array}$$

Since A/\mathfrak{m} is a field and finite generated k -algebra, by Algebra 2.8.2, $\kappa(x)$ is a finite extension of k .

(3) implies (1): Again take U with $x \in U$ and there's k -scheme

$$(U, \mathcal{O}_X|_U) \simeq (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$$

where A be a finitely generated k -algebra and x corresponds to a prime ideal \mathfrak{p} of A .

$$\begin{array}{ccccc} \kappa(x) & \xrightarrow{\simeq} & A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}} & \xrightarrow{\simeq} & \text{Frac}A/\mathfrak{p} \\ \uparrow & & \uparrow & & \uparrow \\ \mathcal{O}_{X,x} & \xrightarrow{\simeq} & A_{\mathfrak{p}} & & A/\mathfrak{p} \\ \uparrow & & \uparrow & \nearrow & \\ \Gamma(U, \mathcal{O}_X) & \xrightarrow{\simeq} & A & & \\ & \nwarrow \quad \nearrow & & & \\ & k & & & \end{array}$$

Since $\kappa(x)$ is algebraic over k , A/\mathfrak{p} is integral over k . Hence \mathfrak{p} is a closed point in U . Consider all such U , we have x is closed in X .

Corollary 1.3.43. Let k be algebraically closed and let X be a k -scheme locally of finite type. Then

$$\{x \in X; x \text{ closed}\} = \{x \in X; k = \kappa(x)\} = \text{Hom}_k(\text{Spec } k, X),$$

Proof: Field extension $k \rightarrow \kappa(x)$ is an isomorphism if and only if there's k -algebra homomorphism $\kappa(x) \rightarrow k$. And if there's k -algebra homomorphism $\kappa(x) \rightarrow k$, it is obviously unique.

Example 1.3.44. \mathbb{P}_k^n is an integral, finite type scheme over k .

Proof: reduced: \mathbb{P}_k^n is reduced since for all $x \in \mathbb{P}_k^n$, we may find $i \in \{0, \dots, n\}$ such that $x \in U_i = D_+(x_i)$. Then $\mathcal{O}_{\mathbb{P}_k^n, x}$ is a localization of a polynomial ring at a prime ideal, hence reduced.

irreducible: $D_+(f) \cap D_+(g) = D_+(fg)$ and notice that for all $h \in k[x_0, \dots, x_n]_+$, $D_+(h)$ is non-empty.

locally finite type: trivial

quasi-compact: \mathbb{P}_k^n is a finite union of compact open subset U_i .

Example 1.3.45. For $X = \text{Spec}(\mathbb{Q}[x, y]/(x^n + y^n - 1))$ be a \mathbb{Q} -scheme, then

$$X(\mathbb{R}) = \text{Hom}_{\text{Spec}(\mathbb{Q})}(X, \text{Spec}(\mathbb{R})) = \text{Hom}_{\mathbb{Q}}(\mathbb{Q}[x, y]/(x^n + y^n - 1), \mathbb{R}) = \{(x, y) \in \mathbb{R}^2 : x^n + y^n = 1\}$$

and

$$X(\mathbb{Q}) = \text{Hom}_{\text{Spec}(\mathbb{Q})}(X, \text{Spec}(\mathbb{Q})) = \text{Hom}_{\mathbb{Q}}(\mathbb{Q}[x, y]/(x^n + y^n - 1), \mathbb{Q}) = \{(x, y) \in \mathbb{Q}^2 : x^n + y^n = 1\}$$

Moreover, since closed point corresponds to the maximal ideal of $\mathbb{Q}[x, y]/(x^n + y^n - 1)$ and $X(\mathbb{Q})$ be those maximal ideals \mathfrak{m} of $\mathbb{Q}[x, y]$ which contain $x^n + y^n - 1$ and have a \mathbb{Q} -algebra isomorphism $\mathbb{Q}[x, y]/\mathfrak{m} \rightarrow \mathbb{Q}$. Therefore, \mathfrak{m} is of the form $(x - x_0, y - y_0)$ where (x_0, y_0) be a solution of $x^n + y^n = 1$.

1.4 Immersions

Definition 1.4.1. A morphism $j : Y \rightarrow X$ of schemes is called an open immersion, if the underlying continuous map is a homeomorphism of Y with an open subset U of X , and the sheaf homomorphism $\mathcal{O}_X \rightarrow j_*\mathcal{O}_Y$ induces an isomorphism $\mathcal{O}_{X|U} \cong (j_*\mathcal{O}_Y)|_U$ (of sheaves on U).

Remark 1.4.2. There's a natural one-to-one correspondence between open immersion and open subscheme.

Definition 1.4.3. Given a scheme (X, \mathcal{O}_X) , we call a subsheaf $\mathcal{I} \subseteq \mathcal{O}_X$ a sheaf of ideals, if for every open subset $U \subseteq X$ the sections $\Gamma(U, \mathcal{I})$ are an ideal in $\Gamma(U, \mathcal{O}_X)$. The quotient sheaf $\mathcal{O}_X/\mathcal{I}$ is defined as the sheafification of the presheaf $U \mapsto \mathcal{O}_X(U)/\mathcal{I}(U)$. It is a sheaf of rings. The canonical projection $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{I}$ is surjective.

Definition 1.4.4. Let X be a scheme.

(1) A closed subscheme of X is given by a closed subset $Z \subseteq X$ with inclusion map $i : Z \rightarrow X$ and an ideal sheaf $\mathcal{J} \subseteq \mathcal{O}_X$ such that $Z = \{x \in X : (\mathcal{O}_X/\mathcal{J})_x \neq 0\}$ and $(Z, i^{-1}\mathcal{O}_X/\mathcal{J})$ is a scheme.

(2) A morphism $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ of schemes is called a closed immersion, if the underlying continuous map is a homeomorphism between Z and a closed subset of X , and the sheaf homomorphism $i^b : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$ is surjective.

Proposition 1.4.5. X be a scheme and Z be a closed subscheme associated to ideal sheaf \mathcal{J} . Then, the morphism of ringed space $(Z, i^{-1}\mathcal{O}_X/\mathcal{J}) \rightarrow (X, \mathcal{O}_X)$ induced by the natural projection $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$ and the isomorphism $\mathcal{O}_X/\mathcal{J} \rightarrow i_*i^{-1}\mathcal{O}_X/\mathcal{J}$ is a morphism of locally ringed space and closed immersion.

Proof: Step 1: The stalk of the morphism of sheaves $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$ is a local ring homomorphism.

It's clear for the case when $x \notin Z$, since $(\mathcal{O}_X/\mathcal{J})_x = 0$. For $x \in Z$, since the stalk of the presheaf $U \mapsto \mathcal{O}_X(U)/\mathcal{J}(U)$ at x is $\mathcal{O}_{X,x}/\mathcal{J}_x$ where $\mathcal{J}_x \neq \mathcal{O}_{X,x}$. And notice that the projection $\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{X,x}/\mathcal{J}_x$ is a local ring homomorphism.

Step 2: $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$ is surjective.

By taking stalks, it suffice to show $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(U)/\mathcal{J}(U)$ is surjective for all U open in X .

Proposition 1.4.6. If $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ is a closed immersion, consider the kernel of the morphism of sheaves $\varphi : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$. It's clear that $\text{Ker}\varphi$ is an ideal sheaf. By Proposition 1.1.9, the natural morphism

$$\mathcal{O}_X/\text{Ker}\varphi \rightarrow i_*\mathcal{O}_Z$$

is an isomorphism of sheaves.

Moreover, since (Z, \mathcal{O}_Z) is a scheme and Z is closed in X , $\text{Supp}(i_*\mathcal{O}_Z) = Z$. Hence the support of $\mathcal{O}_X/\text{Ker}\varphi$ is Z . Then by Proposition 1.1.22, a closed immersion induces a closed subscheme.

Theorem 1.4.7 (closed subscheme of affine scheme). Let $X = \text{Spec } A$ be an affine scheme. $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ be a closed immersion. Then the global section map

$$\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$$

induces a commutative diagram of scheme:

$$\begin{array}{ccc} \text{Spec } A & \xleftarrow{i} & Z \\ \uparrow \pi & \swarrow \psi & \\ \text{Spec } A/\ker \varphi & & \end{array}$$

Then ψ is an isomorphism of scheme.

Proof: Since i is an closed immersion, ψ is closed and injective. Hence, ψ is also a closed immersion. To prove ψ is surjective, it suffices to show the following lemma:

Lemma 1.4.8. Let $X = \operatorname{Spec} A$ be an affine scheme. $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ be a closed immersion such that the induced map on global section $\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$ is injective. Then, i is surjective.

Proof of the lemma: Assume $X - Z$ is non-empty. Let $s \in A$ with $\emptyset \neq D(s) = X_s \subset X - Z$. Then $Z \subset X - X_s$. Hence, $Z \subset Z \cap (X - X_s)$. For all $x \in Z$, we have the following commutative diagram

$$\begin{array}{ccc} \Gamma(Z, \mathcal{O}_Z) & \longleftarrow & A \\ \updownarrow & & \downarrow \\ \mathcal{O}_{Z,x} = i_*(\mathcal{O}_Z)_x & \longleftarrow & \mathcal{O}_{X,x} \end{array}$$

Hence, $Z \subset Z \cap (X - X_s) \subset Z - Z_{\varphi(s)}$. If $U \subseteq Z$ is open, such that $(U, \mathcal{O}_{Z|U}) \simeq \operatorname{Spec}(B)$ is affine. By Proposition 1.3.34, $U \subset \operatorname{Spec}(B) - D(\varphi(s)|_U)$. Hence, $\varphi(s)|_U$ is nilpotent. Moreover, since Z can be covered by finite many affine open subscheme, there's some sufficiently large N such that $\varphi(s)^N$ is nilpotent. Hence, $s^N = 0$. It contradicts to $\emptyset \neq X_s$. \square

To show that ψ is an isomorphism of scheme. We still need the following lemma

Lemma 1.4.9. Let $X = \operatorname{Spec} A$ be an affine scheme. $(i, i^b) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ be a closed immersion such that the induced map on global section $\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$ is injective. Then, i^b is injective.

Proof of the lemma: For $x \in X$, $\mathcal{O}_{X,x} = A_{\mathfrak{p}_x}$, and we see that it is enough to show that every element of $\operatorname{Ker}(\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{Z,x})$ of the form $g/1$ is 0 in $\mathcal{O}_{X,x}$. Given g , we cover $Z = U \cup \bigcup_{i \in I} U_i$ by finitely many open subsets U, U_i , such that: (1) The schemes $(U, \mathcal{O}_{Z|U})$ and $(U_i, \mathcal{O}_{Z|U_i})$ for all i are affine. (2) We have $x \in U$ and $\varphi(g)|_U = 0$.

Choose $s \in A$ with $x \in D(s) \subseteq U$. If we can show that $\varphi(s^N g) = 0$ for some N , then $s^N g = 0$ because φ is injective, and it follows that $g/1 = 0$ in $\mathcal{O}_{X,x}$, as desired, since s is a unit in $\mathcal{O}_{X,x}$. Since $\varphi(g)|_U = 0$ by assumption, we have $\varphi(sg)|_U = 0$. Now I is finite, so we can search a suitable N for each U_i separately. Because

$$D_{U_i}(\varphi(s)|_{U_i}) = Z_{\varphi(s)} \cap U_i \subset D(s) \cap U_i$$

, we obtain $\varphi(g)|_{D_{U_i}(\varphi(s)|_{U_i})} = 0$. In other words, the image of $\varphi(g)$ in the localization $\Gamma(U_i, \mathcal{O}_Z)_{\varphi(s)|_{U_i}}$ is 0. \square

Definition 1.4.10 (immersion). (1) Let X be a scheme. A subscheme of X is a scheme (Y, \mathcal{O}_Y) , such that $Y \subseteq X$ is a locally closed subset, and such that Y is a closed subscheme of the open subscheme $U \subseteq X$, where U is the largest open subset of X which contains Y and in which Y is closed. We then have a natural morphism of schemes $Y \rightarrow X$.

(2) An immersion $i : Y \rightarrow X$ is a morphism of schemes whose underlying continuous map is a homeomorphism of Y onto a locally closed subset of X , and such that for all $y \in Y$ the ring homomorphism $i_y^\# : \mathcal{O}_{X,i(y)} \rightarrow \mathcal{O}_{Y,y}$ between the local rings is surjective.

It's easy to check there's one-to-one correspondence between immersion and open subscheme.

Definition 1.4.11. Let k be a field.

- (1) A k -scheme X is called projective, if there exist $n \geq 0$ and a closed immersion $X \hookrightarrow \mathbb{P}_k^n$.
- (2) A k -scheme X is called quasi-projective, if there exist $n \geq 0$ and an immersion $X \hookrightarrow \mathbb{P}_k^n$.

Definition 1.4.12. We say that a proposition is local on the target if for every morphism $f : X \rightarrow Y$ of schemes and for every open covering $Y = \bigcup_{j \in J} V_j$ the morphism f possesses the proposition if and only if $f|_{f^{-1}(V_j)} : f^{-1}(V_j) \rightarrow V_j$ possesses the proposition for all $j \in J$.

Proposition 1.4.13. Open immersion, closed immersion, immersion are stable under base change and composition.

Proposition 1.4.14. Open immersion, closed immersion, immersion are local on target.

Proposition 1.4.15. Every affine k -scheme X of finite type is quasi-projective: Indeed, let $X = \text{Spec } A$, where $A \cong k[T_1, \dots, T_n]/\mathfrak{a}$. Therefore there exists a closed immersion $i : X \rightarrow \mathbb{A}_k^n$. Moreover, projective space \mathbb{P}_k^n is covered by open subschemes which are isomorphic to \mathbb{A}_k^n . Hence, the composition $j \circ i$ is then an immersion $X \rightarrow \mathbb{P}_k^n$.

Example 1.4.16. Consider $X = \text{Proj } \mathbb{C}[x, y, z]/(zy^2 - (4x^3 - a_2xz^2 - a_3z^3))$ with $a_2^3 - 27a_3^2 \neq 0$ as a \mathbb{C} -scheme. Firstly, the natural morphism $X \rightarrow \mathbb{P}_{\mathbb{C}}^2$ is a closed immersion. Moreover, consider the \mathbb{C} -points $X(\mathbb{C})$ of X . We have

$$X(\mathbb{C}) = \{\infty\} \cup \{(x, y) \in \mathbb{C}^2 : y^2 = 4x^3 - a_2x - a_3\}$$

where ∞ represents the point (x, z) in $\text{Proj } \mathbb{C}[x, y, z]/(zy^2 - (4x^3 - a_2xz^2 - a_3z^3))$.

Now we show that X is an integral, projective, \mathbb{C} -finite type scheme.

irreducible: show that $D_+(z) \cap D_+(f) \neq \emptyset$ for all $D_+(f) \neq \emptyset$.

reduced: It suffice to show

$$\text{Spec}(\mathbb{C}[x, y]/(y^2 - (4x^3 - a_2x - a_3)))$$

is integral and

$$\text{Spec}(\mathbb{C}[x, z]/(z - (4x^3 - a_2xz^2 - a_3z^3)))$$

is integral.

\mathbb{C} -finite type: trivial.

Definition 1.4.17 (reduced subscheme of a scheme). Let X be a scheme. Let $T \subset X$ be a closed subset. There exists a closed subscheme $Z \subset X$ with the following properties:

- (1) the underlying topological space of Z is equal to T ,
- (2) Z is reduced.

If $T = X$, we usually denote the resulting closed subscheme by X_{red} .

Proof: Let $\mathcal{I} \subset \mathcal{O}_X$ be the sub presheaf defined by the rule

$$\mathcal{I}(U) = \{f \in \mathcal{O}_X(U) \mid f(t) = 0 \text{ for all } t \in T \cap U\}$$

Here we use $f(t)$ to indicate the image of f in the residue field $\kappa(t)$ of X at t . Because of the local nature of the condition it is clear that \mathcal{I} is a sheaf of ideals. It's easy to check the stalk of $\mathcal{O}_X/\mathcal{I}$ at $x \notin Z$ vanishes. And for $x \in Z$, there's open subscheme $x \in U = \text{Spec}(R)$ where x correspondes to prime ideal \mathfrak{p} .

Let I be the unique radical ideal correspondes to closed subset $Z \cap U$. It's easy to check

$$(\mathcal{O}_X/\mathcal{I})_x \simeq R_{\mathfrak{p}}/I_{\mathfrak{p}} = (R/I)_{\mathfrak{p}}$$

Hence, $(Z \cap U, i^{-1}(\mathcal{O}_X/\mathcal{I})|_{U \cap Z}) \simeq \text{Spec}(A/I)$. So, $(Z, i^{-1}(\mathcal{O}_X/\mathcal{I}))$ is a reduced, closed subscheme of X with under lying topological space T .

Corollary 1.4.18. X be a scheme. Z be a closed, quasi-compact subset. Then there's a closed point in Z .

Proof: By Proposition 1.4.17, it suffice to show for a quasi-compact scheme X , there's a closed point in X . Assume X is covered by affine open subscheme $U_i, i = 1, \dots, n$ and U_i is not contained in

$$\bigcup_{j \neq i} U_j$$

Since U_1 is affine, there's p closed in U_1 . Notice that

$$U_1 \cap \left(\bigcup_{j \neq 1} U_j\right)^c = \emptyset$$

, we have p closed in X .

Proposition 1.4.19 (category of schemes to the category of reduced schemes). For every morphism of schemes $f : X \rightarrow Y$ there exists a unique morphism of schemes $f_{\text{red}} : X_{\text{red}} \rightarrow Y_{\text{red}}$ such that commutes, where i_X and i_Y are the canonical inclusion morphisms.

$$\begin{array}{ccc} X_{\text{red}} & \longrightarrow & X \\ \downarrow & & \downarrow f \\ Y_{\text{red}} & \longrightarrow & Y \end{array}$$

If $g : Y \rightarrow Z$ is a second morphism of schemes, we have $(g \circ f)_{\text{red}} = g_{\text{red}} \circ f_{\text{red}}$.

1.5 Fibered Products

Proposition 1.5.1. Let S be a scheme and let X and Y be two S -schemes. Then the fiber product $X \times_S Y$ exists in the category of schemes.

Proposition 1.5.2. Let $f : X \rightarrow S$ and $g : Y \rightarrow S$ be morphisms of schemes with the same target. Let $(X \times_S Y, p, q)$ be the fibre product. Suppose that $U \subset S, V \subset X, W \subset Y$ are open subschemes such that $f(V) \subset U$ and $g(W) \subset U$. Then the canonical morphism $V \times_U W \rightarrow X \times_S Y$ is an open immersion which identifies $V \times_U W$ with $p^{-1}(V) \cap q^{-1}(W)$.

Corollary 1.5.3. Let k be a field and let X and Y be k -schemes (locally) of finite type. Then $X \times_k Y$ is (locally) of finite type over k .

Example 1.5.4. Let $A \leftarrow R \rightarrow B$ be homomorphisms of rings, let $S = \text{Spec}(R)$, $X = \text{Spec}(A)$, and $Y = \text{Spec}(B)$. Set $Z = \text{Spec}(A \otimes_R B)$ and let $p : Z \rightarrow X$ and $q : Z \rightarrow Y$ be the morphisms of schemes corresponding to the ring homomorphisms

$$\begin{aligned}\alpha : A &\rightarrow A \otimes_R B, & a &\mapsto a \otimes 1 \\ \beta : B &\rightarrow A \otimes_R B, & b &\mapsto 1 \otimes b\end{aligned}$$

Then (Z, p, q) is a fiber product of X and Y over S in the category of schemes.

Definition 1.5.5 (Relative Frobenius). Let p be a prime number and let S be a scheme over \mathbb{F}_p . We denote by $\text{Frob}_S : S \rightarrow S$ the absolute Frobenius of S : Frob_S is the identity on the underlying topological spaces and Frob_S^b is the map $x \mapsto x^p$ on $\Gamma(U, \mathcal{O}_S)$ for all open subsets U of S .

Now let $f : X \rightarrow S$ be an S -scheme. Note that Frob_X is in general not an S -morphism. Instead of the absolute Frobenius we therefore introduce a relative variant.

$$\begin{array}{ccccc} X & & & & \\ & \searrow \text{Frob}_X & & & \\ & & X^{(p)} & \xrightarrow{\quad} & X \\ & \searrow f & \downarrow & & \downarrow f \\ & & S & \xrightarrow{\text{Frob}_S} & S \end{array}$$

Let $X^{(p)}$ be the fiber product of $S \xrightarrow{\text{Frob}_S} S$ and $X \rightarrow S$, then $F_{X/S}$ is called relative Frobenius of X over S .

Example 1.5.6. Let $\mathbb{F}_q = \mathbb{F}_{p^n}$ be a finite field over \mathbb{F}_p . If $f = \sum a_\alpha x^\alpha \in \mathbb{F}_q[x_1, \dots, x_n]$, define $f^{(p)} = \sum a_\alpha^p x^\alpha$. Assume X is a scheme, consider the following commutative diagram

$$\begin{array}{ccccc} \Gamma(X, \mathcal{O}_X) & & & & \\ & \nwarrow f & & & \\ & & \mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}) & \xleftarrow{f^{(p)} \leftarrow f} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j) \\ & \nwarrow g & \uparrow \text{id} & & \uparrow \\ & & \mathbb{F}_q & \xleftarrow{x^p \leftarrow x} & \mathbb{F}_q \end{array}$$

where h is defined by $h(\alpha_i x_i) = g(\alpha_i) f(x_i)$. This shows that $\text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}))$ is the fiber product of $\text{Spec}(\mathbb{F}_q) \xrightarrow{\text{Frob}} \text{Spec}(\mathbb{F}_q)$ and $\text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j)) \rightarrow \text{Spec}(\mathbb{F}_q)$.

In particular, if $X = \text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j))$, $f = \text{Frob}_X$ and $g = \text{id}$, then h is a \mathbb{F}_p -algebra homomorphism such that $h(x_i) = x_i^p$.

$$\begin{array}{ccccc}
 & \mathbb{F}_q[x_1, \dots, x_n]/(f_j) & & & \\
 & \swarrow \text{dashed } x_i \rightarrow x_i^p & \xleftarrow{f \rightarrow f^p} & & \\
 & \mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}) & \xleftarrow{f^{(p)} \leftarrow f} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j) & \\
 & \swarrow \text{id} & & \uparrow \text{id} & \\
 & \mathbb{F}_q & \xleftarrow{x^p \leftarrow x} & \mathbb{F}_q &
 \end{array}$$

That is, although $f \rightarrow f^p$ can factor through two \mathbb{F}_p -algebra homomorphisms.

Example 1.5.7. Since fiber product exists in category of scheme, consider a morphism $\text{Spec}(\mathbb{C}) \rightarrow \text{Spec}(\mathbb{R})$ and a \mathbb{R} -scheme Y , we have

$$\text{Hom}_{\text{Spec}(\mathbb{R})}(\text{Spec}(\mathbb{C}), Y) = \text{Hom}_{\text{Spec}(\mathbb{C})}(\text{Spec}(\mathbb{C}), Y \times_{\mathbb{R}} \text{Spec}(\mathbb{C}))$$

Example 1.5.8. If field K is a extension of k , consider a morphism $\text{Spec}(\mathbb{K}) \rightarrow \text{Spec}(\mathbb{K})$ and k -schemes X, Y , we have

$$\text{Hom}_k(\text{Spec}(K), X \times_k Y) = \text{Hom}_k(\text{Spec}(K), X) \times \text{Hom}_k(\text{Spec}(K), Y)$$

Proposition 1.5.9. Let S be a scheme, X and Y two S -schemes, and let $f : X' \rightarrow X$ be a morphism of S -schemes. Let g be the morphism induced by universal property of fiber product

$$\begin{array}{ccccc}
 Z' = X' \times_S Y & \xrightarrow{\quad} & Y & & \\
 \downarrow & \searrow \text{dashed } g & \searrow & & \\
 X' & & Z = X \times_S Y & \xrightarrow{q} & Y \\
 & \searrow f & \downarrow p & & \downarrow \\
 & & X & \xrightarrow{\quad} & S
 \end{array}$$

Then all squares in the following diagram are cartesian

$$\begin{array}{ccccc}
 Z' = X' \times_S Y & \xrightarrow{\text{dashed } g} & Z = X \times_S Y & \xrightarrow{q} & Y \\
 \downarrow p' & & \downarrow p & & \downarrow \\
 X' & \xrightarrow{f} & X & \xrightarrow{\quad} & S
 \end{array}$$

In addition, assume that $f : X' \rightarrow X$ can be written as the composition of scheme morphisms which satisfy the following condition: each morphism is a homeomorphism onto its image and also satisfies one of the assumptions (1), (2):

- (1) For each point $x' \in X'$, the homomorphism $f_{x'}^\# : \mathcal{O}_{X, f(x')} \rightarrow \mathcal{O}_{X', x'}$ is surjective, and there exists an open affine neighborhood V of $f(x')$ such that $f^{-1}(V)$ is quasi-compact.

(2) For each point $x' \in X'$, the homomorphism $f_{x'}^\# : \mathcal{O}_{X, f(x')} \rightarrow \mathcal{O}_{X', x'}$ is bijective.

Then, the morphism g is a homeomorphism of Z' onto

$$g(Z') = p^{-1}(f(X'))$$

Besides, for all $z' \in Z'$, consider following diagram

$$\begin{array}{ccc} \mathcal{O}_{Z', z'} & \xleftarrow{g_{z'}^\#} & \mathcal{O}_{Z, g(z')} \\ \uparrow & & \uparrow p_{g(z')}^\# \\ \mathcal{O}_{X', p'(z')} & \xleftarrow{f_{p'(z')}^\#} & \mathcal{O}_{X, p(g(z'))} \end{array}$$

induced by the “left square” of above diagram. We have the homomorphism $g_{z'}^\#$, is surjective and its kernel is generated by the image of the kernel of $f_{p'(z')}^\#$ under $p_{g(z')}^\#$.

Example 1.5.10. The following f satisfying above assumption

- (1) f is an immersion of schemes
- (2) f is the canonical morphism $\text{Spec } \mathcal{O}_{X, x} \rightarrow X$ for some point $x \in X$.
- (3) f is the canonical morphism $\text{Spec } \kappa(x) \rightarrow X$ for some point $x \in X$.

Proof:

Definition 1.5.11 (fibers of morphism). Consider the natural morphism $\text{Spec } \kappa(s) \rightarrow S$ and a morphism $f : X \rightarrow S$. We define $X_s = X \times_S \text{Spec } \kappa(s)$ be the fiber of $f : X \rightarrow S$ in s .

$$\begin{array}{ccccc} X \times_S \text{Spec } \kappa(s) & \longrightarrow & X & & \\ \downarrow & \searrow \text{blue } g & \searrow \text{id} & & \\ \text{Spec } \kappa(s) & & X & \xrightarrow{\text{id}} & X \\ & \searrow 0 \mapsto s & \downarrow f & & \downarrow \\ & & S & \longrightarrow & S \end{array}$$

By Proposition 1.5.9, the underlying topological space of X_s is $f^{-1}(s)$.

Example 1.5.12. Consider a integral k -scheme of finite type be

$$X = \text{Spec } k[U, T, S]/(UT - S)$$

Let $f : X \rightarrow \mathbb{A}_k^1 = \text{Spec } k[S]$ be the natural morphism, then $\text{Spec } A_s$ be the fiber of f in $(S - s)$ where

$$A_s = k[U, T, S]/(UT - S) \otimes_{k[S]} k[S]/(S - s) = k[U, T, S]/(UT - S, S - s) = k[U, T]/(UT - s)$$

$$\text{Hence, } X_s(k) = \{(x, y) \in k^2 : xy = s\}$$

Definition 1.5.13 (inverse image of Z under f). Let $f : X \rightarrow Y$ be a morphism of schemes and let $i : Z \rightarrow Y$ be an immersion. Proposition 1.5.9 shows that the base change $i_{(X)} : Z \times_Y X \rightarrow X$ is surjective on stalks and a homeomorphism of $Z \times_Y X$ onto the locally closed subspace $f^{-1}(Z)$.

$$\begin{array}{ccccc}
 Z \times_S Y & \longrightarrow & X & & \\
 \downarrow & \searrow^{i_{(X)}} & \downarrow \text{id} & & \\
 Z & & X & \longrightarrow & X \\
 & \searrow i & \downarrow f & & \downarrow f \\
 & & Y & \longrightarrow & Y
 \end{array}$$

Therefore $i_{(X)}$ is an immersion.

Proposition 1.5.14. In above definition, if Z is closed subscheme of Y , then $f^{-1}(Z)$ is closed which implies $i_{(X)}$ is a closed immersion. By the second result of Proposition ??, if i is open immersion, $i_{(X)}$ is also open immersion.

Definition 1.5.15 (intersection of subscheme). As a special case of the inverse image of a subscheme we can define the intersection of two subschemes: Let $i : Y \rightarrow X$ and $j : Z \rightarrow X$ be two subschemes.

$$\begin{array}{ccccc}
 Z \times_X Y & \xrightarrow{p} & Z & & \\
 q \downarrow & \searrow^{i_{(Z)}=p} & \downarrow \text{id} & & \\
 Y & & Z & \longrightarrow & Z \\
 & \searrow i & \downarrow j & & \downarrow f \\
 & & X & \longrightarrow & X
 \end{array}$$

Then the map $j \circ p$ is an immersion onto the locally closed subset $Y \cap X$.

Definition 1.5.16. For an arbitrary scheme S , define $\mathbb{A}_S^n = \mathbb{A}_{\mathbb{Z}}^n \times_{\mathbb{Z}} S$, $\mathbb{P}_S^n = \mathbb{P}_{\mathbb{Z}}^n \times_{\mathbb{Z}} S$.

Example 1.5.17. Suppose $I \subset A[x_1, \dots, x_m]$ and $J \subset A[y_1, \dots, y_n]$ are ideals.

$$A[x_1, \dots, x_m]/I \otimes_A A[y_1, \dots, y_n]/J \simeq A[x_1, \dots, x_m, y_1, \dots, y_n]/(I, J).$$

In particular, $\mathbb{A}_k^n \times_k \mathbb{A}_k^m = \mathbb{A}_k^{m+n}$

Proof: The bi-linear map

$$\begin{aligned}
 A[x_1, \dots, x_m]/I \times A[y_1, \dots, y_n]/J &\rightarrow A[x_1, \dots, x_m, y_1, \dots, y_n]/(I, J) \\
 (f + I, g + J) &\rightarrow fg + (I, J)
 \end{aligned}$$

induces an isomorphism

$$A[x_1, \dots, x_m]/I \otimes_A A[y_1, \dots, y_n]/J \simeq A[x_1, \dots, x_m, y_1, \dots, y_n]/(I, J).$$

Example 1.5.18. $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \simeq \mathbb{C} \times \mathbb{C}$ as \mathbb{R} -algebra.

Proof:

$$\begin{aligned}
\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} &\cong \mathbb{C} \otimes_{\mathbb{R}} (\mathbb{R}[x]/(x^2 + 1)) \\
&\cong (\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}[x]) / (x^2 + 1) \quad \text{Since } \otimes_{\mathbb{R}} \mathbb{C} \text{ is an exact functor} \\
&\cong \mathbb{C}[x]/(x^2 + 1) \\
&\cong \mathbb{C}[x]/((x - i)(x + i)) \\
&\cong \mathbb{C}[x]/(x - i) \times \mathbb{C}[x]/(x + i) \quad \text{by the Chinese Remainder Theorem} \\
&\cong \mathbb{C} \times \mathbb{C}
\end{aligned}$$

Definition 1.5.19. Let (Grp) be the category of groups and $V : (\text{Grp}) \rightarrow (\text{Sets})$ the forgetful functor. Let S be a scheme and let G be an S -scheme. The following data for G are equivalent by Yoneda's lemma

- (1) A factorization of the functor $h_G : (\text{Sch}/S)^{\text{opp}} \rightarrow (\text{Sets})$ through the forgetful functor $V : (\text{Grp}) \rightarrow (\text{Sets})$.
- (2) For all S -schemes T the structure of a group on $G_S(T)$ which is functorial in T (i.e., for all S -morphisms $T' \rightarrow T$ the associated map $G_S(T) \rightarrow G_S(T')$ is a homomorphism of groups).

Definition 1.5.20. A homomorphism of S -group schemes G and H is a morphism $G \rightarrow H$ of S -schemes such that for all S -schemes T the induced map $G(T) \rightarrow H(T)$ is a group homomorphism.

Example 1.5.21. $S = \text{Spec } \mathbb{Z}$ and $G := \text{GL}_n$ with $\text{GL}_n(T) := \text{GL}_n(\Gamma(T, \mathcal{O}_T))$, the group of invertible $(n \times n)$ -matrices over $\Gamma(T, \mathcal{O}_T)$, for any scheme T and for a fixed integer $n \geq 1$. The underlying scheme of GL_n is $\text{Spec } A$ with $A = \mathbb{Z} \left[(T_{ij})_{1 \leq i, j \leq n} \right] [\det^{-1}]$, where $\det := \sum_{\sigma \in S_n} \text{sgn}(\sigma) T_{1\sigma(1)} \cdots T_{n\sigma(n)}$ is the determinant of the matrix $(T_{ij})_{i, j}$. This group scheme is called the general linear group scheme. We call $\mathbb{G}_m := \text{GL}_1$ the multiplicative group scheme.

Example 1.5.22. The additive group scheme $\mathbb{G}_{a, S}$ over S is defined by $\mathbb{G}_{a, S}(T) = \Gamma(T, \mathcal{O}_T)$ for every S -scheme T . Its underlying S -scheme is \mathbb{A}_S^1 .

1.6 Dimension of Scheme over k

Even for noetherian schemes the notion of dimension is sometimes [quite counter-intuitive](#). If one restricts oneself to the case of schemes of finite type over a field, then the theory of dimension [works mostly as expected](#), and is a very useful invariant.

Proposition 1.6.1. Let X be a topological space.

(1) Let Y be a subspace of X . Then $\dim Y \leq \dim X$. If X is irreducible, $\dim X < \infty$, and $Y \subsetneq X$ is a proper closed subset, then $\dim Y < \dim X$.

(2) Let $X = \bigcup_{\alpha} U_{\alpha}$ be an open covering. Then

$$\dim X = \sup_{\alpha} \dim U_{\alpha}.$$

(3) Let I be the set of irreducible components of X . Then

$$\dim X = \sup_{Y \in I} \dim Y.$$

(4) Let X be a scheme. Then

$$\dim X = \sup_{x \in X} \dim \mathcal{O}_{X,x}$$

Example 1.6.2. $\dim \mathbb{A}_k^n = \dim \mathbb{P}_k^n = n$

Proposition 1.6.3. Let $i : Y \rightarrow X$ be a closed immersion of schemes, where X is integral. If $\dim X = \dim Y < \infty$, then i is an isomorphism.

Proof: By Proposition 1.6.1, i is a homeomorphism. Hence, we need to show the map on stalks $\mathcal{O}_{X,i(x)} \rightarrow \mathcal{O}_{Y,x}$ is injective. Since X is integral, take $i(\eta)$ be the generic point of X . It's easy to check the follow diagram commute

$$\begin{array}{ccc} \mathcal{O}_{X,i(x)} & \longrightarrow & \mathcal{O}_{Y,x} \\ \downarrow & & \downarrow \\ \mathcal{O}_{X,i(\eta)} & \hookrightarrow & \mathcal{O}_{Y,\eta} \end{array}$$

Since $\mathcal{O}_{X,i(\eta)}$ is a field, $\mathcal{O}_{X,i(\eta)} \rightarrow \mathcal{O}_{Y,\eta}$ is injective. Hence, $\mathcal{O}_{X,i(x)} \rightarrow \mathcal{O}_{Y,x}$ is injective.

Proposition 1.6.4. Let X be an k -scheme locally of finite type with closed subset $Y = \overline{\{\theta\}}$. Then $\dim Y = \text{trdeg}_k \kappa(\theta)$

Proof: By Definition 1.4.17, Algebra 2.9.12 and Algebra 2.9.13.

Proposition 1.6.5. Let X be an irreducible k -scheme locally of finite type with generic point η .

(1) $\dim X = \text{trdeg}_k \kappa(\eta)$.

- (2) $\dim U = \dim X$ for any non-empty open subscheme U of X .
- (3) Let $x \in X$ be any closed point. Then $\dim \mathcal{O}_{X,x} = \dim X$.
- (4) Let $f : Y \rightarrow X$ be a morphism of k -schemes of locally finite type such that $f(Y)$ contains the generic point η of X . Then $\dim Y \geq \dim X$.

If X is integral, then $\kappa(\eta)$ is simply the function field of X .

Proof:

(2): Notice that U is also an irreducible k -scheme locally of finite type, the information on stalks are the same. So we have $\dim U = \text{trdeg}_k \kappa(\eta) = \dim X$.

(3): For all closed $x \in X$, we may find open subset U such that $U = \text{Spec}(A)$ where A is a finitely generated k -algebra. Since U is irreducible, $\text{nil}(A)$ is a prime ideal. Since x is closed, for some maximal ideal \mathfrak{m} ,

$$\dim \mathcal{O}_{X,x} = \dim A_{\mathfrak{m}} = \dim(A/\text{nil}(A))_{\mathfrak{m}} = \dim A/\text{nil}(A) = \dim U = \dim X$$

where the third "=" follows from Algebra 2.9.13.

(4): By hypothesis there exists $\theta \in Y$ such that $f(\theta) = \eta$. Therefore f induces a k -embedding $\kappa(\eta) \hookrightarrow \kappa(\theta)$. Denote by Z the closure of θ .

$$\dim X = \text{trdeg}_k \kappa(\eta) \leq \text{trdeg}_k \kappa(\theta) \leq \dim Y.$$

Proposition 1.6.6. Let X be a non-empty k -scheme of finite type. The following are equivalent:

- (1) $\dim X = 0$.
- (2) The scheme X is affine, the k -vector space $\Gamma(X, \mathcal{O}_X)$ is finite-dimensional, and $\Gamma(X, \mathcal{O}_X) = \prod_x \mathcal{O}_{X,x}$.
- (3) The underlying topological space of X is discrete.
- (4) The underlying topological space of X has only finitely many points.

Proposition 1.6.7. Let $f : Y \rightarrow X$ be a morphism of k -schemes of locally finite type with finite fibers. Then $\dim Y \leq \dim X$.

Proof: Let Z be an irreducible component of Y with generic point θ and set $x := f(\theta)$. By Proposition 1.6.4 and Proposition 1.6.1 (2), we only need to show $\text{trdeg}_k \kappa(\theta) \leq \dim X$.

Replacing X by an open affine neighborhood U of x and Y by an open affine neighborhood of θ in $f^{-1}(U)$ we may assume that $X = \text{Spec } A$ and $Y = \text{Spec } B$ are affine. Then B is a k -algebra of finite type and in particular an A -algebra of finite type. The fiber $f^{-1}(x) = \text{Spec}(B \otimes_A \kappa(x))$ is thus a $\kappa(x)$ -scheme of finite type with only finitely many points.

Notice that the induced morphism on residue field of a immersion is an isomorphism, the residue field of $\text{Spec}(B \otimes_A \kappa(x))$ at θ is the same as the residue field of $\text{Spec}(B)$ at θ .

Since the point θ is closed in $f^{-1}(x)$ by Proposition 1.6.6 and therefore $\kappa(\theta)$ is a finite extension of $\kappa(x)$. This shows $\text{trdeg}_k \kappa(\theta) = \text{trdeg}_k \kappa(x) = \dim \overline{\{x\}} \leq \dim X$.

Proposition 1.6.8. Let X be a k -scheme locally of finite type and let $x \in X$ be a closed point. Then $\dim \mathcal{O}_{X,x} = \sup_Z \dim Z$, where Z runs through the (finitely many) irreducible components of X containing x .

Proof: Assume $I = \{Z_1, \dots, Z_r\} = \{\overline{\{\theta_1\}}, \dots, \overline{\{\theta_r\}}\}$.

Since X is locally finite type, there's some $x \in U$ open in X , $U = \text{Spec}(A)$ where A be a finitely generated k -algebra.

If x corresponds to \mathfrak{m} , by Proposition 1.3.40, $\theta_i \in Z_i \cap U$ correspond to minimal prime ideal contained in \mathfrak{m} . Then by Proposition 2.9.13, for some i , $\dim A_{\mathfrak{m}} = \dim A/\mathfrak{p}_{\theta_i}$.

Then,

$$\dim \mathcal{O}_{X,x} = \dim A_{\mathfrak{m}} = \dim A/\mathfrak{p}_{\theta_i} = \text{trdeg}_k \kappa(\theta_i) = \dim Z_i$$

Definition 1.6.9 (local dimension). Let X be a topological space and $x \in X$. The dimension of X at x is

$$\dim_x X = \inf_U \dim U$$

Corollary 1.6.10. Let X be a scheme locally of finite type over a field and let I be the (finite) set of irreducible components of X containing x . Then $\dim_x X = \sup_{Z \in I} \dim Z$. If $x \in X$ is a closed point, then $\dim_x X = \dim \mathcal{O}_{X,x}$.

Proof: Assume $I = \{Z_1, \dots, Z_n\}$. By Proposition 1.3.40, there's a open subset $x \in U$ such that U only meets with finitely many irreducible components of X . Since irreducible components is closed, we may assume U only meets with some subset of I .

By definition of local dimension, we may in addition assume U is affine and $\dim U = \dim_x X$. Then by Proposition 1.3.41, $U = \text{Spec}(A)$ with A finitely generated k -algebra. By Proposition 1.3.40 and ,

$$\dim U = \sup_{Z \in I} \dim(Z \cap U)$$

Notice that for all i , Z_i is irreducible, there's a reduced scheme structure on Z_i such that Z_i is locally finite type by Proposition 1.4.17. By Proposition 1.6.5, $Z_i \cap U \neq \emptyset$ implies $\dim Z_i \cap U = \dim Z_i$.

Proposition 1.6.11. Let X be a topological space.

- (1) Let $Z \subseteq X$ be a closed irreducible subset. The codimension $\text{codim}_X Z$ of Z in X is the supremum of the lengths of chains of irreducible closed subsets $Z_0 \supsetneq Z_1 \supsetneq \dots \supsetneq Z_l$ such that $Z_l = Z$.
- (2) Let $Z \subseteq X$ be a closed subset. We say that Z is equi-codimensional (of codimension r), if all irreducible components of Z have the same codimension in X (equal to r).

Proposition 1.6.12. For an arbitrary scheme X and a closed irreducible subset Z with generic point η we have

$$\text{codim}_X Z = \dim \mathcal{O}_{X,\eta} = \inf_{z \in Z} \dim \mathcal{O}_{X,z}$$

Proof: By Proposition 1.3.33.

Definition 1.6.13. Let X be a scheme and let $Y \subseteq X$ be an arbitrary subset. Then

$$\text{codim}_X(Y) := \inf_{y \in Y} \dim \mathcal{O}_{X,y}$$

is called the codimension of Y in X .

Proposition 1.6.14. Let X be a scheme. If Y is a closed subset of X , we find

$$\text{codim}_X Y = \inf_Z \text{codim}_X Z,$$

where Z runs through the set of irreducible components of Y .

Proof:

$$\text{codim}_X Y = \inf_{Z \subset Y, Z \text{ irreducible closed}} \text{codim}_X Z = \inf_{Z \subset Y, Z \text{ irreducible components}} \text{codim}_X Z$$

Proposition 1.6.15. Let X be an irreducible scheme of finite type over a field k . Set $d := \dim X$.

- (1) All maximal chains of closed irreducible subsets of X have the same length.
- (2) For all closed subsets Y of X we have

$$\dim Y + \text{codim}_X Y = \dim X$$

Proof: (1): If $Z_r \subsetneq \cdots \subsetneq Z_0$ is a maximal chain, then $Z_r = \{x\}$ for some closed point $x \in X$ by Proposition 1.4.18. Hence by Proposition 1.3.33, $r = \dim \mathcal{O}_{X,x}$. And by Proposition 1.6.5, $d = \dim \mathcal{O}_{X,x}$ which is independent of the choice of maximal chain.

(2): We first assume that Y is irreducible. Then $\dim Y + \text{codim}_X Y$ is the supremum of the lengths of maximal chains of closed irreducible subsets of X having Y as a member. Thus the claim follows from (1). General case follows from above proposition.

1.7 Separated Morphisms

Proposition 1.7.1. Equalizer exists in category Sch/S .

Proof: Consider $f, g : X \rightarrow Y$ be two S -morphisms and $h : T \rightarrow X$ be S -morphisms such that $f \circ h = g \circ h$.

By universal property of fiber product, there's unique S -morphism (f, g) , making the following diagram commutes:

$$\begin{array}{ccccc}
 X & & & & \\
 \swarrow (f,g) & & g & & \\
 & Y \times_S Y & \xrightarrow{q} & Y & \\
 \downarrow f & \downarrow p & & \downarrow & \\
 & Y & \longrightarrow & S &
 \end{array}$$

Consider the following diagram

$$\begin{array}{ccccccc}
 T & & & & & & \\
 \swarrow \theta & & h & & & & \\
 & X \times_{Y \times_S Y} Y & \xrightarrow{\pi_X} & X & \xrightarrow{f} & Y & \\
 \downarrow f \circ h & \downarrow \pi_Y & & \downarrow (f,g) & \downarrow p & \downarrow q & \\
 & Y & \xrightarrow{\Delta_{Y/S}} & Y \times_S Y & & &
 \end{array}$$

It's easy to check $f \circ \pi_X = \pi_Y = g \circ \pi_X$. Moreover, $p \circ (f, g) \circ h = p \circ \Delta_{Y/S} \circ f \circ h$ and $q \circ (f, g) \circ h = q \circ \Delta_{Y/S} \circ f \circ h$ implies $(f, g) \circ h = \Delta_{Y/S} \circ f \circ h$. Hence, there's unique θ such that above diagram commutes.

Proposition 1.7.2. Let $S = \text{Spec } R$ be an affine scheme, let $X = \text{Spec } B \rightarrow S$ and $Y = \text{Spec } A \rightarrow S$ be affine S -schemes and let $f : X \rightarrow Y$ be an S -morphism corresponding to an R -algebra morphism $\varphi : A \rightarrow B$. Then the diagonal morphism $\Delta_{X/S}$ and graph morphism Γ_f correspond to the following surjective ring homomorphisms.

$$\begin{aligned}
 \Delta_{B/R} : B \otimes_R B &\rightarrow B, & b \otimes b' &\mapsto bb', \\
 \Gamma_\varphi : A \otimes_R B &\rightarrow B, & a \otimes b &\mapsto \varphi(a)b.
 \end{aligned}$$

In particular $\Delta_{X/S}$ and Γ_f are closed immersions.

Proposition 1.7.3. Let S be a scheme, let X and Y be S -schemes, and let $f, g : X \rightarrow Y$ be morphisms of S -schemes. Then $\Delta_{X/S}, \Gamma_f$, and the canonical morphism $\text{Eq}(f, g) \rightarrow X$ are immersions.

Proof: $\Delta_{X/S}$: Assume S is affine. By Proposition 1.5.2, Proposition 1.7.2, we may find $U_i \times_S U_i, i \in I$ open in $Y \times_S Y$ such that U_i are affine open subschemes of Y which cover the image of Y (notice that $U_i \times_S U_i$ may not cover $Y \times_S Y$). Then the diagonal morphism is locally a closed immersion, which implies the image of Y is locally closed.

Γ_f : the same as $\Delta_{X/S}$.

$\text{Eq}(f, g)$: Since immersion is stable under base change, then it follows from the proof of existence of equalizer in category of schemes.

Lemma 1.7.4. Let $u : X \rightarrow S, v : Y \rightarrow S$ be S -objects, let $p : X \times_S Y \rightarrow X$ and $q : X \times_S Y \rightarrow Y$ be the projections, and $f, g : X \rightarrow Y$ two S -morphisms.

$$\Delta_{X/S} = \Gamma_{\text{id}_X}, \quad \Gamma_f = \left(\text{can} : \text{Eq} \left(X \times_S Y \xrightarrow[f \circ p]{q} Y \right) \rightarrow X \times_S Y \right).$$

Proof:

$$\begin{array}{ccccc} X & \xrightarrow{\Gamma_f} & X \times_S Y & \xrightarrow[q]{f \circ p} & Y \\ \uparrow \text{poh} & \nearrow h & & & \\ T & & & & \end{array}$$

Definition 1.7.5. A morphism of schemes $v : Y \rightarrow S$ is called separated if the following equivalent conditions are satisfied.

- (1) The diagonal morphism $\Delta_{Y/S}$ is a closed immersion.
- (2) For every S -scheme X and for any two S -morphisms $f, g : X \rightarrow Y$ the equalizer $\text{Eq}(f, g) \subseteq X$ is a closed subscheme of X .
- (3) For every S -scheme X and for any S -morphism $f : X \rightarrow Y$ its graph Γ_f is a closed immersion.

Proof: (1) implies (2): closed immersion stable under base change

(2) implies (3): By Lemma 1.7.4.

(3) implies (1): Take $X = Y$ and $f = \text{id}$.

Proposition 1.7.6. These are basic examples of separated morphism.

- (1) Every monomorphism of schemes (and in particular every immersion) is separated.
- (2) The property of being separated is stable under composition, stable under base change, and local on the target.

Proof: (1): $f : X \rightarrow S$ be a monomorphism, then X is isomorphic to $X \times_S X$ under $\Delta_{X/S}$ since X also satisfies the universal property of fiber product.

Proposition 1.7.7. Let $S = \text{Spec } R$ be an affine scheme and let X be an S -scheme. Then the following assertions are equivalent.

- (1) X is separated.
- (2) For every two open affine sets $U, V \subseteq X$ the intersection $U \cap V$ is affine and

$$\rho_{U,V} : \Gamma(U, \mathcal{O}_X) \otimes_R \Gamma(V, \mathcal{O}_X) \rightarrow \Gamma(U \cap V, \mathcal{O}_X), \quad (s, t) \mapsto s|_{U \cap V} \cdot t|_{U \cap V}$$

is surjective.

- (3) There exists an open affine covering $X = \bigcup_i U_i$ such that $U_i \cap U_j$ is affine and $\rho_{U_i, U_j} : \Gamma(U_i, \mathcal{O}_X) \otimes_R \Gamma(U_j, \mathcal{O}_X) \rightarrow \Gamma(U_i \cap U_j, \mathcal{O}_X)$ is surjective for all i, j .

Example 1.7.8. k be a field, \mathbb{P}_k^n is separated.

1.8 Quasi-coherent modules

Chapter 2

Algebraic Group