

# Algebraic Geometry

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# Chapter 1

## Theory of Scheme

### 1.1 Sheaf Theory

**Definition 1.1.1** (presheaf). Let  $(\text{Ouv}_X)$  be the category whose objects are the open sets of  $X$  and, for two open sets  $U, V \subseteq X$ ,  $\text{Hom}(U, V)$  is empty if  $U \not\subseteq V$ , and consists of the inclusion map  $U \rightarrow V$  if  $U \subseteq V$  (composition of morphisms being the composition of the inclusion maps). A presheaf is a contravariant functor  $\mathcal{F}$  from the category  $(\text{Ouv}_X)$  to the category of category  $\mathcal{C}$  (such as the category of abelian groups, the category of rings, the category of  $R$ -modules, or the category of  $R$ -algebras)

**Definition 1.1.2.** Let  $\mathcal{F}$  be a presheaf on a topological space  $X$ , let  $U$  be an open set in  $X$  and let  $\mathcal{U} = (U_i)_{i \in I}$  be an open covering of  $U$ . We define maps (depending on  $\mathcal{U}$ )

$$\begin{aligned} \rho : \mathcal{F}(U) &\rightarrow \prod_{i \in I} \mathcal{F}(U_i), \quad s \mapsto (s|_{U_i})_i \\ \sigma : \prod_{i \in I} \mathcal{F}(U_i) &\rightarrow \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j), \quad (s_i)_i \mapsto (s_i|_{U_i \cap U_j})_{(i,j)}, \\ \sigma' : \prod_{i \in I} \mathcal{F}(U_i) &\rightarrow \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j), \quad (s_i)_i \mapsto (s_j|_{U_i \cap U_j})_{(i,j)}. \end{aligned}$$

The presheaf  $\mathcal{F}$  is called a sheaf, if it satisfies for all  $U$  and all coverings  $(U_i)$  as above the following condition:

$$\mathcal{F}(U) \xrightarrow{\rho} \prod_{i \in I} \mathcal{F}(U_i) \xrightleftharpoons[\sigma']{\sigma} \prod_{(i,j) \in I \times I} \mathcal{F}(U_i \cap U_j)$$

is exact. This means that the map  $\rho$  is injective and that its image is the set of elements  $(s_i)_{i \in I} \in \prod_{i \in I} \mathcal{F}(U_i)$  such that  $\sigma((s_i)_i) = \sigma'((s_i)_i)$ .

In other words, a presheaf  $\mathcal{F}$  is a sheaf if and only if for all open sets  $U$  in  $X$  and every open covering  $U = \bigcup_i U_i$  the following two conditions hold:

- (1) (Sh1) Let  $s, s' \in \mathcal{F}(U)$  with  $s|_{U_i} = s'|_{U_i}$  for all  $i$ . Then  $s = s'$ .
- (2) (Sh2) Given  $s_i \in \mathcal{F}(U_i)$  for all  $i$  such that  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$  for all  $i, j$ . Then there exists an  $s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i$  (note that  $s$  is unique by (Sh1)).

**Definition 1.1.3** (restriction of sheaf). If  $\mathcal{F}$  is a presheaf on a topological space  $X$  and  $U$  is an open subspace of  $X$ , we obtain a presheaf  $\mathcal{F}|_U$  on  $U$  by setting  $\mathcal{F}|_U(V) = \mathcal{F}(V)$  for every open subset  $V$  in  $U$ . If  $\mathcal{F}$  is a sheaf,  $\mathcal{F}|_U$  is a sheaf on  $U$ . We call  $\mathcal{F}|_U$  the restriction of  $\mathcal{F}$  to  $U$ .

**Definition 1.1.4.** The inductive limit

$$\mathcal{F}_x := \varinjlim_{U \ni x} \mathcal{F}(U)$$

is called the stalk of  $\mathcal{F}$  in  $x$ . In other words,  $\mathcal{F}_x$  is the set of equivalence classes of pairs  $(U, s)$ , where  $U$  is an open neighborhood of  $x$  and  $s \in \mathcal{F}(U)$ . Here two such pairs  $(U_1, s_1)$  and  $(U_2, s_2)$  are equivalent, if there exists an open neighborhood  $V$  of  $x$  with  $V \subseteq U_1 \cap U_2$  such that  $s_1|_V = s_2|_V$ . For each open neighborhood  $U$  of  $x$  we have a canonical map

$$\mathcal{F}(U) \rightarrow \mathcal{F}_x, \quad s \mapsto s_x$$

which sends  $s \in \mathcal{F}(U)$  to the class of  $(U, s)$  in  $\mathcal{F}_x$ . We call  $s_x$  the germ of  $s$  in  $x$ . If  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of presheaves on  $X$ , we have an induced map

$$\mathcal{F}_x \rightarrow \mathcal{G}_x$$

of the stalks in  $x$  by Proposition 3.1.30. We obtain a functor  $\mathcal{F} \mapsto \mathcal{F}_x$  from the category of presheaves on  $X$  to the category of sets.

If  $\mathcal{F}$  is a presheaf with values in  $\mathcal{C}$ , where  $\mathcal{C}$  is the category of abelian groups, of rings, or any category in which filtered inductive limits exist, then the stalk  $\mathcal{F}_x$  is an object in  $\mathcal{C}$  and we obtain a functor  $\mathcal{F} \mapsto \mathcal{F}_x$  from the category of presheaves on  $X$  with values in  $\mathcal{C}$  to the category  $\mathcal{C}$ .

**Proposition 1.1.5.** Let  $X$  be a topological space,  $\mathcal{F}$  and  $\mathcal{G}$  presheaves on  $X$ , and let  $\varphi, \psi : \mathcal{F} \rightarrow \mathcal{G}$  be two morphisms of presheaves.

- (1) The induced maps on stalks  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  are injective for all  $x \in X$  if  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is injective for all open subsets  $U \subseteq X$ .
- (2) Assume that  $\mathcal{F}$  is a sheaf. Then the induced maps on stalks  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  are injective for all  $x \in X$  **if and only if**  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is injective for all open subsets  $U \subseteq X$ .
- (3) If  $\mathcal{F}$  and  $\mathcal{G}$  are both sheaves, the maps  $\varphi_x$  are bijective for all  $x \in X$  if and only if  $\varphi_U$  is bijective for all open subsets  $U \subseteq X$ .
- (4) If  $\mathcal{F}$  and  $\mathcal{G}$  are both sheaves, the morphisms  $\varphi$  and  $\psi$  are equal if and only if  $\varphi_x = \psi_x$  for all  $x \in X$ .

*Proof:* For  $U \subseteq X$  open consider the map

$$\mathcal{F}(U) \rightarrow \prod_{x \in U} \mathcal{F}_x, \quad s \mapsto (s_x)_{x \in U}$$

We claim that this map is injective if  $\mathcal{F}$  is a sheaf. Indeed let  $s, t \in \mathcal{F}(U)$  such that  $s_x = t_x$  for all  $x \in U$ . Then for all  $x \in U$  there exists an open neighborhood  $V_x \subseteq U$  of  $x$  such that  $s|_{V_x} = t|_{V_x}$ . Clearly,  $U = \bigcup_{x \in U} V_x$  and therefore  $s = t$  by sheaf condition (Sh1). Using the commutative diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \prod \mathcal{F}_x \\ \downarrow \varphi_U & & \downarrow \prod \varphi_x \\ \mathcal{G}(U) & \longrightarrow & \prod \mathcal{G}_x \end{array}$$

and Proposition 3.1.31, (1) and (3) hold.

(2): By proposition 3.1.31, it suffice to show the bijectivity of  $\varphi_x$  for all  $x \in U$  implies the surjectivity of  $\varphi_U$ . Let  $t \in \mathcal{G}(U)$ . For all  $x \in U$  we choose an open neighborhood  $U^x$  of  $x$  in  $U$  and  $s^x \in \mathcal{F}(U^x)$  such that  $(\varphi_{U^x}(s^x))_x = t_x$ . Then there exists an open neighborhood  $V^x \subseteq U^x$  of  $x$  with  $\varphi_{V^x}(s^x|_{V^x}) = t|_{V^x}$ . Then  $(V^x)_{x \in U}$  is an open covering of  $U$  and for  $x, y \in U$

$$\varphi_{V^x \cap V^y}(s^x|_{V^x \cap V^y}) = t|_{V^x \cap V^y} = \varphi_{V^x \cap V^y}(s^y|_{V^x \cap V^y}).$$

As we already know that  $\varphi_{V^x \cap V^y}$  is injective, this shows  $s^x|_{V^x \cap V^y} = s^y|_{V^x \cap V^y}$  and the sheaf condition (Sh2) ensures that we find  $s \in \mathcal{F}(U)$  such that  $s|_{V^x} = s^x|_{V^x}$  for all  $x \in U$ . Clearly, we have  $\varphi_U(s)_x = t_x$  for all  $x \in U$  and hence  $\varphi_U(s) = t$ .

**Definition 1.1.6.** A morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  of sheaves injective (resp. surjective, resp. bijective) if  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is injective (resp. surjective, resp. bijective) for all  $x \in X$ .

**Remark 1.1.7.** If  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves,  $\varphi$  is surjective if and only if for all open subsets  $U \subseteq X$  and every  $t \in \mathcal{G}(U)$  there exist an open covering  $U = \bigcup_i U_i$  (depending on  $t$ ) and sections  $s_i \in \mathcal{F}(U_i)$  such that  $\varphi_{U_i}(s_i) = t|_{U_i}$ , i.e., locally we can find a preimage of  $t$ . But the surjectivity of  $\varphi$  does not imply that  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is surjective for all open sets  $U$  of  $X$ .

**Definition 1.1.8.** If  $\mathcal{F}, \mathcal{G}$  are (pre-)sheaves on  $X$  such that  $\mathcal{F}(U) \subseteq \mathcal{G}(U)$  for all  $U \subseteq X$  open, and such that the following diagram commute

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\subseteq} & \mathcal{G}(U) \\ \text{res}_U^V \uparrow & & \uparrow \text{res}_U^V \\ \mathcal{F}(V) & \xrightarrow{\subseteq} & \mathcal{G}(V) \end{array}$$

we call  $\mathcal{F}$  sub(pre-)sheaf of  $\mathcal{G}$ .

**Definition 1.1.9** (sheafification). Let  $\mathcal{F}$  be a presheaf on a topological space  $X$ . Then there exists a pair  $(\tilde{\mathcal{F}}, \iota_{\mathcal{F}})$ , where  $\tilde{\mathcal{F}}$  is a sheaf on  $X$  and  $\iota_{\mathcal{F}} : \mathcal{F} \rightarrow \tilde{\mathcal{F}}$  is a morphism of presheaves, such that the following holds: If  $\mathcal{G}$  is a sheaf on  $X$  and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of presheaves, then there exists a unique morphism of sheaves  $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \mathcal{G}$  with  $\tilde{\varphi} \circ \iota_{\mathcal{F}} = \varphi$ . And the following properties hold:

(1) For all  $x \in X$  the map on stalks  $\iota_{\mathcal{F},x} : \mathcal{F}_x \rightarrow \tilde{\mathcal{F}}_x$  is bijective.

- (2) For every presheaf  $\mathcal{G}$  on  $X$  and every morphism of presheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  there exists a unique morphism  $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$  making the diagram

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\iota_{\mathcal{F}}} & \tilde{\mathcal{F}} \\ \varphi \downarrow & & \downarrow \tilde{\varphi} \\ \mathcal{G} & \xrightarrow{\iota_{\mathcal{G}}} & \tilde{\mathcal{G}} \end{array}$$

commutative.

In particular,  $\mathcal{F} \mapsto \tilde{\mathcal{F}}$  is a functor from the category of presheaves on  $X$  to the category of sheaves on  $X$ .

*Proof:* For  $U \subseteq X$  open, elements of  $\tilde{\mathcal{F}}(U)$  are by definition families of elements in the stalks of  $\mathcal{F}$  which locally give rise to sections of  $\mathcal{F}$ . More precisely, we define

$$\tilde{\mathcal{F}}(U) := \left\{ (s_x) \in \prod_{x \in U} \mathcal{F}_x : \forall x \in U, \exists \text{ an open neighborhood } W \subseteq U \text{ of } x, \right. \\ \left. \text{and } t \in \mathcal{F}(W) \text{ s.t. } \forall w \in W : s_w = t_w \right\}.$$

For  $U \subseteq V$  the restriction map  $\tilde{\mathcal{F}}(V) \rightarrow \tilde{\mathcal{F}}(U)$  is induced by the natural projection  $\prod_{x \in V} \mathcal{F}_x \rightarrow \prod_{x \in U} \mathcal{F}_x$ . Then it is easy to check that  $\tilde{\mathcal{F}}$  is a sheaf.

For  $U \subseteq X$  open, we define  $\iota_{\mathcal{F}, U} : \mathcal{F}(U) \rightarrow \tilde{\mathcal{F}}(U)$  by  $s \mapsto (s_x)_{x \in U}$ . The definition of  $\tilde{\mathcal{F}}$  shows that  $\iota_{\mathcal{F}, x} : \mathcal{F}_x \rightarrow \tilde{\mathcal{F}}_x$  is bijective.

Now let  $\mathcal{G}$  be a presheaf on  $X$  and let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism. Sending  $(s_x)_x \in \tilde{\mathcal{F}}(U)$  to  $(\varphi_x(s_x))_x \in \tilde{\mathcal{G}}(U)$  defines a morphism  $\tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$ . By Proposition 1.1.5, this is the unique morphism making the diagram commutative.

If we assume in addition that  $\mathcal{G}$  is a sheaf, then the morphism of sheaves  $\iota_{\mathcal{G}} : \mathcal{G} \rightarrow \tilde{\mathcal{G}}$ , which is bijective on stalks, is an isomorphism by Proposition 1.1.5(3). Composing the morphism  $\tilde{\mathcal{F}} \rightarrow \tilde{\mathcal{G}}$  with  $\iota_{\mathcal{G}}^{-1}$ , we obtain the morphism  $\tilde{\varphi} : \tilde{\mathcal{F}} \rightarrow \mathcal{G}$ . Finally, the uniqueness of  $(\tilde{\mathcal{F}}, \iota_{\mathcal{F}})$  is a formal consequence.

**Remark 1.1.10.**

**Definition 1.1.11** (direct image). Let  $f : X \rightarrow Y$  be a continuous map of topological spaces. Let  $\mathcal{F}$  be a presheaf on  $X$ . We define a presheaf  $f_*\mathcal{F}$  on  $Y$  by

$$(f_*\mathcal{F})(V) = \mathcal{F}(f^{-1}(V))$$

the restriction maps given by the restriction maps for  $\mathcal{F}$ . We call  $f_*\mathcal{F}$  the direct image of  $\mathcal{F}$  under  $f$ .

Whenever  $\varphi : \mathcal{F}_1 \rightarrow \mathcal{F}_2$  is a morphism of presheaves, the family of maps  $f_*(\varphi)_V := \varphi_{f^{-1}(V)}$  for  $V \subseteq Y$  open is a morphism  $f_*(\varphi) : f_*\mathcal{F}_1 \rightarrow f_*\mathcal{F}_2$ . Therefore  $f_*$  is a functor from the category of presheaves on  $X$  to the category of presheaves on  $Y$ .

**Proposition 1.1.12.** (1) If  $\mathcal{F}$  is a sheaf on  $X$ ,  $f_*\mathcal{F}$  is a sheaf on  $Y$ . Therefore  $f_*$  also defines a functor  $f_* : (\text{Sh}(X)) \rightarrow (\text{Sh}(Y))$ .



- (2) If  $g : Y \rightarrow Z$  is a second continuous map, there exists an identity  $g_*(f_*\mathcal{F}) = (g \circ f)_*\mathcal{F}$  which is functorial in  $\mathcal{F}$ .

**Definition 1.1.13** (inverse image). Let  $f : X \rightarrow Y$  be a continuous map and let  $\mathcal{G}$  be a presheaf on  $Y$ . Define a presheaf on  $X$  by

$$U \mapsto \varinjlim_{V \supseteq f(U)} \mathcal{G}(V),$$

the restriction maps being induced by the restriction maps of  $\mathcal{G}$  and the universal property of direct limit:

$$\begin{array}{ccc} \varinjlim_{V \supseteq f(U)} \mathcal{G}(V) & \xrightarrow{\text{res}_W^U} & \varinjlim_{V \supseteq f(W)} \mathcal{G}(V) \\ & \nwarrow \quad \nearrow & \\ & \mathcal{G}(V_1) & \\ & \downarrow & \\ & \mathcal{G}(V_2) & \end{array}$$

We denote this presheaf by  $f^+\mathcal{G}$ . Let  $f^{-1}\mathcal{G}$  be the sheafification of  $f^+\mathcal{G}$ . We call  $f^{-1}\mathcal{G}$  the inverse image of  $\mathcal{G}$  under  $f$ .

**Proposition 1.1.14.**  $f^{-1}$  is a functor from category of presheaf on  $Y$  to category of sheaf on  $X$ .

*Proof:* If  $\varphi : \mathcal{G}_1 \rightarrow \mathcal{G}_2$  is a morphism of presheaf on  $Y$ , then  $f^{-1}\varphi : f^{-1}\mathcal{G}_1 \rightarrow f^{-1}\mathcal{G}_2$  is induced by universal property of direct limit and Proposition 1.1.9.

**Proposition 1.1.15** (stalks of inverse image). Notice that

$$(f^{-1}\mathcal{G})_x \cong (f^+\mathcal{G})_x = \varinjlim_{x \in U} (f^+\mathcal{G})(U)$$

Since  $f$  is continous, by uniqueness of direct limit,

$$\varinjlim_{x \in U} \varinjlim_{f(U) \subset V} \mathcal{G}(V) \cong \varinjlim_{f(x) \in V} \mathcal{G}(V)$$

*Proof:*

$$\varinjlim_{x \in U} \varinjlim_{f(U) \subset V} \mathcal{G}(V) \cong \varinjlim_{f(x) \in V} \mathcal{G}(V)$$

is given by  $[[s]], s \in \mathcal{G}(V) \rightarrow [s], s \in \mathcal{G}(V)$  since  $f$  is continous.

**Proposition 1.1.16.** Now let  $g : Y \rightarrow Z$  be a second continuous map and let  $\mathcal{H}$  be a presheaf on  $Z$ . By the definition of  $f^+$  and  $g^+$ ,  $f^+(g^+\mathcal{H}) \cong (g \circ f)^+\mathcal{H}$ . By taking sheafification,

$$f^{-1}(g^+\mathcal{H}) \cong (g \circ f)^{-1}\mathcal{H}$$

Since there's natural morphism of sheaves  $f^{-1}g^+\mathcal{H} \rightarrow f^{-1}(g^{-1})\mathcal{H}$  and the morphism at stalks are isomorphism, we have

$$f^{-1}(g^{-1}\mathcal{H}) \cong f^{-1}(g^+\mathcal{H}) \cong (g \circ f)^{-1}\mathcal{H},$$

**Theorem 1.1.17** (adjoint pair  $(f^{-1}, f_*)$ ). Let  $f : X \rightarrow Y$  be a continuous map, let  $\mathcal{F}$  be a sheaf on  $X$  and let  $\mathcal{G}$  be a presheaf on  $Y$ . Then there is a bijection

$$\begin{aligned} \mathrm{Hom}_{(\mathrm{Sh}(X))} (f^{-1}\mathcal{G}, \mathcal{F}) &\leftrightarrow \mathrm{Hom}_{(\mathrm{PreSh}(Y))} (\mathcal{G}, f_*\mathcal{F}), \\ \varphi &\rightarrow \varphi^b, \\ \psi^\sharp &\leftarrow \psi \end{aligned}$$

and  $(f^{-1}, f_*)$  is an adjoint pair between  $\mathrm{PreSh}(Y)$  and  $\mathrm{Sh}(X)$ .

*Proof:* Let  $\varphi : f^{-1}\mathcal{G} \rightarrow \mathcal{F}$  be a morphism of sheaves on  $X$ , and let  $V \subseteq Y$  be open. Since  $f(f^{-1}(V)) \subseteq V$ , we have a map  $\mathcal{G}(V) \rightarrow f^+\mathcal{G}(f^{-1}(V))$ , and we define  $\varphi_V^b$  as the composition

$$\mathcal{G}(V) \rightarrow f^+\mathcal{G}(f^{-1}(V)) \longrightarrow f^{-1}\mathcal{G}(f^{-1}(V)) \xrightarrow{\varphi_{f^{-1}(V)}} \mathcal{F}(f^{-1}(V)) = f_*\mathcal{F}(V).$$

Conversely, let  $\psi : \mathcal{G} \rightarrow f_*\mathcal{F}$  be a morphism of presheaves on  $Y$ . To define the morphism  $\psi^\sharp$  it suffices to define a morphism of presheaves  $f^+\mathcal{G} \rightarrow \mathcal{F}$ , which we call again  $\psi^\sharp$ . Let  $U$  be open in  $X$ , and  $s \in f^+\mathcal{G}(U)$ . If  $V$  is some open neighborhood of  $f(U)$ ,  $U$  is contained in  $f^{-1}(V)$ . Let  $V$  be such a neighborhood such that there exists  $s_V \in \mathcal{G}(V)$  representing  $s$ . Then  $\psi_V(s_V) \in f_*\mathcal{F}(V) = \mathcal{F}(f^{-1}(V))$ . Let  $\psi_U^\sharp(s) \in \mathcal{F}(U)$  be the restriction of the section  $\psi_V(s_V)$  to  $U$ .

**Proposition 1.1.18.** Let  $f : X \rightarrow Y$  be a continuous map, let  $\mathcal{F}$  be a sheaf on  $X$  and let  $\mathcal{G}$  be a presheaf on  $Y$ , and a morphism of presheaves  $\psi : \mathcal{G} \rightarrow f_*\mathcal{F}$ . Then for each  $x \in X$ , the map

$$\psi_x^\sharp : \mathcal{G}_{f(x)} \cong (f^{-1}\mathcal{G})_x \longrightarrow \mathcal{F}_x$$

induced by  $\psi^\sharp : f^{-1}\mathcal{G} \rightarrow \mathcal{F}$  on stalks can be described in terms of  $\psi$  as follows: For every open neighborhood  $V \subseteq Y$  of  $f(x)$ , we have maps

$$\mathcal{G}(V) \xrightarrow{\psi_V} \mathcal{F}(f^{-1}(V)) \longrightarrow \mathcal{F}_x,$$

and taking the inductive limit over all  $V$  we obtain the map  $\psi_x^\sharp : \mathcal{G}_{f(x)} \rightarrow \mathcal{F}_x$ .

**Proposition 1.1.19.**  $X$  be a topological space, then category of sheaves on  $X$  is an abelian category.

*Proof:* Cokernel exists: If  $\mathcal{F}, \mathcal{G}$  are sheaves and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a sheaf map, then  $\mathrm{coker} \varphi$  exists.

$$\begin{array}{ccccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & \xrightarrow{\iota \circ \pi} & (\mathcal{G}/\mathrm{im} \mathcal{F})^+ \\ & & \searrow \pi & \nearrow \iota & \\ & & \mathcal{G}/\mathrm{im} \mathcal{F} & & \\ & \searrow 0 & \swarrow u & \searrow \theta & \\ & & X & & \end{array}$$

Here  $+$  denotes the sheafification and  $\theta$  is induced by universal property of sheafification.

Ab2:

$$\begin{array}{ccccccc}
 & & & & \mathcal{G}/\text{im}\mathcal{F} & & \\
 & & & & \uparrow \pi & \searrow & \\
 \text{Ker}\varphi & \xrightarrow{u} & \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} & \xrightarrow{v} & (\mathcal{G}/\text{im}\mathcal{F})^+ = \text{coker}\varphi \\
 & & \downarrow & & \uparrow & \nwarrow & \\
 & & \mathcal{F}/\text{Ker}\varphi & \xrightarrow{\cong} & \text{im}\mathcal{F} & & \\
 & & \downarrow & & \downarrow & \swarrow l & \\
 & & (\mathcal{F}/\text{Ker}\varphi)^+ & \xrightarrow{\cong} & (\text{im}\mathcal{F})^+ & & 
 \end{array}$$

By the construction of cokernel,  $(\mathcal{F}/\text{Ker}\varphi)^+$  is the cokernel of  $u$ . Since kernel of  $v$  contains  $\text{im}\mathcal{F}$ , by universal property of sheafification,  $l_U$  is injective for all  $U$  open in  $X$  and the image of  $l$  lie in the kernel of  $v$ . Now it suffice to show  $l : (\text{im}\mathcal{F})^+ \rightarrow \ker(v)$  is isomorphism on stalk. Notice that morphisms on stalk is clearly injective and for some  $[g] \in \ker(v)_x$ , where  $g \in \mathcal{G}(U)$ , since  $\pi_x([g]) = 0$  (By Proposition 1.1.9), there's  $V \subset U$  such that  $\pi_V(g|_V) = 0$ . Hence,  $g|_V \in \text{im}(\mathcal{F})(V)$  which implies  $l_x$  is surjective. Hence,  $(\text{im}\mathcal{F})^+$  is the kernel of  $v$ .

**Proposition 1.1.20.**  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves, then  $\text{coker } \varphi = 0$  if and only if  $\varphi_x$  be surjective for all  $x \in X$ .

*Proof:*  $\text{coker} = 0$  implies  $\varphi_x$  is surjective for all  $x$ : By above diagram, if  $\text{coker } \varphi = 0$ , we have  $l : (\text{im}\mathcal{F})^+ \rightarrow \mathcal{G}$  is an isomorphism of sheaves. Hence, the map  $\text{im}\mathcal{F} \rightarrow \mathcal{G}$  is surjective on stalks. Hence, it suffice to check  $\mathcal{F} \rightarrow \mathcal{F}/\text{Ker}\varphi$  is surjective on stalk, which is obvious.

$\varphi_x$  is surjective for all  $x$  implies  $\text{coker} = 0$ : it suffice to show  $(\text{coker}\varphi)_x = 0$  for all  $x \in X$ . Since  $\varphi_x$  is surjective,  $l : (\text{im}\mathcal{F})^+ \rightarrow \mathcal{G}$  is an isomorphism of sheaves. Hence, the kernel of  $v$  is  $\mathcal{G}$ . Then  $v_x$  is surjective and  $= 0$  for all  $x \in X$ .

**Proposition 1.1.21.** Let  $X$  be a topological space and  $i : Z \rightarrow X$  the inclusion of a subspace  $Z$ . Let  $\mathcal{F}$  be a sheaf on  $Z$ . Show the following properties for the stalks  $i_*(\mathcal{F})_x$ .

- (1) For all  $x \notin \bar{Z}$ ,  $i_*(\mathcal{F})_x$  is a singleton (i.e., a set consisting of one element).
- (2) For all  $x \in Z$ ,  $i_*(\mathcal{F})_x = \mathcal{F}_x$ .
- (3) If  $Z = \{x\}$  and  $\mathcal{F}$  is a constant sheaf on  $Z$  with value  $E$ , then  $i_*(\mathcal{F})$  is called skyscraper sheaf in  $x$  with value  $E$ .

**Theorem 1.1.22.**  $X$  be a topological space and  $Z$  be a closed subset of  $X$  with  $i : Z \rightarrow X$  be the embedding,  $\mathcal{G}$  is a sheaf on  $X$  supported on  $Z$  (That is,  $\text{Supp}\mathcal{G} \subset Z$ ), then  $i^{-1}\mathcal{G}$  is a sheaf on  $Z$ . On the other hand, if  $\mathcal{F}$  is a sheaf on  $Z$ , by Proposition 1.1.15,  $i_*\mathcal{F}$  is a sheaf supported

on  $Z$ .

$$\begin{array}{ccc} \{\text{sheaf on } X \text{ supported on } Z\} & \xrightarrow{i^{-1}} & \{\text{sheaf on } Z\} \\ & \xleftarrow{i_*} & \end{array}$$

$$\mathcal{F} \longrightarrow i^{-1}\mathcal{F}$$

$$i_*\mathcal{G} \longleftarrow \mathcal{G}$$

Moreover, for a sheaf  $\mathcal{F}$  supported on  $Z$ , the identify map  $i^{-1}\mathcal{F} \rightarrow i^{-1}\mathcal{F}$  induces  $\varphi$  a natural isomorphism of sheaves

$$\mathcal{F} \rightarrow i_*i^{-1}\mathcal{F}$$

And, for a sheaf  $\mathcal{G}$  on  $Z$ , the identify map  $i_*\mathcal{G} \rightarrow i_*\mathcal{G}$  induces  $\varphi$  a natural isomorphism of sheaves

$$i^{-1}i_*\mathcal{G} \rightarrow \mathcal{G}$$

*Proof:* Since for all  $x \in Z$

$$\varphi_x : \mathcal{F}_x \rightarrow (i_*i^{-1}\mathcal{F})_x \simeq (i^{-1}\mathcal{F})_x \simeq \mathcal{F}_x$$

is an identity map and for all  $x \notin Z$ ,  $\mathcal{F}_x = 0 = (i_*i^{-1}\mathcal{F})_x = 0$  by Proposition 1.1.15, we have  $\mathcal{F} \simeq i_*i^{-1}\mathcal{F}$

## 1.2 Ringed Space

**Definition 1.2.1.** A ringed space is a pair  $(X, \mathcal{O}_X)$ , where  $X$  is a topological space and where  $\mathcal{O}_X$  is a sheaf of (commutative) rings on  $X$ .

If  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  are ringed spaces, we define a morphism of ringed spaces  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  as a pair  $(f, f^b)$ , where  $f : X \rightarrow Y$  is a continuous map and where  $f^b : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is a homomorphism of sheaves of rings on  $Y$ .

**Definition 1.2.2.** If  $A$  is a local ring, we denote by  $\mathfrak{m}_A$  its maximal ideal and by  $\kappa(A) = A/\mathfrak{m}_A$  its residue field. A homomorphism of local rings  $\varphi : A \rightarrow B$  is called local, if  $\varphi(\mathfrak{m}_A) \subseteq \mathfrak{m}_B$ .

A morphism  $(f, f^b) : X \rightarrow Y$  of ringed spaces induces morphisms on the stalks as follows. Let  $x \in X$ . Let  $f^\sharp : f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$  be the morphism corresponding to  $f^b$  by adjointness. Using the identification  $(f^{-1}\mathcal{O}_Y)_x = \mathcal{O}_{Y, f(x)}$ , we get

$$f_x^\sharp : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$$

**Definition 1.2.3.** A locally ringed space is a ringed space  $(X, \mathcal{O}_X)$  such that for all  $x \in X$  the stalk  $\mathcal{O}_{X, x}$  is a local ring.

A morphism of locally ringed spaces  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a morphism of ringed spaces  $(f, f^b)$  such that for all  $x \in X$  the induced homomorphism on stalks

$$f_x^\sharp : (f^{-1}\mathcal{O}_Y)_x = \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$$

is a local ring homomorphism.

**Definition 1.2.4.** Let  $(X, \mathcal{O}_X)$  be a locally ringed space and  $x \in X$ . We call the stalk  $\mathcal{O}_{X,x}$  the local ring of  $X$  in  $x$ , denote by  $\mathfrak{m}_x$  the maximal ideal of  $\mathcal{O}_{X,x}$ , and by  $\kappa(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x$  the residue field. If  $U$  is an open neighborhood of  $x$  and  $f \in \mathcal{O}_X(U)$ , we denote by  $f(x) \in \kappa(x)$  the image of  $f$  under the canonical homomorphisms  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,x} \rightarrow \kappa(x)$ .

**Definition 1.2.5** (sheaf of ring on  $\text{Spec}(A)$ ). For each prime ideal  $\mathfrak{p} \subseteq A$ , let  $A_{\mathfrak{p}}$  be the localization of  $A$  at  $\mathfrak{p}$ . For an open set  $U \subseteq \text{Spec } A$ , we define  $\mathcal{O}(U)$  to be the set of functions

$$s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$$

, such that  $s(\mathfrak{p}) \in A_{\mathfrak{p}}$  for each  $\mathfrak{p}$ , and such that  $s$  is locally a quotient of elements of  $A$  : to be precise, we require that for each  $\mathfrak{p} \in U$ , there is a neighborhood  $V$  of  $\mathfrak{p}$ , contained in  $U$ , and elements  $a, f \in A$ , such that for each  $\mathfrak{q} \in V$ ,  $f \notin \mathfrak{q}$ , and  $s(\mathfrak{q}) = a/f$  in  $A_{\mathfrak{q}}$ .

**Proposition 1.2.6.** Let  $A$  be a ring, and  $(\text{Spec } A, \mathcal{O})$  its spectrum.

- (1) For any  $\mathfrak{p} \in \text{Spec } A$ , the stalk  $\mathcal{O}_{\mathfrak{p}}$  of the sheaf  $\mathcal{O}$  is isomorphic to the local ring  $A_{\mathfrak{p}}$ .
- (2) For any element  $f \in A$ , the ring  $\mathcal{O}(D(f))$  is isomorphic to the localized ring  $A_f$ .
- (3) In particular,  $\Gamma(\text{Spec } A, \mathcal{O}) \cong A$ .

*Proof:* (1): First we define a homomorphism from  $\mathcal{O}_{\mathfrak{p}}$  to  $A_{\mathfrak{p}}$  by sending any local section  $s$  in a neighborhood of  $\mathfrak{p}$  to its value  $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ . This gives a well-defined homomorphism  $\varphi$  from  $\mathcal{O}_{\mathfrak{p}}$  to  $A_{\mathfrak{p}}$ . The map  $\varphi$  is surjective, because any element of  $A_{\mathfrak{p}}$  can be represented as a quotient  $a/f$ , with  $a, f \in A$ ,  $f \notin \mathfrak{p}$ . Then  $D(f)$  will be an open neighborhood of  $\mathfrak{p}$ , and  $a/f$  defines a section of  $\mathcal{O}$  over  $D(f)$  whose value at  $\mathfrak{p}$  is the given element. To show that  $\varphi$  is injective, let  $U$  be a neighborhood of  $\mathfrak{p}$ , and let  $s, t \in \mathcal{O}(U)$  be elements having the same value  $s(\mathfrak{p}) = t(\mathfrak{p})$  at  $\mathfrak{p}$ . By shrinking  $U$  if necessary, we may assume that  $s = a/f$ , and  $t = b/g$  on  $U$ , where  $a, b, f, g \in A$ , and  $f, g \notin \mathfrak{p}$ . Since  $a/f$  and  $b/g$  have the same image in  $A_{\mathfrak{p}}$ , it follows from the definition of localization that there is an  $h \notin \mathfrak{p}$  such that  $h(ga - fb) = 0$  in  $A$ . Therefore  $a/f = b/g$  in every local ring  $A_{\mathfrak{q}}$  such that  $f, g, h \notin \mathfrak{q}$ . But the set of such  $\mathfrak{q}$  is the open set  $D(f) \cap D(g) \cap D(h)$ , which contains  $\mathfrak{p}$ .

(2): We define a homomorphism  $\psi : A_f \rightarrow \mathcal{O}(D(f))$  by sending  $a/f^n$  to the section  $s \in \mathcal{O}(D(f))$  which assigns to each  $\mathfrak{p}$  the image of  $a/f^n$  in  $A_{\mathfrak{p}}$ .

**Corollary 1.2.7.**  $(\text{Spec } A, \mathcal{O}_{\text{Spec}(A)})$  is a locally ringed space.

**Proposition 1.2.8.**  $A, B$  are commutative rings,

- (1) If  $\varphi : A \rightarrow B$  is a homomorphism of rings, then  $\varphi$  induces a natural morphism of locally ringed spaces

$$(f, f^b) : (\text{Spec } B, \mathcal{O}_{\text{Spec } B}) \rightarrow (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$$

where

$$f_U^b : \mathcal{O}_{\text{Spec}(A)}(U) \rightarrow f_* \mathcal{O}_{\text{Spec}(A)}(U)$$

$$(s : U \rightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}}) \mapsto (s' : f^{-1}(U) \rightarrow U \rightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \rightarrow \coprod_{\mathfrak{q} \in f^{-1}(U)} B_{\mathfrak{q}})$$

(2) If  $A$  and  $B$  are rings, then any morphism of locally ringed spaces from  $\text{Spec } B$  to  $\text{Spec } A$  is induced by a homomorphism of rings  $\varphi : A \rightarrow B$  as in (1).

*Proof:* (1): Assume  $\mathfrak{p} \in \text{Spec}(B)$  and  $\varphi^{-1}(\mathfrak{p}) = \mathfrak{q}$ . Then the ring homomorphism

$$\varphi_{\mathfrak{p}} : A_{\mathfrak{q}} \rightarrow B_{\mathfrak{p}}$$

induced by universal property of localization is a local ring homomorphism.

(2): Conversely, suppose given a morphism of locally ringed spaces  $(f, f^{\#})$  from  $\text{Spec } B$  to  $\text{Spec } A$ . Taking global sections,  $f^{\#}$  induces a homomorphism of rings  $\varphi : \Gamma(\text{Spec } A, \mathcal{O}_{\text{Spec } A}) \rightarrow \Gamma(\text{Spec } B, \mathcal{O}_{\text{Spec } B})$ . These rings are  $A$  and  $B$ , respectively, so we have a homomorphism  $\varphi : A \rightarrow B$ . For any  $\mathfrak{p} \in \text{Spec } B$ , we have an induced local homomorphism on the stalks (universal property of direct limit),  $\mathcal{O}_{\text{Spec } A, f(\mathfrak{p})} \rightarrow \mathcal{O}_{\text{Spec } B, \mathfrak{p}}$  or  $A_{f(\mathfrak{p})} \rightarrow B_{\mathfrak{p}}$ , which must be compatible with the map  $\varphi$  on global sections. In other words, we have a commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \downarrow & & \downarrow \\ A_{f(\mathfrak{p})} & \xrightarrow{f_{\mathfrak{p}}^{\#}} & B_{\mathfrak{p}} \end{array}$$

Since  $f^{\#}$  is a local homomorphism, it follows that  $\varphi^{-1}(\mathfrak{p}) = f(\mathfrak{p})$ , which shows that  $f$  coincides with the map  $\text{Spec } B \rightarrow \text{Spec } A$  induced by  $\varphi$ .

By universal property of localization,  $\varphi_{\mathfrak{p}} = f_{\mathfrak{p}}^{\#}$ . Then by Theorem 1.1.5(3),  $(f, f^{\#})$  is induced by  $\varphi$ .

**Corollary 1.2.9.**

**Definition 1.2.10.** A locally ringed space  $(X, \mathcal{O}_X)$  is called affine scheme, if there exists a ring  $A$  such that  $(X, \mathcal{O}_X)$  is isomorphic to  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ .

**Definition 1.2.11.** A scheme is a locally ringed space  $(X, \mathcal{O}_X)$  which admits an open covering  $X = \bigcup_{i \in I} U_i$  such that all locally ringed spaces  $(U_i, \mathcal{O}_X|_{U_i})$  are affine schemes. A morphism of schemes is a morphism of locally ringed spaces.

**Definition 1.2.12** (principal open subschemes of an affine scheme). Let  $X = \text{Spec } A$  be an affine scheme. For  $f \in A$  let  $j : \text{Spec } A_f \rightarrow \text{Spec } A$  be the morphism of affine schemes that corresponds to the canonical homomorphism  $A \rightarrow A_f$ . Then  $j$  induces a homeomorphism of  $\text{Spec } A_f$  onto  $D(f)$ . Moreover, for all  $x \in D(f)$ ,  $j_x^{\#}$  is the canonical isomorphism  $A_{\mathfrak{p}_x} \xrightarrow{\sim} (A_f)_{\mathfrak{p}_x}$  by Algebra Theorem 2.4.26. Hence we see that  $(j, j^{\#})$  induces an isomorphism of the affine scheme  $\text{Spec } A_f$  with the locally ringed space  $(D(f), \mathcal{O}_{X|D(f)})$ .

**Definition 1.2.13** (closed subschemes of affine schemes). Let  $X = \operatorname{Spec} A$  be an affine scheme. For an ideal  $\mathfrak{a}$  of  $A$  let  $i : \operatorname{Spec} A/\mathfrak{a} \rightarrow \operatorname{Spec} A$  be the morphism of affine schemes that corresponds to the canonical homomorphism  $A \rightarrow A/\mathfrak{a}$ . Then  $i$  induces a homeomorphism of  $\operatorname{Spec} A/\mathfrak{a}$  onto the closed subset  $V(\mathfrak{a})$  of  $\operatorname{Spec} A$ . Moreover, for all  $x \in V(\mathfrak{a})$  the morphism  $i_x^b$  is the canonical surjective homomorphism  $A_{\mathfrak{p}_x} \rightarrow (A/\mathfrak{a})_{\bar{\mathfrak{p}}_x}$  where  $\bar{\mathfrak{p}}_x$  is the image of  $\mathfrak{p}_x$  in  $A/\mathfrak{a}$ .

## 1.3 Basic Propositions

**Definition 1.3.1.** Let  $S$  be a fixed scheme. The category  $(\operatorname{Sch}/S)$  of schemes over  $S$  (or of  $S$ -schemes) is the category whose objects are the morphisms  $X \rightarrow S$  of schemes, and whose morphisms  $\operatorname{Hom}(X \rightarrow S, Y \rightarrow S)$  are the morphisms  $X \rightarrow Y$  of schemes with the property that

$$\begin{array}{ccc} X & \xrightarrow{\quad} & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

commutes.

**Proposition 1.3.2** (open subscheme). (1) Let  $X$  be a scheme, and  $U \subseteq X$  an open subset. Then the locally ringed space  $(U, \mathcal{O}_{X|U})$  is a scheme. We call  $U$  an open subscheme of  $X$ . If  $U$  is an affine scheme, then  $U$  is called an affine open subscheme.

(2) Let  $X$  be a scheme. The affine open subschemes are a basis of the topology.

(3) There's a canonical morphism between scheme  $(U, \mathcal{O}_{X|U})$  and  $(X, \mathcal{O}_X)$ .

(4):  $(f, f^b) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a morphism of scheme and  $f(X) \subset U$  for some open subset of  $Y$ , then there's a natural morphism  $(X, \mathcal{O}_X) \rightarrow (U, \mathcal{O}_{Y|U})$  making the following diagram commute

$$\begin{array}{ccc} (X, \mathcal{O}_X) & \xrightarrow{\quad} & (Y, \mathcal{O}_Y) \\ & \searrow & \uparrow \\ & & (U, \mathcal{O}_{Y|U}) \end{array}$$

*Proof:* (3): For all the  $V$  open in  $X$ , the restriction maps

$$\Gamma(V, \mathcal{O}_X) \rightarrow \Gamma(V \cap U, \mathcal{O}_{X|U})$$

induce a morphism  $j^b : \mathcal{O}_X \rightarrow j_*(\mathcal{O}_{X|U})$  of sheaves.

Hence, there's a canonical morphism  $(U, \mathcal{O}_{X|U}) \rightarrow (X, \mathcal{O}_X)$  of scheme.

**Lemma 1.3.3** (Nike's Trick). Let  $X$  be a scheme, and let  $U, V$  be affine open subschemes of  $X$ . Then there exists for all  $x \in U \cap V$  an open subscheme  $W \subseteq U \cap V$  with  $W \ni x$  such that  $W$  is principal open in  $U$  as well as in  $V$ .

*Proof:* We may assume  $x \in V \subset U$  and  $U, V$  are all open affine, hence

$$(j, j^b) : (V, \mathcal{O}_{X|V}) \rightarrow (U, \mathcal{O}_{X|U})$$

is a morphism of scheme.

$$\begin{array}{ccc} (V, \mathcal{O}_X|_V) & \xrightarrow{\simeq} & (\operatorname{Spec} A, \mathcal{O}_{\operatorname{Spec} A}) & B \\ \downarrow j & & \downarrow \varphi & \uparrow \phi \\ (U, \mathcal{O}_X|_U) & \xrightarrow{\simeq} & (\operatorname{Spec} B, \mathcal{O}_{\operatorname{Spec} B}) & A \end{array}$$

Take  $f \in B$  such that the principal open subset  $D(f)$  satisfies  $x \in D(f) \subset V \subset U$ , then

$$D(f) = j^{-1}(D(f)) = \varphi^{-1}(D(f)) = D(\phi(f))$$

**Lemma 1.3.4** (Gluing of morphisms). Let  $X, Y$  be schemes. If  $X = \bigcup_i U_i$  is an open covering, then a family of morphisms  $\varphi_i : (U_i, \mathcal{O}_X|_{U_i}) \rightarrow (Y, \mathcal{O}_Y)$  glues to a morphism  $(f, f^b) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  if and only if the morphisms coincide on intersections  $U_i \cap U_j$ , and the resulting morphism  $X \rightarrow Y$  is uniquely determined.

*Proof:* Firstly, define

$$f : X \rightarrow Y, x \mapsto \varphi_i(x) \text{ if } x \in U_i$$

For some  $V$  open in  $Y$ , we can obtain  $\varphi_V$  by the following diagram:

$$\begin{array}{ccc} \mathcal{O}_Y(V) & \xrightarrow{\varphi_V} & f_* \mathcal{O}_X(V) = \mathcal{O}_X(f^{-1}(V)) \\ \downarrow \text{id} & & \uparrow \text{glue} \\ \mathcal{O}_Y(V) & \xrightarrow{(\varphi_i)_V} & (\varphi_i)_* \mathcal{O}_X|_{U_i}(V) = \mathcal{O}_X(U_i \cap f^{-1}(V)) \end{array}$$

**Example 1.3.5** (zero section). Consider  $\mathbb{A}_R^{n+1} = \operatorname{Spec}(R[T_0, \dots, T_n])$ , define

$$\mathbb{A}_R^{n+1} - \{0\} = \bigcup_{i=0}^n D(T_i)$$

be an open subscheme of  $\mathbb{A}_R^{n+1}$ . Since there's natural morphism  $p_i$  given by

$$p_i : D(T_i) = \operatorname{Spec} R[T_0, \dots, T_n, T_i^{-1}] \rightarrow D_+(X_i) = \operatorname{Spec} R \left[ \frac{X_0}{X_i}, \dots, \frac{\widehat{X_i}}{X_i}, \dots, \frac{X_n}{X_i} \right]$$

, by gluing of morphisms of scheme, there's a natural morphism

$$p : \mathbb{A}_R^{n+1} - \{0\} \rightarrow \mathbb{P}_R^n$$

**Example 1.3.6.** Consider  $X = \operatorname{Spec}(\mathbb{R}[x, y]) - \{0\}$  and  $p : X \rightarrow \mathbb{P}_{\mathbb{R}}^1$ . For  $(\alpha, \beta) \neq (0, 0)$ ,

$$p((x - \alpha, y - \beta)) = (\alpha y - \beta x)$$

**Example 1.3.7.** Let  $A$  be an  $R$ -algebra, let  $f : \operatorname{Spec} A \rightarrow \mathbb{A}_R^n$  be an  $R$ -morphism, and denote the corresponding  $R$ -algebra homomorphism by  $\varphi : R[T_1, \dots, T_n] \rightarrow A$ . Set  $a_i = \varphi(T_i) \in A$ . Then  $f$  factors through  $\mathbb{A}_R^n - \{0\}$  if and only if for all  $\mathfrak{p} \in \operatorname{Spec}(A)$ ,

$$f(\mathfrak{p}) \in \bigcup_{i=0}^n D(T_i)$$

Equivalently, there's no such prime ideal  $\mathfrak{p} \subset A$  such that  $\varphi^{-1}(\mathfrak{p}) \supset (T_1, \dots, T_n)$ . Since  $\varphi^{-1}(\mathfrak{p}) \supset (T_1, \dots, T_n)$  if and only if  $\mathfrak{p} \supset (\varphi(T_1), \dots, \varphi(T_n))$ , we have

$$\operatorname{Hom}_R(\operatorname{Spec}(A), \mathbb{A}_R^n - \{0\}) = \{ \varphi \in \operatorname{Hom}_{(R\text{-Alg})}(R[x_1, \dots, x_n], A) : (\varphi(x_1), \dots, \varphi(x_n)) = (1) \}$$



**Example 1.3.8** ( $\mathbb{G}_m$ ). Set  $X = \operatorname{Spec} R[U, U^{-1}] = R[U, T]/(UT - 1)$ . Then we obtain for every  $R$ -scheme  $T$

$$\operatorname{Hom}_R(T, X) = \operatorname{Hom}_{(R-\text{Alg})}(R[U, U^{-1}], \Gamma(T, \mathcal{O}_T)) = \Gamma(T, \mathcal{O}_T)^\times.$$

**Proposition 1.3.9.** Let  $(X, \mathcal{O}_X)$  be a scheme,  $Y = \operatorname{Spec} A$  an affine scheme. Then the natural map

$$\operatorname{Hom}(X, Y) \longrightarrow \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X)), \quad (f, f^b) \mapsto f_Y^b,$$

is a functorial bijection. Here the set on the left side denotes the set of morphisms  $X \rightarrow Y$  of scheme, and the set on the right side denotes the set of ring homomorphisms  $A \rightarrow \Gamma(X, \mathcal{O}_X)$ .

*Proof:*

$$\begin{array}{ccc} \operatorname{Hom}(X, Y) & \dashrightarrow & \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X)) \\ \text{glue} \uparrow \downarrow \text{res} & & \text{glue} \uparrow \downarrow \text{res} \\ \operatorname{Hom}(U_i, Y) & \xrightarrow{\simeq} & \operatorname{Hom}(A, \Gamma(U_i, \mathcal{O}_X)) \end{array}$$

Injective: For  $f : X \rightarrow Y$ , define  $f_i : U_i \rightarrow X \rightarrow Y$  a morphism of scheme. It's easy to check the follow diagram commutes

$$\begin{array}{ccc} A & \xrightarrow{f_Y^b} & \Gamma(X, \mathcal{O}_X) \\ & \searrow (f_i)_Y^b & \downarrow j_X^b \\ & & \Gamma(U_i, \mathcal{O}_X) \end{array}$$

Hence,  $(f, f^b) = (g, g^b)$  iff  $(f_i, f_i^b) = (g_i, g_i^b)$  iff  $(f_i)_Y^b = (g_i)_Y^b$  iff  $f_Y^b = g_Y^b$

Surjective:

$$\begin{array}{ccc} U_i & \xrightarrow{f_i} & Y \\ l_i \uparrow & & \uparrow f_j \\ V & \xrightarrow{l_j} & U_j \end{array} \quad \begin{array}{ccc} \Gamma(U_i, \mathcal{O}_X) & \xleftarrow{\tilde{f}_i} & A \\ \downarrow & & \downarrow \tilde{f}_j \\ \Gamma(V, \mathcal{O}_X) & \xleftarrow{\quad} & \Gamma(U_j, \mathcal{O}_X) \end{array}$$

Take  $\tilde{f} \in \operatorname{Hom}(A, \Gamma(X, \mathcal{O}_X))$ , and define  $\tilde{f}_i : \tilde{f} \circ \operatorname{Res}_{U_i}^X$  and  $f_i$  be the corresponding morphisms with respect to category equivalence(commutative rings and affine schemes). Consider above diagram,  $V$  is an affine open subset of  $U_i \cap U_j$ . Since opposite category of commutative rings is equivalent to category of affine scheme, the fact that the right diagram commutes implies the left diagram commute.

**Proposition 1.3.10.** Let  $(X, \mathcal{O}_X)$  be a  $k$ -scheme,  $A$  be a  $k$ -algebra and  $Y = \operatorname{Spec} A$  an affine scheme over  $k$ . Then the natural map

$$\operatorname{Hom}_{\operatorname{Spec}(k)}(X, Y) \longrightarrow \operatorname{Hom}_k(A, \Gamma(X, \mathcal{O}_X)), \quad (f, f^b) \mapsto f_Y^b,$$

is a functorial bijection. Here the set on the left side denotes the set of morphisms  $X \rightarrow Y$  of  $k$ -scheme, and the set on the right side denotes the set of  $k$ -algebra homomorphisms  $A \rightarrow \Gamma(X, \mathcal{O}_X)$ .

$$j_x : \operatorname{Spec} \mathcal{O}_{X,x} = \operatorname{Spec} A_{\mathfrak{p}} \rightarrow \operatorname{Spec} A = U \subseteq X$$

*Proof:* Assume  $V$  is an open affine subset of  $U$  with  $x \in V$ ,  $V = \operatorname{Spec}(B)$  and  $x = \mathfrak{q}$ . Then, it suffices to show  $j_x$  induced by  $V$  and  $j_x$  induced by  $U$  identifies. Consider the following commutative diagram

$$\begin{array}{ccccccc}
\mathrm{Spec} \mathcal{O}_{X,x} & \xrightarrow{\cong} & \mathrm{Spec} A_{\mathfrak{p}} & \longrightarrow & \mathrm{Spec} A & \xrightarrow{\cong} & U \\
\uparrow \mathrm{id} & & \uparrow & & \uparrow \varphi & & \uparrow \\
\mathrm{Spec} \mathcal{O}_{X,x} & \xrightarrow{\cong} & \mathrm{Spec} B_{\mathfrak{q}} & \longrightarrow & \mathrm{Spec} B & \xrightarrow{\cong} & V
\end{array}$$

**Proposition 1.3.12.** The image of the canonical map  $j_x : \mathrm{Spec} \mathcal{O}_{X,x} \rightarrow X$  is

$$Z = \left\{ y \in X : x \in \overline{\{y\}} \right\} = \bigcap_{x \in W, W \text{ open in } X} W$$

$$i_x : \operatorname{Spec} \kappa(x) \longrightarrow \operatorname{Spec} \mathcal{O}_{X,x} \longrightarrow X$$

Then, the morphism  $f$  factors as  $f = i_x \circ (\mathrm{Spec} \iota) : \mathrm{Spec} K \rightarrow \mathrm{Spec} \kappa(x) \rightarrow X$  since we have a commutative diagram in stalks of those sheaves:

$$\begin{array}{ccc} K & \longleftarrow & \mathcal{O}_{X,x} \\ \uparrow & \swarrow & \\ \kappa(x) & & \end{array}$$

The above construction gives rise to a bijection

$$\mathrm{Hom}(\mathrm{Spec} K, X) \longrightarrow \{(x, \iota); x \in X, \iota : \kappa(x) \rightarrow K\}$$

This is because, we can map an element  $(x, \iota : \kappa(x) \rightarrow K)$  of the right hand side to the morphism

$$\mathrm{Spec} K \xrightarrow{\mathrm{Spec} \iota} \mathrm{Spec} \kappa(x) \xrightarrow{i_x} X,$$

and these two maps are inverse to each other.

**Proposition 1.3.14.** Assume  $(X, \mathcal{O}_X) \rightarrow \mathrm{Spec}(k)$  be a  $k$ -scheme, then this map induces a local ring homomorphism

$$k \rightarrow \mathcal{O}_{X,x}$$

which induces a field extension

$$k \rightarrow \kappa(x)$$

Hence there's natural  $k$ -scheme structure on  $\mathrm{Spec}(\kappa(x))$ . Moreover, above natural morphism  $i_x$  becomes a  $k$ -scheme morphism:

$$\begin{array}{ccc} (X, \mathcal{O}_X) & & \\ \uparrow i_x & \searrow & \\ \mathrm{Spec} \kappa(x) & \longrightarrow & \mathrm{Spec} k \\ \uparrow & \nearrow & \\ \mathrm{Spec} K & & \end{array}$$

Hence, if  $k \rightarrow K$  be a field extension, there's a bijection

$$\mathrm{Hom}_k(\mathrm{Spec} K, X) \longrightarrow \{(x, \iota) : x \in X, \iota : \kappa(x) \rightarrow K \text{ } k\text{-algebra homomorphism}\}$$

And for an arbitrary  $k$ -scheme, define  $X(K) = \mathrm{Hom}_k(\mathrm{Spec} K, X)$  to be its  $K$ -points.

**Definition 1.3.15** (Structure sheaf on  $\mathrm{Proj} S$ ). Let  $S$  be a graded ring, we will define a sheaf of rings  $\mathcal{O}$  on  $\mathrm{Proj} S$ . For each  $\mathfrak{p} \in \mathrm{Proj} S$ , we consider the ring  $S_{(\mathfrak{p})}$  of elements of degree zero in the localized ring  $T^{-1}S$ , where  $T$  is the multiplicative system consisting of all homogeneous elements of  $S$  which are not in  $\mathfrak{p}$ . For any open subset  $U \subseteq \mathrm{Proj} S$ , we define  $\mathcal{O}(U)$  to be the set of functions  $s : U \rightarrow \coprod S_{(\mathfrak{p})}$  such that for each  $\mathfrak{p} \in U, s(\mathfrak{p}) \in S_{(\mathfrak{p})}$ , and such that  $s$  is locally a quotient of elements of  $S$  : for each  $\mathfrak{p} \in U$ , there exists a neighborhood  $V$  of  $\mathfrak{p}$  in  $U$ , and homogeneous elements  $a, f$  in  $S$ , of the same degree, such that for all  $\mathfrak{q} \in V, f \notin \mathfrak{q}$ , and  $s(\mathfrak{q}) = a/f$  in  $S_{(\mathfrak{q})}$ . Now it is clear that  $\mathcal{O}$  is a presheaf of rings, with the natural restrictions, and it is also clear from the local nature of the definition that  $\mathcal{O}$  is a sheaf.

**Proposition 1.3.16.** Let  $S$  be a graded ring.

- (1) For any  $\mathfrak{p} \in \mathrm{Proj} S$ , the stalk  $\mathcal{O}_{\mathfrak{p}}$  is isomorphic to the local ring  $S_{(\mathfrak{p})}$ .

- (2) For any homogeneous element  $f \in S_+$ , let  $D_+(f) = \{\mathfrak{p} \in \text{Proj } S \mid f \notin \mathfrak{p}\}$ . Then  $D_+(f)$  is open in  $\text{Proj } S$ . Furthermore, these open sets cover  $\text{Proj } S$ , and for each such open set, we have an isomorphism of locally ringed spaces

$$(D_+(f), \mathcal{O}|_{D_+(f)}) \cong \text{Spec } S_{(f)}$$

where

$$S_{(f)} = \{a/f^n \in S_f : a \text{ homogeneous and } \deg(a) = n \deg(f), n \geq 0\}$$

In particular, the global section of  $S$  is  $S_0$ .

*Proof:* (1):  $S_{(\mathfrak{p})}$  is a local ring: The unique maximal ideal of  $S_{(\mathfrak{p})}$  is of the form

$$\{a/f : a \in \mathfrak{p}, f \notin \mathfrak{p}, \deg a = \deg f\}$$

(2): Define

$$\varphi : D_+(f) \rightarrow \text{Spec}(S_{(f)}), \mathfrak{a} \mapsto \mathfrak{a}S_f \cap S_{(f)}$$

$\varphi$  is injective: If  $\mathfrak{a}S_f \cap S_{(f)} = \mathfrak{b}S_f \cap S_{(f)}$ , for some homogeneous element  $s \in \mathfrak{a}$ , there's  $b \in \mathfrak{b}$  such that

$$\frac{s^n}{f^m} = \frac{b}{f^t}$$

for some integer  $n, m, t$ . Hence,  $s^n \in \mathfrak{b}$  which implies  $s \in \mathfrak{b}$ .

$\varphi$  is surjective:  $P$  be a prime ideal of  $S_{(f)}$ , define

$$\mathfrak{p} = \{s \in S : s/f^n \in P \text{ for some } n \geq 0\}$$

Then  $\varphi(\mathfrak{p}) = P$ .

Isomorphism on stalk: For  $\mathfrak{p} \in D_+(f)$ , there's a natural ring homomorphism

$$S_{(f)} \rightarrow S_{(\mathfrak{p})}, a/f^n \mapsto a/f^n$$

and by universal property of localization, it induces a ring homomorphism

$$\varphi_{\mathfrak{p}} : (S_{(f)})_{\varphi(\mathfrak{p})} \rightarrow S_{(\mathfrak{p})}$$

Actually,  $\varphi_{\mathfrak{p}}$  is an isomorphism: injective is easy to check, and for some  $a/g \in S_{\mathfrak{p}}$ , notice that

$$\frac{a}{g} = \frac{ag^{\deg f - 1} f^{\deg g}}{f^{\deg g} g^{\deg f}}$$

Hence,  $\varphi_{\mathfrak{p}}$  is surjective.

Isomorphism  $\varphi_{\mathfrak{p}}$  induces an isomorphism of sheaves

$$\varphi^b : \mathcal{O}_{\text{Spec } S_{(f)}} \simeq \varphi_*(\mathcal{O}_{\text{Proj } S}|_{D_+(f)})$$

**Proposition 1.3.17** (morphisms between projective spectrum). Let  $S$  be a graded ring.

- (1) Let  $\varphi : S \rightarrow T$  be a graded homomorphism of graded rings (preserving degrees). Let  $U = \{\mathfrak{p} \in \text{Proj } T \mid \mathfrak{p} \not\supseteq \varphi(S_+)\}$ . Show that  $U$  is an open subset of  $\text{Proj } T$ , and show that  $\varphi$  determines a natural morphism  $f : U \rightarrow \text{Proj } S$ .

- (2) The morphism  $f$  can be an isomorphism even when  $\varphi$  is not. For example, suppose that  $\varphi_d : S_d \rightarrow T_d$  is an isomorphism for all  $d \geq d_0$ , where  $d_0$  is an integer. Then show that  $U = \text{Proj } T$  and the morphism  $f : \text{Proj } T \rightarrow \text{Proj } S$  is an isomorphism.
- (3) Let  $\varphi : S \rightarrow T$  be a surjective homomorphism of graded rings, preserving degrees. Then, the open set  $U$  of is equal to  $\text{Proj } T$ , and the morphism  $f : \text{Proj } T \rightarrow \text{Proj } S$  is a closed immersion.
- (4) If  $I \subseteq S$  is a homogeneous ideal, take  $T = S/I$  and let  $Y$  be the closed subscheme of  $X = \text{Proj } S$  defined by the closed immersion  $\text{Proj } S/I \rightarrow X$ . Show that different homogeneous ideals can give rise to the same closed subscheme. For example, let  $d_0$  be an integer, and let  $I' = \bigoplus_{d \geq d_0} I_d$ . Show that  $I$  and  $I'$  determine the same closed subscheme.

*Proof:* (1): Since graded homomorphism preserves order,  $\mathfrak{p} \in U \mapsto \varphi^{-1}(\mathfrak{p})$  is a well-define map from  $U$  to  $\text{Proj } S$ . Notice that

$$U = \bigcup_{g \in \varphi(S_+)} D_+(g),$$

$U$  is a open subset of  $\text{Proj } T$ . And the morphism of presheaves  $f^b$  is induced by the natural local ring homomorphism

$$\varphi_{\mathfrak{p}} : S_{(f(\mathfrak{p}))} \rightarrow T_{(\mathfrak{p})}$$

And it's easy to check  $f$  together with  $f^b$  forms a morphism of scheme  $(f, f^b) : U \rightarrow \text{Proj } S$ .

(2): For  $U = \text{Proj } T$ , assume  $\mathfrak{p} \supset \varphi(S_+)$  and  $\mathfrak{p} \not\supseteq T_+$ , there's  $a \in T_r$  with  $r \geq 1$  such that  $a \notin \mathfrak{p}$ . Consider the element  $a^k$  for  $k$  sufficiently large. Next step, we are going to show  $f : \text{Proj } T \rightarrow \text{Proj } S$  is an isomorphism.

Since,  $\varphi_d$  are isomorphic for all  $d \geq d_0$ ,

$$\{\{D_+(t_i)\} : t_i \in T_+, \deg t_i \geq d_0\}$$

be a open covering of  $\text{Proj } T$ . Put  $s_i = \varphi^{-1}(t_i)$ , we also have

$$\{\{D_+(s_i)\} : s_i \in S_+, \deg s_i \geq d_0\}$$

be a open covering of  $\text{Proj } S$

$f_i = f|_{D_+(t_i)} \rightarrow D_+(s_i)$  is a morphism of affine schemes (as  $D_+(t_i) \simeq \text{Spec } T_{(t_i)}$  and  $D_+(s_i) \simeq \text{Spec } S_{(s_i)}$ ) corresponding to the ring homomorphism  $\varphi_i : S_{(s_i)} \rightarrow T_{(t_i)}$  induced by  $\varphi$ . But  $\varphi_i$  is an isomorphism since  $s_i$  has degree at least  $d_0$ , and  $\varphi_d$  is an isomorphism for all  $d \geq d_0$ . Hence,  $f$  is surjective.

To show  $f$  is injective, take  $\mathfrak{p}_1, \mathfrak{p}_2 \in \text{Proj } T$  with  $f(\mathfrak{p}_1) = f(\mathfrak{p}_2)$ . We have  $\mathfrak{p}_1 \cap T_d = \mathfrak{p}_2 \cap T_d$  for all  $d \geq d_0$ . If  $t_r \in \mathfrak{p}_1 \cap T_r$ , take  $s \notin \mathfrak{p}_2$ , we have  $s^k t_r \in \mathfrak{p}_2$ . It implies  $t_r \in \mathfrak{p}_2 \cap T_r$ .

(3): Since  $\varphi : S \rightarrow T$  is surjective,  $f$  is injective and

$$\varphi_{\mathfrak{p}} : S_{(f(\mathfrak{p}))} \rightarrow T_{(\mathfrak{p})}$$

is surjective.

Then, it suffice to check  $f(\text{Proj} T)$  is a closed subset. Notice that  $\text{Ker} \varphi$  be a homogenous ideal and for all  $\mathfrak{p} \in \text{Proj}(T)$ , we have

$$f(\mathfrak{p}) \subset \text{Ker} \varphi$$

Hence,  $f(\text{Proj}(T)) \subset V(\text{Ker} \varphi)$ . On the other hand, Since  $\varphi$  is surjective,  $T \simeq S/\text{Ker} \varphi$  as graded ring. Hence, it's easy to show  $V(\text{Ker} \varphi) \subset f(\text{Proj}(T))$ .

(4): By (2).

**Example 1.3.18.** Let  $f_1, \dots, f_r \in k[X_0, \dots, X_n]$  be homogeneous polynomials and let  $X = \text{Proj } k[X_0, \dots, X_n]/(f_1, \dots, f_r)$ . For every field extension  $k \hookrightarrow K$  we have

$$X(K) = \{x = (x_0 : \dots : x_n) \in \mathbb{P}^n(K) : f_1(x) = \dots = f_r(x) = 0\}$$

**Definition 1.3.19** (Galois Actions). Assume  $K/k$  be a Galois extension and  $G = \text{Gal}(K/k)$ . Let  $X$  be a  $k$ -scheme, we obtain an action of  $G$  on  $X(K)$  by composition of the morphism  $x : \text{Spec } K \rightarrow X$  with  ${}^a\sigma : \text{Spec } K \rightarrow \text{Spec } K$  for  $\sigma \in G$ . Hence, by Proposition 1.3.14, the Galois group action on  $X(K)$  by  $\sigma \in G$  is actually a transform of  $k$ -algebra homeomorphism through composition

$$l \in \text{Hom}_k(\kappa(x), K) \mapsto \sigma \circ l \in \text{Hom}_k(\kappa(x), K).$$

Denote the  $K$ -points which is stable under a subgroup  $H$  of  $G$  by  $X(K)^H$ , we have

$$X(K)^H = X(K^H).$$

**Proposition 1.3.20.**  $k$  be a perfect field. Then  $\bar{k}/k$  is a Galois extension. Denote  $G = \text{Gal}(\bar{k}/k)$ . Let  $X$  be a  $k$ -scheme locally of finite type. There's a one-to-one correspondence between  $G$ -orbits of  $X(\bar{k})$  and closed point of  $X$ .

*Proof:* Since the point in  $X(\bar{k})$  is the pair  $(x, l)$ , where  $x \in X$  and  $l$  be a  $k$ -algebra homeomorphism from  $\kappa(x)$  to  $\bar{k}$ . By Proposition 1.3.40, for all  $(x, l) \in X(\bar{k})$ ,  $x$  is a closed point. Moreover,  $G$ -action doesn't change  $x$ , so  $(x, l) \mapsto x$  be a map from  $G$ -orbits of  $X(\bar{k})$  to closed point of  $X$ . By Algebra 1.4.15,  $(x, l) \mapsto x$  is surjective. By Numebr Theory Theorem 2.2.3,  $(x, l) \mapsto x$  is injective.

**Proposition 1.3.21.** A gluing datum of schemes consists of the following data:

- (1) an index set  $I$ ,
- (2) for all  $i \in I$  a scheme  $U_i$ ,
- (3) for all  $i, j \in I$  an open subset  $U_{ij} \subseteq U_i$  (we consider  $U_{ij}$  as open subscheme of  $U_i$ ),
- (4) for all  $i, j \in I$  an isomorphism  $\varphi_{ji} : U_{ij} \rightarrow U_{ji}$  of schemes, such that  $U_{ii} = U_i$  for all  $i \in I$  and the cocycle condition holds:  $\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}$  on  $U_{ij} \cap U_{ik}$ ,  $i, j, k \in I$ .

**Remark 1.3.22.** In the cocycle condition we implicitly assume that in particular  $\varphi_{ji}(U_{ij} \cap U_{ik}) \subseteq U_{jk}$ , such that the composition is meaningful.

For  $i = j = k$ , the cocycle condition implies that  $\varphi_{ii} = \text{id}_{U_i}$  and for  $i = k$  that  $\varphi_{ij}^{-1} = \varphi_{ji}$ .

Moreover,  $\varphi_{ji}$  is an isomorphism  $U_{ij} \cap U_{ik} \rightarrow U_{ji} \cap U_{jk}$ . This is because, consider the cocycle conditions  $\varphi_{kj} \circ \varphi_{ji} = \varphi_{ki}$  and  $\varphi_{ki} \circ \varphi_{ij} = \varphi_{kj}$ . We obtain two natural morphisms  $\varphi_{ji} : U_{ij} \cap U_{ik} \rightarrow U_{ji} \cap U_{jk}$  and  $\varphi_{ij} : U_{ji} \cap U_{jk} \rightarrow U_{ij} \cap U_{ik}$ . Then, the claim follows from the fact  $\varphi_{ji}^{-1} = \varphi_{ij}$ .

**Proposition 1.3.23.** Let  $\left((U_i)_{i \in I}, (U_{ij})_{i,j \in I}, (\varphi_{ij})_{i,j \in I}\right)$  be a gluing datum of schemes. Then there exists a scheme  $X$  together with morphisms  $\psi_i : U_i \rightarrow X$ , such that

- (1) for all  $i$  the map  $\psi_i$  is a isomorphism of  $U_i$  onto the open subscheme  $\psi_i(U_i)$  of  $X$ .

$$\begin{array}{ccc} & & X \\ & \nearrow & \uparrow \iota \\ U_i & \xrightarrow{\psi_i} & \psi_i(U_i) \end{array}$$

- (2)  $\psi_j \circ \varphi_{ji} = \psi_i$  on  $U_{ij}$  for all  $i, j$ ,
- (3)  $X = \bigcup_i \psi_i(U_i)$ ,
- (4)  $\psi_i(U_i) \cap \psi_j(U_j) = \psi_i(U_{ij}) = \psi_j(U_{ji})$  for all  $i, j \in I$ .

Furthermore,  $X$  together with the  $\psi_i$  is uniquely determined up to unique isomorphism.

*Proof:* Underlying topological space: To define the underlying topological space of  $X$ , we start with the disjoint union  $\coprod_{i \in I} U_i$  of the (underlying topological spaces of the)  $U_i$  and define an equivalence relation  $\sim$  on it as follows: points  $x_i \in U_i, x_j \in U_j, i, j \in I$ , are equivalent, if and only if  $x_i \in U_{ij}, x_j \in U_{ji}$  and  $x_j = \varphi_{ji}(x_i)$ . The cocycle condition implies that  $\sim$  is in fact an equivalence relation. As a set, define  $X$  to be the set of equivalence classes,

$$X := \coprod_{i \in I} U_i / \sim.$$

The natural maps  $\psi_i : U_i \rightarrow X$  are injective and we have  $\psi_i(U_{ij}) = \psi_i(U_i) \cap \psi_j(U_j)$  for all  $i, j \in I$ . We equip  $X$  with the quotient topology, i. e. with the finest topology such that all  $\psi_i$  are continuous. That means that a subset  $U \subseteq X$  is open if and only if for all  $i$  the preimage  $\psi_i^{-1}(U)$  is open in  $U_i$ . In particular, the  $\psi_i(U_i)$  and the  $\psi_i(U_{ij}) = \psi_i(U_i) \cap \psi_j(U_j)$  are open in  $X$ .

Structure sheaf: Define for  $W$  open in  $X$ ,

$$\mathcal{O}_X(W) = \left\{ (s_i)_{i \in I} : s_i \in \mathcal{O}_{U_i}(W \cap U_i), \varphi_{ji}(s_i|_{W \cap U_{ij}}) = s_j|_{W \cap U_{ji}} \right\}$$

where  $W \cap U_i$  is actually  $\psi_i^{-1}(W)$ .

morphism of sheaves  $\psi_i^b$ :

$$\psi_i^b : (\psi_i)_* \mathcal{O}_X \rightarrow \mathcal{O}_{U_i}, (s_i)_{i \in I} \mapsto s_i$$

**Example 1.3.24** (line with double origin). We denote the line with double origin by  $X$ . It is obtained by gluing  $\text{Spec} k[u]$  and  $\text{Spec} k[t]$  along the isomorphism  $D(u) \simeq \text{Spec}(k[u, 1/u]) \simeq \text{Spec}(k[t, 1/t]) = D(t)$ . Notice that  $(X, \mathcal{O}_X)$  is affine if the morphism  $(f, f^b) : (X, \mathcal{O}_X) \rightarrow \text{Spec}(\Gamma(X, \mathcal{O}_X))$  induced by  $\text{id} : \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(X, \mathcal{O}_X)$  is an isomorphism.

An element of  $\Gamma(X, \mathcal{O}_X)$  is the same as giving two polynomials  $\sum_n f_n u^n$  and  $\sum_m g_m t^m$  such that  $\sum_n f_n u^n = \sum_m g_m u^m$  in  $k[u, 1/u]$ . Note that this just means that  $f_n = g_n$  for all  $n$ . Hence  $\Gamma(X, \mathcal{O}_X)$  is isomorphic to  $k[u]$ . If  $X$  is affine, then we have isomorphisms

$$(X, \mathcal{O}_X) \xrightarrow{(f, f^b)} \text{Spec } \Gamma(X, \mathcal{O}_X) \rightarrow \text{Spec } k[u]$$

Now consider the vanishing set  $V(u)$  of  $X$  where  $V(f)$  for some  $f$  in global section consists of all those points  $x \in X$  such that  $f_x = 0$  modulo  $\mathfrak{m}_x$ . and  $u$  denotes the global section  $u = v$  of  $\Gamma(X, \mathcal{O}_X)$ .

Note that  $V(u)$  contains at least two points, the two origins of  $X$ . But  $V(u)$  in  $\text{Spec } k[u]$  consists of only one point. Hence line with double origin is not affine.

**Example 1.3.25** (projective space).  $R$  is a ring and  $S = R[X_0, \dots, X_n]$  be a graded ring. Consider the scheme  $\mathbb{P}_R^n = \text{Proj } S$ . For  $f = x_i, i = 1, \dots, n$ , we have

$$S_{(f)} = \{a/X_i^n \in R[X_0, \dots, X_n]_{X_i} : a \in R[X_0, \dots, X_n]_n\} = R \left[ \frac{X_0}{X_i}, \dots, \frac{X_{i-1}}{X_i}, \frac{X_{i+1}}{X_i}, \dots, \frac{X_n}{X_i} \right]$$

and for  $U_i = D_+(f)$ ,

$$(U_i, \mathcal{O}_{\mathbb{P}_R^n}|_{U_i}) = (D_+(f), \mathcal{O}|_{D_+(f)}) \simeq \text{Spec} R \left[ \frac{X_0}{X_i}, \dots, \frac{X_{i-1}}{X_i}, \frac{X_{i+1}}{X_i}, \dots, \frac{X_n}{X_i} \right]$$

We define a gluing datum with index set  $\{0, \dots, n\}$  as follows: For  $0 \leq i, j \leq n$  let  $U_{ij} = D_{U_i} \left( \frac{X_j}{X_i} \right) \subseteq U_i$  if  $i \neq j$ , and  $U_{ii} = U_i$ . Further, let  $\varphi_{ii} = \text{id}_{U_i}$  and for  $i \neq j$  let

$$\varphi_{ji} : U_{ij} \rightarrow U_{ji}$$

be the isomorphism defined by the equality

$$R \left[ \frac{X_0}{X_i}, \dots, \frac{\widehat{X_i}}{X_i}, \dots, \frac{X_n}{X_i} \right]_{\frac{X_j}{X_i}} \longleftarrow R \left[ \frac{X_0}{X_j}, \dots, \frac{\widehat{X_j}}{X_j}, \dots, \frac{X_n}{X_j} \right]_{\frac{X_i}{X_j}},$$

(as subrings of  $R[X_0, \dots, X_n, X_0^{-1}, \dots, X_n^{-1}]$ ) of the affine schemes  $U_{ij}$  and  $U_{ji}$ .

**Corollary 1.3.26.** If  $R = k$  be a ring, the global section of  $\mathbb{P}_k^n$  is  $k$ . Hence,  $\mathbb{P}_k^n$  is not affine.

**Example 1.3.27** (structure of  $\mathbb{P}_{\mathbb{R}}^1$ ). For  $U_x, U_y$ , there are  $\mathbb{R}$ -scheme isomorphisms

$$(U_x, \mathcal{O}_{\mathbb{P}_{\mathbb{R}}^1}|_{U_x}) \simeq \text{Spec } \mathbb{R}[y]$$

and

$$(U_y, \mathcal{O}_{\mathbb{P}_{\mathbb{R}}^1}|_{U_y}) \simeq \text{Spec } \mathbb{R}[x]$$

Hence,

$$\mathbb{P}_{\mathbb{R}}^1 = \{(x - ay) : a \in \mathbb{R}\} \cup \{(y - ax) : a \in \mathbb{R}\} \cup \{(ax^2 + bxy + cy^2) : b^2 - 4ac < 0\}$$



**Definition 1.3.28.** (1) A scheme is called connected, if the underlying topological space is connected.

(2) A scheme is called quasi-compact, if the underlying topological space is quasi-compact, i. e., if every open covering admits a finite subcovering.

(3) A scheme is called irreducible, if the underlying topological space is irreducible, i. e., if it is non-empty and not equal to the union of two proper closed subsets.

(4) A morphism  $f : X \rightarrow Y$  of schemes is called injective, surjective or bijective, respectively, if the continuous map  $X \rightarrow Y$  of the underlying topological spaces has this property.

(5)  $f$  is called open, closed, or a homeomorphism, respectively, if the underlying continuous map has this property.

(6)  $f$  is called dominant if  $f(X)$  is a dense subspace of  $Y$ .

(7) A scheme  $X$  is called locally noetherian, if  $X$  admits an affine open cover  $X = \bigcup U_i$ , such that all the affine coordinate rings  $\Gamma(U_i, \mathcal{O}_X)$  are noetherian. If in addition  $X$  is quasi-compact,  $X$  is called noetherian.

(8) A scheme  $X$  is called reduced, if all local rings  $\mathcal{O}_{X,x}, x \in X$ , are reduced rings.

(9) An integral scheme is a scheme which is reduced and irreducible.

**Proposition 1.3.29.** Let  $X = \text{Spec } A$  be an affine scheme. Then  $X$  is noetherian if and only if  $A$  is a noetherian ring.

*Proof:* By Nike's Trick,  $\text{Spec } A$  can be covered by affine open subschemes of the form  $D(f_i), f_i \in A, i = 1, \dots, n$ , such that all  $A_{f_i}$  are noetherian rings.

If  $I$  is an ideal of  $A$ ,  $I_{f_i} = IA_{f_i}$  is finitely generated ideal in  $A_{f_i}$ . By Algebra 2.4.29,  $I$  is finitely generated ideal in  $A$ .

**Proposition 1.3.30.**  $X$  is any noetherian scheme, the underlying topological space of  $X$  is noetherian

*Proof:* Since spectrum of a noetherian ring is a noetherian topological space. Then this proposition follows from the fact that a topological space covered by finite many noetherian subspace is noetherian.

**Proposition 1.3.31.** Let  $X$  be a (locally) noetherian scheme and  $U \subseteq X$  an open subscheme. Then  $U$  is (locally) noetherian.

*Proof:* In a noetherian topological space, every open subset is quasi-compact.

**Proposition 1.3.32.** Let  $X$  be a scheme. The mapping

$$\begin{aligned} X &\longrightarrow \{Z \subseteq X; Z \text{ closed, irreducible} \} \\ x &\longmapsto \overline{\{x\}} \end{aligned}$$

is a bijection, i. e. every irreducible closed subset contains a unique generic point.

*Proof:* Step 1: If  $Z$  is a closed irreducible subset of  $X$  and  $U$  is an affine open subset of  $X$ ,  $Z \cap U$  is irreducible. This is because, for  $W_1, W_2$  be open subsets of  $X$  and  $Y_j = W_j \cap Z \cap U_i \neq \emptyset, j = 1, 2$ , since  $Z$  is irreducible, the intersection of  $Y_1$  and  $Y_2$  is non-empty. Hence,  $Z \cap U_i$  is irreducible.

Step 2: Since  $Z$  is closed,  $\overline{Z \cap U} \subset Z$ . Since  $Z$  is irreducible,  $Z \cap U$  is a dense subset of  $Z$ . Then  $\overline{Z \cap U} \cap Z = Z$ .

Step 3: Since  $Z \cap U$  is a irreducible closed subset of  $U$ , there's  $x \in Z \cap U$  such that  $\overline{\{x\}} \supset \overline{\{x\}} \cap U = Z \cap U$ . Hence,  $\{x\} \supset \overline{Z \cap U} = Z$ .

Step 4: To show the uniqueness of  $x$ , consider  $x, y \in X$  such that  $\overline{\{x\}} = \overline{\{y\}} = Z$  and  $U$  be an open affine subset of  $X$  with  $U \cap Z \neq \emptyset$ . Since there's  $z \in \overline{\{x\}} \cap U$ , we have  $x \in U$ . Similarly,  $y \in U$ . Then,  $\overline{\{x\}} \cap U = \overline{\{y\}} \cap U = Z \cap U$ , by the uniqueness of affine case,  $x = y$ .

**Proposition 1.3.33.** Let  $X$  be a scheme, let  $f \in \Gamma(X, \mathcal{O}_X)$ , and define  $X_f$  to be the subset of points  $x \in X$  such that the stalk  $f_x$  of  $f$  at  $x$  is not contained in the maximal ideal  $\mathfrak{m}_x$  of the local ring  $\mathcal{O}_x$ .

If  $U = \text{Spec } B$  is an open affine subscheme of  $X$ , and if  $\bar{f} \in B = \Gamma(U, \mathcal{O}_X|_U)$  is the restriction of  $f$ , show that  $U \cap X_f = D(\bar{f})$ . Conclude that  $X_f$  is an open subset of  $X$ .

*Proof:* For  $\mathfrak{p} \in \text{Spec}(B)$ ,  $\mathfrak{p} \in D(\bar{f})$  iff  $\bar{f} \notin \mathfrak{p}$  iff  $\bar{f}$  viewed as an element in  $A_{\mathfrak{p}}$  does not lie in  $\mathfrak{p}A_{\mathfrak{p}}$ .

**Proposition 1.3.34.** (1) A scheme  $X$  is reduced if and only if for every open subset  $U \subseteq X$  the ring  $\Gamma(U, \mathcal{O}_X)$  is reduced.

(2) A non-empty scheme  $X$  is integral if and only if for every open subset  $\emptyset \neq U \subseteq X$  the ring  $\Gamma(U, \mathcal{O}_X)$  is an integral domain.

(3) If  $X$  is an integral scheme, then for all  $x \in X$  the local ring  $\mathcal{O}_{X,x}$  is an integral domain.

(4) An affine scheme  $X = \text{Spec } A$  is integral if and only if  $A$  is a domain.

(5) Let  $X$  be an integral scheme, and let  $\eta \in X$  be its generic point. Then the local ring  $\mathcal{O}_{X,\eta}$  is a field.

*Proof:* (1): Trivial.

(2): Let  $X$  be integral. Because all open subschemes of  $X$  are integral, too, it is enough to show that  $\Gamma(X, \mathcal{O}_X)$  is a domain. Take  $f, g \in \Gamma(X, \mathcal{O}_X)$  such that  $fg = 0$ . Then  $\emptyset = X_f \cap X_g$  since  $f_x g_x \in \mathfrak{m}_x$  for all  $x \in X$ . By the irreducibility we get  $X_f = \emptyset$  or  $X_g = \emptyset$ . Assume  $X_f = \emptyset$ . We want to show that  $f$  must then be 0. We can check this locally on  $X$ , so we may assume that  $X$  is affine. Then  $f$  lies in the intersection of all prime ideals, i. e. in the nil-radical of the affine coordinate ring of  $X$ . Since  $X$  is reduced, by (1) the nil-radical is the zero ideal.

If conversely all  $\Gamma(U, \mathcal{O}_X)$  are integral domains, then by (1)  $X$  is reduced. If there existed non-empty affine open subsets  $U_1, U_2 \subseteq X$  with empty intersection, then the sheaf axioms imply that

$$\Gamma(U_1 \cup U_2, \mathcal{O}_X) = \Gamma(U_1, \mathcal{O}_X) \times \Gamma(U_2, \mathcal{O}_X)$$

But the product on the right hand side obviously contains zero divisors.

(3): Trivial.

(4):  $A$  is integral domain, then it has a unique minimal prime ideal. Hence,  $\text{Spec}(A)$  is irreducible. Since  $A_{\mathfrak{p}}$  is a subring of  $\text{Frac}(A)$ ,  $\text{Spec}(A)$  is reduced scheme. Hence,  $\text{Spec}(A)$  is an integral scheme. By (2), if  $\text{Spec}(A)$  is an integer scheme,  $A$  is an integral domain.

(5): If  $\eta$  is a generic point of  $X$ , for all affine open subscheme  $U$  such that  $\eta \in U$ ,  $\eta$  is a generic point of  $U = \text{Spec}(A)$ . That is,  $\eta$  corresponds to  $(0)$  in  $A$ . Then,  $\mathcal{O}_{X,\eta} \simeq A_{(0)} = \text{Frac}(A)$  is a field.

**Definition 1.3.35.** Let  $X$  be an integral scheme, and let  $\eta \in X$  be its generic point. Then the local ring  $\mathcal{O}_{X,\eta}$  is a field, which is called the function field of  $X$  and denoted by  $K(X)$ .

**Proposition 1.3.36.**  $X$  be an integral scheme with generic point  $\eta$ .

(1) Let  $U \subseteq V \subseteq X$  be non-empty open subsets. Then the maps

$$\Gamma(V, \mathcal{O}_X) \xrightarrow{\text{res}_U^V} \Gamma(U, \mathcal{O}_X) \xrightarrow{f \mapsto f_\eta} K(X)$$

(2) For all  $x \in X$ , there's a canonical injective map  $\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{X,\eta}$  given by  $[s] \mapsto [s]$  and under this map,  $\text{Frac}(\mathcal{O}_{X,x}) = \mathcal{O}_{X,\eta}$ .

(3) For every non-empty open subset  $U \subseteq X$  and for every covering  $U = \bigcup_i U_i$  by non-empty open subsets  $U_i$  we have

$$\Gamma(U, \mathcal{O}_X) = \bigcap_i \Gamma(U_i, \mathcal{O}_X) = \bigcap_{x \in U} \mathcal{O}_{X,x},$$

where the intersection takes place in  $K(X)$ .

*Proof:* (1): It suffice to show the map  $f \mapsto f_\eta$  is injective. Since  $f_\eta = 0$  is equivalent to  $f|_W = 0$  for all  $W$  open affine subscheme of  $U$ , we may assume  $U$  is an affine open subscheme. Consider the following commutative diagram

$$\begin{array}{ccccc} \mathcal{O}_{X,\eta} & \xrightarrow{\cong} & \mathcal{O}_{\text{Spec}A,(0)} & \xrightarrow{\cong} & \text{Frac}(A) \\ \uparrow & & \uparrow & & \uparrow \\ \mathcal{O}_X(U) & \xrightarrow{\cong} & \mathcal{O}_{\text{Spec}A}(\text{Spec}A) & \xrightarrow{\cong} & A \end{array}$$

Since  $A \rightarrow \text{Frac}(A)$  is injective, we have  $f = 0$ .

(2): By (1) and the following diagram

$$\begin{array}{ccccc} \mathcal{O}_{X,\eta} & \xrightarrow{\cong} & \mathcal{O}_{\text{Spec}A,(0)} & \xrightarrow{\cong} & \text{Frac}(A) \\ \uparrow & & \uparrow & & \uparrow \\ \mathcal{O}_{X,x} & \xrightarrow{\cong} & \mathcal{O}_{\text{Spec}A,\mathfrak{p}} & \xrightarrow{\cong} & A_{\mathfrak{p}} \end{array}$$

(3): Consider the following commutative diagram

$$\begin{array}{ccccc} \Gamma(U, \mathcal{O}_X) & \longrightarrow & \Gamma(U_i, \mathcal{O}_X) & \longrightarrow & \mathcal{O}_{X,\eta} \\ & & \searrow & & \uparrow \\ & & & & \Gamma(U_i \cap U_j, \mathcal{O}_X) \end{array}$$

and notice that  $\mathcal{O}_X$  is a sheaf.

Notice we define locally finite type and finite type  $k$ -scheme. The morphisms below are all in the category  $(\text{Sch}/k)$ .

**Definition 1.3.37.** Let  $k$  be a field, and let  $X \rightarrow \text{Spec } k$  be a  $k$ -scheme. We call  $X$  a  $k$ -scheme locally of finite type or say that  $X$  is locally of finite type over  $k$ , if there is an affine open cover  $X = \bigcup_{i \in I} U_i$  such that for all  $i$ , there's a  $k$ -algebra  $A_i$  such that

$$(U_i, \mathcal{O}_X|_{U_i}) \simeq (\text{Spec}(A_i), \mathcal{O}_{\text{Spec}(A_i)})$$

as  $k$ -scheme. We say that  $X$  is of finite type over  $k$  if  $X$  is locally of finite type and quasi-compact.

**Proposition 1.3.38.** Every (locally) finite type  $k$ -scheme is (locally) noetherian.

**Proposition 1.3.39.** Let  $X$  be a  $k$ -scheme locally of finite type and let  $U \subseteq X$  be an open affine subset. Then the  $k$ -algebra  $\Gamma(U, \mathcal{O}_X)$  is a finitely generated  $k$ -algebra.

*Proof:* Let  $B = \Gamma(U, \mathcal{O}_X)$ . Since the localization of a finitely generated  $k$ -algebra with respect to a single element is again finitely generated, we see, by Nike's Trick, that we can cover  $U$  by finitely many (since spectrum of a ring is compact) principal open subsets  $D(f_i)$ ,  $f_1, \dots, f_n \in B$ , such that all localizations  $B_{f_i}$  are finitely generated  $k$ -algebras. The claim now follows from Algebra Proposition 2.4.30

**Proposition 1.3.40.** Let  $k$  be a field, let  $X$  be a  $k$ -scheme locally of finite type, and let  $x \in X$ . Then the following assertions are equivalent.

- (1) The point  $x \in X$  is closed.
- (2) The field extension  $k \hookrightarrow \kappa(x)$  is finite.
- (3) The field extension  $k \hookrightarrow \kappa(x)$  is algebraic.

*Proof:* (1) implies (2): Take  $U$  with  $x \in U$  and there's  $k$ -scheme

$$(U, \mathcal{O}_X|_U) \simeq (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$$

where  $A$  be a finitely generated  $k$ -algebra and  $x$  corresponds to a maximal ideal  $\mathfrak{m}$  of  $A$ . Consider the follow commutative diagram

$$\begin{array}{ccc}
 \kappa(x) & \xrightarrow{\simeq} & A/\mathfrak{m} \\
 \uparrow & & \uparrow \\
 \mathcal{O}_{X,x} & \xrightarrow{\simeq} & A_{\mathfrak{m}} \\
 \uparrow & & \uparrow \\
 \Gamma(U, \mathcal{O}_X) & \xrightarrow{\simeq} & A \\
 & \nwarrow \quad \nearrow & \\
 & k &
 \end{array}$$

Since  $A/\mathfrak{m}$  is a field and finite generated  $k$ -algebra, by Algebra 2.8.2,  $\kappa(x)$  is a finite extension of  $k$ .

(3) implies (1): Again take  $U$  with  $x \in U$  and there's  $k$ -scheme

$$(U, \mathcal{O}_X|_U) \simeq (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$$

where  $A$  be a finitely generated  $k$ -algebra and  $x$  corresponds to a prime ideal  $\mathfrak{p}$  of  $A$ .

$$\begin{array}{ccccc}
 \kappa(x) & \xrightarrow{\simeq} & A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}} & \xrightarrow{\simeq} & \text{Frac}A/\mathfrak{p} \\
 \uparrow & & \uparrow & & \uparrow \\
 \mathcal{O}_{X,x} & \xrightarrow{\simeq} & A_{\mathfrak{p}} & & A/\mathfrak{p} \\
 \uparrow & & \uparrow & \nearrow & \\
 \Gamma(U, \mathcal{O}_X) & \xrightarrow{\simeq} & A & & \\
 & \nwarrow \quad \nearrow & & & \\
 & k & & &
 \end{array}$$

Since  $\kappa(x)$  is algebraic over  $k$ ,  $A/\mathfrak{p}$  is integral over  $k$ . Hence  $\mathfrak{p}$  is a closed point in  $U$ . Consider all such  $U$ , we have  $x$  is closed in  $X$ .

**Corollary 1.3.41.** Let  $k$  be algebraically closed and let  $X$  be a  $k$ -scheme locally of finite type. Then

$$\{x \in X; x \text{ closed}\} = \{x \in X; k = \kappa(x)\} = \text{Hom}_k(\text{Spec } k, X),$$

*Proof:* Field extension  $k \rightarrow \kappa(x)$  is an isomorphism if and only if there's  $k$ -algebra homomorphism  $\kappa(x) \rightarrow k$ . And if there's  $k$ -algebra homomorphism  $\kappa(x) \rightarrow k$ , it is obviously unique.

**Example 1.3.42.**  $\mathbb{P}_k^n$  is an integral, finite type scheme over  $k$ .

*Proof:* reduced:  $\mathbb{P}_k^n$  is reduced since for all  $x \in \mathbb{P}_k^n$ , we may find  $i \in \{0, \dots, n\}$  such that  $x \in U_i = D_+(x_i)$ . Then  $\mathcal{O}_{\mathbb{P}_k^n, x}$  is a localization of a polynomial ring at a prime ideal, hence reduced.

irreducible:  $D_+(f) \cap D_+(g) = D_+(fg)$  and notice that for all  $h \in k[x_0, \dots, x_n]_+$ ,  $D_+(h)$  is non-empty.

locally finite type: trivial

quasi-compact:  $\mathbb{P}_k^n$  is a finite union of compact open subset  $U_i$ .

**Example 1.3.43.** For  $X = \operatorname{Spec}(\mathbb{Q}[x, y]/(x^n + y^n - 1))$  be a  $\mathbb{Q}$ -scheme, then

$$X(\mathbb{R}) = \operatorname{Hom}_{\operatorname{Spec}(\mathbb{Q})}(X, \operatorname{Spec}(\mathbb{R})) = \operatorname{Hom}_{\mathbb{Q}}(\mathbb{Q}[x, y]/(x^n + y^n - 1), \mathbb{R}) = \{(x, y) \in \mathbb{R}^2 : x^n + y^n = 1\}$$

and

$$X(\mathbb{Q}) = \operatorname{Hom}_{\operatorname{Spec}(\mathbb{Q})}(X, \operatorname{Spec}(\mathbb{Q})) = \operatorname{Hom}_{\mathbb{Q}}(\mathbb{Q}[x, y]/(x^n + y^n - 1), \mathbb{Q}) = \{(x, y) \in \mathbb{Q}^2 : x^n + y^n = 1\}$$

Moreover, since closed point corresponds to the maximal ideal of  $\mathbb{Q}[x, y]/(x^n + y^n - 1)$  and  $X(\mathbb{Q})$  be those maximal ideals  $\mathfrak{m}$  of  $\mathbb{Q}[x, y]$  which contain  $x^n + y^n - 1$  and have a  $\mathbb{Q}$ -algebra isomorphism  $\mathbb{Q}[x, y]/\mathfrak{m} \rightarrow \mathbb{Q}$ . Therefore,  $\mathfrak{m}$  is of the form  $(x - x_0, y - y_0)$  where  $(x_0, y_0)$  be a solution of  $x^n + y^n = 1$ .

## 1.4 Immersions

**Definition 1.4.1.** A morphism  $j : Y \rightarrow X$  of schemes is called an open immersion, if the underlying continuous map is a homeomorphism of  $Y$  with an open subset  $U$  of  $X$ , and the sheaf homomorphism  $\mathcal{O}_X \rightarrow j_*\mathcal{O}_Y$  induces an isomorphism  $\mathcal{O}_{X|U} \cong (j_*\mathcal{O}_Y)|_U$  (of sheaves on  $U$ ).

**Remark 1.4.2.** There's a natural one-to-one correspondence between open immersion and open subscheme.

**Definition 1.4.3.** Given a scheme  $(X, \mathcal{O}_X)$ , we call a subsheaf  $\mathcal{J} \subseteq \mathcal{O}_X$  a sheaf of ideals, if for every open subset  $U \subseteq X$  the sections  $\Gamma(U, \mathcal{J})$  are an ideal in  $\Gamma(U, \mathcal{O}_X)$ . The quotient sheaf  $\mathcal{O}_X/\mathcal{J}$  is defined as the sheafification of the presheaf  $U \mapsto \mathcal{O}_X(U)/\mathcal{J}(U)$ . It is a sheaf of rings. The canonical projection  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$  is surjective.

**Definition 1.4.4.** Let  $X$  be a scheme.

(1) A closed subscheme of  $X$  is given by a closed subset  $Z \subseteq X$  with inclusion map  $i : Z \rightarrow X$  and an ideal sheaf  $\mathcal{J} \subseteq \mathcal{O}_X$  such that  $Z = \{x \in X : (\mathcal{O}_X/\mathcal{J})_x \neq 0\}$  and  $(Z, i^{-1}\mathcal{O}_X/\mathcal{J})$  is a scheme.

(2) A morphism  $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  of schemes is called a closed immersion, if the underlying continuous map is a homeomorphism between  $Z$  and a closed subset of  $X$ , and the sheaf homomorphism  $i^b : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$  is surjective.

**Proposition 1.4.5.**  $X$  be a scheme and  $Z$  be a closed subscheme associated to ideal sheaf  $\mathcal{J}$ . Then, the morphism of ringed space  $(Z, i^{-1}\mathcal{O}_X/\mathcal{J}) \rightarrow (X, \mathcal{O}_X)$  induced by the natural projection  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$  and the isomorphism  $\mathcal{O}_X/\mathcal{J} \rightarrow i_*i^{-1}\mathcal{O}_X/\mathcal{J}$  is a morphism of locally ringed space and closed immersion.

*Proof:* Step 1: The stalk of the morphism of sheaves  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J}$  is a local ring homomorphism.

It's clear for the case when  $x \notin Z$ , since  $(\mathcal{O}_X/\mathcal{J})_x = 0$ . For  $x \in Z$ , since the stalk of the presheaf  $U \mapsto \mathcal{O}_X(U)/\mathcal{J}(U)$  at  $x$  is  $\mathcal{O}_{X,x}/\mathcal{J}_x$  where  $\mathcal{J}_x \neq \mathcal{O}_{X,x}$ . And notice that the projection  $\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{X,x}/\mathcal{J}_x$  is a local ring homomorphism.

Step 2:  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{I}$  is surjective.

By taking stalks, it suffice to show  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(U)/\mathcal{I}(U)$  is surjective for all  $U$  open in  $X$ .

**Proposition 1.4.6.** If  $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  is a closed immersion, consider the kernel of the morphism of sheaves  $\varphi : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$ . It's clear that  $\text{Ker}\varphi$  is an ideal sheaf. By Proposition 1.1.9, the natural morphism

$$\mathcal{O}_X/\text{Ker}\varphi \rightarrow i_*\mathcal{O}_Z$$

is an isomorphism of sheaves.

Moreover, since  $(Z, \mathcal{O}_Z)$  is a scheme and  $Z$  is closed in  $X$ ,  $\text{Supp}(i_*\mathcal{O}_Z) = Z$ . Hence the support of  $\mathcal{O}_X/\text{Ker}\varphi$  is  $Z$ . Then by Proposition 1.1.22, a closed immersion induces a closed subscheme.

**Theorem 1.4.7** (closed subscheme of affine scheme). Let  $X = \text{Spec } A$  be an affine scheme.  $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  be a closed immersion. Then the global section map

$$\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$$

induces a commutative diagram of scheme:

$$\begin{array}{ccc} \text{Spec } A & \xleftarrow{i} & Z \\ \uparrow \pi & & \swarrow \psi \\ \text{Spec } A/\ker\varphi & & \end{array}$$

Then  $\psi$  is an isomorphism of scheme.

*Proof:* Since  $i$  is an closed immersion,  $\psi$  is closed and injective. Hence,  $\psi$  is also a closed immersion. To prove  $\psi$  is surjective, it suffices to show the following lemma:

**Lemma 1.4.8.** Let  $X = \text{Spec } A$  be an affine scheme.  $i : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  be a closed immersion such that the induced map on global section  $\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$  is injective. Then,  $i$  is surjective.

*Proof of the lemma:* Assume  $X - Z$  is non-empty. Let  $s \in A$  with  $\emptyset \neq D(s) = X_s \subset X - Z$ . Then  $Z \subset X - X_s$ . Hence,  $Z \subset Z \cap (X - X_s)$ . For all  $x \in Z$ , we have the following commutative diagram

$$\begin{array}{ccc} \Gamma(Z, \mathcal{O}_Z) & \xleftarrow{\quad} & A \\ \updownarrow & & \downarrow \\ \mathcal{O}_{Z,x} = i_*(\mathcal{O}_Z)_x & \xleftarrow{\quad} & \mathcal{O}_{X,x} \end{array}$$

Hence,  $Z \subset Z \cap (X - X_s) \subset Z - Z_{\varphi(s)}$ . If  $U \subseteq Z$  is open, such that  $(U, \mathcal{O}_{Z|U}) \simeq \text{Spec}(B)$  is affine. By Proposition 1.3.33,  $U \subset \text{Spec}(B) - D(\varphi(s)|_U)$ . Hence,  $\varphi(s)|_U$  is nilpotent. Moreover, since  $Z$  can be covered by finite many affine open subscheme, there's some sufficiently large  $N$  such that  $\varphi(s)^N$  is nilpotent. Hence,  $s^N = 0$ . It contradicts to  $\emptyset \neq X_s$ .  $\square$

To show that  $\psi$  is an isomorphism of scheme. We still need the following lemma

**Lemma 1.4.9.** Let  $X = \operatorname{Spec} A$  be an affine scheme.  $(i, i^b) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  be a closed immersion such that the induced map on global section  $\varphi : A \rightarrow \Gamma(Z, \mathcal{O}_Z)$  is injective. Then,  $i^b$  is injective.

*Proof of the lemma:* For  $x \in X$ ,  $\mathcal{O}_{X,x} = A_{\mathfrak{p}_x}$ , and we see that it is enough to show that every element of  $\operatorname{Ker}(\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{Z,x})$  of the form  $g/1$  is 0 in  $\mathcal{O}_{X,x}$ . Given  $g$ , we cover  $Z = U \cup \bigcup_{i \in I} U_i$  by finitely many open subsets  $U, U_i$ , such that: (1) The schemes  $(U, \mathcal{O}_{Z|U})$  and  $(U_i, \mathcal{O}_{Z|U_i})$  for all  $i$  are affine. (2) We have  $x \in U$  and  $\varphi(g)|_U = 0$ .

Choose  $s \in A$  with  $x \in D(s) \subseteq U$ . If we can show that  $\varphi(s^N g) = 0$  for some  $N$ , then  $s^N g = 0$  because  $\varphi$  is injective, and it follows that  $g/1 = 0$  in  $\mathcal{O}_{X,x}$ , as desired, since  $s$  is a unit in  $\mathcal{O}_{X,x}$ . Since  $\varphi(g)|_U = 0$  by assumption, we have  $\varphi(sg)|_U = 0$ . Now  $I$  is finite, so we can search a suitable  $N$  for each  $U_i$  separately. Because

$$D_{U_i}(\varphi(s)|_{U_i}) = Z_{\varphi(s)} \cap U_i \subset D(s) \cap U_i$$

, we obtain  $\varphi(g)|_{D_{U_i}(\varphi(s)|_{U_i})} = 0$ . In other words, the image of  $\varphi(g)$  in the localization  $\Gamma(U_i, \mathcal{O}_Z)_{\varphi(s)|_{U_i}}$  is 0.  $\square$

**Definition 1.4.10** (immersion). (1) Let  $X$  be a scheme. A subscheme of  $X$  is a scheme  $(Y, \mathcal{O}_Y)$ , such that  $Y \subseteq X$  is a locally closed subset, and such that  $Y$  is a closed subscheme of the open subscheme  $U \subseteq X$ , where  $U$  is the largest open subset of  $X$  which contains  $Y$  and in which  $Y$  is closed. We then have a natural morphism of schemes  $Y \rightarrow X$ .

(2) An immersion  $i : Y \rightarrow X$  is a morphism of schemes whose underlying continuous map is a homeomorphism of  $Y$  onto a locally closed subset of  $X$ , and such that for all  $y \in Y$  the ring homomorphism  $i_y^\# : \mathcal{O}_{X,i(y)} \rightarrow \mathcal{O}_{Y,y}$  between the local rings is surjective.

It's easy to check there's one-to-one correspondence between immersion and open subscheme.

**Definition 1.4.11.** Let  $k$  be a field.

(1) A  $k$ -scheme  $X$  is called projective, if there exist  $n \geq 0$  and a closed immersion  $X \hookrightarrow \mathbb{P}_k^n$ .

(2) A  $k$ -scheme  $X$  is called quasi-projective, if there exist  $n \geq 0$  and an immersion  $X \hookrightarrow \mathbb{P}_k^n$ .

**Proposition 1.4.12.** Let  $\mathbf{P}$  be the property of a morphism of schemes being an "open immersion" (resp. a "closed immersion", resp. an "immersion").

(1) The property  $\mathbf{P}$  is local on the target, i.e.: If  $f : Z \rightarrow X$  is a morphism of schemes, and  $X = \bigcup_i U_i$  is an open covering, then  $f$  has  $\mathbf{P}$  if and only if for all  $i$  the restriction  $f^{-1}(U_i) \rightarrow U_i$  of  $f$  satisfies  $\mathbf{P}$ .

(2) The composition of two morphisms having property  $\mathbf{P}$  has again property  $\mathbf{P}$ .

**Proposition 1.4.13.** Every affine  $k$ -scheme  $X$  of finite type is quasi-projective: Indeed, let  $X = \operatorname{Spec} A$ , where  $A \cong k[T_1, \dots, T_n]/\mathfrak{a}$ . Therefore there exists a closed immersion  $i : X \rightarrow \mathbb{A}_k^n$ . Moreover, projective space  $\mathbb{P}_k^n$  is covered by open subschemes which are isomorphic to  $\mathbb{A}_k^n$ . Hence, the composition  $j \circ i$  is then an immersion  $X \rightarrow \mathbb{P}_k^n$ .



**Example 1.4.14.** Consider  $X = \text{Proj } \mathbb{C}[x, y, z]/(zy^2 - (4x^3 - a_2xz^2 - a_3z^3))$  with  $a_2^3 - 27a_2^2 \neq 0$  as a  $\mathbb{C}$ -scheme. Firstly, the natural morphism  $X \rightarrow \mathbb{P}_{\mathbb{C}}^2$  is a closed immersion. Moreover, consider the  $\mathbb{C}$ -points  $X(\mathbb{C})$  of  $X$ . We have

$$X(\mathbb{C}) = \{\infty\} \cup \{(x, y) \in \mathbb{C}^2 : y^2 = 4x^3 - a_2x - a_3\}$$

where  $\infty$  represents the point  $(x, z)$  in  $\text{Proj } \mathbb{C}[x, y, z]/(zy^2 - (4x^3 - a_2xz^2 - a_3z^3))$ .

Now we show that  $X$  is an integral, projective,  $\mathbb{C}$ -finite type scheme.

irreducible: show that  $D_+(z) \cap D_+(f) \neq \emptyset$  for all  $D_+(f) \neq \emptyset$ .

reduced: It suffice to show

$$\text{Spec}(\mathbb{C}[x, y]/(y^2 - (4x^3 - a_2x - a_3)))$$

is integral and

$$\text{Spec}(\mathbb{C}[x, z]/(z - (4x^3 - a_2xz^2 - a_3z^3)))$$

is integral.

$\mathbb{C}$ -finite type: trivial.

**Definition 1.4.15** (reduced subscheme of a scheme).

## 1.5 Fibered Products

**Proposition 1.5.1.** Let  $S$  be a scheme and let  $X$  and  $Y$  be two  $S$ -schemes. Then the fiber product  $X \times_S Y$  exists in the category of schemes.

**Proposition 1.5.2.** Let  $f : X \rightarrow S$  and  $g : Y \rightarrow S$  be morphisms of schemes with the same target. Let  $(X \times_S Y, p, q)$  be the fibre product. Suppose that  $U \subset S, V \subset X, W \subset Y$  are open subschemes such that  $f(V) \subset U$  and  $g(W) \subset U$ . Then the canonical morphism  $V \times_U W \rightarrow X \times_S Y$  is an open immersion which identifies  $V \times_U W$  with  $p^{-1}(V) \cap q^{-1}(W)$ .

**Corollary 1.5.3.** Let  $k$  be a field and let  $X$  and  $Y$  be  $k$ -schemes (locally) of finite type. Then  $X \times_k Y$  is (locally) of finite type over  $k$ .

**Example 1.5.4.** Let  $A \leftarrow R \rightarrow B$  be homomorphisms of rings, let  $S = \text{Spec}(R)$ ,  $X = \text{Spec}(A)$ , and  $Y = \text{Spec}(B)$ . Set  $Z = \text{Spec}(A \otimes_R B)$  and let  $p : Z \rightarrow X$  and  $q : Z \rightarrow Y$  be the morphisms of schemes corresponding to the ring homomorphisms

$$\begin{aligned} \alpha : A &\rightarrow A \otimes_R B, & a &\mapsto a \otimes 1 \\ \beta : B &\rightarrow A \otimes_R B, & b &\mapsto 1 \otimes b \end{aligned}$$

Then  $(Z, p, q)$  is a fiber product of  $X$  and  $Y$  over  $S$  in the category of schemes.

**Example 1.5.5.** Let  $R$  be a ring, and  $\mathbb{A}_R^n = \text{Spec}(R[T_1, \dots, T_n])$  be the affine space over  $R$ . For integers  $n, m \geq 0$  one has  $R[T_1, \dots, T_n] \otimes_R R[T_{n+1}, \dots, T_{n+m}] \cong R[T_1, \dots, T_{n+m}]$  and therefore the description of fiber products for affine schemes that

$$\mathbb{A}_R^n \times_R \mathbb{A}_R^m \cong \mathbb{A}_R^{n+m}$$

**Definition 1.5.6** (Relative Frobenius). Let  $p$  be a prime number and let  $S$  be a scheme over  $\mathbb{F}_p$ . We denote by  $\text{Frob}_S : S \rightarrow S$  the absolute Frobenius of  $S$  :  $\text{Frob}_S$  is the identity on the underlying topological spaces and  $\text{Frob}_S^b$  is the map  $x \mapsto x^p$  on  $\Gamma(U, \mathcal{O}_S)$  for all open subsets  $U$  of  $S$ .

Now let  $f : X \rightarrow S$  be an  $S$ -scheme. Note that  $\text{Frob}_X$  is in general not an  $S$ -morphism. Instead of the absolute Frobenius we therefore introduce a relative variant.

$$\begin{array}{ccccc}
 X & & & & \\
 \swarrow \scriptstyle f & \searrow \scriptstyle \text{Frob}_X & & \searrow \scriptstyle f & \\
 & X^{(p)} & \xrightarrow{\quad} & X & \\
 & \downarrow & & \downarrow & \\
 & S & \xrightarrow{\quad \text{Frob}_S \quad} & S & 
 \end{array}$$

(A dashed blue arrow labeled  $F_{X/S}$  points from  $X$  to  $X^{(p)}$ .)

Let  $X^{(p)}$  be the fiber product of  $S \xrightarrow{\text{Frob}_S} S$  and  $X \rightarrow S$ , then  $F_{X/S}$  is called relative Frobenius of  $X$  over  $S$ .

**Example 1.5.7.** Let  $\mathbb{F}_q = \mathbb{F}_{p^n}$  be a finite field over  $\mathbb{F}_p$ . If  $f = \sum a_\alpha x^\alpha \in \mathbb{F}_q[x_1, \dots, x_n]$ , define  $f^{(p)} = \sum a_\alpha^p x^\alpha$ . Assume  $X$  is a scheme, consider the following commutative diagram

$$\begin{array}{ccccc}
 \Gamma(X, \mathcal{O}_X) & \xleftarrow{\quad f \quad} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j) & \xleftarrow{\quad f^{(p)} \leftarrow f \quad} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}) \\
 & \nwarrow \scriptstyle h & \uparrow \scriptstyle \text{id} & & \uparrow \\
 & & \mathbb{F}_q & \xleftarrow{\quad x^p \leftarrow x \quad} & \mathbb{F}_q
 \end{array}$$

(A dashed blue arrow labeled  $g$  points from  $\Gamma(X, \mathcal{O}_X)$  to  $\mathbb{F}_q$ .)

where  $h$  is defined by  $h(\alpha_i x_i) = g(\alpha_i) f(x_i)$ . This shows that  $\text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}))$  is the fiber product of  $\text{Spec}(\mathbb{F}_q) \xrightarrow{\text{Frob}} \text{Spec}(\mathbb{F}_q)$  and  $\text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j)) \rightarrow \text{Spec}(\mathbb{F}_q)$ .

In particular, if  $X = \text{Spec}(\mathbb{F}_q[x_1, \dots, x_n]/(f_j))$ ,  $f = \text{Frob}_X$  and  $g = \text{id}$ , then  $h$  is a  $\mathbb{F}_p$ -algebra homomorphism such that  $h(x_i) = x_i^p$ .

$$\begin{array}{ccccc}
 \mathbb{F}_q[x_1, \dots, x_n]/(f_j) & \xleftarrow{\quad f \rightarrow f^p \quad} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j^{(p)}) & \xleftarrow{\quad f^{(p)} \leftarrow f \quad} & \mathbb{F}_q[x_1, \dots, x_n]/(f_j) \\
 & \nwarrow \scriptstyle x_i \rightarrow x_i^p & \uparrow \scriptstyle \text{id} & & \uparrow \scriptstyle \text{id} \\
 & & \mathbb{F}_q & \xleftarrow{\quad x^p \leftarrow x \quad} & \mathbb{F}_q
 \end{array}$$

(A dashed blue arrow labeled  $\text{id}$  points from  $\mathbb{F}_q[x_1, \dots, x_n]/(f_j)$  to  $\mathbb{F}_q$ .)

That is, although  $f \rightarrow f^p$  can factor through two  $\mathbb{F}_p$ -algebra homomorphisms.

**Example 1.5.8.** Since fiber product exists in category of scheme, consider a morphism  $\text{Spec}(\mathbb{C}) \rightarrow \text{Spec}(\mathbb{R})$  and a  $\mathbb{R}$ -scheme  $Y$ , we have

$$\text{Hom}_{\text{Spec}(\mathbb{R})}(\text{Spec}(\mathbb{C}), Y) = \text{Hom}_{\text{Spec}(\mathbb{C})}(\text{Spec}(\mathbb{C}), Y \times_{\mathbb{R}} \text{Spec}(\mathbb{C}))$$

**Example 1.5.9.** If field  $K$  is an extension of  $k$ , consider a morphism  $\mathrm{Spec}(K) \rightarrow \mathrm{Spec}(k)$  and  $k$ -schemes  $X, Y$ , we have

$$\mathrm{Hom}_k(\mathrm{Spec}(K), X \times_k Y) = \mathrm{Hom}_k(\mathrm{Spec}(K), X) \times \mathrm{Hom}_k(\mathrm{Spec}(K), Y)$$

**Proposition 1.5.10.** Let  $S$  be a scheme,  $X$  and  $Y$  two  $S$ -schemes, and let  $f : X' \rightarrow X$  be a morphism of  $S$ -schemes. Let  $g$  be the morphism induced by universal property of fiber product

$$\begin{array}{ccccc} Z' = X' \times_S Y & \xrightarrow{\quad} & Y & & \\ \downarrow & \searrow \textcolor{blue}{g} & \downarrow & \searrow q & \\ X' & & Z = X \times_S Y & \xrightarrow{\quad} & Y \\ & \searrow f & \downarrow p & & \downarrow \\ & & X & \xrightarrow{\quad} & S \end{array}$$

Then all squares in the following diagram are cartesian

$$\begin{array}{ccccc} Z' = X' \times_S Y & \textcolor{blue}{\dashrightarrow}^g & Z = X \times_S Y & \xrightarrow{\quad} & Y \\ \downarrow p' & & \downarrow p & & \downarrow \\ X' & \xrightarrow{\quad f \quad} & X & \xrightarrow{\quad} & S \end{array}$$

In addition, assume that  $f : X' \rightarrow X$  can be written as the composition of scheme morphisms which satisfy the following condition: each morphism is a homeomorphism onto its image and also satisfies one of the assumptions (1), (2):

- (1) For each point  $x' \in X'$ , the homomorphism  $f_{x'}^\# : \mathcal{O}_{X, f(x')} \rightarrow \mathcal{O}_{X', x'}$  is surjective, and there exists an open affine neighborhood  $V$  of  $f(x')$  such that  $f^{-1}(V)$  is quasi-compact.
- (2) For each point  $x' \in X'$ , the homomorphism  $f_{x'}^\# : \mathcal{O}_{X, f(x')} \rightarrow \mathcal{O}_{X', x'}$  is bijective.

Then, the morphism  $g$  is a homeomorphism of  $Z'$  onto

$$g(Z') = p^{-1}(f(X'))$$

Besides, for all  $z' \in Z'$ , consider following diagram

$$\begin{array}{ccc} \mathcal{O}_{Z', z'} & \xleftarrow{g_{z'}^\#} & \mathcal{O}_{Z, g(z')} \\ \uparrow & & \uparrow p_{g(z')}^\# \\ \mathcal{O}_{X', p'(z')} & \xleftarrow{f_{p'(z')}^\#} & \mathcal{O}_{X, p(g(z'))} \end{array}$$

induced by the “left square” of above diagram. We have the homomorphism  $g_{z'}^\#$ , is surjective and its kernel is generated by the image of the kernel of  $f_{p'(z')}^\#$  under  $p_{g(z')}^\#$ .

**Example 1.5.11.** The following  $f$  satisfying above assumption

- (1)  $f$  is an immersion of schemes

- (2)  $f$  is the canonical morphism  $\text{Spec } \mathcal{O}_{X,x} \rightarrow X$  for some point  $x \in X$ .
- (3)  $f$  is the canonical morphism  $\text{Spec } \kappa(x) \rightarrow X$  for some point  $x \in X$ .

*Proof:*

**Definition 1.5.12** (fibers of morphism). Consider the natural morphism  $\text{Spec } \kappa(s) \rightarrow S$  and a morphism  $f : X \rightarrow S$ . We define  $X_s = X \times_S \text{Spec } \kappa(s)$  be the fiber of  $f : X \rightarrow S$  in  $s$ .

$$\begin{array}{ccccc}
 X \times_S \text{Spec } \kappa(s) & \longrightarrow & X & & \\
 \downarrow & \searrow \text{blue } g & \searrow \text{id} & & \\
 \text{Spec } \kappa(s) & & X & \xrightarrow{\text{id}} & X \\
 & \searrow \text{blue } 0 \mapsto s & \downarrow f & & \downarrow \\
 & & S & \longrightarrow & S
 \end{array}$$

By Proposition 1.5.10, the underlying topological space of  $X_s$  is  $f^{-1}(s)$ .

**Example 1.5.13.** Consider a integral  $k$ -scheme of finite type be

$$X = \text{Spec } k[U, T, S]/(UT - S)$$

Let  $f : X \rightarrow \mathbb{A}_k^1 = \text{Spec } k[S]$  be the natural morphism, then  $\text{Spec } A_s$  be the fiber of  $f$  in  $(S - s)$  where

$$A_s = k[U, T, S]/(UT - S) \otimes_{k[S]} k[S]/(S - s) = k[U, T, S]/(UT - S, S - s) = k[U, T]/(UT - s)$$

$$\text{Hence, } X_s(k) = \{(x, y) \in k^2 : xy = s\}$$

**Definition 1.5.14** (inverse image of  $Z$  under  $f$ ). Let  $f : X \rightarrow Y$  be a morphism of schemes and let  $i : Z \rightarrow Y$  be an immersion. Proposition 1.5.10 shows that the base change  $i_{(X)} : Z \times_Y X \rightarrow X$  is surjective on stalks and a homeomorphism of  $Z \times_Y X$  onto the locally closed subspace  $f^{-1}(Z)$ .

$$\begin{array}{ccccc}
 Z \times_S Y & \longrightarrow & X & & \\
 \downarrow & \searrow \text{blue } i_{(X)} & \searrow \text{id} & & \\
 Z & & X & \longrightarrow & X \\
 & \searrow \text{blue } i & \downarrow f & & \downarrow f \\
 & & Y & \longrightarrow & Y
 \end{array}$$

Therefore  $i_{(X)}$  is an immersion.

**Proposition 1.5.15.** In above definition, if  $Z$  is closed subscheme of  $Y$ , then  $f^{-1}(Z)$  is closed which implies  $i_{(X)}$  is a closed immersion. By the second result of Proposition ??, if  $i$  is open immersion,  $i_{(X)}$  is also open immersion.

**Definition 1.5.16** (intersection of subscheme). As a special case of the inverse image of a subscheme we can define the intersection of two subschemes: Let  $i : Y \rightarrow X$  and  $j : Z \rightarrow X$  be two subschemes.

$$\begin{array}{ccccc}
 Z \times_X Y & \xrightarrow{p} & Z & & \\
 \downarrow q & \searrow \text{blue } i_{(Z)}=p & \downarrow \text{id} & & \\
 Y & & Z & \xrightarrow{\quad} & Z \\
 & \searrow i & \downarrow j & & \downarrow f \\
 & & X & \xrightarrow{\quad} & X
 \end{array}$$

Then the map  $j \circ p$  is an immersion onto the locally closed subset  $Y \cap X$ .

**Definition 1.5.17.** For an arbitrary scheme  $S$ , define  $\mathbb{P}_S^n = \mathbb{P}_{\mathbb{Z}} \times_{\mathbb{Z}} S$ .

**Definition 1.5.18.** Let  $(\text{Grp})$  be the category of groups and  $V : (\text{Grp}) \rightarrow (\text{Sets})$  the forgetful functor. Let  $S$  be a scheme and let  $G$  be an  $S$ -scheme. The following data for  $G$  are equivalent by Yoneda's lemma

- (1) A factorization of the functor  $h_G : (\text{Sch}/S)^{\text{opp}} \rightarrow (\text{Sets})$  through the forgetful functor  $V : (\text{Grp}) \rightarrow (\text{Sets})$ .
- (2) For all  $S$ -schemes  $T$  the structure of a group on  $G_S(T)$  which is functorial in  $T$  (i.e., for all  $S$ -morphisms  $T' \rightarrow T$  the associated map  $G_S(T) \rightarrow G_S(T')$  is a homomorphism of groups).

**Definition 1.5.19.** A homomorphism of  $S$ -group schemes  $G$  and  $H$  is a morphism  $G \rightarrow H$  of  $S$ -schemes such that for all  $S$ -schemes  $T$  the induced map  $G(T) \rightarrow H(T)$  is a group homomorphism.

**Example 1.5.20.**  $S = \text{Spec } \mathbb{Z}$  and  $G := \text{GL}_n$  with  $\text{GL}_n(T) := \text{GL}_n(\Gamma(T, \mathcal{O}_T))$ , the group of invertible  $(n \times n)$ -matrices over  $\Gamma(T, \mathcal{O}_T)$ , for any scheme  $T$  and for a fixed integer  $n \geq 1$ . The underlying scheme of  $\text{GL}_n$  is  $\text{Spec } A$  with  $A = \mathbb{Z} \left[ (T_{ij})_{1 \leq i, j \leq n} \right] [\det^{-1}]$ , where  $\det := \sum_{\sigma \in S_n} \text{sgn}(\sigma) T_{1\sigma(1)} \cdots T_{n\sigma(n)}$  is the determinant of the matrix  $(T_{ij})_{i, j}$ . This group scheme is called the general linear group scheme. We call  $\mathbb{G}_m := \text{GL}_1$  the multiplicative group scheme.

**Example 1.5.21.** The additive group scheme  $\mathbb{G}_{a, S}$  over  $S$  is defined by  $\mathbb{G}_{a, S}(T) = \Gamma(T, \mathcal{O}_T)$  for every  $S$ -scheme  $T$ . Its underlying  $S$ -scheme is  $\mathbb{A}_S^1$ .

## 1.6 Dimension of Scheme over $k$

Even for noetherian schemes the notion of dimension is sometimes [quite counter-intuitive](#). If one restricts oneself to the case of schemes of finite type over a field, then the theory of dimension [works mostly as expected](#), and is a very useful invariant.

**Proposition 1.6.1.** Let  $X$  be a topological space.

(1) Let  $Y$  be a subspace of  $X$ . Then  $\dim Y \leq \dim X$ . If  $X$  is irreducible,  $\dim X < \infty$ , and  $Y \subsetneq X$  is a proper closed subset, then  $\dim Y < \dim X$ .

(2) Let  $X = \bigcup_{\alpha} U_{\alpha}$  be an open covering. Then

$$\dim X = \sup_{\alpha} \dim U_{\alpha}.$$

(3) Let  $I$  be the set of irreducible components of  $X$ . Then

$$\dim X = \sup_{Y \in I} \dim Y.$$

(4) Let  $X$  be a scheme. Then

$$\dim X = \sup_{x \in X} \dim \mathcal{O}_{X,x}$$

**Example 1.6.2.**  $\dim \mathbb{A}_k^n = \dim \mathbb{P}_k^n = n$

**Proposition 1.6.3.** Let  $i : Y \rightarrow X$  be a closed immersion of schemes, where  $X$  is integral. If  $\dim X = \dim Y < \infty$ , then  $i$  is an isomorphism.

*Proof:* By Proposition 1.6.1,  $i$  is a homeomorphism. Hence, we need to show the map on stalks  $\mathcal{O}_{X,i(x)} \rightarrow \mathcal{O}_{Y,x}$  is injective. Since  $X$  is integral, take  $i(\eta)$  be the generic point of  $X$ . It's easy to check the follow diagram commute

$$\begin{array}{ccc} \mathcal{O}_{X,i(x)} & \longrightarrow & \mathcal{O}_{Y,x} \\ \downarrow & & \downarrow \\ \mathcal{O}_{X,i(\eta)} & \hookrightarrow & \mathcal{O}_{Y,\eta} \end{array}$$

Since  $\mathcal{O}_{X,i(\eta)}$  is a field,  $\mathcal{O}_{X,i(\eta)} \rightarrow \mathcal{O}_{Y,\eta}$  is injective. Hence,  $\mathcal{O}_{X,i(x)} \rightarrow \mathcal{O}_{Y,x}$  is injective.

**Lemma 1.6.4.** Let  $A \rightarrow B$  be a finitely generated  $A$ -algebra. Then all fibers of the morphism  $\text{Spec } B \rightarrow \text{Spec } A$  are finite (as sets).

*Proof:* Let  $\mathfrak{p} \subset A$  be a prime ideal. We must show that the ring  $B \otimes_A \kappa(\mathfrak{p})$  has only finitely many prime ideals. However, this ring is a finite-dimensional  $\kappa(\mathfrak{p})$ -vector space and thus it is Artinian (every ideal is also a  $\kappa(\mathfrak{p})$ -subvector space, and every descending chain of subvector spaces of a finite-dimensional vector space becomes stationary) and therefore its spectrum has only finitely many points by Algebra Proposition 2.3.9.

## 1.7 Separated Morphisms

**Proposition 1.7.1.** Equalizer exists in category  $\text{Sch}/S$ .

*Proof:* Consider  $f, g : X \rightarrow Y$  be two  $S$ -morphisms and  $h : T \rightarrow X$  be  $S$ -morphisms such that  $f \circ h = g \circ h$ .

By universal property of fiber product, there's unique  $S$ -morphism  $(f, g)$ , making the following diagram commutes:

$$\begin{array}{ccccc}
 X & & & & \\
 \swarrow (f,g) & & g & & \\
 & Y \times_S Y & \xrightarrow{q} & Y & \\
 \searrow f & \downarrow p & & \downarrow & \\
 & Y & \longrightarrow & S &
 \end{array}$$

Consider the following diagram

$$\begin{array}{ccccccc}
 T & & & & & & \\
 \swarrow \theta & & h & & & & \\
 & X \times_{Y \times_S Y} Y & \xrightarrow{\pi_X} & X & \xrightarrow{f} & Y & \\
 \searrow f \circ h & \downarrow \pi_Y & & \downarrow (f,g) & & \downarrow p & \\
 & Y & \xrightarrow{\Delta_{Y/S}} & Y \times_S Y & \xrightarrow{q} & Y &
 \end{array}$$

It's easy to check  $f \circ \pi_X = \pi_Y = g \circ \pi_X$ . Moreover,  $p \circ (f, g) \circ h = p \circ \Delta_{Y/S} \circ f \circ h$  and  $q \circ (f, g) \circ h = q \circ \Delta_{Y/S} \circ f \circ h$  implies  $(f, g) \circ h = \Delta_{Y/S} \circ f \circ h$ . Hence, there's unique  $\theta$  such that above diagram commutes.

**Proposition 1.7.2.** Let  $S = \text{Spec } R$  be an affine scheme, let  $X = \text{Spec } B \rightarrow S$  and  $Y = \text{Spec } A \rightarrow S$  be affine  $S$ -schemes and let  $f : X \rightarrow Y$  be an  $S$ -morphism corresponding to an  $R$ -algebra morphism  $\varphi : A \rightarrow B$ . Then the diagonal morphism  $\Delta_{X/S}$  and graph morphism  $\Gamma_f$  correspond to the following surjective ring homomorphisms.

$$\begin{aligned}
 \Delta_{B/R} : B \otimes_R B &\rightarrow B, & b \otimes b' &\mapsto bb', \\
 \Gamma_\varphi : A \otimes_R B &\rightarrow B, & a \otimes b &\mapsto \varphi(a)b.
 \end{aligned}$$

In particular  $\Delta_{X/S}$  and  $\Gamma_f$  are closed immersions.

**Proposition 1.7.3.** Let  $S$  be a scheme, let  $X$  and  $Y$  be  $S$ -schemes, and let  $f, g : X \rightarrow Y$  be morphisms of  $S$ -schemes. Then  $\Delta_{X/S}, \Gamma_f$ , and the canonical morphism  $\text{Eq}(f, g) \rightarrow X$  are immersions.

*Proof:*  $\Delta_{X/S}$ : Assume  $S$  is affine. By Proposition 1.5.2, Proposition 1.7.2, we may find  $U_i \times_S U_i, i \in I$  open in  $Y \times_S Y$  such that  $U_i$  are affine open subschemes of  $Y$  which cover the image of  $Y$  (notice that  $U_i \times_S U_i$  may not cover  $Y \times_S Y$ ). Then the diagonal morphism is locally a closed immersion, which implies the image of  $Y$  is locally closed.

$\Gamma_f$ : the same as  $\Delta_{X/S}$ .

$\text{Eq}(f, g)$ : Since immersion is stable under base change, then it follows from the proof of existence of equalizer in category of schemes.

**Lemma 1.7.4.** Let  $u : X \rightarrow S, v : Y \rightarrow S$  be  $S$ -objects, let  $p : X \times_S Y \rightarrow X$  and  $q : X \times_S Y \rightarrow Y$  be the projections, and  $f, g : X \rightarrow Y$  two  $S$ -morphisms.

$$\Delta_{X/S} = \Gamma_{\text{id}_X}, \quad \Gamma_f = \left( \text{can} : \text{Eq} \left( X \times_S Y \xrightarrow[f \circ p]{q} Y \right) \rightarrow X \times_S Y \right).$$

*Proof:*

$$\begin{array}{ccccc} X & \xrightarrow{\Gamma_f} & X \times_S Y & \xrightarrow[q]{f \circ p} & Y \\ \uparrow \text{poh} & \nearrow h & & & \\ T & & & & \end{array}$$

**Definition 1.7.5.** A morphism of schemes  $v : Y \rightarrow S$  is called separated if the following equivalent conditions are satisfied.

- (1) The diagonal morphism  $\Delta_{Y/S}$  is a closed immersion.
- (2) For every  $S$ -scheme  $X$  and for any two  $S$ -morphisms  $f, g : X \rightarrow Y$  the equalizer  $\text{Eq}(f, g) \subseteq X$  is a closed subscheme of  $X$ .
- (3) For every  $S$ -scheme  $X$  and for any  $S$ -morphism  $f : X \rightarrow Y$  its graph  $\Gamma_f$  is a closed immersion.

*Proof:* (1) implies (2): closed immersion stable under base change

(2) implies (3): By Lemma 1.7.4.

(3) implies (1): Take  $X = Y$  and  $f = \text{id}$ .

**Proposition 1.7.6.** These are basic examples of separated morphism.

- (1) Every monomorphism of schemes (and in particular every immersion) is separated.
- (2) The property of being separated is stable under composition, stable under base change, and local on the target.

*Proof:* (1):  $f : X \rightarrow S$  be a monomorphism, then  $X$  is isomorphic to  $X \times_S X$  under  $\Delta_{X/S}$  since  $X$  also satisfies the universal property of fiber product.

**Proposition 1.7.7.** Let  $S = \text{Spec } R$  be an affine scheme and let  $X$  be an  $S$ -scheme. Then the following assertions are equivalent.

- (1)  $X$  is separated.
- (2) For every two open affine sets  $U, V \subseteq X$  the intersection  $U \cap V$  is affine and

$$\rho_{U,V} : \Gamma(U, \mathcal{O}_X) \otimes_R \Gamma(V, \mathcal{O}_X) \rightarrow \Gamma(U \cap V, \mathcal{O}_X), \quad (s, t) \mapsto s|_{U \cap V} \cdot t|_{U \cap V}$$

is surjective.

- (3) There exists an open affine covering  $X = \bigcup_i U_i$  such that  $U_i \cap U_j$  is affine and  $\rho_{U_i, U_j} : \Gamma(U_i, \mathcal{O}_X) \otimes_R \Gamma(U_j, \mathcal{O}_X) \rightarrow \Gamma(U_i \cap U_j, \mathcal{O}_X)$  is surjective for all  $i, j$ .

**Example 1.7.8.**  $k$  be a field,  $\mathbb{P}_k^n$  is separated.



## 1.8 Quasi-coherent modules