

# Algebra

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# 1 Commutative Algebra

## 1.1 Basic Definition in Ring Theory

**Notation 1.1.1.** In this note, by a ring we always understand a commutative ring with unit (unless stated otherwise); ring homomorphisms  $A \rightarrow B$  are assumed to take the unit element of  $A$  into the unit element of  $B$ . When we say that  $A$  is a subring of  $B$  it is understood that the unit elements of  $A$  and  $B$  coincide.

**Notation 1.1.2.** If  $f : A \rightarrow B$  is a ring homomorphism,  $J$  is an ideal of  $B$ , then  $f^{-1}(J)$  is an ideal of  $A$ , and we denote it by  $A \cap J$ .

**Notation 1.1.3.** In this note,  $\subset$  or  $\subseteq$  are used for inclusion of a subset, including the possibility of equality;  $\subsetneq$  is used for strict inclusion.

**Definition 1.1.4.** A zero-divisor in a ring  $A$  is an element  $x$  which "divides 0", i.e., for which there exists  $y \neq 0$  in  $A$  such that  $xy = 0$ .

**Definition 1.1.5.** An ideal which is maximal among all proper ideals is called a maximal ideal; an ideal  $m$  of  $A$  is maximal if and only if  $A/m$  is a field.

**Theorem 1.1.6.** If  $I$  is a proper ideal then there exists at least one maximal ideal containing  $I$ .

**Definition 1.1.7.** A ring  $A$  is an integral domain (or simply a domain) if  $A \neq 0$ , and  $A$  has no zero-divisors other than 0.

**Definition 1.1.8.** A field  $F$  is an integral domain such that every non-zero element in  $F$  is invertible.

**Definition 1.1.9.** A proper ideal ( $\neq A$ )  $P$  of  $A$  for which  $A/P$  is an integral domain is called a prime ideal. In other words,  $P$  is prime if it satisfies:

- (1)  $P \neq A$ .
- (2)  $x, y \in A \Rightarrow xy \in P$  for  $x, y \in A$ .

A field is an integral domain, so that a maximal ideal is prime.

**Proposition 1.1.10.** There is a one-to-one order-preserving correspondence between the ideals  $J$  of  $A$  which contain  $I$ , and the ideals  $A/I$ . More precisely, we can say there are two bijection

$$\{\text{ideals of } A \text{ that contain } I\} \longleftrightarrow \{\text{ideals of } A/I\}$$

$$\{\text{prime ideals of } A \text{ that contain } I\} \longleftrightarrow \{\text{prime ideals of } A/I\}$$

given by the correspondences

$$J \longrightarrow J/I = \bar{J}$$

$$\pi^{-1}(\bar{J}) \longleftarrow \bar{J}$$

where  $\pi$  be the natural homomorphism from  $A$  to  $A/I$ .

**Definition 1.1.11.** A subset  $S$  of  $A$  is multiplicative if it satisfies:

(1)  $x, y \in S \Rightarrow xy \in S$ .

(2)  $1 \in S$ .

**Definition 1.1.12.** If  $I$  is an ideal of  $A$  then the set of elements of  $A$ , some power of which belongs to  $I$ , is an ideal of  $A$ . This set is called the radical of  $I$ , and is sometimes written  $\sqrt{I}$ .

**Theorem 1.1.13.** the radical  $\sqrt{I}$  of  $I$  is the intersection of all prime ideals containing  $I$ .

*Proof:*

**Lemma 1.1.14.** Let  $S$  be a multiplicative set and  $I$  an ideal disjoint from  $S$ ; then there exists a prime ideal containing  $I$  and disjoint from  $S$ .

*Proof of the lemma:* If  $I$  is an ideal disjoint from  $S$ , then the set of ideals containing  $I$  and disjoint from  $S$  has a maximal element. If  $P$  is an ideal which is maximal among ideals disjoint from  $S$  then  $P$  is prime. For if  $x, y \notin P, xy \in P$ , then since  $P + xA$  and  $P + yA$  both meet  $S$ , the product  $(P + xA)(P + yA)$  also meets  $S$ . However,  $(P + xA)(P + yA) \subset P + xyA$ , a contradiction!  $\square$

If  $x \notin \sqrt{I}$ ,  $S_x = x^n : n \geq 0$  be a multiplicative subset. By lemma 1.1.14, we can find a prime ideal which contains  $I$  disjoint from  $S_x$ .

**Definition 1.1.15.** In particular if we take  $I = (0)$  then  $\sqrt{(0)}$  is the set of all nilpotent elements of  $A$ , and is called the nilradical of  $A$ ; we will write  $nil(A)$  for this. When  $nil(A) = 0$  we say that  $A$  is reduced, For any ring  $A$  we write  $A_{red}$  for  $A/nil(A)$  is of course reduced.

**Definition 1.1.16.** The intersection of all maximal ideals of a ring  $A \neq 0$  is called the Jacobson radical, or simply the radical of  $A$  and written  $rad(A)$ .

**Proposition 1.1.17.**  $x \in rad(A)$  if and only if  $1 + xy$  is a unit in  $A$  for all  $y \in A$ .

**Definition 1.1.18.** A ring having just one maximal ideal is called a local ring, and a (non-zero) ring having only finitely many maximal ideals a semilocal ring. We often express the fact that  $A$  is a local ring with maximal ideal  $m$  by saying that  $(A, m)$  is a local ring; if this happens then the field  $k = A/m$  is called the residue field of  $A$ . We will say that  $(A, m, k)$  is a local ring to mean that  $A$  is a local ring,  $m = rad(A)$  and  $k = A/m$ .

**Proposition 1.1.19.** If  $(A, m)$  is a local ring then the elements of  $A$  not contained in  $m$  are units; conversely a (non-zero) ring  $A$  whose non-units form an ideal  $m$  is a local ring with maximal ideal  $m$ .

**Theorem 1.1.20.** If  $I_1, I_2, \dots, I_n$  are ideals which are coprime (i.e.  $I_i + I_j = A$  for all  $i \neq j$ ) in pairs then  $I_1 I_2 \dots I_n = I_1 \cap I_2 \dots \cap I_n$

**Theorem 1.1.21** (Chinese Remainder Theorem). If  $I_1, \dots, I_n$  are ideals which are coprime in pairs then

$$A/I_1 \times \cdots \times A/I_n \simeq A/(I_1 \cdots I_n)$$

and the isomorphism map is given by

$$a + I_1 \cdots I_n \rightarrow (a + I_1, \dots, a + I_n)$$

**Theorem 1.1.22** (Prime Avoidance). (1) Let  $P_1, \dots, P_n$  be prime ideals and let  $I$  be an ideal contained in  $\bigcup_{i=1}^n P_i$ . Then  $I \subset P_i$  for some  $1 \leq i \leq n$ .

(2) Let  $P$  be a prime ideal.  $P \supset I_1 \cdots I_n$ , then  $P \supset I_i$  for some  $1 \leq i \leq n$ .

*Proof:* (2): If  $P \supset IJ$  and  $P \not\supset I$ , there's  $a \in I$  such that  $a \notin P$ . Since  $P \supset IJ$ , for all  $b \in J$ ,  $ab \in P$ , then  $b \in P$ . Hence we have  $P \supset J$ .

**Definition 1.1.23.** Let  $R$  be an integral domain. Suppose  $r \in R$  is nonzero and is not a unit. Then  $r$  is called irreducible in  $R$  if whenever  $r = ab$  with  $a, b \in R$ , at least one of  $a$  or  $b$  must be a unit in  $R$ . Otherwise  $r$  is said to be reducible. The nonzero element  $p \in R$  is called prime in  $R$  if the ideal  $(p)$  generated by  $p$  is a prime ideal. Two elements  $a$  and  $b$  of  $R$  differing by a unit are said to be associate in  $R$ .

**Proposition 1.1.24.** In an integral domain, a prime element is always irreducible.

**Definition 1.1.25** (U.F.D). A Unique Factorization Domain is an integral domain  $R$  in which every nonzero element  $r \in R$  which is not a unit has the following two properties:

1.  $r$  can be written as a finite product of irreducibles  $p$  of  $R$ :  $r = p_1 \cdots p_n$
2. the decomposition in (1) is unique up to associates.

**Proposition 1.1.26.** An integral domain  $R$  is U.F.D if and only if every irreducible element is prime and there's no infinite sequence  $(a_n)$  in  $R$  satisfying:  $a_i | a_{i+1}$ ,  $a_i$  and  $a_j$  are not associate.

**Definition 1.1.27** (P.I.D). A Principal Ideal Domain is an integral domain in which every ideal is principal.

**Proposition 1.1.28.** Every Principal Ideal Domain is a Unique Factorization Domain.

**Proposition 1.1.29.** If  $F$  is a field, then  $F[x]$  is a Principal Ideal Domain.

**Lemma 1.1.30** (Gauss' Lemma). Let  $R$  be a Unique Factorization Domain with field of fractions  $F$  and let  $p(x) \in R[x]$ . If  $p(x)$  is reducible in  $F[x]$  then  $p(x)$  is reducible in  $R[x]$ . More precisely, if  $p(x) = A(x)B(x)$  for some nonconstant polynomials  $A(x), B(x) \in F[x]$ , then there are nonzero elements  $r, s \in F$  such that  $rA(x) = a(x)$  and  $sB(x) = b(x)$  both lie in  $R[x]$  and  $p(x) = a(x)b(x)$  is a factorization in  $R[x]$ .

**Corollary 1.1.31.** Let  $R$  be a Unique Factorization Domain, let  $F$  be its field of fractions and let  $p(x) \in R[x]$ . Suppose the greatest common divisor of the coefficients of  $p(x)$  is 1. Then  $p(x)$  is irreducible in  $R[x]$  if and only if it is irreducible in  $F[x]$ . In particular, if  $p(x)$  is a monic polynomial that is irreducible in  $R[x]$ , then  $p(x)$  is irreducible in  $F[x]$ .

**Proposition 1.1.32.** If  $R$  is a U.F.D, then  $R[x]$  is a U.F.D.

*Proof:* By Proposition 1.1.29, Lemma 1.1.30 and Corollary 1.1.31.

## 1.2 Basic Definition in Module

**Proposition 1.2.1.** A  $R$ -module  $M$  can be view as a ring homomorphism from  $R$  to endomorphism ring of  $M$ (as an abelian group) which is in general not necessarily commutative:

$$\begin{aligned} R &\rightarrow \text{End}(M) \\ r &\rightarrow (x \rightarrow rx) \end{aligned}$$

Conversely, if  $M$  is an abelian group, Given a ring homomorphism  $f : R \rightarrow \text{End}(M)$ , we have

$$\begin{aligned} R \times M &\rightarrow M \\ (r, m) &\rightarrow f(r)m \end{aligned}$$

is a  $R$ -module structure.

**Remark 1.2.2.** By Proposition 1.2.1, if we have a  $B$ -module  $M$  and a ring homomorphism  $f : A \rightarrow B$ ,  $M$  has naturally a  $A$ -module structure.

**Definition 1.2.3.**  $f : R \rightarrow B$  is a ring homomorphism, then  $B$  naturally has a  $R$ -module structure, we call  $B$ (with both a ring structure and  $A$ -module structure) a  $R$ -algebra.

And the morphism in  $R$ -algebra category between object  $(A, f : R \rightarrow A)$  and  $(B, g : R \rightarrow B)$ , is the ring homomorphism  $h : A \rightarrow B$  making the following diagram commute:

$$\begin{array}{ccc} A & \xrightarrow{h} & B \\ & \nwarrow f \quad \nearrow g & \\ & R & \end{array}$$

**Definition 1.2.4.** Let  $A$  be a ring and  $M$  an  $A$ -module. Given submodules  $N, N'$  of  $M$ , the set  $\{a \in A : aN' \subset N\}$  is an ideal of  $A$ , which we write  $(N : N')_A$ . Similarly, if  $I$  is an ideal then  $\{x \in M : Ix \subset N\}$  is a submodule of  $M$ , which we write  $(N : I)_M$ .

For  $a \in A$  we define  $(N : a)_M$  to be  $(N : (a))_M$ . The ideal  $(0 : M)_A$  is called the Annihilator of  $M$ , and written  $\text{Ann}(M)$ . We can consider  $M$  as a module over  $A/\text{Ann}(M)$ . If  $\text{Ann}(M) = 0$ , we say that  $M$  is a faithful  $A$ -module. For  $x \in M$ , we write  $\text{Ann}(x) = \{a \in A | ax = 0\}$ .

**Definition 1.2.5.** If  $M$  is finitely generated as an  $A$ -module, we say simply that  $M$  is a finite  $A$ -module, or is finite over  $A$ .

**Theorem 1.2.6** (Nakayama's lemma). Let  $M$  be a finite  $A$ -module and  $I$  an ideal of  $A$ . If  $M = IM$  then there exists  $a \in A$  such that  $aM = 0$  and  $a \equiv 1 \pmod{I}$ . If in addition  $I \subset \text{rad}(A)$ , then  $M = 0$ .

**Corollary 1.2.7.**  $(A, m)$  be a Noetherian local ring. If  $A = mA$ , then  $A = 0$ .

**Corollary 1.2.8.** Let  $A$  be a ring and  $I$  an ideal contained in  $\text{rad}(A)$ . Suppose that  $M$  is an  $A$ -module and  $N \subset M$  a submodule such that  $M/N$  is finite over  $A$ . Then  $M = N + IM$  implies  $M = N$ .

*Proof:* Consider the identity  $M/N = I(M/N)$ , then use Theorem 1.2.6.

**Definition 1.2.9.** If  $W$  is a set of generators of an  $A$ -module  $M$  which is minimal, in the sense that any proper subset of  $W$  does not generate  $M$ , then  $W$  is said to be a minimal basis of  $M$ .

**Theorem 1.2.10.** Let  $(A, \mathfrak{m}, k)$  be a local ring and  $M$  a finite  $A$ -module; set  $\bar{M} = M/\mathfrak{m}M$ . Now  $\bar{M}$  is a finite-dimensional vector space over  $k$ , and we write  $\mathbf{n}$  for its dimension. Then:

- (1) If we take a basis  $\{\bar{u}_1, \dots, \bar{u}_n\}$  for  $\bar{M}$  over  $k$ , and choose an inverse image  $u_i \in M$  of each  $\bar{u}_i$ , then  $\{u_1, \dots, u_n\}$  is a minimal basis of  $M$ ;
- (2) conversely every minimal basis of  $M$  is obtained in this way, and so has  $n$  elements.
- (3) If  $\{u_1, \dots, u_n\}$  and  $\{v_1, \dots, v_n\}$  are both minimal bases of  $M$ , and  $v_i = \sum a_{ij}u_j$  with  $a_{ij} \in A$  then  $\det(a_{ij})$  is a unit of  $A$ , so that  $(a_{ij})$  is an invertible matrix.

*Proof:*

(1) and (2): By Corollary 1.2.8

(3): By Proposition 1.1.19

**Theorem 1.2.11** (Kaplansky). Let  $(A, \mathfrak{m})$  be a local ring; then a projective module  $M$  over  $A$  is free.

*Proof:* We only prove the case when  $M$  is finite. Choose a minimal basis  $\omega_1, \dots, \omega_n$  of  $M$  and define a surjective map  $\varphi : F \rightarrow M$  from the free module  $F = Ae_1 \oplus \dots \oplus Ae_n$  to  $M$  by  $\varphi(\sum a_i e_i) = \sum a_i \omega_i$ ; if we set  $K = \text{Ker}(\varphi)$  then, from the minimal basis property(1),

$$\sum a_i \omega_i = 0 \Rightarrow a_i \in \mathfrak{m} \text{ for all } i.$$

Thus  $K \subset \mathfrak{m}F$ . Because  $M$  is projective, there exists  $\psi : M \rightarrow F$  such that  $F = \psi(M) \oplus K$ , and it follows that  $K = \mathfrak{m}K$ . On the other hand,  $K$  is a quotient of  $F$ , therefore finite over  $A$ , so that  $K = 0$  by NAK and  $F \simeq M$ .

**Proposition 1.2.12.** Let  $A$  be a ring  $\neq 0$ . Show that if  $A^m \simeq A^n$ , then  $m = n$ .

*Proof:* Take a maximal ideal of  $A$ , consider a  $A/I$ -module isomorphism

$$A^n/IA^n \simeq A^n \otimes A/I \simeq A^m \otimes A/I \simeq A^m/IA^m$$

It's easy to check that  $\{e_i + IA^n : 1 \leq i \leq n\}$  form a basis of  $A/I$ -module  $A^n/IA^n$ , hence  $n = \dim(A^n/IA^n) = \dim(A^m/IA^m) = m$

**Definition 1.2.13** (finite representation). We say that an  $A$ -module  $M$  is of finite presentation if there exists an exact sequence of the form

$$A^p \rightarrow A^q \rightarrow M \rightarrow 0.$$

**Proposition 1.2.14.** Let  $A$  be a ring, and suppose that  $M$  is an  $A$ -module of finite presentation. If

$$0 \rightarrow K \rightarrow N \rightarrow M \rightarrow 0$$

is an exact sequence and  $N$  is finitely generated then so is  $K$ .

*Proof:* By assumption there exists an exact sequence of the form  $L_2 \xrightarrow{g} L_1 \xrightarrow{f} M \rightarrow 0$ , where  $L_1$  and  $L_2$  are free modules of finite rank. From this we get the following commutative diagram

$$\begin{array}{ccccccc} L_2 & \xrightarrow{f} & L_1 & \xrightarrow{g} & M & \longrightarrow & 0 \\ \downarrow \beta & & \downarrow \alpha & & \downarrow \text{id} & & \\ 0 & \longrightarrow & K & \xrightarrow{\psi} & N & \xrightarrow{\varphi} & M \longrightarrow 0 \end{array}$$

If we write  $N = A\xi_1 + \cdots + A\xi_n$ , then there exist  $v_i \in L_1$  such that  $\varphi(\xi_i) = f(v_i)$ . Set  $\xi'_i = \xi_i - \alpha(v_i)$ ; then  $\varphi(\xi'_i) = 0$ , so, that we can write  $\xi'_i = \psi(\eta_i)$  with  $\eta_i \in K$ . Let us now prove that

$$K = \beta(L_2) + A\eta_1 + \cdots + A\eta_n.$$

For any  $\eta \in K$ , set  $\psi(\eta) = \sum a_i \xi_i$ , then

$$\psi\left(\eta - \sum a_i \eta_i\right) = \sum a_i (\xi_i - \xi'_i) = \alpha\left(\sum a_i v_i\right)$$

and since  $0 = \varphi\alpha\left(\sum a_i v_i\right) = f\left(\sum a_i v_i\right)$ , we can write  $\sum a_i v_i = g(u)$  with  $u \in L_2$ . Now

$$\psi\beta(u) = \alpha g(u) = \alpha\left(\sum a_i v_i\right) = \psi\left(\eta - \sum a_i \eta_i\right)$$

so that  $\eta = \beta(u) + \sum a_i \eta_i$ , and this proves our assertion.



In the following theorems,  $R$  is not necessarily be commutative, but we always assume  $R$  has an identity.

**Definition 1.2.15.** Let  $R$  be a ring, let  $A_R$  be a right  $R$ -module, let  ${}_R B$  be a left  $R$  module, and let  $G$  be an (additive) abelian group. A function  $f : A \times B \rightarrow G$  is called  $R$ -biadditive if, for all  $a, a' \in A, b, b' \in B$ , and  $r \in R$ , we have

$$\begin{aligned} f(a + a', b) &= f(a, b) + f(a', b), \\ f(a, b + b') &= f(a, b) + f(a, b'), \\ f(ar, b) &= f(a, rb). \end{aligned}$$

If  $R$  is commutative and  $A, B$ , and  $M$  are  $R$ -modules, then a function  $f : A \times B \rightarrow M$  is called  $R$ -bilinear if  $f$  is  $R$ -biadditive and also

$$f(ar, b) = f(a, rb) = rf(a, b)$$

**Definition 1.2.16** (Tensor product). Given a ring  $R$  and modules  $A_R$  and  ${}_R B$ , then their tensor product is an abelian group  $A \otimes_R B$  and an  $R$ -biadditive function  $h : A \times B \rightarrow A \otimes_R B$

$$\begin{array}{ccc} A \times B & & \\ \downarrow h & \searrow f & \\ A \otimes_R B & \xrightarrow{\tilde{f}} & G \end{array}$$

such that, for every abelian group  $G$  and every  $R$ -biadditive  $f : A \times B \rightarrow G$ , there exists a unique  $\mathbb{Z}$ -homomorphism  $\tilde{f} : A \otimes_R B \rightarrow G$  making the following diagram commute.

**Proposition 1.2.17.** If  $R$  is a commutative ring and  $A, B$  are  $R$ -modules, then  $A \otimes_R B$  is an  $R$ -module ( $r(a \otimes b) = (ra \otimes b)$ ), the function  $h : A \times B \rightarrow A \otimes_R B$  is  $R$ -bilinear, and, for every  $R$ -module  $M$  and every  $R$ -bilinear function  $g : A \times B \rightarrow M$ , there exists a unique  $R$ -homomorphism  $\tilde{g} : A \otimes_R B \rightarrow M$  making the following diagram commute.

$$\begin{array}{ccc} A \times B & & \\ \downarrow h & \searrow g & \\ A \otimes_R B & \xrightarrow{\tilde{g}} & M \end{array}$$

**Proposition 1.2.18.** If  $R$  is a ring, and  $A, {}_R B$  are  $R$ -modules, then there are  $R$ -module isomorphisms:

$$A \otimes_R R \simeq A, \quad R \otimes_R B \simeq B$$

**Theorem 1.2.19.** If  $R$  and  $S$  are rings and  $A_R, {}_R B_S, S_C$  are (bi)modules, then there is an isomorphism:

$$(A \otimes_R B) \otimes_S C \simeq A \otimes_R (B \otimes_S C).$$

**Theorem 1.2.20** (Commutativity). If  $R$  is a commutative ring and  $M_R, {}_R N$  are modules, then there is a  $R$ -isomorphism

$$\tau : M \otimes_R N \rightarrow N \otimes_R M$$

with  $\tau : m \otimes n \mapsto n \otimes m$ . The map  $\tau$  is natural in the sense that the following diagram commutes:

$$\begin{array}{ccc} M \otimes_R N & \xrightarrow{\tau} & N \otimes_R M \\ \downarrow f \otimes g & & \downarrow g \otimes f \\ M' \otimes_R N' & \xrightarrow{\tau'} & N' \otimes_R M' \end{array}$$

**Theorem 1.2.21.** Let  $R$  be a ring,  $A, \{A_i\}_{i \in I}$  are right  $R$ -modules,  $B$  and  $\{B_j\}_{j \in J}$  left  $R$ -modules. Then there are group isomorphisms:

$$\begin{aligned} \left( \sum_{i \in I} A_i \right) \otimes_R B &\simeq \sum_{i \in I} (A_i \otimes_R B) \\ A \otimes_R \left( \sum_{j \in J} B_j \right) &\simeq \sum_{j \in J} (A \otimes_R B_j) \end{aligned}$$

**Theorem 1.2.22** (Adjoint Associativity). Let  $R$  and  $S$  be rings, let  $A$  be a right  $R$ -module, let  $B$  be an  $(R, S)$ -bimodule and let  $C$  be a right  $S$ -module. Then there is a natural bijection (actually a isomorphism of abelian groups):

$$\text{Hom}_S(A \otimes_R B, C) \cong \text{Hom}_R(A, \text{Hom}_S(B, C))$$

given by

$$\alpha : f \in \text{Hom}_S(A \otimes_R B, C) \mapsto (a \mapsto (\Phi : b \mapsto f(a \otimes b)))$$

and

$$\beta : g \in \text{Hom}_R(A, \text{Hom}_S(B, C)) \mapsto (a \otimes b \mapsto g(a)(b))$$

**Remark 1.2.23.** 'natural' in above theorem means:  ${}_R B_S$  is a bi-module, then

$(\_\otimes_R B, \text{Hom}_S(B, \_))$  is a adjoint pair between right  $R$ -module category and right  $S$ -module category.

**Remark 1.2.24.** (1) If  ${}_R B_S$  is a bi-module,  $C$  is a right  $R$ -module,  $\text{Hom}_S(B, C)$  has a natural right  $R$ -module structure. Notice that we can define  $fr(b) = f(rb)$ , then  $fr(bs) = f(r(bs)) = f((rb)s) = f(rb)s = (fr(b))s, f(r_1 r_2)(b) = (fr_1)r_2(b)$ . It makes  $\text{Hom}_S(B, C)$  to be a right  $R$ -module.

(2) If  ${}_S B_R$  is a bi-module,  $C$  is a left  $S$ -module, then  $\text{Hom}_S(B, C)$  has a natural left  $R$ -module structure.

(3) If  ${}_S B_R$  is a bi-module,  $C$  is a left  $S$ -module, then  $B \otimes_R A$  has a natural left  $S$ -module structure.

**Proposition 1.2.25.** If  $M$  is a left  $R$ -module, then there's left  $R$ -module isomorphism

$$\text{Hom}_R(R, M) \simeq M$$

**Theorem 1.2.26.** If  $R$  is a ring with identity and  $A_R$  and  ${}_R B$  are free  $R$ -modules with bases  $X$  and  $Y$  respectively, then  $A \otimes_R B$  is a free (right)  $R$ -module  $((a \otimes b)r = ar \otimes b)$  with basis  $W = \{x \otimes y : x \in X, y \in Y\}$ .

**Proposition 1.2.27.** If  $k$  is a commutative ring and  $A$  and  $B$  are  $k$ -algebras, then the tensor product  $A \otimes_k B$  is a  $k$ -algebra if we define

$$(a \otimes b)(a' \otimes b') = aa' \otimes bb'.$$

**Lemma 1.2.28** (The Short Five Lemma). Let  $R$  be a ring and

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' & \longrightarrow & 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \\ 0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' & \longrightarrow & 0 \end{array}$$

a commutative diagram of  $R$ -modules and  $R$ -module homomorphisms such that each row is a short exact sequence. Then

- (1)  $\alpha, \gamma$  monomorphisms  $\Rightarrow \beta$  is a monomorphism(injective);
- (2)  $\alpha, \gamma$  epimorphisms  $\Rightarrow \beta$  is an epimorphism(surjective);
- (3)  $\alpha, \gamma$  isomorphisms  $\Rightarrow \beta$  is an isomorphism.

**Definition 1.2.29** (Spilt exact sequence). Let  $R$  be a ring and  $0 \rightarrow A_1 \xrightarrow{f} B \xrightarrow{g} A_2 \rightarrow 0$  a short exact sequence of  $R$ -module homomorphisms. Then the following conditions are equivalent:

- (1) There is an  $R$ -module homomorphism  $h : A_2 \rightarrow B$  with  $gh = 1_{A_2}$ ;
- (2) There is an  $R$ -module homomorphism  $k : B \rightarrow A_1$  with  $kf = 1_{A_1}$ ;
- (3) the given sequence is isomorphic (with identity maps on  $A_1$  and  $A_2$ ) to the direct sum short exact sequence  $0 \rightarrow A_1 \xrightarrow{l_1} A_1 \oplus A_2 \xrightarrow{\pi_2} A_2 \rightarrow 0$ ; in particular  $B \simeq A_1 \oplus A_2$ .

(4)

$$0 \rightarrow \text{Hom}_R(D, A) \xrightarrow{\bar{f}} \text{Hom}_R(D, B) \xrightarrow{\bar{g}} \text{Hom}_R(D, C) \rightarrow 0$$

is a spilt exact sequence of abelian groups for all  $R$ -module  $D$ .

(5)

$$0 \leftarrow \text{Hom}_R(A, J) \xleftarrow{\bar{f}} \text{Hom}_R(B, J) \xleftarrow{\bar{g}} \text{Hom}_R(C, J) \rightarrow 0$$

is a spilt exact sequence of abelian groups for all  $R$ -module  $D$ .

A short exact sequence that satisfies the equivalent conditions is said to be split or a split exact sequence.

**Lemma 1.2.30** (Snake lemma). Let

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{u} & M & \xrightarrow{v} & M'' & \longrightarrow & 0 \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' & & \\ 0 & \longrightarrow & N' & \xrightarrow{u'} & N & \xrightarrow{v'} & N'' & \longrightarrow & 0 \end{array}$$

be a commutative diagram of  $A$ -modules and homomorphisms, with the rows exact. Then there exists an exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Ker}(f') & \xrightarrow{\bar{u}} & \text{Ker}(f) & \xrightarrow{\bar{v}} & \text{Ker}(f'') \\ & & & & & \searrow d & \\ & & \text{Coker}(f') & \xleftarrow{\bar{u}'} & \text{Coker}(f) & \xrightarrow{\bar{v}'} & \text{Coker}(f'') \longrightarrow 0 \end{array}$$

in which  $\bar{u}, \bar{v}$  are restrictions of  $u, v$ , and  $\bar{u}', \bar{v}'$  are induced by  $u', v'$ . The boundary homomorphism  $d$  is defined as follows: if  $x'' \in \text{Ker}(f'')$ , we have  $x'' = v(x)$  for some  $x \in M$ , and  $v'(f(x)) = f''(v(x)) = 0$ , hence  $f(x) \in \text{Ker}(v') = \text{Im}(u')$ , so that  $f(x) = u'(y')$  for some  $y' \in N'$ . Then  $d(x'')$  is defined to be the image of  $y'$  in  $\text{Coker}(f')$ .

**Proposition 1.2.31.**

(1)

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C$$

is any short exact sequence of  $R$ -modules, if and only if for all  $R$ -module  $D$

$$0 \rightarrow \text{Hom}_R(D, A) \xrightarrow{\bar{f}} \text{Hom}_R(D, B) \xrightarrow{\bar{g}} \text{Hom}_R(D, C)$$

is an exact sequence of abelian groups.

(2)

$$A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$$

is any short exact sequence of  $R$ -modules, is any short exact sequence of  $R$ -modules, if and only if for all  $R$ -module  $D$

$$\text{Hom}_R(A, D) \xleftarrow{\bar{f}} \text{Hom}_R(B, D) \xleftarrow{\bar{g}} \text{Hom}_R(C, D) \rightarrow 0$$

is an exact sequence of abelian groups.

**Definition 1.2.32** (Projective module). Let  $R$  be a ring. The following conditions on an  $R$ -module  $P$  are equivalent.

(1) given a diagram as follow with row exact, there's  $h$  making the diagram commute.

$$\begin{array}{ccccc} & & P & & \\ & \swarrow \text{dotted} & \downarrow f & & \\ A & \xrightarrow{g} & B & \longrightarrow & 0 \end{array}$$

- (2) every short exact sequence  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} P \rightarrow 0$  is split exact.
- (3) there is a free module  $F$  and an  $R$ -module  $K$  such that  $F \cong K \oplus P$ . (summand of free module)
- (4) if  $f : B \rightarrow C$  is any  $R$ -module epimorphism then  $\bar{f} : \text{Hom}_R(P, B) \rightarrow \text{Hom}_R(P, C)$  is an epimorphism of abelian groups;
- (5) if

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$$

is any short exact sequence of  $R$ -modules, then

$$0 \rightarrow \text{Hom}_R(P, A) \xrightarrow{\bar{f}} \text{Hom}_R(P, B) \xrightarrow{\bar{g}} \text{Hom}_R(P, C) \rightarrow 0$$

is an exact sequence of abelian groups.

**Proposition 1.2.33.** Every free module  $F$  over a ring  $R$  is projective.

**Proposition 1.2.34.** Let  $R$  be a ring. A direct sum of  $R$ -modules  $\sum_i P_i$  is projective if and only if each  $P_i$  is projective.

**Proposition 1.2.35.** If  $R$  is commutative then the tensor product of two projective  $R$ -modules (with a natural  $R$ -module structure) is projective.

*Proof:* By Adjoint Associativity.

**Definition 1.2.36** (Injective module). Let  $R$  be a ring with identity. The following conditions on a unitary  $R$ -module  $R$  are equivalent:

- (1) given a diagram as follow with row exact, there's  $h$  making the diagram commute.

$$\begin{array}{ccccc} & & J & & \\ & \nearrow & \uparrow f & & \\ A & \xleftarrow{g} & B & \xleftarrow{\quad} & 0 \end{array}$$

- (2) every short exact sequence  $0 \rightarrow J \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  is split exact.
- (3)  $J$  is a direct summand of any module  $B$  of which it is a submodule.
- (4) if  $f : B \rightarrow C$  is any  $R$ -module monomorphism then  $\bar{f} : \text{Hom}_R(A, J) \leftarrow \text{Hom}_R(B, J)$  is an epimorphism of abelian groups;
- (5) if

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$$

is any short exact sequence of  $R$ -modules, then

$$0 \leftarrow \text{Hom}_R(A, J) \xleftarrow{\bar{f}} \text{Hom}_R(B, J) \xleftarrow{\bar{g}} \text{Hom}_R(C, J) \rightarrow 0$$

is an exact sequence of abelian groups.

(6) for every left ideal  $L$  of  $R$ , any  $R$ -module homomorphism  $L \rightarrow J$  can be extended to  $R \rightarrow J$  (Baer's Criterion)

**Proposition 1.2.37.** A direct product of  $R$ -modules  $\prod_{i \in I} J_i$  is injective if and only if  $J_i$  is injective for every  $J_i, i \in I$ .

**Proposition 1.2.38.** If  $R$  is a P.I.D., then  $Q$  is injective if and only if  $rQ = Q$  for every nonzero  $r \in R$ .

*Proof:* By Baer's Criterion.

**Proposition 1.2.39.** Suppose that  $D$  is a right  $R$ -module and that  $L, M$  and  $N$  are left  $R$ -modules. If

$$0 \longrightarrow L \xrightarrow{\psi} M \xrightarrow{\varphi} N \longrightarrow 0 \text{ is exact,}$$

then the associated sequence of abelian groups

$$D \otimes_R L \xrightarrow{1 \otimes \psi} D \otimes_R M \xrightarrow{1 \otimes \varphi} D \otimes_R N \longrightarrow 0 \text{ is exact.}$$

**Proposition 1.2.40.** Let  $R$  be a ring and let  $M$  be an  $R$ -module. Then  $M$  is contained in an injective  $R$ -module.

**Proposition 1.2.41.** Any modules over a PID, it is a projective module if and only if it is a free module.

**Definition 1.2.42** (Flat module). Let  $A$  be a right  $R$ -module. Then the following are equivalent:

(1) For any left  $R$ -modules  $L, M$ , and  $N$ , if

$$0 \longrightarrow L \xrightarrow{\psi} M \xrightarrow{\varphi} N \longrightarrow 0$$

is a short exact sequence, then

$$0 \longrightarrow A \otimes_R L \xrightarrow{1 \otimes \psi} A \otimes_R M \xrightarrow{1 \otimes \varphi} A \otimes_R N \longrightarrow 0$$

is also a short exact sequence.

(2) For any left  $R$ -modules  $L$  and  $M$ , if  $0 \rightarrow L \xrightarrow{\psi} M$  is an exact sequence of left  $R$ -modules (i.e.,  $\psi : L \rightarrow M$  is injective) then  $0 \rightarrow A \otimes_R L \xrightarrow{1 \otimes \psi} A \otimes_R M$  is an exact sequence of abelian groups (i.e.,  $1 \otimes \psi : A \otimes_R L \rightarrow A \otimes_R M$  is injective).

Similarly, we can define left flat  $R$ -module.

**Proposition 1.2.43.** Projective modules are flat.

**Example 1.2.44.**  $\mathbb{Q}/\mathbb{Z}$  is not flat.

*Proof:* Since  $\mathbb{Q}/\mathbb{Z} \otimes \mathbb{Z} \simeq \mathbb{Q}/\mathbb{Z}$ , we have  $\frac{1}{2} + \mathbb{Z} \otimes 1$  is non-zero. Consider a exact sequence

$$0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z}$$

, tensor the exact sequence with  $\mathbb{Q}/\mathbb{Z}$ . Notice that  $\mathbb{Q}/\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z} \xrightarrow{1 \otimes (\times 2)} \mathbb{Q}/\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}$  is not injective since  $\frac{1}{2} + \mathbb{Z} \otimes 1$  in its kernel. Hence  $\mathbb{Q}/\mathbb{Z}$  is not flat.

**Proposition 1.2.45.**  $\sum_{i \in I} A_i$  flat if and only if each  $A_i, i \in I$  flat.

*Proof:* Since tensor product commute with direct sum.

**Example 1.2.46.**

	$\mathbb{Z}$	$\mathbb{Q}$	$\mathbb{Q}/\mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Q}$
flat	✓	✓ (By 1.8.2)	× (1.2.44)	✓ (1.2.45)
projective	✓	× (By 1.2.41)	×	× (1.2.34)
injective	× (By 1.2.38)	✓ (By 1.2.38)	✓ (By 1.2.38)	× (1.2.37)

### 1.3 Basic Definition in Field Thoery

**Theorem 1.3.1.** Let  $p(x) \in F[x]$  be an irreducible polynomial of degree  $n$  over the field  $F$  and let  $K$  be the field  $F[x]/(p(x))$ . Let  $\theta = x \bmod (p(x)) \in K$ . Then the elements

$$1, \theta, \theta^2, \dots, \theta^{n-1}$$

are a basis for  $K$  as a vector space over  $F$ , so the degree of the extension is  $n$ , i.e.,  $[K : F] = n$ . Hence

$$K = \{a_0 + a_1\theta + a_2\theta^2 + \dots + a_{n-1}\theta^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\}$$

consists of all polynomials of degree  $< n$  in  $\theta$ .

**Definition 1.3.2.** Let  $K$  be an extension of the field  $F$  and let  $S$  be a subset of  $K$ . Then the smallest subfield of  $K$  containing both  $F$  and the elements  $s \in S$ , denoted  $F(S)$  is called the field generated by  $S$  over  $F$ . If the field  $K$  is generated by a single element  $\alpha$  over  $F$ ,  $K = F(\alpha)$ , then  $K$  is said to be a simple extension of  $F$  and the element  $\alpha$  is called a primitive element for the extension.

**Theorem 1.3.3.** Let  $F$  be a field and let  $p(x) \in F[x]$  be an irreducible polynomial. Suppose  $K$  is an extension field of  $F$  containing a root  $\alpha$  of  $p(x) : p(\alpha) = 0$ . Let  $F(\alpha)$  denote the subfield of  $K$  generated over  $F$  by  $\alpha$ . Then

$$F(\alpha) \cong F[x]/(p(x))$$

Suppose that  $p(x)$  is of degree  $n$ . Then

$$F(\alpha) = \{a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_{n-1}\alpha^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\} \subseteq K$$

**Theorem 1.3.4.** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $p(x) \in F[x]$  be an irreducible polynomial and let  $p'(x) \in F'[x]$  be the irreducible polynomial obtained by applying the map  $\varphi$  to the coefficients of  $p(x)$ . Let  $\alpha$  be a root of  $p(x)$  (in some extension of  $F$ ) and let  $\beta$  be a root of  $p'(x)$  (in some extension of  $F'$ ). Then there is an isomorphism

$$\begin{aligned} \sigma : F(\alpha) &\xrightarrow{\sim} F'(\beta) \\ \alpha &\longmapsto \beta \end{aligned}$$

mapping  $\alpha$  to  $\beta$  and extending  $\varphi$ , i.e., such that  $\sigma$  restricted to  $F$  is the isomorphism  $\varphi$ .

In the following statements, we always assume  $F$  be a field and let  $K$  be an extension of  $F$ ,  $\alpha, \beta \in K$  be an element.



**Definition 1.3.5.** The element  $\alpha \in K$  is said to be algebraic over  $F$  if  $\alpha$  is a root of some nonzero polynomial  $f(x) \in F[x]$ . If  $\alpha$  is not algebraic over  $F$ , then  $\alpha$  is said to be transcendental over  $F$ . The extension  $K/F$  is said to be algebraic if every element of  $K$  is algebraic over  $F$ .

Let  $\alpha$  be algebraic over  $F$ . Then there is a unique monic irreducible polynomial  $m_{\alpha,F}(x) \in F[x]$  which has  $\alpha$  as a root. A polynomial  $f(x) \in F[x]$  has  $\alpha$  as a root if and only if  $m_{\alpha,F}(x)$  divides  $f(x)$  in  $F[x]$ .

**Theorem 1.3.6.** Let  $\alpha$  be algebraic over the field  $F$  and let  $F(\alpha)$  be the field generated by  $\alpha$  over  $F$ . Then

$$F(\alpha) \cong F[x]/(m_{\alpha}(x))$$

so that in particular

$$[F(\alpha) : F] = \deg m_{\alpha}(x) = \deg \alpha,$$

i.e., the degree of  $\alpha$  over  $F$  is the degree of the extension it generates over  $F$ .

**Proposition 1.3.7.** The element  $\alpha \in K$  is algebraic over  $F$  if and only if the simple extension  $F(\alpha)/F$  is finite. More precisely, if  $\alpha$  is an element of an extension of degree  $n$  over  $F$  then  $\alpha$  satisfies a polynomial of degree at most  $n$  over  $F$  and if  $\alpha$  satisfies a polynomial of degree  $n$  over  $F$  then the degree of  $F(\alpha)$  over  $F$  is at most  $n$ .

**Definition 1.3.8.** Let  $K_1$  and  $K_2$  be two subfields of a field  $K$ . Then the composite field of  $K_1$  and  $K_2$ , denoted  $K_1K_2$ , is the smallest subfield of  $K$  containing both  $K_1$  and  $K_2$ . Similarly, the composite of any collection of subfields of  $K$  is the smallest subfield containing all the subfields.

**Proposition 1.3.9.**  $F(\alpha, \beta) = (F(\alpha))(\beta)$ , i.e., the field generated over  $F$  by  $\alpha$  and  $\beta$  is the field generated by  $\beta$  over the field  $F(\alpha)$  generated by  $\alpha$ . In general, if  $a_1, \dots, a_n$  be elements of  $K$ , then  $F(a_1, \dots, a_n) = ((F(a_1)(a_2)) \dots)(a_n)$

**Corollary 1.3.10.** If  $K \subset L \subset M$  are field extensions,  $L/K, M/L$  are algebraic extensions, then  $M/K$  is algebraic.

**Definition 1.3.11** (splitting field). The extension field  $K$  of  $F$  is called a splitting field for the polynomial  $f(x) \in F[x]$  if  $f(x)$  factors completely into linear factors (or splits completely) in  $K[x]$  and  $f(x)$  does not factor completely into linear factors over any proper subfield of  $K$  containing  $F$ .

**Theorem 1.3.12.** For any field  $F$ , if  $f(x) \in F[x]$  then there exists an extension  $K$  of  $F$  which is a splitting field for  $f(x)$ .

*Proof:* We first show that there is an extension  $E$  of  $F$  over which  $f(x)$  splits completely into linear factors by induction on the degree  $n$  of  $f(x)$ . If  $n = 1$ , then take  $E = F$ . Suppose now that  $n > 1$ . If the irreducible factors of  $f(x)$  over  $F$  are all of degree 1, then  $F$  is the splitting field for  $f(x)$  and we may take  $E = F$ . Otherwise, at least one of the irreducible factors, say  $p(x)$  of  $f(x)$  in  $F[x]$  is of degree at least 2. Hence, there is an extension  $E_1$  of  $F$  containing a root  $\alpha$  of  $p(x)$ . Over  $E_1$  the polynomial  $f(x)$  has the linear factor  $x - \alpha$ . The degree of the

remaining factor  $f_1(x)$  of  $f(x)$  is  $n-1$ , so by induction there is an extension  $E$  of  $E_1$  containing all the roots of  $f_1(x)$ . Since  $\alpha \in E$ ,  $E$  is an extension of  $F$  containing all the roots of  $f(x)$ . Now let  $K$  be the intersection of all the subfields of  $E$  containing  $F$  which also contain all the roots of  $f(x)$ . Then  $K$  is a field which is a splitting field for  $f(x)$ .

**Theorem 1.3.13.** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $f(x) \in F[x]$  be a polynomial and let  $f'(x) \in F'[x]$  be the polynomial obtained by applying  $\varphi$  to the coefficients of  $f(x)$ . Let  $E$  be a splitting field for  $f(x)$  over  $F$  and let  $E'$  be a splitting field for  $f'(x)$  over  $F'$ . Then the isomorphism  $\varphi$  extends to an isomorphism  $\sigma : E \xrightarrow{\sim} E'$ , i.e.,  $\sigma$  restricted to  $F$  is the isomorphism  $\varphi$  :

$$\begin{array}{ccc} \sigma : E & \xrightarrow{\sim} & E' \\ \uparrow & & \uparrow \\ \varphi : F & \xrightarrow{\sim} & F' \end{array}$$

**Definition 1.3.14.** The field  $\bar{F}$  is called an algebraic closure of  $F$  if  $\bar{F}$  is algebraic over  $F$  and if every polynomial  $f(x) \in F[x]$  splits completely over  $\bar{F}$  (so that  $\bar{F}$  can be said to contain all the elements algebraic over  $F$ ).

A field  $K$  is said to be algebraically closed if every polynomial with coefficients in  $K$  has a root in  $K$ .

**Theorem 1.3.15.** Let  $\bar{F}$  be an algebraic closure of  $F$ . Then  $F$  is algebraically closed.

*Proof:* By Corollary 1.3.10.

**Theorem 1.3.16.** For any field  $F$ , algebraic closure of  $F$  exists and is unique up to isomorphism.

*Proof:* Existence: For each polynomial  $f \in F[X]$ , choose a splitting field  $E_f$ , and let

$$\Omega = \left( \bigotimes_{f \in F[x]} E_f \right) / M$$

where  $M$  is a maximal ideal. It is clear that  $\Omega$  is a  $F$ -algebra and  $E_f$  can be embedded into  $\Omega$ . Since  $f$  splits in  $E_f$ , it must also split in the larger field  $\Omega$ . Then all the algebraic elements in  $\Omega$  is therefore an algebraic closure of  $F$ .

Uniqueness: It is suffice to show:

**Lemma 1.3.17.** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields,  $\bar{F}'$  be the algebraic closure of  $F'$ ,  $E/F$  is a algebraic extension, then there's  $\sigma : E \rightarrow \bar{F}'$  ring homomorphism satisfying  $\sigma|_F = \varphi$

*Proof of the lemma:* By Zorn's Lemma and Theorem 1.3.4. □

In the following statements,  $F$  is a field, and we fix an algebraic closure of  $F$  and denote it by  $\bar{F}$ .

**Definition 1.3.18** (separable). A polynomial  $f(x) \in F[x]$  is separable if  $f(x)$  has no multiple root in  $\bar{F}$ .

**Proposition 1.3.19.** A polynomial  $f(x)$  has a multiple root  $\alpha \in \bar{F}$  if and only if  $\alpha$  is also a root of  $f'(x)$ . In particular,  $f(x)$  is separable if and only if it is relatively prime to its derivative:  $(f(x), D_x f(x)) = 1$ .

**Remark 1.3.20.** For any two polynomials  $f(x), g(x) \in F[x]$ , they have the same g.c.d in  $F[x]$  and  $\bar{F}[x]$  since Euclidean division doesn't change if we replace  $F$  by any extension field of  $F$ .

**Definition 1.3.21.**  $\alpha \in \bar{F}$  is separable if  $m_\alpha(x) \in F[x]$  is separable polynomial.

$F \subset E \subset \bar{F}$  are field extensions,  $E/F$  is a separable extension if for all  $\alpha \in E$ ,  $\alpha$  is separable.

**Definition 1.3.22** (perfect field). A field  $F \subset \bar{F}$  is perfect if and only if every finite extension of  $F$  is separable.

**Lemma 1.3.23.** Let  $p(x)$  be an irreducible polynomial over a field  $F$  of characteristic  $p$ . Then there is a unique integer  $k \geq 0$  and a unique irreducible separable polynomial  $p_{\text{sep}}(x) \in F[x]$  such that

$$p(x) = p_{\text{sep}}(x^{p^k})$$

**Proposition 1.3.24.** A field  $F$  is perfect if and only if it is a field of characteristic 0 or a field of characteristic  $p > 0$  such that every element has a  $p$ -th root.

*Proof:* ' $\Leftarrow$ ': case 1: If  $\text{ch} F = 0$ , then by Proposition 1.3.19,  $F$  is perfect.

case 2: If  $\text{ch} F = p$ ,  $\alpha \in \bar{F}$ , and  $p(x) = m_\alpha(x) \in F[x]$  is inseparable, by Lemma 1.3.23, there's irreducible polynomial  $q(x)$  such that  $p(x) = q(x^p)$ . Hence

$$p(x) = a_m x^{pm} + \dots + a_1 x^p + a_0 = b_m^p x^{pm} + \dots + b_1^p x^p + b_0^p = (b_m x^m + \dots + b_0)^p$$

where  $b_i^p = a_i$  for  $i = 0, \dots, m$ . A contradiction!

' $\Rightarrow$ ': if  $\text{ch} F = p$  and  $\alpha \in \bar{F}$  is not a  $p$ -th root, consider  $p(x) = x^p - \alpha$ . Notice that  $(p(x), p'(x)) = p(x)$ , then  $p(x)$  is inseparable. However, if  $\beta \in \bar{F}$  is a root of  $p(x)$ , then  $p(x) = x^p - \alpha = x^p - \beta^p = (x - \beta)^p$ . If  $p(x)$  is reducible in  $F[x]$ ,  $p(x) = a(x)b(x)$  where  $\deg a(x), \deg b(x) < p$ .

Notice that  $a(x) = (x - \beta)^s, b(x) = (x - \beta)^t \in F[x]$  with  $s + t = p$ , then  $\beta^s \in F, \beta^t \in F$ . Hence by Bezout Theorem, we have  $\beta^{(s,t)} = \beta \in F$  which contradict to the fact that  $\alpha$  is not a  $p$ -th root. Hence  $p(x)$  is irreducible inseparable polynomial, and contradict to the fact  $F$  is perfect!

**Corollary 1.3.25.** In the proof of above Proposition, we can get: If  $\text{ch} F = 0$  and  $p(x) = x^p - \alpha \in F[x]$ , either  $p(x)$  is irreducible or  $p(x) = (x - \beta)^p$  for some  $\beta \in F$ .

**Example 1.3.26.**  $\mathbb{Q}, \mathbb{F}_q$  are perfect fields and  $\mathbb{F}_p(t)$  is not perfect field.

**Definition 1.3.27.** Given field extensions  $F \subset E \subset \bar{F}$ ,  $E$  is called purely inseparable if for each  $\alpha \in E$  the minimal polynomial of  $\alpha$  over  $F$  has only one distinct root. It is easy to see that the following are equivalent:

- (1)  $E/F$  is purely inseparable
- (2) if  $\alpha \in E$  is separable over  $F$ , then  $\alpha \in F$
- (3) if  $\alpha \in E$ , then  $\alpha^{p^n} \in F$  for some  $n$  (depending on  $\alpha$ ), and  $m_{\alpha, F}(x) = x^{p^n} - \alpha^{p^n}$ .

**Definition 1.3.28.** Let  $F \subset E \subset \bar{F}$  be field extensions, we call  $E/F$  normal if for all  $\alpha \in E$ , all the roots of  $m_\alpha(x)$  lie in  $E$ .

**Definition 1.3.29.** Let  $F \subset E \subset \bar{F}$  be field extensions. Let  $\text{Aut}(E/F)$  be the collection of automorphisms of  $K$  which fix  $F$ .

**Theorem 1.3.30.** Let  $F \subset E \subset \bar{F}$  be field extensions, the following statements are equivalent:

- (1)  $E/F$  is normal.
- (2) every  $F$ -algebra homomorphism from  $E$  to  $\bar{F}$  is a  $F$ -algebra homomorphism from  $E$  to  $E$ .

Moreover, if  $[K : F] < \infty$ , then the above statements are equivalent to that  $K$  is a splitting field of some  $p(X) \in F[x]$ .

*Proof:* (1) $\implies$ (2) is clear.

(2) $\implies$ (1): By Lemma 1.3.16

Now suppose  $[E : F] < \infty$ . First we assume  $F \subseteq E$  is normal and choose  $u_1 \in E - F$ . Then its minimal polynomial is  $P_{u_1}$  and  $[E : F(u_1)] < [E : F]$ . Next we choose  $u_2 \in E - F(u_1)$ . Continuing this process, we conclude  $E = F(u_1, \dots, u_n)$ . Let  $P = \prod_{i=1}^n P_{u_i}$ , and then  $E$  is the splitting field of  $P$ .

On the other hand, if  $E$  is the splitting field of  $P \in F[X]$  whose roots in  $\bar{F}$  are  $\{u_1, \dots, u_n\}$ . Then  $E = F(u_1, \dots, u_n)$ . Consider an  $F$ -algebra homomorphism  $\iota : F(u_1, \dots, u_n) \rightarrow \bar{F}$ , since  $\iota(u_i)$  is a root of  $P$  as well,  $\iota(u_i) \in E$ . Hence  $\iota(E) \subseteq E$ .

**Proposition 1.3.31.** Given field extensions  $F \subset E \subset \bar{F}$ , then all  $F$ -algebra homomorphisms from  $E$  to  $E$  are in  $\text{Aut}(E/F)$  i.e.  $\text{Aut}(E/F) = \{F\text{-algebra homomorphism between } E \text{ and } E\}$

*Proof:* Given any  $F$ -algebra homomorphism  $\tau : K \rightarrow K$ , we know it's injective and it's enough to prove it's surjective. We assume  $u \in K$  and  $P \in F[X]$  is its minimal polynomial over  $F$ . If  $u_1, \dots, u_n$  are its different roots in  $\bar{F}$ , we assume only  $u_1, \dots, u_r$  are in  $K$ . Then  $u \in \{u_1, \dots, u_r\}$ . Since  $\tau$  fixes  $F$ ,  $\tau(u_i)$  is also a root of  $P$  in  $K$  where  $1 \leq i \leq r$ . Then  $\tau : \{u_1, \dots, u_r\} \rightarrow \{u_1, \dots, u_r\}$ . That  $\tau$  is injective implies it's surjective on this subset as well, which means  $\exists u_i, \tau(u_i) = u$ .

**Theorem 1.3.32.** Let  $E$  be the splitting field over  $F$  of the polynomial  $f(x) \in F[x]$ . Then

$$|\operatorname{Aut}(E/F)| \leq [E : F]$$

with equality if  $f(x)$  is separable over  $F$ .

**Definition 1.3.33.** Let  $E/F$  be a finite extension. Then  $E$  is said to be Galois over  $F$  and  $E/F$  is a Galois extension if  $|\operatorname{Aut}(E/F)| = [E : F]$ . If  $E/F$  is Galois the group of automorphisms  $\operatorname{Aut}(E/F)$  is called the Galois group of  $E/F$ , denoted  $\operatorname{Gal}(E/F)$ .

**Proposition 1.3.34.** We have 4 characterizations of Galois extensions  $E/F$  :

- (1) splitting fields of separable polynomials over  $F$
- (2) fields where  $F$  is precisely the set of elements fixed by  $\operatorname{Aut}(E/F)$  (in general, the fixed field may be larger than  $F$  )
- (3) fields with  $[E : F] = |\operatorname{Aut}(E/F)|$  (the original definition)
- (4) finite, normal and separable extensions.

**Theorem 1.3.35** (Fundamental Theorem of Galois Theory).  $F \subset K \subset \bar{F}$  be field extensions.  $K/F$  be a Galois extension and set  $G = \operatorname{Gal}(K/F)$ . Then there is a bijection:

$$\{\text{subfield of } K \text{ containing } F\} \longleftrightarrow \{\text{subgroup of } G\}$$

given by the correspondences

$$E \longrightarrow \{\text{elements of } G \text{ fixing } E\}$$

$$\text{fix field of } H \longleftarrow H$$

which are inverse to each other. Under this correspondence,

- (1) there's a one-to-one correspondence:

$$\begin{array}{ccc} \{F\text{-algebra homomorphism between } E \text{ and } \bar{F}\} & & \\ \uparrow \sigma H \mapsto \sigma|_E & \searrow \text{Extended by 1.3.16 and 1.3.31} & \\ \{\text{left cosets of } H \text{ in } G\} & \xrightarrow{\sigma H \mapsto \sigma|_E} & \{\sigma|_E : \sigma \in G\} \end{array}$$

- (2) (inclusion reversing) If  $E_1, E_2$  correspond to  $H_1, H_2$ , respectively, then  $E_1 \subseteq E_2$  if and only if  $H_2 \leq H_1$
- (3)  $[K : E] = |H|$  and  $[E : F] = [G : H]$
- (4)  $K/E$  is always Galois, with Galois group  $\operatorname{Gal}(K/E) = H$  :

(5) For all  $\sigma \in G$ ,

$$\sigma(E) \longleftrightarrow \sigma H \sigma^{-1}$$

In particular, by (1) and Theorem 1.3.30,  $E$  is normal(hence Galios) over  $F$  if and only if  $H$  is a normal subgroup in  $G$ . If this is the case, then the Galois group is isomorphic to the quotient group

$$\text{Gal}(E/F) \cong G/H$$

(6) If  $E_1, E_2$  correspond to  $H_1, H_2$ , respectively, then the intersection  $E_1 \cap E_2$  corresponds to the group  $(H_1, H_2)$  generated by  $H_1$  and  $H_2$  and the composite field  $E_1 E_2$  corresponds to the intersection  $H_1 \cap H_2$ .

In the following statements, we fix a algebraic closure of  $F$ , and  $K, F', K_1, K_2$  containing  $F$  are subfield of  $\bar{F}$ .

**Theorem 1.3.36.** Suppose  $K/F$  is a Galois extension and  $F'/F$  is any extension. Then  $KF'/F'$  is a Galois extension, with Galois group

$$\text{Gal}(KF'/F') \cong \text{Gal}(K/K \cap F')$$

isomorphic to a subgroup of  $\text{Gal}(K/F)$ .

**Corollary 1.3.37.** Suppose  $K/F$  is a Galois extension and  $F'/F$  is any finite extension. Then

$$[KF' : F] = \frac{[K : F][F' : F]}{[K \cap F' : F]}$$

**Theorem 1.3.38.** Let  $K_1$  and  $K_2$  be Galois extensions of a field  $F$ . Then

- (1) The intersection  $K_1 \cap K_2$  is Galois over  $F$ .
- (2) The composite  $K_1 K_2$  is Galois over  $F$ . The Galois group is isomorphic to the subgroup

$$H = \{(\sigma, \tau) | \sigma|_{K_1 \cap K_2} = \tau|_{K_1 \cap K_2}\}$$

of the direct product  $\text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  consisting of elements whose restrictions to the intersection  $K_1 \cap K_2$  are equal.

**Corollary 1.3.39.**  $E/F$  be finite separable extension, there's Galois extension  $K_1$  contains  $E$ (for example, the composite of the splitting fields of the minimal polynomials for a basis for  $E$  over  $F$ ). Take  $S$  be the set of all the Galios extenison of  $F$  which contains  $E$ , then

$$\bar{E} = \bigcap_{K \in S} K = \bigcap_{K \in S} (K \cap K_1)$$

is acturally finite many intersection of Galios extenison of  $F$  which contains  $E$  by Fundamental Theorem of Galios Theory.

Hence, there's minimal Galios extension of  $F$  that contains  $E$ .

**Corollary 1.3.40.** If  $K/F$  is finite and separable, then  $K/F$  is simple. In particular, any finite extension of fields of characteristic 0 is simple.

**Corollary 1.3.41.**  $K_1$  and  $K_2$  are separable extensions over  $F$ , then  $K_1K_2$  also separable over  $F$ . In particular, all the separable elements in  $\bar{F}$  form a field. We call it separable closure of  $F$  and denote it by  $F_{sep}$ .

**Proposition 1.3.42.**  $\bar{F}/F_{sep}$  is purely inseparable extension and  $F_{sep}$  is separable and normal extension.

*Proof:* By characterizations of purely inseparable extension and definition of normal extension.

**Theorem 1.3.43.** Let  $G$  be a topological group, and let  $\mathcal{N}$  be a neighbourhood base for the identity element  $e$  of  $G$ . Then

- (1) for all  $N_1, N_2 \in \mathcal{N}$ , there exists an  $N' \in \mathcal{N}$  such that  $e \in N' \subset N_1 \cap N_2$ ;
- (2) all  $a \in N \in \mathcal{N}$ , there exists an  $N' \in \mathcal{N}$  such that  $N'a \subset N$ ;
- (3) all  $N \in \mathcal{N}$ , there exists an  $V \in \mathcal{N}$  such that  $V^{-1}V \subset N$ ;
- (4) all  $N \in \mathcal{N}$  and all  $g \in G$ , there exists an  $N' \in \mathcal{N}$  such that  $g^{-1}N'g \subset N$ ;

Conversely, if  $G$  is a group and  $\mathcal{N}$  is a nonempty set of subsets of  $G$  contain  $e$  satisfying (1), (2), (3), (4), then there is a (unique) topology on  $G$  such that  $G$  is a topological group and  $\mathcal{N}$  form a neighborhood base at  $e$ .

Morover, if subsets in  $\mathcal{N}$  are all subgroup of  $G$ , we only need (1) and (4)

**Definition 1.3.44.** Given field extensions  $F \subset E \subset \bar{F}$ ,  $E/F$  is called Galois extension iff  $E/F$  is separable and normal.

**Theorem 1.3.45.**  $(L_i)_{i \in I}$  are all finite Galois extension of  $F$  contained in  $E$ , notice that  $\text{Gal}(E/L_i L_j) \subset \text{Gal}(E/L_i) \cap \text{Gal}(E/L_j)$  for  $i, j \in I$  and for all  $\sigma \in \text{Gal}(E/F)$ ,  $\sigma^{-1} \text{Gal}(E/L_i) \sigma = \text{Gal}(E/L_i)$ . Hence  $(\text{Gal}(E/L_i)_{i \in I})$  induce a topological group structure on  $\text{Gal}(E/F)$  such that  $(\text{Gal}(E/L_i)_{i \in I})$  form a neighborhood at  $e$  of  $G$ . We call it Krull topology.

**Theorem 1.3.46** (infinite Galois correspondence).

## 1.4 Specturm

**Proposition 1.4.1.** Let  $A$  be a ring and let  $X$  be the set of all prime ideals of  $A$ . For each subset  $E$  of  $A$ , let  $V(E)$  denote the set of all prime ideals of  $A$  which contain  $E$ .

- (1) if  $a$  is the ideal generated by  $E$ , then  $V(E) = V(a) = V(r(a))$ .
- (2)  $V(\emptyset) = X, V((1)) = \emptyset$
- (3) if  $(E_i)_{i \in I}$  is any family of subsets of  $A$ , then

$$V(E_i)_{i \in I} = \bigcap_{i \in I} V(E_i)$$

- (4)  $V(I \cap J) = V(IJ) = V(I) \cup V(J)$  for any ideals  $I, J$  of  $A$ . These results show that the sets  $V(E)$  satisfy the axioms for closed sets in a topological space. The resulting topology is called the Zariski topology. The topological space  $X$  is called the prime spectrum of  $A$ , and is written  $\text{Spec}(A)$ .

*Proof:* By Theorem 1.1.22

**Proposition 1.4.2.**  $X = \text{Spec} A$ ,  $X_f = X - V(f)$ .

- (1)  $X_f$  form a basis of  $X$ .
- (2)  $X_{fg} = X_f \cap X_g$ .
- (3)  $X$  is compact.
- (4)  $X_f = \emptyset \Leftrightarrow f$  is a unit.
- (5)  $X_f = X \Leftrightarrow f$  is nilpotent.
- (6) An open subset of  $X$  is open if and only if it is finite union of sets  $X_f$ .

The sets  $X_f$  are called basic open sets of  $X = \text{Spec} A$

**Proposition 1.4.3.** It is sometimes convenient to denote a prime ideal of  $A$  by a letter such as  $x$  or  $y$  when thinking of it as a point of  $X = \text{Spec} A$ . When thinking of  $x$  as a prime ideal of  $A$ , we denote it by  $P_x$ . Show that:

- (1) the set  $\{x\}$  is closed in  $\text{Spec} A$  if and only if  $P_x$  is maximal.
- (2)  $\overline{\{x\}} = V(P_x)$

**Definition 1.4.4.** A topological space  $X$  is said to be irreducible if  $X \neq \emptyset$  and satisfies the following three equivalent conditions:

- (1) every pair of non-empty open sets intersects.
- (2) every non-empty open set is dense in  $X$ .



(3)  $X$  is not a union of two closed, proper, non-empty sets.

**Proposition 1.4.5.** Let  $X$  be a topological space.

- (1) If  $Y$  is an irreducible subspace of  $X$ , then the closure  $\overline{Y}$  of  $Y$  in  $X$  is irreducible.
- (2) Every irreducible subspace of  $X$  is contained in a maximal irreducible subspace.
- (3) The maximal irreducible subspaces of  $X$  are closed and cover  $X$ . They are called the irreducible components of  $X$ .

**Proposition 1.4.6.**  $A$  is a ring,  $\text{Spec}A$  is the spectre of  $A$ .

There is a one-to-one order-reversing correspondence between the radical ideals ( $\sqrt{I} = I$ ) and the closed subsets of  $\text{Spec}A$ . More precisely, we can say there are three bijections

$$\{\text{radical ideals of } A\} \longleftrightarrow \{\text{closed subset of } \text{Spec}A\}$$

$$\{\text{prime ideals}\} \longleftrightarrow \{\text{irreducible closed subset}\}$$

$$\{\text{minimal ideals}\} \longleftrightarrow \{\text{irreducible components}\}$$

given by the correspondences

$$\begin{aligned} I &\longrightarrow V(I) \\ \bigcap_{P \in E} P &\longleftarrow V(E) \end{aligned}$$

**Proposition 1.4.7.** Let  $\varphi : A \rightarrow B$  be a ring homomorphism. Let  $X = \text{Spec}A$  and  $Y = \text{Spec}B$ . Let  $\phi$  to be the map:

$$\begin{aligned} \text{Spec}B &\rightarrow \text{Spec}A \\ P &\mapsto \varphi^{-1}(P) \end{aligned}$$

- (1) If  $f \in A$ , then  $\phi^{-1}(X_f) = Y_{\varphi(f)}$ , and hence  $\phi$  is continuous.
- (2)  $I$  is an ideal of  $A$ ,  $\phi^{-1}(V(I)) = V(\varphi(I))$ .
- (3)  $J$  is an ideal of  $B$ ,  $\overline{\phi(V(J))} = V(\phi(J))$

**Definition 1.4.8.** A topological space is called Noetherian if the closed subsets of  $X$  satisfy the descending chain condition, i.e., for closed subsets  $Y_1, Y_2, Y_3, \dots$  with  $Y_{i+1} \subset Y_i$  for all positive integers  $i$ , there exists an integer  $n$  such that  $Y_i = Y_n$  for all  $i \geq n$ . An equivalent condition is that the open subsets satisfy the ascending chain condition.

**Example 1.4.9.**  $R$  is a Noetherian ring, then  $X = \text{Spec}(R)$  is a Noetherian space.

*Proof:* By Theorem 1.4.6

**Theorem 1.4.10** (Decomposition into irreducibles). Let  $X$  be a Noetherian topological space.

- (1) There exist a nonnegative integer  $n$  and closed, irreducible subsets  $Z_1, \dots, Z_n \subset X$  such that  $X = Z_1 \cup \dots \cup Z_n$  and  $Z_i \not\subset Z_j$  for  $i \neq j$ .
- (2) If  $Z_1, \dots, Z_n$  are closed, irreducible subsets satisfying (1), then every irreducible subset  $Z \subset X$  is contained in some  $Z_i$ .
- (3) If  $Z_1, \dots, Z_n \subset X$  are closed, irreducible subsets satisfying (1), then they are precisely the irreducible components of  $X$ . In particular, the  $Z_i$  are uniquely determined up to order.

**Corollary 1.4.11.** A Noetherian ring has only finite many minimal prime ideals.

*Proof:* By Example 1.4.11 and Theorem 1.4.10.

## 1.5 Chain conditions

**Definition 1.5.1** (Noetherian). ring( $R$ -module)  $A$  is said to be Noetherian if it satisfies the following three equivalent conditions:

- (1) Every non-empty set of ideals(submodules) in  $A$  has a maximal element.
- (2) Every ascending chain of ideals(submodules) in  $A$  is stationary.
- (3) Every ideal(submodule) in  $A$  is finitely generated.

**Definition 1.5.2** (Artinian). ring( $R$ -module)  $A$  is said to be Artinian if it satisfies the following three equivalent conditions:

- (1) Every non-empty set of ideals(submodules) in  $A$  has a minimal element.
- (2) Every descending chain of ideals(submodules) in  $A$  is stationary.

**Theorem 1.5.3.** Let  $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$  be an exact sequence of  $A$ -modules. Then

1.  $M$  is Noetherian  $\Leftrightarrow M'$  and  $M''$  are Noetherian;
2.  $M$  is Artinian  $\Leftrightarrow M'$  and  $M''$  are Artinian.

**Corollary 1.5.4.** If  $M_i (1 \leq i \leq n)$  are Noetherian (resp. Artinian)  $A$ -modules, so is  $\bigoplus_{i=1}^n M_i$ .

*Proof:* Apply Theorem 1.5.3 to the exact sequence

$$0 \rightarrow M_n \rightarrow \bigoplus_{i=1}^n M_i \rightarrow \bigoplus_{i=1}^{n-1} M_i \rightarrow 0$$

**Corollary 1.5.5.** Let  $A$  be a Noetherian (resp. Artinian) ring,  $M$  a finitely generated  $A$ -module. Then  $M$  is Noetherian (resp. Artinian).

**Definition 1.5.6.** A chain of submodules of a module  $M$  is a sequence  $(M_i) (0 \leq i \leq n)$  of submodules of  $M$  such that

$$M = M_0 \supset M_1 \supset \cdots \supset M_n = 0 \text{ (strict inclusions).}$$

The length of the chain is  $n$  (the number of "links"). A composition series of  $M$  is a maximal chain, that is one in which no extra submodules can be inserted: this is equivalent to saying that each quotient  $M_{i-1}/M_i (1 \leq i \leq n)$  is simple (that is, has no submodules except 0 and itself).

**Proposition 1.5.7.** Suppose that  $M$  has a composition series of length  $n$ . Then every composition series of  $M$  has length  $n$ , and every chain in  $M$  can be extended to a composition series.

**Proposition 1.5.8.**  $M$  has a composition series  $\Leftrightarrow M$  satisfies both chain conditions.

**Proposition 1.5.9.** If  $A$  is a Artinian ring,  $A$  has only finitely many maximal ideals.

*Proof:* If  $P_1, \dots, P_n, \dots$  is sequence of distinct maximal ideal. Consider decending chain of ideals:

$$P_1 \supset P_1 P_2 \cdots \supset P_1 \cdots P_n \supset \dots$$

By Theorem 1.1.22, each ' $\supset$ ' is strict. A contradiction!

**Proposition 1.5.10.** A ring  $A$  is Artinian, then the product of all its maximal ideals is nilpotent.

*Proof:*

**Proposition 1.5.11.** A ring  $A$  is Artinian, then  $A$  is Notherian.

**Proposition 1.5.12.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then if  $M$  is a Noetherian module,  $A/\text{Ann}(M)$  is a Noetherian ring.

*Proof:* If we set  $\bar{A} = A/\text{Ann}(M)$  and view  $M$  as an  $\bar{A}$ -module, then the submodules of  $M$  as an  $A$ -module or  $\bar{A}$ -module coincide, so that  $M$  is also Noetherian as an  $\bar{A}$ -module. We can thus replace  $A$  by  $\bar{A}$ , and then  $\text{Ann}(M) = (0)$ . Now letting  $M = A\omega_1 + \cdots + A\omega_n$ , we can embed  $A$  in  $M^n$  by means of the map  $a \mapsto (a\omega_1, \dots, a\omega_n)$ . By Theorem 1,  $M^n$  is a Noetherian module, so that its submodule  $A$  is also Noetherian.

**Theorem 1.5.13** (Hilbert basis theorem).  $R$  is Notherian, then  $R[x]$  and  $R[[x]]$  are Notherian.

**Theorem 1.5.14** (Cohen). If all the prime ideals of a ring  $A$  are finitely generated then  $A$  is Noetherian.

**Definition 1.5.15** (fractional ideal). Let  $A$  be an integral domain with field of fractions  $K$ . A fractional ideal  $I$  of  $A$  is an  $A$ -submodule  $I$  of  $K$  such that  $I \neq 0$  and  $\alpha I \subset A$  for some  $0 \neq \alpha \in K$ . The product of two fractional ideals is defined in the same way as the product of two ideals. If  $I$  is a fractional ideal of  $A$  we set  $I^{-1} = \{\alpha \in K \mid \alpha I \subset A\}$ ; this is also a fractional ideal, and  $II^{-1} \subset A$ . In the particular case that  $II^{-1} = A$  we say that  $I$  is invertible.

**Proposition 1.5.16.** An invertible fractional ideal of  $A$  is finitely generated as an  $A$ -module.

*Proof:* Let  $1 = \sum a_i b_i$ , where  $a_i \in I, b_i \in I^{-1}$ . Then  $a_1, \dots, a_n$  generate  $I$ .

## 1.6 Localization

**Definition 1.6.1** (Localization of Ring). Let  $R$  be a ring, and  $S$  a multiplicative subset. Define a relation on  $R \times S$  by  $(x, s) \sim (y, t)$  if there is  $u \in S$  such that  $xtu = ysu$ . Denote by  $S^{-1}R$  the set of equivalence classes, and by  $x/$  the class of  $(x, s)$

It is easy to check that  $S^{-1}R$  is a ring, with  $0/1$  for 0 and  $1/1$  for 1. It is called the ring of fractions with respect to  $S$  or the localization at  $S$ .

Let  $\varphi_S : R \rightarrow S^{-1}R$  be the map given by  $\varphi_S(x) = x/1$ . Then  $\varphi_S$  is a ring homomorphism between  $R$  and  $S^{-1}R$

**Example 1.6.2** (Localization at a prime ideal). Let  $R$  be a ring,  $p$  be a prime ideal. Set  $S_p := R - p$ . We call the ring  $S_p^{-1}R$  the localization of  $R$  at  $p$ , and set  $R_p := S_p^{-1}R$ ,  $\varphi_p = \varphi_{S_p}$ .

**Example 1.6.3** (Localization at a element). Let  $R$  be a ring,  $f \in R$ . Set  $S_f := \{f^n : n \geq 0\}$ . We call the ring  $S_f^{-1}R$  the localization of  $R$  at  $f$ , and set  $R_f := S_f^{-1}R$  and  $\varphi_f := \varphi_{S_f}$ .

**Example 1.6.4.** Let  $f : A \rightarrow B$  be a ring homomorphism,  $S$  be a multiplicative subset of  $A$ , then denote  $f(S)$  is a multiplicative subset of  $B$ . Denote the localization at  $f(S)$  by  $S^{-1}B$ . Respectively, if  $P$  is a prime ideal of  $A$ , denote the localization at  $S = f(A - P)$  by  $B_P$ .

**Proposition 1.6.5.** Every ideal in  $S^{-1}A$  of the form  $S^{-1}I$ .

*Proof:* Notice that if  $\bar{I}$  is an ideal of  $S^{-1}A$ , then  $S^{-1}\varphi_S^{-1}(\bar{I}) = \bar{I}$ .

**Proposition 1.6.6.**  $A$  is Notherian, then  $S^{-1}A$  is Notherian.

**Proposition 1.6.7.** Let  $R$  be a ring,  $S$  be a multiplicative subset of  $R$ ,  $S^{-1}I = \{x/s : s \in I, s \in S\}$ . Then  $S^{-1}I$  is the ideal generated by  $\varphi_S(I)$ , and the following conditions are equivalent:

- (1)  $S^{-1}I = S^{-1}R$
- (2)  $I \cap S \neq \emptyset$
- (3)  $\varphi_S^{-1}(S^{-1}I) = R$

*Proof:* Obviously,  $S^{-1}I$  is the ideal generated by  $\varphi_S(I)$ .

(1) $\Rightarrow$ (2): Consider  $1/1 \in S^{-1}I$ .

(2) $\Rightarrow$ (3): Take  $a \in I \cap S$ , notice that  $a/a = 1/1$ .

(3) $\Rightarrow$ (1): Consider  $1/1 \in S^{-1}I$ .

**Proposition 1.6.8.** Let  $R$  be a ring,  $S$  be a multiplicative subset of  $R$ , there's a one-to-one order-preserving bijection:

$$\{P \in \text{Spec}R : P \cap S = \emptyset\} \longleftrightarrow \text{Spec}(S^{-1}R)$$

given by the following maps:

$$\begin{aligned} P &\longrightarrow S^{-1}P \\ \varphi_S^{-1}(\bar{P}) &\longrightarrow \bar{P} \in \text{Spec}(S^{-1}R) \end{aligned}$$

*Proof:* Step 1 (well-defined): If  $P \in \text{Spec}(R)$  and  $P \cap S = \emptyset$ , then  $S^{-1}P$  is a prime of  $S^{-1}R$ .

Step 2 (injective):  $\varphi_S^{-1}(S^{-1}P) = P$ .

Step 3 (surjective): Let  $J$  be a prime ideal of  $S^{-1}R$ , then  $P = \varphi_S^{-1}(J)$  is a prime ideal of  $R$ . We show that  $S^{-1}P = J$ . For all  $x/s \in J$ , since  $J$  is an ideal,  $x/1 = x/s \times s/1 \in J$ , hence  $x \in P$  and  $x/s \in S^{-1}P$ . It is clear that  $\varphi_S(\varphi_S^{-1}(J)) \subset J$ . Hence, we have  $J = S^{-1}P$ .

**Definition 1.6.9** (Localization of Module). The construction of  $S^{-1}A$  can be carried through with an  $A$ -module  $M$  in place of the ring  $A$ . Define a relation  $=$  on  $M \times S$  as follows:  $(m, s) = (m', s')$  if and only if there's  $t \in S$  such that  $t(sm' - s'm) = 0$ .

In particular, if  $P$  is a prime ideal of  $A$ ,  $S = A - P$ , we call  $M_P = S^{-1}M$  the localization at  $P$ .

**Proposition 1.6.10.**  $S^{-1}M$  has both  $A$ -module structure and  $S^{-1}A$ -module structure by the natural way:

$$S^{-1}A \times S^{-1}M \rightarrow S^{-1}M$$

$$(a/s, m/s_1) \rightarrow am/(ss_1)$$

$$A \times S^{-1}M \rightarrow S^{-1}M$$

$$(a, m/s_1) \rightarrow a/(ss_1)$$

Let  $f : M \rightarrow N$  be an  $A$ -module homomorphism. Then it gives rise to an  $S^{-1}A$ -module and  $A$ -module homomorphism:

$$S^{-1}M \rightarrow S^{-1}N$$

$$m/s_1 \rightarrow f(m)/s$$

And, if  $M \xrightarrow{f} N \xrightarrow{g} P$  is exact, then  $S^{-1}M \xrightarrow{S^{-1}f} S^{-1}N \xrightarrow{S^{-1}g} S^{-1}P$  is exact.

**Remark 1.6.11.** It follows from Proposition 1.6.10 that if  $N$  is a submodule of  $M$ , the map  $S^{-1}M \xrightarrow{S^{-1}f} S^{-1}M$  is injective, where  $f : N \rightarrow M$  be the embedding. Therefore  $S^{-1}N$  can be regarded as a submodule of  $S^{-1}M$ .

**Remark 1.6.12.** If  $P$  is a prime ideal of  $A$ ,  $S = A - P$ ,  $f : M \rightarrow N$  be a  $A$ -module homomorphism, we usually denote  $S^{-1}f$  by  $f_P$ .

**Proposition 1.6.13.** If  $N, P$  are submodule of  $M$ , then

$$(1) S^{-1}(N + P) = S^{-1}N + S^{-1}P$$

$$(2) S^{-1}(N \cap P) = S^{-1}N \cap S^{-1}P$$

(3) the map  $S^{-1}f : S^{-1}M \rightarrow S^{-1}(M/N)$  given by the natural homomorphism  $f : M \rightarrow M/N$  is surjective. In particular,  $S^{-1}M/S^{-1}N \simeq S^{-1}(M/N)$  as  $S^{-1}A$ -module and  $A$ -module.

**Theorem 1.6.14.** Let  $M$  be an  $A$ -module. Then the  $S^{-1}A$  modules  $S^{-1}M$  and  $S^{-1}A \otimes_A M$  are naturally isomorphic. The isomorphism map is given by the bi-linear map:

$$S^{-1}A \times M \rightarrow S^{-1}M$$

$$\varphi : (a/s, m) \rightarrow am/s$$

and the universal property of tensor product.

**Remark 1.6.15.** ‘naturally’ in above theorem means: given two covariant functors:  $S^{-1}A \otimes \_$  and  $S^{-1}\_$ , then the isomorphism map induced by  $\varphi$  induce a natural transformation between these two functors.

**Proposition 1.6.16** (localization commute with tensor product). Let  $R$  be a ring,  $S$  a multiplicative subset,  $M, N$  modules. Show  $S^{-1}(M \otimes_R N) \simeq S^{-1}M \otimes_R N \simeq S^{-1}M \otimes_{S^{-1}R} S^{-1}N$ .

*Proof:*

$$\begin{aligned} S^{-1}(M \otimes_R N) &\simeq S^{-1}R \otimes_R (M \otimes_R N) \simeq S^{-1}M \otimes_R N \simeq \\ &(S^{-1}M \otimes_{S^{-1}A} S^{-1}A) \otimes_A N \simeq S^{-1}M \otimes_{S^{-1}R} S^{-1}N \end{aligned}$$

**Proposition 1.6.17** ( $M=0$  is a local property). Let  $M$  be an  $A$ -module. Then the following are equivalent:

- (1)  $M = 0$
- (2)  $M_P = 0$  for all prime ideals  $P$ .
- (3)  $M_m = 0$  for maximal ideals  $m$ .

**Proposition 1.6.18** (injective homomorphism is a local property). Let  $f : M \rightarrow N$  be  $A$ -module homomorphism,  $f_P : M_P \rightarrow N_P$  be homomorphism induced by prime ideal  $P$ . Then the following are equivalent:

- (1)  $f$  is injective
- (2)  $f_P$  is injective for all prime ideals  $P$ .
- (3)  $f_m$  is injective for maximal ideals  $m$ .

**Proposition 1.6.19** (flat is a local property). Let  $f : M \rightarrow N$  be  $A$ -module homomorphism,  $f_P : M_P \rightarrow N_P$  be homomorphism induced by prime ideal  $P$ . Then the following are equivalent:

- (1)  $f$  is flat  $A$ -module.
- (2)  $f_P$  is flat  $A_P$ -module for all prime ideals  $P$ .
- (3)  $f_m$  is flat  $A_m$ -module for all maximal ideals  $m$ .

**Proposition 1.6.20.** Let  $M$  be a finitely generated  $A$ -module,  $S$  a multiplicatively closed subset of  $A$ . Then  $S^{-1}(\text{Ann}(M)) = \text{Ann}(S^{-1}M)$ .

**Definition 1.6.21** (support of a module). Let  $A$  be a ring,  $M$  an  $A$ -module. The support of  $M$  is defined to be the set  $\text{Supp}(M) = \{P \in \text{Spec}(A) : M_P \neq 0\}$ .

**Proposition 1.6.22.**  $M$  is a  $R$ -module,  $A$  is a ring,  $I$  is an ideal of  $A$ .

- (1)  $M \neq 0 \Leftrightarrow \text{Supp}(M) \neq \emptyset$
- (2)  $V(I) = \text{Supp}(A/I)$
- (3) If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is an exact sequence, then  $\text{Supp}(M) = \text{Supp}(M') \cup \text{Supp}(M'')$ .
- (4) If  $M$  is finitely generated, then  $\text{Supp}(M) = V(\text{Ann}(M))$
- (5) If  $M, N$  are finitely generated, then  $\text{Supp}(M \otimes_A N) = \text{Supp}(M) \cap \text{Supp}(N)$ .
- (6) If  $M = \sum_{i \in I} M_i$ , then  $\text{Supp}(M) = \bigcap_{i \in I} \text{Supp}(M_i)$

*Proof:*

- (1):By Theorem 1.6.17
- (2):By Proposition 1.6.13 and Proposition 1.6.7.
- (3):By Theorem 1.6.10.
- (4):Notice that  $M_P \neq 0 \Leftrightarrow \text{Ann}(M_P) \neq R$ . Then Proposition 1.6.20.
- (5):Since localization commute with tensor product, it suffice to show:

**Lemma 1.6.23.**  $M, N$  are finitely generated  $R$ -module, in which  $(R, m, k)$  be a local ring,  $M \otimes_R N = 0$ , then  $M = 0$  or  $N = 0$ .

*Proof of the lemma:* Notice that  $M \otimes_R R/m \simeq M/mM$ . Hence, by Theorem 1.2.26, and Nakayama's lemma, define  $M_k = M \otimes_A k$ , it suffice to show  $M_k \otimes_k N_k = (M \otimes N)_k$ . Notice that

$$\begin{aligned} M_k \otimes_k N_k &= (M \otimes_A k) \otimes_k (k \otimes_A N) \\ &\cong M \otimes_A (k \otimes_k k) \otimes_A N \cong (M \otimes_A N) \otimes_A k = (M \otimes_A N)_k. \end{aligned}$$

□

(6):trivial.

**Proposition 1.6.24** (universal property of localization). Let  $g : A \rightarrow B$  be a ring homomorphism such that  $g(s)$  is a unit in  $B$  for all  $s \in S$ . Then there exists a unique ring homomorphism  $h : S^{-1}A \rightarrow B$  such that  $g = h \circ f$ .

**Theorem 1.6.25.** let  $A$  be a ring,  $S \subset A$  a multiplicative set,  $I$  an ideal of  $A$  and  $\bar{S}$  the image of  $S$  in  $A/I$ ; then there's ring isomorphism

$$S^{-1}A/S^{-1}I \simeq \bar{S}^{-1}(A/I)$$



given by

$$a/s + S^{-1}I \mapsto a + I/s + I$$

In particular, if  $\mathfrak{p}$  is a prime ideal of  $A$  then

$$A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}} \simeq (A/\mathfrak{p})_{\overline{A-\mathfrak{p}}}.$$

where  $\mathfrak{p}A_{\mathfrak{p}}$  is the ideal generated by  $\varphi_{\mathfrak{p}}(\mathfrak{p})$ . The left-hand side is the residue field of the local ring  $A_{\mathfrak{p}}$ , whereas the right-hand side is the field of fractions of the integral domain  $A/\mathfrak{p}$ . This field is written  $\kappa(\mathfrak{p})$  and called the residue field of  $\mathfrak{p}$ .

*Proof:* By theorem 1.6.13 and universal property of localization.

**Theorem 1.6.26.** Let  $A$  be a ring,  $S \subset A$  a multiplicative set, and  $f : A \rightarrow S^{-1}A$  the canonical map. If  $B$  is a ring, with ring homomorphisms  $g : A \rightarrow B$  and  $h : B \rightarrow S^{-1}A$  satisfying

- (1)  $f = hg$
- (2) for every  $b \in B$  there exists  $s \in S$  such that  $g(s) \cdot b \in g(A)$

Then  $S^{-1}A \simeq g(S)^{-1}B \simeq T^{-1}B$ , where  $T = \{t \in B \mid h(t) \text{ is a unit of } S^{-1}A\}$ .

*Proof:* By universal property of localization and condition (1) and (2), there are ring homomorphisms:

$$\begin{aligned} S^{-1}A &\rightarrow g(S)^{-1}B \\ \varphi : a/s &\mapsto g(a)/g(s) \end{aligned}$$

$$\begin{aligned} g(S)^{-1}B &\rightarrow S^{-1}A \\ \psi : b/g(s) &\mapsto h(b) \cdot (1/s) \end{aligned}$$

such that  $\varphi \circ \psi = \text{id}, \psi \circ \varphi = \text{id}$ . Hence  $S^{-1}A \simeq g(S)^{-1}B$ .

Since  $T \supset g(S)$ , by universal property of localization, there are ring homomorphisms:

$$\begin{aligned} S^{-1}A &\rightarrow T^{-1}B \\ \varphi : a/s &\mapsto g(a)/g(s) \end{aligned}$$

$$\begin{aligned} T^{-1}B &\rightarrow S^{-1}A \\ \psi : b/t &\mapsto h(b)h(t)^{-1} \end{aligned}$$

Notice that if  $g(s_1)b = g(a_1), g(s_2) = tg(b_2)$ , then  $h(b)(s_1/1) = a_1/1, h(t)(s_2/1) = a_2/1$  and  $\psi(b/t) = a_1/s_1 \cdot (a_2/s_2)^{-1}$ . And it's easy to check that  $\varphi(\psi(b/t)) = \varphi(a_1/s_1 \cdot (a_2/s_2)^{-1}) = g(a_1)/g(s_1) \cdot (g(a_2)/g(s_2))^{-1} = b/t$ . Hence  $S^{-1}A \simeq g(S)^{-1}B \simeq T^{-1}B$ .

**Corollary 1.6.27.** If  $\mathfrak{p}$  is a prime ideal of  $A, S = A - \mathfrak{p}$  and  $B$  satisfies the conditions of the theorem, then setting  $P = \mathfrak{p}A_{\mathfrak{p}} \cap B$  we have  $A_{\mathfrak{p}} \simeq B_P$ .

*Proof:* Under these circumstances the  $T$  in the theorem is exactly  $B - P$  because  $A_{\mathfrak{p}}$  is a local ring.

**Corollary 1.6.28.** If  $S$  and  $T$  are two multiplicative subsets of  $A$  with  $S \subset T$ , then writing  $T'$  for the image of  $T$  in  $S^{-1}A$ , we have  $(T')^{-1}S^{-1}A \simeq T^{-1}A$ .

*Proof:* Consider the following commutative diagram:

$$\begin{array}{ccc}
 A & \xrightarrow{a \mapsto a/1} & S^{-1}A \\
 & \searrow a \mapsto a/1 & \downarrow a/s \mapsto a/s \\
 & & T^{-1}A
 \end{array}$$

## 1.7 Integral Extension

## 1.8 Flatness

**Theorem 1.8.1** (Base Change). If  $f : A \rightarrow B$  is a ring homomorphism and  $M$  is a flat  $A$ -module, then  $M_B = B \otimes_A M$  is a flat  $B$ -module.

*Proof:* By Theorem 1.2.18.

**Theorem 1.8.2** (Localization).  $S^{-1}A$  is a flat  $A$ -module.

*Proof:* By Theorem 1.6.14.

**Theorem 1.8.3** (Transitivity).  $f : A \rightarrow B$  is a ring homomorphism,  $B$  is flat  $A$ -module,  $N$  is flat  $B$ -module, then  $N$  is flat over  $A$ .

*Proof:* By Theorem 1.2.18.

**Definition 1.8.4** (faithfully flat).

## 1.9 Dimension Theory and Hilbert's Nullstellensatz

**Definition 1.9.1.** Let  $X$  be a topological space; we consider strictly decreasing (or strictly increasing) chains  $Z_0, Z_1, \dots, Z_r$  of length  $r$  of irreducible closed subsets of  $X$ . The supremum of the lengths, taken over all such chains, is called the combinatorial dimension of  $X$  and denoted  $\dim X$ . If  $X$  is a Noetherian space then there are no infinite strictly decreasing chains, but it can nevertheless happen that  $\dim X = \infty$ .

Let  $Y$  be a subspace of  $X$ . If  $S \subset Y$  is an irreducible closed subset of  $Y$  then its closure in  $X$  is an irreducible closed subset  $\bar{S} \subset X$  such that  $\bar{S} \cap Y = S$ . Indeed, if  $\bar{S} = V \cup W$  with  $V$  and  $W$  closed in  $X$  then

$$S = \bigcap_{W \supset S, W \text{ closed in } X} W \cap Y = \bar{S} \cap Y = (V \cap Y) \cup (W \cap Y)$$

, so that we may assume  $S = V \cap Y$ , but then  $V = \bar{S}$ . It follows easily from this that  $\dim Y \leq \dim X$ .

Let  $A$  be a ring. The supremum of the lengths  $r$ , taken over all strictly decreasing chains  $\mathfrak{p}_0 \supset \mathfrak{p}_1 \supset \dots \supset \mathfrak{p}_r$  of prime ideals of  $A$ , is called the Krull dimension, or simply the dimension of  $A$ , and denoted  $\dim A$ . It is clear that the Krull dimension of  $A$  is the same thing as the combinatorial dimension of  $\text{Spec } A$ . For a prime ideal  $p$  of  $A$ , the supremum of the lengths, taken over all strictly decreasing chains of prime ideals  $\mathfrak{p} = \mathfrak{p}_0 \supset \mathfrak{p}_1 \supset \dots \supset \mathfrak{p}_r$  starting from  $\mathfrak{p}$ , is called the height of  $\mathfrak{p}$ , and denoted  $\text{ht } \mathfrak{p}$ . Moreover, the supremum of the lengths, taken over all strictly increasing chain of prime ideals  $\mathfrak{p} = \mathfrak{p}_0 \subset \mathfrak{p}_1 \subset \dots \subset \mathfrak{p}_r$  starting from  $\mathfrak{p}$ , is called the coheight of  $p$ , and written  $\text{coht } p$ . It follows from the definitions that

$$\text{ht } \mathfrak{p} = \dim A_{\mathfrak{p}}, \quad \text{coht } \mathfrak{p} = \dim A/\mathfrak{p} \text{ and } \text{ht } \mathfrak{p} + \text{coht } \mathfrak{p} \leq \dim A$$

**Example 1.9.2.**  $A$  is a Artinian ring, then  $\dim A = 0$ .

*Proof:* Since there's only a finite number of maximal ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_r$ , and that the product of all of these is nilpotent. If then  $\mathfrak{p}$  is a prime ideal,  $\mathfrak{p} \supset (0) = (\mathfrak{p}_1 \dots \mathfrak{p}_r)^v$ , by Theorem 1.1.22 so that  $\mathfrak{p} \supset \mathfrak{p}_i$  for some  $i$ ; hence,  $\mathfrak{p} = \mathfrak{p}_i$ , so that every prime ideal is maximal.

**Example 1.9.3.** The polynomial ring  $k[X_1, \dots, X_n]$  over a field  $k$  is an integral domain, and since

$$k[X_1, \dots, X_n] / (X_1, \dots, X_i) \simeq k[X_{i+1}, \dots, X_n],$$

$(X_1, \dots, X_i)$  is a prime ideal of  $k[X_1, \dots, X_n]$ . Thus

$$(0) \subset (X_1) \subset (X_1, X_2) \subset \dots \subset (X_1, \dots, X_n)$$

is a chain of prime ideals of length  $n$ , and  $\dim k[X_1, \dots, X_n] \geq n$ .

**Definition 1.9.4.** For an ideal  $I$  of a ring  $A$  we define the height of  $I$  to be the infimum of the heights of prime ideals containing  $I$  :

$$\text{ht } I = \inf \{ \text{ht } \mathfrak{p} \mid I \subset \mathfrak{p} \in \text{Spec } A \}.$$

Here also we have the inequality

$$\text{ht } I + \dim A/I \leq \dim A.$$

If  $M$  is an  $A$ -module we define the dimension of  $M$  by

$$\dim M = \dim(A/\text{ann}(M)).$$

**Proposition 1.9.5.** If  $M$  is finitely generated then  $\dim M$  is the combinatorial dimension of the closed subspace  $\text{Supp}(M) = V(\text{ann}(M))$  of  $\text{Spec } A$ .

## 2 Homological Algebra

### 2.1 Basic Definition in Category

**Definition 2.1.1** (Category). A category  $\mathcal{C}$  consists of three ingredients: a class  $\text{obj}(\mathcal{C})$  of objects, a set of morphisms  $\text{Hom}(A, B)$  for every ordered pair  $(A, B)$  of objects, and composition  $\text{Hom}(A, B) \times \text{Hom}(B, C) \rightarrow \text{Hom}(A, C)$ , denoted by

$$(f, g) \mapsto gf$$

for every ordered triple  $A, B, C$  of objects. [We often write  $f : A \rightarrow B$  or  $A \xrightarrow{f} B$  instead of  $f \in \text{Hom}(A, B)$ .] These ingredients are subject to the following axioms:

- (1) the  $\text{Hom}$  sets are pairwise disjoint; that is, each  $f \in \text{Hom}(A, B)$  has a unique domain  $A$  and a unique target  $B$ ;
- (2) for each object  $A$ , there is an identity morphism  $1_A \in \text{Hom}(A, A)$  such that  $f1_A = f$  and  $1_B f = f$  for all  $f : A \rightarrow B$ ;
- (3) composition is associative: given morphisms  $A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D$ , then

$$h(gf) = (hg)f$$

**Definition 2.1.2** (Subcategory). A category  $\mathcal{S}$  is a subcategory of a category  $\mathcal{C}$  if

- (1)  $\text{obj}(\mathcal{S}) \subseteq \text{obj}(\mathcal{C})$
- (2)  $\text{Hom}_{\mathcal{S}}(A, B) \subseteq \text{Hom}_{\mathcal{C}}(A, B)$  for all  $A, B \in \text{obj}(\mathcal{S})$ , where we denote  $\text{Hom}$  sets in  $\mathcal{S}$  by  $\text{Hom}_{\mathcal{S}}(\square, \square)$ ,
- (3) if  $f \in \text{Hom}_{\mathcal{S}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{S}}(B, C)$ , then the composite  $gf \in \text{Hom}_{\mathcal{S}}(A, C)$  is equal to the composite  $gf \in \text{Hom}_{\mathcal{C}}(A, C)$ ,
- (4) if  $A \in \text{obj}(\mathcal{S})$ , then the identity  $1_A \in \text{Hom}_{\mathcal{S}}(A, A)$  is equal to the identity  $1_A \in \text{Hom}_{\mathcal{C}}(A, A)$ .  
A subcategory  $\mathcal{S}$  of  $\mathcal{C}$  is a full subcategory if, for all  $A, B \in \text{obj}(\mathcal{S})$ , we have  $\text{Hom}_{\mathcal{S}}(A, B) = \text{Hom}_{\mathcal{C}}(A, B)$ .

**Definition 2.1.3** (covariant functor). If  $\mathcal{C}$  and  $\mathcal{D}$  are categories, then a covariant functor  $T : \mathcal{C} \rightarrow \mathcal{D}$  is a function such that

- (1) if  $A \in \text{obj}(\mathcal{C})$ , then  $T(A) \in \text{obj}(\mathcal{D})$ ,
- (2) if  $f : A \rightarrow A'$  in  $\mathcal{C}$ , then  $T(f) : T(A) \rightarrow T(A')$  in  $\mathcal{D}$ ,
- (3) if  $A \xrightarrow{f} A' \xrightarrow{g} A''$  in  $\mathcal{C}$ , then  $T(A) \xrightarrow{T(f)} T(A') \xrightarrow{T(g)} T(A'')$  in  $\mathcal{D}$  and

$$T(gf) = T(g)T(f),$$

- (4)  $T(1_A) = 1_{T(A)}$  for every  $A \in \text{obj}(\mathcal{C})$ .

**Definition 2.1.4** (contravariant functor). A contravariant functor  $T : \mathcal{C} \rightarrow \mathcal{D}$ , where  $\mathcal{C}$  and  $\mathcal{D}$  are categories, is a function such that

- (1) if  $C \in \text{obj}(\mathcal{C})$ , then  $T(C) \in \text{obj}(\mathcal{D})$ ,
- (2) if  $f : C \rightarrow C'$  in  $\mathcal{C}$ , then  $T(f) : T(C') \rightarrow T(C)$  in  $\mathcal{D}$  (note the reversal of arrows),
- (3) if  $C \xrightarrow{f} C' \xrightarrow{g} C''$  in  $\mathcal{C}$ , then  $T(C'') \xrightarrow{T(g)} T(C') \xrightarrow{T(f)} T(C)$  in  $\mathcal{D}$  and  $T(gf) = T(f)T(g)$ ,
- (4)  $T(1_A) = 1_{T(A)}$  for every  $A \in \text{obj}(\mathcal{C})$ .

**Definition 2.1.5** (faithful functor). A functor  $T : \mathcal{C} \rightarrow \mathcal{D}$  is faithful if, for all  $A, B \in \text{obj}(\mathcal{C})$ , the functions  $\text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(TA, TB)$  given by  $f \mapsto Tf$  are injections.

**Definition 2.1.6** (isomorphism). A morphism  $f : A \rightarrow B$  in a category  $\mathcal{C}$  is an isomorphism if there exists a morphism  $g : B \rightarrow A$  in  $\mathcal{C}$  with

$$gf = 1_A \quad \text{and} \quad fg = 1_B.$$

The morphism  $g$  is called the inverse of  $f$ .

**Definition 2.1.7** (natural transformation). Let  $S, T : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors. A natural transformation  $\tau : S \rightarrow T$  is a one-parameter family of morphisms in  $\mathcal{B}$ ,

$$\tau = (\tau_A : SA \rightarrow TA)_{A \in \text{obj}(\mathcal{A})},$$

making the following diagram commute for all  $f : A \rightarrow A'$  in  $\mathcal{A}$ :

Natural transformations between contravariant functors are defined similarly. A natural isomorphism is a natural transformation  $\tau$  for which each  $\tau_A$  is an isomorphism.

**Definition 2.1.8** (initial object). An object  $A$  in a category  $\mathcal{C}$  is called an initial object if, for every object  $X$  in  $\mathcal{C}$ , there exists a unique morphism  $A \rightarrow X$ . Any two initial objects in a category  $\mathcal{C}$ , should they exist, are isomorphic.

**Definition 2.1.9** (terminal object). An object  $\Omega$  in a category  $\mathcal{C}$  is called a terminal object if, for every object  $C$  in  $\mathcal{C}$ , there exists a unique morphism  $C \rightarrow \Omega$ . Any two terminal objects in a category  $\mathcal{C}$ , should they exist, are isomorphic.

**Definition 2.1.10** (product). Let  $\mathcal{C}$  be a category, and let  $(A_i)_{i \in I}$  be a family of objects in  $\mathcal{C}$  indexed by a set  $I$ . A product is an ordered pair  $(C, (p_i : C \rightarrow A_i)_{i \in I})$ , consisting of an object  $C$  and a family  $(p_i : C \rightarrow A_i)_{i \in I}$  of projections, that is a solution to the following universal mapping problem: for every object  $X$  equipped with morphisms  $f_i : X \rightarrow A_i$ , there exists a unique morphism  $\theta : X \rightarrow C$  making the diagram commute for each  $i$ .

$$\begin{array}{ccc} & A_i & \\ \alpha_i \nearrow & & \nwarrow f_i \\ C & \xleftarrow{\theta} & X \end{array}$$

Should it exist, a product is denoted by  $\prod_{i \in I} A_i$ , and it is unique to isomorphism, for it is a terminal object in a suitable category.



**Definition 2.1.11** (coproduct). Let  $\mathcal{C}$  be a category, and let  $(A_i)_{i \in I}$  be a family of objects in  $\mathcal{C}$  indexed by a set  $I$ . A coproduct is an ordered pair  $(C, (\alpha_i : A_i \rightarrow C)_{i \in I})$ , consisting of an object  $C$  and a family  $(\alpha_i : A_i \rightarrow C)_{i \in I}$  of morphisms, called injections, that is a solution to the following universal mapping problem: for every object  $X$  equipped with morphisms  $(f_i : A_i \rightarrow X)_{i \in I}$ , there exists a unique morphism  $\theta : C \rightarrow X$  making the diagram commute for each  $i$ .

$$\begin{array}{ccc} & A_i & \\ \alpha_i \swarrow & & \searrow f_i \\ C & \xrightarrow{\theta} & X \end{array}$$

Should it exist, a coproduct is usually denoted by  $\bigsqcup_{i \in I} A_i$  (the injections are not mentioned). A coproduct is unique to isomorphism, for it is an initial object in a suitable category.

**Example 2.1.12** (coproduct in category of topological space).  $(X_i)_{i \in I}$  be a family of topological space,  $f_i : X_i \rightarrow X$  be a family of continuous map.  $\bigsqcup_{i \in I} A_i = \{(a_i, i) \in (\bigcup_{i \in I} A_i) \times I : a_i \in A_i\}$  be the disjoint union of  $(X_i)_{i \in I}$ . Define  $U$  open in  $\bigsqcup_{i \in I} A_i$  if and only if  $f_i^{-1}(U)$  open in  $X_i$  for all  $i \in I$ . Then  $\bigsqcup_{i \in I} A_i$  with continous maps  $\alpha_i : a_i \mapsto (a_i, i)$  is the coproduct of a family of topological space.

**Example 2.1.13** (coproduct in  $k$ -aglebra). If  $F$  is a commutative ring and  $(A_i)_{i \in I}$  is a family of  $F$ -algebra, we can define the tensor product of all these  $F$ -algebra

$$\bigotimes_{i \in I} A_i$$

to be the quotient of the  $F$ -vector space with basis  $\prod_{i \in I} A_i$  by the subspace generated by elements of the form:

- (1)  $(x_i) + (y_i) - (z_i)$  with  $x_j + y_j = z_j$  for one  $j \in I$  and  $x_i = y_i = z_i$  for all  $i \neq j$
- (2)  $(x_j) - a(y_i)$  with  $x_j = ay_j$  for one  $j \in I$  and  $x_i = y_i$  for all  $i \neq j$

It can be made into a commutative  $F$ -algebra in an obvious fashion, and there are canonical homomorphisms

$$A_i \rightarrow \bigotimes_{i \in I} A_i$$

of  $F$ -algebras. Then by universal property of tensor product, the tensor product of all these  $F$ -algebra is the coproduct of  $A_i$ .

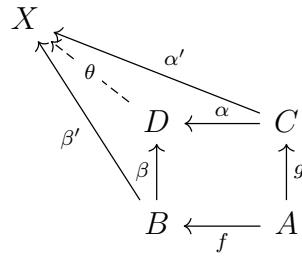
**Definition 2.1.14** (pushback/fibered product). Given two morphisms  $f : B \rightarrow A$  and  $g : C \rightarrow A$  in a category  $\mathcal{C}$ , a **pullback** (or **fibered product**) is a triple  $(D, \alpha, \beta)$  with  $g\alpha = f\beta$  that is a solution to the universal mapping problem: for every  $(X, \alpha', \beta')$  with  $g\alpha' = f\beta'$ , there exists a unique morphism  $\theta : X \rightarrow D$  making the diagram commute.

$$\begin{array}{ccccc} X & & & & \\ & \searrow \alpha' & & \searrow g & \\ & & D & \xrightarrow{\alpha} & C \\ & \swarrow \beta' & \downarrow \beta & & \downarrow g \\ & & B & \xrightarrow{f} & A \end{array}$$

The pullback is often denoted by  $B \sqcap_A C$ . Pullbacks, when they exist, are unique to isomorphism, for they are terminal objects in a suitable category.

**Example 2.1.15** (fibered product in topological space).  $A, B, C$  be topological spaces,  $f : B \rightarrow A, g : C \rightarrow A$  be continuous maps,  $D = \{(b, c) \in B \times C : f(b) = g(c)\}$  be the fibered product of

**Definition 2.1.16** (pushout/fibered coproduct). Given two morphisms  $f : A \rightarrow B$  and  $g : A \rightarrow C$  in a category  $\mathcal{C}$ , a pushout (or fibered sum) is a triple  $(D, \alpha, \beta)$  with  $\beta g = \alpha f$  that is a solution to the universal mapping problem: for every triple  $(Y, \alpha', \beta')$  with  $\beta' g = \alpha' f$ , there exists a unique morphism  $\theta : D \rightarrow Y$  making the diagram commute. The pushout is often denoted by  $B \cup_A C$ .

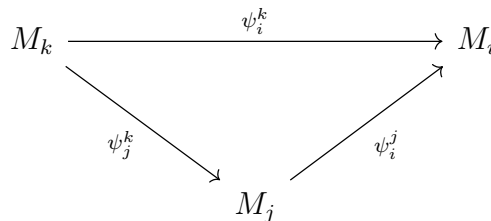


Pushouts are unique to isomorphism when they exist, for they are initial objects in a suitable category.

**Example 2.1.17.** In category of Commutative Rings,  $f : A \rightarrow B, g : A \rightarrow C$  be ring homomorphism, then the pushout is given by tensor product of  $A$ -algebra  $B$  and  $A$ -algebra  $C$  and homomorphism:

$$\begin{array}{ll} \beta : B \rightarrow B \otimes_A C & \alpha : C \rightarrow B \otimes_A C \\ b \mapsto b \otimes 1 & c \mapsto 1 \otimes c \end{array}$$

**Definition 2.1.18** (inverse system). Given a partially ordered set  $I$  and a category  $\mathcal{C}$ , an inverse system in  $\mathcal{C}$  is an ordered pair  $\left((M_i)_{i \in I}, (\psi_i^j)_{j \succeq i}\right)$ , abbreviated  $\{M_i, \psi_i^j\}$ , where  $(M_i)_{i \in I}$  is an indexed family of objects in  $\mathcal{C}$  and  $(\psi_i^j : M_j \rightarrow M_i)_{j \succeq i}$  is an indexed family of morphisms for which  $\psi_i^i = 1_{M_i}$  for all  $i$ , and such that the following diagram commutes whenever  $k \succeq j \succeq i$ .



**Definition 2.1.19** (inverse limit). Let  $I$  be a partially ordered set, let  $\mathcal{C}$  be a category, and let  $\{M_i, \psi_i^j\}$  be an inverse system in  $\mathcal{C}$  over  $I$ . The inverse limit (also called projective limit or limit) is an object  $\varprojlim M_i$  and a family of projections  $(\alpha_i : \varprojlim M_i \rightarrow M_i)_{i \in I}$  such that:

- (1)  $\psi_i^j \alpha_j = \alpha_i$  whenever  $i \preceq j$ ,

- (2) for every  $X \in \text{obj}(\mathcal{C})$  and all morphisms  $f_i : X \rightarrow M_i$  satisfying  $\psi_i^j f_j = f_i$  for all  $i \preceq j$ , there exists a unique morphism  $\theta : X \rightarrow \varprojlim M_i$  making the diagram commute.

$$\begin{array}{ccc}
 \varprojlim M_i & \xleftarrow{\quad \theta \quad} & X \\
 \searrow \alpha_i & & \swarrow f_i \\
 & M_i & \\
 \swarrow \alpha_j & \uparrow \varphi_i^j & \searrow f_j \\
 & M_j &
 \end{array}$$

**Example 2.1.20.** In the category of topological group, inverse limit exists. Inverse limit of Finite discrete group is called pro-finite group. A topological group is pro-finite group if and only if it is totally disconnected and compact.

**Definition 2.1.21** (direct system). Given a partially ordered set  $I$  and a category  $\mathcal{C}$ , a direct system in  $\mathcal{C}$  is an ordered pair  $((M_i)_{i \in I}, (\varphi_j^i)_{i \preceq j})$ , abbreviated  $\{M_i, \varphi_j^i\}$ , where  $(M_i)_{i \in I}$  is an indexed family of objects in  $\mathcal{C}$  and  $(\varphi_j^i : M_j \rightarrow M_i)_{i \preceq j}$  is an indexed family of morphisms for which  $\varphi_i^i = 1_{M_i}$  for all  $i$ , and such that the following diagram commutes whenever  $i \preceq j \preceq k$ .

$$\begin{array}{ccc}
 M_i & \xrightarrow{\quad \psi_k^i \quad} & M_k \\
 \searrow \psi_j^i & & \swarrow \psi_k^j \\
 & M_j &
 \end{array}$$

**Definition 2.1.22** (direct limit). Let  $I$  be a partially ordered set, let  $\mathcal{C}$  be a category, and let  $\{M_i, \varphi_j^i\}$  be a direct system in  $\mathcal{C}$  over  $I$ . The direct limit (also called inductive limit or colimit) is an object  $\varinjlim M_i$  and insertion morphisms  $(\alpha_i : M_i \rightarrow \varinjlim M_i)_{i \in I}$ .

- (1)  $\alpha_j \varphi_j^i = \alpha_i$  whenever  $i \preceq j$ ,
- (2) Let  $X \in \text{obj}(\mathcal{C})$ , and let there be given morphisms  $f_i : M_i \rightarrow X$  satisfying  $f_j \varphi_j^i = f_i$  for all  $i \preceq j$ . There exists a unique morphism  $\theta : \varinjlim M_i \rightarrow X$  making the diagram commute.

$$\begin{array}{ccc}
 \varinjlim M_i & \xrightarrow{\quad \theta \quad} & X \\
 \swarrow \alpha_i & & \searrow f_i \\
 & M_i & \\
 \swarrow \alpha_j & \downarrow \varphi_j^i & \searrow f_j \\
 & M_j &
 \end{array}$$

**Example 2.1.23.**  $M$  is a smooth manifold,  $p \in M$ ,  $C_p^\infty(M)$  be the germ of smooth function at  $p$ , then  $C_p^\infty(M)$  is the direct limit of the direct system  $\{(C^\infty(U))_{p \in U \text{ open in } M}, (\text{res}_V^U)_{V \subset U}\}$  where res be the restriction map from the bigger open subset to the smaller one.

**Definition 2.1.24.** A covariant functor  $F : \mathcal{A} \rightarrow \mathcal{C}$  preserves direct limits if, whenever  $\left(\varinjlim A_i, \left(\alpha_i : A_i \rightarrow \varinjlim A_i\right)\right)$  is a direct limit of a direct system  $\{A_i, \varphi_j^i\}$  in  $\mathcal{A}$ , then  $\left(F\left(\varinjlim A_i\right), \left(F\alpha_i : FA_i \rightarrow F\left(\varinjlim A_i\right)\right)\right)$  is a direct limit of the direct system  $\{FA_i, F\varphi_j^i\}$  in  $\mathcal{C}$ .

Similarly, we can define co(contra)variant functor perserve(convert) limit(limit to colimit/colimit to limit)

**Definition 2.1.25.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be covariant functors. The ordered pair  $(F, G)$  is an adjoint pair if, for each  $C \in \text{obj}(\mathcal{C})$  and  $D \in \text{obj}(\mathcal{D})$ , there are bijections

$$\tau_{C,D} : \text{Hom}_{\mathcal{D}}(FC, D) \rightarrow \text{Hom}_{\mathcal{C}}(C, GD)$$

such that the following diagram commute:

$$\begin{array}{ccc} \text{Hom}_{\mathcal{D}}(FC, D) & \xrightarrow{(Ff)^*} & \text{Hom}_{\mathcal{D}}(FC', D) \\ \tau_{C,D} \downarrow & & \downarrow \tau_{C',D} \\ \text{Hom}_{\mathcal{C}}(C, GD) & \xrightarrow{f^*} & \text{Hom}_{\mathcal{C}}(C', GD) \\ \\ \text{Hom}_{\mathcal{D}}(FC, D) & \xrightarrow{(g)^*} & \text{Hom}_{\mathcal{D}}(FC, D') \\ \tau_{C,D} \downarrow & & \downarrow \tau_{C,D'} \\ \text{Hom}_{\mathcal{C}}(C, GD) & \xrightarrow{(Gg)_*} & \text{Hom}_{\mathcal{C}}(C, GD') \end{array}$$

**Example 2.1.26** (Hom and Tensor). If  $B = {}_R B_S$  is a bimodule,  $\square \otimes_R B : \text{Mod}_R \rightarrow \text{Mod}_S$  and  $\text{Hom}_S(B, \square) : \text{Mod}_S \rightarrow \text{Mod}_R$  be two functors. then  $(\square \otimes_R B, \text{Hom}_S(B, \square))$  is an adjoint pair. Similarly, if  $B = {}_S B_R$  is a bimodule,  $B \otimes_R \square : {}_R \text{Mod} \rightarrow {}_S \text{Mod}$  and  $\text{Hom}_S(B, \square) : {}_S \text{Mod} \rightarrow {}_R \text{Mod}$  be two functors. then  $(B \otimes_R \square, \text{Hom}_S(B, \square))$  is an adjoint pair.

**Example 2.1.27** (Free and Forget).

**Example 2.1.28** (Induced Representation).  $G$  is a finite group,  $H$  be a subgroup of  $G$ , then  $\mathbb{C}[G]$  be a  $(\mathbb{C}[G], \mathbb{C}[H])$  bi-module, funcotr  $\mathbb{C}[G] \otimes_{\mathbb{C}[H]} \square : {}_{\mathbb{C}[H]} \text{Mod} \rightarrow {}_{\mathbb{C}[G]} \text{Mod}$  and funcotr  $\text{Hom}_{\mathbb{C}[G]}(\mathbb{C}[G], \square)$  be an adjoint pair, since  $\text{Hom}_{\mathbb{C}[G]}(\mathbb{C}[G], \square) \simeq \text{Res}_{\mathbb{C}[H]}^{\mathbb{C}[G]}$  (Restriction from  $\mathbb{C}[G]$ -module to  $\mathbb{C}[H]$ -module), we have  $(\mathbb{C}[G] \otimes_{\mathbb{C}[H]} \square, \text{Res}_{\mathbb{C}[H]}^{\mathbb{C}[G]})$  is an adjoint pair.

**Proposition 2.1.29.** Let  $(F, G)$  be an adjoint pair offunctors, where  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$ . Then  $F$  preserves direct limits and  $G$  preserves inverse limits.

## 2.2 Abelian Category

**Definition 2.2.1** (additive category). A category  $\mathcal{C}$  is additive if

- (1)  $\text{Hom}(A, B)$  is an (additive) abelian group for every  $A, B \in \text{obj}(\mathcal{C})$ ,
- (2) the distributive laws hold: given morphisms

$$X \xrightarrow{a} A \xrightleftharpoons[g]{f} B \xrightarrow{b} Y,$$

where  $X$  and  $Y \in \text{obj}(\mathcal{C})$ , then

$$b(f + g) = bf + bg \quad \text{and} \quad (f + g)a = fa + ga,$$

- (3)  $\mathcal{C}$  has a zero object (a zero object is an object that is both initial and terminal),
- (4)  $\mathcal{C}$  has finite products and finite coproducts: for all objects  $A, B$  in  $\mathcal{C}$ , both  $A \sqcap B$  and  $A \sqcup B$  exist in  $\text{obj}(\mathcal{C})$ .

**Definition 2.2.2** (Additive Functor). If  $\mathcal{C}$  and  $\mathcal{D}$  are additive categories, a functor  $T : \mathcal{C} \rightarrow \mathcal{D}$  (of either variance) is additive if, for all  $A, B$  and all  $f, g \in \text{Hom}(A, B)$ , we have

$$T(f + g) = Tf + Tg;$$

that is, the function  $\text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(TA, TB)$ , given by  $f \mapsto Tf$ , is a homomorphism of abelian groups.

**Proposition 2.2.3.** If  $\mathcal{C}$  and  $\mathcal{D}$  are additive categories and  $T : \mathcal{C} \rightarrow \mathcal{D}$  is an additive functor of either variance, then  $T(A \oplus B) \cong T(A) \oplus T(B)$  for all  $A, B \in \text{obj}(\mathcal{C})$ .

**Definition 2.2.4.** A morphism  $u : B \rightarrow C$  in a category  $\mathcal{C}$  is a monomorphism (or is monic) if  $u$  can be canceled from the left; that is, for all objects  $A$  and all morphisms  $f, g : A \rightarrow B$ , we have that  $uf = ug$  implies  $f = g$ .

$$A \xrightleftharpoons[g]{f} B \xrightarrow{u} C$$

**Definition 2.2.5.** A morphism  $v : B \rightarrow C$  in a category  $\mathcal{C}$  is an epimorphism (or is epic) if  $v$  can be canceled from the right; that is, for all objects  $D$  and all morphisms  $h, k : C \rightarrow D$ , we have that  $hv = kv$  implies  $h = k$ .

$$B \xrightarrow{v} C \xrightleftharpoons[k]{h} D$$

**Definition 2.2.6** (kernel).

## 2.3 Derived Functor

### 3 Theory of Scheme